

Jai Shree Ram

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|               |      |                                 |          |                               |

82.

## Conflict Serializability, Precedence

Graph:

| T <sub>1</sub> | T <sub>2</sub> | T <sub>3</sub> |
|----------------|----------------|----------------|
| R(x)           |                |                |
|                | R(y)           |                |
|                | R(x)           |                |
|                | R(y)           |                |
|                | R(z)           |                |
|                |                | w(y)           |
|                |                |                |
| w(z)           |                |                |
| w(x)           |                |                |
| w(z)           |                |                |

↓ — Timeline.

- First, we have to make precedence graph → (mean, just graph with edges & vertices).

Vertex → No. of Transaction (T<sub>1</sub>, T<sub>2</sub> & T<sub>3</sub>)

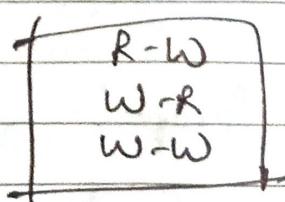
Now

& Edges

Check the conflict pairs in other transactions  
and draw edges.

like & T<sub>1</sub> → (check in T<sub>2</sub> & T<sub>3</sub>)

\* Conflict pairs!



of same variable.  
lets (x).

\* Start!

Now, start with first open". i.e,

T<sub>1</sub> in T<sub>1</sub> → R(x)

→ check the conflict pair of  $R(x)$   
in other Transac's i.e.  $(T_2 \Delta T_3)$ .

→ Conflict pair of  $R(x) \rightarrow w(x)$

&

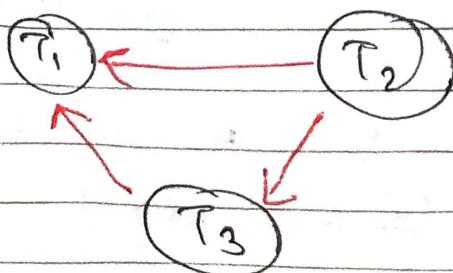
now, Similarly,

conflict pair of  $w(x) \rightarrow R(x), w(x)$ .

Now, do check for every opera's in  
all transac's & after checking list  
those opera's. & If you find any  
conflict pair, then draw edge acc. to  
vertex (Transac').

| $T_1$                        | $T_2$                        | $T_3$                        | C.P. we find $\rightarrow$          |
|------------------------------|------------------------------|------------------------------|-------------------------------------|
| <del><math>R(x)</math></del> |                              | <del><math>R(y)</math></del> | $R(x) - w(x) (T_3 \rightarrow T_1)$ |
|                              |                              | <del><math>R(x)</math></del> | $R(y) - w(y) (T_2 \rightarrow T_3)$ |
|                              | <del><math>R(y)</math></del> |                              | $R(z) - w(z) (T_2 \rightarrow T_1)$ |
|                              | <del><math>R(z)</math></del> |                              | $w(z) - R(z) (T_2 \rightarrow T_1)$ |
|                              |                              | <del><math>w(y)</math></del> | $w(z) - w(z) (T_2 \rightarrow T_1)$ |
| $R(z)$                       |                              |                              |                                     |
| <del><math>w(x)</math></del> |                              |                              |                                     |
| <del><math>w(z)</math></del> |                              |                              |                                     |

# Precedence Graph!?



\* Now, come on Graph! ~  
Check that if there is any loop/cycle  
in the graph.

How to check cycle: → ~~जब तक वह नहीं गति होती~~  
Node जब रहता है, तब उसे लूप में कहा जाता है।  
उसका फल यह है। If yes → Loop/Cycle exists.

(It is not must that the cycle must covers all the nodes. May be possible, it comes just after visiting one node), → also a cycle.

(\* In our graph, there is no loop/cycle.)

\* No loop/cycle → Conflict Serializable Schedule  
If loop/cycle exists → Not C.S.S.

+ Conflict Serializable → Serializable → Consistent.  
(Serial Schedule)

\* Now, how to make Serializable? →

|  |   |
|--|---|
| $T_1 \rightarrow T_2 \rightarrow T_3$          | $\left[ \begin{array}{c} 6 \\ \text{Cases} \end{array} \right]$ |
| $T_1 \rightarrow T_3 \rightarrow T_2$          |   |
| $T_2 \rightarrow T_1 \rightarrow T_3$          |   |
| $\cancel{T_2 \rightarrow T_3 \rightarrow T_1}$ |   |
| $T_3 \rightarrow T_1 \rightarrow T_2$          |   |
| $T_3 \rightarrow T_2 \rightarrow T_1$          |   |

6 → Now, which case?

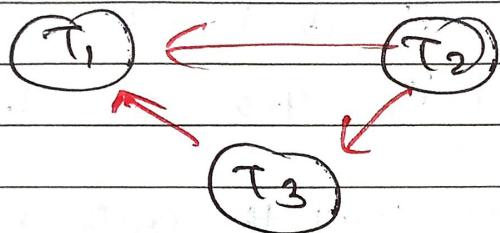
Again, see the graph  
→ check

$\boxed{\text{Indegree} = 0}$

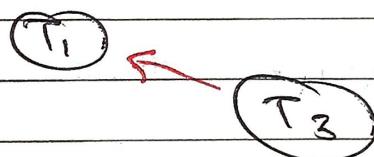


# Indegree = 0, means a vertex with '0' indegree.

Mean,  $\sqrt{1}, \sqrt{2}$  चीजे ग्र लेज (arrow) नाही आणि रुदा दै.



$T_2$  has, Indegree = 0,  
Now, remove  $T_2$ .



$T_2 \rightarrow T_3 \rightarrow T_1$  ~~is~~

| $T_1$ | $T_2$ | $T_3$ |
|-------|-------|-------|
|       | o     |       |
| o     |       | o     |

— x — . — x —

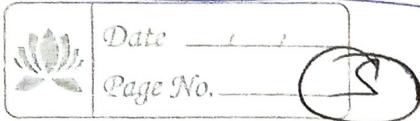
(83.)

View Serializability  $\Rightarrow$

Q:- Check whether schedule is conflict serializable or not?

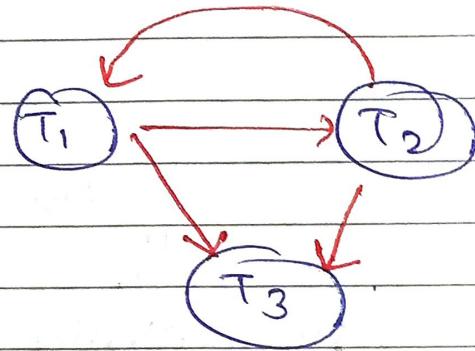
→ First make precedence graph.

Loop  $\rightarrow$  No Answerable, then, view Serializable Answers.



S

| T <sub>1</sub> | T <sub>2</sub> | T <sub>3</sub> |
|----------------|----------------|----------------|
| R(A)           |                |                |
|                | w(A)           |                |
| w(A)           |                | w(A)           |



Here, we get a loop.

So,

It is Non Conflict Serializable.

Here, we can't tell that it Serializable or not.

Now,

To check that, we use View Serializable.

Now, we arrange this Table,

| T <sub>1</sub> | T <sub>2</sub> | T <sub>3</sub> |
|----------------|----------------|----------------|
| R(A)           |                |                |
| w(A)           | w(A)           |                |
| w(A)           |                | w(A)           |

We change  
the begin

| T <sub>1</sub> | T <sub>2</sub> | T <sub>3</sub> |
|----------------|----------------|----------------|
| R(A)           |                |                |
|                | w(A)           |                |
| w(A)           |                | w(A)           |

Now this be a serial schedule,  $T_1 \rightarrow T_2 \rightarrow T_3$ .

But,

We have to check whether they match each other or not.

With A = 100.



| $T_1$                          | $T_2$        | $T_3$                     |
|--------------------------------|--------------|---------------------------|
| 100 R(A)                       | $A = A - 40$ |                           |
| $W(A) - 60$                    |              |                           |
| $W(A)$<br>$A = A - 40$<br>(80) |              | $W(A)$<br>$A - 20$<br>(0) |

| $T_1$           | $T_2$           | $T_3$                  |
|-----------------|-----------------|------------------------|
| 100 R(A)        |                 |                        |
| 60 $W(A)$       |                 |                        |
| <del>W(A)</del> | <del>W(A)</del> | 20<br><u>W(A)</u><br>0 |

Now, apply this same here.

Here, finally  
A value - 0

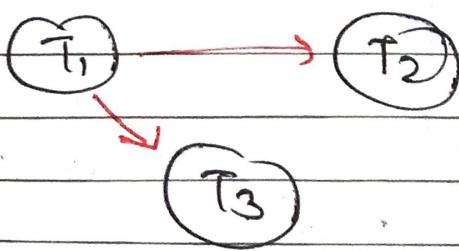
Here also  
finally A is '0'

Hence Both are Equivalent.

They are View Equivalent ( $\equiv$ ).

Note:- b/c, finally output is given by A of  $T_3$ . So, if adjust the pos' of A of  $T_1$  &  $T_2$ . So, there is no problem.

Now,



(By Refining  
of only  
 $T_1$ )

Hence,  $T_1 \rightarrow T_2 \rightarrow T_3$  (By Table).

Note:- Conflict Serializable tell that it is not Serializable (no ans.). But, View Serializable Checks it & tell that it is Serializable.

84.

(parallel schedule)

(C.C.P.)

## Concurrency Control Protocols:

(Shared - Exclusive Locking Protocol)

- C.C.P. is that how to make them Serializable, or how to make them recoverable. Schedules are concurrent, but how we can make them serializable & recoverable, this comes under Concurrency Control Protocol (C.C.P.).

→ We achieve this, By using Locking Protocols.

# 'Shared - Exclusive locking': →

We use 2 locks here,

- Shared lock(s) → if: trans. locked data item in shared mode, then allowed to Read only.

[U → means unlock].

T<sub>1</sub>

S(A)

R(A)

U(A)

→ Shared lock.

→ only Read

→ unlock.

- Exclusive lock(x) → if trans. locked data item in exclusive mode then allowed to Read & write both.

T<sub>2</sub>

X(A)

R(A)

W(A)

U(A)

→ Exclusive lock.

→ unlock.

## Compatibility

Table : -

|       |   | Request |    | S | S | X |
|-------|---|---------|----|---|---|---|
|       |   | S       | X  | S | X | X |
| Grant | S | Yes     | No | ↑ | ↑ | ↑ |
|       | X | No      | No | ↓ | ↓ | ↓ |

Expln :-

S has only R(A)

L X has both R(A), W(A)

so

$\Rightarrow S - S$  (No conflict) b/w R(A)-R(A).

$\Rightarrow S - X$  (Conflict).

R - R

|      |      |
|------|------|
| R(A) | R(A) |
|      | W(A) |

$\Rightarrow X - S$  (Conflict)

|      |      |
|------|------|
| R(A) | R(A) |
| W(A) |      |

$\Rightarrow X - X$

|      |      |
|------|------|
| R(A) | R(A) |
| W(A) | W(A) |

→ Conflict.

\* Problems in S/X Locking : →

- 1.) May not sufficient to produce only Serializable Schedule.
- 2.) May not free from Ir-recoverability.
- 3.) May not free from dead lock.
- 4.) May not free from starvation.



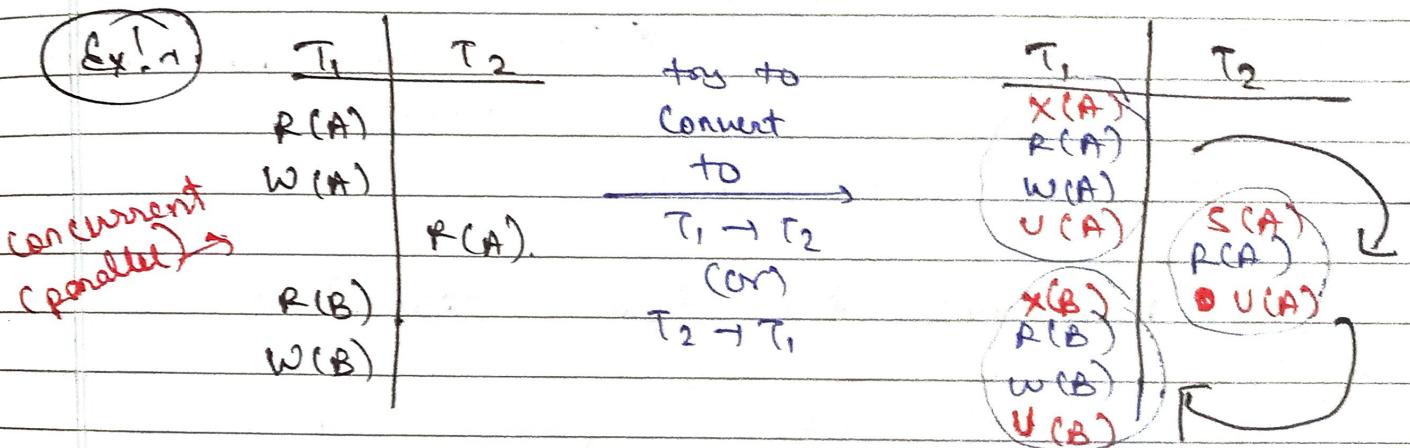
Q1

Q5.

Drawbacks in Shared | Exclusive : S/x locking protocol

→ Locking gives us Serializable Schedule  
→ Consistent

i) May not sufficient to produce only Serializable Schedule.  
→ It get Hold, Wait [



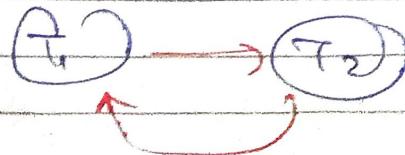
Note:- Until, we do unlock, U(A) in T<sub>1</sub>, we don't be able to put Shared lock S(A) on T<sub>2</sub>. b/c by Compatibility Table.

$(X-S) \rightarrow NO$ , so first we unlock X(A).

&

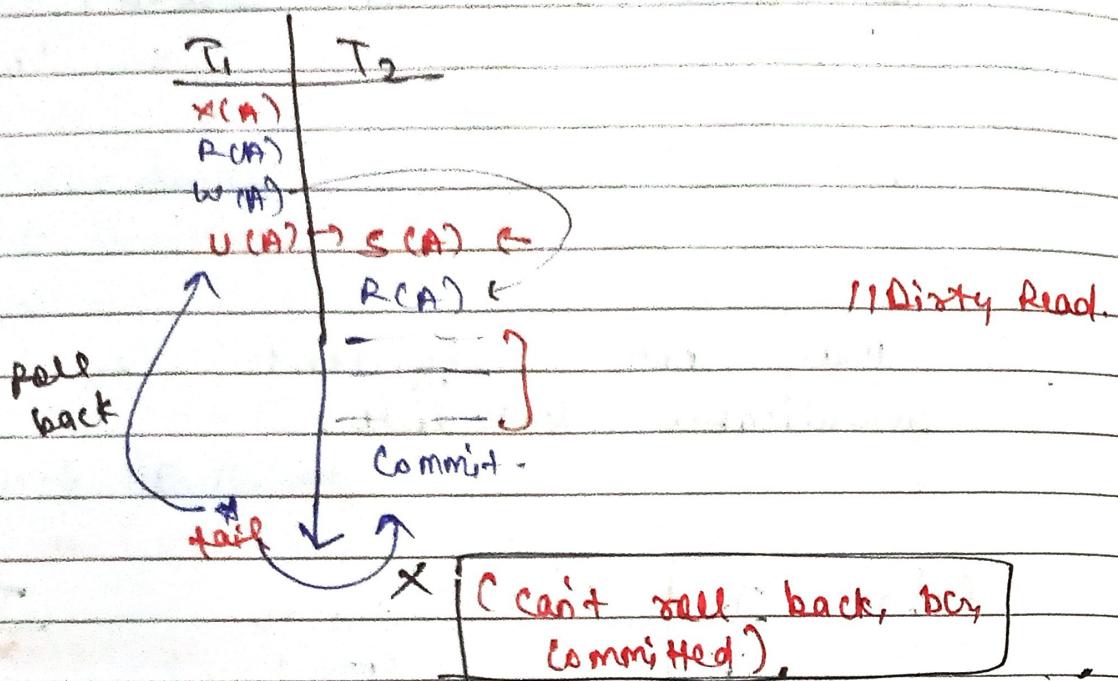
then, we S(A) on T<sub>2</sub> data.

→ Here we don't get Serializable Schedule even after applying S/x locking. As u can see in Table.



2.) May not free from non-recoverability.

