

Exercises

1. .

$D^{(0)}$	1	2	3
1	0	2	1
2	-1	0	∞
3	∞	3	0

$D^{(1)}$	1	2	3
1	0	2	1
2	-1	0	0
3	∞	3	0

$D^{(2)}$	1	2	3
1	0	2	1
2	-1	0	0
3	2	3	0

$D^{(3)}$	1	2	3
1	0	2	1
2	-1	0	0
3	2	3	0

2. (a) $D[k]$ is the LIS ending on k , so if $A[k] < A[i]$, $D[i]$ is at least $D[k] + 1$. If $A[k] < A[i]$ is false for all $k = 0, \dots, i - 1$, then $A[i]$ can only be an LIS of length 1.

(b) `def LIS(A):`
 #A[0]~A[n-1] is the input sequence
 D = [1]
 for i in range(1, len(A)):
 d = 1
 for k in range(0, i):
 if A[k] < A[i] and D[k]+1 > d:
 d = D[k]+1
 D.append(d)
 # find max in D
 m = 0
 for i in range(len(A)):
 if D[i] > m:
 m = D[i]
 return m

(c) `def LIS_(A):`
 #A[0]~A[n-1] is the input sequence
 D = [1]
 prev = [-1]
 for i in range(1, len(A)):
 d = 1
 kbest = -1

```

    for k in range(0, i):
        if A[k] < A[i] and D[k]+1 > d:
            d = D[k]+1
            kbest = k
    D.append(d)
    prev.append(kbest)
# find max in D
m = 0
ibest = -1
for i in range(len(A)):
    if D[i] > m:
        m = D[i]
        ibest = i
# trace LIS
out = []
while ibest != -1:
    out.append(A[ibest])
    ibest = prev[ibest]
return m, out[::-1]

```

An $O(n \log n)$ algorithm by Fredman 1975:

Let $S[k]$ be the smallest element of A that is at the end of an increasing sequence of length k . Let $X[k]$ be the index of $S[k]$ in A . Let $p[i]$ be the parent of i .

```

import bisect
def fredman(A):
    S = []
    X = []
    p = [-1]*len(A)
    for i in range(len(A)):
        x = bisect.bisect_left(S, A[i]) # insertion point
        if x == len(S):
            S.append(A[i])
            X.append(i)
            if len(S)>1:
                p[i] = X[-2]
        else:
            if A[i] < S[x]:
                S[x] = A[i]
                X[x] = i
                if x > 0:
                    p[i] = X[x-1]
    # reconstruct
    curr = X[-1]
    LIS = [A[curr]]
    while p[curr] != -1:
        curr = p[curr]
        LIS.append(A[curr])
    return len(S), LIS[::-1]

```

Problems

1. (a) We abbreviate `minimumElements(n, S)` as $f(n)$.

Base case: (1) $f(0) = 0$. (2) For $0 < n < \min(S)$ or $n < 0$, the algorithm correctly returns `None`.

Hypothesis: $f(n)$ is correct for $0 \leq n < k$.

Inductive step: For $n = k$, $f(k)$ can be either a real number or `None`. If the former is true, we must have $f(k) = f(k-s) + 1$ for some $s \in S$, because $s > 0$. The algorithm is correct by picking the minimum among all such $f(k-s) + 1$. If the latter is true, none of $f(k-s)$ can yield a real number. The algorithm correctly returns `None` for $f(k)$. This completes the induction.

- (b) Similar to the naive way to calculate Fibonacci numbers, we have $T(n) = T(n-1) + T(n-2) + O(1)$. (In fact, the assertion $2^{\Omega(n)}$ is wrong. The base does affect asymptotic behavior. $T(n)$ grows at least as fast as Fibonacci numbers, so the base is at least the golden ratio.)
- (c) Similar to the given code, with memoization added.

Running time: If the memo is formed already, we only have $O(|S|)$ calls on the top level. Consider the formation of the memo. Each `memo[k]` is run at most once. Conceptually aggregate the calculation of the memo from the base cases, and work the way up. Each `memo[k]` requires at most $O(|S|)$ calls, without further recursion. So to form the memo takes $O(n|S|)$. So overall $O(n|S|)$.

```
def memoization(n, S):
    # initialize memo
    memo = [-1]*(n+1)
    memo[0] = 0
    for i in range(1, n+1):
        if i < min(S):
            memo[i] = None
        else:
            break
    return helper(n, S, memo)

def helper(k, S, memo):
    if memo[k] != -1:
        return memo[k]
    candidates = []
    for s in S:
        if k-s >= 0:
            cand = helper(k-s, S, memo)
            if cand is not None:
                candidates.append(cand+1)
    memo[k] = min(candidates)
    return memo[k]
```

- (d) Crawl the 1D solution array according to the optimal substructure: $sol(n) = \min_{s \in S} sol(n-s) + 1$. The array length is $\sim n$. The work for each element is $\sim |S|$. So overall $O(n|S|)$.

```
def dp(n, S):
    # initialize array
    sol = [None]*(n+1)
    sol[0] = 0
    # crawl
```

```

for k in range(min(S), n+1):
    candidates = []
    for s in S:
        if k-s >= 0 and sol[k-s] is not None:
            candidates.append(sol[k-s]+1)
    sol[k] = min(candidates)
return sol[n]

