

# **Anchor-based Detection and Height Estimation Framework for Particle Defects on Cathodic Copper Plate Surface**

**Chen Sun, Qian Wan, Zhaofu Li, Liang Gao,**

**Xinyu Li and Yiping Gao**

**School of Mechanical Science and Engineering**

**Huazhong University of Science and Technology**

**2022/08**

## **I. Introduction**

## **II. Proposed ADHE Method**

## **III. Experiments**

## **IV. Conclusion**

# Introduction

## ■ Defects on Cathodic Copper Plate Surface

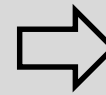
- Immature electrolytic processing leads to small particles discretely distributed on the surface of copper plates



Examples of Particle Defects



Manual Detection and Removal



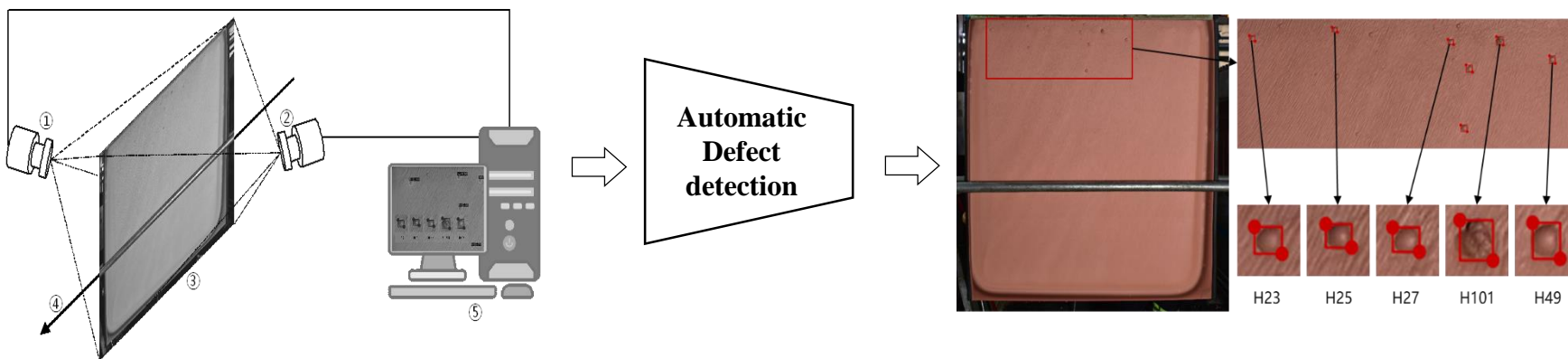
Copper Plates after Processing

- Particles beyond the national standard (**height exceeding the copper plate**) are **defects** and need to be removed.
- **Manual** inspection and removal is time-consuming and laborious

# Introduction

## ■ Particle Defects on Cathodic Copper Plate Surface

- Defects beyond the national standard (**height exceeding the copper plate**) will be detected and removed manually.
- To meet the requirements of intelligent manufacturing, **an automatic defect detection method** needs to be developed.

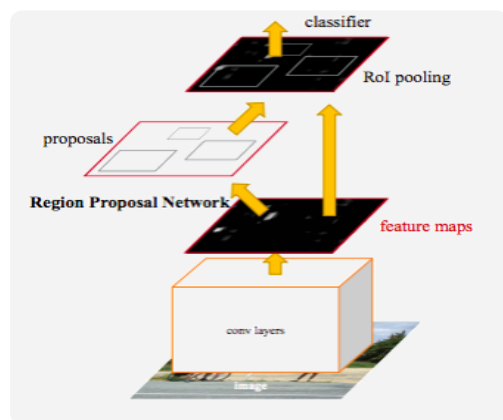


- ✓ End-to-end manner
- ✓ Output: **Location + Height**

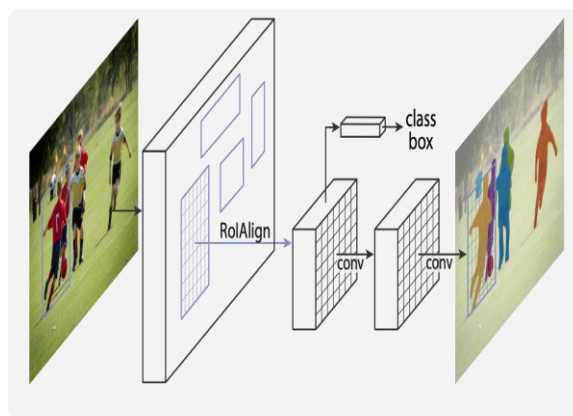
# Introduction

## ■ Deep learning Detection Model

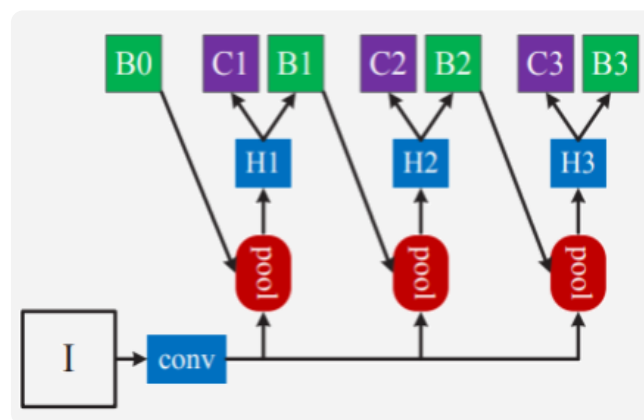
- Anchor-based methods are most representative detection models and have shown high detection accuracy.



