

behave the same as M

2. Run $T(\langle M_w, Y \rangle)$ If T accepts, accept. Otherwise reject.

Then this will decide HALT_{TM} . However, we know that HALT_{TM} is not decidable, therefore T can't exist and $\text{UNIFORM HALT}_{\text{TM}}$ is undecidable.

(example) a recursive enumerable language

Answer: the language corresponds to the halting problem is a recursively enumerable language, namely:

$L_{\text{halt}} = \{\langle M, w \rangle \mid M \text{ is a Turing machine that halts on input } w\}$

(example) a not recursive enumerable language

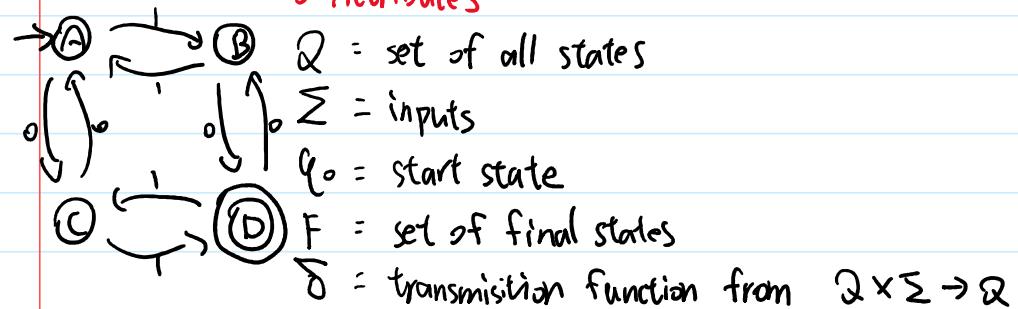
Answer: the language corresponds to the complement of the halting problem is not recursive enumerable, namely:

$\overline{L_{\text{halt}}} = \{\langle M, w \rangle \mid M \text{ is a Turing machine that doesn't halt on input } w\}$

DFA

2020年9月14日 下午 10:16

5 Attributes



\uparrow

$$Q = \{A, B, C, D\}$$

$$\Sigma = \{0, 1\}$$

$$q_0 = A$$

\downarrow could be more than 1!

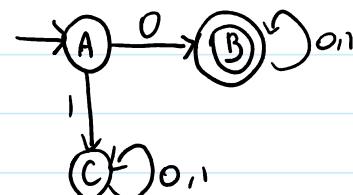
$$F = \{D\}$$

	0	1
A	C	B
B	D	A
C	A	D
D	B	C

Example

language

$L_1 = \text{set of all strings start with '0'}$



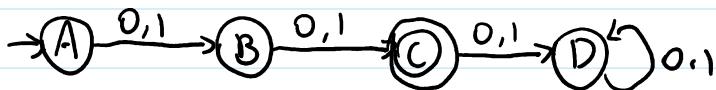
example

Construct a DFA that accepts sets of all strings over $\{0,1\}$ of length 2

Solution:

$$\Sigma = \{0,1\}$$

$$L = \{00, 01, 10, 11\}$$



Example

Construct a DFA that accepts all strings over $\{a,b\}$ that contains the string **aabb** in it.

Construct a DFA that accepts any strings over $\{a,b\}$ that does not contain the string **aabb** in it.

Solution:

$$\Sigma = \{a, b\}$$



Ref: <https://cs.stackexchange.com/questions/41239/halting-problem-reducing-to-the-blank-tape-halting-problem>

Solution: we have two languages:

$$\text{HALT}_{\text{TM}} = \{\langle M, w \rangle \mid M \text{ is a Turing machine that halts on input } w\}$$

$$\text{BLANKHALT}_{\text{TM}} = \{\langle M \rangle \mid M \text{ is a Turing machine that halts on input } \epsilon\}$$

We use proof by contradiction to prove that $\text{BLANKHALT}_{\text{TM}}$ is undecidable:

Suppose $\text{BLANKHALT}_{\text{TM}}$ is decidable, then there is a Turing machine T that decides it. Then, using T, we can construct a Turing machine R that decides HALT_{TM} where R is:

On input $\langle M, w \rangle$:

1. Construct a new Turing machine M_w that starts with a blank tape, and write w onto the tape, then move its head back to the start and behave the same as M
2. Run $T(\langle M_w \rangle)$ If T accepts, accept. Otherwise reject.

Then this will decide HALT_{TM} . However, we know that HALT_{TM} is not decidable, therefore T can't exist and $\text{BLANKHALT}_{\text{TM}}$ is undecidable.

example

Show how the halting problem can be reduced to the uniformed halting problem

Ref: <https://cs.stackexchange.com/questions/41243/halting-problem-reduction-to-halting-for-all-inputs>

Solution: we have two languages:

$$\text{HALT}_{\text{TM}} = \{\langle M, w \rangle \mid M \text{ is a Turing machine that halts on input } w\}$$

$$\text{UNIFORMHALT}_{\text{TM}} = \{\langle M, Y \rangle \mid M \text{ is a Turing machine and } Y \text{ is the collection of all inputs of } M, M \text{ halts on every elements of } Y\}$$

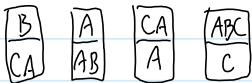
We use proof by contradiction to prove that $\text{UNIFORMHALT}_{\text{TM}}$ is undecidable. Suppose $\text{UNIFORMHALT}_{\text{TM}}$ is decidable, then there is a Turing machine T that decides it. Then, using T, we can construct a Turing machine R that decides HALT_{TM} where R is:

On input $\langle M, w \rangle$:

1. Construct a new Turing machine M_w that starts with M and Y, replace every element of Y to w, and move its head back to the start and behave the same as M
2. Run $T(\langle M_w, Y \rangle)$ If T accepts, accept. Otherwise reject.

The Post Correspondence Problem

- Given some dominoes



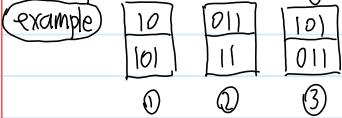
Each domino can be used many times

Problem: find a sequence of dominoes such that the top and bottom strings are the same

Sample Solution:

A	B	CA	A	ABC
AB	CA	A	AB	C

the process of enumerating may not stop



first, we can only apply ①

10

101

then, we can only apply ③

10101

101011

again, we can only apply ③

1010110

10101101

and it goes on and does not terminate.

Reduction

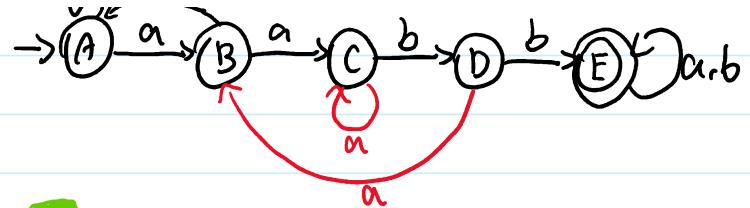
Ref: textbook P392

If we have an algorithm to convert instances of a problem P_1 to instances of a problem P_2 , then we say that P_1 reduces to P_2 . We can use this proof to show that P_2 is at least hard as P_1 . Therefore, if P_2 is recursive, then P_1 is recursive. If P_1 is undecidable, then P_2 is undecidable.

(example) Show how the halting problem can be reduced to the blank tape halting problem

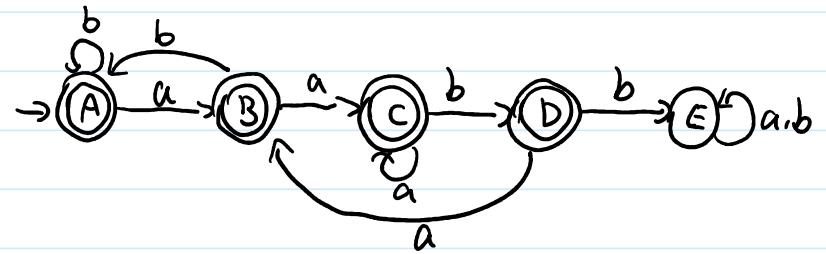
Ref: <https://cs.stackexchange.com/questions/41239/halting-problem-reducing-to-the-blank-tape-halting-problem>

Clarification: we have two languages:



Solution: flip the states

- make the final states into non-final states
- make the non-final states into final states



Regular Language

2020年9月15日 上午 11:06

(def)

If and only if some finite state machine recognizes it

?

Not regular languages

△ Not recognized by any FSM

△ Requires memory ← memory of FSM is very limited
cannot store or count strings

(example) a language that repeats ababb

not RL because requires to store ababb into memory

(?) ↗

(example) $a^N b^N$ like abi abab ababb.

not RL because requires to count as and bs.

Operations

Union - $A \cup B = \{x | x \in A \text{ or } x \in B\}$

Concatenation - $A \circ B = \{xy | x \in A \text{ and } y \in B\}$

Star - $A^* = \{x_1 x_2 x_3 \dots x_k | k \geq 0 \text{ and each } x_i \in A\}$

(example) $A = \{pq, r\}$ $B = \{t, uv\}$

$A \cup B = \{pq, r, t, uv\}$

$A \circ B = \{pat, pquv, rt, ruv\}$

$A^* = \{\epsilon, pa, p, pa, r, rr, ppa, ppqr, rrr, \dots\}$
empty string ↗

(Theorem)

△ Regular languages is closed under union

The halting problem

- Given a Turing Machine, will it halt when run on some particular input string?
Undecidable

(example)

Given a Java code, does it always terminate?

Ans: In general we don't know.

what we can do is perhaps run it and see

For many programs they may terminate or sometimes loop.

(proof)

Prove that the halting problem is undecidable

Proof: Assume it is decidable, then we can

define Halt(Program, Input)

if Program halts given Input
return HALT

else

return NOT-HALT

This allows us to write another function:

define A(X)

if Halt(X,X) == HALT

loop forever

else

return none

Then if we run A on itself:

A(A)

The result is:

If Halt(A,A) == HALT, A will not halt.

If Halt(A,A) == NOT-HALT, A will halt.

This contradicts with the assumption that the halting problem is decidable, therefore it is undecidable. ■

The Post Correspondence Problem

- Can run infinite

Decidability

2020年12月6日 下午 2:41

Recursive language

- L is said to be recursive if there exists a Turing machine that accepts strings in L and rejects strings not in L
- Will always halt and give accept/reject

↑ Turing decidable

↓ Turing recognizable

Recursive Enumerable Language

- L is said to be recursive if there exists a Turing machine that accepts strings in L
- Will always halt if input string is in L, but may either reject or loop otherwise

↓

Decidable Language

- A language is decidable if it is a recursive language

Partially Decidable Language

- A language is partially decidable if it is a recursive enumerable language

Undecidable Language

- A language is undecidable if there exists no Turing machine that accepts it.
- May sometimes be partially decidable

Universal Turing Machine

- The Turing machine that takes another Turing machine M and a string w as input tries to determine whether M accepts w.

The language

$$A_{TM} = \{ \langle M, w \rangle \mid M \text{ is a Turing machine and } M \text{ accepts } w \}$$

Is Turing Recognizable ↙ not decidable, as it may loop

The halting problem

→ Can a TM decide if a given TM halts on a given input?

