

Constraint Programming

Lecture 1: an overview

Master 1 informatique – Université de Nantes

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CP problems

Combinatorial problems

CP is a computer programming paradigm for **modelling** and **solving** combinatorial problems.

- the variables take values from finite sets of possibilities
- the solutions must satisfy several constraints

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- the solutions must satisfy several constraints

The number of combinations of values that have to be tested can grow **exponentially** with the number of variables.

CP approach

Main ideas

- Heterogeneous constraints
 - boolean, integer, symbolic, real
 - logic formulas, constraints on sets
 - high-level constraints, domain-specific constraints

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 - high-level constraints, domain-specific constraints
- **Generic techniques**
 - exhaustive exploration of the set of combinations
 - pruning techniques used to eliminate invalid combinations
 - heuristics

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- **Heterogeneous constraints**
 - boolean, integer, symbolic, real
 - logic formulas, constraints on sets
 - high-level constraints, domain-specific constraints
- **Generic techniques**
 - exhaustive exploration of the set of combinations
 - pruning techniques used to eliminate invalid combinations
 - heuristics
- **Complex software systems**
 - modelling and computer languages
 - combination of solvers
 - mapping tools, model analysis, symmetry breaking. . .

An assignment problem

Problem specification

Assign 4 tasks to 5 machines such that

- not every task can be assigned to every machine

Task	Machines
1	B, C, D, E
2	B, C
3	A, B, C, D
4	B, C

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- Rename the machines $A \rightarrow 1, B \rightarrow 2, \dots, E \rightarrow 5$

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- Every machine performs at most one task

$$\forall j : \sum_i x_{ij} \leq 1$$

A discrete model

- Define discrete variables x_i and domains for all tasks

$$x_1 \in \{B, C, D, E\}$$

$$x_2 \in \{B, C\}$$

$$x_3 \in \{A, B, C, D\}$$

$$x_4 \in \{B, C\}$$

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Another discrete model

Modelling issue

The set of difference constraints $x_i \neq x_j$ for all $i \neq j$ means that all the variables must take different values.

Another discrete model

Modelling issue

The set of difference constraints $x_i \neq x_j$ for all $i \neq j$ means that all the variables must take different values.

A **global constraint** can be introduced.

- Every machine performs at most one task

alldifferent(x), $x = (x_1, x_2, x_3, x_4)$

A graph model

- Define two sets of vertices

$$\mathcal{T} = \{1, 2, 3, 4\} \quad \mathcal{M} = \{A, B, C, D, E\}$$

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$$\mathcal{E} = \{(i, j) : \text{task } i \text{ can be assigned to machine } j\}$$

A graph model

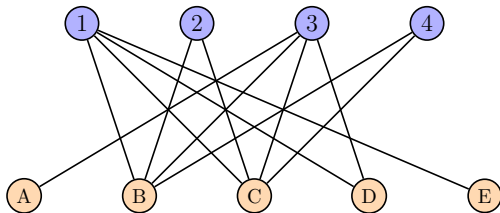
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- Bipartite graph $(\mathcal{T} \cup \mathcal{M}, \mathcal{E})$



A solution

- Binary model: assignment of the variables

$$\left\{ \begin{array}{l} x_{12} \rightarrow 0, x_{13} \rightarrow 0, x_{14} \rightarrow 1, x_{15} \rightarrow 0, x_{22} \rightarrow 1, x_{23} \rightarrow 0, \\ x_{31} \rightarrow 1, x_{32} \rightarrow 0, x_{33} \rightarrow 0, x_{34} \rightarrow 0, x_{42} \rightarrow 0, x_{43} \rightarrow 1 \end{array} \right\}$$

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- Graph model: matching involving all tasks

$$\{(1, D), (2, B), (3, A), (4, C)\}$$

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- Graph model: matching involving all tasks

$$\{(1, D), (2, B), (3, A), (4, C)\}$$

- Discrete model: assignment of the variables

$$\{x_1 \rightarrow D, x_2 \rightarrow B, x_3 \rightarrow A, x_4 \rightarrow C\}$$

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Given a set D called a **domain**, a **variable** $x \in D$ is a symbol representing an unknown element of D .

