

RISC-V Boot and Runtime Services Specification (BRS)

OS-A SEE Task Group

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Preamble



This document is in the Development state

Assume everything can change. This draft specification will change before being accepted as standard, so systems made to this draft specification will likely not conform to the future standard.

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Chapter 1. Introduction

The *RISC-V Boot and Runtime Services Specification* (BRS) defines a standardized set of software capabilities, that portable system software, such as operating systems and hypervisors, can rely on being present in an implementation to utilize in acts of device discovery, OS boot and hand-off, system management, and other operations.

The BRS specification is targeting systems that implement S/U privilege modes, and optionally the HS privilege mode. This is the expected deployment for OSVs and system vendors in a typical ecosystem covering client systems up through server systems where software is provided by different vendors than the system vendor.

This specification standardizes the requires for software interfaces and capabilities by building on top of relevant industry and ratified RISC-V standards.

1.1. Releases

It is expected that the BRS will periodically release a new specification. The determination of a new release will be based on the evaluation of significant changes to its underlying dependencies.

1.2. Approach to Solutions

The BRS focuses on two solutions in the form of what is deemed a recipe. Each recipe contains the requirements needed to fulfill each solution. The requirements of each recipe will be marked accordingly with an unique identifier. The recipes are BRS-I (Interoperable) and BRS-B (Bespoke).

1.3. Testing and Conformance

To be compliant with this specification, an implementation MUST support all mandatory requirements and MUST support the listed versions of the specifications. This standard set of capabilities MAY be extended by a specific implementation with additional standard on custom capabilities, including compatible later versions of listed standard specifications. Portable system software MUST support the specified mandatory capabilities to the compliant with this specification.

The requirements in this specification use the following format:

| ID# | Requirement |
|---------|--|
| CAT_NNN | The CAT is a category prefix that logically groups the requirements and is followed by 3 digits - NNN - assigning a numeric ID to the requirement. |
| | The requirements use the key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "NOT RECOMMENDED", "MAY", and "OPTIONAL" that are to be interpreted as described in RFC 2119 [1] when, and only when, they appear in all capitals, as shown here. When these words are not capitalized, they have their normal English meanings. |

A requirement or a group of requirements may be followed by non-normative text providing context or justification for the requirement. The non-normative text may also be used to reference sources that are the origin of the requirement.

1.4. Glossary

Most terminology has the standard RISC-V meaning. This table captures other terms used in the document. Terms in the document prefixed by **PCIe** have the meaning defined in the *PCI Express Base Specification* [2] (even if they are not in this table).

Table 1. Terms and definitions

| Term | Definition |
|---------|---|
| ACPI | Advanced Configuration and Power Interface Specification [3]. |
| BRS | RISC-V Boot and Runtime Services Specification. This document. |
| BRS-I | Boot and Runtime Services recipe targeting interoperation across different software suppliers. |
| BRS-B | Boot and Runtime Services recipe using a bespoke solution. |
| DT | DeviceTree [4]. |
| EBBR | Embedded Base Boot Requirements Specification [5]. |
| OSV | Operating System Vendor. |
| OS | Operating System or Hypervisor. |
| Profile | RISC-V Profile [6]. |
| SBI | RISC-V Supervisor Binary Interface Specification [7]. |
| SMBIOS | System Management BIOS (SMBIOS) Reference Specification [8]. |
| SoC | System on a chip, a combination of processor and supporting chipset logic in single package. |
| System | A system is the entirety of a computing entity, including all hardware, firmware and software (hypervisor, operating system, user applications, user data). A system can be thought of both as a logical construct (e.g. a software stack) or physical construct (e.g. a notebook, a desktop, a server, a network switch, etc). |

| Term | Definition |
|------|--|
| UEFI | Unified Extensible Firmware Interface Specification [9]. |

Chapter 2. Recipes

In this context, a recipe is a collection of firmware specification requirements that hardware, firmware, and software providers can implement to increase the likelihood that software written to the recipe will run predictably on all conforming devices.

The BRS specification defines two recipes: BRS-I (for "Interoperable") and BRS-B (for "Bespoke").

2.1. BRS-I Recipe

The BRS-I recipe aims to simplify end-user experiences, software compatibility and OS distribution, by defining a common specification for boot and runtime interfaces. BRS-I is expected to be used by general-purpose compute devices such as servers, desktops, laptops and other devices with industry expectations on silicon vendor, OS and software ecosystem interoperability. BRS-I enables operating system providers to build a single **generic** operating system image that can be **successfully booted** on compliant systems. **Generic** means not requiring system-specific customizations - only an implementation of BRS-I requirements. **Successfully boot** means basic system configuration, sufficient for detecting the need for system-specific drivers and loading such drivers.

It is understood that systems will deliver features beyond those covered by BRS-I. However, software written against a specific version of BRS-I must run, unaltered, without **anomalous and unexpected behavior** on systems that include such features and that are compliant to that specific version of BRS-I. Such behavior, caused by factors entirely unknown to a generic OS, is hard to diagnose and always results in a terrible user experience that negatively affects the value of the whole RISC-V standards-based ecosystem. **Anomalous and unexpected behavior** is taken to mean system instability and worst-case behavior for non-specialized workloads, but does not include suboptimal/unoptimized behavior or missing I/O or accelerator drivers.