Ex:-



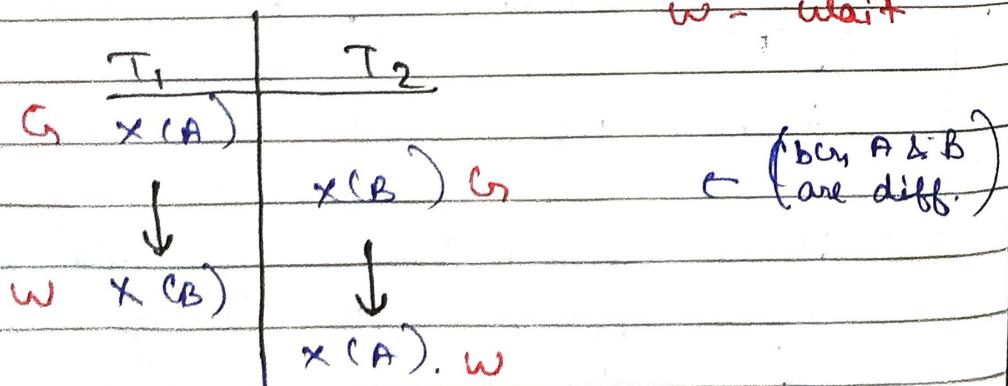
" It can't recover here .

3.) May not free from deadlock.

→ Deadlock! → When 2 person wait for resources, & they both are waiting in an infinite loop, so, when we wait infinitely, it is called **Deadlock State**.

C - Grant  
W - Wait

Ex:-



2) Here, both are in waiting bcz they are not unlock after use. So,

- $T_1$  also waits that when  $T_2$  unlocks, he can use on  $X(B)$ .

So, Both are waiting in an Infinite loop

4.) May not free from starvation.

In deadlock, waiting upto infinite time

But,

In starvation, waiting not upto the infinite time.

→ Shared to ~~542~~ Shared & Tidied up,  
by compatibility Table. without unlock.

Ex:-

| $T_1$          | $T_2$  | $T_3$        | $T_4$        |
|----------------|--------|--------------|--------------|
|                | $S(A)$ |              |              |
| $w \quad X(A)$ |        |              |              |
|                |        |              |              |
| <i>Waiting</i> | $U(A)$ | $S(A)_{(6)}$ | $S(A)_{(5)}$ |
| <i>Time.</i>   |        | $U(A)$       | $U(A)$       |

$S \rightarrow$  Shared Lock  
 $X \rightarrow$  Exclusive Lock  
 $U \rightarrow$  Unlock

So, here,  $T_1$  waits for  $x(A)$  until  $T_2$  unlocks.

80. here starvation also. (बेर शारद द्वारा  
Exclusive, तो नि उल्लं ग्रन्त नहीं होता।

86.

phase locking (2PL) protocol in  
Transac" Concurrency Control :

→ 2PL (2 phase locking) is just the extension of simple shared/exclusive locking.

We just do modifications in them.

#

2-Phase locking (2PL) :

→ Growing phase : → locks are acquired  
↳ no locks are released.

→ Shrinking phase : → locks are released  
↳ no locks are acquired.

TS/XS

T<sub>1</sub>  
X(A)  
S(B)  
R(A)  
W(A)  
R(B)  
S(A)  
R(C)  
S(D)  
R(D)

} Growing phase

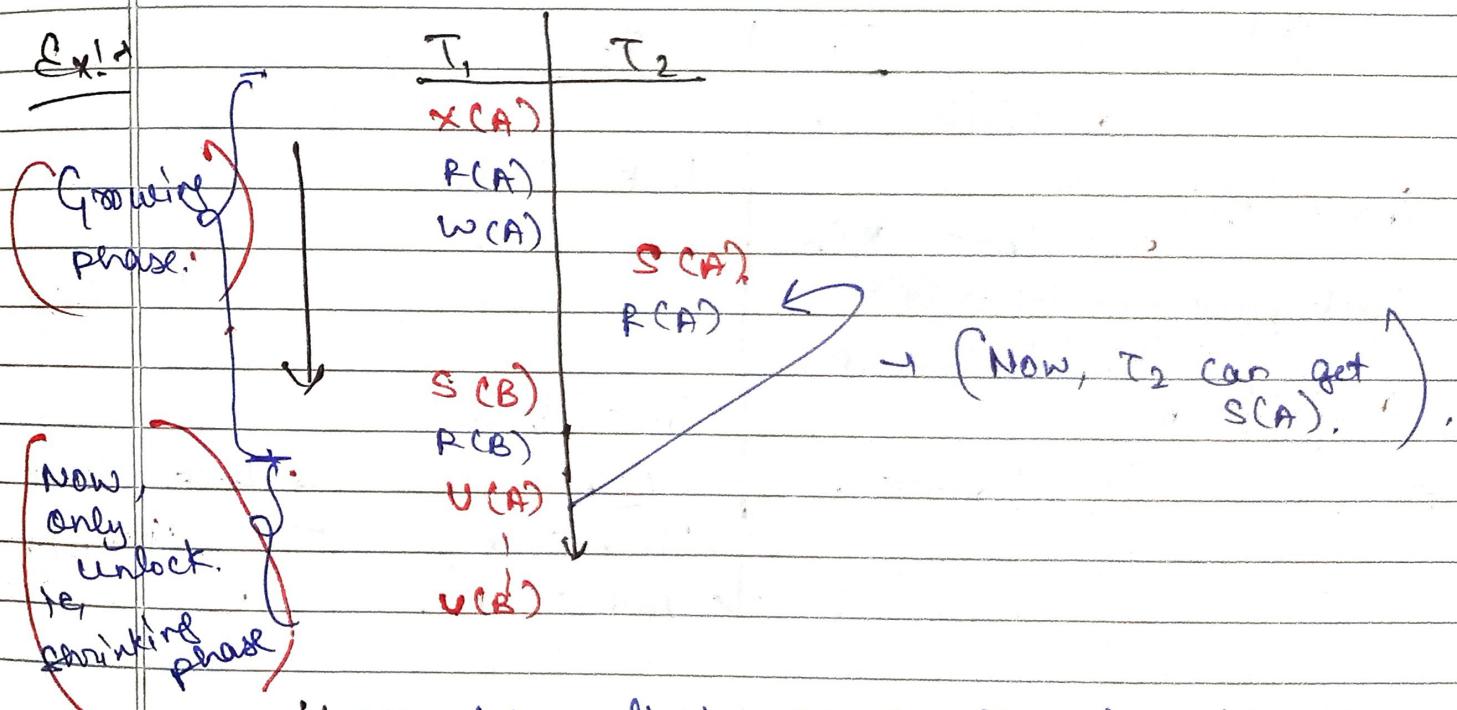
U(A). ← Shrinking. } } Shrinking phase

Note! When Growing phase starts, then only apply locks.

[and],

When we first unlock, i.e., Shrinking phase starts, then we only unlock. (and not able to apply any lock).

- ⇒ We achieve Serializability by this, that we don't achieve in simple Shared/Exclusive protocol.  
So, we made (2-PL) protocol for this.



Hence, we first starts  $T_1$ , & if  $T_2$  comes in b/w then we don't entertain him. First, we complete  $T_1$  & then goes to  $T_2$ .

$$T_1 \rightarrow T_2$$

Serializability Achieved.

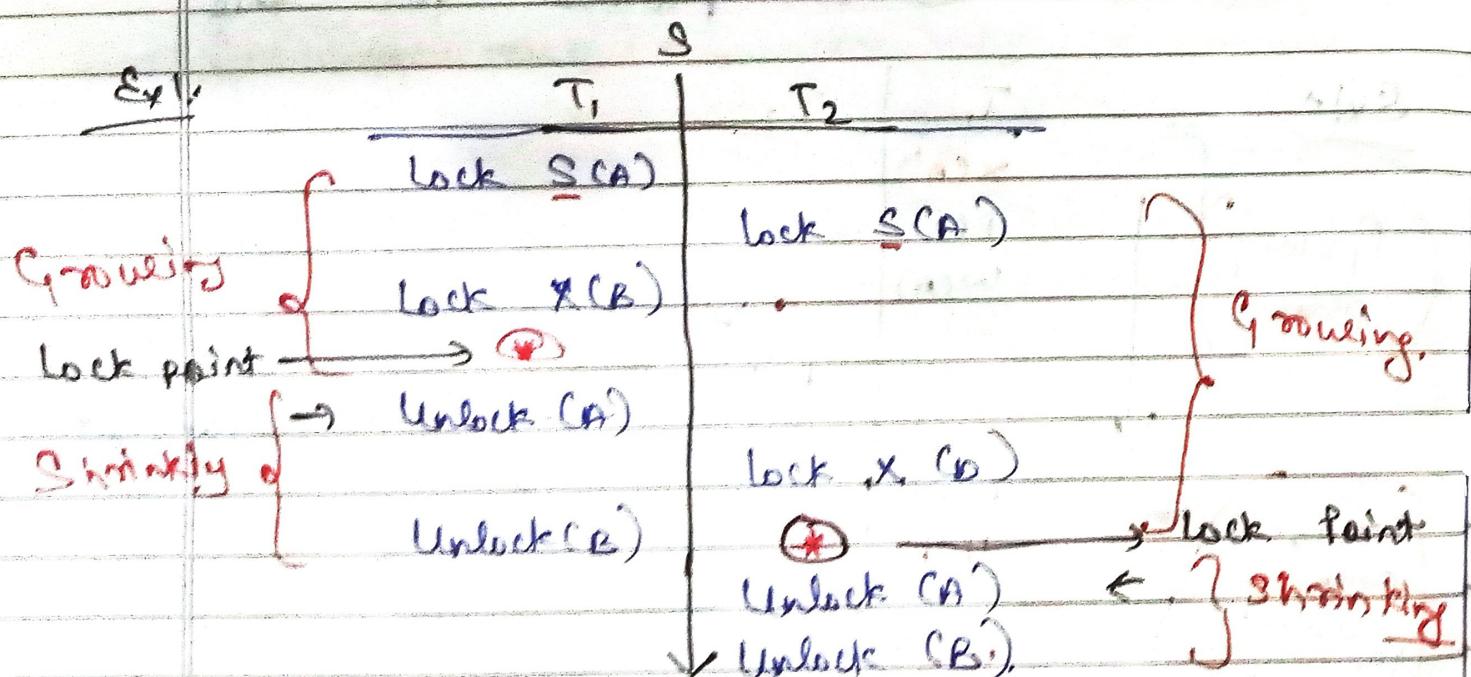
Consistency automatically achieved.



Note: If the transaction which follow (2-PL), then it is always serializable.

Ex! 1) If two get Shared at same Shared at same time & it will ~~not~~ Means,

While  $T_1$  is in growing phase by using S(A) [Shared Lock], then at same time  $T_2$  also starts its growing phase by using S(A). See by Compatibility Table.



Now,

\* Serializability Schedule, How formed?

i.e.

$$T_1 \rightarrow T_2 \text{ (or)} \\ T_2 \rightarrow T_1$$

So,

This is done by Lock Point.

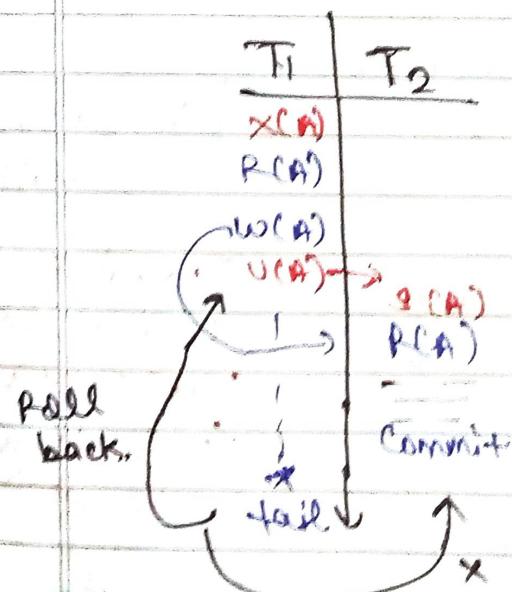
Commit in STG Roll back. ~~exit~~ on the right.

Date \_\_\_\_\_  
Page No. 15

- ⇒ Lock Point: → where trans. is taking the last lock. (or)  
where trans. is unlock first time.
- ⇒ Forward Lock Point ~~exit ST MIDL~~ | at trans.  
~~exit ST MIDL~~,  
i.e.,  $T_1 \rightarrow T_2$

### (87) Drawbacks in (2-PL) protocol : →

- Advantage: Always ensures Serializability.
- ⇒ Drawbacks: →
  - (1.) May not free from Sr-recoverability.

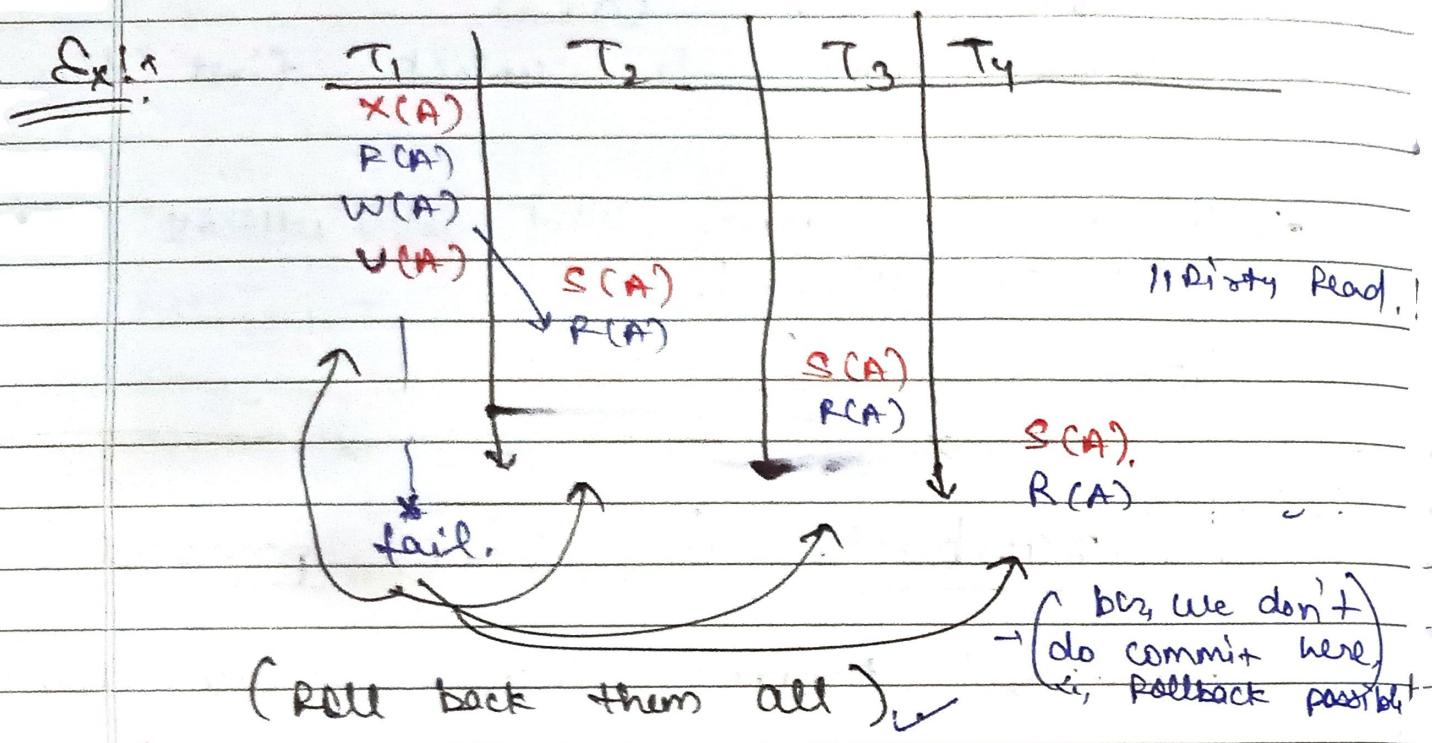


Hence,

It is Sr. of Sr-recoverable Schedule.  
We can't recover it (roll back it).  
(normal).

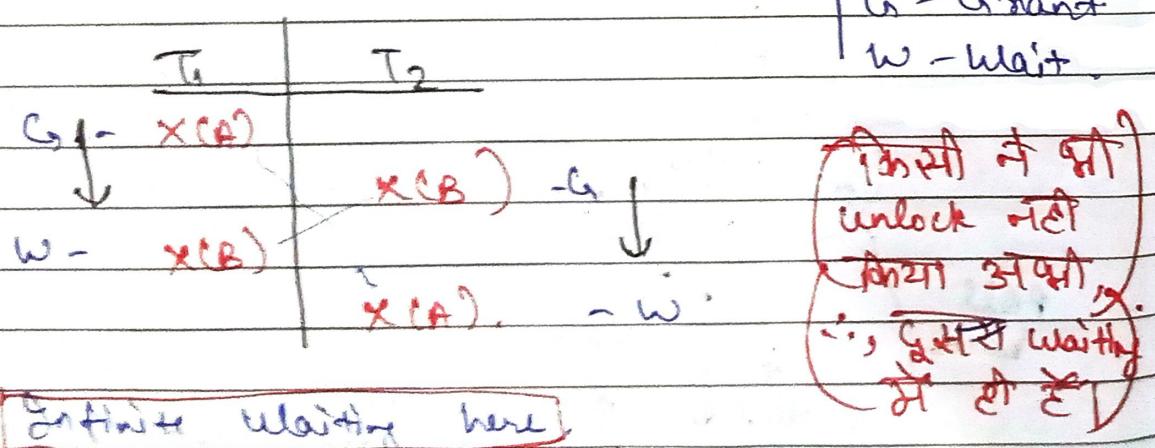
normal.

