



## 实战Java高并发程序设计第3周

DATAGURU专业数据分析社区

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### 内容提要



- 原子性
- 有序性
- 可见性
- Happen-Before
- 线程安全的概念

## 原子性



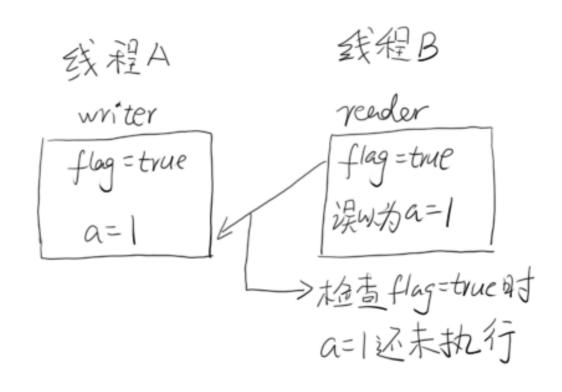
■ 原子性是指一个操作是不可中断的。即使是在多个线程一起执行的时候,一个操作一旦开始,就不会被其它线程干扰。

i++是原子操作吗?



■ 在并发时,程序的执行可能就会出现乱序

```
class OrderExample {
int a = 0;
boolean flag = false;
public void writer() {
  a = 1;
  flag = true;
public void reader() {
  if (flag) {
     int i = a + 1;
```





- 一条指令的执行是可以分为很多步骤的
  - 取指 IF
  - 译码和取寄存器操作数 ID
  - 执行或者有效地址计算 EX
  - 存储器访问 MEM
  - 写回 WB





```
a=b+c
d=e-f
```

```
IF ID EX MEM WB
LW Rb, b
       IF ID EX MEM WB
IW RC.C
                    X EX MEM WB
               IF ID
ADD Ra, Rb. Rc
                     X ID EX MEM WB
SW a,Ra
LW Re, e
                         IF ID EX MEM WB
LW lf.f
                             IF ID EX MEM WB
                               IF ID X EX MEM WB
SUB Rd, Re, Rf
                                  IF X ID EX MEM WB
SW dikd
```



```
a=b+c
d=e-f
```



a=b+c d=e-f

LW Rb, b

LW Rc.C

LW Re, e

ADD Ra, Rb. Rc

LW lf.f

SW a,Ra

SUB Rd, Re, Rf

SW dikd

IF ID EX MEM WB

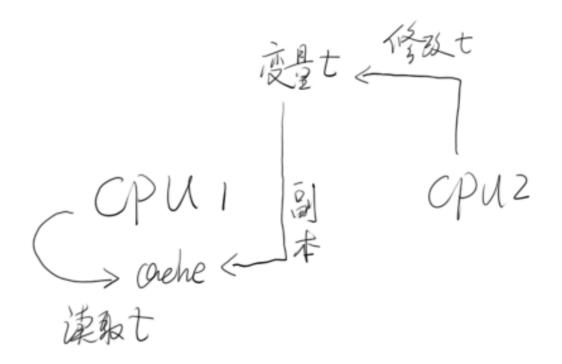
IF ID EX MEM WB LW Rb. b IF ID EX MEM WB IW Rc.C IF ID X EX MEM WB ADD Ra, Rb, Rc IF X ID EX MEM WB SW a,Ra LW Re, e X IF ID EX MEM WB LW Rf.f IF ID EX MEM WB IF ID X EX MEM WB SUB Rd, Re, Rf IF X ID EX MEM WB SW dikd

指令重排可以使流水线更加顺畅

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- 可见性是指当一个线程修改了某一个共享变量的值,其他线程是否能够立即知道这个修改。
  - 编译器优化
  - 一 硬件优化(如写吸收,批操作)





#### ■ Java虚拟机层面的可见性

http://hushi55.github.io/2015/01/05/volatile-assembly

```
public class VisibilityTest extends Thread {
  private boolean stop:
  public void run() {
     int i = 0:
     while(!stop) {
        j++:
     System.out.println("finish loop,i=" + i);
  public void stopIt() {
     stop = true;
  public boolean getStop(){
     return stop;
```

```
public static void main(String[] args) throws Exception {
    VisibilityTest v = new VisibilityTest();
    v.start();

    Thread.sleep(1000);
    v.stopIt();
    Thread.sleep(2000);
    System.out.println("finish main");
    System.out.println(v.getStop());
}
```



