

**Algebra, Chapter 0**

By Paolo Aluffi

**Contents**

<b>Chapter I. Preliminaries: Set theory and categories</b>	<b>3</b>
§1. Naive Set Theory . . . . .	3
§2. Functions between sets . . . . .	5
§3. Categories . . . . .	5
§4. Morphisms . . . . .	6
§5. Universal properties . . . . .	7
<b>Chapter II. Groups, first encounter</b>	<b>8</b>
§1. Definition of group . . . . .	8
§2. Examples of groups . . . . .	9
§3. The category <b>Grp</b> . . . . .	12
§4. Group homomorphisms . . . . .	18
§5. Free groups . . . . .	23
§6. Subgroups . . . . .	32
§7. Quotient groups . . . . .	39
§8. Canonical decomposition and Lagrange's theorem . . . . .	44
§9. Group actions . . . . .	45
§10. Group objects in categories . . . . .	45
<b>Chapter III Rings and modules</b>	<b>46</b>
§1. Definition of ring . . . . .	46
§2. The category <b>Ring</b> . . . . .	56
§3. Ideals and quotient rings . . . . .	61
§4. Ideals and quotients: remarks and examples. Prime and maximal ideals . . . . .	64
§5. Modules over a ring . . . . .	71
§6. Products, coproducts, etc. in $R\text{-Mod}$ . . . . .	76
§7. Complexes and homology . . . . .	76
<b>Chapter V. Irreducibility and factorization in integral domains</b>	<b>78</b>
<b>Appendix</b>	<b>79</b>

## Notation for Problems

$\triangleright$ : those problems that are directly referenced from the text.

$\neg$ : those problems that are referenced from other problems.

[§II.8.1]: related to the text in II.8.1 (Chapter II Section 8 Subsection 1).

[II.8.10]: related to the Definition/Example/Proposition/Lemma/Corollary/Claim 8.10 in Chapter II (the 10th Definition/Example/Proposition/Lemma/Corollary/Claim in Chapter II Section 8).

## Acknowledgement

It is kind of Shane Creighton-Young to share his solutions to Paolo Aluffi's "Algebra: Chapter 0" [1] on the Github website <https://github.com/srcreigh/aluffi>. He takes the credit for the first two chapters of this manuscript.

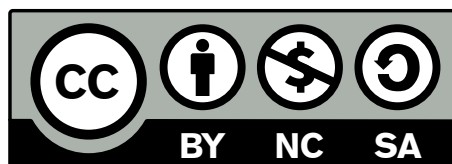
## Contact

Github: <https://github.com/hooyuser/Solution-to-Algebra-Chapter-0>

E-mail: [hooyuser@outlook.com](mailto:hooyuser@outlook.com)

## License

This work is licensed under a [Creative Commons Attribution-NonCommercial-ShareAlike 4.0 International License](https://creativecommons.org/licenses/by-nc-sa/4.0/).



# Chapter I. Preliminaries: Set theory and categories

## §1. Naive Set Theory

**1.1** Locate a discussion of Russel's paradox, and understand it.

Recall that, in naive set theory, any collection of objects that satisfy some property can be called a set. Russel's paradox can be illustrated as follows. Let  $R$  be the set of all sets that do not contain themselves. Then, if  $R \notin R$ , then by definition it must be the case that  $R \in R$ ; similarly, if  $R \in R$  then it must be the case that  $R \notin R$ . ■

**1.2** ▷ Prove that if  $\sim$  is an equivalence relation on a set  $S$ , then the corresponding family  $\mathcal{P}_\sim$  defined in §1.5 is indeed a partition of  $S$ ; that is, its elements are nonempty, disjoint, and their union is  $S$ . [§1.5]

Let  $S$  be a set with an equivalence relation  $\sim$ . Consider the family of equivalence classes w.r.t.  $\sim$  over  $S$ :

$$\mathcal{P}_\sim = \{[a]_\sim \mid a \in S\}$$

Let  $[a]_\sim \in \mathcal{P}_\sim$ . Since  $\sim$  is an equivalence relation, by reflexivity we have  $a \sim a$ , so  $[a]_\sim$  is nonempty. Now, suppose  $a$  and  $b$  are arbitrary elements in  $S$  such that  $a \not\sim b$ . For contradiction, suppose that there is an  $x \in [a]_\sim \cap [b]_\sim$ . This means that  $x \sim a$  and  $x \sim b$ . By transitivity, we get that  $a \sim b$ ; this is a contradiction. Hence the  $[a]_\sim$  are disjoint. Finally, let  $x \in S$ . Then  $x \in [x]_\sim \in \mathcal{P}_\sim$ . This means that

$$\bigcup_{[a]_\sim \in \mathcal{P}_\sim} [a]_\sim = S,$$

that is, the union of the elements of  $\mathcal{P}_\sim$  is  $S$ . ■

**1.3** ▷ Given a partition  $\mathcal{P}$  on a set  $S$ , show how to define a relation  $\sim$  such that  $\mathcal{P} = \mathcal{P}_\sim$ . [§1.5]

Define, for  $a, b \in S$ ,  $a \sim b$  if and only if there exists an  $X \in \mathcal{P}$  such that  $a \in X$  and  $b \in X$ . We will show that  $\mathcal{P} = \mathcal{P}_\sim$ .

1. ( $\mathcal{P} \subseteq \mathcal{P}_\sim$ ). Let  $X \in \mathcal{P}$ ; we want to show that  $X \in \mathcal{P}_\sim$ . We know that  $X$  is nonempty, so choose  $a \in X$  and consider  $[a]_\sim \in \mathcal{P}_\sim$ . We need to show that  $X = [a]_\sim$ . Suppose  $a' \in X$  (it may be that  $a' = a$ ). Since  $a, a' \in X$ ,  $a \sim a'$ , so  $a' \in [a]_\sim$ . Now, suppose  $a' \in [a]_\sim$ . We have  $a' \sim a$ , so  $a' \in X$ . Hence  $X = [a]_\sim \in \mathcal{P}_\sim$ , so  $\mathcal{P} \subseteq \mathcal{P}_\sim$ .
2. ( $\mathcal{P}_\sim \subseteq \mathcal{P}$ ). Let  $[a]_\sim \in \mathcal{P}_\sim$ . From exercise I.1.1 we know that  $[a]_\sim$  is non-empty. Suppose  $a' \in [a]_\sim$ . By definition, since  $a' \sim a$ , there exists a set  $X$  such that  $a, a' \in X$ . Hence  $[a]_\sim \subseteq X$ . Also, if  $a, a' \in X$  (not necessarily distinct) then  $a \sim a'$ . Therefore,  $\mathcal{P}_\sim \subseteq \mathcal{P}$ , and with 1. we get that the sets  $\mathcal{P}$  and  $\mathcal{P}_\sim$  are equal.

■

**1.4** How many different equivalence relations can be defined on the set  $\{1, 2, 3\}$ ?

From the definition of an equivalence relation and the solution to problem **I.1.3**, we can see that an equivalence relation on  $S$  is equivalent to a partition of  $S$ . Thus the number of equivalence relations on  $S$  is equal to the number of partitions of  $S$ . Since  $\{1, 2, 3\}$  is small we can determine this by hand:

$$\mathcal{P}_0 = \{ \{1, 2, 3\} \}$$

$$\mathcal{P}_1 = \{ \{1\}, \{2\}, \{3\} \}$$

$$\mathcal{P}_2 = \{ \{1, 2\}, \{3\} \}$$

$$\mathcal{P}_3 = \{ \{1\}, \{2, 3\} \}$$

$$\mathcal{P}_4 = \{ \{1, 3\}, \{2\} \}$$

Thus there can be only 5 equivalence relations defined on  $\{1, 2, 3\}$ .

■

**1.5** Give an example of a relation that is reflexive and symmetric but not transitive. What happens if you attempt to use this relation to define a partition on the set? (Hint: Thinking about the second question will help you answer the first one.)

For  $a, b \in \mathbb{Z}$ , define  $a \diamond b$  to be true if and only if  $|a - b| \leq 1$ . It is reflexive, since  $a \diamond a = |a - a| = 0 \leq 1$  for any  $a \in \mathbb{Z}$ , and it is symmetric since  $a \diamond b = |a - b| = |b - a| = b \diamond a$  for any  $a, b \in \mathbb{Z}$ . However, it is not transitive. Take for example  $a = 0, b = 1, c = 2$ . Then we have  $|a - b| = 1 \leq 1$ , and  $|b - c| = 1 \leq 1$ , but  $|a - c| = 2 > 1$ ; so  $a \diamond b$  and  $b \diamond c$ , but not  $a \diamond c$ .

When we try to build a partition of  $\mathbb{Z}$  using  $\diamond$ , we get "equivalence classes" that are not disjoint. For example,  $[2]_\diamond = \{1, 2, 3\}$ , but  $[3]_\diamond = \{2, 3, 4\}$ . Hence  $\mathcal{P}_\diamond$  is not a partition of  $\mathbb{Z}$ .

■

**1.6** Define a relation  $\sim$  on the set  $\mathbb{R}$  of real numbers, by setting  $a \sim b \iff b - a \in \mathbb{Z}$ . Prove that this is an equivalence relation, and find a 'compelling' description for  $\mathbb{R}/\sim$ . Do the same for the relation  $\approx$  on the plane  $\mathbb{R} \times \mathbb{R}$  defined by declaring  $(a_1, a_2) \approx (b_1, b_2) \iff b_1 - a_1 \in \mathbb{Z}$  and  $b_2 - a_2 \in \mathbb{Z}$ . [§II.8.1, II.8.10]

Suppose  $a, b, c \in \mathbb{R}$ . We have that  $a - a = 0 \in \mathbb{Z}$ , so  $\sim$  is reflexive. If  $a \sim b$ , then  $b - a = k$  for some  $k \in \mathbb{Z}$ , so  $a - b = -k \in \mathbb{Z}$ , hence  $b \sim a$ . So  $\sim$  is symmetric. Now, suppose that  $a \sim b$  and  $b \sim c$ , in particular that  $b - a = k \in \mathbb{Z}$  and  $c - b = l \in \mathbb{Z}$ . Then  $c - a = (c - b) + (b - a) = l + k \in \mathbb{Z}$ , so  $a \sim c$ . So  $\sim$  is transitive.

An equivalence class  $[a]_\sim \in \mathbb{R}/\sim$  is the set of integers  $\mathbb{Z}$  transposed by some real number  $\epsilon \in [0, 1)$ . That is, for every set  $X \in \mathbb{R}/\sim$ , there is a real number  $\epsilon \in [0, 1)$  such that every  $x \in X$  is of the form  $k + \epsilon$  for some integer  $k$ .

Now we will show that  $\approx$  is an equivalence relation over  $\mathbb{R} \times \mathbb{R}$ . Supposing  $a_1, a_2 \in \mathbb{R} \times \mathbb{R}$ , we have  $a_1 - a_1 = a_2 - a_2 = 0 \in \mathbb{Z}$ , so  $(a_1, a_2) \approx (a_1, a_2)$ . If we also suppose that  $b_1, b_2, c_1, c_2 \in \mathbb{R} \times \mathbb{R}$ , then symmetry and transitivity can be shown as well:  $(a_1, a_2) \approx (b_1, b_2) \implies b_1 - a_1 = k$  for some integer  $k$  and  $b_2 - a_2 = l$  for some integer  $l$ , hence  $a_1 - b_1 = -k \in \mathbb{Z}$  and  $a_2 - b_2 = -l \in \mathbb{Z}$ , so  $(b_1, b_2) \approx (a_1, a_2)$ ; also if  $(a_1, a_2) \approx (b_1, b_2)$  and  $(b_1, b_2) \approx (c_1, c_2)$ , then  $(b_1, b_2) - (a_1, a_2) = (k_1, k_2) \in \mathbb{Z} \times \mathbb{Z}$  as well as  $(c_1, c_2) - (b_1, b_2) = (l_1, l_2) \in \mathbb{Z} \times \mathbb{Z}$ , so  $(c_1, c_2) - (a_1, a_2) = (c_1, c_2) - (b_1, b_2) + (b_1, b_2) - (a_1, a_2) = (k_1 + l_1, k_2 + l_2) \in \mathbb{Z} \times \mathbb{Z}$ . Thus  $\approx$  is an equivalence relation.

The interpretation of  $\approx$  is similar to  $\sim$ . An equivalence class  $X \in \mathbb{R} \times \mathbb{R} / \approx$  is just the 2-dimensional integer lattice  $\mathbb{Z} \times \mathbb{Z}$  transposed by some pair of values  $(\epsilon_1, \epsilon_2) \in [0, 1) \times [0, 1)$ .

Imaginatively,  $\mathbb{R} / \sim$  can be viewed as a ring of length 1 by bending the real line  $\mathbb{R}$  and gluing the points in the same equivalence class. Then we can rotate a ring around an axis of rotation to get  $\mathbb{R} \times \mathbb{R} / \approx$ , which makes a torus. ■

## §2. Functions between sets

**2.1** How many different bijections are there between a set  $S$  with  $n$  elements and itself? [§II.2.1]

There are  $n!$  different bijections  $S \rightarrow S$ . ■

## §3. Categories

**3.1** Let  $\mathbf{C}$  be a category. Consider a structure  $\mathbf{C}^{op}$  with:

- $\text{Obj}(\mathbf{C}^{op}) := \text{Obj}(\mathbf{C})$ ;
- for  $A, B$  objects of  $\mathbf{C}^{op}$  (hence, objects of  $\mathbf{C}$ ),  $\text{Hom}_{\mathbf{C}^{op}}(A, B) := \text{Hom}_{\mathbf{C}}(B, A)$

Show how to make this into a category (that is, define composition of morphisms in  $\mathbf{C}^{op}$  and verify the properties listed in §3.1). Intuitively, the 'opposite' category  $\mathbf{C}^{op}$  is simply obtained by 'reversing all the arrows' in  $\mathbf{C}$ . [5.1, §VIII.1.1, §IX.1.2, IX.1.10]

- For every object  $A$  of  $\mathbf{C}$ , there exists one identity morphism  $1_A \in \text{Hom}_{\mathbf{C}}(A, A)$ . Since  $\text{Obj}(\mathbf{C}^{op}) := \text{Obj}(\mathbf{C})$  and  $\text{Hom}_{\mathbf{C}^{op}}(A, A) := \text{Hom}_{\mathbf{C}}(A, A)$ , for every object  $A$  of  $\mathbf{C}^{op}$ , the identity on  $A$  coincides with  $1_A \in \mathbf{C}$ .
- For  $A, B, C$  objects of  $\mathbf{C}^{op}$  and  $f \in \text{Hom}_{\mathbf{C}^{op}}(A, B) = \text{Hom}_{\mathbf{C}}(B, A)$ ,  $g \in \text{Hom}_{\mathbf{C}^{op}}(B, C) = \text{Hom}_{\mathbf{C}}(C, B)$ , the composition laws in  $\mathbf{C}$  determines a morphism  $f * g$  in  $\text{Hom}_{\mathbf{C}}(C, A)$ , which deduces the composition defined on  $\mathbf{C}^{op}$ :

$$\begin{aligned} \text{Hom}_{\mathbf{C}^{op}}(A, B) \times \text{Hom}_{\mathbf{C}^{op}}(B, C) &\longrightarrow \text{Hom}_{\mathbf{C}^{op}}(A, C) \\ (f, g) &\longmapsto g \circ f := f * g \end{aligned}$$

- Associativity. If  $f \in \text{Hom}_{\mathbf{C}^{op}}(A, B)$ ,  $g \in \text{Hom}_{\mathbf{C}^{op}}(B, C)$ ,  $h \in \text{Hom}_{\mathbf{C}^{op}}(C, D)$ , then

$$f \circ (g \circ h) = f \circ (h * g) = (h * g) * f = h * (g * f) = (g * f) \circ h = (f \circ g) \circ h.$$

- Identity. For all  $f \in \text{Hom}_{\mathbf{C}^{op}}(A, B)$ , we have

$$f \circ 1_A = 1_B * f = f, \quad 1_B \circ f = f * 1_C = f.$$

Thus we get the full construction of  $\mathbf{C}^{op}$ . ■

**3.3** ▷ Formulate precisely what it means to say that  $1_a$  is an identity with respect to composition in Example 3.3, and prove this assertion. [§3.2]

Suppose  $S$  is a set, and  $\sim$  is a relation on  $S$  satisfying the reflexive and transitive property. Then we can encode this data into a category  $\mathbf{C}$ :

- Objects: the elements of  $S$ ;
- Morphisms: if  $a, b$  are objects (that is: if  $a, b \in S$ ) then let  $\text{Hom}(a, b)$  be the set consisting of the element  $(a, b) \in S \times S$  if  $a \sim b$ , and  $\text{Hom}(a, b) = \emptyset$ . otherwise.

Given the composition of two morphisms

$$\begin{aligned} \text{Hom}_{\mathbf{C}}(A, B) \times \text{Hom}_{\mathbf{C}}(B, C) &\longrightarrow \text{Hom}_{\mathbf{C}}(A, C) \\ (a, b) \circ (b, c) &\longmapsto (a, c) \end{aligned}$$

we are asked to check  $1_a = (a, a)$  is an identity with respect to this composition. ■

## §4. Morphisms

**4.2** In Example 3.3 we have seen how to construct a category from a set endowed with a relation, provided this latter is reflexive and transitive. For what types of relations is the corresponding category a groupoid (cf. Example 4.6)? [§4.1]

For a reflexive and transitive relation  $\sim$  on a set  $S$ , define the category  $\mathbf{C}$  as follows:

- Objects:  $\text{Obj}(\mathbf{C}) = S$ ;
- Morphisms: if  $a, b$  are objects (that is: if  $a, b \in S$ ) then let

$$\text{Hom}_{\mathbf{C}}(a, b) = \begin{cases} (a, b) \in S \times S & \text{if } a \sim b \\ \emptyset & \text{otherwise} \end{cases}$$

In Example 3.3 we have shown the category. If the relation  $\sim$  is endowed with symmetry, we have

$$(a, b) \in \text{Hom}_{\mathbf{C}}(a, b) \implies a \sim b \implies b \sim a \implies (b, a) \in \text{Hom}_{\mathbf{C}}(b, a).$$

Since

$$(a, b)(b, a) = (a, a) = 1_a, \quad (b, a)(a, b) = (b, b) = 1_b,$$

in fact  $(a, b)$  is an isomorphism. From the arbitrariness of the choice of  $(a, b)$ , we show that  $\mathbf{C}$  is a groupoid. Conversely, if  $\mathbf{C}$  is a groupoid, we can show the relation  $\sim$  is symmetric. To sum up, the category  $\mathbf{C}$  is a groupoid if and only if the corresponding relation  $\sim$  is an equivalence relation. ■

## §5. Universal properties

**5.1** Prove that a final object in a category  $\mathbf{C}$  is initial in the opposite category  $\mathbf{C}_{op}$  (cf. [Exercise I.3.1](#)).

An object  $F$  of  $\mathbf{C}$  is final in  $\mathbf{C}$  if and only if

$$\forall A \in \text{Obj}(\mathbf{C}) : \text{Hom}_{\mathbf{C}}(A, F) \text{ is a singleton.}$$

That is equivalent to

$$\forall A \in \text{Obj}(\mathbf{C}_{op}) : \text{Hom}_{\mathbf{C}_{op}}(F, A) \text{ is a singleton,}$$

which means  $F$  is initial in the opposite category  $\mathbf{C}_{op}$ . ■

## Chapter II. Groups, first encounter

### §1. Definition of group

**1.1** Write a careful proof that every group is the group of isomorphisms of a groupoid. In particular, every group is the group of automorphisms of some object in some category.

Assume  $G$  is a group. Define a category  $\mathbf{C}$  as follows:

- Objects:  $\text{Obj}(\mathbf{C}) = \{*\}$ ;
- Morphisms:  $\text{Hom}_{\mathbf{C}}(*, *) = \text{End}_{\mathbf{C}}(*) = G$ .

The composition of homomorphism is corresponding to the multiplication between two elements in  $G$ . The identity morphism on  $*$  is  $1_* = e_G$ , which satisfies for all  $g \in \text{Hom}_{\mathbf{C}}(*, *)$ ,

$$ge_G = e_Gg = g,$$

and

$$gg^{-1} = e_G, \quad g^{-1}g = e_G.$$

Thus any homomorphism  $g \in \text{Hom}_{\mathbf{C}}(*, *)$  is an isomorphism and accordingly  $\mathbf{C}$  is a groupoid. Now we see  $G = \text{End}_{\mathbf{C}}(*)$  is the group of isomorphisms of a groupoid. Moreover, supposing that  $*$  is an object in some category  $\mathbf{D}$ ,  $G$  would be the group of automorphisms of  $*$ , which is denoted as  $\text{Aut}_{\mathbf{D}}(*)$ . ■

**1.4** Suppose that  $g^2 = e$  for all elements  $g$  of a group  $G$ ; prove that  $G$  is commutative.

For all  $a, b \in G$ ,

$$abab = e \implies a(abab)b = ab \implies (aa)ba(bb) = ab \implies ba = ab.$$

■



## §2. Examples of groups

**2.1** One can associate an  $n \times n$  matrix  $M_\sigma$  with a permutation  $\sigma \in S_n$ , by letting the entry at  $(i, \sigma(i))$  be 1, and letting all other entries be 0. For example, the matrix corresponding to the permutation

$$\sigma = \begin{pmatrix} 1 & 2 & 3 \\ 3 & 1 & 2 \end{pmatrix} \in S_3$$

would be

$$M_\sigma = \begin{pmatrix} 0 & 0 & 1 \\ 1 & 0 & 0 \\ 0 & 1 & 0 \end{pmatrix}$$

Prove that, with this notation,

$$M_{\sigma\tau} = M_\sigma M_\tau$$

for all  $\sigma, \tau \in S_n$ , where the product on the right is the ordinary product of matrices.

By introducing the Kronecker delta function

$$\delta_{i,j} = \begin{cases} 0 & \text{if } i \neq j, \\ 1 & \text{if } i = j, \end{cases}$$

the entry at  $(i, j)$  of the matrix  $M_{\sigma\tau}$  can be written as

$$(M_{\sigma\tau})_{i,j} = \delta_{\tau(\sigma(i)),j}$$

and the entry at  $(i, j)$  of the matrix  $M_\sigma M_\tau$  can be written as

$$(M_\sigma M_\tau)_{i,j} = \sum_{k=1}^n (M_\sigma)_{i,k} (M_\tau)_{k,j} = \sum_{k=1}^n \delta_{\sigma(i),k} \cdot \delta_{\tau(k),j} = \sum_{k=1}^n \delta_{\sigma(i),k} \cdot \delta_{k,\tau^{-1}(j)} = \delta_{\sigma(i),\tau^{-1}(j)},$$

where the last but one equality holds by the fact

$$\tau(k) = j \iff k = \tau^{-1}(j).$$

Noticing that

$$\tau(\sigma(i)) = j \iff \sigma(i) = \tau^{-1}(j),$$

we see  $M_{\sigma\tau} = M_\sigma M_\tau$  for all  $\sigma, \tau \in S_n$ . ■

**2.2** Prove that if  $d \leq n$ , then  $S_n$  contains elements of order  $d$ .

The cyclic permutation

$$\sigma = (1 \ 2 \ 3 \ \cdots \ d)$$

is an element of order  $d$  in  $S_n$ . ■

**2.3** For every positive integer  $n$  find an element of order  $n$  in  $S_{\mathbb{N}}$ .

The cyclic permutation

$$\sigma = (1\ 2\ 3 \cdots n)$$

is an element of order  $d$  in  $S_n$ . ■

**2.4** Define a homomorphism  $D_8 \rightarrow S_4$  by labeling vertices of a square, as we did for a triangle in §2.2. List the 8 permutations in the image of this homomorphism.

The image of  $n$  rotations under the homomorphism are

$$\sigma_1 = e_{D_8}, \sigma_2 = (1\ 2\ 3\ 4), \sigma_3 = (1\ 3)(2\ 4), \sigma_4 = (1\ 4\ 3\ 2).$$

The image of  $n$  reflections under the homomorphism are

$$\sigma_5 = (1\ 3), \sigma_6 = (2\ 4), \sigma_7 = (1\ 2)(3\ 4), \sigma_8 = (1\ 4)(3\ 2).$$

■

**2.11** Prove that the square of every odd integer is congruent to 1 modulo 8.

Given an odd integer  $2k + 1$ , we have

$$(2k + 1)^2 = 4k(k + 1) + 1,$$

where  $k(k + 1)$  is an even integer. So  $(2k + 1)^2 \equiv 1 \pmod{8}$ . ■

**2.12** Prove that there are no nonzero integers  $a, b, c$  such that  $a^2 + b^2 = 3c^2$ . (Hint: studying the equation  $[a]_4^2 + [b]_4^2 = 3[c]_4^2$  in  $\mathbb{Z}/4\mathbb{Z}$ , show that  $a, b, c$  would all have to be even. Letting  $a = 2k, b = 2l, c = 2m$ , you would have  $k^2 + l^2 = 3m^2$ . What's wrong with that?)

$$a^2 + b^2 = 3c^2 \implies [a]_4^2 + [b]_4^2 = 3[c]_4^2.$$

Noting that  $[0]_4^2 = [0]_4, [1]_4^2 = [1]_4, [2]_4^2 = [0]_4, [3]_4^2 = [1]_4$ , we see  $[c]_4^2$  must be  $[0]_4$  and so do  $[a]_4^2$  and  $[b]_4^2$ . Hence  $[a]_4, [b]_4, [c]_4$  can only be  $[0]_4$  or  $[2]_4$ , which justifies letting  $a = 2k_1, b = 2l_2, c = 2m_1$ . After substitution we have  $k^2 + l^2 = 3m^2$ . Repeating this process  $n$  times yields  $a = 2^n k_n, b = 2^n l_n, c = 2^n m_n$ . For a sufficiently large number  $N$ , the absolute value of  $k_N, l_N, m_N$  must be less than 1. Thus we conclude that  $a = b = c = 0$  is the unique solution to the equation  $a^2 + b^2 = 3c^2$ . ■

**2.13** Prove that if  $\gcd(m, n) = 1$ , then there exist integers  $a$  and  $b$  such that  $am + bn = 1$ . (Use Corollary 2.5.) Conversely, prove that if  $am + bn = 1$  for some integers  $a$  and  $b$ , then  $\gcd(m, n) = 1$ . [2.15, §V.2.1, V.2.4]

Applying corollary 2.5, we have  $\gcd(m, n) = 1$  if and only if  $[m]_n$  generates  $\mathbb{Z}/n\mathbb{Z}$ . Hence

$$\gcd(m, n) = 1 \iff a[m]_n = [1]_n \iff [am]_n = [1]_n \iff am + bn = 1.$$

■

**2.15** Let  $n > 0$  be an odd integer.

- Prove that if  $\gcd(m, n) = 1$ , then  $\gcd(2m + n, 2n) = 1$ . (Use Exercise 2.13.)
- Prove that if  $\gcd(r, 2n) = 1$ , then  $\gcd(\frac{r-n}{2}, n) = 1$ . (Ditto.)
- Conclude that the function  $[m]_n \rightarrow [2m + n]_{2n}$  is a bijection between  $(\mathbb{Z}/n\mathbb{Z})^*$  and  $(\mathbb{Z}/2n\mathbb{Z})^*$ .

The number  $\phi(n)$  of elements of  $(\mathbb{Z}/n\mathbb{Z})^*$  is Euler's  $\phi(n)$ -function. The reader has just proved that if  $n$  is odd, then  $\phi(2n) = \phi(n)$ . Much more general formulas will be given later on (cf. [Exercise V.6.8](#)). [VII.5.11]

- Since  $2m + n$  is an odd integer,  $\gcd(2m + n, 2n) = 1$  is actually equivalent to  $\gcd(2m + n, n) = 1$ . According to Exercise 2.13,

$$\gcd(m, n) = 1 \implies am + bn = 1 \implies \frac{a}{2}(2m + n) + \left(b - \frac{a}{2}\right)n = 1.$$

If  $a$  is even, we have shown  $\gcd(2m + n, n) = 1$ . Otherwise we can let  $a' = a + n$  be an even integer and  $b' = b - m$ . Then it holds that

$$\frac{a'}{2}(2m + n) + \left(b' - \frac{a'}{2}\right)n = 1,$$

which also implies  $\gcd(2m + n, n) = 1$ .