(2.). Not free from Cascading rollback  $\rightarrow$ .



$\rightarrow$  Bad performance.  $\rightarrow$  Cascading Rollback is possible here.

(3.) Not free from Deadlocks.



( $T_1$  &  $T_2$  both waiting here to complete their transac's.).

(4.) Not free from starvation.

(Wait for limited time).

in 2PL

Here, the problem of serializability

| T <sub>1</sub> | T <sub>2</sub> | T <sub>3</sub> | T <sub>4</sub> |
|----------------|----------------|----------------|----------------|
|                | S(A)           |                |                |
| w — x(A),      | !              | s(A)           |                |
|                | u(A)           | !              |                |
|                |                | u(A)           | s(A)           |
|                |                |                | u(A).          |

Wait

88. Strict 2PL, Rigorous 2PL & Conservative 2PL Schedule  $\rightarrow$

These are the Extension (Enhancement) of 2PL  $\rightarrow$  basic.

# Strict 2PL  $\rightarrow$

It should satisfy the basic 2PL and all exclusive locks should hold until commit/abort.

# Rigorous 2PL  $\rightarrow$  It should satisfy the basic 2PL and all shared, exclusive locks should hold until commit/abort.

| T <sub>1</sub> | T <sub>2</sub> | T <sub>3</sub> |
|----------------|----------------|----------------|
| x(A)           |                |                |
| p(A)           |                |                |
| w(A)           |                |                |
|                | u(A)           | s(A)           |
|                |                | r(A)           |
| unlock         | fail           |                |
| roll back      |                |                |

i.e. (Cascading roll back)

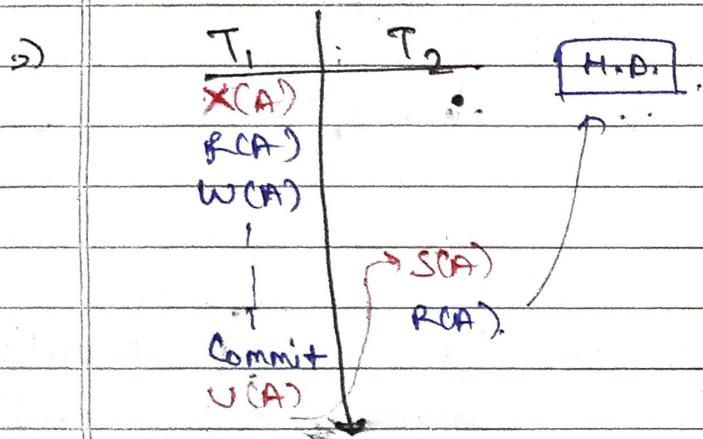
$\rightarrow$  (also have to roll back T<sub>2</sub> & T<sub>3</sub>)



So, To stop this →.

- We have to unlock Exclusive lock after Commit.

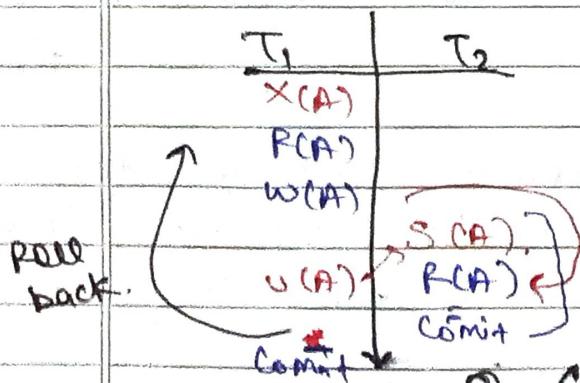
then, this problem of cascading demands.



bcr, after Commit  
 i. In  $T_1$ , The  $T_2$  takes  
 data from database (H.I.)  
 ↳ not from  $W(A)$  of  $T_1$   
 so, no dirty Read.

Hence, Here, Cascading Rollback is Removed,  
So It will always produce Cascadless.

⇒ Now, & To-decomposability! →



$\rightarrow$  (Same data i.e. A)

→ (but we can't roll back it, bcs it is already committed.)

## To-Recyclability

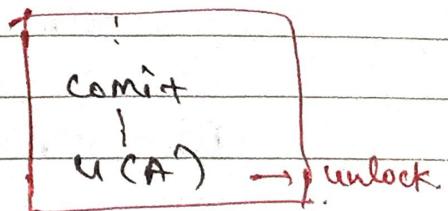
Hence, ~~it is right~~ ~~that~~ unlock ~~it~~ ~~and~~ ~~open~~ ~~it~~.

We unlock it after commit is done.

→ Hence,  $T_2$  को  $S/X$  करना नहीं मिलेगा,  
जब तक  $T_1$  commit नहीं हो जाए।

और अगर commit होते ही वाले  $S/X$  होते हैं तो  
( $T_2$  कह), then no problem.

→ Hence, In-recoverability demands:  
here,



जो file at Database (H.D.) से ही Read करेगा।  
( $T_2$ )

→ It produce Strict Recoverable Schedule.

Note:

2 problems demands here →

1.) Cascadeness.

2.) Strict Recoverable.

→ Rigorous 2PL, इस और Strict ही होया। RC  
इसमें  $S/X$  दोनों आ गए।

(इसमें हम Shared lock at get release नहीं  
कर सकते।)

#

Basic 2PL

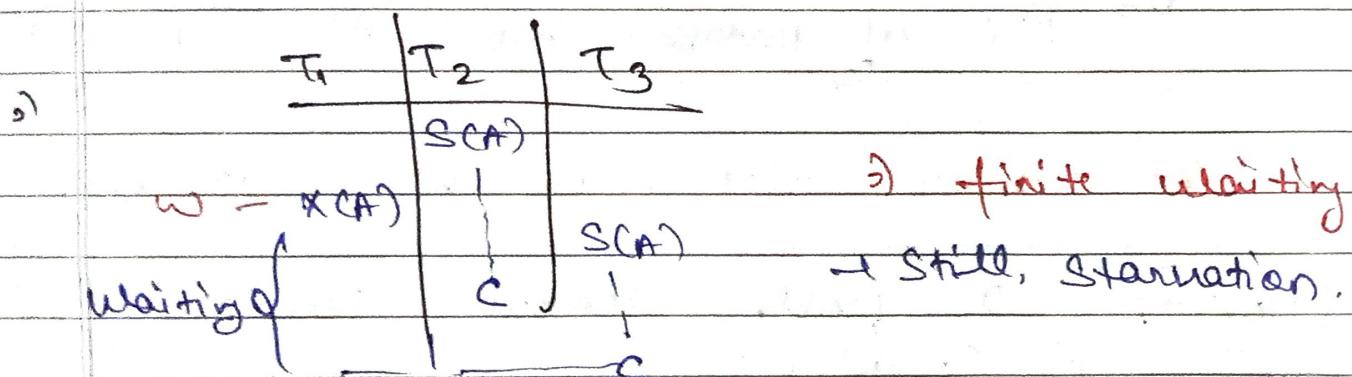
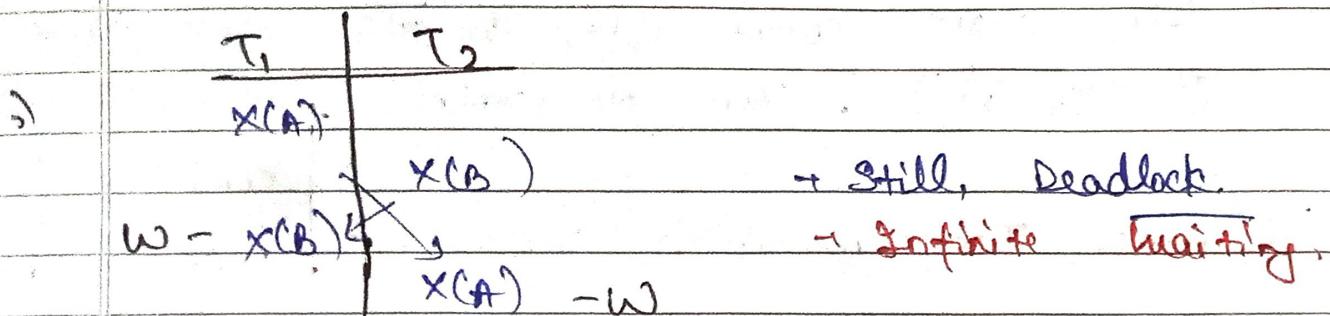
Strict

2PL

In both 2PL &  
Strict 2PL. But  
not in Rigorous 2PL.

In Basic 2PL only

- But, problem of deadlock & starvation still are there.

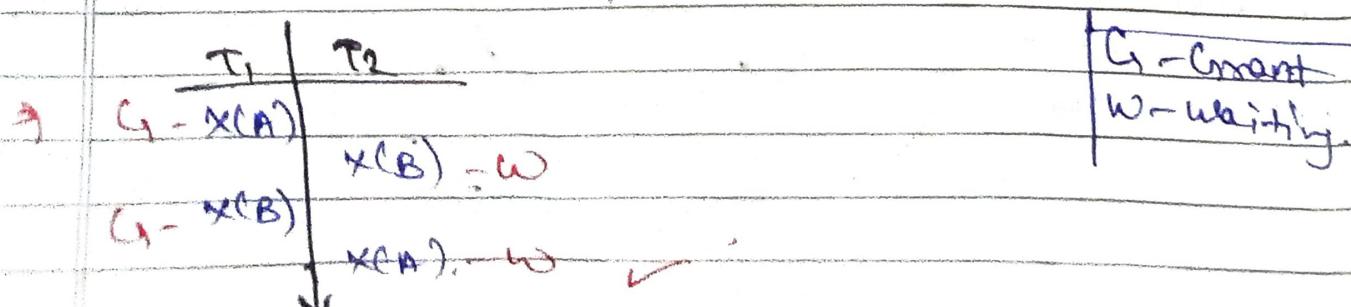


### Conservative 2PL :-

practically, it is not possible. In this,  
किंतु यहाँ trans. start होने से पहले  
दी सही lock लेना। ( $A, B, C \rightarrow$  सब चेतावनी)

→ अब  $T_2, T_3$  कि यहाँ lock लेने मिलेगा।  
i.e., problem of Deadlock removes here.

- ( $T_1$  को पहले सभी resource कि, जिनमें  
 $T_2$  को भी यहाँ resource कि मिले।).





i,  $T_1$  completes here first. So,  
no infinite waiting. bcs

( $T_1$  is comp. so  $T_2$  &  $T_3$  use the slot)

ii,

No Deadlock here.

(T.S.)

### Q9. Basic TimeStamp Ordering Protocol :-

- unique value assign to every transaction.
- Tells the order (when they enters into system)

Let,

| 10:00      | 10:10      | 10:15      |
|------------|------------|------------|
| $T_1$      | $T_2$      | $T_3$      |
| 100        | 200        | 300        |
| ↓          | ↓          | ↓          |
| older      | younger    | youngest   |
| (4th RTTS) | (5th RTTS) | (6th RTTS) |

Time T.S. ( $T_i$ )

Time Stamp

- Read-TS (RTS) = last (latest) transaction no. which performed Read successfully
- Write-TS (WTS) = last (latest) transaction no. which performed write successfully

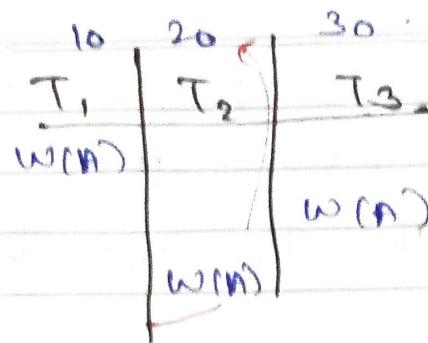
(x1)

| 10    | 20    | 30    | T.S. ( $T_i$ ) |
|-------|-------|-------|----------------|
| $T_1$ | $T_2$ | $T_3$ |                |
| R(A)  |       |       |                |
|       | R(A)  |       |                |
|       |       | R(A)  |                |

$$\Rightarrow RTS(A) = 30$$

∴ bcs, latest Read is  
of  $T_3$  & Time stamp (T.S)  
of  $T_3$  is 30.

Ex:-



$$\Rightarrow WTS(A) = 20$$

④ Rules :-

In timestamp let,

( $WTS$  Trans.  $T_i$  at  $25^{\text{th}}$ , let  $T_i$  not complete  $\delta(A)$ )

Serializability :- Older  $\rightarrow$  Younger

Follows  
Conflict  
Serializable

1. Try Read

Trans.  $T_i$  issues a Read (A) opera

a.) If  $WTS(A) > TS(T_i)$ , Rollback  $T_i$ .

b.) otherwise Execute R(A) opera

Set  $RTS(A) = \max \{ RTS(A), TS(T_i) \}$

$(\frac{0}{200}, \frac{100}{300}) \rightarrow$  in next undo use

2. Try Write  
Trans.  $T_i$  issues Write (A) opera

a.) If  $RTS(A) > TS(T_i)$  then Rollback  $T_i$ .

b.) If  $WTS(A) > TS(T_i)$  then Rollback  $T_i$ .

c.) otherwise execute write (A) opera.

Set  $WTS(A) = TS(T_i)$

⑤ Understanding these :-

|  |                                    |                                      |
|--|------------------------------------|--------------------------------------|
| $\rightarrow$<br><b>Ex</b><br>$(old)$ $T_1$   $T_2$ $(young)$<br>$R(A)$   $w(A)$ . | $T_1$   $T_2$<br>$w(A)$ )   $R(A)$ | $T_1$   $T_2$<br>$w(A)$ )   $w(A)$ . |
|--|------------------------------------|--------------------------------------|

✓ (NP).

(No Conflict).

bcs,

$(old \rightarrow young)$  on  $(T_1 \rightarrow T_2)$ . So,

$(T_1$  पहले Execute की जाएगी, तो  $T_2$  में कोई  
Richard नहीं आएगी).

|   |          |
|---|----------|
| $\rightarrow$<br><b>Ex</b><br>$(old)$ $T_1$   $T_2$ $(young)$<br>$w(A)$ )   $R(A) - 10$ | $\times$ |
|---|----------|

$(T_1$  को होने वाला देना).

Case I

$(ber$  now at  $T_2$  में.  
 $A - 10$ , कोई भी value  
 Read ऑफ़ रखी हो जाए  
 $T_1$  से at Database में 20  
 Update कर दिया).

Case II

|   |              |
|---|--------------|
| $T_1$   $T_2$<br>$w(A) - (20)$                    | $\downarrow$ |
| $20 \rightarrow R(A)$<br>$\downarrow$<br>$commit$ | $\downarrow$ |

Poll Back.

$(T_1$  को नहीं देंगे।  
 नहीं करने देंगे।)

Hence,  $20$  जाए करी  
 कर देंगे भी नहीं। i.e.,  
 $T_1$  गलत Data के साथ  
 कर देंगे।).

II Dirty Read.

100      200

$T_1$

$T_2$

$W(A) \cdot 20$

$40 - W(A)$

Commit

20

Roll Back.

C. Roll back:

(i.e., EH  $T_1$  and  $T_2$ )  
allow  $\rightarrow$  (not  $\rightarrow$  lost).

Case III

If  $T_2$  fails,  
then, our update  
will be lost.

II Lost update.

Q6

Solve Ques' on TimeStamp Ordering Protocol.

We have 2 Rules →

1.) 1st Rule is of Read → has only 'cond' bcz, only (R-W) conflict.

2.) 2nd Rule is of Write → has 2 cond's, bcz (W-W) → 2 conflicts.

Note,

Q-

$T_1$  (100)       $T_2$  (200)       $T_3$  (300)      youngest

oldest       $R(CA)$

$w(c)$

$R(CC)$

$R(CB)$

$w(CB)$

$R(CB)$

$w(CB)$

$w(A)$

$0 > 100$   
 $0 > 200$   
 $0 > 100$   
 $0 > 300$   
 $100 > 100$   
 $[300 > 200]$

Roll back.

Roll back.

|     | A   | B                  | C   |
|-----|-----|--------------------|-----|
| RTS | 100 | 200 <del>300</del> | 100 |
| WTS | 0   | 0                  | 0   |
|     | 300 |                    | 100 |

⇒ Initially,  
all values  
are 'zero'

(Final Table) ok.

(Trans.)

⇒ Check, for every values in the Table &  
put that values in Rules & then make  
the Table.

{ for R(A) use 1st Rule of Read  
{ for W(B) use 2nd Rule of Write. }

⇒ first check R(A) of T<sub>1</sub>, then  
R(B) of T<sub>2</sub>, then  
W(C) of T<sub>1</sub>, then  
R(B) of T<sub>3</sub>, then  
R(C) of T<sub>1</sub>, then  
R(B) of T<sub>2</sub>, then  
W(A) of T<sub>3</sub>, then

Here,  
Roll back. ←

i.e., pattern will be as in Table

Then, make the final Table of :  
(RTS) & (WTS) ok.

