## Machine Learning Autoencoder Applied to Communication Channels

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### Context I

#### Digital communication system definition

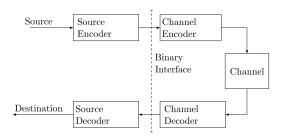


Figure: Block diagram representation of a communication system.



Figure: Simplified communication system. Where  $\mathbf{u}^k$  is a source message,  $\mathbf{x}^n$  a code word,  $\mathbf{y}^n$  the received code word and  $\hat{\mathbf{u}}^k$  the decoded message.

### Context II

#### Machine learning applied to communication system

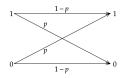


Figure: Binary symmetric channel (BSC) representation.

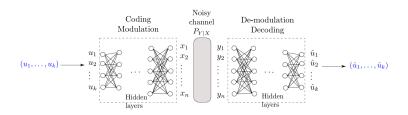


Figure: Deep neural network (DNN) based autoencoder.

## Motivation & Challenges

- Low latency and high bandwidth wireless communication are key to critical systems.
  - i.g. airplanes, satellites, cellular communication and 5G operations.

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- Low latency and high bandwidth wireless communication are key to critical systems.
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- As opposite to structured algorithms, machine learning (ML)
  algorithms do not require rigidly designed models and can take
  non-linearities effortlessly into account.
- ML based communication systems could be a better representation of realistic systems and could optimize information transmission of different blocklengths.

### Problem Statement

### **Hypothesis**

Could ML based decoders and autoencoders for a BSC perform similarly to the MAP decoder in real applications and have lower communication delay?

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Could ML based decoders and autoencoders for a BSC perform similarly to the MAP decoder in real applications and have lower communication delay?

### Objective

This work aims to contribute to set up a higher standard in terms of performance in bit-error correction and demonstrate that ML based models can reduce delay for digital communication applications.

# Maximum a Posterior (MAP) Rule

Implementation of a MAP decoder for a linear block code through a BSC.

 The concept of a MAP decoder algorithm for sequences is choosing a message which maximizes the a posterior probability.

$$f(y) = \underset{x \in \mathcal{X}}{\arg\max} P_{X|Y}(x|y)$$

#### **Algorithm 1** MAP rule for BSC and linear block code.

**Input:** received block  $\mathbf{y}^n \in \{0,1\}^n$ , code word set  $\mathcal{X}$  and generator matrix  $G_{k \times n}$ .

**Output:** message estimation  $\hat{\mathbf{u}}^k \in \{0, 1\}^k$ .

 $\mathbf{procedure} \,\, \mathsf{MAP} \,\, \mathsf{DECODER}(y,\mathcal{X},G)$ 

 $p \leftarrow$  channel crossover probability

for i in  $range(2^k)$  do

 $distances[i] \leftarrow d_H(\mathbf{y}, word[i] \in \mathcal{X})$ 

 $\hat{\mathbf{x}} \leftarrow argmin(distances)$ 

 $\hat{\mathbf{u}} \leftarrow \hat{\mathbf{x}} G^{-1}$  return  $\hat{\mathbf{u}}$ 

# Neural Network's Design and Architecture Basics

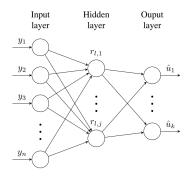


Figure: NN representative diagram, where  $\mathbf{y}^n$  is the input vector,  $\mathbf{r}^j_l$  is a hidden layer vector and  $\hat{\mathbf{u}}^k$  is the output vector.

## Array Decoder DNN Architecture and Implementation

Table: DNN array decoder architecture and training parameters. The input is a code word of size n and the output is the decoded message of size k.

	Dense: 128, activation: ReLU, input size: n	
Decoder	Dense: 64, activation: ReLU	
	Dense: 32, activation: ReLU	
	Dense: $k$ , activation: Sigmoid	
Total parameters: 12776		

Loss function	Optimizer	N. Epochs	Batch Size
Binary cross-entropy	Adam	$2^{16}$	256

## One-hot Decoder DNN Architecture and Implementation

Table: DNN one-hot decoder architecture and parameters. The input is a code word of size n and the output is a unique one-hot vector of size  $2^k$  which is mapped into its correspondent message.

Decoder	Dense: 256, activation: Softmax, input size: n	
Total parameters: 4352		

Loss function	Optimizer	N. Epochs	Batch Size
Binary cross-entropy	Adam	$2^{14}$	256

## Array Autoencoder DNN Architecture and Implementation

This design yielded best error correction results out of 80 models tried

Table: DNN array autoencoder architecture and training parameters.