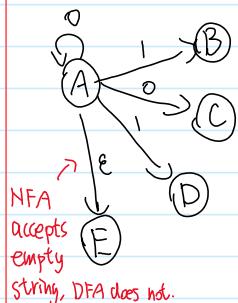
(Theorem)

⇒ Regular languages is closed under union
 $LR \cup LR = UR$

⇒ Regular languages is closed under concatenation
 $LR \circ LR = LR$

NFA

2020年9月21日 下午 12:00



Given the current state, there could be multiple next states

The next state may be chosen at random, or all the next states may be chosen in parallel

5 Attributes : $\{Q, \Sigma, q_0, F, \delta\}$

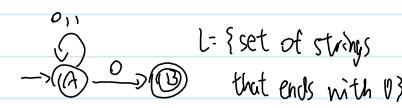
Q = set of all states

Σ = inputs

q_0 = initial state

F = set of final states

δ = $Q \times \Sigma \rightarrow P(Q)$ (or 2^Q)
power set



(example) $Q = \{A, B\}$

$\Sigma = \{0, 1\}$

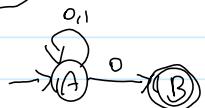
$q_0 = A$

$F = \{B\}$

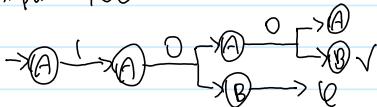
$A \xrightarrow{0} A, B, AB, \emptyset$ empty state

$A \xrightarrow{1} A, B, AB, \emptyset$

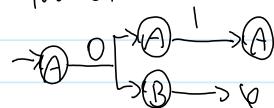
(example) $L = \{\text{Set of all strings that end with } 0\}$



input: 100



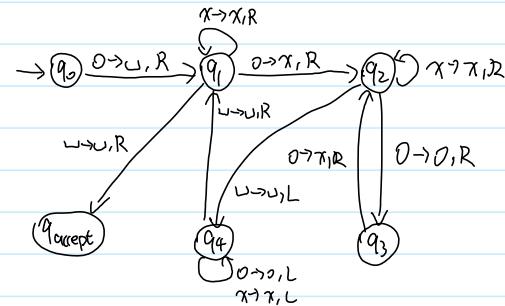
input 01



(example) $L = \{\text{Set of all strings that start with } 0\}$



(example) Check whether the given Turing machine accepts 0000



Input: 0000 \Rightarrow $U000$ \Rightarrow $U \xrightarrow{X} 00$ \Rightarrow $U \xrightarrow{X} 00$ \Rightarrow $U \xrightarrow{X} 00$ \Rightarrow $U \xrightarrow{X} 00$ \Rightarrow $U \xrightarrow{X} 00$

state: q_0 $\xrightarrow{0} q_1$ $\xrightarrow{0} q_2$ $\xrightarrow{0} q_3$ $\xrightarrow{0} q_4$

$\Rightarrow U \xrightarrow{X} 00 \Rightarrow U \xrightarrow{X} 00$

$\Rightarrow U \xrightarrow{X} 00 \Rightarrow U \xrightarrow{X} 00$

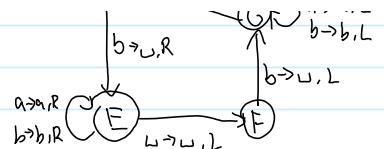
$\Rightarrow U \xrightarrow{X} 00 \Rightarrow U \xrightarrow{X} 00$

$\Rightarrow U \xrightarrow{X} 00 \Rightarrow U \xrightarrow{X} 00$

$\Rightarrow U \xrightarrow{X} 00 \Rightarrow U \xrightarrow{X} 00$

$\Rightarrow U \xrightarrow{X} 00 \Rightarrow U \xrightarrow{X} 00$

therefore it accepts 4 zeros

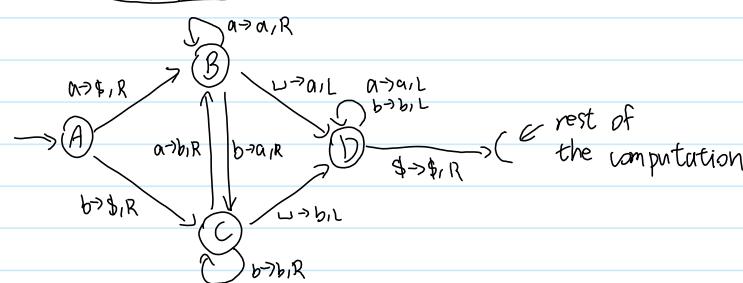


Turing Machine Techniques

- How do we recognize the left end of the tape?

Sol: Put a special symbol \$ on the left end of the tape and shift the input over one cell to the right.

$$[a] b [] [] \dots \rightarrow [\$] a [b] [] \dots$$



- Build a Turing Machine to recognize the language $0^N 1^M 0^N$

Idea: ↑ in a previous example we built a Turing Machine that recognizes $0^N 1^M$ and turn it into $x^N y^M$

Therefore,

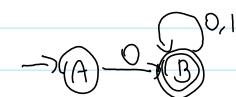
1. recognize $0^N 1^M$ and turn it into $x^N y^M$
2. build another Turing Machine that accepts $y^M 0^N$
3. combine the two Turing Machines

- Comparing two strings: construct a Turing Machine that accepts $\{w\#w | w \in \{a,b,c\}^*\}$

Idea: 1. use a new symbol such as X

2. examine the leftmost symbol of each part that is not x, replace the symbol into x after it is examined

Easy Theory

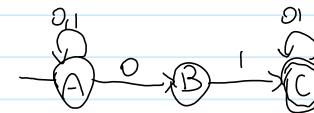


↖ DFA need to define which state it goes to with input 1 or it will be incomplete

(example) NFA that accepts sets of all strings over {0,1} of length 2



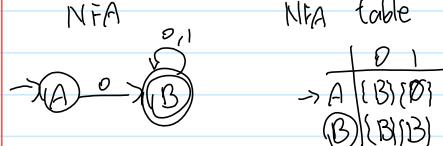
(example) set of all strings that contain "01"



Convert NFA to DFA

2020年10月5日 上午 11:17

(example) set of all strings over $\{0,1\}$ that starts with 0

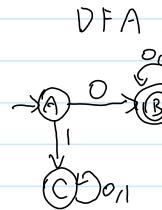


NFA table

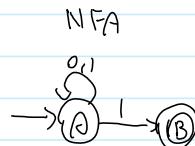
$\rightarrow A$	0	$\rightarrow B$
-----------------	---	-----------------

DFA table

$\rightarrow A$	0	1
$\rightarrow B$	B	C
$\rightarrow C$	C	C



(example) set of all strings over $\{0,1\}$ that ends with 1



NFA table

$\rightarrow A$	0	1
$\rightarrow B$	A	B

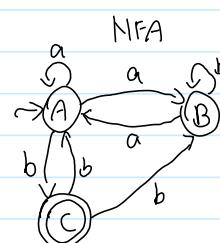
DFA table

$\rightarrow A$	0	1
$\rightarrow B$	A	AB

formal def of NFA

$M = [\{AB, C\}, \{ab\}, \delta, A, \{C\}]$

states inputs transition func starting final states



(example) Find the equivalent DFA for the NFA given by $M = [\{AB, C\}, \{ab\}, \delta, A, \{C\}]$ where δ is given by:

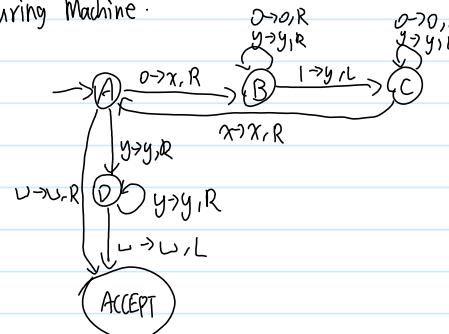
$\rightarrow A$	a	b
$\rightarrow B$	{A}	{B}
$\rightarrow C$	{φ}	{A, B}

(example) $L = 0^N 1^N$

Algorithm:

- ▷ change leftmost 0 to x
- ▷ move right to the first 1 — reject if none
- ▷ change the 1 to y
- ▷ move left to the leftmost 0
- ▷ repeat until no 0 is left
- ▷ check that no 1 is left

Turing Machine:



Church - Turing Thesis

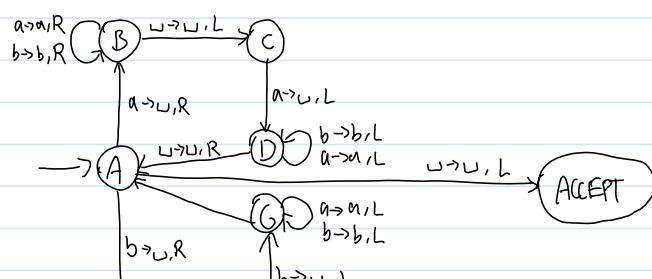
— What does computable mean?

Alonzo Church : lambda calculus

Alan Turing : Turing Machine

(example) Turing Machine for even palindromes

[a] b | a | a | b | a | ...



Formal definition

$$P = (Q, \Sigma, \Gamma, \delta, q_0, b, F)$$

Q = Non empty set of states

Σ = Non empty set of symbols $\Sigma \subseteq \Gamma$

Γ = Non empty set of tape symbols

δ = Transition function

q_0 = Initial state

b = Blank symbol

F = Set of Final states (accept state and reject state)

defined as

$$\delta: Q \times \Sigma \rightarrow \Gamma \times (R/L) \times Q$$

↑ ↑ ↓ ↑ ↑ ↑
 in current given write move either go to the
 state particular sch. into right or left next state

q_{accept} q_{reject}

The production rule of Turing Machine will be written as

$$\delta(q_0, a) \rightarrow (q_1, y, R)$$

at current input go to new state
 symbol write symbol move

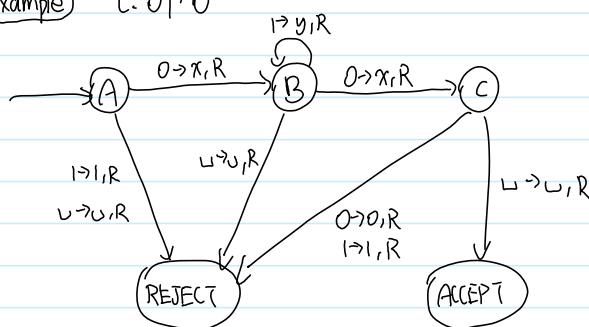
Turing Thesis

any computation that can be carried out by mechanical means can be performed by some Turing Machine

Recursively Enumerable Language

A language L and Σ is said to be recursively enumerable if there exists a Turing Machine that accepts it.

(Example) $L = 0^* 1^*$

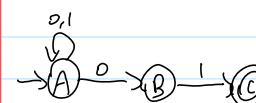


(Example) $L = 0^N 1^N$

(Question) what does this NFA (DFA) accept?

