

## Academic Appointments

- 06/2021–  
present **Associate Professor**  
Academy of Mathematics and Systems Science  
Chinese Academy of Sciences
- 01/2019–  
05/2021 **Postdoctoral Scholar (Hosted by Michael I. Jordan)**  
Department of Electrical Engineering & Computer Science  
University of California, Berkeley

## Education

- 2015–2018 **Ph.D in Computer Science**  
Major: Theoretical Machine Learning  
School of Computing and Information Sciences, Florida International University, FL
- 2013–2015 **M.S. in Physics**  
Major: Theoretical Physics  
Department of Physics, University of Massachusetts, Dartmouth, MA
- 2008–2011 **M.S. in Mathematics**  
Major: Pure Mathematics  
Thesis: Nekhoroshev Estimates for Infinite-Dimensional Reversible System with Chain Structure,  
Advisor: Xiaoping Yuan  
School of Mathematical Science, Fudan University, Shanghai, China
- 2002–2006 **B.S. in Mathematics**  
Major: Pure and Applied Mathematics  
School of Mathematical Science, Ocean University of China, Qingdao, China

## Research Interests

- Optimization for Machine Learning
- Numerical Analysis and Scientific Computing
- Nonlinear Sciences and Stochastic Sciences
- Fluid Dynamics (Turbulence, Geophysical and Astrophysical)
- Data Assimilation

## Journal Publications

- **On the Hyperparameters in SGD with Momentum**  
**Bin Shi**  
To appear in Journal of Machine Learning Research, 2024+
- **Linear convergence of Forward-Backward Accelerated Algorithms without Knowledge of the Modules of the Strong Convexity**  
Bowen Li, **Bin Shi** and Ya-Xiang Yuan  
SIAM Journal on Optimization, 2024, 34(2):2150-2168

- **The Sampling Method for Optimal Precursors of ENSO Events**  
Bin Shi and Junjie Ma  
Nonlinear Processes in Geophysics, 2024, 31(1):165-174.
- **On Learning Rates and Schrödinger Operators**  
Bin Shi, Weijie J. Su and Michael I. Jordan  
Journal of Machine Learning Research, 2023, 24(379):18153-18205
- **An adjoint-free algorithm for conditional nonlinear optimal perturbations (CNOPs) via sampling**  
Bin Shi and Guodong Sun  
Nonlinear Processes in Geophysics, 2023, 30(3):263–276
- **Understanding the Acceleration Phenomenon via High-Resolution Differential Equations**  
Bin Shi, Simon S. Du, Michael I. Jordan, and Weijie J. Su  
Mathematical Programming, Series A, 2022, 195(1):79-148
- **Conjugate and Cut Points in Ideal Fluid Motion**  
Theodore D. Drivas, Gerard Misiólek, Bin Shi and Tsuyoshi Yoneda  
Annales Mathématiques du Québec, 2022, 46(1):207-225

## Conference and Workshop Papers

- **Acceleration via Symplectic Discretization of High-Resolution Differential Equations**  
Bin Shi, Simon S. Du, Weijie J. Su and Michael I. Jordan  
Advances in Neural Information Processing Systems, 2019, 32.
- **A Conservation Law Method in Optimization**  
Bin Shi, Tao Li and Sundaraja S. Iyengar  
The Tenth Workshop on Optimization for Machine Learning  
Advances in Neural Information Processing Systems, 2017, 30

## Monographs

- **Mathematical Theories of Machine Learning - Theory and Applications**  
Bin Shi and Sundaraja S. Iyengar  
Springer International Publishing, 2020

## Preprints

- **Gradient Norm Minimization of Nesterov Acceleration:  $o(1/k^3)$**   
Shuo Chen, Bin Shi and Ya-xiang Yuan  
arXiv preprint <https://arxiv.org/abs/2209.08862>, submitted
- **Optimal Disturbances of Blocking: A Barotropic View**  
Bin Shi, Dehai Luo and Wenqi Zhang  
arXiv preprint <https://arxiv.org/abs/2210.06011>, submitted
- **Proximal Subgradient Norm Minimization of ISTA and FISTA**  
Bowen Li, Bin Shi and Ya-xiang Yuan  
arXiv preprint <https://arxiv.org/abs/2211.01610>, submitted
- **Revisiting the Acceleration Phenomenon via High-Resolution Differential Equations**  
Shuo Chen, Bin Shi and Ya-Xiang Yuan  
arXiv preprint <https://arxiv.org/abs/2212.05700>, Major Revision in Mathematical Programming

- **Linear Convergence of ISTA and FISTA**

Bowen Li, **Bin Shi** and Ya-Xiang Yuan

arXiv preprint <https://arxiv.org/abs/2212.06319>, submitted

- **On Underdamped Nesterov Acceleration**

Shuo Chen, **Bin Shi** and Ya-Xiang Yuan

arXiv preprint <https://arxiv.org/abs/2304.14642>, submitted

- **Understanding the ADMM Algorithm via High-Resolution Differential Equations**

Bowen Li, **Bin Shi**

arXiv preprint <https://arxiv.org/abs/2401.07096>, submitted

- **Understanding the PDHG Algorithm via High-Resolution Differential Equations**

Shuo Chen, **Bin Shi**

arXiv preprint <https://arxiv.org/abs/2403.11139>, submitted

- **A Lyapunov Analysis of Accelerated PDHG Algorithms**

Xueying Zeng, **Bin Shi**

arXiv preprint <https://arxiv.org/abs/2407.18681>, submitted

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## Grants and Funding

- **Co-PI: National Science Foundation of China, #12241105**

Developing 4D-Var Strongly Coupled Assimilation System of Climate System Models Based on Statistical Machine Learning

- **Co-PI: CAS Project for Young Scientists in Basic Research, #YSBR-034**

Mathematical Principles of Deep Learning

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## Professional Experience

Journal Review

**Mathematical Reviews/MathSciNet**

**Mathematical Programming (MP)**

**SIAM Journal on Optimization (SIOPT)**

**SIAM Journal on Control and Optimization (SICON)**

**SIAM Journal on Mathematical Analysis (SIMA)**

**SIAM Journal on Numerical Analysis (SINA)**

**Numerische Mathematik (NM)**

**Mathematics of Computation (MCOM)**

**Communications in Mathematical Sciences (CMS)**

**Journal of Machine Learning Research (JMLR)**

**Transactions on Machine Learning Research (TMLR)**

**Journal of Computational Mathematics (JCM)**

**Computational Optimization and Applications (CoA)**

**Numerical Algorithms (NA)**

**Journal of Global Optimization (JOGO)**

**Journal of Optimization Theory and Applications (JOTA)**

**Journal of Mathematical Fluid Mechanics (JMFM)**

**IEEE Access**

Conf. Review

**ICML, NeurIPS, ICLR**

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## References: Machine Learning and Applied Mathematics

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## References: Atmospheric Science and Oceanography

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