- If  $\gcd(r, 2n) = 1$ , then  $r$  must be an odd integer and accordingly

$$\gcd(2r - 2n, 4n) = 1 \implies a(2r - 2n) + b(4n) = 1 \implies 4a\frac{r-n}{2} + 4bn = 1,$$

which is  $\gcd(\frac{r-n}{2}, n) = 1$ .

- It is easy to check that the function  $f : (\mathbb{Z}/n\mathbb{Z})^* \rightarrow (\mathbb{Z}/2n\mathbb{Z})^*$ ,  $[m]_n \mapsto [2m + n]_{2n}$  is well-defined. The fact

$$\begin{aligned} f([m_1]_n) = f([m_2]_n) &\implies f([2m_1 + n]_{2n}) = f([2m_2 + n]_{2n}) \\ &\implies (2m_1 + n) - (2m_2 + n) = 2kn \\ &\implies m_1 - m_2 = kn \\ &\implies [m_1]_n = [m_2]_n \end{aligned}$$

indicates that  $f$  is injective. For any  $[r]_{2n} \in (\mathbb{Z}/2n\mathbb{Z})^*$ , we have

$$\gcd(r, 2n) = 1 \implies \gcd\left(\frac{r-n}{2}, n\right) = 1 \implies \left[\frac{r-n}{2}\right]_n \in (\mathbb{Z}/n\mathbb{Z})^*,$$

and

$$f\left(\left[\frac{r-n}{2}\right]_n\right) = [r]_{2n},$$

which indicates that  $f$  is surjective. Thus we show  $f$  is a bijection. ■

**2.16** Find the last digit of  $1238237^{18238456}$ . (Work in  $\mathbb{Z}/10\mathbb{Z}$ .)

$$1238237^{18238456} \equiv 7^{18238456} \equiv (7^4)^{4559614} \equiv 2401^{4559614} \equiv 1 \pmod{10},$$

which indicates that the last digit of  $1238237^{18238456}$  is 1. ■

**2.17** Show that if  $m \equiv m' \pmod{n}$ , then  $\gcd(m, n) = 1$  if and only if  $\gcd(m', n) = 1$ . [§2.3]

Assume that  $m - m' = kn$ . If  $\gcd(m, n) = 1$ , for any common divisor  $d$  of  $m'$  and  $n$

$$d|m', d|n \implies d|(m' + kn) \implies d|m \implies d = 1,$$

which means  $\gcd(m', n) = 1$ . Likewise, we can show  $\gcd(m', n) = 1 \implies \gcd(m, n) = 1$  ■

### §3. The category Grp

**3.1** Let  $\varphi : G \rightarrow H$  be a morphism in a category  $\mathbf{C}$  with products. Explain why there is a unique morphism

$$(\varphi \times \varphi) : G \times G \longrightarrow H \times H.$$

compatible in the evident way with the natural projections.

(This morphism is defined explicitly for  $\mathbf{C} = \mathbf{Set}$  in §3.1.) [§3.1, 3.2]

By the universal property of product in  $\mathbf{C}$ , there exist a unique morphism  $(\varphi \times \varphi) : G \times G \longrightarrow H \times H$  such that the following diagram commutes.

$$\begin{array}{ccc} G & \xrightarrow{\varphi} & H \\ \pi_G \uparrow & & \uparrow \pi_H \\ G \times G & \xrightarrow{\varphi \times \varphi} & H \times H \\ \pi_G \downarrow & & \downarrow \pi_H \\ G & \xrightarrow{\varphi} & H \end{array}$$

■

**3.2** Let  $\varphi : G \rightarrow H, \psi : H \rightarrow K$  be morphisms in a category with products, and consider morphisms between the products  $G \times G, H \times H, K \times K$  as in Exercise 3.1. Prove that

$$(\psi\varphi) \times (\psi\varphi) = (\psi \times \psi)(\varphi \times \varphi).$$

(This is part of the commutativity of the diagram displayed in §3.2.)

By the universal property of product in  $\mathbf{C}$ , there exists a unique morphism

$$(\psi\varphi) \times (\psi\varphi) : G \times G \rightarrow K \times K$$

such that the following diagram commutes.

$$\begin{array}{ccc} G & \xrightarrow{\psi\varphi} & H \\ \pi_G \uparrow & & \uparrow \pi_H \\ G \times G & \xrightarrow{(\psi\varphi) \times (\psi\varphi)} & H \times H \\ \pi_G \downarrow & & \downarrow \pi_H \\ G & \xrightarrow{\psi\varphi} & H \end{array}$$

As the following commutative diagram tells us the composition

$$(\psi \times \psi)(\varphi \times \varphi) : G \times G \rightarrow K \times K$$

can make the above diagram commute,

$$\begin{array}{ccccc} & & \psi\varphi & & \\ & \curvearrowright & & \curvearrowleft & \\ G & \xrightarrow{\varphi} & H & \xrightarrow{\psi} & K \\ \pi_G \uparrow & & \pi_H \uparrow & & \pi_K \uparrow \\ G \times G & \xrightarrow{\varphi \times \varphi} & H \times H & \xrightarrow{\psi \times \psi} & K \times K \\ \pi_G \downarrow & & \pi_H \downarrow & & \pi_K \downarrow \\ G & \xrightarrow{\varphi} & H & \xrightarrow{\psi} & K \\ & \curvearrowleft & & \curvearrowright & \\ & & \psi\varphi & & \end{array}$$

there must be  $(\psi\varphi) \times (\psi\varphi) = (\psi \times \psi)(\varphi \times \varphi)$ . ■

**3.3** Show that if  $G, H$  are abelian groups, then  $G \times H$  satisfies the universal property for coproducts in  $\mathbf{Ab}$ .

Define two monomorphisms:

$$i_G : G \longrightarrow G \times H, a \longmapsto (a, 0_H)$$

$$i_H : H \longrightarrow G \times H, b \longmapsto (0_G, b)$$

We are to show that for any two homomorphisms  $g : G \rightarrow M$  and  $h : H \rightarrow M$  in **Ab**, the mapping

$$\begin{aligned} \varphi : G \times H &\longrightarrow M, \\ (a, b) &\longmapsto g(a) + h(b) \end{aligned}$$

is a homomorphism and makes the following diagram commute.

$$\begin{array}{ccc} & G & \\ i_G \downarrow & \searrow g & \\ G \times H & \xrightarrow{\varphi} & M \\ i_H \uparrow & \nearrow h & \\ & H & \end{array}$$

Exploiting the fact that  $g, h$  are homomorphisms and  $M$  is an abelian group, it is easy to check that  $\varphi$  preserves the addition operation

$$\begin{aligned} \varphi((a_1, b_1) + (a_2, b_2)) &= \varphi((a_1 + a_2, b_1 + b_2)) \\ &= g(a_1 + a_2) + h(b_1 + b_2) \\ &= (g(a_1) + g(a_2)) + (h(b_1) + h(b_2)) \\ &= (g(a_1) + h(b_1)) + (g(a_2) + h(b_2)) \\ &= g(a_1 + b_1) + h(a_2 + b_2) \\ &= \varphi((a_1, b_1)) + \varphi((a_2, b_2)) \end{aligned}$$

and the diagram commutes

$$\varphi \circ i_G(a) = \varphi((a, 0_H)) = g(a) + h(0_H) = g(a) + 0_M = g(a),$$

$$\varphi \circ i_H(b) = \varphi((0_G, b)) = g(0_G) + h(b) = 0_M + h(b) = h(b).$$

To show the uniqueness of the homomorphism  $\varphi$  we have constructed, suppose a homomorphism  $\varphi'$  can make the diagram commute. Then we have

$$\varphi'((a, b)) = \varphi'((a, 0_H) + (0_G, b)) = \varphi'(i_G(a)) + \varphi'(i_H(b)) = g(a) + h(b) = \varphi((a, b)),$$

that is  $\varphi' = \varphi$ . Hence we show that there exist a unique homomorphism  $\varphi$  such that the diagram commutes, which amounts to the universal property for coproducts in **Ab**. ■

**3.4** Let  $G, H$  be groups, and assume that  $G \cong H \times G$ . Can you conclude that  $H$  is trivial? (Hint: No. Can you construct a counterexample?)

Consider the function

$$\begin{aligned}\varphi : \mathbb{Z} \times \mathbb{Z}[x] &\longrightarrow \mathbb{Z}[x] \\ (n, f(x)) &\longmapsto n + xf(x)\end{aligned}$$

Firstly, we can show  $\varphi$  is a homomorphism as follows

$$\begin{aligned}\varphi((n_1, f_1(x)) + (n_2, f_2(x))) &= \varphi((n_1 + n_2, f_1(x) + f_2(x))) \\ &= (n_1 + n_2) + x(f_1(x) + f_2(x)) \\ &= (n_1 + xf_1(x)) + (n_2 + xf_2(x)) \\ &= \varphi(n_1, f_1(x)) + \varphi(n_2, f_2(x)).\end{aligned}$$

Secondly, we are to show  $\varphi$  is a monomorphism. It follows by

$$\varphi(n, f(x)) = n + xf(x) = 0 \implies n = 0, f(x) = 0 \implies \ker \varphi = \{(0, 0)\}.$$

Lastly, since given any  $f(x) = \sum_{n \geq 0} a_n x^n \in \mathbb{Z}[x]$  we have

$$\varphi\left(a_0, \sum_{n \geq 1} a_n x^{n-1}\right) = a_0 + \sum_{n \geq 1} a_n x^n = f(x),$$

we claim  $\varphi$  is surjective and indeed an isomorphism. Therefore, as a counterexample we have  $\mathbb{Z}[x] \cong \mathbb{Z} \times \mathbb{Z}[x]$  where  $\mathbb{Z}$  is non-trivial.  $\blacksquare$

**3.5** Prove that  $\mathbb{Q}$  is not the direct product of two nontrivial groups.

Consider the additive group of rationals  $(\mathbb{Q}, +)$ . Assume that  $\varphi$  is a isomorphism between the product  $G \times H = \{(a, b) | a \in G, b \in H\}$  and  $(\mathbb{Q}, +)$ . Note that  $\{e_G\} \times H$  and  $G \times \{e_H\}$  are subgroups in  $G \times H$  and their intersection is the trivial group  $\{(e_G, e_H)\}$ . It is easy to check that bijection  $\varphi$  satisfies  $\varphi(A \cap B) = \varphi(A) \cap \varphi(B)$ . So applying the fact we have

$$\varphi(\{(e_G, e_H)\}) = \varphi(\{e_G\} \times H \cap G \times \{e_H\}) = \varphi(\{e_G\} \times H) \cap \varphi(G \times \{e_H\}) = \{0\}.$$

Suppose both  $\varphi(\{e_G\} \times H)$  and  $\varphi(G \times \{e_H\})$  are nontrivial groups. If  $\frac{p}{q} \in \varphi(\{e_G\} \times H) - \{0\}$  and  $\frac{r}{s} \in \varphi(G \times \{e_H\}) - \{0\}$ , there must be

$$rp = rq \cdot \frac{p}{q} = ps \cdot \frac{r}{s} \in \varphi(\{e_G\} \times H) \cap \varphi(G \times \{e_H\}),$$

which implies  $rp = 0$ . Since both  $\frac{p}{q}$  and  $\frac{r}{s}$  are non-zero, it leads to a contradiction. Thus without loss of generality we can assume  $\varphi(\{e_G\} \times H)$  is a trivial group  $\{0\}$ . Since  $\varphi$  is isomorphism, we see that for all  $h \in H$ ,

$$\varphi(e_G, h) = \varphi(e_G, e_H) = 0 \iff h = e_H.$$

That is,  $H$  is a trivial group. Therefore, we have shown  $(\mathbb{Q}, +)$  will never be isomorphic to the direct product of two nontrivial groups. ■

**3.6** Consider the product of the cyclic groups  $C_2, C_3$  (cf. §2.3):  $C_2 \times C_3$ . By [Exercise 3.3](#), this group is a coproduct of  $C_2$  and  $C_3$  in **Ab**. Show that it is not a coproduct of  $C_2$  and  $C_3$  in **Grp**, as follows:

- find injective homomorphisms  $C_2 \rightarrow S_3, C_3 \rightarrow S_3$ ;
- arguing by contradiction, assume that  $C_2 \times C_3$  is a coproduct of  $C_2, C_3$ , and deduce that there would be a group homomorphism  $C_2 \times C_3 \rightarrow S_3$  with certain properties;
- show that there is no such homomorphism.

- Monomorphisms  $g : C_2 \rightarrow S_3, h : C_3 \rightarrow S_3$  can be constructed as follows:

$$g([0]_2) = e, g([1]_2) = \begin{pmatrix} 1 & 2 & 3 \\ 1 & 3 & 2 \end{pmatrix}.$$

$$h([0]_3) = e, h([1]_3) = \begin{pmatrix} 1 & 2 & 3 \\ 3 & 1 & 2 \end{pmatrix}, h([2]_3) = \begin{pmatrix} 1 & 2 & 3 \\ 2 & 3 & 1 \end{pmatrix}.$$

- Supposing that  $C_2 \times C_3$  is a coproduct of  $C_2, C_3$ , there would be a unique group homomorphism  $\varphi : C_2 \times C_3 \rightarrow S_3$  such that the following diagram commutes

$$\begin{array}{ccc} C_2 & & \\ i_{C_2} \downarrow & \searrow g & \\ C_2 \times C_3 & \xrightarrow{\varphi} & S_3 \\ i_{C_3} \uparrow & \nearrow h & \\ C_3 & & \end{array}$$

In other words, for all  $a \in C_2, b \in C_3$ ,

$$\begin{aligned} \varphi(a, b) &= \varphi([0]_2, b) + (a, [0]_3) = \varphi([0]_2, b)\varphi(a, [0]_3) = \varphi(i_{C_3}(b))\varphi(i_{C_2}(a)) = h(b)g(a) \\ &= \varphi(a, [0]_3) + ([0]_2, b) = \varphi(a, [0]_3)\varphi([0]_2, b) = \varphi(i_{C_2}(a))\varphi(i_{C_3}(b)) = g(a)h(b). \end{aligned}$$

- Since

$$\begin{aligned} g([1]_2)h([1]_3) &= \begin{pmatrix} 1 & 2 & 3 \\ 1 & 3 & 2 \end{pmatrix} \begin{pmatrix} 1 & 2 & 3 \\ 3 & 1 & 2 \end{pmatrix} = \begin{pmatrix} 1 & 2 & 3 \\ 3 & 2 & 1 \end{pmatrix}, \\ h([1]_3)g([1]_2) &= \begin{pmatrix} 1 & 2 & 3 \\ 3 & 1 & 2 \end{pmatrix} \begin{pmatrix} 1 & 2 & 3 \\ 1 & 3 & 2 \end{pmatrix} = \begin{pmatrix} 1 & 2 & 3 \\ 2 & 1 & 3 \end{pmatrix}, \end{aligned}$$

we see  $g(a)h(b) \neq h(b)g(a)$  not always holds. The derived contradiction shows that  $C_2 \times C_3$  is not a coproduct of  $C_2, C_3$  in **Grp**.



■

**3.7** Show that there is a surjective homomorphism  $Z * Z \rightarrow C_2 * C_3$ . (\* denotes coproduct in Grp.)

Consider the mapping

$$\begin{aligned}\varphi : \mathbb{Z} * \mathbb{Z} &\longrightarrow C_2 * C_3 \\ x^{m_1} y^{n_1} \dots x^{m_k} y^{n_k} &\longmapsto x^{[m_1]_2} y^{[n_1]_3} \dots x^{[m_k]_2} y^{[n_k]_3}\end{aligned}$$

Since

$$\begin{aligned}&\varphi(x^{m_1} y^{n_1} \dots x^{m_k} y^{n_k} x^{m'_1} y^{n'_1} \dots x^{m'_{k'}} y^{n'_{k'}}) \\ &= x^{[m_1]_2} y^{[n_1]_3} \dots x^{[m_k]_2} y^{[n_k]_3} x^{[m'_1]_2} y^{[n'_1]_3} \dots x^{[m'_{k'}]_2} y^{[n'_{k'}]_3}, \\ &= \varphi(x^{m_1} y^{n_1} \dots x^{m_k} y^{n_k}) \varphi(x^{m'_1} y^{n'_1} \dots x^{m'_{k'}} y^{n'_{k'}})\end{aligned}$$

$\varphi$  is a homomorphism. It is clear that  $\varphi$  is surjective. Thus we show there exists a surjective homomorphism  $Z * Z \rightarrow C_2 * C_3$ . ■

**3.8** Define a group  $G$  with two generators  $x, y$ , subject (only) to the relations  $x^2 = e_G, y^3 = e_G$ . Prove that  $G$  is a coproduct of  $C_2$  and  $C_3$  in Grp. (The reader will obtain an even more concrete description for  $C_2 * C_3$  in Exercise 9.14; it is called the modular group.) [§3.4, 9.14]

Given the maps  $i_1 : C_2 \rightarrow G, [m]_2 \mapsto x^m$  and  $i_2 : C_3 \rightarrow G, [n]_3 \mapsto y^n$ , we can check that  $i_1, i_2$  are homomorphisms. We are to show that for every group  $H$  endowed with two homomorphisms  $f_1 : C_2 \rightarrow H, f_2 : C_3 \rightarrow H$ , there would be a unique group homomorphism  $\varphi : G \rightarrow H$  such that the following diagram commutes

$$\begin{array}{ccc} C_2 & & \\ i_1 \downarrow & \searrow f_1 & \\ G & \xrightarrow{\varphi} & H \\ i_2 \uparrow & \nearrow f_2 & \\ C_3 & & \end{array}$$

or

$$\begin{aligned}\varphi(i_1([m]_2)) &= \varphi(x^m) = \varphi(x)^m = f_1([m]_2), \\ \varphi(i_2([n]_3)) &= \varphi(y^n) = \varphi(y)^n = f_2([n]_3).\end{aligned}$$

Define  $\phi : G \rightarrow H$  as  $\phi(x^m y^n) = f_1([m]_2) f_2([n]_3)$ ,  $\phi(y^n x^m) = f_2([n]_3) f_1([m]_2)$ . It is clear to see  $\phi$  makes the diagram commute. Moreover, if  $\varphi$  makes the diagram commute, it follows that for all  $x^m y^n, y^n x^m \in G$ ,

$$\varphi(x^m y^n) = \varphi(x^m) \varphi(y^n) = f_1([m]_2) f_2([n]_3),$$

$$\varphi(y^n x^m) = \varphi(y^n) \varphi(x^m) = f_2([n]_3) f_1([m]_2),$$

which implies  $\varphi = \phi$ . Thus we can conclude  $G$  is the coproduct of  $C_2$  and  $C_3$  in  $\mathbf{Grp}$ . ■

## §4. Group homomorphisms

**4.1** Check that the function  $\pi_m^n$  defined in §4.1 is well-defined, and makes the diagram commute. Verify that it is a group homomorphism. Why is the hypothesis  $m|n$  necessary? [§4.1]

In §4.1 the function  $\pi_m^n$  is defined as

$$\begin{aligned} \pi_m^n : \mathbb{Z}/n\mathbb{Z} &\longrightarrow \mathbb{Z}/m\mathbb{Z} \\ [a]_n &\longmapsto [a]_m \end{aligned}$$

with the condition  $m|n$ . We can check that  $\pi_m^n$  is well-defined as

$$[a_1]_n = [a_2]_n \iff a_1 - a_2 = kn = (kl)m \implies [a_1]_m = [a_2]_m \iff \pi_m^n([a_1]_n) = \pi_m^n([a_2]_n).$$

Note  $\pi_m^n(\pi_n(a)) = \pi_m^n([a]_n) = [a]_m = \pi_m(a)$ . The diagram in §4.1 must commute.

$$\begin{array}{ccc} \mathbb{Z} & & \\ \pi_n \downarrow & \searrow \pi_m & \\ \mathbb{Z}/n\mathbb{Z} & \xrightarrow{\pi_m^n} & \mathbb{Z}/m\mathbb{Z} \end{array}$$

Since

$$\pi_m^n([a]_n + [b]_n) = [a + b]_m = [a]_m + [b]_m = \pi_m^n([a]_n) + \pi_m^n([b]_n),$$

it follows that  $\pi_m^n$  is a group homomorphism. Actually we have shown that without the hypothesis  $m|n$ ,  $\pi_m^n$  may not be well-defined. ■

**4.2** Show that the homomorphism  $\pi_2^4 \times \pi_2^4 : C_4 \rightarrow C_2 \times C_2$  is not an isomorphism. In fact, is there any isomorphism  $C_4 \rightarrow C_2 \times C_2$ ?

Let calculate the order of each non-zero element in both  $C_4$  and  $C_2 \times C_2$ . For the group  $C_4$ ,

$$|[2]_4| = 2, \quad |[1]_4| = |[3]_4| = 4.$$

For the group  $C_2 \times C_2$ ,

$$|([1]_2, [0]_2)| = |([0]_2, [1]_2)| = |([1]_2, [1]_2)| = 2.$$

Since isomorphism must preserve the order, we can assert that there is no such isomorphism  $C_4 \rightarrow C_2 \times C_2$ . ■

**4.3** Prove that a group of order  $n$  is isomorphic to  $\mathbb{Z}/n\mathbb{Z}$  if and only if it contains an element of order  $n$ . [§4.3]

Assume some group  $G$  is isomorphic to  $\mathbb{Z}/n\mathbb{Z}$ . Since  $|[1]_n| = n$  and isomorphism preserves the order, we can affirm that there is an element of order  $n$  in  $G$ .

Conversely, assume there is a group  $G$  of order  $n$  in which  $g$  is an element of order  $n$ . By definition we see  $g^0, g^1, g^2 \dots g^{n-1}$  are distinct pairwise. Noticing group  $G$  has exactly  $n$  elements,  $G$  must consist of  $g^0, g^1, g^2 \dots g^{n-1}$ . We can easily check that the function

$$\begin{aligned} f : G &\longrightarrow \mathbb{Z}/n\mathbb{Z} \\ g^k &\longmapsto [k]_n \end{aligned}$$

is an isomorphism. ■

**4.4** Prove that no two of the groups  $(\mathbb{Z}, +)$ ,  $(\mathbb{Q}, +)$ ,  $(\mathbb{R}, +)$  are isomorphic to one another. Can you decide whether  $(\mathbb{R}, +)$ ,  $(\mathbb{C}, +)$  are isomorphic to one another? (Cf. Exercise VI.1.1.)

Suppose there exists an isomorphism  $f : \mathbb{Z} \rightarrow \mathbb{Q}$ . Let  $f(1) = p/q$  ( $p, q \in \mathbb{Z}$ ). If  $p = 1$ , for all  $n \in \mathbb{Z}$ , we have

$$f(n) = \frac{n}{q} \neq \frac{1}{2q}.$$

If  $p \neq 1$ , for all  $n \in \mathbb{Z}$ , we have

$$f(n) = \frac{np}{q} \neq \frac{p+1}{q}.$$

In both cases, it implies  $f(\mathbb{Z}) \not\subseteq \mathbb{Q}$ . Hence we see  $f$  is not a surjection, which contradicts the fact that  $f : \mathbb{Z} \rightarrow \mathbb{Q}$  is an isomorphism. Compare the cardinality of  $\mathbb{Z}$ ,  $\mathbb{Q}$ ,  $\mathbb{R}$

$$|\mathbb{Z}| = |\mathbb{Q}| < |\mathbb{R}|$$

and we show there exists no such isomorphisms like  $f : \mathbb{Z} \rightarrow \mathbb{R}$  or  $f : \mathbb{Q} \rightarrow \mathbb{R}$ .

We can prove  $(\mathbb{R}, +)$ ,  $(\mathbb{C}, +)$  are isomorphic, if considering the both as vector spaces over  $\mathbb{Q}$ . ■

**4.5** Prove that the groups  $(\mathbb{R} \setminus \{0\}, \cdot)$  and  $(\mathbb{C} \setminus \{0\}, \cdot)$  are not isomorphic.

Suppose  $f : \mathbb{R} \rightarrow \mathbb{C}$  is an isomorphism. Then there exists a real number  $x$  such that  $f(x) = i$ .

$$f(x^4) = f(x)^4 = i^4 = 1.$$

Since isomorphism preserves the identity, we have

$$f(1) = 1 = f(x^4).$$

which indicates  $x^4 = 1$ . Noticing that  $x \in \mathbb{R}$ , there must be  $x^2 = 1$ . Now we see

$$f(1) = f(x^2) = f(x)^2 = i^2 = -1,$$

which derives a contradiction. Thus we can conclude that groups  $(\mathbb{R} \setminus \{0\}, \cdot)$  and  $(\mathbb{C} \setminus \{0\}, \cdot)$  are not isomorphic. ■

**4.6** We have seen that  $(\mathbb{R}, +)$  and  $(\mathbb{R}_{>0}, \cdot)$  are isomorphic (Example 4.4). Are the groups  $(\mathbb{Q}, +)$  and  $(\mathbb{Q}_{>0}, \cdot)$  isomorphic?

Suppose  $f : \mathbb{Q} \rightarrow \mathbb{Q}_{>0}$  is an isomorphism. Since isomorphism preserves the multiplication, we have

$$f(1) = f\left(n \cdot \frac{1}{n}\right) = f\left(\frac{1}{n}\right)^n \quad (n \in \mathbb{Z}_{>0}),$$

which implies

$$f\left(\frac{1}{n}\right) = f(1)^{\frac{1}{n}}.$$

Assume

$$f(1) = \frac{p}{q} = \frac{p_1^{r_1} p_2^{r_2} \cdots p_k^{r_k}}{q_1^{s_1} q_2^{s_2} \cdots q_l^{s_l}}$$

where  $p_i, q_i$  are pairwise distinct positive prime numbers. Then let

$$M = \max\{p, q\} + 1 > \max\{r_1, \dots, r_k, s_1, \dots, s_l\}.$$

Thus we assert

$$f\left(\frac{1}{M}\right) = \left(\frac{p_1^{r_1} p_2^{r_2} \cdots p_k^{r_k}}{q_1^{s_1} q_2^{s_2} \cdots q_l^{s_l}}\right)^{\frac{1}{M}} \notin \mathbb{Q},$$

which can be proved by contradiction. In fact, Suppose

$$\left(\frac{p}{q}\right)^{\frac{1}{M}} = \frac{a}{b} \in \mathbb{Q}$$

or say

$$pb^M = qa^M,$$

where  $a, b$  are coprime. Note that  $b^M, a^M$  are also coprime and that the prime factorization of  $a^M$  can be written as  $a_1^{Mt_1} a_2^{Mt_2} \cdots a_j^{Mt_j}$  where  $a_i$  are pairwise distinct positive prime numbers.

That forces

$$p = p_1^{r_1} p_2^{r_2} \cdots p_k^{r_k} = N \cdot a_1^{Mt_1} a_2^{Mt_2} \cdots a_j^{Mt_j}.$$

Noticing that  $a_i$  must coincide with one number in  $\{p_1, p_2, \dots, p_k\}$ , we can assume  $a_1 = p_1$  without loss of generality. However, since  $M > \max\{r_1, \dots, r_k\}$ , we see the exponent of  $p_1$  is distinct from that of  $a_1$ , which violates the unique factorization property of  $\mathbb{Z}$ . Hence

we get a contradiction and verify  $f\left(\frac{1}{M}\right) \notin \mathbb{Q}$ . Moreover, it contradicts our assumption that  $f : \mathbb{Q} \rightarrow \mathbb{Q}_{>0}$  is an isomorphism. Eventually we show that the groups  $(\mathbb{Q}, +)$  and  $(\mathbb{Q}_{>0}, \cdot)$  are not isomorphic. ■

**4.7** Let  $G$  be a group. Prove that the function  $G \rightarrow G$  defined by  $g \mapsto g^{-1}$  is a homomorphism if and only if  $G$  is abelian. Prove that  $g \mapsto g^2$  is a homomorphism if and only if  $G$  is abelian.

