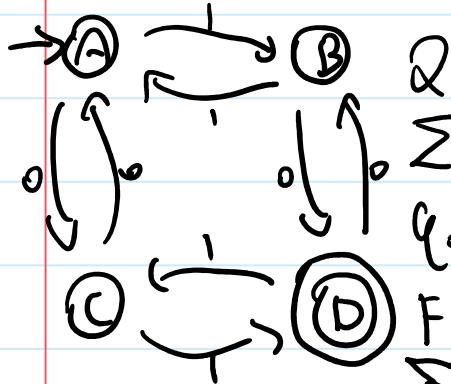


# DFA

2020年9月14日 下午 10:16

## 5 Attributes



$Q$  = set of all states

$\Sigma$  = inputs

$q_0$  = start state

$F$  = set of final states

$\delta$  = transmission function from  $Q \times \Sigma \rightarrow Q$

T

$$Q = \{A, B, C, D\}$$

$$\Sigma = \{0, 1\}$$

$$q_0 = A$$

could be  
more than  
1!

$$F = \{D\}$$

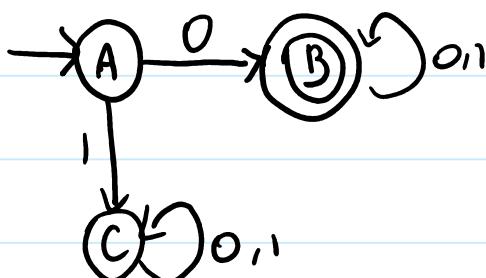
J

	0	1
A	C	B
B	D	A
C	A	D
D	B	C

## Example

↳ language

$L_1$  = set of all strings start with '0'



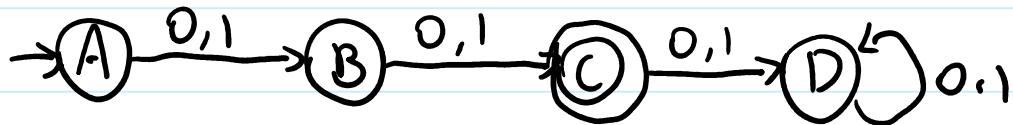
## example

Construct a DFA that accepts sets of all strings over  $\{0,1\}$  of length 2

solution :

$$\Sigma = \{0,1\}$$

$$L = \{00, 01, 10, 11\}$$



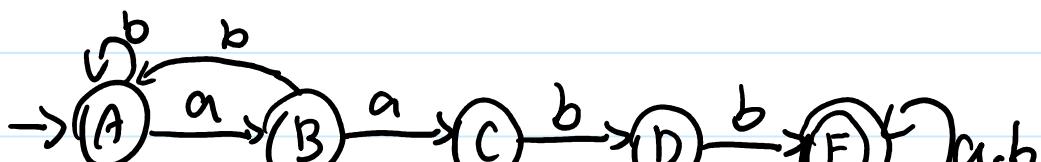
## Example

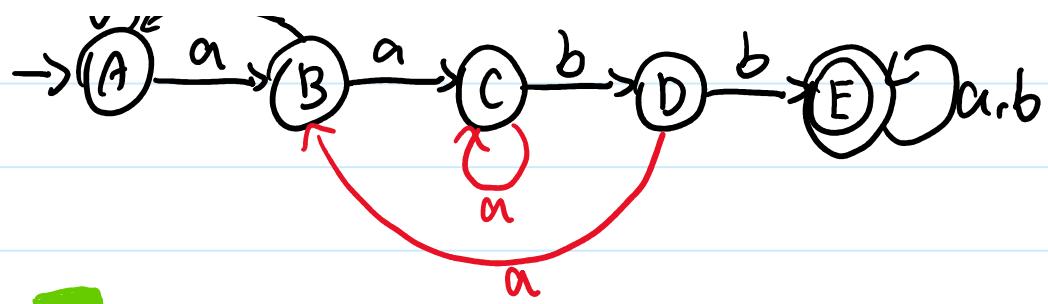
Construct a DFA that accepts all strings over  $\{a,b\}$  that contains the string **aabb** in it.

Construct a DFA that accepts any strings over  $\{a,b\}$  that does not contain the string **aabb** in it.

solution:

$$\Sigma = \{a, b\}$$

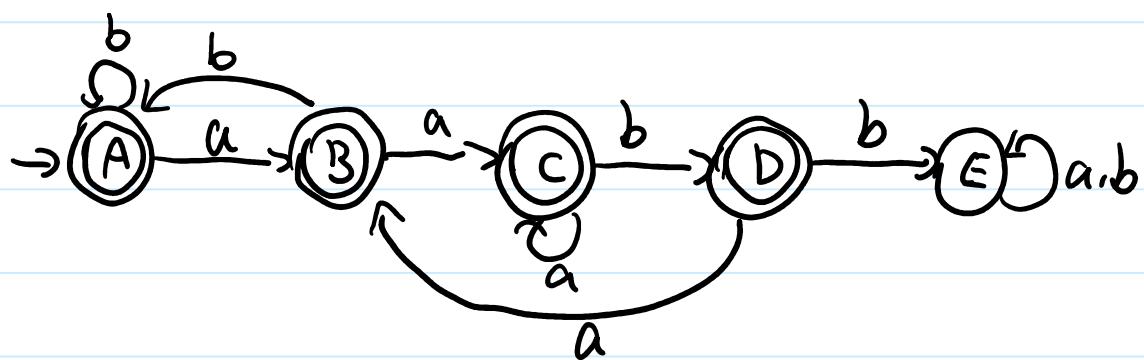




- What about part 2?

Solution: flip the states

- make the final states into non-final states
- make the non-final states into final states



# Regular Language

2020年9月15日 上午 11:06

(def)

If and only if some finite state machine recognizes it

Not regular languages

△ Not recognized by any FSM

△ Requires memory ↗ memory of FSM is very limited  
cannot store or count strings

(example) a language that repeats ababb

not RL because requires to store ababb into memory

(example)  $a^N b^N$  like abi abbb caabbbb.

not RL because requires to count as and bs.

Operations

Union -  $A \cup B = \{x | x \in A \text{ or } x \in B\}$

Concatenation -  $A \circ B = \{xy | x \in A \text{ and } y \in B\}$

Star -  $A^* = \{x_1 x_2 x_3 \dots x_k | k \geq 0 \text{ and each } x_i \in A\}$

(example)  $A = \{pq, r\}$   $B = \{t, uv\}$

$$A \cup B = \{pq, r, t, uv\}$$

$$A \circ B = \{pqt, pquv, rt, ruv\}$$

$$A^* = \{\epsilon, pq, r, pqr, rpq, pqpq, rr, pqpqpq, rrr, \dots\}$$

empty string ↗

(Theorem)

→ Regular languages is closed under union

### Theorem

▷ Regular languages is closed under union

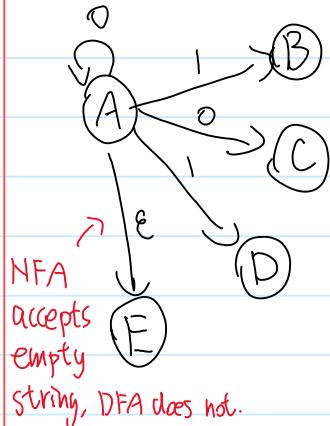
$$LR \cup LR = UR$$

▷ Regular languages is closed under concatenation

$$LR \circ LR = LR$$

# NFA

2020年9月21日 下午 12:00



Given the current state, there could be multiple next states

The next state may be chosen at random, or all the next states may be chosen in parallel

5 Attributes :  $\{Q, \Sigma, q_0, F, \delta\}$

$Q$  = set of all states

$\Sigma$  = inputs

$q_0$  = initial state

$F$  = set of final states

$\delta = Q \times \Sigma \rightarrow P(Q)$  or  $P^Q$   
power set

$L = \{\text{set of strings}$  that ends with 0 $\}$

$Q = \{A, B\}$

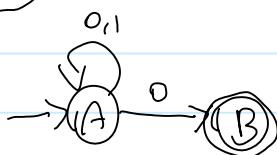
$\Sigma = \{0, 1\}$

$q_0 = A$

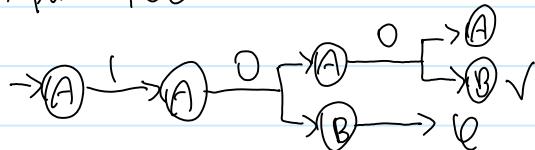
$F = \{B\}$

empty state  
 $A \xrightarrow{1} A, B, A\bar{B}, \emptyset$

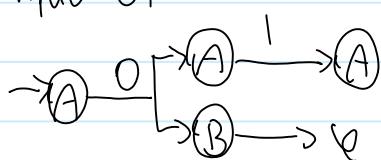
(example)  $L = \{\text{Set of all strings that end with 0}\}$



input: 100

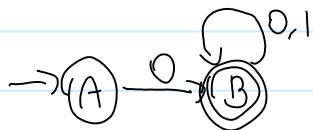


input 01



(example)  $L = \{\text{Set of all strings that start with 0}\}$



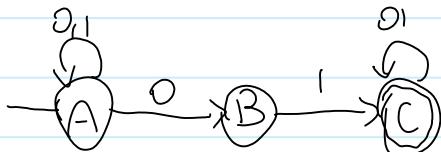


↖ DFA need to define which state it goes to with input 1  
or it will be incomplete

(example) NFA that accepts sets of all strings over  $\{0,1\}$  of length 2



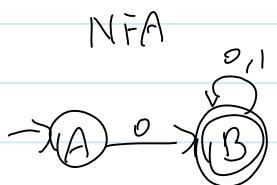
(example) set of all strings that contain "01"



# Convert NFA to DFA

2020年10月5日 上午 11:17

(example) set of all strings over  $\{0,1\}$  that starts with 0



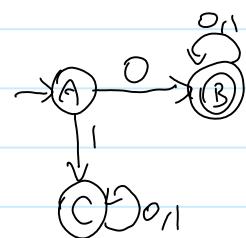
NFA table

	0	1
$\rightarrow A$	$\{\emptyset\}$	$\{B\}$
$\rightarrow B$	$\{B\}$	$\{B\}$

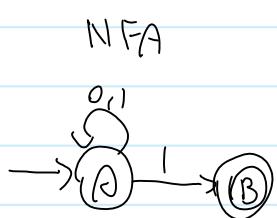
DFA table

	0	1
$\rightarrow A$	B	C
$\rightarrow B$	B	B
$\rightarrow C$	C	C

DFA



(example) set of all strings over  $\{0,1\}$  that ends with 1



NFA table

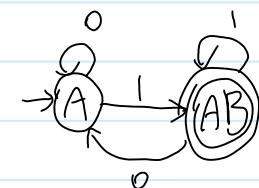
	0	1
$\rightarrow A$	$\{A\}$	$\{A, B\}$
$\rightarrow B$	$\{\emptyset\}$	$\{\emptyset\}$

union

DFA table

	0	1
$\rightarrow A$	A	AB
$\rightarrow AB$	A	AB

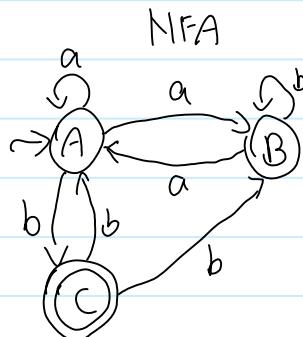
single state  
already complete



formal def of NFA

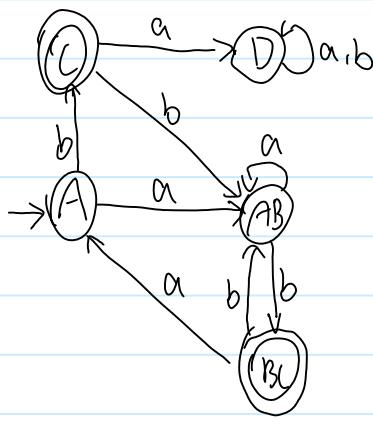
(example) Find the equivalent DFA for the NFA given by  $M = [\{A, B, C\}, \{ab\}, \delta, A, \{C\}]$   
where  $\delta$  is given by:

	a	b
$\rightarrow A$	$\{A, B\}$	$\{C\}$
$\rightarrow B$	$\{A\}$	$\{B\}$
$\rightarrow C$	$\{\emptyset\}$	$\{A, B\}$



DFA table

	a	b
$\rightarrow A$	AB	C
$\rightarrow AB$	AB	BC
$\rightarrow C$	D	AB
$\rightarrow BC$	A	AB
$\rightarrow D$	D	D

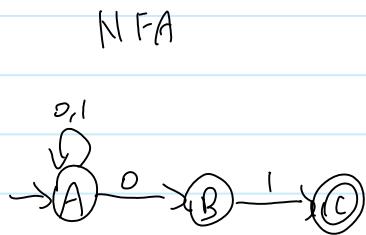


↑ states  
↑ inputs  
↑ transition func  
↑ starting state  
↑ final states

question

what does this NFA (DFA) accept?