[OR]

We also do the Ques" direct, without using  
these 2 Rules with just the [older → younger]  
concept & cases (we discussed in prev. notes).

Q1.

## INDEXING :-

- CPU → processor (User process).
- Query comes to CPU. CPU process them.

⇒ SQL

Select \* from student where Roll no = 1;

- CPU has to execute it, but Data is in the memory.

- 2) In general architecture we only get,  
2 types of Memory →

- 1. J RAM
  - primary memory
- 2. J H.D.
  - secondary memory

- Ram is volatile, Ram works directly with CPU.

⇒ CPU Speed → In MIPS (Million Instructions per Second)

⇒ H.D. Speed → 10/20 Instns' per second.

i. these both are not compatible with each other.

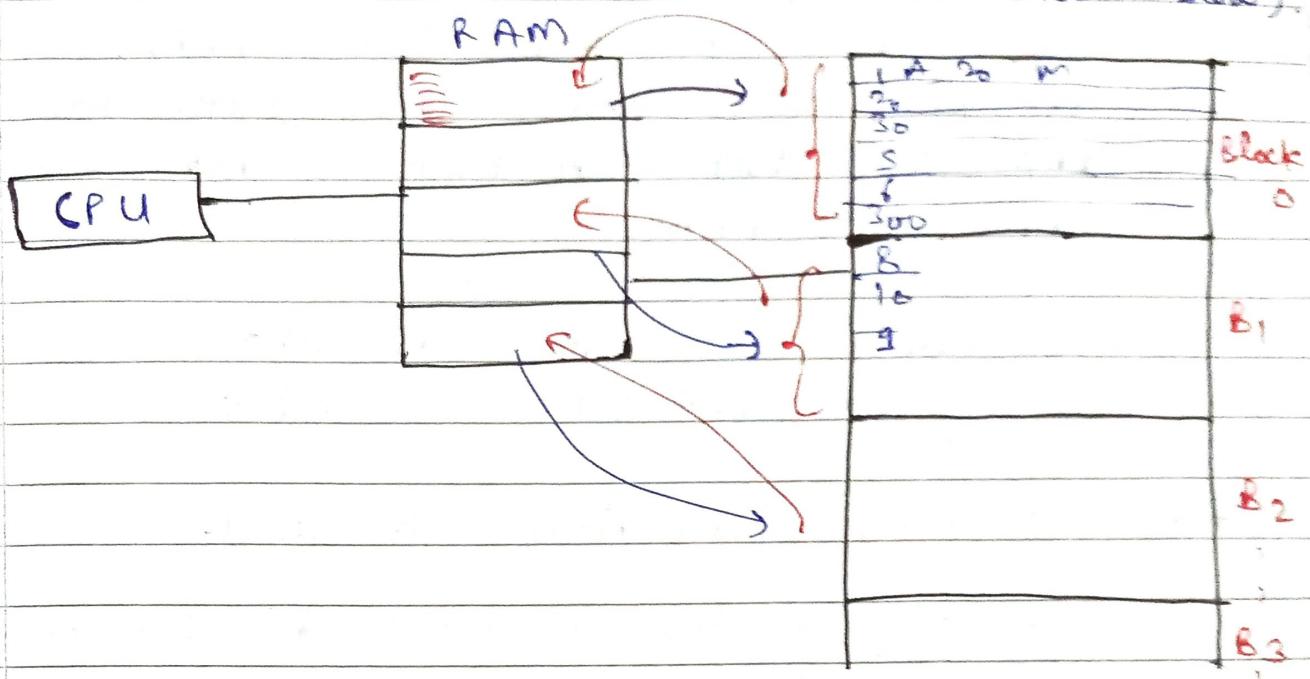
SO,

- Ram comes b/w them.

⇒ Hard speed counts in milliseconds  
CPU → → → nan/pico seconds



Hard Disk (Slow).



- # We divide Hard Disk in blocks.  
Logical Blocks. (& not physical blocks)

Ex:-

Logical Drives  $\rightarrow$  C Drive, D Drive, etc.

- # O.S. divides hard drive into fixed sized blocks & then Insert Data. (blocks/page)

Ex:- If we have record of 10K students

&

Block size is of 100 Records in Hard Disk.

so,

we need 100 Blocks in H.D. ( $100 \times 100 = 10K$ )

- $\Rightarrow$  Now, data may also be stored in 2 ways -
- 1.) Sorted (Ordered)
  - 2.) Unsorted (Unordered).

$\Rightarrow$  Let,

① Unordered Data: → then, we send 1-by-1 every block of H.D. into RAM. & if we get our data, then OK. Otherwise, RAM send back that block & next blocks come into RAM. & so on.

If we get data → HIT  
If we don't get → MISS

(\*) So, Here, INDEXING is used.

(Ex) Ram  $\nexists$  H.D. in Block  $\Rightarrow$  searching time  
 $\Rightarrow$  Hit cost, ),

② We transfer data from H.D. to Ram. So, there is I/O transfer cost. i.e,

[I/O cost]

→ Input / Output.

Ex Data in call the 2<sup>nd</sup>, 3<sup>rd</sup> block  
I/O cost

③ If call more blocks, then I/O cost more.  
& then time also increases of searching.

(\*) Indexing, that we have to call min<sup>m</sup> no. of blocks. i.e, I/O cost is reduced.

Ex Let, a book has 1000 pages. & we have to search a page.

Worst Case  $\rightarrow$  1000

(find in last).

Best Case  $\rightarrow$  1

(find on 1st page).

Average  $\rightarrow$  500 pages.

Q1: If we use Index, then the no. of pages in shuffle are decreases.

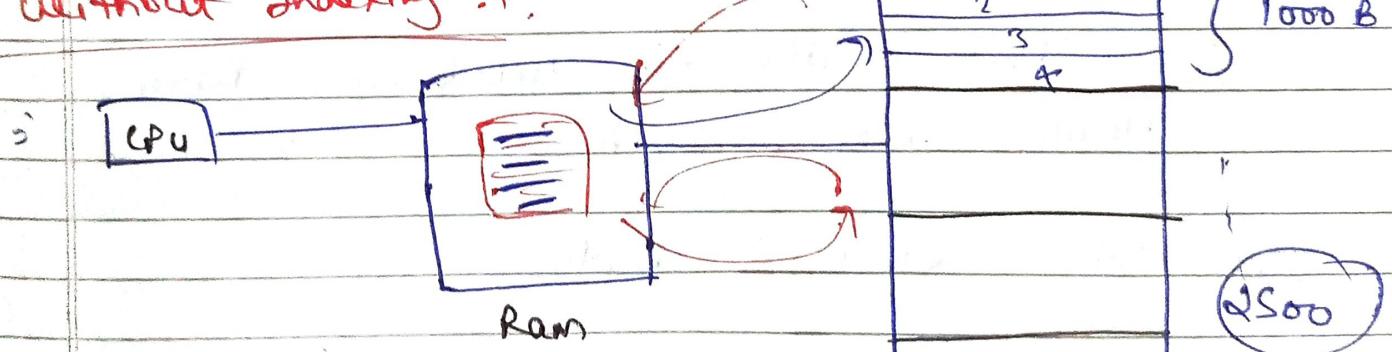
Q2: (Let, Index is of 2-3 page, then just see that topic on Index & then directly go to that page).

Q2- Numerical Ex. on I/O Cost in Indexing:

Q1: Consider a Hard Disk in which Block size = 1000 Bytes, each record is of size = 250 Bytes. If total no. of records are 10,000. And the data entered in Hard Disk without any order (Unordered).

What is avg time Complexity to search a record from HD?

Without Indexing!.



Every block has same 4 records. So, we don't want that time of RAM to search in a block, bcz i.e., always 4.

HD

(2500  
Blocks)

3) No. of records we can put

in every block =  $\frac{1000}{250} = 4$

4) No. of blocks required =  $\frac{10000}{2500} = 4$

I/o Cost :-

Best Case = 1  
Worst Case = 4 =  $N$

Avg. Case =  $\frac{2500}{2} \rightarrow \frac{1250}{N}$

Avg Time Complexity :  $O(N)$

5) This is all for unsorted Data.

Hence, we used Linear Search here.

6) Ordered Data :-

then,

We use Binary Search here,  
but it searches on sorted data.

(either Ascending or Descending).

7) then,

Time Complexity  $[ O(\log_2 N) ]$

Here,  $\Rightarrow \log_2 2500$

$12$  approx blocks

Hence,

we need approx 12 blocks in ordered data.

& this both case are without Indexing.

When we used Indexing, we even need less blocks than these.

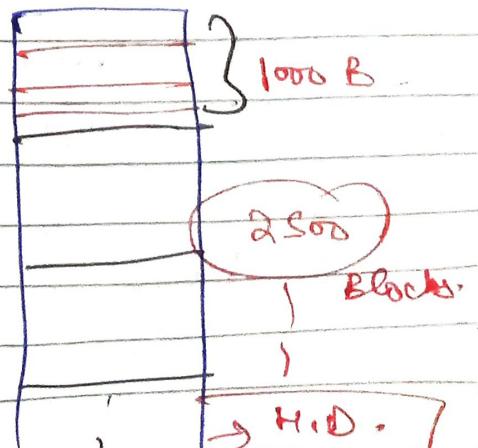
I/O cost is low.

(33)

Numerical on I/O Cost in Indexing:

Q:- Consider a H.D. in which Block size = 1000 Bytes, each record is of size = 250 Bytes. If total no. of records are 10,000 and the data entered in Hard disk without any order (Unordered Data). What is the avg time complexity to search a record from Index Table if Index Table entry = 20B (key + pointers) = 10B + 10B.

→ 2500 Blocks



Index is also stored in the H.D. When we search any data, then Index come into the Ram.

\* Then, we first search into the Index.

Note:-

Block size in Index = Block size in H.D.  
(page)

1000 B

1000B

Understanding: In a book, all pages are of same size. Whether it is Index page or anything.

②

Index Table Entry = 20 B (key + pointer).

10B

10B

key value → 211 Value (1st 2 search are 2nd)  
(Topic name), page no - etc.

pointer → page. No.

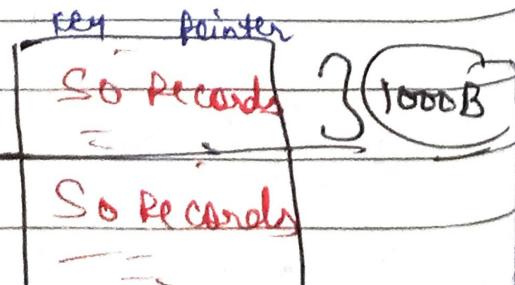
Each block in Index Table =  $\frac{\text{Block Size}}{\text{Index Entry Size}}$   
contains Record

$\frac{50}{2}$   
 $\frac{1000}{20}$

$$I = 50$$

Hence, we can put 50 records in a block of Index.

(bcz, contains only topic name)  
(is 5 DIGIT SH 1000 B).





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23.

→ How we can enter records in an Index? →

1) Dense!: used when unordered data.

Here, we have to put all the records of H.D. into the Index.

Ex! ↗

Here, all 10k records are to be entered in Index.

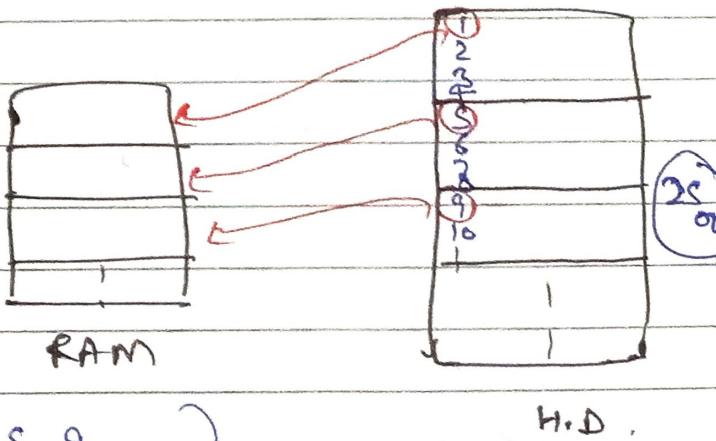
2) Sparse!: used when data is ordered (sorted).

Here, we just enter 1 record from the block into the Ram like,

we entered ~~100~~

Anchor data (header)

into the Index. (1, 5, 9, ...).



Ex! ↗ So, here → We just have to enter the no. of records in RAM equal to no. of blocks in H.D.

Here, 1500 records are to be entered in Index.

Now, come to ques'.

If our data is ordered → then,

→ i.e., Sparse →

No. of ~~records~~ in Index =  $\frac{1500}{50}$   $\rightarrow 30$

Blocks

Then, search time  $\rightarrow \log_2 50$

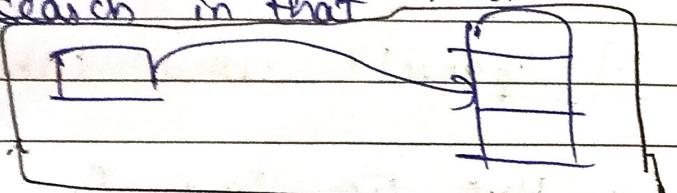
$$\approx 6 \text{ approx}$$

Hence,

We have to search 6 times to find the desired page no.

Then that page no. takes us to the desired block in H.D & then, we just only have to search in that block.

So



$$\text{Total no. of Search} = 6 + 1$$

$$= 7$$

Search in Index.

But (1) Search in H.D.

But

$\rightarrow$  our data is unordered  $\rightarrow$ .

Hence, Dense  $\rightarrow$ ,

3 No. of blocks in Index: All records

Record in one block of index

$$= \frac{200}{10,000}$$

Sp

Now,

$$\approx 200$$

$$\text{Search} \rightarrow \log_2 200 + 1$$

$$+ 8 + 1$$

$$(19) \text{ approx. } (\checkmark)$$

$$\log_2 2^8 + 1$$

$$8 + 1 (9)$$

$$2^8 = 256$$

- Here, we use  $\lceil \log_2 200 \rceil$  also, for  
Index in unordered data get ordered/  
sorted file. (A  $\log_2(n)$ ).

we use  $\lceil \log_2(n) \rceil$  here.

Q4.

### Types of Indexed:

- 1. Primary Index
- 2. Clustered Index
- 3. Secondary Index

key  $\rightarrow$  uniqueness,  
non key  $\rightarrow$  not unique.

Ex:

Table:

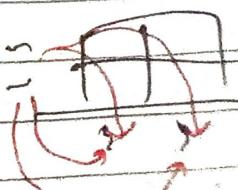
|                |                 |                 |            |
|----------------|-----------------|-----------------|------------|
| ordered file   | primary index   | clustered index | at most 1. |
| unordered file | secondary index | secondary index |            |

key

Non key.

1  
2  
3  
4  
5  
1  
1

1  
2  
2  
3  
3  
1  
1



(\*) we all have

primary index in our books &  
In last of book + secondary index.

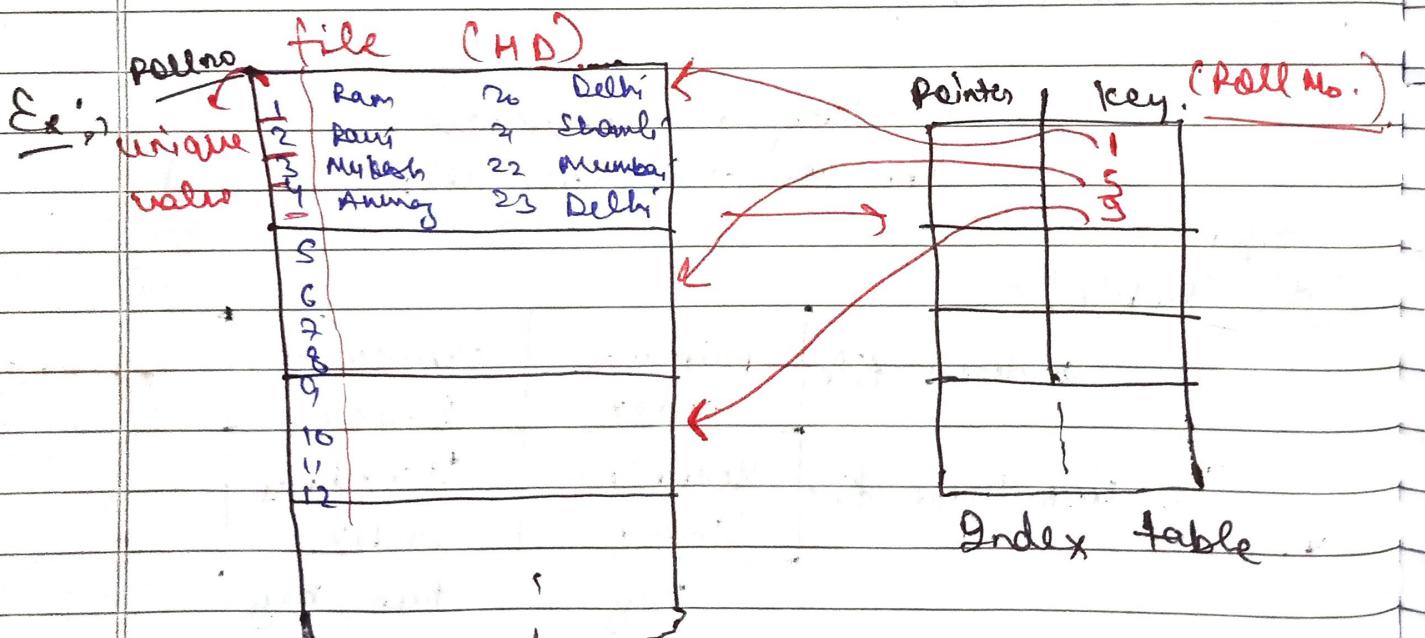
If we want I/O cost to be less, then  
we made Indexes.