Given the function

$$\begin{aligned} f : G &\longrightarrow G \\ g &\longmapsto g^{-1} \end{aligned}$$

we have

$$f(g_1g_2) = (g_1g_2)^{-1} = g_2^{-1}g_1^{-1}, \quad f(g_1)f(g_2) = g_1^{-1}g_2^{-1}.$$

If  $G$  is abelian, it is clear to see  $f(g_1g_2) = f(g_1)f(g_2)$ . If  $f$  is a homomorphism,  $\forall h_1, h_2 \in G$ ,

$$h_1h_2 = (h_2^{-1}h_1^{-1})^{-1} = f(h_2^{-1}h_1^{-1}) = f(h_2^{-1})f(h_1^{-1}) = h_2h_1.$$

Given the function

$$\begin{aligned} h : G &\longrightarrow G \\ g &\longmapsto g^2 \end{aligned}$$

we have

$$h(g_1g_2) = (g_1g_2)^2 = g_1g_2g_1g_2, \quad h(g_1)h(g_2) = g_1^2g_2^2 = g_1g_1g_2g_2.$$

If  $G$  is abelian, it is clear to see  $h(g_1g_2) = h(g_1)h(g_2)$ . If  $h$  is a homomorphism, by cancellation we have

$$h(g_1g_2) = h(g_1)h(g_2) \implies g_2g_1 = g_1g_2.$$

■

**4.8** Let  $G$  be a group, and  $g \in G$ . Prove that the function  $\gamma_g : G \rightarrow G$  defined by  $(\forall a \in G) : \gamma_g(a) = gag^{-1}$  is an automorphism of  $G$ . (The automorphisms  $\gamma_g$  are called ‘inner’ automorphisms of  $G$ .) Prove that the function  $G \rightarrow \text{Aut}(G)$  defined by  $g \mapsto \gamma_g$  is a homomorphism. Prove that this homomorphism is trivial if and only if  $G$  is abelian.

Since

$$\gamma_g(ab) = gabg^{-1} = gag^{-1}gbg^{-1} = \gamma_g(a)\gamma_g(b),$$

$\gamma_g$  is an automorphism of  $G$ . For all  $a \in G$ , we have

$$\gamma_{g_1g_2}(a) = g_1g_2ag_2^{-1}g_1^{-1} = \gamma_{g_1}(g_2ag_2^{-1}) = (\gamma_{g_1} \circ \gamma_{g_2})(a),$$

which implies  $\gamma_{g_1g_2} = \gamma_{g_1} \circ \gamma_{g_2}$  and  $g \mapsto \gamma_g$  is a homomorphism. If  $G$  is abelian, for all  $g$  the homomorphism

$$\gamma_g(a) = gag^{-1} = gg^{-1}a = a$$

is the identity in  $\text{Aut}(G)$ . That is, the homomorphism  $g \mapsto \gamma_g$  is trivial. If the homomorphism  $g \mapsto \gamma_g$  is trivial, we have for all  $g, a \in G$ ,

$$gag^{-1} = a,$$

which implies for all  $a, b \in G$ ,

$$ab = bab^{-1}b = ba.$$

Thus we show the homomorphism  $g \mapsto \gamma_g$  is trivial if and only if  $G$  is abelian.  $\blacksquare$

**4.9** Prove that if  $m, n$  are positive integers such that  $\gcd(m, n) = 1$ , then  $C_{mn} \cong C_m \times C_n$ .

Define a function

$$\begin{aligned} \varphi : C_m \times C_n &\longrightarrow C_{mn} \\ ([a]_m, [b]_n) &\longmapsto [anp + bmq]_{mn} \end{aligned}$$

where  $[pn]_m = [1]_m$  and  $[qm]_n = [1]_n$ , as  $\gcd(m, n) = 1$  guarantees the existence of  $p, q$  (see textbook p56). First of all, we have to check whether  $\varphi$  is well-defined. Note that

$$[(anp_1 + bmq_1) - (anp_2 + bmp_2)]_m = [a(p_1n - p_2n) + b(q_1m - q_2m)]_m = [0]_m$$

$$[(anp_1 + bmq_1) - (anp_2 + bmp_2)]_n = [a(p_1n - p_2n) + b(q_1m - q_2m)]_n = [0]_n$$

and  $\gcd(m, n) = 1$ . Thus we have

$$[(anp_1 + bmq_1) - (anp_2 + bmp_2)]_{mn} = [0]_{mn},$$

or

$$[anp_1 + bmq_1]_{mn} = [anp_2 + bmp_2]_{mn}.$$

Then we show  $\varphi$  is a homomorphism.

$$\begin{aligned} \varphi([a_1]_m, [b_1]_n) + \varphi([a_2]_m, [b_2]_n) &= \varphi([a_1 + a_2]_m, [b_1 + b_2]_n) \\ &= [(a_1 + a_2)np + (b_1 + b_2)mq]_{mn} \\ &= [a_1np + b_1mq]_{mn} + [a_2np + b_2mq]_{mn} \\ &= \varphi([a_1]_m, [b_1]_n) + \varphi([a_2]_m, [b_2]_n). \end{aligned}$$

In order to show  $\varphi$  is a monomorphism, we can check

$$\begin{aligned} \varphi([a_1]_m, [b_1]_n) &= \varphi([a_2]_m, [b_2]_n) \\ \implies [a_1np + b_1mq]_{mn} &= [a_2np + b_2mq]_{mn} \\ \implies [(a_1 - a_2)np + (b_1 - b_2)mq]_{mn} &= [0]_{mn} \\ \implies [(a_1 - a_2)np + (b_1 - b_2)mq]_m &= [a_1 - a_2]_m = [0]_m, \\ [(a_1 - a_2)np + (b_1 - b_2)mq]_n &= [b_1 - b_2]_n = [0]_n \\ \implies [a_1]_m &= [a_2]_m, [b_1]_n = [b_2]_n. \end{aligned}$$

Since  $|C_m \times C_n| = |C_{mn}| = mn$ , we can conclude  $\varphi$  is an isomorphism. Thus we complete proving  $C_{mn} \cong C_m \times C_n$ . ■

## §5. Free groups

**5.1** Does the category  $\mathcal{F}^A$  defined in §5.2 have final objects? If so, what are they?

Yes, they are functions from  $A$  to any trivial group, for example  $T = \{t\}$ .

$$\begin{array}{ccc} G & \xrightarrow{\exists! \varphi} & \{t\} \\ j \uparrow & \nearrow e & \\ A & & \end{array}$$

For any object  $(j, G)$  in  $\mathcal{F}^A$ , the trivial homomorphism  $\varphi : g \mapsto t$  is the unique homomorphism such that the diagram commutes. That is,  $\text{Hom}((j, G), (e, T)) = \{\varphi\}$ . ■

**5.2** Since trivial groups  $T$  are initial in  $\mathbf{Grp}$ , one may be led to think that  $(e, T)$  should be initial in  $\mathcal{F}^A$ , for every  $A$ :  $e$  would be defined by sending every element of  $A$  to the (only) element in  $T$ ; and for any other group  $G$ , there is a unique homomorphism  $T \rightarrow G$ . Explain why  $(e, T)$  is not initial in  $\mathcal{F}^A$  (unless  $A = \emptyset$ ).

Let  $G = C_2 = \{[0]_2, [1]_2\}$ . Note that  $\varphi \circ e(A)$  must be the trivial subgroup  $\{[0]_2\}$ . If  $x \in A$  and  $j(x) = [1]_2$ , we see  $\varphi \circ e \neq j$  and the following diagram does not commute.

$$\begin{array}{ccc} T & \xrightarrow{\varphi} & G \\ e \uparrow & \nearrow j & \\ A & & \end{array}$$

That implies  $(e, T)$  is not initial in  $\mathcal{F}^A$  unless  $A = \emptyset$ . ■

**5.3** Use the universal property of free groups to prove that the map  $j : A \rightarrow F(A)$  is injective, for all sets  $A$ . (Hint: it suffices to show that for every two elements  $a, b$  of  $A$  there is a group  $G$  and a set-function  $f : A \rightarrow G$  such that  $f(a) \neq f(b)$ . Why? and how do you construct  $f$  and  $G$ ?) [§III.6.3]

Let  $G = S_A$  be the symmetric group over  $A$ . Define functions  $g_a : A \rightarrow A$ ,  $x \mapsto a$  sending every element of  $A$  to  $a$ . Since  $g_a \in S_A$ , we can define an injection

$$\begin{aligned} f : A &\longrightarrow S_A \\ a &\longmapsto g_a \end{aligned}$$

In light of the commutative diagram

$$\begin{array}{ccc} F(A) & \xrightarrow{\varphi} & S_A \\ j \uparrow & \nearrow f & \\ A & & \end{array}$$

we have  $\forall a, b \in A$ ,

$$j(a) = j(b) \implies \varphi(j(a)) = \varphi(j(b)) \implies f(a) = f(b) \implies a = b.$$

■

**5.4** In the ‘concrete’ construction of free groups, one can try to reduce words by performing cancellations in any order; the ‘elementary reductions’ used in the text (that is, from left to right) is only one possibility. Prove that the result of iterating cancellations on a word is independent of the order in which the cancellations are performed. Deduce the associativity of the product in  $F(A)$  from this. [§5.3]

We use induction on the length of  $w$ . If  $w$  is reduced, there is nothing to show. If not, there must be some pair of symbols that can be cancelled, say the underlined pair

$$w = \cdots \underline{xx}^{-1} \cdots$$

(Let’s allow  $x$  to denote any element of  $A'$ , with the understanding that if  $x = a^{-1}$  then  $x^{-1} = a$ .) If we show that we can obtain every reduced form of  $w$  by cancelling the pair  $xx^{-1}$  first, the proposition will follow by induction, because the word  $w^* = \cdots \underline{xx}^{-1} \cdots$  is shorter.

Let  $w_0$  be a reduced form of  $w$ . It is obtained from  $w$  by some sequence of cancellations. The first case is that our pair  $xx^{-1}$  is cancelled at some step in this sequence. If so, we may as well cancel  $xx^{-1}$  first. So this case is settled. On the other hand, since  $w_0$  is reduced, the pair  $xx^{-1}$  can not remain in  $w_0$ . At least one of the two symbols must be cancelled at some time. If the pair itself is not cancelled, the first cancellation involving the pair must look like

$$\cdots x^{-1} \underline{xx}^{-1} \cdots \quad \text{or} \quad \cdots \underline{xx}^{-1} x \cdots$$

Notice that the word obtained by this cancellation is the same as the one obtained by cancelling the pair  $xx^{-1}$ . So at this stage we may cancel the original pair instead. Then we are back in the first case, so the proposition is proved.

■

**5.5** Verify explicitly that  $H^{\oplus A}$  is a group.

Assume the  $A$  is a set and  $H$  is an abelian group.  $H^{\oplus A}$  are defined as follows

$$H^{\oplus A} := \{\alpha : A \rightarrow H \mid \alpha(a) \neq e_H \text{ for only finitely many elements } a \in A\}.$$



Now that  $H^{\oplus A} \subset H^A := \text{Hom}_{\text{Set}}(A, H)$ , we can first show  $(H^A, +)$  is a group, where for all  $\phi, \psi \in H^A$ ,  $\phi + \psi$  is defined by

$$(\forall a \in A) : (\phi + \psi)(a) := \phi(a) + \psi(a).$$

Here is the verification:

- Identity: Define a function  $\varepsilon : A \rightarrow H, a \mapsto e_H$  sending all elements in  $A$  to  $e_H$ . Then for any  $\alpha \in H^A$  we have

$$(\forall a \in A) : (\alpha + \varepsilon)(a) = \alpha(a) + \varepsilon(a) = \alpha(a),$$

which is  $\alpha + \varepsilon = \alpha$ . Because of the commutativity of the operation  $+$  defined on  $H^A$ ,  $\varepsilon$  is the identity indeed.

- Associativity: This follows by the associativity in  $H$ :

$$(\forall a \in A) : ((\alpha + \beta) + \gamma)(a) = (\alpha + \beta)(a) + \gamma(a) = \alpha(a) + (\beta + \gamma)(a) = (\alpha + (\beta + \gamma))(a).$$

- Inverse: Every function  $\phi \in H^A$  has inverse  $-\phi$  defined by

$$(\forall a \in A) : (-\phi)(a) = -\phi(a).$$

Thus  $H^A$  makes a group.

Then it is time to show  $H^{\oplus A}$  is a subgroup of  $H^A$ . For all  $\alpha, \beta \in H^{\oplus A}$ , let  $N_\alpha = \{a \in A \mid \alpha(a) \neq e_H\}$ ,  $N_\beta = \{a \in A \mid \beta(a) \neq e_H\}$ ,  $N_{\alpha-\beta} = \{a \in A \mid (\alpha - \beta)(a) \neq e_H\}$ . Since

$$(\forall a \in A) : (\alpha - \beta)(a) = \alpha(a) - \beta(a),$$

we have

$$(\alpha - \beta)(a) \neq e_H \implies \alpha(a) \neq e_H \text{ or } \beta(a) \neq e_H,$$

which implies  $N_{\alpha-\beta} \subset N_\alpha \cup N_\beta$ . Note that  $N_\alpha, N_\beta$  are both finite sets, which forces  $N_{\alpha-\beta}$  to be finite. So there must be  $\alpha - \beta \in H^{\oplus A}$ . Now we see  $H^{\oplus A}$  is closed under additions and inverses. And  $e_{H^A} = \varepsilon \in H^{\oplus A}$  means that  $H^{\oplus A}$  is nonempty. Finally we can conclude  $H^{\oplus A}$  is a subgroup of  $H^A$ . ■

**5.6** Prove that the group  $F(\{x, y\})$  (visualized in Example 5.3) is a coproduct  $\mathbb{Z} * \mathbb{Z}$  of  $\mathbb{Z}$  by itself in the category **Grp**. (Hint: with due care, the universal property for one turns into the universal property for the other.) [§3.4, 3.7, 5.7]

Define two homomorphisms

$$\begin{aligned} i_1 : \mathbb{Z} &\longrightarrow F(\{x, y\}), & n &\longmapsto x^n, \\ i_2 : \mathbb{Z} &\longrightarrow F(\{x, y\}), & n &\longmapsto y^n. \end{aligned}$$

We need to show that for any group  $G$  with two homomorphisms  $f_1, f_2 : \mathbb{Z} \rightarrow G$ , there exists a unique homomorphism  $\varphi$  such that the following diagram commutes.

$$\begin{array}{ccc}
 \mathbb{Z} & & \\
 i_1 \downarrow & \searrow f_1 & \\
 F(\{x, y\}) & \xrightarrow{\varphi} & G \\
 i_2 \uparrow & \nearrow f_2 & \\
 \mathbb{Z} & & 
 \end{array}$$

Given the notation of indicator function

$$\mathbf{1}_A(x) := \begin{cases} 1 & \text{if } x \in A, \\ 0 & \text{if } x \notin A, \end{cases}$$

we can define a function

$$\begin{aligned}
 \varphi : F(\{x, y\}) &\longrightarrow G, \\
 z_1^{n_1} \cdots z_k^{n_k} &\longmapsto f_1(n_1)^{\mathbf{1}_{\{x\}}(z_1)} f_2(n_1)^{\mathbf{1}_{\{y\}}(z_1)} \cdots f_1(n_k)^{\mathbf{1}_{\{x\}}(z_k)} f_2(n_k)^{\mathbf{1}_{\{y\}}(z_k)}, \quad z_i \in \{x, y\}
 \end{aligned}$$

and check that it is a homomorphism indeed. For all  $n \in \mathbb{Z}$ , we have

$$\begin{aligned}
 (\varphi \circ i_1)(n) &= \varphi(x^n) = f_1(n), \\
 (\varphi \circ i_2)(n) &= \varphi(y^n) = f_2(n),
 \end{aligned}$$

that is, the diagram commutes. Now we see  $\varphi$  exists. For the uniqueness of  $\varphi$ , let  $\varphi^*$  be another homomorphism that makes diagram commute. For all  $z_1^{n_1} \cdots z_k^{n_k} \in F(\{x, y\})$ ,  $z_i \in \{x, y\}$ , we have

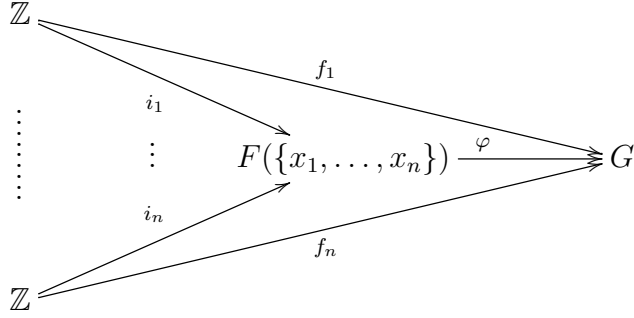
$$\begin{aligned}
 \varphi^*(z_1^{n_1} \cdots z_k^{n_k}) &= \varphi^*(z^{n_1}) \cdots \varphi^*(z^{n_k}) \\
 &= \varphi^*(i_1(n_1))^{\mathbf{1}_{\{x\}}(z_1)} \varphi^*(i_2(n_1))^{\mathbf{1}_{\{y\}}(z_1)} \cdots \varphi^*(i_1(n_k))^{\mathbf{1}_{\{x\}}(z_k)} \varphi^*(i_2(n_k))^{\mathbf{1}_{\{y\}}(z_k)} \\
 &= f_1(n_1)^{\mathbf{1}_{\{x\}}(z_1)} f_2(n_1)^{\mathbf{1}_{\{y\}}(z_1)} \cdots f_1(n_k)^{\mathbf{1}_{\{x\}}(z_k)} f_2(n_k)^{\mathbf{1}_{\{y\}}(z_k)} \\
 &= \varphi(z_1^{n_1} \cdots z_k^{n_k}).
 \end{aligned}$$

To sum up, we have shown that the group  $F(\{x, y\})$  is a coproduct  $\mathbb{Z} * \mathbb{Z}$  of  $\mathbb{Z}$  by itself in the category **Grp**. ■

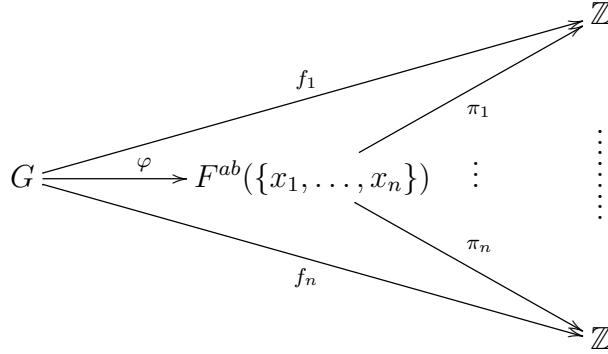
**5.7** Extend the result of Exercise 5.6 to free groups  $F(\{x_1, \dots, x_n\})$  and to free abelian groups  $F^{ab}(\{x_1, \dots, x_n\})$ . [§3.4, §5.4]

Let  $*$  be coproduct. Then we have  $\underbrace{\mathbb{Z} * \mathbb{Z} * \cdots * \mathbb{Z}}_{n \text{ times}} \cong F(\{x_1, \dots, x_n\})$ , as the following dia-

gram demonstrates:



Dually, let  $\times$  be product. Then we have  $\underbrace{\mathbb{Z} \times \mathbb{Z} \times \cdots \times \mathbb{Z}}_{n \text{ times}} \cong F^{ab}(\{x_1, \dots, x_n\})$ , as the following diagram demonstrates:



■

**5.8** Still more generally, prove that  $F(A \amalg B) = F(A) * F(B)$  and that  $F^{ab}(A \amalg B) = F^{ab}(A) \oplus F^{ab}(B)$  for all sets  $A, B$ . (That is, the constructions  $F, F^{ab}$  'preserve coproducts'.)

In order to show  $F(A) * F(B)$  is a free group generated by  $A \amalg B$ , we should first set an appropriate function  $\psi : A \amalg B \rightarrow F(A) * F(B)$  and then prove that given any  $(\theta, G)$  there exists a unique group homomorphism  $g$  such that the following diagram commutes.

$$\begin{array}{ccccc}
 A \amalg B & \xrightarrow{\psi} & F(A) * F(B) & \xrightarrow{\exists! g} & G \\
 & & \searrow \theta & & \nearrow
 \end{array}$$

The complete proof can be divided into three steps, by decomposing the following diagram

into parts.

$$\begin{array}{ccccc}
 A & \xrightarrow{j_1} & F(A) & & \\
 \downarrow i_1 & & \downarrow f_1 & \searrow \varphi_1 & \\
 A \amalg B & \xrightarrow{\psi} & F(A) * F(B) & \xrightarrow{g} & G \\
 \uparrow i_2 & \searrow \theta & \uparrow f_2 & \nearrow \varphi_2 & \\
 B & \xrightarrow{j_1} & F(B) & & 
 \end{array}$$

**Step 1. Construct  $\psi : A \amalg B \longrightarrow F(A) * F(B)$ .**

Define injective functions

$$\begin{aligned}
 i_1 : A &\longrightarrow A \amalg B, & a &\longmapsto (a, 1), \\
 i_2 : B &\longrightarrow A \amalg B, & b &\longmapsto (b, 2), \\
 j_1 : A &\longrightarrow F(A), & a &\longmapsto a, \\
 j_2 : B &\longrightarrow F(B), & b &\longmapsto b.
 \end{aligned}$$

Let  $f_1, f_2$  be the homomorphisms specified by the coproduct in **Grp**. Since  $A \amalg B$  is a coproduct in **Set**, the universal property guarantees a unique mapping  $\psi : A \amalg B \rightarrow F(A) * F(B)$  such that the following diagram commutes

$$\begin{array}{ccc}
 A & \xrightarrow{j_1} & F(A) \\
 \downarrow i_1 & & \downarrow f_1 \\
 A \amalg B & \xrightarrow{\exists! \psi} & F(A) * F(B) \\
 \uparrow i_2 & & \uparrow f_2 \\
 B & \xrightarrow{j_1} & F(B)
 \end{array}$$

That is,

$$\exists! \psi : A \amalg B \longrightarrow F(A) * F(B) \quad (\psi \circ i_1 = f_1 \circ j_1) \wedge (\psi \circ i_2 = f_2 \circ j_2).$$

**Step 2. Prove the existence of  $g$ .**

$$\begin{array}{ccc}
 A & \xrightarrow{j_1} & F(A) \\
 \downarrow i_1 & & \searrow \exists! \varphi_1 \\
 A \amalg B & \xrightarrow{\theta} & G \\
 \uparrow i_2 & & \nearrow \exists! \varphi_2 \\
 B & \xrightarrow{j_1} & F(B)
 \end{array}$$

Given some  $(\theta, G)$ , according to the universal property of free groups  $F(A)$ ,  $F(B)$ , we have

$$\begin{aligned}\exists! \varphi_1 : F(A) &\longrightarrow G & (\varphi_1 \circ j_1 = \theta \circ i_1), \\ \exists! \varphi_2 : F(B) &\longrightarrow G & (\varphi_2 \circ j_2 = \theta \circ i_2).\end{aligned}$$

$$\begin{array}{ccc} & F(A) & \\ & \downarrow f_1 & \searrow \varphi_1 \\ F(A) * F(B) & \xrightarrow{\exists! g} & G \\ & \uparrow f_2 & \swarrow \varphi_2 \\ & F(B) & \end{array}$$

Then according to the universal property of coproduct  $F(A) * F(B)$  in **Grp**, we have

$$\exists! g : F(A) * F(B) \longrightarrow G \quad (g \circ f_1 = \varphi_1) \wedge (g \circ f_2 = \varphi_2).$$

The commutative diagram tells us

$$\begin{aligned}g \circ \psi \circ i_1 &= g \circ f_1 \circ j_1 = \varphi_1 \circ j_1 = \theta \circ i_1, \\ g \circ \psi \circ i_2 &= g \circ f_2 \circ j_2 = \varphi_2 \circ j_2 = \theta \circ i_2.\end{aligned}$$

Note that  $A \amalg B = i_1(A) \cup i_2(B)$ . For all  $x \in A \amalg B$ ,  $x$  must be either  $i_1(a)$  or  $i_2(b)$ . If  $x = i_1(a)$ , then

$$g \circ \psi(x) = g \circ \psi \circ i_1(a) = \theta \circ i_1(a) = \theta(x).$$

If  $x = i_2(b)$ , then

$$g \circ \psi(x) = g \circ \psi \circ i_2(b) = \theta \circ i_2(b) = \theta(x).$$

Hence we show that given some  $(\theta, G)$  there exists  $g : F(A) * F(B) \longrightarrow G$  such that  $g \circ \psi = \theta$ .

### Step 3. Prove the uniqueness of $g$ .

Assume there exists another homomorphism  $h$  such that  $h \circ \psi = \theta$ . We have

$$\begin{aligned}h \circ f_1 \circ j_1 &= h \circ \psi \circ i_1 = \theta \circ i_1, \\ h \circ f_2 \circ j_2 &= h \circ \psi \circ i_2 = \theta \circ i_2.\end{aligned}$$

Since

$$\begin{aligned}\exists! \varphi_1 : F(A) &\longrightarrow G & (\varphi_1 \circ j_1 = \theta \circ i_1), \\ \exists! \varphi_2 : F(B) &\longrightarrow G & (\varphi_2 \circ j_2 = \theta \circ i_2),\end{aligned}$$

there must be

$$\begin{aligned} h \circ f_1 &= \varphi_1, \\ h \circ f_2 &= \varphi_2. \end{aligned}$$

Again by universal property

$$\exists! g : F(A) * F(B) \longrightarrow G \quad (g \circ f_1 = \varphi_1) \wedge (g \circ f_2 = \varphi_2)$$

we get  $h = g$ , which implies  $g$  is unique.

### Conclusion.

To sum up, we prove that there exists a unique group homomorphism  $g$  such that the first diagram in this proof commutes. As a result, we have  $F(A \amalg B) = F(A) * F(B)$ . Note that if **Grp** turns into **Ab**, the method of diagram chasing applied here also works. In the light of the following diagram, we can get  $F^{ab}(A \amalg B) = F^{ab}(A) \oplus F^{ab}(B)$  step by step.

$$\begin{array}{ccccc} A & \xrightarrow{j_1} & F^{ab}(A) & & \\ \downarrow i_1 & & \downarrow f_1 & \searrow \varphi_1 & \\ A \amalg B & \xrightarrow{\psi} & F^{ab}(A) \oplus F^{ab}(B) & \xrightarrow{g} & G \\ \uparrow i_2 & \searrow \theta & \uparrow f_2 & \swarrow \varphi_2 & \\ B & \xrightarrow{j_1} & F^{ab}(B) & & \end{array}$$

■

**5.9** Let  $G = \mathbb{Z}^{\oplus \mathbb{N}}$ . Prove that  $G \times G \cong G$ .

Define a function

$$\begin{aligned} \varphi : G \times G &\longrightarrow G \\ ((a_1, a_2, \dots), (b_1, b_2, \dots)) &\longmapsto (a_1, b_1, a_2, b_2, \dots) \end{aligned}$$

It is plain to check that  $\varphi$  is a homomorphism

$$\begin{aligned} &\varphi[((a_1, a_2, \dots), (b_1, b_2, \dots)) + ((a'_1, a'_2, \dots), (b'_1, b'_2, \dots))] \\ &= \varphi[((a_1 + a'_1, a_2 + a'_2, \dots), (b_1 + b'_1, b_2 + b'_2, \dots))] \\ &= (a_1 + a'_1, b_1 + b'_1, a_2 + a'_2, b_2 + b'_2, \dots) \\ &= (a_1, b_1, a_2, b_2, \dots) + (a'_1, b'_1, a'_2, b'_2, \dots) \\ &= \varphi[((a_1, a_2, \dots), (b_1, b_2, \dots))] + \varphi[((a'_1, a'_2, \dots), (b'_1, b'_2, \dots))]. \end{aligned}$$

Since  $\ker \varphi = \{(0, 0, \dots)\}$  and  $\varphi(G \times G) = G$ , we can conclude that  $\varphi$  is an isomorphism and accordingly  $G \times G \cong G$ . ■

**5.10**  $\neg$  Let  $F = F^{ab}(A)$ .

- Define an equivalence relation  $\sim$  on  $F$  by setting  $f \sim f'$  if and only if  $f - f' = 2g$  for some  $g \in F$ . Prove that  $F/\sim$  is a finite set if and only if  $A$  is finite, and in that case  $|F/\sim| = 2^{|A|}$ .
- Assume  $F^{ab}(B) \cong F^{ab}(A)$ . If  $A$  is finite, prove that so is  $B$ , and  $A \cong B$  as sets. (This result holds for free groups as well, and without any finiteness hypothesis. See Exercises 7.13 and VI.1.20.)

