# Commentary: Robust Partial Fingerprint Recognition

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#### 1. Introduction

In the realm of biometric recognition, fingerprints have long stood as a reliable means of identification. However, the challenge of recognizing partially visible fingerprint images has been a persistent problem. The paper titled "Robust Partial Fingerprint Recognition" delves into this significant challenge. This challenge is because incomplete or occlusion image capture is common which limits the performance of fingerprint identification in practice.

In this paper, They developed a robust model for recognizing partial fingerprints, with a specific focus on rolled/plain fingerprints.

The importance of the aimed solution cannot be overstated. As fingerprint recognition is widely used in various fields, from smartphones to high-security fields, it becomes crucial to ensure the robustness of the recognition system. The solution proposed in this paper is the Robust Partial Fingerprint (RPF) framework, which aims to alleviate the performance degradation due to occlusion. The framework not only encodes the information of partial fingerprints through an occlusion-enhanced data augmentation method but also captures missing regions through occlusion-aware modelling for robust feature extraction. The Robust Partial Fingerprint (RPF) recognition framework tackles the challenge of recognizing fingerprints when they are partially visible due to low-quality captures or obstructions on fingers.

The beneficiaries of this solution are manifold beyond the immediate realm of biometric security. For example, law enforcement agencies often have to deal with partial fingerprints left at crime scenes. An effective identification system can help identify suspects faster and more accurately. Similarly, consumer electronics that use fingerprint sensors, such as smartphones and iPad, can benefit from greater accuracy to ensure user experience. Industries like banking and finance, which rely heavily on biometric authentication for secure transactions, can see a significant increase in security measures. With the RPF framework, even if a user's fingerprint is partially captured due to wear and tear or injury, the system can still recognize and authenticate the user, ensuring uninterrupted and secure access.

In addition, Using the RPF framework may herald a change in current biometric practices. Traditional fingerprint recognition models often struggle to obtain partial observations due to occlusions or incomplete image capture, and thus may need to be re-evaluated or even replaced. The RPF's approach, which focuses on occlusion-augmented data augmentation, may set a new standard in the field, driving the creation of a more comprehensive and adaptable recognition system. Meanwhile, there's a possibility that this method might attract the attention of malicious actors who could devise new countermeasures.

## 2. Methods

The primary goal is to determine whether a template and a query fingerprint belong to the same identity. To gauge the loss of information, the concept of an occlusion ratio (OR) is introduced. Subsequently, the Robust Partial Fingerprint (RPF) method is introduced, leveraging Convolutional Neural Networks (CNNs) for extracting feature embeddings from the fingerprint images. The baseline model employs ResNet50. During training, utilizing Arcface as the classification loss function. During the test, the authors determine whether a pair of fingerprints match by calculating the similarity between the template and the query. To bolster robustness, the paper introduces two key strategies: occlusion-enhanced data augmentation and occlusion-aware modelling. The latter integrates an additional segmentation branch to predict the fingerprint area.

The methods described in this paper were chosen for the following reasons: Partial fingerprints can arise from occlusions or being outside the field-of-view during image capture. The OR provides a quantitative measure of information loss. The RPF method, utilizing CNN, was adopted to effectively extract feature embeddings from the fingerprint images. ResNet50 was chosen as the baseline model due to its proven efficiency in feature extraction. The paper's emphasis on occlusion-enhanced data augmentation and occlusion-aware modelling stems from the need to improve model robustness and extract more robust features against the challenges posed by partial fingerprints.

There are some strengths: Firstly, the RPF method's resilience to noise is commendable. Leveraging CNN, especially with the foundation of ResNet50, not only ensures deep feature extraction but also provides robustness against various types of noise and distortions commonly found in fingerprint images. In addition, the emphasis on occlusion-enhanced data augmentation and occlusion-aware modelling greatly improves the robustness of the model to partial fingerprints, thus addressing practical challenges in fingerprint verification. Together, these approaches improve the reliability and accuracy of fingerprint verification, especially in the presence of incomplete data. The OR provides a clear measure for quantifying information loss, thus enabling standardized evaluation.

The author's approach is commendable, however, relying on ResNet50 for feature extraction may lead to high computational costs. Local information about the image is lost during the convolution process, which can lead to feature bias. Using OR as a measure of information loss may not capture all the nuances of partial fingerprints. Overall, while these methods provide a comprehensive solution, they may not be suitable for all applications and may require further improvement.