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Assignment of variables

An assignment of variables $x_1 \in D_1, \dots, x_n \in D_n$ is a **mapping**

$$\sigma = \{x_1 \rightarrow d_1, \dots, x_n \rightarrow d_n\}$$

from variables to values $d_1 \in D_1, \dots, d_n \in D_n$.

Search space

- Given variables $x_1 \in D_1, \dots, x_n \in D_n$ the search space is the set of possible assignments

$$S = D_1 \times \dots \times D_n = \{(d_1, \dots, d_n) : d_1 \in D_1, \dots, d_n \in D_n\}$$

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$$|S| = |D_1| \times \dots \times |D_n|$$

- Models of the assignment problem
 - binary: $|S| = 2^{12} = 4096$
 - discrete: $|S| = 4 \times 2 \times 4 \times 2 = 2^6 = 64$

Constraint

Constraint as formula

A constraint may be defined as a formula in a given theory.

$$c_1(x_1, x_2, x_4) : 2x_1 + x_2 - x_4 \leq 1$$

$$c_2(x_3) : \cos^2 x_3 + \sin^2 x_3 = 1$$

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Constraint as relation

A constraint c defines a relation R_c included in the Cartesian product of domains of the variables from X_c .

Example

Given $x_1 \in \{B, C, D, E\}$ and $x_2 \in \{B, C\}$ the constraint $c : x_1 \neq x_2$ defines the relation

$$R_c = \{(B, C), (C, B), (D, B), (D, C), (E, B), (E, C)\} \subseteq D_1 \times D_2$$

x_1	x_2
B	C
C	B
D	B
D	C
E	B
E	C

Fundamental remarks

- A solution is an **assignment of values to variables** such that the constraint is satisfied when the variables are replaced by their values or the tuple of values belongs to the relation.

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- A constraint **restricts** the admissible variable values.

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- A constraint can be used to **derive new restrictions**.

$$\frac{x_1 \neq x_2, x_2 = B}{x_1 \neq B}$$

Constraint system

Modelling a problem leads in general to **several constraints** involving the same variables that represent **problem properties**.

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A constraint system is a **formula from first-order logic**.

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- connectives $\wedge, \vee, \implies, \iff, \neg$
- quantifiers \forall, \exists

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- connectives $\wedge, \vee, \implies, \iff, \neg$
- quantifiers \forall, \exists

The atomic constraints may be defined over different theories such as **boolean** constraints, **integer** constraints, **real** constraints. . .

Constraint satisfaction problem

Constraint satisfaction problem

A CSP is a **quantifier-free conjunction of constraints**

$$c_1 \wedge \cdots \wedge c_m, \quad x_1 \in D_1, \dots, x_n \in D_n$$

denoted by the triple $P = \langle C, X, D \rangle$ where

- $X = \{x_1, \dots, x_n\}$ is a set of variables,
- $D = \{D_1, \dots, D_n\}$ is a set of domains,
- $C = \{c_1, \dots, c_m\}$ is a set of constraints.

Solution to a CSP

Solution

A solution to $P = \langle C, X, D \rangle$ is a **total assignment of the variables** $\sigma = \{x_1 \rightarrow d_1, \dots, x_n \rightarrow d_n\}$ such that each constraint $c \in C$ is satisfied.

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Given the scope $X_c = \{x_{i_1}, \dots, x_{i_k}\} \subseteq X$, we have

$$(d_{i_1}, \dots, d_{i_k}) \in R_c.$$

Class of complexity

Decision problem

The decision problem associated to a CSP $\langle C, X, D \rangle$ is defined as

$$\exists x_1 \in D_1, \dots, \exists x_n \in D_n : c_1 \wedge \dots \wedge c_m$$

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On finite domains

The decision problem is **NP-complete**.