[7.4, 7.13]

- If  $|A| = \infty$ , let  $F = F^{ab}(A) = \mathbb{Z}^{\oplus A}$  and accordingly every element of  $\mathbb{Z}^{\oplus A}$  can be written uniquely as a finite sum

$$\sum_{a \in A} m_a j(a), \quad m_a \neq 0 \text{ for only finitely many } a.$$

Apparently, the elements in  $j(A) = \{j(a) \mid a \in A\}$  are not equivalent pairwise. Note that  $j$  is an injection. Hence we see

$$|F/\sim| \geq |j(A)| = A > \infty.$$

In other words,  $F/\sim$  is a finite set only if  $A$  is finite.

If  $|A| = n < \infty$ , we can set  $F = F^{ab}(A) = \mathbb{Z}^{\oplus n}$ . Assume  $f = (a_1, a_2, \dots, a_n)$ ,  $f' = (a'_1, a'_2, \dots, a'_n)$ . Then  $f \sim f'$  if and only if  $a_i - a'_i \in 2\mathbb{Z}$  ( $i = 1, 2, \dots, n$ ). Let  $[f]$  denote the equivalence class including  $f$ . Thus we get

$$F/\sim = \{[(k_1, k_2, \dots, k_n)] \mid k_i = 0 \text{ or } 1, i = 1, 2, \dots, n\}$$

and accordingly  $|F/\sim| = 2^{|A|}$ .

- Assume  $\varphi : F^{ab}(A) \rightarrow F^{ab}(B)$  is a group isomorphism. Since for all  $f, f' \in F^{ab}(A)$ ,

$$\begin{aligned} f \sim f' &\iff \exists g \in F^{ab}(A), f - f' = 2g \\ &\iff \exists \varphi(g) \in F^{ab}(B), \varphi(f) - \varphi(f') = 2\varphi(g) \\ &\iff \varphi(f) \sim \varphi(f') \end{aligned}$$

in **Set** we have

$$F^{ab}(A)/\sim \simeq F^{ab}(B)/\sim.$$

If  $A$  is finite, then  $F^{ab}(A)/\sim$  is finite. Furthermore it follows that

$$|F^{ab}(A)/\sim| = |F^{ab}(B)/\sim| \implies 2^{|A|} = 2^{|B|} \implies |A| = |B|.$$

Hence we see  $B$  is finite and  $A \cong B$  in **Set**.

■

## §6. Subgroups

**6.1**  $\neg$  (If you know about matrices.) The group of invertible  $n \times n$  matrices with entries in  $\mathbb{R}$  is denoted  $\mathrm{GL}_n(\mathbb{R})$  (Example 1.5). Similarly,  $\mathrm{GL}_n(\mathbb{C})$  denotes the group of  $n \times n$  invertible matrices with complex entries. Consider the following sets of matrices:

- $\mathrm{SL}_n(\mathbb{R}) = \{M \in \mathrm{GL}_n(\mathbb{R}) \mid \det(M) = 1\}$ ;
- $\mathrm{SL}_n(\mathbb{C}) = \{M \in \mathrm{GL}_n(\mathbb{C}) \mid \det(M) = 1\}$ ;
- $\mathrm{O}_n(\mathbb{R}) = \{M \in \mathrm{GL}_n(\mathbb{R}) \mid MM^t = M^t M = I_n\}$ ;
- $\mathrm{SO}_n(\mathbb{R}) = \{M \in \mathrm{O}_n(\mathbb{R}) \mid \det(M) = 1\}$ ;
- $\mathrm{U}(n) = \{M \in \mathrm{GL}_n(\mathbb{C}) \mid MM^\dagger = M^\dagger M = I_n\}$ ;
- $\mathrm{SU}(n) = \{M \in \mathrm{U}(n) \mid \det(M) = 1\}$ .

Here  $I_n$  stands for the  $n \times n$  identity matrix,  $M^t$  is the transpose of  $M$ ,  $M^\dagger$  is the conjugate transpose of  $M$ , and  $\det(M)$  denotes the determinant of  $M$ . Find all possible inclusions among these sets, and prove that in every case the smaller set is a subgroup of the larger one.

These sets of matrices have compelling geometric interpretations: for example,  $\mathrm{SO}^3(\mathbb{R})$  is the group of ‘rotations’ in  $\mathbb{R}^3$ . [8.8, 9.1, III.1.4, VI.6.16]

The following diagram commutes, where all arrows are inclusions.

$$\begin{array}{ccc}
 \mathrm{GL}_n(\mathbb{R}) & \longrightarrow & \mathrm{GL}_n(\mathbb{C}) \\
 \uparrow & & \uparrow \\
 \mathrm{SL}_n(\mathbb{R}) & \longrightarrow & \mathrm{SL}_n(\mathbb{C}) \\
 \uparrow & & \uparrow \\
 \mathrm{O}_n(\mathbb{R}) & \longrightarrow & \mathrm{U}(n) \\
 \uparrow & & \uparrow \\
 \mathrm{SO}_n(\mathbb{R}) & \longrightarrow & \mathrm{SU}(n)
 \end{array}$$

■

**6.2**  $\neg$  Prove that the set of  $2 \times 2$  matrices

$$\begin{pmatrix} a & b \\ 0 & d \end{pmatrix}$$

with  $a, b, d$  in  $\mathbb{C}$  and  $ad \neq 0$  is a subgroup of  $\mathrm{GL}_2(\mathbb{C})$ . More generally, prove that the set of  $n \times n$  complex matrices  $(a_{ij})_{1 \leq i, j \leq n}$  with  $a_{ij} = 0$  for  $i > j$ , and  $a_{11} \cdots a_{nn} \neq 0$ , is a subgroup of  $\mathrm{GL}_n(\mathbb{C})$ . (These matrices are called ‘upper triangular’, for evident reasons.) [IV.1.20]



Let  $A, B$  are  $n \times n$  upper triangular matrices. If  $i > j$ ,

$$(AB)_{ij} = \sum_{k=1}^n a_{ik}b_{kj} = \sum_{k=1}^{i-1} a_{ik}b_{kj} + \sum_{k=i}^n a_{ik}b_{kj} = \sum_{k=1}^{i-1} 0b_{kj} + \sum_{k=i}^n a_{ik}0 = 0,$$

which means the set of upper triangular matrices is closed with respect to the matrix multiplication. Thus it is a subgroup of  $\text{GL}_n(\mathbb{C})$ .  $\blacksquare$

**6.3**  $\neg$  Prove that every matrix in  $\text{SU}(2)$  may be written in the form

$$\begin{pmatrix} a + bi & c + di \\ -c + di & a - bi \end{pmatrix}$$

where  $a, b, c, d \in \mathbb{R}$  and  $a^2 + b^2 + c^2 + d^2 = 1$ . (Thus,  $\text{SU}(2)$  may be realized as a three-dimensional sphere embedded in  $\mathbb{R}^4$ ; in particular, it is simply connected.) [8.9, III.2.5]

Let

$$A = \begin{pmatrix} a_{11} & a_{12} \\ a_{21} & a_{22} \end{pmatrix} \in \text{SU}(2)$$

and we have

$$AA^\dagger = \begin{pmatrix} a_{11} & a_{12} \\ a_{21} & a_{22} \end{pmatrix} \begin{pmatrix} \overline{a_{11}} & \overline{a_{21}} \\ \overline{a_{12}} & \overline{a_{22}} \end{pmatrix} = \begin{pmatrix} |a_{11}|^2 + |a_{12}|^2 & a_{11}\overline{a_{21}} + a_{12}\overline{a_{22}} \\ a_{21}\overline{a_{11}} + a_{22}\overline{a_{12}} & |a_{21}|^2 + |a_{22}|^2 \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$$

and

$$\det(A) = \begin{vmatrix} a_{11} & a_{12} \\ a_{21} & a_{22} \end{vmatrix} = a_{11}a_{22} - a_{12}a_{21} = 1$$

Note

$$\begin{aligned} \overline{a_{11}a_{12}} &= \overline{a_{11}a_{12}} \begin{vmatrix} a_{11} & a_{12} \\ a_{21} & a_{22} \end{vmatrix} = \begin{vmatrix} |a_{11}|^2 & |a_{12}|^2 \\ a_{21}\overline{a_{11}} & a_{22}\overline{a_{12}} \end{vmatrix} = \begin{vmatrix} |a_{11}|^2 & |a_{11}|^2 + |a_{12}|^2 \\ a_{21}\overline{a_{11}} & a_{21}\overline{a_{11}} + a_{22}\overline{a_{12}} \end{vmatrix} = \begin{vmatrix} |a_{11}|^2 & 1 \\ a_{21}\overline{a_{11}} & 0 \end{vmatrix} = -a_{21}\overline{a_{11}} \\ &\implies \overline{a_{11}}(\overline{a_{12}} + a_{21}) = 0, \end{aligned}$$

and

$$\begin{aligned} \overline{a_{21}a_{22}} &= \overline{a_{21}a_{22}} \begin{vmatrix} a_{11} & a_{12} \\ a_{21} & a_{22} \end{vmatrix} = \begin{vmatrix} a_{11}\overline{a_{21}} & a_{12}\overline{a_{22}} \\ |a_{21}|^2 & |a_{22}|^2 \end{vmatrix} = \begin{vmatrix} a_{11}\overline{a_{21}} & a_{11}\overline{a_{21}} + a_{12}\overline{a_{22}} \\ |a_{21}|^2 & |a_{21}|^2 + |a_{22}|^2 \end{vmatrix} = \begin{vmatrix} a_{11}\overline{a_{21}} & 0 \\ |a_{21}|^2 & 1 \end{vmatrix} = a_{11}\overline{a_{21}} \\ &\implies \overline{a_{21}}(\overline{a_{11}} - a_{22}) = 0. \end{aligned}$$

If  $\overline{a_{11}} \neq 0$ , it must be  $\overline{a_{12}} + a_{21} = 0$ . If  $\overline{a_{11}} = 0$ , then  $|a_{12}|^2 = 1$ ,  $a_{12}\overline{a_{22}} = 0$  and accordingly  $a_{22} = 0$ . Since  $-a_{12}a_{21} = 1 = a_{12}\overline{a_{12}}$ , we also have  $\overline{a_{12}} + a_{21} = 0$ , that is  $a_{12} = c + di$ ,  $a_{21} = -c + di$ . Likewise, we can show  $\overline{a_{11}} - a_{22} = 0$  and  $a_{11} = a + bi$ ,  $a_{22} = a - bi$ . And we have

$$|a_{11}|^2 + |a_{12}|^2 = a^2 + b^2 + c^2 + d^2 = 1.$$

■

**6.4** Let  $G$  be a group, and  $g \in G$ . Verify that the image of the exponential map  $\epsilon_g : \mathbb{Z} \rightarrow G$  is a cyclic group (in the sense of Definition 4.7).

If  $|g| = \infty$ , then  $g^i \neq g^j (i \neq j)$ . Define

$$\varphi : \mathbb{Z} \longrightarrow \epsilon_g(\mathbb{Z}), n \longmapsto g^n$$

and we can check it is an isomorphism.

If  $|g| = k$ , then  $e_G, g, g^2, \dots, g^{k-1}$  are distinct. Define

$$\varphi : \mathbb{Z}/k\mathbb{Z} \longrightarrow \epsilon_g(\mathbb{Z}), [n]_k \longmapsto g^n$$

and we can check it is an isomorphism.

Since  $\epsilon_g(\mathbb{Z})$  is isomorphic to  $\mathbb{Z}$  or  $\mathbb{Z}/k\mathbb{Z}$ , we show  $\epsilon_g(\mathbb{Z})$  is a cyclic group. ■

**6.6** Prove that the union of a family of subgroups of a group  $G$  is not necessarily a subgroup of  $G$ . In fact:

- Let  $H, H'$  be subgroups of a group  $G$ . Prove that  $H \cup H'$  is a subgroup of  $G$  only if  $H \subseteq H'$  or  $H' \subseteq H$ .
- On the other hand, let  $H_0 \subseteq H_1 \subseteq H_2 \subseteq \dots$  be subgroups of a group  $G$ . Prove that  $\cup_{i \geq 0} H_i$  is a subgroup of  $G$ .

- Let  $H \cup H'$  be a subgroup of  $G$ . Suppose neither  $H \subseteq H'$  nor  $H' \subseteq H$  hold. Let  $a \in H - H', b \in H' - H, h = ab^{-1} \in H \cup H'$ . In the case of  $h \in H$ , we have  $b = h^{-1}a \in H$ , contradiction! In the case of  $h \in H'$ , we have  $a = hb \in H'$ , contradiction again! Therefore, there must be  $H \subseteq H'$  or  $H' \subseteq H$ .
- For all  $a, b \in \cup_{i \geq 0} H_i$ , we can suppose  $a \in H_j, b \in H_k$  and we have  $a, b \in H_{\max\{j, k\}}$ . Then  $ab \in H_{\max\{j, k\}} \subseteq \cup_{i \geq 0} H_i$ , implies that  $\cup_{i \geq 0} H_i$  is closed and that  $\cup_{i \geq 0} H_i$  is a subgroup of  $G$ . ■

**6.7**  $\neg$  Show that inner automorphisms (cf. [Exercise II.4.8](#)) form a subgroup of  $\text{Aut}(G)$ ; this subgroup is denoted  $\text{Inn}(G)$ . Prove that  $\text{Inn}(G)$  is cyclic if and only if  $\text{Inn}(G)$  is trivial if and only if  $G$  is abelian. (Hint: Assume that  $\text{Inn}(G)$  is cyclic; with notation as in Exercise 4.8, this means that there exists an element  $a \in G$  such that  $\forall g \in G \exists n \in \mathbb{Z} \gamma_g = \gamma_a^n$ . In particular,  $gag^{-1} = a^n aa^{-n} = a$ . Thus  $a$  commutes with every  $g$  in  $G$ . Therefore...) Deduce that if  $\text{Aut}(G)$  is cyclic then  $G$  is abelian. [7.10, IV.1.5]

With notation as in Exercise 4.8, we assume  $\gamma_g \in \text{Inn}(G)$  is defined by

$$\forall h \in G \quad (\gamma_g(h) = ghg^{-1}).$$

We have

$$\begin{aligned} & \text{Inn}(G) \text{ is cyclic} \\ \iff & \exists \gamma_a \in \text{Inn}(G), \text{Inn}(G) = \langle \gamma_a \rangle \\ \iff & \exists a \in G \forall g \in G \exists n \in \mathbb{Z} (\gamma_g = \gamma_a^n) \\ \implies & \exists a \in G \forall g \in G \exists n \in \mathbb{Z} (\gamma_g(a) = gag^{-1} = \gamma_a^n(a) = a^n aa^{-n} = a) \\ \implies & \exists a \in G \forall g \in G (ga = ag) \\ \implies & \forall h \in G, \gamma_a(h) = aha^{-1} = haa^{-1} = h \\ \implies & \text{Inn}(G) = \langle \text{id} \rangle \\ \implies & \text{Inn}(G) \text{ is trivial} \end{aligned}$$

$$\begin{aligned} & \text{Inn}(G) \text{ is trivial} \\ \implies & \forall g \in G \forall h \in G (\gamma_g(h) = ghg^{-1} = h) \\ \implies & \forall g \in G \forall h \in G (gh = hg) \\ \iff & G \text{ is abelian} \end{aligned}$$

$$\begin{aligned} & G \text{ is abelian} \\ \implies & \forall g \in G \forall h \in G (\gamma_g(h) = ghg^{-1} = h) \\ \implies & \text{Inn}(G) = \{\text{id}\} \\ \implies & \text{Inn}(G) \text{ is cyclic} \end{aligned}$$

If  $\text{Aut}(G)$  is cyclic, its subgroup  $\text{Inn}(G)$  is also cyclic. As we have shown, that means  $G$  is abelian. ■

**6.8** Prove that an abelian group  $G$  is finitely generated if and only if there is a surjective homomorphism

$$\underbrace{\mathbb{Z} \oplus \cdots \oplus \mathbb{Z}}_{n \text{ times}} \twoheadrightarrow G$$

for some  $n$ .

Given any set  $H \subseteq G$ , there exists a unique homomorphism  $\varphi_H$  such that the following diagram commutes.

$$\begin{array}{ccc} F^{ab}(H) & \xrightarrow{\exists! \varphi} & G \\ j \uparrow & \nearrow i & \\ H & & \end{array}$$

The homomorphism image  $\varphi_H(F^{ab}(H)) \leq G$  is called the subgroup generated by  $H$  in  $G$ , denoted by  $\langle H \rangle$ .

If  $G$  is finitely generated, there is a finite subset  $G_n \subseteq G$  with  $n$  elements such that  $\varphi_H(F^{ab}(G_n)) = \varphi_H(\mathbb{Z}^{\oplus n}) = G$ . And  $\varphi_H$  is exactly the surjective homomorphism that we need.

If there is a surjective homomorphism  $\psi : \mathbb{Z}^{\oplus n} \twoheadrightarrow G$  for some  $n$ . Suppose

$$\psi : \mathbf{1}_i = (0, \dots, 0, \underset{i\text{-th place}}{1}, 0, \dots, 0) \mapsto g_i$$

and  $G_n = \{g_1, g_2, \dots, g_n\}$ . Then define

$$j : G_n \longrightarrow \mathbb{Z}^{\oplus n}, \quad g_i \longmapsto \mathbf{1}_i.$$

We can check the following diagram commutes

$$\begin{array}{ccc} \mathbb{Z}^{\oplus n} & \xrightarrow{\psi} & G \\ j \uparrow & \nearrow i & \\ G_n & & \end{array}$$

which means  $\langle G_n \rangle = \psi(\mathbb{Z}^{\oplus n})$ . Since  $\psi$  is surjective, we have  $\langle G_n \rangle = G$ . Hence we show  $G$  is finitely generated. ■

**6.9** Prove that every finitely generated subgroup of  $\mathbb{Q}$  is cyclic. Prove that  $\mathbb{Q}$  is not finitely generated.

Given any two rationals

$$\begin{aligned} a_1 &= \frac{p_1}{q_1} \in \mathbb{Q}, (p_1, q_1) = 1, \\ a_2 &= \frac{p_2}{q_2} \in \mathbb{Q}, (p_2, q_2) = 1, \end{aligned}$$

there exists  $r = \frac{1}{q_1 q_2} \in \mathbb{Q}$  such that  $\langle a_1, a_2 \rangle \leq \langle r_1 \rangle$ . Then for some  $a_3$  we have  $\langle a_1, a_2, a_3 \rangle \leq \langle r_1, a_3 \rangle \leq \langle r_2 \rangle$ . In general, let's set  $B_n = \{a_1, a_2, \dots, a_n\}$ . If  $\langle B_n \rangle \leq \langle r_{n-1} \rangle$ . we have  $\langle B_{n+1} \rangle = \langle B_n, a_{n+1} \rangle \leq \langle r_{n-1}, a_{n+1} \rangle \leq \langle r_n \rangle$ . By induction we can prove  $\langle a_1, a_2, \dots, a_n \rangle \leq \langle r_{n-1} \rangle$  for  $n \in \mathbb{N}_+$ . Since the subgroups of a cyclic group are also cyclic, we see finitely generated subgroup  $\langle a_1, a_2, \dots, a_n \rangle \leq \mathbb{Q}$  is cyclic.

Supposing  $\mathbb{Q}$  is finitely generated,  $\mathbb{Q}$  must be a cyclic group, which contradicts the fact. Thus we show  $\mathbb{Q}$  is not finitely generated. ■

**6.10**  $\neg$  The set of  $2 \times 2$  matrices with integer entries and determinant 1 is denoted  $\text{SL}_2(\mathbb{Z})$ :

$$\text{SL}_2(\mathbb{Z}) = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \text{ such that } a, b, c, d \in \mathbb{Z}, ad - bc = 1 \right\}.$$

Prove that  $\text{SL}_2(\mathbb{Z})$  is generated by the matrices:

$$s = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} \quad \text{and} \quad t = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}.$$

Let  $H$  be the subgroup generated by  $s$  and  $t$ . We can check that both

$$P = \begin{pmatrix} 1 & -p \\ 0 & 1 \end{pmatrix} = t^{-p} \quad \text{and} \quad Q = \begin{pmatrix} 1 & 0 \\ -q & 1 \end{pmatrix} = s^{-1}t^qs$$

are in  $H$ . Given an arbitrary matrix

$$m = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \text{SL}_2(\mathbb{Z}),$$

it suffices to show that we can obtain the identity  $I_2$  by multiplying  $m$  by matrices in  $H$ . Note that

$$\begin{pmatrix} a & b \\ c & d \end{pmatrix} \begin{pmatrix} 1 & -p \\ 0 & 1 \end{pmatrix} = \begin{pmatrix} a & b - pa \\ c & d - pc \end{pmatrix}, \quad \begin{pmatrix} a & b \\ c & d \end{pmatrix} \begin{pmatrix} 1 & 0 \\ -q & 1 \end{pmatrix} = \begin{pmatrix} a - qb & b \\ c - qd & d \end{pmatrix},$$

and  $c, d$  cannot be nonzero simultaneously. Without loss of generality, we can assume that  $0 < c < d$  and perform Euclidean algorithm. Let  $p_1 = \lfloor \frac{d}{c} \rfloor, d_1 = d - p_1c < c$ . Multiplying  $m$  by  $P_1 = \begin{pmatrix} 1 & -p_1 \\ 0 & 1 \end{pmatrix}$  on the right yields

$$m_1 = mP_1 \begin{pmatrix} a & b - p_1a \\ c & d_1 \end{pmatrix}.$$

Then let  $q_1 = \lfloor \frac{c}{d_1} \rfloor, c_1 = c - q_1d_1 < d_1$  and right multiplying  $m$  by  $Q_1 = \begin{pmatrix} 1 & 0 \\ -q_1 & 1 \end{pmatrix}$  yields

$$m_2 = mP_1Q_1 \begin{pmatrix} a - q_1(b - p_1a) & b - p_1a \\ c_1 & d_1 \end{pmatrix}.$$

We can repeat this procedure until some  $d_i$  or  $c_i$  reduce to 0. The Euclidean algorithm generates a sequence

$$d > c > d_1 > c_1 > d_2 > c_2 > \cdots.$$

If  $c_i, d_i$  never reduce to 0, we will get an infinite decreasing positive sequence, which is

impossible. Suppose  $d_N$  is the first number reducing to 0. Then

$$m_{2N-1} = mP_1Q_1 \cdots P_N = \begin{pmatrix} a_N & b_N \\ c_{N-1} & 0 \end{pmatrix} \in \text{SL}_2(\mathbb{Z}),$$

which implies

$$m_{2N-1} = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}$$

and  $m_{2N-1}s^{-1} = I_2$ . Suppose  $c_N$  is the first number reducing to 0. Then

$$m_{2N} = mP_1Q_1 \cdots P_NQ_N = \begin{pmatrix} a_N & b_N \\ 0 & d_N \end{pmatrix} \in \text{SL}_2(\mathbb{Z}),$$

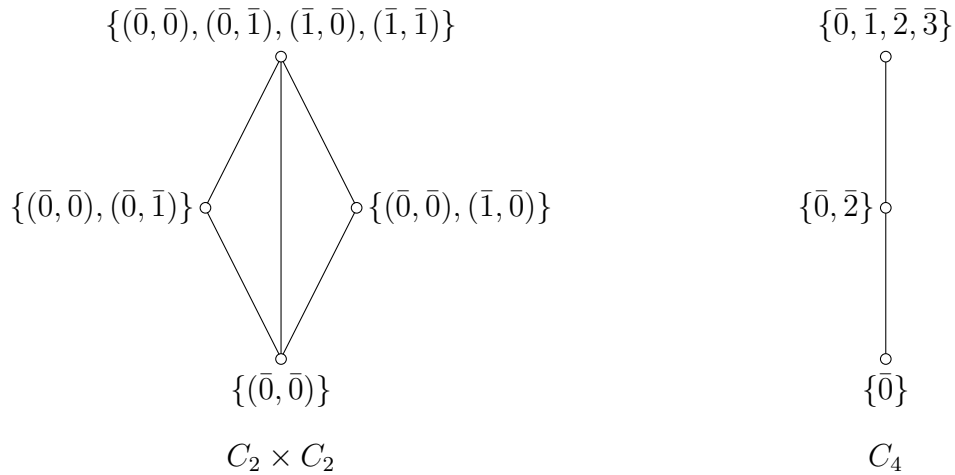
which implies

$$m_{2N} = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} = I_2.$$

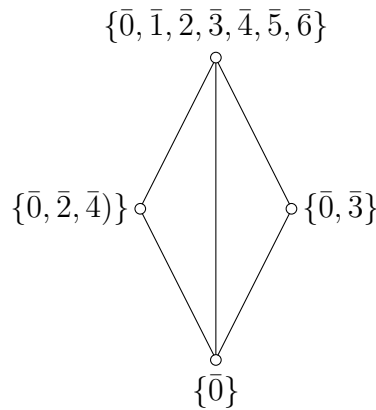
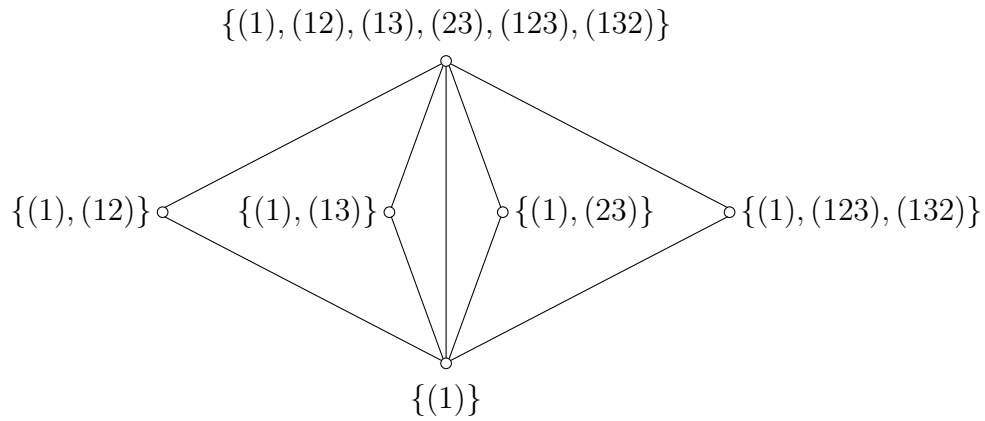
We have shown that we can obtain the identity  $I_2$  by multiplying  $m$  by matrices in  $H$ , that is,  $m$  can be represented as a product of matrices in  $H$ . Thus we can conclude  $\text{SL}_2(\mathbb{Z})$  is generated by  $s$  and  $t$ . ■

**6.13** ▯ Draw and compare the lattices of subgroups of  $C_2 \times C_2$  and  $C_4$ . Draw the lattice of subgroups of  $S_3$ , and compare it with the one for  $C_6$ . [7.1]

Lattices of subgroups  $C_2 \times C_2$  and  $C_4$  are drawn as follows:



Lattices of subgroups  $S_3$  and  $C_6$  are drawn as follows:



■

## §7. Quotient groups

**7.1** ▷ List all subgroups of  $S_3$  (cf. [Exercise II.6.13](#)) and determine which subgroups are normal and which are not normal. [§7.1]

The subgroups of  $S_3$  are  $\{(1)\}$ ,  $\{(1), (12)\}$ ,  $\{(1), (13)\}$ ,  $\{(1), (23)\}$ ,  $\{(1), (123), (132)\}$  and  $S_3$ . We can check that  $\{(1)\}$ ,  $\{(1), (123), (132)\}$ ,  $S_3$  are normal subgroups while others are not. ■

**7.2** Is the image of a group homomorphism necessarily a normal subgroup of the target?

No. According to exercise 7.1 we have seen not all subgroups are normal. Suppose  $H$  is a subgroup of  $G$  but not normal. Then  $H$  itself is the image of the inclusion homomorphism  $i : H \hookrightarrow G$ , which makes a counterexample. ■

**7.3** ▷ Verify that the equivalent conditions for normality given in §7.1 are indeed equivalent. [§7.1]

That a subgroup  $N$  of  $G$  is normal has four equivalent conditions:

- (i)  $\forall g \in G, gNg^{-1} = N$ ;
- (ii)  $\forall g \in G, gNg^{-1} \subseteq N$ ;
- (iii)  $\forall g \in G, gN \subseteq Ng$ ;
- (iv)  $\forall g \in G, gN = Ng$ .