(Q5)

## Primary Index :-

- In a table, if we made any of att. primary key, then by default a primary index is created on them automatically.
- Advantage:-
  - 1) Data is ordered.
  - 2) & also unique. (key value is present).

Ex:- In IRCTC,  
we just find everything, by Train No.



So, we use Sparse here.

→ No. of entries in Index Table = No. of blocks in HD.

and, we call it Anchor (Index).

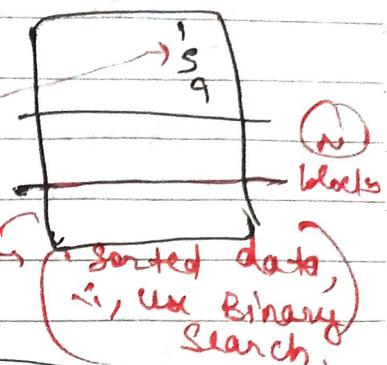
$(1, 5, 9, 13, \dots)$ .

### # Primary Index is Sparse.

→ Ex: 1) we have to find 3,  
then,

we know it is found in  
block of '1'.

Hence,



$$\text{Total Search Time} = C(\log_2 N + 1).$$

Where,

$N$  is the No. of blocks in Index Table.

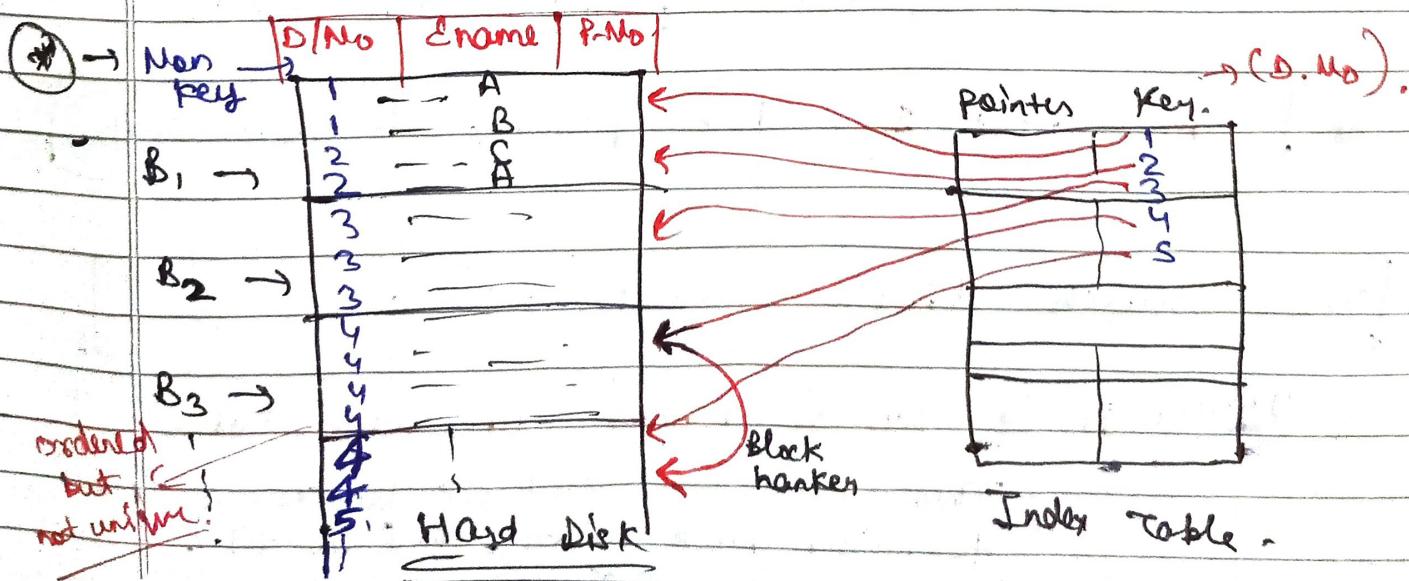
(36)

### Clustered Index ! →

→ data must be ordered & with Non key.  
(i.e., not unique).

i.e.,

→ (value may be repeated but must be sorted.)





- If our data is multiple times (not unique) in u.s., then, It also comes only 1 time in Index.
- (In Index, no repetition). *i.e., unique*
- Here, 4 is not only in one block. Some 4s are also in next block. So, how we point to them.  
So,  
Now, we use **Block pointer** to point to next block for same value.
- Here, Searching criteria slightly increased ( $\uparrow$ ).

- Clustered Index is also **sparse**, bcz we don't need to make pointer for every value. & Repetition - there is only 1 pointer.
- In DBMS primary index & cluster index **not** exist, bcz no need. If 1 table has only 1 primary key ITI.
- **At Most 1**, (both primary & cluster index)

→ Total Search Time =  $(\log_2 N + 1) + 1$

Where,  $N$  is No. of blocks in Index Table.

(These excess are  $\uparrow$  Block pointers.  
(for, each block pointer, there is  $+1$ )).

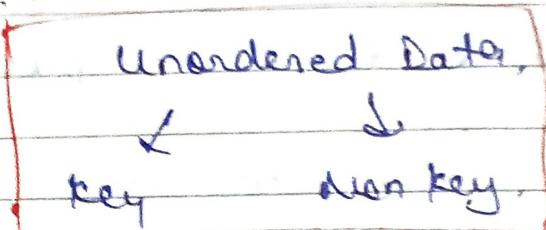
(17)

## Secondary Index, (Multilevel Indexing):

↓  
CS.I.).

→ (Already there is an Index in database, we have to make another Index).

→ Where use S.I. ?



→ Why Another Index?

(E10)

**fails****[Employee]**

key    pointers

Eid    Name    Pan no.

|    |   |     |   |
|----|---|-----|---|
| 1  | A | 40  | F |
| 2  | B | S1  |   |
| 3  | A | 62  |   |
| 4  | C | 33  |   |
| 5  | A | 71  |   |
| 6  | D | 82  |   |
| 7  | E | S1  |   |
| 8  | F | 23  |   |
| 9  | K | 100 |   |
| 10 | L | 120 |   |
| 11 | M | 150 |   |
| 12 | C | 136 |   |
| 13 | A |     |   |
|    | B |     |   |
|    |   |     |   |
|    |   |     |   |
|    |   |     |   |

**(Pan no.)**

| key | pointers |
|-----|----------|
| 23  | .        |
| 33  | .        |
| 40  | .        |
| S1  | .        |
| 62  | .        |
| 71  | .        |
| 82  | .        |
| ;   | .        |
|     | .        |

(with key).

**(Name)**

| key | pointers |
|-----|----------|
| A   | .        |
| B   | .        |
| C   | .        |
| D   | .        |
| E   | .        |

intermediate layer

(Block of  
(Record  
pointers))(with non-key).  
[Secondary  
Index (S.I.)].[primary  
Index].

H.D.



## → Why Another Index?

→ Let, H.D. has data of Employee table  
↳ data is already sorted on basis  
of E-ID (primary key). → [Unique + Not Null]

↳ bco. most of the query are on E-ID.

→ Find  $\sigma$  of Employee where E-ID = ...

then we use primary Index.

(which is already there formed on basis  
of E-ID).

→ But, sometimes we also use  
name & for us

then, primary Index fails. so, set is  
made on S-ID.

Qn.

we use Secondary Index here.

② Case) when we also have key.

then

we use PAN no.

(unique + Unordered)

(As u can see in Diag.).

\* ③ We always use sorted values in Index.

Often, we apply binary search → Time Saving.

\* Index is always sorted & unique.



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→ Secondary Index on key, is always DENSE.

b/c, we don't have any anchor (leader) here, like in primary In.

→ These values are not sorted. Hence, we put them sorted in Index. & write all the records of H.D. in Index Table.  
So, Dense.

i.e.

[No. of records in Index = No. of records in H.D.]

→ Search time:  $\log_2 N + 1$

where,

N is the no. of blocks in Index Table.



Case II When we have Non key with unordered data.

It is the worst case.

then,

we use NAME,

(Neither ordered, nor key)  
(unsorted).

i.e. (Secondary Indx in D.beg.)

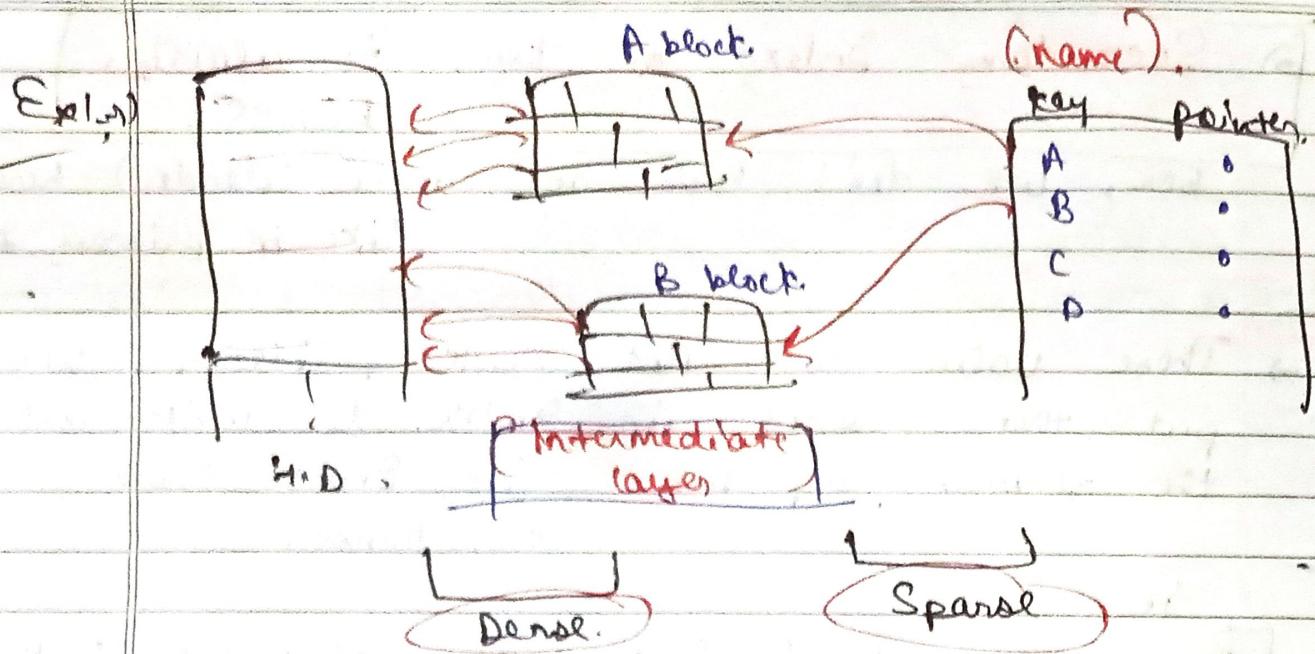
→ In Index, we don't have to take multiple values of A. (Only once).

But, A is many times in Head file.

So,

here we made an Intermediate layer.

(It is a block of record pointers).



- ∴ Hence, it is Mix of Dense & Sparse.  
So,
- ∴ We can say it DENSE Overall.

- ∴ Time Complexity :  $\log_2 N + 1 + 1 \dots$
- ↓  
(And this is only for 'A',  
it may be more than  
 $3\log_2 N + 2$ )
- (extra for  
intermediate  
layer)

(ii) Secondary Index is Always dense.

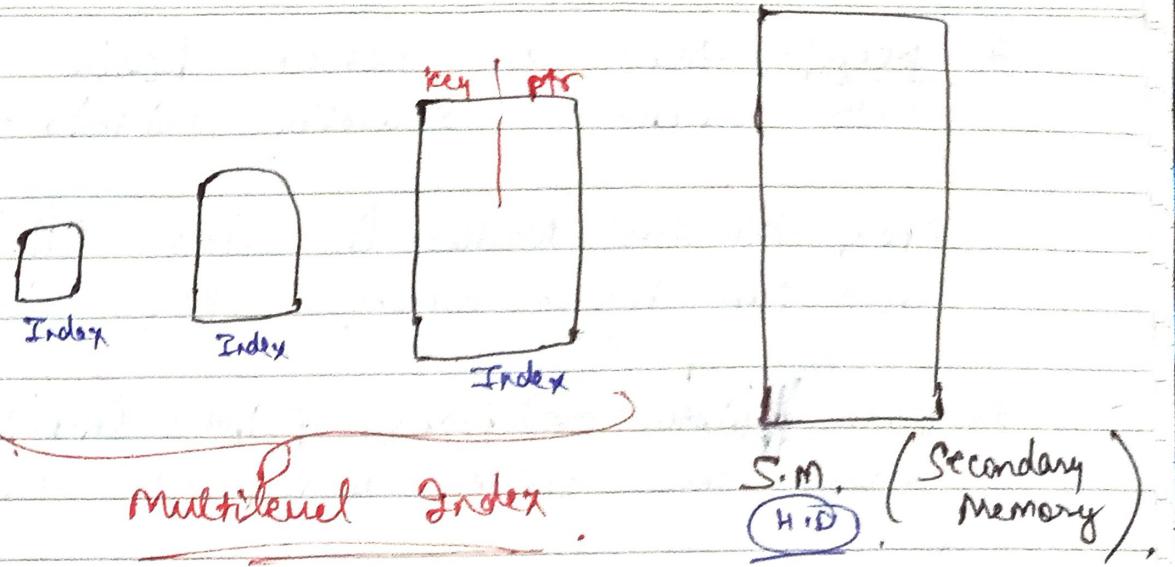
Ques. Intro to B-Tree & its Structure :-

- ∴ B-Tree (Dynamic Multilevel Index) :-  
(Balanced Tree)

graph  $\rightarrow$  cycle  
Tree  $\rightarrow$  Acyclic.



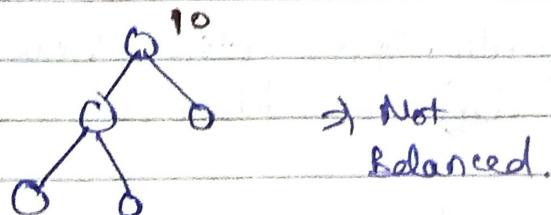
43.



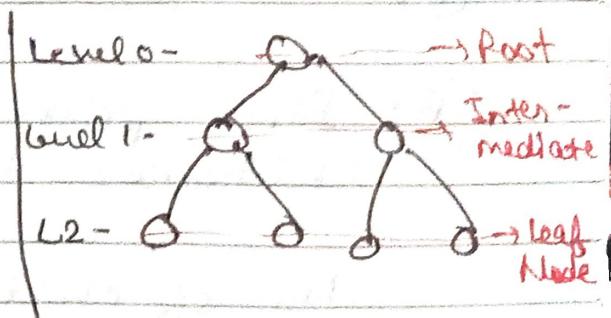
- ⇒ So this, it is hard to manage. b/c, If we insert data in S.M. then we have also insert in all the Indexes & same for delete.

### Balanced Tree (B-Tree!)

- Means, all the Elements are at the Same Level of Leaf Node.



⇒ Not balanced.



- ⇒ Block pointers (B.P.) or Tree pointers : → When node denotes his child. Then, we use Block pointers (B.P.).

- ⇒ Note! → A node can contain multiple values here.

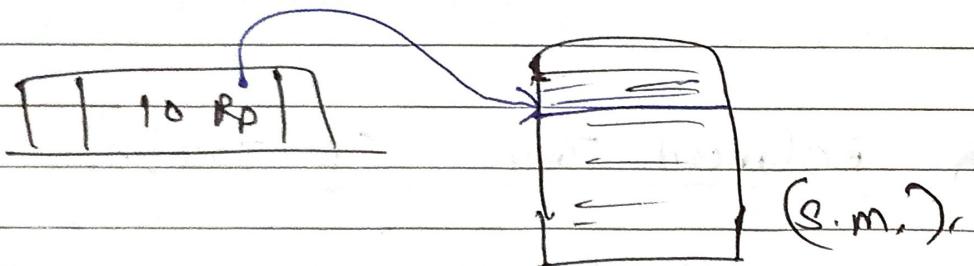


→ Keys: - that on which basis we have to search. Searching Criteria - key.

