

6. Introduction to Rings

6.1 Definition of Rings

A **ring** $(R, +, \cdot)$ is a set R with two law of compositions $+$ and \cdot , called addition and multiplication respectively, that satisfy:

1. $(R, +)$ forms an abelian group
2. " \cdot " is associative and there is a multiplicative identity $1 \in R$ s.t. $1 \cdot r = r \cdot 1 = r, \forall r \in R$
3. (Distributive Law) For any $a, b, c \in R$:

$$(a + b)c = ac + bc, c(a + b) = ca + cb$$

If the multiplication of a ring is commutative, it is a **commutative ring**. The subject to study commutative rings is Commutative Algebra, which is the algebraic foundation of Algebraic Geometry.

Notation. In a ring, the additive identity is usually denoted by 0 and the multiplicative identity is usually denoted by 1.

Examples:

1. $(\mathbb{Z}, +, \cdot), (\mathbb{Q}, +, \cdot), (\mathbb{R}, +, \cdot), (\mathbb{C}, +, \cdot)$
2. $(\mathbb{Z}/n\mathbb{Z}, +, \cdot), \bar{a} + \bar{b} = \overline{a + b}, \bar{a}\bar{b} = \overline{ab}$
3. $M_{n \times n}(\mathbb{R})$, the set of $n \times n$ real matrices is a non-commutative ring with addition and multiplication of matrices.
4. $C(\mathbb{R})$, the set of all continuous functions $\mathbb{R} \rightarrow \mathbb{R}$, with $(f + g)(x) = f(x) + g(x), (fg)(x) = f(x)g(x)$.
5. The zero ring $R = \{0\}, 0 + 0 = 0, 0 \cdot 0 = 0$.

Prop. R is a ring. $0 = 1 \iff R = \{0\}$.

Pf. If $R = \{0\}$, it is obvious since $1 \in R = \{0\}$.

If $0 = 1$ in R , then $\forall r \in R, r = 1 \cdot r = 0 \cdot r = 0$.

Prop. R is a ring. Then:

1. $0 \cdot a = a \cdot 0 = 0$
2. $-a = (-1) \cdot a$
3. $-(ab) = (-a)b = a(-b)$

Pf. $0 \cdot a + a = 0 \cdot a + 1 \cdot a = (0 + 1) \cdot a = 1 \cdot a = a \rightarrow 0 \cdot a = 0$

$$(-1) \cdot a + a = (-1) \cdot a + 1 \cdot a = (-1 + 1) \cdot a = 0 \cdot a = 0$$

$$-(a)b + ab = (-a + a)b = 0 \cdot b = 0$$

In general, not every element in a ring has an multiplication inverse.

R is a ring. $u \in R$ is a **unit** if it has an multiplication inverse $u^{-1} \in R$ such that $uu^{-1} = 1$.

Prop. The set of units of a ring R form a group with respect to multiplication, denoted by R^\times , called the **group of units** of R .

e.g. $(\mathbb{Z}, +, \cdot)$. $\mathbb{Z}^\times = \{\pm 1\}$.

e.g. $(\mathbb{Z}/n\mathbb{Z}, +, \cdot)$. $\mathbb{Z}^\times = \{\bar{a} : \gcd(\bar{a}, n) = 1\}$.

R is a ring, $x, y \in R$. We say x is **associated to** y if there exists $u \in R^\times$ such that $x = uy$.

Prop. R is a ring. " $x \sim y$ if x is associated to y " is an equivalence relation on R .

A **field** is a ring R with $R^\times = R \setminus \{0\}$, i.e., all the nonzero elements are units.

e.g. $(\mathbb{Q}, +, \cdot)$, $(\mathbb{R}, +, \cdot)$, $(\mathbb{C}, +, \cdot)$, $(\mathbb{Z}/p\mathbb{Z}, +, \cdot)$ where p is a prime

6.2 Polynomial Rings

The **polynomial ring** $R[x]$ is the set of all polynomials with coefficients in R , with

addition: $(\sum a_i x^i) + (\sum b_i x^i) = \sum (a_i + b_i) x^i$

multiplication: $(\sum a_i x^i)(\sum b_j x^j) = \sum_k (\sum_{i+j=k} a_i b_j) x^k$

A polynomial is **monic** if its leading coefficient is 1.

