

# Master’s Thesis

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## Contents

<b>1</b>	<b>Introduction</b>	<b>1</b>
<b>2</b>	<b>Background</b>	<b>3</b>
2.1	Foldr/build fusion (on lists)	3
2.2	The category theory	5
2.3	Library Writer’s Guide to Shortcut Fusion	7
2.4	Theorems for Free	9
2.5	Containers	9
2.6	Haskell’s optimization pipeline	10
<b>3</b>	<b>Haskell Optimizations</b>	<b>12</b>
3.1	Leaf Trees	12
3.2	Lists	15
3.2.1	The Filter Problem	19
3.2.2	Tail Recursion	20
3.3	Performance Comparison	24
<b>4</b>	<b>Formalization</b>	<b>24</b>
4.1	Category Theory: Initiality	24
4.1.1	Universal properties of catamorphisms and initiality	24
4.1.2	Initial F-Algebra fusion	26
4.2	Category Theory: Terminality	26
4.2.1	Terminal coalgebras and anamorphisms	26
4.2.2	Terminal F-Coalgebra fusion	28
4.3	Fusion: Church encodings	28
4.3.1	Definition of Church encodings	29
4.3.2	Proof obligations	30
4.3.3	Example: List fusion	32
4.4	Fusion: Cochurch encodings	35
4.4.1	Definition of Cochurch encodings	35
4.4.2	Proof obligations	36
4.4.3	Example: List fusion	39
<b>5</b>	<b>Related Works</b>	<b>41</b>
5.1	Fusion	41
<b>6</b>	<b>Conclusion and Future Work</b>	<b>41</b>
6.1	Future Work	41

## 1 Introduction

When writing functional code, we often use functions (or other data structures) to ‘glue’ multiple pieces of data together. Take, as an example, the following function in the programming language Haskell, as introduced by [Gill et al. \(1993\)](#):

```
all :: (a → Bool) → [a] → Bool
all p = and . map p
```

The function `map p` traverses across the input list, applying the predicate `p` to each element, resulting in a new boolean list. Then, the function `and` takes this resulting, intermediate, boolean list and consumes it by ‘and-ing’ together all the boolean values.

Being able to compose functions in this fashion is part of what makes functional programming so attractive, but it comes at the cost of computational overhead: Each time allocating a list cell, only to subsequently deallocate it once the value has been read. We could instead rewrite `all` in the following fashion:

```
all' p xs = h xs
  where h [] = True
        h (x : xs) = p x ∧ h xs
```

This function, instead of traversing the input list, producing a new list, and then subsequently traversing that intermediate list, traverses the input list only once; immediately producing a new answer. Writing code in this fashion is far more performant, at the cost of read- and write-ability. Can you write a high-performance, single-traversal, version of the following function ([Harper, 2011](#))?

```
f :: (Int, Int) → Int
f = sum . map (+1) . filter odd . between
```

With some (more) effort and optimization, one could arrive at the following solution:

```
f' :: (Int, Int) → Int
f' (x, y) = loop x
  where loop x | x > y = 0
               | otherwise = if odd x
                             then (x + 1) + loop (x + 1)
                             else loop (x + 1)
```

Doing this by hand every time, to get from the nice, elegant, compositional style of programming to the higher-performance, single-traversal style, gets old very quick. Especially if this needs to be done, by hand, **every** time you compose any two functions. Is there some way to automate this process?

**Fusion** The answer is yes\*, but it comes with an asterisk attached, namely that the functions that we are working with are folds or unfolds. The form of optimization that we are looking for is called fusion: The process of taking multiple list producing/consuming functions and turning (or fusing) them into just one.

Much work already exists, which is discussed in detail in [section 5](#). My thesis focuses on a specific form of fusion called shortcut fusion through the use of (Co)Church encodings as described by [Harper \(2011\)](#) and asks the following two questions:

1. To implement (Co)Church encodings, what is necessary to make the code reliably fuse? This leads to the following sub-questions:
  - What transformations are used within Haskell to enable fusion to work?
  - What tools are used to get Haskell’s compiler to cooperate and trigger fusion?
2. Are the transformations used to enable fusion safe? Meaning:
  - Do the transformations in Haskell preserve the semantics of the language?
  - If the mathematics and the encodings are implemented in a dependently typed language, can the transformation be proved correct?

My thesis centers on formalizing, replicating, and expanding upon [Harper \(2011\)](#)’s work and makes two crucial contributions, answering the two questions above:

1. The Church and Cochurch encodings’ implementation in Haskell, as described by [Harper \(2011\)](#) are replicated and investigated further as to their performance characteristics. In this process, a weakness was found in Haskell’s optimizer, and further practical insights were gleaned as to

how to get these encodings to properly fuse as well (especially for Cochurch encodings) and what optimizations enable shortcut fusion to do its work.

This is important as Harper (2011) gave a good pragmatic explanation as to how to implement the (Co)Church encodings in Haskell, gave an example implementation, and benchmarked that implementation. He did not dive into too much detail as to *why* they work stating, “Interestingly, however, we note that Cochurch encodings consistently outperform Church encodings, sometimes by a significant margin. While we do consider these results conclusive, we think that these results merit further investigation.” (Harper, 2011). This is what my research has (partially) set out to look into. This is discussed in detail in section 3.

2. The Church and Cochurch encodings described are formalized and implemented, including the relevant category theory, in Agda, in as a general fashion as possible, leveraging containers (Abbott et al., 2005) to represent strictly positive functors. Furthermore, the functions that are described (producing, transforming, and consuming) are also implemented in a general fashion and shown to be equal to regular folds (i.e., catamorphisms and anamorphisms).

This is important because there currently does not seem to exist a formalization of the work. Formally verifying the mathematics will strengthen the work done by Harper (2011), perhaps also aiding in understanding in how the different pieces of mathematics relate. This is discussed in detail in section 4.

## 2 Background

Before discussing the work that I have done, it is important to describe the necessary background. My work builds on a body of existing work, namely foldr/build fusion (Gill et al., 1993), some category theory, Church encodings (Harper, 2011), Containers (Abbott et al., 2005), parametricity also known as free theorems Wadler (1989), and some optimizations in Haskell’s optimization pipeline that are relevant for fusion.

I will be describing each of these works briefly. After that, in the next sections, I will describe the work that I have done that builds on these topics.

### 2.1 Foldr/build fusion (on lists)

Starting with the basics of fusion. In Gill et al. (1993)’s paper the original ‘shortcut deforestation’ technique was described. The core idea is described here as follows:

In functional programming lists are (often) used to store the output of one function such that it can then be consumed by another function. To co-opt Gill et al. (1993)’s example:

$$all\ p\ xs = and\ (map\ p\ xs)$$

`map p xs` applies `p` to all of the elements, producing a boolean list, and `and` takes that new list and “ands” all of them together to produce a resulting boolean value. “The intermediate list is discarded, and eventually recovered by the garbage collector” (Gill et al., 1993).

This generation and immediate consumption of an intermediate datastructure introduces a lot of computation overhead. Allocating resources for each `cons` datatype instance, storing the data inside of that instance, and then reading back that data, all take time. One could instead write the above function like this:

$$\begin{aligned} all' p\ xs &= h\ xs \\ \textbf{where } h\ [] &= True \\ h\ (x : xs) &= p\ x \wedge h\ xs \end{aligned}$$

Now no intermediate datastructure is generated at the cost of more programmer involvement. We’ve made a custom, specialized version of `and . map p`. The compositional style of programming that function programming languages enable (such as Haskell) would be made a lot more difficult if, for every composition, the programmer had to write a specialized function. Can this be automated?

Gill et al. (1993)’s key insight was to note that when using a `foldr k z xs` across a list, the effect of its application “is to replace each `cons` in the list `xs` with `k` and replace the `nil` in `xs` with `z`. By abstracting list-producing functions with respect to their connective datatype (`cons` and `nil`), we can define a function `build`:

$$\text{build } g = g (\cdot) []$$

Such that:

$$\text{foldr } k \ z \ (\text{build } g) = g \ k \ z$$

Gill et al. (1993).”

Gill et al. (1993) dubbed this the **foldr/build** rule. For its validity  $g$  needs to be of type:

$$g : \forall \beta : (A \rightarrow \beta \rightarrow \beta) \rightarrow \beta \rightarrow \beta$$

Which can be proved to be true through the use of  $g$ 's free theorem à la Wadler (1989). For more information on free theorems see subsection 2.4

### 2.1.1 An example

Take the function **from**, that takes two numbers and produces a list of all the numbers from the first to the second:

$$\begin{aligned} \text{from } a \ b &= \text{if } a > b \\ &\quad \text{then } [] \\ &\quad \text{else } a : \text{from } (a + 1) \ b \end{aligned}$$

To arrive at a suitable  $g$  we must abstract over the connective datatypes:

$$\begin{aligned} \text{from}' \ a \ b &= \lambda c \ n \rightarrow \text{if } a > b \\ &\quad \text{then } n \\ &\quad \text{else } c \ a \ (\text{from}' \ (a + 1) \ b \ c \ n) \end{aligned}$$

This is obviously a different function, we now redefine **from** in terms of **build** (Gill et al., 1993):

$$\text{from } a \ b = \text{build } (\text{from}' \ a \ b)$$

With some inlining and  $\beta$  reduction, one can see that this definition is identical to the original **from** definition. Now for the killer feature (Gill et al., 1993):

$$\begin{aligned} \text{sum } (\text{from } a \ b) &= \text{foldr } (+) \ 0 \ (\text{build } (\text{from}' \ a \ b)) \\ &= \text{from}' \ a \ b \ (+) \ 0 \end{aligned}$$

Notice how we can apply the **foldr/build** rule here to prevent an intermediate list being produced. Any adjacent **foldr/build** pair “cancel away”. This is an example of shortcut fusion.

One can rewrite many functions in terms of **foldr** and **build** such that this fusion can be applied. This can be seen in Figure 1. See Gill et al. (1993)'s work, specifically the end of section 3.3 (**unlines**) for a more expansive example of how fusion,  $\beta$  reduction, and inlining can combine to fuse a pipeline of functions down an as efficient minimum as can be expected.

$$\begin{aligned} \text{map } f \ xs &= \text{build } (\lambda c \ n \rightarrow \text{foldr } (\lambda a \ b \rightarrow c \ (f \ a) \ b) \ n \ xs) \\ \text{filter } f \ xs &= \text{build } (\lambda c \ n \rightarrow \text{foldr } (\lambda a \ b \rightarrow \text{if } f \ a \ \text{then } c \ a \ b \ \text{else } b) \ n \ xs) \\ xs \ ++ \ ys &= \text{build } (\lambda c \ n \rightarrow \text{foldr } c \ (\text{foldr } c \ n \ ys) \ xs) \\ \text{concat } xs &= \text{build } (\lambda c \ n \rightarrow \text{foldr } (\lambda x \ Y \rightarrow \text{foldr } c \ y \ x) \ n \ xs) \\ \\ \text{repeat } x &= \text{build } (\lambda c \ n \rightarrow \text{let } r = c \ x \ r \ \text{in } r) \\ \text{zip } xs \ ys &= \text{build } (\lambda c \ n \rightarrow \text{let } \text{zip}' \ (x : xs) \ (y : ys) = c \ (x, y) \ (\text{zip}' \ xs \ ys) \\ &\quad \text{zip}' \ \_ \ \_ = n \\ &\quad \text{in } \text{zip}' \ xs \ ys) \\ \\ [] &= \text{build } (\lambda c \ n \rightarrow n) \\ x : xs &= \text{build } (\lambda c \ n \rightarrow c \ x \ (\text{foldr } c \ n \ xs)) \end{aligned}$$

Figure 1: Examples of functions rewritten in terms of **foldr/build**. (Gill et al., 1993)

### 2.1.2 Generalization to recursive datastructures

This foldr/build fusion works for lists, but it has several limitations. One is that it only works on lists, this is alleviated using Church encodings and is described by [Harper \(2011\)](#). Secondly, the functions that we are writing need to be expressible in terms of compositions of foldrs and builds. What if we want to implement to converse approach? This exists and is destroy/unfoldr fusion and is described by [Coutts et al. \(2007\)](#). This work generalized by Cochurch encodings, also described by [Harper \(2011\)](#).

The generalization by Harper leverages (Co)Church, which uses definitions from category such as F-algebras and initiality. Don't know what they are? Read on in the next section, where I give these category theory definitions.

## 2.2 The category theory

In order to explain what an initial/terminal F-(co)algebra is, I'll first need to explain what a functor is and, more pressingly, what a category is. The concept of cata- and anamorphisms (folds and unfolds) will follow suit. If you're familiar with category theory and these concepts, you can skip this section. The mathematics described here are based on the lecture notes by [Ahrens & Wullaert \(2022\)](#).

### 2.2.1 A Category

A **category**  $\mathcal{C}$  is a collection of four pieces of data satisfying three proofs:

1. A collection of objects, denoted by  $\mathcal{C}_0$
2. For any given objects  $X, Y \in \mathcal{C}_0$ , a collection of morphisms from  $X$  to  $Y$ , denoted by  $\text{hom}_{\mathcal{C}}(X, Y)$ , which is called a *hom-set*.
3. For each object  $X \in \mathcal{C}_0$ , a morphism  $\text{Id}_X \in \text{hom}_{\mathcal{C}}(X, X)$ , called the *identity morphism* on  $X$ .
4. A binary operation:  $(\circ)_{X,Y,Z} : \text{hom}_{\mathcal{C}}(Y, Z) \rightarrow \text{hom}_{\mathcal{C}}(X, Y) \rightarrow \text{hom}_{\mathcal{C}}(X, Z)$ , called the *composition operator*, and written infix without the indices  $X, Y, Z$  as in  $g \circ f$ .

These pieces of data should satisfy the following three properties:

1. (**Left unit law**) For any morphism  $f \in \text{hom}_{\mathcal{C}}(X, Y)$ :

$$f \circ \text{Id}_X = f$$

2. (**Right unit law**) For any morphism  $f \in \text{hom}_{\mathcal{C}}(X, Y)$ :

$$\text{Id}_Y \circ f = f$$

3. (**Associative law**) For any morphisms  $f \in \text{hom}_{\mathcal{C}}(X, Y)$ ,  $g \in \text{hom}_{\mathcal{C}}(Y, Z)$ , and  $h \in \text{hom}_{\mathcal{C}}(Z, W)$ :

$$h \circ (g \circ f) = (h \circ g) \circ f$$

### 2.2.2 Initial/Terminal Objects

Categories can contain objects that have certain (useful) properties. Two of these properties are summarized below:

**initial** Let  $\mathcal{C}$  be a category. An object  $A \in \mathcal{C}_0$  is **initial** if there is exactly one morphism from  $A$  to any object  $B \in \mathcal{C}_0$ :

$$\forall A, B \in \mathcal{C}_0 : \exists! \text{hom}_{\mathcal{C}}(A, B) \implies \text{initial}(A)$$

**terminal** Let  $\mathcal{C}$  be a category. An object  $A \in \mathcal{C}_0$  is **terminal** if there is exactly one morphism from any object  $B \in \mathcal{C}_0$  to  $A$ :

$$\forall A, B \in \mathcal{C}_0 : \exists! \text{hom}_{\mathcal{C}}(B, A) \implies \text{terminal}(A)$$

The proofs of initiality and terminality require a proof that is split into two steps: A proof of existence (The  $\exists$  part of  $\exists!$ ) and a proof of uniqueness (The  $!$  part of  $\exists!$ ). The former is usually done by construction, giving an example of a function that satisfies the property and the latter is usually done by assuming that another  $\text{hom}_{\mathcal{C}}(A, B)$  (for the initial case) exists and showing that it must be equal to the one constructed.