(i)  $\implies$  (ii) is straightforward.

(ii)  $\implies$  (iii). For any  $g \in G$ , the element  $a \in gN$  can be written as  $a = gn_1$  ( $n_1 \in N$ ). Since  $gn_1g^{-1} \in gNg^{-1} \subseteq N$ , there exists an  $n_2 \in N$  such that  $gn_1g^{-1} = n_2$ , which implies  $gn_1 = n_2g \in Ng$ . Thus we have  $gN \subseteq Ng$ .

(iii)  $\implies$  (iv). Given any  $g \in G$ , for all  $n_1 \in N$ , the element  $g^{-1}n_1 \in g^{-1}N$  also belongs to  $Ng^{-1}$ , which implies that there exists  $n_2 \in N$  such that  $g^{-1}n_1 = n_2g^{-1}$ , namely  $n_1g = gn_2$ . Thus we get  $Ng \subseteq gN$  and accordingly  $gN = Ng$ .

(iv)  $\implies$  (i). For any  $g \in G$ , the element  $b \in gNg^{-1}$  can be written as  $a = gn_1g^{-1}$  ( $n_1 \in N$ ). Since  $gn_1 \in gN = Ng$ , there exists an  $n_2 \in N$  such that  $gn_1 = n_2g$ , which implies  $gn_1g^{-1} = n_2 \in N$ . Thus we have

$$\begin{aligned} & \forall g \in G, \quad gNg^{-1} \subseteq N \\ \implies & \forall g^{-1} \in G, \quad g^{-1}(gNg^{-1})g \subseteq gNg^{-1} \\ \implies & \forall g \in G, \quad N \subseteq gNg^{-1}. \end{aligned}$$

Hence we have  $\forall g \in G, gNg^{-1} = N$ . ■

**7.4** Prove that the relation defined in [Exercise II.5.10](#) on a free abelian group  $F = F^{ab}(A)$  is compatible with the group structure. Determine the quotient  $F/\sim$  as a better known group.

For all  $f, f', h \in F$ ,

$$f \sim f' \iff f - f' = 2g, (g \in F) \implies (h + f) - (h + f') = 2g, (g \in F) \iff h + f \sim h + f'.$$

Since  $F$  is abelian, we see the relation  $\sim$  defined on a free abelian group  $F = F^{ab}(A)$  is compatible with the group structure. By the notation of quotient group, we have

$$F/\sim = F/2F,$$

where  $2F = \{2g \in F \mid g \in F\}$ . ■



**7.5**  $\neg$  Define an equivalence relation  $\sim$  on  $\mathrm{SL}_2(\mathbb{Z})$  by letting  $A \sim A' \iff A' = \pm A$ . Prove that  $\sim$  is compatible with the group structure. The quotient  $\mathrm{SL}_2(\mathbb{Z})/\sim$  is denoted  $\mathrm{PSL}_2(\mathbb{Z})$ , and is called the *modular group*; it would be a serious contender in a context for ‘the most important group in mathematics’, due to its role in algebraic geometry and number theory. Prove that  $\mathrm{PSL}_2(\mathbb{Z})$  is generated by the (cosets of the) matrices

$$\begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} \quad \text{and} \quad \begin{pmatrix} 1 & -1 \\ 1 & 0 \end{pmatrix}.$$

(You will not need to work very hard, if you use the result of [Exercise 6.10](#).) Note that the first has order 2 in  $\mathrm{PSL}_2(\mathbb{Z})$ , the second has order 3, and their product has infinite order. [9.14]

For all  $A_1, A_2, B \in \mathrm{SL}_2(\mathbb{Z})$ ,

$$A_1 \sim A_2 \iff A_2 = \pm A_1 \iff BA_2 = \pm BA_1 \iff BA_1 \sim BA_2.$$

Hence  $\sim$  is compatible with the group structure and  $\mathrm{PSL}_2(\mathbb{Z}) = \mathrm{SL}_2(\mathbb{Z})/\{I_2, -I_2\}$ . In [Exercise 6.10](#) we have shown  $\mathrm{SL}_2(\mathbb{Z})$  is generated by the matrices

$$s = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} \quad \text{and} \quad t = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}.$$

It is clear that  $\mathrm{SL}_2(\mathbb{Z})$  can also be generated by the matrices

$$s = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} \quad \text{and} \quad ts = \begin{pmatrix} 1 & -1 \\ 1 & 0 \end{pmatrix},$$

which implies  $\mathrm{PSL}_2(\mathbb{Z})$  is generated by the cosets of the matrices  $s$  and  $ts$ . ■

**7.6** Let  $G$  be a group, and let  $n$  be a positive integer. Consider the relation

$$a \sim b \iff (\exists g \in G) ab^{-1} = g^n.$$

- Show that in general  $\sim$  is not an equivalence relation.
- Prove that  $\sim$  is an equivalence relation if  $G$  is commutative, and determine the corresponding subgroup of  $G$ .

- Let  $G$  be the symmetric group  $S_4$  and let  $n = 2$ . We can check that

$$\begin{aligned} (3\ 4)(2\ 3)^{-1} &= (2\ 4\ 3) = (2\ 3\ 4)^2 \implies (3\ 4) \sim (2\ 3) \\ (2\ 3)(1\ 2)^{-1} &= (1\ 3\ 2) = (1\ 2\ 3)^2 \implies (2\ 3) \sim (1\ 2) \end{aligned}$$

but  $(3\ 4)(1\ 2)^{-1} = (1\ 2)(3\ 4)$  is not the square of any element in  $S_4$ .

- Suppose that  $G$  is commutative.  $aa^{-1} = e^n$  implies  $\sim$  is reflexive. Since

$$a \sim b \implies ab^{-1} = g^n \ (g \in G) \implies b^{-1}a = g^{-n} \ (g^{-1} \in G) \implies b \sim a,$$

$\sim$  is symmetric. Since  $G$  is commutative, we have

$$\begin{aligned} a \sim b, b \sim c &\implies ab^{-1} = g_1^n, bc^{-1} = g_2^n \ (g_1, g_2 \in G) \\ &\implies ac^{-1} = ab^{-1}bc^{-1} = g_1^n g_2^n = (g_1 g_2)^n \ (g_1 g_2 \in G) \implies a \sim c, \end{aligned}$$

which means  $\sim$  is transitive. Thus we show that  $\sim$  is an equivalence relation. Since

$$a \sim b \implies ab^{-1} = g^n \implies ga(gb)^{-1} = (ag)(bg)^{-1} = g^n \implies ga \sim gb, ag \sim bg,$$

we see  $\sim$  is compatible with the group  $G$  and the equivalence class of the identity  $H = \{g^n | g \in G\}$  is a subgroup of  $G$ . ■

**7.7** Let  $G$  be a group,  $n$  a positive integer, and let  $H \subseteq G$  be the subgroup generated by all elements of order  $n$  in  $G$ . Prove that  $H$  is normal.

For all  $h \in H, g \in G$ , we have

$$(ghg^{-1})^n = gh^n g^{-1} = gg^{-1} = e_G \implies ghg^{-1} \in H,$$

which means  $gHg^{-1} \subseteq H$  for all  $g \in G$ . Thus we show that  $H$  is normal. ■

**7.10**  $\neg$  Let  $G$  be a group, and  $H \subseteq G$  a subgroup. With notation as in [Exercise II.6.7](#), show that  $H$  is normal in  $G$  if and only if  $\forall \gamma \in \text{Inn}(G), \gamma(H) \subseteq H$ . Conclude that if  $H$  is normal in  $G$  then there is an interesting homomorphism  $\text{Inn}(G) \rightarrow \text{Aut}(H)$ . [8.25]

Consistent with the notation as in [Exercise II.6.7](#), suppose

$$\gamma_g : G \longrightarrow G, \ h \longmapsto ghg^{-1}.$$

Then we have

$$\forall \gamma_g \in \text{Inn}(G), \gamma_g(H) \subseteq H \iff \forall g \in G, gHg^{-1} \subseteq H \iff H \text{ is normal in } G.$$

Thus we see that if  $H$  is normal in  $G$ ,  $\gamma$  can be restricted to  $H$  so that  $\gamma|_H : H \rightarrow H$  is an automorphism on  $H$ . Let

$$i : \text{Inn}(G) \longrightarrow \text{Aut}(H), \ \gamma \longmapsto \gamma|_H$$

and with the property of  $\gamma$  we have shown in [Exercise II.4.8](#), it is straightforward to check that

$$i(\gamma_{g_1} \gamma_{g_2}) = i(\gamma_{g_1 g_2}) = \gamma_{g_1 g_2}|_H = (\gamma_{g_1} \gamma_{g_2})|_H = \gamma_{g_1}|_H \gamma_{g_2}|_H = i(\gamma_{g_1}) i(\gamma_{g_2}).$$

That is,  $i$  is the interest homomorphism  $\text{Inn}(G) \rightarrow \text{Aut}(H)$  that we expect. ■

**7.11** ▷ Let  $G$  be a group, and let  $[G, G]$  be the subgroup of  $G$  generated by all elements of the form  $aba^{-1}b^{-1}$ . (This is the commutator subgroup of  $G$ ; we will return to it in §IV.3.3.) Prove that  $[G, G]$  is normal in  $G$ . (Hint: with notations in [Exercise II.4.8](#),  $gaba^{-1}b^{-1}g^{-1} = \gamma_g(aba^{-1}b^{-1})$ .) Prove that  $[G, G]$  is normal in  $G$ . [7.12, §IV.3.3]

Since for all  $g \in G$ ,  $aba^{-1}b^{-1} \in [G, G]$ , we have

$$gaba^{-1}b^{-1}g^{-1} = gag^{-1}gbg^{-1}ga^{-1}g^{-1}gb^{-1}g^{-1} = (gag^{-1})(gbg^{-1})(gag^{-1})^{-1}(gbg^{-1})^{-1} \in [G, G],$$

it follows that that  $[G, G]$  is normal in  $G$ . Then we can show  $[G, G]$  is normal in  $G$  by

$$[g_1][g_2] = [g_1g_2] = [g_1g_2(g_2^{-1}g_1^{-1}g_2g_1)] = [g_2g_1] = [g_2][g_1], \quad \forall [g_1], [g_2] \in [G, G].$$

■

**7.12** ▷ Let  $F = F(A)$  be a free group, and let  $f : A \rightarrow G$  be a set-function from the set  $A$  to a commutative group  $G$ . Prove that  $f$  induces a unique homomorphism  $F/[F, F] \rightarrow G$ , where  $[F, F]$  is the commutator subgroup of  $F$  defined in [Exercise II.7.11](#). (Use Theorem 7.12.) Conclude that  $F/[F, F] \simeq F^{ab}(A)$ . (Use Proposition I.5.4.) [§6.4, 7.13, VI.1.20]

By the universal property of free group, there exists a unique homomorphism  $\varphi : F \rightarrow G$  such that  $\forall a \in A$ ,  $\varphi(j(a)) = f(a)$  where  $j : A \rightarrow F(A)$  is a inclusion. Note that  $G$  is commutative, we have

$$\varphi(aba^{-1}b^{-1}) = \varphi(a)\varphi(b)\varphi(a)^{-1}\varphi(b)^{-1} = e_G,$$

which implies  $[F, F] \subseteq \ker \varphi$ . Theorem 7.12 indicates that there exists a unique group homomorphism  $\tilde{\varphi} : F/[F, F] \rightarrow G$  so that  $\tilde{\varphi} \circ \pi = \varphi$ . Now we deduce that the diagram

$$\begin{array}{ccc} A & & \\ \downarrow j & \searrow f & \\ F & \xrightarrow{\exists! \varphi} & G \\ \downarrow \pi & \nearrow \exists! \tilde{\varphi} & \\ F/[F, F] & & \end{array}$$

commutes. For the diagram we see  $\tilde{\varphi} \circ \pi \circ j = f$ . Suppose there exists  $\psi$  such that  $\psi \circ \pi \circ j = f$ , which amounts to  $(\psi \circ \pi) \circ j = \varphi \circ j$ . By the uniqueness of  $\varphi$  we have  $\psi \circ \pi = \varphi$ . Then by the uniqueness of  $\tilde{\varphi}$  we have  $\psi = \tilde{\varphi}$ . Thus we show that there exists unique  $\tilde{\varphi}$  such that  $\tilde{\varphi} \circ \pi \circ j = f$ . According to the property of free abelian group, we can conclude that  $F/[F, F] \simeq F^{ab}(A)$ . ■

**7.13**  $\neg$  Let  $A, B$  be sets, and  $F(A), F(B)$  the corresponding free groups. Assume  $F(A) \simeq F(B)$ . If  $A$  is finite, prove that so is  $B$ , and  $A \simeq B$ . (Use [Exercise II.7.12](#) to upgrade [Exercise II.5.10](#).) [5.10, VI.1.20]

[Exercise II.7.12](#) tells us that the free abelian group generated by a set is merely determined by its free group, which means

$$F(A) \simeq F(B) \implies F(A)/[F(A), F(A)] \simeq F(B)/[F(B), F(B)] \implies F^{ab}(B) \cong F^{ab}(A).$$

Then under the auspices of the conclusion in [Exercise II.5.10](#) we complete the proof. ■

## §8. Canonical decomposition and Lagrange's theorem

**8.1** If a group  $H$  may be realized as a subgroup of two groups  $G_1$  and  $G_2$ , and

$$\frac{G_1}{H} \cong \frac{G_2}{H},$$

does it follow that  $G_1 \cong G_2$ . Give a proof or a counterexample.

A counterexample is given as follows. Take  $H = C_3$ , the cyclic group of order 3. Take  $G_1 = D_6$  and  $G_2 = C_6$ , then one sees both  $G_1/H$  and  $G_2/H$  are  $C_2$ . But obviously  $G_1$  and  $G_2$  are not isomorphic, one being abelian while the other is not. ■

**8.2**  $\neg$  Extend Example 8.6 as follows. Suppose  $G$  is a group, and  $H \subseteq G$  is a subgroup of index 2: that is, such that there are precisely two (say, left) cosets of  $H$  in  $G$ . Prove that  $H$  is normal in  $G$ . [9.11, IV.1.16]

Since  $[G/H] = 2$ , there must be  $G/H = \{H, G - H\}$ . For any  $g \in G$ :

- if  $g \in H$ , then  $gH = Hg = H$ ;
- if  $g \in G - H$ , then  $gH \neq H$  and  $Hg \neq H$ . Thus we have  $gH = Hg = G - H$ .

In either case  $gH = Hg$  holds for all  $g \in G$ , which implies  $H$  is normal in  $G$ . ■

**8.7** Let  $(A|\mathcal{R})$ , resp.  $(A'|\mathcal{R}')$  be presentations for two groups  $G$ , resp.  $G'$  (cf. §8.2); we may assume that  $A, A'$  are disjoint. Prove that the group  $G * G'$  presented by

$$(A \cup A' | \mathcal{R} \cup \mathcal{R}')$$

satisfies the universal property for the *coproduct* of  $G$  and  $G'$  in **Grp**. (Use the universal properties of both free groups and quotients to construct natural homomorphisms  $G \rightarrow G * G'$ ,  $G' \rightarrow G * G'$ .) [§3.4, §8.2, 9.14].

Assume that  $F(A)/R = (A|\mathcal{R})$ ,  $F(A')/R' = (A'|\mathcal{R}')$ , and  $F(A \amalg A')/R'' = (A \cup A'|\mathcal{R} \cup \mathcal{R}')$ .

$$\begin{array}{ccccc}
 & & G & & \\
 & \nearrow f & \uparrow \delta & \nwarrow f' & \\
 F(A)/R & \xrightarrow{\psi} & F(A \amalg A')/R'' & \xleftarrow{\psi'} & F(A')/R' \\
 \uparrow k & & \uparrow \pi & & \uparrow k' \\
 A & & F(A \amalg A') & & A' \\
 & \searrow i & \uparrow j & \swarrow i' & \\
 & & A \amalg A' & & 
 \end{array}$$

According to [Lemma II.1](#), there exist unique  $\psi$  and  $\psi'$  such that

$$\psi \circ k = \pi \circ j \circ i, \quad \psi' \circ k' = \pi \circ j \circ i'.$$

Define

$$\begin{aligned}
 \delta : F(A \amalg A')/R'' &\longrightarrow G \\
 [\{a_1\} * \{a'_1\} * \cdots * \{a_n\} * \{a'_n\}] &\longmapsto f([\{a_1\}])f'([\{a'_1\}]) \cdots f([\{a_n\}])f'([\{a'_n\}]).
 \end{aligned}$$

where  $*$  means the junction of words and  $\{a_i\} = a_{i1} * a_{i2} * \cdots * a_{im_i}$ ,  $a_{ij} \in A$  ( $1 \leq i \leq n, 1 \leq j \leq m_i$ ) and  $\{a'_i\} = a'_{i1} * a'_{i2} * \cdots * a'_{im'_i}$ ,  $a'_{ij'} \in A$  ( $1 \leq i \leq n, 1 \leq j' \leq m'_i$ ). It is routine to check that  $\delta$  is a well-defined homomorphism such that

$$\delta \circ \psi = f, \quad \delta \circ \psi' = f'.$$

Then verify that if  $\hat{\delta}$  is a homomorphism such that

$$\delta \circ \psi = f, \quad \delta \circ \psi' = f',$$

there must be  $\hat{\delta} = \delta$ . After these tasks are done, we can conclude that  $F(A \amalg A')/R''$  satisfies the universal property of coproduct. ■

## §9. Group actions

## §10. Group objects in categories

# Chapter III Rings and modules

## §1. Definition of ring

**1.1**  $\triangleright$  Prove that if  $0 = 1$  in a ring  $R$ , then  $R$  is a zero-ring. [§1.2]

For any  $x$  in the ring  $R$ , we have

$$1 \cdot x = x, \quad 0 \cdot x = 0.$$

Since  $0 = 1$  we see that  $x = 0$ , which implies  $R$  is a ring with only one element 0. ■

**1.2**  $\neg$  Let  $S$  be a set, and define operations on the power set  $\mathcal{P}(S)$  of  $S$  by setting  $\forall A, B \in \mathcal{P}(S)$

$$A + B := (A \cup B) \setminus (A \cap B) \quad , \quad A \cdot B = A \cap B$$

Prove that  $(\mathcal{P}(S), +, \cdot)$  is a commutative ring. [2.3, 3.15]

First, we need to check that  $(\mathcal{P}(S), +)$  is an abelian group:

- associativity:

$$\begin{aligned} & (A + B) + C \\ &= ((A \cup B) \setminus (A \cap B)) + C \\ &= ((A \cup B) \cap (A^C \cup B^C)) + C \\ &= (A \cap (A^C \cup B^C)) \cup (B \cap (A^C \cup B^C)) + C \\ &= (A \cap B^C) \cup (A^C \cap B) + C \\ &= (((A \cap B^C) \cup (A^C \cap B)) \cap C^C) \cup (((A \cap B^C) \cup (A^C \cap B))^C \cap C) \\ &= ((A \cap B^C \cap C^C) \cup (A^C \cap B \cap C^C)) \cup ((A^C \cup B) \cap (A \cup B^C) \cap C) \\ &= ((A \cap B^C \cap C^C) \cup (A^C \cap B \cap C^C)) \cup ((A^C \cap B^C) \cup (A \cap B) \cap C) \\ &= (A \cap B^C \cap C^C) \cup (A^C \cap B \cap C^C) \cup (A^C \cap B^C \cap C) \cup (A \cap B \cap C) \\ &= (A \cap (B \cap C) \cup (B^C \cap C^C)) \cup ((A^C \cap B \cap C^C) \cup (A^C \cap B^C \cap C)) \\ &= (A \cap (B^C \cup C) \cap (B \cup C^C)) \cup ((A^C \cap B \cap C^C) \cup (A^C \cap B^C \cap C)) \\ &= (A \cap ((B \cap C^C) \cup (B^C \cap C))^C) \cup (A^C \cap ((B \cap C^C) \cup (B^C \cap C))) \\ &= A + ((B \cap C^C) \cup (B^C \cap C)) \\ &= A + (B + C); \end{aligned}$$

- commutativity:

$$A + B = (A \cup B) \setminus (A \cap B) = (B \cup A) \setminus (B \cap A) = B + A;$$

- additive identity: the additive identity is  $\emptyset$  since

$$A + \emptyset = (A \cup \emptyset) \setminus (A \cap \emptyset) = A; \emptyset \setminus \emptyset = \emptyset$$

- inverse: the inverse of some set  $A$  is just itself since

$$A + A = (A \cup A) \setminus (A \cap A) = A \setminus A = \emptyset.$$

Then we have to show that  $(\mathcal{P}(S), \cdot)$  is a commutative monoid, which clearly holds with the multiplicative identity  $S$ . What is left to show is the distributive properties and the check is straightforward.

$$\begin{aligned} & (A + B) \cdot C \\ &= ((A \cap B^C) \cup (A^C \cap B)) \cap C \\ &= (A \cap B^C \cap C) \cup (A^C \cap B \cap C) \\ &= (A \cap C \cap (B^C \cup C^C)) \cup ((A^C \cup C^C) \cap (B \cap C)) \\ &= (A \cap C \cap (B \cap C)^C) \cup ((A \cap C)^C \cap (B \cap C)) \\ &= A \cdot C + B \cdot C. \end{aligned}$$

■

**1.3**  $\neg$  Let  $R$  be a ring, and let  $S$  be any set. Explain how to endow the set  $R^S$  of set-functions  $S \rightarrow R$  of two operations  $+$ ,  $\cdot$  so as to make  $R^S$  into a ring, such that  $R^S$  is just a copy of  $R$  if  $S$  is a singleton. [2.3]

To make  $(R^S, +, \cdot)$  a ring, for all  $f, g \in R^S$  we define addition and multiplication as

$$\begin{aligned} f + g : S &\longrightarrow R, & x &\longmapsto f(x) + g(x) \\ f \cdot g : S &\longrightarrow R, & x &\longmapsto f(x) \cdot g(x). \end{aligned}$$

■

**1.4** ▷ The set of  $n \times n$  matrices with entries in a ring  $R$  is denoted  $\mathcal{M}_n(R)$ . Prove that componentwise addition and matrix multiplication makes  $\mathcal{M}_n(R)$  into a ring, for any ring  $R$ . The notation  $\mathfrak{gl}_n(R)$  is also commonly used, especially  $R = \mathbb{R}$  or  $\mathbb{C}$  (although this indicates one is considering them as *Lie algebras*) in parallel with the analogous notation for the corresponding groups of units, cf. [Exercise II.6.1](#). In fact, the parallel continues with the definition of the following sets of matrices:

- $\mathfrak{sl}_n(\mathbb{R}) = \{M \in \mathfrak{gl}_n(\mathbb{R}) \mid \text{tr}(M) = 0\}$ ;
- $\mathfrak{sl}_n(\mathbb{C}) = \{M \in \mathfrak{gl}_n(\mathbb{C}) \mid \text{tr}(M) = 0\}$ ;
- $\mathfrak{so}_n(\mathbb{R}) = \{M \in \mathfrak{sl}_n(\mathbb{R}) \mid M + M^t = 0\}$ ;
- $\mathfrak{su}(n) = \{M \in \mathfrak{sl}_n(\mathbb{C}) \mid M + M^\dagger = 0\}$ .

Here  $\text{tr}(M)$  is the trace of  $M$ , that is, the sum of its diagonal entries. The other notation matches the notation used in [Exercise II.6.1](#). Can we make rings of these sets, by endowing them of ordinary addition and multiplication of matrices? (These sets are all Lie algebras, cf. [Exercise VI.1.4](#).) [[§1.2](#), [2.4](#), [5.9](#), [VI.1.2](#), [VI.1.4](#)]

It is plain to show  $\mathcal{M}_n(R)$  is a ring according to the definition. For multiplicative associativity, it follows that for all  $A, B, C \in \mathcal{M}_n(R)$ ,

$$\begin{aligned}
& ((AB)C)_{\alpha,\delta} \\
&= \sum_{i=1}^n (AB)_{\alpha,i} c_{i,\delta} \\
&= \sum_{i=1}^n \left( \sum_{j=1}^n a_{\alpha,j} b_{j,i} \right) c_{i,\delta} \\
&= \sum_{i=1}^n \sum_{j=1}^n (a_{\alpha,j} b_{j,i}) c_{i,\delta} \\
&= \sum_{j=1}^n \sum_{i=1}^n a_{\alpha,j} (b_{j,i} c_{i,\delta}) \\
&= \sum_{j=1}^n a_{\alpha,j} \left( \sum_{i=1}^n b_{j,i} c_{i,\delta} \right) \\
&= \sum_{j=1}^n a_{\alpha,j} (BC)_{j,\delta} \\
&= (A(BC))_{\alpha,\delta}.
\end{aligned}$$

Under the ordinary addition and multiplication of matrices,  $\mathfrak{sl}_n(\mathbb{R})$ ,  $\mathfrak{sl}_n(\mathbb{C})$ ,  $\mathfrak{so}_n(\mathbb{R})$ ,  $\mathfrak{su}(n)$  are not rings. In fact, they are not closed under the multiplication. ■



**1.5** Let  $R$  be a ring. If  $a, b$  are zero-divisors in  $R$ , is  $a + b$  necessarily a zero-divisor?

That is not true. Let's take  $\mathbb{Z}/6\mathbb{Z}$  as an counterexample. Though both  $[2]_6$  and  $[3]_6$  are zero-divisors, their sum  $[5]_6$  is not a zero-divisor. ■

**1.6**  $\neg$  An element  $a$  of a ring  $R$  is *nilpotent* if  $a^n = 0$  for some  $n$ .

1. Prove that if  $a$  and  $b$  are nilpotent in  $R$  and  $ab = ba$ , then  $a + b$  is also nilpotent.
2. Is the hypothesis  $ab = ba$  in the previous statement necessary for its conclusion to hold?

[3.12]

1. Assume that  $a^n = b^m = 0$  and let  $k = 2 \max\{n, m\}$ . If  $ab = ba$ , we can get

$$(a + b)^k = \sum_{p=0}^{\frac{k}{2}} \binom{k}{p} a^k b^{k-p} + \sum_{p=\frac{k}{2}+1}^k \binom{k}{p} a^k b^{k-p} = \sum_{p=0}^{\frac{k}{2}} \binom{k}{p} a^k \cdot 0 + \sum_{p=\frac{k}{2}+1}^k \binom{k}{p} 0 \cdot b^{k-p} = 0,$$

which means  $a + b$  is also nilpotent.

2. The hypothesis  $ab = ba$  is necessary. A counterexample can be found in the ring  $\mathfrak{gl}_2(\mathbb{R})$ . Let

$$a = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}, \quad b = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}$$

and then we have  $a^2 = b^2 = 0$ . In other words,  $a$  and  $b$  are nilpotent. However, by diagonalization we see that

$$(a + b)^n = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}^n = \begin{pmatrix} -1 & 1 \\ 1 & 1 \end{pmatrix} \begin{pmatrix} -1 & 0 \\ 0 & 1 \end{pmatrix}^n \begin{pmatrix} -1 & 1 \\ 1 & 1 \end{pmatrix}^{-1} \neq \begin{pmatrix} 0 & 0 \\ 0 & 0 \end{pmatrix}.$$

Thus in such case,  $a + b$  is no longer nilpotent. ■

**1.8** Prove that  $x = \pm 1$  are the only solutions to the equation  $x^2 = 1$  in an integral domain. Find a ring in which the equation  $x^2 = 1$  has more than 2 solutions.