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On finite domains

The decision problem is **NP-complete**.

On continuous domains

The decision problem is in general **undecidable**.

So what can we do?

- No known algorithm solves these problems in polynomial time.

Given an algorithm that solves a problem in this class, there are some instances for which the algorithm will take an exponential number of steps in the problem size.

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Given an algorithm that solves a problem in this class, there are some instances for which the algorithm will take an exponential number of steps in the problem size.
- NP-complete problems have the property that a solution can be verified in polynomial time.
- The goal is to develop algorithms that work well for a wide range of problems.

Exploring the search space

Complete solving

A solver is **complete** if it is able to find all the solutions or to prove that there is no solution.

- linear programming with rational numbers (polynomial)
- unification for equations on terms (polynomial)
- backtracking search for integer constraints (exponential)

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Incomplete solving

A solver is **incomplete** if it is not complete.

- constraint propagation
- local search
- numerical methods

Solving finite domain CSP

We will focus now on CSPs with finite domains.

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Complete solving

- generate and test
- backtracking search
- pruning techniques

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Incomplete solving

- stochastic local search

Assignment and conflict

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Given a set of variables X , an assignment is **total** if all the variables from X are assigned. Otherwise, it is **partial**.

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Conflict

A **conflict** is an assignment violating a constraint.

An early identification of conflicts leads to accelerate the solving process by pruning the search space.

Generate and test

```
1 GenTest(P:CSP)
2 begin
3     GenTestRec(P,0,{})
4 end
5
6 GenTestRec(P:CSP, i:int, A:assignment)
7 begin
8     if i=n and IsSolution(C,A) then
9         label A as a solution
10    else
11        foreach d in D(i+1) do
12            GenTestRec(P,i+1,A ∪ {x(i+1) → d})
13        endfor
14    endif
15 end
```

```
1 IsSolution(C:constraint set, A:assignment) return bool
2 var i:int, sat:bool
3 begin
4     i := 1
5     sat := true
6     while ((i<=n) and (sat)) do
7         if not (c(i) is satisfied by A)
8             then
9                 sat := false
10            else
11                i := i+1
12            endif
13        endwhile
14    return sat
15 end
```

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- Conflicts are not identified as soon as possible.

Backtracking

```
1 Backtraking(P:CSP)
2 begin
3     BacktrakingRec(P,0,{})
4 end
5
6 BacktrakingRec(P:CSP, i:int, A:assignment)
7 begin
8     if i=n then
9         label A as a solution
10    else
11        foreach d in D(i+1) do
12            if IsSat(P,A  $\cup$  {x(i+1)  $\rightarrow$  d}) then
13                BacktrakingRec(P,i+1,A  $\cup$  {x(i+1)  $\rightarrow$  d})
14            endif
15        endfor
16    endif
17 end
```

```
1 IsSat(C:constraint set, A:assignment) return bool
2 var i:int, sat:bool
3 begin
4     i := 1
5     sat := true
6     while ((i<=n) and (sat)) do
7         if A assigns all the variables of c(i) and
8             not (c(i) is satisfied by A)
9         then
10             sat := false
11         else
12             i := i+1
13         endif
14     endwhile
15     return sat
16 end
```

Example

Solve the assignment problem with domains

$$x_1 \in \{B, C, D, E\}, x_2 \in \{B, C\}, x_3 \in \{A, B, C, D\}, x_4 \in \{B, C\}$$

and constraints

$$(\forall 1 \leq i < j \leq 4) : x_i \neq x_j$$

by **backtracking search** considering the following variable orderings:

① $x_1 < x_2 < x_3 < x_4$

② $x_2 < x_4 < x_1 < x_3$



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Heuristics

The **next variable to be assigned in a search node** can be chosen according to the several heuristics.