A key tenet of BRS-I is constraining behavior outside the scope of BRS-I. Features violating the principle of least surprise and causing anomalous and unexpected behavior in a generic OS must be configured by firmware as opt-in. See additional guidance.

Table 2. BRS-I Recipe Overview

| Profile | UEFI | ACPI | DT | SBI | SMBIOS |
|----------|---------|--------|-----|--------|----------|
| >= RVA20 | >= 2.10 | >= 6.6 | N/A | >= 2.0 | >= 3.7.0 |

2.2. BRS-B Recipe

BRS-B is intended for cases where only a minimal level of firmware interaction is mandated, focusing primarily on the boot process. The BRS-B recipe is the simpler of the two BRS recipes. It is expected to be used by software that is tailored to specific devices. Examples include many types of mobile devices, devices with real time response requirements, or embedded devices running rich operating systems with custom distributions.

Table 3. BRS-B Recipe Overview

| Profile | UEFI | ACPI | DT | SBI | SMBIOS |
|----------|--------------------|------------------|-------------------|--------|--------------------|
| >= RVA20 | EBBR, >= 2.1.0 [5] | optional, >= 6.6 | optional, >= v0.3 | >= 2.0 | optional, >= 3.7.0 |

Either of ACPI table or Device Tree must be supplied, but never both at the same time.

Chapter 3. Hart Requirements

A compliant system includes a RISC-V application processor and the requirements in this section apply solely to harts in the application processors of a system.

The BRS specification is minimally prescriptive on the RISC-V hart requirements. It is anticipated that detailed requirements will be driven by target market segment and product/solution requirements.

| ID# | Requirement |
|--------|---|
| HR_010 | The RISC-V application processor harts MUST be compliant to RVA20S64 profile [6]. |

The BRS governs the interactions between 64-bit OS supervisor-mode software and 64-bit firmware. These are minimum requirements allowing for the wide variety of existing and future hart implementations to be supported. It is expected that operating systems and hypervisors may impose additional profile/ISA requirements, depending on the use-case and application.

Chapter 4. SBI Requirements

The *RISC-V Supervisor Binary Interface Specification* (SBI) [7] defines the interface between the supervisor mode and the next higher privilege mode. This section defines the mandatory SBI version and extensions implemented by the higher privilege mode in order to be compatible with this specification.

| ID# | Requirement |
|--|--|
| SBI_010 | The SBI implementation MUST conform to SBI v2.0 or later. |
| SBI_020 | The SBI implementation MUST implement the Hart State Management (HSM) extension. |
| HSM is used by an OS for starting up, stopping, suspending and querying the status of secondary harts. | |

Certain requirements are conditional on the presence of RISC-V ISA extensions or system features.

| ID# | Requirement |
|---|---|
| SBI_030 | The Timer Extension (TIME) MUST be implemented, if the RISC-V "stimecmp / vstimecmp" Extension (Sstc) [10] is not available. |
| SBI_040 | The S-Mode IPI Extension MUST be implemented, if the Incoming MSI Controller (IMSIC) [11] is not available. |
| SBI_050 | The RFENCE Extension (RFNC, [7]) extension MUST be implemented, if the Incoming MSI Controller (IMSIC) [11] is not available. |
| SBI_060 | The Performance Monitoring Extension (PMU) MUST be implemented, if the counter delegation-related ISA extensions (S*csrind [12], Smcdeleg [13], Ssccfg [13]) are not present. |
| NOTE: The PMU extension is currently being developed by the performance analysis TG [14]. | |

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Chapter 5. BRS-I UEFI Requirements

The *Unified Extensible Firmware Interface Specification* (UEFI) describes the interface between the OS and the supervisor-mode firmware.

This section defines the BRS-I mandatory and optional UEFI requirements on top of existing [9] specification requirements. Additional non-normative guidance may be found in the firmware implementation guidance section.



All content in this section is optional and recommended for BRS-B.

| ID# | Requirement | | |
|-----------------|--|--|--|
| UEFI_010 | Implement a 64-bit UEFI firmware. | | |
| UEFI_020 | Meet the 3rd Party UEFI Certificate Authority (CA) requirements on UEFI memory mitigations [15]. | | |
| UEFI_030 | Meet BRS-I specific memory map requirements: | | |
| | The default memory space attribute MUST be EFI_MEMORY_WB. | | |
| | Enable address translation. | | |
| | Only use EfiRuntimeServicesData memory type for describing any SMBIOS data structures. | | |
| UEFI_040 | An implementation MAY comply with the <i>UEFI Platform Initialization Specification</i> [16]. | | |
| UEFI_050 | All hart manipulation internal to a firmware implementation SHOULD be done before completion of the <code>EFI_EVENT_GROUP_READY_TO_BOOT</code> event, with all secondary harts remaining offline from that point on. | | |
| This ensures an | OS loader is entered with an OS-compatible state for all harts. | | |
| UEFI_060 | Declare the EFI_CONFORMANCE_PROFILES_UEFI_SPEC_GUID conformance profile. | | |
| | The EFI_CONFORMANCE_PROFILES_UEFI_SPEC_GUID conformance profile MUST be declared, as the BRS requirements are a superset of UEFI [9] (Section 2.6). | | |
| UEFI_070 | Declare the EFI_CONFORMANCE_PROFILE_BRS_1_0_SPEC_GUID conformance profile ({ 0x05453310, 0x0545, 0x0545, { 0x05, 0x45, 0x33, 0x05, 0x45, 0x33, 0x05, 0x45 }}). | | |
| | ully compliant to the requirements in this section MAY declare thePROFILE_BRS_1_0_SPEC_GUID conformance profile. | | |