(Key of BT Nodes in step 1).  
(We can insert multiple keys in a node).

→ Data pointers (or) Record pointers (R.P.): →  
These are with correspond to keys.

→ This record pointers points to in the Secondary Memory (Hard Disk) where are record is present of that key.



# Keys = Record pointers.

# Block pointer depends on the how many children are there of a Node.

No. of children = No. of B.P.

# Order = p (of a B-tree)

= Max. no. of Block pointers.

Order = p = Max. no. of children a node can have.

# Keys =  $p-1$  Max  
Rp =  $p-1$

Min Keys =  $\lceil \frac{p}{2} \rceil - 1$

# Table:-

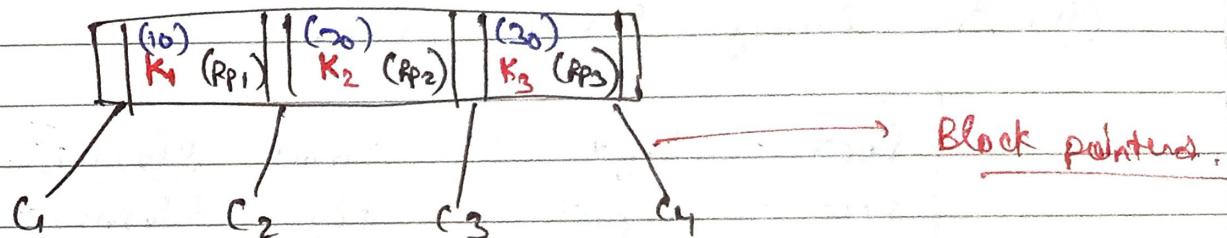
| Children of<br>max<br>min | Root | Intermediate Node             |
|---------------------------|------|-------------------------------|
|                           | p    | p                             |
|                           | 2    | $\lceil \frac{p+1}{2} \rceil$ |

→ ceiling value

# Explan.

(WT)

$p = 4$  = order of a Tree.



# We always insert keys in the sorted Order. (bcz, it follows the prop. of Binary Search Tree).

Q9:

Insertion in B-Tree! →

Q1: Insert the following keys into B-Tree, if order of B-Tree = 4.

1, 2, 3, 4, 5, 6, 7, 8, 9, 10.

∴ Order = 4 = Max. no. of children.

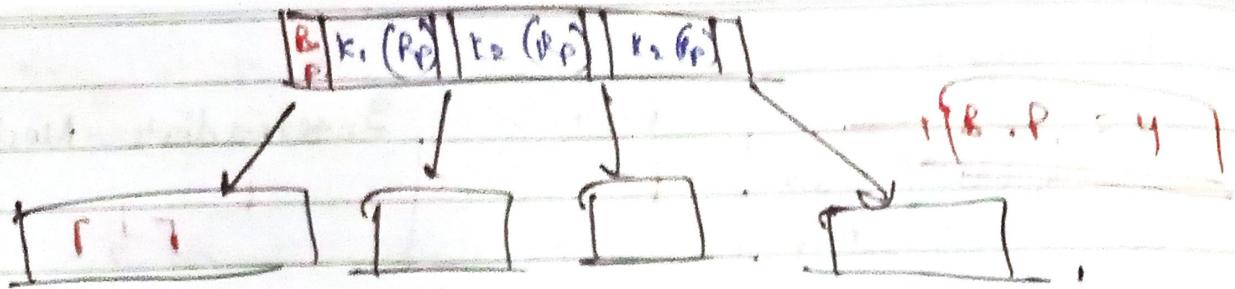


Max keys =  $p-1 = 3$

Min keys =  $\lceil \frac{p+1}{2} \rceil - 1 \Rightarrow 1$



2)



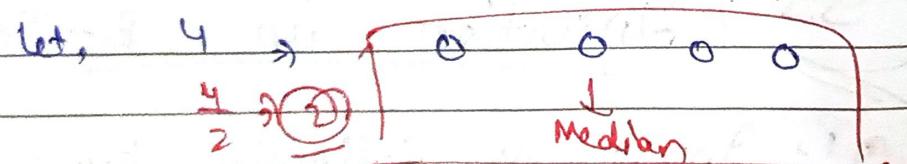
- ⇒ We follows the prop of Binary Search Tree in Insertion.

- ④ In Binary Search Tree,

[Root → Left = Small Element  
Root → Right = Big Element]

- ⑤ overflow sit & Median position the break on self,

→ When,  $n$  - Even  $\Rightarrow \frac{n}{2} \rightarrow$



→ When,  $n$  - odd  $\Rightarrow \frac{n+1}{2} = \text{Median}$



- ⇒ and, shift Median to upward ( $\uparrow$ ), & Both left & Right Elements ~~both~~ start ~~at~~  $\uparrow$  ~~at~~ 1 & ie, how we break.



Date \_\_\_\_\_

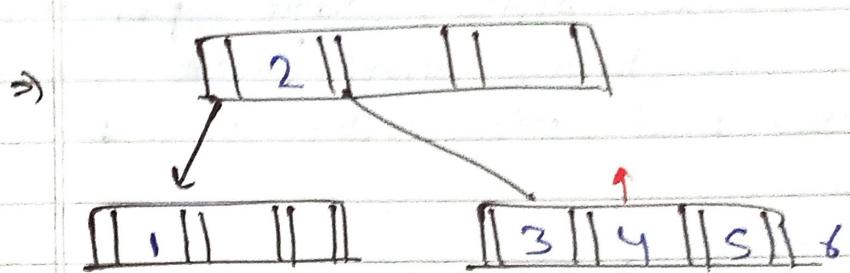
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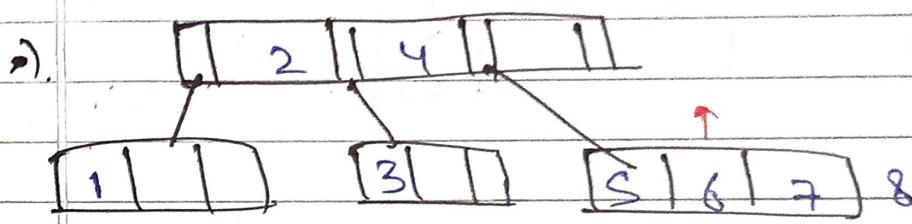
Q1. 1, 2, 3, 4, 5, 6, 7, 8, 9, 10



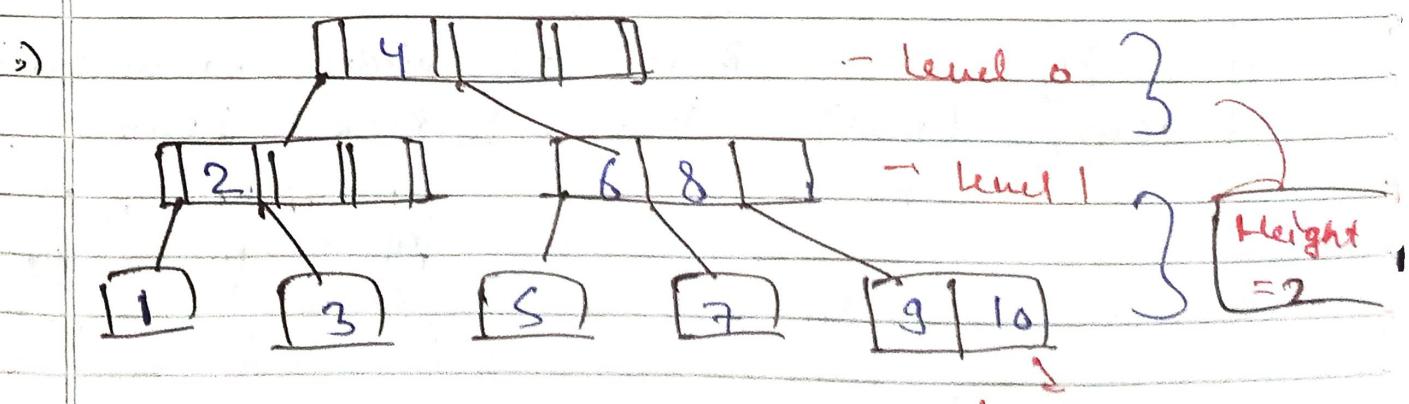
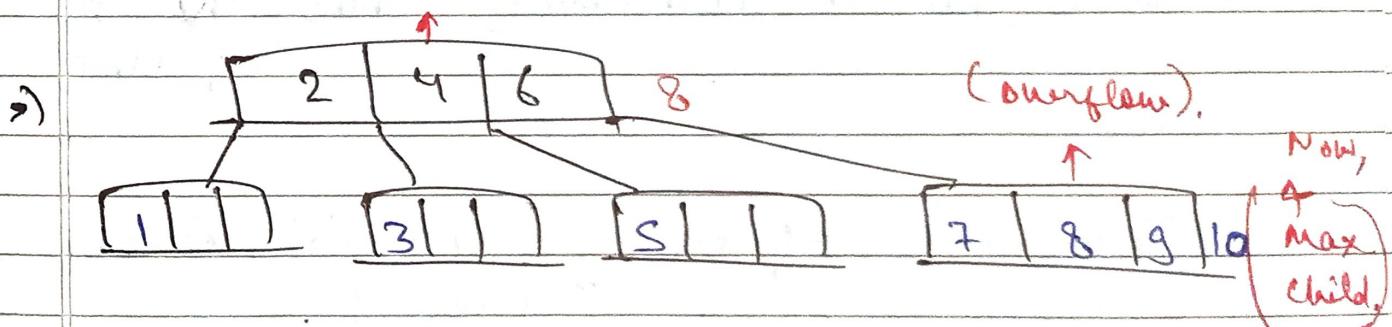
(overflow).  $\Rightarrow \frac{1}{2} \rightarrow \frac{1}{2} 22$



(overflow).



(overflow).



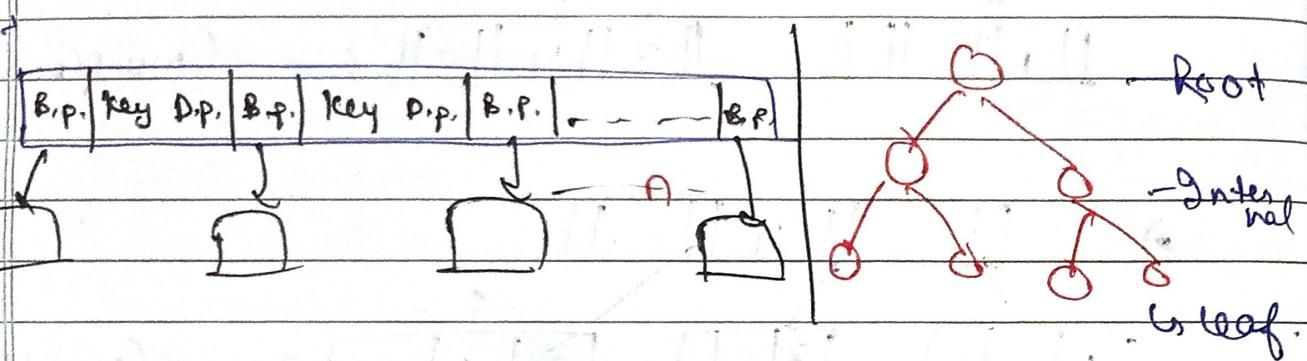
→ height - 2 }  
levels - 3 }

100.

## How to find Order of B-Tree?

- Q. Consider a B-tree with key size = 10 bytes, block size = 12 bytes, data pointer is of size 8 bytes and block pointer is 5 bytes. Find the order of B-tree?

Sol:



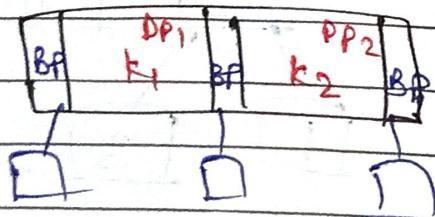
→ Let, If a node / block has 'n' no. of Block pointers.

So,

$$\text{Total size of B.P.} = n \times (\text{size of 1 B.P.})$$

$\leftarrow D.B.P.$

If  $n$  B.P. (or children a node can have) then,  $(n-1)$  keys, & Record pointer.



~~$$n \times B.P. + (n-1) \text{key size} + (n-1) R.P. \leq \text{Block size (or) Node size.}$$~~



2).  $n \times 5 + (n-1)(10+8) \leq 512$ .

$\Rightarrow 5n + 18n - 18 \leq 512$

$\Rightarrow 23n \leq 530$

$$\left[ n \leq \frac{530}{23} \right].$$

$\Rightarrow n \leq 23.04$

$\therefore$   $n=23$  — Max. 23 children.

Every B.P. represent 9 children.

QD,

Max. Order = 23.

cl.

Max | Min children

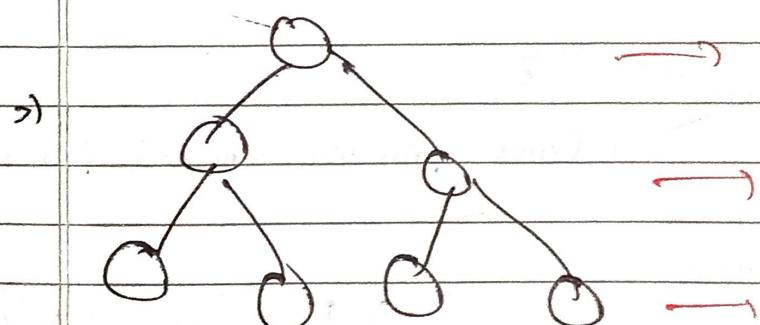
23 | 2

23 |

$\left[\frac{23}{2}\right] \rightarrow [11.5]$

(12)

leaf has no children.



- Keys = Order - 1

cl. 22

101.

DIB

B-Tree

& B+ Tree

cl.

\* B-Tree :-

1) Data is stored in leaf as well as internal nodes.

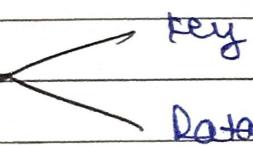
2) Searching is slower, deletion complex.

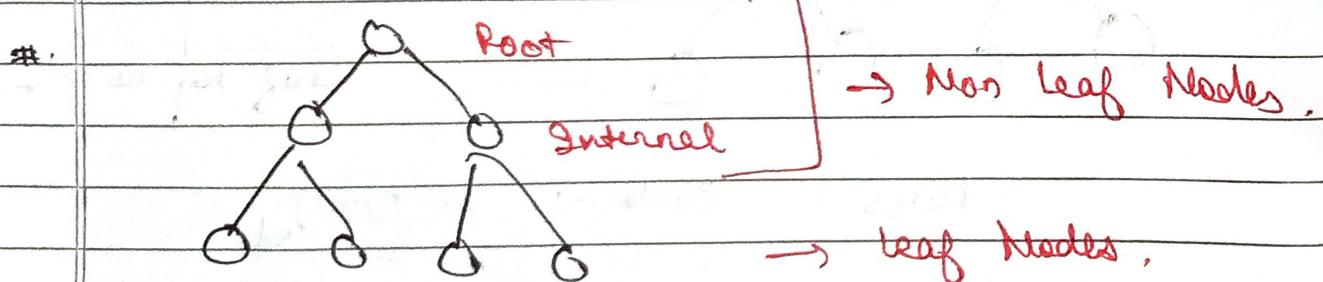
- 3.). No Redundant (Duplicate) search key present.
- 4.). Leaf Nodes not linked together.

### ② B+ Tree

- 1.) Data is stored only in leaf nodes.
- 2.) Searching is faster, deletion easy.  
(directly from Leaf Node).
- 3.) Redundant keys may present.
- 4.) Linked together like linked list.

#. We use B & B+ Tree, to put Index Record. These trees actually contain Index Record.

#. Index Record  Data pointers (Record pointer),

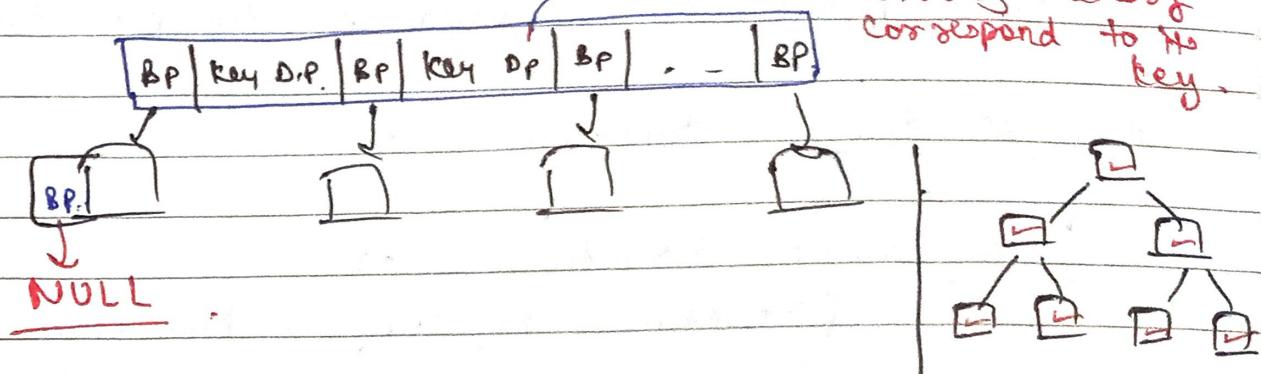


#. In B tree, the structure of every node is same. (Either root, internal or leaf).

