# Bin Shi

# Academic Appointments

06/2021 - Associate Professor

present Academy of Mathematics and Systems Science

Chinese Academy of Sciences

01/2019- Postdoctoral Scholar (Hosted by Michael I. Jordan)

05/2021 Department of Electrical Engineering & Computer Science

University of California, Berkeley

#### Education

2015–2018 Ph.D in Computer Science

Major: Theoretical Machine Learning

School of Computing and Information Sciences, Florida International University, FL

2013–2015 M.S. in Physics

Major: Theoretical Physics

Department of Physics, University of Massachusetts, Dartmouth, MA

2008–2011 M.S. in Mathematics

Major: Pure Mathematics

Thesis: Nekhoroshev Estimates for Infinite-Dimensional Reversible System with Chain Structure,

Advisor: Xiaoping Yuan

School of Mathematical Science, Fudan University, Shanghai, China

2002-2006 B.S. in Mathematics

Major: Pure and Applied Mathematics

School of Mathematical Science, Ocean University of China, Qingdao, China

#### Research Interests

- Optimization for Machine Learning
- Numerical Analysis and Scientific Computing
- Nonlinear and Stochastic Sciences
- Fluid Dynamics (Turbulence, Geophysical and Astrophysical)

#### Journal Publications

• Linear Convergence of Forward-Backward Accelerated Algorithms without Knowledge of the Modules of the Strong Convexity

Bowen Li, Bin Shi and Ya-xiang Yuan

SIAM Journal on Optimization, 2024, 34(2):2150-2168.

• The sampling method for optimal precursors of El Niño-Southern Oscillation events

Bin Shi and Junjie Ma

Nonlinear Processes in Geophysics, 2024, 31(1):165-174.

#### • On Learning Rates and Schrödinger Operators

**Bin Shi**, Weijie J. Su and Michael I. Jordan Journal of Machine Learning Research, 2023, 24(379):18153–18205.

 An adjoint-free algorithm for conditional nonlinear optimal perturbations (CNOPs) via sampling

**Bin Shi** and Guodong Sun Nonlinear Processes in Geophysics, 2023, 30(3):263–276.

• Understanding the Acceleration Phenomenon via High-Resolution Differential Equations Bin Shi, Simon S. Du, Michael I. Jordan, and Weijie J. Su

Mathematical Programming, 2022, 195(1):79-148.

• Conjugate and Cut Points in Ideal Fluid Motion

Theodore D. Drivas, Gerard Misiołek, **Bin Shi** and Tsuyoshi Yoneda Annales Mathématiques du Québec, 2022, 46(1):207-225.

# Conference and Workshop Proceedings

• Acceleration via Symplectic Discretization of High-Resolution Differential Equations Bin Shi, Simon S. Du, Weijie J. Su and Michael I. Jordan
The 33rd Annual Conference of Advances in Neural Information Processing Systems (Neurips 2019).

A Conservation Law Method in Optimization

**Bin Shi**, Tao Li and Sundaraja S. Iyengar The Tenth Workshop on Optimization for Machine Learning Advances in Neural Information Processing Systems, 2017, 30

## Monographs

Mathematical Theories of Machine Learning - Theory and Applications

**Bin Shi** and Sundaraja S. Iyengar Springer International Publishing, 2020

### **Preprints**

On the Hyperparameters in SGD with Momentum

Bin Shi

arXiv preprint https://arxiv.org/abs/2108.03947, (Accepted after Minor Revision) Journal of Machine Learning Research

• Gradient Norm Minimization of Nesterov Acceleration:  $o(1/k^3)$ 

Shuo Chen, **Bin Shi** and Ya-xiang Yuan arXiv preprint https://arxiv.org/abs/2209.08862

• Optimal Disturbances of Blocking: A Barotropic View

**Bin Shi**, Dehai Luo and Wenqi Zhang arXiv preprint https://arxiv.org/abs/2210.06011, submitted

Proximal Subgradient Norm Minimization of ISTA and FISTA

Bowen Li, **Bin Shi** and Ya-xiang Yuan arXiv preprint https://arxiv.org/abs/2211.01610

Revisiting the Acceleration Phenomenon via High-Resolution Differential Equations

Shuo Chen, Bin Shi and Ya-Xiang Yuan arXiv preprint https://arxiv.org/abs/2212.05700

Linear Convergence of ISTA and FISTA

Bowen Li, Bin Shi and Ya-Xiang Yuan arXiv preprint https://arxiv.org/abs/2212.06319

On Underdamped Nesterov Acceleration

Shuo Chen, Bin Shi and Ya-Xiang Yuan arXiv preprint https://arxiv.org/abs/2304.14642

Understanding the ADMM Algorithm via High-Resolution Differential Equations

Bowen Li and Bin Shi

arXiv preprint https://arxiv.org/abs/2401.07096

Understanding the PDHG Algorithm via High-Resolution Differential Equations

Bowen Li and Bin Shi

arXiv preprint https://arxiv.org/abs/2403.11139

## Grants and Funding

Co-PI: National Science Foundation of China, #12241105

Developing 4D-Var Strongly Coupled Assimilation System of Climate System Models Based on Statistical Machine Learning

Co-PI: CAS Project for Young Scientists in Basic Research, #YSBR-034

Mathematical Principles of Deep Learning

## Professional Experience

Journal Review

Mathematical Reviews/MathSciNet

Mathematical Programming (MP)

SIAM Journal on Optimization (SIOPT)

SIAM Journal on Control and Optimization (SICON)

Mathematics of Computation (MCOM)

Communications in Mathematical Sciences (CMS)

Journal of Machine Learning Research (JMLR)

Transactions on Machine Learning Research (TMLR)

Journal of Computational Mathematics (JCM)

Computational Optimization and Applications (CoA)

Numerical Algorithms (NA)

Journal of Global Optimization (JOGO)

**IEEE Access** 

Conf. Review ICML, NeurIPS, ICLR

### Invited Talks

2021.09 School of Mathematics, Shandong University, Jinan, China (Virtual)

2021.10 2021 Tsinghua Symposium on Statistics And Data Science for Young Scholars, Beijing, China

2021.11 2021 CAS Frontier Innovation Forum on Mathematics and its Intersections, Beijing, China

2022.02 Department of Computer Science and Technology, Tsinghua University, Beijing, China

2022.11 School of Mathematical Sciences, Peking University, Beijing, China

2022.11 International Forum of Climate and Environmental Changes Sustainable Development (IYBSSD)

2023.06 2023 SIAM Conference on Optimization (OP23), Seattle, USA 2023.06 School of Mathematical Sciences, Ocean University of China, Qingdao, China 2023.06 Jordan Symposium, France 2023.06 Bernoulli Institute, University of Groningen, Netherlands 2023.07 Tianyuan Mathematical Research Center, Kunming, China 2023.07 School of Mathematics and Statistics, Yunnan University, Kunming, China 2023.08 HKSIAM Biennial Conference, Hong Kong, China 2023.11 Institute of Atmospheric Physics, Chinese Academy of Sciences, Beijing, China 2023.06 School of Mathematical Sciences, Zhejiang University, Hanzhou, China

# References: Machine Learning and Applied Mathematics

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Yurii Nesterov Professor Louvain School of Engineering ICTEAM and LIDAM Université catholique de Louvain Louvain-la-Neuve, Belgium, 1348 **☎** +32-10-47-43-48

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# References: Atmospheric Science and Oceanography

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