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- **MaxConstrained**: the variable occurring the most in the constraints (hence increasing the early detection of conflicts)

Variable selection

Heuristics

The **next variable to be assigned in a search node** can be chosen according to the several heuristics.

- **MinDomain**: the variable whose domain has the least number of values (hence limiting the arity of the search tree)
- **MaxConstrained**: the variable occurring the most in the constraints (hence increasing the early detection of conflicts)
- **Hybrid**: the variable occurring the most whose domain is the smallest one

Pruning the search tree

Remark

Assigning one variable can lead to **prune domains** of the non assigned variables.

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Assigning one variable can lead to **prune domains** of the non assigned variables.

Consider the assignment problem and let $\{x_1 \rightarrow B\}$. Then

- 1 B can be removed from the domain of x_2 since $x_1 \neq x_2$

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Assigning one variable can lead to **prune domains** of the non assigned variables.

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- ② B can be removed from the domain of x_3 since $x_1 \neq x_3$

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Now the domain of x_2 is reduced to $\{C\}$ (x_2 is assigned)

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- Now the domain of x_2 is reduced to $\{C\}$ (x_2 is assigned)
- ④ C can be removed from the domain of x_3 since $x_2 \neq x_3$
 - ⑤ C can be removed from the domain of x_4 since $x_2 \neq x_4$

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Now the domain of x_2 is reduced to $\{C\}$ (x_2 is assigned)
- ④ C can be removed from the domain of x_3 since $x_2 \neq x_3$
- ⑤ C can be removed from the domain of x_4 since $x_2 \neq x_4$
- ⑥ **failure!**

Pruning operator

Pruning operator

A pruning operator θ for a constraint c is a **correct** and **contracting** operator that verifies

$$D \cap R_c \subseteq \theta(D) \subseteq D$$

for any variable domains D .

Branch and prune

```
1 BranchAndPrune (P:CSP)
2 begin
3     BranchAndPruneRec (P,D)
4 end
5
6 BranchAndPruneRec (P:CSP, E:domains)
7 begin
8     E' := Prune (P,E)
9     if E' is empty then
10         fail
11     else if E' is a solution then
12         label E' as a solution
13     else
14         foreach E'' in Branch (E') do
15             BranchAndPruneRec (P,E'')
16         endfor
17     endif
18 end
```

- The **Prune** procedure applies pruning operators in order to contract the current domains.
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→ there are several strategies!
- The **Branch** procedure creates sub-nodes in the search tree.
→ there are several strategies!

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Let x_i be the last assigned variable at a given node and let C_i be the set of constraints depending on x_i .

Forward checking

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- The pruning operator performs a **limited form of propagation**.
Let x_i be the last assigned variable at a given node and let C_i be the set of constraints depending on x_i .
 - ① the satisfaction of each constraint $c \in C_i$ whose variables are all assigned is checked and a failure happens if at least one constraint is violated

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- Forward checking is a **branch and prune** algorithm.
- The pruning operator performs a **limited form of propagation**.
Let x_i be the last assigned variable at a given node and let C_i be the set of constraints depending on x_i .
 - ① the satisfaction of each constraint $c \in C_i$ whose variables are all assigned is checked and a failure happens if at least one constraint is violated
 - ② for each constraint $c \in C_i$ containing only one variable x_j that is not yet assigned, every value $a_j \in E_j$ from the current domain of x_j that is not consistent with respect to c is removed from D_j

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 - ③ if D_j becomes empty then a failure happens and the algorithm backtracks

Example

Solve the assignment problem with domains

$$x_1 \in \{B, C, D, E\}, x_2 \in \{B, C\}, x_3 \in \{A, B, C, D\}, x_4 \in \{B, C\}$$

and constraints

$$(\forall 1 \leq i < j \leq 4) : x_i \neq x_j$$

by forward checking.