There are two main suggested methods: in Spatial Pyramid Matching [2], segmenting each image into multiple localized images, the train with each localized image and calculating the similarity for each localized image, and obtaining a new match value by summing the different weights of the images In modifying ResNet50, we can add attention mechanisms such as the Squeeze-and-Excitation block [1]. It can be also combined with other structures like DenseNet or EfficientNet. All these suggestions may improve the accuracy of partial fingerprint recognition.

#### 3. Results

Experiments demonstrate the robustness and efficiency of RPF in processing partial fingerprints. Utilizing datasets such as PrintsGAN and NIST, the evaluation metrics are False Rejection Rate (FRR), certain False Acceptance Rate(FAR), and RPF demonstrates the ability to process various types of partial fingerprints.RPF is also compared with a state-of-the-art model (Verifinger), which is more robust. The authors also did Ablation Study to show the effectiveness of each component of RPF.

The evaluation strategy used in the paper is based on FRR at a certain FAR. The reason for using this strategy is that it is able to measure the rate of misclassifying genuine pairs as impostor pairs and vice versa. It has the advantage of accurately assessing the performance of a biometric system. Although this strategy is robust, there is potential to improve it by incorporating real-world scenarios or user feedback to capture practical challenges.

Evaluation using FRR certain FAR can influence the robustness of the RPF framework. And also exceeds the stateof-the-art methods in NIST.

The results appear compelling which show that RPF exhibits significant robustness and efficiency in processing partial fingerprints, effectively outperforming state-of-the-art methods and enhancing model performance from 14.67% to 17.57%. Specifically, RPF remains resilient even though the performance of standard recognition models degrades due to partial observations. Compared to commercial systems such as Verifinger, RPF provides better robustness and efficiency. The ablation study further emphasizes the effectiveness of each component of the RPF, highlighting its ability to improve the robustness of the model to partial fingerprints.

it would be perfect to add another experiment. As a case study, one could consider situations where environmental factors such as light or humidity may affect the quality of fingerprints. Incorporating these real-world challenges could provide a more comprehensive assessment. It can give the reader more confidence that the RPF model can solve the challenge. Or add an ERROR CASE experiment to let the reader understand the limitations of this approach to make it easier for someone to solve the problem in the future.

### 4. Conclusions

The main strength of this work lies in the innovative approach to address the challenges of partial fingerprint

recognition. The adaptability of the RPF approach to noise and the emphasis on occlusion-enhanced data augmentation and occlusion-aware modeling are commendable. These strategies ensure deep feature extraction and robustness to various noises, distortions, and incomplete data, resulting in highly reliable and accurate models.

However, this work has its limitations. Relying on ResNet50 may result in high computational cost and local image information may be lost during the convolution process. In addition, using OR as a metric may not capture the full complexity of partial fingerprints. While these methods are comprehensive, they may not be universally applicable and may benefit from further refinement.

The paper mentions that uncertainty modeling has been used to analyze the challenges associated with partial finger-print recognition. However, fingerprint recognition has not been studied enough, which indicates the need for further research in this area. In the direction of preprocessing, For example This can be done from classifying partially visible fingerprints in the dataset, e.g., obscured, too much light, and presence of gray matter.

There are several promising paths waiting to be explored in the rapidly evolving field of fingerprint recognition. One of the pressing needs is to develop models that are both accurate and efficient for real-time applications. By finding and training time-saving models, the computational cost can be significantly reduced, thus paving the way for more easyto-use and scalable techniques. This may involve delving into lightweight neural network architectures or utilizing model pruning techniques. Another key area is the extraction of robust features from fingerprint regions where matching scores remain consistent. Characterizing problmodellingby modeling uncertainty provides a comprehensive understanding of the quality and variability of the data, and building a robust matching score that accounts for these uncertainties can revolutionize the accuracy of a recognition system. Furthermore, the inherent challenges currently faced, such as processing partial fingerprints, may find solutions in advanced neural network architectures. Deformer-based network models or networks with attentional mechanisms could provide innovative solutions to these long-standing problems. By investigating these areas, the scope of fingerprint recognition can be extended, leading to the development of more secure and efficient biometric systems in the future.

# References

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