(example) set of all strings over  $\{0, 1\}$  that ends with '01'

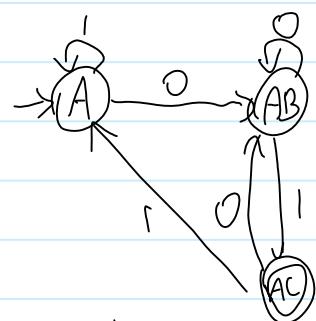


NFA table

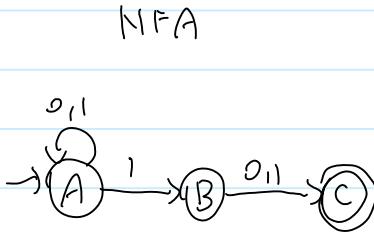
	0	1
$\rightarrow A$	{A, B}	{A}
$\rightarrow B$	{}	{}
$\rightarrow C$	{}	{}

DFA table

	0	1
$\rightarrow A$	AB	A
$\rightarrow AB$	AB	AC
$\rightarrow AC$	AB	A



(example) set of all strings over  $\{0, 1\}$  that the second last symbol is '1'

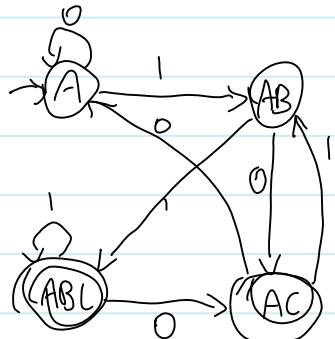


NFA table

	0	1
$\rightarrow A$	{A}	{A, B}
$\rightarrow B$	{C}	{C}
$\rightarrow C$	{}	{}

DFA table

	0	1
$\rightarrow A$	A	AB
$\rightarrow AB$	AC	ABC
$\rightarrow AC$	A	AB
$\rightarrow ABC$	AC	ABC



# Regular Expression

2020年10月5日 下午 12:12

represents certain sets of strings in an algebraic fashion

inputs empty null

$\Sigma$  including  $\lambda$  and  $\emptyset$

Any terminal symbol i.e. symbols  $\in \Sigma$  including  $\lambda$  and  $\emptyset$  are regular expressions

The union of 2 regular expressions is also a regular expression  $R_1, R_2 \rightarrow (R_1 \cup R_2)$

The concatenation of 2 r.e. is also a r.e.  $R_1, R_2 \rightarrow (R_1 \cdot R_2)$

The iteration (or closure) of a re. is also a re  $R \rightarrow R^*$   $\alpha^* = \lambda, \alpha, \alpha\alpha, \dots$

The r.e. over  $\Sigma$  are precisely those obtained recursively by the application of the above rules once or several times

(Example) describe the following sets as r.e.

1)  $\{0, 1, 2\} \leftarrow 0 \text{ or } 1 \text{ or } 2$

$R = 0 + 1 + 2$

2)  $\{\lambda, ab\}$   
empty symbol not included, more than 1 elements  
empty symbol along with 1 symbol, no need to +  
 $R = \lambda ab$

3)  $\{abb, a, b, bba\}$

$R = abb + a + b + bba$

4)  $\{\lambda, 0, 00, 000, \dots\}$   
 $\downarrow$  closure of 0

$R = 0^*$

5)  $\{1, 11, 111, 1111, \dots\}$   
 $\downarrow$  closure of 1 excluding  $\lambda$

$R = 1^+$

Identities of R.E.

= why

union  
r.e.

1)  $\emptyset + R = R$

$\Rightarrow RR^* = R^*R = R^*$   
 $\downarrow$  at least 1 word generated by  $R$

$$1) \emptyset + R = R$$

*Concatenation*

$$2) \emptyset R + R \emptyset = \emptyset$$

$$3) \varepsilon R + R \varepsilon = R$$

$$4) \varepsilon^* = \varepsilon \text{ and } \emptyset^* = \varepsilon$$

$$5) R + R = R$$

*Concatenation of the closure*

$$6) R^* R^* = R^* \text{ of two r.e.}$$

$$7) RR^* = R^* R = R^*$$

$$8) (R^*)^* = R^*$$

$$9) \varepsilon + R R^* = \varepsilon + R^* R = R^*$$

$$10) (PQ)^* P = P(QP)^*$$

$$11) (P+Q)^* = (P^* Q^*)^* = (P^* + Q^*)^* \\ = P^* (Q(P^*))^*$$

$$12) (P+Q)R = PR + QR \text{ and} \\ R(P+Q) = RP + RQ$$

(example) prove that  $R = QP^*$  is a solution to  $R = Q + RP$

$$\begin{aligned} R &= Q + RP \\ &= Q + QP^* P \\ &= Q(\varepsilon + P^* P) \\ &= QP^* \end{aligned}$$

Arden's Theorem  
 $R = Q + RP$   
 $\downarrow$   
 $R = QP^*$

(example) language accepting strings of length exactly 2 over  $\{a, b\}$

$$L = \{aa, ab, ba, bb\}$$

$$\begin{aligned} R &= aa + bb + ba + ab \\ &= a(a+b) + b(a+b) \\ &= (a+b)(a+b) \quad \{a,b\}\{a,b\} \end{aligned}$$

(example) language accepting strings of length atleast 2 over  $\{a, b\}$

$$L = \{aa, ab, ba, bb, aaaa, \dots\}$$

$$R = (a+b)(a+b)(a+b)^* \quad \{a,b\}\{a,b\}\{a,b\}^*$$

(example) language accepting strings of length atmost 2 over  $\{a, b\}$

$$L = \{\epsilon, a, b, aa, ab, ba, bb\}$$

$$\begin{aligned} R &= \epsilon + a + b + aa + ab + ba + bb \\ &= (\epsilon + a + b)(\epsilon + a + b) \end{aligned}$$

Properties (from lecture 9) ↙ but not necessarily true when reversed!!

closed under union  $\cup$  ↙ e.g.  $L_1 \cup L_2$  is r.Q.  $\Rightarrow L_1$  is regular

$L_1$  and  $L_2$  are r.l., then  $L_1 \cup L_2$  is regular

$$L_1: M_1 = (Q_1, A, \sigma_1, i_1, T_1)$$

$$L_2: M_2 = (Q_2, A, \sigma_2, i_2, T_2)$$

$$L_1 \cup L_2 : \left\{ \begin{array}{l} M = (Q_1 \times Q_2, A, \sigma, (i_1, i_2), Q_1 \times T_2 \cup T_1 \times Q_2 \\ \sigma((p_1, p_2), a) = (\sigma_1(p_1, a), \sigma_2(p_2, a)) \end{array} \right.$$

closed under intersection  $\cap$

$$M = (Q_1 \times Q_2, A, \sigma, (i_1, i_2), T_1 \times T_2)$$

$$\sigma((i_1, i_2), w) = (\sigma_1(i_1, w), \sigma_2(i_2, w))$$

closed under complement ↗ 补集

complement of C.  $A^* - L$

$$\bar{M} = (Q, A, G, i, Q - T)$$

closed under difference -

$$L_1 - L_2$$

$$M = (Q_1 \times Q_2, A, G, (i_1, i_2), T_1 \times (Q_2 - T_2))$$

$$\sigma((i_1, i_2), w) = (G(i_1, w), \sigma(i_2, w))$$

$$\text{also, } L_1 - L_2 = L_1 \cap (A^* - L_2) = L_1 \cap \bar{L}_2$$

closed under reversal

$$M = (Q, A, \sigma^{-1}, T, i)$$

$$G^{-1}(p, a) = q \text{ if } \sigma(q, a) = p$$

closed under closure \*

closed under concatenation

Apply closure properties to prove a language is not regular

(example) Prove that  $\{a^m b^n c^{m-n} \mid m \geq n \geq 0\}$  is not regular

Suppose

$L_1 = \{a^m b^n c^{m-n} \mid m \geq n \geq 0\}$  is regular.

we take another regular language  $L_2 = a^* b^*$

then  $L_1 \cap L_2 = \{a^m b^m \mid m \geq 0\}$ , which is not regular

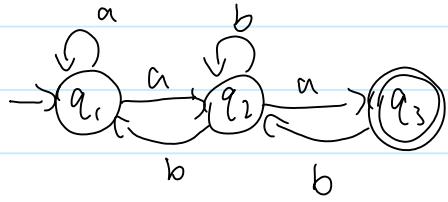
this contradicts with the assumption that  $L_1$  is regular

therefore,  $L_1$  is not regular.

# NFA to Regular Expression

2020年10月5日 下午 8:52

(example) find the r.e. for the following NFA



equations for states

$$q_3 = q_2 a \quad (1)$$

$$q_2 = q_1 a + q_2 b + q_3 b \quad (2)$$

$$q_1 = \epsilon + q_1 a + q_2 b \quad (3)$$

② substitute to ①

$$q_3 = (q_1 a + q_2 b + q_3 b) a$$

$$q_3 = q_1 a a + q_2 b a + q_3 b a \quad (4)$$

① into ②

$$q_2 = q_1 a + q_2 b + (q_2 a) b$$

$$q_2 = q_1 a + q_2 b + q_2 a b$$

$$q_2 = q_1 a + q_2 (b + a b)$$

$$q_2 = (q_1 a) (b + a b)^* \quad (5) - \text{by Arden's Theorem}$$

⑤ into ①

$$q_1 = \epsilon + q_1 a + ((q_1 a) (b + a b)^*) b$$

$$q_1 = \epsilon + q_1 (a + a (b + a b)^* b)$$

$$q_1 = \epsilon ((a + a (b + a b)^* b) b)^*$$

$$q_1 = (a + a (b + a b)^* b)^* \quad (6)$$

final state  $\{q_3\}$

$$q_3 = q_2 a$$

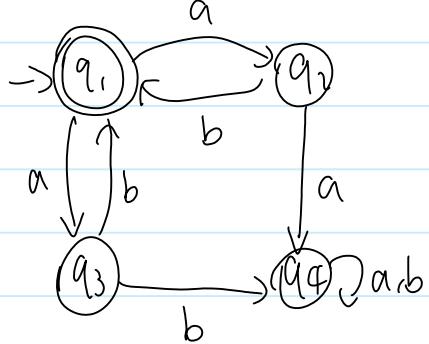
$$q_3 = q_1 a (b + a b)^* a \quad \text{from } (5)$$

$$q_3 = (a + a (b + a b)^* b)^* a (b + a b)^* a \quad \text{expressed completely with input symbols} \Rightarrow \text{required r.e.}$$

# DFA to Regular Expression

2020年10月5日 下午 9:16

(Example) find the regular expression for the following DFA



$$q_1 = \epsilon + q_2 b + q_3 a \quad (1)$$

$$q_2 = q_1 a \quad (2)$$

$$q_3 = q_1 b \quad (3)$$

$$q_4 = q_2 a + q_3 b + q_4 a + q_4 b \quad (4)$$

$$\textcircled{1}: q_1 = \epsilon + q_2 b + q_3 a$$

$$q_1 = \epsilon + q_1 ab + q_1 ba \quad \text{by } \textcircled{2} \text{ } \textcircled{3}$$

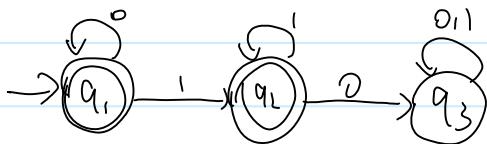
$$q_1 = \epsilon + q_1(ab + ba)$$

$$q_1 = \epsilon(ab + ba)^* \quad \text{by Arden's Theorem}$$

$$q_1 = (ab + ba)^* \quad \textcircled{4}$$

↙ required regular expression

(Example) find the r.e. for the following DFA (multiple final states)



$$q_1 = \epsilon + q_1 0 \quad (1)$$

$$q_2 = q_1 1 + q_2 1 \quad (2)$$

$$q_3 = q_2 0 + q_3 0 + q_3 1 \quad (3)$$

$$\textcircled{1}: q_1 = \epsilon + q_1 0$$

$$q_1 = \epsilon 0^* \quad \text{by Arden's Theorem}$$

$$q_1 = 0^* \quad \textcircled{4}$$

$$\textcircled{2} \quad q_2 = q_1 1 + q_2 1$$

$$q_2 = 0^* 1 + q_2 1 \quad \text{by } \textcircled{4}$$

$$q_2 = 0^* 1 1^* \quad \text{by Arden's Theorem } \textcircled{5}$$

R would be the union of both final states

$$R = 0^* + 0^* 1 1^* \quad \text{by } \textcircled{4} \text{ } \textcircled{5}$$

$$R = O^* + O^* \| I^* \quad \text{by } \textcircled{4}, \textcircled{5}$$

$$R = O^* (\varepsilon + \| I^* )$$

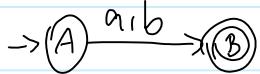
$$R = O^* I^*$$

# Regular Expression to Finite Automata

2020年10月5日 下午 9:39

▷ Union

$(a+b)$



▷ Concatenation

$(ab)$



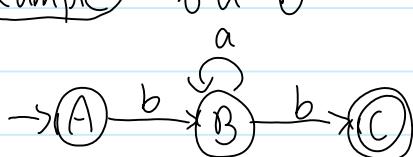
▷ Closure

$a^*$



(example)

$b\ a^* b$



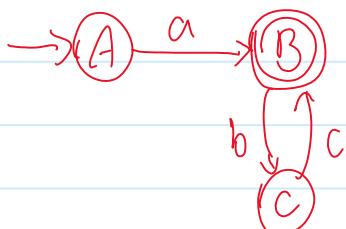
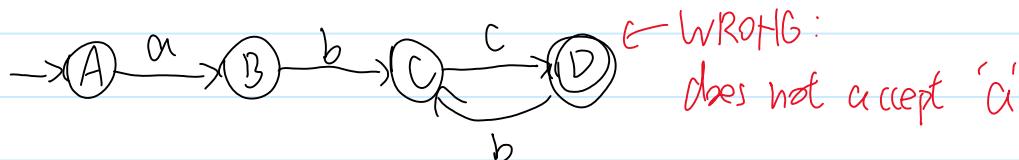
(example)

$(a+b)\ c$



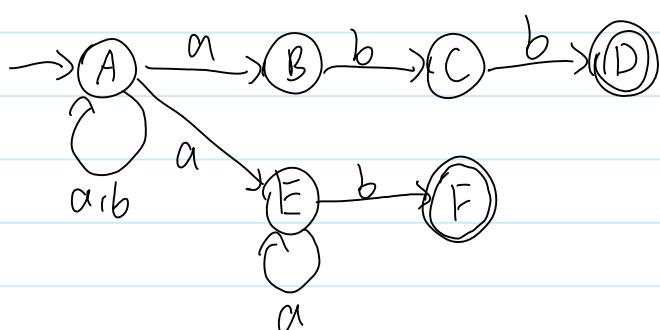
(example)

$a(bc)^*$

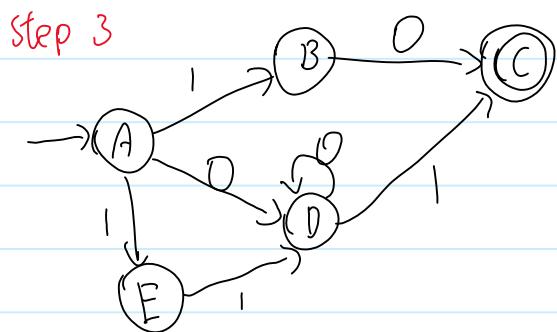
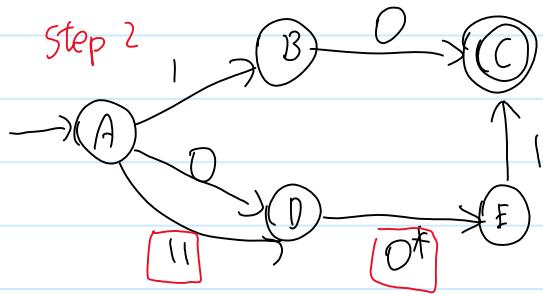
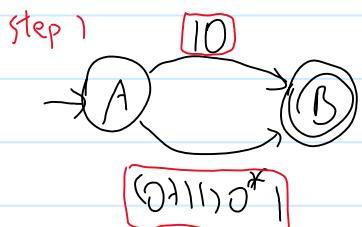


(example)

$(a \cup b)^*(abb \cup a^+b)$



(Example)  $10 + (0 + 11) 0^*$



# Minimization of DFA

2020年10月8日 上午 11:08

minimize DFAs by combining equivalent states

two states 'A' and 'B' are said to be equivalent if

$$\delta(A, x) \rightarrow F \quad \delta(A, x) \not\rightarrow F$$

and

or

and

where ' $x$ ' is any input string

$$\delta(B, x) \rightarrow F \quad \delta(B, x) \not\rightarrow F$$

$$\delta(B, x) \rightarrow F \quad \delta(B, x) \not\rightarrow F$$

$\curvearrowleft$  length

$\curvearrowleft$  does not go to

if  $|x| = 0$  then A and B are said to be 0 equivalent

$$|x| = 1$$

...