5.1. BRS-I Security Requirements

| ID# | Requirement |
|----------|--|
| USEC_010 | Systems implementing UEFI secure boot are RECOMMENDED to implement the |
| | EFI_SECURITY_ARCH_PROTOCOL and EFI_SECURITY2_ARCH_PROTOCOL protocols [16]. |

| ID# | Requirement | | |
|----------|--|--|--|
| | d Security2 architectural protocols are overridden by some bootloaders (e.g. systemd- EFI binaries that cannot be validated against the UEFI security database. | | |
| USEC_020 | Systems implementing a TPM MUST implement the <i>TCG EFI Protocol Specification</i> [17]. | | |

See additional requirements for UEFI runtime services.

5.2. BRS-I I/O-specific Requirements

| ID# | Requirement | | |
|---|--|--|--|
| UIO_010 | Systems implementing PCIe MUST always initialize all root complex hardware and perform resource assignment for all endpoints and usable hotplug-capable switches in the system, even in a boot scenario from a non-PCIe boot device. | | |
| This is a stronger requirement than the PCI Firmware Specification firmware/OS device hand-off state ([18] Section 3.5). See additional guidance. | | | |
| UIO_020 | Systems implementing EFI_GRAPHICS_OUTPUT_PROTOCOL SHOULD configure the frame buffer to be directly accessible. | | |
| That is, EFI_GRAPHICS_PIXEL_FORMAT is not PixelBltOnly and FrameBufferBase is reported as a valid hart memory-mapped I/O address. | | | |

5.3. BRS-I UEFI Runtime Services

| ID# | Requirement | |
|------------------|--|--|
| URT_010 | Systems without a Real-Time Clock (RTC) MUST meet the following requirements: GetTime() MUST be implemented (e.g. in terms of CPU cycle counter). SetTime() MUST return EFI_UNSUPPORTED, and be appropriately described in the EFI_RT_PROPERTIES_TABLE. | |
| URT_020 | Systems with a Real-Time Clock on an OS-managed bus (e.g. I2C, subject to arbitration issues due to access to the bus by the OS) MUST meet the following requirements: • GetTime() and SetTime() MUST return EFI_UNSUPPORTED, when called after the UEFI boot services have been exited. • GetTime() and SetTime() MUST be appropriately described in the EFI_RT_PROPERTIES_TABLE. | |
| Also see AML_070 | • | |
| URT_030 | The UEFI ResetSystem() runtime service MUST be implemented. | |

| ID# | Requirement | | |
|-------------------|---|--|--|
| this to SBI, ACPI | all the ResetSystem() runtime service call to reset or shutdown the system, preferring or other system-specific mechanisms. This allows for systems to perform any tasks on the way out (e.g. servicing UpdateCapsule() or persisting non-volatile ne systems). | | |
| URT_040 | Non-volatile UEFI variables MUST persist across calls to the ResetSystem() runtime service call. | | |
| URT_050 | UEFI runtime services MUST be able to update the variables directly without the aid of an OS. | | |
| URT_060 | The following requirements MUST be met for systems with UEFI secure boot: MUST support a minimum of 128 KiB of non-volatile storage for UEFI variables. The maximum supported variable size MUST be at least 64 KiB. | | |
| | The db signature database variable (EFI_IMAGE_SECURITY_DATABASE) MUST be created with EFI_VARIABLE_TIME_BASED_AUTHENTICATED_WRITE_ACCESS, to prevent rollback attacks. | | |
| | • The dbx signature database variable (EFI_IMAGE_SECURITY_DATABASE1) MUST be created with EFI_VARIABLE_TIME_BASED_AUTHENTICATED_WRITE_ACCESS, to prevent rollback attacks. | | |

5.4. BRS-I Firmware Update

| ID# | Requirement | | |
|------------------|--|--|--|
| UFU_010 | ystems with in-band firmware updates MUST do so either via UpdateCapsule() EFI runtime service ([9] Section 8.5.3) or via Delivery of Capsules via file on Mass torage Device ([9] Section 8.5.5). | | |
| In-band means | the firmware running on a hart updates itself. | | |
| UFU_020 | Systems implementing in-band firmware updates via UpdateCapsule() MUST accept updates in the Firmware Management Protocol Data Capsule Structure format as described in Delivering Capsules Containing Updates to Firmware Management Protocol [9] (Section 23.3). | | |
| UFU_030 | Systems implementing in-band firmware updates via UpdateCapsule() MUST provide an ESRT [9] (Section 23.4) describing every firmware image that is updated in-band. | | |
| UFU_040 | Systems implementing in-band firmware updates via UpdateCapsule() MAY return EFI_UNSUPPORTED, when called after the UEFI boot services have been exited. | | |
| See additional g | uidance. | | |

Chapter 6. BRS-I ACPI Requirements

The Advanced Configuration and Power Interface Specification provides the OS-centric view of system configuration, various hardware resources, events and power management.