### 2.2.3 Functors

For a given category  $\mathcal{C}, \mathcal{D}$ , a **functor** from  $\mathcal{C}$  to  $\mathcal{D}$  consists of two pieces of data and three proofs:

1. A function mapping objects in  $\mathcal{C}$  to  $\mathcal{D}$ :

$$\mathcal{C}_0 \rightarrow \mathcal{D}_0$$

2. For each  $X, Y \in \mathcal{C}_0$ , a function mapping morphisms in  $\mathcal{C}$  to morphisms in  $\mathcal{D}$ :

$$\text{hom}_{\mathcal{C}}(X, Y) \rightarrow \text{hom}_{\mathcal{D}}(F(X), F(Y))$$

These pieces of data should satisfy these two properties:

1. (**Composition law**) for any two morphisms  $f \in \text{hom}_{\mathcal{C}}(X, Y), g \in \text{hom}_{\mathcal{C}}(Y, Z)$ :

$$F(g \circ f) = Fg \circ Ff$$

2. (**Identity law**) For any  $X \in \mathcal{C}_0$ , we have:

$$F(\text{Id}_X) = \text{Id}_{F(X)}$$

An **endofunctor** is a functor that maps objects back to the category itself, i.e.  $F : \mathcal{C} \rightarrow \mathcal{C}$

### 2.2.4 (Category of) F-(Co)Algebras

Given an endofunctor  $F : \mathcal{C} \rightarrow \mathcal{C}$ :

An **F-Algebra** consists of two pieces of data:

1. An object  $C \in \mathcal{C}_0$
2. A morphism  $\phi \in \text{hom}_{\mathcal{C}}(F(C), C)$

An **F-Algebra Homomorphism** is, given two F-Algebras  $(C, \phi), (D, \psi)$ , a morphism  $f \in \text{hom}_{\mathcal{C}}(C, D)$ , such that the following diagram commutes (i.e.  $f \circ \phi = \psi \circ Ff$ ):

$$\begin{array}{ccc} FC & \xrightarrow{\phi} & C \\ Ff \downarrow & & \downarrow f \\ FD & \xrightarrow{\psi} & D \end{array}$$

The **category of F-Algebras** denoted by  $\mathcal{Alg}(F)$  consists of (the needed) four pieces of data:

1. The objects are F-Algebras
2. The morphisms are F-Algebra homomorphisms
3. The identity on  $(C, \phi)$  is given by the identity  $\text{Id}_C$  in  $\mathcal{C}$
4. The composition is given by the composition of morphisms in  $\mathcal{C}$

These pieces of data should satisfy the usual category laws: left/right unit law and composition law. Note how  $\mathcal{Alg}(F)$  makes use of the underlying category  $\mathcal{C}$  of the functor to define its objects. An  $\mathcal{Alg}(F)$  implicitly contains an underlying category in which its objects are embedded.

An **F-Coalgebra** consists of two pieces of data:

1. An object  $C \in \mathcal{C}_0$
2. A morphism  $\phi \in \text{hom}_{\mathcal{C}}(C, F(C))$

F-Coalgebra homomorphisms and  $\mathcal{CoAlg}(F)$  can be defined conversely as done for F-Algebras.

### 2.2.5 Cata- and Anamorphisms

Given (if it exists) an initial F-Algebra  $(\mu^F, in)$  in  $Alg(F)$ . We can know that (by definition), that for any other F-Algebra  $(C, \phi)$ , there exists a *unique* morphism  $\llbracket \phi \rrbracket \in \text{hom}_{\mathcal{C}}(\mu^F, C)$  such that the following diagram commutes:

$$\begin{array}{ccc} F\mu^F & \xrightarrow{in} & \mu^F \\ F\llbracket \phi \rrbracket \downarrow & & \downarrow \llbracket \phi \rrbracket \\ FC & \xrightarrow{\phi} & C \end{array}$$

A morphism of the form  $\llbracket \phi \rrbracket$  is called a **catamorphism**.

An analagous definition of for terminal objects in  $CoAlg(F)$  exists, called **anamorphisms**, denoted by  $\llbracket \phi \rrbracket$

### 2.2.6 Fusion property

Now for the definition we've been waiting for, **fusion**: Given an endofunctor  $F : \mathcal{C} \rightarrow \mathcal{C}$  and an initial algebra  $(\mu^F, in)$  in  $Alg(F)$ . For any two F-Algebras  $(C, \phi)$  and  $(D, \psi)$  and morphism  $f \in \text{hom}_{\mathcal{C}}(C, D)$  we have a **fusion property**:

$$f \circ \phi = \psi \circ F(f) \implies f \circ \llbracket \phi \rrbracket = \llbracket \psi \rrbracket$$

In English, if  $f$  is an F-Algebra homomorphism, we can know that  $f \circ \llbracket \psi \rrbracket = \llbracket \psi \rrbracket$ . We can fuse two functions into one! This is summarized in the following diagram:

$$\begin{array}{ccc} F\mu^F & \xrightarrow{in} & \mu^F \\ \begin{array}{c} F\llbracket \phi \rrbracket \downarrow \\ F\llbracket \psi \rrbracket \downarrow \end{array} & & \downarrow \llbracket \phi \rrbracket \\ FC & \xrightarrow{\phi} & C \\ \begin{array}{c} Ff \downarrow \\ \downarrow \end{array} & & \downarrow f \\ FD & \xrightarrow{\psi} & D \end{array}$$

An converse definition of fusion can be made for terminal object in  $CoAlg(F)$

## 2.3 Library Writer's Guide to Shortcut Fusion

Now that the sufficient category theory has been explained, it is possible to describe [Harper \(2011\)](#)'s paper, which my thesis centers on called "A Library Writer's Guide to Shortcut Fusion".

In the work, [Harper \(2011\)](#) explain the concept of Church and CoChurch encodings in four steps: The necessary underlying category theory, the concepts of encodings and the proof obligations necessary for ensuring correctness of the encodings, the concepts of (Co)Church encodings with the proof of correctness, and finally an example implementation for leaf trees. I will now go through each step briefly.

### 2.3.1 Category Theory

For the full overview of the category theory, see [subsubsection 2.2.6](#). The main concepts that [Harper \(2011\)](#) explains are the *universal property of (un)folds*, the *fusion law*, and the *reflection law*; all of which can be derived from the category theory already described earlier.

The universal property of folds is as follows:

$$h = \llbracket a \rrbracket \iff h \circ in = a \circ Fh$$

The fusion law as:

$$h \circ \llbracket a \rrbracket = \llbracket b \rrbracket \iff h \circ a = b \circ Fh$$

And the reflection law as:

$$\llbracket in \rrbracket = id$$

I formalized and proved all of these properties in my Agda formalization. It is also interesting to note that, for the universal property of unfolds, the forward direction is the proof of existence and the backward direction the proof of uniqueness, for the proof of initiality of an algebra. Converse definitions exist for terminal coalgebras, but I will not cover them in this section. They do exist in my formalization.

### 2.3.2 Encodings

The purpose of the encodings is to encode recursive functions, which are not inlined by Haskell’s optimizer, into ones that are capable of being inlined and therefore fused: “For example, assume that we want to convert values of the recursive datatype  $\mu F$  to values of a type  $F$ . The idea is that  $C$  can faithfully represent values of  $\mu F$ , but composed functions over  $C$  can be fused automatically” (Harper, 2011).

Now, instead of writing functions over  $\mu F$ , we write functions over  $C$ , along with two conversion functions **con**:  $\mu F \rightarrow C$  (converst) and **abs** :  $C \rightarrow \mu F$  (abstract). In order for the datatype  $C$  to faithfully represent  $\mu F$ , we need  $\text{abs} \circ \text{con} = \text{id}_{\mu F}$ . I.e. that  $C$  can represent all values of  $\mu F$  uniquely.

In total there are four main proof obligations, the one mentioned above, as well as the commutation of the following three diagrams:

$$\begin{array}{ccc}
 \mu F & \xleftarrow{\text{abs}} & C \\
 f \downarrow & & \downarrow f_C \\
 \mu F & \xleftarrow{\text{abs}} & C
 \end{array}
 \qquad
 \begin{array}{ccc}
 S & & \\
 p \downarrow & \searrow p_C & \\
 \mu F & \xleftarrow{\text{abs}} & C
 \end{array}
 \qquad
 \begin{array}{ccc}
 \mu F & \xrightarrow{\text{con}} & C \\
 c \downarrow & \swarrow c_C & \\
 T & & 
 \end{array}$$

Where, in the second diagram,  $p$  is a producer function, generating a recursive data structure from a seed of type  $S$ , and, in the third diagram,  $c$  is a consumer function, consuming a recursive data structure to produce a value of type  $T$ .

### 2.3.3 (Co)Church Encodings

Next, Harper (2011) proposes two encodings, **Church** and **CoChurch**.

**Church** **Church** is defined (abstractly) as the following datatype:

**data** *Church*  $F = Ch (\forall A \Rightarrow (F A \rightarrow A) \rightarrow A)$

**Church** contains a recursion principle (often referred to as **g** throughout this thesis). With conversion and abstraction functions **toCh** and **fromCh**:

$\text{toCh} :: \mu F \rightarrow \text{Church } F$   
 $\text{toCh } x = Ch (\lambda a \rightarrow \text{fold } a \ x)$   
 $\text{fromCh} :: \text{Church } F \rightarrow \mu F$   
 $\text{fromCh } (Ch \ g) = g \text{ in}$

From these definitions, Harper proves the four proof obligations along with a fifth proof, proving the other composition of **con** and **abs** to be equal to **id**, thereby showing isomorphism. For the proof of transformers and  $\text{con} \circ \text{abs} = \text{id}$ , Harper makes use of the free theorem for the polymorphic recursion principle **g**. In all the five proofs for Church encodings, Harper does not use the fusion property.

**Cochurch** **CoChurch** is defined (abstractly) as the following datatype:

**data** *CoChurch'*  $F = \exists S \Rightarrow CoCh (S \rightarrow F S) S$

An isomorphic definition which Harper later uses is the one I end up using in my formalization:

**data** *CoChurch*  $F = \forall S \Rightarrow CoCh (S \rightarrow F S) S$

The **Cochurch** encoding encodes a coalgebra and a seed value together. The conversion and abstraction functions, **toCoCh** and **fromCoCh**:

$\text{toCoCh} :: \nu F \rightarrow \text{CoChurch } F$   
 $\text{toCoCh } x = CoCh \text{ out } x$   
 $\text{fromCoCh} :: \text{CoChurch } F \rightarrow \nu F$   
 $\text{fromCoCh } (CoCh \ h \ x) = \text{unfold } h \ x$

Similarly to his description of Church encodings, Harper proves the four proof obligations as well as the additional fifth one. The  $\text{con} \circ \text{abs} = \text{id}$  proof, leverages the free theorem for the corecursion principle of the type **CoChurch**. The proof for natural transformations, however, does not use the free theorem and instead uses the fusion property for unfolds.



### 2.3.4 Example implementation

To tie it all together, Harper gives an example implementation of how one would implement the encodings described so far. For this he uses Leaf Trees. He implements four functions, **between**, **filter**, **concat**, and **sum**, as a normal, recursive function, in Church encoded form, and in Cochurch encoded form.

In doing so, he shows exactly how one goes from using the normal, recursive datatypes and function that are typically used in Haskell, to Church and Cochurch encoded versions. To conclude the performance of different compositions of functions are compared to show the performance benefits and differences between the three different variants of functions.

## 2.4 Theorems for Free

Wadler (1989) in work 'Theorems for Free', describes a way of getting theorems from a polymorphic function only by looking at its type. In his paper, he uses the trick of reading types as relations (instead of sets) in order to derive a lemma called *parametricity*.

From this it is possible to derive a theorem that a type satisfies, without looking at its definition. These free theorems can be used to make claims about polymorphic functions. This is also done in Harper (2011)'s work; namely a theorem about the polymorphic induction principle and coinduction principle function types.

For example the free theorem of the following polymorphic function (Harper, 2011):

$$g : \forall A . (F A \rightarrow A) \rightarrow A$$

is the theorem stating that:

$$h . b = c . F h \Rightarrow h (g b) = g c$$

For functions  $b : F B \rightarrow B$ ,  $c : F C \rightarrow C$ ,  $h : B \rightarrow C$ .

Within Agda, proving that the free theorems of the polymorphic function types are correct is something that is currently not possible without extensions. Recent work by Van Muylder et al. (2023) does exist, that extends cubical Agda with a `--bridges` extension that makes it possible to derive free theorems from within Agda. While it might be possible to leverage this implementation, the work is very new, having come out after the start of this thesis project. Instead, I have opted to postulate the free theorems needed, which is only on two locations.

## 2.5 Containers

In my formalization I needed to represent functors somehow. While a `RawFunctor` datatype does exist, it does not provide the necessary structure such that proofs can be done over it, such as the functor laws.

Instead, I have opted to use Containers to represent strictly positive functors as described by Abbott et al. (2005). The definition of a container is as follows:

```
record Container (s p : Level) : Set (suc (s ⊔ p)) where
  constructor ▷; field
    Shape : Set s
    Position : Shape → Set p
```

A container contains an index set, called `Shape` and also a `Position`, which represent the recursive elements of the container.

Containers can be given a semantics (or extension) in the following manner:

$$\llbracket \_ \rrbracket : \forall \{s p \ell\} \rightarrow \text{Container } s p \rightarrow \text{Set } \ell \rightarrow \text{Set } (s \sqcup p \sqcup \ell)$$

$$\llbracket S \triangleright P \rrbracket X = \Sigma [ s \in S ] (P s \rightarrow X)$$

The  $X$  represents the type of the recursive elements of the container.

The main benefit of leveraging containers to represent functors is that positivity is maintained as well as that the functor laws are true by definition. Deriving the container from a given (polynomial) functor is done in a couple of steps:

1. Analyze how many constructors your functor has, take as an example 2.
2. For the left side of the container take the coproduct of types that store the non-recursive sub-elements (such as `const`).

- Count the amount of recursive elements in the constructor, the return type should include that many elements.

Taking an example:

**List** Taking the base functor for **List**:  $F_A X := 1 + A \times X$ .

For the **Shape** we take the coproduct of **Fin 1** and **const A**, corresponding to the ‘**nil**’ and ‘**cons a \_**’ part, respectively.

For the **Position**, we have one constructor that is non-recursive and one that contains one recursive element, so we have:  $0 \rightarrow \text{Fin } 0$  and  $\text{const } n \rightarrow \text{Fin } 1$ . The **Fin 1** refers to the recursive **X** that is present in the base functor (or the ‘**cons \_ as**’ part of **cons**).

**Binary tree** Taking the base functor for **Tree**:  $F_A X := 1 + X \times A \times X$ .

For the **Shape** we take the coproduct of **Fin 1** and **const A**.

For the **Position**, we have one constructor that is non-recursive and one that contains two recursive elements, so we have:  $0 \rightarrow \text{Fin } 0$  and  $\text{const } n \rightarrow \text{Fin } 2$ .

