

A Definitional Encoding of TLA in Isabelle/HOL

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Abstract

We mechanise the logic TLA* [8], an extension of Lamport’s Temporal Logic of Actions (TLA) [5] for specifying and reasoning about concurrent and reactive systems. Aiming at a framework for mechanising the verification of TLA (or TLA*) specifications, this contribution reuses some elements from a previous axiomatic encoding of TLA in Isabelle/HOL by the second author [7], which has been part of the Isabelle distribution. In contrast to that previous work, we give here a shallow, definitional embedding, with the following highlights:

- a theory of infinite sequences, including a formalisation of the concepts of stuttering invariance central to TLA and TLA*;
- a definition of the semantics of TLA*, which extends TLA by a mutually-recursive definition of formulas and pre-formulas, generalising TLA action formulas;
- a substantial set of derived proof rules, including the TLA* axioms and Lamport’s proof rules for system verification;
- a set of examples illustrating the usage of Isabelle/TLA* for reasoning about systems.

Note that this work is unrelated to the ongoing development of a proof system for the specification language TLA+, which includes an encoding of TLA+ as a new Isabelle object logic [1].

A previous version of this embedding has been used heavily in the work described in [4].

Contents

1	(Infinite) Sequences	3
1.1	Some operators on sequences	3
1.1.1	Properties of <i>first</i> and <i>second</i>	4
1.1.2	Properties of <i>op</i> _s	4
1.1.3	Properties of <i>op</i> ##	5
1.2	Finite and Empty Sequences	5
1.2.1	Properties of <i>emptyseq</i>	6

1.2.2	Properties of <i>Sequence.last</i> and <i>laststate</i>	7
1.3	Stuttering Invariance	7
1.3.1	Properties of <i>nonstutseq</i>	8
1.3.2	Properties of <i>nextnat</i>	8
1.3.3	Properties of <i>nextsuffix</i>	11
1.3.4	Properties of <i>next</i>	11
1.3.5	Properties of \natural	12
1.4	Similarity of Sequences	13
1.4.1	Properties of $op \approx$	13
2	Representing Intensional Logic	19
2.1	Abstract Syntax and Definitions	20
2.2	Concrete Syntax	21
2.3	Lemmas and Tactics	24
3	Semantics	26
3.1	Types of Formulas	26
3.2	Semantics of TLA*	27
3.2.1	Concrete Syntax	27
3.3	Abbreviations	28
3.3.1	Concrete Syntax	28
3.4	Properties of Operators	29
3.5	Invariance Under Stuttering	29
3.5.1	Properties of <i>-stutinv</i>	30
3.5.2	Properties of <i>-nstutinv</i>	33
3.5.3	Abbreviations	35
4	Reasoning about PreFormulas	36
4.1	Lemmas about <i>Unchanged</i>	37
4.2	Lemmas about <i>after</i>	37
4.3	Lemmas about <i>before</i>	38
4.4	Some general properties	39
4.5	Unlifting attributes and methods	39
5	A Proof System for TLA*	40
5.1	The Basic Axioms	40
5.2	Derived Theorems	43
5.3	Some other useful derived theorems	46
5.4	Theorems about the eventually operator	52
5.5	Theorems about the leadsto operator	56
5.6	Lemmas about the next operator	63
5.7	Higher Level Derived Rules	65

6	Liveness	67
6.1	Properties of <i>-Enabled</i>	68
6.2	Fairness Properties	69
6.3	Stuttering Invariance	75
7	Representing state in TLA*	75
7.1	Temporal Quantifiers	78
8	A simple illustrative example	79
9	Lamport's Inc example	80
10	Refining a Buffer Specification	93
10.1	Buffer specification	93
10.2	Properties of the buffer	94
10.3	Two FIFO buffers in a row implement a buffer	98

1 (Infinite) Sequences

```

theory Sequence
imports Main
begin

```

Lamport's Temporal Logic of Actions (TLA) is a linear-time temporal logic, and its semantics is defined over infinite sequence of states, which we simply represent by the type *'a seq*, defined as an abbreviation for the type $\text{nat} \Rightarrow 'a$, where *'a* is the type of sequence elements.

This theory defines some useful notions about such sequences, and in particular concepts related to stuttering (finite repetitions of states), which are important for the semantics of TLA. We identify a finite sequence with an infinite sequence that ends in infinite stuttering. In this way, we avoid the complications of having to handle both finite and infinite sequences of states: see e.g. Devillers et al [2] who discuss several variants of representing possibly infinite sequences in HOL, Isabelle and PVS.

```

type-synonym 'a seq = nat  $\Rightarrow$  'a

```

1.1 Some operators on sequences

Some general functions on sequences are provided

```

definition first :: 'a seq  $\Rightarrow$  'a
where first s  $\equiv$  s 0

```

```

definition second :: ('a seq)  $\Rightarrow$  'a
where second s  $\equiv$  s 1

```

definition $\text{suffix} :: 'a \text{ seq} \Rightarrow \text{nat} \Rightarrow 'a \text{ seq}$ (**infixl** $|_s$ 60)
where $s |_s i \equiv \lambda n. s (n+i)$

definition $\text{tail} :: 'a \text{ seq} \Rightarrow 'a \text{ seq}$
where $\text{tail } s \equiv s |_s 1$

definition
 $\text{app} :: 'a \Rightarrow ('a \text{ seq}) \Rightarrow ('a \text{ seq})$ (**infixl** $\#\#$ 60)
where
 $s \#\# \sigma \equiv \lambda n. \text{if } n=0 \text{ then } s \text{ else } \sigma (n-1)$

$s |_s i$ returns the suffix of sequence s from index i . first returns the first element of a sequence while second returns the second element. tail returns the sequence starting at the second element. $s \#\# \sigma$ prefixes the sequence σ by element s .

1.1.1 Properties of first and second

lemma first-tail-second : $\text{first}(\text{tail } s) = \text{second } s$
by ($\text{simp add: first-def second-def tail-def suffix-def}$)

1.1.2 Properties of $op |_s$

lemma suffix-first : $\text{first } (s |_s n) = s \ n$
by ($\text{auto simp add: suffix-def first-def}$)

lemma suffix-second : $\text{second } (s |_s n) = s \ (\text{Suc } n)$
by ($\text{auto simp add: suffix-def second-def}$)

lemma suffix-plus : $s |_s n |_s m = s |_s (m + n)$
by ($\text{simp add: suffix-def add.assoc}$)

lemma suffix-commute : $((s |_s n) |_s m) = ((s |_s m) |_s n)$
by ($\text{simp add: suffix-plus add.commute}$)

lemma suffix-plus-com : $s |_s m |_s n = s |_s (m + n)$
proof –
have $s |_s n |_s m = s |_s (m + n)$ **by** (rule suffix-plus)
thus $s |_s m |_s n = s |_s (m + n)$ **by** ($\text{simp add: suffix-commute}$)
qed

lemma suffix-zero[simp] : $s |_s 0 = s$
by ($\text{simp add: suffix-def}$)

lemma suffix-tail : $s |_s 1 = \text{tail } s$
by ($\text{simp add: tail-def}$)

lemma tail-suffix-suc : $s |_s (\text{Suc } n) = \text{tail } (s |_s n)$
by ($\text{simp add: suffix-def tail-def}$)

1.1.3 Properties of $op \ \#\#$

lemma *seq-app-second*: $(s \ \#\# \ \sigma) \ 1 = \sigma \ 0$
by (*simp add: app-def*)

lemma *seq-app-first*: $(s \ \#\# \ \sigma) \ 0 = s$
by (*simp add: app-def*)

lemma *seq-app-first-tail*: $(first \ s) \ \#\# \ (tail \ s) = s$

proof (*rule ext*)

fix x

show $(first \ s \ \#\# \ tail \ s) \ x = s \ x$

by (*simp add: first-def app-def suffix-def tail-def*)

qed

lemma *seq-app-tail*: $tail \ (x \ \#\# \ s) = s$
by (*simp add: app-def tail-def suffix-def*)

lemma *seq-app-greater-than-zero*: $n > 0 \implies (s \ \#\# \ \sigma) \ n = \sigma \ (n - 1)$
by (*simp add: app-def*)

1.2 Finite and Empty Sequences

We identify finite and empty sequences and prove lemmas about them.

definition *fin* :: $('a \ seq) \Rightarrow bool$
where $fin \ s \equiv \exists \ i. \ \forall \ j \geq i. \ s \ j = s \ i$

abbreviation *inf* :: $('a \ seq) \Rightarrow bool$
where $inf \ s \equiv \neg(fin \ s)$

definition *last* :: $('a \ seq) \Rightarrow nat$
where $last \ s \equiv LEAST \ i. \ (\forall \ j \geq i. \ s \ j = s \ i)$

definition *laststate* :: $('a \ seq) \Rightarrow 'a$
where $laststate \ s \equiv s \ (last \ s)$

definition *emptyseq* :: $('a \ seq) \Rightarrow bool$
where $emptyseq \equiv \lambda \ s. \ \forall \ i. \ s \ i = s \ 0$

abbreviation *notemptyseq* :: $('a \ seq) \Rightarrow bool$
where $notemptyseq \ s \equiv \neg(emptyseq \ s)$

Predicate *fin* holds if there is an element in the sequence such that all subsequent elements are identical, i.e. the sequence is finite. *Sequence.last s* returns the smallest index from which on all elements of a finite sequence *s* are identical. Note that if *s* is not finite then an arbitrary number is returned. *laststate* returns the last element of a finite sequence. We assume that the sequence is finite when using *Sequence.last* and *laststate*. Predicate *emptyseq* identifies empty sequences – i.e. all states in the sequence are

identical to the initial one, while *notemptyseq* holds if the given sequence is not empty.

1.2.1 Properties of *emptyseq*

lemma *empty-is-finite*: **assumes** *emptyseq s* **shows** *fin s*
using *assms* **by** (*auto simp: fin-def emptyseq-def*)

lemma *empty-suffix-is-empty*: **assumes** *H: emptyseq s* **shows** *emptyseq (s |_s n)*
proof (*clarsimp simp: emptyseq-def*)
fix *i*
from *H* **have** $(s |_s n) i = s 0$ **by** (*simp add: emptyseq-def suffix-def*)
moreover
from *H* **have** $(s |_s n) 0 = s 0$ **by** (*simp add: emptyseq-def suffix-def*)
ultimately
show $(s |_s n) i = (s |_s n) 0$ **by** *simp*
qed

lemma *suc-empty*: **assumes** *H1: emptyseq (s |_s m)* **shows** *emptyseq (s |_s (Suc m))*
proof –
from *H1* **have** *emptyseq ((s |_s m) |_s 1)* **by** (*rule empty-suffix-is-empty*)
thus *?thesis* **by** (*simp add: suffix-plus*)
qed

lemma *empty-suffix-exteq*: **assumes** *H: emptyseq s* **shows** $(s |_s n) m = s m$
proof (*unfold suffix-def*)
from *H* **have** $s (m+n) = s 0$ **by** (*simp add: emptyseq-def*)
moreover
from *H* **have** $s m = s 0$ **by** (*simp add: emptyseq-def*)
ultimately show $s (m + n) = s m$ **by** *simp*
qed

lemma *empty-suffix-eq*: **assumes** *H: emptyseq s* **shows** $(s |_s n) = s$
proof (*rule ext*)
fix *m*
from *H* **show** $(s |_s n) m = s m$ **by** (*rule empty-suffix-exteq*)
qed

lemma *seq-empty-all*: **assumes** *H: emptyseq s* **shows** $s i = s j$
proof –
from *H* **have** $s i = s 0$ **by** (*simp add: emptyseq-def*)
moreover
from *H* **have** $s j = s 0$ **by** (*simp add: emptyseq-def*)
ultimately
show *?thesis* **by** *simp*
qed

1.2.2 Properties of *Sequence.last* and *laststate*

lemma *fin-stut-after-last*: **assumes** $H: \text{fin } s$ **shows** $\forall j \geq \text{last } s. s \ j = s \ (\text{last } s)$
proof (*clarify*)
fix j
assume $j: j \geq \text{last } s$
from H **obtain** i **where** $\forall j \geq i. s \ j = s \ i$ (**is** $?P \ i$) **by** (*auto simp: fin-def*)
hence $?P \ (\text{last } s)$ **unfolding** *last-def* **by** (*rule LeastI*)
with j **show** $s \ j = s \ (\text{last } s)$ **by** *blast*
qed

1.3 Stuttering Invariance

This subsection provides functions for removing stuttering steps of sequences, i.e. we formalise Lamports \Downarrow operator. Our formal definition is close to that of Wahab in the PVS prover.

The key novelty with the *Sequence* theory, is the treatment of stuttering invariance, which enables verification of stuttering invariance of the operators derived using it. Such proofs require comparing sequences up to stuttering. Here, Lamport's [5] method is used to mechanise the equality of sequences up to stuttering: he defines the \Downarrow operator, which collapses a sequence by removing all stuttering steps, except possibly infinite stuttering at the end of the sequence. These are left unchanged.

definition *nonstutseq* :: $('a \text{ seq}) \Rightarrow \text{bool}$
where *nonstutseq* $s \equiv \forall i. s \ i = s \ (\text{Suc } i) \longrightarrow (\forall j > i. s \ i = s \ j)$

definition *stutstep* :: $('a \text{ seq}) \Rightarrow \text{nat} \Rightarrow \text{bool}$
where *stutstep* $s \ n \equiv (s \ n = s \ (\text{Suc } n))$

definition *nextnat* :: $('a \text{ seq}) \Rightarrow \text{nat}$
where *nextnat* $s \equiv \text{if emptyseq } s \text{ then } 0 \text{ else } \text{LEAST } i. s \ i \neq s \ 0$

definition *nextsuffix* :: $('a \text{ seq}) \Rightarrow ('a \text{ seq})$
where *nextsuffix* $s \equiv s \ |_s \ (\text{nextnat } s)$

fun *next* :: $\text{nat} \Rightarrow ('a \text{ seq}) \Rightarrow ('a \text{ seq})$ **where**
next $0 = \text{id}$
 | *next* $(\text{Suc } n) = \text{nextsuffix } o \ (\text{next } n)$

definition *collapse* :: $('a \text{ seq}) \Rightarrow ('a \text{ seq}) \ (\Downarrow)$
where $\Downarrow s \equiv \lambda n. (\text{next } n \ s) \ 0$

Predicate *nonstutseq* identifies sequences without any stuttering steps – except possibly for infinite stuttering at the end. Further, *stutstep* $s \ n$ is a predicate which holds if the element after $s \ n$ is equal to $s \ n$, i.e. $\text{Suc } n$ is a stuttering step. $\Downarrow s$ formalises Lamports \Downarrow operator. It returns the first state of the result of *next* $n \ s$. *next* $n \ s$ finds suffix of the n^{th} change. Hence

the first element, which $\mathfrak{b} s$ returns, is the state after the n^{th} change. $next\ n\ s$ is defined by primitive recursion on n using function composition of function $nextsuffix$. E.g. $next\ 3\ s$ equals $nextsuffix\ (nextsuffix\ (nextsuffix\ s))$. $nextsuffix\ s$ returns the suffix of the sequence starting at the next changing state. It uses $nextnat$ to obtain this. All the real computation is done in this function. Firstly, an empty sequence will obviously not contain any changes, and 0 is therefore returned. In this case $nextsuffix$ behaves like the identify function. If the sequence is not empty then the smallest number i such that $s\ i$ is different from the initial state is returned. This is achieved by *Least*.

1.3.1 Properties of *nonstutseq*

lemma *seq-empty-is-nonstut*:

assumes H : *emptyseq s* **shows** *nonstutseq s*
using H **by** (*auto simp: nonstutseq-def seq-empty-all*)

lemma *notempty-exist-nonstut*:

assumes H : $\neg\ emptyseq\ (s\ |_s\ m)$ **shows** $\exists\ i.\ s\ i \neq s\ m \wedge i > m$
using H **proof** (*auto simp: emptyseq-def suffix-def*)
fix i
assume $i: s\ (i + m) \neq s\ m$
hence $i \neq 0$ **by** (*intro notI, simp*)
with i **show** *?thesis* **by** *auto*
qed

1.3.2 Properties of *nextnat*

lemma *nextnat-le-unch*: **assumes** H : $n < nextnat\ s$ **shows** $s\ n = s\ 0$

proof (*cases emptyseq s*)

assume *emptyseq s*
hence $nextnat\ s = 0$ **by** (*simp add: nextnat-def*)
with H **show** *?thesis* **by** *auto*

next

assume $\neg\ emptyseq\ s$
hence $a1: nextnat\ s = (LEAST\ i.\ s\ i \neq s\ 0)$ **by** (*simp add: nextnat-def*)
show *?thesis*
proof (*rule ccontr*)
assume $a2: s\ n \neq s\ 0$ (**is** *?P n*)
hence $(LEAST\ i.\ s\ i \neq s\ 0) \leq n$ **by** (*rule Least-le*)
hence $\neg(n < (LEAST\ i.\ s\ i \neq s\ 0))$ **by** *auto*
also from $H\ a1$ **have** $n < (LEAST\ i.\ s\ i \neq s\ 0)$ **by** *simp*
ultimately show *False* **by** *auto*

qed

qed

lemma *stutnempty*:

assumes H : $\neg\ stutstep\ s\ n$ **shows** $\neg\ emptyseq\ (s\ |_s\ n)$
proof (*unfold emptyseq-def suffix-def*)

from H have $s \text{ (Suc } n) \neq s \ n$ by (auto simp add: stutstep-def)
 hence $s \text{ (1+n)} \neq s \text{ (0+n)}$ by simp
 thus $\neg(\forall i. s \text{ (i+n)} = s \text{ (0+n)})$ by blast
 qed

lemma notstutstep-nextnat1:

assumes $H: \neg \text{stutstep } s \ n$ shows $\text{nextnat } (s \mid_s n) = 1$
 proof -
 from H have $h': \text{nextnat } (s \mid_s n) = (\text{LEAST } i. (s \mid_s n) \ i \neq (s \mid_s n) \ 0)$
 by (auto simp add: nextnat-def stutnempty)
 from H have $s \text{ (Suc } n) \neq s \ n$ by (auto simp add: stutstep-def)
 hence $(s \mid_s n) \ 1 \neq (s \mid_s n) \ 0$ (is ?P 1) by (auto simp add: suffix-def)
 hence $\text{Least } ?P \leq 1$ by (rule Least-le)
 hence $g1: \text{Least } ?P = 0 \vee \text{Least } ?P = 1$ by auto
 with h' have $g1': \text{nextnat } (s \mid_s n) = 0 \vee \text{nextnat } (s \mid_s n) = 1$ by auto
 also have $\text{nextnat } (s \mid_s n) \neq 0$
 proof -
 from H have $\neg \text{emptyseq } (s \mid_s n)$ by (rule stutnempty)
 then obtain i where $(s \mid_s n) \ i \neq (s \mid_s n) \ 0$ by (auto simp add: emptyseq-def)
 hence $(s \mid_s n) \ (\text{LEAST } i. (s \mid_s n) \ i \neq (s \mid_s n) \ 0) \neq (s \mid_s n) \ 0$ by (rule LeastI)
 with h' have $g2: (s \mid_s n) \ (\text{nextnat } (s \mid_s n)) \neq (s \mid_s n) \ 0$ by auto
 show $(\text{nextnat } (s \mid_s n)) \neq 0$
 proof
 assume $(\text{nextnat } (s \mid_s n)) = 0$
 with $g2$ show False by simp
 qed
 qed
 ultimately show $\text{nextnat } (s \mid_s n) = 1$ by auto
 qed

lemma stutstep-notempty-notempty:

assumes $h1: \text{emptyseq } (s \mid_s \text{Suc } n)$ (is $\text{emptyseq } ?sn$)
 and $h2: \text{stutstep } s \ n$
 shows $\text{emptyseq } (s \mid_s n)$ (is $\text{emptyseq } ?s$)
 proof (auto simp: emptyseq-def)
 fix k
 show $?s \ k = ?s \ 0$
 proof (cases k)
 assume $k = 0$ thus ?thesis by simp
 next
 fix m
 assume $k: k = \text{Suc } m$
 hence $?s \ k = ?sn \ m$ by (simp add: suffix-def)
 also from $h1$ have $\dots = ?sn \ 0$ by (simp add: emptyseq-def)
 also from $h2$ have $\dots = s \ n$ by (simp add: suffix-def stutstep-def)
 finally show ?thesis by (simp add: suffix-def)
 qed
 qed

lemma *stutstep-empty-suc*:
assumes *stutstep s n*
shows $\text{emptyseq } (s \mid_s \text{Suc } n) = \text{emptyseq } (s \mid_s n)$
using *assms* **by** (*auto elim: stutstep-notempty-notempty suc-empty*)

lemma *stutstep-notempty-sucnextnat*:
assumes *h1: $\neg \text{emptyseq } (s \mid_s n)$ and h2: stutstep s n*
shows $(\text{nextnat } (s \mid_s n)) = \text{Suc } (\text{nextnat } (s \mid_s (\text{Suc } n)))$
proof –
from *h2* **have** *g1: $\neg (s \ (0+n) \neq s \ (\text{Suc } n))$ (is $\neg ?P \ 0$)* **by** (*auto simp add: stutstep-def*)
from *h1* **obtain** *i* **where** $s \ (i+n) \neq s \ n$ **by** (*auto simp: emptyseq-def suffix-def*)
with *h2* **have** *g2: $s \ (i+n) \neq s \ (\text{Suc } n)$ (is $?P \ i$)* **by** (*simp add: stutstep-def*)
from *g2 g1* **have** $(\text{LEAST } n. ?P \ n) = \text{Suc } (\text{LEAST } n. ?P \ (\text{Suc } n))$ **by** (*rule Least-Suc*)
from *g2 g1* **have** $(\text{LEAST } i. s \ (i+n) \neq s \ (\text{Suc } n)) = \text{Suc } (\text{LEAST } i. s \ ((\text{Suc } i)+n) \neq s \ (\text{Suc } n))$
by (*rule Least-Suc*)
hence *G1: $(\text{LEAST } i. s \ (i+n) \neq s \ (\text{Suc } n)) = \text{Suc } (\text{LEAST } i. s \ (i+\text{Suc } n) \neq s \ (\text{Suc } n))$* **by** *auto*
from *h1 h2* **have** $\neg \text{emptyseq } (s \mid_s \text{Suc } n)$ **by** (*simp add: stutstep-empty-suc*)
hence $\text{nextnat } (s \mid_s \text{Suc } n) = (\text{LEAST } i. (s \mid_s \text{Suc } n) \ i \neq (s \mid_s \text{Suc } n) \ 0)$
by (*auto simp add: nextnat-def*)
hence *g1: $\text{nextnat } (s \mid_s \text{Suc } n) = (\text{LEAST } i. s \ (i+(\text{Suc } n)) \neq s \ (\text{Suc } n))$*
by (*simp add: suffix-def*)
from *h1* **have** $\text{nextnat } (s \mid_s n) = (\text{LEAST } i. (s \mid_s n) \ i \neq (s \mid_s n) \ 0)$
by (*auto simp add: nextnat-def*)
hence *g2: $\text{nextnat } (s \mid_s n) = (\text{LEAST } i. s \ (i+n) \neq s \ n)$* **by** (*simp add: suffix-def*)
with *h2* **have** *g2': $\text{nextnat } (s \mid_s n) = (\text{LEAST } i. s \ (i+n) \neq s \ (\text{Suc } n))$*
by (*auto simp add: stutstep-def*)
from *G1 g1 g2'* **show** *?thesis* **by** *auto*
qed

lemma *nextnat-empty-neq*: **assumes** *H: $\neg \text{emptyseq } s$* **shows** $s \ (\text{nextnat } s) \neq s \ 0$
proof –
from *H* **have** *a1: $\text{nextnat } s = (\text{LEAST } i. s \ i \neq s \ 0)$* **by** (*simp add: nextnat-def*)
from *H* **obtain** *i* **where** $s \ i \neq s \ 0$ **by** (*auto simp: emptyseq-def*)
hence $s \ (\text{LEAST } i. s \ i \neq s \ 0) \neq s \ 0$ **by** (*rule LeastI*)
with *a1* **show** *?thesis* **by** *auto*
qed

lemma *nextnat-empty-gzero*: **assumes** *H: $\neg \text{emptyseq } s$* **shows** $\text{nextnat } s > 0$
proof –
from *H* **have** *a1: $s \ (\text{nextnat } s) \neq s \ 0$* **by** (*rule nextnat-empty-neq*)
have $\text{nextnat } s \neq 0$
proof
assume $\text{nextnat } s = 0$
with *a1* **show** *False* **by** *simp*
qed

thus $\text{nextnat } s > 0$ by *simp*
qed

1.3.3 Properties of *nextsuffix*

lemma *empty-nextsuffix*:
assumes H : *emptyseq* s **shows** $\text{nextsuffix } s = s$
using H by (*simp add: nextsuffix-def nextnat-def*)

lemma *empty-nextsuffix-id*:
assumes H : *emptyseq* s **shows** $\text{nextsuffix } s = \text{id } s$
using H by (*simp add: empty-nextsuffix*)

lemma *notstutstep-nextsuffix1*:
assumes H : $\neg \text{stutstep } s \ n$ **shows** $\text{nextsuffix } (s \mid_s n) = s \mid_s (\text{Suc } n)$
proof (*unfold nextsuffix-def*)
show $(s \mid_s n \mid_s (\text{nextnat } (s \mid_s n))) = s \mid_s (\text{Suc } n)$
proof –
from H have $\text{nextnat } (s \mid_s n) = 1$ by (*rule notstutstep-nextnat1*)
hence $(s \mid_s n \mid_s (\text{nextnat } (s \mid_s n))) = s \mid_s n \mid_s 1$ by *auto*
thus ?thesis by (*simp add: suffix-def*)
qed
qed

1.3.4 Properties of *next*

lemma *next-suc-suffix*: $\text{next } (\text{Suc } n) \ s = \text{nextsuffix } (\text{next } n \ s)$
by *simp*

lemma *next-suffix-com*: $\text{nextsuffix } (\text{next } n \ s) = (\text{next } n \ (\text{nextsuffix } s))$
by (*induct n, auto*)

lemma *next-plus*: $\text{next } (m+n) \ s = \text{next } m \ (\text{next } n \ s)$
by (*induct m, auto*)

lemma *next-empty*: assumes H : *emptyseq* s **shows** $\text{next } n \ s = s$
proof (*induct n*)

from H show $\text{next } 0 \ s = s$ by *auto*
next
fix n
assume $a1$: $\text{next } n \ s = s$
have $\text{next } (\text{Suc } n) \ s = \text{nextsuffix } (\text{next } n \ s)$ by *auto*
with $a1$ have $\text{next } (\text{Suc } n) \ s = \text{nextsuffix } s$ by *simp*
with H show $\text{next } (\text{Suc } n) \ s = s$
by (*simp add: nextsuffix-def nextnat-def*)
qed

lemma *notempty-nextnotzero*:
assumes H : $\neg \text{emptyseq } s$ **shows** $(\text{next } (\text{Suc } 0) \ s) \ 0 \neq s \ 0$
proof –

from H have $g1: s \text{ (nextnat } s) \neq s \ 0$ by (rule nextnat-empty-neq)
 have $\text{next } (\text{Suc } 0) \ s = \text{nextsuffix } s$ by auto
 hence $(\text{next } (\text{Suc } 0) \ s) \ 0 = s \text{ (nextnat } s)$ by (simp add: nextsuffix-def suffix-def)
 with $g1$ show ?thesis by simp
 qed

lemma next-ex-id: $\exists i. s \ i = (\text{next } m \ s) \ 0$

proof -

have $\exists i. (s \ |_s \ i) = (\text{next } m \ s)$

proof (induct m)

have $s \ |_s \ 0 = \text{next } 0 \ s$ by simp

thus $\exists i. (s \ |_s \ i) = (\text{next } 0 \ s) \ ..$

next

fix m

assume $a1: \exists i. (s \ |_s \ i) = (\text{next } m \ s)$

then obtain i where $a1': (s \ |_s \ i) = (\text{next } m \ s) \ ..$

have $\text{next } (\text{Suc } m) \ s = \text{nextsuffix } (\text{next } m \ s)$ by auto

hence $\text{next } (\text{Suc } m) \ s = (\text{next } m \ s) \ |_s \ (\text{nextnat } (\text{next } m \ s))$ by (simp add: nextsuffix-def)

hence $\exists i. \text{next } (\text{Suc } m) \ s = (\text{next } m \ s) \ |_s \ i \ ..$

then obtain j where $\text{next } (\text{Suc } m) \ s = (\text{next } m \ s) \ |_s \ j \ ..$

with $a1'$ have $\text{next } (\text{Suc } m) \ s = (s \ |_s \ i) \ |_s \ j$ by simp

hence $\text{next } (\text{Suc } m) \ s = (s \ |_s \ (j+i))$ by (simp add: suffix-plus)

hence $(s \ |_s \ (j+i)) = \text{next } (\text{Suc } m) \ s$ by simp

thus $\exists i. (s \ |_s \ i) = (\text{next } (\text{Suc } m) \ s) \ ..$

qed

then obtain i where $(s \ |_s \ i) = (\text{next } m \ s) \ ..$

hence $(s \ |_s \ i) \ 0 = (\text{next } m \ s) \ 0$ by auto

hence $s \ i = (\text{next } m \ s) \ 0$ by (auto simp add: suffix-def)

thus ?thesis ..

qed

1.3.5 Properties of \natural

lemma emptyseq-collapse-eq: assumes $A1: \text{emptyseq } s$ shows $\natural s = s$

proof (unfold collapse-def, rule ext)

fix n

from $A1$ have $\text{next } n \ s = s$ by (rule next-empty)

moreover

from $A1$ have $s \ n = s \ 0$ by (simp add: emptyseq-def)

ultimately

show $(\text{next } n \ s) \ 0 = s \ n$ by simp

qed

lemma empty-collapse-empty:

assumes $H: \text{emptyseq } s$ shows $\text{emptyseq } (\natural s)$

using H by (simp add: emptyseq-collapse-eq)

lemma collapse-empty-empty:

```

assumes  $H$ : emptyseq ( $\natural s$ ) shows emptyseq  $s$ 
proof (rule ccontr)
  assume  $a1$ :  $\neg$ emptyseq  $s$ 
  from  $H$  have  $\forall i. (next\ i\ s)\ 0 = s\ 0$  by (simp add: collapse-def emptyseq-def)
  moreover
  from  $a1$  have  $(next\ (Suc\ 0)\ s)\ 0 \neq s\ 0$  by (rule notempty-nextnotzero)
  ultimately show False by blast
qed

```

```

lemma collapse-empty-iff-empty [simp]: emptyseq ( $\natural s$ ) = emptyseq  $s$ 
  by (auto elim: empty-collapse-empty collapse-empty-empty)

```

1.4 Similarity of Sequences

Since adding or removing stuttering steps does not change the validity of a stuttering-invariant formula, equality is often too strong, and the weaker equality *up to stuttering* is sufficient. This is often called *similarity* (\approx) of sequences in the literature, and is required to show that logical operators are stuttering invariant. This is mechanised as:

```

definition seqsimilar :: ('a seq)  $\Rightarrow$  ('a seq)  $\Rightarrow$  bool (infixl  $\approx$  50)
where  $\sigma \approx \tau \equiv (\natural \sigma) = (\natural \tau)$ 

```

1.4.1 Properties of $op \approx$

```

lemma seqsim-refl [iff]:  $s \approx s$ 
  by (simp add: seqsimilar-def)

```

```

lemma seqsim-sym: assumes  $H$ :  $s \approx t$  shows  $t \approx s$ 
  using  $H$  by (simp add: seqsimilar-def)

```

```

lemma seqeq-imp-sim: assumes  $H$ :  $s = t$  shows  $s \approx t$ 
  using  $H$  by simp

```

```

lemma seqsim-trans [trans]: assumes  $h1$ :  $s \approx t$  and  $h2$ :  $t \approx z$  shows  $s \approx z$ 
  using assms by (simp add: seqsimilar-def)

```

```

theorem sim-first: assumes  $H$ :  $s \approx t$  shows first  $s = first\ t$ 
proof –
  from  $H$  have  $(\natural s)\ 0 = (\natural t)\ 0$  by (simp add: seqsimilar-def)
  thus ?thesis by (simp add: collapse-def first-def)
qed

```

```

lemmas sim-first2 = sim-first[unfolded first-def]

```

```

lemma tail-sim-second: assumes  $H$ :  $tail\ s \approx tail\ t$  shows second  $s = second\ t$ 
proof –
  from  $H$  have first (tail  $s$ ) = first (tail  $t$ ) by (simp add: sim-first)
  thus second  $s = second\ t$  by (simp add: first-tail-second)

```

qed

lemma *seqsimilarI*:

assumes *1*: *first s = first t* and *2*: *nextsuffix s ≈ nextsuffix t*
shows *s ≈ t*

unfolding *seqsimilar-def collapse-def*

proof

fix *n*

show *next n s 0 = next n t 0*

proof (cases *n*)

assume *n = 0*

with *1* show ?thesis by (simp add: *first-def*)

next

fix *m*

assume *m: n = Suc m*

from *2* have *next m (nextsuffix s) 0 = next m (nextsuffix t) 0*

unfolding *seqsimilar-def collapse-def* by (rule *fun-cong*)

with *m* show ?thesis by (simp add: *next-suffix-com*)

qed

qed

lemma *seqsim-empty-empty*:

assumes *H1*: *s ≈ t* and *H2*: *emptyseq s* shows *emptyseq t*

proof -

from *H2* have *emptyseq (h s)* by simp

with *H1* have *emptyseq (h t)* by (simp add: *seqsimilar-def*)

thus ?thesis by simp

qed

lemma *seqsim-empty-iff-empty*:

assumes *H*: *s ≈ t* shows *emptyseq s = emptyseq t*

proof

assume *emptyseq s* with *H* show *emptyseq t* by (rule *seqsim-empty-empty*)

next

assume *t: emptyseq t*

from *H* have *t ≈ s* by (rule *seqsim-sym*)

from *this t* show *emptyseq s* by (rule *seqsim-empty-empty*)

qed

lemma *seq-empty-eq*:

assumes *H1*: *s 0 = t 0* and *H2*: *emptyseq s* and *H3*: *emptyseq t*

shows *s = t*

proof (rule *ext*)

fix *n*

from *assms* have *t n = s n* by (auto simp: *emptyseq-def*)

thus *s n = t n* by simp

qed

lemma *seqsim-notstutstep*:

```

assumes  $H: \neg (\text{stutstep } s \ n)$  shows  $(s \mid_s (\text{Suc } n)) \approx \text{nextsuffix } (s \mid_s n)$ 
using  $H$  by (simp add: notstutstep-nextsuffix1)

lemma stut-nextsuf-suc:
  assumes  $H: \text{stutstep } s \ n$  shows  $\text{nextsuffix } (s \mid_s n) = \text{nextsuffix } (s \mid_s (\text{Suc } n))$ 
proof (cases emptyseq (s |s n))
  case True
    hence  $g1: \text{nextsuffix } (s \mid_s n) = (s \mid_s n)$  by (simp add: nextsuffix-def nextnat-def)
    from True have  $g2: \text{nextsuffix } (s \mid_s \text{Suc } n) = (s \mid_s \text{Suc } n)$ 
      by (simp add: suc-empty nextsuffix-def nextnat-def)
    have  $(s \mid_s n) = (s \mid_s \text{Suc } n)$ 
    proof
      fix  $x$ 
      from True have  $s \ (x + n) = s \ (0 + n)$   $s \ (\text{Suc } x + n) = s \ (0 + n)$ 
        unfolding emptyseq-def suffix-def by (blast+)
      thus  $(s \mid_s n) \ x = (s \mid_s \text{Suc } n) \ x$  by (simp add: suffix-def)
    qed
    with  $g1 \ g2$  show ?thesis by auto
  next
    case False
    with  $H$  have  $(\text{nextnat } (s \mid_s n)) = \text{Suc } (\text{nextnat } (s \mid_s \text{Suc } n))$ 
      by (simp add: stutstep-notempty-sucnextnat)
    thus ?thesis
      by (simp add: nextsuffix-def suffix-plus)
    qed

lemma seqsim-suffix-seqsim:
  assumes  $H: s \approx t$  shows  $\text{nextsuffix } s \approx \text{nextsuffix } t$ 
  unfolding seqsimilar-def collapse-def
proof
  fix  $n$ 
  from  $H$  have  $(\text{next } (\text{Suc } n) \ s) \ 0 = (\text{next } (\text{Suc } n) \ t) \ 0$ 
    unfolding seqsimilar-def collapse-def by (rule fun-cong)
  thus  $\text{next } n \ (\text{nextsuffix } s) \ 0 = \text{next } n \ (\text{nextsuffix } t) \ 0$ 
    by (simp add: next-suffix-com)
  qed

lemma seqsim-stutstep:
  assumes  $H: \text{stutstep } s \ n$  shows  $(s \mid_s (\text{Suc } n)) \approx (s \mid_s n)$  (is  $?sn \approx ?s$ )
  unfolding seqsimilar-def collapse-def
proof
  fix  $m$ 
  show  $\text{next } m \ (s \mid_s \text{Suc } n) \ 0 = \text{next } m \ (s \mid_s n) \ 0$ 
  proof (cases m)
    assume  $m=0$ 
    with  $H$  show ?thesis by (simp add: suffix-def stutstep-def)
  next
    fix  $k$ 
    assume  $m: m = \text{Suc } k$ 