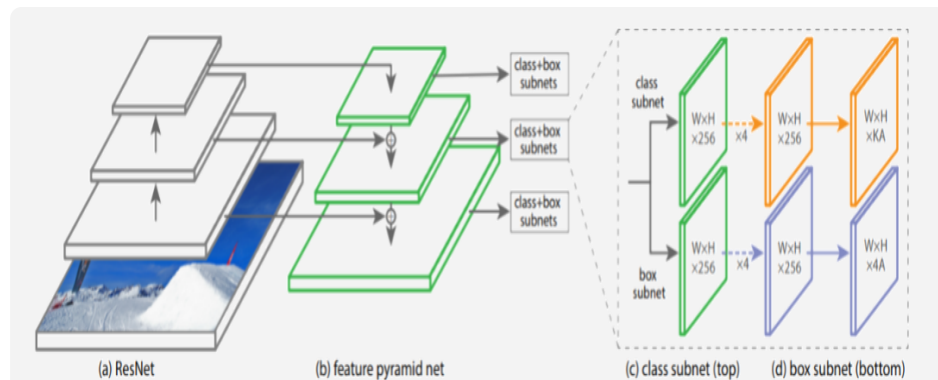
Faster RCNN



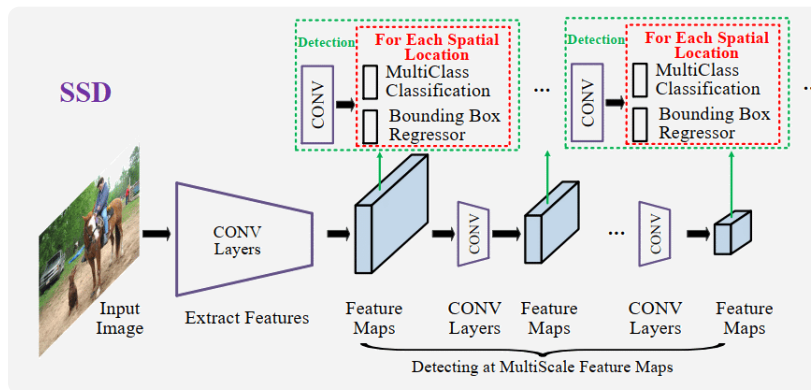
Mask RCNN



Cascade RCNN



RetinaNet

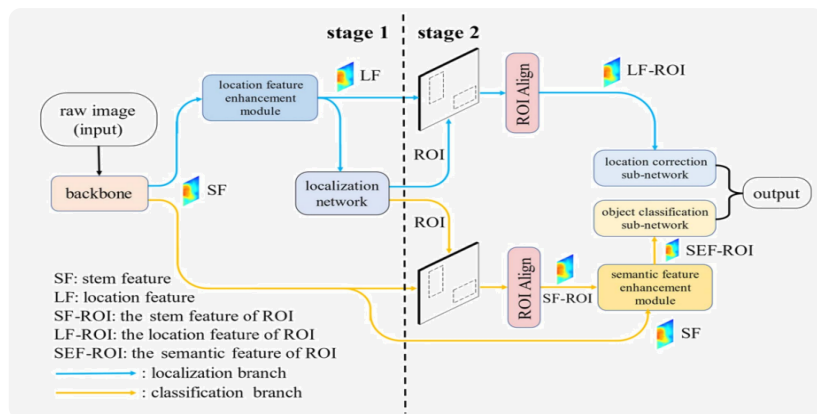


SSD

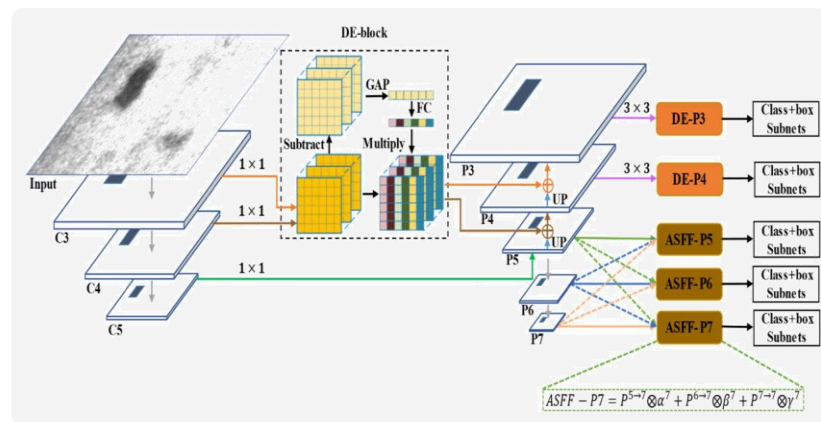
# Introduction

## Anchor-based Model for Defect Detection

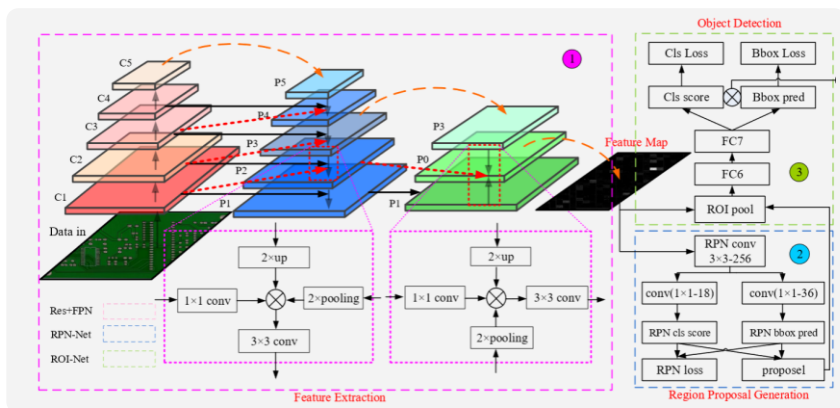
- Anchor-based models have been used in different industrial tasks, such as steel defect detection, PCB inspection.