The above description is summarized below in a table:

	List	Binary Tree
Base functor	$F_A X := 1 + (A \times X)$	$F_A X := 1 + (X \times A \times X)$
Shape	$\text{Fin } 1 + \text{const } A$	$\text{Fin } 1 + \text{const } A$
Position	$\text{nil} \rightarrow \text{Fin } 0$ and $\text{const } n \rightarrow \text{Fin } 1$	$\text{nil} \rightarrow \text{Fin } 0$ and $\text{const } n \rightarrow \text{Fin } 2$

For a concrete example of how a datatype is implemented, see ??.

## 2.6 Haskell’s optimization pipeline

In order to understand how fusion works, it is important to understand a few other concepts that fusion works in tandem with. Namely, beta reduction, inlining, case-of-case, and tail call optimization. I will give a brief description of each.

### 2.6.1 Beta reduction

Beta reduction is simply the rule where an expression of the form  $(\lambda x . a[x]) y$  can get transformed into  $a[y]$ . For example  $(\lambda x . x + x) y$  would become  $y + y$ .

### 2.6.2 Inlining

Inlining is the process of taking a function expression and unfolding it into its definition. If we take the function  $f = (+2)$  and an expression  $f \ 5$ , we could inline  $f$  such that we get  $(+2) \ 5$ ; which we could inline again to obtain  $5 + 2$ .

### 2.6.3 Case of case, and known-case elimination

As discussed by Jones (1996), case of case optimization is the transformation of the following pattern:

```

case (
  case C of
    B1 → F1
    B2 → F2
  ) of
    A1 → E1
    A2 → E2

```

To the following<sup>1</sup>:

<sup>1</sup>This specific example was retrieved from: <https://stackoverflow.com/questions/35815503/what-ghc-optimization-is-responsible-for-duplicating-case-expressions>

```

case C of
  B1  $\rightarrow$  case F1 of
    A1  $\rightarrow$  E1
    A2  $\rightarrow$  E2
  B2  $\rightarrow$  case F2 of
    A1  $\rightarrow$  E1
    A2  $\rightarrow$  E2

```

Where the branches of the outer case are pushed into the branches of the inner. Furthermore:

```

case V of
  V  $\rightarrow$  Expr
  ...

```

Is case-of-known-constructor, we can simplify it to:

```

Expr

```

Together, these optimizations can often lead to the removal of unnecessary computations. Take as an example ((Jones, 1996)):

```

if ( $\neg$  x) then E1 else E2

```

“No decent compiler would actually negate the value of **x** at runtime! [...] After desugaring the conditional , and inlining the definition of **not** , we get” (Jones, 1996):

```

case (case x of
  True  $\rightarrow$  False
  False  $\rightarrow$  True
) of
  True  $\rightarrow$  E1
  False  $\rightarrow$  E2

```

With case-of-case transformation this gets transformed to:

```

case x of
  True  $\rightarrow$  case False of
    True  $\rightarrow$  E1
    False  $\rightarrow$  E2
  False  $\rightarrow$  case True of
    True  $\rightarrow$  E1
    False  $\rightarrow$  E2

```

Then the case-of-known-constructor transformation gets us:

```

case x of
  True  $\rightarrow$  E2
  False  $\rightarrow$  E1

```

No more evaluation of not!

ADD A (LARGE) EXAMPLE AS TO WHY THIS IS SO IMPORTANT FOR THE FUSED PIPELINES

#### 2.6.4 Tail call optimization

We call a recursive function tail-recursive, if all its recursive calls are returned immediately upon completion i.e., they don't do any additional calculations upon the result of the recursive call before returning a result.

When a function is tail-recursive, it is possible to reuse the stack frame of the current function call, reducing a lot of memory overhead. Haskell is able to identify tail-recursive functions and optimize the compiled byte code accordingly.

### 3 Haskell Optimizations

In [Harper \(2011\)](#)’s work there were still multiple open questions left regarding the exact mechanics of what Church and Cochurch encodings did while making their way through the compiler. Why are Cochurch encodings faster in some pipelines, but slower in others?

In this section I’ll describe my work replicating the fused Haskell code of the [Harper \(2011\)](#)’s work and further optimization opportunities that were discovered along the way.

I’ll start off with the existing working code, followed by a discussion of the discoveries made throughout the process of writing, replicating, and further optimization of [Harper \(2011\)](#)’s example code.

#### 3.1 Leaf Trees

In this section, the replication of [Harper \(2011\)](#)’s code is described. We start with his motivating example at the beginning of the paper, followed by the ‘fused’ version that we want the pipeline to become, once compiled:

```
f :: (Int, Int) → Int
f = sum1 . map1 (+1) . filter1 odd . between1

f' :: (Int, Int) → Int
f' (x, y) = loop x
  where
    loop x | x > y = 0
            | otherwise = if odd x
                          then (x + 1) + loop (x + 1)
                          else loop (x + 1)
```

**Datatypes** In his paper [Harper \(2011\)](#) implemented his example functions using leaf trees, this is defined as **Tree** below. Furthermore, the base functor of **Tree** was defined, as **Tree<sub>-</sub>**, with the recursive positions of the functor turned into a parameter of the datatype:

```
data Tree a = Empty | Leaf a | Fork (Tree a) (Tree a)
data Tree_ a b = Empty_ | Leaf_ a | Fork_ b b
```

**Church-encoding** The Church encoding of the **Tree** datatype is defined, using the base functor:

```
data TreeCh a = TreeCh (∀ b . (Tree_ a b → b) → b)
```

Next, the conversion functions **toCh** and **fromCh** are defined, using two auxillary functions **fold** and **in'**:

```
toCh :: Tree a → TreeCh a
toCh t = TreeCh (λa → fold a t)
fold :: (Tree_ a b → b) → Tree a → b
fold a Empty = a Empty_
fold a (Leaf x) = a (Leaf_ x)
fold a (Fork l r) = a (Fork_ (fold a l)
                             (fold a r))

fromCh :: TreeCh a → Tree a
fromCh (TreeCh fold) = fold in'
in' :: Tree_ a (Tree a) → Tree a
in' Empty_ = Empty
in' (Leaf_ x) = Leaf x
in' (Fork_ l r) = Fork l r
```

From here, the fusion rule is defined using a **RULES** pragma. Along with a couple of other rules, this core construct is responsible for doing the actual ‘fusion’. The **INLINE** pragmas are also included, to delay any inlining of the **toCh**/**fromCh** functions to the latest possible moment, maximising the opportunity for fusion throughout the compilation process:

```
{-# RULES "toCh/fromCh fusion" forall x. toCh (fromCh x) = x #-}
```

```
{-# INLINE [0] toCh #-}
{-# INLINE [0] fromCh #-}
```

A generalized natural transformation function is defined:

```
natCh :: (∀ c . Tree_ a c → Tree_ b c) → TreeCh a → TreeCh b
natCh f (TreeCh g) = TreeCh (λa → g (a . f))
```

**Cochurch-encoding** Conversely, the cochurch encoding is defined, again using the base functor for **Tree**:

```
data TreeCoCh a = ∀ s . TreeCoCh (s → Tree_ a s) s
```

Next, the conversion functions **toCoCh** and **fromCoCh** are again defined, using two auxillary functions **out** and **unfold**:

```
toCoCh :: Tree a → TreeCoCh a
toCoCh = TreeCoCh out
out Empty = Empty_
out (Leaf a) = Leaf_ a
out (Fork l r) = Fork_ l r
fromCoCh :: TreeCoCh a → Tree a
fromCoCh (TreeCoCh h s) = unfold h s
unfold h s = case h s of
  Empty_ → Empty
  Leaf_ a → Leaf a
  Fork_ sl sr → Fork (unfold h sl) (unfold h sr)
```

Similar to Church-encodings, the proper pragmas are included to enable fusion:

```
{-# RULES "toCh/fromCh fusion" forall x. toCoCh (fromCoCh x) = x #-}

{-# INLINE [0] toCoCh #-}
{-# INLINE [0] fromCoCh #-}
```

A generalized natural transformation function is defined:

```
natCoCh :: (∀ c . Tree_ a c → Tree_ b c) → TreeCoCh a → TreeCoCh b
natCoCh f (TreeCoCh h s) = TreeCoCh (f . h) s
```

**Between** Three between functions are implemented: One regular, one church-encoded, and one cochurch encoded. Note how all three final functions are accompanied by an **INLINE** pragma. This inlining enables pairs of **toCh**  $\circ$  **fromCh** to be revealed to the compiler for fusion. The regular one is implemented recursively in a fashion appropriate for leaf trees:

```
between1 :: (Int, Int) → Tree Int
between1 (x, y) = case compare x y of
  GT → Empty
  EQ → Leaf x
  LT → Fork (between1 (x, mid))
             (between1 (mid + 1, y))
  where mid = (x + y) `div` 2
```

The church-encoded version leverages the implementation of a recursion principle **b** for the between function of leaf trees:

```
b :: (Tree_ Int b → b) → (Int, Int) → b
b a (x, y) = case compare x y of
  GT → a Empty_
  EQ → a (Leaf_ x)
  LT → a (Fork_ (b a (x, mid))
```

```

      (b a (mid + 1, y)))
  where mid = (x + y) `div` 2
  betweenCh :: (Int, Int) → TreeCh Int
  betweenCh (x, y) = TreeCh (λa → b a (x, y))
  between2 :: (Int, Int) → Tree Int
  between2 = fromCh . betweenCh
  {-# INLINE between2 #-}

```

The cochurch-encoded version leverages the implementation of a coalgebra `h` for the `between` function of leaf trees:

```

h :: (Int, Int) → Tree_ Int (Int, Int)
h (x, y) = case compare x y of
  GT → Empty_
  EQ → Leaf_ x
  LT → Fork_ (x, mid) (mid + 1, y)
  where mid = (x + y) `div` 2
between3 :: (Int, Int) → Tree Int
between3 = fromCoCh . TreeCoCh h
{-# INLINE between3 #-}

```

**Filter** Again three versions, similar to `between`. The regular implementation is as to be expected, leveraging an implementation of `append`:

```

filter1 :: (a → Bool) → Tree a → Tree a
filter1 p Empty = Empty
filter1 p (Leaf a) = if p a then Leaf a else Empty
filter1 p (Fork l r) = append1 (filter1 p l) (filter1 p r)

```

While for the (co)church-encoded versions a natural transformation `filt` is constructed. This is used to both implement both the church and cochurch-encoded function:

```

filt :: (a → Bool) → Tree_ a c → Tree_ a c
filt p Empty_ = Empty_
filt p (Leaf_ x) = if p x then Leaf_ x else Empty_
filt p (Fork_ l r) = Fork_ l r
filter2 :: (a → Bool) → Tree a → Tree a
filter2 p = fromCh . natCh (filt p) . toCh
{-# INLINE filter2 #-}
filter3 :: (a → Bool) → Tree a → Tree a
filter3 p = fromCoCh . natCoCh (filt p) . toCoCh
{-# INLINE filter3 #-}

```

**Map** The map function is implemented similarly to `filter`: A simple implementation for the non-encoded version and a single natural transformation that is leveraged in both the church- and cochurch-encoded versions:

```

map1 :: (a → b) → Tree a → Tree b
map1 f Empty = Empty
map1 f (Leaf a) = Leaf (f a)
map1 f (Fork l r) = append1 (map1 f l) (map1 f r)
m :: (a → b) → Tree_ a c → Tree_ b c
m f Empty_ = Empty_
m f (Leaf_ a) = Leaf_ (f a)
m f (Fork_ l r) = Fork_ l r
map2 :: (a → b) → Tree a → Tree b
map2 f = fromCh . natCh (m f) . toCh
{-# INLINE map2 #-}
map3 :: (a → b) → Tree a → Tree b
map3 f = fromCoCh . natCoCh (m f) . toCoCh
{-# INLINE map3 #-}

```

**Sum** The sum function is again more interesting, it is again implemented in three different ways: The non-encoded version is again as would normally be expected for leaf trees:

```
sum1 :: Tree Int → Int
sum1 Empty = 0
sum1 (Leaf x) = x
sum1 (Fork x y) = sum1 x + sum1 y
```

The church encoded version leverages an algebra `s`:

```
s :: Tree_ Int Int → Int
s Empty_ = 0
s (Leaf_ x) = x
s (Fork_ x y) = x + y
sumCh :: TreeCh Int → Int
sumCh (TreeCh g) = g s
sum2 :: Tree Int → Int
sum2 = sumCh . toCh
{-# INLINE sum2 #-}
```

The cochurch encoding is defined using a coinduction principle. Note that it is possible to implement this function using an accumulator of a list datatype (used like a queue), but it currently does not seem to provide a fused Core AST, for a more expansive discussion on tail-recursive cochurch-encoded pipelines, see [subsection 3.2.2](#):

```
sumCoCh :: TreeCoCh Int → Int
sumCoCh (TreeCoCh h s') = loop s'
  where loop s = case h s of
    Empty_ → 0
    Leaf_ x → x
    Fork_ l r → loop l + loop r
sum3 :: Tree Int → Int
sum3 = sumCoCh . toCoCh
{-# INLINE sum3 #-}
```

**Pipelines** Finally the pipelines, whose performance can be measure or Core representation inspected, are defined below:

```
pipeline1 = sum1 . map1 (+2) . filter1 odd . between1
pipeline2 = sum2 . map2 (+2) . filter2 odd . between2
pipeline3 = sum3 . map3 (+2) . filter3 odd . between3

input = (1, 10000)
main = print (pipeline3 input)
```

## 3.2 Lists

In this section further replication of [Harper \(2011\)](#)'s work is described, but instead of implementing Leaf trees, Lists are implemented.

This was done to see how the descriptions in [Harper \(2011\)](#)'s work generalize and to have a simpler datastructure on which to perform analysis; seeing how and when the fusion works and when it doesn't.