This section defines the BRS-I mandatory and optional ACPI requirements on top of existing [3] and [9] specification requirements. Additional non-normative guidance may be found in the firmware implementation guidance section.



All content in this section is optional and recommended for BRS-B.

| ID# | Requirement |
|---|---|
| ACPI_010 | Be 64-bits clean. |
| | • RSDT MUST NOT be implemented, with RsdtAddress in RSDP set to 0. |
| | • 32-bit address fields MUST be 0. |
| See additional g | guidance. |
| ACPI_020 | Implement the hardware-reduced ACPI mode (no FACS table). |
| See additional g | guidance. |
| ACPI_030 | The Processor Properties Table (PPTT) MUST be implemented, even on systems with a simple hart topology. |
| ACPI_040 | The PCI Memory-mapped Configuration Space (MCFG) table MUST NOT be present |
| _ | if it violates [18]. |
| Only compatible | |
| Only compatible may be describe Services ([3], Se | if it violates [18]. e PCIe segments, exposed via ECAM (Enhanced Configuration Access Mechanism), ed in the MCFG. The MCFG MUST NOT require vendor-specific OS support. See PCI |
| Only compatible may be describe Services ([3], Seguidance. ACPI_050 | if it violates [18]. e PCIe segments, exposed via ECAM (Enhanced Configuration Access Mechanism), ed in the MCFG. The MCFG MUST NOT require vendor-specific OS support. See PCI ection 4) for more ACPI requirements relating to PCIe support. See additional A Serial Port Console Redirection Table [19] MUST be present on systems, where the graphics hardware is not present or not made available to an OS loader via |
| Only compatible may be describe Services ([3], Seguidance. ACPI_050 | if it violates [18]. PCIe segments, exposed via ECAM (Enhanced Configuration Access Mechanism), ed in the MCFG. The MCFG MUST NOT require vendor-specific OS support. See PCI ection 4) for more ACPI requirements relating to PCIe support. See additional A Serial Port Console Redirection Table [19] MUST be present on systems, where the graphics hardware is not present or not made available to an OS loader via the standard UEFI EFI_GRAPHICS_OUTPUT_PROTOCOL interface. |
| Only compatible may be describe Services ([3], Se guidance. ACPI_050 In these cases, to | if it violates [18]. PCIe segments, exposed via ECAM (Enhanced Configuration Access Mechanism), ed in the MCFG. The MCFG MUST NOT require vendor-specific OS support. See PCI action 4) for more ACPI requirements relating to PCIe support. See additional A Serial Port Console Redirection Table [19] MUST be present on systems, where the graphics hardware is not present or not made available to an OS loader via the standard UEFI EFI_GRAPHICS_OUTPUT_PROTOCOL interface. The table provides essential configuration for an early OS boot console. |
| Only compatible may be describe Services ([3], Se guidance. ACPI_050 In these cases, to | if it violates [18]. Pe PCIe segments, exposed via ECAM (Enhanced Configuration Access Mechanism), and in the MCFG. The MCFG MUST NOT require vendor-specific OS support. See PCI action 4) for more ACPI requirements relating to PCIe support. See additional A Serial Port Console Redirection Table [19] MUST be present on systems, where the graphics hardware is not present or not made available to an OS loader via the standard UEFI EFI_GRAPHICS_OUTPUT_PROTOCOL interface. The table provides essential configuration for an early OS boot console. An SPCR table, if present, MUST meet the following requirements: |
| Only compatible may be describe Services ([3], Se guidance. ACPI_050 In these cases, to | if it violates [18]. e PCIe segments, exposed via ECAM (Enhanced Configuration Access Mechanism), ed in the MCFG. The MCFG MUST NOT require vendor-specific OS support. See PCI ection 4) for more ACPI requirements relating to PCIe support. See additional A Serial Port Console Redirection Table [19] MUST be present on systems, where the graphics hardware is not present or not made available to an OS loader via the standard UEFI EFI_GRAPHICS_OUTPUT_PROTOCOL interface. The table provides essential configuration for an early OS boot console. An SPCR table, if present, MUST meet the following requirements: • Revision 4 or later of SPCR. |

| ID# | Requirement |
|--|---|
| ACPI_080 | Depending on the interrupt controller implemented by the system, PLIC or APLIC namespace devices MUST be present in the ACPI namespace along with MADT entries. See RVI ACPI IDs. |
| Also see AML_090, AML_100 and additional guidance. | |

6.1. BRS-I ACPI Methods and Objects

This section lists additional requirements for ACPI methods and objects.