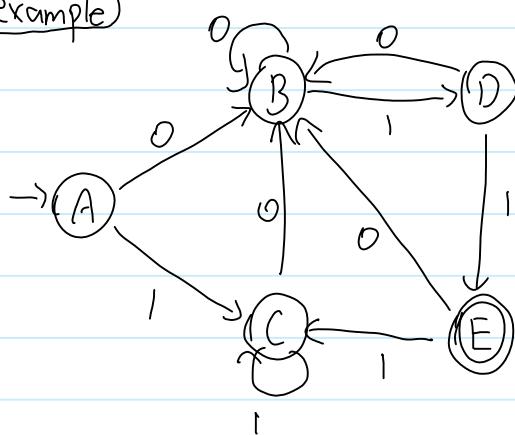
1 equivalent

$$|x| = h$$

...

n equivalent

example



transition table

	0	1
$\rightarrow A$	B	C
B	B	D
C	B	C
D	B	E
E	B	C

0 Equivalence  $\{A, B, C, D\} \setminus \{E\}$  (final states)  $\{E\}$  (non-final states)  $(\delta(A, x) \not\rightarrow F \text{ and } \delta(A, x) \rightarrow F)$

check from  
0 equivalence

	0	1
A	B	C
B	B	D

	0	1
A	B	C
B	B	D

	0	1
A	B	C
C	B	C

	0	1
A	B	C
C	B	C

	0	1
D	B	C
E	B	C

	0	1
D	B	C
E	B	C

1 Equivalence  $\{A, B, C\} \setminus \{D\} \setminus \{E\}$

check from  
1 equivalence

	0	1
A	B	C
B	B	D

	0	1
A	B	C
B	B	D

2 Equivalence  $\{A, C\} \setminus \{B\} \setminus \{D\} \setminus \{E\}$

	0	1
A	B	C
C	B	C

	0	1
A	B	C
C	B	C

same,

< Equivalence 1A, 1S (SS) < V1 (E)

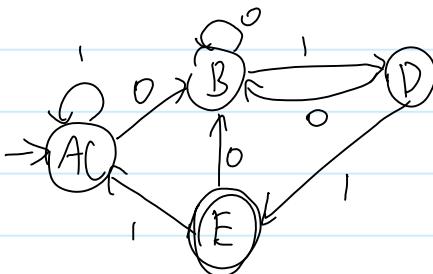


same,  
STOP

3 Equivalence  $\{A, C\} \{B\} \{D\} \{E\}$

final 4 states

	0	1
$\rightarrow A$	B C	
B	B D	
C	B C	
D	B E	
(E)	B C	



(Example)

	0	1
$\rightarrow q_0$	$q_1$ $q_5$	
$q_1$	$q_6$ $q_2$	
$q_2$	$q_0$ $q_2$	
$q_3$	$q_2$ $q_6$	
$q_4$	$q_7$ $q_5$	
$q_5$	$q_3$ $q_5$	$\{q_2\}$
$q_6$	$q_2$ $q_6$	
$q_7$	$q_6$ $q_4$	
$q_8$	$q_6$ $q_2$	

0 Equivalence

$\{q_0, q_1, q_3, q_4, q_5, q_6, q_7\} \{q_2\}$

1 Equivalence

$\{q_0, q_4, q_6\}$

$\{q_1, q_7\}$

$\{q_3, q_5\} \{q_2\}$

2 Equivalence

$\{q_0, q_4\} \{q_6\}$

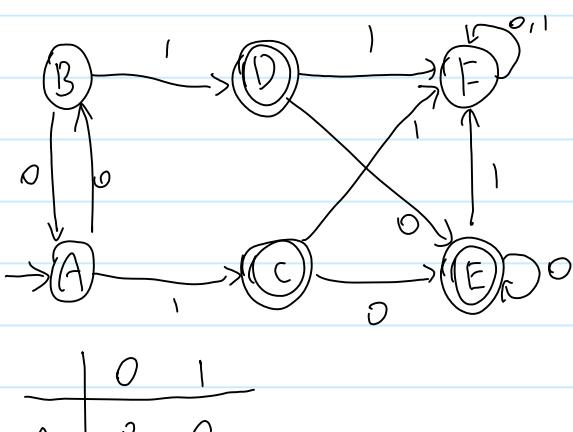
$\{q_1, q_7\} \{q_3, q_5\} \{q_2\}$

3 Equivalence

$\{q_0, q_4\} \{q_6\} \{q_1, q_7\} \{q_3, q_5\} \{q_2\}$

	0	1
$\rightarrow q_0, q_4$	$q_1, q_7\}$	$\{q_3, q_5\}$
$q_6$	$\{q_6\}$	$\{q_0, q_4\}$
$q_1, q_7$	$\{q_1, q_7\}$	$\{q_3, q_5\}$
$q_3, q_5$	$\{q_3, q_5\}$	$\{q_0\}$
$\{q_2\}$	$\{q_2\}$	$\{q_6\}$

(Example) with more than 1 final states



1 equivalence

$\{A, B, F\} \{C, D, E\}$

2 equivalence

$\{A, B\} \{F\} \{C, D, E\}$

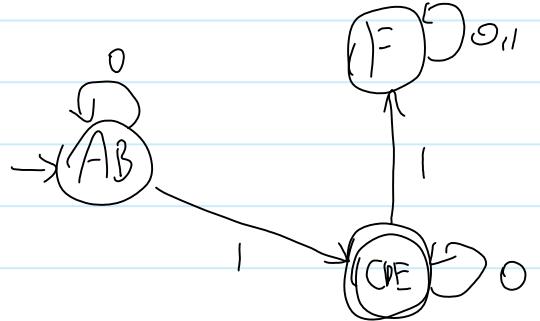
3 equivalence

$\{A, B\} \{F\} \{C, D, E\}$

	0	1
$\rightarrow A$	B	C
B	A	D
C	E	F
D	E	F
E	E	F
F	F	F

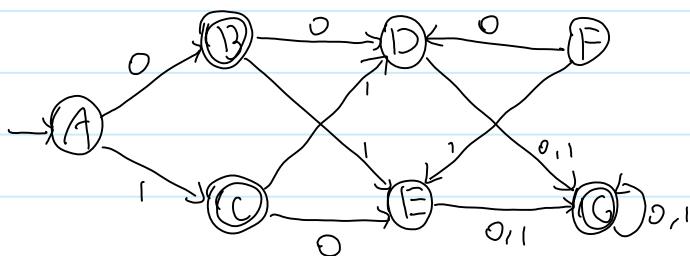
$\{A, B\}$   $\{F\}$   $\{C, D, E\}$

	0	1
$\rightarrow \{AB\}$	$\{AB\}$ , $\{CDE\}$	
S=1	$\{EF\}$	$\{F\}$
$\{CDB\}$	$\{CDE\}$	$\{F\}$

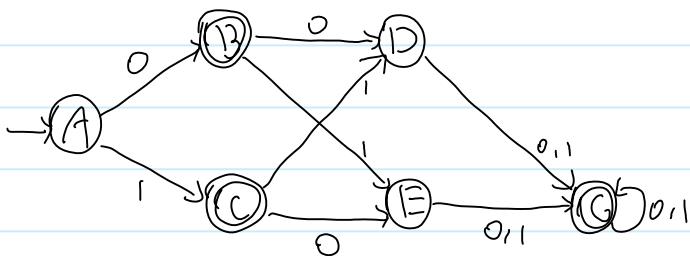


(example) with unreachable state

Step 2: proceed as normal



Step 1: remove unreachable state



	0	1
$\rightarrow A$	B	C
B	D	E
C	E	D
D	G	G
E	G	G
G	G	G

0 equivalence

$\{A, D, E\}$   $\{B, C, G\}$

1 equivalence

$\{A, D, E\}$   $\{B, C\}$   $\{G\}$

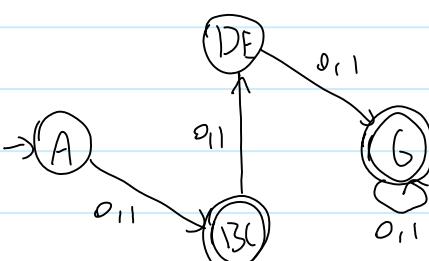
2 equivalence

$\{A\}$   $\{D, E\}$   $\{B, C\}$   $\{G\}$

3 equivalence

$\{A\}$   $\{D, E\}$   $\{B, C\}$   $\{G\}$

	0	1
$\rightarrow \{A\}$	$\{B, C\}$	$\{B, C\}$
$\{D, E\}$	$\{G\}$	$\{G\}$
$\{B, C\}$	$\{D, E\}$	$\{D, E\}$
$\{G\}$	$\{G\}$	$\{G\}$



## Pumping Lemma

2020年10月12日 上午 11:10

ref. youtube v=I3FuVKVgLCA

Used to prove that a language is not regular  
cannot be used to prove that a language is regular

If A is a regular language, then A has a pumping length 'P' such that any string 'S' where  $|S| \geq P$  may be divided into 3 parts  $S = xyz$  such that the following conditions must be true:

- (1)  $xy^iz \in A$  for every  $i \geq 0$
- (2)  $|y| > 0$
- (3)  $|xy| \leq P$

To prove that a language is not regular using pumping lemma:  
proof by contradiction.

→ Assume A is regular

→ It has to have a pumping length P

→ All strings longer than P can be pumped  $|S| \geq P$

→ find a string S in A such that  $|S| \geq P$

→ Look at every decomposition of S into xyz such that  $|y| \geq 1$  and  $|xy| \leq P$

→ Find one i for each decomposition such that  $xy^iz \notin A$

→ S cannot be pumped == CONTRADICTION

(example) prove that  $A = \{0^n 1^n \mid n \geq 0\}$  is not regular

not regular as it requires counting

Prof: Assume that A is a regular language

then A has a pumping length P

We choose a string  $S = 0^P 1^P$  so that  $|S| \geq P$

then, we look at every decomposition of S into xyz such that

$|y| \geq 1$  and  $|xy| \leq P$ :

$$\left\{ \begin{array}{l} x = 0^\alpha, \alpha \geq 0 \\ y = 0^\beta, \beta \geq 1 \\ z = 0^{P-\alpha-\beta} 1^P \end{array} \right. \quad \begin{array}{l} \text{when } i=2, xy^i z = 0^\alpha 0^\beta 0^{P-\alpha-\beta} 1^P \\ = 0^{P+\beta} 1^P \notin A \end{array}$$

therefore, S cannot be pumped, which contradicts with the assumption  
hence A is not regular. ■

Therefore,  $S$  cannot be pumped, which contradicts with the assumption  
hence  $L$  is not regular. ■

(example) prove that  $L = \{0^i 1^j \mid i > j\}$  is not regular

Proof: Suppose  $L$  is regular

then there exists a pumping length  $P$  for  $L$

We choose a string  $S = 0^{P+1} 1^P$  so that  $|S| > P$

then, we look at all decompositions of  $S$  into  $x y z$  such that

$|y| \geq 1$  and  $|xy| \leq P$ :

$$\left\{ \begin{array}{l} x = 0^\alpha, \alpha \geq 0 \\ y = 0^\beta, \beta \geq 1 \\ z = 0^{P+1-\alpha-\beta} 1^P \end{array} \right. \quad \left| \begin{array}{l} \text{when } i=0, xy^i z = 0^\alpha 0^{P+1-\alpha-\beta} 1^P \\ = 0^{P+1-\beta} 1^P \\ \text{since } \beta \geq 1, P+1-\beta \leq P \\ \text{so } xy^i z \notin L \end{array} \right.$$

therefore  $S$  cannot be pumped, which contradicts with the assumption.  
hence  $L$  is not regular. ■

(example) prove that  $L = \{0^{n^2} \mid n \in \mathbb{N}\}$  is not regular

Proof: Suppose  $L$  is regular

then there exists a pumping length  $P$  for  $L$

We choose a string  $S = 0^{P^2}$  so that  $|S| \geq P$

then, for every decompositions of  $S$  into  $x y z$  such that

$|y| \geq 1$  and  $|xy| \leq P$ :

when  $i=2$ ,  $xy^i z = xy^2 z$

$$|xy^2 z| = P^2 + |y|$$

since  $|y| \geq 1$ ,  $P^2 + |y| > P^2$

since  $|y| \leq P$ ,  $P^2 + |y| \leq P^2 + P$

and we have  $(P+1)^2 = P^2 + 2P + 1$

therefore:  $P^2 < |xy^2 z| < (P+1)^2$ , so  $xy^2 z \notin L$

therefore  $S$  cannot be pumped, which contradicts with the assumption  
hence  $L$  is not regular. ■