(Example) set of all strings over $\{0,1\}$ that ends with '01'

NFA

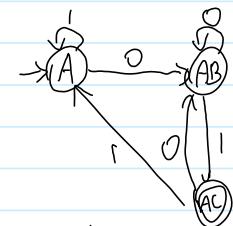


NFA table

	0	1
$\rightarrow A$	$\{A, B\} \setminus \{A\}$	$\{A\}$
$\rightarrow B$	$\{\emptyset\} \setminus \{B\}$	$\{B\}$
$\rightarrow C$	$\{\emptyset\} \setminus \{C\}$	$\{C\}$

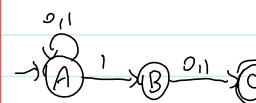
DFA table

	0	1
$\rightarrow A$	AB	A
$\rightarrow B$	AB	AC
$\rightarrow C$	A	A



(Example) set of all strings over $\{0,1\}$ that the second last symbol is '1'

NFA

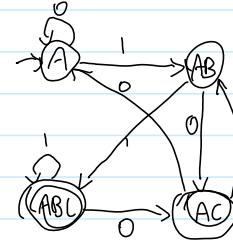


NFA table

	0	1
$\rightarrow A$	$\{A\} \setminus \{A, B\}$	$\{A\}$
$\rightarrow B$	$\{C\} \setminus \{B\}$	$\{C\}$
$\rightarrow C$	$\{\emptyset\} \setminus \{C\}$	$\{C\}$

DFA table

	0	1
$\rightarrow A$	A	AB
$\rightarrow B$	AB	ABC
$\rightarrow C$	A	A
$\rightarrow D$	AC	ABC



Regular Expression

2020年10月5日 下午 12:12

represents certain sets of strings in an algebraic fashion

inputs empty null

△ Any terminal symbol i.e. symbols $\in \Sigma$ including λ and \emptyset are regular expressions

△ The union of 2 regular expressions is also a regular expression $R_1, R_2 \rightarrow (R_1 \cup R_2)$

△ The concatenation of 2 r.e. is also a r.e. $R_1, R_2 \rightarrow (R_1 \cdot R_2)$

△ The iteration (or closure) of a re. is also a re. $R \rightarrow R^* \quad R^* = \lambda, \alpha\alpha, \dots$

△ The r.e. over Σ are precisely those obtained recursively by the application of the above rules once or several times

Example) describe the following sets as r.e.

1) $\{0, 1, 2\} \leftarrow 0 \text{ or } 1 \text{ or } 2$

$R = 0 + 1 + 2$

or

2) $\{\lambda, ab\}$
empty symbol not included, more than 1 elements
empty symbol along with 1 symbol, no need to +

$R = \lambda ab$

3) $\{abb, a, b, bba\}$

$R = abb + a + b + bba$

closure of 0

4) $\{\lambda, 0, 00, 000, \dots\}$

$R = 0^*$

closure of 1 excluding λ

5) $\{1, 11, 111, 1111, \dots\}$

$R = 1^+$

Identities of R.E.

= why

union
r.e.

$\emptyset + R = R$

at least 1 word generated by R

7) $RR^* = R^*R = R^+$

Turing Machine

2020年11月30日 下午 3:08

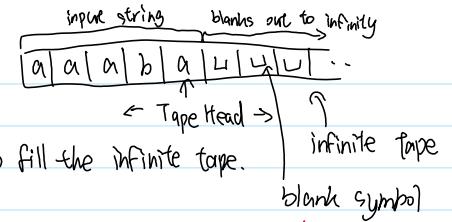
data structure: tape

Tape alphabets: $\Sigma = \{0, 1, a, b, \lambda, z_0\}$

The blank λ is a special symbol used to fill the infinite tape.

blank symbol

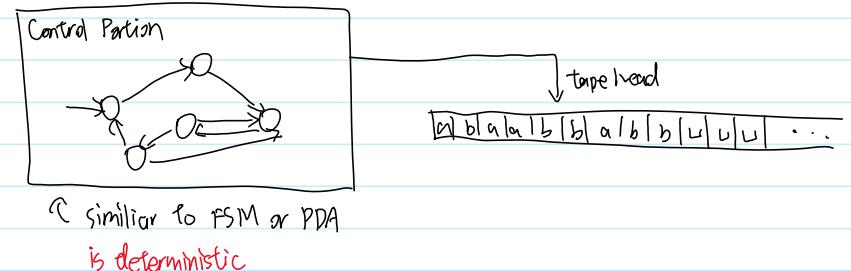
we denote λ as z



operations:

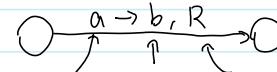
1. read the symbol below the tape head
2. update / write a symbol below the tape head
3. move the tape head one step left / right

Structure



similiar to FSM or PDA
is deterministic

operation rules



read

write

move one cell (L/R)

if on the left end and move left,
the tape head will not move.

if updating the cell is not desired,
write the same symbol.

Control is with a sort of FSM

- initial state

- final states: either accept or reject

- computations: halt and accept

halt and reject

loop (failed to halt)

Formal definition

$\Sigma \times \Gamma \times Q \times \delta \times q_0 \times F \rightarrow \{accept, reject, loop\}$

Σ = Finite set of input symbols

Γ = A finite stack alphabet

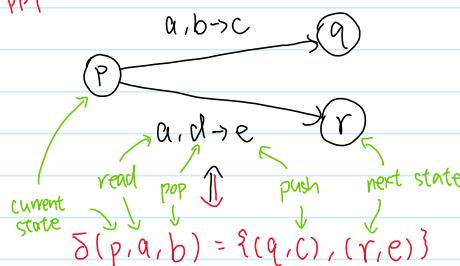
δ = The transition function

q_0 = The start state

z_0 = The start stack symbol (\$)

F = The set of final / Accepting states

missing in lecture
 $\Delta P T$



2. α is either an input symbol in Σ or ϵ
3. X is a stack symbol in Γ

The output is finite set of pair (p, γ) where p is a new state

γ is a string of stack symbols that replaces X at the top of the stack

e.g. if $\gamma = \epsilon$ then X is popped
if $\gamma = X$ then stack is unchanged
if $\gamma = ZX$ then X replaced by Y and Z pushed

✓ i.e.
1) $\emptyset + R = R$

concatenation

2) $\emptyset R + R \emptyset = \emptyset$

3) $\epsilon R + R \epsilon = R$

4) $\epsilon^* = \epsilon$ and $\emptyset^* = \epsilon$

5) $R + R = R$

concatenation of the closure

6) $R^* R^* = R^*$ of two i.e.

7) $RR^* = R^*R = R^*$

8) $(R^*)^* = R^*$

9) $\epsilon + RR^* = \epsilon + R^*R = R^*$

10) $(PQ)^*P = P(PQ)^*$

11) $(P+Q)^* = (P^*Q^*)^* = (P^*+Q^*)^*$
 $= P^*(Q(P^*))^*$

12) $(P+Q)R = PR + QR$ and
 $R(P+Q) = RP + RQ$

(example) prove that $R = QP^*$ is a solution to $R = Q + RP$

$$\begin{aligned} R &= Q + RP \\ &= Q + QP^*P \\ &= Q(\epsilon + P^*P) \\ &= QP^* \end{aligned}$$

Arden's Theorem
 $R = Q + RP$
 \downarrow
 $R = QP^*$

(example) language accepting strings of length exactly 2 over $\{a, b\}$

$$L = \{aa, ab, ba, bb\}$$

$$R = aa + bb + ba + ab$$

$$= a(a+b) + b(a+b)$$

$$= (a+b)(a+b)$$

$$\{a, b\}\{a, b\}$$

(example) language accepting strings of length atleast 2 over $\{a, b\}$

$$L = \{aa, ab, ba, bb, aaaa, \dots\}$$

$$R = (a+b)(a+b)(a+b)^* \quad \{a, b\}\{a, b\}\{a, b\}^*$$

(example) language accepting strings of length atmost 2 over $\{a, b\}$

$$L = \{\epsilon, a, b, aa, ab, ba, bb\}$$

$$\begin{aligned} R &= \epsilon + a + b + aa + ab + ba + bb \\ &= (\epsilon + a + b)(\epsilon + a + b) \end{aligned}$$

Properties (from lecture 9) but not necessarily true when reversed!!
 closed under union \cup e.g. $L_1 \cup L_2$ is r.Q. $\Rightarrow L_1$ is regular

L_1 and L_2 are r.l., then $L_1 \cup L_2$ is regular

$$L_1: M_1 = (Q_1, A, \Sigma_1, i_1, T_1)$$

$$L_2: M_2 = (Q_2, A, \Sigma_2, i_2, T_2)$$

$$L_1 \cup L_2 : \left\{ \begin{array}{l} M = (Q_1 \times Q_2, A, \Sigma_1 \cup \Sigma_2, (i_1, i_2), Q_1 \times T_2 \cup T_1 \times Q_2) \\ \sigma((P_1, P_2), a) = (\sigma_1(P_1, a), \sigma_2(P_2, a)) \end{array} \right.$$

closed under intersection \cap

$$M = (Q_1 \times Q_2, A, \Sigma, (i_1, i_2), T_1 \times T_2)$$

$$\sigma((i_1, i_2), w) = (\sigma_1(i_1, w), \sigma_2(i_2, w))$$

closed under complement \complement 补集

complement of L : $A^* - L$

$$\bar{M} = (Q, A, \Sigma, i, Q - T)$$

closed under difference $-$

$$L_1 - L_2$$

$$M = (Q_1 \times Q_2, A, \Sigma, (i_1, i_2), T_1 \times (Q_2 - T_2))$$

$$\sigma((i_1, i_2), w) = (\sigma(i_1, w), \sigma(i_2, w))$$

$$\text{also, } L_1 - L_2 = L_1 \cap (A^* - L_2) = L_1 \cap \bar{L}_2$$

closed under reversal

$$M = (Q, A, \Sigma^{-1}, T, i)$$

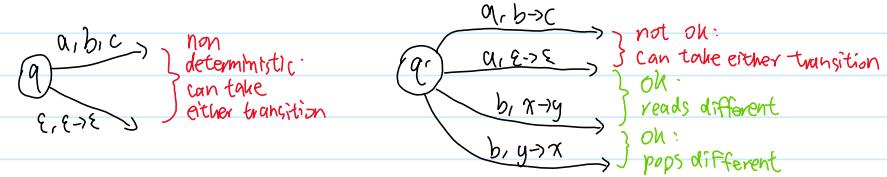
$$\sigma^{-1}(P, a) = q \text{ if } \sigma(q, a) = P$$

Simplification of PDA

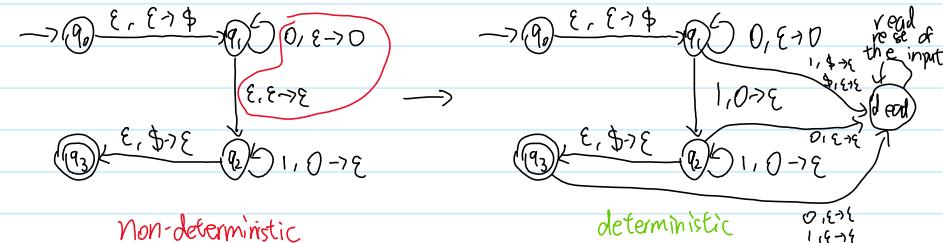
1. Force stack to end empty
2. Every transition starts or ends empty