We again start with the datatype descriptions. We use `List'` instead of `List` as there is a namespace collision with GHC's `List` datatype:

```
data List' a = Nil | Cons a (List' a)
data List_ a b = Nil_ | Cons_ a b
```

**(Co)Church-encodings** The church encoding, proper encoding and decoding functions, and fusion pragmas are defined:

```

data ListCh a = ListCh (∀ b . (List_ a b → b) → b)
toCh :: List' a → ListCh a
toCh t = ListCh (λa → fold a t)
fold :: (List_ a b → b) → List' a → b
fold a Nil = a Nil_
fold a (Cons x xs) = a (Cons_ x (fold a xs))
fromCh :: ListCh a → List' a
fromCh (ListCh fold') = fold' in'
in' :: List_ a (List' a) → List' a
in' Nil_ = Nil
in' (Cons_ x xs) = Cons x xs
{-# RULES "toCh/fromCh fusion" forall x. toCh (fromCh x) = x #-}

{-# INLINE [0] toCh #-}
{-# INLINE [0] fromCh #-}

```

A generalized natural transformation function is defined:

```

natCh :: (∀ c . List_ a c → List_ b c) → ListCh a → ListCh b
natCh f (ListCh g) = ListCh (λa → g (a . f))

```

The cochurch encodings are defined similarly:

```

data ListCoCh a = ∀ s . ListCoCh (s → List_ a s) s
toCoCh :: List' a → ListCoCh a
toCoCh = ListCoCh out
out :: List' a → List_ a (List' a)
out Nil = Nil_
out (Cons x xs) = Cons_ x xs
fromCoCh :: ListCoCh a → List' a
fromCoCh (ListCoCh h s) = unfold h s
unfold :: (b → List_ a b) → b → List' a
unfold h s = case h s of
  Nil_ → Nil
  Cons_ x xs → Cons x (unfold h xs)
{-# RULES "toCh/fromCh fusion" forall x. toCoCh (fromCoCh x) = x #-}

{-# INLINE [0] toCoCh #-}
{-# INLINE [0] fromCoCh #-}

```

A generalized natural transformation function is defined:

```

natCoCh :: (∀ c . List_ a c → List_ b c) → ListCoCh a → ListCoCh b
natCoCh f (ListCoCh h s) = ListCoCh (f . h) s

```

**Between** The between function is defined in three different fashions: Normally, with the Church-encoding, and with the Cochurch encoding. We leverage `INLINE` pragmas to make sure that the fusion pragmas can effectively work. For the non-encoded implementation, we simply leverage recursion:

```

between1 :: (Int, Int) → List' Int
between1 (x, y) = case x > y of
  True → Nil
  False → Cons x (between1 (x + 1, y))
{-# INLINE between1 #-}

```

For the Church-encoded version we define a recursion principle `b` and use that to define the encoded church function:

```

b :: (List_ Int b → b) → (Int, Int) → b
b a (x, y) = case x > y of
  True → a Nil_
  False → a (Cons_ x (b a (x + 1, y)))

```



```

betweenCh :: (Int, Int) → ListCh Int
betweenCh (x, y) = ListCh (λa → b a (x, y))
between2 :: (Int, Int) → List' Int
between2 = fromCh . betweenCh
{-## INLINE between2 #-}

```

For the Cochurch-encoded version we define a coalgebra:

```

betweenCoCh :: (Int, Int) → List_ Int (Int, Int)
betweenCoCh (x, y) = case x > y of
  True → Nil_
  False → Cons_ x (x + 1, y)
between3 :: (Int, Int) → List' Int
between3 = fromCoCh . ListCoCh betweenCoCh
{-## INLINE between3 #-}

```

**Filter** The filter function is, again, implemented in three different ways: In a non-encoded fashion, using a church-encoding, and using a cochurch-encoding. The non-encoded function simply uses recursion:

```

filter1 :: (a → Bool) → List' a → List' a
filter1 _ Nil = Nil
filter1 p (Cons x xs) = if p x then Cons x (filter1 p xs) else filter1 p xs
{-## INLINE filter1 #-}

```

For the Church and Cochurch encoding see the extended discussion in [subsubsection 3.2.1](#).

**Map** Contrary to filter, it is possible to implement the map function as a natural transformation. Again three implementations, the latter two of which leverage the defined natural transformation `m`:

```

map1 :: (a → b) → List' a → List' b
map1 _ Nil = Nil
map1 f (Cons x xs) = Cons (f x) (map1 f xs)
{-## INLINE map1 #-}
m :: (a → b) → List_ a c → List_ b c
m f (Cons_ x xs) = Cons_ (f x) xs
m _ Nil_ = Nil_
map2 :: (a → b) → List' a → List' b
map2 f = fromCh . natCh (m f) . toCh
{-## INLINE map2 #-}
map3 :: (a → b) → List' a → List' b
map3 f = fromCoCh . natCoCh (m f) . toCoCh
{-## INLINE map3 #-}

```

**Sum** We define our sum function in, *again* three different ways: non-encoded, church-encoded, and cochurch-encoded. The non-encoded leverages simple recursion:

```

sum1 :: List' Int → Int
sum1 Nil = 0
sum1 (Cons x xs) = x + sum1 xs
{-## INLINE sum1 #-}

```

The church-encoded function leverages an algebra and applies that the existing recursion principle:

```

su :: List_ Int Int → Int
su Nil_ = 0
su (Cons_ x y) = x + y
sumCh :: ListCh Int → Int
sumCh (ListCh g) = g su
sum2 :: List' Int → Int
sum2 = sumCh . toCh
{-## INLINE sum2 #-}

```

The cochurch-encoded function implements a corecursion principle and applies the existing coalgebra (and input) to it:

```
{- TAIL RECURSION!!! -}
su2 :: (s → List_ Int s) → s → Int
su2 h s = loopt s 0
  where loop s' = case h s' of
    Nil_ → 0
    Cons_ x xs → x + loop xs
    loopt s' sum = case h s' of
    Nil_ → sum
    Cons_ x xs → loopt xs (x + sum)
sumCoCh :: ListCoCh Int → Int
sumCoCh (ListCoCh h s) = su2 h s
sum3 :: List' Int → Int
sum3 = sumCoCh . toCoCh
{-# INLINE sum3 #-}
```

Note that two subfunctions are provided to `su'`, the `loop` and the `loopt` function. The former function is implement as one would naively expect. The latter, interestingly, is implemented using tail-recursion. Because this `loopt` function constitutes a corecursion principle, all the algebras (or natural transformations) applied to it, will be inlined in such a way that the resultant function is also tail recursive, in some cases providing a significant speedup! For more details, see the discussion in [subsubsection 3.2.2](#).

## Pipelines and GHC list fusion

```
trodd :: Int → Bool
trodd n = n `rem` 2 == 0
{-# INLINE trodd #-}
```

  

```
pipeline1 = sum1 . map1 (+2) . filter1 trodd . between1
pipeline2 = sum2 . map2 (+2) . filter2 trodd . between2
pipeline3 = sum3 . map3 (+2) . filter3 trodd . between3
pipeline4 (x, y) = loop x y 0
  where loop z y sum = case z > y of
    True → sum
    False → if trodd z
      then loop (z + 1) y (sum + z + 2)
      else loop (z + 1) y sum
```

  

```
between5 :: (Int, Int) → [Int]
between5 (x, y) = [x..y]
{-# INLINE between5 #-}
```

```
filter5 :: (Int → Bool) → [Int] → [Int]
filter5 f xs = build (λc n → foldr (λa b → if f a then c a b else b) n xs)
{-# INLINE filter5 #-}
```

```
map5 :: ∀ a b . (a → b) → [a] → [b]
map5 f xs = build (λc n → foldr (λa b → c (f a) b) n xs)
{-# INLINE map5 #-}
```

```
sum5 :: [Int] → Int
sum5 = foldl' (λa b → a + b) 0
{-# INLINE sum5 #-}
```

```
pipeline5 = sum5 . map5 (+2) . filter5 trodd . between5
pipeline6 = sum6 . map6 (+2) . filter6 trodd . between6
```

  

```
input :: (Int, Int)
input = (1, 10000)
-- main :: IO ()
-- main = print (pipeline5 input)
```

### 3.2.1 The Filter Problem

I have moved the discussion for Church and Cochurch encoded Lists down here, as I think it warrants more discussion and illustrates a few interesting points; there are multiple ways of implementing it, none of them trivial according to [Harper \(2011\)](#)'s description of how it should be implemented as a natural transformation.

When replicating [Harper \(2011\)](#)'s code for lists, I ran into one major hurdle: How to represent filter as a natural transformation for both Church and Cochurch encodings? In his work he implemented, using Leaf trees, a natural transformation for the filter function in the following manner:

```

filt :: (a → Bool) → Tree_ a c → Tree_ a c
filt p Empty_ = Empty_
filt p (Leaf_ x) = if p x then Leaf_ x else Empty_
filt p (Fork_ l r) = Fork_ l r
filter2 :: (a → Bool) → Tree a → Tree a
filter2 p = fromCh . natCh (filt p) . toCh
filter3 :: (a → Bool) → Tree a → Tree a
filter3 p = fromCoCh . natCoCh (filt p) . toCoCh

```

This `filt` function was then subsequently used in the Church and Cochurch encoded function. Let's try this for the `List` datatype:

```

filt :: (a → Bool) → List_ a c → List_ a c
filt p Nil_ = Nil_
filt p (Cons_ x xs) = if p x then Cons_ x xs else ?

```

The question is, what should be in the place of the `?` above? Initially you might say `xs`, as the `Cons_ x` part should be filtered away, and this would be conceptually correct except for the fact that `xs` is of type `c`, and not of type `List_ a c`. Filling in `xs` gives a type error. Let's change the type annotation then, right? Well no, if we did that we wouldn't have the type of a transformation anymore, so we can't do that either.

There are two solutions: One that modifies the definition of `filter2` and `filter3`, such that the definition is still possible, without leveraging transformations. The other modifies the definition of the underlying type such that the filter function is still possible to express as a transformation.

#### Solution 1: Pushing on

**Church** Whereas before we wanted to implement our `filter` function in the following manner:

```

filterCh :: (∀ c . List_ a c → List_ b c) → ListCh a → ListCh b
filterCh p (ListCh g) = ListCh (λa → g (a . (filt p)))
filter2 :: (a → Bool) → List a → List a
filter2 p = fromCh . filterCh p . toCh

```

We now need to modify the `filterCh` function such that we can still express a filter function *without* using a natural transformation:

```

filterCh :: (∀ c . List_ a c → List_ b c) → ListCh a → ListCh b
filterCh p (ListCh g) = ListCh (λa → g?)

```

Replacing the `?` above such that we apply the `a` selectively we can yield:

```

filterCh :: (a → Bool) → ListCh a → ListCh a
filterCh p (ListCh g) = ListCh (λa → g (λcase
  Nil_ → a Nil_
  Cons_ x xs → if (p x) then a (Cons_ x xs) else xs
)))
filter2 :: (a → Bool) → List' a → List' a
filter2 p = fromCh . filterCh p . toCh
{-# INLINE filter2 #-}

```

Notice how we do not apply `a` to `xs`, and, in doing so, can put `xs` in the place where wanted to. The definition of `filterCh` was too restrictive in always postcomposing `a`.

The astute observer will note that this solution is just a beta reduced form of a build/foldr composition pair!

**Cochurch** Whereas before we wanted to implement our `filter` function in the following manner:

```
filter3 :: (a → Bool) → List a → List a
filter3 p = fromCoCh . natCoCh (filt p) . toCoCh
```

For the cochurch-encoding, a natural transformation can be defined, but it is not a simple algebra, instead it is a recursive function. The core idea is: we combine the transformation and postcomposition again, but this time we make the function recursively grab elements from the seed until we find one that satisfies the predicate.

```
filt :: (a → Bool) → (s → List_ a s) → s → List_ a s
filt p h s = go s
  where go s = case h s of
    Nil_ → Nil_
    Cons_ x xs → if p x then Cons_ x xs else go xs
filterCoCh :: (a → Bool) → ListCoCh a → ListCoCh a
filterCoCh p (ListCoCh h s) = ListCoCh (filt p h) s
filter3 :: (a → Bool) → List' a → List' a
filter3 p = fromCoCh . filterCoCh p . toCoCh
{-# INLINE filter3 #-}
```

This `filt` function is recursive, so it does not inline (fuse) neatly into the main function body in the way that the rest of the pipeline does. There is existing work, called joint-point optimization that should enable this function to still fully fuse, but it does not at the moment. There are existing issues in GHC's issue tracker that describe this problem. [SOURCE?](#)

**Solution 2: go back and modify the underlying type** It is possible to implement `filter` using a natural transformation, but this requires us to modify the type of the base functor. We can add a new constructor to the datatype that allows us to null out the value of our datatype: `ConsN'_ xs`. This way we can write the `filt` function in the following fashion:

```
filt' :: (a → Bool) → List'_ a c → List'_ a c
filt' p Nil'_ = Nil'_
filt' p (ConsN'_ xs) = ConsN'_ xs
filt' p (Cons'_ x xs) = if p x then Cons'_ x xs else ConsN'_ xs
```

Now we do need to modify all of our already defined functions to take into account this modified datatype. The astute among you might notice that this technique is actually *stream fusion* is as described by [Coutts et al. \(2007\)](#). The `ConsN_` constructor is analogous to the `Skip` constructor.

So why was it possible to implement `filt` without modifying the datatype of leaf trees? Because leaf trees already have this consideration of being able to null the datatype in-place by chaining a `Leaf_ x` into an `Empty_`. `filt` is able to remove a value from the datastructure without changing the structure of the data. I.e. it is still a transformation. By changing the list datatype such that this nullability is also possible, we can also write `filt` as a transformation.

This insight is broader than just stream fusion. By modifying your datatype, you can broaden what can be expressed as a transformation.

### 3.2.2 Tail Recursion

I will present the *idea* behind Church encodings:

```
data List'_ a b = Nil'_ | NilT'_ b | Cons'_ a b deriving Functor
data List a = Nil | Cons a (List a) deriving (Functor, Show)
data ListCoCh a = ∀ s . ListCoCh (s → List'_ a s) s
```

```
toCoCh :: List a → ListCoCh a
toCoCh = ListCoCh out
out :: List a → List'_ a (List a)
out Nil = Nil'_
out (Cons x xs) = Cons'_ x xs
```

```

fromCoCh :: ListCoCh a → List a
fromCoCh (ListCoCh h s) = unfold h s
unfold :: (b → List' a b) → b → List a
unfold h s = case h s of
  Nil' _ → Nil
  NilT' _ xs → unfold h xs
  Cons' _ x xs → Cons x (unfold h xs)

```

```

fromCoCh . toCoCh l
  -- Dfn of toCoCh
fromCoCh . ListCoCh out l
  -- Dfn of fromCoCh
unfold out l
  -- Dfn of unfold
case out l of
  Nil' _ → Nil
  NilT' _ xs → unfold out xs
  Cons' _ x xs → Cons x (unfold out xs)
  -- Dfn of out
case (case l of
  Nil → Nil' _
  Cons x xs → Cons' _ x xs
) of
  Nil' _ → Nil
  NilT' _ xs → unfold out xs
  Cons' _ x xs → Cons x (unfold out xs)
  -- Application of chained case statements
case l of
  Nil → Nil
  Cons x xs → Cons x (unfold out xs)
  -- Function is same as id through induction.

```

```

toCoCh . fromCoCh (ListCoCh h s)
  -- Unfold fromCoCh
toCoCh . unfold h s
  -- Dfn of toCoCh
ListCoCh out (unfold h s)
  -- eh, figure this out later. Proofs 3-5 of both Church and CoChurch encodings might be involved here...
  -- And then it's all the same as id :).
  -- I believe the proof idea can be found halfway through page 50.