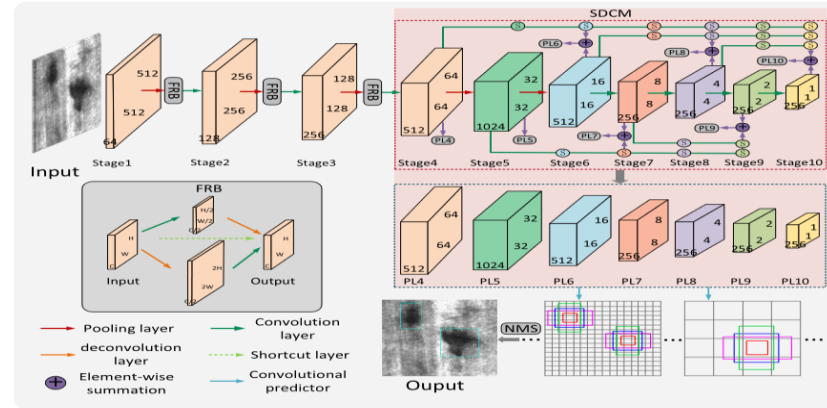
FPCB-DET



DEA-Retina Net



Extended FPN



SDDNet



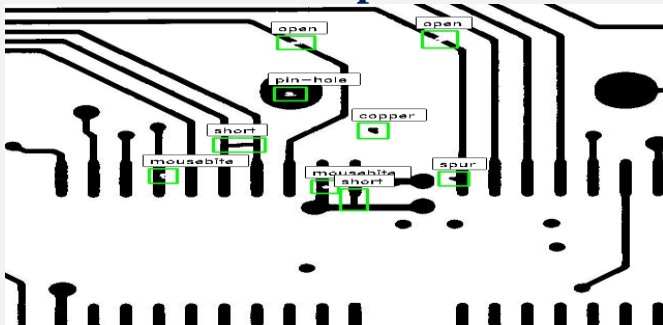
# Introduction

## ■ Challenges for Copper Particle Defect Detection

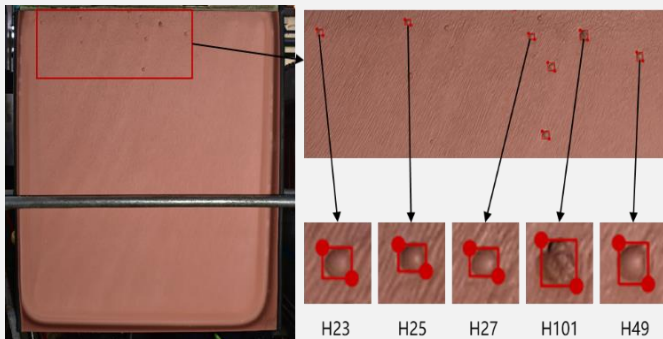
### General Problem

Large Scale vs **Small** Objects

#### PCB Inspection



#### Copper Particle Detection



### Specific Requirement

**Multi-task** design

✓ Category classification

✓ Objection localization

✓ One-category classification

✓ Objection localization

✓ **Height Estimation**

# Proposed ADHE Method

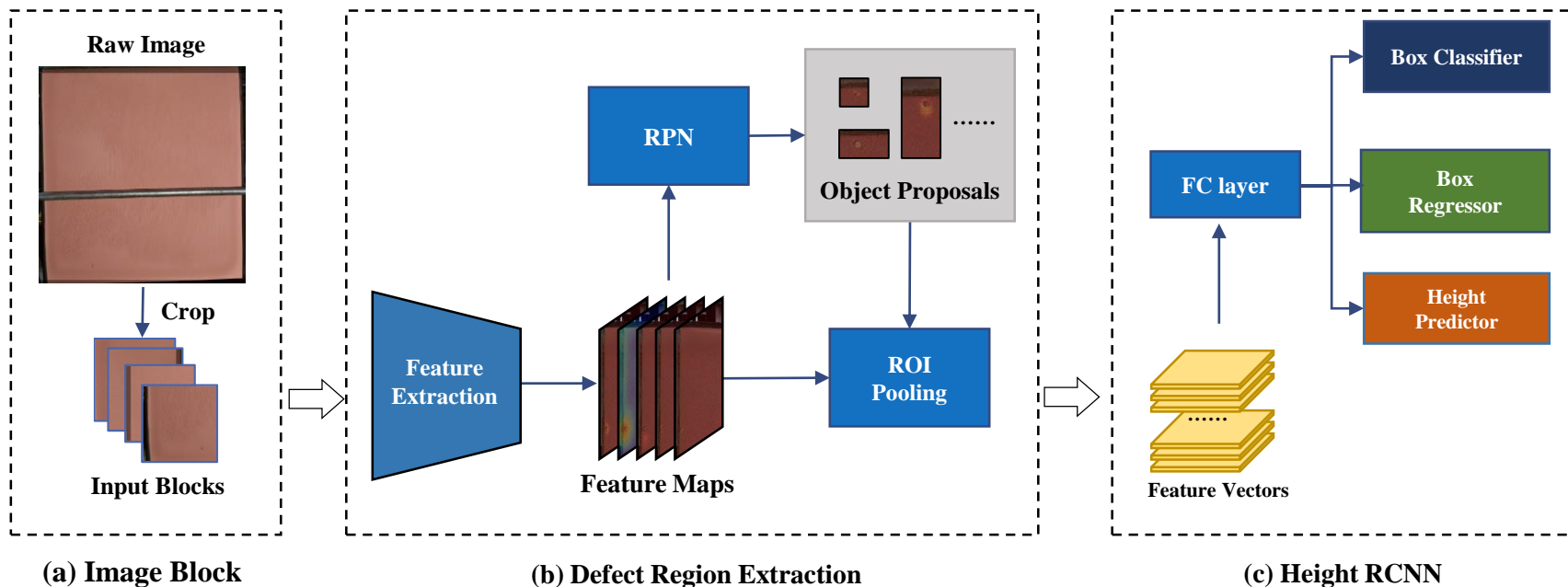
## ■ Anchor-based Detection and Height Estimate Framework

- ADHE framework consists of three modules:

- ◆ Image Block

- ◆ Defect Region Extraction

- ◆ Height-RCNN





# Proposed ADHE Method

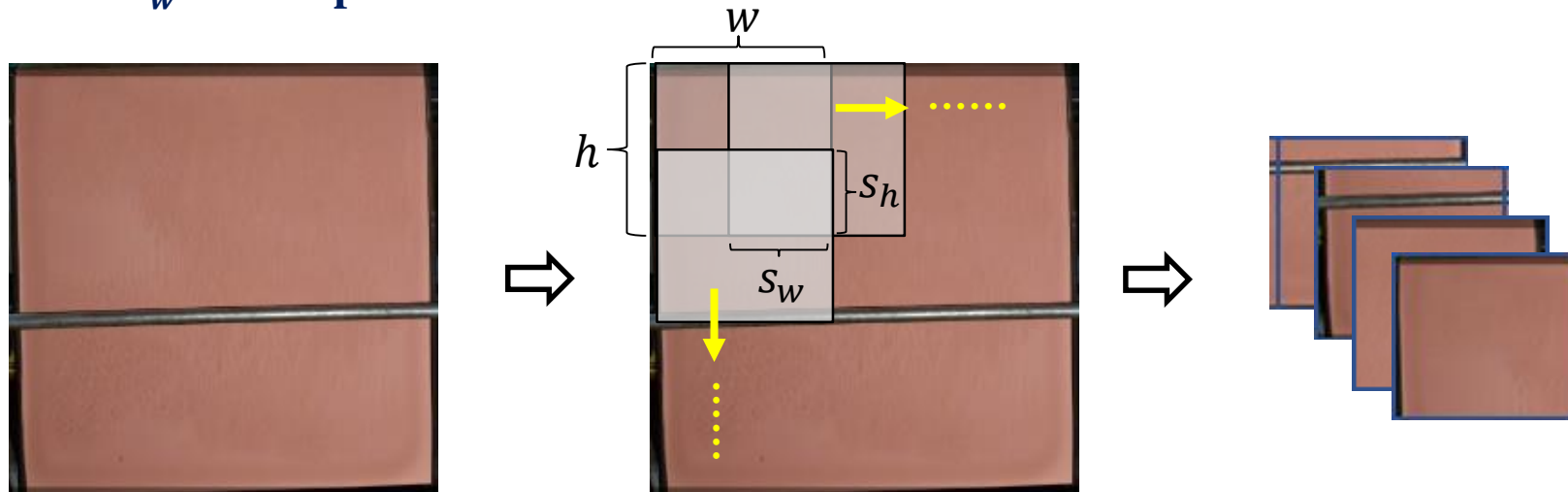
## ■ Image Block

- Large-scale images **cannot** be directly fed into networks due to hardware limitations, so an image block operation is firstly adopted.
- Operation Parameters:

$h$ : the height of a image block;  $w$ : the width of a image block

$s_h$ : the step between blocks in height direction

$s_w$ : the step between blocks in width direction

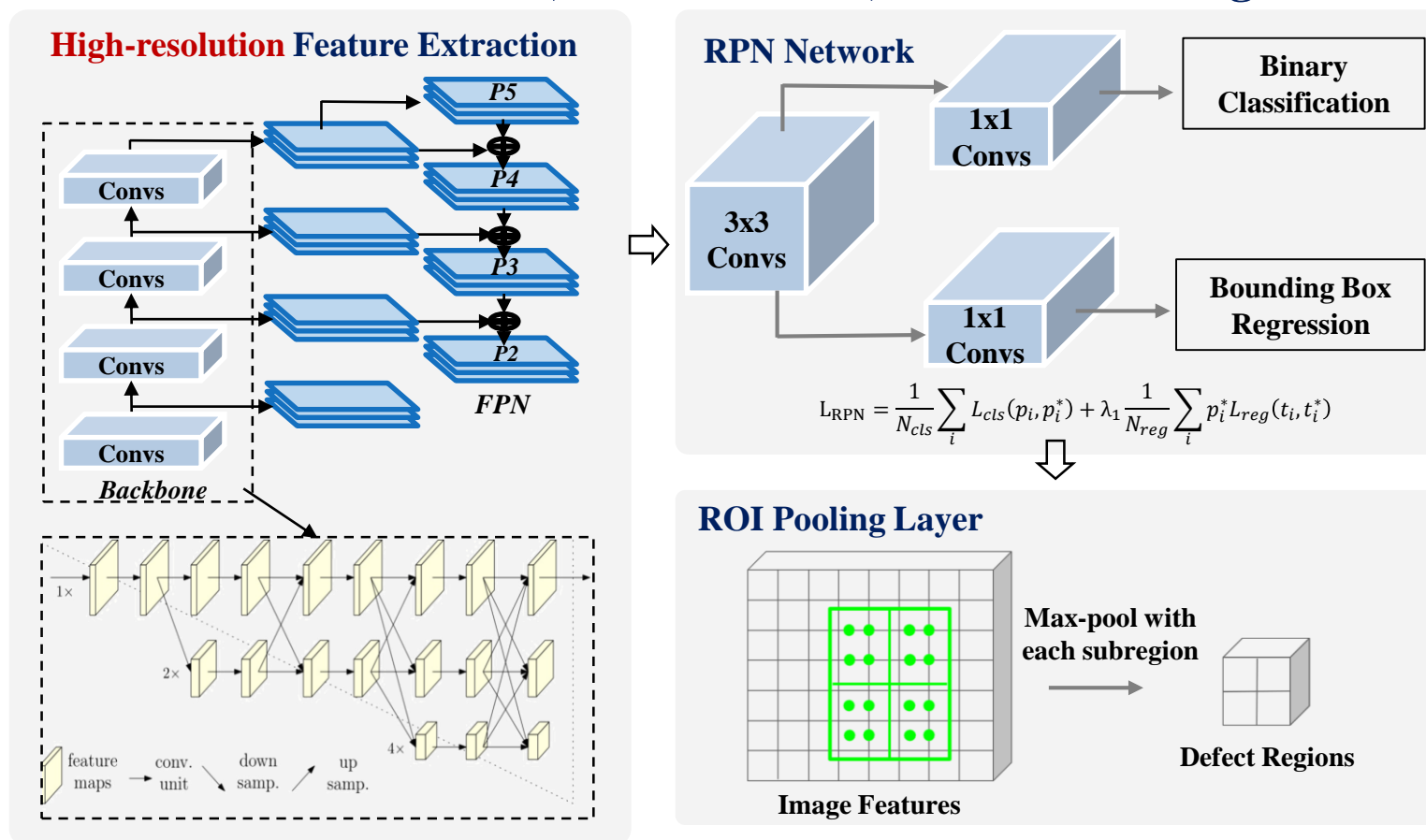


- The receptive fields for small defects are adjusted and numbers of the training set are enlarged (oversampling)