(pass)

Deterministic CFLs \rightarrow DPDA's



Example $L = \{0^n 1^n : n \geq 0\}$



Non-deterministic

deterministic

DCFL \neq CFL

DCFL properties

1. Closed under complement
2. NOT closed under union / intersection
3. NOT closed under concatenation

[Notes]

Formal definition

$$P = (Q, \Sigma, \Gamma, \delta, q_0, Z, f)$$

Q = Finite set of states

Σ = Finite set of input symbols
 Z = Finite set of stack symbols

Takes arguments as a triple $\delta(q, a, X)$ where
 1. q is a state in Q
 2. a is either an input symbol in Σ or ϵ

Pushdown Automata

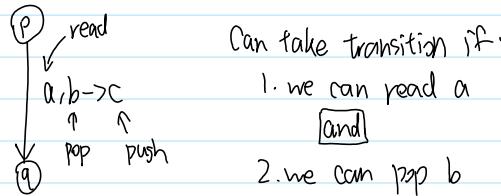
2020年11月30日 下午 12:37

- machine model for CFGs

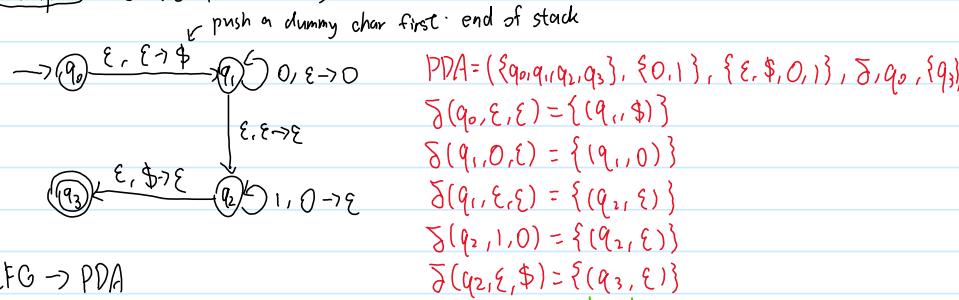
similar to NFA, but on each transition, we can:

- o push (or not) } to the stack ↗ starts empty
- o pop (or not) ↗ can end non-empty

transition:

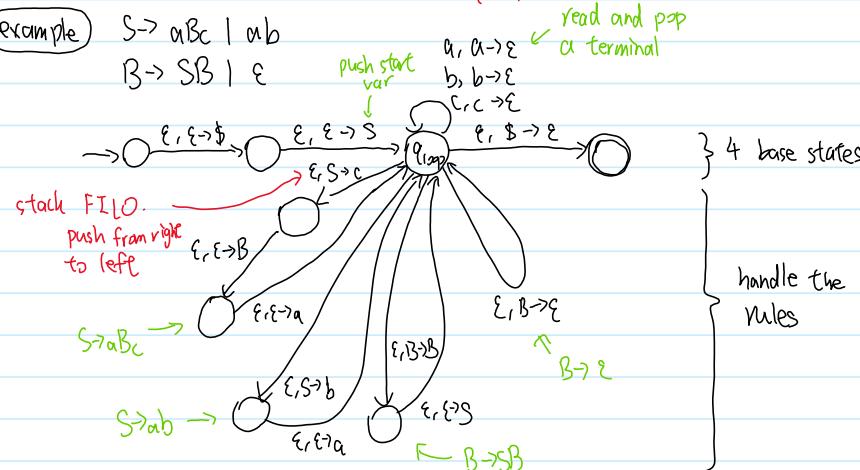


(example) $L = \{0^n 1^n \mid n \geq 0\}$



CFG \rightarrow PDA

(example) $S \rightarrow \alpha B c \mid \alpha b$
 $B \rightarrow S B \mid \epsilon$



closed under closure *
closed under concatenation

Apply closure properties to prove a language is not regular

(example) Prove that $\{a^m b^n c^{m-n} \mid m \geq n \geq 0\}$ is not regular

Suppose

$L_1 = \{a^m b^n c^{m-n} \mid m \geq n \geq 0\}$ is regular.

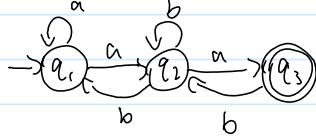
we take another regular language $L_2 = \alpha^* b^*$

then $L_1 \cap L_2 = \{a^m b^m \mid m \geq 0\}$, which is not regular
this contradicts with the assumption that L_1 is regular
therefore, L_1 is not regular.

NFA to Regular Expression

2020年10月5日 下午 8:52

(example) find the r.e. for the following NFA



equations for states

$$q_3 = q_2 a \quad \textcircled{1}$$

$$q_2 = q_1 a + q_2 b + q_3 b \quad \textcircled{2}$$

$$q_1 = \epsilon + q_1 a + q_2 b \quad \textcircled{3}$$

② substitute to ①

$$q_3 = (q_1 a + q_2 b + q_3 b) a$$

$$q_3 = q_1 a a + q_2 b a + q_3 b a \quad \textcircled{4}$$

① into ②

$$q_2 = q_1 a a + q_2 b + (q_2 a) b$$

$$q_2 = q_1 a a + q_2 b + q_2 a b$$

$$q_2 = q_1 a a + q_2 (b + a b)$$

$$q_2 = (q_1 a) (b + a b)^* \quad \textcircled{5} - \text{by Arden's Theorem}$$

⑤ into ①

$$q_1 = \epsilon + q_1 a + ((q_1 a) (b + a b)^*) b$$

$$q_1 = \epsilon + q_1 a + (a + a (b + a b)^*) b$$

$$q_1 = \epsilon ((a + a (b + a b)^*) b)^*$$

$$q_1 = (a + a (b + a b)^* b)^* \quad \textcircled{6}$$

final state ③

$$q_3 = q_2 a$$

$$q_3 = q_1 a (b + a b)^* a \quad \text{from } \textcircled{5}$$

$$q_3 = (a + a (b + a b)^* b)^* a (b + a b)^* a \leftarrow \text{expressed completely with input symbols} \Rightarrow \text{required r.e.}$$

case 1: vxy in the first $0^P 1^P$:

$$\underbrace{0 \dots}_{u} \underbrace{0 1 \dots}_{vxy} \underbrace{1 0 \dots}_{z} \underbrace{0 1 \dots}_{z}$$

when $i=2$, the first part is not the same as the second part.

case 2: vxy in the second $0^P 1^P$

similar to case 1.

case 3: vxy in $1^P 0^P$

$$\underbrace{0 \dots}_{u} \underbrace{0 1 \dots}_{vxy} \underbrace{1 0 \dots}_{z} \underbrace{0 1 \dots}_{z}$$

when $i=2$, the first part will have more 1 than the second part, hence not the same.

therefore, L cannot be pumped under all cases.

L is not CFL.

(example) $L_4 = \{ w \in \{a, b, c, d\}^*: \# \text{ of } c's > \# \text{ of } a's, b's, d's \}$

Assume L_4 is a CFL, so there exists a pumping length P for L_4 . we choose a string $w = c^{p+1} a^p b^p d^p$, and decompose it into $uvxyz$ such that $|vy| \geq 1$ and $|vxy| \geq P$

case 1: vxy in c 's

when $i=0$, # of c 's \leq # of a 's

case 2: vxy only in a 's

when $i=2$, # of a 's $>$ # of c 's

case 3: vxy only in b 's or d 's

similar to case 2

case 4: vxy in a 's and b 's

when $i=2$, # of a 's or # of b 's \geq # of c 's

case 5: vxy in b 's and d 's

similar to case 4

case 6: vxy in c 's and a 's

when $i=0$, # of c 's \leq # of b 's

or # of c 's \leq # of d 's

therefore, w cannot be pumped under all cases

L_4 is not CFL.

$w = \underbrace{a \dots a}_{n} \underbrace{ab \dots ab}_{v, x, y} \underbrace{c \dots c}_{z}$

then when $i=2$, the number of a and b will be larger than P, while the number of c is P
so $uv^ixy^2 \notin L$

case 4: v and y only contain b's and c's
Same as case 3

therefore, w cannot be pumped under all cases
 L_1 is not CFL.

(example) $L_2 = \{a^i b^j c^k \mid i \leq j \leq k\}$

Suppose L_2 is CFL, then there is a pumping length P for L_2 .
we choose $w = a^P b^P c^P$

we decompose w into $uvxyz$ such that $|vy| \geq 1$ and $|vxy| \leq P$

case 1: v and y are only in a's

\Rightarrow when $i=2$, there will be more a's than b's

case 2: v and y are only in b's

\Rightarrow when $i=2$, then there will be more b's than c's

case 3: v and y are only in c's

\Rightarrow when $i=0$, then there will be more b's than c's

case 4: v and y has a's and b's

\Rightarrow when $i=2$, then there will be more b's than c's

case 5: v and y has b's and c's

\Rightarrow when $i=0$, then there will be more a's than b's

therefore, w cannot be pumped under all cases

L_2 is not CFL

(example) $L_3 = \{ww \mid w \in \{0, 1\}^*\}$

Suppose L_3 is a CFL, then there exists a pumping length P for L
we choose $S = 0^P 1^P 0^P 1^P$ and decompose S into $uvxyz$ such that
 $|vy| \geq 1$ and $|vxy| \leq P$

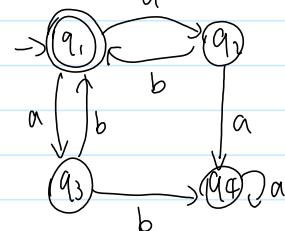
case 1: v and y in the first $0^P 1^P$:

$0 \dots 0 \underbrace{1 \dots 1}_{v, x, y} \underbrace{0 \dots 0}_{z} \dots 1$

DFA to Regular Expression

2020年10月5日 下午 9:16

(example) find the regular expression for the following DFA



$$q_1 = \epsilon + q_2 b + q_3 a \quad (1)$$

$$q_2 = q_1 a \quad (2)$$

$$q_3 = q_1 b \quad (3)$$

$$q_4 = q_2 a + q_3 b + q_4 a + q_4 b \quad (4)$$

$$①: q_1 = \epsilon + q_2 b + q_3 a$$

$$q_1 = \epsilon + q_1 a b + q_1 b a \quad \text{by } (2), (3)$$

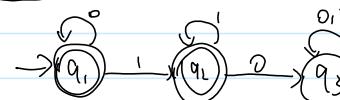
$$q_1 = \epsilon + q_1 (a b + b a)$$

$$q_1 = \epsilon (a b + b a)^* \quad \text{by Arden's Theorem}$$

$$q_1 = (a b + b a)^* \quad (4)$$

↙ required regular expression

(example) find the r.e. for the following DFA (multiple final states)



$$q_1 = \epsilon + q_2 0 \quad (1)$$

$$q_2 = q_1 1 + q_2 1 \quad (2)$$

$$q_3 = q_2 0 + q_3 0 + q_3 1 \quad (3)$$

$$①: q_1 = \epsilon + q_2 0$$

$$q_1 = \epsilon 0^* \quad \text{by Arden's Theorem}$$

$$q_1 = 0^* \quad (4)$$

$$②: q_2 = q_1 1 + q_2 1$$

$$q_2 = 0^* 1 + q_2 1 \quad \text{by } (4)$$

$$q_2 = 0^* 1 1^* \quad \text{by Arden's Theorem} \quad (5)$$

R would be the union of both final states

$$R = 0^* + 0^* 1 1^* \quad \text{by } (4), (5)$$

$$R = 0^* + 0^* 1 1^*$$

by ④ ⑤

$$R = 0^* (\epsilon + 1 1^*)$$

$$R = 0^* 1^*$$

Pumping Lemma for CFL

2020年11月16日 下午 2:57

let L be a CFL

then there exists a "pumping constant" p for L

then for all $w \in L$ with $|w| \geq p$,

there exists u, v, x, y, z such that

$w = uvxyz$ such that

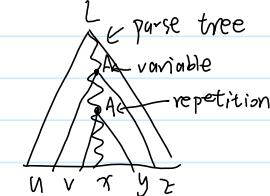
1. $uv^ixyz \notin L$ for all $i \geq 0$

2. $|vy| \geq 1$ (or $vy \neq \epsilon$)

3. $|vxy| \leq p$

number of vars

2



Prove steps

1. Assume L is a CFL

2. Exists a pumping constant p for L

3. Pick some string $w \in L$ with $|w| \geq p$

4. Look at all decompositions of w into $uvxyz$ such that:

a) $|vy| \geq 1$

b) $|vxy| \leq p$

5. find one i such that $uv^ixyz \notin L$

(example) prove that $\{a^n b^n c^n \mid n \geq 0\}$ is not CFL

Proof: Suppose L_1 is a CFL

then there exists a pumping length p for L_1

we choose a string $w = a^p b^p c^p$ such that $w \in L$ and $|w| \geq p$

we then look at all the decompositions of w into $uvxyz$

such that $|vy| \geq 1$ and $|vxy| \leq p$:

case 1: v and y only contain a 's

$a a a a \dots a b \dots b c \dots c$
w w w w
 v x y z

then when $i=2$, $uv^ixyz = a^{p+2} b^p c^p \notin L$

case 2: v and y only contain b 's or c 's

same as case 1

case 3: v and y only contain a 's and b 's

$a \dots a b \dots b c \dots c$
 v x y z

$Z \rightarrow bA_5Z | bA_4A_5A_5Z | bZA_5Z | bA_4A_5ZA_5Z |$
 $bA_5 | bA_4A_5A_5 | bZA_5 | bA_4A_5ZA_5$

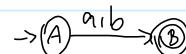
$A_3 = b$
 $A_4 \rightarrow a$

Regular Expression to Finite Automata

2020年10月5日 下午 9:39

▷ Union

$(a+b)$



▷ Concatenation

(ab)

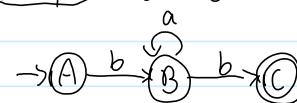


▷ Closure

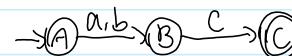
a^*



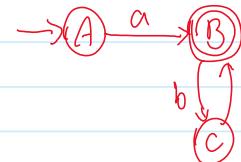
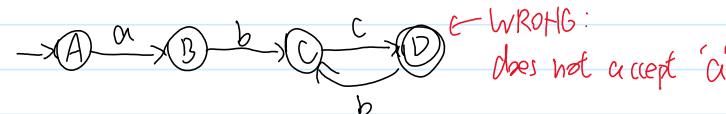
(example) $b a^* b$



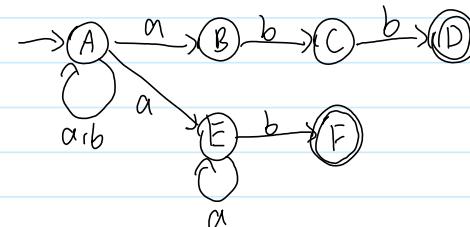
(example) $(a+b)c$



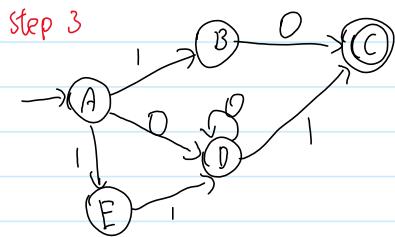
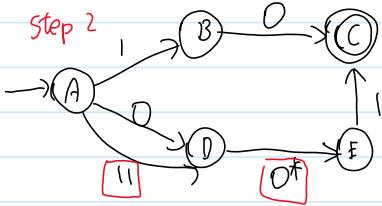
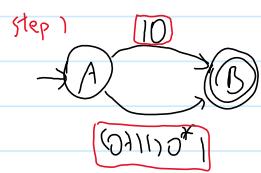
(example) $a(bc)^*$



(example) $(a \cup b)^*(abbba^+b)$



Example $10 + (0+11)0^*$



$\rightarrow \Sigma = \{A, B\}$
 $\Sigma \rightarrow b | SB$
 $C \rightarrow b$
 $A \rightarrow a$

change variable name in ascending order:

$S' \rightarrow A_1, \dots$
 $S \rightarrow A_2, \dots$
 $C \rightarrow A_3, \dots$
 $A \rightarrow A_4, \dots$
 $B \rightarrow A_5, \dots$

↑ ascending order
which they appear $\Rightarrow A_5 \rightarrow b | A_2 A_5$

alter rules so that $i < j$ for $A_i \rightarrow A_j$

$A_5 \rightarrow b | A_2 A_5$
 $A_5 \rightarrow b | A_3 A_4 A_5 | A_5 A_5 A_5$
 $A_5 \rightarrow b | b A_4 A_5 | A_5 A_5 A_5$

left recursion: rule in the form of $A \rightarrow Aa$ for $A \in V$

remove left recursion:

- introduce new variable

$A_5 \rightarrow b | b A_4 A_5 | A_5 A_5 A_5$

problematic, remove
the rest: write with Z once,
 $A_5 A_5 A_5$: write alone once

let $Z \rightarrow A_5 A_5 Z | A_5 A_5$

then, $A_5 \rightarrow b | b A_4 A_5 | b Z | b A_4 A_5 Z$

add Z

now the grammar is:

$A_1 \rightarrow A_3 A_4 | A_5 A_5$

replace with A_3
replace with A_5

$A_2 \rightarrow A_3 A_4 | A_5 A_5$

$A_3 \rightarrow b | b A_4 A_5 | b Z | b A_4 A_5 Z$

$Z \rightarrow A_5 A_5 Z | A_5 A_5$

$A_4 \rightarrow b$

$A_5 \rightarrow a$

\cup

$A_1 \rightarrow b A_4 | b A_5 | b A_4 A_5 A_5 | b Z A_5 | b A_4 A_5 Z A_5$

$A_2 \rightarrow b A_4 | b A_5 | b A_4 A_5 A_5 | b Z A_5 | b A_4 A_5 Z A_5$

$A_3 \rightarrow b | b A_4 A_5 | b Z | b A_4 A_5 Z$

$Z \rightarrow b A_5 Z | b A_4 A_5 A_5 Z | b Z A_5 Z | b A_4 A_5 Z A_5 Z |$

$b A_5 | b A_4 A_5 A_5 | b Z A_5 | b A_4 A_5 Z A_5$

Greibach Normal Form

2020年11月9日 下午 6:29

in the form of

$$A \rightarrow b$$

$$A \rightarrow bC_1C_2C_3 \dots C_n$$

where b is terminal and A, C_1, \dots, C_n are variables

(steps)

△ convert to CNF

△ change the names of variables into some A_i in ascending order of i

△ if a rule is in the form $A_i \rightarrow A_j x$, then alter the rule so that $i < j$

△ remove left recursion

$$\overbrace{A_1 \rightarrow A_2 A_3}^{1 \leq 2}, \text{OK}$$

$$\overbrace{A_1 \rightarrow A_4 A_4}^{1 \leq 4}, \text{OK}$$

$$\overbrace{A_4 \rightarrow A_1 A_4}^{4 > 1}, \text{not OK} \rightarrow \text{substitute } A_1$$

$$\overbrace{A_4 \rightarrow A_4 A_4}^{4 > 4}, \text{left recursion, not OK.} \rightarrow \text{remove left recursion}$$

unnecessary here

first: convert to CNF

① add new start state $S' \rightarrow S$

the reason why we don't call an S in RHS is S is allowed to give ϵ while other variables are not, so it may implicitly add ϵ to the rules.

② nullable variables: none

However here S does not give ϵ , therefore adding $S\epsilon$ is not necessary.

④ remove terminal / variable mix

$$P: S' \rightarrow [S]$$

$$P = S' \rightarrow CAIBB$$

$$S \rightarrow CAIBB$$

$$B \rightarrow b|SB$$

$$C \rightarrow b$$

$$A \rightarrow a$$

$$S \rightarrow CAIBB$$

$$B \rightarrow b|SB$$

$$C \rightarrow b$$

$$A \rightarrow a$$

⑤ break up long RHS: nothing to break.

$$\text{CNF: } P = S' \rightarrow CAIBB$$

$$S \rightarrow CAIBB$$

$$B \rightarrow b|SB$$

Minimization of DFA

2020年10月8日 上午 11:08

minimize DFAs by combining equivalent states

two states ' A ' and ' B ' are said to be equivalent if

$$\delta(A, x) \rightarrow F \quad \delta(B, x) \rightarrow F$$

and or and

$$\delta(A, x) \rightarrow F \quad \delta(B, x) \rightarrow F$$

length

does not go to

if $|x| = 0$ then A and B are said to be 0 equivalent

$$|x| = 1 \dots$$

:

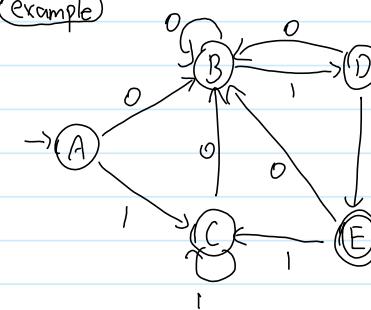
$$|x| = h$$

where ' x ' is any input string

\dots 1 equivalent

n equivalent

(example)



transition table

	0	1
A	B	C
B	D	E
C	B	C
D	B	E
E	B	C

non-final states

$$\{A, B, C, D\}$$

final states

$$\{E\}$$

$$(\delta(A, x) \rightarrow F \text{ and } \delta(A, x) \rightarrow F)$$

$$\{A, B\}$$

$$\{C, D\}$$

$$\{E\}$$

$$(\delta(A, x) \rightarrow F \text{ and } \delta(A, x) \rightarrow F)$$

$$\{A, C\}$$

$$\{B\}$$

$$\{D\}$$

$$\{E\}$$

$$(\delta(A, x) \rightarrow F \text{ and } \delta(A, x) \rightarrow F)$$

$$\{A, C\}$$

$$\{B\}$$

$$\{D\}$$

$$\{E\}$$

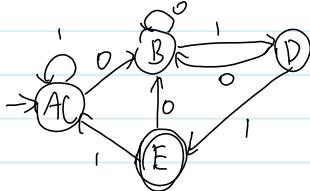
same,

\hookrightarrow Equivalence 1: $\{A, C\} \cap \{B\} \cap \{D\} \cap \{E\}$

same,
stop

3 Equivalence $\{A, C\} \cap \{B\} \cap \{D\} \cap \{E\}$
final 4 states

	0	1
$\rightarrow A$	B	C
B	B	D
C	B	C
D	B	E
\circled{E}	B	C

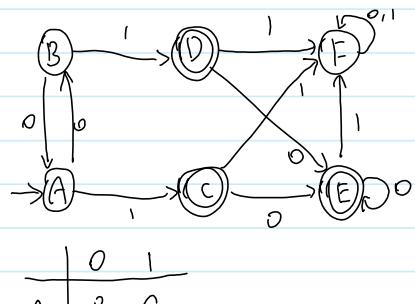


(example)

	0	1
$\rightarrow q_0$	q_1 q_5	$\{q_0, q_1, q_3, q_4, q_5, q_6, q_7\} \cap \{q_2\}$
q_1	q_6 q_2	1 Equivalence
q_2	q_0 q_2	$\{q_0, q_4, q_6\}$
q_3	q_0 q_6	$\{q_1, q_7\}$
q_4	q_7 q_5	$\{q_3, q_5\} \cap \{q_2\}$
q_5	q_2 q_6	
q_6	q_6 q_4	2 Equivalence
q_7	q_6 q_2	$\{q_0, q_4\} \cap \{q_6\}$
		$\{q_1, q_7\} \cap \{q_3, q_5\} \cap \{q_2\}$
		3 Equivalence
		$\{q_0, q_4\} \cap \{q_6\} \cap \{q_1, q_7\} \cap \{q_3, q_5\} \cap \{q_2\}$

	0	1
$\rightarrow q_0$, q_4	q_0, q_7	$\{q_0, q_7\} \cap \{q_3, q_5\}$
q_6		$\{q_6\}$
q_0		$\{q_6\} \cap \{q_0, q_4\}$
q_1		$\{q_1, q_7\} \cap \{q_2\}$
q_3		$\{q_3, q_5\} \cap \{q_2\}$
q_2		$\{q_2\} \cap \{q_6\}$

(example) with more than 1 final states



- 1 equivalence
 $\{A, B, F\} \cap \{C, D, E\}$
- 2 equivalence
 $\{A, B\} \cap \{F\} \cap \{C, D, E\}$
- 3 equivalence
 $\{A, B\} \cap \{F\} \cap \{C, D, E\}$

therefore, the CNF of the CFG is:

$$P: S' \rightarrow Y_1 B \mid b \mid U_a B$$

$$S \rightarrow Y_1 B \mid b \mid U_a B$$

$$A \rightarrow Y_1 B \mid b \mid U_a B \mid AB \mid Y_2 U_b \mid Y_3 A \mid SA \mid AS$$

$$B \rightarrow Y_2 U_b \mid Y_3 A \mid SA \mid AS \mid Y_1 B \mid b \mid U_a B$$

$$U_a \rightarrow a$$

$$U_b \rightarrow b$$

$$Y_1 \rightarrow AU_a$$

$$Y_2 \rightarrow U_b U_b$$

$$Y_3 \rightarrow AS$$

$$P: S' \rightarrow S, S \rightarrow AaB|b|aB, A \rightarrow S|AB|B, B \rightarrow bbb|ASA|SA|AS$$

③ unit productions

$$S' \rightarrow S$$

$$S \rightarrow AaB|b|aB$$

□: unit productions

$$A \rightarrow S|AB|B$$

$$B \rightarrow bbb|ASA|SA|AS$$



$$S' \rightarrow AaB|b|aB$$

$$S \rightarrow AaB|b|aB$$

□: replaced

$$A \rightarrow AaB|b|aB|AB|bbb|ASA|SA|AS$$

$$B \rightarrow bbb|ASA|SA|AS|AaB|b|aB$$

④ replace terminals

$$U_a \rightarrow a$$

$$U_b \rightarrow b$$

single terminal can safe to be left

$$S' \rightarrow AUaB|b|UaB$$

$$S \rightarrow AUaB|b|UaB$$

$$A \rightarrow AUaB|b|UaB|AB|UbUbUb|ASA|SA|AS$$

$$B \rightarrow UbUbUb|ASA|SA|AS|AUaB|b|UaB$$

⑤ break up RHS

$$\gamma_1 \rightarrow AUa$$

$$S' \rightarrow \gamma_1 B|b|UaB$$

$$S \rightarrow \gamma_1 B|b|UaB$$

$$\gamma_2 \rightarrow UbUb$$

$$\gamma_3 \rightarrow AS$$

$$A \rightarrow \gamma_1 B|b|UaB|AB|\gamma_2 Ub|\gamma_3 A|SA|AS$$

$$B \rightarrow \gamma_2 Ub|\gamma_3 A|SA|AS|\gamma_1 B|b|UaB$$

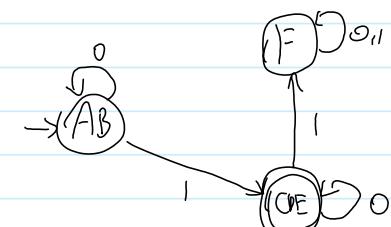
$$Ua \rightarrow a$$

$$Ub \rightarrow b$$

	0	1
$\rightarrow A$	B	C
B	A	D
C	E	F
D	E	F
E	E	F
F	F	F

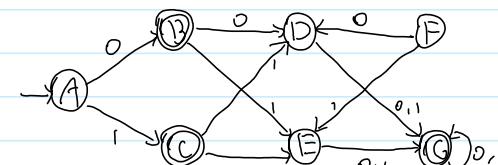
	0	1
$\rightarrow S AB$	{AB}	{CF}
S=1	{B}	{F}
	(CDB)	(CF)
	{CDE}	{F}

{A, B} {F} {C, D, E}

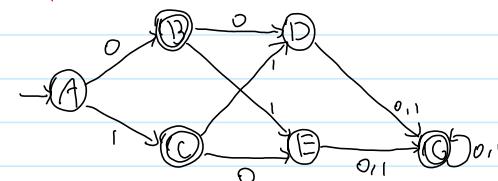


(example) with unreachable state

step 2: proceed as normal

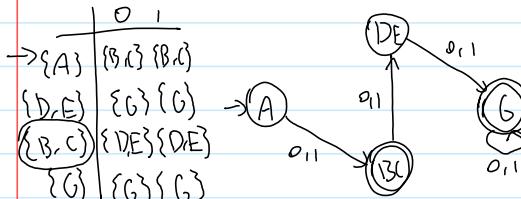


step 1: remove unreachable state



0 equivalence
{A, D, E} {B, C, G}
1 equivalence
{A, D, E} {B, C} {G}

2 equivalence
{A} {D, E} {B, C} {G}
3 equivalence
{A} {D, E} {B, C} {G}



Pumping Lemma

2020年10月12日 上午 11:10

ref: youtube v=I3FuVKVgLCA

Used to prove that a language is not regular
 cannot be used to prove that a language is regular

If A is a regular language, then A has a pumping length 'P' such that any string 'S' where $|S| \geq P$ may be divided into 3 parts $S = xyz$ such that the following conditions must be true:

- (1) $xy^iz \in A$ for every $i \geq 0$
- (2) $|y| > 0$
- (3) $|xy| \leq P$

To prove that a language is not regular using pumping lemma:
 proof by contradiction.

- Assume A is regular
- It has to have a pumping length P
- All strings longer than P can be pumped $|S| \geq P$
- Find a string S in A such that $|S| \geq P$
- Look at every decomposition of S into xyz such that $|y| \geq 1$ and $|xy| \leq P$
- Find one i for each decomposition such that $xy^iz \notin A$
- S cannot be pumped == CONTRADICTION

(example) prove that $A = \{0^n 1^n \mid n \geq 0\}$ is not regular
 ↗ not regular as it requires counting

Prof: Assume that A is a regular language

then A has a pumping length P

We choose a string $S = 0^P 1^P$ so that $|S| \geq P$

then, we look at every decomposition of S into xyz such that

$|y| \geq 1$ and $|xy| \leq P$:

$$\begin{cases} x = 0^\alpha, \alpha \geq 0 \\ y = 0^\beta, \beta \geq 1 \\ z = 0^{P-\alpha-\beta} 1^P \end{cases} \quad \text{when } i=2, xy^iz = 0^\alpha 0^\beta 0^{P-\alpha-\beta} 1^P = 0^{P+\beta} 1^P \notin A$$

therefore, S cannot be pumped, which contradicts with the assumption
 hence A is not regular. ■

Chomsky Normal Form

2020年11月9日 下午 3:34

- restrictions on the RHS

Rules

1. only rule that can make ϵ is $S \rightarrow \epsilon$
2. $A \rightarrow a \quad \left\{ \begin{array}{l} A, B, C \in V, a \in \Sigma, B, C \neq S \\ A \rightarrow BC \end{array} \right.$

Steps

1. Ensure start variable S not on RHS of any rule.

$$\begin{array}{c} S \rightarrow \dots \\ A \rightarrow SB \\ S \rightarrow \dots \\ A \rightarrow SB \end{array} \Rightarrow \begin{array}{c} S' \rightarrow S \\ \text{new start variable} \\ S \rightarrow \dots \\ A \rightarrow SB \end{array}$$

2. Remove null productions

3. Remove unit productions

4. Break up mix of vars and terminals

$$\begin{array}{c} A \rightarrow \alpha \alpha \beta \\ \uparrow \text{mix of vars and terminals} \\ A \rightarrow \alpha \cup \alpha \beta \end{array}$$

5. Break up RHS

$$\begin{array}{c} A = Y_1 DE \\ A = BCDE \Rightarrow Y_1 = BC \Rightarrow Y_1 = BC \\ Y_2 = Y_1 D \end{array}$$

Order matters

(example) p: $S \rightarrow AaB1b, A \rightarrow S1\epsilon|AB, B \rightarrow bbb|ASA$

① add new start state $S' \rightarrow S$

p: $S' \rightarrow S, S \rightarrow AaB1b, A \rightarrow S1\epsilon|AB, B \rightarrow bbb|ASA$

② nullable variable: A

add $S \rightarrow aB, A \rightarrow B, B \rightarrow S1AS1S$

p: $S' \rightarrow S, S \rightarrow AaB1baB, A \rightarrow S1AB1B, B \rightarrow bbb1ASA|S1AS1S$