```

CoChurch encoded versions of sum, map (+2), filter odd, and between look like the following:

```

su' :: (s → List' Int s) → s → Int
su' h s = loop s
  where loop s' = case h s' of
    Nil' _ → 0
    NilT' _ xs → loop xs
    Cons' _ x xs → x + loop xs
sumCoCh :: ListCoCh Int → Int
sumCoCh (ListCoCh h s) = su' h s

```

```

m' :: (a → b) → List' a c → List' b c
m' f (Cons' _ x xs) = Cons' _ (f x) xs
m' _ (NilT' _ xs) = NilT' _ xs
m' _ (Nil' _) = Nil' _
mapCoCh :: (a → b) → ListCoCh a → ListCoCh b

```

$mapCoCh\ f\ (ListCoCh\ h\ s) = ListCoCh\ (m'\ f\ .\ h)\ s$

$filt\ p\ h\ s = \mathbf{case}\ h\ s\ \mathbf{of}$   
 $\quad Nil'_- \rightarrow Nil'_-$   
 $\quad NilT'_-\ xs \rightarrow NilT'_-\ xs$   
 $\quad Cons'_-\ x\ xs \rightarrow \mathbf{if}\ p\ x\ \mathbf{then}\ Cons'_-\ x\ xs\ \mathbf{else}\ NilT'_-\ xs$   
 $filterCoCh :: (a \rightarrow Bool) \rightarrow ListCoCh\ a \rightarrow ListCoCh\ a$   
 $filterCoCh\ p\ (ListCoCh\ h\ s) = ListCoCh\ (filt\ p\ h)\ s$

$betweenCoCh :: (Int, Int) \rightarrow List'_-\ Int\ (Int, Int)$   
 $betweenCoCh\ (x, y)$   
 $\quad | x > y = Nil'_-$   
 $\quad | x \leq y = Cons'_-\ x\ (x + 1, y)$   
 $\quad | \mathbf{otherwise} = Nil'_-$

Next, the *actual* functions:

$sum :: List\ Int \rightarrow Int$   
 $sum = sumCoCh . toCoCh$

$map :: (a \rightarrow b) \rightarrow List\ a \rightarrow List\ b$   
 $map\ f = fromCoCh . mapCoCh\ f . toCoCh$

$filter :: (a \rightarrow Bool) \rightarrow List\ a \rightarrow List\ a$   
 $filter\ p = fromCoCh . filterCoCh\ p . toCoCh$

$between :: (Int, Int) \rightarrow List\ Int$   
 $between = fromCoCh . ListCoCh\ betweenCoCh$

Remember the pipeline? Now it looks like this:

$f = sum . map\ (+2) . filter\ odd . between$

$f = sumCoCh . toCoCh .$   
 $fromCoCh . mapCoCh\ (+2) . toCoCh .$   
 $fromCoCh . filterCoCh\ odd . toCoCh .$   
 $fromCoCh . ListCoCh\ betweenCoCh$

When 'fused' it looks like this:

$sumCoCh . mapCoCh\ (+2) . filterCoCh\ odd . ListCoCh\ betweenCoCh$

For some input (x, y):

$sumCoCh . mapCoCh\ (+2) . filterCoCh\ odd . ListCoCh\ betweenCoCh\ (x, y)$   
 $\quad \text{-- Dfn of filterCoCh}$   
 $sumCoCh . mapCoCh\ (+2) . ListCoCh\ (filt\ odd\ betweenCoCh)\ (x, y)$   
 $\quad \text{-- Dfn of mapCoCh}$   
 $sumCoCh . ListCoCh\ (m'\ (+2) . filt\ odd\ betweenCoCh)\ (x, y)$   
 $\quad \text{-- Dfn of sumCoCh}$   
 $su'\ (m'\ (+2) . filt\ odd\ betweenCoCh)\ (x, y)$   
 $\quad \text{-- Dfn of su'}$   
 $loop\ (x, y) = \mathbf{case}\ (m'\ (+2) . filt\ odd\ betweenCoCh)\ (x, y)\ \mathbf{of}$   
 $\quad Nil'_- \rightarrow 0$   
 $\quad NilT'_-\ s \rightarrow loop\ s$   
 $\quad Cons'_-\ x\ s \rightarrow x + loop\ s$   
 $loop\ (x, y)$   
 $\quad \text{-- Dfn of filt}$   
 $loop\ (x, y) = \mathbf{case}\ (m'\ (+2) . \mathbf{case}\ betweenCoCh\ (x, y)\ \mathbf{of}$   
 $\quad Nil'_- \rightarrow Nil'_-$   
 $\quad NilT'_-\ xs \rightarrow NilT'_-\ xs$

```

    Cons' _ x xs → if odd x then Cons' _ x xs else NilT' _ xs
  ) of
    Nil' _ → 0
    NilT' _ s → loop s
    Cons' _ x s → x + loop s
loop (x, y)
  -- Dfn of betweenCoCh
loop (x, y) = case (m' (+2)) . case (
  | x > y = Nil' _
  | x ≤ y = Cons' _ x (x + 1, y)
) of
  Nil' _ → Nil' _
  NilT' _ xs → NilT' _ xs
  Cons' _ x xs → if odd x then Cons' _ x xs else NilT' _ xs
) of
  Nil' _ → 0
  NilT' _ s → loop s
  Cons' _ x s → x + loop s
loop (x, y)
  -- Application of chained case matches
loop (x, y) = case (m' (+2)) . (
  | x > y = Nil' _
  | x ≤ y = if odd x then Cons' _ x (x + 1, y) else NilT' _ (x + 1, y)
) of
  Nil' _ → 0
  NilT' _ s → loop s
  Cons' _ x s → x + loop s
loop (x, y)
  -- Rewrite of composition
loop (x, y) = case (
  | x > y = m' (+2) . Nil' _
  | x ≤ y = if odd x
    then m' (+2) . Cons' _ x (x + 1, y)
    else m' (+2) . NilT' _ (x + 1, y)
) of
  Nil' _ → 0
  NilT' _ s → loop s
  Cons' _ x s → x + loop s
loop (x, y)
  -- Dfn of m'
loop (x, y) = case (
  | x > y = Nil' _
  | x ≤ y = if odd x
    then Cons' _ (x + 2) (x + 1, y)
    else NilT' _ (x + 1, y)
) of
  Nil' _ → 0
  NilT' _ s → loop s
  Cons' _ x s → x + loop s
loop (x, y)
  -- Application of case
loop (x, y)
  | x > y = 0
  | x ≤ y = if odd x
    then (x + 2) + loop (x + 1, y)
    else loop (x + 1, y)
loop (x, y)

```

■ It seems as if the end function is forced to be recursive in this simple fashion, no further unfolding

is needed. In the Church-encoded version we manually had to identify an  $f'$  such that we had a cleanly recursing function. I wonder if this is the source of why CoChurch-encoded function is faster. This simple recursive function has its roots in the definition of  $su'$ . I'm going to try to tweak it to see if I can simplify that function further (removing there where). - It turns out that removing the where creates a big slowdown (about 3x), making the function about twice as slow as the church-encoding. Further questions: - Can I implement the filter function without employing a  $NilT'$  type member? - I don't believe so, one of the preconditions for the faithful implementation of a CoChurch encoding is that the original function if a natural transformation, this is not the case for the filter function on lists (it is, however, for leaf trees, the example given in the paper.). I.e. filter is not a structure preserving function. Map is. - Is the story I thought of above reflected in the specialized core-representation functions output by Haskell? - Haskell makes a specialized version of the final function for the CoChurch encoded pipeline, but doesn't seem to do so for the Church-encoded pipeline.

### 3.3 Performance Comparison

#### PERFORMANCE DISCUSSION

Throughout the development process I made use of the tool `tastybench`<sup>2</sup> and analyzed the dumped core representation generated by `GHC`<sup>3</sup>. The process went through the following steps:

- Implement List Church encoding
- Modify type to make the list 'nullable', such that filter can be implemented as a natural transformation.
- Implement Leaf Tree Church encoding.
- Analyze core representation of (partially) fused list functions. Notice that (co)recursion principle for the function pipelines ends up being the recursion structure that ends up being represented in the Core representation.
- Modify sum function to be tail-recursive for CoChurch encodings. Notice 40% speedup.

## 4 Formalization

In [Harper \(2011\)](#)'s work "A Library Writer's Guide to Shortcut Fusion", the practice of implementing Church and CoChurch encodings is described, as well a paper proof necessary to show that the encodings optimizations employed are correct.

In this section the work I have done to formalize these proofs in the programming language Agda is discussed, as well as additional proofs to support the claims made in the paper.

The code can be neatly presented in roughly 2 parts:

- The proofs of the category theory truths described by [Harper \(2011\)](#).
- The proofs about the (Co)Church encodings, again as described by [Harper \(2011\)](#).

### 4.1 Category Theory: Initiality

This section is about my formalization of [Harper \(2011\)](#)'s work that describes the needed category theory, to be leveraged later on in the fusion part of the formalization. This module defines the category of F-Algebras, initiality of  $\mu$ , the universal properties of folds, and the fusion properties.

#### 4.1.1 Universal properties of catamorphisms and initiality

This module defines a function and shows it to be a catamorphism in the category of F-Algebras, by module proving some properties of catamorphisms and is showing that  $(\mu \text{ F}, \text{in}')$  is initial.

```
module agda.init.initial where
open import Data.W using () renaming (sup to in'; foldr to (|_|)) public
```

<sup>2</sup><https://hackage.haskell.org/package/tasty-bench>

<sup>3</sup>[https://downloads.haskell.org/ghc/latest/docs/users\\_guide/debugging.html#core-representation-and-simplification](https://downloads.haskell.org/ghc/latest/docs/users_guide/debugging.html#core-representation-and-simplification)



A shorthand for the Category of F-Algebras.

$$\begin{aligned} \mathbf{C}[\_]\mathbf{Alg} &: (F : \mathbf{Container} \ 0\ell \ 0\ell) \rightarrow \mathbf{Cat} \ (\mathbf{Isuc} \ 0\ell) \ 0\ell \ 0\ell \\ \mathbf{C}[\ F ]\mathbf{Alg} &= \mathbf{F-Algebras} \ F[\ F ] \end{aligned}$$

A candidate function is defined, this will be proved to be a catamorphism through the proof of initiality:

$$\begin{aligned} \text{--}(\llbracket \_ \rrbracket) &: \{F : \mathbf{Container} \ 0\ell \ 0\ell\} \{X : \mathbf{Set}\} \rightarrow (\llbracket F \rrbracket X \rightarrow X) \rightarrow \mu F \rightarrow X \\ \text{--}(\llbracket a \rrbracket) (\text{in}' \ (op, ar)) &= a \ (op, (\llbracket a \rrbracket \circ ar)) \end{aligned}$$

It is shown that any  $\llbracket \_ \rrbracket$  is a valid F-Algebra homomorphism from  $\text{in}'$  to any other object  $a$ ; i.e. the forward direction of the *universal property of folds* (Harper, 2011). This constitutes a proof of existence:

$$\begin{aligned} \text{univ-to} &: \{F : \mathbf{Container} \ 0\ell \ 0\ell\} \{X : \mathbf{Set}\} \{a : \llbracket F \rrbracket X \rightarrow X\} \{h : \mu F \rightarrow X\} \rightarrow \\ &\quad h \equiv \llbracket a \rrbracket \rightarrow h \circ \text{in}' \equiv a \circ \text{map} \ h \\ \text{univ-to refl} &= \text{refl} \end{aligned}$$

It is shown that any other valid F-Algebra homomorphism from  $\text{in}'$  to  $a$  is equal to the  $\llbracket \_ \rrbracket$  function defined; i.e. the backwards direction of the *universal property of folds* (Harper, 2011). This constitutes a proof of uniqueness:

$$\begin{aligned} \text{univ-from} &: \{F : \mathbf{Container} \ 0\ell \ 0\ell\} \{X : \mathbf{Set}\} (a : \llbracket F \rrbracket X \rightarrow X) (h : \mu F \rightarrow X) \rightarrow \\ &\quad h \circ \text{in}' \equiv a \circ \text{map} \ h \rightarrow (x : \mu F) \rightarrow h \ x \equiv \llbracket a \rrbracket x \\ \text{univ-from } a \ h \ \text{eq} \ (\text{in}' \ x \otimes (op, ar)) &= \text{begin} \\ &\quad (h \circ \text{in}') \ x \\ &\equiv \langle \text{cong-app } \text{eq} \ x \rangle \\ &\quad (a \circ \text{map} \ h) \ x \\ &\equiv \langle \rangle \\ &\quad a \ (op, h \circ ar) \\ &\equiv \langle \text{cong} \ (\lambda f \rightarrow a \ (op, f)) \ (\text{funext } \$ \text{univ-from } a \ h \ \text{eq} \circ ar) \rangle \\ &\quad a \ (op, (\llbracket a \rrbracket \circ ar)) \\ &\equiv \langle \rangle \\ &\quad (a \circ \text{map} \ (\llbracket a \rrbracket)) \ x \\ &\equiv \langle \rangle \\ &\quad (\llbracket a \rrbracket \circ \text{in}') \ x \\ &\blacksquare \end{aligned}$$

The two previous proofs, constituting a proof of existence and uniqueness, are combined to show that  $(\mu F, \text{in}')$  is initial:

$$\begin{aligned} \text{initial-in} &: \{F : \mathbf{Container} \ 0\ell \ 0\ell\} \rightarrow \mathbf{IsInitial} \ \mathbf{C}[\ F ]\mathbf{Alg} \ (\text{to-Algebra } \text{in}') \\ \text{initial-in} &= \text{record} \ \{ \text{!} = \lambda \{A\} \rightarrow \\ &\quad \text{record} \ \{ \\ &\quad \quad \text{f} = \llbracket \alpha \ A \rrbracket \\ &\quad \quad ; \text{commutes} = \lambda \{x\} \rightarrow \text{cong-app} \ (\text{univ-to} \ \{-\} \{-\} \{\alpha \ A\} \ \text{refl}) \ x \} \\ &\quad ; \text{!-unique} = \lambda \{A\} \ \text{fhom} \ \{x\} \rightarrow \text{sym} \ \$ \ \text{univ-from} \ (\alpha \ A) \ (\text{f} \ \text{fhom}) \ (\text{funext} \ (\lambda y \rightarrow \text{commutes} \ \text{fhom} \end{aligned}$$

The *computation law* (Harper, 2011):

$$\begin{aligned} \text{comp-law} &: \{F : \mathbf{Container} \ 0\ell \ 0\ell\} \{A : \mathbf{Set}\} (a : \llbracket F \rrbracket A \rightarrow A) \rightarrow (\llbracket a \rrbracket \circ \text{in}' \equiv a \circ \text{map} \ (\llbracket a \rrbracket)) \\ \text{comp-law } a &= \text{refl} \end{aligned}$$

The *reflection law* (Harper, 2011):

$$\begin{aligned} \text{reflection} &: \{F : \mathbf{Container} \ 0\ell \ 0\ell\} (y : \mu F) \rightarrow (\llbracket \text{in}' \rrbracket y \equiv y) \\ \text{reflection } y \otimes (\text{in}' \ (op, ar)) &= \text{begin} \\ &\quad (\llbracket \text{in}' \rrbracket y) \\ &\equiv \langle \text{-- Dfn of } \llbracket \_ \rrbracket \rangle \\ &\quad \text{in}' \ (op, (\llbracket \text{in}' \rrbracket \circ ar)) \end{aligned}$$

```

≡⟨ cong (λ x → in' (op , x)) (funext (reflection ∘ ar)) ⟩
  y
■

```

```

reflection-law : {F : Container 0ℓ 0ℓ} → (⟦ in' ⟧) ≡ id
reflection-law {F} = funext (reflection {F})

```

#### 4.1.2 Initial F-Algebra fusion

This module proves the categorical fusion property (see [subsection 2.2.6](#)). From it, it extracts the ‘fusion law’ as it was declared by [Harper \(2011\)](#); which is easier to work with. This shows that the fusion law does follow from the fusion property.

```

module agda.init.fusion where

```

The categorical fusion property:

```

fusionprop : {F : Container 0ℓ 0ℓ}{A B μ : Set}{ϕ : ⟦ F ⟧ A → A}{ψ : ⟦ F ⟧ B → B}
  {init : ⟦ F ⟧ μ → μ}{i : IsInitial C[ F ]Alg (to-Algebra init)} →
  (f : C[ F ]Alg [ to-Algebra ϕ , to-Algebra ψ ]) → C[ F ]Alg [ i .! ≈ C[ F ]Alg [ f ∘ i .! ] ]
fusionprop {F} i f = i .!-unique (C[ F ]Alg [ f ∘ i .! ])

```

The ‘fusion law’:

```

fusion : {F : Container 0ℓ 0ℓ}{A B : Set}{a : ⟦ F ⟧ A → A}{b : ⟦ F ⟧ B → B}
  (h : A → B) → h ∘ a ≡ b ∘ map h → (⟦ b ⟧) ≡ h ∘ (⟦ a ⟧)
fusion h p = funext λ x → fusionprop initial-in (record { f = h ; commutes = λ {y} → cong-app p y }) {x}

```

## 4.2 Category Theory: Terminality

This module defines the category of F-CoAlgebras, a candidate terminal object  $\nu$ , anamorphisms, proves terminality of  $\nu$ , the universal properties of unfolds, and the fusion properties. This module is the compliment of `init`.

