

# Bin Shi

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## Academic Appointments

- 06/2021– **Associate Professor**  
present Academy of Mathematics and Systems Science  
Chinese Academy of Sciences
- 01/2019– **Postdoctoral Scholar (Hosted by Michael I. Jordan)**  
05/2021 Department of Electrical Engineering & Computer Science  
University of California, Berkeley

## Education

- 2015–2018 **Ph.D in Computer Science**  
Major: Theoretical Machine Learning  
School of Computing and Information Sciences, Florida International University, FL
- 2013–2015 **M.S. in Physics**  
Major: Theoretical Physics  
Department of Physics, University of Massachusetts, Dartmouth, MA
- 2008–2011 **M.S. in Mathematics**  
Major: Pure Mathematics  
Thesis: Nekhoroshev Estimates for Infinite-Dimensional Reversible System with Chain Structure,  
Advisor: Xiaoping Yuan  
School of Mathematical Science, Fudan University, Shanghai, China
- 2002–2006 **B.S. in Mathematics**  
Major: Pure and Applied Mathematics  
School of Mathematical Science, Ocean University of China, Qingdao, China

## Research Interests

- Optimization for Machine Learning
- Numerical Analysis and Scientific Computing
- Nonlinear and Stochastic Sciences
- Fluid Dynamics (Turbulence, Geophysical and Astrophysical)

## Publications

- **The Sampling Method for Optimal Precursors of ENSO Events**  
**Bin Shi** and Junjie Ma  
To appear in Nonlinear Processes in Geophysics, 2024
- **On Learning Rates and Schrödinger Operators**  
**Bin Shi**, Weijie J. Su and Michael I. Jordan  
Journal of Machine Learning Research, 2023, 24(379):1-53

- **An adjoint-free algorithm for conditional nonlinear optimal perturbations (CNOPs) via sampling**  
Bin Shi and Guodong Sun  
Nonlinear Processes in Geophysics, 2023, 30(3):263–276
- **Understanding the Acceleration Phenomenon via High-Resolution Differential Equations**  
Bin Shi, Simon S. Du, Michael I. Jordan, and Weijie J. Su  
Mathematical Programming, Series A, 2022, 195(1):79-148
- **Conjugate and Cut Points in Ideal Fluid Motion**  
Theodore D. Drivas, Gerard Misiołek, Bin Shi and Tsuyoshi Yoneda  
Annales Mathématiques du Québec, 2022, 46(1):207-225
- **Acceleration via Symplectic Discretization of High-Resolution Differential Equations**  
Bin Shi, Simon S. Du, Weijie J. Su and Michael I. Jordan  
Advances in Neural Information Processing Systems, 2019, 32.

## Monographs

- **Mathematical Theories of Machine Learning - Theory and Applications**  
Bin Shi and Sundaraja S. Iyengar  
Springer International Publishing, 2020

## Workshop Papers

- **A Conservation Law Method in Optimization**  
Bin Shi, Tao Li and Sundaraja S. Iyengar  
The Tenth Workshop on Optimization for Machine Learning  
Advances in Neural Information Processing Systems, 2017, 30

## Preprints

- **On the Hyperparameters in SGD with Momentum**  
Bin Shi  
arXiv preprint <https://arxiv.org/abs/2108.03947>, (Accepted after Minor Revision) Journal of Machine Learning Research
- **Gradient Norm Minimization of Nesterov Acceleration:  $o(1/k^3)$**   
Shuo Chen, Bin Shi and Ya-xiang Yuan  
arXiv preprint <https://arxiv.org/abs/2209.08862>
- **Optimal Disturbances of Blocking: A Barotropic View**  
Bin Shi, Dehai Luo and Wenqi Zhang  
arXiv preprint <https://arxiv.org/abs/2210.06011>, submitted
- **Proximal Subgradient Norm Minimization of ISTA and FISTA**  
Bowen Li, Bin Shi and Ya-xiang Yuan  
arXiv preprint <https://arxiv.org/abs/2211.01610>
- **Revisiting the Acceleration Phenomenon via High-Resolution Differential Equations**  
Shuo Chen, Bin Shi and Ya-Xiang Yuan  
arXiv preprint <https://arxiv.org/abs/2212.05700>