# Proposed ADHE Method

## Defect Region Extraction

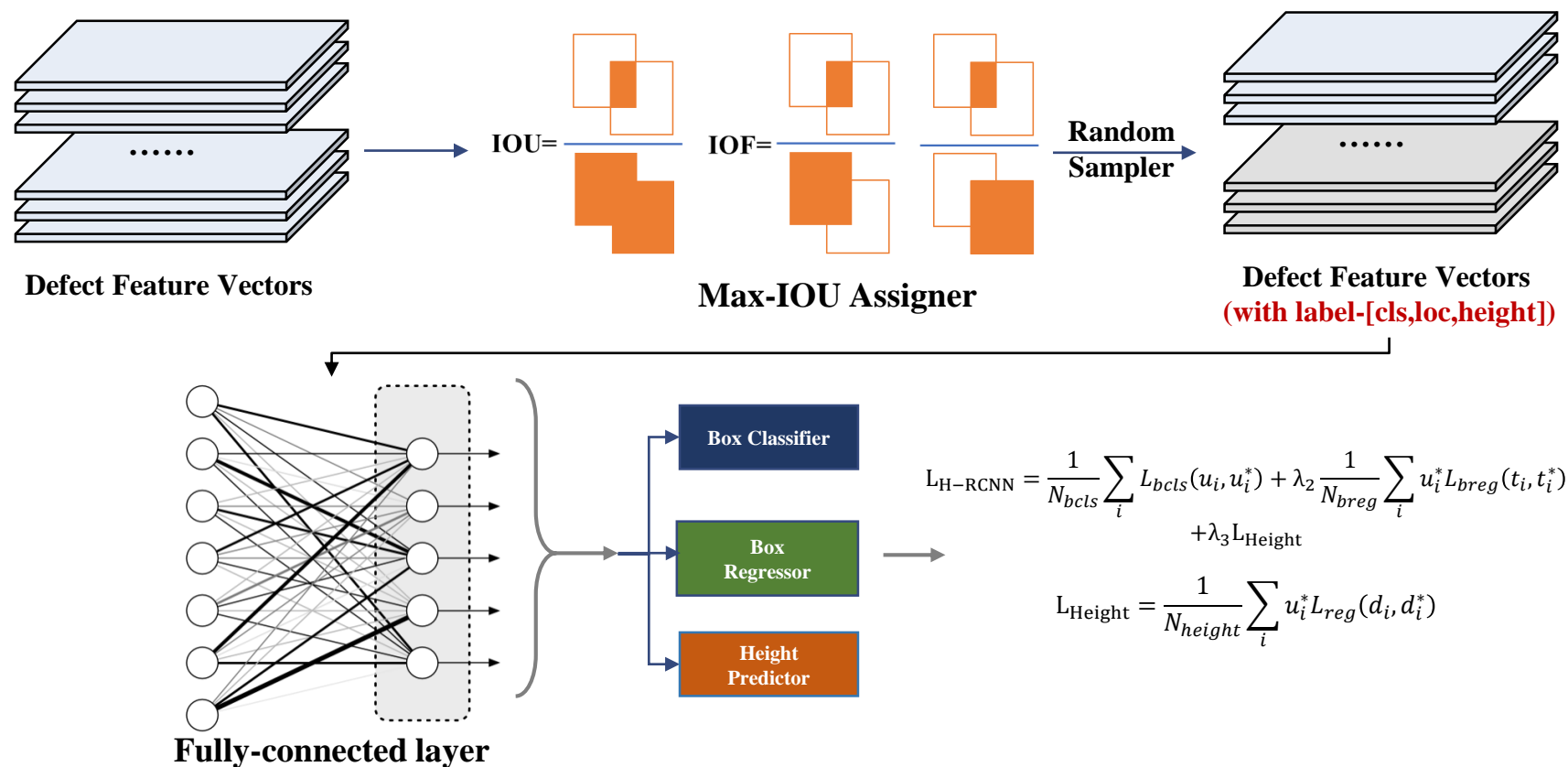
- To accurately locate the defects and compute defect features in image blocks, an anchor-based method is deployed, including **high-resolution** feature extraction network, RPN network, and ROI Pooling.



# Proposed ADHE Method

## ■ Height RCNN (H-RCNN)

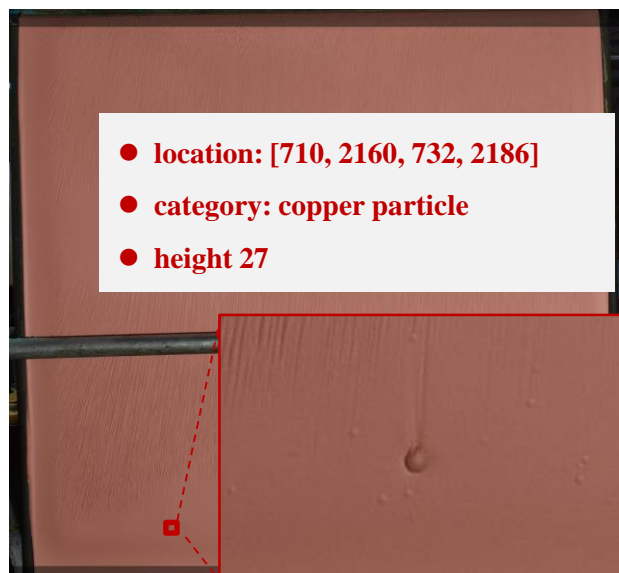
- Three separate branches are designed in H-RCNN to locate, classify defects and estimate their height in an end-to-end manner.



# Experiments

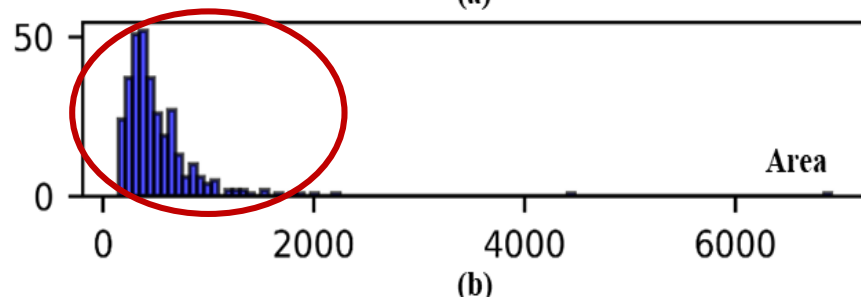
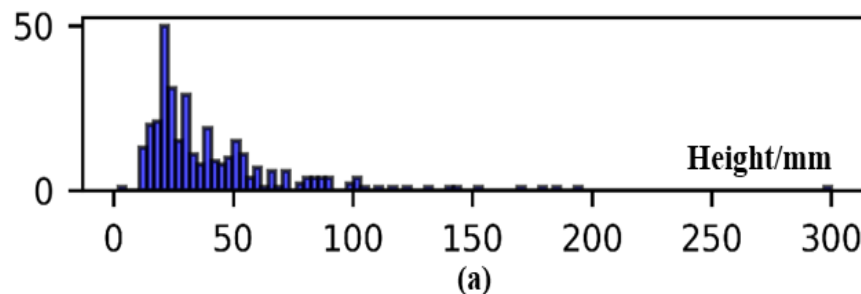
## ■ Dataset Description

- Cathodic Copper Plates Defect Dataset (CCPD) consists of 160 images collected from the real-world industrial copper manufacturing factory.
- Defects on each image are labeled with **category, location and height**.
- The resolution of each image is  $2516 \times 2428$ , and most defect objects are **extremely small** (less than 2000 pixels).



