Context Aware Virtual Assistant with Case-Based Conflict Resolution in Multi-User Smart Home Environment

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Abstract. In this work we will examine and develop a Virtual Assistant which is the intelligence system that is used as a control interface of the Smart Home environment in the Farm Side Middlesex University laboratory. Specifically, the system is constructed to give multiple users ability to control devices' statuses by voice or text commands through the dialogue interface. Primarily, the main purpose of the system is to create user friendly interface with conflict resolution based on user cases. As a result, a Case-Based Reasoning algorithm was created with a k-Nearest Neighbor classification algorithm. In addition, Feed-Forward Artificial Neural Networks were used to classify user inputs, voice recognition and voice generation instruments were used to implement natural dialogue model of interactions with users. Moreover, the user friendly graphical web interface was developed. Further, a set of randomised double-blinded evaluation tests were established to check procedure of smart home control actions by multiple users.

Keywords: Smart Home, Case-Based Reasoning, Context Awareness, Multiple User systems, Virtual Assistant

1 Introduction

Managing a comfortable life could be a challenging task for people with special needs because some of them may need additional assistance to control electronic and electric devices in the living are [1]. The next group of users that probably seek assistance in Activities of Daily Life (ADL) is younger population while adults are away from home [1]. As a result, the Smart Home environments are developed to help users in controlling home and devices states with voice graphical interfaces [10] that are often called Virtual Assistants [1] or monitor behaviours of people with special needs [17] to classify changes in ADL that then

alarm or notify relatives, health and care professionals and organizations [9]. Additional finding identified other techniques in the state of art. For example, in Smart Home systems there are Ontology-based platforms that allows to analyze user's actions and perform context recognition [14] or visual tools for creating personal preferences table of each user based on semantics metadata [11]. As a consequence, majority of the researches is aimed to develop systems that helps alone living users.

Despite number of researches in the Smart Home solutions that are automated, adaptive, multifunctional and interactive, there is a lack of investigations and development of systems that operates in a conflict resolution between multiple users with different needs and priorities. Some researchers in order to solve this dilemma developed context-aware automation platforms [13, 16] that resolves conflicts between automated devices such as fire alarms and automated door locks. On the other hand, these solutions resolves conflicts only between automated devices based on priorities of their rules. The next group of developers are focused on preference Rule-Based Reasoning (RBR) to resolve conflicts between users [1]. Even through these systems introduces efficient set of rules, they are not able to handle with adaptation to the new user cases or specific out-of-rule situations.

To sum up state of art, there are number of systems that monitors and adapts to single users, resolves conflicts between devices in single user multi-agent environments and resolves conflicts between users based on if-else rules. On the contrary, there is a lack of the Virtual Assistants with an adaptive conflict resolution systems.

In this research, we propose a Virtual Assistant (VA) system that controls Smart Home environment based on the user inputs via voice and text based dialogue graphical web user interface for multiple users. As a result, we developed number of subsystems to fully implement number of requests. First of all, interaction with the VA has to be user-friendly and natural as a human to human interaction. Second, the system has to be able to control and monitor state of the Smart Home devices. Finally, the VA has to manage to resolve conflicts between multiple users, and adapt to the new user cases.

To cover all objectives, we introduced and developed the VA with next modules. Firstly, we have developed user friendly graphical interface based on web and cloud technologies to give to user intuitive way of interacting with the VA. For this reason, we used dynamic web framework, cloud voice recognition and generation technologies and animated emotional icons to mimicry natural face emotions in the dialogues. In addition to user friendly interface, we have developed and implemented adaptive natural language classification algorithms based on Artificial Neural Network (ANN) to make the VA understand different variates of the user commands and argumentation sentences, because they are effective algorithms for sentence classification [19]. Therefore, users were able to speak to VA in the way they speak with other human. Secondly, we used Farm Side Middlesex University Smart Home laboratory and Smart Home devices control unit to manage and monitor Smart Home devices. In order that, we have devel-

oped and integrated software API to implement communication between Smart Home control unit and VA. The last but not the least, we have introduced and developed a conflict resolution system that used Case-Based Reasoning (CBR) to make a decision about conflict between multiple users. In order to make decision, VA identifies in dialogue form the argumentation of the user in performing an action, analyzes via k-nearest neighbour (kNN) algorithm similarities between this case and previous cases and chooses best-fit case [4,7,15]. In other words, VA asks user to say why he or she wants to change device status if there conflicting instruction from other user and makes decision what to do with device based on users arguments and previous cases. Futhermore, arguments priorities was established by personal user preferences [3] because number of researches shows that the preference-based argumentation increases ability of the systems to meet user expectations [5].

Furthermore, we have established randomized double-blinded evaluation tests. In order to perform randomized double blinded experiment, we developed scenario creating sub-module. Firstly, it creates random scenario for tester by choosing conflicting device and arguments for tester by using crypto-secure randomise function based on operation system inner clocks. Secondly, it provides such instructions to testers that he or she was asked to provide command and arguments in their own words. As a result, it was hidden from us and testers scenarios till the beginning of the experiment. In addition, testers were asked to fill evaluation form. To conclude, feedback from testers are positive and only weak place of the system is accent recognition task.