(example) prove that  $L = \{0^n \mid n \text{ is a prime number}\}$  is not regular

Proof: Suppose  $L$  is regular

- cannot choose  $w = 0^P$

Lemma prove that  $L = \{0^p \mid p \text{ is a prime number}\}$  is not regular

Proof: Suppose  $L$  is regular

then there exists a pumping length  $P$  for  $L$

we choose a string  $w = 0^R$  where  $R$  is a prime and  $|w| \geq P + 2$

cannot choose  $w = 0^P$   
as  $P$  may not be a prime

# Equivalence of Two Finite Automata

2020年10月19日 下午 12:16

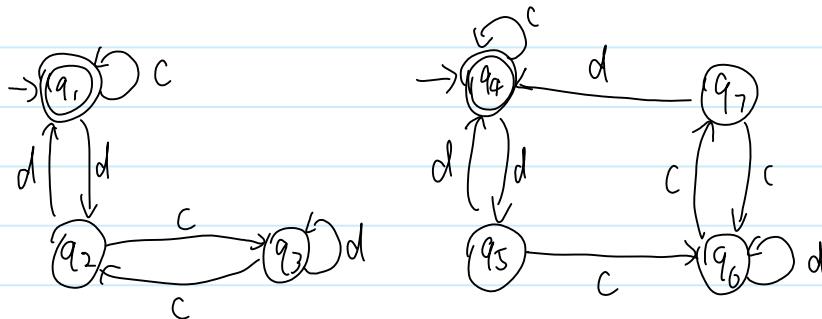
Steps to identify equivalence

- For any pair of states  $\{q_i, q_j\}$  the transition for input  $a \in \Sigma$  is defined by  $\{q_a, q_b\}$  where  $\delta\{q_i, a\} = q_a, \delta\{q_j, a\} = q_b$

The two automata are not equivalent if for a pair  $\{q_a, q_b\}$  one is intermediate state and one is final state.

- If the initial state is the final state for one automaton, then the initial state must also be the final state for the second automaton.

(Example)

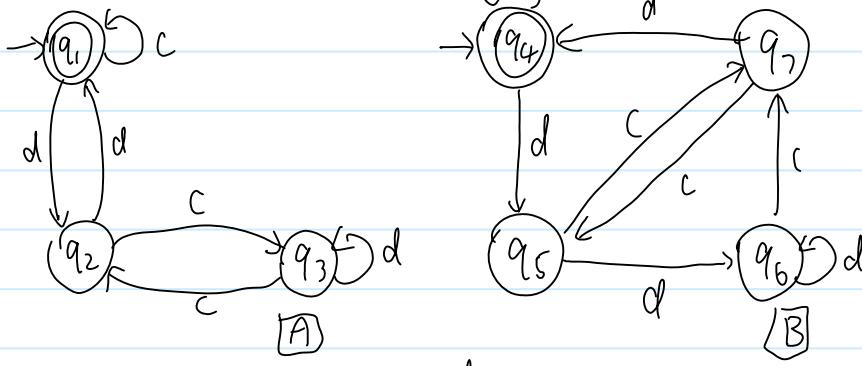


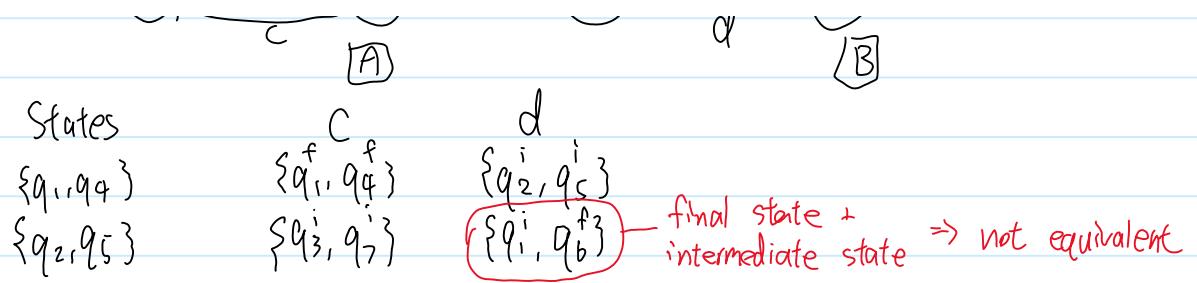
States	c	d
$\{q_1, q_4\}$	$\{q_1, q_4\}$ ↑ fs fs	$\{q_2, q_5\}$ ↑ is is
$\{q_2, q_5\}$ discuss any new states	$\{q_3, q_6\}$ ↑ is is	$\{q_1, q_4\}$ ↑ is is
$\{q_3, q_6\}$	$\{q_2, q_7\}$ ↑ is is	$\{q_3, q_6\}$ ↑ is is
$\{q_2, q_7\}$	$\{q_3, q_6\}$ ↑ is is	$\{q_1, q_4\}$ ↑ fs fs

both final states or both intermediate states, ok.

therefore, the two finite automatas are equivalent

(Example)





since  $q_1$  and  $q_6$  are not of the same type of states  
 therefore, A and B are not equivalent.

# Regular Grammar

2020年10月26日 上午 10:43

Noam Chomsky: mathematical model of grammar in 4 types:

Grammar Type	Grammar Accepted	Language Accepted	Automation
Type 0	Unrestricted Grammar	Recursively Enumerable language	Turing Machine
Type 1	Context Sensitive Grammar	Context Sensitive Language	Linear Bounded Automation
Type 2	Context Free Grammar	Context Free Language	Pushdown Automata
Type 3	Regular Grammar	Regular Language	Finite State Automation

Grammar:

$G = (V, T, S, P)$ , where

$V$  = Set of variables or non-terminal states

$T$  = Set of terminal states

$S$  = Start symbol

$P$  = Production rules for terminals and non-terminals

$\alpha \rightarrow \beta$  has the form of  $\alpha \rightarrow \beta$  where  $\alpha$  and  $\beta$  are strings on  $V \cup T$  and at least one symbol of  $\alpha$  belongs to  $V$ .

(example)  $G = (\{S, A, B\}, \{a, b\}, S, \{S \rightarrow AB, A \rightarrow a, B \rightarrow b\})$

$$\begin{aligned} S &\rightarrow AB \\ &\rightarrow aB \\ &\rightarrow ab \end{aligned}$$

Regular Grammar

- can be divided into two types:

Right Linear Grammar

- if all productions are of the form

$$A \rightarrow xB$$

$$A \rightarrow x$$

where  $A, B \in V$  and  $x \in T$

Left Linear Grammar

- if all productions are of the form

$$A \rightarrow Bx$$

$$A \rightarrow x$$

where  $A, B \in V$  and  $x \in T$

(example)  $S \rightarrow abS \mid b$  - right linear  
 $S \rightarrow Sbb \mid b$  - left linear

### Derivations from a Grammar

- the set of strings that can be derived from a grammar is said to be the LANGUAGE generated from that grammar

(example)  $G_1 = (\{S, A\}, \{a, b\}, S, \{S \rightarrow aAb, aA \rightarrow aaAb, A \rightarrow \epsilon\})$

$S \rightarrow aAb$  [by  $S \rightarrow aAb$ ]  
 $\rightarrow aaAbb$  [by  $aA \rightarrow aaAb$ ]  
 $\rightarrow aabb$  [by  $A \rightarrow \epsilon$ ]

↙ a derivation from  $G_1$   
 ↙ the language generated from  $G_1$

 $L(G_1) = \{a^m b^m \mid m \geq 1\}$ 

(example)  $G_2 = (\{S, A, B\}, \{a, b\}, S, \{S \rightarrow AB, A \rightarrow a, B \rightarrow b\})$

$S \rightarrow AB$   
 $\rightarrow ab$

$$L(G_2) = \{ab\}$$

(example)  $G_3 = (\{S, A, B\}, \{a, b\}, S, \{S \rightarrow AB, A \rightarrow aAa, B \rightarrow bBb\})$

$S \rightarrow AB$	by $S \rightarrow AB$	$S \rightarrow AB$	by $S \rightarrow AB$
$\rightarrow aB$	by $A \rightarrow a$	$\rightarrow aAbB$	by $A \rightarrow aA, B \rightarrow bB$
$\rightarrow ab$	by $B \rightarrow b$	$\rightarrow aabb$	by $A \rightarrow a, B \rightarrow b$

$S \rightarrow AB$	by $S \rightarrow AB$
$\rightarrow aAb$	by $A \rightarrow aA, B \rightarrow b$
$\rightarrow aab$	by $A \rightarrow a$

$$\begin{aligned} L(G_3) &= \{ab, a^2b, ab^2, a^2b^2, \dots\} \\ &= \{a^+b^+\} \end{aligned}$$

# Context Free Grammar & Context Free Language

2020年10月26日 上午 11:56

## Context Free Grammar

- defined by 4 tuples  $G = \{V, \Sigma, S, P\}$

$V$  = set of variables or non-terminal symbols

$\Sigma$  = set of terminal symbols

$S$  = Start Symbol

$P$  = Production Rule

in the form of  $A \rightarrow a$   
where  $a = \{V \cup \Sigma\}^*$  and  $A \in V$   
can be  $\epsilon$  too

(example) a language in the form of  $a^n b^n$

$$G = (\{S, A\}, \{a, b\}, S, \{S \rightarrow aAb, A \rightarrow aAb \mid \epsilon\})$$

$$S \rightarrow aAb$$

$$\rightarrow aaA bb$$

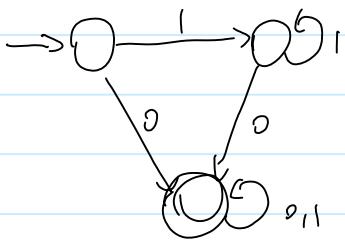
$$\rightarrow aaaAbbb$$

...

# Regular Languages & Finite Automata

2020年10月26日 下午 12:32

(Example) Consider the DFA given below



which of the following statements are true?

1. Complement of  $L(A)$  is context-free

~ 补集

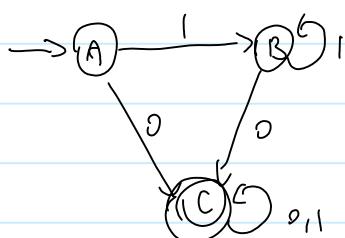
2.  $L(A) = L((11^*0+0)(0+1)^*0^*1^*)$

3. For the language accepted by  $A$ ,  $A$  is the minimal DFA.

4.  $A$  accepts all strings over  $\{0,1\}$  of length at least 2.

1.  $A$  is a DFA  $\rightarrow L(A)$  is regular language  $\rightarrow$  complement of  $L(A)$  is regular language  $\rightarrow$  complement of  $L(A)$  is context-free

2. Convert the DFA to regular expression:



$$C = A0 + B0 + C0 + C1 \quad \textcircled{1}$$

$$B = A1 + B1 \quad \textcircled{2}$$

$$A = \epsilon \quad \textcircled{3}$$

$$\textcircled{2} \quad B = A1 + B1$$

$$B = 1 + B1 \quad \text{by } \textcircled{2}$$

$$B = 11^* \quad \text{by Arden's Theorem}$$

$$\textcircled{1} \quad C = A0 + B0 + C0 + C1$$

$$C = 0 + 11^*0 + C(0+1) \quad \text{by } \textcircled{3}, \textcircled{4}$$

$$C = (0+11^*0)(0+1)^* \leftarrow$$

$$\text{provided r.e. } (0+11^*0)(0+1)^*0^*1^* \leftarrow \text{equivalent}$$

$\therefore$  true

	0	1	$\sigma$ -equivalence	minimized:
$\rightarrow A$	C	B	$\{AB\} \{C\}$	$\begin{array}{c cc} & 0 & 1 \\ C & AB & \\ \textcircled{C} & C & C \end{array}$
B	C	B	1-equivalence $\{AB\} \{C\}$	
$\textcircled{C}$	C	C		

$\therefore$  False

4. from 3, we see that the r.e. of the DFA is:

$$(0+1)^* \cup (0+1)^*$$

therefore,  $\emptyset$  is accepted by the DFA, and its length is 1

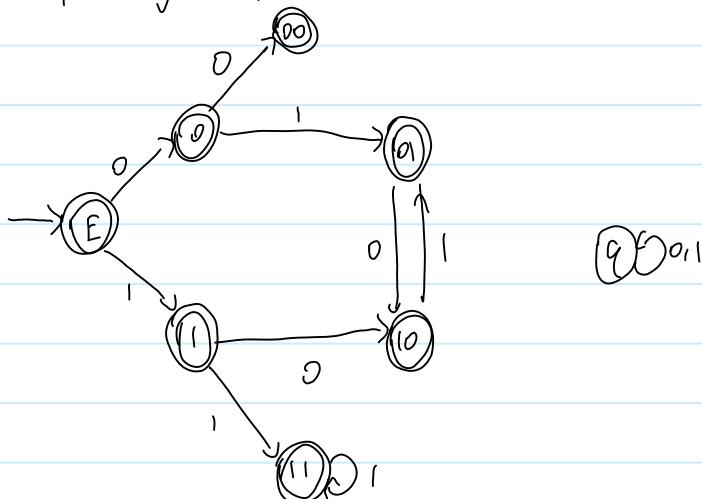
$\therefore$  false

(example)  $L_1 = \emptyset, L_2 = \{a\}$ , what is  $L_1 L_2^* \cup L_1^* L_2$ ?

$$\begin{aligned}
 & L_1 L_2^* \cup L_1^* L_2 \\
 &= \emptyset \cdot a^* \cup \emptyset^* \\
 &= \emptyset \cup \epsilon \\
 &= \epsilon
 \end{aligned}$$

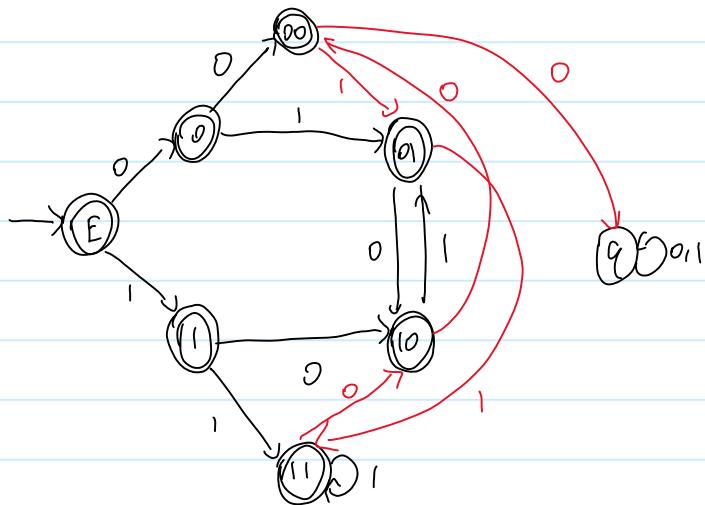
$\emptyset$  is empty set!     $\epsilon$  is empty symbol!  
 $\emptyset R = R \emptyset = \emptyset$      $\epsilon R = R \epsilon = R$   
 $\emptyset^* = \epsilon$      $\epsilon^* = \epsilon$

(example) Consider a set of strings on  $\{0, 1\}$  in which every substring of 3 symbols has at most two zeros, e.g. 001110 and 011001 are in the language but 100010 is not. All strings of length less than 3 is also accepted. A partially completed DFA that accepts this language is shown below



(q) 01

What are the missing arcs in the DFA?