```

with H **have** $\text{next } m (s \mid_s \text{Suc } n) = \text{next } k (\text{nextsuffix } (s \mid_s n))$
by (*simp add: stut-nextsuf-suc next-suffix-com*)
moreover from m **have** $\text{next } m (s \mid_s n) = \text{next } k (\text{nextsuffix } (s \mid_s n))$
by (*simp add: next-suffix-com*)
ultimately show $\text{next } m (s \mid_s \text{Suc } n) 0 = \text{next } m (s \mid_s n) 0$ **by** *simp*
qed
qed

lemma *addfeqstut*: $\text{stutstep } ((\text{first } t) \#\# t) 0$
by (*simp add: first-def stutstep-def app-def suffix-def*)

lemma *addfeqsim*: $((\text{first } t) \#\# t) \approx t$
proof –
have $\text{stutstep } ((\text{first } t) \#\# t) 0$ **by** (*rule addfeqstut*)
hence $((\text{first } t) \#\# t) \mid_s (\text{Suc } 0) \approx ((\text{first } t) \#\# t) \mid_s 0$ **by** (*rule seqsim-stutstep*)
hence $\text{tail } ((\text{first } t) \#\# t) \approx ((\text{first } t) \#\# t)$ **by** (*simp add: suffix-def tail-def*)
hence $t \approx ((\text{first } t) \#\# t)$ **by** (*simp add: tail-def app-def suffix-def*)
thus *?thesis* **by** (*rule seqsim-sym*)
qed

lemma *addfirststut*:
assumes H : $\text{first } s = \text{second } s$ **shows** $s \approx \text{tail } s$
proof –
have $g1$: $(\text{first } s) \#\# (\text{tail } s) = s$ **by** (*rule seq-app-first-tail*)
from H **have** $(\text{first } s) = \text{first } (\text{tail } s)$
by (*simp add: first-def second-def tail-def suffix-def*)
hence $(\text{first } s) \#\# (\text{tail } s) \approx (\text{tail } s)$ **by** (*simp add: addfeqsim*)
with $g1$ **show** *?thesis* **by** *simp*
qed

lemma *app-seqsimilar*:
assumes $h1$: $s \approx t$ **shows** $(x \#\# s) \approx (x \#\# t)$
proof (*cases stutstep (x ## s) 0*)
case *True*
from $h1$ **have** $\text{first } s = \text{first } t$ **by** (*rule sim-first*)
with *True* **have** $a2$: $\text{stutstep } (x \#\# t) 0$
by (*simp add: stutstep-def first-def app-def*)
from *True* **have** $((x \#\# s) \mid_s (\text{Suc } 0)) \approx ((x \#\# s) \mid_s 0)$ **by** (*rule seqsim-stutstep*)
hence $\text{tail } (x \#\# s) \approx (x \#\# s)$ **by** (*simp add: tail-def suffix-def*)
hence $g1$: $s \approx (x \#\# s)$ **by** (*simp add: app-def tail-def suffix-def*)
from $a2$ **have** $((x \#\# t) \mid_s (\text{Suc } 0)) \approx ((x \#\# t) \mid_s 0)$ **by** (*rule seqsim-stutstep*)
hence $\text{tail } (x \#\# t) \approx (x \#\# t)$ **by** (*simp add: tail-def suffix-def*)
hence $g2$: $t \approx (x \#\# t)$ **by** (*simp add: app-def tail-def suffix-def*)
from $h1$ $g2$ **have** $s \approx (x \#\# t)$ **by** (*rule seqsim-trans*)
from *this* [*THEN seqsim-sym*] $g1$ **show** $(x \#\# s) \approx (x \#\# t)$
by (*rule seqsim-sym* [*OF seqsim-trans*])
next
case *False*
from $h1$ **have** $\text{first } s = \text{first } t$ **by** (*rule sim-first*)


```

with False have a2:  $\neg \text{stutstep } (x \# \# t) 0$ 
  by (simp add: stutstep-def first-def app-def)
from False have  $((x \# \# s) \mid_s (\text{Suc } 0)) \approx \text{nextsuffix } ((x \# \# s) \mid_s 0)$ 
  by (rule seqsim-notstutstep)
hence  $(\text{tail } (x \# \# s)) \approx \text{nextsuffix } (x \# \# s)$ 
  by (simp add: tail-def)
hence g1:  $s \approx \text{nextsuffix } (x \# \# s)$  by (simp add: seq-app-tail)
from a2 have  $((x \# \# t) \mid_s (\text{Suc } 0)) \approx \text{nextsuffix } ((x \# \# t) \mid_s 0)$ 
  by (rule seqsim-notstutstep)
hence  $(\text{tail } (x \# \# t)) \approx \text{nextsuffix } (x \# \# t)$  by (simp add: tail-def)
hence g2:  $t \approx \text{nextsuffix } (x \# \# t)$  by (simp add: seq-app-tail)
with h1 have  $s \approx \text{nextsuffix } (x \# \# t)$  by (rule seqsim-trans)
from this[THEN seqsim-sym] g1 have g3:  $\text{nextsuffix } (x \# \# s) \approx \text{nextsuffix } (x \# \# t)$ 
  by (rule seqsim-sym[OF seqsim-trans])
have  $\text{first } (x \# \# s) = \text{first } (x \# \# t)$  by (simp add: first-def app-def)
from this g3 show ?thesis by (rule seqsimilarI)
qed

```

If two sequences are similar then for any suffix of one of them there exists a similar suffix of the other one. We will prove a stronger result below.

lemma *simstep-disj1*: **assumes** *H*: $s \approx t$ **shows** $\exists m. ((s \mid_s n) \approx (t \mid_s m))$

proof (*induct n*)

from *H* **have** $((s \mid_s 0) \approx (t \mid_s 0))$ **by** *auto*

thus $\exists m. ((s \mid_s 0) \approx (t \mid_s m))$ **..**

next

fix *n*

assume $\exists m. ((s \mid_s n) \approx (t \mid_s m))$

then obtain *m* **where** *a1'*: $(s \mid_s n) \approx (t \mid_s m)$ **..**

show $\exists m. ((s \mid_s (\text{Suc } n)) \approx (t \mid_s m))$

proof (*cases stutstep s n*)

case *True*

hence $(s \mid_s (\text{Suc } n)) \approx (s \mid_s n)$ **by** (*rule seqsim-stutstep*)

from *this* *a1'* **have** $((s \mid_s (\text{Suc } n)) \approx (t \mid_s m))$ **by** (*rule seqsim-trans*)

thus *?thesis* **..**

next

case *False*

hence $(s \mid_s (\text{Suc } n)) \approx \text{nextsuffix } (s \mid_s n)$ **by** (*rule seqsim-notstutstep*)

moreover

from *a1'* **have** $\text{nextsuffix } (s \mid_s n) \approx \text{nextsuffix } (t \mid_s m)$

by (*simp add: seqsim-suffix-seqsim*)

ultimately have $(s \mid_s (\text{Suc } n)) \approx \text{nextsuffix } (t \mid_s m)$ **by** (*rule seqsim-trans*)

hence $(s \mid_s (\text{Suc } n)) \approx t \mid_s (m + (\text{nextnat } (t \mid_s m)))$

by (*simp add: nextsuffix-def suffix-plus-com*)

thus $\exists m. (s \mid_s (\text{Suc } n)) \approx t \mid_s m$ **..**

qed

qed

lemma *nextnat-le-seqsim*:

```

    assumes  $n: n < \text{nextnat } s$  shows  $s \approx (s \mid_s n)$ 
  proof (cases emptyseq  $s$ )
    case True — case impossible
    with  $n$  show ?thesis by (simp add: nextnat-def)
  next
    case False
    from  $n$  show ?thesis
  proof (induct  $n$ )
    show  $s \approx (s \mid_s 0)$  by simp
  next
    fix  $n$ 
    assume  $a2: n < \text{nextnat } s \implies s \approx (s \mid_s n)$  and  $a3: \text{Suc } n < \text{nextnat } s$ 
    from  $a3$  have  $g1: s (\text{Suc } n) = s 0$  by (rule nextnat-le-unch)
    from  $a3$  have  $a3': n < \text{nextnat } s$  by simp
    hence  $s n = s 0$  by (rule nextnat-le-unch)
    with  $g1$  have stutstep  $s n$  by (simp add: stutstep-def)
    hence  $g2: (s \mid_s n) \approx (s \mid_s (\text{Suc } n))$  by (rule seqsim-stutstep[THEN seqsim-sym])
    with  $a3' a2$  show  $s \approx (s \mid_s (\text{Suc } n))$  by (auto elim: seqsim-trans)
  qed
qed

```

```

lemma seqsim-prev-nextnat:  $s \approx s \mid_s ((\text{nextnat } s) - 1)$ 
proof (cases emptyseq  $s$ )
  case True
  hence  $s \mid_s ((\text{nextnat } s) - (1::\text{nat})) = s \mid_s 0$  by (simp add: nextnat-def)
  thus ?thesis by simp
next
  case False
  hence  $\text{nextnat } s > 0$  by (rule nextnat-empty-gzero)
  thus ?thesis by (simp add: nextnat-le-seqsim)
qed

```

Given a suffix $s \mid_s n$ of some sequence s that is similar to some suffix $t \mid_s m$ of sequence t , there exists some suffix $t \mid_s m'$ of t such that $s \mid_s n$ and $t \mid_s m'$ are similar and also $s \mid_s (n+1)$ is similar to either $t \mid_s m'$ or to $t \mid_s (m'+1)$.

```

lemma seqsim-suffix-suc:
  assumes  $H: s \mid_s n \approx t \mid_s m$ 
  shows  $\exists m'. s \mid_s n \approx t \mid_s m' \wedge ((s \mid_s \text{Suc } n \approx t \mid_s \text{Suc } m') \vee (s \mid_s \text{Suc } n \approx t \mid_s m'))$ 
proof (cases stutstep  $s n$ )
  case True
  hence  $s \mid_s \text{Suc } n \approx s \mid_s n$  by (rule seqsim-stutstep)
  from this  $H$  have  $s \mid_s \text{Suc } n \approx t \mid_s m$  by (rule seqsim-trans)
  with  $H$  show ?thesis by blast
next
  case False
  hence  $\neg \text{emptyseq } (s \mid_s n)$  by (rule stutnempty)
  with  $H$  have  $a2: \neg \text{emptyseq } (t \mid_s m)$  by (simp add: seqsim-empty-iff-empty)

```

hence $g4: \text{nextsuffix } (t \mid_s m) = (t \mid_s m) \mid_s \text{Suc } (\text{nextnat } (t \mid_s m) - 1)$
 by (*simp add: nextnat-empty-gzero nextsuffix-def*)
 have $g3: (t \mid_s m) \approx (t \mid_s m) \mid_s (\text{nextnat } (t \mid_s m) - 1)$
 by (*rule seqsim-prev-nextnat*)
 with H have $G1: s \mid_s n \approx (t \mid_s m) \mid_s (\text{nextnat } (t \mid_s m) - 1)$
 by (*rule seqsim-trans*)
 from False have $G1': (s \mid_s \text{Suc } n) = \text{nextsuffix } (s \mid_s n)$
 by (*rule notstutstep-nextsuffix1 [THEN sym]*)
 from H have $\text{nextsuffix } (s \mid_s n) \approx \text{nextsuffix } (t \mid_s m)$
 by (*rule seqsim-suffix-seqsim*)
 with $G1 \ G1' \ g4$
 have $s \mid_s n \approx t \mid_s (m + (\text{nextnat } (t \mid_s m) - 1))$
 $\wedge s \mid_s (\text{Suc } n) \approx t \mid_s \text{Suc } (m + (\text{nextnat } (t \mid_s m) - 1))$
 by (*simp add: suffix-plus-com*)
 thus ?thesis by blast
 qed

The following main result about similar sequences shows that if $s \approx t$ holds then for any suffix $s \mid_s n$ of s there exists a suffix $t \mid_s m$ such that

- $s \mid_s n$ and $t \mid_s m$ are similar, and
- $s \mid_s (n+1)$ is similar to either $t \mid_s (m+1)$ or $t \mid_s m$.

The idea is to pick the largest m such that $s \mid_s n \approx t \mid_s m$ (or some such m if $s \mid_s n$ is empty).

theorem *sim-step*:

assumes $H: s \approx t$

shows $\exists m. s \mid_s n \approx t \mid_s m \wedge$

$((s \mid_s \text{Suc } n \approx t \mid_s \text{Suc } m) \vee (s \mid_s \text{Suc } n \approx t \mid_s m))$

(is $\exists m. ?\text{Sim } n \ m$)

proof (*induct n*)

from H have $s \mid_s 0 \approx t \mid_s 0$ by *simp*

thus $\exists m. ?\text{Sim } 0 \ m$ by (*rule seqsim-suffix-suc*)

next

fix n

assume $\exists m. ?\text{Sim } n \ m$

hence $\exists k. s \mid_s \text{Suc } n \approx t \mid_s k$ by *blast*

thus $\exists m. ?\text{Sim } (\text{Suc } n) \ m$ by (*blast dest: seqsim-suffix-suc*)

qed

end

2 Representing Intensional Logic

theory *Intensional*

imports *Main*

begin

In higher-order logic, every proof rule has a corresponding tautology, i.e. the *deduction theorem* holds. Isabelle/HOL implements this since object-level implication (\longrightarrow) and meta-level entailment (\Longrightarrow) commute, viz. the proof rule *impI*: $(?P \Longrightarrow ?Q) \Longrightarrow ?P \longrightarrow ?Q$. However, the deduction theorem does not hold for most modal and temporal logics [6, page 95][7]. For example $A \vdash \Box A$ holds, meaning that if A holds in any world, then it always holds. However, $\vdash A \longrightarrow \Box A$, stating that A always holds if it initially holds, is not valid.

Merz [7] overcame this problem by creating an *Intensional* logic. It exploits Isabelle's axiomatic type class feature [9] by creating a type class *world*, which provides Skolem constants to associate formulas with the world they hold in. The class is trivial, not requiring any axioms.

class *world*

world is a type class of possible worlds. It is a subclass of all HOL types *type*. No axioms are provided, since its only purpose is to avoid silly use of the *Intensional* syntax.

2.1 Abstract Syntax and Definitions

type-synonym $('w, 'a) \text{ expr} = 'w \Rightarrow 'a$

type-synonym $'w \text{ form} = ('w, \text{bool}) \text{ expr}$

The intention is that $'a$ will be used for unlifted types (class *type*), while $'w$ is lifted (class *world*).

definition *Valid* :: $('w::\text{world}) \text{ form} \Rightarrow \text{bool}$

where *Valid* $A \equiv \forall w. A \ w$

definition *const* :: $'a \Rightarrow ('w::\text{world}, 'a) \text{ expr}$

where *unl-con*: *const* $c \ w \equiv c$

definition *lift* :: $['a \Rightarrow 'b, ('w::\text{world}, 'a) \text{ expr}] \Rightarrow ('w, 'b) \text{ expr}$

where *unl-lift*: *lift* $f \ x \ w \equiv f \ (x \ w)$

definition *lift2* :: $['a \Rightarrow 'b \Rightarrow 'c, ('w::\text{world}, 'a) \text{ expr}, ('w, 'b) \text{ expr}] \Rightarrow ('w, 'c) \text{ expr}$

where *unl-lift2*: *lift2* $f \ x \ y \ w \equiv f \ (x \ w) \ (y \ w)$

definition *lift3* :: $['a \Rightarrow 'b \Rightarrow 'c \Rightarrow 'd, ('w::\text{world}, 'a) \text{ expr}, ('w, 'b) \text{ expr}, ('w, 'c) \text{ expr}] \Rightarrow ('w, 'd) \text{ expr}$

where *unl-lift3*: *lift3* $f \ x \ y \ z \ w \equiv f \ (x \ w) \ (y \ w) \ (z \ w)$

definition *lift4* :: $['a \Rightarrow 'b \Rightarrow 'c \Rightarrow 'd \Rightarrow 'e, ('w::\text{world}, 'a) \text{ expr}, ('w, 'b) \text{ expr}, ('w, 'c) \text{ expr}, ('w, 'd) \text{ expr}] \Rightarrow ('w, 'e) \text{ expr}$

where *unl-lift4*: *lift4* $f \ x \ y \ z \ zz \ w \equiv f \ (x \ w) \ (y \ w) \ (z \ w) \ (zz \ w)$

Valid F asserts that the lifted formula F holds everywhere. *const* allows lifting of a constant, while *lift* through *lift4* allow functions with arity 1–4

to be lifted. (Note that there is no way to define a generic lifting operator for functions of arbitrary arity.)

definition $RAll :: ('a \Rightarrow ('w::world) form) \Rightarrow 'w form$ (**binder** $Rall$ 10)
where $unl-Rall: (Rall\ x.\ A\ x)\ w \equiv \forall x.\ A\ x\ w$

definition $REx :: ('a \Rightarrow ('w::world) form) \Rightarrow 'w form$ (**binder** Rex 10)
where $unl-Rex: (Rex\ x.\ A\ x)\ w \equiv \exists x.\ A\ x\ w$

definition $REx1 :: ('a \Rightarrow ('w::world) form) \Rightarrow 'w form$ (**binder** $Rex!$ 10)
where $unl-Rex1: (Rex!\ x.\ A\ x)\ w \equiv \exists!x.\ A\ x\ w$

$RAll$, REx and $REx1$ introduces “rigid” quantification over values (of non-world types) within “intensional” formulas. $RAll$ is universal quantification, REx is existential quantification. $REx1$ requires unique existence.

We declare the “unlifting rules” as rewrite rules that will be applied automatically.

lemmas $intensional-rews[simp] =$
 $unl-con\ unl-lift\ unl-lift2\ unl-lift3\ unl-lift4$
 $unl-Rall\ unl-Rex\ unl-Rex1$

2.2 Concrete Syntax

nonterminal

$lift$ and $liftargs$

The non-terminal $lift$ represents lifted expressions. The idea is to use Isabelle’s macro mechanism to convert between the concrete and abstract syntax.

syntax

	$:: id \Rightarrow lift$	$(-)$
	$:: longid \Rightarrow lift$	$(-)$
	$:: var \Rightarrow lift$	$(-)$
$-applC$	$:: [lift, cargs] \Rightarrow lift$	$((1-/ -) [1000, 1000] 999)$
	$:: lift \Rightarrow lift$	$('(-))$
$-lambda$	$:: [idts, 'a] \Rightarrow lift$	$((3\%-/ -) [0, 3] 3)$
$-constrain$	$:: [lift, type] \Rightarrow lift$	$((-::-) [4, 0] 3)$
	$:: lift \Rightarrow liftargs$	$(-)$
$-liftargs$	$:: [lift, liftargs] \Rightarrow liftargs$	$(-/ -)$
$-Valid$	$:: lift \Rightarrow bool$	$((\vdash -) 5)$
$-holdsAt$	$:: ['a, lift] \Rightarrow bool$	$((- \models -) [100, 10] 10)$

$LIFT$	$:: lift \Rightarrow 'a$	$(LIFT -)$
--------	--------------------------	------------

$-const$	$:: 'a \Rightarrow lift$	$((\#-) [1000] 999)$
$-lift$	$:: ['a, lift] \Rightarrow lift$	$((-<->) [1000] 999)$

$\text{-lift2} :: ['a, \text{lift}, \text{lift}] \Rightarrow \text{lift} \quad ((-<-/, ->) [1000] 999)$
 $\text{-lift3} :: ['a, \text{lift}, \text{lift}, \text{lift}] \Rightarrow \text{lift} \quad (((-<-/, -/, ->) [1000] 999)$
 $\text{-lift4} :: ['a, \text{lift}, \text{lift}, \text{lift}, \text{lift}] \Rightarrow \text{lift} \quad (((-<-/, -/, -/, ->) [1000] 999)$

$\text{-liftEqu} :: [\text{lift}, \text{lift}] \Rightarrow \text{lift} \quad ((- = / -) [50, 51] 50)$
 $\text{-liftNeq} :: [\text{lift}, \text{lift}] \Rightarrow \text{lift} \quad (\text{infixl } \neq 50)$
 $\text{-liftNot} :: \text{lift} \Rightarrow \text{lift} \quad (\neg - [90] 90)$
 $\text{-liftAnd} :: [\text{lift}, \text{lift}] \Rightarrow \text{lift} \quad (\text{infixr } \wedge 35)$
 $\text{-liftOr} :: [\text{lift}, \text{lift}] \Rightarrow \text{lift} \quad (\text{infixr } \vee 30)$
 $\text{-liftImp} :: [\text{lift}, \text{lift}] \Rightarrow \text{lift} \quad (\text{infixr } \longrightarrow 25)$
 $\text{-liftIf} :: [\text{lift}, \text{lift}, \text{lift}] \Rightarrow \text{lift} \quad ((\text{if } (-) / \text{then } (-) / \text{else } (-)) 10)$
 $\text{-liftPlus} :: [\text{lift}, \text{lift}] \Rightarrow \text{lift} \quad ((- + / -) [66, 65] 65)$
 $\text{-liftMinus} :: [\text{lift}, \text{lift}] \Rightarrow \text{lift} \quad ((- - / -) [66, 65] 65)$
 $\text{-liftTimes} :: [\text{lift}, \text{lift}] \Rightarrow \text{lift} \quad ((- * / -) [71, 70] 70)$
 $\text{-liftDiv} :: [\text{lift}, \text{lift}] \Rightarrow \text{lift} \quad ((- \text{div } -) [71, 70] 70)$
 $\text{-liftMod} :: [\text{lift}, \text{lift}] \Rightarrow \text{lift} \quad ((- \text{mod } -) [71, 70] 70)$
 $\text{-liftLess} :: [\text{lift}, \text{lift}] \Rightarrow \text{lift} \quad ((- / < -) [50, 51] 50)$
 $\text{-liftLeq} :: [\text{lift}, \text{lift}] \Rightarrow \text{lift} \quad ((- / \leq -) [50, 51] 50)$
 $\text{-liftMem} :: [\text{lift}, \text{lift}] \Rightarrow \text{lift} \quad ((- / \in -) [50, 51] 50)$
 $\text{-liftNotMem} :: [\text{lift}, \text{lift}] \Rightarrow \text{lift} \quad ((- / \notin -) [50, 51] 50)$
 $\text{-liftFinset} :: \text{liftargs} \Rightarrow \text{lift} \quad (\{(-)\})$

$\text{-liftPair} :: [\text{lift}, \text{liftargs}] \Rightarrow \text{lift} \quad ((1'(-, -)))$

$\text{-liftCons} :: [\text{lift}, \text{lift}] \Rightarrow \text{lift} \quad ((- \# / -) [65, 66] 65)$
 $\text{-liftApp} :: [\text{lift}, \text{lift}] \Rightarrow \text{lift} \quad ((- @ / -) [65, 66] 65)$
 $\text{-liftList} :: \text{liftargs} \Rightarrow \text{lift} \quad ([(-)])$

$\text{-ARall} :: [\text{idts}, \text{lift}] \Rightarrow \text{lift} \quad ((\exists! - / -) [0, 10] 10)$
 $\text{-AREx} :: [\text{idts}, \text{lift}] \Rightarrow \text{lift} \quad ((\exists? - / -) [0, 10] 10)$
 $\text{-AREx1} :: [\text{idts}, \text{lift}] \Rightarrow \text{lift} \quad ((\exists?! - / -) [0, 10] 10)$
 $\text{-Rall} :: [\text{idts}, \text{lift}] \Rightarrow \text{lift} \quad ((\exists\forall - / -) [0, 10] 10)$
 $\text{-REx} :: [\text{idts}, \text{lift}] \Rightarrow \text{lift} \quad ((\exists\exists - / -) [0, 10] 10)$
 $\text{-REx1} :: [\text{idts}, \text{lift}] \Rightarrow \text{lift} \quad ((\exists\exists! - / -) [0, 10] 10)$

translations

$\text{-const} \quad \Rightarrow \text{CONST const}$

translations

$\text{-lift} \quad \Rightarrow \text{CONST lift}$
 $\text{-lift2} \quad \Rightarrow \text{CONST lift2}$
 $\text{-lift3} \quad \Rightarrow \text{CONST lift3}$
 $\text{-lift4} \quad \Rightarrow \text{CONST lift4}$
 $\text{-Valid} \quad \Rightarrow \text{CONST Valid}$

translations

$\text{-Rall } x \ A \quad \Rightarrow \text{Rall } x. \ A$

$$\begin{aligned} -REx\ x\ A &\quad \Rightarrow\ REx\ x.\ A \\ -REx1\ x\ A &\quad \Rightarrow\ REx!\ x.\ A \end{aligned}$$

translations

$$\begin{aligned} -ARAll &\quad \rightarrow\ -RAll \\ -AREx &\quad \rightarrow\ -REx \\ -AREx1 &\quad \rightarrow\ -REx1 \end{aligned}$$

$$\begin{aligned} w \models A &\quad \rightarrow\ A\ w \\ LIFT\ A &\quad \rightarrow\ A::-\Rightarrow- \end{aligned}$$

translations

$$\begin{aligned} -liftEqu &\quad \Rightarrow\ -lift2\ (op\ =) \\ -liftNeg\ u\ v &\quad \Rightarrow\ -liftNot\ (-liftEqu\ u\ v) \\ -liftNot &\quad \Rightarrow\ -lift\ (CONST\ Not) \\ -liftAnd &\quad \Rightarrow\ -lift2\ (op\ \&) \\ -liftOr &\quad \Rightarrow\ -lift2\ (op\ |) \\ -liftImp &\quad \Rightarrow\ -lift2\ (op\ -->) \\ -liftIf &\quad \Rightarrow\ -lift3\ (CONST\ If) \\ -liftPlus &\quad \Rightarrow\ -lift2\ (op\ +) \\ -liftMinus &\quad \Rightarrow\ -lift2\ (op\ -) \\ -liftTimes &\quad \Rightarrow\ -lift2\ (op\ *) \\ -liftDiv &\quad \Rightarrow\ -lift2\ (op\ div) \\ -liftMod &\quad \Rightarrow\ -lift2\ (op\ mod) \\ -liftLess &\quad \Rightarrow\ -lift2\ (op\ <) \\ -liftLeq &\quad \Rightarrow\ -lift2\ (op\ <=) \\ -liftMem &\quad \Rightarrow\ -lift2\ (op\ :) \\ -liftNotMem\ x\ xs &\quad \Rightarrow\ -liftNot\ (-liftMem\ x\ xs) \end{aligned}$$

translations

$$\begin{aligned} -liftFinset\ (-liftargs\ x\ xs) &\quad \Rightarrow\ -lift2\ (CONST\ insert)\ x\ (-liftFinset\ xs) \\ -liftFinset\ x &\quad \Rightarrow\ -lift2\ (CONST\ insert)\ x\ (-const\ (CONST\ Set.empty)) \\ -liftPair\ x\ (-liftargs\ y\ z) &\quad \Rightarrow\ -liftPair\ x\ (-liftPair\ y\ z) \\ -liftPair &\quad \Rightarrow\ -lift2\ (CONST\ Pair) \\ -liftCons &\quad \Rightarrow\ -lift2\ (CONST\ Cons) \\ -liftApp &\quad \Rightarrow\ -lift2\ (op\ @) \\ -liftList\ (-liftargs\ x\ xs) &\quad \Rightarrow\ -liftCons\ x\ (-liftList\ xs) \\ -liftList\ x &\quad \Rightarrow\ -liftCons\ x\ (-const\ []) \end{aligned}$$

$$\begin{aligned} w \models \neg A &\quad \leftarrow\ -liftNot\ A\ w \\ w \models A \wedge B &\quad \leftarrow\ -liftAnd\ A\ B\ w \\ w \models A \vee B &\quad \leftarrow\ -liftOr\ A\ B\ w \\ w \models A \longrightarrow B &\quad \leftarrow\ -liftImp\ A\ B\ w \\ w \models u = v &\quad \leftarrow\ -liftEqu\ u\ v\ w \\ w \models \forall x.\ A &\quad \leftarrow\ -RAll\ x\ A\ w \\ w \models \exists x.\ A &\quad \leftarrow\ -REx\ x\ A\ w \\ w \models \exists !x.\ A &\quad \leftarrow\ -REx1\ x\ A\ w \end{aligned}$$

syntax (ASCH)

-Valid	:: lift \Rightarrow bool	((- -) 5)
-holdsAt	:: ['a, lift] \Rightarrow bool	((- = -) [100,10] 10)
-liftNeq	:: [lift, lift] \Rightarrow lift	((- ~=/ -) [50,51] 50)
-liftNot	:: lift \Rightarrow lift	((~ -) [90] 90)
-liftAnd	:: [lift, lift] \Rightarrow lift	((- &/ -) [36,35] 35)
-liftOr	:: [lift, lift] \Rightarrow lift	((- /-) [31,30] 30)
-liftImp	:: [lift, lift] \Rightarrow lift	((- -->/ -) [26,25] 25)
-liftLeq	:: [lift, lift] \Rightarrow lift	((-/ <= -) [50, 51] 50)
-liftMem	:: [lift, lift] \Rightarrow lift	((-/ :-) [50, 51] 50)
-liftNotMem	:: [lift, lift] \Rightarrow lift	((-/ ~:-) [50, 51] 50)
-RAll	:: [idts, lift] \Rightarrow lift	((3ALL -./ -) [0, 10] 10)
-REx	:: [idts, lift] \Rightarrow lift	((3EX -./ -) [0, 10] 10)
-REx1	:: [idts, lift] \Rightarrow lift	((3EX! -./ -) [0, 10] 10)

2.3 Lemmas and Tactics

```

lemma intD[dest]:  $\vdash A \Longrightarrow w \models A$ 
proof -
  assume a: $\vdash A$ 
  from a have ALL w. w  $\models A$  by (auto simp add: Valid-def)
  thus ?thesis ..
qed

```

```

lemma intI [intro!]: assumes P1:( $\bigwedge w. w \models A$ ) shows  $\vdash A$ 
using assms by (auto simp: Valid-def)

```

Basic unlifting introduces a parameter w and applies basic rewrites, e.g $\vdash F = G$ becomes $F w = G w$ and $\vdash F \longrightarrow G$ becomes $F w \longrightarrow G w$.

```

method-setup int-unlift = <<
  Scan.succeed (fn ctxt => SIMPLE-METHOD'
    (resolve-tac ctxt @ {thms intI} THEN' rewrite-goal-tac ctxt @ {thms intensional-rews}))
  >> method to unlift and followed by intensional rewrites

```

```

lemma inteq-reflection: assumes P1: $\vdash x=y$  shows ( $x \equiv y$ )
proof -
  from P1 have P2: ALL w. x w = y w by (unfold Valid-def unl-lift2)
  hence P3: $x=y$  by blast
  thus  $x \equiv y$  by (rule eq-reflection)
qed

```

```

lemma int-simps:
   $\vdash (x=x) = \#True$ 
   $\vdash (\neg \#True) = \#False$ 
   $\vdash (\neg \#False) = \#True$ 
   $\vdash (\neg\neg P) = P$ 
   $\vdash ((\neg P) = P) = \#False$ 
   $\vdash (P = (\neg P)) = \#False$ 
   $\vdash (P \neq Q) = (P = (\neg Q))$ 
   $\vdash (\#True=P) = P$ 

```


$\vdash (P = \#True) = P$
 $\vdash (\#True \longrightarrow P) = P$
 $\vdash (\#False \longrightarrow P) = \#True$
 $\vdash (P \longrightarrow \#True) = \#True$
 $\vdash (P \longrightarrow P) = \#True$
 $\vdash (P \longrightarrow \#False) = (\neg P)$
 $\vdash (P \longrightarrow \sim P) = (\neg P)$
 $\vdash (P \wedge \#True) = P$
 $\vdash (\#True \wedge P) = P$
 $\vdash (P \wedge \#False) = \#False$
 $\vdash (\#False \wedge P) = \#False$
 $\vdash (P \wedge P) = P$
 $\vdash (P \wedge \sim P) = \#False$
 $\vdash (\neg P \wedge P) = \#False$
 $\vdash (P \vee \#True) = \#True$
 $\vdash (\#True \vee P) = \#True$
 $\vdash (P \vee \#False) = P$
 $\vdash (\#False \vee P) = P$
 $\vdash (P \vee P) = P$
 $\vdash (P \vee \neg P) = \#True$
 $\vdash (\neg P \vee P) = \#True$
 $\vdash (\forall x. P) = P$
 $\vdash (\exists x. P) = P$
by *auto*

lemmas *intensional-simps*[*simp*] = *int-simps*[*THEN inteq-reflection*]

method-setup *int-rewrite* = \ll
 $\text{Scan.succeed } (\text{fn } \textit{ctxt} \Rightarrow \textit{SIMPLE-METHOD}' (\textit{rewrite-goal-tac } \textit{ctxt} \text{ @}\{\textit{thms intensional-simps}\}))$
 $\gg \textit{rewrite method at intensional level}$

lemma *Not-Rall*: $\vdash (\neg(\forall x. F x)) = (\exists x. \neg F x)$
by *auto*

lemma *Not-Rex*: $\vdash (\neg(\exists x. F x)) = (\forall x. \neg F x)$
by *auto*

lemma *TrueW* [*simp*]: $\vdash \#True$
by *auto*

lemma *int-eq*: $\vdash X = Y \Longrightarrow X = Y$
by (*auto simp: inteq-reflection*)

lemma *int-iffI*:
assumes $\vdash F \longrightarrow G$ **and** $\vdash G \longrightarrow F$
shows $\vdash F = G$
using *assms* **by** *force*

```

lemma int-iffD1: assumes  $h: \vdash F = G$  shows  $\vdash F \longrightarrow G$ 
  using  $h$  by auto

lemma int-iffD2: assumes  $h: \vdash F = G$  shows  $\vdash G \longrightarrow F$ 
  using  $h$  by auto

lemma lift-imp-trans:
  assumes  $\vdash A \longrightarrow B$  and  $\vdash B \longrightarrow C$ 
  shows  $\vdash A \longrightarrow C$ 
  using assms by force

lemma lift-imp-neg: assumes  $\vdash A \longrightarrow B$  shows  $\vdash \neg B \longrightarrow \neg A$ 
  using assms by auto

lemma lift-and-com:  $\vdash (A \wedge B) = (B \wedge A)$ 
  by auto

end

```

3 Semantics

```

theory Semantics
imports Sequence Intensional
begin

```

This theory mechanises a *shallow* embedding of TLA* using the *Sequence* and *Intensional* theories. A shallow embedding represents TLA* using Isabelle/HOL predicates, while a *deep* embedding would represent TLA* formulas and pre-formulas as mutually inductive datatypes¹. The choice of a shallow over a deep embedding is motivated by the following factors: a shallow embedding is usually less involved, and existing Isabelle theories and tools can be applied more directly to enhance automation; due to the lifting in the *Intensional* theory, a shallow embedding can reuse standard logical operators, whilst a deep embedding requires a different set of operators for both formulas and pre-formulas. Finally, since our target is system verification rather than proving meta-properties of TLA*, which requires a deep embedding, a shallow embedding is more fit for purpose.

3.1 Types of Formulas

To mechanise the TLA* semantics, the following type abbreviations are used:

```

type-synonym ('a,'b) formfun = 'a seq  $\Rightarrow$  'b
type-synonym 'a formula = ('a,bool) formfun
type-synonym ('a,'b) stfun = 'a  $\Rightarrow$  'b
type-synonym 'a stpred = ('a,bool) stfun

```

¹See e.g. [10] for a discussion about deep vs. shallow embeddings in Isabelle/HOL.

instance

fun :: (type,type) world ..

instance

prod :: (type,type) world ..

Pair and function are instantiated to be of type class world. This allows use of the lifted intensional logic for formulas, and standard logical connectives can therefore be used.

3.2 Semantics of TLA*

The semantics of TLA* is defined.

definition *always* :: ('a::world) formula \Rightarrow 'a formula

where *always* $F \equiv \lambda s. \forall n. (s \mid_s n) \models F$

definition *nexts* :: ('a::world) formula \Rightarrow 'a formula

where *nexts* $F \equiv \lambda s. (tail\ s) \models F$

definition *before* :: ('a::world,'b) stfun \Rightarrow ('a,'b) formfun

where *before* $f \equiv \lambda s. (first\ s) \models f$

definition *after* :: ('a::world,'b) stfun \Rightarrow ('a,'b) formfun

where *after* $f \equiv \lambda s. (second\ s) \models f$

definition *unch* :: ('a::world,'b) stfun \Rightarrow 'a formula

where *unch* $v \equiv \lambda s. s \models (after\ v) = (before\ v)$

definition *action* :: ('a::world) formula \Rightarrow ('a,'b) stfun \Rightarrow 'a formula

where *action* $P\ v \equiv \lambda s. \forall i. ((s \mid_s i) \models P) \vee ((s \mid_s i) \models unch\ v)$

3.2.1 Concrete Syntax

This is the concrete syntax for the (abstract) operators above.

syntax

-*always* :: lift \Rightarrow lift ((\Box -) [90] 90)
 -*nexts* :: lift \Rightarrow lift ((\bigcirc -) [90] 90)
 -*action* :: [lift, lift] \Rightarrow lift (($\Box[-]'$ -) [20,1000] 90)
 -*before* :: lift \Rightarrow lift (($\$$ -) [100] 99)
 -*after* :: lift \Rightarrow lift (($\$$ -) [100] 99)
 -*prime* :: lift \Rightarrow lift ((\cdot -) [100] 99)
 -*unch* :: lift \Rightarrow lift ((Unchanged -) [100] 99)
TEMP :: lift \Rightarrow 'b ((TEMP -))

syntax (ASCII)

-*always* :: lift \Rightarrow lift (([]-) [90] 90)
 -*nexts* :: lift \Rightarrow lift ((Next -) [90] 90)

-action :: [lift, lift] \Rightarrow lift (([]'(-)) [20,1000] 90)

translations

-always \Rightarrow CONST always
 -nexts \Rightarrow CONST nexts
 -action \Rightarrow CONST action
 -before \Rightarrow CONST before
 -after \Rightarrow CONST after
 -prime \rightarrow CONST after
 -unch \Rightarrow CONST unch
 TEMP $F \rightarrow (F :: (nat \Rightarrow -) \Rightarrow -)$

3.3 Abbreviations

Some standard temporal abbreviations, with their concrete syntax.

definition *actrans* :: ('a::world) formula \Rightarrow ('a,'b) stfun \Rightarrow 'a formula
where *actrans* $P\ v \equiv TEMP(P \vee unch\ v)$

definition *eventually* :: ('a::world) formula \Rightarrow 'a formula
where *eventually* $F \equiv LIFT(\neg \Box(\neg F))$

definition *angle-action* :: ('a::world) formula \Rightarrow ('a,'b) stfun \Rightarrow 'a formula
where *angle-action* $P\ v \equiv LIFT(\neg \Box[\neg P]-v)$

definition *angle-actrans* :: ('a::world) formula \Rightarrow ('a,'b) stfun \Rightarrow 'a formula
where *angle-actrans* $P\ v \equiv TEMP(\neg actrans\ (LIFT(\neg P))\ v)$

definition *leadsto* :: ('a::world) formula \Rightarrow 'a formula \Rightarrow 'a formula
where *leadsto* $P\ Q \equiv LIFT\ \Box(P \rightarrow eventually\ Q)$

3.3.1 Concrete Syntax

syntax (ASCII)

-actrans :: [lift, lift] \Rightarrow lift (([]'(-)) [20,1000] 90)
 -eventually :: lift \Rightarrow lift ((<>-) [90] 90)
 -angle-action :: [lift, lift] \Rightarrow lift ((<><->'(-)) [20,1000] 90)
 -angle-actrans :: [lift, lift] \Rightarrow lift ((<->'(-)) [20,1000] 90)
 -leadsto :: [lift, lift] \Rightarrow lift ((- \leadsto -) [26,25] 25)

syntax

-eventually :: lift \Rightarrow lift ((\Diamond -) [90] 90)
 -angle-action :: [lift, lift] \Rightarrow lift ((\Diamond <->'(-)) [20,1000] 90)
 -angle-actrans :: [lift, lift] \Rightarrow lift ((<->'(-)) [20,1000] 90)
 -leadsto :: [lift, lift] \Rightarrow lift ((- \rightsquigarrow -) [26,25] 25)

translations

-actrans \Rightarrow CONST actrans
 -eventually \Rightarrow CONST eventually
 -angle-action \Rightarrow CONST angle-action

-angle-actrans \Rightarrow CONST angle-actrans
 -leadsto \Rightarrow CONST leadsto

3.4 Properties of Operators

The following lemmas show that these operators have the expected semantics.

lemma *eventually-defs*: $(w \models \Diamond F) = (\exists n. (w \mid_s n) \models F)$
by (*simp add: eventually-def always-def*)

lemma *angle-action-defs*: $(w \models \Diamond\langle P \rangle.v) = (\exists i. ((w \mid_s i) \models P) \wedge ((w \mid_s i) \models v\$ \neq \$v))$
by (*simp add: angle-action-def action-def unch-def*)

lemma *unch-defs*: $(w \models \text{Unchanged } v) = (((\text{second } w) \models v) = ((\text{first } w) \models v))$
by (*simp add: unch-def before-def nexts-def after-def tail-def suffix-def first-def second-def*)

lemma *linalw*:
assumes *h1*: $a \leq b$ **and** *h2*: $(w \mid_s a) \models \Box A$
shows $(w \mid_s b) \models \Box A$
proof (*clarsimp simp: always-def*)
fix *n*
from *h1* **obtain** *k* **where** *g1*: $b = a + k$ **by** (*auto simp: le-iff-add*)
with *h2* **show** $(w \mid_s b \mid_s n) \models A$ **by** (*auto simp: always-def suffix-plus ac-simps*)
qed

3.5 Invariance Under Stuttering

A key feature of TLA* is that specification at different abstraction levels can be compared. The soundness of this relies on the stuttering invariance of formulas. Since the embedding is shallow, it cannot be shown that a generic TLA* formula is stuttering invariant. However, this section will show that each operator is stuttering invariant or preserves stuttering invariance in an appropriate sense, which can be used to show stuttering invariance for given specifications.