Unit productions: $Y \rightarrow Z$, $Z \rightarrow M$, $M \rightarrow N$

for $M \rightarrow N$:

since $N \rightarrow a$, we add $M \rightarrow a$, and remove $M \rightarrow N$

P: $S \rightarrow XY$, $X \rightarrow a$, $Y \rightarrow Z|b$, $Z \rightarrow M$, $M \rightarrow a$, $N \rightarrow a$

for $Z \rightarrow M$:

since $M \rightarrow a$, we add $Z \rightarrow a$ and remove $Z \rightarrow M$

P: $S \rightarrow XY$, $X \rightarrow a$, $Y \rightarrow Z|b$, $Z \rightarrow a$, $M \rightarrow a$, $N \rightarrow a$

for $Y \rightarrow Z$:

since $Z \rightarrow a$, we add $Y \rightarrow a$ and remove $Y \rightarrow Z$

P: $S \rightarrow XY$, $X \rightarrow a$, $Y \rightarrow a|b$, $Z \rightarrow a$, $M \rightarrow a$, $N \rightarrow a$

therefore, S cannot be pumped, which contradicts with the assumption
hence L is not regular. ■

(example) prove that $L = \{0^i 1^j \mid i > j\}$ is not regular

Proof: Suppose L is regular

then there exists a pumping length p for L

We choose a string $S = 0^p 1^p$ so that $|S| > p$

then, we look at all decompositions of S into $x y z$ such that

$|y| \geq 1$ and $|xy| \leq p$:

$$\begin{cases} x = 0^\alpha, \alpha \geq 0 \\ y = 0^\beta, \beta \geq 1 \\ z = 0^{p+1-\alpha-\beta} \end{cases} \quad \begin{array}{l} \text{when } i = 0, xy^i z = 0^\alpha 0^{p+1-\alpha-\beta} 1^p \\ = 0^{p+1-\beta} 1^p \\ \text{since } \beta \geq 1, p+1-\beta \leq p \\ \text{so } xy^i z \notin L \end{array}$$

therefore S cannot be pumped, which contradicts with the assumption
hence L is not regular. ■

(example) prove that $L = \{0^{n^2} \mid n \in \mathbb{N}\}$ is not regular

Proof: Suppose L is regular

then there exists a pumping length p for L

We choose a string $S = 0^{p^2}$ so that $|S| > p$

then, for every decomposition of S into $x y z$ such that

$|y| \geq 1$ and $|xy| \leq p$:

when $i = 2$, $xy^i z = xy^2 z$

$|xy^2 z| = p^2 + |y|$

since $|y| \geq 1$, $p^2 + |y| > p^2$

since $|y| \leq p$, $p^2 + |y| \leq p^2 + p$

and we have $(p+1)^2 = p^2 + 2p + 1$

therefore: $p^2 < |xy^2 z| < (p+1)^2$, so $xy^2 z \notin L$

therefore S cannot be pumped, which contradicts with the assumption
hence L is not regular. ■

(example) prove that $L = \{0^n \mid n \text{ is a prime number}\}$ is not regular

Proof: Suppose L is regular

cannot choose $w = 0^p$

Lemma prove $L = \{w \mid w \text{ is a prime number}\}$ is not regular

Proof: Suppose L is regular
 then there exists a pumping length P for L
 we choose a string $w = 0^P$ where P is a prime and $|w| \geq P + 2$

cannot choose $w = 0^P$
as P may not be a prime

- Procedure
- ▷ for each rule $A \rightarrow \alpha\beta$ where α, β is any mix of vars or terminals, and B is a single var, add $A \rightarrow \alpha B$
 - ▷ if S' is nullable, add $S' \rightarrow \epsilon$

(example) remove null productions from the following grammar:

$P: S \rightarrow ABAC, A \rightarrow aA|\epsilon, B \rightarrow bB|\epsilon, C \rightarrow c$

null productions: $A \rightarrow \epsilon, B \rightarrow \epsilon$

for $A \rightarrow \epsilon$:
 $S \rightarrow ABAC \mid ABC \mid BAC \mid BC$
 $A \rightarrow aA \mid a$

therefore, $P: S \rightarrow ABAC \mid ABC \mid BAC \mid BC$
 $A \rightarrow aA \mid a, B \rightarrow bB \mid \epsilon, C \rightarrow c$

for $B \rightarrow \epsilon$:
 $S \rightarrow ABAC \mid ABC \mid BAC \mid BC \mid AAC \mid AC \mid C$
 $B \rightarrow bB \mid b$
 therefore, $P: S \rightarrow ABAC \mid ABC \mid BAC \mid BC \mid AAC \mid AC \mid C$
 $A \rightarrow aA \mid a, B \rightarrow bB \mid b, C \rightarrow c$

Remove unit productions

- Any production rule of the form $A \rightarrow B$ where $A, B \in \text{non-terminals}$ is called unit production

Procedure

- ▷ To remove $A \rightarrow B$, add production $A \rightarrow x$ to the grammar whenever $B \rightarrow x$ occurs in the grammar. [$x \in \text{terminal} \mid x \text{ can be}$]
- ▷ Delete $A \rightarrow B$ from the grammar
- ▷ Repeat until all unit productions are removed.

(example) Remove unit productions from the grammar whose production rule is given by

$P: S \rightarrow X \mid X \rightarrow a, Y \rightarrow Z \mid b, Z \rightarrow M, M \rightarrow N, N \rightarrow a$

Simplification of CFG

2020年11月9日 下午 1:56

3 steps

1. Remove useless productions
2. Remove unit productions
3. Remove null productions

Remove useless productions

1. Eliminate non-producing symbols
2. Eliminate unreachable symbols
- (1) Productions in which the removed symbol occurs are removed

(Example) Simplify:

$$\begin{aligned} P: S &\rightarrow abS \quad abA \mid abB \\ A &\rightarrow cd \\ B &\rightarrow aB \\ C &\rightarrow dc \end{aligned}$$

Solution: non-producing symbol: B

after removal:

$$\begin{aligned} P: S &\rightarrow abS \quad abA \\ A &\rightarrow cd \\ C &\rightarrow dc \end{aligned}$$

Unreachable symbol: C

after removal:

$$\begin{aligned} P: S &\rightarrow abS \quad abA \\ A &\rightarrow cd \end{aligned}$$

Remove null productions

- a non-terminal symbol A is nullable if there is a production $A \rightarrow \epsilon$ or $A \rightarrow \underline{X_1 X_2 \dots X_n}$ and each X_i is nullable

(Procedure)

- o for each rule $A \rightarrow \alpha \beta / \beta$, where α, β is any mix of vars or terminals, and

Ref: <https://www.youtube.com/watch?v=lEsDlI4Um7Y>
<https://www.youtube.com/watch?v=eUlUzH9fmXQ>
 ↑null and unit productions ↓useless symbols
<https://www.geeksforgeeks.org/simplifying-context-free-grammars/>
<https://www.sanfoundry.com/automata-theory-cfg-eliminating-useless-symbols/>

Equivalence of Two Finite Automata

2020年10月19日 下午 12:16

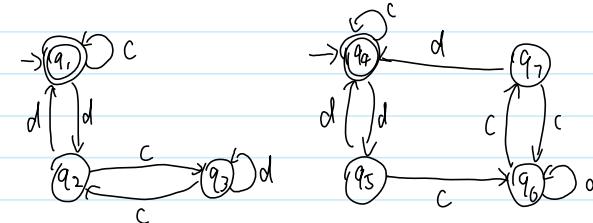
Steps to identify equivalence

- 1) For any pair of states $\{q_i, q_j\}$ the transition for input $a \in \Sigma$ is defined by $\delta\{q_i, a\}$ where $\delta\{q_i, a\} = q_a$, $\delta\{q_j, a\} = q_b$

The two automata are not equivalent if for a pair $\{q_a, q_b\}$ one is intermediate state and one is final state.

- 2) If the initial state is the final state for one automation, then the initial state must also be the final state for the second automation.

(Example)

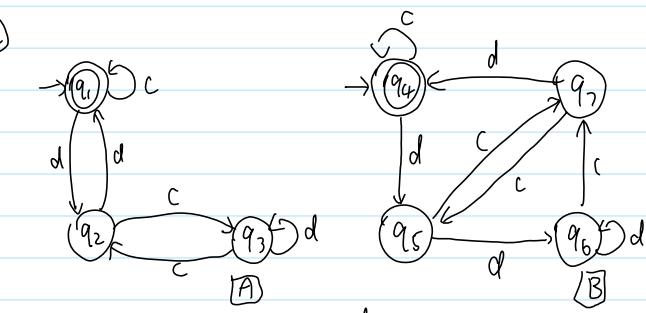


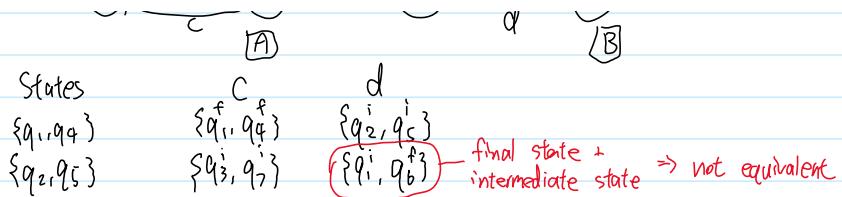
States	c	d
$\{q_1, q_4\}$	$\{q_1, q_4\}$	$\{q_2, q_3\}$
$\{q_2, q_5\}$	$\{q_3, q_6\}$	$\{q_1, q_4\}$
$\{q_3, q_6\}$	$\{q_2, q_3\}$	$\{q_3, q_6\}$
$\{q_2, q_7\}$	$\{q_3, q_6\}$	$\{q_1, q_4\}$

both final states or both intermediate states, ok.

therefore, the two finite automatas are equivalent

(Example)





since q_1 and q_6 are not of the same type of states
therefore, A and B are not equivalent.

(example) Convert the following ambiguous grammar into unambiguous grammar:

$$E \rightarrow a \mid E+E \mid E^*E$$

where + is addition and * is multiplication

Solution: The given grammar consists of the following operators:

+, *

The given grammar consists of the following operands:

a

The priority order is:

$a > * > +$

where:

the + and * operators can be seen as left associative

Using the precedence and associativity rules, we write the corresponding unambiguous grammar as:

$$\begin{aligned} E &\rightarrow E + T \mid T \\ T &\rightarrow T * a \mid a \end{aligned}$$

Ambiguous Grammar

2020年10月29日 下午 12:13

- there exists two or more **left** derivation trees for a string w

(example) $G = (\{S\}, \{a+b, +, *\}, S, P)$ where P consists of
 $S \rightarrow S+S \mid S*S \mid a+b$
the string $a+a * b$ can be generated as

$$\begin{array}{ll} S \rightarrow S+S & S \rightarrow S * S \\ \rightarrow a+S & \rightarrow S+S * S \\ \rightarrow a+S * S & \boxed{\text{or}} \quad \rightarrow a+S * S \\ \rightarrow a+a * S & \rightarrow a+a * S \\ \rightarrow a+a * b & \rightarrow a+a+b \end{array}$$

thus, this grammar is ambiguous.