This paper presents Virtual Assistant in the multiple user Smart Home environment. The Virtual Assistant was created to manage and monitor Smart Home environment by dialogue based interaction with users. Different machine learning algorithms and cloud technologies were implemented to meet project objectives. The main focus of the research was to develop adaptive conflict resolution system based on Case-Based Reasoner. The validation tests feedback is positive. The future direction of the work is to implement context-aware system that analyzes user behaviour and reports it as a case to the database of Case-Based Reasoner. This paper presents Virtual Assistant in the multiple user Smart Home environment. The architecture of the system is described in the Section II. The system algorithms and sequences are described in the Section IV demonstrates our results and validation. Finally, Section V draws the conclusion and predictions for the future work.

2 Architecture

Technical details of the system are given in this section. It consider architecture diagram of the system and explanation of all functional units called modules each or divided into the groups called components.

2.1 Overall

The architecture of the system is layered and modular. As a result, each functional part (module) of the system is designed to execute complete task and some of them are divided into components. The component is group of modules that performs complex task that consists of a number of simple tasks. For example, Order Classification and Reason Classification modules are grouped to Classification component.

In addition, in order to give better understanding of the system, the architecture is divided into layers. Layer is functional components of the system that sequentially divides it into hierarchical groups that communicates only with layer above or layer below except Database layer. For example, Cloud API layer is consists of Speech Generation and Speech Recognition that communicate only with Front End layer and Core layer.

Layer is demonstrated as white rectangle with grey icon and name in it. Web pages of the web interface is demonstrated as white colored box with blue drawing and text in it. Hexagonal icon with white image inside colored blue and text below is module of the system. White quadrilateral with grey illustration of device and it's name underneath is icon of electronic device driven by Smart Home environment. Colored rectangle with text in the bottom right corner is illustration of components. All communication inside the system is demonstrated as arrows in the Fig.1. ³

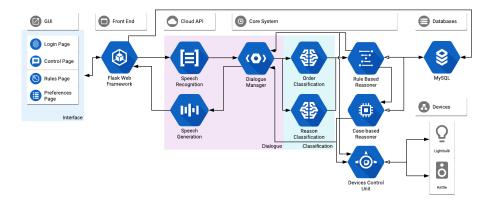


Fig. 1. Architecture diagram of the system

³ Communication types and lifelines of the system is described in the Section III.

2.2 Graphical User Interface and Front End

The interface of the system is graphical web interface developed as web site. As a result, Graphical User Interface (GUI) is expressed by 3 functional and Login Pages that are listed below:

- 1. Login Page: Welcome interface user chooses his or her account.
- 2. Control Page: Dialogue interface user communicates with system in form of dialogue by voice or text chat, gives orders ⁴ and reasons ⁵.
- 3. Rules 6 Page: Rules editing interface user edits list of instructions 7 given to the system.
- 4. Preferences ⁸ Page: Preferences editing interface user edits his or her preferences ⁹.

Flask Micro Web Framework is used as Front End controlling technology or Back End of the interface, because most of the project is coded by Python 3 language.

2.3 Cloud API

The function units of the Speech Generation and Speech Recognition were established via W3C Web Speech API ¹⁰. They are JavaScript Application Programming Interfaces (API) that are used by most of the modern browsers. As a result, the system sends record of the user input to W3C API and it returns text that is the recognition of the record. Moreover, the system sends text to the browser of the user in such way that the browser automatically generates it into voice. The modules of the Speech Generation and Speech Recognition are inserted to the Dialogue component with Dilague Manager module.

2.4 Core System

Core System is main functional layer of the system. Consequently, functions as decision-making, dialogue compilation, text classification and device controlling are all executed in the modules inside the Core System layer. It consists of Dialogue Manager, Classification Component, Rule-Based Reasoner, Case-Based Reasoner and Device Control Unit.

⁴ Order is command of the user given to the system. For example, turn off the light.

⁵ Reason is argument given by the user to the system to justify his/her order. For example, turn off the light, because my eyes hurts.

⁶ Rule is set of instructions given for system to do automatically. For example, system is obligated keep kettle turned off from 11:00 till 13:00 everyday because of energy saving issue.

⁷ Rules are discussed in the Section III.

⁸ Preference is set of issues that justifies orders of the user to the system. Every user type has its own priority level for preferences.

⁹ Preferences are discussed in the Section III.

¹⁰ More information on https://w3c.github.io/speech-api/speechapi.html.

2.5 Dialogue Manager

Dialogue Manager is one of the central modules of the system. Dialogue Manager receives phrases from the user and classifies it by Classification component and sends it to Rule-Based Reasoner. Based on the data from Rule-Based Reasoner and actions done by the system it sends human readable report or response to user via interface. In addition to Cloud API, Dialogue Manager is part of the Dialogue component that is the subsystem to communicate with user in natural way. Lastly, Dialogue Manager is set of if-else rules and dialogues database developed to pretend human-like speaking.