See additional guidance.

| ID# | Requirement | | | |
|--|--|--|--|--|
| AML_010 | The Cache Coherency Attribute (_CCA) device method MUST be implemented. | | | |
| This object provides information about whether a device has to manage cache coherency and about hardware support. This object is mandatory for all devices that can access CPU-visible memory. ([3] Section 6.2.17). | | | | |
| AML_020 | he Current Resource Setting (_CRS) device method for a PCIe Root Complex HOULD NOT contain resources of type DWordIO, QWordIO or ExtendedIO. | | | |
| | BARs are uncommon in modern PCIe devices and support for PCI I/O space may guration of PCIe Root Complex hardware in a compliant manner. | | | |
| AML_030 | The Possible Resource Settings (_PRS) and Set Resource Settings (_SRS) device method SHOULD NOT be implemented. | | | |
| | escriptors are typically used to describe devices with fixed I/O regions that do not resource assignment is not supported by most modern ACPI OSes. | | | |
| AML_040 | Per-hart device objects MUST be defined under _SB (System Bus) namespace and not in the deprecated _PR (Processors) namespace. | | | |
| AML_050 | Systems supporting OS-directed hart performance control and power management MUST expose these via Collaborative Processor Performance Control (CPPC, [3] Section 8.4.6). | | | |
| AML_060 | Processor idle states MUST be described using Low Power Idle (_LPI, [3] Section 8.4.3). | | | |
| AML_070 | Systems with a Real-Time Clock on an OS-managed bus (e.g. I2C, subject to arbitration issues due to access to the bus by the OS) MUST implement the Time and Alarm Device (TAD). | | | |
| Also see URT_020 | Also see URT_020. | | | |
| AML_080 | Systems implementing a TAD MUST be functional without additional systemspecific OS drivers. | | | |

| ID# | Requirement | | | |
|------------------|---|--|--|--|
| correct function | ere the Time and Alarm Device (TAD) depends on a vendor-specific OS driver for (SPI, I2C, etc), the TAD MUST be functional if the OS driver is not loaded. That is, not driver is loaded, an AML method switches further accesses to go through the perationRegion. | | | |
| AML_090 | PLIC and APLIC device objects MUST support the Global System Interrupt Base (_6SB, [3] Section 6.2.7) object. See additional guidance. | | | |
| AML_100 | Devices with Global System Interrupt (GSI, aka wired interrupt) resources MUST indicate the dependency on the corresponding interrupt controller using Operation Region Dependencies (_DEP, [3] Section 6.5.8). See additional guidance. | | | |
| AML_110 | UART device objects with ID RSCV0003 MUST implement Properties for UART Devices. | | | |

6.2. RVI-specific ACPI IDs

ACPI ID is used in the _HID (Hardware ID), _CID (Compatibility ID) or _SUB (Subsystem ID) objects as described in the ACPI Specification for devices, that do not have a standard enumeration mechanism. The ACPI ID consists of two parts: a vendor identifier followed by a product identifier.

Vendor IDs consist of 4 characters, each character being either an uppercase letter (A-Z) or a numeral (0-9). The vendor ID SHOULD be unique across the Industry and registered by the UEFI forum. For RVI standard devices, RSCV is the vendor ID registered. Vendor-specific devices can use an appropriate vendor ID registered for the manufacturer.

Product IDs are always four-character hexadecimal numbers (0-9 and A-F). The device manufacturer is responsible for assigning this identifier to each product model.

This document contains the canonical list of ACPI IDs for the namespace devices that adhere to the RVI specifications. The RVI task groups may make pull requests against this repository to request the allocation of ACPI ID for any new device.

| ACPI ID | Device |
|-------------------------|---|
| RSCV0001 | RISC-V Platform-Level Interrupt Controller (PLIC) |
| RSCV0002 | RISC-V Advanced Platform-Level Interrupt Controller (APLIC) |
| RSCV0003 | NS16650 UART compatible with an SPCR definition using Interface Type 0x12 |
| Also see Section 6.3.1. | |

6.3. RVI-specific ACPI Device Properties

This section defines the _DSD device properties [20] in the rscv- namespace.

Where explicit values are provided in a property definition, only these values must be used. System behavior with any other values is undefined.

Request for additional property names in the rscv- namespace should be made as a git pull request

to this document.

6.3.1. Properties for UART Devices

| Property (rscv-uart-*) | Туре | Description |
|----------------------------|----------------|--|
| clock-frequency | Integer | Clock feeding the IP block in Hz. |
| A value of zero will precl | ude the abilii | ty to set the baud rate, or to configure a disabled device. |
| reg-shift | Integer | Quantity to shift the register offsets by. |
| reg-io-width | Integer | The size (in bytes) of the register accesses that should be performed on the device. |
| 1, 2, 4 or 8. | | |
| rx-fifo-size | Integer | The receive FIFO size (in bytes). |

Chapter 7. BRS-I SMBIOS Requirements

The System Management BIOS (SMBIOS) Reference Specification defines a standard format for presenting management information about an implentation, mostly focusing on hardware components.