	Dense: 512, activation: ReLU, BN <sup>1</sup> , input size: 8	
Encoder	Dense: 256, activation: ReLU, BN	
	Dense: 16, activation: Sigmoid	
Channel	Lambda: $Round(x)$ , input size: 16	
Chamilei	Lambda: $\mathbf{x} \oplus \text{noise}$	
Decoder	Dense: 128, activation: ReLU, BN, input size: 16	
Decoder	Dense: 64, activation: ReLU, BN	
	Dense: 8, activation: Sigmoid	
Total parameters: 154072		

Loss function	Optimizer	N. Epochs	Batch Size
MSE	Adam	$2^{17}$	256

<sup>&</sup>lt;sup>1</sup>Batch Normalization (BN)

### One-hot Autoencoder DNN Architecture

This design yielded best error correction results out of 71 models tried

Table: DNN one-hot autoencoder architecture and training parameters.

	Dense: 196, activation: ReLU, BN, input size: 256		
Encoder	Dense: 128, activation: ReLU, BN		
	Dense: 96, activation: ReLU, BN		
	Dense: 64, activation: ReLU, BN		
	Dense: 32, activation: ReLU, BN		
	Dense: 16, activation: Sigmoid		
Channel	Lambda: Round(x), input size: 16		
Decoder	Dense: 128, activation: ReLU, BN, input size: 16		
	Dense: 256, activation: Softmax		
Total par	Total parameters: 134052		

Loss function	Optimizer	N. Epochs	Batch Size
MSF	Adam	216	256

## **DNN** Array Decoder Error Correction Performance

For each of 20 different channels, 100000 messages were sent. The average error was calculated and traced. The same procedure was applied throughout the work.

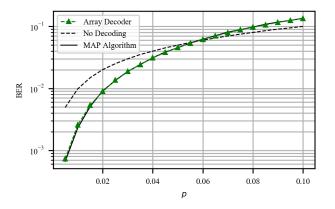


Figure: Array decoding BER performance. DNN trained with a channel crossover probability error of  $p_t = 0.07$ . The decoder successfully learned the reference MAP algorithm.

### DNN One-hot Decoder Error Correction Performance

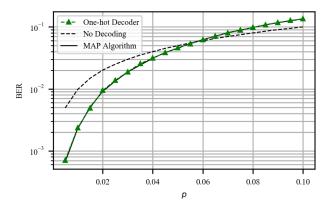


Figure: One hot decoding BER performance. NN decoder trained with a channel crossover probability error of  $p_t = 0$ . The decoder successfully learned the reference MAP algorithm.

## DNN Array Autoencoder Error Correction Performance I

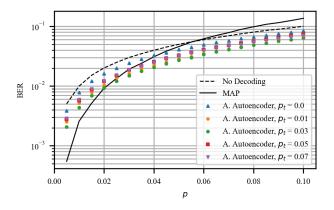


Figure: Training crossover probability simulation for the array autoencoder.  $P_t = 0.03$  demonstrated to have best performance to this particular architecture.

## DNN Array Autoencoder Error Correction Performance II

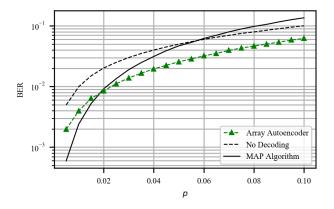


Figure: Array autoencoder BER performance. DNN array autoencoder trained with a channel crossover probability error of  $p_t = 0.03$ . The array autoencoder could not outperform the reference MAP algorithm for channels with p < 0.02.

### DNN One-hot Autoencoder Error Correction Performance

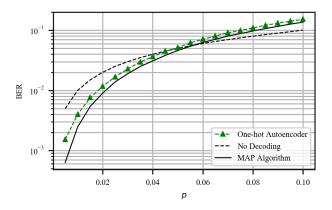


Figure: One-hot autoencdoer BER performance. Trained without a noisy channel. No architecture could outperform the reference MAP algorithm in terms of BER.

## Delay Time Analysis

#### Calculations done by CPU

Table: Decoding time comparison between the reference MAP algorithm and the DNN decoders and autoencoders. The data is normalized to the average MAP algorithm decoding time. The decoders had around a 25% improvement in delay time in comparison to the MAP.

MAP	Array Decoder	One-hot Decoder
$1.00 \pm 0.02$	$\textbf{0.74} \pm \textbf{0.03}$	$0.76 \pm 0.02$
Array Autoencoder		One-hot Autoencoder
1.33	$\pm0.05$	$3.02 \pm 0.06$

### Conclusions

- The feasibility of a machine learning based channel decoder were demonstrated for a communication system with a binary symmetric channel.
- The designed decoders improved around 25% the channel delay when compared to the maximum a posteriori rule for a (16,8) code.
- Their small number of parameters aligned with a GPU equipped decoding computer is promissory to be a substitute of the MAP rule and achieve same bit error correction rate in a real application.
- We also proved that autoencoders can learn a non linear encoding function and its decoding function for a BSC.
- There are still some challenges in training to solve.

### **Future Work**

- A more rigorous hyper-parametric analysis could find a combination of parameters which yields better BER performance for the autoencoders.
- Using more advanced NN topology such as a recurrent neural network (RNN) or a generative adversarial network (GAN).
- Real experimental implementation of the DNN decoders could be tested to confirm their capabilities.

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