### 4.2.1 Terminal coalgebras and anamorphisms

This module defines a datatype and shows it to be initial; and a function and shows it to be an anamorphism in the category of F-Coalgebras. Specifically, it is shown that  $(\nu, \text{out})$  is terminal.

```

{-# OPTIONS --guardedness #-}
module agda.term.terminal where
open import Agda.Builtin.Sigma public
open import Level using (0ℓ; Level) renaming (suc to lsuc) public
open import Data.Container using (Container; ⟦_⟧; map; ↗_) public
open import Function using (_∘_; _$_; id; const) public
open import Relation.Binary.PropositionalEquality as Eq using (_≡_; refl; sym; cong; cong-app; subst) public
open Eq.≡-Reasoning public

```

A shorthand for the Category of F-Coalgebras:

```

C[_]CoAlg : (F : Container 0ℓ 0ℓ) → Cat (lsuc 0ℓ) 0ℓ 0ℓ
C[ F ]CoAlg = F-Coalgebras F[ F ]

```

A candidate terminal datatype and anamorphism function are defined, they will be proved to be so later on this module:

```

out : {F : Container 0ℓ 0ℓ} → ν F → ⟦ F ⟧ (ν F)
out nu = head nu , tail nu
--A[⟦_⟧] : {F : Container 0ℓ 0ℓ}{X : Set} → (X → ⟦ F ⟧ X) → X → ν F

```

```
--out (A[ c ] x) = let (op , ar) = c x in
--                      (op , A[ c ] o ar)
```

It is shown that any  $\llbracket \_ \rrbracket$  is a valid F-Coalgebra homomorphism from **out** to any other object **a**; i.e. the forward direction of the *universal property of unfolds* Harper (2011). This constitutes a proof of existence:

```
univ-to : {F : Container 0ℓ 0ℓ}{C : Set}(h : C → ν F){c : C → [ F ] C} →
          h ≡ A[ c ] → out o h ≡ map h o c
univ-to _ refl = refl
```

Injectivity of the **out** constructor is postulated, I have not found a way to prove this, yet.

```
postulate out-injective : {F : Container 0ℓ 0ℓ}{x y : ν F} → out x ≡ out y → x ≡ y
--out-injective eq = funext ?
```

It is shown that any other valid F-Coalgebra homomorphism from **out** to **a** is equal to the  $\llbracket \_ \rrbracket$  defined; i.e. the backward direction of the *universal property of unfolds* Harper (2011). This constitutes a proof of uniqueness. This uses **out** injectivity. Currently, Agda's termination checker does not seem to notice that the proof in question terminates:

```
{-# NON_TERMINATING #-}
univ-from : {F : Container _ _}{C : Set}(h : C → ν F){c : C → [ F ] C} →
          out o h ≡ map h o c → (x : C) → h x ≡ A[ c ] x
univ-from h {c} eq x = let (op , ar) = c x in
  out-injective (begin
    (out o h) x
    ≡⟨ cong (λ f → f x) eq ⟩
    (map h o c) x
    ≡⟨ ⟩
    map h (op , ar)
    ≡⟨ ⟩
    (op , h o ar)
    ≡⟨ cong (λ f → op , f) (funext $ univ-from h eq o ar) ⟩ -- induction
    (op , A[ c ] o ar)
    ≡⟨ -- Definition of [ _ ]
    (out o A[ c ]) x
    ■)
```

The two previous proofs, constituting a proof of existence and uniqueness, are combined to show that  $(\nu F, \text{out})$  is terminal:

```
terminal-out : {F : Container 0ℓ 0ℓ} → IsTerminal C[ F ]CoAlg (to-Coalgebra out)
terminal-out = record { ! = λ {A} → record {
  f = A[ α A ]
  ; commutes = λ {x} → cong-app (univ-to A[ α A ] {α A} refl) x }
  ; !-unique = λ {A} fhom {x} → sym (univ-from (f fhom) {α A} (funext (λ y → commutes fhom y)))
```

The *computation law* Harper (2011):

```
computation-law : {F : Container 0ℓ 0ℓ}{C : Set}(c : C → [ F ] C) → out o A[ c ] ≡ map A[ c ] o c
computation-law c = refl
```

The *reflection law* Harper (2011): SOMETHING ABOUT TERMINATION.

```
{-# NON_TERMINATING #-}
reflection : {F : Container 0ℓ 0ℓ}(x : ν F) → A[ out ] x ≡ x
reflection x = let (op , ar) = out x in
  out-injective (begin
    out (A[ out ] x)
    ≡⟨ ⟩
    op , A[ out ] o ar
    ≡⟨ cong (λ f → op , f) (funext $ reflection o ar) ⟩
    out x
    ■)
```

### 4.2.2 Terminal F-Coalgebra fusion

This module proves the categorical fusion property. From it, it extracts a ‘fusion law’ as it was defined by [Harper \(2011\)](#); which is easier to work with. This shows that the fusion law does follow from the fusion property.

```
{-# OPTIONS --guardedness #-}
module agda.term.cofusion where
```

The categorical fusion property:

```
fusionprop : {F : Container 0ℓ 0ℓ}{C D ν : Set}
             {ϕ : C → [ F ] C}{ψ : D → [ F ] D}{term : ν → [ F ] ν}
             (i : IsTerminal C [ F ] CoAlg (to-Coalgebra term))(f : C [ F ] CoAlg [ to-Coalgebra ψ , to-Coalgebra ϕ ]) →
             C [ F ] CoAlg [ i .! ≈ C [ F ] CoAlg [ i .! ∘ f ] ]
fusionprop {F} i f = i .!-unique (C [ F ] CoAlg [ i .! ∘ f ])
```

The ‘fusion law’:

```
fusion : {F : Container 0ℓ 0ℓ}{C D : Set}
        {c : C → [ F ] C}{d : D → [ F ] D}(h : C → D) →
        d ∘ h ≡ map h ∘ c → A [ c ] ≡ A [ d ] ∘ h
fusion h comm = funext λ x → fusionprop terminal-out (record {f = h ; commutes = λ {y} → cong-app comm y}) {
```

### 4.3 Fusion: Church encodings

This section focuses on the fusion of Church encodings, leveraging parametricity (free theorems).

### 4.3.1 Definition of Church encodings

This module defines Church encodings and the two conversions `con` and `abs`, called `toCh` and `fromCh` here, respectively. It also defines the generalized producing, transformation, and consuming functions, as described by Harper (2011).

```
module agda.church.defs where
```

The church encoding, leveraging containers:

```
data Church (F : Container 0ℓ 0ℓ) : Set₁ where
  Ch : ({X : Set} → (⟦ F ⟧ X → X) → X) → Church F
```

The conversion functions:

```
toCh : {F : Container _ _} → μ F → Church F
toCh {F} x = Ch (λ {X : Set} → λ (a : ⟦ F ⟧ X → X) → (⟦ a ⟩ x))

fromCh : {F : Container _ _} → Church F → μ F
fromCh (Ch g) = g in'
```

The generalized and encoded producing, transformation, and consuming functions, alongside proofs that they are equal to the functions they are encoding. First the producing function, this is a generalized version of Gill et al. (1993)'s `build` function:

```
prodCh : {ℓ : Level}{F : Container _ _}{Y : Set ℓ}
  (g : {X : Set} → (⟦ F ⟧ X → X) → Y → X)(y : Y) → Church F
prodCh g x = Ch (λ a → g a x)

prod : {ℓ : Level}{F : Container _ _}{Y : Set ℓ}
  (g : {X : Set} → (⟦ F ⟧ X → X) → Y → X)(y : Y) → μ F
prod g = fromCh ∘ prodCh g

eqProd : {F : Container _ _}{Y : Set}
  {g : {X : Set} → (⟦ F ⟧ X → X) → Y → X} → prod g ≡ g in'
eqProd = refl
```

Second, the natural transformation function:

```
natTransCh : {F G : Container _ _}
  (nat : {X : Set} → ⟦ F ⟧ X → ⟦ G ⟧ X) → Church F → Church G
natTransCh nat (Ch g) = Ch (λ a → g (a ∘ nat))

natTrans : {F G : Container _ _}
  (nat : {X : Set} → ⟦ F ⟧ X → ⟦ G ⟧ X) → μ F → μ G
natTrans nat = fromCh ∘ natTransCh nat ∘ toCh

eqNatTrans : {F G : Container _ _}
  {nat : {X : Set} → ⟦ F ⟧ X → ⟦ G ⟧ X} →
  natTrans nat ≡ (in' ∘ nat)
eqNatTrans = refl
```

Third, the consuming function, note that this is a generalized version of Gill et al. (1993)'s `foldr` function.

```
consCh : {F : Container _ _}{X : Set}
  (c : ⟦ F ⟧ X → X) → Church F → X
consCh c (Ch g) = g c

cons : {F : Container _ _}{X : Set}
  (c : ⟦ F ⟧ X → X) → μ F → X
cons c = consCh c ∘ toCh

eqCons : {F : Container _ _}{X : Set}
  {c : ⟦ F ⟧ X → X} → cons c ≡ (c)
eqCons = refl
```

### 4.3.2 Proof obligations

In [Harper \(2011\)](#)'s work, five proofs are given for Church encodings. These are formalized in this module.

```
module agda.church.proofs where
```

The first proof proves that  $\text{fromCh} \circ \text{toCh} = \text{id}$ , using the reflection law:

```
from-to-id : {F : Container 0ℓ 0ℓ} → fromCh ∘ toCh {F} ≡ id
from-to-id {F} = funext λ x → begin
  fromCh (toCh x)
≡⟨ -- Definition of toCh
  fromCh (Ch (λ {X} a → ⟨ a ⟩ x))
≡⟨ -- Definition of fromCh
  (λ a → ⟨ a ⟩ x) in'
≡⟨ -- function application
  ⟨ in' ⟩ x
≡⟨ reflection x ⟩
  x
■
```

The second proof is similar to the first, but it proves the composition in the other direction  $\text{toCh} \circ \text{fromCh} = \text{id}$ . This proof leverages parametricity as described by [Wadler \(1989\)](#). It postulates the free theorem of the function  $g : \text{forall } A . (F A \rightarrow A) \rightarrow A$ , to prove that “applying  $g$  to  $b$  and then passing the result to  $h$ , is the same as just folding  $c$  over the datatype” ([Harper, 2011](#)):

```
postulate free : {F : Container 0ℓ 0ℓ}{B C : Set}{b : ⟨ F ⟩ B → B}{c : ⟨ F ⟩ C → C}
  (h : B → C)(g : {X : Set} → (⟨ F ⟩ X → X) → X) →
  h ∘ b ≡ c ∘ map h → h (g b) ≡ g c
fold-invariance : {F : Container 0ℓ 0ℓ}{Y : Set}
  (g : {X : Set} → (⟨ F ⟩ X → X) → X)(a : ⟨ F ⟩ Y → Y) →
  ⟨ a ⟩ (g in') ≡ g a
fold-invariance g a = free ⟨ a ⟩ g refl

to-from-id : {F : Container 0ℓ 0ℓ} → toCh ∘ fromCh {F} ≡ id
to-from-id {F} = funext λ where
  (Ch g) → begin
    toCh (fromCh (Ch g))
  ≡⟨ -- definition of fromCh
    toCh (g in')
  ≡⟨ -- definition of toCh
    Ch (λ {X} a → ⟨ a ⟩ (g in'))
  ≡⟨ cong Ch (funexti λ {Y} → funext (fold-invariance g)) ⟩
    Ch g
  ■
```

The third proof shows church-encoded functions constitute an implementation for the consumer functions being replaced. The proof is proved via reflexivity, but [Harper \(2011\)](#)'s original proof steps are included here for completeness:

```
cons-pres : {F : Container 0ℓ 0ℓ}{X : Set}(b : ⟨ F ⟩ X → X) →
  consCh b ∘ toCh ≡ ⟨ b ⟩
cons-pres {F} b = funext λ (x : μ F) → begin
  consCh b (toCh x)
≡⟨ -- definition of toCh
  consCh b (Ch (λ a → ⟨ a ⟩ x))
≡⟨ -- function application
  (λ a → ⟨ a ⟩ x) b
≡⟨ -- function application
```

$\llbracket b \rrbracket x$

The fourth proof shows that church-encoded functions constitute an implementation for the producing functions being replaced. The proof is proved via reflexivity, but [Harper \(2011\)](#)'s original proof steps are included here for completeness:

```

prod-pres : {F : Container 0ℓ 0ℓ}{X : Set}(f : {Y : Set} → (⟦ F ⟧ Y → Y) → X → Y) →
  fromCh ∘ prodCh f ≡ f in'
prod-pres {F}{X} f = funext λ (s : X) → begin
  fromCh ((λ (x : X) → Ch (λ a → f a x)) s)
≡⟨⟩ -- function application
  fromCh (Ch (λ a → f a s))
≡⟨⟩ -- definition of fromCh
  (λ {Y : Set} (a : ⟦ F ⟧ Y → Y) → f a s) in'
≡⟨⟩ -- function application
  f in' s

```

The fifth, and final proof shows that church-encoded functions constitute an implementation for the conversion functions being replaced. The proof again leverages the free theorem defined earlier:

```

trans-pres : {F G : Container 0ℓ 0ℓ} (f : {X : Set} → ⟦ F ⟧ X → ⟦ G ⟧ X) →
  fromCh ∘ natTransCh f ≡ (in' ∘ f) ∘ fromCh
trans-pres f = funext λ where
  (Ch g) → begin
    fromCh (natTransCh f (Ch g))
  ≡⟨⟩ -- Function application
    fromCh (Ch (λ a → g (a ∘ f)))
  ≡⟨⟩ -- Definition of fromCh
    (λ a → g (a ∘ f)) in'
  ≡⟨⟩ -- Function application
    g (in' ∘ f)
  ≡⟨ sym (fold-invariance g (in' ∘ f)) ⟩
    (in' ∘ f) (g in')
  ≡⟨⟩ -- Definition of fromCh
    (in' ∘ f) (fromCh (Ch g))