This section defines the BRS-I mandatory and optional SMBIOS requirements on top of existing [8] specification requirements. Additional non-normative guidance may be found in the firmware implementation guidance section.



All content in this section is optional and recommended for BRS-B.



The structures and fields in this section are defined in a manner consistent with the DMTF specification language ([8]).

| ID# | Requirement | | | |
|------------------|--|--|--|--|
| SMBIOS_010 | A Baseboard/Module Information (Type 02) structure SHOULD be implemented. | | | |
| SMBIOS_020 | A System Enclosure/Chassis (Type 03) structure SHOULD be implemented. | | | |
| This relaxes the | SMBIOS specification requirement. | | | |
| SMBIOS_030 | A Processor Information (Type 04) structure, meeting the additional Section 7.1 clarifications, MUST be implemented. | | | |
| This supersedes | the RISC-V specific language in the SMBIOS specification ([8], Section 7.5.3.5). | | | |
| SMBIOS_040 | A Port Connector Information (Type 08) SHOULD be implemented. | | | |
| SMBIOS_050 | A System Slots (Type 09) structure MUST be implemented, when expansion slots are present. | | | |
| SMBIOS_060 | An OEM Strings (Type 11) structure SHOULD be implemented. | | | |
| SMBIOS_070 | A BIOS Language Information (Type 13) structure SHOULD be implemented. | | | |
| SMBIOS_080 | A Group Associations (Type 14) structure SHOULD be implemented. | | | |
| SMBIOS_090 | An IPMI Device Information (Type 38) structure MUST be implemented, when an IPMIv1.0 host interface is present. | | | |
| SMBIOS_100 | A System Power Supplies (Type 39) structure SHOULD be implemented. | | | |
| SMBIOS_110 | An Onboard Devices Extended Information (Type 41) structure SHOULD be implemented. | | | |
| SMBIOS_120 | A Redfish Host Interface (Type 42) structure MUST be implmented, when a Redfish host interface is present. | | | |
| SMBIOS_130 | A TPM Device (Type 43) structure MUST be implmented, when a TPM is present. | | | |
| SMBIOS_140 | A Processor Additional Information (Type 44) structure MUST be implemented. | | | |
| See the structur | e definitions below. | | | |
| SMBIOS_150 | A Firmware Inventory Information (Type 45) structure SHOULD be implemented. | | | |

7.1. Type 04 Processor Information



The information in this section supersedes the definitions in ([8], Section 7.5.3.4).

A processor is a grouping of harts in a physical package. In modern designs this MAY mean a SoC.

For RISC-V class CPUs, the Processor ID field contains two DWORD-formatted values describing the overall physical processor package vendor and version. For some implementations this may also be known as the SoC ID. The first DWORD (offsets 08h-0Bh) is the JEP-106 code for the vendor. The second DWORD (offsets 0Ch-0Fh) reflects vendor-specific part versioning.

For hart-specific vendor and revision information, please see Type 44 Processor-Specific Data structures.

7.2. Type 44 Processor-Specific Data

The processor-specific data structure fields are defined to follow the standard Processor-Specific Block fields ([8], Section 7.45.1).

The structure is valid for processors declared with Processor Type 07h (64-bit RISC-V) only.

A Type 44 structure needs to be provided for every hart meeting Chapter 3 requirements.

| Offset | Version | Name | Length | Value | Description |
|--------|---------|------------------------------|--------|--------|--|
| 00h | 0100h | Revision | WORD | Varies | See Section 7.3. |
| 02h | 0100h | Hart ID | QWORD | Varies | The ID of this RISC-V hart. |
| 0Ah | 0100h | Machine Vendor ID | QWORD | Varies | The vendor ID of this RISC-V hart. |
| 12h | 0100h | Machine Architecture ID | QWORD | Varies | Base microarchitecture of the hart. Value of 0 is possible to indicate the field is not implemented. The combination of Machine Architecture ID and Machine Vendor ID should uniquely identify the type of hart microarchitecture that is implemented. |
| 1Ah | 0100h | Machine Implementation ID | QWORD | Varies | Unique encoding of the version of the processor implementation. |

7.3. Processor-Specific Data Structure Versioning

The processor-specific data structure begins with a revision field to allow for future extensibility in a backwards-compatible manner.

The minor revision is to be incremented anytime new fields are added in a backwards-compatible manner. The major revision is to be incremented on backwards-incompatible changes.

| Version | Bits 15:8+ Major revision | Bits 7:0+ Minor revision | Combined | Description |
|---------|---------------------------------|--------------------------------|----------|------------------------------|
| v1.0 | 01h | 00h | 0100h | First BRS-defined definition |

Chapter 8. Firmware Implementation Guidance

The guidance section is non-normative, and covers certain implementation choices, suggestions, historical context, etc.

