

# Merge Sort $O(n \log n)$

- Based on divide & conquer

```
Merge(A[1 ... m], B[1 ... n], C[1 ... m+n])
i = 1
j = 1
for k = 1 to m+n:
    if A[i] <= B[j]:
        C[k] = A[i]
        i = i + 1
    else:
        C[k] = B[j]
        j = j + 1
```

## Proof of correctness:

Loop invariant: at beginning of the  $k$ th iteration,  $C[1 \dots k-1]$  contains the  $k-1$  smallest elements of  $A \cup B$  in sorted order, and these elements are  $A[1 \dots i-1]$  and  $B[1 \dots j-1]$ .

Initialization: When  $k = 1$ ,  $i = j = 1$ , and invariant holds trivially.

Maintenance: Assume invariant holds for iteration  $k$ . We want to show also holds for iteration  $k+1$ .

Assume  $A[i] \leq B[j]$ . Then  $A[i]$  is smallest among all remaining items. Therefore, invariant holds also at iteration  $k+1$ . If  $A[i] > B[j]$ , similar.

Termination: When  $k = m+n+1$  (end of iteration),  $C$  contains all elements of  $A \cup B$  in sorted order.

**Runtime:**  $O(m+n)$

MERGESORT ( $A[1 \dots n]$ )

1. MERGESORT( $A[1 \dots n/2]$ )
2. MERGESORT( $A[n/2+1 \dots n]$ )
3. MERGE both output

Correctness follows easily from that MERGE.

**Runtime:** Denote by  $T(n)$  the runtime on input of size  $n$ .

$$T(n) = 2 \cdot T(n/2) + n$$

Wrong Claim:  $T(n) = O(n)$

Wrong Proof: By induction on  $n$

Assume holds for  $1, \dots, n-1$

Let's prove for  $n$ :  $T(n) = 2 \cdot T(n/2) + n = 2 \cdot O(n) + n = O(n)$

// can only assume  $T(n) \leq 100 \cdot n$  (a concrete number)

// inductive step will get  $T(n) \leq 101 \cdot n$ , wrong

3 approaches

1. Recursion tree (a bit informal but usually OK)

$$T(n) = 2 \cdot T(n/2) + n$$

$$= 4 \cdot T(n/4) + 2n$$

$$= 8 \cdot T(n/8) + 3n$$

$$\dots (\log_2 n \text{ steps})$$

$$= n \log_2 n$$

## 2. Induction / Substitution

Claim: for large enough  $c$ ,  $T(n) \leq c \cdot n \log n$

Proof: Assume by induction that holds for  $1, \dots, n-1$

$$T(n) = 2 \cdot T(n/2) + n$$

$$\leq 2 \cdot c \cdot n/2 \log(n/2) + n$$

$$= c \cdot n \cdot \log(n/2) + n$$

$$\leq c \cdot n \cdot \log(n)$$

## 3. Master theorem