Partial look ahead

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- Solve the assignment problem by **partial look ahead**.



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Find a **balance between branching and pruning** in order to accelerate the overall solving process

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- Fixed-point pruning algorithms (constraint propagation)

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Stochastic local search

Main ideas

- A local search process follows a path from an initial total assignment of the variables to a solution or a dead-end.

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- A local search process follows a path from an initial total assignment of the variables to a solution or a dead-end.
- At each step, a neighbourhood of the current assignment is explored.
- A move consists in selecting the next assignment in the neighbourhood in order to come closer to a solution.

Initial assignment

- The initial total assignment is generated **randomly**.
- Example for the **assignment problem**

$$\sigma_0 = \{x_1 \rightarrow C, x_2 \rightarrow B, x_3 \rightarrow D, x_4 \rightarrow C\}$$

Neighbourhood

Informal definition

A neighbourhood is a set of assignments **not differing much** from the current assignment, which must have a limited size.

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- Example: generate the set of assignments obtained from the current assignment by **changing the value of one variable** considering all the other values from its domain

$$N(\sigma_0) = \{ \begin{array}{l} BBDC, DBDC, EBDC, \\ CCDC, \\ CBAC, CBBC, CBCC, \\ CBDB \end{array} \}$$

Cost function

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- Example: count the number of violated constraints

$$N(\sigma_0) = \{ \begin{array}{l} BBDC : 1, DBDC : 1, EBDC : 0, \\ CCDC : 3, \\ CBAC : 1, CBBC : 2, CBCC : 3, \\ CBDB : 1 \end{array} \}$$

Move

Move

A move selects an assignment from the neighbourhood that decreases the cost function.

- If a candidate assignment has cost 0 then a solution is found and the algorithm stops

$$\sigma_1 = \{x_1 \rightarrow E, x_2 \rightarrow B, x_3 \rightarrow D, x_4 \rightarrow C\}$$

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- Otherwise, a candidate with the smallest cost is selected
 - random choice is there are many candidates
 - problem if no candidate decreases the cost (local optimum)

How to handle local optima?

Plateau search

Continue with assignments of equal cost

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Tabu search

Mark the last p moves (tabu list) and avoid these moves

How to handle local optima?

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Continue with assignments of **equal cost**

Tabu search

Mark the last p moves (tabu list) and **avoid these moves**

Constraint weighting

Given weights w_j associated to the constraints c_j let the **cost function** be defined as $\text{cost}(\sigma) = \sum_j w_j \times b_j$ where $b_j = 1$ if σ violates c_j and $b_j = 0$ otherwise, such that w_j is initialized to 1 for all j , and it is incremented at a local optimum if c_j is violated

Skeleton of algorithm

```
1 LocalSearch(P:CSP, MaxRestart:int, MaxMove:int)
2 var found:bool
3   restart:int
4 begin
5   found := false
6   restart := 0
7   while ((not found) and (restart<MaxRestart)) do
8     found := LocalSearchRun(P,MaxMove)
9     restart := restart+1
10  endwhile
11 end
```

```
1 LocalSearchRun(P:CSP, MaxMove:int) return bool
2 var iter:bool, found:bool
3     move:int
4     sol:assignment
5 begin
6     iter := true
7     found := false
8     move := 0
9     sol := GenerateRandom(D)
10    while ((iter) and (move<MaxMove)) do
11        if IsSolution(C,sol) then
12            found := true
13            iter := false
14        else
15            (sol,iter) := DoAmove(P,sol)
16        endif
17        move := move+1
18    endwhile
19    return found
20 end
```

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- **Complete** if the goal is to find all the solutions

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- **Complete** if the goal is to find all the solutions
- **Complete** if the goal is to prove that there is no solution
- If the goal is to find only one solution
 - **not complete** should be tried (polynomial algorithm)
 - but **complete** may work well for some problems with few solutions and constraint systems that are hard to solve