Formula F is stuttering invariant if for any two similar behaviours (i.e., sequences of states), F holds in one iff it holds in the other. The definition is generalised to arbitrary expressions, and not just predicates.

definition *stutinv* :: $(\text{'a}, \text{'b}) \text{ formfun} \Rightarrow \text{bool}$
where *stutinv* $F \equiv \forall \sigma \tau. \sigma \approx \tau \longrightarrow (\sigma \models F) = (\tau \models F)$

The requirement for stuttering invariance is too strong for pre-formulas. For example, an action formula specifies a relation between the first two states of a behaviour, and will rarely be satisfied by a stuttering step. This is why pre-formulas are “protected” by (square or angle) brackets in TLA*:

the only place a pre-formula P can be used is inside an action: $\Box[P]-v$. To show that $\Box[P]-v$ is stuttering invariant, it must be shown that a slightly weaker predicate holds for P . For example, if P contains a term of the form $\Box\Box Q$, then it is not a well-formed pre-formula, thus $\Box[P]-v$ is not stuttering invariant. This weaker version of stuttering invariance has been named *near stuttering invariance*.

definition $nstutinv :: ('a, 'b) \text{ formfun} \Rightarrow \text{bool}$
where $nstutinv P \equiv \forall \sigma \tau. (\text{first } \sigma = \text{first } \tau) \wedge (\text{tail } \sigma) \approx (\text{tail } \tau) \longrightarrow (\sigma \models P) = (\tau \models P)$

syntax

$\text{-stutinv} :: \text{lift} \Rightarrow \text{bool} \ ((STUTINV \ -) \ [40] \ 40)$
 $\text{-nstutinv} :: \text{lift} \Rightarrow \text{bool} \ ((NSTUTINV \ -) \ [40] \ 40)$

translations

$\text{-stutinv} \equiv \text{CONST stutinv}$
 $\text{-nstutinv} \equiv \text{CONST nstutinv}$

Predicate $STUTINV F$ formalises stuttering invariance for formula F . That is if two sequences are similar $s \approx t$ (equal up to stuttering) then the validity of F under both s and t are equivalent. Predicate $NSTUTINV P$ should be read as *nearly stuttering invariant* – and is required for some stuttering invariance proofs.

lemma *stutinv-strictly-stronger*:

assumes $h: STUTINV F$ **shows** $NSTUTINV F$

unfolding $nstutinv\text{-}def$

proof (*clarify*)

fix $s \ t :: \text{nat} \Rightarrow 'a$

assume $a1: \text{first } s = \text{first } t$ **and** $a2: (\text{tail } s) \approx (\text{tail } t)$

have $s \approx t$

proof –

have $tg1: (\text{first } s) \## (\text{tail } s) = s$ **by** (*rule seq-app-first-tail*)

have $tg2: (\text{first } t) \## (\text{tail } t) = t$ **by** (*rule seq-app-first-tail*)

with $a1$ **have** $tg2': (\text{first } s) \## (\text{tail } t) = t$ **by** *simp*

from $a2$ **have** $(\text{first } s) \## (\text{tail } s) \approx (\text{first } s) \## (\text{tail } t)$ **by** (*rule app-seqsimilar*)

with $tg1 \ tg2'$ **show** *?thesis* **by** *simp*

qed

with h **show** $(s \models F) = (t \models F)$ **by** (*simp add: stutinv-def*)

qed

3.5.1 Properties of -stutinv

This subsection proves stuttering invariance, preservation of stuttering invariance and introduction of stuttering invariance for different formulas. First, state predicates are stuttering invariant.

theorem *stut-before*: $STUTINV \$F$

proof (*clarsimp simp: stutinv-def*)

```

fix  $s\ t :: 'a\ seq$ 
assume  $a1: s \approx t$ 
hence  $(first\ s) = (first\ t)$  by  $(rule\ sim-first)$ 
thus  $(s \models \$F) = (t \models \$F)$  by  $(simp\ add: before-def)$ 
qed

lemma  $nstut-after: NSTUTINV\ F\$$ 
proof  $(clarsimp\ simp: nstutinv-def)$ 
  fix  $s\ t :: 'a\ seq$ 
  assume  $a1: tail\ s \approx tail\ t$ 
  thus  $(s \models F\$) = (t \models F\$)$  by  $(simp\ add: after-def\ tail-sim-second)$ 
qed

```

The always operator preserves stuttering invariance.

```

theorem  $stut-always: assumes\ H: STUTINV\ F\ shows\ STUTINV\ \Box F$ 
proof  $(clarsimp\ simp: stutinv-def)$ 
  fix  $s\ t :: 'a\ seq$ 
  assume  $a2: s \approx t$ 
  show  $(s \models (\Box F)) = (t \models (\Box F))$ 
  proof
    assume  $a1: t \models \Box F$ 
    show  $s \models \Box F$ 
    proof  $(clarsimp\ simp: always-def)$ 
      fix  $n$ 
      from  $a2[THEN\ sim-step]$  obtain  $m$  where  $m: s \mid_s n \approx t \mid_s m$  by  $blast$ 
      from  $a1$  have  $(t \mid_s m) \models F$  by  $(simp\ add: always-def)$ 
      with  $H\ m$  show  $(s \mid_s n) \models F$  by  $(simp\ add: stutinv-def)$ 
    qed
  next
    assume  $a1: s \models (\Box F)$ 
    show  $t \models (\Box F)$ 
    proof  $(clarsimp\ simp: always-def)$ 
      fix  $n$ 
      from  $a2[THEN\ seqsim-sym, THEN\ sim-step]$  obtain  $m$  where  $m: t \mid_s n \approx$ 
 $s \mid_s m$  by  $blast$ 
      from  $a1$  have  $(s \mid_s m) \models F$  by  $(simp\ add: always-def)$ 
      with  $H\ m$  show  $(t \mid_s n) \models F$  by  $(simp\ add: stutinv-def)$ 
    qed
  qed
qed

```

Assuming that formula P is nearly stuttering invariant then $\Box[P]-v$ will be stuttering invariant.

```

lemma  $stut-action-lemma:$ 
  assumes  $H: NSTUTINV\ P$  and  $st: s \approx t$  and  $P: t \models \Box[P]-v$ 
  shows  $s \models \Box[P]-v$ 
proof  $(clarsimp\ simp: action-def)$ 
  fix  $n$ 
  assume  $\neg ((s \mid_s n) \models Unchanged\ v)$ 

```

hence $v: v(s(Suc\ n)) \neq v(s\ n)$
 by (simp add: unch-defs first-def second-def suffix-def)
 from st[THEN sim-step] obtain m where
 $a2': s \mid_s n \approx t \mid_s m$
 $\wedge (s \mid_s Suc\ n \approx t \mid_s Suc\ m \vee s \mid_s Suc\ n \approx t \mid_s m) \dots$
 hence $g1: (s \mid_s n \approx t \mid_s m)$ by simp
 hence $g1'': first(s \mid_s n) = first(t \mid_s m)$ by (simp add: sim-first)
 hence $g1': s\ n = t\ m$ by (simp add: suffix-def first-def)
 from $a2'$ have $g2: s \mid_s Suc\ n \approx t \mid_s Suc\ m \vee s \mid_s Suc\ n \approx t \mid_s m$ by simp
 from P have $a1': ((t \mid_s m) \models P) \vee ((t \mid_s m) \models Unchanged\ v)$ by (simp add:
 action-def)
 from $g2$ show $(s \mid_s n) \models P$
 proof
 assume $s \mid_s Suc\ n \approx t \mid_s m$
 hence $first(s \mid_s Suc\ n) = first(t \mid_s m)$ by (simp add: sim-first)
 hence $s(Suc\ n) = t\ m$ by (simp add: suffix-def first-def)
 with $g1'\ v$ show ?thesis by simp — by contradiction
 next
 assume $a3: s \mid_s Suc\ n \approx t \mid_s Suc\ m$
 hence $first(s \mid_s Suc\ n) = first(t \mid_s Suc\ m)$ by (simp add: sim-first)
 hence $a3': s(Suc\ n) = t(Suc\ m)$ by (simp add: suffix-def first-def)
 from $a1'$ show ?thesis
 proof
 assume $(t \mid_s m) \models Unchanged\ v$
 hence $v(t(Suc\ m)) = v(t\ m)$
 by (simp add: unch-defs first-def second-def suffix-def)
 with $g1'\ a3'\ v$ show ?thesis by simp — again, by contradiction
 next
 assume $a4: (t \mid_s m) \models P$
 from $a3$ have $tail(s \mid_s n) \approx tail(t \mid_s m)$ by (simp add: tail-def suffix-plus)
 with $H\ g1''\ a4$ show ?thesis by (auto simp: nstutinv-def)
 qed
 qed
 qed
 qed

theorem stut-action: assumes $H: NSTUTINV\ P$ shows $STUTINV\ \Box[P]-v$
proof (clarsimp simp: stutinv-def)
 fix s t :: 'a seq
 assume st: $s \approx t$
 show $(s \models \Box[P]-v) = (t \models \Box[P]-v)$
 proof
 assume $t \models \Box[P]-v$
 with H st show $s \models \Box[P]-v$ by (rule stut-action-lemma)
 next
 assume $s \models \Box[P]-v$
 with H st[THEN seqsim-sym] show $t \models \Box[P]-v$ by (rule stut-action-lemma)
 qed
 qed

The lemmas below shows that propositional and predicate operators preserve

stuttering invariance.

lemma *stut-and*: $\llbracket STUTINV F; STUTINV G \rrbracket \implies STUTINV (F \wedge G)$
by (*simp add: stutinv-def*)

lemma *stut-or*: $\llbracket STUTINV F; STUTINV G \rrbracket \implies STUTINV (F \vee G)$
by (*simp add: stutinv-def*)

lemma *stut-imp*: $\llbracket STUTINV F; STUTINV G \rrbracket \implies STUTINV (F \longrightarrow G)$
by (*simp add: stutinv-def*)

lemma *stut-eq*: $\llbracket STUTINV F; STUTINV G \rrbracket \implies STUTINV (F = G)$
by (*simp add: stutinv-def*)

lemma *stut-noteq*: $\llbracket STUTINV F; STUTINV G \rrbracket \implies STUTINV (F \neq G)$
by (*simp add: stutinv-def*)

lemma *stut-not*: $STUTINV F \implies STUTINV (\neg F)$
by (*simp add: stutinv-def*)

lemma *stut-all*: $(\bigwedge x. STUTINV (F x)) \implies STUTINV (\forall x. F x)$
by (*simp add: stutinv-def*)

lemma *stut-ex*: $(\bigwedge x. STUTINV (F x)) \implies STUTINV (\exists x. F x)$
by (*simp add: stutinv-def*)

lemma *stut-const*: $STUTINV \#c$
by (*simp add: stutinv-def*)

lemma *stut-fun1*: $STUTINV X \implies STUTINV (f <X>)$
by (*simp add: stutinv-def*)

lemma *stut-fun2*: $\llbracket STUTINV X; STUTINV Y \rrbracket \implies STUTINV (f <X, Y>)$
by (*simp add: stutinv-def*)

lemma *stut-fun3*: $\llbracket STUTINV X; STUTINV Y; STUTINV Z \rrbracket \implies STUTINV (f <X, Y, Z>)$
by (*simp add: stutinv-def*)

lemma *stut-fun4*: $\llbracket STUTINV X; STUTINV Y; STUTINV Z; STUTINV W \rrbracket \implies STUTINV (f <X, Y, Z, W>)$
by (*simp add: stutinv-def*)

lemma *stut-plus*: $\llbracket STUTINV x; STUTINV y \rrbracket \implies STUTINV (x + y)$
by (*simp add: stutinv-def*)

3.5.2 Properties of *-nstutinv*

This subsection shows analogous properties about near stuttering invariance.

If a formula F is stuttering invariant then $\circ F$ is nearly stuttering invariant.

lemma *nstut-nexts*: **assumes** $H: STUTINV F$ **shows** $NSTUTINV \circ F$
using H **by** (*simp add: stutinv-def nstutinv-def nexts-def*)

The lemmas below shows that propositional and predicate operators preserves near stuttering invariance.

lemma *nstut-and*: $\llbracket NSTUTINV F; NSTUTINV G \rrbracket \implies NSTUTINV (F \wedge G)$
by (*auto simp: nstutinv-def*)

lemma *nstut-or*: $\llbracket NSTUTINV F; NSTUTINV G \rrbracket \implies NSTUTINV (F \vee G)$
by (*auto simp: nstutinv-def*)

lemma *nstut-imp*: $\llbracket NSTUTINV F; NSTUTINV G \rrbracket \implies NSTUTINV (F \longrightarrow G)$
by (*auto simp: nstutinv-def*)

lemma *nstut-eq*: $\llbracket NSTUTINV F; NSTUTINV G \rrbracket \implies NSTUTINV (F = G)$
by (*force simp: nstutinv-def*)

lemma *nstut-not*: $NSTUTINV F \implies NSTUTINV (\neg F)$
by (*auto simp: nstutinv-def*)

lemma *nstut-noteq*: $\llbracket NSTUTINV F; NSTUTINV G \rrbracket \implies NSTUTINV (F \neq G)$
by (*simp add: nstut-eq nstut-not*)

lemma *nstut-all*: $(\bigwedge x. NSTUTINV (F x)) \implies NSTUTINV (\forall x. F x)$
by (*auto simp: nstutinv-def*)

lemma *nstut-ex*: $(\bigwedge x. NSTUTINV (F x)) \implies NSTUTINV (\exists x. F x)$
by (*auto simp: nstutinv-def*)

lemma *nstut-const*: $NSTUTINV \#c$
by (*auto simp: nstutinv-def*)

lemma *nstut-fun1*: $NSTUTINV X \implies NSTUTINV (f <X>)$
by (*force simp: nstutinv-def*)

lemma *nstut-fun2*: $\llbracket NSTUTINV X; NSTUTINV Y \rrbracket \implies NSTUTINV (f <X, Y>)$
by (*force simp: nstutinv-def*)

lemma *nstut-fun3*: $\llbracket NSTUTINV X; NSTUTINV Y; NSTUTINV Z \rrbracket \implies NSTUTINV (f <X, Y, Z>)$
by (*force simp: nstutinv-def*)

lemma *nstut-fun4*: $\llbracket NSTUTINV X; NSTUTINV Y; NSTUTINV Z; NSTUTINV W \rrbracket \implies NSTUTINV (f <X, Y, Z, W>)$
by (*force simp: nstutinv-def*)

lemma *nstut-plus*: $\llbracket NSTUTINV x; NSTUTINV y \rrbracket \implies NSTUTINV (x+y)$
by (*simp add: nstut-fun2*)

3.5.3 Abbreviations

We show the obvious fact that the same properties holds for abbreviated operators.

lemmas $nstut\text{-}before = stut\text{-}before[THEN\ stutinv\text{-}strictly\text{-}stronger]$

lemma $nstut\text{-}unch: NSTUTINV\ (Unchanged\ v)$

proof (*unfold unch-def*)

have $g1: NSTUTINV\ v\$$ **by** (*rule nstut-after*)

have $NSTUTINV\ \$v$ **by** (*rule stut-before[THEN stutinv-strictly-stronger]*)

with $g1$ **show** $NSTUTINV\ (v\$ = \$v)$ **by** (*rule nstut-eq*)

qed

Formulas $[P]\text{-}v$ are not TLA* formulas by themselves, but we need to reason about them when they appear wrapped inside $\Box[-]\text{-}v$. We only require that it preserves nearly stuttering invariance. Observe that $[P]\text{-}v$ trivially holds for a stuttering step, so it cannot be stuttering invariant.

lemma $nstut\text{-}actrans: NSTUTINV\ P \implies NSTUTINV\ [P]\text{-}v$

by (*simp add: actrans-def nstut-unch nstut-or*)

lemma $stut\text{-}eventually: STUTINV\ F \implies STUTINV\ \Diamond F$

by (*simp add: eventually-def stut-not stut-always*)

lemma $stut\text{-}leadsto: \llbracket STUTINV\ F; STUTINV\ G \rrbracket \implies STUTINV\ (F \rightsquigarrow G)$

by (*simp add: leadsto-def stut-always stut-eventually stut-imp*)

lemma $stut\text{-}angle\text{-}action: NSTUTINV\ P \implies STUTINV\ \Diamond \langle P \rangle\text{-}v$

by (*simp add: angle-action-def nstut-not stut-action stut-not*)

lemma $nstut\text{-}angle\text{-}actrans: NSTUTINV\ P \implies NSTUTINV\ \langle P \rangle\text{-}v$

by (*simp add: angle-actrans-def nstut-not nstut-actrans*)

lemmas $stutinvs = stut\text{-}before\ stut\text{-}always\ stut\text{-}action$

$stut\text{-}and\ stut\text{-}or\ stut\text{-}imp\ stut\text{-}eq\ stut\text{-}noteq\ stut\text{-}not$

$stut\text{-}all\ stut\text{-}ex\ stut\text{-}eventually\ stut\text{-}leadsto\ stut\text{-}angle\text{-}action\ stut\text{-}const$

$stut\text{-}fun1\ stut\text{-}fun2\ stut\text{-}fun3\ stut\text{-}fun4$

lemmas $nstutinvs = nstut\text{-}after\ nstut\text{-}nexts\ nstut\text{-}actrans$

$nstut\text{-}unch\ nstut\text{-}and\ nstut\text{-}or\ nstut\text{-}imp\ nstut\text{-}eq\ nstut\text{-}noteq\ nstut\text{-}not$

$nstut\text{-}all\ nstut\text{-}ex\ nstut\text{-}angle\text{-}actrans\ stutinv\text{-}strictly\text{-}stronger$

$nstut\text{-}fun1\ nstut\text{-}fun2\ nstut\text{-}fun3\ nstut\text{-}fun4\ stutinvs[THEN\ stutinv\text{-}strictly\text{-}stronger]$

lemmas $bothstutinvs = stutinvs\ nstutinvs$

end

4 Reasoning about PreFormulas

```
theory PreFormulas
imports Semantics
begin
```

Semantic separation of formulas and pre-formulas requires a deep embedding. We introduce a syntactically distinct notion of validity, written $|\sim A$, for pre-formulas. Although it is semantically identical to $\vdash A$, it helps users distinguish pre-formulas from formulas in TLA* proofs.

```
definition PreValid :: ('w::world) form  $\Rightarrow$  bool
where PreValid A  $\equiv \forall w. w \models A$ 
```

```
syntax
  -PreValid      :: lift  $\Rightarrow$  bool    ((| $\sim$  -) 5)
```

```
translations
  -PreValid  $\equiv$  CONST PreValid
```

```
lemma prefD[dest]:  $|\sim A \Longrightarrow w \models A$ 
by (simp add: PreValid-def)
```

```
lemma prefI[intro!]:  $(\bigwedge w. w \models A) \Longrightarrow |\sim A$ 
by (simp add: PreValid-def)
```

```
method-setup pref-unlift =  $\langle\langle$ 
  Scan.succeed (fn ctxt  $\Rightarrow$  SIMPLE-METHOD'
    (resolve-tac ctxt @ {thms prefI} THEN' rewrite-goal-tac ctxt @ {thms intensional-rews}))
 $\rangle\rangle$  int-unlift for PreFormulas
```

```
lemma prefeq-reflection: assumes P1:  $|\sim x=y$  shows  $(x \equiv y)$ 
using P1 by (intro eq-reflection) force
```

```
lemma pref-True[simp]:  $|\sim \# \text{True}$ 
by auto
```

```
lemma pref-eq:  $|\sim X = Y \Longrightarrow X = Y$ 
by (auto simp: prefeq-reflection)
```

```
lemma pref-iffI:
  assumes  $|\sim F \longrightarrow G$  and  $|\sim G \longrightarrow F$ 
  shows  $|\sim F = G$ 
  using assms by force
```

```
lemma pref-iffD1: assumes  $|\sim F = G$  shows  $|\sim F \longrightarrow G$ 
using assms by auto
```

```
lemma pref-iffD2: assumes  $|\sim F = G$  shows  $|\sim G \longrightarrow F$ 
using assms by auto
```

lemma *unl-pref-imp*:
assumes $|\sim F \longrightarrow G$ **shows** $\bigwedge w. w \models F \implies w \models G$
using *assms* **by** *auto*

lemma *pref-imp-trans*:
assumes $|\sim F \longrightarrow G$ **and** $|\sim G \longrightarrow H$
shows $|\sim F \longrightarrow H$
using *assms* **by** *force*

4.1 Lemmas about *Unchanged*

Many of the TLA* axioms only require a state function witness which leaves the state space unchanged. An obvious witness is the *id* function. The lemmas require that the given formula is invariant under stuttering.

lemma *pre-id-unch*: **assumes** *h*: *stutinv* *F*
shows $|\sim F \wedge \text{Unchanged } id \longrightarrow \bigcirc F$
proof (*pref-unlift*, *clarify*)
fix *s*
assume *a1*: $s \models F$ **and** *a2*: $s \models \text{Unchanged } id$
from *a2* **have** $(id \text{ (second } s) = id \text{ (first } s))$ **by** (*simp* *add*: *unch-defs*)
hence $s \approx (tail \ s)$ **by** (*simp* *add*: *addfirststut*)
with *h* *a1* **have** $(tail \ s) \models F$ **by** (*simp* *add*: *stutinv-def*)
thus $s \models \bigcirc F$ **by** (*unfold* *nexts-def*)
qed

lemma *pre-ex-unch*:
assumes *h*: *stutinv* *F*
shows $\exists (v::'a::world \Rightarrow 'a). (|\sim F \wedge \text{Unchanged } v \longrightarrow \bigcirc F)$
using *pre-id-unch* [*OF* *h*] **by** *blast*

lemma *unch-pair*: $|\sim \text{Unchanged } (x, y) = (\text{Unchanged } x \wedge \text{Unchanged } y)$
by (*auto* *simp*: *unch-def* *before-def* *after-def* *nexts-def*)

lemmas *unch-eq1* = *unch-pair* [*THEN* *pref-eq*]
lemmas *unch-eq2* = *unch-pair* [*THEN* *prefeq-reflection*]

lemma *angle-actrans-sem*: $|\sim \langle F \rangle \cdot v = (F \wedge v\$ \neq \$v)$
by (*auto* *simp*: *angle-actrans-def* *actrans-def* *unch-def*)

lemmas *angle-actrans-sem-eq* = *angle-actrans-sem* [*THEN* *pref-eq*]

4.2 Lemmas about *after*

lemma *after-const*: $|\sim (\#c)' = \#c$
by (*auto* *simp*: *nexts-def* *before-def* *after-def*)

lemma *after-fun1*: $|\sim f \langle x \rangle' = f \langle x' \rangle$
by (*auto* *simp*: *nexts-def* *before-def* *after-def*)

lemma *after-fun2*: $|\sim f\langle x,y\rangle' = f\langle x',y'\rangle$
by (*auto simp: nexts-def before-def after-def*)

lemma *after-fun3*: $|\sim f\langle x,y,z\rangle' = f\langle x',y',z'\rangle$
by (*auto simp: nexts-def before-def after-def*)

lemma *after-fun4*: $|\sim f\langle x,y,z,zz\rangle' = f\langle x',y',z',zz'\rangle$
by (*auto simp: nexts-def before-def after-def*)

lemma *after-forall*: $|\sim (\forall x. P\ x)' = (\forall x. (P\ x))'$
by (*auto simp: nexts-def before-def after-def*)

lemma *after-exists*: $|\sim (\exists x. P\ x)' = (\exists x. (P\ x))'$
by (*auto simp: nexts-def before-def after-def*)

lemma *after-exists1*: $|\sim (\exists! x. P\ x)' = (\exists! x. (P\ x))'$
by (*auto simp: nexts-def before-def after-def*)

lemmas *all-after* = *after-const after-fun1 after-fun2 after-fun3 after-fun4*
after-forall after-exists after-exists1

lemmas *all-after-unl* = *all-after[THEN prefD]*
lemmas *all-after-eq* = *all-after[THEN prefreq-reflection]*

4.3 Lemmas about *before*

lemma *before-const*: $\vdash \$(\#c) = \#c$
by (*auto simp: before-def*)

lemma *before-fun1*: $\vdash \$(f\langle x\rangle) = f\langle \$x\rangle$
by (*auto simp: before-def*)

lemma *before-fun2*: $\vdash \$(f\langle x,y\rangle) = f\langle \$x,\$y\rangle$
by (*auto simp: before-def*)

lemma *before-fun3*: $\vdash \$(f\langle x,y,z\rangle) = f\langle \$x,\$y,\$z\rangle$
by (*auto simp: before-def*)

lemma *before-fun4*: $\vdash \$(f\langle x,y,z,zz\rangle) = f\langle \$x,\$y,\$z,\$zz\rangle$
by (*auto simp: before-def*)

lemma *before-forall*: $\vdash \$(\forall x. P\ x) = (\forall x. \$(P\ x))$
by (*auto simp: before-def*)

lemma *before-exists*: $\vdash \$(\exists x. P\ x) = (\exists x. \$(P\ x))$
by (*auto simp: before-def*)

lemma *before-exists1*: $\vdash \$(\exists! x. P\ x) = (\exists! x. \$(P\ x))$

by (*auto simp: before-def*)

lemmas *all-before* = *before-const before-fun1 before-fun2 before-fun3 before-fun4*
before-forall before-exists before-exists1

lemmas *all-before-unl* = *all-before[THEN intD]*
lemmas *all-before-eq* = *all-before[THEN inteq-reflection]*

4.4 Some general properties

lemma *angle-actrans-conj*: $|\sim (\langle F \wedge G \rangle - v) = (\langle F \rangle - v \wedge \langle G \rangle - v)$
by (*auto simp: angle-actrans-def actrans-def unch-def*)

lemma *angle-actrans-disj*: $|\sim (\langle F \vee G \rangle - v) = (\langle F \rangle - v \vee \langle G \rangle - v)$
by (*auto simp: angle-actrans-def actrans-def unch-def*)

lemma *int-eq-true*: $\vdash P \implies \vdash P = \#True$
by *auto*

lemma *pref-eq-true*: $|\sim P \implies |\sim P = \#True$
by *auto*

4.5 Unlifting attributes and methods

Attribute which unlifts an intensional formula or preformula

```

ML <<
  fun unl-rewr ctxt thm =
    let
      val unl = (thm RS @{\thm intD}) handle THM - => (thm RS @{\thm prefD})
                  handle THM - => thm
      val rewr = rewrite-rule ctxt @{\thms intensional-rews}
    in
      unl |> rewr
    end;
  >>
attribute-setup unlifted = <<
  Scan.succeed (Thm.rule-attribute [] (unl-rewr o Context.proof-of))
  >> unlift intensional formulas

attribute-setup unlift-rule = <<
  Scan.succeed
    (Thm.rule-attribute []
     (Context.proof-of #> (fn ctxt => Object-Logic.rulify ctxt o unl-rewr ctxt)))
  >> unlift and rulify intensional formulas

```

Attribute which turns an intensional formula or preformula into a rewrite rule. Formulas F that are not equalities are turned into $F \equiv \#True$.

ML <<

```

fun int-rewr thm =
  (thm RS @ {thm inteq-reflection})
  handle THM - => (thm RS @ {thm prefreq-reflection})
  handle THM - => ((thm RS @ {thm int-eq-true}) RS @ {thm inteq-reflection})
  handle THM - => ((thm RS @ {thm pref-eq-true}) RS @ {thm prefreq-reflection});
>>

attribute-setup simp-unl = <<
  Attrib.add-del
  (Thm.declaration-attribute
   (fn th => Simplifier.map-ss (Simplifier.add-simp (int-rewr th))))
  (K (NONE, NONE)) (* note only adding -- removing is ignored *)
>> add thm unlifted from rewrites from intensional formulas or preformulas

attribute-setup int-rewrite = << Scan.succeed (Thm.rule-attribute [] (fn - =>
int-rewr)) >>
  produce rewrites from intensional formulas or preformulas

end

```

5 A Proof System for TLA*

```

theory Rules
imports PreFormulas
begin

```

We prove soundness of the proof system of TLA*, from which the system verification rules from Lamport's original TLA paper will be derived. This theory is still state-independent, thus state-dependent enableness proofs, required for proofs based on fairness assumptions, and flexible quantification, are not discussed here.

The TLA* paper [8] suggest both a *heterogeneous* and a *homogenous* proof system for TLA*. The homogeneous version eliminates the auxiliary definitions from the *Preformula* theory, creating a single provability relation. This axiomatisation is based on the fact that a pre-formula can only be used via the *sq* rule. In a nutshell, *sq* is applied to *pax1* to *pax5*, and *nex*, *pre* and *pmp* are changed to accommodate this. It is argued that while the heterogeneous version is easier to understand, the homogenous system avoids the introduction of an auxiliary provability relation. However, the price to pay is that reasoning about pre-formulas (in particular, actions) has to be performed in the scope of temporal operators such as $\Box[P]-v$, which is notationally quite heavy. We prefer here the heterogeneous approach, which exposes the pre-formulas and lets us use standard HOL rules more directly.

5.1 The Basic Axioms

```

theorem fmp: assumes  $\vdash F$  and  $\vdash F \longrightarrow G$  shows  $\vdash G$ 

```



```

using assms[unlifted] by auto

theorem pmp: assumes  $|\sim F$  and  $|\sim F \longrightarrow G$  shows  $|\sim G$ 
  using assms[unlifted] by auto

theorem sq: assumes  $|\sim P$  shows  $\vdash \Box[P]-v$ 
  using assms[unlifted] by (auto simp: action-def)

theorem pre: assumes  $\vdash F$  shows  $|\sim F$ 
  using assms by auto

theorem nex: assumes  $h1: \vdash F$  shows  $|\sim \bigcirc F$ 
  using assms by (auto simp: nexts-def)

theorem ax0:  $\vdash \# \text{ True}$ 
  by auto

theorem ax1:  $\vdash \Box F \longrightarrow F$ 
proof (clarsimp simp: always-def)
  fix w
  assume  $\forall n. (w \mid_s n) \models F$ 
  hence  $(w \mid_s 0) \models F$  ..
  thus  $w \models F$  by simp
qed

theorem ax2:  $\vdash \Box F \longrightarrow \Box[\Box F]-v$ 
  by (auto simp: always-def action-def suffix-plus)

theorem ax3:
  assumes  $H: |\sim F \wedge \text{Unchanged } v \longrightarrow \bigcirc F$ 
  shows  $\vdash \Box[F \longrightarrow \bigcirc F]-v \longrightarrow (F \longrightarrow \Box F)$ 
proof (clarsimp simp: always-def)
  fix w n
  assume  $a1: w \models \Box[F \longrightarrow \bigcirc F]-v$  and  $a2: w \models F$ 
  show  $(w \mid_s n) \models F$ 
  proof (induct n)
    from a2 show  $(w \mid_s 0) \models F$  by simp
  next
    fix m
    assume  $a3: (w \mid_s m) \models F$ 
    with a1 H[unlifted] show  $(w \mid_s (\text{Suc } m)) \models F$ 
      by (auto simp: nexts-def action-def tail-suffix-suc)
  qed
qed

theorem ax4:  $\vdash \Box[P \longrightarrow Q]-v \longrightarrow (\Box[P]-v \longrightarrow \Box[Q]-v)$ 
  by (force simp: action-def)

theorem ax5:  $\vdash \Box[v' \neq \$v]-v$ 

```

```

    by (auto simp: action-def unch-def)

theorem pax0:  $|\sim \# \text{True}$ 
  by auto

theorem pax1 [simp-unl]:  $|\sim (\bigcirc \neg F) = (\neg \bigcirc F)$ 
  by (auto simp: nexts-def)

theorem pax2:  $|\sim \bigcirc (F \longrightarrow G) \longrightarrow (\bigcirc F \longrightarrow \bigcirc G)$ 
  by (auto simp: nexts-def)

theorem pax3:  $|\sim \Box F \longrightarrow \bigcirc \Box F$ 
  by (auto simp: always-def nexts-def tail-def suffix-plus)

theorem pax4:  $|\sim \Box [P]\text{-}v = ([P]\text{-}v \wedge \bigcirc \Box [P]\text{-}v)$ 
proof (auto)
  fix w
  assume w  $\models \Box [P]\text{-}v$ 
  from this[unfolded action-def] have  $((w \mid_s 0) \models P) \vee ((w \mid_s 0) \models \text{Unchanged } v) \dots$ 
  thus w  $\models [P]\text{-}v$  by (simp add: actrans-def)
next
  fix w
  assume w  $\models \Box [P]\text{-}v$ 
  thus w  $\models \bigcirc \Box [P]\text{-}v$  by (auto simp: nexts-def action-def tail-def suffix-plus)
next
  fix w
  assume 1: w  $\models [P]\text{-}v$  and 2: w  $\models \bigcirc \Box [P]\text{-}v$ 
  show w  $\models \Box [P]\text{-}v$ 
  proof (auto simp: action-def)
    fix i
    assume 3:  $\neg ((w \mid_s i) \models \text{Unchanged } v)$ 
    show  $(w \mid_s i) \models P$ 
    proof (cases i)
      assume i = 0
      with 1 3 show ?thesis by (simp add: actrans-def)
    next
      fix j
      assume i = Suc j
      with 2 3 show ?thesis by (auto simp: nexts-def action-def tail-def suffix-plus)
    qed
  qed
qed

```

theorem pax5: $|\sim \bigcirc \Box F \longrightarrow \Box [\bigcirc F]\text{-}v$
 by (auto simp: nexts-def always-def action-def tail-def suffix-plus)

Theorem to show that universal quantification distributes over the always operator. Since the TLA* paper only addresses the propositional fragment,

this theorem does not appear there.

theorem *allT*: $\vdash (\forall x. \Box(F\ x)) = (\Box(\forall x. F\ x))$
by (*auto simp: always-def*)

theorem *allActT*: $\vdash (\forall x. \Box[F\ x]\text{-}v) = (\Box[(\forall x. F\ x)]\text{-}v)$
by (*force simp: action-def*)

5.2 Derived Theorems

This section includes some derived theorems based on the axioms, taken from the TLA* paper [8]. We mimic the proofs given there and avoid semantic reasoning whenever possible.

The *alw* theorem of [8] states that if F holds in all worlds then it always holds, i.e. $F \models \Box F$. However, the derivation of this theorem (using the proof rules above) relies on access of the set of free variables (FV), which is not available in a shallow encoding.