8.1. Recipes Guidance

8.1.1. BRS-I Recipe Guidance

Systems compliant to BRS-I can successfully boot an existing generic operating system image without system-specific customizations, yet this might result in an unoptimized experience and non-functioning I/O devices until further software updates are activated.

The best analogy would be a typical Intel Architecture motherboard from the early 2000s: you could install an OS on it, but the built-in graphics might be low-resolution and the sound, built-in network port or power management might not work out of the box. You could subsequently load the right drivers from the media coming with the board or fetch newest ones using a well-supported network adapter.

Requirements for heterogeneous performance harts (e.g. mix of "performance" and "efficiency" harts) are described in the "RISC-V Server Platform specification" [21]. The ACPI specification [3] has a chapter on "Collaborative Processor Performance Control".

8.2. UEFI Implementation Guidance

UEFI implementations run in 64-bit S-Mode, VS-Mode or HS-Mode, depending on whether virtualization is supported or used.

8.2.1. Privilege Levels

Different portions of system firmware might target a specific privilege level. In contrast, UEFI drivers, OS loaders and pre-boot applications need to operate in supervisor mode (either (V)S-Mode or HS-Mode), because they are UEFI-compliant executable images.

As an implementation choice, a UEFI firmware implementation may start execution in M-Mode. However it must switch to supervisor mode as part of initializing boot and runtime services for UEFI drivers and applications.

8.2.2. Firmware Update

UpdateCapsule() is only required before ExitBootServices() is called. The UpdateCapsule() implementation is expected to be suitable for use by generic firmware update services like fwupd and Windows Update. Both fwupd and Windows Update read the ESRT table to determine what firmware can be updated and use an EFI helper application to call UpdateCapsule() before ExitBootServices() is called.

8.2.3. PCIe

Every implementation of the EFI_PCI_ROOT_BRIDGE_IO_PROTOCOL provides the correct Address Translation Offset field to translate between the hart MMIO and bus addresses.

EFI_PCI_ROOT_BRIDGE_IO_PROTOCOL_CONFIGURATION structures report resources produced by the PCIe root bridges, not resources consumed by their register maps. In the cases where there are unpopulated PCIe slots behind a root bridge, EFI_PCI_ROOT_BRIDGE_IO_PROTOCOL_CONFIGURATION reports valid resources assigned (e.g. for hot plug), or reports no resources assigned.

8.3. ACPI Implementation Guidance

ACPI information is structured as tables with the address of the root of these tables known as Root System Description Table (RSDP) passed to the OS via a EFI_ACPI_20_TABLE_GUID configuration table in the UEFI firmware. The Operating System uses this address to locate all other ACPI tables.

Certain implementations may make use of the RISC-V Functional Fixed Hardware Specification [22].

8.3.1. 64-bits Clean

ACPI started as a specification for 32-bit systems, so certain tables with physical address pointers (e.g. RSDP, FADT) allow for reporting either 32-bit or 64-bit values using different fields. For the sake of simplicity and consistency, the BRS disallows the use 32-bit address fields in such structures and disallows the use of 32-bit only structures (thus, RSDT must not be implemented, as the XSDT is a direct replacement). Thus, the ACPI tables are allowed to be located in any part of the physical address space.

8.3.2. Hardware-Reduced ACPI

Compliant RISC-V systems only implement the hardware-reduced ACPI model [3] (Section 4.1). This means the hardware portion of [3] (Section 4) is not required or supported. All functionality is instead provided through equivalent software-defined interfaces and the complexity in supporting ACPI is reduced.

8.3.3. Table Guidance

Table 4 summarizes the minimum set of structures that must exist to support basic booting of RISC-V system with ACPI support. Table 5 lists additional possible ACPI tables based on the optional features that can be supported. The latter is not meant to be exhaustive and mostly focuses on tables that have specific guidance or that are expected to be frequently implemented.

Table 4. Minimum required ACPI System Description Tables

| ACPI Table | ACPI Section | Note |
|--|--------------|------------------------------------|
| Root System Description Pointer (RSDP) | 5.2.5 | See high-level requirements. |
| Extended System Description Table (XSDT) | 5.2.8 | Contains pointers to other tables. |

| ACPI Table | ACPI Section | Note |
|---|--------------|--|
| Fixed ACPI Description Table (FADT) | 5.2.9 | See ACPI_020, Section 8.3.2 and the notes below. |
| Differentiated System Description Table (DSDT) | 5.2.11.1 | See Section 6.1 and the notes below. |
| Multiple APIC Description Table (MADT) | 5.2.12 | See the notes below |
| RISC-V Hart Capabilities Table (RHCT) | New | Communicates information about certain capabilities like ISA string, cache and MMU info. |
| Processor Properties Topology Table (PPTT) | 5.2.29 | See ACPI_030 |

Table 5. Additional ACPI System Description Tables based on feature support.