```

Finally two additional proofs were made to clearly show that any pipeline made using church encodings will fuse down to a simple function application. The first of these two proofs shows that any two composed natural transformation fuse down to one single natural transformation:

```

natfuse : {F G H : Container 0ℓ 0ℓ}
  (nat1 : {X : Set} → ⟦ F ⟧ X → ⟦ G ⟧ X) →
  (nat2 : {X : Set} → ⟦ G ⟧ X → ⟦ H ⟧ X) →
  natTransCh nat2 ∘ toCh ∘ fromCh ∘ natTransCh nat1 ≡ natTransCh (nat2 ∘ nat1)
natfuse nat1 nat2 = begin
  natTransCh nat2 ∘ toCh ∘ fromCh ∘ natTransCh nat1
≡⟨ cong (λ f → natTransCh nat2 ∘ f ∘ natTransCh nat1) to-from-id ⟩
  natTransCh nat2 ∘ natTransCh nat1
≡⟨ funext (λ where (Ch g) → refl) ⟩
  natTransCh (nat2 ∘ nat1)

```

The second of these two proofs shows that any pipeline, consisting of a producer, transformer, and consumer function, fuse down to a single function application:

```

pipefuse : {F G : Container 0ℓ 0ℓ}{X : Set}(g : {Y : Set} → (⟦ F ⟧ Y → Y) → X → Y)
  (nat : {X : Set} → ⟦ F ⟧ X → ⟦ G ⟧ X)(c : (⟦ G ⟧ X → X)) →

```

```

      cons c ◦ natTrans nat ◦ prod g ≡ g (c ◦ nat)
pipefuse g nat c = begin
  consCh c ◦ toCh ◦ fromCh ◦ natTransCh nat ◦ toCh ◦ fromCh ◦ prodCh g
≡⟨ cong (λ f → consCh c ◦ f ◦ natTransCh nat ◦ toCh ◦ fromCh ◦ prodCh g) to-from-id ⟩
  consCh c ◦ natTransCh nat ◦ toCh ◦ fromCh ◦ prodCh g
≡⟨ cong (λ f → consCh c ◦ natTransCh nat ◦ f ◦ prodCh g) to-from-id ⟩
  consCh c ◦ natTransCh nat ◦ prodCh g
≡⟨ ⟩
  g (c ◦ nat)
■

```

### 4.3.3 Example: List fusion

In order to clearly see how the Church encodings allows functions to fuse, a datatype was selected such the abstracted function, which have so far been used to prove the needed properties, can be instantiated to demonstrate how the fusion works for functions across a concrete datatype. In this module is defined: the container, whose interpretation represents the base functor for lists, some convenience functions to make type annotations more readable, a producer function **between**, a transformation function **map**, a consumer function **sum**, and a proof that non-church and church-encoded implementations are equal.

```
module agda.church.inst.list where
```

**Datatypes** The index set for the container, as well as the container whose interpretation represents the base functor for list. Note how ListOp is isomorphis to the datatype  $1 + A$ , I use ListOp instead to make the code more readable:

```

data ListOp (A : Set) : Set where
  nil : ListOp A
  cons : A → ListOp A
F : (A : Set) → Container _ _
F A = ListOp A ▷ λ { nil → Fin 0 ; (cons n) → Fin 1 }

```

Functions representing the run-of-the-mill list datatype and the base functor for list:

```

List : (A : Set) → Set
List A = μ (F A)
List' : (A B : Set) → Set
List' A B = [ F A ] B

```

Helper functions to assist in cleanly writing out instances of lists:

```

[] : {A : Set} → μ (F A)
[] = in' (nil , λ())
_::_ : {A : Set} → A → List A → List A
_::_ x xs = in' (cons x , const xs)
infixr 20 _::_

```

The fold funtion as it would normally be encountered for lists, defined in terms of  $(\lfloor \_ \rfloor)$ :

```

fold' : {A X : Set} (n : X) (c : A → X → X) → List A → X
fold' {A}{X} n c = (λ { (nil , _) → n ; (cons n , g) → c n (g zero) }) [ ]

```

**between** The recursion principle **b**, which when used, represents the between function. It uses **b'** to assist termination checking:

```

b' : {B : Set} → (a : List' ℕ B → B) → ℕ → ℕ → B
b' a x zero = a (nil , λ())
b' a x (suc n) = a (cons x , const (b' a (suc x) n))

```



```

b : {B : Set} → (a : List' ℕ B → B) → ℕ × ℕ → B
b a (x , y) = b' a x (suc (y - x))

```

The functions **between1** and **between2**. The former is defined without a church-encoding, the latter with. A reflexive proof and sanity check is included to show equality:

```

between1 : ℕ × ℕ → List ℕ
between1 xy = b in' xy
between2 : ℕ × ℕ → List ℕ
between2 = prod b
eqbetween : between1 ≡ between2
eqbetween = refl
checkbetween : 2 :: 3 :: 4 :: 5 :: 6 :: [] ≡ between2 (2 , 6)
checkbetween = refl

```

**map** The algebra **m**, which when used in an algebra, represents the map function:

```

m : {A B C : Set}(f : A → B) → List' A C → List' B C
m f (nil , _) = (nil , λ())
m f (cons n , l) = (cons (f n) , l)

```

The functions **map1** and **map2**. The former is defined without a church-encoding, the latter with. A reflexive proof and sanity check is included to show equality:

```

map1 : {A B : Set}(f : A → B) → List A → List B
map1 f = ⟨ in' ∘ m f ⟩
map2 : {A B : Set}(f : A → B) → List A → List B
map2 f = natTrans (m f)
eqmap : {f : ℕ → ℕ} → map1 f ≡ map2 f
eqmap = refl
checkmap : (map1 (-+ 2) (3 :: 6 :: [])) ≡ 5 :: 8 :: []
checkmap = refl

```

**sum** The algebra **s**, which when used in an algebra, represents the sum function:

```

s : List' ℕ ℕ → ℕ
s (nil , _) = 0
s (cons n , f) = n + f zero

```

The functions **sum1** and **sum2**. The former is defined without a church-encoding, the latter with. A reflexive proof and sanity check is included to show equality:

```

sum1 : List ℕ → ℕ
sum1 = ⟨ s ⟩
sum2 : List ℕ → ℕ
sum2 = consu s
eqsum : sum1 ≡ sum2
eqsum = refl
checksum : sum1 (5 :: 6 :: 7 :: []) ≡ 18
checksum = refl

```

**equality** The below proof shows the equality between the non-church-encoded pipeline and the church-encoded pipeline:

```

eq : {f : ℕ → ℕ} → sum1 ∘ map1 f ∘ between1 ≡ sum2 ∘ map2 f ∘ between2
eq {f} = begin
  ⟨ s ⟩ ∘ ⟨ in' ∘ m f ⟩ ∘ b in'
  ≡⟨ cong (λ g → ⟨ s ⟩ ∘ ⟨ in' ∘ m f ⟩ ∘ g) (prod-pres b) ⟩ -- reflexive

```

```

    (⟦ s ⟧) ∘ (⟦ in' ∘ m f ⟧) ∘ fromCh ∘ prodCh b
  ≡⟨ cong (λ f → (⟦ s ⟧) ∘ f ∘ prodCh b) (sym $ trans-pres (m f)) ⟩
    (⟦ s ⟧) ∘ fromCh ∘ natTransCh (m f) ∘ prodCh b
  ≡⟨ cong (λ g → g ∘ fromCh ∘ natTransCh (m f) ∘ prodCh b) (cons-pres s) ⟩ -- reflexive
    consCh s ∘ toCh ∘ fromCh ∘ natTransCh (m f) ∘ prodCh b
  ≡⟨ cong (λ g → consCh s ∘ toCh ∘ fromCh ∘ natTransCh (m f) ∘ g ∘ prodCh b) (sym to-from-id) ⟩
    consCh s ∘ toCh ∘ fromCh ∘ natTransCh (m f) ∘ toCh ∘ fromCh ∘ prodCh b
  ≡⟨ ⟩
    consu s ∘ natTrans (m f) ∘ prod b
  ■

-- Bonus functions
count : (ℕ → Bool) → μ (F ℕ) → ℕ
count p = (λ where
  (nil , _) → 0
  (cons true , f) → 1 + f zero
  (cons false , f) → f zero) ⟧ ∘ map1 p

even : ℕ → Bool
even 0 = true
even (suc n) = not (even n)
odd : ℕ → Bool
odd = not ∘ even

countworks : count even (5 :: 6 :: 7 :: 8 :: []) ≡ 2
countworks = refl

-- Investigation related to filter, the following lines are tangentially related to list
build : {F : Container _ _} {X : Set} → ({Y : Set} → (⟦ F ⟧ Y → Y) → X → Y) → (x : X) → μ F
build g = fromCh ∘ prodCh g
foldr' : {F : Container _ _} {X : Set} → (⟦ F ⟧ X → X) → μ F → X
foldr' c = consCh c ∘ toCh
filter : {A : Set} → (A → Bool) → List A → List A
filter p = fromCh ∘ prodCh (λ f → consCh (λ where
  (nil , l) → f (nil , l)
  (cons a , l) → if (p a) then f (cons a , l) else l zero)) ∘ toCh

open import Data.Sum as S
open import Data.Fin hiding (-+; -!; -!)
open import Data.Empty
open import Data.Unit
open import Data.Bool
open import Agda.Builtin.Nat
open import agda.church.defs
open import agda.church.proofs
open import agda.funct.funext
open import agda.init.initial hiding (const)

module agda.church.inst.free where
open import Data.Container.Combinator as C using (const; to-⊞; ⊞-)

--Below definition retrieved from Agda stdlib
Fr : Container 0ℓ 0ℓ → Set → Container 0ℓ 0ℓ
Fr f a = const a C.⊞ f

Free : Container 0ℓ 0ℓ → Set → Set
Free f a = μ (Fr f a)

```

```

Free' : Container 0ℓ 0ℓ → Set → Set → Set
Free' f a X = [ Fr f a ] X

record Handler (f f' : Container 0ℓ 0ℓ)(a b : Set) : Set where
  field
    hdlr : Free' f a (Free f' b) → Free f' b

-- Handle is a consumer! This might mean that we cannot fuse it! :(
handle : {f f' : Container _ _}{a b : Set} →
  (Free' f a (Free f' b) → Free f' b) →
  Free (f C.⊔ f') a → Free f' b
handle h = (λ where
  (inj1 a , l) → h (inj1 a , l)
  (inj2 (inj1 x) , l) → h (inj2 x , l)
  (inj2 (inj2 y) , l) → in' (inj2 y , l)) )

```

## 4.4 Fusion: Cochurch encodings

This section focuses on the fusion of Cochurch encodings, leveraging parametricity (free theorems) and the fusion property.

### 4.4.1 Definition of Cochurch encodings

This module defines Cochurch encodings and the two conversion functions `con` and `abs`, called `toCoCh` and `fromCoCh` here, respectively. It also defines the generalized producing, transformation, and consuming functions, as described by Harper (2011). The definition of the `CoChurch` datatypes is defined slightly differently to how it is initially defined by Harper (2011). Instead an Isomorphic definition is used, whose type is described later on on the same page. The original definition is included as `CoChurch'`.

```

{-# OPTIONS --guardedness #-}
module agda.cochurch.defs where

```

The Cochurch encoding, again leveraging containers:

```

data CoChurch (F : Container 0ℓ 0ℓ) : Set1 where
  CoCh : {X : Set} → (X → [ F ] X) → X → CoChurch F

```

The conversion functions:

```

toCoCh : {F : Container 0ℓ 0ℓ} → ν F → CoChurch F
toCoCh x = CoCh out x

fromCoCh : {F : Container 0ℓ 0ℓ} → CoChurch F → ν F
fromCoCh (CoCh h x) = A [ h ] x

```

The generalized encoded producing, transformation, and consuming functions, alongside the proof that they are equal to the functions they are encoding. First, the producing function, note that this is a generalized version of Svenningsson (2002)'s `unfoldr` function:

```

prodCoCh : {F : Container 0ℓ 0ℓ}{Y : Set} → (g : Y → [ F ] Y) → Y → CoChurch F
prodCoCh g x = CoCh g x

prod : {F : Container 0ℓ 0ℓ}{Y : Set} → (g : Y → [ F ] Y) → Y → ν F
prod g = fromCoCh ∘ prodCoCh g

eqprod : {F : Container 0ℓ 0ℓ}{Y : Set}{g : (Y → [ F ] Y)} →
  prod g ≡ A [ g ]
eqprod = refl

```

Second the transformation function:

```

natTransCoCh : {F G : Container 0ℓ 0ℓ}{nat : {X : Set} → [ F ] X → [ G ] X} → CoChurch F → CoChurch G
natTransCoCh n (CoCh h s) = CoCh (n ∘ h) s

natTrans : {F G : Container 0ℓ 0ℓ}{nat : {X : Set} → [ F ] X → [ G ] X} → ν F → ν G
natTrans nat = fromCoCh ∘ natTransCoCh nat ∘ toCoCh

eqNatTrans : {F G : Container 0ℓ 0ℓ}{nat : {X : Set} → [ F ] X → [ G ] X} →
  natTrans nat ≡ A[ nat ∘ out ]
eqNatTrans = refl

```

Third the consuming function, note that this is a generalized version of [Svenningsson \(2002\)](#)'s `destroy` function:

```

consCoCh : {F : Container 0ℓ 0ℓ}{Y : Set} → (c : {S : Set} → (S → [ F ] S) → S → Y) → CoChurch F → Y
consCoCh c (CoCh h s) = c h s

cons : {F : Container 0ℓ 0ℓ}{Y : Set} → (c : {S : Set} → (S → [ F ] S) → S → Y) → ν F → Y
cons c = consCoCh c ∘ toCoCh

eqcons : {F : Container 0ℓ 0ℓ}{X : Set}{c : {S : Set} → (S → [ F ] S) → S → X} →
  cons c ≡ c out
eqcons = refl

```

The original CoChurch definition is included here for completeness' sake, but it is not used elsewhere in the code.

```

data CoChurch' (F : Container 0ℓ 0ℓ) : Set₁ where
  cochurch : (∃ λ S → (S → [ F ] S) × S) → CoChurch' F

```

A mapping from CoChurch' to CoChurch and back is provided as well as a proof that their compositions are equal to the identity function, thereby proving isomorphism:

```

toConv : {F : Container _ _} → CoChurch' F → CoChurch F
toConv (cochurch (S , (h , x))) = CoCh {S} h x

fromConv : {F : Container _ _} → CoChurch F → CoChurch' F
fromConv (CoCh {X} h x) = cochurch ((X , h , x))

to-from-conv-id : {F : Container 0ℓ 0ℓ} → toConv ∘ fromConv {F} ≡ id
to-from-conv-id = funext λ where
  (CoCh {X} h x) → refl

from-to-conv-id : {F : Container 0ℓ 0ℓ} → fromConv ∘ toConv {F} ≡ id
from-to-conv-id = funext λ where
  (cochurch (S , (h , x))) → refl

```

#### 4.4.2 Proof obligations

As with Church encodings, in [Harper \(2011\)](#)'s work, five proof obligations needed to be satisfied. These are formalized in this module.

```

module agda.cochurch.proofs where

```

The first proof proves that `fromCoCh ∘ toCh = id`, using the reflection law:

```

from-to-id : {F : Container 0ℓ 0ℓ} → fromCoCh ∘ toCoCh {F} ≡ id
from-to-id {F} = funext λ x → begin
  fromCoCh (toCoCh x)
  ≡⟨ -- Definition of toCh
  fromCoCh (CoCh out x)

```

```

≡⟨⟩ -- Definition of fromCh
  A⟦ out ⟧ x
≡⟨ reflection x ⟩
  x
≡⟨⟩ -- Definition of identity
  id x
■