#. Leaf Node, has no children. So, what's the role of B.P. Then, they points to NULL.

## B.P - Block pointers.

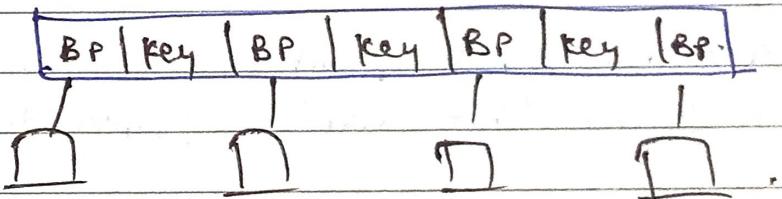
# Structure of B tree,



points to data in  
Secondary memory  
correspond to its  
key.

# Structure of B+ tree : →

→ Non leaf structure → or Internal Node →.



→ There is no Data pointer (D.P.) in Internal Node structure / Non-leaf Node structure.

R.p / D.p ~~X~~

Hence,

# We have more space in Internal node.  
Hence, we can create more children &  
put more keys.

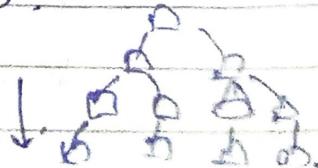
So, that's why,

B+ tree → Breathwise longer.

B tree → Depthwise longer.

(as less no. of children breathwise as  
Compared to the B+ tree).

So, depth more.



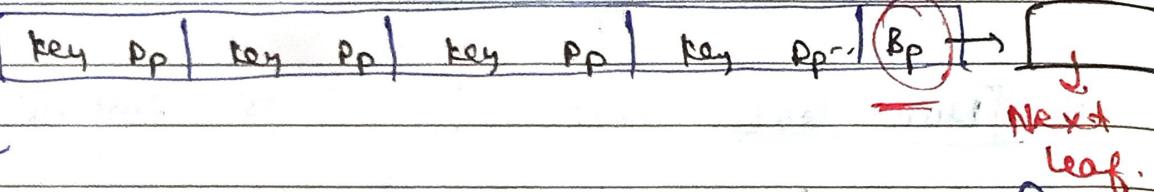


#. So, that's why searching is slower in B tree as compared to B+ tree.

#. B<sup>+</sup> tree Structure →

Leaf Node Structure →

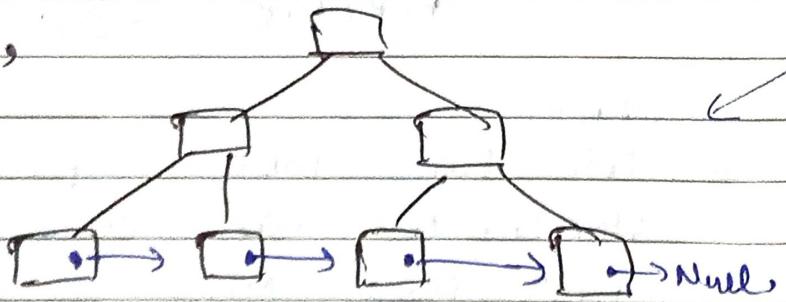
(Structure of Leaf & Non Leaf Nodes are different.)



⇒ (There are no Block pointers in Leaf Node  
Except i in last which points to Next  
leaf.)

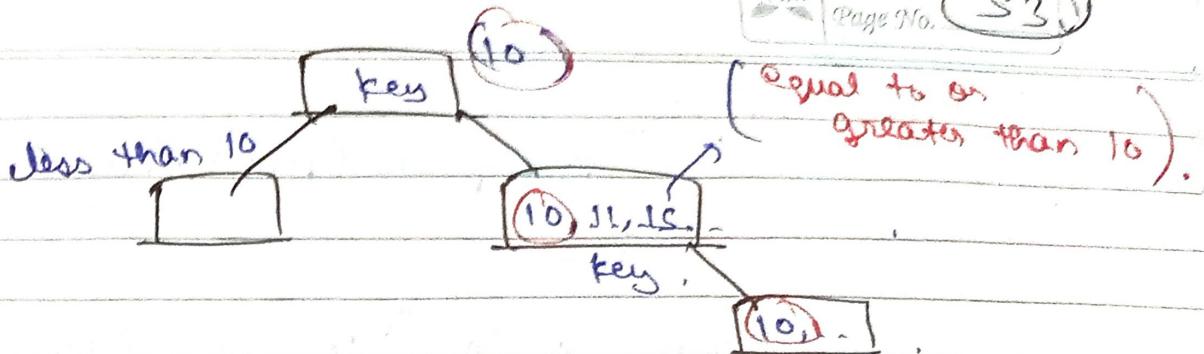
# Both, B & B<sup>+</sup> are balanced,  
i.e. all leaf nodes are at same level.

# In B<sup>+</sup> structure,



⇒ In this, less value → to key is in left node & the greater value to key are in right node. (But here, in Right side, we also have to put the key value with its greater values).

⇒ i.e.,



→ Reason: → bcz, we only search in leaf Node, bcz, Only Leaf Node has all keys with Data pointers are present.  
(c.p.)

so,

we also have to search the D.P. of the key  
so, that's why we also carry the key value upto leaf Node.

- { Searching is that's why faster in B+ tree,  
. bcz, have to search only in leaf Node. }

# bcz of this, Redundant keys are also present in B+ tree.

# Leaf Nodes are also linked together.

102.

Ques: on Order of B+ Tree : →

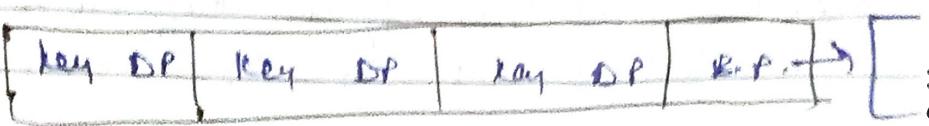
Q: Consider a B+ Tree with key size = 10 bytes, block size = 512 bytes, data pointer = 8 bytes & block pointer = 4 bytes. What is the order of leaf & non leaf node?

Sol:

Non Leaf: →



Leaf Node: →



→ Non-leaf: →

$$n \times B_p + (n-1) \times \text{key} \leq \text{Block Size}$$

$$\rightarrow S \times n + (n-1)_{10} \leq S_{12}$$

$$S_n + 10n - 10 \leq S_{12}$$

$$18n \leq S_{22}$$

$$n \leq \frac{S_{22}}{18} = 34.8$$

$$\boxed{n \leq 34.8}$$

$$\boxed{n = 34} \quad \text{oh}$$

$$\boxed{\text{order} = 34}$$

[ (Max BP.) or (Max children, possible) ].

→ Leaf: →

~~(Let)~~  
~~x pairs~~

$$x(\text{key} + \text{pp}) + \text{BP} \leq \text{Block size}$$

$$x(10+8) + S \leq S_{12}$$

$$18x \leq S_{07}$$

$$x \leq \frac{S_{07}}{18} = 28.18$$

$$\boxed{x \leq 28.18}$$

$$\boxed{x = 28} \quad \text{oh} \quad \boxed{\text{order} = 28}$$

~~Note:~~ Order of a leaf node in B+ tree  
is the no. of (key, p.p.) pairs.



103.

## Immediate Database Modification : → (Log Based Recovery Methods).

⇒ Immediate means start, log & H.

Ex:-

|           |     |
|-----------|-----|
| $A = 100$ | 200 |
| $B = 200$ | 400 |

Hard Drive.

$$\begin{aligned} T_1 \\ R(A) \\ A = A + 100 \end{aligned}$$

$$W(A) - 200$$

$$R(B)$$

$$B = B + 200$$

$$W(B) - 400$$

Commit.

Transaction Log.<  $T_1$ , Start ><  $T_1$ , A, 100, 200 >  
old new<  $T_1$ , B, 200, 400 >  
old new<  $T_1$ , Commit >I Redo

⇒ यहाँ हम Ram में value (200) से, 3rd  
Time पर ही Database में गत ( $A=200$ ) करते। लिन  
Commit नहीं।

⇒ i.e., At time, when we write in memory (RAM), at same time also update in the H.D. We don't wait for commit.

⇒ But, when we see in Trans. Log,

Our Recovery Manager sees the log & check whether  $T_1$  was both start & commit or not. & If yes, then it TRDO

→ Redo, means saves the latest value in the database. i.e.

→ Recovery Manager don't see value in the H.D., it only sees in the Trans. log & checks (starts & Commit) & then saves in the H.D. database. & if already saved, then Over-write & fixed them.

→ But, If.

### Transac<sup>n</sup> log

| Ex:- | Database  | T <sub>i</sub> | <u>Transac<sup>n</sup> log</u>     |
|------|-----------|----------------|------------------------------------|
|      | $A = 100$ | R(A)           | < T <sub>i</sub> , start >         |
|      | $B = 200$ | $A = A + 100$  | $\langle T_i, A, 100, 200 \rangle$ |
|      |           | W(A) - 200     | old new                            |
|      |           | R(B)           | $\langle T_i, B, 200, 400 \rangle$ |
|      |           | $B = B + 200$  |                                    |
|      |           | W(B) - 400     |                                    |
|      |           |                | UND <sup>O</sup>                   |
|      |           | * fail.        |                                    |

→ Here, Recovery Manager don't find commit in Trans. Log. So, he UNDO.

→ UNDO, means it saves the old value. But, in database there are updated values now. So, Recovery Manager takes the old values from the Trans. Log & saves them in the Database.

- Q) In Immediate, we store both old & new values.  
 but,  
 In Deferred, we only store new value.  
 (i.e., only REDO, not UNDO).

∴ that's why

Immediate Database Modification is known  
 as UNDO - REDO Strategy.

Ex:-  $\Rightarrow$  Transaction log  $\Rightarrow$

$\langle T_1, \text{start} \rangle$   
 $\langle T_1, A, 1000, 2000 \rangle$   
 $\langle T_1, B, 5000, 6000 \rangle$   
 $\langle T_1, \text{Commit} \rangle$

} REDO

$\langle T_2, \text{start} \rangle$   
 $\langle T_2, C, 700, 800 \rangle$   
~~old.~~

} UNDO

104)

Ques on DBMS basic Concepts & Data  
 Modelling :-

Q:- Let, R (a, b, c) and S (d, e, f) be 2 Rel's.  
 'd' is foreign key of S that refers its  
 primary key of R. Consider 4 options  
 on 'R' & 'S'.

i) insert into R

ii) insert into S

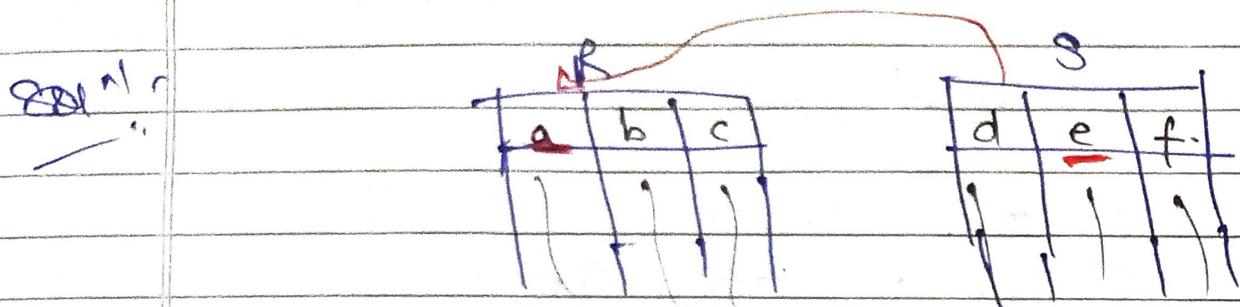
iii) delete from R

iv) delete from S.



→ Which of these can violate Referential Integrity?

- a) i & ii
- b) i & iii
- c) ii & iii → Ans.
- d) i & iv.



→ Understand from intelligent student that  
if delete one record & not the foreign key record  
then don't delete that record (परन्तु),  
i.e. (If they don't delete → then, violation.)

Q. The view of total database content is

- a.) Conceptual view
- b.) Internal view
- c.) External view
- d.) physical view.

→ This is on 3 schema architecture.

# Internal view → External view,

External view is basically related to outer view.

→ User don't Total view (परन्तु).

User has partial view (data only he need)



→ physical view at ~~1st~~ 3rd level view  
at DBT.

(Database storage related).

→ In partial case, External view is Ans.

3. In Relational Model, Cardinality is →.

- ~~a)~~ No. of tuples      b) No. of attributes.  
~~c)~~ No. of tables.      d) No. of constraints.

⇒ If degree, then no. of attributes.

The no. of rows in a Table, called as Cardinality.

4. Constraint is used to maintain consistency among tuples in two relations.

- a) key      b) domain.  
~~c)~~ Referential Integrity      d) Entity integrity.

→ Domain basically deals with that which type of data we put into Table.  
(integer, varchar, character, etc.)

→ Entity integrity, selected with primary key.

→ key deals with uniqueness.



## Ques. Comp. Ques' on Advance DBMS : →

(Big Data & Data Warehouse)

Q1. What do data warehouse support?

- a) OLAP
- b) OLTP
- c) OLAP & OLTP
- d) operational database.

→ Data Warehouse, where we integrate data at one place from all diff sources.

Ex:- Big BAZZAR, → their outlets mostly found in every city.

&  
(at the end of day, all data integrated from all cities & kept) i.e., Data Warehouse se.

→ We store this data, to analyse on it later. So that, they can also play AD's - to diff users acc. to their data of purchasing items.

(Apply Mining algorithms on these data)

→ OLAP → Online Analytical processing.

→ OLTP → Online Transaction processing.

→ It works on current Data.

→ (that when to remove store, when open new store, remove or add items) —  
all these based on Analysis.

2. Hadoop is framework that works with variety of related tools. Common group includes —

- ~~A) Map reduce, Hive & Hbase~~
- B) Map Reduce, MySQL and BigTable Apps.
- C) Map Reduce, Flume, Ignite
- D) Map Reduce, Heron, Trumput.

→ Hadoop, basically work on Big Data.  
(It is a tool of Big Data).

↳ Like Google, Facebook. Big data deals with the multiple petabytes of the data. Now, to process this much of data. We don't use normal tools like SQL Server, Oracle. We use Hadoop. (Bcoz, this data is also unstructured).

b.

- (Hadoop Ecosystem / Framework includes many small tools like map reduce. (Used to process the data), reduce the data (divide & then work on batch processing → i.e., works on Multi-processing)),
- Hive → (If we write to SQL commands in Hadoop, then use Hive).
- Hbase → (Helps in data storage),  
Also tool like Zookeeper, flume, pig, etc.



Q) Google Apps, it is part of cloud.

(3.) All of the following accurately describe Hadoop, except:

- A) open Source → (listed in Apache, install it).
- B) Real time ~~No~~.
- C) Java based.
- D) Distributed Computing Approach.

→ Hadoop, It is basically batch processing.  
means we first need data & then  
we analyse on it.

Q) (In Real Time, we use SPARK).

(4.) Which of the following does not comes under five V's of Big Data?

- a) Volume (How much amount of data).
- b) Velocity (With which velocity, data ↑).
- c) Variety (Structured, Unstr., Semi-Str.).
- d) Visualization ~~VS~~.

To Note:- Let, if there is ' $x$ ' amount of total data.

where,  $x \rightarrow$  is all data on Earth,  
then,

(80% - 90%) of  $x$  is created in last 5-6 years. Mean,

Data is increasing with so much speed.



Unstructured → photos, videos,

Semi-structured → XML based data.

1) Volume → (ie, value of our data).

2) Veracity → (means, trustworthiness).

(how much capable our data to believe on it.)

⇒ 5 V's of Big DATA : -

1.) Volume

2.) Velocity

3.) Variety

4.) Value

5.) Veracity.

3) Visualiza<sup>n</sup>, is a part of Data Analytics.  
where we visualize our data with help of graphs (pie chart, bar chart, etc.).

106.

Deferred Database Modification : -

→ This topic comes under log based Recovery.

(If there is any failure inside our system, then later we can recover that system as per).