However, we can prove a similar rule *alw2* using an additional hypothesis $|\sim F \wedge \text{Unchanged } v \longrightarrow \bigcirc F$.

theorem *alw2*:
assumes $h1: \vdash F$ **and** $h2: |\sim F \wedge \text{Unchanged } v \longrightarrow \bigcirc F$
shows $\vdash \Box F$
proof –
from $h1$ **have** $g2: |\sim \bigcirc F$ **by** (*rule nex*)
hence $g3: |\sim F \longrightarrow \bigcirc F$ **by** *auto*
hence $g4: \vdash \Box[(F \longrightarrow \bigcirc F)]\text{-}v$ **by** (*rule sq*)
from $h2$ **have** $\vdash \Box[(F \longrightarrow \bigcirc F)]\text{-}v \longrightarrow F \longrightarrow \Box F$ **by** (*rule ax3*)
with $g4$ [*unlifted*] **have** $g5: \vdash F \longrightarrow \Box F$ **by** *auto*
with $h1$ [*unlifted*] **show** *?thesis* **by** *auto*
qed

Similar theorem, assuming that F is stuttering invariant.

theorem *alw3*:
assumes $h1: \vdash F$ **and** $h2: \text{stutinv } F$
shows $\vdash \Box F$
proof –
from $h2$ **have** $|\sim F \wedge \text{Unchanged id} \longrightarrow \bigcirc F$ **by** (*rule pre-id-unch*)
with $h1$ **show** *?thesis* **by** (*rule alw2*)
qed

In a deep embedding, we could prove that all (proper) TLA* formulas are stuttering invariant and then get rid of the second hypothesis of rule *alw3*. In fact, the rule is even true for pre-formulas, as shown by the following rule, whose proof relies on semantical reasoning.

theorem *alw*: **assumes** $H1: \vdash F$ **shows** $\vdash \Box F$
using $H1$ **by** (*auto simp: always-def*)

theorem *alw-valid-iff-valid*: $(\vdash \Box F) = (\vdash F)$

proof

assume $\vdash \Box F$

from *this ax1* **show** $\vdash F$ **by** (*rule fmp*)

qed (*rule alw*)

[8] proves the following theorem using the deduction theorem of TLA*: $(\vdash F \implies \vdash G) \implies \vdash \Box F \longrightarrow G$, which can only be proved by induction on the formula structure, in a deep embedding.

theorem *T1[simp-unl]*: $\vdash \Box \Box F = \Box F$

proof (*auto simp: always-def suffix-plus*)

fix $w \ n$

assume $\forall m \ k. (w \mid_s (k+m)) \models F$

hence $(w \mid_s (n+0)) \models F$ **by** *blast*

thus $(w \mid_s n) \models F$ **by** *simp*

qed

theorem *T2[simp-unl]*: $\vdash \Box \Box [P]-v = \Box [P]-v$

proof –

have $1: \Box [P]-v \longrightarrow \Box \Box [P]-v$ **using** *pax4* **by** *force*

hence $\vdash \Box [\Box [P]-v \longrightarrow \Box \Box [P]-v]$ **by** (*rule sq*)

moreover

have $\vdash \Box [\Box [P]-v \longrightarrow \Box \Box [P]-v] \longrightarrow \Box [P]-v \longrightarrow \Box \Box [P]-v$

by (*rule ax3*) (*auto elim: 1[unlift-rule]*)

moreover

have $\vdash \Box \Box [P]-v \longrightarrow \Box [P]-v$ **by** (*rule ax1*)

ultimately show *?thesis* **by** *force*

qed

theorem *T3[simp-unl]*: $\vdash \Box [[P]-v]-v = \Box [P]-v$

proof –

have $\Box P \longrightarrow [P]-v$ **by** (*auto simp: actrans-def*)

hence $\vdash \Box [(P \longrightarrow [P]-v)]$ **by** (*rule sq*)

with *ax4* **have** $\vdash \Box [P]-v \longrightarrow \Box [[P]-v]$ **by** *force*

moreover

have $\Box [P]-v \longrightarrow v' \neq v \longrightarrow P$ **by** (*auto simp: unch-def actrans-def*)

hence $\vdash \Box [\Box [P]-v \longrightarrow v' \neq v \longrightarrow P]$ **by** (*rule sq*)

with *ax5* **have** $\vdash \Box [[P]-v]-v \longrightarrow \Box [P]-v$ **by** (*force intro: ax4[unlift-rule]*)

ultimately show *?thesis* **by** *force*

qed

theorem *M2*:

assumes $h: \Box F \longrightarrow G$

shows $\vdash \Box [F]-v \longrightarrow \Box [G]-v$

using *sq[OF h]* *ax4* **by** *force*

theorem *N1*:

assumes $h: \vdash F \longrightarrow G$

shows $\vdash \Box F \longrightarrow \Box G$

by (rule pmp[OF nex[OF h] pax2])

theorem $T4: \vdash \Box[P]-v \longrightarrow \Box[[P]-v]-w$

proof –

have $\vdash \Box\Box[P]-v \longrightarrow \Box[\Box\Box[P]-v]-w$ by (rule ax2)

moreover

from pax4 have $|\sim \Box\Box[P]-v \longrightarrow [P]-v$ unfolding $T2[int-rewrite]$ by force

hence $\vdash \Box[\Box\Box[P]-v]-w \longrightarrow \Box[[P]-v]-w$ by (rule M2)

ultimately show ?thesis unfolding $T2[int-rewrite]$ by (rule lift-imp-trans)

qed

theorem $T5: \vdash \Box[[P]-w]-v \longrightarrow \Box[[P]-v]-w$

proof –

have $|\sim [[P]-w]-v \longrightarrow [[P]-v]-w$ by (auto simp: actrans-def)

hence $\vdash \Box[[P]-w]-v \longrightarrow \Box[[P]-v]-w$ by (rule M2)

with $T4$ show ?thesis unfolding $T3[int-rewrite]$ by (rule lift-imp-trans)

qed

theorem $T6: \vdash \Box F \longrightarrow \Box[\Box F]-v$

proof –

from ax1 have $|\sim \Box F \longrightarrow F$ by (rule nex)

with pax2 have $|\sim \Box\Box F \longrightarrow \Box F$ by force

with pax3 have $|\sim \Box F \longrightarrow \Box F$ by (rule pref-imp-trans)

hence $\vdash \Box[\Box F]-v \longrightarrow \Box[\Box F]-v$ by (rule M2)

with ax2 show ?thesis by (rule lift-imp-trans)

qed

theorem $T7:$

assumes $h: |\sim F \wedge \text{Unchanged } v \longrightarrow \Box F$

shows $|\sim (F \wedge \Box F) = \Box F$

proof –

have $\vdash \Box[\Box F \longrightarrow F \longrightarrow \Box F]-v$ by (rule sq) auto

with ax4 have $\vdash \Box[\Box F]-v \longrightarrow \Box[(F \longrightarrow \Box F)]-v$ by force

with ax3[OF h, unlifted] have $\vdash \Box[\Box F]-v \longrightarrow (F \longrightarrow \Box F)$ by force

with pax5 have $|\sim F \wedge \Box F \longrightarrow \Box F$ by force

with ax1[of TEMP F, unlifted] pax3[of TEMP F, unlifted] show ?thesis by force

qed

theorem $T8: |\sim \Box(F \wedge G) = (\Box F \wedge \Box G)$

proof –

have $|\sim \Box(F \wedge G) \longrightarrow \Box F$ by (rule N1) auto

moreover

have $|\sim \Box(F \wedge G) \longrightarrow \Box G$ by (rule N1) auto

moreover

have $\vdash F \longrightarrow G \longrightarrow F \wedge G$ by auto

from nex[OF this] have $|\sim \Box F \longrightarrow \Box G \longrightarrow \Box(F \wedge G)$

by (force intro: pax2[unlift-rule])

ultimately show ?thesis by force

qed

lemma *T9*: $|\sim \Box[A]\text{-}v \longrightarrow [A]\text{-}v$

using *pax4* **by** *force*

theorem *H1*:

assumes $h1: \vdash \Box[P]\text{-}v$ **and** $h2: \vdash \Box[P \longrightarrow Q]\text{-}v$

shows $\vdash \Box[Q]\text{-}v$

using *assms ax4[unlifted]* **by** *force*

theorem *H2*: assumes $h1: \vdash F$ shows $\vdash \Box[F]\text{-}v$

using *h1* **by** (*blast dest: pre sq*)

theorem *H3*:

assumes $h1: \vdash \Box[P \longrightarrow Q]\text{-}v$ **and** $h2: \vdash \Box[Q \longrightarrow R]\text{-}v$

shows $\vdash \Box[P \longrightarrow R]\text{-}v$

proof –

have $|\sim (P \longrightarrow Q) \longrightarrow (Q \longrightarrow R) \longrightarrow (P \longrightarrow R)$ **by** *auto*

hence $\vdash \Box[(P \longrightarrow Q) \longrightarrow (Q \longrightarrow R) \longrightarrow (P \longrightarrow R)]\text{-}v$ **by** (*rule sq*)

with *h1* have $\vdash \Box[(Q \longrightarrow R) \longrightarrow (P \longrightarrow R)]\text{-}v$ **by** (*rule H1*)

with *h2* **show** *?thesis* **by** (*rule H1*)

qed

theorem *H4*: $\vdash \Box[[P]\text{-}v \longrightarrow P]\text{-}v$

proof –

have $|\sim v' \neq \$v \longrightarrow ([P]\text{-}v \longrightarrow P)$ **by** (*auto simp: unch-def actrans-def*)

hence $\vdash \Box[v' \neq \$v \longrightarrow ([P]\text{-}v \longrightarrow P)]\text{-}v$ **by** (*rule sq*)

with *ax5* **show** *?thesis* **by** (*rule H1*)

qed

theorem *H5*: $\vdash \Box[\Box F \longrightarrow \bigcirc \Box F]\text{-}v$

by (*rule pax3[THEN sq]*)

5.3 Some other useful derived theorems

theorem *P1*: $|\sim \Box F \longrightarrow \bigcirc F$

proof –

have $|\sim \bigcirc \Box F \longrightarrow \bigcirc F$ **by** (*rule N1[OF ax1]*)

with *pax3* **show** *?thesis* **by** (*rule pref-imp-trans*)

qed

theorem *P2*: $|\sim \Box F \longrightarrow F \wedge \bigcirc F$

using *ax1[of F]* *P1[of F]* **by** *force*

theorem *P4*: $\vdash \Box F \longrightarrow \Box[F]\text{-}v$

proof –

have $\vdash \Box[\Box F]\text{-}v \longrightarrow \Box[F]\text{-}v$ **by** (*rule M2[OF pre[OF ax1]]*)

with *ax2* **show** *?thesis* **by** (*rule lift-imp-trans*)

qed

theorem P5: $\vdash \Box[P]-v \longrightarrow \Box[\Box[P]-v]-w$
proof –
 have $\vdash \Box\Box[P]-v \longrightarrow \Box[\Box[P]-v]-w$ **by** (rule P4)
 thus ?thesis **by** (unfold T2[int-rewrite])
qed

theorem M0: $\vdash \Box F \longrightarrow \Box[F \longrightarrow \circ F]-v$
proof –
 from P1 have $\mid\sim \Box F \longrightarrow F \longrightarrow \circ F$ **by** force
 hence $\vdash \Box[\Box F]-v \longrightarrow \Box[F \longrightarrow \circ F]-v$ **by** (rule M2)
 with ax2 show ?thesis **by** (rule lift-imp-trans)
qed

theorem M1: $\vdash \Box F \longrightarrow \Box[F \wedge \circ F]-v$
proof –
 have $\mid\sim \Box F \longrightarrow F \wedge \circ F$ **by** (rule P2)
 hence $\vdash \Box[\Box F]-v \longrightarrow \Box[F \wedge \circ F]-v$ **by** (rule M2)
 with ax2 show ?thesis **by** (rule lift-imp-trans)
qed

theorem M3: assumes $h: \vdash F$ shows $\vdash \Box[\circ F]-v$
 using alw[OF h] T6 **by** (rule fmp)

lemma M4: $\vdash \Box[\circ(F \wedge G) = (\circ F \wedge \circ G)]-v$
by (rule sq[OF T8])

theorem M5: $\vdash \Box[\Box[P]-v \longrightarrow \circ\Box[P]-v]-w$
proof (rule sq)
 show $\mid\sim \Box[P]-v \longrightarrow \circ\Box[P]-v$ **by** (auto simp: pax4[unlifted])
qed

theorem M6: $\vdash \Box[F \wedge G]-v \longrightarrow \Box[F]-v \wedge \Box[G]-v$
proof –
 have $\vdash \Box[F \wedge G]-v \longrightarrow \Box[F]-v$ **by** (rule M2) auto
 moreover
 have $\vdash \Box[F \wedge G]-v \longrightarrow \Box[G]-v$ **by** (rule M2) auto
 ultimately show ?thesis **by** force
qed

theorem M7: $\vdash \Box[F]-v \wedge \Box[G]-v \longrightarrow \Box[F \wedge G]-v$
proof –
 have $\mid\sim F \longrightarrow G \longrightarrow F \wedge G$ **by** auto
 hence $\vdash \Box[F]-v \longrightarrow \Box[G \longrightarrow F \wedge G]-v$ **by** (rule M2)
 with ax4 show ?thesis **by** force
qed

theorem M8: $\vdash \Box[F \wedge G]-v = (\Box[F]-v \wedge \Box[G]-v)$
by (rule int-iffI[OF M6 M7])

theorem M9: $|\sim \Box F \longrightarrow F \wedge \bigcirc \Box F$
 using *pre*[*OF ax1*[*of F*]] *par3*[*of F*] **by** *force*

theorem M10:
 assumes *h*: $|\sim F \wedge \text{Unchanged } v \longrightarrow \bigcirc F$
 shows $|\sim F \wedge \bigcirc \Box F \longrightarrow \Box F$
 using *T7*[*OF h*] **by** *auto*

theorem M11:
 assumes *h*: $|\sim [A]\text{-}f \longrightarrow [B]\text{-}g$
 shows $\vdash \Box[A]\text{-}f \longrightarrow \Box[B]\text{-}g$
proof –
 from *h* have $\vdash \Box[[A]\text{-}f]\text{-}g \longrightarrow \Box[[B]\text{-}g]\text{-}g$ **by** (*rule M2*)
 with *T4* show *?thesis* **by** *force*
qed

theorem M12: $\vdash (\Box[A]\text{-}f \wedge \Box[B]\text{-}g) = \Box[[A]\text{-}f \wedge [B]\text{-}g]\text{-}(f,g)$
proof –
 have $\vdash \Box[A]\text{-}f \wedge \Box[B]\text{-}g \longrightarrow \Box[[A]\text{-}f \wedge [B]\text{-}g]\text{-}(f,g)$
 by (*auto simp: M8[int-rewrite] elim: T4[unlift-rule]*)
 moreover
 have $|\sim [[A]\text{-}f \wedge [B]\text{-}g]\text{-}(f,g) \longrightarrow [A]\text{-}f$
 by (*auto simp: actrans-def unch-def all-before-eq all-after-eq*)
 hence $\vdash \Box[[A]\text{-}f \wedge [B]\text{-}g]\text{-}(f,g) \longrightarrow \Box[A]\text{-}f$ **by** (*rule M11*)
 moreover
 have $|\sim [[A]\text{-}f \wedge [B]\text{-}g]\text{-}(f,g) \longrightarrow [B]\text{-}g$
 by (*auto simp: actrans-def unch-def all-before-eq all-after-eq*)
 hence $\vdash \Box[[A]\text{-}f \wedge [B]\text{-}g]\text{-}(f,g) \longrightarrow \Box[B]\text{-}g$
 by (*rule M11*)
 ultimately show *?thesis* **by** *force*
qed

We now derive Lamport's 6 simple temporal logic rules (STL1)-(STL6) [5].
 Firstly, STL1 is the same as $\vdash ?F \Longrightarrow \vdash \Box ?F$ derived above.

lemmas STL1 = *alw*

STL2 and STL3 have also already been derived.

lemmas STL2 = *ax1*

lemmas STL3 = *T1*

As with the derivation of $\vdash ?F \Longrightarrow \vdash \Box ?F$, a purely syntactic derivation of (STL4) relies on an additional argument – either using *Unchanged* or *STUTINV*.

theorem STL4-2:
 assumes *h1*: $\vdash F \longrightarrow G$ and *h2*: $|\sim G \wedge \text{Unchanged } v \longrightarrow \bigcirc G$
 shows $\vdash \Box F \longrightarrow \Box G$
proof –

from $ax1[of\ F]\ h1$ **have** $\vdash \Box F \longrightarrow G$ **by** (*rule lift-imp-trans*)
moreover
from $h1$ **have** $|\sim \circ F \longrightarrow \circ G$ **by** (*rule N1*)
hence $|\sim \circ F \longrightarrow G \longrightarrow \circ G$ **by** *auto*
hence $\vdash \Box[\circ F]-v \longrightarrow \Box[G \longrightarrow \circ G]-v$ **by** (*rule M2*)
with $T6$ **have** $\vdash \Box F \longrightarrow \Box[G \longrightarrow \circ G]-v$ **by** (*rule lift-imp-trans*)
moreover
from $h2$ **have** $\vdash \Box[G \longrightarrow \circ G]-v \longrightarrow G \longrightarrow \Box G$ **by** (*rule ax3*)
ultimately
show *?thesis* **by** *force*
qed

lemma $STL4-3$:
assumes $h1: \vdash F \longrightarrow G$ **and** $h2: STUTINV\ G$
shows $\vdash \Box F \longrightarrow \Box G$
using $h1\ h2[THEN\ pre-id-unch]$ **by** (*rule STL4-2*)

Of course, the original rule can be derived semantically

lemma $STL4$: **assumes** $h: \vdash F \longrightarrow G$ **shows** $\vdash \Box F \longrightarrow \Box G$
using h **by** (*force simp: always-def*)

Dual rule for \Diamond

lemma $STL4-eve$: **assumes** $h: \vdash F \longrightarrow G$ **shows** $\vdash \Diamond F \longrightarrow \Diamond G$
using h **by** (*force simp: eventually-defs*)

Similarly, a purely syntactic derivation of (STL5) requires extra hypotheses.

theorem $STL5-2$:
assumes $h1: |\sim F \wedge Unchanged\ f \longrightarrow \circ F$
and $h2: |\sim G \wedge Unchanged\ g \longrightarrow \circ G$
shows $\vdash \Box(F \wedge G) = (\Box F \wedge \Box G)$

proof (*rule int-iffI*)

have $\vdash F \wedge G \longrightarrow F$ **by** *auto*
from *this* $h1$ **have** $\vdash \Box(F \wedge G) \longrightarrow \Box F$ **by** (*rule STL4-2*)
moreover
have $\vdash F \wedge G \longrightarrow G$ **by** *auto*
from *this* $h2$ **have** $\vdash \Box(F \wedge G) \longrightarrow \Box G$ **by** (*rule STL4-2*)
ultimately show $\vdash \Box(F \wedge G) \longrightarrow \Box F \wedge \Box G$ **by** *force*

next

have $|\sim Unchanged\ (f,g) \longrightarrow Unchanged\ f \wedge Unchanged\ g$ **by** (*auto simp: unch-defs*)

with $h1[unlifted]\ h2[unlifted]\ T8[of\ F\ G,\ unlifted]$

have $h3: |\sim (F \wedge G) \wedge Unchanged\ (f,g) \longrightarrow \circ(F \wedge G)$ **by** *force*

from $ax1[of\ F]\ ax1[of\ G]$ **have** $\vdash \Box F \wedge \Box G \longrightarrow F \wedge G$ **by** *force*

moreover

from $ax2[of\ F]\ ax2[of\ G]$ **have** $\vdash \Box F \wedge \Box G \longrightarrow \Box[\Box F]-(f,g) \wedge \Box[\Box G]-(f,g)$

by *force*

with $M8$ **have** $\vdash \Box F \wedge \Box G \longrightarrow \Box[\Box F \wedge \Box G]-(f,g)$ **by** *force*

moreover

from $P1[of\ F]\ P1[of\ G]$ **have** $|\sim \Box F \wedge \Box G \longrightarrow F \wedge G \longrightarrow \circ(F \wedge G)$

unfolding $T8[*int-rewrite*]$ **by force**
hence $\vdash \Box[\Box F \wedge \Box G]-(f,g) \longrightarrow \Box[F \wedge G \longrightarrow \Box(F \wedge G)]-(f,g)$ **by** (*rule M2*)
from this ax3[*OF h3*] **have** $\vdash \Box[\Box F \wedge \Box G]-(f,g) \longrightarrow F \wedge G \longrightarrow \Box(F \wedge G)$
by (*rule lift-imp-trans*)
ultimately show $\vdash \Box F \wedge \Box G \longrightarrow \Box(F \wedge G)$ **by force**
qed

theorem *STL5-21*:

assumes $h1: \text{stutinv } F$ **and** $h2: \text{stutinv } G$
shows $\vdash \Box(F \wedge G) = (\Box F \wedge \Box G)$
using $h1[*THEN pre-id-unch*]$ $h2[*THEN pre-id-unch*]$ **by** (*rule STL5-2*)

We also derive STL5 semantically.

lemma *STL5*: $\vdash \Box(F \wedge G) = (\Box F \wedge \Box G)$
by (*auto simp: always-def*)

Elimination rule corresponding to *STL5* in unlifted form.

lemma *box-conjE*:
assumes $s \models \Box F$ **and** $s \models \Box G$ **and** $s \models \Box(F \wedge G) \implies P$
shows P
using *assms* **by** (*auto simp: STL5[unlifted]*)

lemma *box-thin*:

assumes $h1: s \models \Box F$ **and** $h2: \text{PROP } W$
shows $\text{PROP } W$
using $h2$.

Finally, we derive STL6 (only semantically)

lemma *STL6*: $\vdash \Diamond \Box(F \wedge G) = (\Diamond \Box F \wedge \Diamond \Box G)$

proof *auto*

fix w
assume $a1: w \models \Diamond \Box F$ **and** $a2: w \models \Diamond \Box G$
from $a1$ **obtain** nf **where** $nf: (w \mid_s nf) \models \Box F$ **by** (*auto simp: eventually-defs*)
from $a2$ **obtain** ng **where** $ng: (w \mid_s ng) \models \Box G$ **by** (*auto simp: eventually-defs*)
let $?n = \max nf ng$
have $nf \leq ?n$ **by** *simp*
from this nf **have** $(w \mid_s ?n) \models \Box F$ **by** (*rule linalw*)
moreover
have $ng \leq ?n$ **by** *simp*
from this ng **have** $(w \mid_s ?n) \models \Box G$ **by** (*rule linalw*)
ultimately
have $(w \mid_s ?n) \models \Box(F \wedge G)$ **by** (*rule box-conjE*)
thus $w \models \Diamond \Box(F \wedge G)$ **by** (*auto simp: eventually-defs*)

next

fix w
assume $h: w \models \Diamond \Box(F \wedge G)$
have $\vdash F \wedge G \longrightarrow F$ **by** *auto*
hence $\vdash \Diamond \Box(F \wedge G) \longrightarrow \Diamond \Box F$ **by** (*rule STL4-eve[*OF STL4*]*)
with h **show** $w \models \Diamond \Box F$ **by** *auto*

```

next
  fix w
  assume h: w ⊨ ◇□(F ∧ G)
  have ⊢ F ∧ G → G by auto
  hence ⊢ ◇□(F ∧ G) → ◇□G by (rule STL4-eve[OF STL4])
  with h show w ⊨ ◇□G by auto
qed

lemma MM0: ⊢ □(F → G) → □F → □G
proof -
  have ⊢ □(F ∧ (F → G)) → □G by (rule STL4) auto
  thus ?thesis by (auto simp: STL5[int-rewrite])
qed

lemma MM1: assumes h: ⊢ F = G shows ⊢ □F = □G
  by (auto simp: h[int-rewrite])

theorem MM2: ⊢ □A ∧ □(B → C) → □(A ∧ B → C)
proof -
  have ⊢ □(A ∧ (B → C)) → □(A ∧ B → C) by (rule STL4) auto
  thus ?thesis by (auto simp: STL5[int-rewrite])
qed

theorem MM3: ⊢ □¬A → □(A ∧ B → C)
  by (rule STL4) auto

theorem MM4[simp-unl]: ⊢ □#F = #F
proof (cases F)
  assume F
  hence 1: ⊢ #F by auto
  hence ⊢ □#F by (rule alw)
  with 1 show ?thesis by force
next
  assume ¬F
  hence 1: ⊢ ¬#F by auto
  from ax1 have ⊢ ¬#F → ¬□#F by (rule lift-imp-neg)
  with 1 show ?thesis by force
qed

theorem MM4b[simp-unl]: ⊢ □¬#F = ¬#F
proof -
  have ⊢ ¬#F = #¬F by auto
  hence ⊢ □¬#F = □#¬F by (rule MM1)
  thus ?thesis by auto
qed

theorem MM5: ⊢ □F ∨ □G → □(F ∨ G)
proof -
  have ⊢ □F → □(F ∨ G) by (rule STL4) auto

```

moreover
have $\vdash \Box G \longrightarrow \Box(F \vee G)$ **by** (rule *STL4*) *auto*
ultimately show *?thesis* **by** *force*
qed

theorem *MM6*: $\vdash \Box F \vee \Box G \longrightarrow \Box(\Box F \vee \Box G)$
proof –
have $\vdash \Box\Box F \vee \Box\Box G \longrightarrow \Box(\Box F \vee \Box G)$ **by** (rule *MM5*)
thus *?thesis* **by** *simp*
qed

lemma *MM10*:
assumes *h*: $\vdash F = G$ **shows** $\vdash \Box[F]\text{-}v = \Box[G]\text{-}v$
by (*auto simp: h[int-rewrite]*)

lemma *MM9*:
assumes *h*: $\vdash F = G$ **shows** $\vdash \Box[F]\text{-}v = \Box[G]\text{-}v$
by (rule *MM10[OF pre[OF h]]*)

theorem *MM11*: $\vdash \Box[\neg(P \wedge Q)]\text{-}v \longrightarrow \Box[P]\text{-}v \longrightarrow \Box[P \wedge \neg Q]\text{-}v$
proof –
have $\vdash \Box[\neg(P \wedge Q)]\text{-}v \longrightarrow \Box[P \longrightarrow P \wedge \neg Q]\text{-}v$ **by** (rule *M2*) *auto*
from *this ax4* **show** *?thesis* **by** (rule *lift-imp-trans*)
qed

theorem *MM12[simp-unl]*: $\vdash \Box[\Box[P]\text{-}v]\text{-}v = \Box[P]\text{-}v$
proof (rule *int-iffI*)
have $\vdash \Box[P]\text{-}v \longrightarrow [P]\text{-}v$ **by** (*auto simp: pax4[unlifted]*)
hence $\vdash \Box[\Box[P]\text{-}v]\text{-}v \longrightarrow \Box[[P]\text{-}v]\text{-}v$ **by** (rule *M2*)
thus $\vdash \Box[\Box[P]\text{-}v]\text{-}v \longrightarrow \Box[P]\text{-}v$ **by** (*unfold T3[int-rewrite]*)
next
have $\vdash \Box\Box[P]\text{-}v \longrightarrow \Box[\Box\Box[P]\text{-}v]\text{-}v$ **by** (rule *ax2*)
thus $\vdash \Box[P]\text{-}v \longrightarrow \Box[\Box[P]\text{-}v]\text{-}v$ **by** *auto*
qed

5.4 Theorems about the eventually operator

— rules to push negation inside modal operators, sometimes useful for rewriting

theorem *dualization*:

$$\vdash \neg\Box F = \Diamond\neg F$$

$$\vdash \neg\Diamond F = \Box\neg F$$

$$\vdash \neg\Box[A]\text{-}v = \Diamond\langle\neg A\rangle\text{-}v$$

$$\vdash \neg\Diamond\langle A\rangle\text{-}v = \Box[\neg A]\text{-}v$$

unfolding *eventually-def angle-action-def* **by** *simp-all*

lemmas *dualization-rew* = *dualization[int-rewrite]*

lemmas *dualization-unl* = *dualization[unlifted]*

theorem *E1*: $\vdash \Diamond(F \vee G) = (\Diamond F \vee \Diamond G)$

proof –
 have $\vdash \Box \neg(F \vee G) = \Box(\neg F \wedge \neg G)$ **by** (rule *MM1*) *auto*
 thus ?thesis **unfolding** eventually-def *STL5[int-rewrite]* **by** force
qed

theorem *E3*: $\vdash F \longrightarrow \Diamond F$
unfolding eventually-def **by** (force dest: *ax1[unlift-rule]*)

theorem *E4*: $\vdash \Box F \longrightarrow \Diamond F$
by (rule *lift-imp-trans[OF ax1 E3]*)

theorem *E5*: $\vdash \Box F \longrightarrow \Box \Diamond F$
proof –
 have $\vdash \Box \Box F \longrightarrow \Box \Diamond F$ **by** (rule *STL4[OF E4]*)
 thus ?thesis **by** simp
qed

theorem *E6*: $\vdash \Box F \longrightarrow \Diamond \Box F$
 using *E4[of TEMP Box F]* **by** simp

theorem *E7*:
 assumes *h*: $\mid\sim \neg F \wedge \text{Unchanged } v \longrightarrow \bigcirc \neg F$
 shows $\mid\sim \Diamond F \longrightarrow F \vee \bigcirc \Diamond F$
proof –
 from *h* have $\mid\sim \neg F \wedge \bigcirc \Box \neg F \longrightarrow \Box \neg F$ **by** (rule *M10*)
 thus ?thesis **by** (auto simp: eventually-def)
qed

theorem *E8*: $\vdash \Diamond(F \longrightarrow G) \longrightarrow \Box F \longrightarrow \Diamond G$
proof –
 have $\vdash \Box(F \wedge \neg G) \longrightarrow \Box \neg(F \longrightarrow G)$ **by** (rule *STL4*) *auto*
 thus ?thesis **unfolding** eventually-def *STL5[int-rewrite]* **by** auto
qed

theorem *E9*: $\vdash \Box(F \longrightarrow G) \longrightarrow \Diamond F \longrightarrow \Diamond G$
proof –
 have $\vdash \Box(F \longrightarrow G) \longrightarrow \Box(\neg G \longrightarrow \neg F)$ **by** (rule *STL4*) *auto*
 with *MM0[of TEMP neg G TEMP neg F]* **show** ?thesis **unfolding** eventually-def
by force
qed

theorem *E10[simp-unl]*: $\vdash \Diamond \Diamond F = \Diamond F$
by (simp add: eventually-def)

theorem *E22*:
 assumes *h*: $\vdash F = G$
 shows $\vdash \Diamond F = \Diamond G$
by (auto simp: *h[int-rewrite]*)

theorem *E15[simp-unl]*: $\vdash \Diamond \#F = \#F$
by (*simp add: eventually-def*)

theorem *E15b[simp-unl]*: $\vdash \Diamond \neg \#F = \neg \#F$
by (*simp add: eventually-def*)

theorem *E16*: $\vdash \Diamond \Box F \longrightarrow \Diamond F$
by (*rule STL4-eve[OF ax1]*)

An action version of STL6

lemma *STL6-act*: $\vdash \Diamond(\Box[F]-v \wedge \Box[G]-w) = (\Diamond\Box[F]-v \wedge \Diamond\Box[G]-w)$

proof –

have $\vdash (\Diamond(\Box[F]-v \wedge \Box[G]-w)) = \Diamond(\Box\Box[F]-v \wedge \Box\Box[G]-w)$ **by** (*rule E22[OF STL5]*)

thus *?thesis* **by** (*auto simp: STL6[int-rewrite]*)

qed

lemma *SE1*: $\vdash \Box F \wedge \Diamond G \longrightarrow \Diamond(\Box F \wedge G)$

proof –

have $\vdash \Box \neg(\Box F \wedge G) \longrightarrow \Box(\Box F \longrightarrow \neg G)$ **by** (*rule STL4*) *auto*

with *MM0* **show** *?thesis* **by** (*force simp: eventually-def*)

qed

lemma *SE2*: $\vdash \Box F \wedge \Diamond G \longrightarrow \Diamond(F \wedge G)$

proof –

have $\vdash \Box F \wedge G \longrightarrow F \wedge G$ **by** (*auto elim: ax1[unlift-rule]*)

hence $\vdash \Diamond(\Box F \wedge G) \longrightarrow \Diamond(F \wedge G)$ **by** (*rule STL4-eve*)

with *SE1* **show** *?thesis* **by** (*rule lift-imp-trans*)

qed

lemma *SE3*: $\vdash \Box F \wedge \Diamond G \longrightarrow \Diamond(G \wedge F)$

proof –

have $\vdash \Diamond(F \wedge G) \longrightarrow \Diamond(G \wedge F)$ **by** (*rule STL4-eve*) *auto*

with *SE2* **show** *?thesis* **by** (*rule lift-imp-trans*)

qed

lemma *SE4*:

assumes *h1*: $s \models \Box F$ **and** *h2*: $s \models \Diamond G$ **and** *h3*: $\vdash \Box F \wedge G \longrightarrow H$

shows $s \models \Diamond H$

using *h1 h2 h3* [*THEN STL4-eve*] *SE1* **by** *force*

theorem *E17*: $\vdash \Box \Diamond \Box F \longrightarrow \Box \Diamond F$
by (*rule STL4[OF STL4-eve[OF ax1]]*)

theorem *E18*: $\vdash \Box \Diamond \Box F \longrightarrow \Diamond \Box F$
by (*rule ax1*)

theorem *E19*: $\vdash \Diamond \Box F \longrightarrow \Box \Diamond \Box F$
proof –

have $\vdash (\Box F \wedge \neg \Box F) = \#False$ **by** *auto*
hence $\vdash \Diamond \Box (\Box F \wedge \neg \Box F) = \Diamond \Box \#False$ **by** (*rule E22[OF MM1]*)
thus *?thesis* **unfolding** *STL6[int-rewrite]* **by** (*auto simp: eventually-def*)
qed

theorem E20: $\vdash \Diamond \Box F \longrightarrow \Box \Diamond F$
by (*rule lift-imp-trans[OF E19 E17]*)

theorem E21[*simp-unl*]: $\vdash \Box \Diamond \Box F = \Diamond \Box F$
by (*rule int-iffI[OF E18 E19]*)

theorem E27[*simp-unl*]: $\vdash \Diamond \Box \Diamond F = \Box \Diamond F$
using *E21* **unfolding** *eventually-def* **by** *force*

lemma E28: $\vdash \Diamond \Box F \wedge \Box \Diamond G \longrightarrow \Box \Diamond (F \wedge G)$
proof –
have $\vdash \Diamond \Box (\Box F \wedge \Diamond G) \longrightarrow \Diamond \Box \Diamond (F \wedge G)$ **by** (*rule STL4-eve[OF STL4[OF SE2]]*)
thus *?thesis* **by** (*simp add: STL6[int-rewrite]*)
qed

lemma E23: $\vdash \sim \circ F \longrightarrow \Diamond F$
using *P1* **by** (*force simp: eventually-def*)

lemma E24: $\vdash \Diamond \Box Q \longrightarrow \Box [\Diamond Q]\text{-}v$
by (*rule lift-imp-trans[OF E20 P4]*)

lemma E25: $\vdash \Diamond \langle A \rangle\text{-}v \longrightarrow \Diamond A$
using *P4* **by** (*force simp: eventually-def angle-action-def*)

lemma E26: $\vdash \Box \Diamond \langle A \rangle\text{-}v \longrightarrow \Box \Diamond A$
by (*rule STL4[OF E25]*)

lemma allBox: $(s \models \Box (\forall x. F x)) = (\forall x. s \models \Box (F x))$
unfolding *allT[unlifted]* **..**

lemma E29: $\vdash \sim \circ \Diamond F \longrightarrow \Diamond F$
unfolding *eventually-def* **using** *pax3* **by** *force*

lemma E30:
assumes *h1*: $\vdash F \longrightarrow \Box F$ **and** *h2*: $\vdash \Diamond F$
shows $\vdash \Diamond \Box F$
using *h2 h1[THEN STL4-eve]* **by** (*rule fmp*)

lemma E31: $\vdash \Box (F \longrightarrow \Box F) \wedge \Diamond F \longrightarrow \Diamond \Box F$
proof –
have $\vdash \Box (F \longrightarrow \Box F) \wedge \Diamond F \longrightarrow \Diamond (\Box (F \longrightarrow \Box F) \wedge F)$ **by** (*rule SE1*)
moreover
have $\vdash \Box (F \longrightarrow \Box F) \wedge F \longrightarrow \Box F$ **using** *ax1[of TEMP F $\longrightarrow \Box F$]* **by** *auto*

hence $\vdash \Diamond(\Box(F \longrightarrow \Box F) \wedge F) \longrightarrow \Diamond\Box F$ **by** (rule *STL4-eve*)
ultimately show *?thesis* **by** (rule *lift-imp-trans*)
qed

lemma *allActBox*: $(s \models \Box[(\forall x. F x)]-v) = (\forall x. s \models \Box[(F x)]-v)$
unfolding *allActT[unlifted]* **..**

theorem *exEE*: $\vdash (\exists x. \Diamond(F x)) = \Diamond(\exists x. F x)$
proof –
have $\vdash \neg(\exists x. \Diamond(F x)) = \neg\Diamond(\exists x. F x)$
by (*auto simp: eventually-def Not-Rex[int-rewrite]* *allBox*)
thus *?thesis* **by** *force*
qed

theorem *exActE*: $\vdash (\exists x. \Diamond\langle F x \rangle-v) = \Diamond\langle(\exists x. F x)\rangle-v$
proof –
have $\vdash \neg(\exists x. \Diamond\langle F x \rangle-v) = \neg\Diamond\langle(\exists x. F x)\rangle-v$
by (*auto simp: angle-action-def Not-Rex[int-rewrite]* *allActBox*)
thus *?thesis* **by** *force*
qed