```

2. Consider $X[k]$ and whether site k is assigned. The optimal substructure and the base cases are:

$$\begin{aligned}
 X[k] &= \max(Q[k] + X[k-2], X[k-1]) \\
 X[0] &= \max(Q[0], 0) \\
 X[1] &= \max(Q[1], X[0])
 \end{aligned}$$

The running time and space are $O(n)$, because each step is constant time and there are n steps and $2n$ storage.

```

def river(Q):
    # initialization
    X = [None]*len(Q) # answer array
    p = [0]*len(Q) # "picked". for backtrack
    if Q[0] > 0:
        X[0] = Q[0]
        p[0] = 1
    else:
        X[0] = 0
    if Q[1] > X[0]:
        X[1] = Q[1]
        p[1] = 1
    else:
        X[1] = X[0]
    # crawl
    for k in range(2, len(Q)):
        if Q[k]+X[k-2] > X[k-1]:
            X[k] = Q[k]+X[k-2]
            p[k] = 1
        else:
            X[k] = X[k-1]
    # backtrack
    ans = []
    k = len(Q)-1
    while k >= 0:
        if p[k] == 1:
            ans.append(k)
            k -= 2
        else:
            k -= 1
    return X[-1], ans[::-1]

```

3. (a) Let $A[k]$ be the optimal subarray that ends at k . We have $A[k] = \max(B[k], B[k] + A[k-1])$. We iterate k to find all $A[k]$ and record the best one, so $O(n)$.

```

def linear(B):
    Aprev = B[0]
    Amax = Aprev
    kAmax = 0
    s = [1]*len(B) # single-element subarray?
    for k in range(1, len(B)):
        Acurr = B[k]
        if Aprev > 0:
            Acurr += Aprev
            s[k] = 0
        if Amax < Acurr:
            Amax = Acurr
            kAmax = k
        Aprev = Acurr
    kmin = kAmax
    while True:
        if s[kmin] == 1:
            return Amax, kmin, kAmax
        # covers all situations. s[0] is always 1
        kmin -= 1

```

- (b) We will use $D_{x,y,i,j}$ as a short for $D[x][y][i][j]$ hereafter.

To reach $O(n^4)$, we can only spend constant time on each $D[x][y][i][j]$. Suppose we iterate through D in the following way:

```

for x in range(n):
    for y in range(n):
        for i in range(x, n):
            for j in range(y, n):

```

which means when we deal with $D[x][y][i][j]$, we have access to $D[x][y][s][t]$ where $s = x, \dots, i-1$ and $t = y, \dots, j-1$. We therefore write

$$\begin{aligned}
 D_{x,y,i,j} &= D_{xyi,j-1} + D_{x,y,i-1,j} - D_{x,y,i-1,j-1} + A_{i,j} \\
 D_{x,y,x,j} &= D_{x,y,x,j-1} + A_{x,j} \\
 D_{x,y,i,y} &= D_{x,y,i-1,y} + A_{i,y}
 \end{aligned}$$

to reach $O(n^4)$ time and space as follows:

```

def getD(A, n):
    # initialize 4d
    D=[[[[None for i in range(n)]\
        for j in range(n)]\
        for k in range(n)]\
        for l in range(n)]
    # crawl
    for x in range(n):
        for y in range(n):
            D[x][y][x][y] = A[x][y]
            for j in range(y+1, n):
                D[x][y][x][j] = D[x][y][x][j-1] + A[x][j]
            for i in range(x+1, n):
                D[x][y][i][y] = D[x][y][i-1][y] + A[i][y]

```

```

    for i in range(x+1, n):
        for j in range(y+1, n):
            D[x][y][i][j] = D[x][y][i][j-1] + D[x][y][i-1][j] - D[x][y][i-1][j-1]\
                               + A[i][j]
    return D

```

(c) We do a 4-D search for the max entry of D.

```

def maxD(D, n):
    DD = D[0][0][0][0]
    xx, yy, ii, jj = 0, 0, 0, 0
    for x in range(n):
        for y in range(n):
            for i in range(x, n):
                for j in range(y, n):
                    if D[x][y][i][j] > DD:
                        DD = D[x][y][i][j]
                        xx = x
                        yy = y
                        ii = i
                        jj = j
    return xx, yy, ii, jj

```

(d) Similar to (b), we define

$$E[x][y][i] = \sum_{s=x}^i A[s][y]$$

To find E takes $O(n^3)$ time, using $E_{x,i,y} = E_{x,i-1,y} + A_{i,y}$ for each y. Then for each pair (x, i), we apply the algorithm in (a) to $E[x][i]$, which takes $O(n^2 \cdot n)$ time. We record the best answer seen among for all (x, i). Overall $O(n^3)$.

```

def getE(A, n):
    # initialize 3d
    E=[[[None for i in range(n)]\
        for j in range(n)]\
        for k in range(n)]
    # crawl E
    for y in range(n):
        for x in range(n):
            E[x][x][y] = A[x][y]
            for i in range(x+1, n):
                E[x][i][y] = E[x][i-1][y] + A[i][y]
    return E

def n3(E, n):
    # returns best of s, x, a, i, b
    # s: quality seen among all (x, i)
    # a, b: as in (a)
    bestA, bestx, besti, besta, bestb = [None]*5
    for x in range(n):
        for i in range(x, n):
            A, a, b = linear(E[x][i][:])

```

```
# note if using E[x][y][i] ordering, E[x][:][i] is wrong
if bestA is None or A > bestA:
    bestA = A
    besta = a
    bestb = b
    bestx = x
    besti = i
return bestA, bestx, besta, besti, bestb
```