```

The second proof is similar to the first, but it proves the composition in the other direction  $\text{toCoCh} \circ \text{fromCoCh} = \text{id}$ . This proof leverages the parametricity as described by [Wadler \(1989\)](#). It postulates the free theorem of the function  $g$  for a fixed  $Y$   $f : \forall X \rightarrow (X \rightarrow F X) \rightarrow X \rightarrow Y$ , to prove that “unfolding a Cochurch-encoded structure and then re-encoding it yields an equivalent structure” [Harper \(2011\)](#):

```

postulate free : {F : Container 0ℓ 0ℓ}
  {C D : Set}{Y : Set₁}{c : C → ⟦ F ⟧ C}{d : D → ⟦ F ⟧ D}
  (h : C → D)(f : {X : Set} → (X → ⟦ F ⟧ X) → X → Y) →
  map h ∘ c ≡ d ∘ h → f c ≡ f d ∘ h
  -- TODO: Do D and Y need to be the same thing? This may be a cop-out...
unfold-invariance : {F : Container 0ℓ 0ℓ}{Y : Set}
  (c : Y → ⟦ F ⟧ Y) →
  CoCh c ≡ (CoCh out) ∘ A⟦ c ⟧
unfold-invariance c = free A⟦ c ⟧ CoCh refl

to-from-id : {F : Container 0ℓ 0ℓ} → toCoCh ∘ fromCoCh {F} ≡ id
to-from-id = funext λ where
  (CoCh c x) → begin
    toCoCh (fromCoCh (CoCh c x))
  ≡⟨⟩ -- definition of fromCh
    toCoCh (A⟦ c ⟧ x)
  ≡⟨⟩ -- definition of toCh
    CoCh out (A⟦ c ⟧ x)
  ≡⟨⟩ -- composition
    (CoCh out ∘ A⟦ c ⟧) x
  ≡⟨ cong (λ f → f x) (sym $ unfold-invariance c) ⟩
    CoCh c x
■

```

The third proof shows that cochurch-encoded functions constitute an implementation for the producing functions being replaced. The proof is proved via reflexivity, but [Harper \(2011\)](#)’s original proof steps are included here for completeness:

```

prod-pres : {F : Container 0ℓ 0ℓ}{X : Set}(c : X → ⟦ F ⟧ X) →
  fromCoCh ∘ prodCoCh c ≡ A⟦ c ⟧
prod-pres c = funext λ x → begin
  fromCoCh ((λ s → CoCh c s) x)
≡⟨⟩ -- function application
  fromCoCh (CoCh c x)
≡⟨⟩ -- definition of toCh
  A⟦ c ⟧ x
■

```

The fourth proof shows that cochurch-encoded functions constitute an implementation for the consuming functions being replaced. The proof is proved via reflexivity, but [Harper \(2011\)](#)’s original proof steps are included here for completeness:

```

cons-pres : {F : Container 0ℓ 0ℓ}{X : Set} → (f : {Y : Set} → (Y → ⟦ F ⟧ Y) → Y → X) →
  consCoCh f ∘ toCoCh ≡ f out
cons-pres f = funext λ x → begin
  consCoCh f (toCoCh x)

```

```

≡⟨⟩ -- definition of toCoCh
  consCoCh f (CoCh out x)
≡⟨⟩ -- function application
  f out x
■

```

The fifth, and final proof shows that cochurch-encoded functions constitute an implementation for the consuming functions being replaced. The proof leverages the categorical fusion property and the naturality of  $f$ :

```

-- PAGE 52 - Proof 5
valid-hom : {F G : Container 0ℓ 0ℓ}{X : Set}(h : X → [ F ] X)
  (f : {X : Set} → [ F ] X → [ G ] X)(nat : ∀ {X : Set}(g : X → ν F) → map g ∘ f ≡ f ∘ map g) →
  map A[ h ] ∘ f ∘ h ≡ f ∘ out ∘ A[ h ]
valid-hom h f nat = begin
  (map A[ h ] ∘ f) ∘ h
≡⟨ cong (λ f → f ∘ h) (nat A[ h ]) ⟩
  (f ∘ map A[ h ]) ∘ h
≡⟨⟩ -- dfn of A[-]
  f ∘ out ∘ A[ h ]
■

trans-pres : {F G : Container 0ℓ 0ℓ}{X : Set}(h : X → [ F ] X) (f : {X : Set} → [ F ] X → [ G ] X)
  (nat : {X : Set}(g : X → ν F) → map g ∘ f ≡ f ∘ map g) →
  fromCoCh ∘ natTransCoCh f ≡ A[ f ∘ out ] ∘ fromCoCh
trans-pres h f nat = funext λ where
  (CoCh h x) → begin
    fromCoCh (natTransCoCh f (CoCh h x))
≡⟨⟩ -- Function application
    fromCoCh (CoCh (f ∘ h) x)
≡⟨⟩ -- Definition of fromCh
    A[ f ∘ h ] x
≡⟨ cong-app (fusion A[ h ] (sym (valid-hom h f nat))) x ⟩ -- Can I remove the fusion prop?
    A[ f ∘ out ] (A[ h ] x)
≡⟨⟩ -- This step is missing from the paper, but mirrors the step taken on the Church-side.
    A[ f ∘ out ] (fromCoCh (CoCh h x))
■

```

Finally two additional proofs were made to clearly show that any pipeline made using cochurch encodings will fuse down to a simple function application. The first of these two proofs shows that any two composed natural transformation fuse down to one single natural transformation:

```

natfuse : {F G H : Container 0ℓ 0ℓ}
  (nat1 : {X : Set} → [ F ] X → [ G ] X) →
  (nat2 : {X : Set} → [ G ] X → [ H ] X) →
  natTransCoCh nat2 ∘ toCoCh ∘ fromCoCh ∘ natTransCoCh nat1 ≡ natTransCoCh (nat2 ∘ nat1)
natfuse nat1 nat2 = begin
  natTransCoCh nat2 ∘ toCoCh ∘ fromCoCh ∘ natTransCoCh nat1
≡⟨ cong (λ f → natTransCoCh nat2 ∘ f ∘ natTransCoCh nat1) to-from-id ⟩
  natTransCoCh nat2 ∘ natTransCoCh nat1
≡⟨ funext (λ where (CoCh g s) → refl) ⟩
  natTransCoCh (nat2 ∘ nat1)
■

```

The second of these two proofs shows that any pipeline, consisting of a producer, transformer, and consumer function, fuse down to a single function application:

```

pipefuse : {F G : Container 0ℓ 0ℓ}{X : Set}(c : X → [ F ] X)
  (nat : {X : Set} → [ F ] X → [ G ] X) →
  (f : {Y : Set} → (Y → [ G ] Y) → Y → X) →

```

```

      cons f ∘ natTrans nat ∘ prod c ≡ f (nat ∘ c)
pipefuse c nat f = begin
  consCoCh f ∘ toCoCh ∘ fromCoCh ∘ natTransCoCh nat ∘ toCoCh ∘ fromCoCh ∘ prodCoCh c
≡⟨ cong (λ g → consCoCh f ∘ g ∘ natTransCoCh nat ∘ toCoCh ∘ fromCoCh ∘ prodCoCh c) to-from-id ⟩
  consCoCh f ∘ natTransCoCh nat ∘ toCoCh ∘ fromCoCh ∘ prodCoCh c
≡⟨ cong (λ g → consCoCh f ∘ natTransCoCh nat ∘ g ∘ prodCoCh c) to-from-id ⟩
  consCoCh f ∘ natTransCoCh nat ∘ prodCoCh c
≡⟨ ⟩
  f (nat ∘ c)
■

```

#### 4.4.3 Example: List fusion

In order to clearly see how the Cochurch encodings allows functions to fuse, a datatype was selected such the abstracted function, which have so far been used to prove the needed properties, can be instantiated to demonstrate how the fusion works for functions across a concrete datatype. In this module is defined: the container, whose interpretation represents the base functor for lists, some convenience functions to make type annotations more readable, a producer function **between**, a transformation function **map**, a consumer function **sum**, and a proof that non-cochurch and cochurch-encoded implementations are equal.

**Datatypes** The index set for the container, as well as the container whose interpretation represents the base functor for list. Note how ListOp is isomorphic to the datatype  $1 + A$ , I use ListOp instead to make the code more readable:

```

data ListOp (A : Set) : Set where
  nil : ListOp A
  cons : A → ListOp A
F : (A : Set) → Container 0ℓ 0ℓ
F A = ListOp A ▷ λ { nil → Fin 0 ; (cons n) → Fin 1 }

```

Functions representing the run-of-the-mill (potentially infinite) list datatype and the base functor for list:

```

List : (A : Set) → Set
List A = ν (F A)
List' : (A B : Set) → Set
List' A B = [ F A ] B

```

Helper functions to assist in cleanly writing out instances of lists:

```

[] : {A : Set} → List A
head [] = nil
tail [] = λ()
_::_ : {A : Set} → A → List A → List A
head (x :: xs) = cons x
tail (x :: xs) = const xs
infixr 20 _::_

```

The unfold funtion as it would normally be encountered for lists, defined in terms of  $[ ]$ :

```

mapping : {A X : Set} → (f : X → T ⊔ (A × X)) → (X → List' A X)
mapping f x with f x
mapping f x — (inj1 tt) = (nil , λ())
mapping f x — (inj2 (a , x')) = (cons a , const x')
unfold' : {F : Container 0ℓ 0ℓ}{A X : Set}(f : X → T ⊔ (A × X)) → X → List A
unfold' {A}{X} f = A [ mapping f ]

```

**between** The recursion principle **b**, which when used, represents the between function. It uses **b'** to assist termination checking:

```

b' : ℕ × ℕ → List' ℕ (ℕ × ℕ)
b' (x , zero) = (nil , λ())
b' (x , suc n) = (cons x , const (suc x , n))
b : ℕ × ℕ → List' ℕ (ℕ × ℕ)
b (x , y) = b' (x , (suc (y - x)))

```

The functions **between1** and **between2**. The former is defined without a cochurch-encoding, the latter with. A reflexive proof and sanity check (not working currently) is included to show equality:

```

between1 : ℕ × ℕ → List ℕ
between1 = A[[ b ]]
between2 : ℕ × ℕ → List ℕ
between2 = prod b
eqbetween : between1 ≡ between2
eqbetween = refl
--checkbetween : out (2 :: 3 :: 4 :: 5 :: 6 :: []) ≡ out (between2 (2 , 6))
--checkbetween = refl

```

**map** The coalgebra **m**, which when used in an algebra, represents the map function:

```

m : {A B C : Set}(f : A → B) → List' A C → List' B C
m f (nil , l) = (nil , l)
m f (cons n , l) = (cons (f n) , l)

```

The functions **map1** and **map2**. The former is defined without a cochurch-encoding, the latter with. A reflexive proof and sanity check (not currently working) is included to show equality:

```

map1 : {A B : Set}(f : A → B) → List A → List B
map1 f = A[[ m f ∘ out ]]
map2 : {A B : Set}(f : A → B) → List A → List B
map2 f = natTrans (m f)
eqmap : {f : ℕ → ℕ} → map1 f ≡ map2 f
eqmap = refl
--checkmap : map1 (_+_ 2) (3 :: 6 :: []) ≡ 5 :: 8 :: []
--checkmap = refl

```

**sum** The coalgebra **s**, which when used in an algebra, represents the sum function. Note that it is currently set to be non-terminating. A modification to  $\nu$  is likely needed to enable usage of size type for the termination checker to accept this:

```

{-# NON_TERMINATING #-}
s : {S : Set} → (S → List' ℕ S) → S → ℕ
s h s' with h s'
s h s' — (nil , f) = 0
s h s' — (cons x , f) = x + s h (f zero)

```

The functions **sum1** and **sum2**. The former is defined without a cochurch-encoding, the latter with. A reflexive proof and sanity check (currently not working) is included to show equality:

```

sum1 : List ℕ → ℕ
sum1 = s out
sum2 : List ℕ → ℕ
sum2 = consu s
eqsum : sum1 ≡ sum2
eqsum = refl
--checksum : sum1 (5 :: 6 :: 7 :: []) ≡ 18
--checksum = refl

```



**equality** The below proof shows the equality between the non-cochurch-encoded pipeline and the cochurch-encoded pipeline. Note how it is different from the proof for church-encoded pipelines. This is because Harper (2011)’s proof for the proof obligation of natural transformations is different for cochurch encodings than for church encodings. Because of this the first and second proof step for `eq` in the church-encoded lists is done in one step here:

```

eq : {f : ℕ → ℕ} → sum1 ∘ map1 f ∘ between1 ≡ sum2 ∘ map2 f ∘ between2
eq {f} = begin
  s out ∘ A⟦ m f ∘ out ⟧ ∘ A⟦ b ⟧
≡⟨ cong (λ g → s out ∘ A⟦ m f ∘ out ⟧ ∘ g) (prod-pres b) ⟩
  s out ∘ A⟦ m f ∘ out ⟧ ∘ fromCoCh ∘ prodCoCh b
≡⟨ cong (λ g → s out ∘ g ∘ prodCoCh b) (sym (trans-pres b (m f) λ _ → funext (λ {(nil , l) → refl; (cons n , l) → ...})) ⟩
  s out ∘ fromCoCh ∘ natTransCoCh (m f) ∘ prodCoCh b
≡⟨ cong (λ g → g ∘ fromCoCh ∘ natTransCoCh (m f) ∘ prodCoCh b) (cons-pres s) ⟩
  consCoCh s ∘ toCoCh ∘ fromCoCh ∘ natTransCoCh (m f) ∘ prodCoCh b
≡⟨ cong (λ g → consCoCh s ∘ toCoCh ∘ fromCoCh ∘ natTransCoCh (m f) ∘ g ∘ prodCoCh b) (sym to-from-id) ⟩
  consCoCh s ∘ toCoCh ∘ fromCoCh ∘ natTransCoCh (m f) ∘ toCoCh ∘ fromCoCh ∘ prodCoCh b
≡⟨ ⟩
  consu s ∘ natTrans (m f) ∘ prod b
■

```

## 5 Related Works

### 5.1 Fusion

Initial work on fusion was done by Wadler (1984, 1986, 1990), and was dubbed ‘deforestation’, referring to the removal of intermediate trees (or lists). The details of the original deforestation work are not relevant to this thesis, but, the weaknesses of the work are described and different techniques proposed by Gill et al. (1993). Gill et al. (1993) describe a technique nowadays called `foldr/build` fusion, which, when employed, can eliminate most intermediate lists. This technique is described further in subsection 2.1.

A converse approach, aptly named the `destroy/unfoldr` rule, is described by Svenningsson (2002), which describes the converse technique to Gill et al. (1993)’s. A further generalization of this technique, leverages the coinductive list datatype, stream. This technique is called *stream fusion* introduced by Coutts et al. (2007).

**(Co)Church encodings** Finally, Harper (2011) combined all of these concepts into one paper, called “The Library Writer’s Guide to Shortcut Fusion”. In it the concept of (Co)Church encodings are described and, pragmatically, how to implement them in Haskell.

## 6 Conclusion and Future Work

### 6.1 Future Work

- Strengthen Agda’s typechecker wrt implicit parameters
- Strengthen Agda’s termination checker wrt corecursive datastructures
- Implement (co)church-fused versions of Haskell’s library functions.
- Investigate if creating a language that has this fusion built-in natively can be compiled more efficiently
- Look into leveraging parametricity with agda, so no `posulate`’s are needed.

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