#### ■ -server模式运行上述代码,永远不会停止

```
dword ptr [esp+0ffffc000h],eax
0x0193bf8c: mov
0x0193bf93: push
                  ebp
                               :*synchronization entry
0x0193bf94: sub
                  esp.8h
                      : - edu.hushi.ivm.VisibilitvTest::run@-1 (line 13)
0x0193bf97: mov
                  ebp.ecx
0x0193bf99: movzx eax,byte ptr [ecx+64h] :*getfield stop
                      : - edu.hushi.jvm.VisibilityTest::run@9 (line 14)
0x0193bf9d: test eax,eax
0x0193bf9f: jne
                               ;*ifeq
                 193bfafh
                      : - edu.hushi.ivm.VisibilitvTest::run@12 (line 14)
0x0193bfa1: mov
                  ebx.1h
                                : OopMap{ebp=Oop off=38}
                      ;*ifeq
                      ; - edu.hushi.jvm.VisibilityTest::run@12 (line 14)
                    dword ptr [0a0000h],edi :*ifeq 这里开始是循环
0x0193bfa6: test
                         ; - edu.hushi.jvm.VisibilityTest::run@12 (line 14)
                            {lloq}
0x0193bfac: inc
                    ebx
                                  :*iinc
                         ; - edu.hushi.jvm.VisibilityTest::run@5 (line 15)
0x0193bfad: imp
                     193bfa6h
0x0193bfaf: mov
                  ecx,14h
0x0193bfb4: nop
0x0193bfb7: call 191dd00h
                                ; OopMap{ebp=Oop off=60}
                      ;*getstatic out
                      ; - edu.hushi.jvm.VisibilityTest::run@15 (line 17)
                        {runtime_call}
                                                          DATAGURU专业数据分析社区
```

```
public void run() {
    int i = 0;
    while(!stop) {
        i++;
    }
    System.out.println("finish loop,i=" + i);
}
```



#### Example 17.4-1. Incorrectly Synchronized Programs May Exhibit Surprising Behavior

The semantics of the Java programming language allow compilers and microprocessors to perform optimizations that can interact with incorrectly synchronized code in ways that can produce behaviors that seem paradoxical. Here are some examples of how incorrectly synchronized programs may exhibit surprising behaviors.

Consider, for example, the example program traces shown in Table 17.1. This program uses local variables x1 and x2 and shared variables A and B. Initially, A == B == 0.

#### Table 17.1. Surprising results caused by statement reordering - original code

Thread 1	Thread 2
1: r2 = A;	3: r1 = B;
2: B = 1;	4: A = 2;

It may appear that the result r2 == 2 and r1 == 1 is impossible. Intuitively, either instruction 1 or instruction 3 should come first in an execution. If instruction 1 comes first, it should not be able to see the write at instruction 4. If instruction 3 comes first, it should not be able to see the write at instruction 2.

If some execution exhibited this behavior, then we would know that instruction 4 came before instruction 1, which came before instruction 2, which came before instruction 3, which came before instruction 4. This is, on the face of it, absurd.

However, compilers are allowed to reorder the instructions in either thread, when this does not affect the execution of that thread in isolation. If instruction 1 is reordered with instruction 2, as shown in the trace in  $\underline{Table 17.2}$ , then it is easy to see how the result  $\underline{r2} == 2$  and  $\underline{r1} == 1$  might occur.

#### Table 17.2. Surprising results caused by statement reordering - valid compiler transformation

Thread 1	Thread 2
B = 1;	r1 = B;
r2 = A;	A = 2;



Another example of surprising results can be seen in <u>Table 17.3</u>. Initially, p == q and p.x == 0. This program is also incorrectly synchronized; it writes to shared memory without enforcing any ordering between those writes.

#### Table 17.3. Surprising results caused by forward substitution

Thread 1		ead 1	Thread 2
r1	=	p;	r6 = p;
r2	=	r1.x;	<b>r6.</b> x = 3;
r3	=	q;	
r4	=	r3.x;	
r5	=	r1.x;	

One common compiler optimization involves having the value read for x2 reused for x5: they are both reads of x1. x with no intervening write. This situation is shown in Table 17.4.

#### Table 17.4. Surprising results caused by forward substitution

Thread 1	Thread 2
r1 = p;	r6 = p;
r2 = r1.x;	r6.x = 3;
r3 = q;	
r4 = r3.x;	
r5 = r2;	

Now consider the case where the assignment to x6. x in Thread 2 happens between the first read of x1. x and the read of x3. x in Thread 1. If the compiler decides to reuse the value of x2 for the x5, then x2 and x5 will have the value 0, and x4 will have the value 3. From the perspective of the programmer, the value stored at p. x has changed from 0 to 3 and then changed back.

## Happen-Before规则



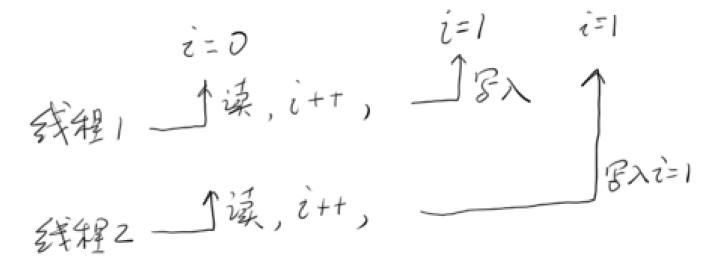
- 程序顺序原则:一个线程内保证语义的串行性 b=a+1;
- volatile规则:volatile变量的写,先发生于读,这保证了volatile变量的可见性
- 锁规则:解锁(unlock)必然发生在随后的加锁(lock)前
- 传递性:A先于B,B先于C,那么A必然先于C
- 线程的start()方法先于它的每一个动作
- 线程的所有操作先于线程的终结 ( Thread.join() )
- 线程的中断 (interrupt()) 先于被中断线程的代码
- 对象的构造函数执行结束先于finalize()方法

## 线程安全的概念



■ 指某个函数、函数库在多线程环境中被调用时,能够正确地处理各个线程的局部变量,使程序功能正确完成。

i++在多线程下访问的情况



## 线程安全的概念







# Thanks

# FAQ时间

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