5.5 Theorems about the leadsto operator

theorem *LT1*: $\vdash F \rightsquigarrow F$
unfolding *leadsto-def* **by** (rule *alw[OF E3]*)

theorem *LT2*: **assumes** $h: \vdash F \longrightarrow G$ **shows** $\vdash F \longrightarrow \Diamond G$
by (rule *lift-imp-trans[OF h E3]*)

theorem *LT3*: **assumes** $h: \vdash F \longrightarrow G$ **shows** $\vdash F \rightsquigarrow G$
unfolding *leadsto-def* **by** (rule *alw[OF LT2[OF h]]*)

theorem *LT4*: $\vdash F \longrightarrow (F \rightsquigarrow G) \longrightarrow \Diamond G$
unfolding *leadsto-def* **using** *ax1[of TEMP F $\longrightarrow \Diamond G$]* **by** *auto*

theorem *LT5*: $\vdash \Box(F \longrightarrow \Diamond G) \longrightarrow \Diamond F \longrightarrow \Diamond G$
using *E9[of F TEMP $\Diamond G$]* **by** *simp*

theorem *LT6*: $\vdash \Diamond F \longrightarrow (F \rightsquigarrow G) \longrightarrow \Diamond G$
unfolding *leadsto-def* **using** *LT5[of F G]* **by** *auto*

theorem *LT9[simp-unl]*: $\vdash \Box(F \rightsquigarrow G) = (F \rightsquigarrow G)$
by (*auto simp: leadsto-def*)

theorem *LT7*: $\vdash \Box\Diamond F \longrightarrow (F \rightsquigarrow G) \longrightarrow \Box\Diamond G$
proof –
have $\vdash \Box\Diamond F \longrightarrow \Box((F \rightsquigarrow G) \longrightarrow \Diamond G)$ **by** (rule *STL4[OF LT6]*)
from *lift-imp-trans[OF this MM0]* **show** *?thesis* **by** *simp*
qed

theorem *LT8*: $\vdash \Box \Diamond G \longrightarrow (F \rightsquigarrow G)$
unfolding *leadsto-def* **by** (*rule STL4*) *auto*

theorem *LT13*: $\vdash (F \rightsquigarrow G) \longrightarrow (G \rightsquigarrow H) \longrightarrow (F \rightsquigarrow H)$
proof –
have $\vdash \Diamond G \longrightarrow (G \rightsquigarrow H) \longrightarrow \Diamond H$ **by** (*rule LT6*)
hence $\vdash \Box(F \longrightarrow \Diamond G) \longrightarrow \Box((G \rightsquigarrow H) \longrightarrow (F \longrightarrow \Diamond H))$ **by** (*intro STL4*)
auto
from *lift-imp-trans*[*OF this MM0*] **show** *?thesis* **by** (*simp add: leadsto-def*)
qed

theorem *LT11*: $\vdash (F \rightsquigarrow G) \longrightarrow (F \rightsquigarrow (G \vee H))$
proof –
have $\vdash G \rightsquigarrow (G \vee H)$ **by** (*rule LT3*) *auto*
with *LT13*[*of F G TEMP (G ∨ H)*] **show** *?thesis* **by** *force*
qed

theorem *LT12*: $\vdash (F \rightsquigarrow H) \longrightarrow (F \rightsquigarrow (G \vee H))$
proof –
have $\vdash H \rightsquigarrow (G \vee H)$ **by** (*rule LT3*) *auto*
with *LT13*[*of F H TEMP (G ∨ H)*] **show** *?thesis* **by** *force*
qed

theorem *LT14*: $\vdash ((F \vee G) \rightsquigarrow H) \longrightarrow (F \rightsquigarrow H)$
unfolding *leadsto-def* **by** (*rule STL4*) *auto*

theorem *LT15*: $\vdash ((F \vee G) \rightsquigarrow H) \longrightarrow (G \rightsquigarrow H)$
unfolding *leadsto-def* **by** (*rule STL4*) *auto*

theorem *LT16*: $\vdash (F \rightsquigarrow H) \longrightarrow (G \rightsquigarrow H) \longrightarrow ((F \vee G) \rightsquigarrow H)$
proof –
have $\vdash \Box(F \longrightarrow \Diamond H) \longrightarrow \Box((G \longrightarrow \Diamond H) \longrightarrow (F \vee G \longrightarrow \Diamond H))$ **by** (*rule STL4*)
auto
from *lift-imp-trans*[*OF this MM0*] **show** *?thesis* **by** (*unfold leadsto-def*)
qed

theorem *LT17*: $\vdash ((F \vee G) \rightsquigarrow H) = ((F \rightsquigarrow H) \wedge (G \rightsquigarrow H))$
by (*auto elim: LT14[unlift-rule] LT15[unlift-rule]*
LT16[unlift-rule])

theorem *LT10*:
assumes *h*: $\vdash (F \wedge \neg G) \rightsquigarrow G$
shows $\vdash F \rightsquigarrow G$
proof –
from *h* **have** $\vdash ((F \wedge \neg G) \vee G) \rightsquigarrow G$
by (*auto simp: LT17[int-rewrite] LT1[int-rewrite]*)
moreover
have $\vdash F \rightsquigarrow ((F \wedge \neg G) \vee G)$ **by** (*rule LT3, auto*)

ultimately
 show *?thesis* by (force elim: LT13[unlift-rule])
 qed

theorem LT18: $\vdash (A \rightsquigarrow (B \vee C)) \longrightarrow (B \rightsquigarrow D) \longrightarrow (C \rightsquigarrow D) \longrightarrow (A \rightsquigarrow D)$
proof –
 have $\vdash (B \rightsquigarrow D) \longrightarrow (C \rightsquigarrow D) \longrightarrow ((B \vee C) \rightsquigarrow D)$ by (rule LT16)
 thus *?thesis* by (force elim: LT13[unlift-rule])
 qed

theorem LT19: $\vdash (A \rightsquigarrow (D \vee B)) \longrightarrow (B \rightsquigarrow D) \longrightarrow (A \rightsquigarrow D)$
 using LT18[of A D B D] LT1[of D] by force

theorem LT20: $\vdash (A \rightsquigarrow (B \vee D)) \longrightarrow (B \rightsquigarrow D) \longrightarrow (A \rightsquigarrow D)$
 using LT18[of A B D D] LT1[of D] by force

theorem LT21: $\vdash ((\exists x. F x) \rightsquigarrow G) = (\forall x. (F x \rightsquigarrow G))$
proof –
 have $\vdash \Box((\exists x. F x) \longrightarrow \Diamond G) = \Box(\forall x. (F x \longrightarrow \Diamond G))$ by (rule MM1) auto
 thus *?thesis* by (unfold leadsto-def allT[int-rewrite])
 qed

theorem LT22: $\vdash (F \rightsquigarrow (G \vee H)) \longrightarrow \Box \neg G \longrightarrow (F \rightsquigarrow H)$
proof –
 have $\vdash \Box \neg G \longrightarrow (G \rightsquigarrow H)$ unfolding leadsto-def by (rule STL4) auto
 thus *?thesis* by (force elim: LT20[unlift-rule])
 qed

lemma LT23: $\vdash \sim (P \longrightarrow \circ Q) \longrightarrow (P \longrightarrow \Diamond Q)$
 by (auto dest: E23[unlift-rule])

theorem LT24: $\vdash \Box I \longrightarrow ((P \wedge I) \rightsquigarrow Q) \longrightarrow P \rightsquigarrow Q$
proof –
 have $\vdash \Box I \longrightarrow \Box((P \wedge I \longrightarrow \Diamond Q) \longrightarrow (P \longrightarrow \Diamond Q))$ by (rule STL4) auto
 from lift-imp-trans[OF this MM0] show *?thesis* by (unfold leadsto-def)
 qed

theorem LT25[simp-unl]: $\vdash (F \rightsquigarrow \#False) = \Box \neg F$
unfolding leadsto-def **proof** (rule MM1)
 show $\vdash (F \longrightarrow \Diamond \#False) = \neg F$ by simp
 qed

lemma LT28:
 assumes $h: \sim P \longrightarrow \circ P \vee \circ Q$
 shows $\sim (P \longrightarrow \circ P) \vee \Diamond Q$
 using h E23[of Q] by force

lemma LT29:
 assumes $h1: \sim P \longrightarrow \circ P \vee \circ Q$ and $h2: \sim P \wedge \text{Unchanged } v \longrightarrow \circ P$

shows $\vdash P \longrightarrow \Box P \vee \Diamond Q$
proof –
 from $h1[THEN\ LT28]$ have $|\sim \Box \neg Q \longrightarrow (P \longrightarrow \bigcirc P)$ **unfolding** *eventually-def*
by *auto*
 hence $\vdash \Box[\Box \neg Q]\neg v \longrightarrow \Box[P \longrightarrow \bigcirc P]\neg v$ **by** (rule *M2*)
moreover
 have $\vdash \neg \Diamond Q \longrightarrow \Box[\Box \neg Q]\neg v$ **unfolding** *dualization-rew* **by** (rule *ax2*)
moreover
 note *ax3*[*OF* *h2*]
ultimately
 show *?thesis* **by** *force*
qed

lemma *LT30*:
 assumes $h: |\sim P \wedge N \longrightarrow \bigcirc P \vee \bigcirc Q$
 shows $|\sim N \longrightarrow (P \longrightarrow \bigcirc P) \vee \Diamond Q$
 using *h E23* **by** *force*

lemma *LT31*:
 assumes $h1: |\sim P \wedge N \longrightarrow \bigcirc P \vee \bigcirc Q$ **and** $h2: |\sim P \wedge \text{Unchanged } v \longrightarrow \bigcirc P$
 shows $\vdash \Box N \longrightarrow P \longrightarrow \Box P \vee \Diamond Q$
proof –
 from $h1[THEN\ LT30]$ have $|\sim N \longrightarrow \Box \neg Q \longrightarrow P \longrightarrow \bigcirc P$ **unfolding** *eventually-def*
by *auto*
 hence $\vdash \Box[N \longrightarrow \Box \neg Q \longrightarrow P \longrightarrow \bigcirc P]\neg v$ **by** (rule *sq*)
 hence $\vdash \Box[N]\neg v \longrightarrow \Box[\Box \neg Q]\neg v \longrightarrow \Box[P \longrightarrow \bigcirc P]\neg v$
by (force *intro: ax4* [*unlift-rule*])
 with *P4* have $\vdash \Box N \longrightarrow \Box[\Box \neg Q]\neg v \longrightarrow \Box[P \longrightarrow \bigcirc P]\neg v$ **by** (rule *lift-imp-trans*)
moreover
 have $\vdash \neg \Diamond Q \longrightarrow \Box[\Box \neg Q]\neg v$ **unfolding** *dualization-rew* **by** (rule *ax2*)
moreover
 note *ax3*[*OF* *h2*]
ultimately
 show *?thesis* **by** *force*
qed

lemma *LT33*: $\vdash ((\#P \wedge F) \rightsquigarrow G) = (\#P \longrightarrow (F \rightsquigarrow G))$
by (cases *P*, *auto simp: leadsto-def*)

lemma *AA1*: $\vdash \Box[\#False]\neg v \longrightarrow \neg \Diamond \langle Q \rangle \neg v$
unfolding *dualization-rew* **by** (rule *M2*) *auto*

lemma *AA2*: $\vdash \Box[P]\neg v \wedge \Diamond \langle Q \rangle \neg v \longrightarrow \Diamond \langle P \wedge Q \rangle \neg v$

proof –
 have $\vdash \Box[P \longrightarrow \sim(P \wedge Q) \longrightarrow \neg Q]\neg v$ **by** (rule *sq*) (*auto simp: actrans-def*)
 hence $\vdash \Box[P]\neg v \longrightarrow \Box[\sim(P \wedge Q)]\neg v \longrightarrow \Box[\neg Q]\neg v$
by (force *intro: ax4* [*unlift-rule*])
 thus *?thesis* **by** (*auto simp: angle-action-def*)
qed

lemma AA3: $\vdash \Box P \wedge \Box[P \longrightarrow Q]\text{-}v \wedge \Diamond\langle A \rangle\text{-}v \longrightarrow \Diamond Q$
proof –
 have $\vdash \Box P \wedge \Box[P \longrightarrow Q]\text{-}v \longrightarrow \Box[P \wedge (P \longrightarrow Q)]\text{-}v$
 by (*auto dest: P4[unlift-rule] simp: M8[int-rewrite]*)
 moreover
 have $\vdash \Box[P \wedge (P \longrightarrow Q)]\text{-}v \longrightarrow \Box[Q]\text{-}v$ **by** (*rule M2*) *auto*
 ultimately have $\vdash \Box P \wedge \Box[P \longrightarrow Q]\text{-}v \longrightarrow \Box[Q]\text{-}v$ **by** (*rule lift-imp-trans*)
 moreover
 have $\vdash \Diamond(Q \wedge A) \longrightarrow \Diamond Q$ **by** (*rule STL4-eve*) *auto*
 hence $\vdash \Diamond\langle Q \wedge A \rangle\text{-}v \longrightarrow \Diamond Q$ **by** (*force dest: E25[unlift-rule]*)
 with AA2 have $\vdash \Box[Q]\text{-}v \wedge \Diamond\langle A \rangle\text{-}v \longrightarrow \Diamond Q$ **by** (*rule lift-imp-trans*)
 ultimately show *?thesis* **by** *force*
qed

lemma AA4: $\vdash \Diamond\langle\langle A \rangle\text{-}v\rangle\text{-}w \longrightarrow \Diamond\langle\langle A \rangle\text{-}w\rangle\text{-}v$
unfolding *angle-action-def angle-actrans-def* **using T5** **by** *force*

lemma AA7: **assumes** $h: \vdash F \longrightarrow G$ **shows** $\vdash \Diamond\langle F \rangle\text{-}v \longrightarrow \Diamond\langle G \rangle\text{-}v$
proof –
 from h **have** $\vdash \Box[\neg G]\text{-}v \longrightarrow \Box[\neg F]\text{-}v$ **by** (*intro M2*) *auto*
 thus *?thesis* **unfolding** *angle-action-def* **by** *force*
qed

lemma AA6: $\vdash \Box[P \longrightarrow Q]\text{-}v \wedge \Diamond\langle P \rangle\text{-}v \longrightarrow \Diamond\langle Q \rangle\text{-}v$
proof –
 have $\vdash \Diamond\langle(P \longrightarrow Q) \wedge P\rangle\text{-}v \longrightarrow \Diamond\langle Q \rangle\text{-}v$ **by** (*rule AA7*) *auto*
 with AA2 show *?thesis* **by** (*rule lift-imp-trans*)
qed

lemma AA8: $\vdash \Box[P]\text{-}v \wedge \Diamond\langle A \rangle\text{-}v \longrightarrow \Diamond\langle\Box[P]\text{-}v \wedge A\rangle\text{-}v$
proof –
 have $\vdash \Box[\Box[P]\text{-}v]\text{-}v \wedge \Diamond\langle A \rangle\text{-}v \longrightarrow \Diamond\langle\Box[P]\text{-}v \wedge A\rangle\text{-}v$ **by** (*rule AA2*)
 with P5 show *?thesis* **by** *force*
qed

lemma AA9: $\vdash \Box[P]\text{-}v \wedge \Diamond\langle A \rangle\text{-}v \longrightarrow \Diamond\langle[P]\text{-}v \wedge A\rangle\text{-}v$
proof –
 have $\vdash \Box[[P]\text{-}v]\text{-}v \wedge \Diamond\langle A \rangle\text{-}v \longrightarrow \Diamond\langle[P]\text{-}v \wedge A\rangle\text{-}v$ **by** (*rule AA2*)
 thus *?thesis* **by** *simp*
qed

lemma AA10: $\vdash \neg(\Box[P]\text{-}v \wedge \Diamond\langle\neg P\rangle\text{-}v)$
unfolding *angle-action-def* **by** *auto*

lemma AA11: $\vdash \neg\Diamond\langle v\$ = \$v\rangle\text{-}v$
unfolding *dualization-rew* **by** (*rule ax5*)

lemma AA15: $\vdash \Diamond\langle P \wedge Q \rangle\text{-}v \longrightarrow \Diamond\langle P \rangle\text{-}v$

by (rule AA7) auto

lemma AA16: $\vdash \Diamond\langle P \wedge Q \rangle\text{-}v \longrightarrow \Diamond\langle Q \rangle\text{-}v$
 by (rule AA7) auto

lemma AA13: $\vdash \Diamond\langle P \rangle\text{-}v \longrightarrow \Diamond\langle v\$ \neq \$v \rangle\text{-}v$
proof –
 have $\vdash \Box[v\$ \neq \$v]\text{-}v \wedge \Diamond\langle P \rangle\text{-}v \longrightarrow \Diamond\langle v\$ \neq \$v \wedge P \rangle\text{-}v$ **by** (rule AA2)
 hence $\vdash \Diamond\langle P \rangle\text{-}v \longrightarrow \Diamond\langle v\$ \neq \$v \wedge P \rangle\text{-}v$ **by** (simp add: ax5[int-rewrite])
 from this AA15 **show** ?thesis **by** (rule lift-imp-trans)
 qed

lemma AA14: $\vdash \Diamond\langle P \vee Q \rangle\text{-}v = (\Diamond\langle P \rangle\text{-}v \vee \Diamond\langle Q \rangle\text{-}v)$
proof –
 have $\vdash \Box[\neg(P \vee Q)]\text{-}v = \Box[\neg P \wedge \neg Q]\text{-}v$ **by** (rule MM10) auto
 hence $\vdash \Box[\neg(P \vee Q)]\text{-}v = (\Box[\neg P]\text{-}v \wedge \Box[\neg Q]\text{-}v)$ **by** (unfold M8[int-rewrite])
 thus ?thesis **unfolding** angle-action-def **by** auto
 qed

lemma AA17: $\vdash \Diamond\langle [P]\text{-}v \wedge A \rangle\text{-}v \longrightarrow \Diamond\langle P \wedge A \rangle\text{-}v$
proof –
 have $\vdash \Box[v\$ \neq \$v \wedge \neg(P \wedge A)]\text{-}v \longrightarrow \Box[\neg([P]\text{-}v \wedge A)]\text{-}v$
 by (rule M2) (auto simp: actrans-def unch-def)
 with ax5[of v] **show** ?thesis
 unfolding angle-action-def M8[int-rewrite] **by** force
 qed

lemma AA19: $\vdash \Box P \wedge \Diamond\langle A \rangle\text{-}v \longrightarrow \Diamond\langle P \wedge A \rangle\text{-}v$
 using P4 **by** (force intro: AA2[unlift-rule])

lemma AA20:
 assumes h1: $\mid\sim P \longrightarrow \bigcirc P \vee \bigcirc Q$
 and h2: $\mid\sim P \wedge A \longrightarrow \bigcirc Q$
 and h3: $\mid\sim P \wedge \text{Unchanged } w \longrightarrow \bigcirc P$
 shows $\vdash \Box(\Box P \longrightarrow \Diamond\langle A \rangle\text{-}v) \longrightarrow (P \rightsquigarrow Q)$
proof –
 from h2 E23 **have** $\mid\sim P \wedge A \longrightarrow \Diamond Q$ **by** force
 hence $\vdash \Diamond\langle P \wedge A \rangle\text{-}v \longrightarrow \Diamond\langle \Diamond Q \rangle\text{-}v$ **by** (rule AA7)
 with E25[of TEMP $\Diamond Q$ v] **have** $\vdash \Diamond\langle P \wedge A \rangle\text{-}v \longrightarrow \Diamond Q$ **by** force
 with AA19 **have** $\vdash \Box P \wedge \Diamond\langle A \rangle\text{-}v \longrightarrow \Diamond Q$ **by** (rule lift-imp-trans)
 with LT29[OF h1 h3] **have** $\vdash (\Box P \longrightarrow \Diamond\langle A \rangle\text{-}v) \longrightarrow (P \longrightarrow \Diamond Q)$ **by** force
 thus ?thesis **unfolding** leadsto-def **by** (rule STL4)
 qed

lemma AA21: $\mid\sim \Diamond\langle \bigcirc F \rangle\text{-}v \longrightarrow \bigcirc \Diamond F$
 using pax5[of TEMP $\neg F$ v] **unfolding** angle-action-def eventually-def **by** auto

theorem AA24[simp-unl]: $\vdash \Diamond\langle \langle P \rangle\text{-}f \rangle\text{-}f = \Diamond\langle P \rangle\text{-}f$
 unfolding angle-action-def angle-actrans-def **by** simp

lemma AA22:

assumes $h1: |\sim P \wedge N \longrightarrow \bigcirc P \vee \bigcirc Q$
 and $h2: |\sim P \wedge N \wedge \langle A \rangle\text{-}v \longrightarrow \bigcirc Q$
 and $h3: |\sim P \wedge \text{Unchanged } w \longrightarrow \bigcirc P$
 shows $\vdash \Box N \wedge \Box(\Box P \longrightarrow \Diamond \langle A \rangle\text{-}v) \longrightarrow (P \rightsquigarrow Q)$
proof –
 from $h2$ have $|\sim \langle (N \wedge P) \wedge A \rangle\text{-}v \longrightarrow \bigcirc Q$ **by** (*auto simp: angle-actrans-sem[int-rewrite]*)
 from *pref-imp-trans[OF this E23]* have $\vdash \Diamond \langle (N \wedge P) \wedge A \rangle\text{-}v \longrightarrow \Diamond \langle \Diamond Q \rangle\text{-}v$
by (*rule AA7*)
 hence $\vdash \Diamond \langle (N \wedge P) \wedge A \rangle\text{-}v \longrightarrow \Diamond Q$ **by** (*force dest: E25[unlift-rule]*)
 with *AA19* have $\vdash \Box(N \wedge P) \wedge \Diamond \langle A \rangle\text{-}v \longrightarrow \Diamond Q$ **by** (*rule lift-imp-trans*)
 hence $\vdash \Box N \wedge \Box P \wedge \Diamond \langle A \rangle\text{-}v \longrightarrow \Diamond Q$ **by** (*auto simp: STL5[int-rewrite]*)
 with *LT31[OF h1 h3]* have $\vdash \Box N \wedge (\Box P \longrightarrow \Diamond \langle A \rangle\text{-}v) \longrightarrow (P \longrightarrow \Diamond Q)$ **by**
force
 hence $\vdash \Box(\Box N \wedge (\Box P \longrightarrow \Diamond \langle A \rangle\text{-}v)) \longrightarrow \Box(P \longrightarrow \Diamond Q)$ **by** (*rule STL4*)
 thus *?thesis* **by** (*simp add: leadsto-def STL5[int-rewrite]*)
qed

lemma AA23:

assumes $|\sim P \wedge N \longrightarrow \bigcirc P \vee \bigcirc Q$
 and $|\sim P \wedge N \wedge \langle A \rangle\text{-}v \longrightarrow \bigcirc Q$
 and $|\sim P \wedge \text{Unchanged } w \longrightarrow \bigcirc P$
 shows $\vdash \Box N \wedge \Box \Diamond \langle A \rangle\text{-}v \longrightarrow (P \rightsquigarrow Q)$
proof –
 have $\vdash \Box \Diamond \langle A \rangle\text{-}v \longrightarrow \Box(\Box P \longrightarrow \Diamond \langle A \rangle\text{-}v)$ **by** (*rule STL4*) *auto*
 with *AA22[OF assms]* **show** *?thesis* **by** *force*
qed

lemma AA25:

assumes $h: |\sim \langle P \rangle\text{-}v \longrightarrow \langle Q \rangle\text{-}w$
 shows $\vdash \Diamond \langle P \rangle\text{-}v \longrightarrow \Diamond \langle Q \rangle\text{-}w$
proof –
 from h have $\vdash \Diamond \langle \langle P \rangle\text{-}v \rangle\text{-}v \longrightarrow \Diamond \langle \langle P \rangle\text{-}w \rangle\text{-}v$
by (*intro AA7*) (*auto simp: angle-actrans-def actrans-def*)
 with *AA4* have $\vdash \Diamond \langle P \rangle\text{-}v \longrightarrow \Diamond \langle \langle P \rangle\text{-}v \rangle\text{-}w$ **by** *force*
 from *this AA7[OF h]* have $\vdash \Diamond \langle P \rangle\text{-}v \longrightarrow \Diamond \langle \langle Q \rangle\text{-}w \rangle\text{-}w$ **by** (*rule lift-imp-trans*)
 thus *?thesis* **by** *simp*
qed

lemma AA26:

assumes $h: |\sim \langle A \rangle\text{-}v = \langle B \rangle\text{-}w$
 shows $\vdash \Diamond \langle A \rangle\text{-}v = \Diamond \langle B \rangle\text{-}w$
proof –
 from h have $|\sim \langle A \rangle\text{-}v \longrightarrow \langle B \rangle\text{-}w$ **by** *auto*
 hence $\vdash \Diamond \langle A \rangle\text{-}v \longrightarrow \Diamond \langle B \rangle\text{-}w$ **by** (*rule AA25*)
moreover
 from h have $|\sim \langle B \rangle\text{-}w \longrightarrow \langle A \rangle\text{-}v$ **by** *auto*
 hence $\vdash \Diamond \langle B \rangle\text{-}w \longrightarrow \Diamond \langle A \rangle\text{-}v$ **by** (*rule AA25*)

ultimately
 show *?thesis* by force
 qed

theorem *AA28[simp-unl]*: $\vdash \Diamond\Diamond\langle A \rangle -v = \Diamond\langle A \rangle -v$
 unfolding eventually-def angle-action-def by simp

theorem *AA29*: $\vdash \Box[N] -v \wedge \Box\Diamond\langle A \rangle -v \longrightarrow \Box\Diamond\langle N \wedge A \rangle -v$
proof –
 have $\vdash \Box(\Box[N] -v \wedge \Diamond\langle A \rangle -v) \longrightarrow \Box\Diamond\langle N \wedge A \rangle -v$ by (rule *STL4[OF AA2]*)
 thus *?thesis* by (simp add: *STL5[int-rewrite]*)
 qed

theorem *AA30[simp-unl]*: $\vdash \Diamond\langle\Diamond\langle P \rangle -f\rangle -f = \Diamond\langle P \rangle -f$
 unfolding angle-action-def by simp

theorem *AA31*: $\vdash \Diamond\langle\Box F\rangle -v \longrightarrow \Diamond F$
 using pref-imp-trans[*OF AA21 E29*] by auto

lemma *AA32[simp-unl]*: $\vdash \Box\Diamond\Box[A] -v = \Diamond\Box[A] -v$
 using *E21[of TEMP Box[A] -v]* by simp

lemma *AA33[simp-unl]*: $\vdash \Diamond\Box\Diamond\langle A \rangle -v = \Box\Diamond\langle A \rangle -v$
 using *E27[of TEMP Diamond[A] -v]* by simp

5.6 Lemmas about the next operator

lemma *N2*: assumes $h: \vdash F = G$ shows $|\sim \Box F = \Box G$
 by (simp add: *h[int-rewrite]*)

lemmas *next-and* = *T8*

lemma *next-or*: $|\sim \Box(F \vee G) = (\Box F \vee \Box G)$
proof (rule *pref-iffI*)
 have $|\sim \Box((F \vee G) \wedge \neg F) \longrightarrow \Box G$ by (rule *N1*) auto
 thus $|\sim \Box(F \vee G) \longrightarrow \Box F \vee \Box G$ by (auto simp: *T8[int-rewrite]*)
next
 have $|\sim \Box F \longrightarrow \Box(F \vee G)$ by (rule *N1*) auto
 moreover have $|\sim \Box G \longrightarrow \Box(F \vee G)$ by (rule *N1*) auto
 ultimately show $|\sim \Box F \vee \Box G \longrightarrow \Box(F \vee G)$ by force
 qed

lemma *next-imp*: $|\sim \Box(F \longrightarrow G) = (\Box F \longrightarrow \Box G)$
proof (rule *pref-iffI*)
 have $|\sim \Box G \longrightarrow \Box(F \longrightarrow G)$ by (rule *N1*) auto
 moreover have $|\sim \Box\neg F \longrightarrow \Box(F \longrightarrow G)$ by (rule *N1*) auto
 ultimately show $|\sim (\Box F \longrightarrow \Box G) \longrightarrow \Box(F \longrightarrow G)$ by force
 qed (rule *pax2*)

lemmas *next-not* = *pax1*

lemma *next-eq*: $|\sim \circ (F = G) = (\circ F = \circ G)$

proof –

have $|\sim \circ (F = G) = \circ ((F \longrightarrow G) \wedge (G \longrightarrow F))$ **by** (*rule N2*) *auto*
 from *this*[*int-rewrite*] **show** *?thesis*
 by (*auto simp: next-and*[*int-rewrite*] *next-imp*[*int-rewrite*])

qed

lemma *next-noteq*: $|\sim \circ (F \neq G) = (\circ F \neq \circ G)$

by (*simp add: next-eq*[*int-rewrite*])

lemma *next-const*[*simp-unl*]: $|\sim \circ \#P = \#P$

proof (*cases P*)

assume *P*

hence $1: \vdash \#P$ **by** *auto*

hence $|\sim \circ \#P$ **by** (*rule nex*)

with *1* **show** *?thesis* **by** *force*

next

assume $\neg P$

hence $1: \vdash \neg \#P$ **by** *auto*

hence $|\sim \circ \neg \#P$ **by** (*rule nex*)

with *1* **show** *?thesis* **by** *force*

qed

The following are proved semantically because they are essentially first-order theorems.

lemma *next-fun1*: $|\sim \circ f\langle x \rangle = f\langle \circ x \rangle$

by (*auto simp: nexts-def*)

lemma *next-fun2*: $|\sim \circ f\langle x, y \rangle = f\langle \circ x, \circ y \rangle$

by (*auto simp: nexts-def*)

lemma *next-fun3*: $|\sim \circ f\langle x, y, z \rangle = f\langle \circ x, \circ y, \circ z \rangle$

by (*auto simp: nexts-def*)

lemma *next-fun4*: $|\sim \circ f\langle x, y, z, zz \rangle = f\langle \circ x, \circ y, \circ z, \circ zz \rangle$

by (*auto simp: nexts-def*)

lemma *next-forall*: $|\sim \circ (\forall x. P x) = (\forall x. \circ P x)$

by (*auto simp: nexts-def*)

lemma *next-exists*: $|\sim \circ (\exists x. P x) = (\exists x. \circ P x)$

by (*auto simp: nexts-def*)

lemma *next-exists1*: $|\sim \circ (\exists! x. P x) = (\exists! x. \circ P x)$

by (*auto simp: nexts-def*)

Rewrite rules to push the “next” operator inward over connectives. (Note

that axiom *pax1* and theorem *next-const* are anyway active as rewrite rules.)

lemmas *next-commutes*[*int-rewrite*] =
next-and next-or next-imp next-eq
next-fun1 next-fun2 next-fun3 next-fun4
next-forall next-exists next-exists1

lemmas *ifs-eq*[*int-rewrite*] = *after-fun3 next-fun3 before-fun3*

lemmas *next-always* = *pax3*

lemma *t1*: $|\sim \bigcirc \$x = x\$$
by (*simp add: before-def after-def nexts-def first-tail-second*)

Theorem *next-eventually* should not be used "blindly".

lemma *next-eventually*:
assumes *h*: *stutinv F*
shows $|\sim \Diamond F \longrightarrow \neg F \longrightarrow \bigcirc \Diamond F$
proof –
from *h* **have** *1*: *stutinv (TEMP $\neg F$)* **by** (*rule stut-not*)
have $|\sim \Box \neg F = (\neg F \wedge \bigcirc \Box \neg F)$ **unfolding** *T7[OF pre-id-unch[OF 1], int-rewrite]*
by *simp*
thus *?thesis* **by** (*auto simp: eventually-def*)
qed

lemma *next-action*: $|\sim \Box[P]-v \longrightarrow \bigcirc \Box[P]-v$
using *pax4[of P v]* **by** *auto*

5.7 Higher Level Derived Rules

In most verification tasks the low-level rules discussed above are not used directly. Here, we derive some higher-level rules more suitable for verification. In particular, variants of Lamport's rules *TLA1*, *TLA2*, *INV1* and *INV2* are derived, where $|\sim$ is used where appropriate.

theorem *TLA1*:
assumes *H*: $|\sim P \wedge \text{Unchanged } f \longrightarrow \bigcirc P$
shows $\vdash \Box P = (P \wedge \Box[P \longrightarrow \bigcirc P]-f)$
proof (*rule int-iffI*)
from *ax1[of P] M0[of P f]* **show** $\vdash \Box P \longrightarrow P \wedge \Box[P \longrightarrow \bigcirc P]-f$ **by** *force*
next
from *ax3[OF H]* **show** $\vdash P \wedge \Box[P \longrightarrow \bigcirc P]-f \longrightarrow \Box P$ **by** *auto*
qed

theorem *TLA2*:
assumes *h1*: $\vdash P \longrightarrow Q$
and *h2*: $|\sim P \wedge \bigcirc P \wedge [A]-f \longrightarrow [B]-g$
shows $\vdash \Box P \wedge \Box[A]-f \longrightarrow \Box Q \wedge \Box[B]-g$
proof –
from *h2* **have** $\vdash \Box[P \wedge \bigcirc P \wedge [A]-f]-g \longrightarrow \Box[[B]-g]-g$ **by** (*rule M2*)

hence $\vdash \Box[P \wedge \bigcirc P]-g \wedge \Box[A]-f-g \longrightarrow \Box[B]-g$ **by** (*auto simp add: M8[int-rewrite]*)
 with $M1[of P g]$ $T4[of A f g]$ **have** $\vdash \Box P \wedge \Box[A]-f \longrightarrow \Box[B]-g$ **by force**
 with $h1[THEN STL4]$ **show** $?thesis$ **by force**
qed

theorem INV1:

assumes $H: |\sim I \wedge [N]-f \longrightarrow \bigcirc I$

shows $\vdash I \wedge \Box[N]-f \longrightarrow \Box I$

proof –

from H **have** $|\sim [N]-f \longrightarrow I \longrightarrow \bigcirc I$ **by auto**

hence $\vdash \Box[|\sim [N]-f]-f \longrightarrow \Box[I \longrightarrow \bigcirc I]-f$ **by** (*rule M2*)

moreover

from H **have** $|\sim I \wedge \text{Unchanged } f \longrightarrow \bigcirc I$ **by** (*auto simp: actrans-def*)

hence $\vdash \Box[I \longrightarrow \bigcirc I]-f \longrightarrow I \longrightarrow \Box I$ **by** (*rule ax3*)

ultimately **show** $?thesis$ **by force**

qed

theorem INV2: $\vdash \Box I \longrightarrow \Box[N]-f = \Box[N \wedge I \wedge \bigcirc I]-f$

proof –

from $M1[of I f]$ **have** $\vdash \Box I \longrightarrow (\Box[N]-f = \Box[N]-f \wedge \Box[I \wedge \bigcirc I]-f)$ **by auto**

thus $?thesis$ **by** (*auto simp: M8[int-rewrite]*)

qed

lemma R1:

assumes $H: |\sim \text{Unchanged } w \longrightarrow \text{Unchanged } v$

shows $\vdash \Box[F]-w \longrightarrow \Box[F]-v$

proof –

from H **have** $|\sim [F]-w \longrightarrow [F]-v$ **by** (*auto simp: actrans-def*)

thus $?thesis$ **by** (*rule M11*)

qed

theorem invmono:

assumes $h1: \vdash I \longrightarrow P$

and $h2: |\sim P \wedge [N]-f \longrightarrow \bigcirc P$

shows $\vdash I \wedge \Box[N]-f \longrightarrow \Box P$

using $h1$ $INV1[OF h2]$ **by force**

theorem preimpsplit:

assumes $|\sim I \wedge N \longrightarrow Q$

and $|\sim I \wedge \text{Unchanged } v \longrightarrow Q$

shows $|\sim I \wedge [N]-v \longrightarrow Q$

using *assms[unlift-rule]* **by** (*auto simp: actrans-def*)

theorem refinement1:

assumes $h1: \vdash P \longrightarrow Q$

and $h2: |\sim I \wedge \bigcirc I \wedge [A]-f \longrightarrow [B]-g$

shows $\vdash P \wedge \Box I \wedge \Box[A]-f \longrightarrow Q \wedge \Box[B]-g$

proof –

have $\vdash I \longrightarrow \#True$ **by simp**

from *this* *h2* have $\vdash \Box I \wedge \Box[A]\text{-}f \longrightarrow \Box\#True \wedge \Box[B]\text{-}g$ by (rule *TLA2*)
 with *h1* show ?thesis by force
 qed

theorem *inv-join*:
 assumes $\vdash P \longrightarrow \Box Q$ and $\vdash P \longrightarrow \Box R$
 shows $\vdash P \longrightarrow \Box(Q \wedge R)$
 using *assms*[*unlift-rule*] **unfolding** *STL5*[*int-rewrite*] by force

lemma *inv-cases*: $\vdash \Box(A \longrightarrow B) \wedge \Box(\neg A \longrightarrow B) \longrightarrow \Box B$
proof –
 have $\vdash \Box((A \longrightarrow B) \wedge (\neg A \longrightarrow B)) \longrightarrow \Box B$ by (rule *STL4*) *auto*
 thus ?thesis by (simp add: *STL5*[*int-rewrite*])
 qed

end

6 Liveness

theory *Liveness*
imports *Rules*
begin

This theory derives proof rules for liveness properties.

definition *enabled* :: '*a* formula \Rightarrow '*a* formula
where *enabled* *F* $\equiv \lambda s. \exists t. ((\text{first } s) \#\# t) \models F$

syntax *-Enabled* :: *lift* \Rightarrow *lift* ((*Enabled* -) [90] 90)

translations *-Enabled* \rightleftharpoons *CONST enabled*

definition *WeakF* :: ('*a*::world) formula \Rightarrow ('*a*, '*b*) stfun \Rightarrow '*a* formula
where *WeakF* *F* *v* $\equiv TEMP \Diamond \Box Enabled \langle F \rangle\text{-}v \longrightarrow \Box \Diamond \langle F \rangle\text{-}v$

definition *StrongF* :: ('*a*::world) formula \Rightarrow ('*a*, '*b*) stfun \Rightarrow '*a* formula
where *StrongF* *F* *v* $\equiv TEMP \Box \Diamond Enabled \langle F \rangle\text{-}v \longrightarrow \Box \Diamond \langle F \rangle\text{-}v$

Lamport's TLA defines the above notions for actions. In TLA*, (pre-)formulas generalise TLA's actions and the above definition is the natural generalisation of enabledness to pre-formulas. In particular, we have chosen to define *enabled* such that it yields itself a temporal formula, although its value really just depends on the first state of the sequence it is evaluated over. Then, the definitions of weak and strong fairness are exactly as in TLA.

syntax
 -*WF* :: [*lift*, *lift*] \Rightarrow *lift* ((*WF*'(-)'(-)) [20,1000] 90)
 -*SF* :: [*lift*, *lift*] \Rightarrow *lift* ((*SF*'(-)'(-)) [20,1000] 90)
 -*WFsp* :: [*lift*, *lift*] \Rightarrow *lift* ((*WF*'(-)'(-)) [20,1000] 90)

$-SFsp :: [lift, lift] \Rightarrow lift ((SF'(-)')(-)) [20,1000] 90)$

translations

$-WF \equiv CONST WeakF$
 $-SF \equiv CONST StrongF$
 $-WFsp \rightarrow CONST WeakF$
 $-SFsp \rightarrow CONST StrongF$

6.1 Properties of $-Enabled$

theorem *enabledI*: $\vdash F \longrightarrow Enabled F$

proof (*clarsimp*)

fix *w*

assume $w \models F$

with *seq-app-first-tail*[*of w*] **have** $((first\ w) \#\# tail\ w) \models F$ **by** *simp*

thus $w \models Enabled\ F$ **by** (*auto simp: enabled-def*)

qed

theorem *enabledE*:

assumes $s \models Enabled\ F$ **and** $\bigwedge u. (first\ s \#\# u) \models F \implies Q$

shows Q

using *assms unfolding enabled-def by blast*

lemma *enabled-mono*:

assumes $w \models Enabled\ F$ **and** $\vdash F \longrightarrow G$

shows $w \models Enabled\ G$

using *assms[unlifted] unfolding enabled-def by blast*

lemma *Enabled-disj1*: $\vdash Enabled\ F \longrightarrow Enabled\ (F \vee G)$

by (*auto simp: enabled-def*)

lemma *Enabled-disj2*: $\vdash Enabled\ F \longrightarrow Enabled\ (G \vee F)$

by (*auto simp: enabled-def*)

lemma *Enabled-conj1*: $\vdash Enabled\ (F \wedge G) \longrightarrow Enabled\ F$

by (*auto simp: enabled-def*)

lemma *Enabled-conj2*: $\vdash Enabled\ (G \wedge F) \longrightarrow Enabled\ F$

by (*auto simp: enabled-def*)

lemma *Enabled-disjD*: $\vdash Enabled\ (F \vee G) \longrightarrow Enabled\ F \vee Enabled\ G$

by (*auto simp: enabled-def*)

lemma *Enabled-disj*: $\vdash Enabled\ (F \vee G) = (Enabled\ F \vee Enabled\ G)$

by (*auto simp: enabled-def*)

lemmas *enabled-disj-rew* = *Enabled-disj[int-rewrite]*

lemma *Enabled-ex*: $\vdash Enabled\ (\exists x. F\ x) = (\exists x. Enabled\ (F\ x))$

by (force simp: enabled-def)