| ACPI Table | ACPI Section | Note |
|---|--------------|--------------------------------------|
| Memory-mapped Configuration space (MCFG) | [18] | See ACPI_040 and the notes below |
| Secondary System Description Table (SSDT) | 5.2.11.2 | See Section 6.1 and the notes below. |
| Serial Port Console Redirection (SPCR) | [19] | See ACPI_060 and the notes below |
| ACPI Table for TPM 2.0 (TPM2) | [23] | If the system supports TPM 2.0 |
| System Resource Affinity Table (SRAT) | 5.2.16 | If the system supports NUMA |
| System Locality Information Table (SLIT) | 5.2.17 | If the system supports NUMA |
| Boot Error Record Table (BERT) | 18.3.1 | If APEI is supported |
| Error Injection Table (EINJ) | 18.6.1 | If APEI is supported |
| Error Record Serialization Table (ERST) | 18.5 | If APEI is supported |
| Hardware Error Source Table (HEST) | 18.3.2 | If APEI is supported |

8.3.4. DSDT and SSDTs

The ACPI name space describes devices which cannot be enumerated by any other standard ways. These typically include SoC embedded memory-mapped I/O devices, such as UARTs, PCIe or CXL root complexes, GPIO controllers, etc.

It's an implementation choice if the ACPI name space is defined solely with a DSDT or with any additional SSDTs. For example, a UEFI implementation may choose to use SSDTs to:

- describe devices that vary across SoC SKUs, revisions or variants.
- describe devices, where the backing AML is generated or patched at boot time.

8.3.5. FADT

[3] (Section 5.2.9) provides guidance on filling the Fixed ACPI Description Table for HW-reduced ACPI.

Don't forget to select an appropriate Preferred PM Profile.

8.3.6. MADT

RINTC (per-hart) structures are mandatory. Depending on the interrupt controller implemented by the system, the MADT will also contain either PLIC or IMSIC/APLIC structures.

Entry ordering can be correlated with initialization order by an OS, but should not be taken to reflect affinity in resource sharing, e.g. sockets, caches, etc. RINTC hart ID and ACPI Processor UID should not be decoded in a system-specific manner to divine CPU topology. The PPTT Processor Properties Topology Table (PPTT) is to be used to describe affinities.

8.3.7. PLIC/APLIC Namespace Devices

Here's an example of an ASL excerpt satisfying ACPI_080, AML_090 and AML_100 requirements.

```
Scope (\_SB)
  Device (IC00)
      Name (_HID, "RSCV0001") // _HID: Hardware ID
      Name (_UID, Zero) // _UID: Unique ID
      Method( GSB) {
          Return (0x10) // Global System Interrupt Base for this PLIC starts at 16
     }
  Device (DEV1)
  {
    Name ( DEP, Package() {\ SB.IC00})
    Name (_CRS, ResourceTemplate () // _CRS: Current Resource Settings
        Memory32Fixed (ReadWrite,
                      // Address Base.
          0x10010000,
          0x00010000,
                       // Address Length
          )
        Interrupt (ResourceConsumer, Level, ActiveHigh, Exclusive, ,,)
          0x10,
    })
```

```
} }
```

8.3.8. PCIe

On some architectures, it became an industry accepted norm to describe PCIe implementations not compliant to the *PCI Firmware Specification* [18] using specification-defined ACPI tables and objects. RISC-V systems compliant to the BRS must only expose ECAM-compatible implementations using the MCFG and the standard AML Hardware ID (_HID) PNP0A08 and Compatible ID (_CID) PNP0A03, and must not rely on ACPI table header information or other out-of-band means of detecting quirked behavior.

Some minor incompatibilities, such as incorrect CFG0 filtering, broken BARs/capabilities for RCs, embedded switches/bridges or embedded endpoints can be handled by emulating ECAM accesses in privileged firmware (e.g. M-mode) or similar facilities (e.g. a hypervisor).

Non-compliant implementations must be exposed using vendor-specific mechanisms (e.g. AML object with custom _HID, custom vendor-specific ACPI table if necessary). In cases where such PCIe implementations are only used to expose a fixed non-removable device (e.g. USB host controller or NVMe), the device could be exposed via a DSDT/SSDT MMIO device object without making the OS aware of the underlying PCIe connection.

8.3.9. SPCR

Early serial console can be implemented using either an NS16550 UART (SPCR Interface Type 0x12) or SBI console (SPCR Interface Type 0x15). When SPCR describes SBI console, the OS must use the SBI Probe extension (FID #3) to detect the appropriate facilities, e.g. the Debug Console Extension (DBCN) or the deprecated legacy console EIDs.

The new Precise Baud Rate field, introduced in [19] rev. 4, allows describing rates faster than 115200 baud for NS16550-compatible UARTS.

Hardware not capable of interrupt-driven operation and SBI console should be described with Interrupt Type of 0 and Global System Interrupt of 0.

8.4. SMBIOS Implementation Guidance

Note the DMTF requirements on the 64-bit SMBIOS 3.0 entry point ([8] Section 5.2.2) and data structures ([8] Section 6.2).

8.4.1. Type 44 Processor-Specific Data

The Machine Vendor ID, Machine Architecture ID, and Machine Implementation ID fields typically reflect the mvendorid, marchid and mimpid CSRs respectively.

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