Annotation Example

## Information of the Copper Particle dataset



## ■ Experimental Setup and Implementation Details

### Implementation Details:

- The default feature extraction network is **HRNet-w40**
- All the methods in these experiments run ten times with 20 epochs.
- The experiment is implemented by PyTorch and based on a single NVIDIA GeForce RTX 3090.

### The experiments include three parts:

- The first part is comparison with conventional feature extraction.
- The second part is the influence of Image Block operations.
- The third part is the influence of hyperparameter in loss function

# Experiments

## ■ Evaluation Metrics

$$Recall = \frac{TP}{TP + FN}$$

$$Precision = \frac{TP}{TP + FP}$$

$$AP = \int_0^1 P(R) dR$$

$$RMSE = \sqrt{\sum_{i=1}^n \frac{(\hat{y}_i - y_i)^2}{n}}$$

TP and TN are short for true positive and true negative, and FP and FN denote the false positive and false negative. For Recall, Precision and Average Precision, **the best value is 1 and the worst is 0**. For RMSE, the **smaller** value is better



## ■ Comparison with Conventional Feature Extraction

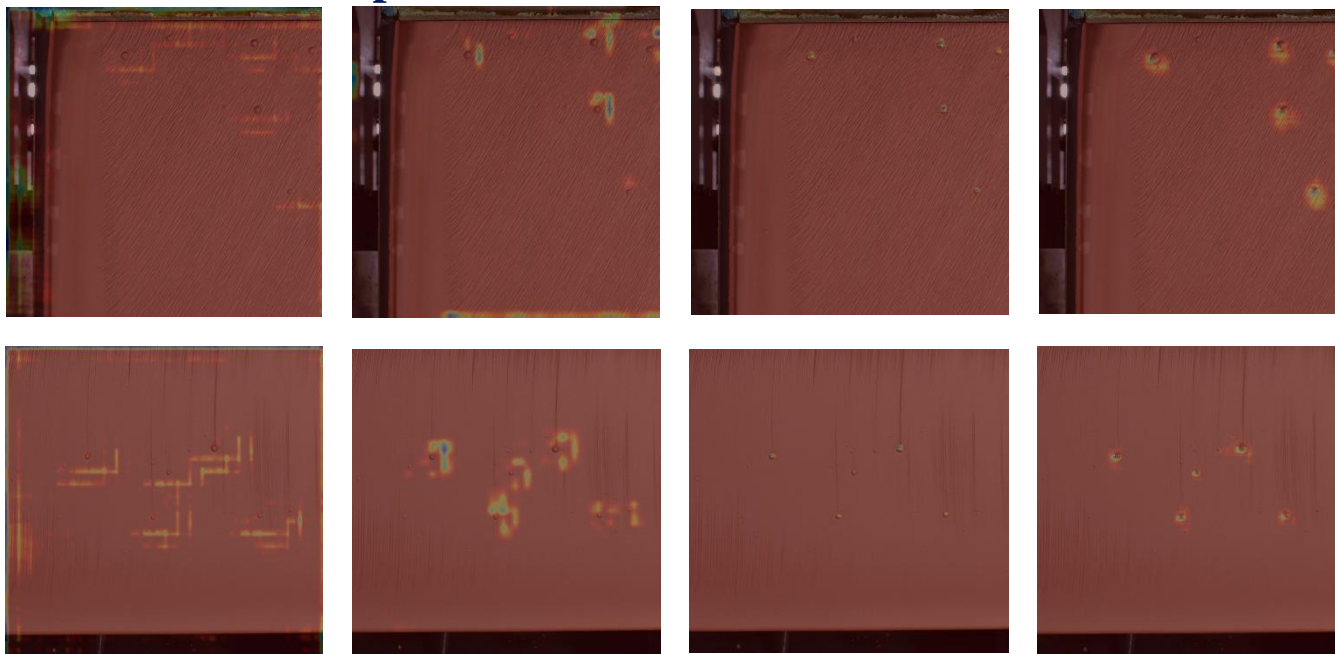
Method	Params	Detection			Height Estimation
		Precision↑	Recall↑	AP <sub>50</sub> ↑	RMSE↓
ResNet-50	26.63M	0.372	1.000	0.949	9.135
ResNet-101	45.62M	0.383	1.000	0.955	9.640
HRNet-w32	32.38M	0.379	1.000	0.951	10.016
HRNet-w40	48.63M	<b>0.393</b>	<b>1.000</b>	<b>0.956</b>	<b>8.572</b>

**Detection:** According to AP50 results, the detection performance gets better when models go bigger. And ADHE with HRNet-w40 achieves the highest AP value.

**Height Estimation:** Based on the well-learned features from the backbone, HRNet-w40 also achieves the best result on the height estimation task.

## ■ Comparison with Conventional Feature Extraction

Feature Map Visualization with 4 different backbones



ResNet-50

ResNet-101

HRNet-w32

HRNet-w40

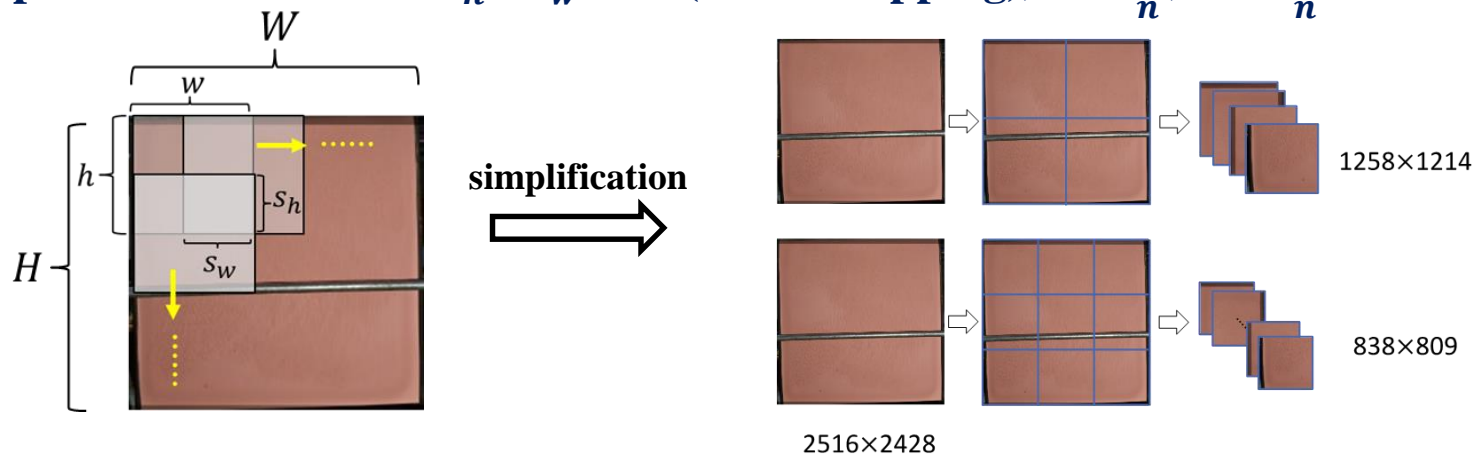
- **Between different model-class:** HRNet-based methods is better than ResNet-based methods, which locate more accurately and less active to useless backgrounds.
- **Between different model-size:** HRNet-w40 learns information from surrounding areas while HRNet-w32 only focuses on defect region, leading to performance gap