6.2 Fairness Properties

lemma *WF-alt*: $\vdash WF(A)-v = (\Box\Diamond\neg Enabled \langle A \rangle -v \vee \Box\Diamond\langle A \rangle -v)$

proof –

have $\vdash WF(A)-v = (\neg\Box\Diamond Enabled \langle A \rangle -v \vee \Box\Diamond\langle A \rangle -v)$ by (auto simp: WeakF-def)

thus ?thesis by (simp add: dualization-rew)

qed

lemma *SF-alt*: $\vdash SF(A)-v = (\Diamond\Box\neg Enabled \langle A \rangle -v \vee \Box\Diamond\langle A \rangle -v)$

proof –

have $\vdash SF(A)-v = (\neg\Box\Diamond Enabled \langle A \rangle -v \vee \Box\Diamond\langle A \rangle -v)$ by (auto simp: StrongF-def)

thus ?thesis by (simp add: dualization-rew)

qed

lemma *alwaysWFI*: $\vdash WF(A)-v \longrightarrow \Box WF(A)-v$

unfolding *WF-alt*[*int-rewrite*] by (rule MM6)

theorem *WF-always*[*simp-unl*]: $\vdash \Box WF(A)-v = WF(A)-v$

by (rule int-iffI[OF ax1 alwaysWFI])

theorem *WF-eventually*[*simp-unl*]: $\vdash \Diamond WF(A)-v = WF(A)-v$

proof –

have $1: \vdash \neg WF(A)-v = (\Diamond\Box Enabled \langle A \rangle -v \wedge \neg \Box\Diamond\langle A \rangle -v)$

by (auto simp: WeakF-def)

have $\vdash \Box\neg WF(A)-v = \neg WF(A)-v$

by (simp add: 1[int-rewrite] STL5[int-rewrite] dualization-rew)

thus ?thesis

by (auto simp: eventually-def)

qed

lemma *alwaysSFI*: $\vdash SF(A)-v \longrightarrow \Box SF(A)-v$

proof –

have $\vdash \Box\Diamond\Box\neg Enabled \langle A \rangle -v \vee \Box\Diamond\langle A \rangle -v \longrightarrow \Box(\Box\Diamond\Box\neg Enabled \langle A \rangle -v \vee \Box\Diamond\langle A \rangle -v)$

by (rule MM6)

thus ?thesis unfolding *SF-alt*[*int-rewrite*] by simp

qed

theorem *SF-always*[*simp-unl*]: $\vdash \Box SF(A)-v = SF(A)-v$

by (rule int-iffI[OF ax1 alwaysSFI])

theorem *SF-eventually*[*simp-unl*]: $\vdash \Diamond SF(A)-v = SF(A)-v$

proof –

have $1: \vdash \neg SF(A)-v = (\Box\Diamond Enabled \langle A \rangle -v \wedge \neg \Box\Diamond\langle A \rangle -v)$

by (auto simp: StrongF-def)

have $\vdash \Box\neg SF(A)-v = \neg SF(A)-v$

by (simp add: 1[int-rewrite] STL5[int-rewrite] dualization-rew)

thus ?thesis

by (auto simp: eventually-def)
qed

theorem *SF-imp-WF*: $\vdash SF(A)-v \longrightarrow WF(A)-v$
unfolding *WeakF-def StrongF-def* by (auto dest: E20[unlift-rule])

lemma *enabled-WFSF*: $\vdash \Box Enabled \langle F \rangle -v \longrightarrow (WF(F)-v = SF(F)-v)$

proof –
have $\vdash \Box Enabled \langle F \rangle -v \longrightarrow \Diamond \Box Enabled \langle F \rangle -v$ by (rule E3)
hence $\vdash \Box Enabled \langle F \rangle -v \longrightarrow WF(F)-v \longrightarrow SF(F)-v$ by (auto simp: WeakF-def StrongF-def)
moreover
have $\vdash \Box Enabled \langle F \rangle -v \longrightarrow \Box \Diamond Enabled \langle F \rangle -v$ by (rule STL4[OF E3])
hence $\vdash \Box Enabled \langle F \rangle -v \longrightarrow SF(F)-v \longrightarrow WF(F)-v$ by (auto simp: WeakF-def StrongF-def)
ultimately show ?thesis by force
qed

theorem *WF1-general*:

assumes *h1*: $\vdash \sim P \wedge N \longrightarrow \Box P \vee \Box Q$
and *h2*: $\vdash \sim P \wedge N \wedge \langle A \rangle -v \longrightarrow \Box Q$
and *h3*: $\vdash P \wedge N \longrightarrow Enabled \langle A \rangle -v$
and *h4*: $\vdash \sim P \wedge Unchanged w \longrightarrow \Box P$
shows $\vdash \Box N \wedge WF(A)-v \longrightarrow (P \rightsquigarrow Q)$
proof –
have $\vdash \Box(\Box N \wedge WF(A)-v) \longrightarrow \Box(\Box P \longrightarrow \Diamond \langle A \rangle -v)$
proof (rule STL4)
have $\vdash \Box(P \wedge N) \longrightarrow \Diamond \Box Enabled \langle A \rangle -v$ by (rule lift-imp-trans[OF h3[THEN STL4] E3])
hence $\vdash \Box P \wedge \Box N \wedge WF(A)-v \longrightarrow \Box \Diamond \langle A \rangle -v$ by (auto simp: WeakF-def STL5[int-rewrite])
with ax1[of TEMP $\Diamond \langle A \rangle -v$] show $\vdash \Box N \wedge WF(A)-v \longrightarrow \Box P \longrightarrow \Diamond \langle A \rangle -v$ by force
qed
hence $\vdash \Box N \wedge WF(A)-v \longrightarrow \Box(\Box P \longrightarrow \Diamond \langle A \rangle -v)$
by (simp add: STL5[int-rewrite])
with AA22[OF h1 h2 h4] show ?thesis by force
qed

Lamport's version of the rule is derived as a special case.

theorem *WF1*:

assumes *h1*: $\vdash \sim P \wedge [N]-v \longrightarrow \Box P \vee \Box Q$
and *h2*: $\vdash \sim P \wedge \langle N \wedge A \rangle -v \longrightarrow \Box Q$
and *h3*: $\vdash P \longrightarrow Enabled \langle A \rangle -v$
and *h4*: $\vdash \sim P \wedge Unchanged v \longrightarrow \Box P$
shows $\vdash \Box [N]-v \wedge WF(A)-v \longrightarrow (P \rightsquigarrow Q)$
proof –
have $\vdash \Box \Box [N]-v \wedge WF(A)-v \longrightarrow (P \rightsquigarrow Q)$
proof (rule WF1-general)

```

    from h1 T9[of N v] show  $|\sim P \wedge \Box[N]-v \longrightarrow \bigcirc P \vee \bigcirc Q$  by force
  next
    from T9[of N v] have  $|\sim P \wedge \Box[N]-v \wedge \langle A \rangle -v \longrightarrow P \wedge \langle N \wedge A \rangle -v$ 
      by (auto simp: actrans-def angle-actrans-def)
    from this h2 show  $|\sim P \wedge \Box[N]-v \wedge \langle A \rangle -v \longrightarrow \bigcirc Q$  by (rule pref-imp-trans)
  next
    from h3 T9[of N v] show  $\vdash P \wedge \Box[N]-v \longrightarrow \text{Enabled } \langle A \rangle -v$  by force
  qed (rule h4)
  thus ?thesis by simp
qed

```

The corresponding rule for strong fairness has an additional hypothesis $\Box F$, which is typically a conjunction of other fairness properties used to prove that the helpful action eventually becomes enabled.

theorem SF1-general:

```

  assumes h1:  $|\sim P \wedge N \longrightarrow \bigcirc P \vee \bigcirc Q$ 
    and h2:  $|\sim P \wedge N \wedge \langle A \rangle -v \longrightarrow \bigcirc Q$ 
    and h3:  $\vdash \Box P \wedge \Box N \wedge \Box F \longrightarrow \Diamond \text{Enabled } \langle A \rangle -v$ 
    and h4:  $|\sim P \wedge \text{Unchanged } w \longrightarrow \bigcirc P$ 
  shows  $\vdash \Box N \wedge SF(A)-v \wedge \Box F \longrightarrow (P \rightsquigarrow Q)$ 
proof -
  have  $\vdash \Box(\Box N \wedge SF(A)-v \wedge \Box F) \longrightarrow \Box(\Box P \longrightarrow \Diamond \langle A \rangle -v)$ 
proof (rule STL4)
  have  $\vdash \Box(\Box P \wedge \Box N \wedge \Box F) \longrightarrow \Box \Diamond \text{Enabled } \langle A \rangle -v$  by (rule STL4[OF h3])
  hence  $\vdash \Box P \wedge \Box N \wedge \Box F \wedge SF(A)-v \longrightarrow \Box \Diamond \langle A \rangle -v$  by (auto simp: StrongF-def STL5[int-rewrite])
  with ax1[of TEMP  $\Diamond \langle A \rangle -v$ ] show  $\vdash \Box N \wedge SF(A)-v \wedge \Box F \longrightarrow \Box P \longrightarrow \Diamond \langle A \rangle -v$ 
by force
qed
  hence  $\vdash \Box N \wedge SF(A)-v \wedge \Box F \longrightarrow \Box(\Box P \longrightarrow \Diamond \langle A \rangle -v)$ 
  by (simp add: STL5[int-rewrite])
  with AA22[OF h1 h2 h4] show ?thesis by force
qed

```

theorem SF1:

```

  assumes h1:  $|\sim P \wedge [N]-v \longrightarrow \bigcirc P \vee \bigcirc Q$ 
    and h2:  $|\sim P \wedge \langle N \wedge A \rangle -v \longrightarrow \bigcirc Q$ 
    and h3:  $\vdash \Box P \wedge \Box[N]-v \wedge \Box F \longrightarrow \Diamond \text{Enabled } \langle A \rangle -v$ 
    and h4:  $|\sim P \wedge \text{Unchanged } v \longrightarrow \bigcirc P$ 
  shows  $\vdash \Box[N]-v \wedge SF(A)-v \wedge \Box F \longrightarrow (P \rightsquigarrow Q)$ 
proof -
  have  $\vdash \Box \Box[N]-v \wedge SF(A)-v \wedge \Box F \longrightarrow (P \rightsquigarrow Q)$ 
proof (rule SF1-general)
  from h1 T9[of N v] show  $|\sim P \wedge \Box[N]-v \longrightarrow \bigcirc P \vee \bigcirc Q$  by force
  next
    from T9[of N v] have  $|\sim P \wedge \Box[N]-v \wedge \langle A \rangle -v \longrightarrow P \wedge \langle N \wedge A \rangle -v$ 
      by (auto simp: actrans-def angle-actrans-def)
    from this h2 show  $|\sim P \wedge \Box[N]-v \wedge \langle A \rangle -v \longrightarrow \bigcirc Q$  by (rule pref-imp-trans)
  next

```

from $h3$ **show** $\vdash \Box P \wedge \Box\Box[N]-v \wedge \Box F \longrightarrow \Diamond Enabled \langle A \rangle -v$ **by** *simp*
qed (*rule* $h4$)
thus *?thesis* **by** *simp*
qed

Lamport proposes the following rule as an introduction rule for *WF* formulas.

theorem *WF2*:

assumes $h1: \vdash \langle N \wedge B \rangle -f \longrightarrow \langle M \rangle -g$
and $h2: \vdash P \wedge \Box P \wedge \langle N \wedge A \rangle -f \longrightarrow B$
and $h3: \vdash P \wedge Enabled \langle M \rangle -g \longrightarrow Enabled \langle A \rangle -f$
and $h4: \vdash \Box[N \wedge \neg B]-f \wedge WF(A)-f \wedge \Box F \wedge \Diamond\Box Enabled \langle M \rangle -g \longrightarrow \Diamond\Box P$
shows $\vdash \Box[N]-f \wedge WF(A)-f \wedge \Box F \longrightarrow WF(M)-g$
proof –
have $\vdash \Box[N]-f \wedge WF(A)-f \wedge \Box F \wedge \Diamond\Box Enabled \langle M \rangle -g \wedge \neg\Box\Diamond\langle M \rangle -g \longrightarrow \Box\Diamond\langle M \rangle -g$
proof –
have $1: \vdash \Box[N]-f \wedge WF(A)-f \wedge \Box F \wedge \Diamond\Box Enabled \langle M \rangle -g \wedge \neg\Box\Diamond\langle M \rangle -g \longrightarrow \Diamond\Box P$
proof –
have $A: \vdash \Box[N]-f \wedge WF(A)-f \wedge \Box F \wedge \Diamond\Box Enabled \langle M \rangle -g \wedge \neg\Box\Diamond\langle M \rangle -g \longrightarrow \Box(\Box[N]-f \wedge WF(A)-f \wedge \Box F) \wedge \Diamond\Box(\Diamond\Box Enabled \langle M \rangle -g \wedge \Box[\neg M]-g)$
unfolding *STL6[int-rewrite]*
by (*auto simp: STL5[int-rewrite] dualization-rew*)
have $B: \vdash \Box(\Box[N]-f \wedge WF(A)-f \wedge \Box F) \wedge \Diamond\Box(\Diamond\Box Enabled \langle M \rangle -g \wedge \Box[\neg M]-g)$
 \longrightarrow
 $\Diamond((\Box[N]-f \wedge WF(A)-f \wedge \Box F) \wedge \Box(\Diamond\Box Enabled \langle M \rangle -g \wedge \Box[\neg M]-g))$
by (*rule SE2*)
from *lift-imp-trans[OF A B]*
have $\vdash \Box[N]-f \wedge WF(A)-f \wedge \Box F \wedge \Diamond\Box Enabled \langle M \rangle -g \wedge \neg\Box\Diamond\langle M \rangle -g \longrightarrow \Diamond((\Box[N]-f \wedge WF(A)-f \wedge \Box F) \wedge (\Diamond\Box Enabled \langle M \rangle -g \wedge \Box[\neg M]-g))$
by (*simp add: STL5[int-rewrite]*)
moreover
from $h1$ **have** $\vdash [N]-f \longrightarrow [\neg M]-g \longrightarrow [N \wedge \neg B]-f$ **by** (*auto simp: actrans-def angle-actrans-def*)
hence $\vdash \Box[[N]-f]-f \longrightarrow \Box[[\neg M]-g] \longrightarrow [N \wedge \neg B]-f$ **by** (*rule M2*)
from *lift-imp-trans[OF this ax4]* **have** $\vdash \Box[N]-f \wedge \Box[\neg M]-g \longrightarrow \Box[N \wedge \neg B]-f$
by (*force intro: T4[unlift-rule]*)
with $h4$ **have** $\vdash (\Box[N]-f \wedge WF(A)-f \wedge \Box F) \wedge (\Diamond\Box Enabled \langle M \rangle -g \wedge \Box[\neg M]-g)$
 $\longrightarrow \Diamond\Box P$
by *force*
from *STL4-eve[OF this]*
have $\vdash \Diamond((\Box[N]-f \wedge WF(A)-f \wedge \Box F) \wedge (\Diamond\Box Enabled \langle M \rangle -g \wedge \Box[\neg M]-g))$
 $\longrightarrow \Diamond\Box P$ **by** *simp*
ultimately
show *?thesis* **by** (*rule lift-imp-trans*)
qed
have $2: \vdash \Box[N]-f \wedge WF(A)-f \wedge \Diamond\Box Enabled \langle M \rangle -g \wedge \Diamond\Box P \longrightarrow \Box\Diamond\langle M \rangle -g$
proof –

have $A: \vdash \Diamond \Box P \wedge \Diamond \Box \text{Enabled } \langle M \rangle\text{-}g \wedge \text{WF}(A)\text{-}f \longrightarrow \Box \Diamond \langle A \rangle\text{-}f$
using $h3[\text{THEN } STL4, \text{ THEN } STL4\text{-}eve]$ **by** $(\text{auto simp: } STL6[\text{int-rewrite}] \text{ WeakF-def})$
have $B: \vdash \Box [N]\text{-}f \wedge \Diamond \Box P \wedge \Box \Diamond \langle A \rangle\text{-}f \longrightarrow \Box \Diamond \langle M \rangle\text{-}g$
proof –
from $M1[\text{of } P f]$ **have** $\vdash \Box P \wedge \Box \Diamond \langle N \wedge A \rangle\text{-}f \longrightarrow \Box \Diamond \langle (P \wedge \circ P) \wedge (N \wedge A) \rangle\text{-}f$
by $(\text{force intro: } AA29[\text{unlift-rule}])$
hence $\vdash \Box \Diamond (\Box P \wedge \Box \Diamond \langle N \wedge A \rangle\text{-}f) \longrightarrow \Diamond \Box \Diamond \langle (P \wedge \circ P) \wedge (N \wedge A) \rangle\text{-}f$
by $(\text{rule } STL4\text{-}eve[\text{OF } STL4])$
hence $\vdash \Box \Diamond P \wedge \Box \Diamond \langle N \wedge A \rangle\text{-}f \longrightarrow \Box \Diamond \langle (P \wedge \circ P) \wedge (N \wedge A) \rangle\text{-}f$
by $(\text{simp add: } STL6[\text{int-rewrite}])$
with $AA29[\text{of } N f A]$
have $B1: \vdash \Box [N]\text{-}f \wedge \Diamond \Box P \wedge \Box \Diamond \langle A \rangle\text{-}f \longrightarrow \Box \Diamond \langle (P \wedge \circ P) \wedge (N \wedge A) \rangle\text{-}f$
by force
from $h2$ **have** $\vdash \langle (P \wedge \circ P) \wedge (N \wedge A) \rangle\text{-}f \longrightarrow \langle N \wedge B \rangle\text{-}f$
by $(\text{auto simp: angle-actrans-sem}[\text{unlifted}])$
from $B1$ **this** $[\text{THEN } AA25, \text{ THEN } STL4]$ **have** $\vdash \Box [N]\text{-}f \wedge \Diamond \Box P \wedge \Box \Diamond \langle A \rangle\text{-}f \longrightarrow \Box \Diamond \langle N \wedge B \rangle\text{-}f$
by $(\text{rule lift-imp-trans})$
moreover
have $\vdash \Box \Diamond \langle N \wedge B \rangle\text{-}f \longrightarrow \Box \Diamond \langle M \rangle\text{-}g$ **by** $(\text{rule } h1[\text{THEN } AA25, \text{ THEN } STL4])$
ultimately show $?thesis$ **by** $(\text{rule lift-imp-trans})$
qed
from $A B$ **show** $?thesis$ **by force**
qed
from $1\ 2$ **show** $?thesis$ **by force**
qed
thus $?thesis$ **by** $(\text{auto simp: WeakF-def})$
qed

Lamport proposes an analogous theorem for introducing strong fairness, and its proof is very similar, in fact, it was obtained by copy and paste, with minimal modifications.

theorem SF2:

assumes $h1: \vdash \langle N \wedge B \rangle\text{-}f \longrightarrow \langle M \rangle\text{-}g$
and $h2: \vdash P \wedge \circ P \wedge \langle N \wedge A \rangle\text{-}f \longrightarrow B$
and $h3: \vdash P \wedge \text{Enabled } \langle M \rangle\text{-}g \longrightarrow \text{Enabled } \langle A \rangle\text{-}f$
and $h4: \vdash \Box [N \wedge \neg B]\text{-}f \wedge SF(A)\text{-}f \wedge \Box F \wedge \Box \Diamond \text{Enabled } \langle M \rangle\text{-}g \longrightarrow \Diamond \Box P$
shows $\vdash \Box [N]\text{-}f \wedge SF(A)\text{-}f \wedge \Box F \longrightarrow SF(M)\text{-}g$
proof –
have $\vdash \Box [N]\text{-}f \wedge SF(A)\text{-}f \wedge \Box F \wedge \Box \Diamond \text{Enabled } \langle M \rangle\text{-}g \wedge \neg \Box \Diamond \langle M \rangle\text{-}g \longrightarrow \Box \Diamond \langle M \rangle\text{-}g$
proof –
have $1: \vdash \Box [N]\text{-}f \wedge SF(A)\text{-}f \wedge \Box F \wedge \Box \Diamond \text{Enabled } \langle M \rangle\text{-}g \wedge \neg \Box \Diamond \langle M \rangle\text{-}g \longrightarrow \Diamond \Box P$
proof –
have $A: \vdash \Box [N]\text{-}f \wedge SF(A)\text{-}f \wedge \Box F \wedge \Box \Diamond \text{Enabled } \langle M \rangle\text{-}g \wedge \neg \Box \Diamond \langle M \rangle\text{-}g \longrightarrow \Box (\Box [N]\text{-}f \wedge SF(A)\text{-}f \wedge \Box F) \wedge \Diamond \Box (\Box \Diamond \text{Enabled } \langle M \rangle\text{-}g \wedge \Box [\neg M]\text{-}g)$

unfolding $STL6[int\text{-}rewrite]$
by (*auto simp: STL5[int-rewrite] dualization-rew*)
have $B: \vdash \Box(\Box[N]\text{-}f \wedge SF(A)\text{-}f \wedge \Box F) \wedge \Diamond\Box(\Box\Diamond Enabled \langle M \rangle\text{-}g \wedge \Box[\neg M]\text{-}g)$
 \longrightarrow
 $\Diamond((\Box[N]\text{-}f \wedge SF(A)\text{-}f \wedge \Box F) \wedge \Box(\Box\Diamond Enabled \langle M \rangle\text{-}g \wedge \Box[\neg M]\text{-}g))$
by (*rule SE2*)
from *lift-imp-trans[OF A B]*
have $\vdash \Box[N]\text{-}f \wedge SF(A)\text{-}f \wedge \Box F \wedge \Box\Diamond Enabled \langle M \rangle\text{-}g \wedge \neg\Box\Diamond\langle M \rangle\text{-}g \longrightarrow$
 $\Diamond((\Box[N]\text{-}f \wedge SF(A)\text{-}f \wedge \Box F) \wedge (\Box\Diamond Enabled \langle M \rangle\text{-}g \wedge \Box[\neg M]\text{-}g))$
by (*simp add: STL5[int-rewrite]*)
moreover
from $h1$ **have** $|\sim [N]\text{-}f \longrightarrow [\neg M]\text{-}g \longrightarrow [N \wedge \neg B]\text{-}f$ **by** (*auto simp: actrans-def angle-actrans-def*)
hence $\vdash \Box[[N]\text{-}f] \longrightarrow \Box[[\neg M]\text{-}g] \longrightarrow [N \wedge \neg B]\text{-}f$ **by** (*rule M2*)
from *lift-imp-trans[OF this ax4]* **have** $\vdash \Box[N]\text{-}f \wedge \Box[\neg M]\text{-}g \longrightarrow \Box[N \wedge \neg B]\text{-}f$
by (*force intro: T4[unlift-rule]*)
with $h4$ **have** $\vdash (\Box[N]\text{-}f \wedge SF(A)\text{-}f \wedge \Box F) \wedge (\Box\Diamond Enabled \langle M \rangle\text{-}g \wedge \Box[\neg M]\text{-}g)$
 $\longrightarrow \Diamond\Box P$
by *force*
from *STL4-eve[OF this]*
have $\vdash \Diamond((\Box[N]\text{-}f \wedge SF(A)\text{-}f \wedge \Box F) \wedge (\Box\Diamond Enabled \langle M \rangle\text{-}g \wedge \Box[\neg M]\text{-}g)) \longrightarrow$
 $\Diamond\Box P$ **by** *simp*
ultimately
show *?thesis* **by** (*rule lift-imp-trans*)
qed
have $2: \vdash \Box[N]\text{-}f \wedge SF(A)\text{-}f \wedge \Box\Diamond Enabled \langle M \rangle\text{-}g \wedge \Diamond\Box P \longrightarrow \Box\Diamond\langle M \rangle\text{-}g$
proof –
have $\vdash \Box\Diamond(P \wedge Enabled \langle M \rangle\text{-}g) \wedge SF(A)\text{-}f \longrightarrow \Box\Diamond\langle A \rangle\text{-}f$
using $h3[THEN STL4\text{-}eve, THEN STL4]$ **by** (*auto simp: StrongF-def*)
with $E28$ **have** $A: \vdash \Diamond\Box P \wedge \Box\Diamond Enabled \langle M \rangle\text{-}g \wedge SF(A)\text{-}f \longrightarrow \Box\Diamond\langle A \rangle\text{-}f$
by *force*
have $B: \vdash \Box[N]\text{-}f \wedge \Diamond\Box P \wedge \Box\Diamond\langle A \rangle\text{-}f \longrightarrow \Box\Diamond\langle M \rangle\text{-}g$
proof –
from $M1[of P f]$ **have** $\vdash \Box P \wedge \Box\Diamond\langle N \wedge A \rangle\text{-}f \longrightarrow \Box\Diamond\langle (P \wedge \circ P) \wedge (N \wedge A) \rangle\text{-}f$
by (*force intro: AA29[unlift-rule]*)
hence $\vdash \Diamond\Box(\Box P \wedge \Box\Diamond\langle N \wedge A \rangle\text{-}f) \longrightarrow \Diamond\Box\Box\Diamond\langle (P \wedge \circ P) \wedge (N \wedge A) \rangle\text{-}f$
by (*rule STL4-eve[OF STL4]*)
hence $\vdash \Diamond\Box P \wedge \Box\Diamond\langle N \wedge A \rangle\text{-}f \longrightarrow \Box\Diamond\langle (P \wedge \circ P) \wedge (N \wedge A) \rangle\text{-}f$
by (*simp add: STL6[int-rewrite]*)
with $AA29[of N f A]$
have $B1: \vdash \Box[N]\text{-}f \wedge \Diamond\Box P \wedge \Box\Diamond\langle A \rangle\text{-}f \longrightarrow \Box\Diamond\langle (P \wedge \circ P) \wedge (N \wedge A) \rangle\text{-}f$
by *force*
from $h2$ **have** $|\sim \langle (P \wedge \circ P) \wedge (N \wedge A) \rangle\text{-}f \longrightarrow \langle N \wedge B \rangle\text{-}f$
by (*auto simp: angle-actrans-sem[unlifted]*)
from $B1$ *this[THEN AA25, THEN STL4]* **have** $\vdash \Box[N]\text{-}f \wedge \Diamond\Box P \wedge \Box\Diamond\langle A \rangle\text{-}f$
 $\longrightarrow \Box\Diamond\langle N \wedge B \rangle\text{-}f$
by (*rule lift-imp-trans*)
moreover

```

      have  $\vdash \Box\Diamond\langle N \wedge B \rangle\text{-}f \longrightarrow \Box\Diamond\langle M \rangle\text{-}g$  by (rule h1[THEN AA25, THEN
STL4])
      ultimately show ?thesis by (rule lift-imp-trans)
    qed
    from A B show ?thesis by force
  qed
  from 1 2 show ?thesis by force
qed
thus ?thesis by (auto simp: StrongF-def)
qed

```

This is the lattice rule from TLA

```

theorem wf-leadsto:
  assumes h1: wf r
    and h2:  $\bigwedge x. \vdash F x \rightsquigarrow (G \vee (\exists y. \#((y,x) \in r) \wedge F y))$ 
  shows  $\vdash F x \rightsquigarrow G$ 
using h1
proof (rule wf-induct)
  fix x
  assume ih:  $\forall y. (y, x) \in r \longrightarrow (\vdash F y \rightsquigarrow G)$ 
  show  $\vdash F x \rightsquigarrow G$ 
  proof -
    from ih have  $\vdash (\exists y. \#((y,x) \in r) \wedge F y) \rightsquigarrow G$ 
      by (force simp: LT21[int-rewrite] LT33[int-rewrite])
    with h2 show ?thesis by (force intro: LT19[unlift-rule])
  qed
qed

```

6.3 Stuttering Invariance

```

theorem stut-Enabled: STUTINV Enabled  $\langle F \rangle\text{-}v$ 
  by (auto simp: enabled-def stutinv-def dest!: sim-first)

theorem stut-WF: NSTUTINV F  $\implies$  STUTINV WF(F) $\text{-}v$ 
  by (auto simp: WeakF-def stut-Enabled bothstutinv)

theorem stut-SF: NSTUTINV F  $\implies$  STUTINV SF(F) $\text{-}v$ 
  by (auto simp: StrongF-def stut-Enabled bothstutinv)

lemmas livestutinv = stut-WF stut-SF stut-Enabled

end

```

7 Representing state in TLA*

```

theory State
imports Liveness
begin

```

We adopt the hidden state approach, as used in the existing Isabelle/HOL TLA embedding [7]. This approach is also used in [3]. Here, a state space is defined by its projections, and everything else is unknown. Thus, a variable is a projection of the state space, and has the same type as a state function. Moreover, strong typing is achieved, since the projection function may have any result type. To achieve this, the state space is represented by an undefined type, which is an instance of the *world* class to enable use with the *Intensional* theory.

```
typedecl state
```

```
instance state :: world ..
```

```
type-synonym 'a statefun = (state, 'a) stfun
```

```
type-synonym statepred = bool statefun
```

```
type-synonym 'a tempfun = (state, 'a) formfun
```

```
type-synonym temporal = state formula
```

Formalizing type state would require formulas to be tagged with their underlying state space and would result in a system that is much harder to use. (Unlike Hoare logic or Unity, TLA has quantification over state variables, and therefore one usually works with different state spaces within a single specification.) Instead, state is just an anonymous type whose only purpose is to provide Skolem constants. Moreover, we do not define a type of state variables separate from that of arbitrary state functions, again in order to simplify the definition of flexible quantification later on. Nevertheless, we need to distinguish state variables, mainly to define the enabledness of actions. The user identifies (tuples of) “base” state variables in a specification via the “meta predicate” *basevars*, which is defined here.

```
definition stvars :: 'a statefun  $\Rightarrow$  bool
```

```
where basevars-def: stvars  $\equiv$  surj
```

```
syntax
```

```
PRED :: lift  $\Rightarrow$  'a (PRED -)
```

```
-stvars :: lift  $\Rightarrow$  bool (basevars -)
```

```
translations
```

```
PRED P  $\rightarrow$  (P::state  $\Rightarrow$  -)
```

```
-stvars  $\Leftarrow$  CONST stvars
```

Base variables may be assigned arbitrary (type-correct) values. In the following lemma, note that *vs* may be a tuple of variables. The correct identification of base variables is up to the user who must take care not to introduce an inconsistency. For example, *basevars* (*x*, *x*) would definitely be inconsistent.

```
lemma basevars: basevars vs  $\implies \exists u. vs\ u = c$ 
```

```
proof (unfold basevars-def surj-def)
```

```

assume  $\forall y. \exists x. y = vs\ x$ 
then obtain  $x$  where  $c = vs\ x$  by blast
thus  $\exists u. vs\ u = c$  by blast
qed

```

```

lemma baseE:
  assumes  $H1: basevars\ v$  and  $H2: \bigwedge x. v\ x = c \implies Q$ 
  shows  $Q$ 
  using  $H1[THEN\ basevars]\ H2$  by auto

```

A variant written for sequences rather than single states.

```

lemma first-baseE:
  assumes  $H1: basevars\ v$  and  $H2: \bigwedge x. v\ (first\ x) = c \implies Q$ 
  shows  $Q$ 
  using  $H1[THEN\ basevars]\ H2$  by (force simp: first-def)

```

```

lemma base-pair1:
  assumes  $h: basevars\ (x,y)$ 
  shows  $basevars\ x$ 
proof (auto simp: basevars-def)
  fix  $c$ 
  from  $h[THEN\ basevars]$  obtain  $s$  where  $(LIFT\ (x,y))\ s = (c, arbitrary)$  by
auto
  thus  $c \in range\ x$  by auto
qed

```

```

lemma base-pair2:
  assumes  $h: basevars\ (x,y)$ 
  shows  $basevars\ y$ 
proof (auto simp: basevars-def)
  fix  $d$ 
  from  $h[THEN\ basevars]$  obtain  $s$  where  $(LIFT\ (x,y))\ s = (arbitrary, d)$  by
auto
  thus  $d \in range\ y$  by auto
qed

```

```

lemma base-pair:  $basevars\ (x,y) \implies basevars\ x \wedge basevars\ y$ 
  by (auto elim: base-pair1 base-pair2)

```

Since the *unit* type has just one value, any state function of unit type satisfies the predicate *basevars*. The following theorem can sometimes be useful because it gives a trivial solution for *basevars* premises.

```

lemma unit-base:  $basevars\ (v::state \Rightarrow unit)$ 
  by (auto simp: basevars-def)

```

A pair of the form (x,x) will generally not satisfy the predicate *basevars* – except for pathological cases such as $x::unit$.

lemma

```

fixes  $x :: state \Rightarrow bool$ 
assumes  $h1: basevars\ (x,x)$ 
shows  $False$ 
proof -
  from  $h1$  have  $\exists u. (LIFT\ (x,x))\ u = (False, True)$  by (rule  $basevars$ )
  thus  $False$  by auto
qed

```

```

lemma
  fixes  $x :: state \Rightarrow nat$ 
  assumes  $h1: basevars\ (x,x)$ 
  shows  $False$ 
proof -
  from  $h1$  have  $\exists u. (LIFT\ (x,x))\ u = (0, 1)$  by (rule  $basevars$ )
  thus  $False$  by auto
qed

```

The following theorem reduces the reasoning about the existence of a state sequence satisfying an enabledness predicate to finding a suitable value c at the successor state for the base variables of the specification. This rule is intended for reasoning about standard TLA specifications, where *Enabled* is applied to actions, not arbitrary pre-formulas.

```

lemma base-enabled:
  assumes  $h1: basevars\ vs$ 
  and  $h2: \bigwedge u. vs\ (first\ u) = c \implies ((first\ s) \#\# u) \models F$ 
  shows  $s \models Enabled\ F$ 
using  $h1$  proof (rule first-baseE)
  fix  $t$ 
  assume  $vs\ (first\ t) = c$ 
  hence  $((first\ s) \#\# t) \models F$  by (rule  $h2$ )
  thus  $s \models Enabled\ F$  unfolding enabled-def by blast
qed

```

7.1 Temporal Quantifiers

In [5], Lamport gives a stuttering invariant definition of quantification over (flexible) variables. It relies on similarity of two sequences (as supported in our *Sequence* theory), and equivalence of two sequences up to a variable (the bound variable). However, sequence equivalence up to a variable, requires state equivalence up to a variable. Our state representation above does not support this, hence we cannot encode Lamport's definition in our theory. Thus, we need to axiomatise quantification over (flexible) variables. Note that with a state representation supporting this, our theory should allow such an encoding.

```

consts
   $EEx$        $:: ('a\ statefun \Rightarrow temporal) \Rightarrow temporal$       (binder  $Eex\ 10$ )
   $AAll$       $:: ('a\ statefun \Rightarrow temporal) \Rightarrow temporal$       (binder  $Aall\ 10$ )

```

syntax

-EE*x* :: [*idts*, *lift*] => *lift* (($\exists \exists \exists$ -./ -) [0,10] 10)
 -A*All* :: [*idts*, *lift*] => *lift* (($\exists \forall \forall$ -./ -) [0,10] 10)

translations

-EE*x v A* == *Eex v. A*
 -A*All v A* == *Aall v. A*

axiomatization where

eexI: $\vdash F\ x \longrightarrow (\exists \exists\ x. F\ x)$
and *eexE*: $\llbracket s \models (\exists \exists\ x. F\ x) ; \text{basevars } vs ; (!\ x. \llbracket \text{basevars } (x,vs) ; s \models F\ x \rrbracket \implies s \models G) \rrbracket$
 $\implies (s \models G)$
and *all-def*: $\vdash (\forall \forall\ x. F\ x) = (\neg(\exists \exists\ x. \neg(F\ x)))$
and *eexSTUT*: $STUTINV\ F\ x \implies STUTINV\ (\exists \exists\ x. F\ x)$
and *history*: $\vdash (I \wedge \Box[A]-v) = (\exists \exists\ h. (\$h = ha) \wedge I \wedge \Box[A \wedge h\$=hb]-(h,v))$

lemmas *eexI-unl* = *eexI[unlift-rule]* — $w \models F\ x \implies w \models (\exists \exists\ x. F\ x)$

tla-defs can be used to unfold TLA definitions into lowest predicate level.
 This is particularly useful for reasoning about enabledness of formulas.

lemmas *tla-defs* = *unch-def before-def after-def first-def second-def suffix-def*
tail-def nexts-def app-def angle-actrans-def actrans-def

end

8 A simple illustrative example

theory *Even*
imports *State*
begin

A trivial example illustrating invariant proofs in the logic, and how Isabelle/HOL can help with specification. It proves that *x* is always even in a program where *x* is initialized as 0 and always incremented by 2.

inductive-set

Even :: *nat set*

where

even-zero: $0 \in \text{Even}$
 | *even-step*: $n \in \text{Even} \implies \text{Suc } (\text{Suc } n) \in \text{Even}$

locale *Program* =

fixes *x* :: *state* \Rightarrow *nat*
and *init* :: *temporal*
and *act* :: *temporal*
and *phi* :: *temporal*

```

defines init  $\equiv$  TEMP  $\$x = \# 0$ 
and act  $\equiv$  TEMP  $x' = \text{Suc}<\text{Suc}<\$x>>$ 
and phi  $\equiv$  TEMP init  $\wedge \Box[\text{act}]\text{-}x$ 

lemma (in Program) stutinvprog: STUTINV phi
  by (auto simp: phi-def init-def act-def stutinus nstutinus)

lemma (in Program) inveven:  $\vdash \text{phi} \longrightarrow \Box(\$x \in \# \text{Even})$ 
  unfolding phi-def
proof (rule invmono)
  show  $\vdash \text{init} \longrightarrow \$x \in \# \text{Even}$ 
    by (auto simp: init-def even-zero)
next
  show  $\sim \$x \in \# \text{Even} \wedge [\text{act}]\text{-}x \longrightarrow \bigcirc(\$x \in \# \text{Even})$ 
    by (auto simp: act-def even-step tla-defs)
qed

end

```

9 Lamport's Inc example

```

theory Inc
imports State
begin

```

This example illustrates use of the embedding by mechanising the running example of Lamports original TLA paper [5].

```

datatype pcount = a | b | g