- **Linear Convergence of ISTA and FISTA**  
Bowen Li, **Bin Shi** and Ya-Xiang Yuan  
arXiv preprint <https://arxiv.org/abs/2212.06319>
- **On Underdamped Nesterov Acceleration**  
Shuo Chen, **Bin Shi** and Ya-Xiang Yuan  
arXiv preprint <https://arxiv.org/abs/2304.14642>
- **Linear convergence of Nesterov-1983 with the strong convexity**  
Bowen Li, **Bin Shi** and Ya-Xiang Yuan  
arXiv preprint <https://arxiv.org/abs/2306.09694>
- **Understanding the ADMM Algorithm via High-Resolution Differential Equations**  
Bowen Li and **Bin Shi**  
arXiv preprint <https://arxiv.org/abs/2401.07096>

## Grants and Funding

- **Co-PI: National Science Foundation of China, #12241105**  
Developing 4D-Var Strongly Coupled Assimilation System of Climate System Models Based on Statistical Machine Learning
- **Co-PI: CAS Project for Young Scientists in Basic Research, #YSBR-034**  
Mathematical Principles of Deep Learning

## Professional Experience

Journal Review

**Mathematical Reviews/MathSciNet**  
**Mathematical Programming (MP)**  
**SIAM Journal on Optimization (SIOPT)**  
**Mathematics of Computation (MCOM)**  
**Communications in Mathematical Sciences (CMS)**  
**Journal of Machine Learning Research (JMLR)**  
**Computational Optimization and Applications (CoA)**  
**Numerical Algorithms (NA)**  
**IEEE Access**

Conf. Review

**ICML, NeurIPS, ICLR**

## Invited Talks

- 2021.09 School of Mathematics, Shandong University, Jinan, China (Virtual)
- 2021.10 2021 Tsinghua Symposium on Statistics And Data Science for Young Scholars, Beijing, China
- 2021.11 2021 CAS Frontier Innovation Forum on Mathematics and its Intersections, Beijing, China
- 2022.02 Department of Computer Science and Technology, Tsinghua University, Beijing, China
- 2022.11 School of Mathematical Sciences, Peking University, Beijing, China
- 2022.11 International Forum of Climate and Environmental Changes Sustainable Development (IYBSSD)
- 2023.06 2023 SIAM Conference on Optimization (OP23), Seattle, USA
- 2023.06 School of Mathematical Sciences, Ocean University of China, Qingdao, China
- 2023.06 Jordan Symposium, France
- 2023.06 Bernoulli Institute, University of Groningen, Netherlands
- 2023.07 Tianyuan Mathematical Research Center, Kunming, China
- 2023.07 School of Mathematics and Statistics, Yunnan University, Kunming, China
- 2023.08 HKSIAM Biennial Conference, Hong Kong, China

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## Work Experience

- 2022-Fall Partial Differential Equations  
2021-Fall Numerical Optimization  
2015-2018 Teaching Assistant in Florida International University
- Computer Programming I (COP-2210)
  - Computer Programming II (COP-3337)
  - Introduction to Algorithms (COT-5407)
  - Theory of Computation (COT-5310)
- 2013-2015 Research Assistant in University of Massachusetts, Dartmouth  
2013 Temporary Research Staff in Institute of Oceanology, Chinese Academy of Sciences, China  
2008-2011 Teaching Assistant in Fudan University
- Mathematical Analysis
  - Riemannian Geometry
  - Partial Differential Equations
  - Mathematical Method of Classical Mechanics

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## References: Machine Learning and Applied Mathematics

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## References: Atmospheric Science and Oceanography

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