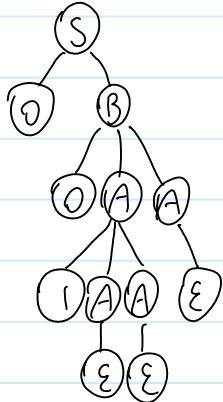


# Derivation Tree

2020年10月29日 上午 11:36

A derivation tree (or a parse tree) is an ordered rooted tree that graphically represents the semantic information of strings derived from a context-free grammar.

(Example) For the grammar  $G = \{V, T, P, S\}$  where  $S \rightarrow O B, A \rightarrow 1 AA | E, B \rightarrow OAA$



Root vertex: Must be labelled by the start symbol  
Vertex: Labelled by non-terminal symbols  
leaves: Labelled by terminal symbols or  $\epsilon$ .

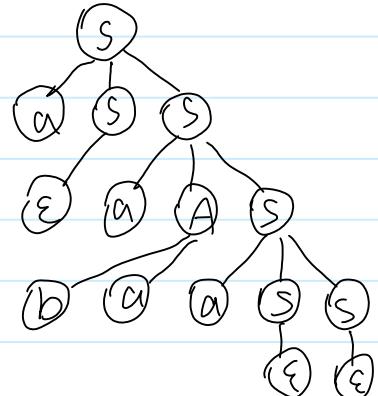
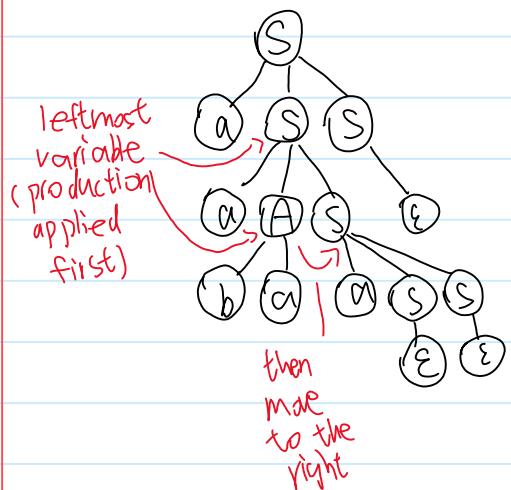
left derivation tree

- obtained by applying production to the leftmost variable in each step

Right derivation tree

- obtained by applying production to the rightmost variable in each step

(Example) For generating the string  $aabbba$  from the grammar  
 $S \rightarrow aAS | aSS | \epsilon, A \rightarrow SbA | baa$



# Ambiguous Grammar

2020年10月29日 下午 12:13

- there exists two or more **left** derivation trees for a string  $w$

(example)  $G = (\{S\}, \{a+b, +, *\}, S, P)$  where  $P$  consists of

$$S \rightarrow S+S \mid S* \mid a \mid b$$

the string  $a+a * b$  can be generated as

$$S \rightarrow S+S$$

$$\rightarrow a+S$$

$$\rightarrow a+S * S$$

$$\rightarrow a+a * S$$

$$\rightarrow a+a * b$$

$$S \rightarrow S * S$$

$$\rightarrow S+S * S$$

$$\rightarrow a+S * S$$

$$\rightarrow a+a * S$$

$$\rightarrow a+a * b$$

[or]

thus, this grammar is ambiguous.

## Removing Ambiguity

Ref: <https://www.gatevidyalay.com/removing-ambiguity-grammar-ambiguity/>

Note: It is not always possible to convert an ambiguous grammar into an unambiguous grammar.

An ambiguous grammar may be converted into an unambiguous grammar by implementing

- △ Precedence Constraints
- △ Associativity Constraints

## Precedence Constraints

- The level at which the production is present defines the priority of the operator contained in it
- The higher the level of the production, the lower the priority of operator
- The lower the level of the production, the higher the priority of operator

## Associativity Constraints

- If the operator is left associative ( $- / , \dots$ ), induce left recursion on its production
- If the operator is right associative ( $\wedge / ..$ ), induce right recursion on its production

(example) Convert the following ambiguous grammar into unambiguous grammar:

$$E \rightarrow a \mid E+E \mid E^*E$$

where + is addition and \* is multiplication

Solution: The given grammar consists of the following operators:

+, \*

The given grammar consists of the following operands:

a

The priority order is:

$a > * > +$

where:

the + and \* operators can be seen as left associative

Using the precedence and associativity rules, we write the corresponding unambiguous grammar as:

$$E \rightarrow E + T \mid T$$

$$T \rightarrow T * a \mid a$$

# Simplification of CFG

2020年11月9日 下午 1:56

Ref: <https://www.youtube.com/watch?v=lEsDlI4Um7Y>  
<https://www.youtube.com/watch?v=eUIUzH9fmXQ>  
↑null and unit productions    useless symbols ↓  
<https://www.geeksforgeeks.org/simplifying-context-free-grammars/>  
<https://www.sanfoundry.com/automata-theory-cfg-eliminating-useless-symbols/>

## 3 steps

1. Remove useless productions
2. Remove Unit productions
3. Remove null productions

### Remove useless productions

1. Eliminate non-producing symbols
  2. Eliminate unreachable symbols
- (1) Productions in which the removed symbol occurs are removed

#### (example) Simplify:

$$\begin{aligned} P: \quad S &\rightarrow abS \mid abA \mid abB \\ A &\rightarrow cd \\ B &\rightarrow aB \\ C &\rightarrow dc \end{aligned}$$

Solution: non-producing symbol: B

after removal:

$$\begin{aligned} P: \quad S &\rightarrow abS \mid abA \\ A &\rightarrow cd \\ C &\rightarrow dc \end{aligned}$$

unreachable symbol: C

after removal:

$$\begin{aligned} P: \quad S &\rightarrow abS \mid abA \\ A &\rightarrow cd \end{aligned}$$

### Remove null productions

- a non-terminal symbol A is nullable if there is a production  $A \rightarrow \epsilon$  or  $A \rightarrow \underbrace{X_1 X_2 \dots X_n}_{\text{variables}}$  and each  $X_i$  is nullable

#### (Procedure)

- o for each rule  $A \rightarrow \alpha \beta$ , where  $\alpha, \beta$  is any mix of vars or terminals, and

### Summary

- o for each rule  $A \rightarrow \alpha B \beta$  where  $\alpha, \beta$  is any mix of vars or terminals, and  $B$  is a single var, add  $A \rightarrow \alpha B$
- △ if  $S'$  is nullable, add  $S' \rightarrow \epsilon$

(example) remove null productions from the following grammar

$$P: S \rightarrow ABAC, A \rightarrow aA|\epsilon, B \rightarrow bB|\epsilon, C \rightarrow c$$

null productions:  $A \rightarrow \epsilon, B \rightarrow \epsilon$

for  $A \rightarrow \epsilon$ :

$$S \rightarrow ABAC|ABC|BAC|BC$$

$$A \rightarrow aA|a$$

$$\text{therefore, } P: S \rightarrow ABAC|ABC|BAC|BC$$

$$A \rightarrow aA|a, B \rightarrow bB|\epsilon, C \rightarrow c$$

for  $B \rightarrow \epsilon$ :

$$S \rightarrow ABAC|ABC|BAC|BC|AAC|AC|C$$

$$B \rightarrow bB|b$$

$$\text{therefore, } P: S \rightarrow ABAC|ABC|BAC|BC|AAC|AC|C$$

$$A \rightarrow aA|a, B \rightarrow bB|b, C \rightarrow c$$

Remove unit productions

- Any production rule of the form  $A \rightarrow B$  where  $A, B \in \text{non-terminals}$  is called unit production

### Procedure

- △ To remove  $A \rightarrow B$ , add production  $A \rightarrow x$  to the grammar whenever  $B \rightarrow x$  occurs in the grammar. [ $x \in \text{terminal}$ ],  $x$  can be
- △ Delete  $A \rightarrow B$  from the grammar
- △ Repeat until all unit productions are removed.

(example) Remove unit productions from the grammar whose production rule is given by

$$P: S \rightarrow X^* \quad X \rightarrow a, Y \rightarrow Z^*b, Z \rightarrow M, M \rightarrow N, N \rightarrow a$$

Unit productions:  $Y \rightarrow Z$ ,  $Z \rightarrow M$ ,  $M \rightarrow N$

for  $M \rightarrow N$ :

since  $M \rightarrow a$ , we add  $M \rightarrow a$ , and remove  $M \rightarrow N$

P:  $S \rightarrow XY$ ,  $X \rightarrow a$ ,  $Y \rightarrow Z|b$ ,  $Z \rightarrow M$ ,  $M \rightarrow a$ ,  $N \rightarrow a$

for  $Z \rightarrow M$ :

since  $M \rightarrow a$ , we add  $Z \rightarrow a$  and remove  $Z \rightarrow M$

P:  $S \rightarrow XY$ ,  $X \rightarrow a$ ,  $Y \rightarrow Z|b$ ,  $Z \rightarrow a$ ,  $M \rightarrow a$ ,  $N \rightarrow a$

for  $Y \rightarrow Z$ :

since  $Z \rightarrow a$ , we add  $Y \rightarrow a$  and remove  $Y \rightarrow Z$

P:  $S \rightarrow XY$ ,  $X \rightarrow a$ ,  $Y \rightarrow a|b$ ,  $Z \rightarrow a$ ,  $M \rightarrow a$ ,  $N \rightarrow a$

# Chomsky Normal Form

Ref: <https://www.youtube.com/watch?v=lEsDII4Um7Y>  
<https://www.youtube.com/watch?v=eUlUzH9fmXQ>

2020年11月9日 下午 3:34

- restrictions on the RHS

(rules)

1. only rule that can make  $\epsilon$  is  $S \rightarrow \epsilon$
  2.  $A \rightarrow a$
  3.  $A \rightarrow BC$
- $\left. \begin{array}{l} A, B, C \in V, a \in \Sigma, B, C \neq S \\ \end{array} \right\}$

(Steps)

1. Ensure start variable  $S$  not on RHS of any rule.

$$\begin{array}{ccc} S \rightarrow \dots & \Rightarrow & S' \rightarrow S \\ A \rightarrow SB & & S \rightarrow \dots \\ & & A \rightarrow SB \end{array}$$

2. Remove null productions

3. Remove unit productions

4. Break up mix of vars and terminals

$$\begin{array}{ccc} A \rightarrow \alpha a \beta & & \cup a \rightarrow a \\ \swarrow \curvearrowright & & \Rightarrow \\ \text{mix of vars and} & & A \rightarrow \alpha \cup a \beta \\ \text{terminals} & & \end{array}$$

5. Break up RHS

$$\begin{array}{ccc} A = Y_1 DE & & A = Y_2 E \\ A = BC DE & \Rightarrow & Y_1 = BC \\ & & \Rightarrow Y_1 = BC \\ & & Y_2 = Y_1 D \end{array}$$

order  
matters

(example)

P:  $S \rightarrow AaB|b, A \rightarrow S|\epsilon|AB, B \rightarrow bbb|ASA$

1 add new start state  $S' \rightarrow S$

P:  $S' \rightarrow S, S \rightarrow AaB|b, A \rightarrow S|\epsilon|AB, B \rightarrow bbb|ASA$

2 nullable variable: A

add  $S \rightarrow aB, A \rightarrow B, B \rightarrow SA|AS|S$

P:  $S' \rightarrow S, S \rightarrow AaB|b|aB, A \rightarrow S|AB|B, B \rightarrow bbb|ASA|SA|AS|S$

P:  $S' \rightarrow S$ ,  $S \rightarrow AaB|b|aB$ ,  $A \rightarrow S|A|B|B$ ,  $B \rightarrow bbb|ASA|SA|AS|S$