```

```

locale Firstprogram =
  fixes x :: state  $\Rightarrow$  nat
  and y :: state  $\Rightarrow$  nat
  and init :: temporal
  and m1 :: temporal
  and m2 :: temporal
  and phi :: temporal
  and Live :: temporal
  defines init  $\equiv$  TEMP  $\$x = \# 0 \wedge \$y = \# 0$ 
  and m1  $\equiv$  TEMP  $x' = \text{Suc}<\$x> \wedge y' = \$y$ 
  and m2  $\equiv$  TEMP  $y' = \text{Suc}<\$y> \wedge x' = \$x$ 
  and Live  $\equiv$  TEMP  $\text{WF}(m1)\text{-(}x,y) \wedge \text{WF}(m2)\text{-(}x,y)$ 
  and phi  $\equiv$  TEMP (init  $\wedge \Box[m1 \vee m2]\text{-(}x,y) \wedge \text{Live}$ )
  assumes bvar: basevars (x,y)

```

```

lemma (in Firstprogram) STUTINV phi
  by (auto simp: phi-def init-def m1-def m2-def Live-def stutinus nstutinus lives-
tutinv)

```



```

lemma (in Firstprogram) enabled-m1:  $\vdash \text{Enabled } \langle m1 \rangle\text{-(}x,y\text{)}$ 
proof (clarify)
  fix s
  show  $s \models \text{Enabled } \langle m1 \rangle\text{-(}x,y\text{)}$ 
    by (rule base-enabled[OF bvar]) (auto simp: m1-def tla-defs)
qed

```

```

lemma (in Firstprogram) enabled-m2:  $\vdash \text{Enabled } \langle m2 \rangle\text{-(}x,y\text{)}$ 
proof (clarify)
  fix s
  show  $s \models \text{Enabled } \langle m2 \rangle\text{-(}x,y\text{)}$ 
    by (rule base-enabled[OF bvar]) (auto simp: m2-def tla-defs)
qed

```

```

locale Secondprogram = Firstprogram +
  fixes sem :: state  $\Rightarrow$  nat
  and pc1 :: state  $\Rightarrow$  pcount
  and pc2 :: state  $\Rightarrow$  pcount
  and vars
  and initPsi :: temporal
  and alpha1 :: temporal
  and alpha2 :: temporal
  and beta1 :: temporal
  and beta2 :: temporal
  and gamma1 :: temporal
  and gamma2 :: temporal
  and n1 :: temporal
  and n2 :: temporal
  and Live2 :: temporal
  and psi :: temporal
  and I :: temporal
  defines vars  $\equiv$  LIFT (x,y,sem,pc1,pc2)
  and initPsi  $\equiv$  TEMP  $\$pc1 = \# a \wedge \$pc2 = \# a \wedge \$x = \# 0 \wedge \$y = \# 0 \wedge$ 
 $\$sem = \# 1$ 
  and alpha1  $\equiv$  TEMP  $\$pc1 = \# a \wedge \# 0 < \$sem \wedge pc1\$ = \# b \wedge sem\$ = \$sem$ 
 $- \# 1 \wedge \text{Unchanged } (x,y,pc2)$ 
  and alpha2  $\equiv$  TEMP  $\$pc2 = \# a \wedge \# 0 < \$sem \wedge pc2' = \# b \wedge sem\$ = \$sem$ 
 $- \# 1 \wedge \text{Unchanged } (x,y,pc1)$ 
  and beta1  $\equiv$  TEMP  $\$pc1 = \# b \wedge pc1' = \# g \wedge x' = \text{Suc}<\$x> \wedge \text{Unchanged}$ 
 $(y,sem,pc2)$ 
  and beta2  $\equiv$  TEMP  $\$pc2 = \# b \wedge pc2' = \# g \wedge y' = \text{Suc}<\$y> \wedge \text{Unchanged}$ 
 $(x,sem,pc1)$ 
  and gamma1  $\equiv$  TEMP  $\$pc1 = \# g \wedge pc1' = \# a \wedge sem' = \text{Suc}<\$sem> \wedge$ 
 $\text{Unchanged } (x,y,pc2)$ 
  and gamma2  $\equiv$  TEMP  $\$pc2 = \# g \wedge pc2' = \# a \wedge sem' = \text{Suc}<\$sem> \wedge$ 
 $\text{Unchanged } (x,y,pc1)$ 
  and n1  $\equiv$  TEMP (alpha1  $\vee$  beta1  $\vee$  gamma1)
  and n2  $\equiv$  TEMP (alpha2  $\vee$  beta2  $\vee$  gamma2)
  and Live2  $\equiv$  TEMP SF(n1)-vars  $\wedge$  SF(n2)-vars

```

and $psi \equiv TEMP (initPsi \wedge \Box[n1 \vee n2]\text{-vars} \wedge Live2)$
and $I \equiv TEMP (\$sem = \# 1 \wedge \$pc1 = \#a \wedge \$pc2 = \# a)$
 $\vee (\$sem = \# 0 \wedge ((\$pc1 = \#a \wedge \$pc2 \in \{\#b, \#g\})$
 $\vee (\$pc2 = \#a \wedge \$pc1 \in \{\#b, \#g\})))$
assumes $bvar2$: $basevars\ vars$

lemmas (in *Secondprogram*) $Sact2\text{-defs} = n1\text{-def } n2\text{-def } \alpha1\text{-def } \beta1\text{-def } \gamma1\text{-def } \alpha2\text{-def } \beta2\text{-def } \gamma2\text{-def}$

Proving invariants is the basis of every effort of system verification. We show that I is an inductive invariant of specification psi .

lemma (in *Secondprogram*) $psiI: \vdash psi \longrightarrow \Box I$

proof –

have $init: \vdash initPsi \longrightarrow I$ **by** (*auto simp: initPsi-def I-def*)
have $|\sim I \wedge Unchanged\ vars \longrightarrow \Box I$ **by** (*auto simp: I-def vars-def tla-defs*)
moreover
have $|\sim I \wedge n1 \longrightarrow \Box I$ **by** (*auto simp: I-def Sact2-defs tla-defs*)
moreover
have $|\sim I \wedge n2 \longrightarrow \Box I$ **by** (*auto simp: I-def Sact2-defs tla-defs*)
ultimately have $step: |\sim I \wedge [n1 \vee n2]\text{-vars} \longrightarrow \Box I$ **by** (*force simp: actrans-def*)
from $init\ step$ **have** $goal: \vdash initPsi \wedge \Box[n1 \vee n2]\text{-vars} \longrightarrow \Box I$ **by** (*rule invmono*)
have $\vdash initPsi \wedge \Box[n1 \vee n2]\text{-vars} \wedge Live2 \implies \vdash initPsi \wedge \Box[n1 \vee n2]\text{-vars}$
by *auto*
with $goal$ **show** $?thesis$ **unfolding** $psi\text{-def}$ **by** *auto*

qed

Using this invariant we now prove step simulation, i.e. the safety part of the refinement proof.

theorem (in *Secondprogram*) $step\text{-simulation}: \vdash psi \longrightarrow init \wedge \Box[m1 \vee m2]\text{-(}x,y\text{)}$

proof –

have $\vdash initPsi \wedge \Box I \wedge \Box[n1 \vee n2]\text{-vars} \longrightarrow init \wedge \Box[m1 \vee m2]\text{-(}x,y\text{)}$

proof (*rule refinement1*)

show $\vdash initPsi \longrightarrow init$ **by** (*auto simp: initPsi-def init-def*)

next

show $|\sim I \wedge \Box I \wedge [n1 \vee n2]\text{-vars} \longrightarrow [m1 \vee m2]\text{-(}x,y\text{)}$

by (*auto simp: I-def m1-def m2-def vars-def Sact2-defs tla-defs*)

qed

with $psiI$ **show** $?thesis$ **unfolding** $psi\text{-def}$ **by** *force*

qed

Liveness proofs require computing the enabledness conditions of actions. The first lemma below shows that all steps are visible, i.e. they change at least one variable.

lemma (in *Secondprogram*) $n1\text{-ch}: |\sim \langle n1 \rangle\text{-vars} = n1$

proof –

have $|\sim n1 \longrightarrow \langle n1 \rangle\text{-vars}$ **by** (*auto simp: Sact2-defs tla-defs vars-def*)

thus $?thesis$ **by** (*auto simp: angle-actrans-sem[int-rewrite]*)

qed

```

lemma (in Secondprogram) enab-alpha1:  $\vdash \$pc1 = \#a \longrightarrow \#0 < \$sem \longrightarrow$ 
  Enabled alpha1
proof (clarsimp simp: tla-defs)
  fix s :: state seq
  assume pc1 (s 0) = a and 0 < sem (s 0)
  thus s  $\models$  Enabled alpha1
    by (intro base-enabled[OF bvar2]) (auto simp: Sact2-defs tla-defs vars-def)
qed

lemma (in Secondprogram) enab-beta1:  $\vdash \$pc1 = \#b \longrightarrow$  Enabled beta1
proof (clarsimp simp: tla-defs)
  fix s :: state seq
  assume pc1 (s 0) = b
  thus s  $\models$  Enabled beta1
    by (intro base-enabled[OF bvar2]) (auto simp: Sact2-defs tla-defs vars-def)
qed

lemma (in Secondprogram) enab-gamma1:  $\vdash \$pc1 = \#g \longrightarrow$  Enabled gamma1
proof (clarsimp simp: tla-defs)
  fix s :: state seq
  assume pc1 (s 0) = g
  thus s  $\models$  Enabled gamma1
    by (intro base-enabled[OF bvar2]) (auto simp: Sact2-defs tla-defs vars-def)
qed

lemma (in Secondprogram) enab-n1:
   $\vdash$  Enabled  $\langle n1 \rangle$ -vars = ( $\$pc1 = \#a \longrightarrow \#0 < \$sem$ )
unfolding n1-ch[int-rewrite] proof (rule int-iffI)
  show  $\vdash$  Enabled n1  $\longrightarrow \$pc1 = \#a \longrightarrow \#0 < \$sem$ 
    by (auto elim!: enabledE simp: Sact2-defs tla-defs)
next
  show  $\vdash (\$pc1 = \#a \longrightarrow \#0 < \$sem) \longrightarrow$  Enabled n1
  proof (clarsimp simp: tla-defs)
    fix s :: state seq
    assume pc1 (s 0) = a  $\longrightarrow 0 < sem (s 0)$ 
    thus s  $\models$  Enabled n1
      using enab-alpha1[unlift-rule]
            enab-beta1[unlift-rule]
            enab-gamma1[unlift-rule]
      by (cases pc1 (s 0)) (force simp: n1-def Enabled-disj[int-rewrite] tla-defs)+
  qed
qed

```

The analogous properties for the second process are obtained by copy and paste.

```

lemma (in Secondprogram) n2-ch:  $|\sim \langle n2 \rangle$ -vars = n2
proof –
  have  $|\sim n2 \longrightarrow \langle n2 \rangle$ -vars by (auto simp: Sact2-defs tla-defs vars-def)

```

thus ?thesis by (auto simp: angle-actrans-sem[int-rewrite])
qed

lemma (in Secondprogram) enab-alpha2: $\vdash \$pc2 = \#a \longrightarrow \#0 < \$sem \longrightarrow Enabled\ alpha2$

proof (clarsimp simp: tla-defs)

fix s :: state seq

assume pc2 (s 0) = a and 0 < sem (s 0)

thus s $\models Enabled\ alpha2$

by (intro base-enabled[OF bvar2]) (auto simp: Sact2-defs tla-defs vars-def)

qed

lemma (in Secondprogram) enab-beta2: $\vdash \$pc2 = \#b \longrightarrow Enabled\ beta2$

proof (clarsimp simp: tla-defs)

fix s :: state seq

assume pc2 (s 0) = b

thus s $\models Enabled\ beta2$

by (intro base-enabled[OF bvar2]) (auto simp: Sact2-defs tla-defs vars-def)

qed

lemma (in Secondprogram) enab-gamma2: $\vdash \$pc2 = \#g \longrightarrow Enabled\ gamma2$

proof (clarsimp simp: tla-defs)

fix s :: state seq

assume pc2 (s 0) = g

thus s $\models Enabled\ gamma2$

by (intro base-enabled[OF bvar2]) (auto simp: Sact2-defs tla-defs vars-def)

qed

lemma (in Secondprogram) enab-n2:

$\vdash Enabled\ \langle n2 \rangle\text{-vars} = (\$pc2 = \#a \longrightarrow \#0 < \$sem)$

unfolding n2-ch[int-rewrite] proof (rule int-iffI)

show $\vdash Enabled\ n2 \longrightarrow \$pc2 = \#a \longrightarrow \#0 < \sem

by (auto elim!: enabledE simp: Sact2-defs tla-defs)

next

show $\vdash (\$pc2 = \#a \longrightarrow \#0 < \$sem) \longrightarrow Enabled\ n2$

proof (clarsimp simp: tla-defs)

fix s :: state seq

assume pc2 (s 0) = a $\longrightarrow 0 < sem\ (s\ 0)$

thus s $\models Enabled\ n2$

using enab-alpha2[unlift-rule]

enab-beta2[unlift-rule]

enab-gamma2[unlift-rule]

by (cases pc2 (s 0)) (force simp: n2-def Enabled-disj[int-rewrite] tla-defs)+

qed

qed

We use rule *SF2* to prove that *psi* implements strong fairness for the abstract action *m1*. Since strong fairness implies weak fairness, it follows that *psi* refines the liveness condition of *phi*.

lemma (in *Secondprogram*) $\text{psi-fair-}m1: \vdash \text{psi} \longrightarrow SF(m1)-(x,y)$
proof –
have $\vdash \Box[n1 \vee n2]\text{-vars} \wedge SF(n1)\text{-vars} \wedge \Box(I \wedge SF(n2)\text{-vars}) \longrightarrow SF(m1)-(x,y)$
proof (rule *SF2*)

Rule *SF2* requires us to choose a helpful action (whose effect implies $\langle m1 \rangle\text{-(}x,y\text{)}$) and a persistent condition, which will eventually remain true if the helpful action is never executed. In our case, the helpful action is *beta1* and the persistent condition is $pc1 = b$.

show $\vdash \langle (n1 \vee n2) \wedge \text{beta1} \rangle\text{-vars} \longrightarrow \langle m1 \rangle\text{-(}x,y\text{)}$
by (auto simp: *beta1-def m1-def vars-def tla-defs*)
next
show $\vdash \$pc1 = \#b \wedge \bigcirc(\$pc1 = \#b) \wedge \langle (n1 \vee n2) \wedge n1 \rangle\text{-vars} \longrightarrow \text{beta1}$
by (auto simp: *n1-def alpha1-def beta1-def gamma1-def tla-defs*)
next
show $\vdash \$pc1 = \#b \wedge \text{Enabled } \langle m1 \rangle\text{-(}x,y\text{)} \longrightarrow \text{Enabled } \langle n1 \rangle\text{-vars}$
unfolding *enab-n1[int-rewrite]* **by** auto
next

The difficult part of the proof is showing that the persistent condition will eventually always be true if the helpful action is never executed. We show that (1) whenever the condition becomes true it remains so and (2) eventually the condition must be true.

show $\vdash \Box[(n1 \vee n2) \wedge \neg \text{beta1}]\text{-vars}$
 $\wedge SF(n1)\text{-vars} \wedge \Box(I \wedge SF(n2)\text{-vars}) \wedge \Box \Diamond \text{Enabled } \langle m1 \rangle\text{-(}x,y\text{)}$
 $\longrightarrow \Diamond \Box(\$pc1 = \#b)$
proof –
have $\vdash \Box \Box[(n1 \vee n2) \wedge \neg \text{beta1}]\text{-vars} \longrightarrow \Box(\$pc1 = \#b \longrightarrow \Box(\$pc1 = \#b))$
proof (rule *STL4*)
have $\vdash \$pc1 = \#b \wedge [(n1 \vee n2) \wedge \neg \text{beta1}]\text{-vars} \longrightarrow \bigcirc(\$pc1 = \#b)$
by (auto simp: *Sact2-defs vars-def tla-defs*)
from *this[THEN INV1]*
show $\vdash \Box[(n1 \vee n2) \wedge \neg \text{beta1}]\text{-vars} \longrightarrow \$pc1 = \#b \longrightarrow \Box(\$pc1 = \#b)$
by auto
qed
hence $1: \vdash \Box[(n1 \vee n2) \wedge \neg \text{beta1}]\text{-vars} \longrightarrow \Diamond(\$pc1 = \#b) \longrightarrow \Diamond \Box(\$pc1 = \#b)$
by (force intro: *E31[unlift-rule]*)
have $\vdash \Box[(n1 \vee n2) \wedge \neg \text{beta1}]\text{-vars} \wedge SF(n1)\text{-vars} \wedge \Box(I \wedge SF(n2)\text{-vars})$
 $\longrightarrow \Diamond(\$pc1 = \#b)$
proof –

The plan of the proof is to show that from any state where $pc1 = g$ one eventually reaches $pc1 = a$, from where one eventually reaches $pc1 = b$. The result follows by combining leadsto properties.

let $?F = LIFT (\Box[(n1 \vee n2) \wedge \neg \text{beta1}]\text{-vars} \wedge SF(n1)\text{-vars} \wedge \Box(I \wedge SF(n2)\text{-vars}))$

Showing that $pc1 = g$ leads to $pc1 = a$ is a simple application of rule *SF1* because the first process completely controls this transition.

```

have  $ga: \vdash ?F \longrightarrow (\$pc1 = \#g \rightsquigarrow \$pc1 = \#a)$ 
proof (rule SF1)
  show  $|\sim \$pc1 = \#g \wedge [(n1 \vee n2) \wedge \neg beta1]\text{-vars} \longrightarrow \bigcirc(\$pc1 = \#g) \vee$ 
 $\bigcirc(\$pc1 = \#a)$ 
    by (auto simp: Sact2-defs vars-def tla-defs)
  next
    show  $|\sim \$pc1 = \#g \wedge (((n1 \vee n2) \wedge \neg beta1) \wedge n1)\text{-vars} \longrightarrow \bigcirc(\$pc1 =$ 
 $\#a)$ 
    by (auto simp: Sact2-defs vars-def tla-defs)
  next
    show  $|\sim \$pc1 = \#g \wedge \text{Unchanged vars} \longrightarrow \bigcirc(\$pc1 = \#g)$ 
    by (auto simp: vars-def tla-defs)
  next
    have  $\vdash \$pc1 = \#g \longrightarrow \text{Enabled } \langle n1 \rangle\text{-vars}$ 
    unfolding enab-n1[int-rewrite] by (auto simp: tla-defs)
    hence  $\vdash \Box(\$pc1 = \#g) \longrightarrow \text{Enabled } \langle n1 \rangle\text{-vars}$ 
    by (rule lift-imp-trans[OF ax1])
    hence  $\vdash \Box(\$pc1 = \#g) \longrightarrow \Diamond \text{Enabled } \langle n1 \rangle\text{-vars}$ 
    by (rule lift-imp-trans[OF - E3])
  thus  $\vdash \Box(\$pc1 = \#g) \wedge \Box[(n1 \vee n2) \wedge \neg beta1]\text{-vars} \wedge \Box(I \wedge SF(n2)\text{-vars})$ 
 $\longrightarrow \Diamond \text{Enabled } \langle n1 \rangle\text{-vars}$ 
    by auto
qed

```

The proof that $pc1 = a$ leads to $pc1 = b$ follows the same basic schema. However, showing that $n1$ is eventually enabled requires reasoning about the second process, which must liberate the critical section.

```

have  $ab: \vdash ?F \longrightarrow (\$pc1 = \#a \rightsquigarrow \$pc1 = \#b)$ 
proof (rule SF1)
  show  $|\sim \$pc1 = \#a \wedge [(n1 \vee n2) \wedge \neg beta1]\text{-vars} \longrightarrow \bigcirc(\$pc1 = \#a) \vee$ 
 $\bigcirc(\$pc1 = \#b)$ 
    by (auto simp: Sact2-defs vars-def tla-defs)
  next
    show  $|\sim \$pc1 = \#a \wedge (((n1 \vee n2) \wedge \neg beta1) \wedge n1)\text{-vars} \longrightarrow \bigcirc(\$pc1 =$ 
 $\#b)$ 
    by (auto simp: Sact2-defs vars-def tla-defs)
  next
    show  $|\sim \$pc1 = \#a \wedge \text{Unchanged vars} \longrightarrow \bigcirc(\$pc1 = \#a)$ 
    by (auto simp: vars-def tla-defs)
  next

```

We establish a suitable leadsto-chain.

```

let  $?G = \text{LIFT } \Box[(n1 \vee n2) \wedge \neg beta1]\text{-vars} \wedge SF(n2)\text{-vars} \wedge \Box(\$pc1 =$ 
 $\#a \wedge I)$ 
have  $\vdash ?G \longrightarrow \Diamond(\$pc2 = \#a \wedge \$pc1 = \#a \wedge I)$ 
proof –

```

Rule *SF1* takes us from $pc2 = b$ to $pc2 = g$.

```

have  $bg2: \vdash ?G \longrightarrow (\$pc2 = \#b \rightsquigarrow \$pc2 = \#g)$ 

```

```

proof (rule SF1)
  show  $|\sim \$pc2 = \#b \wedge [(n1 \vee n2) \wedge \neg beta1]\text{-vars} \longrightarrow \bigcirc(\$pc2 = \#b)$ 
 $\vee \bigcirc(\$pc2 = \#g)$ 
  by (auto simp: Sact2-defs vars-def tla-defs)
next
  show  $|\sim \$pc2 = \#b \wedge \langle((n1 \vee n2) \wedge \neg beta1) \wedge n2\rangle\text{-vars} \longrightarrow \bigcirc(\$pc2$ 
 $= \#g)$ 
  by (auto simp: Sact2-defs vars-def tla-defs)
next
  show  $|\sim \$pc2 = \#b \wedge \text{Unchanged vars} \longrightarrow \bigcirc(\$pc2 = \#b)$ 
  by (auto simp: vars-def tla-defs)
next
  have  $\vdash \$pc2 = \#b \longrightarrow \text{Enabled } \langle n2 \rangle\text{-vars}$ 
  unfolding enab-n2[int-rewrite] by (auto simp: tla-defs)
  hence  $\vdash \square(\$pc2 = \#b) \longrightarrow \text{Enabled } \langle n2 \rangle\text{-vars}$ 
  by (rule lift-imp-trans[OF ax1])
  hence  $\vdash \square(\$pc2 = \#b) \longrightarrow \Diamond \text{Enabled } \langle n2 \rangle\text{-vars}$ 
  by (rule lift-imp-trans[OF - E3])
  thus  $\vdash \square(\$pc2 = \#b) \wedge \square[(n1 \vee n2) \wedge \neg beta1]\text{-vars} \wedge \square(\$pc1 = \#a$ 
 $\wedge I)$ 
 $\longrightarrow \Diamond \text{Enabled } \langle n2 \rangle\text{-vars}$ 
  by auto
qed

```

Similarly, $pc2 = b$ leads to $pc2 = g$.

```

have ga2:  $\vdash ?G \longrightarrow (\$pc2 = \#g \rightsquigarrow \$pc2 = \#a)$ 
proof (rule SF1)
  show  $|\sim \$pc2 = \#g \wedge [(n1 \vee n2) \wedge \neg beta1]\text{-vars} \longrightarrow \bigcirc(\$pc2 = \#g)$ 
 $\vee \bigcirc(\$pc2 = \#a)$ 
  by (auto simp: Sact2-defs vars-def tla-defs)
next
  show  $|\sim \$pc2 = \#g \wedge \langle((n1 \vee n2) \wedge \neg beta1) \wedge n2\rangle\text{-vars} \longrightarrow \bigcirc(\$pc2$ 
 $= \#a)$ 
  by (auto simp: n2-def alpha2-def beta2-def gamma2-def vars-def
tla-defs)
next
  show  $|\sim \$pc2 = \#g \wedge \text{Unchanged vars} \longrightarrow \bigcirc(\$pc2 = \#g)$ 
  by (auto simp: vars-def tla-defs)
next
  have  $\vdash \$pc2 = \#g \longrightarrow \text{Enabled } \langle n2 \rangle\text{-vars}$ 
  unfolding enab-n2[int-rewrite] by (auto simp: tla-defs)
  hence  $\vdash \square(\$pc2 = \#g) \longrightarrow \text{Enabled } \langle n2 \rangle\text{-vars}$ 
  by (rule lift-imp-trans[OF ax1])
  hence  $\vdash \square(\$pc2 = \#g) \longrightarrow \Diamond \text{Enabled } \langle n2 \rangle\text{-vars}$ 
  by (rule lift-imp-trans[OF - E3])
  thus  $\vdash \square(\$pc2 = \#g) \wedge \square[(n1 \vee n2) \wedge \neg beta1]\text{-vars} \wedge \square(\$pc1 = \#a$ 
 $\wedge I)$ 
 $\longrightarrow \Diamond \text{Enabled } \langle n2 \rangle\text{-vars}$ 
  by auto

```

```

    qed
    with bg2 have  $\vdash ?G \longrightarrow (\$pc2 = \#b \rightsquigarrow \$pc2 = \#a)$ 
      by (force elim: LT13[unlift-rule])
    with ga2 have  $\vdash ?G \longrightarrow (\$pc2 = \#a \vee \$pc2 = \#b \vee \$pc2 = \#g) \rightsquigarrow$ 
 $(\$pc2 = \#a)$ 
      unfolding LT17[int-rewrite] LT1[int-rewrite] by force
    moreover
    have  $\vdash \$pc2 = \#a \vee \$pc2 = \#b \vee \$pc2 = \#g$ 
    proof (clarsimp simp: tla-defs)
      fix s :: state seq
      assume pc2 (s 0)  $\neq a$  and pc2 (s 0)  $\neq g$ 
      thus pc2 (s 0) = b by (cases pc2 (s 0)) auto
    qed
    hence  $\vdash ((\$pc2 = \#a \vee \$pc2 = \#b \vee \$pc2 = \#g) \rightsquigarrow \$pc2 = \#a) \longrightarrow$ 
 $\Diamond(\$pc2 = \#a)$ 
      by (rule fmp[OF - LT4])
    ultimately
    have  $\vdash ?G \longrightarrow \Diamond(\$pc2 = \#a)$  by force
    thus ?thesis by (auto intro!: SE3[unlift-rule])
  qed
  moreover
  have  $\vdash \Diamond(\$pc2 = \#a \wedge \$pc1 = \#a \wedge I) \longrightarrow \Diamond Enabled \langle n1 \rangle\text{-vars}$ 
    unfolding enab-n1[int-rewrite] by (rule STL4-eve) (auto simp: I-def
tla-defs)
  ultimately
  show  $\vdash \Box(\$pc1 = \#a) \wedge \Box[(n1 \vee n2) \wedge \neg beta1]\text{-vars} \wedge \Box(I \wedge$ 
 $SF(n2)\text{-vars})$ 
     $\longrightarrow \Diamond Enabled \langle n1 \rangle\text{-vars}$ 
    by (force simp: STL5[int-rewrite])
  qed
  from ga ab have  $\vdash ?F \longrightarrow (\$pc1 = \#g \rightsquigarrow \$pc1 = \#b)$ 
    by (force elim: LT13[unlift-rule])
  with ab have  $\vdash ?F \longrightarrow ((\$pc1 = \#a \vee \$pc1 = \#b \vee \$pc1 = \#g) \rightsquigarrow \$pc1$ 
 $= \#b)$ 
    unfolding LT17[int-rewrite] LT1[int-rewrite] by force
  moreover
  have  $\vdash \$pc1 = \#a \vee \$pc1 = \#b \vee \$pc1 = \#g$ 
  proof (clarsimp simp: tla-defs)
    fix s :: state seq
    assume pc1 (s 0)  $\neq a$  and pc1 (s 0)  $\neq g$ 
    thus pc1 (s 0) = b by (cases pc1 (s 0), auto)
  qed
  hence  $\vdash ((\$pc1 = \#a \vee \$pc1 = \#b \vee \$pc1 = \#g) \rightsquigarrow \$pc1 = \#b) \longrightarrow$ 
 $\Diamond(\$pc1 = \#b)$ 
    by (rule fmp[OF - LT4])
  ultimately show ?thesis by (rule lift-imp-trans)
  qed
  with 1 show ?thesis by force
  qed

```


qed
with $psiI$ **show** $?thesis$ **unfolding** $psi-def$ $Live2-def$ $STL5[int-rewrite]$ **by** $force$
qed

In the same way we prove that psi implements strong fairness for the abstract action $m1$. The proof is obtained by copy and paste from the previous one.

lemma (in $Secondprogram$) $psi-fair-m2$: $\vdash psi \longrightarrow SF(m2)-(x,y)$
proof –
have $\vdash \Box[n1 \vee n2]-vars \wedge SF(n2)-vars \wedge \Box(I \wedge SF(n1)-vars) \longrightarrow SF(m2)-(x,y)$
proof ($rule\ SF2$)

Rule $SF2$ requires us to choose a helpful action (whose effect implies $\langle m2 \rangle-(x,y)$) and a persistent condition, which will eventually remain true if the helpful action is never executed. In our case, the helpful action is $beta2$ and the persistent condition is $pc2 = b$.

show $|\sim \langle (n1 \vee n2) \wedge beta2 \rangle -vars \longrightarrow \langle m2 \rangle-(x,y)$
by ($auto\ simp: beta2-def\ m2-def\ vars-def\ tla-defs$)
next
show $|\sim \$pc2 = \#b \wedge \Box(\$pc2 = \#b) \wedge \langle (n1 \vee n2) \wedge n2 \rangle -vars \longrightarrow beta2$
by ($auto\ simp: n2-def\ alpha2-def\ beta2-def\ gamma2-def\ tla-defs$)
next
show $\vdash \$pc2 = \#b \wedge Enabled\ \langle m2 \rangle-(x,y) \longrightarrow Enabled\ \langle n2 \rangle -vars$
unfolding $enab-n2[int-rewrite]$ **by** $auto$
next

The difficult part of the proof is showing that the persistent condition will eventually always be true if the helpful action is never executed. We show that (1) whenever the condition becomes true it remains so and (2) eventually the condition must be true.

show $\vdash \Box[(n1 \vee n2) \wedge \neg beta2]-vars$
 $\wedge SF(n2)-vars \wedge \Box(I \wedge SF(n1)-vars) \wedge \Box \Diamond Enabled\ \langle m2 \rangle-(x,y)$
 $\longrightarrow \Diamond \Box(\$pc2 = \#b)$
proof –
have $\vdash \Box \Box[(n1 \vee n2) \wedge \neg beta2]-vars \longrightarrow \Box(\$pc2 = \#b \longrightarrow \Box(\$pc2 = \#b))$
proof ($rule\ STL4$)
have $|\sim \$pc2 = \#b \wedge [(n1 \vee n2) \wedge \neg beta2]-vars \longrightarrow \Box(\$pc2 = \#b)$
by ($auto\ simp: Sact2-defs\ vars-def\ tla-defs$)
from $this[THEN\ INV1]$
show $\vdash \Box[(n1 \vee n2) \wedge \neg beta2]-vars \longrightarrow \$pc2 = \#b \longrightarrow \Box(\$pc2 = \#b)$
by $auto$
qed
hence 1 : $\vdash \Box[(n1 \vee n2) \wedge \neg beta2]-vars \longrightarrow \Diamond(\$pc2 = \#b) \longrightarrow \Diamond \Box(\$pc2 = \#b)$
by ($force\ intro: E31[unlift-rule]$)
have $\vdash \Box[(n1 \vee n2) \wedge \neg beta2]-vars \wedge SF(n2)-vars \wedge \Box(I \wedge SF(n1)-vars)$
 $\longrightarrow \Diamond(\$pc2 = \#b)$
proof –

The plan of the proof is to show that from any state where $pc2 = g$ one eventually

reaches $pc2 = a$, from where one eventually reaches $pc2 = b$. The result follows by combining leadsto properties.

let $?F = LIFT (\Box[(n1 \vee n2) \wedge \neg beta2]-vars \wedge SF(n2)-vars \wedge \Box(I \wedge SF(n1)-vars))$

Showing that $pc2 = g$ leads to $pc2 = a$ is a simple application of rule *SF1* because the second process completely controls this transition.

have $ga: \vdash ?F \longrightarrow (\$pc2 = \#g \rightsquigarrow \$pc2 = \#a)$
proof (*rule SF1*)
show $|\sim \$pc2 = \#g \wedge [(n1 \vee n2) \wedge \neg beta2]-vars \longrightarrow \bigcirc(\$pc2 = \#g) \vee \bigcirc(\$pc2 = \#a)$
by (*auto simp: Sact2-defs vars-def tla-defs*)
next
show $|\sim \$pc2 = \#g \wedge (((n1 \vee n2) \wedge \neg beta2) \wedge n2)-vars \longrightarrow \bigcirc(\$pc2 = \#a)$
by (*auto simp: n2-def alpha2-def beta2-def gamma2-def vars-def tla-defs*)
next
show $|\sim \$pc2 = \#g \wedge Unchanged\ vars \longrightarrow \bigcirc(\$pc2 = \#g)$
by (*auto simp: vars-def tla-defs*)
next
have $\vdash \$pc2 = \#g \longrightarrow Enabled\ \langle n2 \rangle -vars$
unfolding *enab-n2[int-rewrite]* **by** (*auto simp: tla-defs*)
hence $\vdash \Box(\$pc2 = \#g) \longrightarrow Enabled\ \langle n2 \rangle -vars$
by (*rule lift-imp-trans[OF ax1]*)
hence $\vdash \Box(\$pc2 = \#g) \longrightarrow \Diamond Enabled\ \langle n2 \rangle -vars$
by (*rule lift-imp-trans[OF E3]*)
thus $\vdash \Box(\$pc2 = \#g) \wedge \Box[(n1 \vee n2) \wedge \neg beta2]-vars \wedge \Box(I \wedge SF(n1)-vars) \longrightarrow \Diamond Enabled\ \langle n2 \rangle -vars$
by *auto*
qed

The proof that $pc2 = a$ leads to $pc2 = b$ follows the same basic schema. However, showing that $n2$ is eventually enabled requires reasoning about the second process, which must liberate the critical section.

have $ab: \vdash ?F \longrightarrow (\$pc2 = \#a \rightsquigarrow \$pc2 = \#b)$
proof (*rule SF1*)
show $|\sim \$pc2 = \#a \wedge [(n1 \vee n2) \wedge \neg beta2]-vars \longrightarrow \bigcirc(\$pc2 = \#a) \vee \bigcirc(\$pc2 = \#b)$
by (*auto simp: Sact2-defs vars-def tla-defs*)
next
show $|\sim \$pc2 = \#a \wedge (((n1 \vee n2) \wedge \neg beta2) \wedge n2)-vars \longrightarrow \bigcirc(\$pc2 = \#b)$
by (*auto simp: n2-def alpha2-def beta2-def gamma2-def vars-def tla-defs*)
next
show $|\sim \$pc2 = \#a \wedge Unchanged\ vars \longrightarrow \bigcirc(\$pc2 = \#a)$
by (*auto simp: vars-def tla-defs*)
next

We establish a suitable leadsto-chain.

let $?G = LIFT \ \Box[(n1 \vee n2) \wedge \neg beta2]\text{-vars} \wedge SF(n1)\text{-vars} \wedge \Box(\$pc2 = \#a \wedge I)$
have $\vdash ?G \longrightarrow \Diamond(\$pc1 = \#a \wedge \$pc2 = \#a \wedge I)$
proof –

Rule *SF1* takes us from $pc1 = b$ to $pc1 = g$.

have $bg1: \vdash ?G \longrightarrow (\$pc1 = \#b \rightsquigarrow \$pc1 = \#g)$
proof (*rule SF1*)
show $|\sim \$pc1 = \#b \wedge [(n1 \vee n2) \wedge \neg beta2]\text{-vars} \longrightarrow \bigcirc(\$pc1 = \#b)$
 $\vee \bigcirc(\$pc1 = \#g)$
by (*auto simp: Sact2-defs vars-def tla-defs*)
next
show $|\sim \$pc1 = \#b \wedge \langle((n1 \vee n2) \wedge \neg beta2) \wedge n1\rangle\text{-vars} \longrightarrow \bigcirc(\$pc1 = \#g)$
by (*auto simp: n1-def alpha1-def beta1-def gamma1-def vars-def tla-defs*)
next
show $|\sim \$pc1 = \#b \wedge \text{Unchanged vars} \longrightarrow \bigcirc(\$pc1 = \#b)$
by (*auto simp: vars-def tla-defs*)
next
have $\vdash \$pc1 = \#b \longrightarrow \text{Enabled } \langle n1 \rangle\text{-vars}$
unfolding *enab-n1* [*int-rewrite*] **by** (*auto simp: tla-defs*)
hence $\vdash \Box(\$pc1 = \#b) \longrightarrow \text{Enabled } \langle n1 \rangle\text{-vars}$
by (*rule lift-imp-trans[OF ax1]*)
hence $\vdash \Box(\$pc1 = \#b) \longrightarrow \Diamond \text{Enabled } \langle n1 \rangle\text{-vars}$
by (*rule lift-imp-trans[OF - E3]*)
thus $\vdash \Box(\$pc1 = \#b) \wedge \Box[(n1 \vee n2) \wedge \neg beta2]\text{-vars} \wedge \Box(\$pc2 = \#a \wedge I)$
 $\longrightarrow \Diamond \text{Enabled } \langle n1 \rangle\text{-vars}$
by *auto*
qed

Similarly, $pc1 = b$ leads to $pc1 = g$.

have $ga1: \vdash ?G \longrightarrow (\$pc1 = \#g \rightsquigarrow \$pc1 = \#a)$
proof (*rule SF1*)
show $|\sim \$pc1 = \#g \wedge [(n1 \vee n2) \wedge \neg beta2]\text{-vars} \longrightarrow \bigcirc(\$pc1 = \#g)$
 $\vee \bigcirc(\$pc1 = \#a)$
by (*auto simp: Sact2-defs vars-def tla-defs*)
next
show $|\sim \$pc1 = \#g \wedge \langle((n1 \vee n2) \wedge \neg beta2) \wedge n1\rangle\text{-vars} \longrightarrow \bigcirc(\$pc1 = \#a)$
by (*auto simp: n1-def alpha1-def beta1-def gamma1-def vars-def tla-defs*)
next
show $|\sim \$pc1 = \#g \wedge \text{Unchanged vars} \longrightarrow \bigcirc(\$pc1 = \#g)$
by (*auto simp: vars-def tla-defs*)
next
have $\vdash \$pc1 = \#g \longrightarrow \text{Enabled } \langle n1 \rangle\text{-vars}$
unfolding *enab-n1* [*int-rewrite*] **by** (*auto simp: tla-defs*)

hence $\vdash \Box(\$pc1 = \#g) \longrightarrow Enabled \langle n1 \rangle\text{-vars}$
 by (rule lift-imp-trans[OF ax1])
 hence $\vdash \Box(\$pc1 = \#g) \longrightarrow \Diamond Enabled \langle n1 \rangle\text{-vars}$
 by (rule lift-imp-trans[OF - E3])
 thus $\vdash \Box(\$pc1 = \#g) \wedge \Box[(n1 \vee n2) \wedge \neg beta2]\text{-vars} \wedge \Box(\$pc2 = \#a$
 $\wedge I)$
 $\longrightarrow \Diamond Enabled \langle n1 \rangle\text{-vars}$
 by auto
 qed
 with *bg1* have $\vdash ?G \longrightarrow (\$pc1 = \#b \rightsquigarrow \$pc1 = \#a)$
 by (force elim: LT13[unlift-rule])
 with *ga1* have $\vdash ?G \longrightarrow (\$pc1 = \#a \vee \$pc1 = \#b \vee \$pc1 = \#g) \rightsquigarrow$
 $(\$pc1 = \#a)$
 unfolding LT17[int-rewrite] LT1[int-rewrite] by force
 moreover
 have $\vdash \$pc1 = \#a \vee \$pc1 = \#b \vee \$pc1 = \#g$
 proof (clarsimp simp: tla-defs)
 fix *s* :: state seq
 assume *pc1* (*s* 0) $\neq a$ and *pc1* (*s* 0) $\neq g$
 thus *pc1* (*s* 0) = *b* by (cases *pc1* (*s* 0)) auto
 qed
 hence $\vdash ((\$pc1 = \#a \vee \$pc1 = \#b \vee \$pc1 = \#g) \rightsquigarrow \$pc1 = \#a) \longrightarrow$
 $\Diamond(\$pc1 = \#a)$
 by (rule fmp[OF - LT4])
 ultimately
 have $\vdash ?G \longrightarrow \Diamond(\$pc1 = \#a)$ by force
 thus ?thesis by (auto intro!: SE3[unlift-rule])
 qed
 moreover
 have $\vdash \Diamond(\$pc1 = \#a \wedge \$pc2 = \#a \wedge I) \longrightarrow \Diamond Enabled \langle n2 \rangle\text{-vars}$
 unfolding enab-n2[int-rewrite] by (rule STL4-eve) (auto simp: I-def
 tla-defs)
 ultimately
 show $\vdash \Box(\$pc2 = \#a) \wedge \Box[(n1 \vee n2) \wedge \neg beta2]\text{-vars} \wedge \Box(I \wedge$
 $SF(n1)\text{-vars})$
 $\longrightarrow \Diamond Enabled \langle n2 \rangle\text{-vars}$
 by (force simp: STL5[int-rewrite])
 qed
 from *ga ab* have $\vdash ?F \longrightarrow (\$pc2 = \#g \rightsquigarrow \$pc2 = \#b)$
 by (force elim: LT13[unlift-rule])
 with *ab* have $\vdash ?F \longrightarrow ((\$pc2 = \#a \vee \$pc2 = \#b \vee \$pc2 = \#g) \rightsquigarrow \$pc2$
 $= \#b)$
 unfolding LT17[int-rewrite] LT1[int-rewrite] by force
 moreover
 have $\vdash \$pc2 = \#a \vee \$pc2 = \#b \vee \$pc2 = \#g$
 proof (clarsimp simp: tla-defs)
 fix *s* :: state seq
 assume *pc2* (*s* 0) $\neq a$ and *pc2* (*s* 0) $\neq g$
 thus *pc2* (*s* 0) = *b* by (cases *pc2* (*s* 0)) auto

```

      qed
      hence  $\vdash ((\$pc2 = \#a \vee \$pc2 = \#b \vee \$pc2 = \#g) \rightsquigarrow \$pc2 = \#b) \longrightarrow$ 
 $\Diamond(\$pc2 = \#b)$ 
      by (rule fmp[OF - LT4])
      ultimately show ?thesis by (rule lift-imp-trans)
    qed
    with 1 show ?thesis by force
  qed
  qed
  with psiI show ?thesis unfolding psi-def Live2-def STL5[int-rewrite] by force
qed

```

We can now prove the main theorem, which states that *psi* implements *phi*.

```

theorem (in Secondprogram) impl:  $\vdash psi \longrightarrow phi$ 
  unfolding phi-def Live-def
  by (auto dest: step-simulation[unlift-rule]
      lift-imp-trans[OF psi-fair-m1 SF-imp-WF, unlift-rule]
      lift-imp-trans[OF psi-fair-m2 SF-imp-WF, unlift-rule])
end

```

10 Refining a Buffer Specification

```

theory Buffer
imports State
begin

```

We specify a simple FIFO buffer and prove that two FIFO buffers in a row implement a FIFO buffer.