2.6 Classification Component

Classification component is group of two Classification modules that are Order and Reason Classification. Their function is to classify orders and reason from user input raw data. As a result, they need classification algorithm.

Text classification is non-trivial complex task that is cannot be solved sequentially or by sequential algorithms artificial neural network systems (ANN) provides the better solution [14]. Backpropagation as an ANS is very useful in recognizing complex patterns and performing nontrivial mapping functions. The figure below represents a simple Feed Forward Neural Network (FNN) diagram. The rounded objects represent the artificial neurons or processing elements of a neural network. The directed lines that are connecting the neurons are called weights that are the multiplication coefficients for input signal. Also every line of processing elements is a layer of a network.

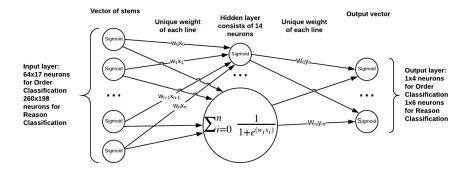


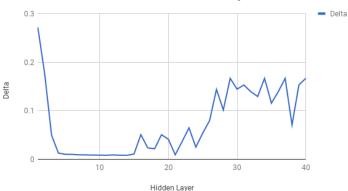
Fig. 2. Classification Neural Network Topology

Topology of the Artificial Neural Network is consists of Input, Output and Hidden layers.

Input layer consist of input neurons that are binomial representation of the unique stemmed words (stemmed by LancasterStemmer from Natural Language Toolkit library) inside the text that is already cleaned from punctuation and meaningless words like articles. In a text document a word may exist in a different morphological variants. For example the word "love" may also exist in other morphological variants such as "loving", "loved". While these morphological variants are different word forms, that represent same concept. In text categorization and other similar tasks it is desirable to combine these morphological variants of the same word into one canonical form and represent it like vector that is called stemmed version.

Hidden layer is the regression function of FNN, or layer between input and output layers. FNN applies a sequence of linear functions to the data. These functions are sigmoid linear transformation of the previous layer. As a result, it followed by a squashing nonlinearity While training the ANN (see below) it was concluded that the accuracy of the neural network does not significantly changes between one hidden layer neural network and multiple hidden layer neural network. The most efficient number of hidden layer neurons (14) was found by calculation of the delta that was calculated by 1000 iterations of Backpropogation training algorithm with standard training/test set especially created for this experiment.

Output layer gives vector of possibilities that the text is inside of classes ¹¹. The most possible class is the classification answer of the neural network.



Calculation of delta with different hidden layer neurons size

Fig. 3. Iterations of FNN with different Hidden Layer size, where horizontal line is number of neurons and vertical line is delta function percentage

¹¹ Class is the group of reasons for Reason Classification and group of orders for Order Classification.

General Case: Trivial Reason. In the next example user typed that he wants to change device status because he needs to finish a project about flu. Classifier says that it is 99.9% about work, and a little bit (0.05%) about heath issue, because his project about flu. The system normally does not accept any classification lower that 80% possibility, and if user says something not correlated to the predefined preferences, the system will skip classification and ask again.

Example 1. User input is: I need to finish my project about flu System classified it as: [['Work', 0.99951473383654765], ['Health', 0.056650409249493161], ['Entertainment', 0.0011293354032656073], ['Energy', 1.4169164768812246e-05], ['Security', 1.238332260956378e-05], ['Food', 3.1674436013529605e-07]]

General Case: Nontrivial Reason. In the next example user typed "G'ylym janyp turg'an s'yraq" (Kazakh Language: Science is a burning candle). The system did not managed to classify this reason, because the system is tuned only for English.

Example 2. User input is: G'ylym janyp turg'an s'yraq System classified it as: [] (Null)

2.7 Training of FNN

It was used Backpropogation method for artificial neural network weights of the synapses optimisation. The Backpropagation learning rule based on supervised learning [14]. In order to train neural network, a set of training documents and a specification of the pre-defined categories the documents belong to are required. Training and testing sets were created specially for this experiment. There were used common English phrases and their synonyms to create set of sentences and their class. During training, the connection weights of the neural network are initialized to random values. The training examples in the training set are then presented to the neural network classifier in random order, and the connection weights are adjusted according to the Backpropagation learning rule. This process is repeated until the learning error falls below a predefined tolerance level (99.95%). Below there is an example of the training of the Reason Classifier.

 $\label{lem:matrix: 260x198 Output matrix: 1x6 delta after 10000 iterations: 0.00195103818538 delta after 20000 iterations: 0.00132469839464 delta after 30000 iterations: 0.00105975676227 delta after 40000 iterations: 0.000905619354028 delta after 50000 iterations: 0.000802125845806 delta after 60000 iterations: 0.000726645045015 delta after 70000 iterations: 0.000668536139359 delta after 80000 iterations: 0.000622059862315 delta after 90000 iterations: 0.000583815748815 delta after 100000 iterations: 0.000551645861196 saved synapses to: ./include/synapses.json processing time: 103.20042490959167 seconds$

2.8 Rule-Based Reasoner

- 3 Sequences
- 4 Results and Validation
- 5 Conclution
- 6 References

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