[3] unit productions

$S' \rightarrow S$

$S \rightarrow AaB|b|aB$

◻: unit productions

$A \rightarrow S|A|B|B$

$B \rightarrow bbb|ASA|SA|AS|S$

↓

$S' \rightarrow AaB|b|aB$

$S \rightarrow AaB|b|aB$

◻: replaced

$A \rightarrow AaB|b|aB|AB|bbb|ASA|SA|AS$

$B \rightarrow bbb|ASA|SA|AS|AaB|b|aB$

[4] replace terminals

$U_a \rightarrow a$

$U_b \rightarrow b$

single terminal can't be left

$S' \rightarrow AU_aB|b|U_aB$

$S \rightarrow AU_aB|b|U_aB$

$A \rightarrow AU_aB|b|U_aB|AB|U_bU_bU_b|ASA|SA|AS$

$B \rightarrow U_bU_bU_b|ASA|SA|AS|AU_aB|b|U_aB$

[5] break up RHS

$\gamma_1 \rightarrow AU_a$

$S' \rightarrow \gamma_1 B|b|U_aB$

$S \rightarrow \gamma_1 B|b|U_aB$

$\gamma_2 \rightarrow U_bU_b$

$\gamma_3 \rightarrow AS$

$A \rightarrow \gamma_1 B|b|U_aB|AB|\gamma_2 U_b|\gamma_3 A|SA|AS$

$B \rightarrow \gamma_2 U_b|\gamma_3 A|SA|AS|\gamma_1 B|b|U_aB$

$U_a \rightarrow a$

$U_b \rightarrow b$

therefore, the CNF of the CFG is:

$$P: S' \rightarrow Y_1 B | b | U_a B$$

$$S \rightarrow Y_1 B | b | U_a B$$

$$A \rightarrow Y_1 B | b | U_a B | AB | Y_2 U_b | Y_3 A | SA | AS$$

$$B \rightarrow Y_2 U_b | Y_3 A | SA | AS | Y_1 B | b | U_a B$$

$$U_a \rightarrow a$$

$$U_b \rightarrow b$$

$$Y_1 \rightarrow A U_a$$

$$Y_2 \rightarrow U_b U_b$$

$$Y_3 \rightarrow AS$$

# Greibach Normal Form

2020年11月9日 下午 6:29

in the form of

$$A \rightarrow b$$

$$A \rightarrow bC_1C_2C_3 \dots C_n$$

where  $b$  is terminal and  $A, C_1, \dots, C_n$  are variables

(steps)

△ convert to CNF

△ change the names of variables into some  $A_i$  in ascending order of  $i$

△ if a rule is in the form  $A_i \rightarrow A_j x$ , then alter the rule so that  $i < j$

△ remove left recursion

$$\begin{array}{c} \uparrow \\ A_1 \rightarrow A_2 A_3, \text{OK} \\ \downarrow \\ 1 < 2 \end{array}$$

$$\begin{array}{c} \uparrow \\ A_1 \rightarrow A_4 A_4, \text{OK} \\ \downarrow \\ 1 < 4 \end{array}$$

$$\begin{array}{c} \uparrow \\ A_4 \rightarrow A_1 A_4, \text{not OK} \rightarrow \text{substitute } A_1 \\ \downarrow \\ 4 > 1 \end{array}$$

$$\begin{array}{c} \uparrow \\ A_4 \rightarrow A_4 A_4, \text{left recursion, not OK.} \rightarrow \text{remove left recursion} \\ \downarrow \\ 4 = 4 \end{array}$$

unnecessary here

first: convert to CNF

① add new start state  $S' \rightarrow S$

the reason why we don't allow  $S$  in RHS is  $S$  is allowed to give  $\epsilon$  while other variables are not, so it may implicitly add  $\epsilon$  to the rules.

② nullable variables: none

However here  $S$  does not give  $\epsilon$ , therefore adding  $S\epsilon$  is not necessary.

③ unit productions: none

④ remove terminal / variable mix

$$P: S' \rightarrow S$$

$$S \rightarrow CA1BB$$

$$B \rightarrow b|SB$$

$$C \rightarrow b$$

$$A \rightarrow a$$

$$P = S' \rightarrow CA1BB$$

$$S \rightarrow CA1BB$$

$$B \rightarrow b|SB$$

$$C \rightarrow b$$

$$A \rightarrow a$$

⑤ break up long RHS: nothing to break.

$$\text{CNF: } P = S' \rightarrow CA1BB$$

$$S \rightarrow CA1BB$$

$$B \rightarrow b|SB$$

$\rightarrow \sim (A \mid ISD)$  $B \rightarrow b \mid SB$  $C \rightarrow b$  $A \rightarrow a$ 

change variable name in ascending order:

 $S' \rightarrow A_1,$  $S \rightarrow A_2,$  $C \rightarrow A_3,$  $A \rightarrow A_4,$  $B \rightarrow A_5.$  $A_1 \rightarrow A_3 A_4 \mid A_5 A_5$  $A_2 \rightarrow A_3 A_4 \mid A_5 A_5$  $\Rightarrow A_3 \rightarrow b \mid A_2 A_5$  $A_3 \rightarrow b$  $A_4 \rightarrow a$ alter rules so that  $i < j$  for  $A_i \rightarrow A_j$  $A_5 \rightarrow b \mid A_2 A_5$  $A_5 \rightarrow b \mid A_3 A_4 A_5 \mid A_5 A_5 A_5$  $A_5 \rightarrow b \mid b A_4 A_5 \mid A_5 A_5 A_5$  ← left recursion: rule in the form of  
 $A \rightarrow A\alpha$  for  $A \in V$ 

remove left recursion:

- introduce new variable

 $A_5 \rightarrow b \mid b A_4 A_5 \mid A_5 A_5 A_5$ let  $Z \rightarrow A_5 A_5 Z \mid A_5 A_5$ then,  $A_5 \rightarrow b \mid b A_4 A_5 \mid b Z \mid b A_4 A_5 Z$   
add  $Z$ 

problematic, remove

the rest: write with  $Z$  once,  
write alone once

now the grammar is:

 $A_1 \rightarrow A_3 A_4 \mid A_5 A_5$  replace with  $A_1$ ,  
replace with  $A_5$  $A_2 \rightarrow A_3 A_4 \mid A_5 A_5$  $A_5 \rightarrow b \mid b A_4 A_5 \mid b Z \mid b A_4 A_5 Z$  $Z \rightarrow A_5 A_5 Z \mid A_5 A_5$  $A_3 \rightarrow b$  $A_4 \rightarrow a$  $\cup$  $A_1 \rightarrow b A_4 \mid b A_5 \mid b A_4 A_5 A_5 \mid b Z A_5 \mid b A_4 A_5 Z A_5$  $A_2 \rightarrow b A_4 \mid b A_5 \mid b A_4 A_5 A_5 \mid b Z A_5 \mid b A_4 A_5 Z A_5$  $A_5 \rightarrow b \mid b A_4 A_5 \mid b Z \mid b A_4 A_5 Z$  $Z \rightarrow b A_5 Z \mid b A_4 A_5 A_5 Z \mid b Z A_5 Z \mid b A_4 A_5 Z A_5 Z$  $b A_5 \mid b A_4 A_5 A_5 \mid b Z A_5 \mid b A_4 A_5 Z A_5$

$Z \rightarrow bA_5Z | bA_4A_5A_5Z | bZA_5Z | bA_4A_5ZA_5Z |$

$bA_5 | bA_4A_5A_5 | bZA_5 | bA_4A_5ZA_5$

$A_3 = b$

$A_4 \rightarrow a$

# Pumping Lemma for CFL

2020年11月16日 下午 2:57

let  $L$  be a CFL

then there exists a "pumping constant"  $p$  for  $L$

then for all  $w \in L$  with  $|w| \geq p$ ,

there exists  $u, v, x, y, z$  such that

$w = uvxyz$  such that

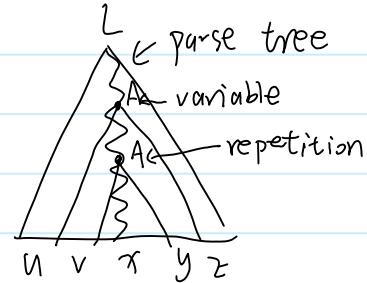
1.  $uv^ixyz \in L$  for all  $i \geq 0$

2.  $|vy| \geq 1$  (or  $vy \neq \epsilon$ )

3.  $|vxy| \leq p$

number of vars

2



Prove steps

1. Assume  $L$  is a CFL

2. Exists a pumping constant  $p$  for  $L$

3. Pick some string  $w \in L$  with  $|w| \geq p$

4. Look at all decompositions of  $w$  into  $uvxyz$  such that:

a)  $|vy| \geq 1$

b)  $|vxy| \leq p$

5. find one  $i$  such that  $uv^ixyz \notin L$

(example) prove that  $\{a^n b^n c^n \mid n \geq 0\}$  is not CFL

Proof: Suppose  $L_1$  is a CFL

then there exists a pumping length  $p$  for  $L_1$ ,

we choose a string  $w = a^p b^p c^p$  such that  $w \in L$ , and  $|w| \geq p$

we then look at all the decompositions of  $w$  into  $uvxyz$

such that  $|vy| \geq 1$  and  $|vxy| \leq p$ :

Case 1:  $v$  and  $y$  only contain  $a$ 's

$a \underline{aaa} \dots a \underline{b} \dots b \underline{c} \dots c$   
 $\underbrace{\quad \quad \quad}_{u} \underbrace{\quad \quad \quad}_{v} \underbrace{\quad \quad \quad}_{x} \underbrace{\quad \quad \quad}_{y} \underbrace{\quad \quad \quad}_{z}$

then when  $i=2$ ,  $uv^ixyz = a^{p+2} b^p c^p \notin L$

Case 2:  $v$  and  $y$  only contain  $b$ 's or  $c$ 's

same as case 1

Case 3:  $v$  and  $y$  only contain  $a$ 's and  $b$ 's

$a \dots \underline{ab} \dots b \underline{c} \dots c$

$w = a^u b^v c^z$

then when  $i=2$ , the number of a and b will be larger than  $P$ , while the number of c is  $P$   
 $\Rightarrow uv^ixy^2 \notin L$

case 4:  $v$  and  $y$  only contain b's and c's  
 same as case 3

therefore,  $w$  cannot be pumped under all cases  
 $L_2$  is not CFL.

(example)  $L_2 = \{a^i b^j c^k \mid i \leq j \leq k\}$

Suppose  $L_2$  is CFL, then there is a pumping length  $P$  for  $L_2$ .  
 we choose  $w = a^P b^P c^P$

we decompose  $w$  into  $uvxyz$  such that  $|vy| \geq 1$  and  $|vxy| \leq P$

case 1:  $vty$  are only in a's

$\Rightarrow$  when  $i=2$ , there will be more a's than b's

case 2:  $vty$  are only in b's

$\Rightarrow$  when  $i=2$ , then there will be more b's than c's

case 3:  $vty$  are only in c's

$\Rightarrow$  when  $i=0$ , then there will be more b's than c's

case 4:  $vxy$  has a's and b's

$\Rightarrow$  when  $i=2$ , then there will be more b's than c's

case 5:  $vxy$  has b's and c's

$\Rightarrow$  when  $i=0$ , then there will be more a's than b's

therefore,  $w$  cannot be pumped under all cases

$L_2$  is not CFL

(example)  $L_3 = \{ww \mid w \in \{0, 1\}^*\}$

Suppose  $L_3$  is a CFL, then there exists a pumping length  $P$  for  $L$

we choose  $S = 0^P 1^P 0^P 1^P$  and decompose  $S$  into  $uvxyz$  such that

$|vy| \geq 1$  and  $|vxy| \leq P$

case 1:  $vxy$  in the first  $0^P 1^P$ :

case 1:  $vxy$  in the first  $0^p 1^p$ :

$0 \underbrace{\dots}_{u} \underbrace{01\dots}_{vxy} \underbrace{10\dots}_{z} 01\dots$

when  $i=2$ , the first part is not the same as the second part.

case 2:  $vxy$  in the second  $0^p 1^p$

similar to case 1.

case 3:  $vxy$  in  $1^p 0^p$

$0\dots 01\dots | 0\dots 01\dots$   
 $\underbrace{0\dots}_{u} \underbrace{01\dots}_{vxy} \underbrace{0\dots}_{z}$

when  $i=2$ , the first part will have more 1 than the second part, hence not the same.

therefore,  $S$  cannot be pumped under all cases.

$L_3$  is not CFL.

(example)  $L_4 = \{ w \in \{a, b, c, d\}^*: \# \text{ of } c's > \# \text{ of } a's, b's, d's \}$

Assume  $L_4$  is a CFL, so there exists a pumping length  $p$  for  $L_4$ . we choose a string  $w = c^{p+1} a^p b^p d^p$ , and decompose it into  $uvxyz$  such that  $|vy| \geq 1$  and  $|vxy| \geq p$

case 1:  $vxy$  in c's

when  $i=0$ , # of c's  $\leq$  # of a's

case 2:  $vxy$  only in a's

when  $i=2$ , # of a's  $>$  # of c's

case 3:  $vxy$  only in b's or d's

similar to case 2

case 4:  $vxy$  in a's and b's

when  $i=2$ , # of a's or # of b's  $\geq$  # of c's

case 5:  $vxy$  in b's and c's

similar to case 4

case 6:  $vxy$  in c's and a's

when  $i=0$ , # of c's  $\leq$  # of b's

or # of c's  $\leq$  # of a's

therefore,  $w$  cannot be pumped under all cases

$L_4$  is not CFL.