The **degree** of a polynomial is the biggest power of x with nonzero coefficient.

Prop. (Division Algorithm) If $f(x) \in R[x]$ is a monic polynomial, then for any $g(x) \in R[x]$, $\exists! q(x) \in R[x]$, $r(x) \in R[x]$ such that $g(x) = q(x)f(x) + r(x)$, with $\deg(r) < \deg(f)$.

A ring R is called an **integral domain** if $ab = 0 \rightarrow a = 0$ or $b = 0$. (i.e., $\forall a, b \in R$, $a \neq 0, b \neq 0$, then $ab \neq 0$.)

Prop. If R is an integral domain. Then $(R[x])^\times = R^\times$.

Cor. If R is an integral domain, $p(x), q(x) \in R[x] - \{0\}$, then $\deg(pq) = \deg(p) + \deg(q)$.

6.3 Ring Homomorphisms

A **ring homomorphism** $f : R \rightarrow R'$ is a map from a ring R to a ring R' such that

1. $\forall a, b \in R, f(a + b) = f(a) + f(b)$
2. $\forall a, b \in R, f(ab) = f(a)f(b)$
3. $f(1) = 1'$

Remark. (3) is to avoid the situation $f(r) = 0 \forall r \in R$.

e.g. $f : \mathbb{Z} \rightarrow \mathbb{Z}/n\mathbb{Z}$, $f(k) = \bar{k}$ is a ring homomorphism.

$$f(a + b) = \overline{a + b} = \bar{a} + \bar{b} = f(a) + f(b), f(ab) = \overline{ab} = \bar{a}\bar{b} = f(a)f(b), f(1) = \bar{1}$$

R is a ring. $r_0 \in R$. Define

$$E_{r_0} : R[x] \rightarrow R$$

$$p(x) \mapsto p(r_0)$$

E_{r_0} , called the evaluation map, is a ring homomorphism.

Prop. (Substitution Principle) $f : R \rightarrow R'$ is a ring homomorphism. $r'_0 \in R'$. Then there exists unique ring homomorphism $F : R[x] \rightarrow R'$ satisfying $F|_R = f$ and $F(x) = r'_0$.

The kernel of a ring homomorphism $f : R \rightarrow R'$ is $\ker(f) = \{r \in R \mid f(r) = 0'\}$.

e.g. $f : \mathbb{Z} \rightarrow \mathbb{Z}/n\mathbb{Z}$, $f(k) = \bar{k}$. $\ker(f) = n\mathbb{Z}$.

6.4 Ideals

A nonempty subset I of a ring R is an **ideal** if:

1. $\forall a, b \in I, a + b \in I$
2. $\forall a \in I, \forall r \in R, ar \in I$

Prop. The kernel of a ring homomorphism $f : R \rightarrow R'$ is an ideal of R .

Prop. If I is an ideal of a ring R , then I is a subgroup of R with respect to addition.

Examples:

1. $\{0\}$ is an ideal of R , R is an ideal of R .
2. By the above Prop, an ideal of \mathbb{Z} is necessarily a subgroup of $(\mathbb{Z}, +)$, so the candidates are $n\mathbb{Z}$. For each of $n \in \mathbb{N}$, $n\mathbb{Z}$ satisfies definition of an ideal.
3. $R = R[x]$. Ideal $I = \{p \in R \mid p(0) = 0\}$.

Prop. R is a ring. I is an ideal of R . Then the following are equivalent:

1. $I = R$
2. $1 \in I$
3. $I \cap R^\times \neq \emptyset$

An ideal I of R is **proper** if $I \neq \{0\}$ and $I \neq R$.

Prop. R is a ring. $a \in R$. Then a is a proper ideal $\iff a \notin R^\times \cup \{0\}$.

Cor. A nonzero ring ($R \neq \{0\}$) is a field \iff it has no proper ideal.

A ring R is called an **integral domain** if for any $a, b \in R \setminus \{0\}$, $ab \neq 0$.

An integral domain is called a **Principal Ideal Domain (PID)** if all of its ideals are principal.

e.g. The ring of integers \mathbb{Z} is a PID.

