

# Lower Bound of Comparison-based Sorting $O(n \log n)$

sorting/selecting algorithms—comparison based

time cost  $\rightarrow$  # comparisons

Finding Maximum Algorithm

1.  $\text{cur.max} = \text{bigger}(1, 2)$
2. for  $i = 3$  to  $n$
3.    $\text{cur.max} = \text{bigger}(\text{cur\_max}, i)$
4. return  $\text{cur.max}$

comparision =  $n-1$

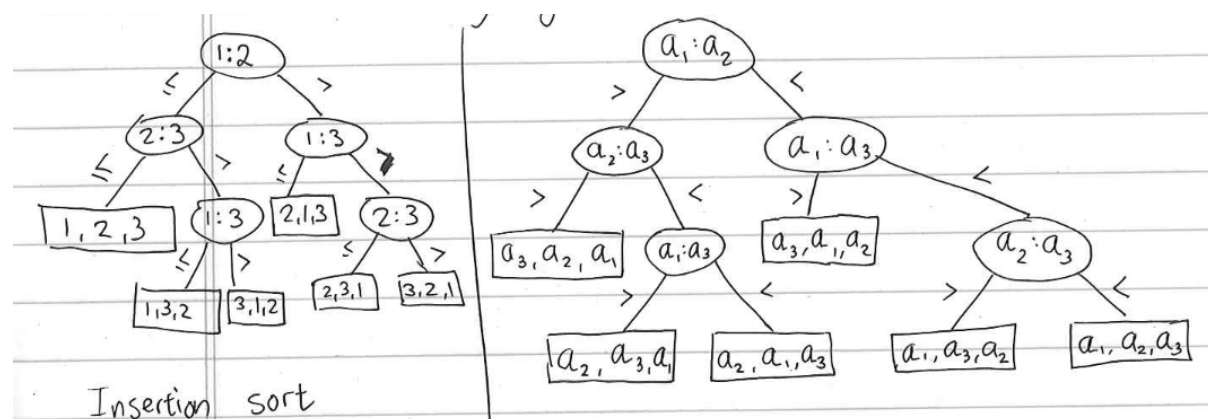
Claim: Computing maximum of  $n$  elements requires  $\geq n-1$  comparisons

Proof: There can be at most one element that has never lost a comparison. Otherwise, each of the two elements can potentially be the maximum and the algorithm has no way of telling.

Since there is one loser in each comparison, we need  $n-1$  comparisons.

Sorting

We can model any comparison-based algorithm as a decision tree.



We have 6 leaves corresponding to all  $3! = 6$  permutations of the input.

In general, when sorting  $n$  elements, any correct algorithm must have at least  $n!$  leaves, since all permutations are possible.

What is number of comparisons in the worst case? It is the depth of the tree.

$$k = \log(n!) = \Omega(n \log n) \text{ since } n! > \left(\frac{n}{2}\right)^{\frac{n}{2}} \rightarrow \log(n!) > \frac{n}{2} \log\left(\frac{n}{2}\right)$$

Therefore, any comparison-based sorting algorithm has at least  $\Omega(n \log n)$  comparisons. In particular, MergeSort and QuickSort (with median pivot) are optimal.