# Pushdown Automata

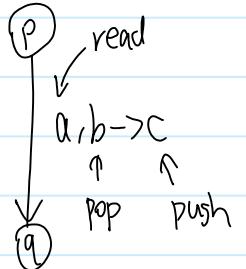
2020年11月30日 下午 12:37

- machine model for CFGs

similar to NFA, but on each transition, we can:

- ▷ push (or not) } to the stack ← starts empty
- ▷ pop (or not) } can end non-empty

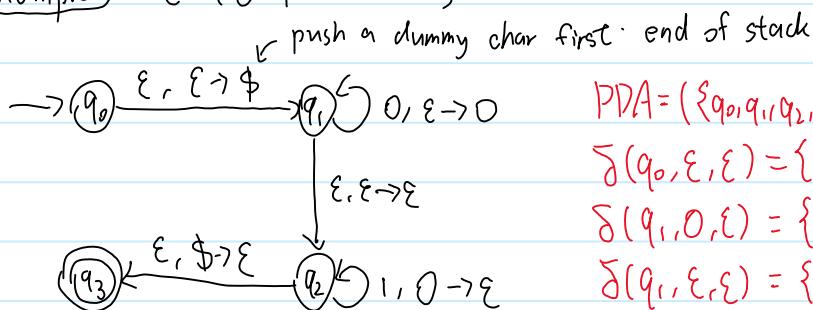
transition:



Can take transition if:

1. we can read a  
[and]
2. we can pop b

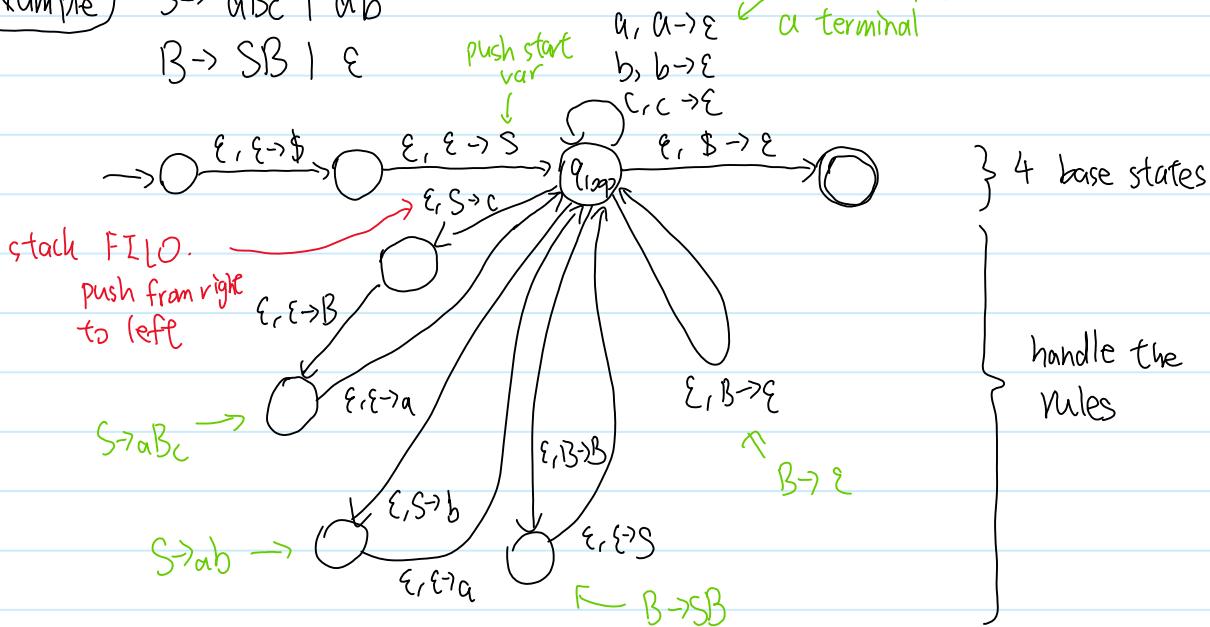
**Example**  $L = \{0^n 1^n : n \geq 0\}$



$$\begin{aligned} PDA &= (\{q_0, q_1, q_2, q_3\}, \{0, 1\}, \{\epsilon, \$, 0, 1\}, \delta, q_0, \{q_3\}) \\ \delta(q_0, \epsilon, \epsilon) &= \{(q_1, \$)\} \\ \delta(q_1, 0, \epsilon) &= \{(q_2, 0)\} \\ \delta(q_1, \epsilon, \epsilon) &= \{(q_2, \epsilon)\} \\ \delta(q_2, 1, 0) &= \{(q_2, \epsilon)\} \\ \delta(q_2, \epsilon, \$) &= \{(q_3, \epsilon)\} \end{aligned}$$

CFG  $\rightarrow$  PDA

**Example**  $S \rightarrow aBc \mid ab$   
 $B \rightarrow SB \mid \epsilon$

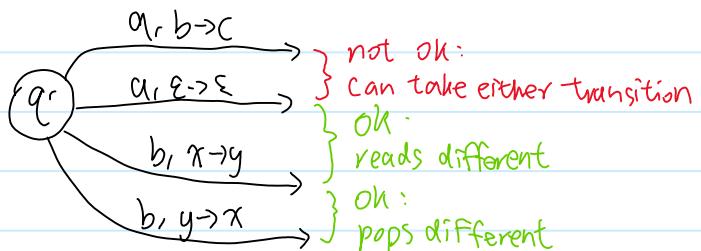
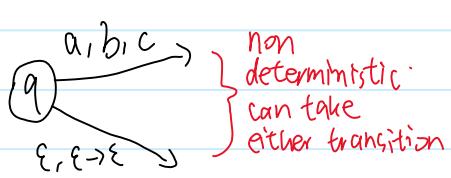


## Simplification of PDA

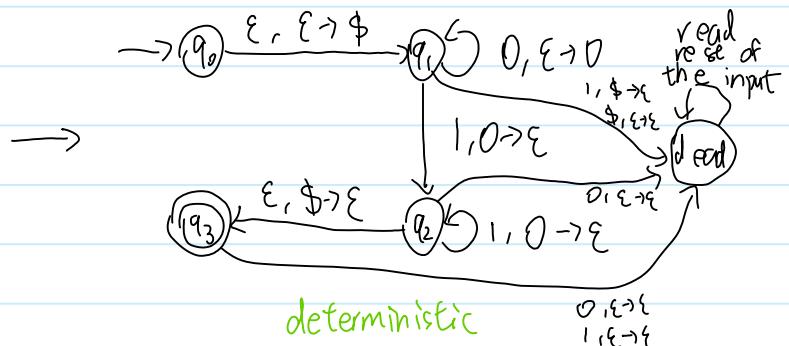
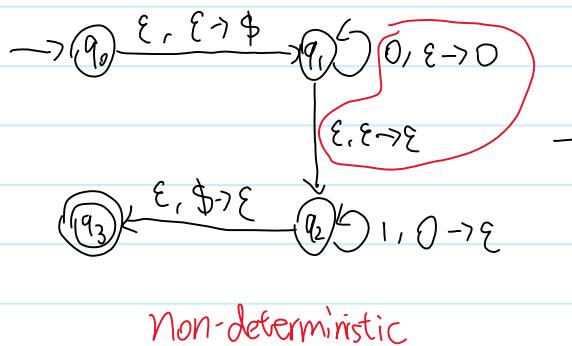
1. Force stack to end empty
2. Every transition starts or ends empty

(pass)

Deterministic CFLs  $\rightarrow$  DPDA's



**Example**  $L = \{0^n 1^n : n \geq 0\}$



**DCFL  $\neq$  CFL**

## DCFL properties

1. Closed under complement
2. NOT closed under union / intersection
3. NOT closed under concatenation

**Nes0**

Formal definition

$$P = (\mathcal{Q}, \Sigma, \Gamma, S, q_0, Z, f)$$

$\mathcal{Q}$  = Finite set of states

$\Sigma$  = Finite set of input symbols

Takes arguments as a triple  $S(q, a, X)$  where

1.  $q$  is a state in  $\mathcal{Q}$
2.  $a$  is either an input symbol in  $\Sigma$  or  $\epsilon$

$\Sigma$  = Finite set of input symbols

$\Gamma$  = A finite stack alphabet

$\delta$  = The transition function

$q_0$  = The start state

$z_0$  = The start stack symbol (\$)

$F$  = The set of final / Accepting states

missing in  
lecture

PP7

2.  $\alpha$  is either an input symbol in  $\Sigma$  or  $\epsilon$

3.  $X$  is a stack symbol in  $\Gamma$

The output is finite set of pair  $(p, \gamma)$  where  
p is a new state

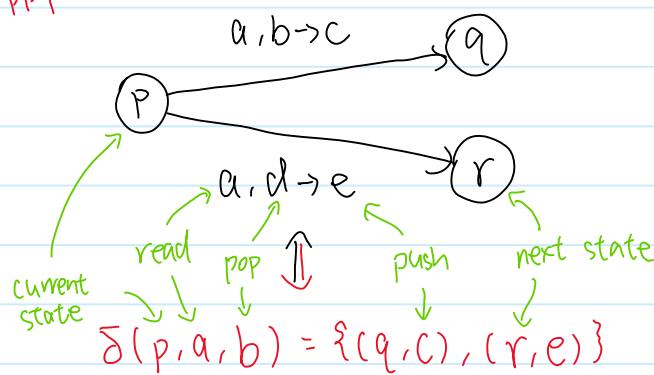
$\gamma$  is a string of stack symbols that replaces

$X$  at the top of the stack

e.g. if  $\gamma = \epsilon$  then  $X$  is popped

if  $\gamma = X$  then stack is unchanged

if  $\gamma = ZX$  then  $X$  replaced by  $Y$  and  
 $Z$  pushed



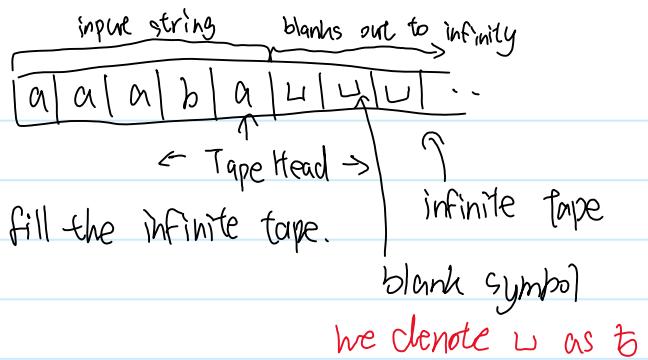
# Turing Machine

2020年11月30日 下午 3:08

data structure: tape

Tape alphabets:  $\Sigma = \{0, 1, a, b, \lambda, z_0\}$

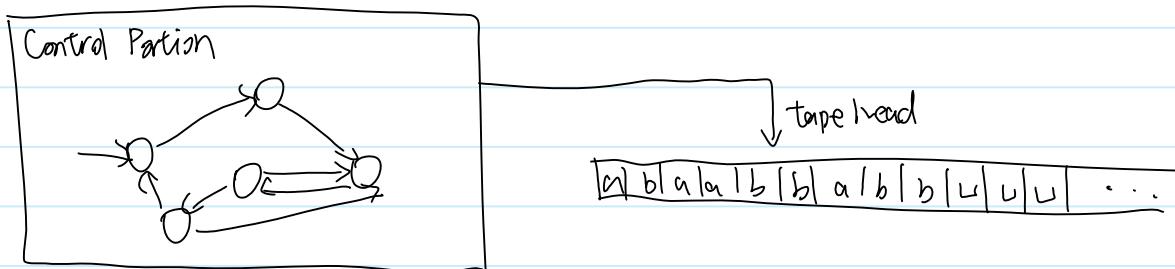
The blank  $\lambda$  is a special symbol used to fill the infinite tape.



operations:

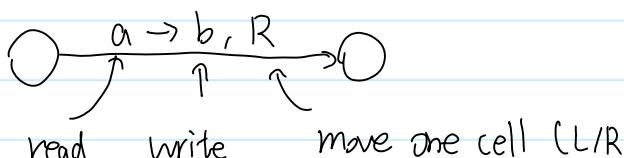
1. read the symbol below the tape head
2. update / write a symbol below the tape head
3. move the tape head one step left / right

Structure



similiar to FSM or PDA  
is deterministic

Operation rules



- ▷ if on the left end and move left, the tape head will not move.
- ▷ if updating the cell is not desired, write the same symbol.

- ▷ Control is with a sort of FSM
  - initial state
  - final states: either accept or reject
  - computations: halt and accept  
halt and reject  
loop (failed to halt)

Formal definition

$\Sigma = \{0, 1, a, b, \lambda\}$        $\rightarrow \{0, 1, a, b, \lambda\}^*$

## Formal definition

$$P = (Q, \Sigma, \Gamma, \delta, q_0, b, F)$$

$Q$  = Non empty set of states

$\Sigma$  = Non empty set of symbols  $\Sigma \subseteq \Gamma$

$\Gamma$  = Non empty set of tape symbols

$\delta$  = Transition function

$q_0$  = Initial state

$b$  = Blank symbol

$F$  = Set of Final states (accept state and reject state)

defined as

$$Q \times \Sigma \rightarrow \Gamma \times (\text{R/L}) \times Q$$

$\uparrow$  in current state  
 $\uparrow$  given particular symbol

$\uparrow$  write sth. into the tape sequence

$\uparrow$  move either right or left

$\uparrow$  go to the next state

↓  $q_{\text{accept}}$

↓  $q_{\text{rej}}$

The production rule of Turing Machine will be written as

$$\delta(q_0, a) \rightarrow (q_1, y, R)$$

$\uparrow$  at current state  
 $\uparrow$  ignore symbol  
 $\uparrow$  go to new state  
 $\uparrow$  write symbol  
 $\curvearrowright$  move left/right

## Turing Thesis

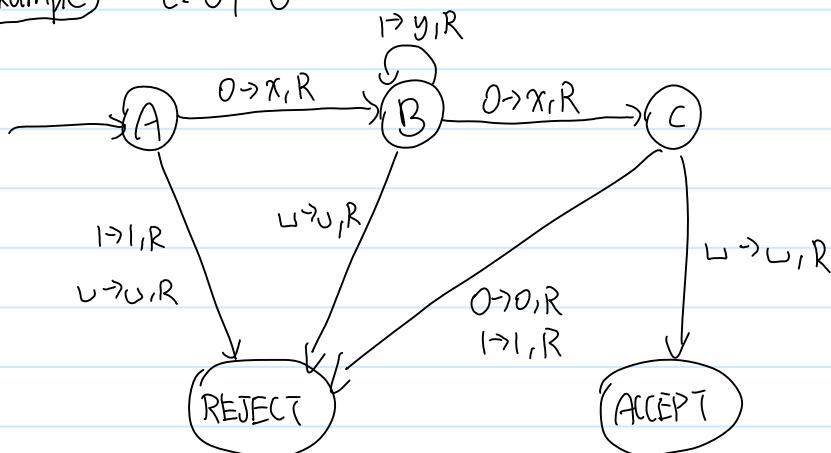
any computation that can be carried out by mechanical means can be performed by some Turing Machine

## Recursively Enumerable Language

A language  $L$  and  $\Sigma$  is said to be recursively enumerable if there exists a Turing Machine that accepts it.