# Experiments

## ■ The influence of Image Block operations

### ● Setting:

Sparse distribution  $\rightarrow s_h = s_w = 0$  (no overlapping),  $h = \frac{H}{n}$ ,  $w = \frac{W}{n}$



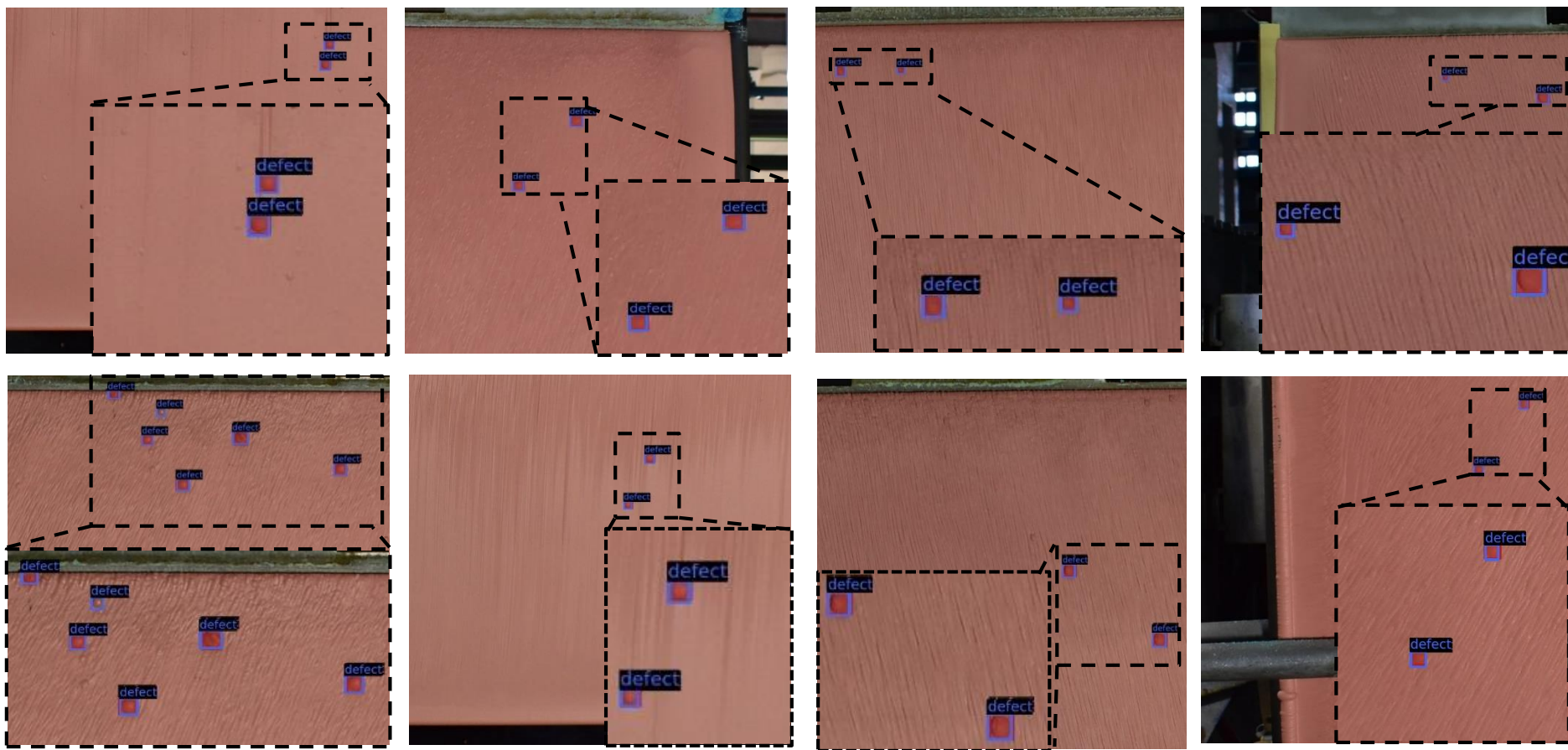
Block	Image Size	Image Number	Instance Number	Detection			Height Estimation
				Precision $\uparrow$	Recall $\uparrow$	AP $_{50}\uparrow$	RMSE $\downarrow$
1x1 (Raw)	2516 $\times$ 2468	160	343	0.438	0.767	0.715	13.127
2x2	1258 $\times$ 1214	640		0.392	0.971	0.927	12.314
3x3	838 $\times$ 809	1440		0.393	1.000	0.956	8.572

**Between different block number:** The detection and height estimation results get better when the block number adds

# Experiments

## ■ Detection Visualization under Block $3 \times 3$

- The proposed method can accurately detect and locate the defect area, even the defect areas are small



Ground truth boxes: purple area; Predicted boxes: blue boxes

# Experiments

## ■ The Influence of Loss Hyperparameter $\lambda_3$

$$L = L_{RPN} + L_{RCNN} + \lambda_3 L_{Height} = L_{RPN} + L_{RCNN} + \lambda_3 \frac{1}{N_{height}} \sum_i u_i^* L_{reg}(d_i, d_i^*)$$

$\lambda_3$	Detection			Height Estimation
	Precision $\uparrow$	Recall $\uparrow$	AP <sub>50</sub> $\uparrow$	RMSE $\downarrow$
<b>0.05</b>	<b>0.395</b>	<b>1.000</b>	0.944	9.134
<b>0.10</b>	0.393	<b>1.000</b>	0.956	<b>8.572</b>
<b>0.50</b>	0.350	<b>1.000</b>	<b>0.962</b>	9.610
<b>1.00</b>	0.244	<b>1.000</b>	0.960	10.593
<b>5.00</b>	<b>0.395</b>	<b>1.000</b>	0.944	9.134

- The best result on detection and height estimation **cannot be achieved at the same time, a trade-off** needs to be taken into consideration.
- **0.1** is chosen as the default setting because it **balances the training of both tasks** and obtains a relatively better performance.