It clearly holds that  $1 \cdot 1 = 1$  and  $(-1) \cdot (-1) = ((-1) \times (-1))1 \cdot 1 = 1$ . That is to say,  $x = \pm 1$  are the solutions to the equation  $x^2 = 1$ . Note that if there exists  $x$  in an integral domain such that  $x^2 = 1$ , then we have

$$(x - 1) \cdot (x + 1) = x^2 - 1 = 0,$$

which implies  $x - 1 = 0$  or  $x + 1 = 0$ . Therefore, we can assert  $x = \pm 1$  are the solutions. In the ring  $\mathbb{Z}/8\mathbb{Z}$ ,  $[3]_8$  and  $[5]_8$  are also the solutions to the equation  $x^2 = 1$ . ■

**1.10** Let  $R$  be a ring. Prove that if  $a \in R$  is a right unit, and has two or more left-inverses, then  $a$  is not a left-zero-divisor, and is a right-zero-divisor.

Since  $a \in R$  is a right unit, it cannot be a left-zero-divisor. Assume there exist two distinct elements  $x, y \in R$  such that  $xa = ya = 1$  and it deduces  $(y - x)a = 0$ . Thus we show that  $a$  is a right-zero-divisor. ■

**1.11** Construct a field with 4 elements: as mentioned in the text, the underlying abelian group will have to be  $\mathbb{Z}/2\mathbb{Z} \times \mathbb{Z}/2\mathbb{Z}$ ;  $(0, 0)$  will be the zero element, and  $(1, 1)$  will be the multiplicative identity. The question is what  $(0, 1) \cdot (0, 1)$ ,  $(0, 1) \cdot (1, 0)$ ,  $(1, 0) \cdot (1, 0)$  must be, in order to get a field. [§1.2, §V.5.1]

Define

$$(0, 1) \cdot (0, 1) = (0, 1), \quad (0, 1) \cdot (1, 0) = (0, 0), \quad (1, 0) \cdot (1, 0) = (1, 0),$$

and the the rest definition of multiplication will be determined uniquely according to field properties. For example, we have no alternatives but to define

$$(0, 1) \cdot (1, 1) = (0, 1) \cdot ((0, 1) + (1, 0)) = (0, 1) \cdot (0, 1) + (0, 1) \cdot (1, 0) = (0, 1) + (0, 0) = (0, 1).$$

Then we can check  $\mathbb{Z}/2\mathbb{Z} \times \mathbb{Z}/2\mathbb{Z}$  forms a field by definition. ■

**1.12** Just as complex numbers may be viewed as combinations  $a + bi$ , where  $a, b \in \mathbb{R}$ , and  $i$  satisfies the relation  $i^2 = -1$  (and commutes with  $\mathbb{R}$ ), we may construct a ring  $\mathbb{H}$  by considering linear combinations  $a + bi + cj + dk$  where  $a, b, c, d \in \mathbb{R}$ , and  $i, j, k$  commute with  $\mathbb{R}$  and satisfy the following relations:

$$i^2 = j^2 = k^2 = -1 \quad , \quad ij = -ji = k \quad , \quad jk = -kj = i \quad , \quad ki = -ik = j.$$

Addition in  $\mathbb{H}$  is defined componentwise, while multiplication is defined by imposing distributivity and applying the relations. For example,

$$(1 + i + j) \cdot (2 + k) = 1 \cdot 2 + i \cdot 2 + j \cdot 2 + 1 \cdot k + i \cdot k + j \cdot k = 2 + 2i + 2j + k - j + i = 2 + 3i + j + k.$$

- (i) Verify that this prescription does indeed define a ring.
- (ii) Compute  $(a + bi + cj + dk)(a - bi - cj - dk)$ , where  $a, b, c, d \in \mathbb{R}$ .
- (iii) Prove that  $\mathbb{H}$  is a division ring. Elements of  $\mathbb{H}$  are called quaternions. Note that  $Q_8 := \{\pm 1, \pm i, \pm j, \pm k\}$  forms a subgroup of the group of units of  $\mathbb{H}$ ; it is a noncommutative group of order 8, called the quaternionic group.
- (iv) List all subgroups of  $Q_8$ , and prove that they are all normal.
- (v) Prove that  $Q_8, D_8$  are not isomorphic.
- (vi) Prove that  $Q_8$  admits the presentation  $(x, y | x^2 y^{-2}, y^4, xyx^{-1}y)$ .

[§II.7.1, 2.4, IV.1.12, IV.5.16, IV.5.17, V.6.19]

- (i) Verifying the  $(\mathbb{H}, +)$  is an abelian group is immediate and we just omitted it. It is easy to see the multiplicative identity is 1 and the distributive properties are guaranteed by definition. The check of the associativity of multiplication looks straightforward but tedious.

$$\begin{aligned} & ((a_1 + b_1 i + c_1 j + d_1 k) \cdot (a_2 + b_2 i + c_2 j + d_2 k)) \cdot (a_3 + b_3 i + c_3 j + d_3 k) \\ &= [-c_3 (a_2 c_1 + a_1 c_2 + b_2 d_1 - b_1 d_2) - b_3 (a_2 b_1 + a_1 b_2 - c_2 d_1 + c_1 d_2) \\ &\quad + a_3 (a_1 a_2 - b_1 b_2 - c_1 c_2 - d_1 d_2) - d_3 (-b_2 c_1 + b_1 c_2 + a_2 d_1 + a_1 d_2)] \\ &\quad + [-c_3 (-b_2 c_1 + b_1 c_2 + a_2 d_1 + a_1 d_2) + a_3 (a_2 b_1 + a_1 b_2 - c_2 d_1 + c_1 d_2) \\ &\quad + b_3 (a_1 a_2 - b_1 b_2 - c_1 c_2 - d_1 d_2) + d_3 (a_2 c_1 + a_1 c_2 + b_2 d_1 - b_1 d_2)] i \\ &\quad + [b_3 (-b_2 c_1 + b_1 c_2 + a_2 d_1 + a_1 d_2) + a_3 (a_2 c_1 + a_1 c_2 + b_2 d_1 - b_1 d_2) \\ &\quad + c_3 (a_1 a_2 - b_1 b_2 - c_1 c_2 - d_1 d_2) - d_3 (a_2 b_1 + a_1 b_2 - c_2 d_1 + c_1 d_2)] j \\ &\quad + [a_3 (-b_2 c_1 + b_1 c_2 + a_2 d_1 + a_1 d_2) - b_3 (a_2 c_1 + a_1 c_2 + b_2 d_1 - b_1 d_2) \\ &\quad + c_3 (a_2 b_1 + a_1 b_2 - c_2 d_1 + c_1 d_2) + d_3 (a_1 a_2 - b_1 b_2 - c_1 c_2 - d_1 d_2)] k \end{aligned}$$

$$\begin{aligned}
& (a_1 + b_1i + c_1j + d_1k) \cdot ((a_2 + b_2i + c_2j + d_2k) \cdot (a_3 + b_3i + c_3j + d_3k)) \\
&= [-d_1(a_3d_2 + a_2d_3 - b_3c_2 + b_2c_3) - c_1(a_3c_2 + a_2c_3 + b_3d_2 - b_2d_3) \\
&\quad - b_1(a_3b_2 + a_2b_3 - c_3d_2 + c_2d_3) + a_1(a_2a_3 - b_2b_3 - c_2c_3 - d_2d_3)] \\
&\quad + [c_1(a_3d_2 + a_2d_3 - b_3c_2 + b_2c_3) - d_1(a_3c_2 + a_2c_3 + b_3d_2 - b_2d_3) \\
&\quad + a_1(a_3b_2 + a_2b_3 - c_3d_2 + c_2d_3) + b_1(a_2a_3 - b_2b_3 - c_2c_3 - d_2d_3)]i \\
&\quad + [-b_1(a_3d_2 + a_2d_3 - b_3c_2 + b_2c_3) + a_1(a_3c_2 + a_2c_3 + b_3d_2 - b_2d_3) \\
&\quad + d_1(a_3b_2 + a_2b_3 - c_3d_2 + c_2d_3) + c_1(a_2a_3 - b_2b_3 - c_2c_3 - d_2d_3)]j \\
&\quad + [a_1(a_3d_2 + a_2d_3 - b_3c_2 + b_2c_3) + b_1(a_3c_2 + a_2c_3 + b_3d_2 - b_2d_3) \\
&\quad - c_1(a_3b_2 + a_2b_3 - c_3d_2 + c_2d_3) + d_1(a_2a_3 - b_2b_3 - c_2c_3 - d_2d_3)]k
\end{aligned}$$

(ii) Expand it by distributive properties and we get

$$\begin{aligned}
& (a + bi + cj + dk)(a - bi - cj - dk) \\
&= a^2 - abi - acj - adk + abi + b^2 - bck + bdj + acj + bck + c^2 - cdi + adk - bdj + cdi + d^2 \\
&= a^2 + b^2 + c^2 + d^2.
\end{aligned}$$

(iii) Applying the results in (ii) we see that for any non-zero element  $a + bi + cj + dk \in \mathbb{H}$ ,

$$(a + bi + cj + dk) \cdot \frac{a - bi - cj - dk}{a^2 + b^2 + c^2 + d^2} = \frac{a - bi - cj - dk}{a^2 + b^2 + c^2 + d^2} \cdot (a + bi + cj + dk) = 1,$$

which implies  $a + bi + cj + dk$  is a two-sided unit. Thus we show that  $\mathbb{H}$  is a division ring.

- (iv)  $Q_8$  has 6 subgroups:  $\{1\}$ ,  $\{1, -1\}$ ,  $\{1, -1, i, -i\}$ ,  $\{1, -1, j, -j\}$ ,  $\{1, -1, k, -k\}$ ,  $Q_8$ . We can just prove that they are all normal by the definition of normal subgroups.
- (v) Note that  $D_8 = \{e, r, r^2, r^3, s_1, s_2, s_3, s_4\}$  has 7 subgroups:  $\{e\}$ ,  $\{e, r, r^2, r^3\}$ ,  $\{e, s_1\}$ ,  $\{e, s_2\}$ ,  $\{e, s_3\}$ ,  $\{e, s_4\}$ ,  $D_8$ , while  $Q_8$  has 6 subgroups. Thus  $Q_8, D_8$  are not isomorphic.
- (vi) Let  $P = (x, y|x^2y^{-2}, y^4, xyx^{-1}y)$ . The relation  $x^2y^{-2} = e$  implies  $x^2 = y^2$  and the relation  $xyx^{-1}y = e$  implies  $yx = yx^{-1}x^2 = x^{-1}y^{-1}x^2 = x^3y^3x^2 = x^3y^5 = x^3y$ . First, we can always replace  $yx$  by  $x^3y$  until we obtain a word of the form  $x^iy^j$ . Then applying  $x^4 = y^4 = e$  and replace  $y^2$  by  $x^2$ , we can transform it into the form  $x^iy^j$  with  $0 \leq i \leq 3$  and  $0 \leq j \leq 1$ . Thus we see  $P$  has at most 8 elements.

Next we will complete our proof by means of the [Lemma II.1](#) in the appendix. Define a mapping

$$\begin{aligned}
f : \{x, y\} &\longrightarrow Q_8, & x &\longmapsto i, \\
& & y &\longmapsto j.
\end{aligned}$$

Let  $\varphi : F(\{x, y\}) \rightarrow Q_8$  be the unique homomorphism induced by the universal property of free group. Since

$$\begin{aligned}\varphi(x^2y^{-2}) &= i^2j^{-2} = 1, \\ \varphi(y^4) &= j^4 = 1, \\ \varphi(xy x^{-1}y) &= iji^{-1}j = 1,\end{aligned}$$

we see  $\mathcal{R} = \{x^2y^{-2}, y^4, xyx^{-1}y\} \subset \ker \varphi$ . And it is immediate to show that  $Q_8$  can be generated by  $\{i, j\}$ . Thus according to the lemma, there exists a unique homomorphism  $\psi : P \rightarrow Q_8$  such that  $f = \psi \circ \pi \circ i$  and actually  $\psi$  is surjective.

$$\begin{array}{ccc} & P & \\ \pi \uparrow & \dashrightarrow^{\exists! \psi} & \\ F(\{x, y\}) & \xrightarrow{\varphi} & Q_8 \\ i \uparrow & \nearrow f & \\ \{x, y\} & & \end{array}$$

Hence we get the inequality of cardinality  $|P| \geq |Q_8|$ . Since we have shown  $|P| \leq 8 = |Q_8|$ , there must be  $|P| = |Q_8| = 8$ , which implies  $\psi$  is indeed an isomorphism. Finally we conclude that  $Q_8 \cong (x, y | x^2y^{-2}, y^4, xyx^{-1}y)$  and complete our proof. ■

**1.14** ▷ Let  $R$  be a ring, and let  $f(x), g(x) \in R[x]$  be nonzero polynomials. Prove that

$$\deg(f(x) + g(x)) \leq \max(\deg(f(x)), \deg(g(x))).$$

Assuming that  $R$  is an integral domain, prove that

$$\deg(f(x) \cdot g(x)) = \deg(f(x)) + \deg(g(x)).$$

[§1.3]

Assume

$$f(x) = \sum_{i \geq 0} a_i x^i, \quad g(x) = \sum_{i \geq 0} b_i x^i, \quad a_i, b_i \in R$$

and  $n, m$  are respectively the largest integers  $p, q$  for which  $a_p, b_q$  are non-zero. In others words, we have  $a_n \neq 0, a_i = 0$  for  $i > n$  and  $b_m \neq 0, b_i = 0$  for  $i > m$ . Since

$$f(x) + g(x) = \sum_{i \geq 0} (a_i + b_i) x^i = \sum_{i=0}^{\max\{n, m\}} (a_i + b_i) x^i,$$

we see that

$$\deg(f(x) + g(x)) \leq \max\{n, m\} = \max(\deg(f(x)), \deg(g(x))).$$

Now Suppose that  $R$  is an integral domain. Noticing  $a_n \neq 0$  and  $b_m \neq 0$  implies  $a_n b_m \neq 0$ , we can see

$$f(x) \cdot g(x) = \sum_{k \geq 0} \sum_{i+j=k} a_i b_j x^{i+j} = \sum_{k=0}^{n+m} \sum_{i+j=k} a_i b_j x^{i+j}$$

has a degree of  $n + m$ . That is,

$$\deg(f(x) \cdot g(x)) = \deg(f(x)) + \deg(g(x)).$$

■

**1.15** ▷ Prove that  $R[x]$  is an integral domain if and only if  $R$  is an integral domain. [§1.3]

Assume  $R$  is an integral domain. [Exercise III.1.14](#) tells us if  $f(x), g(x) \in R[x]$  are nonzero polynomials, we have

$$\deg(f(x) \cdot g(x)) = \deg(f(x)) + \deg(g(x)),$$

which implies  $f(x) \cdot g(x)$  is also nonzero polynomial. Thus we show  $R[x]$  is a integral domain.

Conversely, assume  $R[x]$  is an integral domain. Note that given any  $a, b \in R$ , they also belong to  $R[x]$ . Hence we obtain

$$a \neq 0, b \neq 0 \implies ab \neq 0,$$

which means  $R$  is an integral domain.

■

**1.16** Let  $R$  be a ring, and consider the ring of power series  $R[[x]]$  (cf. §1.3).

1. Prove that a power series  $a_0 + a_1x + a_2x^2 + \cdots$  is a unit in  $R[[x]]$  if and only if  $a_0$  is a unit in  $R$ . What is the inverse of  $1 - x$  in  $R[[x]]$ ?
2. Prove that  $R[[x]]$  is an integral domain if and only if  $R$  is.

1. If  $a_0$  is a unit in  $R$  then we can assume there exists  $b_0 \in R$  such that  $a_0 b_0 = 1$ . Let

$$f(x) = \sum_{n \geq 0} a_n x^n, \quad g(x) = \sum_{n \geq 0} b_n x^n,$$

where

$$b_n = -b_0 \sum_{i=1}^n a_i b_{n-i}, \quad n \geq 1.$$

Noticing that

$$a_0 b_n = -a_0 b_0 \sum_{i=1}^n a_i b_{n-i} = - \sum_{i=1}^n a_i b_{n-i}, \quad n \geq 1,$$

we have

$$\begin{aligned} f(x)g(x) &= \sum_{n \geq 0} \sum_{i=0}^n a_{n-i} b_i x^n \\ &= 1 + \sum_{n \geq 1} \sum_{i=0}^n a_i b_{n-i} x^n \\ &= 1 + \sum_{n \geq 1} \left( a_0 b_n + \sum_{i=1}^n a_i b_{n-i} \right) x^n \\ &= 1 + \sum_{n \geq 1} (a_0 b_n - a_0 b_n) x^n \\ &= 1. \end{aligned}$$

Hence we show  $f(x) = a_0 + a_1 x + a_2 x^2 + \cdots$  is a unit.

For the other direction, supposing  $f(x) = a_0 + a_1 x + a_2 x^2 + \cdots$  is a unit, then there exists  $g(x) = b_0 + b_1 x + b_2 x^2 + \cdots$  such that

$$f(x)g(x) = a_0 b_0 + \sum_{n \geq 1} \sum_{i=0}^n a_i b_{n-i} x^n = 1.$$

By comparing the both sides of the equality we can find  $a_0 b_0 = 1$ , which implies  $a_0$  is a unit in  $R$ .

We can check that the inverse of  $1 - x$  in  $R[[x]]$  is  $1 + x + x^2 + \cdots$  since

$$(1 - x) \sum_{i \geq 0} x^i = \sum_{i \geq 0} x^i - \sum_{i \geq 0} x^{i+1} = 1.$$

2. Suppose  $R$  is an integral domain. If  $f(x), g(x) \in R[x]$  are nonzero polynomials, we can assume that

$$f(x) = \sum_{i \geq 0} a_i x^i, \quad g(x) = \sum_{i \geq 0} b_i x^i, \quad a_i, b_i \in R$$

and that  $n, m$  are respectively the smallest integers  $p, q$  for which  $a_p, b_q$  are non-zero. In others words, we have  $a_n \neq 0$ ,  $a_i = 0$  for  $i < n$  and  $b_m \neq 0$ ,  $b_i = 0$  for  $i < m$ . Noticing  $a_n \neq 0$  and  $b_m \neq 0$  implies  $a_n b_m \neq 0$ , we can see

$$f(x) \cdot g(x) = \sum_{k \geq 0} \sum_{i+j=k} a_i b_j x^{i+j} = a_n b_m x^{n+m} + \sum_{k \geq n+m+1} \sum_{i+j=k} a_i b_j x^{i+j} \neq 0.$$

Thus we show  $R[[x]]$  is an integral domain.

Conversely, assume that  $R[[x]]$  is an integral domain. Note that given any  $a, b \in R$ , they also belong to  $R[[x]]$ . Hence we obtain

$$a \neq 0, b \neq 0 \implies ab \neq 0,$$

which means that  $R$  is also an integral domain. ■

## §2. The category Ring

**2.1** Prove that if there is a homomorphism from a zero-ring to a ring  $R$ , then  $R$  is a zero-ring [§2.1]

Suppose that  $\varphi$  is a homomorphism from a zero-ring  $O$  to a ring  $R$ . Since  $\varphi(0_O) = 0_R$ ,  $\varphi(1_O) = 1_R$ ,  $0_O = 1_O$ , we have  $0_R = 1_R$ , which implies that  $R$  is a zero-ring. ■

**2.4** Define functions  $\mathbb{H} \rightarrow \mathfrak{gl}_4(\mathbb{R})$  and  $\mathbb{H} \rightarrow \mathfrak{gl}_2(\mathbb{C})$  (cf. [Exercise III.1.4](#) and [1.12](#)) by

$$\begin{aligned} a + bi + cj + dk &\longmapsto \begin{pmatrix} a & b & c & d \\ -b & a & -d & c \\ -c & d & a & -b \\ -d & -c & b & a \end{pmatrix} \\ a + bi + cj + dk &\longmapsto \begin{pmatrix} a + bi & c + di \\ -c + di & a - bi \end{pmatrix} \end{aligned}$$

for all  $a, b, c, d \in \mathbb{R}$ . Prove that both functions are injective ring homomorphisms. Thus, quaternions may be viewed as real or complex matrices.

Let  $f$  be the function  $\mathbb{H} \rightarrow \mathfrak{gl}_4(\mathbb{R})$  described above. For simplicity, we omit trivial check and only verify  $f$  preserves multiplication

$$\begin{aligned} &f((a_1 + b_1i + c_1j + d_1k) \cdot (a_2 + b_2i + c_2j + d_2k)) \\ &= f((a_1a_2 - b_1b_2 - c_1c_2 - d_1d_2) + (a_2b_1 + a_1b_2 - c_2d_1 + c_1d_2)i \\ &\quad + (a_2c_1 + a_1c_2 + b_2d_1 - b_1d_2)j + (a_2d_1 + a_1d_2 - b_2c_1 + b_1c_2)k) \\ &= \begin{pmatrix} a_1 & b_1 & c_1 & d_1 \\ -b_1 & a_1 & -d_1 & c_1 \\ -c_1 & d_1 & a_1 & -b_1 \\ -d_1 & -c_1 & b_1 & a_1 \end{pmatrix} \begin{pmatrix} a_2 & b_2 & c_2 & d_2 \\ -b_2 & a_2 & -d_2 & c_2 \\ -c_2 & d_2 & a_2 & -b_2 \\ -d_2 & -c_2 & b_2 & a_2 \end{pmatrix} \\ &= f(a_1 + b_1i + c_1j + d_1k)f(a_2 + b_2i + c_2j + d_2k) \end{aligned}$$
■



**2.5** The norm of a quaternion  $w = a + bi + cj + dk$ , with  $a, b, c, d \in \mathbb{R}$ , is the real number  $N(w) = a^2 + b^2 + c^2 + d^2$ . Prove that the function from the multiplicative group  $\mathbb{H}^*$  of nonzero quaternions to the multiplicative group  $\mathbb{R}^+$  of positive real numbers, defined by assigning to each nonzero quaternion its norm, is a homomorphism. Prove that the kernel of this homomorphism is isomorphic to  $\mathrm{SU}_2(\mathbb{C})$  (cf. [Exercise II.6.3](#)). [4.10, IV.5.17 V.6.19]

According to [Exercise III.2.4](#),  $w \in \mathbb{H}^*$  can be viewed as a matrix  $i(w) \in \mathfrak{gl}_2(\mathbb{C})$  where  $i : \mathbb{H} \rightarrow \mathfrak{gl}_2(\mathbb{C})$  is a monomorphism in **Ring**. Then the function  $N : \mathbb{H}^* \rightarrow \mathbb{R}^+$  can be just viewed as the determinant mapping  $\det : i(\mathbb{H}^*) \subset \mathfrak{gl}_2(\mathbb{C}) \rightarrow \mathbb{R}^+$ . More precisely, it means  $N = \det \circ i$ . We can check that

$$N(w_1 w_2) = \det(i(w_1 w_2)) = \det(i(w_1) i(w_2)) = \det(i(w_1)) \det(i(w_2)) = N(w_1) N(w_2)$$

and

$$w \in \ker N \iff N(w) = \det(i(w)) = 1 \iff i(w) \in \mathrm{SU}_2(\mathbb{C}).$$

Therefore,  $N$  is a homomorphism and  $\ker N$  isomorphic to  $\mathrm{SU}_2(\mathbb{C})$ . ■

**2.6** Verify the ‘extension property’ of polynomial rings, stated in Example 2.3. [§2.2]

Define the following ring homomorphisms

$$\begin{aligned} \alpha : R &\longrightarrow S, & r &\longmapsto \alpha(r) \\ \epsilon : R &\longrightarrow R[x], & r &\longmapsto r, \end{aligned}$$

and functions

$$\begin{aligned} j : \{s\} &\longrightarrow R[x], & s &\longmapsto x, \\ i : \{s\} &\longrightarrow S, & s &\longmapsto s. \end{aligned}$$

Assume that  $s \in S$  is an element commuting with  $\alpha(r)$  for all  $r \in R$ , we are to show that there exists a unique ring homomorphism  $\bar{\alpha} : R[x] \rightarrow S$  such that the following diagram commutes.

$$\begin{array}{ccc} R & & \\ \epsilon \downarrow & \searrow \alpha & \\ R[x] & \xrightarrow{\exists! \bar{\alpha}} & S \\ j \uparrow & \nearrow i & \\ \{s\} & & \end{array}$$

**Uniqueness.** If  $\bar{\alpha}$  exists, then the postulated commutativity of the diagram means that for all  $f(x) = \sum_{n \geq 0} a_n x^n \in R[x]$ , there must be

$$\bar{\alpha}(f(x)) = \bar{\alpha}\left(\sum_{n \geq 0} a_n x^n\right) = \sum_{n \geq 0} \bar{\alpha}(a_n) \bar{\alpha}(x)^n = \sum_{n \geq 0} \alpha(a_n) s^n.$$

That is,  $\bar{\alpha}$  is unique.

**Existence.** The only choice is to define

$$\bar{\alpha} : R[x] \longrightarrow S, \quad \sum_{n \geq 0} a_n x^n \longmapsto \sum_{n \geq 0} \alpha(a_n) s^n$$

and to check whether it is a ring homomorphism.

1. Preserving addition:

$$\begin{aligned} \bar{\alpha} \left( \sum_{n \geq 0} a_n x^n + \sum_{n \geq 0} b_n x^n \right) &= \bar{\alpha} \left( \sum_{n \geq 0} (a_n + b_n) x^n \right) \\ &= \sum_{n \geq 0} \alpha(a_n + b_n) s^n \\ &= \sum_{n \geq 0} \alpha(a_n) s^n + \sum_{n \geq 0} \alpha(b_n) s^n \\ &= \bar{\alpha} \left( \sum_{n \geq 0} a_n x^n \right) + \bar{\alpha} \left( \sum_{n \geq 0} b_n x^n \right). \end{aligned}$$

2. Preserving multiplication:

$$\begin{aligned} \bar{\alpha} \left( \sum_{n \geq 0} a_n x^n \sum_{n \geq 0} b_n x^n \right) &= \bar{\alpha} \left( \sum_{n \geq 0} \sum_{i+j=n} a_i b_j x^n \right) \\ &= \sum_{n \geq 0} \alpha \left( \sum_{i+j=n} a_i b_j \right) s^n \\ &= \sum_{n \geq 0} \sum_{i+j=n} \alpha(a_i) s^i \alpha(b_j) s^j \\ &= \left( \sum_{n \geq 0} \alpha(a_n) s^n \right) \left( \sum_{n \geq 0} \alpha(b_n) s^n \right) \\ &= \bar{\alpha} \left( \sum_{n \geq 0} a_n x^n \right) \bar{\alpha} \left( \sum_{n \geq 0} b_n x^n \right). \end{aligned}$$

3. Preserving identity element:

$$\bar{\alpha}(1_R) = \alpha(1_R) = 1_S.$$

Integrating the two parts we finally conclude there exists a unique ring homomorphism  $\bar{\alpha}$  such that the diagram commutes.