Removing Ambiguity

Ref: <https://www.gatevidyalay.com/removing-ambiguity-grammar-ambiguity/>

Note: It is not always possible to convert an ambiguous grammar into an unambiguous grammar.

An ambiguous grammar may be converted into an unambiguous grammar by implementing

- △ Precedence Constraints
- △ Associativity Constraints

Precedence Constraints

- The level at which the production is present defines the priority of the operator contained in it
- The higher the level of the production, the lower the priority of operator
- The lower the level of the production, the higher the priority of operator

Associativity Constraints

- If the operator is left associative ($- / \cdot \dots$), induce left recursion on its production
- If the operator is right associative ($\wedge \cdot \dots$), induce right recursion on its production

Regular Grammar

2020年10月26日 上午 10:43

Noam Chomsky: mathematical model of grammar in 4 types:

Grammar Type	Grammar Accepted	Language Accepted	Automation
Type 0	Unrestricted Grammar	Recursively Enumerable Language	Turing Machine
Type 1	Context Sensitive Grammar	Context Sensitive Language	Linear Bounded Automaton
Type 2	Context Free Grammar	Context Free Language	Pushdown Automata
Type 3	Regular Grammar	Regular Language	Finite State Automaton

Grammar

$G = (V, T, S, P)$, where

V : Set of variables or non-terminal states

T : Set of terminal states

S : Start symbol

P : Production rules for terminals and non-terminals

$\alpha \rightarrow \beta$ has the form of $\alpha \rightarrow \beta$ where α and β are strings on $V \cup T$ and at least one symbol of α belongs to V .

(example) $G = (\{S, A, B\}, \{a, b\}, S, \{S \rightarrow AB, A \rightarrow a, B \rightarrow b\})$

$$\begin{array}{l} S \rightarrow AB \\ \rightarrow aB \\ \rightarrow ab \end{array}$$

Regular Grammar

- can be divided into two types:

Right Linear Grammar

- if all productions are of the form

$$A \rightarrow xB$$

$$A \rightarrow x$$

where $A, B \in V$ and $x \in T$

Left Linear Grammar

- if all productions are of the form

$$A \rightarrow Bx$$

$$A \rightarrow x$$

where $A, B \in V$ and $x \in T$

(example) $S \rightarrow abS \mid b$ - right linear
 $S \rightarrow Sbb \mid b$ - left linear

Derivations from a Grammar

- the set of strings that can be derived from a grammar is said to be the LANGUAGE generated from that grammar

(example) $G_1 = (\{S, A\}, \{a, b\}, S, \{S \rightarrow aAb, aA \rightarrow aaAb, A \rightarrow \epsilon\})$

$S \rightarrow aAb$ [by $S \rightarrow aAb$]
 $\rightarrow a aAb$ [by $aA \rightarrow aaAb$]
 $\rightarrow aAb$ [by $A \rightarrow \epsilon$]

↙ a derivation from G_1

↙ the language generated from G_1

$$L(G_1) = \{a^m b^m \mid m \geq 1\}$$

(example) $G_2 = (\{S, A, B\}, \{a, b\}, S, \{S \rightarrow AB, A \rightarrow a, B \rightarrow b\})$

$S \rightarrow AB$
 $\rightarrow ab$

$$L(G_2) = \{ab\}$$

(example) $G_3 = (\{S, A, B\}, \{a, b\}, S, \{S \rightarrow AB, A \rightarrow aAa, B \rightarrow bBb\})$

$S \rightarrow AB$ by $S \rightarrow AB$ $S \rightarrow AB$ by $S \rightarrow AB$
 $\rightarrow aB$ by $A \rightarrow a$ $\rightarrow aAbB$ by $A \rightarrow aA$, $B \rightarrow bB$
 $\rightarrow ab$ by $B \rightarrow b$ $\rightarrow aabb$ by $A \rightarrow a$, $B \rightarrow b$

$S \rightarrow AB$ by $S \rightarrow AB$

$\rightarrow aAb$ by $A \rightarrow aA$, $B \rightarrow b$

$\rightarrow aab$ by $A \rightarrow a$

$$L(G_3) = \{ab, a^2b, ab^2, a^2b^2, \dots\}$$

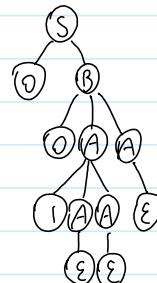
$$= \{a^+b^+\}$$

Derivation Tree

2020年10月29日 上午 11:36

A derivation tree (or a parse tree) is an ordered rooted tree that graphically represents the semantic information of strings derived from a context-free grammar.

(example) For the grammar $G = \{V, T, P, S\}$ where $S \rightarrow O \mathcal{B}$, $A \rightarrow AA \mid E$, $B \rightarrow OAA$



Root vertex: Must be labelled by the start symbol

vertex: Labelled by non-terminal symbols

leaves: Labelled by terminal symbols or ϵ .

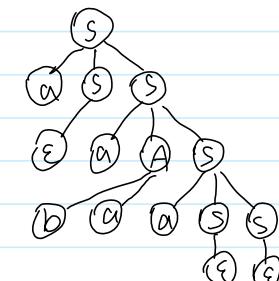
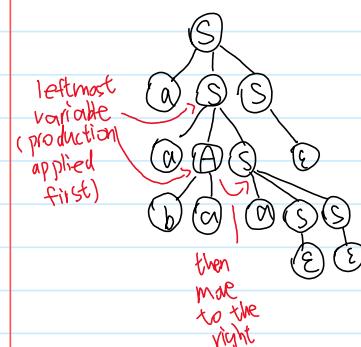
left derivation tree

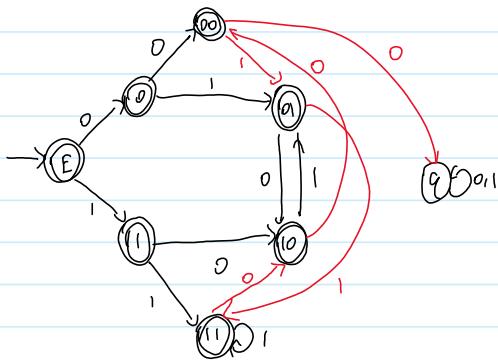
- obtained by applying production to the leftmost variable in each step

right derivation tree

- obtained by applying production to the rightmost variable in each step

(example) For generating the string $aabbba$ from the grammar
 $S \rightarrow aAS \mid aSS \mid \epsilon$, $A \rightarrow SbA \mid ba$





Context Free Grammar & Context Free Language

2020年10月26日 上午 11:56

Context Free Grammar

- defined by 4 tuples $G = \{V, \Sigma, S, P\}$

V = Set of variables or non-terminal symbols

Σ = Set of terminal symbols

S = Start Symbol

P = Production Rule

in the form of $A \rightarrow a$
 $a = \{V \cup \Sigma^*\}$ and $A \in V$
 can be ϵ too

(example) a language in the form of $a^n b^n$

$$G = (\{S, A\}, \{a, b\}, S, \{S \rightarrow aAb, A \rightarrow aAb \mid \epsilon\})$$

$$S \rightarrow aAb$$

$$\rightarrow aaA bb$$

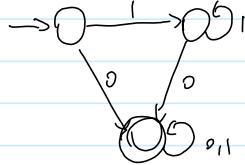
$$\rightarrow aaaAbbb$$

...

Regular Languages & Finite Automata

2020年10月26日 下午 12:32

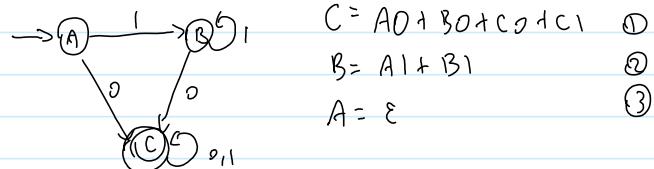
(example) Consider the DFA given below



which of the following statements are true?

1. Complement of $L(A)$ is context-free
~ 补集
2. $L(A) = L((11^*0+0)(0+1)^*0^*1^*)$
3. For the language accepted by A, A is the minimal DFA.
4. A accepts all strings over $\{0,1\}$ of length at least 2.
1. A is a DFA $\rightarrow L(A)$ is regular language \rightarrow complement of $L(A)$ is regular language \rightarrow complement of $L(A)$ is context-free

2. Convert the DFA to regular expression:



$$\textcircled{2} \quad B = A1 + B1$$

$$B = 11^* \quad \text{by } \textcircled{3}$$

$$B = 11^* \quad \text{by Arden's Theorem}$$

$$\textcircled{1} \quad C = A0 + B0 + (01C1)$$

$$C = 0 + 11^*0 + C(0+1) \quad \text{by } \textcircled{3}, \textcircled{4}$$

$$C = (0111^*0)(0+1)^* \leftarrow \text{equivalent}$$

$\nwarrow \text{same} \quad \swarrow \text{same}$
 provided r.e. $(0+11^*0)(0+1)^*0^*1^*$
 $\therefore \text{true}$

3.	0	1	0-equivalence	minimized :
$\rightarrow A$	C	B	$\{AB\} \setminus \{C\}$	$\begin{array}{c cc} 0 & 0 \\ C & AB \end{array}$
B	C	B	$\{AB\} \setminus \{C\}$	$\begin{array}{c cc} 0 & 0 \\ C & C \end{array}$
C	C	C		

∴ False

4. from 3, we see that the r.e. of the DFA is:

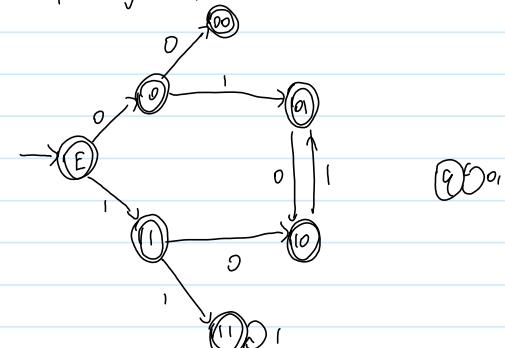
$$(0+11^*0)(0+1)^*$$

therefore, 0 is accepted by the DFA, and its length is 1
 $\therefore \text{false}$

(example) $L_1 = \emptyset, L_2 = \{\alpha\}$, what is $L_1L_2U L_1^*$?

$$\begin{aligned}
 & L_1L_2^*U L_1^* \\
 &= (\emptyset \cdot \alpha^*) U \emptyset^* \\
 &= \emptyset U \emptyset \quad \emptyset \text{ is empty set!} \quad \emptyset \text{ is empty symbol!} \\
 &= \emptyset \quad \emptyset R = R \emptyset = \emptyset \quad \emptyset R = \emptyset \quad \emptyset R = \emptyset \\
 &= \emptyset \quad \emptyset^* = \emptyset \quad \emptyset^* = \emptyset \quad \emptyset^* = \emptyset
 \end{aligned}$$

(example) Consider a set of strings on $\{0,1\}$ in which every substring of 3 symbols has at most two zeros, e.g. 001110 and 011001 are in the language but 100010 is not. All strings of length less than 3 is also accepted. A partially completed DFA that accepts this language is shown below



What are the missing arcs in the DFA?