(Example)

$$L = 01^{\infty}$$



(Example)

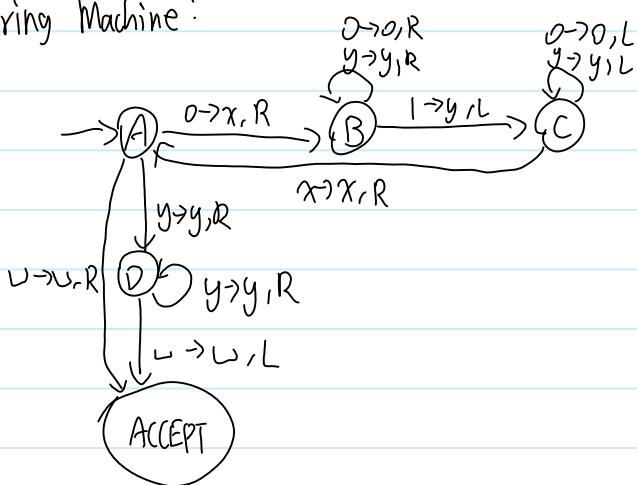
$$L = 0^N 1^N$$

(example)  $L = 0^N 1^N$

Algorithm:

- ▷ change leftmost 0 to x
- ▷ move right to the first 1 — reject if none
- ▷ change the 1 to y
- ▷ move left to the leftmost 0
- ▷ repeat until no 0 is left
- ▷ check that no 1 is left

Turing Machine:



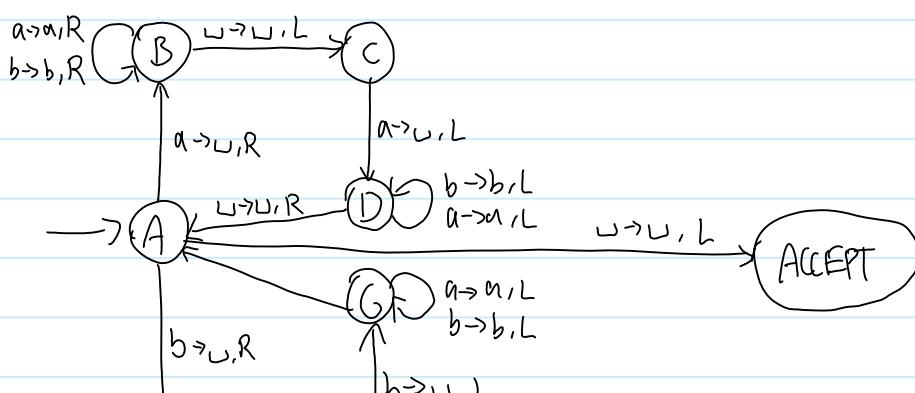
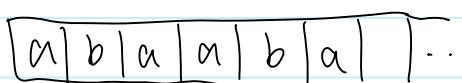
Church - Turing Thesis

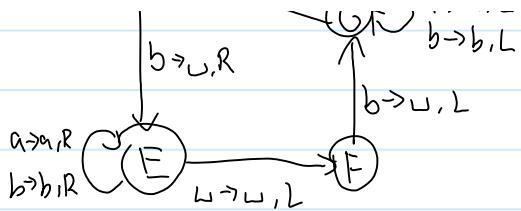
— What does computable mean?

Alonzo Church : lambda calculus

Alan Turing : Turing Machine

(example) Turing Machine for even palindromes



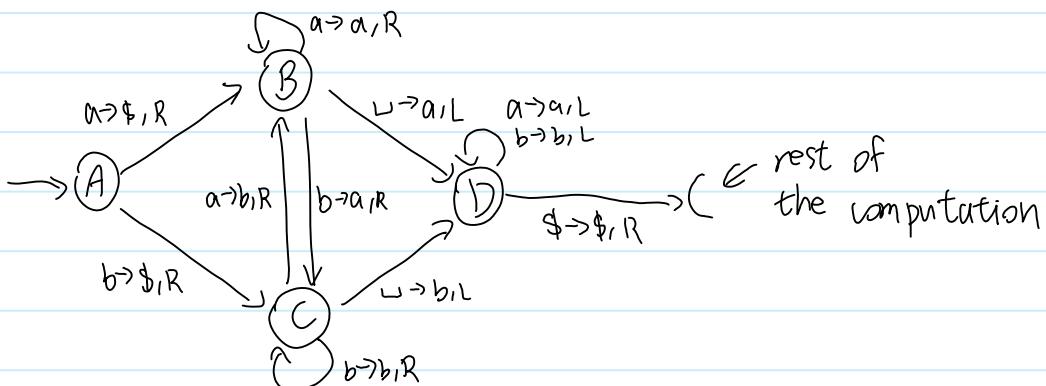


## Turing Machine Techniques

- How do we recognize the left end of the tape?

Sol: Put a special symbol \$ on the left end of the tape and shift the input over one cell to the right.

$$[a \mid b \mid u \mid u \dots] \rightarrow [\$ \mid a \mid b \mid u \dots]$$



- Build a Turing Machine to recognize the language  $O^N H O^H$

Idea: In a previous example we built a Turing Machine that recognizes  $O^N H$  and turn it into  $x^N y^H$

Therefore,

1. recognize  $O^N H$  and turn it into  $x^N y^H$

2. build another Turing Machine that accepts  $y^H O^N$

3. combine the two Turing Machines

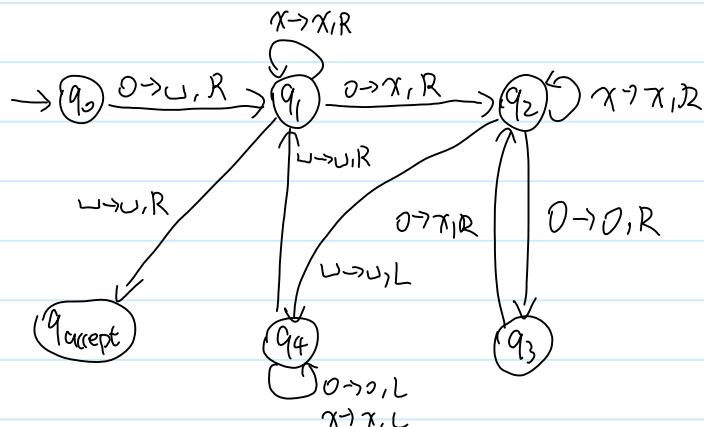
- Comparing two strings: construct a Turing Machine that accepts  $\{w \# w \mid w \in \{a, b, c\}^*\}$

Idea: 1. use a new symbol such as X

2. examine the leftmost symbol of each part that is not X, replace the symbol into X after it is examined

Easy Theory

**example** Check whether the given Turing machine accepts 0000



( All unwritten transitions  
go to `reject` )

Input: 0000  $\Rightarrow$  1000  $\Rightarrow$  1x00  $\Rightarrow$  1x00  $\Rightarrow$  1x0x1  $\Rightarrow$  1x0x1  
 State:  $q_0$   $q_1$   $q_2$   $q_3$   $q_2$   $q_4$   
 $\Rightarrow$  1x0x1  $\Rightarrow$  1x0x1  $\Rightarrow$  1x0x1  $\Rightarrow$  1x0x1  $\Rightarrow$  1x0x1  $\Rightarrow$  1x0x1  
 $q_4$   $q_4$   $q_4$   $q_1$   $q_1$   $q_2$   
 $\Rightarrow$  1xxx1  $\Rightarrow$  1xx1  $\dots \Rightarrow$  1xx1  $\Rightarrow$  1xx1  $\dots \Rightarrow$   
 $q_2$   $q_4$   $q_4$   $q_1$   
 1xxx1  $\Rightarrow$  1xxx1  
 $q_1$   $q_{\text{accept}}$

therefore it accepts 4 zeros

# Decidability

2020年12月6日 下午 2:41

## Recursive language

- $L$  is said to be recursive if there exists a Turing machine that accepts strings in  $L$  and rejects strings not in  $L$
- Will always halt and give accept/reject

↑ Turing decidable

↓ Turing recognizable

## Recursive Enumerable language

- $L$  is said to be recursive if there exists a Turing machine that accepts strings in  $L$
- Will always halt if input string is in  $L$ , but may either reject or loop otherwise

↓

## Decidable Language

- A language is decidable if it is a recursive language

## Partially Decidable Language

- A language is partially decidable if it is a recursive enumerable language

## Undecidable Language

- A language is undecidable if there exists no Turing machine that accepts it.
- May sometimes be partially decidable

## Universal Turing Machine

- The Turing machine that takes another Turing machine  $M$  and a string  $w$  as input tries to determine whether  $M$  accepts  $w$ .

## The language

$$A_{TM} = \{ \langle M, w \rangle \mid M \text{ is a Turing machine and } M \text{ accepts } w \}$$

Is Turing Recognizable ↙ not decidable, as it may loop

## The halting problem

- A problem that asks if a given Turing machine will stop on a given input

## The halting problem

- Given a Turing Machine, will it halt when run on some particular input string?  
Undecidable

(example) Given a Java code, does it always terminate?

Ans: In general we don't know.

What we can do is perhaps run it and see

For many programs they may terminate or sometimes loop.

(proof) Prove that the halting problem is undecidable

Proof: Assume it is decidable, then we can  
define  $\text{Halt}(\text{Program}, \text{Input})$

```
if Program halts given Input  
    return HALT  
else  
    return NOT-HALT
```

This allows us to write another function:

```
define A(X)  
if Halt(X,X) == HALT  
    loop forever  
else  
    return none
```

Then if we run A on itself:

A(A)

The result is:

If  $\text{Halt}(A,A) == \text{HALT}$ , A will not halt.

If  $\text{Halt}(A,A) == \text{NOT-HALT}$ , A will halt.

This contradicts with the assumption that the halting problem is decidable, therefore it is undecidable. ■

## The Post Correspondence Problem

- Can run online

## The Post Correspondence Problem

- Given some dominoes



Each domino can be used many times

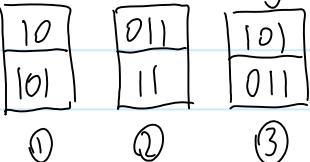
Problem: find a sequence of dominos such that the top and bottom strings are the same

Sample Solution:

A	B	CA	A	ABC
AB	CA	A	AB	C

the process of enumerating may not stop

example



first, we can only apply ①

10

101

then, we can only apply ③

10 101

10 1011

again, we can only apply ③

10 101 101

10 101 1011

and it goes on and does not terminate.

## Reduction

Ref: textbook P392

If we have an algorithm to convert instances of a problem  $P_1$  to instances of a problem  $P_2$ , then we say that  $P_1$  reduces to  $P_2$ . We can use this proof to show that  $P_2$  is at least hard as  $P_1$ . Therefore, if  $P_2$  is recursive, then  $P_1$  is recursive. If  $P_1$  is undecidable, then  $P_2$  is undecidable.

example Show how the halting problem can be reduced to the blank tape halting problem

Ref: <https://cs.stackexchange.com/questions/41239/halting-problem-reducing-to-the-blank-tape-halting-problem>

Condition: no longer than 1000 words

Ref: <https://cs.stackexchange.com/questions/41239/halting-problem-reducing-to-the-blank-tape-halting-problem>

Solution: we have two languages:

$\text{HALT}_{\text{TM}} = \{\langle M, w \rangle \mid M \text{ is a Turing machine that halts on input } w\}$

$\text{BLANKHALT}_{\text{TM}} = \{\langle M \rangle \mid M \text{ is a Turing machine that halts on input } \epsilon\}$

We use proof by contradiction to prove that  $\text{BLANKHALT}_{\text{TM}}$  is undecidable:

Suppose  $\text{BLANKHALT}_{\text{TM}}$  is decidable, then there is a Turing machine T that decides it. Then, using T, we can construct a Turing machine R that decides  $\text{HALT}_{\text{TM}}$  where R is:

On input  $\langle M, w \rangle$ :

1. Construct a new Turing machine  $M_w$  that starts with a blank tape, and write  $w$  onto the tape, then move its head back to the start and behave the same as  $M$
2. Run  $T(\langle M_w \rangle)$  If T accepts, accept. Otherwise reject.

Then this will decide  $\text{HALT}_{\text{TM}}$ . However, we know that  $\text{HALT}_{\text{TM}}$  is not decidable, therefore T can't exist and  $\text{BLANKHALT}_{\text{TM}}$  is undecidable.

(example) Show how the halting problem can be reduced to the uniformed halting problem

Ref: <https://cs.stackexchange.com/questions/41243/halting-problem-reduction-to-halting-for-all-inputs>

Solution: we have two languages:

$\text{HALT}_{\text{TM}} = \{\langle M, w \rangle \mid M \text{ is a Turing machine that halts on input } w\}$

$\text{UNIFORMHALT}_{\text{TM}} = \{\langle M, Y \rangle \mid M \text{ is a Turing machine and } Y \text{ is the collection of all inputs of } M, M \text{ halts on every elements of } Y\}$

We use proof by contradiction to prove that  $\text{UNIFORMHALT}_{\text{TM}}$  is undecidable.

Suppose  $\text{UNIFORMHALT}_{\text{TM}}$  is decidable, then there is a Turing machine T that decides it. Then, using T, we can construct a Turing machine R that decides  $\text{HALT}_{\text{TM}}$  where R is:

On input  $\langle M, w \rangle$ :

1. Construct a new Turing machine  $M_w$  that starts with  $M$  and  $Y$ , replace every element of  $Y$  to  $w$ , and move its head back to the start and behave the same as  $M$

→ Run  $T(\langle M_w, Y \rangle)$  If T accepts current algorithm rejects

behave the same as  $M$

2. Run  $T(\langle M_w, Y \rangle)$  If  $T$  accepts, accept. Otherwise reject.

Then this will decide  $\text{HALT}_{\text{TM}}$ . However, we know that  $\text{HALT}_{\text{TM}}$  is not decidable, therefore  $T$  can't exist and  $\text{UNIFORM HALT}_{\text{TM}}$  is undecidable.

(example) a recursive enumerable language

Answer: the language corresponds to the halting problem is a recursively enumerable language, namely:

$$L_{\text{halt}} = \{\langle M, w \rangle \mid M \text{ is a Turing machine that halts on input } w\}$$

(example) a not recursive enumerable language

Answer: the language corresponds to the complement of the halting problem is not recursive enumerable, namely:

$$\overline{L}_{\text{halt}} = \{\langle M, w \rangle \mid M \text{ is a Turing machine that doesn't halt on input } w\}$$