■

**2.7** Let  $R = \mathbb{Z}/2\mathbb{Z}$ , and let  $f(x) = x^2 - x$ ; note  $f(x) \neq 0$ . What is the polynomial function  $R \rightarrow R$  determined by  $f(x)$ ? [§2.2, §V.4.2, §V.5.1]

It determines a function  $f : \mathbb{Z}/2\mathbb{Z} \rightarrow \mathbb{Z}/2\mathbb{Z}$  sends all elements to identity, that is,  $f([0]_2) = [0]_2$ ,  $f([1]_2) = [0]_2$ . ■

**2.8** Prove that every subring of a field is an integral domain.

Suppose  $\varphi : R \hookrightarrow K$  is a inclusion homomorphism. If  $a \neq 0$ , we have

$$ab = ac \implies \varphi(a)\varphi(b) = \varphi(a)\varphi(c) \implies \varphi(b) = \varphi(c) \implies b = c.$$

Due to the commutativity of field it also holds that  $ba = ca$ . Thus we show  $R$  is an integral domain. ■

**2.9**  $\neg$  The *center* of a ring  $R$  consists of the elements  $a$  such that  $ar = ra$  for all  $r \in R$ . Prove that the center is a subring of  $R$ . Prove that the center of a division ring is a field. [2.11, IV.2.17, VII.5.14, VII.5.16]

Denote the center of  $R$  by  $Z(R)$ . We can check that

1. for all  $x, y \in Z(R)$ , for all  $r \in R$ ,

$$(x - y)r = xr - yr = rx - ry = r(x - y) \implies x - y \in Z(R);$$

2. for all  $r \in R$ ,

$$1r = r1 \implies 1 \in Z(R);$$

3. for all  $x, y \in Z(R)$ , for all  $r \in R$ ,

$$(xy)r = xry = r(xy) \implies xy \in Z(R).$$

Thus we show that  $Z(R)$  is a subring of  $R$ . If  $R$  is a division ring, then  $Z(R)$  is also a division ring. Note that for all  $x, y \in Z(R)$ ,  $xy = yx$ , we see that  $Z(R)$  is a commutative division ring, namely field. ■

**2.10**  $\neg$  The *centralizer* of an element  $a$  of a ring  $R$  consists of the elements  $r \in R$  such that  $ar = ra$ . Prove that the centralizer of  $a$  is a subring of  $R$ , for every  $a \in R$ . Prove that the center of  $R$  is the intersection of all its centralizers. Prove that every centralizer in a division ring is a division ring. [2.11, IV.2.17, VII.5.16]

Denote the centralizer of an element  $a$  of  $R$  by  $Z_a(R)$ . That is,

$$Z_a(R) = \{r \in R \mid ar = ra\}.$$

We can check that

1. for all  $x, y \in Z_a(R)$ ,

$$(x - y)a = xa - ya = ax - ay = a(x - y) \implies x - y \in Z_a(R);$$

2.

$$1a = a1 \implies 1 \in Z_a(R);$$

3. for all  $x, y \in Z_a(R)$ ,

$$(xy)a = xay = a(xy) \implies xy \in Z_a(R).$$

Thus we show that  $Z_a(R)$  is a subring of  $R$ .

By definition we have  $Z(R) \subseteq Z_a(R)$  for all  $a \in R$ , which implies  $Z(R) \subseteq \bigcap_{a \in R} Z_a(R)$ . Assume  $s \in \bigcap_{a \in R} Z_a(R)$ , then we see  $sa = as$  for all  $a \in R$ , which means  $s \in Z(R)$  and accordingly  $\bigcap_{a \in R} Z_a(R) \subseteq Z(R)$ . Thus we deduce that  $Z(R) = \bigcap_{a \in R} Z_a(R)$ .

If  $R$  is a division ring and  $r \in Z_a(R)$ , we can assume that there exists  $a \in R$  such as  $ar = ra$ , which means that

$$r^{-1}(ar)r^{-1} = r^{-1}(ra)r^{-1} \implies r^{-1}a = ar^{-1}.$$

According to the definition of  $Z_a(R)$ , we see  $r^{-1} \in Z_a(R)$ . Thus we show that  $Z_a(R)$  is a division ring. ■

**2.11**  $\neg$  Let  $R$  be a division ring consisting of  $p^2$  elements, where  $p$  is a prime. Prove that  $R$  is commutative, as follows:

- If  $R$  is not commutative, then its center  $C$  ([Exercise III.2.9](#)) is a proper subring of  $R$ . Prove that  $C$  would then consist of  $p$  elements.
- Let  $r \in R, r \notin C$ . Prove that the centralizer of  $r$  ([Exercise III.2.10](#)) contains both  $r$  and  $C$ .
- Deduce that the centralizer of  $r$  is the whole of  $R$ .
- Derive a contradiction, and conclude that  $R$  had to be commutative (hence, a field).

This is a particular case of Wedderburn's theorem: every finite division ring is a field. [IV.2.17, VII.5.16]

If  $R$  is not commutative, then its center  $Z(R)$  is a proper subring of  $R$ , which means  $|Z(R)| < p^2$ . By considering  $Z(R)$  as a subgroup of the underlying abelian group  $R$ , we can deduce that  $|Z(R)|$  divides  $p^2$  according to the Lagrange theorem. Thus we see that  $Z(R)$  consist of  $p$  elements. Given any  $r \in R - Z(R)$ , in [Exercise III.2.10](#) we have shown that  $Z_r(R)$  is a subring of  $R$  and  $Z(R) \in Z_r(R)$ . By the definition of  $Z_r(R)$ , it is clear that  $r \in Z_r(R)$ . Hence we have  $Z(R) \cup \{r\} \subseteq Z_r(R)$  and  $|Z_r(R)| > p$ . Again by Lagrange theorem we have

$|Z_r(R)|$  divides  $p^2$ , which forces  $|Z_r(R)| = p^2$ . Thus we show that  $Z_r(R) = R$ . Note that  $Z_a(R) = R$  for all  $a \in Z(R)$ . We have  $Z_a(R) = R$  for all  $a \in R$ . In [Exercise III.2.10](#), we have derived that  $\bigcap_{a \in R} Z_a(R) \subseteq Z(R)$ , which implies  $R \subseteq Z(R)$ . Thus we have  $Z(R) = R$ , which contradicts with the previous deduction that  $Z(R)$  is a proper subring of  $R$ . Therefore, we can conclude that  $R$  is commutative. ■

**2.15** For  $m > 1$ , the abelian groups  $(\mathbb{Z}, +)$  and  $(m\mathbb{Z}, +)$  are manifestly isomorphic: the function  $\varphi : \mathbb{Z} \rightarrow m\mathbb{Z}, n \mapsto mn$  is a group isomorphism. Use this isomorphism to transfer the structure of ‘ring without identity’  $(m\mathbb{Z}, +, \cdot)$  back onto  $\mathbb{Z}$ : give an explicit formula for the ‘multiplication’  $\bullet$  this defines on  $\mathbb{Z}$  (that is, such that  $\varphi(a \bullet b) = \varphi(a) \cdot \varphi(b)$ ). Explain why structures induced by different positive integers  $m$  are non-isomorphic as ‘rings without 1’.

(This shows that there are many different ways to give a structure of ring without identity to the *group*  $(\mathbb{Z}, +)$ . Compare this observation with Exercise 2.16.) [§2.1] ■

### §3. Ideals and quotient rings

**3.1** Prove that the image of a ring homomorphism  $\varphi : R \rightarrow S$  is a subring of  $S$ . What can you say about  $\varphi$ , if its image is an ideal of  $S$ ? What can you say about  $\varphi$ , if its kernel is a subring of  $R$ ?

We can see that  $\text{im } \varphi$  is a subring of  $S$  from the canonical decomposition

$$R \twoheadrightarrow R / \ker \varphi \xrightarrow[\tilde{\varphi}]{\sim} \text{im } \varphi \hookrightarrow S$$

$\varphi$

If  $\text{im } \varphi$  is an ideal, then  $s \in S, 1 \in \text{im } \varphi \implies s \in \text{im } \varphi$ . So  $\text{im } \varphi = S$  and  $\varphi$  is an epimorphism. Since  $\ker \varphi$  is a ideal, if it is also a subring, we have  $\ker \varphi = R$ . ■

**3.2** Let  $\varphi : R \rightarrow S$  be a ring homomorphism, and let  $J$  be an ideal of  $S$ . Prove that  $I = \varphi^{-1}(J)$  is an ideal of  $R$ . [§3.1]

In **Ab** we see  $\varphi^{-1}(J)$  is a subgroup of  $R$ . For all  $r \in R, a \in \varphi^{-1}(J)$ , we have

$$\varphi(ra) = \varphi(r)\varphi(a) \in J \implies ra \in \varphi^{-1}(J).$$

Similarly we can obtain  $ar \in \varphi^{-1}(J)$ . Therefore, we conclude that  $I = \varphi^{-1}(J)$  is an ideal of  $R$ . ■

**3.3**  $\neg$  Let  $\varphi : R \rightarrow S$  be a ring homomorphism, and let  $J$  be an ideal of  $R$ .

- Show that  $\varphi(J)$  need not be an ideal of  $S$ .
- Assume that  $\varphi$  is surjective; then prove that  $\varphi(J)$  is an ideal of  $S$ .
- Assume that  $\varphi$  is surjective, and let  $I = \ker \varphi$ ; thus we may identify  $S$  with  $R/I$ . Let  $\bar{J} = \varphi(J)$ , an ideal of  $R/I$  by the previous point. Prove that

$$\frac{R/I}{\bar{J}} \cong \frac{R}{I+J}$$

(Of course this is just a rehash of Proposition 3.11.) [4.11]

- Let  $\varphi : \mathbb{Z} \hookrightarrow \mathbb{Q}$  and  $J = \mathbb{Z}$ . It is clear that  $\varphi(J) = \mathbb{Z}$  is not an ideal of  $\mathbb{Q}$ .
- Assume that  $\varphi$  is surjective. In **Ab** we see  $\varphi(J)$  is a subgroup of  $S$ . For all  $a' = \varphi(a) \in \varphi(J)$ ,  $r' = \varphi(r) \in S$ ,

$$ra \in J \implies r'a' = \varphi(r)\varphi(a) = \varphi(ra) \in \varphi(J).$$

Similarly we can obtain  $a'r' \in \varphi(J)$ . Therefore, we conclude that  $\varphi(J)$  is an ideal of  $S$ .

- Assume that  $\varphi$  is surjective. The universal property yields a unique homomorphism

$$\begin{aligned} \psi : R/I &\longrightarrow R/(I+J), \\ r+I &\longmapsto r+I+J. \end{aligned}$$

Since

$$\begin{aligned} \ker \psi &= \{r+I \in R/I \mid r \in I+J\} \\ &= \{a+b+I \in R/I \mid a \in I, b \in J\} \\ &= \{b+I \in R/I \mid b \in J\} \\ &= \{\varphi(b) \in S \mid b \in J\} \\ &= \varphi(J) = \bar{J} \end{aligned}$$

and  $\psi$  is surjective,

$$\frac{R/I}{\bar{J}} = \frac{R/I}{\ker \psi} \cong \frac{R}{I+J}.$$

■

**3.7** Let  $R$  be a ring, and let  $a \in R$ . Prove that  $Ra$  is a left-ideal of  $R$ , and  $aR$  is a right-ideal of  $R$ . Prove that  $a$  is a left-, resp. right-unit if and only if  $R = aR$ , resp.  $R = Ra$ .

For all  $r \in R$ ,  $r(Ra) \subseteq Ra$ ,  $(aR)r \subseteq aR$ . Therefore,  $Ra$  is a left-ideal of  $R$ , and  $aR$  is a right-ideal of  $R$ . Since  $aR \subseteq R$ ,  $R \subseteq aR$  actually amounts to  $R = aR$ .

$$a \text{ is a left-unit} \iff \exists b \in R, ab = 1 \implies \forall r \in R, r = abr \in aR \implies R \subseteq aR$$

$$R \subseteq aR \implies \forall r \in R, \exists r' \in R, r = ar' \implies \exists r' \in R, ar' = 1 \iff a \text{ is a left-unit}$$

Therefore,  $a$  is a left-unit if and only if  $R = aR$ . Similarly we can prove  $a$  is a right-unit if and only if  $R = Ra$ . ■

**3.8** Prove that a ring  $R$  is a division ring if and only if its only left-ideals and right-ideals are  $\{0\}$  and  $R$ .

In particular, a commutative ring  $R$  is a field if and only if the only ideals of  $R$  are  $\{0\}$  and  $R$ . [3.9, §4.3]

Assume the only left-ideals and right-ideals that ring  $R$  have are  $\{0\}$  and  $R$ . If  $a \neq 0$ , we have  $Ra = aR = R$ . As a result of [Exercise III.3.7](#), it implies that  $a$  is two-side unit and that accordingly  $R$  is a division ring.

Now assume that  $R$  is a division ring. Suppose  $I$  is a nonzero left-ideal of  $R$  and that  $a \in I$  is not 0. Note that the condition of division ring guarantees there exists  $b \in R$  such that  $ba = 1$ . Since for all  $r \in R$ ,  $r = (rb)a \in I$ , there must be  $I = R$ . Supposing that  $I'$  is a nonzero right-ideal of  $R$  and that  $a' \in I'$  is not 0, in a similar way we can deduce  $I' = R$ . Therefore, we conclude that the only left-ideals of  $R$  and right-ideals of  $R$  are  $\{0\}$  and  $R$ . ■

**3.11** Let  $R$  be a ring containing  $\mathbb{C}$  as a subring. Prove that there are no ring homomorphisms  $R \rightarrow \mathbb{R}$ .

Suppose  $f : R \rightarrow \mathbb{R}$  is a homomorphism. On the one hand, we have

$$f(1) = f(1 * 1) = f(1)^2 \geq 0.$$

On the other hand, we can calculate  $f(1)$  by

$$f(1) = f(-i * i) = -f(i)^2 \leq 0,$$

which forces  $f(1)$  to be 0. Thus we see  $f$  sends some nonzero element in  $R$  to 0 in  $\mathbb{R}$ , which is a contradiction. ■

**3.12** Let  $R$  be a commutative ring. Prove that the set of nilpotent elements of  $R$  is an ideal of  $R$ . (Cf. [Exercise III.1.6](#). This ideal is called the nilradical of  $R$ .)

Find a non-commutative ring in which the set of nilpotent elements is not an ideal. [3.13, 4.18, V.3.13, §VII.2.3]

Suppose  $N$  is the set of nilpotent elements of  $R$ . In [Exercise III.1.6](#) we have shown that if  $R$  is commutative, then  $a + b \in N$  for all  $a, b \in N$ . Since for all  $r \in R$ ,  $a \in N$ ,

$$a^n = 0 \implies r^n a^n = a^n r^n = 0 \implies ra, ar \in N,$$

we prove that  $N$  is an ideal of  $R$ . A counterexample for non-commutative ring can be found in the ring  $\mathfrak{gl}_2(\mathbb{R})$ , as is shown in [Exercise III.1.6](#). ■

**3.13**  $\neg$  Let  $R$  be a commutative ring, and let  $N$  be its nilradical (cf. [Exercise III.3.12](#)). Prove that  $R/N$  contains no nonzero nilpotent elements. (Such a ring is said to be reduced.) [4.6, VII.2.8]

Suppose there exists a nilpotent element  $r + N \in R/N$  and  $n > 0$  such that

$$r^n + N = N \iff r^n \in N.$$

Then we have  $r^{nm} = 0$  for some  $m > 0$ , which implies  $r \in N$ . Therefore, the only nilpotent element in  $R/N$  is  $N$ . ■

**3.14**  $\neg$  Prove that the characteristic of an integral domain is either 0 or a prime integer. Do you know any ring of characteristic 1?

Suppose the characteristic of the integral domain  $R$  is  $pq$  where  $p, q$  are positive prime integers. Then we have  $p1_R \neq 0$  and  $q1_R \neq 0$ , since the order of  $1_R$  is  $pq$ . However, we can deduce

$$(p1_R)(q1_R) = pq1_R = 0_R,$$

which contradicts the assumption that  $R$  is an integral domain.

If the characteristic of the integral domain  $R$  is 1, then the inclusion homomorphism  $i : \mathbb{Z} \rightarrow R$  will send all integers to  $0_R$ , which means  $0_R = 1_R$  and  $R$  is actually a zero ring instead of an integral domain. Thus the characteristic of an integral domain is either be 0 or a prime integer. ■

**3.17** Let  $I, J$  be ideals of a ring  $R$ . State and prove a precise result relating the ideals  $(I + J)/I$  of  $R/I$  and  $J/(I \cap J)$  of  $R/(I \cap J)$ . [§3.3]

As abelian groups, the second isomorphism theorem ensures  $(I + J)/I \cong J/(I \cap J)$ . ■

## §4. Ideals and quotients: remarks and examples. Prime and maximal ideals

**4.2** Prove that the homomorphic image of a Noetherian ring is Noetherian. That is, prove that if  $\varphi : R \rightarrow S$  is a surjective ring homomorphism, and  $R$  is Noetherian, then  $S$  is Noetherian. [§6.4]



According to [Exercise III.3.2](#), given any ideal  $J$  of  $S$ , we see  $\varphi^{-1}(J)$  is an ideal of  $R$ . Since  $R$  is a Noetherian ring, we have  $\varphi^{-1}(J) = (a_1, a_2, \dots, a_n)$ . Since  $\varphi$  is surjective, there must be

$$J = \varphi(\varphi^{-1}(J)) = (\varphi(a_1), \varphi(a_2), \dots, \varphi(a_n)),$$

which means  $J$  is finitely generated. Thus we conclude  $S$  is Noetherian. ■

**4.3** Prove that the ideal  $(2, x)$  of  $\mathbb{Z}[x]$  is not principal.

Suppose  $(f) = (2, x)$ . Since it is easy to see  $f \neq 0$  and  $f \neq 1$ , there must be

$$2 = gf \implies f = 2.$$

However, it is impossible to find some  $h \in \mathbb{Z}[x]$  such that

$$2 + x = hf = 2h,$$

which leads to a contradiction. Thus we show that the ideal  $(2, x)$  of  $\mathbb{Z}[x]$  is not principal. ■

**4.5** Let  $I, J$  be ideals in a commutative ring  $R$ , such that  $I + J = (1)$ . Prove that  $IJ = I \cap J$ . [§4.1]

For any  $k \in IJ$ , we can assume that  $k = ab$ , ( $a \in I, b \in J$ ). Note that  $k \in aJ = J$  and  $k \in Ib = I$ . It deduces that  $k \in I \cap J$ . Thus we show  $IJ \subseteq I \cap J$ .

Suppose  $l \in I \cap J$ . If  $1 = a + b$  ( $a \in I, b \in J$ ), Then we have  $l = 1 * l = (a + b)l = al + lb \in IJ$ , which implies that  $I \cap J \subseteq IJ$ . Therefore, we show  $IJ = I \cap J$ . ■

**4.6** Let  $I, J$  be ideals in a commutative ring  $R$ . Assume that  $R/(IJ)$  is reduced (that is, it has no nonzero nilpotent elements; cf. [Exercise III.3.13](#)). Prove that  $IJ = I \cap J$ .

The notation  $(IJ)$  suggests  $R$  is commutative. As is shown in [Exercise III.4.5](#), it holds that  $IJ \subseteq I \cap J$ . Thus we are left to show  $I \cap J \subseteq IJ$ . Suppose  $l \in I \cap J$ . The condition that  $R/(IJ)$  is reduced tells that  $\forall r \in R$ ,

$$r^n \in IJ \implies r \in IJ.$$

Noticing  $l \in I$  and  $l \in J$ , it is clear that  $l^2 \in IJ$  which implies  $l \in IJ$ . There we show  $I \cap J \subseteq IJ$  and complete the proof. ■

**4.7** ▷ Let  $R = k$  be a field. Prove that every nonzero (principal) ideal in  $k[x]$  is generated by a unique *monic* polynomial. [§4.2, §VI.7.2]

Suppose  $I$  is a nonzero ideal in  $k[x]$  and the least degree of nonzero polynomials in  $I$  is  $d$ . Since  $k$  is a field, we can find a monic polynomial  $f(x) = k_0x^d + k_1x^{d+1} + \cdots + x^{d+n}$  in  $I$ . Given any  $g(x) \in I$ , there exist unique polynomials  $q(x), r(x) \in k[x]$  such that  $g(x) = f(x)q(x) + r(x)$  and  $\deg r(x) < \deg f(x) = d$ . Since  $r(x) = g(x) - f(x)q(x) \in I$  and the least degree of nonzero polynomials in  $I$  is  $d$ , there must be  $r(x) = 0$ . Thus we show that  $I$  is generated by a monic polynomial  $f(x)$ . Suppose  $I = (f(x))$  can be also generated by a monic polynomial  $\bar{f}(x)$ . Then we have  $\bar{f}(x) = cf(x)$  for some  $c \neq 0$ . Since the two monic polynomials  $\bar{f}(x), f(x)$  have the same degree, they are forced to be equal. Therefore, we conclude that every nonzero ideal in  $k[x]$  is generated by a unique monic polynomial. ■

**4.8** ▷ Let  $R$  be a ring, and  $f(x) \in R[x]$  a monic polynomial. Prove that  $f(x)$  is not a (left-, or right-) zero-divisor. [§4.2, 4.9]

Suppose  $f(x) = x^d + a_{d-1}x^{d-1} + \cdots + a_1x + a_0$  is a monic polynomial in  $R[x]$  and  $f(x)g(x) = 0$  for some  $g(x) = b_sx^s + b_{s-1}x^{s-1} + \cdots + b_1x + b_0 \in R[x]$ . Since the term of the degree of  $d + s$  of  $f(x)g(x)$  is  $b_sx^{d+s}$ , there must be  $b_s = 0$ . Then the term of the degree of  $d + s - 1$  of  $f(x)g(x)$  is  $b_{s-1}x^{d+s-1}$ , which implies  $b_{s-1} = 0$ . Repeating this process we can show that  $b_s = b_{s-1} = \cdots = b_0 = 0$ , that is,  $g(x) = 0$ . Thus we see  $f(x)$  is not a left-zero-divisor. In a similar way we can show that  $f(x)$  is not a right-zero-divisor. ■

**4.10** ▸ Let  $d$  be an integer that is not the square of an integer, and consider the subset of  $\mathbb{C}$  defined by

$$\mathbb{Q}(\sqrt{d}) := \{a + b\sqrt{d} \mid a, b \in \mathbb{Q}\}$$

- Prove that  $\mathbb{Q}(\sqrt{d})$  is a subring of  $\mathbb{C}$ .
- Define a function  $N : \mathbb{Q}(\sqrt{d}) \rightarrow \mathbb{Q}$  by  $N(a + b\sqrt{d}) := a^2 - b^2d$ . Prove that

$$N(zw) = N(z)N(w), \text{ and that } N(z) \neq 0 \text{ if } z \in \mathbb{Q}(\sqrt{d}), z \neq 0$$

The function  $N$  is a ‘norm’; it is very useful in the study of  $\mathbb{Q}(\sqrt{d})$  and of its subrings. (Cf. also [Exercise III.2.5](#).)

- Prove that  $\mathbb{Q}(\sqrt{d})$  is a field, and in fact the smallest subfield of  $\mathbb{C}$  containing both  $\mathbb{Q}$  and  $\sqrt{d}$ . (Use  $N$ .)
- Prove that  $\mathbb{Q}(\sqrt{d}) \cong \mathbb{Q}[t]/(t^2 - d)$ . (Cf. Example 4.8.)  
[V.1.17, V.2.18, V.6.13, VII.1.12]

- We only show the check on multiplication

$$(a_1 + b_1\sqrt{d})(a_2 + b_2\sqrt{d}) = (a_1a_2 + b_1b_2d) + (a_1b_2 + a_2b_1)\sqrt{d} \in \mathbb{Q}(\sqrt{d}).$$

- It is immediate to check  $N(zw) = N(z)N(w)$ . Let  $z \in \mathbb{Q}(\sqrt{d})$  and  $z = a + b\sqrt{d} \neq 0$ . Suppose  $N(z) = a^2 - b^2d = 0$ . If  $b = 0$ , we have  $a = 0$ , which contradicts with

$a + b\sqrt{d} \neq 0$ . Otherwise we have  $b \neq 0$  and  $d = (a/b)^2$ . Thus we get a contradiction again.

- We have known  $\mathbb{Q}(\sqrt{d})$  is a commutative ring. For any  $z = a + b\sqrt{d} \in \mathbb{Q}(\sqrt{d})$  such that  $z \neq 0$ ,

$$N(z) = (a + b\sqrt{d})(a - b\sqrt{d}) = a^2 - b^2d \neq 0.$$

Therefore

$$(a + b\sqrt{d}) \left( \frac{a}{N(z)} - \frac{b}{N(z)}\sqrt{d} \right) = 1$$

and  $\mathbb{Q}(\sqrt{d})$  is a field.

- The mapping

$$\begin{aligned} \bar{\varphi} : \mathbb{Q}[t]/(t^2 - d) &\longrightarrow \mathbb{Q}(\sqrt{d}), \\ a + bt + (t^2 - d) &\longmapsto a + b\sqrt{d}. \end{aligned}$$

is well-defined since if  $(a_1 + b_1t) - (a_2 + b_2t) = g(t)(t^2 - d)$ , then

$$\begin{aligned} \bar{\varphi}(a_1 + b_1t + (t^2 - d)) - \bar{\varphi}(a_2 + b_2t + (t^2 - d)) &= (a_1 + b_1\sqrt{d}) - (a_2 + b_2\sqrt{d}) \\ &= g(\sqrt{d}) \left( (\sqrt{d})^2 - d \right) \\ &= 0. \end{aligned}$$

It is clear that  $\bar{\varphi}$  preserves addition. Then we can check  $\bar{\varphi}$  preserve multiplication:

$$\begin{aligned} &\bar{\varphi}((a_1 + b_1t + (t^2 - d))(a_2 + b_2t + (t^2 - d))) \\ &= \bar{\varphi}((a_1a_2 + (a_1b_2 + a_2b_1)t + b_1b_2t^2 + (t^2 - d))) \\ &= \bar{\varphi}(((a_1a_2 + b_1b_2d) + (a_1b_2 + a_2b_1)t + b_1b_2(t^2 - d) + (t^2 - d))) \\ &= (a_1a_2 + b_1b_2d) + (a_1b_2 + a_2b_1)\sqrt{d} \\ &= (a_1 + b_1\sqrt{d})(a_2 + b_2\sqrt{d}) \\ &= \bar{\varphi}(a_1 + b_1t + (t^2 - d)) \bar{\varphi}(a_2 + b_2t + (t^2 - d)). \end{aligned}$$

Thus we see  $\bar{\varphi}$  is a ring homomorphism. Note

$$a + bt + (t^2 - d) \in \ker \bar{\varphi} \iff a + b\sqrt{d} = 0 \iff a = b = 0.$$

It implies that  $\ker \bar{\varphi} = \{0 + (t^2 - d)\}$  and  $\bar{\varphi}$  is injective. It is clear that  $\bar{\varphi}$  is surjective. Therefore,  $\bar{\varphi}$  is an isomorphism. ■

**4.11** Let  $R$  be a commutative ring,  $a \in R$ , and  $f_1(x), \dots, f_r(x) \in R[x]$ .

- Prove the equality of ideals

$$(f_1(x), \dots, f_r(x), x - a) = (f_1(a), \dots, f_r(a), x - a)$$

- Prove the useful substitution trick

$$\frac{R[x]}{(f_1(x), \dots, f_r(x), x - a)} \cong \frac{R}{(f_1(a), \dots, f_r(a))}$$

(Hint: [Exercise III.3.3](#).)

- According to the polynomial remainder theorem, we have

$$f_i(x) = (x - a)q_i(x) + f_i(a),$$

which suffices to show that  $(f_1(x), \dots, f_r(x), x - a) = (f_1(a), \dots, f_r(a), x - a)$ .