# Take-home Message

## ◆ Conclusion:

- ❑ Image Block operation can crop large-scale raw images into several image blocks, which deals with the hardware limitation
- ❑ A high-resolution feature extraction is helpful for small feature location and downstream task.
- ❑ Height RCNN locate the defect out and estimates the height of the defect in an end-to-end way.

## ◆ Limitations:

- ❑ The rate of image blocking requires human setting. It is significant to develop an adaptive image blocking method for defect detection and height estimation.
- ❑ There are different background and light illumination of cathodic copper plates images. One of the research directions is to develop a domain adaptation ADHE method.



# Acknowledgement

**This work was supported by:**

◆ **The National Key R&D Program of China under Grant 2018AAA0101700**

◆ **The National Natural Science Foundation of China under Grant 52188102**



- [1] Y. Gao, X. Li, X. V. Wang, L. Wang, and L. Gao, “A Review on Recent Advances in Vision-based Defect Recognition towards Industrial Intelligence,” *J. Manuf. Syst.*, vol. 62, pp. 753–766, Jan. 2022.
- [2] P. M. Bhatt et al., “Image-based surface defect detection using deep learning: A review,” *J. Comput. Inf. Sci. Eng.*, vol. 21, no. 4, 2021.
- [3] D. Carrera, F. Manganini, G. Boracchi, and E. Lanzarone, “Defect detection in SEM images of nanofibrous materials,” *IEEE Trans. Ind. Inform.*, vol. 13, no. 2, pp. 551–561, 2016.
- [4] P. Bergmann, S. Löwe, M. Fauser, D. Sattlegger, and C. Steger, “Improving unsupervised defect segmentation by applying structural similarity to autoencoders,” *ArXiv Prepr. ArXiv180702011*, 2018.
- [5] I. Goodfellow et al., “Generative adversarial nets,” *Adv. Neural Inf. Process. Syst.*, vol. 27, 2014.
- [6] J. Liu, C. Wang, H. Su, B. Du, and D. Tao, “Multistage GAN for fabric defect detection,” *IEEE Trans. Image Process.*, vol. 29, pp. 3388–3400, 2019.
- [7] D. Mo, W. K. Wong, Z. Lai, and J. Zhou, “Weighted double-low-rank decomposition with application to fabric defect detection,” *IEEE Trans. Autom. Sci. Eng.*, vol. 18, no. 3, pp. 1170–1190, 2020.
- [8] Y. He, K. Song, Q. Meng, and Y. Yan, “An End-to-End Steel Surface Defect Detection Approach via Fusing Multiple Hierarchical Features,” *IEEE Trans. Instrum. Meas.*, vol. 69, no. 4, pp. 1493–1504, Apr. 2020.
- [9] H. Dong, K. Song, Y. He, J. Xu, Y. Yan, and Q. Meng, “PGA-Net: Pyramid feature fusion and global context attention network for automated surface defect detection,” *IEEE Trans. Ind. Inform.*, vol. 16, no. 12, pp. 7448–7458, 2019.
- [10] S. Ren, K. He, R. Girshick, and J. Sun, “Faster R-CNN: Towards Real-Time Object Detection with Region Proposal Networks,” *IEEE Trans. Pattern Anal. Mach. Intell.*, vol. 39, no. 6, pp. 1137–1149, 2017.
- [11] Y. Gao, L. Gao, and X. Li, “A Generative Adversarial Network Based Deep Learning Method for Low-Quality Defect Image Reconstruction and Recognition,” *IEEE Trans. Ind. Inform.*, vol. 17, no. 5, pp. 3231–3240, 2021.
- [12] Y. Gao, L. Gao, X. Li, and X. V. Wang, “A multilevel information fusion-based deep learning method for vision-based defect recognition,” *IEEE Trans. Instrum. Meas.*, vol. 69, no. 7, pp. 3980–3991, 2019.
- [13] K. He, G. Gkioxari, P. Dollar, and R. Girshick, “Mask R-CNN,” 2017 IEEE International Conference on Computer Vision (ICCV). IEEE, 2017.
- [14] L. Xiao, B. Wu, and Y. Hu, “Surface defect detection using image pyramid,” *IEEE Sens. J.*, vol. 20, no. 13, pp. 7181–7188, 2020.
- [15] F. Li and Q. Xi, “DefectNet: toward fast and effective defect detection,” *IEEE Trans. Instrum. Meas.*, vol. 70, pp. 1–9, 2021.
- [16] J. Luo, Z. Yang, S. Li, and Y. Wu, “FPCB surface defect detection: A decoupled two-stage object detection framework,” *IEEE Trans. Instrum. Meas.*, vol. 70, pp. 1–11, 2021.
- [17] C. Li, Z. Qu, S. Wang, K. Bao, and S. Wang, “A Method of Defect Detection for Focal Hard Samples PCB Based on Extended FPN Model,” *IEEE Trans. Compon. Packag. Manuf. Technol.*, 2021.
- [18] L. Liu et al., “Deep learning for generic object detection: A survey,” *Int. J. Comput. Vis.*, vol. 128, no. 2, pp. 261–318, 2020.
- [19] R. Girshick, “Fast r-cnn,” in *Proceedings of the IEEE international conference on computer vision*, 2015, pp. 1440–1448.
- [20] W. Liu et al., “Ssd: Single shot multibox detector,” in *European conference on computer vision*, 2016, pp. 21–37.
- [21] T.-Y. Lin, P. Goyal, R. Girshick, K. He, and P. Dollár, “Focal loss for dense object detection,” in *Proceedings of the IEEE international conference on computer vision*, 2017, pp. 2980–2988.



# Thanks for Listening!