→ Log, is basically a file (small sized file). in which we store our actions. that (what Trans. performs, we stored in log)

- (like, history in our browser)
- when our system fails, to recover Trans.
- either we have to roll back them or Modify them.
- We do that, by seeing the log.
- 2 Methods, by seeing the log : →
  - 1.) Deferred Database Modification
  - 2.) Immediate

| <del>DB</del>          | $T_1$         | Transac Log                                 |
|------------------------|---------------|---|
| $A = 100 \text{ } 200$ | $R(A)$        | $\langle T_1, \text{Start} \rangle$         |
| $B = 300 \text{ } 400$ | $A = A + 100$ | $\langle T_1, A, 200 \rangle$<br>new value. |
| Database (H.O),        | $W(A) - 200$  | $\langle T_1, B, 400 \rangle$<br>new value. |
|                        | $R(B)$        | $\langle T_1, \text{Commit} \rangle$        |
|                        | $B = B + 200$ | <u>Redo</u> →                               |
|                        | $W(B) - 400$  |   |
|                        | Commit        |   |

→ Here, It don't update in Database hand-to-hand. It updates in Database after Commit. (by, deferred-late, postponed).

After commit, database updated.

- How use Recovery in Deferred? (if, say system fails after Commit.)
  - So, when recovery Manager comes, it first check trans. log file & check (start & commit) in it.

Then, Recovery Manager.

REDO

- Means, update the new values in the database.

due to

- (Let, "fail  $\rightarrow$  our database also not there").

then,

- Recovery Manager puts the A & B value from log in database.

$$A = 200$$

$$B = 400$$

- (If it already in database, then overwrite it ~~at write~~).

Case II

T<sub>1</sub>

Transac log

$$\begin{cases} A = 100 \\ B = 200 \end{cases}$$

$$w(A) - 200$$

$\leftarrow T_1, \text{Start} \right)$

$$w(B) - 400$$

$\leftarrow T_1, A, 200 \right)$

\* fail.

$\leftarrow T_1, B, 400 \right)$

(Roll back.)

- Now, fails before Commit. So, now database value is same as before.

Now,

After failure occur, when Recovery Manager opens the log file.  $\rightarrow$  It sees T<sub>1</sub> starts but not commit. So, here Recovery Manager don't do anything. He simply Roll back.

Means, ~~get~~ value at first, ~~get~~ ~~get~~ ~~get~~  
Open ~~not~~ at ~~first~~ ~~get~~ ~~get~~.

→ So, Deferred Modification also known as No UNDO/REDO Method. →

Ex:-

$\langle T_1, \text{Start} \rangle$   
 $\langle T_1, A, 200 \rangle$   
 $\langle T_1, B, 400 \rangle$   
 $\langle T_1, \text{commit} \rangle$



(REDO)

$\langle T_2, \text{Start} \rangle$   
 $\langle T_2, C, 500 \rangle$



(No Action.)

Roll back of  $T_1$  →

# In Deferred, we store only new values. →

Ques.

Like Command in SQL. →

→ We use Like Command, generally to search the Data.

Ques. 1) Find Employee detail whose name starting with 'A'.

2) find Emp. detail whose name ending with 'n'.

3) whose name contains 'ee'.

4) whose name contain 'a' in 2nd place.

5) whose name contain 'o' in 2nd place.

6) name should contain total five Characters.

$\%.$  → Any value (किसी भी character का)

In with 'o' character के लिए, उसका problem होता है।

Page No. \_\_\_\_\_

(62)

Emp.

| ID | Name    |
|----|---------|
| 1. | Varun   |
| 2. | Arun    |
| 3. | Karuna  |
| 4. | Amit    |
| 5. | Ranjeet |
| 6. | Ajeet   |

$\%.$  → any value  
↳ length.  
— → reserved for  
a value.

1.) Select \* from Emp where name like 'A%';  
" Output → Arun, Amit, Ajeet

2.) —" like '%.n';  
Output → Varun, Arun.

3.) —" like '%.ee%';  
Output → Ranjeet, Ajeet

Note:- If Name → Shar, Arune, — anything  
↳ then, these also comes, bcs sizef 'ee' we  
need.

$\%.$  → also 'o' character include.

4.) —" like '\_a%';  
Output → Varun, Karuna, Ranjeet.

5.) —" like 'a\_\_\_\_';  
Output → Varun \_\_\_\_\_

x

a



108.

## Basic PL-SQL programming with Execution ↗

→ Program 1: Find the Sum of 2 numbers.

→ declare

declare  
a int;  
b int;  
c int;

begin

a := b a;  
b := b b;  
c := a + b;

dbms\_output.put\_line ('Sum of a and b = ' || c);  
end;

|| ↗

// Value given by user.  
(to take input from user).

|| cont in C++

→ || 3 in C++

#

Program 2: Greatest of 2 numbers ↗.

→ declare

a int;  
b int;

begin

a := b a;  
b := b b;

if (a > b)

then

dbms\_output.put\_line ('a is greater'); 11a

else

dbms\_output.put\_line ('b is greater'); 11b

SQL line में हमें value पहले ही देनी पड़ती है।  
जोकि बाद में user value करके दे सकता है।

69

end if;  
end;

(both code works fine).

log

PL-SQL :- (while, for loop) :-

program 3:-

for loop :-

```
+ declare  
a number (2);  
begin  
for a in 0..10  
loop  
dbms_output.put_line(a);  
end loop;  
end;
```

// = 0 to 10.  
// By default,  
increment of 1 by 1.

program 4:-

while loop :-

```
+ declare  
a int;  
b int;  
begin  
a:=0;  
b:=10;  
while a < b  
loop  
a:=a+1;  
dbms_output.put_line(a);  
end loop;  
end;
```

// print from a to b.

Output :- 1 to 10

then,  
output -> 0 to 9  
(Now, first printing  
then increment  
output -> 10)

↳ If oracle sometimes shows error in output - then write.

→ Set ServerOutput on

|| but in live SQL  
it is By default

Code

→ (both code works fine). ✓

(110) Single Row & Multi Row functions in SQL :-

→ Single Row :-

If our func. is Applicable on single row, and only apply on single row.

→ gives an <sup>single</sup> output corresponding to that row. → then, It is Single Row funcs.

→ Multi-Row :- func.

func. that apply on more than one row,

→ gives an <sup>single</sup> output corresponding to all these rows.

→ Round

(Round-off value)

→ Mod.

(gives remainder after division)

→ lower

(Convert a string into lower letters)

→ InitCap.

(Capital the Initial letter)

→ Concat

(Add 2 string & make 1)

→ LPAD/ RPAD

(for left & Right padding)

→ NVL, NVL2

(to take care of NULL values)



## SQL functions -

### \* Single Row func.

#### Character functions

#### Number func.

#### case Manipulation

lower  
upper  
lcase  
ucase

#### character manipulation

concat  
substr  
length  
trim  
ltrim  
rtrim  
replace

#### TRUNC

ROUND  
LAST\_DAY  
NEXT\_DAY  
ADD\_MONTHS  
NULLIF  
NVL  
COALESCE  
CASE  
DECODE

#### Date function

months\_between  
add\_months  
next\_day  
last\_day

#### General functions

NVL  
NULL  
IF  
COALESCE  
CASE  
DECODE

### \* Multi-Row func.

#### Aggregate

sum  
avg  
min  
max  
count  
avg

#### Data-type conversion

TO\_CHAR  
TO\_NUMBER  
TO\_DATE

### III. Character functions in SQL with Execution : →

→

#### Character functions |

Case function  
Manipulation

Character  
Manipulation.

- Lower
- Upper
- Init Cap

- Concat ('Varun', 'singla') Varun singla
- Substr ('Varun', 2, 4) aru
- Instr ('Varun', 'u') 4<sup>th</sup>
- Length ('Varun') 5
- LPAD ('Varun', 10, '\*') \*\*\*\*\*Varun (10-length)
- RPAD ——— ——————
- TRIM ('V' from 'Varun') Arun
- REPLACE ('Varun', 'V', 'T') Tarun.

④

ANURAG  
1 2 3 4 5 6

⑤

Execution: → If we want to implement these func's, so, first we have to make schema (table).

→ Output Table: →

Select \* from emp;

| ID | NAME            |
|----|-----------------|
| 1  | Create Smashers |
| 2  | Varun singla    |



⇒ Create table emp

{

id int,

name varchar(20)

};

~~2 rows~~

insert into emp values (1, 'GATE SMASHERS'); || 2 row.

insert into emp values (2, 'Varun Singla'); || 2 row.

Select \* from emp;

Select lower(name) from emp;

Select upper(name) from emp;

Select initcap(name) from emp;

Select concat(id, name) from emp;

Select substr(name, 2, 5), instr(name, 'v') from emp;

Select length(name) from emp;

Select lpad(name, 15, '\*') from emp;

Select rpad(name, 15, '\*') from emp;

Select trim('v' from name) from emp;

Select replace(name, 'v', 't') from emp;

Output:-

REPLACE(NAME, 'V', 'T')

GATE SMASHERS

tarun singla.

Output:-

LPAD(NAME, 15, '\*').

\*\*\* GATE-SMASHERS

\*\*\* Varun-Singla.

Here, it counts (-)  
Space also.

Output:-

| SUBSTR(NAME, 2, 5) | INSTR(NAME, 'V') |
|--------------------|------------------|
|--------------------|------------------|

ate S ..

0

tarun

1

(It don't count (-) here).

i.e.,  
GATE SMASHERS  
1 2 3 4 5 6 7 8 9 10 11 12  
|—————  
ate S



(112)

## View in Database!

( Oracle, SQL Server Views )

- What is View in Database?

→ Virtual Table! →

( The Table we create,  
Create Table xyz )

It takes physical space in memory (H.D.)

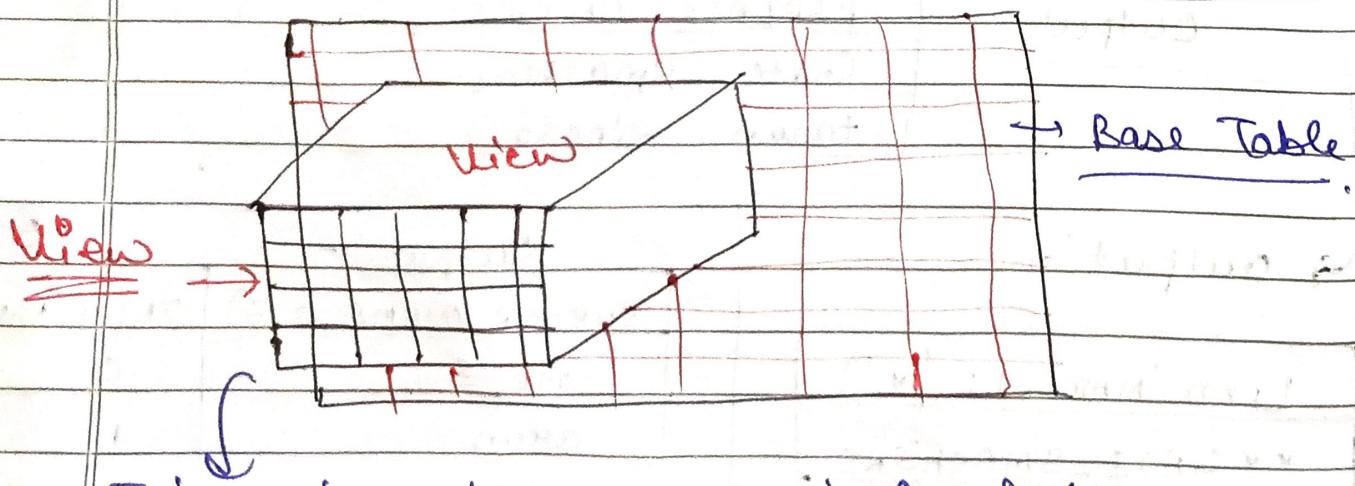
⇒ But, View is the virtual Table.

It looks like a Table, but it is  
not actually a Table. It don't take space.  
any.

⇒ View is the result set of a stored  
query

Query! →

Create view V, as Select id from Student;



This view has no physical existence,  
we don't store it anywhere.

- (#) The Execute code of this query, stores after compile. &

After compile, when we write  
Select \* from V1

then,

it shows the data like a table from the view

- (#) So, actually we just store this little query, rather than result. We don't store result.

That's why it's a Virtual Table.

→ Read-only (No) updatable Views! →

→ If we made any changes in Base Table & that col<sup>m</sup> is also in our view, then, obviously that also changes.

→ Same, If we delete from Base Table. Then also deletes from View.

(#) → But, if we change anything in View! →

And we want that changes to execute also in the Base Table, then updatable Views. ↳

If we Shut down (the (Insert, Delete & update) SQL commands) on view, i.e. we disable these commands. So, that it can't operate on View. Then, we make Read-only Views, for it.



- Materialised View: →  
type of updated version.

(If our data is on the remote server,  
→ I want a copy of that on my  
local server/machine. i.e., I want a  
snapshot of that remote data on  
my local server. So, that is called  
Materialised View.)

→ (This takes space, but takes less  
space as comparatively to that data).

④ We can't apply any DDL command (ALTER etc.)  
on view.

But, apply only DML Commands if  
we make the updateable view.

④ We can insert the data of more  
than 1 table, in a View.  
i.e.

(View can also take data from Multiple Tables.)

④ We also can take any particular row  
from Table to make view. Like,  
\_\_\_\_\_ where address = 'Delhi';  
So, all Delhi students come into the view.

④ Advantages of View: →

→ To restrict the Data Access.

(Like, we don't give the access of original  
Table to our user, we just give view to them)  
of some data.

2.) To make complex queries easy.

Ex. 1) Like, we some data from 3 tables.



then,

By default, we apply Join as Nested Query.

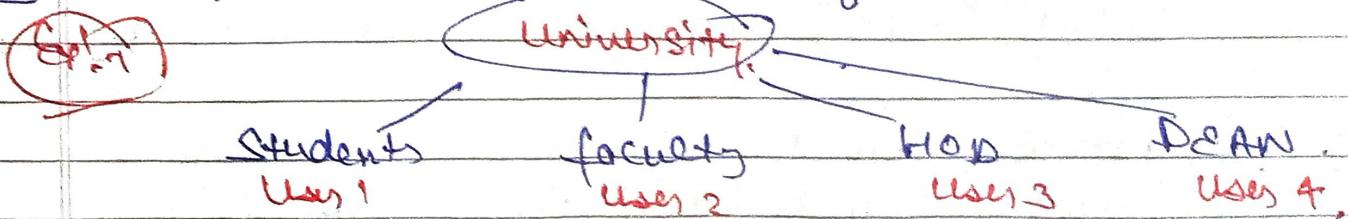
So,

It's better to just make the view from these tables. Rather, than to write complex query.

3.) To provide data Independence.

(We just give a particular access to a user of a table, rather than giving the full database access).

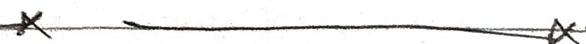
4.) To present diff. views of the same data.



(They all have diff. privileges).

So,

→ We give diff. view to all of them of the same data (Table).



#

# SQL CHEAT SHEET →

#

## Examples →

- 1.) Select all rows from table with filter applied

→ Select \* from tbl where Col 1 > 5;

- 2.) Select first 10 rows for 2 columns

Select Col1, Col2 from tbl limit 10;

- 3.) Select all rows with multiple filters applied

→ Select \* from tbl where Col1 > 5 AND Col2 < 2;

- 4.) Select all rows from col1 and col2

Ordering by col1

→ Select Col1, Col2 from tbl Order By 1;

- 5.) Return count of rows in table

→ Select Count (\*) from tbl;

- 6.) Return sum of col1

→ Select SUM (col1) from tbl;

- 7.) Return max value from col1

→ Select MAX (col1) from tbl;

- 8.) Computer summary statistics by grouping col2

→ Select AVG (col1) from tbl Group By col2;



9.) Combine data from 2 tables using a left Join

→ Select \* from tbl1 as t1  
LEFT JOIN tbl2 as t2 ON t2.col1 = t1.col1;

10.) Aggregate & filter results

→ SELECT

col1,

Avg (col2) = Avg (col3) as total

FROM tbl

GROUP BY col1

HAVING total > 2.

11.) Implementation of CASE statement →

→ Select col1,

CASE

when col1 > 10 THEN 'more than 10'

when col1 < 10 THEN 'less than 10'

else '10'

END AS New Column Name

FROM tbl ;



ORDER OF EXECUTION →

FROM

WHERE

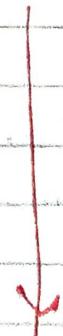
GROUP BY

HAVING

SELECT

ORDER BY

LIMIT





⑥.

### Create

```
CREATE DATABASE MyDatabase ;
```

```
CREATE INDEX IndexName  
ON TableName (col1) ;
```

```
CREATE TABLE OurTable  
id int,  
name varchar(12)
```

;

### \* UPDATE TABLE

```
UPDATE OurTable  
SET col1 = 56  
WHERE col2 = 'Something' ;
```

### \* [DELETE]

```
DROP DATABASE OurDatabase ; ]
```

```
DROP TABLE OurTable ; ]
```

### \* [DELETE Records]

→ Delete from OurTable  
WHERE col1 = 'Something' ;

## # Add / Remove Column.

ALTER TABLE OurTable  
ADD cols INT;

ALTER TABLE OurTable  
DROP column cols;