- Define

$$\begin{aligned} \varphi : R[x] &\longrightarrow R, \\ f(x) &\longmapsto f(a). \end{aligned}$$

We can check that  $\varphi$  is a surjective ring homomorphism and  $\ker \varphi = (x - a)$ . According to [Exercise III.3.3](#), we have

$$\frac{R[x]}{(f_1(x), \dots, f_r(x), x - a)} \cong \frac{R[x]}{(f_1(a), \dots, f_r(a), x - a)} \cong \frac{R[x]/(x - a)}{(f_1(a), \dots, f_r(a))},$$

where

$$\overline{(f_1(a), \dots, f_r(a))} = (f_1(a) + (x - a), \dots, f_r(a) + (x - a)).$$

The ring isomorphism

$$\begin{aligned} \psi : R[x]/(x - a) &\longrightarrow R, \\ f(x) + (x - a) &\longmapsto f(a) \end{aligned}$$

gives the following isomorphism

$$\frac{R[x]/(x - a)}{(f_1(a), \dots, f_r(a))} \cong \frac{R}{(f_1(a), \dots, f_r(a))},$$

which completes the proof. ■

**4.12** ▷ Let  $R$  be a commutative ring, and  $a_1, \dots, a_n$  elements of  $R$ . Prove that

$$\frac{R[x_1, \dots, x_n]}{(x_1 - a_1, \dots, x_n - a_n)} \cong R$$

[VII.2.2]

$$R \cong \frac{R[x_1]}{(x_1 - a_1)} \cong \frac{R[x_1, x_2]}{(x_1 - a_1, x_2 - a_2)}$$

The mapping

$$\begin{aligned} \bar{\varphi} : \frac{R[x_1, \dots, x_n]}{(x_1 - a_1, \dots, x_n - a_n)} &\longrightarrow \frac{R[x_1, \dots, x_{n-1}]}{(x_1 - a_1, \dots, x_{n-1} - a_{n-1})}, \\ f(x_1, \dots, x_n) + (x_1 - a_1, \dots, x_n - a_n) &\longmapsto f(x_1, \dots, x_{n-1}, a_n) + (x_1 - a_1, \dots, x_{n-1} - a_{n-1}) \end{aligned}$$

is well-defined since if  $f_1(x_1, \dots, x_n) - f_2(x_1, \dots, x_n) = \sum_{i=1}^n g_i(x_1, \dots, x_n)(x_i - a_i)$ , then

$$\begin{aligned} \bar{\varphi}\left(\overline{f_1(x_1, \dots, x_n)}\right) - \bar{\varphi}\left(\overline{f_2(x_1, \dots, x_n)}\right) &= f_1(x_1, \dots, x_{n-1}, a_n) - f_2(x_1, \dots, x_{n-1}, a_n) \\ &= \sum_{i=1}^{n-1} g_i(x_1, \dots, x_{n-1}, a_n)(x_i - a_i) + g_n(x_1, \dots, x_{n-1}, a_n)(a_n - a_n) \\ &= \sum_{i=1}^{n-1} g_i(x_1, \dots, x_{n-1}, a_n)(x_i - a_i). \end{aligned}$$

It is clear that  $\bar{\varphi}$  preserves addition and multiplication. Thus we see  $\bar{\varphi}$  is a ring homomorphism. Note

$$\begin{aligned} f(x_1, \dots, x_n) &\in \ker \bar{\varphi} \\ \iff f(x_1, \dots, x_{n-1}, a_n) &= \sum_{i=1}^{n-1} g_i(x_1, \dots, x_{n-1}, a_n)(x_i - a_i) \\ \iff f(x_1, \dots, x_{n-1}, x_n) &= \sum_{i=1}^{n-1} g_i(x_1, \dots, x_{n-1}, a_n)(x_i - a_i) + g_n(x_1, \dots, x_{n-1}, a_n)(x_n - a_n) \\ \iff f(x_1, \dots, x_n) &\in (x_1 - a_1, \dots, x_n - a_n), \end{aligned}$$

where the last but one line can be deduced by the polynomial remainder theorem if we fix  $x_1, \dots, x_{n-1}$  and regard  $x_n$  as a variable. It implies that  $\ker \bar{\varphi} = \{0 + (x_1 - a_1, \dots, x_n - a_n)\}$  and  $\bar{\varphi}$  is injective. It is clear that  $\bar{\varphi}$  is surjective. Therefore,  $\bar{\varphi}$  is an isomorphism and

$$R \cong \frac{R[x_1]}{(x_1 - a_1)} \cong \frac{R[x_1, x_2]}{(x_1 - a_1, x_2 - a_2)} \cong \dots \cong \frac{R[x_1, \dots, x_n]}{(x_1 - a_1, \dots, x_n - a_n)}.$$

■

**4.17**  $\neg$  (If you know a little topology...) Let  $K$  be a compact topological space, and let  $R$  be the ring of continuous real-valued functions on  $K$ , with addition and multiplication defined pointwise.

- (i) For  $p \in K$ , let  $M_p = \{f \in R \mid f(p) = 0\}$ . Prove that  $M_p$  is a maximal ideal in  $R$
- (ii) Prove that if  $f_1, \dots, f_r \in R$  have no common zeros, then  $(f_1, \dots, f_r) = (1)$  (Hint: consider  $f_1^2 + \dots + f_r^2$ )
- (iii) Prove that every maximal ideal  $M$  in  $R$  is of the form  $M_p$  for some  $p \in K$ . (Hint: you will use the compactness of  $K$  and (ii).)

If further  $K$  is Hausdorff (and, as Bourbaki would have it, compact spaces are Hausdorff), then Urysohn's lemma shows that for any two points  $p \neq q$  in  $K$  there exists a function  $f \in R$  such that  $f(p) = 0$  and  $f(q) = 1$ . If this is the case, conclude that  $p \mapsto M_p$  defines a bijection from  $K$  to the set of maximal ideals of  $R$ . (The set of maximal ideals of a commutative ring  $R$  is called the *maximal spectrum* of  $R$ ; it is contained in the (prime) spectrum  $\text{Spec } R$  defined in §4.3. Relating commutative rings and 'geometric' entities such as topological spaces is the business of *algebraic geometry*.)

The compactness hypothesis is necessary: cf. Exercise V.3.10. [V.3.10]

- (i) Suppose all functions in  $R$  that have same value in a neighborhood of  $p$  are identified. It is easy to check that  $M_p$  is an ideal and  $R/M_p$  is commutative. Given any  $f \in R - M_p$ , we have  $f(p) \neq 0$  and

$$(f + M_p) \left( \frac{1}{f} + M_p \right) = 1 + M_p$$

Therefore,  $R/M_p$  is a field and  $M_p$  is a maximal ideal in  $R$ .

- (ii) If  $f_1, \dots, f_r \in R$  have no common zeros,  $(f_1, \dots, f_r) = (1)$  follows from

$$\sum_{i=1}^n \frac{f_i}{f_1^2 + \dots + f_n^2} f_i = 1.$$

- (iii) Let  $M$  be a maximal ideal in  $R$ . Then  $R/M$  is a field. For any  $f \in R - M$ , there exists  $g \in R - M$  such that

$$(f + M)(g + M) = fg + M = 1 + M \implies fg - 1 \in M$$

■

## §5. Modules over a ring

**5.1** ▷ Let  $R$  be a ring. The *opposite* ring  $R^\circ$  is obtained from  $R$  by reversing the multiplication: that is, the product  $a \bullet b$  in  $R^\circ$  is defined to be  $ba \in R$ . Prove that the identity map  $R \rightarrow R^\circ$  is an isomorphism if and only if  $R$  is commutative. Prove that  $\mathcal{M}_n(\mathbb{R})$  is isomorphic to its opposite (not via the identity map!). Explain how to turn right- $R$ -modules into left- $R$ -modules and conversely, if  $R \cong R^\circ$ . [§5.1, VIII.5.19]

Let  $i$  denote the identity map  $R \rightarrow R^\circ$ . If  $R$  is commutative, we have

$$i(ab) = ab = b \bullet a = i(b) \bullet i(a) = i(a) \bullet i(b).$$

Given that  $i(a + b) = a + b$  and identity map is a bijection, we see that  $i$  is an isomorphism.

If  $i$  is an isomorphism, we have

$$ab = b \bullet a = i^{-1}(b \bullet a) = i^{-1}(b)i^{-1}(a) = ba,$$

which implies that  $R$  is commutative.

Suppose  $A, B \in \mathcal{M}_n(\mathbb{R})$ . We can show that the transpose of matrix  $\cdot^T : A \mapsto A^T$  is an isomorphism by checking

$$(AB)^T = B^T A^T = A^T \bullet B^T.$$

Let  $M$  be a right- $R$ -module with right multiplication  $\odot$ . If  $R \cong R^\circ$  and  $f : R \rightarrow R^\circ$  is an isomorphism, then

$$f(ab) = f(a) \bullet f(b) = f(b)f(a)$$

Define left multiplication  $\odot_L$  as

$$r \odot_L m := m \odot f(r), \quad \forall r \in R, m \in M.$$

We can check that

$$1 \odot_L m = m \odot f(1) = 1,$$

$$\begin{aligned} (rs) \odot_L m &= m \odot f(rs) = m \odot (f(s)f(r)) = (m \odot f(s)) \odot f(r) \\ &= (s \odot_L m) \odot f(r) = r \odot_L (s \odot_L m), \end{aligned}$$

$$r \odot_L (m_1 + m_2) = (m_1 + m_2) \odot f(r) = m_1 \odot f(r) + m_2 \odot f(r) = r \odot_L m_1 + r \odot_L m_2.$$

Therefore, we show that  $M$  is a left- $R$ -module with right multiplication  $\odot_L$ .

If  $M$  is a left- $R$ -module with left multiplication  $*$  and  $f : R \rightarrow R^\circ$  is an isomorphism, then we can show that  $M$  is a right- $R$ -module with right multiplication  $*_R$  defined as

$$m *_R r := f^{-1}(r), \quad \forall r \in R, m \in M.$$

■

**5.3** ▷ Let  $M$  be a module over a ring  $R$ . Prove that  $0 \cdot m = 0$  and that  $(-1) \cdot m = -m$ , for all  $m \in M$ . [§5.2]

$$\begin{aligned} 0 \cdot m &= (0 + 0) \cdot m = 0 \cdot m + 0 \cdot m \implies 0 \cdot m = 0, \\ 0 &= (1 - 1) \cdot m = 1 \cdot m + (-1) \cdot m \implies (-1) \cdot m = -m. \end{aligned}$$

■

**5.4** ⇐ Let  $R$  be a ring. A nonzero  $R$ -module  $M$  is *simple* (or *irreducible*) if its only submodules are  $\{0\}$  and  $M$ . Let  $M, N$  be simple modules, and let  $\varphi : M \rightarrow N$  be a homomorphism of  $R$ -modules. Prove that either  $\varphi = 0$ , or  $\varphi$  is an isomorphism. (This rather innocent statement is known as Schur's lemma.) [5.10, 6.16, VI.1.16]

For convenience, we talk about the identity of modules up to isomorphism. Since the nonzero  $R$ -module  $M$  is simple,  $\ker \varphi$  is either  $\{0\}$  or  $M$ . Thus  $\text{im } \varphi = M / \ker \varphi$  is either  $\{0\}$  or  $M$ . Note that  $\text{im } \varphi \subset N$  is either  $\{0\}$  or  $N$ . If  $\text{im } \varphi = \{0\}$ , then we have  $\varphi = 0$ . If  $\text{im } \varphi = M$ , then we have  $\text{im } \varphi = M = N$ . Therefore we show that either  $\varphi = 0$ , or  $\varphi$  is an isomorphism.

■

**5.5** Let  $R$  be a commutative ring, viewed as an  $R$ -module over itself, and let  $M$  be an  $R$ -module. Prove that  $\text{Hom}_{R\text{-Mod}}(R, M) \cong M$  as  $R$ -modules.

Define

$$\begin{aligned} \varphi : \text{Hom}_{R\text{-Mod}}(R, M) &\longrightarrow M, \\ f &\longmapsto f(1) \end{aligned}$$

Since

$$\begin{aligned} \varphi(f + g) &= (f + g)(1) = f(1) + g(1) = \varphi(f) + \varphi(g), \\ \varphi(rf) &= (rf)(1) = rf(1) = r\varphi(f), \end{aligned}$$

we see  $\varphi$  is a homomorphism. If  $\varphi(f_1) = \varphi(f_2)$ , we have  $f_1(1) = f_2(1)$ . Multiply both sides by any  $r \in R$  and we get

$$rf_1(1) = rf_2(1) \implies f_1(r) = f_2(r),$$

which means  $f_1 = f_2$ . Thus we show  $\varphi$  is injective. Given any  $m \in M$ , let

$$\begin{aligned} h_m : R &\longrightarrow M, \\ r &\longmapsto rm \end{aligned}$$

Since  $\varphi(h_m) = h_m(1) = m$ , we show that  $\varphi$  is surjective. Therefore, we conclude that  $\varphi$  is an isomorphism and  $\text{Hom}_{R\text{-Mod}}(R, M) \cong M$  as  $R$ -modules.

■



**5.6** Let  $G$  be an abelian group. Prove that if  $G$  has a structure of  $\mathbb{Q}$ -vector space, then it has only one such structure. (Hint: First prove that every nonidentity element of  $G$  has necessarily infinite order. Alternative hint: The unique ring homomorphism  $\mathbb{Z} \rightarrow \mathbb{Q}$  is an epimorphism.)

Assume that  $G$  has two structures of  $\mathbb{Q}$ -vector space with scalar multiplication operations  $\cdot$  and  $*$  respectively. Note that  $1 \cdot g = 1 * g = g$  for all  $g \in G$ . With the conventional notation  $\sum_{i=1}^n g = ng$ , we have for all  $g \in G$ ,

$$\sum_{i=1}^n 1 \cdot g = \sum_{i=1}^n 1 * g = ng \implies \left( \sum_{i=1}^n 1 \right) \cdot g = \left( \sum_{i=1}^n 1 \right) * g = ng \implies n \cdot g = n * g = ng.$$

Since

$$\begin{aligned} \sum_{i=1}^m \frac{1}{m} \cdot h &= \left( \sum_{i=1}^m \frac{1}{m} \right) \cdot h = h, & \forall h \in G, \\ \sum_{i=1}^m \frac{1}{m} * h &= \left( \sum_{i=1}^m \frac{1}{m} \right) * h = h, & \forall h \in G, \end{aligned}$$

it holds that for all  $h \in G$ ,

$$\sum_{i=1}^m \frac{1}{m} \cdot h = \sum_{i=1}^m \frac{1}{m} * h \implies \sum_{i=1}^m \left( \frac{1}{m} \cdot h - \frac{1}{m} * h \right) = 0 \implies m \cdot \left( \frac{1}{m} \cdot h - \frac{1}{m} * h \right) = 0.$$

According to the property of vector space, we have  $m = 0$  or  $\frac{1}{m} \cdot h - \frac{1}{m} * h = 0$ . However,  $m$  is a positive integer, which forces  $\frac{1}{m} \cdot h = \frac{1}{m} * h$ . Thus we can deduce that for all  $h \in G$ ,  $n, m \in \mathbb{Z}_+$ ,

$$n \cdot \left( \frac{1}{m} \cdot h \right) = n * \left( \frac{1}{m} * h \right) \implies \frac{n}{m} \cdot h = \frac{n}{m} * h.$$

In other words, for all  $h \in G$ ,  $q \in \mathbb{Q}_+$ , we have  $q \cdot h = q * h$ . Note that  $(-q) \cdot h = (-q) * h$  and  $0 \cdot h = 0 * h$ , finally we obtain that for all  $h \in G$ ,  $q \in \mathbb{Q}$ ,

$$q \cdot h = q * h.$$

Therefore, the two scalar multiplication operations  $\cdot$  and  $*$  coincide, which completes the proof. ■

**5.7** Let  $K$  be a field, and let  $k \subseteq K$  be a subfield of  $K$ . Show that  $K$  is a vector space over  $k$  (and in fact a  $k$ -algebra) in a natural way. In this situation, we say that  $K$  is an extension of  $k$ .

Define the scalar multiplication  $\cdot$  as

$$a \cdot x := ax, \quad \forall a \in k, x \in K.$$

Then we can check that for all  $a, b \in k$ ,  $x, y \in K$ ,

$$\begin{aligned} 1 \cdot x &= x, \\ (ab) \cdot x &= (ab)x = a(bx) = a \cdot (b \cdot x), \\ (a + b) \cdot x &= (a + b)x = ax + bx = a \cdot x + b \cdot x, \\ a \cdot (x + y) &= a(x + y) = ax + ay = a \cdot x + a \cdot y, \\ (a \cdot x)(b \cdot y) &= (ax)(by) = (ab)(xy) = (ab) \cdot (xy). \end{aligned}$$

Therefore,  $K$  is a  $k$ -vector space and a  $k$ -algebra as well. ■

**5.8** What is the initial object of the category  $R\text{-Alg}$ ?

The ring  $R$  can be seen as a  $R$ -algebra if it is endowed with a scalar multiplication  $\cdot$  in a natural way, that is

$$r \cdot x := rx, \quad \forall r \in R, x \in R.$$

Given any  $R$ -algebra  $A$ , define the following map

$$\begin{aligned} f : R &\longrightarrow A, \\ r &\longmapsto r \cdot 1_A. \end{aligned}$$

We can check that

$$\begin{aligned} f(r_1 + r_2) &= (r_1 + r_2) \cdot 1_A = r_1 \cdot 1_A + r_2 \cdot 1_A = f(r_1) + f(r_2), \\ f(r_1 r_2) &= (r_1 r_2) \cdot 1_A = r_1 \cdot (r_2 \cdot 1_A) = r_1 \cdot (1_A(r_2 \cdot 1_A)) = (r_1 \cdot 1_A)(r_2 \cdot 1_A) = f(r_1)f(r_2), \\ f(r_1 \cdot r_2) &= f(r_1 r_2) = r_1 \cdot (r_2 \cdot 1_A) = r_1 \cdot f(r_2). \end{aligned}$$

Hence  $f$  is a morphism in the category  $R\text{-Alg}$ .

Suppose  $g : R \rightarrow A$  is a morphism in  $R\text{-Alg}$ . Then for all  $r_1, r_2 \in R$ ,

$$g(r_1 r_2) = g(r_1 \cdot r_2) \implies g(r_1)g(r_2) = r_1 \cdot g(r_2) = r_1 \cdot (1_A g(r_2)) = (r_1 \cdot 1_A)g(r_2).$$

Take  $r_2 = 1_R$  and then for all  $r_1 \in R$ ,

$$g(r_1)g(1_R) = (r_1 \cdot 1_A)g(1_R) \implies g(r_1) = r_1 \cdot 1_A.$$

Thus we have  $g = f$ . Therefore, we show that for any  $R$ -algebra  $A$ , there exists a unique morphism  $f : R \rightarrow A$  in  $R\text{-Alg}$ . In other words,  $R$  is the initial object of the category  $R\text{-Alg}$ . ■

**5.9**  $\neg$  Let  $R$  be a commutative ring, and let  $M$  be an  $R$ -module. Prove that the operation of composition on the  $R$ -module  $\text{End}_{R\text{-Mod}}(M)$  makes the latter an  $R$ -algebra in a natural way.

Prove that  $\mathcal{M}_n(R)$  (cf. [Exercise III.1.4](#)) is an  $R$ -algebra, in a natural way. [VI.1.12, VI.2.3]

In textbook we have show that  $\text{End}_{R\text{-Mod}}(M)$  is an  $R$ -module with natural addition and scalar multiplication. We can check that for all  $f, g, h \in \text{End}_{R\text{-Mod}}(M)$ ,  $r, s \in R$ ,  $x \in M$ ,

$$\begin{aligned} (id_M \circ f)(x) &= id_M(f(x)) = f(x), \\ ((f + g) \circ h)(x) &= (f + g)(h(x)) = f(h(x)) + g(h(x)) = (f \circ h)(x) + (g \circ h)(x) \\ &= (f \circ h + g \circ h)(x), \\ ((r \cdot f) \circ (sg))(x) &= (r \cdot f)((s \cdot g)(x)) = r \cdot f(s \cdot g(x)) = r \cdot (s \cdot f(g(x))) \\ &= (rs) \cdot (f(g(x))) = (rs) \cdot ((f \circ g)(x)) = ((rs) \cdot (f \circ g))(x). \end{aligned}$$

Thus we prove that the operation of composition  $\circ$  on the  $R$ -module  $\text{End}_{R\text{-Mod}}(M)$  makes  $\text{End}_{R\text{-Mod}}(M)$  an  $R$ -algebra.

In [Exercise III.1.4](#) we have shown that  $\mathcal{M}_n(R)$  is a ring. Let the scalar multiplication  $\cdot$  be componentwise multiplication, namely

$$r \cdot (a_{ij})_{n \times n} := (ra_{ij})_{n \times n}, \quad \forall r \in R, (a_{ij})_{n \times n} \in \mathcal{M}_n(R).$$

We can check that

$$\begin{aligned} 1_R \cdot (a_{ij})_{n \times n} &= (1_R a_{ij})_{n \times n} = (a_{ij})_{n \times n}, \\ (r + s) \cdot (a_{ij})_{n \times n} &= ((r + s)a_{ij})_{n \times n} = (ra_{ij})_{n \times n} + (sa_{ij})_{n \times n} \\ &= r \cdot (a_{ij})_{n \times n} + s \cdot (a_{ij})_{n \times n}, \\ r \cdot ((a_{ij})_{n \times n} + (b_{ij})_{n \times n}) &= r \cdot (a_{ij} + b_{ij})_{n \times n} = (r(a_{ij} + b_{ij}))_{n \times n} \\ &= (ra_{ij})_{n \times n} + (rb_{ij})_{n \times n} = r \cdot (a_{ij})_{n \times n} + r \cdot (b_{ij})_{n \times n}, \\ (rs) \cdot (a_{ij})_{n \times n} &= ((rs)a_{ij})_{n \times n} = r \cdot (sa_{ij})_{n \times n} = r \cdot (s \cdot (a_{ij})_{n \times n}), \\ (r \cdot (a_{ij})_{n \times n}) (s \cdot (b_{ij})_{n \times n}) &= (ra_{ij})_{n \times n} (sb_{ij})_{n \times n} = \left( \sum_{k=1}^n (ra_{ik}) (sb_{kj}) \right)_{n \times n} \\ &= \left( (rs) \sum_{k=1}^n a_{ik} b_{kj} \right)_{n \times n} = (rs) \cdot \left( \sum_{k=1}^n a_{ik} b_{kj} \right)_{n \times n} \\ &= (rs) \cdot ((a_{ij})_{n \times n} (b_{ij})_{n \times n}). \end{aligned}$$

Therefore,  $\mathcal{M}_n(R)$  is an  $R$ -algebra. ■

**5.11** ▷ Let  $R$  be a commutative ring, and let  $M$  be an  $R$ -module. Prove that there is a bijection between the set of  $R[x]$ -module structures on  $M$  (extending the given  $R$ -module structure) and  $\text{End}_{R\text{-Mod}}(M)$ . [[§VI.7.1](#)]

According to [Exercise III.5.9](#),  $\text{End}_{R\text{-Mod}}(M)$  has an  $R$ -algebra structure, which can induce an  $R[x]$ -module structure on  $\text{End}_{R\text{-Mod}}(M)$ . That is, for all  $f(x) = r_0 + r_1x + \cdots + r_nx^n \in R[x]$ ,  $\varphi \in \text{End}_{R\text{-Mod}}(M)$ ,

$$f(x) \cdot \varphi := f(\varphi) = r_0 + r_1\varphi + \cdots + r_n\varphi^n.$$

Given any  $\varphi \in \text{End}_{R\text{-Mod}}(M)$ , define the following  $R[x]$ -module structures on  $M$  with scalar multiplication  $\cdot_\varphi$ ,

$$f(x) \cdot_\varphi m := (f(\varphi))(m), \quad \forall f(x) \in R[x], m \in M.$$

What we need is to show that the map  $\varphi \mapsto \cdot_\varphi$  is a bijection.

If  $\cdot_\varphi = \cdot_\eta$ , we have  $(f(\varphi))(m) = (f(\eta))(m)$ . Take  $f(x) = x$  and then we have  $\varphi(m) = \eta(m)$  for all  $m \in M$ , which implies  $\varphi = \eta$ . Hence the map  $\varphi \mapsto \cdot_\varphi$  is injective.

Suppose  $\bullet$  is a scalar multiplication which makes  $M$  an  $R[x]$ -module.

- $f(x) \bullet (m + n) = f(x) \bullet m + f(x) \bullet n$
- $(f(x) + g(x)) \bullet m = f(x) \bullet m + g(x) \bullet m$
- $(f(x)g(x)) \bullet m = f(x) \bullet (g(x) \bullet m)$
- $1 \bullet m = m$

■

## §6. Products, coproducts, etc. in $R\text{-Mod}$

**6.3** Let  $R$  be a ring,  $M$  an  $R$ -module, and  $p : M \rightarrow M$  an  $R$ -module homomorphism such that  $p^2 = p$ . (Such a map is called a projection.) Prove that  $M \cong \ker p \oplus \text{im } p$ .

Since  $x = p((p - \text{id}_M)x) \in \text{im } p$ ,  $p$  must be an epimorphism and  $M \cong \text{im } p \oplus M/\ker p$ . For all  $x \in \ker p \cap \text{im } p$ , we can assume  $x = py$  and deduce that  $0 = px = p^2y = py = x$ . Thus we have  $\ker p \cap \text{im } p = \{0\}$  and

$$\frac{\ker p \oplus \text{im } p}{\ker p} \cong \frac{\text{im } p}{\ker p \cap \text{im } p} \cong \text{im } p,$$

which implies

$$\frac{\ker p \oplus \text{im } p}{M} \cong \frac{\ker p \oplus \text{im } p / \ker p}{M / \ker p} \cong \frac{\text{im } p}{\text{im } p} \cong \{0\}.$$

Therefore we show that  $M \cong \ker p \oplus \text{im } p$ .

■

## §7. Complexes and homology

**7.1** Assume that the complex

$$\cdots \longrightarrow 0 \longrightarrow M \longrightarrow 0 \longrightarrow \cdots$$

is exact. Prove that  $M \cong 0$ . [§7.3]

Assume that  $f : 0 \rightarrow M$  and  $g : M \rightarrow 0$ . Since the the complex is exact, we have

$$\{0\} = \operatorname{im} f = \ker g = M.$$

■

## Chapter V. Irreducibility and factorization in integral domains

## Appendix

**Lemma II.1** (von Dyck) Given a presentation  $(A|\mathcal{R}) = F(A)/R$ , where  $A$  is the set of generators,  $\mathcal{R} \in F(A)$  is the set of relators and  $R$  is the smallest normal subgroup of  $F(A)$  containing  $\mathcal{R}$ . Define inclusion mapping  $i : A \rightarrow F(A)$  and projection  $\pi : F(A) \rightarrow F(A)/R$ . If  $f$  is a mapping from  $A$  to a group  $G$ , and every relations in  $\mathcal{R}$  holds in  $G$  via  $f$ , that is,  $\mathcal{R} \subset \ker \varphi$  where  $\varphi$  is the unique homomorphism induced by the universal property of free group, then there exists a unique homomorphism  $\psi : F(A)/R \rightarrow G$  such that  $f = \psi \circ \pi \circ i$ . If  $G$  is generated by  $f(A)$ , then  $\psi$  is surjective.

$$\begin{array}{ccc}
 & F(A)/R & \\
 \pi \uparrow & \searrow \exists! \psi & \\
 F(A) & \xrightarrow{\varphi} & G \\
 i \uparrow & \nearrow f & \\
 A & & 
 \end{array}$$

**Proof of the lemma.** Since  $R$  is the smallest normal subgroup of  $F(A)$  containing  $\mathcal{R}$  and the normal subgroup  $\ker \varphi$  contains  $\mathcal{R}$ , we must have  $R \subset \ker \varphi$ . Then according to Theorem 7.12, there exists a unique homomorphism  $\psi : F(A)/R \rightarrow G$  such that  $\varphi = \psi \circ \pi$ , which means the whole diagram commutes. If there exists a homomorphism  $\zeta : F(A)/R \rightarrow G$  such that  $f = \zeta \circ \pi \circ i$ , then we have  $\varphi \circ i = \zeta \circ \pi \circ i$ , which implies  $\varphi(t) = \zeta(\pi(t))$  for all  $t \in A$ . Note that a homomorphism defined on  $F(A)$  can be specified only by its valuation on the set of generators  $A$ , we can assert that  $\varphi = \zeta \circ \pi$ . Since there exists a unique homomorphism  $\psi : F(A)/R \rightarrow G$  such that  $\varphi = \psi \circ \pi$ , we have  $\zeta = \psi$ . Thus we show that there exists a unique homomorphism  $\psi : F(A)/R \rightarrow G$  such that  $f = \psi \circ \pi \circ i$ .

Moreover, if  $G$  is generated by  $f(A)$ , then  $\text{im} \psi = G$ , since  $f(A) = \psi(\pi(i(A))) \subset \text{im} \psi$  implies  $G \subset \text{im} \psi$ .  $\lrcorner$

## References

- [1] Paolo Aluffi. *Algebra: chapter 0*, volume 104. American Mathematical Soc., 2009.