Prop. R is an integral domain. Then $R[x]$ is PID $\iff R$ is a field.

6.5 Quotient Rings

R is a ring and I is an ideal of R , the **quotient ring** R/I is the set of cosets of I in R , whose elements are $r + I$ ($r \in R$), with

addition: $(r_1 + I) + (r_2 + I) = (r_1 + r_2) + I$

multiplication: $(r_1 + I)(r_2 + I) = r_1 r_2 + I$

e.g. $R = \mathbb{Z}, I = n\mathbb{Z}, R/I = \mathbb{Z}/n\mathbb{Z}$

A ring isomorphism is a bijective ring homomorphism.

R is isomorphic to R' if $\exists f : R \rightarrow R'$ a ring isomorphism. We write $R \cong R'$.

First Isomorphism Theorem for Rings. $f : R \rightarrow R'$ is a surjective ring homomorphism. $I = \ker(f)$. Then there exists a unique ring isomorphism $F : R/I \rightarrow R'$ such that $f = F \circ \pi$.

Example: $f : R[x] \rightarrow \mathbb{C}$ is a ring homomorphism

$$p(x) \mapsto p(i)$$

f is surjective since $\forall a + bi \in \mathbb{C}, f(a + bx) = a + bi$.

$$\ker(f) = x^2 + 1$$

By the first isomorphism theorem, $R[x]/(x^2 + 1) \cong \mathbb{C}$

An ideal I in R ($I \neq R$) is **maximal** if for any ideal J of R that $I \subseteq J$, either $J = I$ or $J = R$.

Prop. R is a ring and I is an ideal. Then I is a maximal ideal $\iff R/I$ is a field.

Pf. If I is maximal, then for any $x \notin I$,

$I \subsetneq I + (x) = R$, so $1 \in R = I + (x) \rightarrow 1 = a + rx$ for some $a \in I, r \in R$

$\rightarrow (r + I)(x + I) = (1 - a) + I = 1 + I \rightarrow x + I$ is invertible

If I is not maximal, then $\exists J : I \subsetneq J \subsetneq R$.

It follows J/I is a proper ideal in R/I . So R/I cannot be a field.

F is a field. A polynomial $p(x) \in F[x]$ is **irreducible** if it's not a product of two non-constant polynomials in $F[x]$.

Prop. $p(x) \in F[x]$ is irreducible $\iff (p(x))$ is maximal.

Pf. If $p(x)$ is not irreducible, $p(x) = q(x)r(x)$, $\deg q \geq 1, \deg r \geq 1$,

then $(p(x)) \subsetneq (r(x)) \subsetneq F[x]$, so $(p(x))$ is not maximal.

If $(p(x))$ not maximal, $\exists J$ s.t. $(p(x)) \subsetneq J \subsetneq F[x]$

$F[x]$ is PID, so $J = (m(x))$. $J \neq F[x] \rightarrow \deg m \geq 1$.

$p(x) \in (p(x)) \subseteq (m(x))$, so $p(x) = m(x)g(x)$ and $\deg g \geq 1$, otherwise $(p(x)) = (m(x))$, so $p(x)$ is not irreducible.

Cor. $F[x]/(p(x))$ is a field $\iff p(x)$ is an irreducible polynomial.

e.g. $R[x]/(x^2 + 1)$ is a field since $x^2 + 1$ is irreducible in $R[x]$.

$R[x]/(x^2 - 1)$ is not a field since $x^2 - 1 = (x - 1)(x + 1)$.

Actually, $R[x]/(x^2 - 1)$ is not an integral domain since $(x - 1 + I)(x + 1 + I) = (x - 1)(x + 1) + I = (x^2 - 1) + I = 0 + I$.

$F \subseteq E$ are fields. $\gamma \in E$. We say γ is **algebraic** over F is $\exists p(x) \in F$ such that $p(\gamma) = 0$.

If $F \subseteq E$. $\gamma \in E$ is algebraic. The nonzero monic polynomial $m(x) \in F[x]$ of least degree satisfying $m(\gamma) = 0$ is the **minimal polynomial** of γ .

Prop. The minimal polynomial $m(x)$ of an algebraic γ over F is irreducible in $F[x]$.