10.1 Buffer specification

The following definitions all take three parameters: a state function representing the input channel of the FIFO buffer, another representing the internal queue, and a third one representing the output channel. These parameters will be instantiated later in the definition of the double FIFO.

```

definition BInit :: 'a statefun  $\Rightarrow$  'a list statefun  $\Rightarrow$  'a statefun  $\Rightarrow$  temporal
where BInit ic q oc  $\equiv TEMP \$q = \#[]$ 
       $\wedge \$ic = \$oc$  — initial condition of buffer

```

```

definition Enq :: 'a statefun  $\Rightarrow$  'a list statefun  $\Rightarrow$  'a statefun  $\Rightarrow$  temporal
where Enq ic q oc  $\equiv TEMP ic\$ \neq \$ic$ 
       $\wedge q\$ = \$q @ [ ic\$ ]$ 
       $\wedge oc\$ = \$oc$  — enqueue a new value

```

```

definition Deq :: 'a statefun  $\Rightarrow$  'a list statefun  $\Rightarrow$  'a statefun  $\Rightarrow$  temporal
where Deq ic q oc  $\equiv TEMP \# 0 < length<\$q>$ 

```

$$\begin{aligned}
& \wedge oc\$ = hd<\$q> \\
& \wedge q\$ = tl<\$q> \\
& \wedge ic\$ = \$ic \quad \text{--- dequeue value at front}
\end{aligned}$$

definition $Nxt :: 'a \text{ statefun} \Rightarrow 'a \text{ list statefun} \Rightarrow 'a \text{ statefun} \Rightarrow \text{temporal}$
where $Nxt \text{ ic } q \text{ oc} \equiv TEMP (Enq \text{ ic } q \text{ oc} \vee Deq \text{ ic } q \text{ oc})$

— internal specification with buffer visible

definition $ISpec :: 'a \text{ statefun} \Rightarrow 'a \text{ list statefun} \Rightarrow 'a \text{ statefun} \Rightarrow \text{temporal}$
where $ISpec \text{ ic } q \text{ oc} \equiv TEMP \text{ BInit } \text{ ic } q \text{ oc}$
 $\quad \wedge \Box [Nxt \text{ ic } q \text{ oc}] \text{--}(ic, q, oc)$
 $\quad \wedge WF(Deq \text{ ic } q \text{ oc}) \text{--}(ic, q, oc)$

— external specification: buffer hidden

definition $Spec :: 'a \text{ statefun} \Rightarrow 'a \text{ statefun} \Rightarrow \text{temporal}$
where $Spec \text{ ic } oc == TEMP (\exists \exists q. ISpec \text{ ic } q \text{ oc})$

10.2 Properties of the buffer

The buffer never enqueues the same element twice. We therefore have the following invariant:

- any two subsequent elements in the queue are different, and the last element in the queue is different from the value of the output channel,
- if the queue is non-empty then the last element in the queue is the value that appears on the input channel,
- if the queue is empty then the values on the output and input channels are equal.

The following auxiliary predicate *noreps* is true if no two subsequent elements in a list are identical.

definition $noreps :: 'a \text{ list} \Rightarrow \text{bool}$
where $noreps \text{ xs} \equiv \forall i < \text{length } \text{xs} - 1. \text{xs}!i \neq \text{xs}!(\text{Suc } i)$

definition $BInv :: 'a \text{ statefun} \Rightarrow 'a \text{ list statefun} \Rightarrow 'a \text{ statefun} \Rightarrow \text{temporal}$
where $BInv \text{ ic } q \text{ oc} \equiv TEMP \text{ List.last} <\$oc \# \$q> = \$ic \wedge noreps <\$oc \# \$q>$

lemmas $\text{buffer-defs} = \text{BInit-def } \text{Enq-def } \text{Deq-def } \text{Nxt-def}$
 $\text{ISpec-def } \text{Spec-def } \text{BInv-def}$

lemma $ISpec\text{-stutinv}: STUTINV (ISpec \text{ ic } q \text{ oc})$
unfolding buffer-defs **by** (*simp add: bothstutinv* *livestutinv*)

lemma $Spec\text{-stutinv}: STUTINV Spec \text{ ic } oc$
unfolding buffer-defs **by** (*simp add: bothstutinv* *livestutinv* *eexSTUT*)

A lemma about lists that is useful in the following

```

lemma tl-self-iff-empty[simp]: (tl xs = xs) = (xs = [])
proof
  assume 1: tl xs = xs
  show xs = []
  proof (rule ccontr)
    assume xs ≠ [] with 1 show False
    by (auto simp: neq-Nil-conv)
  qed
qed (auto)

```

```

lemma tl-self-iff-empty'[simp]: (xs = tl xs) = (xs = [])
proof
  assume 1: xs = tl xs
  show xs = []
  proof (rule ccontr)
    assume xs ≠ [] with 1 show False
    by (auto simp: neq-Nil-conv)
  qed
qed (auto)

```

```

lemma Deq-visible:
  assumes v: ⊢ Unchanged v ⟶ Unchanged q
  shows |~ <Deq ic q oc>-v = Deq ic q oc
proof (auto simp: tla-defs)
  fix w
  assume deq: w ⊨ Deq ic q oc and unch: v (w (Suc 0)) = v (w 0)
  from unch v[unlifted] have q (w (Suc 0)) = q (w 0)
  by (auto simp: tla-defs)
  with deq show False by (auto simp: Deq-def tla-defs)
qed

```

```

lemma Deq-enabledE: ⊢ Enabled <Deq ic q oc>-(ic,q,oc) ⟶ $q ~ = #[]
  by (auto elim!: enabledE simp: Deq-def tla-defs)

```

We now prove that *BInv* is an invariant of the Buffer specification.

We need several lemmas about *noreps* that are used in the invariant proof.

```

lemma noreps-empty [simp]: noreps []
  by (auto simp: noreps-def)

```

```

lemma noreps-singleton: noreps [x] — special case of following lemma
  by (auto simp: noreps-def)

```

```

lemma noreps-cons [simp]:
  noreps (x # xs) = (noreps xs ∧ (xs = [] ∨ x ≠ hd xs))
proof (auto simp: noreps-singleton)
  assume cons: noreps (x # xs)
  show noreps xs
  proof (auto simp: noreps-def)
    fix i

```

```

    assume i: i < length xs - Suc 0 and eq: xs!i = xs!(Suc i)
    from i have Suc i < length (x#xs) - 1 by auto
    moreover
    from eq have (x#xs)!(Suc i) = (x#xs)!(Suc (Suc i)) by auto
    moreover
    note cons
    ultimately show False by (auto simp: noreps-def)
  qed
next
  assume 1: noreps (hd xs # xs) and 2: xs ≠ []
  from 2 obtain x xs where xs = x # xs by (cases xs, auto)
  with 1 show False by (auto simp: noreps-def)
next
  assume 1: noreps xs and 2: x ≠ hd xs
  show noreps (x # xs)
  proof (auto simp: noreps-def)
    fix i
    assume i: i < length xs and eq: (x # xs)!i = xs!i
    from i obtain y ys where xs = y # ys by (cases xs, auto)
    show False
    proof (cases i)
      assume i = 0
      with eq 2 xs show False by auto
    next
      fix k
      assume k: i = Suc k
      with i eq xs 1 show False by (auto simp: noreps-def)
    qed
  qed
qed
qed

lemma noreps-append [simp]:
  noreps (xs @ ys) =
    (noreps xs ∧ noreps ys ∧ (xs = [] ∨ ys = [] ∨ List.last xs ≠ hd ys))
proof auto
  assume 1: noreps (xs @ ys)
  show noreps xs
  proof (auto simp: noreps-def)
    fix i
    assume i: i < length xs - Suc 0 and eq: xs!i = xs!(Suc i)
    from i have i < length (xs @ ys) - Suc 0 by auto
    moreover
    from i eq have (xs @ ys)!i = (xs@ys)!(Suc i) by (auto simp: nth-append)
    moreover note 1
    ultimately show False by (auto simp: noreps-def)
  qed
next
  assume 1: noreps (xs @ ys)
  show noreps ys

```



```

proof (auto simp: noreps-def)
  fix i
  assume i: i < length ys - Suc 0 and eq: ys!i = ys!(Suc i)
  from i have i + length xs < length (xs @ ys) - Suc 0 by auto
  moreover
  from i eq have (xs @ ys)!(i+length xs) = (xs@ys)!(Suc (i + length xs))
    by (auto simp: nth-append)
  moreover note 1
  ultimately show False by (auto simp: noreps-def)
qed
next
  assume 1: noreps (xs @ ys) and 2: xs ≠ [] and 3: ys ≠ []
    and 4: List.last xs = hd ys
  from 2 obtain x xxs where xs: xs = x # xxs by (cases xs, auto)
  from 3 obtain y yys where ys: ys = y # yys by (cases ys, auto)
  from xs ys have 5: length xxs < length (xs @ ys) - 1 by auto
  from 4 xs ys have (xs @ ys) ! (length xxs) = (xs @ ys) ! (Suc (length xxs))
    by (auto simp: nth-append last-conv-nth)
  with 5 1 show False by (auto simp: noreps-def)
next
  assume 1: noreps xs and 2: noreps ys and 3: List.last xs ≠ hd ys
  show noreps (xs @ ys)
  proof (cases xs = [] ∨ ys = [])
    case True
      with 1 2 show ?thesis by auto
    case False
      then obtain x xxs where xs: xs = x # xxs by (cases xs, auto)
      from False obtain y yys where ys: ys = y # yys by (cases ys, auto)
      show ?thesis
      proof (auto simp: noreps-def)
        fix i
        assume i: i < length xs + length ys - Suc 0
          and eq: (xs @ ys)!i = (xs @ ys)!(Suc i)
        show False
        proof (cases i < length xxs)
          case True
            hence i < length (x # xxs) by simp
            hence xsi: ((x # xxs) @ ys)!i = (x # xxs)!i
              unfolding nth-append by simp
            from True have (xxs @ ys)!i = xxs!i by (auto simp: nth-append)
            with True xsi eq 1 xs show False by (auto simp: noreps-def)
          case False
            assume i2: ¬(i < length xxs)
            show False
            proof (cases i = length xxs)
              case True
                with xs have xsi: (xs @ ys)!i = List.last xs
                  by (auto simp: nth-append last-conv-nth)

```

```

    from True xs ys have (xs @ ys)!(Suc i) = y
      by (auto simp: nth-append)
    with 3 ys eq xsi show False by simp
  next
    case False
    with i2 xs have xsi:  $\neg(i < \text{length } xs)$  by auto
    hence (xs @ ys)!i = ys!(i - length xs)
      by (simp add: nth-append)
    moreover
    from xsi have Suc i - length xs = Suc (i - length xs) by auto
    with xsi have (xs @ ys)!(Suc i) = ys!(Suc (i - length xs))
      by (simp add: nth-append)
    moreover
    from i xsi have i - length xs < length ys - 1 by auto
    with 2 have ys!(i - length xs)  $\neq$  ys!(Suc (i - length xs))
      by (auto simp: noreps-def)
    moreover
    note eq
    ultimately show False by simp
  qed
qed
qed
qed
qed

```

lemma *ISpec-BInv-lemma*:

```

   $\vdash BInit\ ic\ q\ oc \wedge \Box[Nxt\ ic\ q\ oc]-(ic, q, oc) \longrightarrow \Box(BInv\ ic\ q\ oc)$ 
proof (rule invmono)
  show  $\vdash BInit\ ic\ q\ oc \longrightarrow BInv\ ic\ q\ oc$ 
    by (auto simp: BInit-def BInv-def)
  next
    have enq:  $|\sim Enq\ ic\ q\ oc \longrightarrow BInv\ ic\ q\ oc \longrightarrow \bigcirc(BInv\ ic\ q\ oc)$ 
      by (auto simp: Enq-def BInv-def tla-defs)
    have deq:  $|\sim Deq\ ic\ q\ oc \longrightarrow BInv\ ic\ q\ oc \longrightarrow \bigcirc(BInv\ ic\ q\ oc)$ 
      by (auto simp: Deq-def BInv-def tla-defs neq-Nil-conv)
    have unch:  $|\sim Unchanged\ (ic, q, oc) \longrightarrow BInv\ ic\ q\ oc \longrightarrow \bigcirc(BInv\ ic\ q\ oc)$ 
      by (auto simp: BInv-def tla-defs)
    show  $|\sim BInv\ ic\ q\ oc \wedge [Nxt\ ic\ q\ oc]-(ic, q, oc) \longrightarrow \bigcirc(BInv\ ic\ q\ oc)$ 
      by (auto simp: Nxt-def actrans-def
        elim: enq[unlift-rule] deq[unlift-rule] unch[unlift-rule])
  qed

```

theorem *ISpec-BInv*: $\vdash ISpec\ ic\ q\ oc \longrightarrow \Box(BInv\ ic\ q\ oc)$
 by (auto simp: ISpec-def intro: ISpec-BInv-lemma[unlift-rule])

10.3 Two FIFO buffers in a row implement a buffer

locale *DBuffer* =
 fixes *inp* :: 'a statefun — input channel for double FIFO

```

and  $mid :: 'a\ statefun$       — channel linking the two buffers
and  $out :: 'a\ statefun$       — output channel for double FIFO
and  $q1 :: 'a\ list\ statefun$  — inner queue of first FIFO
and  $q2 :: 'a\ list\ statefun$  — inner queue of second FIFO
and  $vars$ 
defines  $vars \equiv LIFT\ (inp, mid, out, q1, q2)$ 
assumes  $DB\text{-}base: basevars\ vars$ 
begin

```

We need to specify the behavior of two FIFO buffers in a row. Intuitively, that specification is just the conjunction of two buffer specifications, where the first buffer has input channel inp and output channel mid whereas the second one receives from mid and outputs on out . However, this conjunction allows a simultaneous enqueue action of the first buffer and dequeue of the second one. It would not implement the previous buffer specification, which excludes such simultaneous enqueueing and dequeueing (it is written in “interleaving style”). We could relax the specification of the FIFO buffer above, which is esthetically pleasant, but non-interleaving specifications are usually hard to get right and to understand. We therefore impose an interleaving constraint on the specification of the double buffer, which requires that enqueueing and dequeueing do not happen simultaneously.

```

definition  $DBSpec$ 
where  $DBSpec \equiv TEMP\ ISpec\ inp\ q1\ mid$ 
       $\wedge\ ISpec\ mid\ q2\ out$ 
       $\wedge\ \Box[\neg(Enq\ inp\ q1\ mid \wedge Deq\ mid\ q2\ out)]\text{-}vars$ 

```

The proof rules of TLA are geared towards specifications of the form $Init \wedge \Box[Next]\text{-}vars \wedge L$, and we prove that $DBSpec$ corresponds to a specification in this form, which we now define.

```

definition  $FullInit$ 
where  $FullInit \equiv TEMP\ (BInit\ inp\ q1\ mid \wedge BInit\ mid\ q2\ out)$ 

```

```

definition  $FullNxt$ 
where  $FullNxt \equiv TEMP\ (Enq\ inp\ q1\ mid \wedge Unchanged\ (q2, out)$ 
       $\vee\ Deq\ inp\ q1\ mid \wedge Enq\ mid\ q2\ out$ 
       $\vee\ Deq\ mid\ q2\ out \wedge Unchanged\ (inp, q1))$ 

```

```

definition  $FullSpec$ 
where  $FullSpec \equiv TEMP\ FullInit$ 
       $\wedge\ \Box[FullNxt]\text{-}vars$ 
       $\wedge\ WF(Deq\ inp\ q1\ mid)\text{-}vars$ 
       $\wedge\ WF(Deq\ mid\ q2\ out)\text{-}vars$ 

```

The concatenation of the two queues will serve as the refinement mapping.

```

definition  $qc :: 'a\ list\ statefun$ 
where  $qc \equiv LIFT\ (q2\ @\ q1)$ 

```

lemmas $db-defs = buffer-defs DBSpec-def FullInit-def FullNxt-def FullSpec-def$
 $qc-def vars-def$

lemma $DBSpec-stutinv: STUTINV DBSpec$
unfolding $db-defs$ **by** $(simp\ add: bothstutinv\ livestutinv)$

lemma $FullSpec-stutinv: STUTINV FullSpec$
unfolding $db-defs$ **by** $(simp\ add: bothstutinv\ livestutinv)$

We prove that $DBSpec$ implies $FullSpec$. (The converse implication also holds but is not needed for our implementation proof.)

The following lemma is somewhat more bureaucratic than we'd like it to be. It shows that the conjunction of the next-state relations, together with the invariant for the first queue, implies the full next-state relation of the combined queues.

lemma $DBNxt-then-FullNxt$:

$\vdash \Box BInv\ inp\ q1\ mid$
 $\wedge \Box [Nxt\ inp\ q1\ mid]-(inp, q1, mid)$
 $\wedge \Box [Nxt\ mid\ q2\ out]-(mid, q2, out)$
 $\wedge \Box [\neg (Enq\ inp\ q1\ mid \wedge Deq\ mid\ q2\ out)]-vars$
 $\longrightarrow \Box [FullNxt]-vars$
 $(is\ \vdash \Box ?inv \wedge ?nxts \longrightarrow \Box [FullNxt]-vars)$

proof –

have $\vdash \Box [Nxt\ inp\ q1\ mid]-(inp, q1, mid)$
 $\wedge \Box [Nxt\ mid\ q2\ out]-(mid, q2, out)$
 $\longrightarrow \Box [[Nxt\ inp\ q1\ mid]-(inp, q1, mid)$
 $\wedge [Nxt\ mid\ q2\ out]-(mid, q2, out)]-((inp, q1, mid), (mid, q2, out))$
 $(is\ \vdash ?tmp \longrightarrow \Box [?b1b2]-?vs)$
by $(auto\ simp: M12[int-rewrite])$

moreover

have $\vdash \Box [?b1b2]-?vs \longrightarrow \Box [?b1b2]-vars$
by $(rule\ R1, auto\ simp: vars-def\ tla-defs)$

ultimately

have $1: \vdash \Box [Nxt\ inp\ q1\ mid]-(inp, q1, mid)$
 $\wedge \Box [Nxt\ mid\ q2\ out]-(mid, q2, out)$
 $\longrightarrow \Box [[Nxt\ inp\ q1\ mid]-(inp, q1, mid)$
 $\wedge [Nxt\ mid\ q2\ out]-(mid, q2, out)]-vars$

by *force*

have $2: \vdash \Box [?b1b2]-vars \wedge \Box [\neg (Enq\ inp\ q1\ mid \wedge Deq\ mid\ q2\ out)]-vars$
 $\longrightarrow \Box [?b1b2 \wedge \neg (Enq\ inp\ q1\ mid \wedge Deq\ mid\ q2\ out)]-vars$

$(is\ \vdash ?tmp2 \longrightarrow \Box [?mid]-vars)$

by $(simp\ add: M8[int-rewrite])$

have $\vdash ?inv \longrightarrow \# True$ **by** *auto*

moreover

have $\vdash ?inv \wedge \Box [?mid]-vars \longrightarrow [FullNxt]-vars$

proof –

have $\vdash ?inv \wedge ?mid \longrightarrow [FullNxt]-vars$

```

proof –
  have A:  $|\sim \text{Nxt inp } q1 \text{ mid}$ 
     $\longrightarrow [\text{Nxt mid } q2 \text{ out}]-(\text{mid}, q2, \text{out})$ 
     $\longrightarrow \neg(\text{Enq inp } q1 \text{ mid} \wedge \text{Deq mid } q2 \text{ out})$ 
     $\longrightarrow ?\text{inv}$ 
     $\longrightarrow \text{FullNxt}$ 

  proof –
    have enq:  $|\sim \text{Enq inp } q1 \text{ mid}$ 
       $\wedge [\text{Nxt mid } q2 \text{ out}]-(\text{mid}, q2, \text{out})$ 
       $\wedge \neg(\text{Deq mid } q2 \text{ out})$ 
       $\longrightarrow \text{Unchanged } (q2, \text{out})$ 
    by (auto simp: db-defs tla-defs)
    have deq1:  $|\sim \text{Deq inp } q1 \text{ mid} \longrightarrow ?\text{inv} \longrightarrow \text{mid}\$ \neq \$\text{mid}$ 
    by (auto simp: Deq-def BInv-def)
    have deq2:  $|\sim \text{Deq mid } q2 \text{ out} \longrightarrow \text{mid}\$ = \$\text{mid}$ 
    by (auto simp: Deq-def)
    have deq:  $|\sim \text{Deq inp } q1 \text{ mid}$ 
       $\wedge [\text{Nxt mid } q2 \text{ out}]-(\text{mid}, q2, \text{out})$ 
       $\wedge ?\text{inv}$ 
       $\longrightarrow \text{Enq mid } q2 \text{ out}$ 
    by (force simp: Nxt-def tla-defs
      dest: deq1[unlift-rule] deq2[unlift-rule])
    with enq show ?thesis by (force simp: Nxt-def FullNxt-def)
  qed
  have B:  $|\sim \text{Nxt mid } q2 \text{ out}$ 
     $\longrightarrow \text{Unchanged } (\text{inp}, q1, \text{mid})$ 
     $\longrightarrow \text{FullNxt}$ 
  by (auto simp: db-defs tla-defs)
  have C:  $\vdash \text{Unchanged } (\text{inp}, q1, \text{mid})$ 
     $\longrightarrow \text{Unchanged } (\text{mid}, q2, \text{out})$ 
     $\longrightarrow \text{Unchanged vars}$ 
  by (auto simp: vars-def tla-defs)
  show ?thesis
  by (force simp: actrans-def
    dest: A[unlift-rule] B[unlift-rule] C[unlift-rule])
  qed
  thus ?thesis by (auto simp: tla-defs)
qed
ultimately
have  $\vdash \Box ?\text{inv} \wedge \Box [?\text{mid}]\text{-vars} \longrightarrow \Box \# \text{True} \wedge \Box [\text{FullNxt}]\text{-vars}$ 
by (rule TLA2)
with 1 2 show ?thesis by force
qed

```

It is now easy to show that *DBSpec* refines *FullSpec*.

```

theorem DBSpec-impl-FullSpec:  $\vdash \text{DBSpec} \longrightarrow \text{FullSpec}$ 
proof –
  have 1:  $\vdash \text{DBSpec} \longrightarrow \text{FullInit}$ 
  by (auto simp: DBSpec-def FullInit-def ISpec-def)

```

```

have 2:  $\vdash DBSpec \longrightarrow \Box[FullNxt]\text{-vars}$ 
proof -
  have  $\vdash DBSpec \longrightarrow \Box(BInv\ inp\ q1\ mid)$ 
    by (auto simp: DBSpec-def intro: ISpec-BInv[unlift-rule])
  moreover have  $\vdash DBSpec \wedge \Box(BInv\ inp\ q1\ mid) \longrightarrow \Box[FullNxt]\text{-vars}$ 
    by (auto simp: DBSpec-def ISpec-def
      intro: DBNxt-then-FullNxt[unlift-rule])
  ultimately show ?thesis by force
qed
have 3:  $\vdash DBSpec \longrightarrow WF(Deq\ inp\ q1\ mid)\text{-vars}$ 
proof -
  have 31:  $\vdash Unchanged\ vars \longrightarrow Unchanged\ q1$ 
    by (auto simp: vars-def tla-defs)
  have 32:  $\vdash Unchanged\ (inp, q1, mid) \longrightarrow Unchanged\ q1$ 
    by (auto simp: tla-defs)
  have deq:  $\vdash \langle Deq\ inp\ q1\ mid \rangle\text{-vars} = \langle Deq\ inp\ q1\ mid \rangle\text{-}(inp, q1, mid)$ 
    by (simp add: Deq-visible[OF 31, int-rewrite]
      Deq-visible[OF 32, int-rewrite])
  show ?thesis
    by (auto simp: DBSpec-def ISpec-def WeakF-def
      deq[int-rewrite] deq[THEN AA26, int-rewrite])
qed
have 4:  $\vdash DBSpec \longrightarrow WF(Deq\ mid\ q2\ out)\text{-vars}$ 
proof -
  have 41:  $\vdash Unchanged\ vars \longrightarrow Unchanged\ q2$ 
    by (auto simp: vars-def tla-defs)
  have 42:  $\vdash Unchanged\ (mid, q2, out) \longrightarrow Unchanged\ q2$ 
    by (auto simp: tla-defs)
  have deq:  $\vdash \langle Deq\ mid\ q2\ out \rangle\text{-vars} = \langle Deq\ mid\ q2\ out \rangle\text{-}(mid, q2, out)$ 
    by (simp add: Deq-visible[OF 41, int-rewrite]
      Deq-visible[OF 42, int-rewrite])
  show ?thesis
    by (auto simp: DBSpec-def ISpec-def WeakF-def
      deq[int-rewrite] deq[THEN AA26, int-rewrite])
qed
show ?thesis
  by (auto simp: FullSpec-def
    elim: 1[unlift-rule] 2[unlift-rule] 3[unlift-rule]
    4[unlift-rule])
qed

```

We now prove that two FIFO buffers in a row (as specified by formula *FullSpec*) implement a FIFO buffer whose internal queue is the concatenation of the two buffers. We start by proving step simulation.

lemma *FullInit*: $\vdash FullInit \longrightarrow BInit\ inp\ qc\ out$
 by (auto simp: db-defs tla-defs)

lemma *Full-step-simulation*:
 $\vdash [FullNxt]\text{-vars} \longrightarrow [Nxt\ inp\ qc\ out]\text{-}(inp, qc, out)$

by (*auto simp: db-defs tla-defs*)

The liveness condition requires that the combined buffer eventually performs a *Deq* action on the output channel if it contains some element. The idea is to use the fairness hypothesis for the first buffer to prove that in that case, eventually the queue of the second buffer will be non-empty, and that it must therefore eventually dequeue some element.

The first step is to establish the enabledness conditions for the two *Deq* actions of the implementation.

lemma *Deq1-enabled*: $\vdash \text{Enabled } \langle \text{Deq } \text{inp } q1 \text{ mid} \rangle\text{-vars} = (\$q1 \neq \#[])$

proof –

have $1: |\sim \langle \text{Deq } \text{inp } q1 \text{ mid} \rangle\text{-vars} = \text{Deq } \text{inp } q1 \text{ mid}$

by (*rule Deq-visible, auto simp: vars-def tla-defs*)

have $\vdash \text{Enabled } (\text{Deq } \text{inp } q1 \text{ mid}) = (\$q1 \neq \#[])$

by (*force simp: Deq-def tla-defs vars-def*
intro: base-enabled[OF DB-base] elim!: enabledE)

thus *?thesis* **by** (*simp add: 1[int-rewrite]*)

qed

lemma *Deq2-enabled*: $\vdash \text{Enabled } \langle \text{Deq } \text{mid } q2 \text{ out} \rangle\text{-vars} = (\$q2 \neq \#[])$

proof –

have $1: |\sim \langle \text{Deq } \text{mid } q2 \text{ out} \rangle\text{-vars} = \text{Deq } \text{mid } q2 \text{ out}$

by (*rule Deq-visible, auto simp: vars-def tla-defs*)

have $\vdash \text{Enabled } (\text{Deq } \text{mid } q2 \text{ out}) = (\$q2 \neq \#[])$

by (*force simp: Deq-def tla-defs vars-def*
intro: base-enabled[OF DB-base] elim!: enabledE)

thus *?thesis* **by** (*simp add: 1[int-rewrite]*)

qed

We now use rule *WF2* to prove that the combined buffer (behaving according to specification *FullSpec*) implements the fairness condition of the single buffer under the refinement mapping.

lemma *Full-fairness*:

$\vdash \Box[\text{FullNxt}]\text{-vars} \wedge \text{WF}(\text{Deq } \text{mid } q2 \text{ out})\text{-vars} \wedge \Box \text{WF}(\text{Deq } \text{inp } q1 \text{ mid})\text{-vars}$
 $\longrightarrow \text{WF}(\text{Deq } \text{inp } qc \text{ out})\text{-(inp, qc, out)}$

proof (*rule WF2*)

— the helpful action is the *Deq* action of the second queue

show $|\sim \langle \text{FullNxt} \wedge \text{Deq } \text{mid } q2 \text{ out} \rangle\text{-vars} \longrightarrow \langle \text{Deq } \text{inp } qc \text{ out} \rangle\text{-(inp, qc, out)}$

by (*auto simp: db-defs tla-defs*)

next

— the helpful condition is the second queue being non-empty

show $|\sim (\$q2 \neq \#[]) \wedge \bigcirc(\$q2 \neq \#[]) \wedge \langle \text{FullNxt} \wedge \text{Deq } \text{mid } q2 \text{ out} \rangle\text{-vars}$
 $\longrightarrow \text{Deq } \text{mid } q2 \text{ out}$

by (*auto simp: tla-defs*)

next

show $\vdash \$q2 \neq \#[] \wedge \text{Enabled } \langle \text{Deq } \text{inp } qc \text{ out} \rangle\text{-(inp, qc, out)}$
 $\longrightarrow \text{Enabled } \langle \text{Deq } \text{mid } q2 \text{ out} \rangle\text{-vars}$

unfolding *Deq2-enabled*[*int-rewrite*] **by** *auto*

next

The difficult part of the proof is to show that the helpful condition will eventually always be true provided that the combined dequeue action is eventually always enabled and that the helpful action is never executed. We prove that (1) the helpful condition persists and (2) that it must eventually become true.

have $\vdash \Box\Box[FullNxt \wedge \neg(Deq\ mid\ q2\ out)]-vars$
 $\longrightarrow \Box(\$q2 \neq \#[]) \longrightarrow \Box(\$q2 \neq \#[])$

proof (*rule STL4*)

have $|\sim \$q2 \neq \#[] \wedge [FullNxt \wedge \neg(Deq\ mid\ q2\ out)]-vars$
 $\longrightarrow \bigcirc(\$q2 \neq \#[])$

by (*auto simp: db-defs tla-defs*)

from *this* [*THEN INV1*]

show $\vdash \Box[FullNxt \wedge \neg Deq\ mid\ q2\ out]-vars$
 $\longrightarrow (\$q2 \neq \#[] \longrightarrow \Box(\$q2 \neq \#[]))$

by *auto*

qed

hence 1: $\vdash \Box[FullNxt \wedge \neg(Deq\ mid\ q2\ out)]-vars$
 $\longrightarrow \Diamond(\$q2 \neq \#[]) \longrightarrow \Diamond\Box(\$q2 \neq \#[])$

by (*force intro: E31[unlift-rule]*)

have 2: $\vdash \Box[FullNxt \wedge \neg(Deq\ mid\ q2\ out)]-vars$
 $\wedge WF(Deq\ inp\ q1\ mid)-vars$
 $\longrightarrow (Enabled\ \langle Deq\ inp\ qc\ out \rangle - (inp, qc, out) \rightsquigarrow \$q2 \neq \#[])$

proof –

have *qc*: $\vdash (\$qc \neq \#[]) = (\$q1 \neq \#[] \vee \$q2 \neq \#[])$

by (*auto simp: qc-def tla-defs*)

have $\vdash \Box[FullNxt \wedge \neg(Deq\ mid\ q2\ out)]-vars \wedge WF(Deq\ inp\ q1\ mid)-vars$
 $\longrightarrow (\$q1 \neq \#[] \rightsquigarrow \$q2 \neq \#[])$

proof (*rule WF1*)

show $|\sim \$q1 \neq \#[] \wedge [FullNxt \wedge \neg Deq\ mid\ q2\ out]-vars$
 $\longrightarrow \bigcirc(\$q1 \neq \#[]) \vee \bigcirc(\$q2 \neq \#[])$

by (*auto simp: db-defs tla-defs*)

next

show $|\sim \$q1 \neq \#[]$
 $\wedge \langle (FullNxt \wedge \neg Deq\ mid\ q2\ out) \wedge Deq\ inp\ q1\ mid \rangle -vars \longrightarrow$
 $\bigcirc(\$q2 \neq \#[])$

by (*auto simp: db-defs tla-defs*)

next

show $\vdash \$q1 \neq \#[] \longrightarrow Enabled\ \langle Deq\ inp\ q1\ mid \rangle -vars$

by (*simp add: Deq1-enabled[int-rewrite]*)

next

show $|\sim \$q1 \neq \#[] \wedge Unchanged\ vars \longrightarrow \bigcirc(\$q1 \neq \#[])$

by (*auto simp: vars-def tla-defs*)

qed

hence $\vdash \Box[FullNxt \wedge \neg(Deq\ mid\ q2\ out)]-vars$
 $\wedge WF(Deq\ inp\ q1\ mid)-vars$
 $\longrightarrow (\$qc \neq \#[] \rightsquigarrow \$q2 \neq \#[])$

by (*auto simp: qc[int-rewrite] LT17[int-rewrite] LT1[int-rewrite]*)

moreover


```

have  $\vdash \text{Enabled } \langle \text{Deq } \text{inp } qc \text{ out} \rangle - (\text{inp}, qc, \text{out}) \rightsquigarrow \$qc \neq \#[]$ 
by (rule Deq-enabledE[THEN LT3])
ultimately show ?thesis by (force elim: LT13[unlift-rule])
qed
with LT6
have  $\vdash \Box[\text{FullNxt} \wedge \neg(\text{Deq } \text{mid } q2 \text{ out})] \text{-vars}$ 
 $\wedge \text{WF}(\text{Deq } \text{inp } q1 \text{ mid}) \text{-vars}$ 
 $\wedge \Diamond \text{Enabled } \langle \text{Deq } \text{inp } qc \text{ out} \rangle - (\text{inp}, qc, \text{out})$ 
 $\longrightarrow \Diamond(\$q2 \neq \#[])$ 
by force
with 1 E16
show  $\vdash \Box[\text{FullNxt} \wedge \neg(\text{Deq } \text{mid } q2 \text{ out})] \text{-vars}$ 
 $\wedge \text{WF}(\text{Deq } \text{mid } q2 \text{ out}) \text{-vars}$ 
 $\wedge \Box \text{WF}(\text{Deq } \text{inp } q1 \text{ mid}) \text{-vars}$ 
 $\wedge \Diamond \Box \text{Enabled } \langle \text{Deq } \text{inp } qc \text{ out} \rangle - (\text{inp}, qc, \text{out})$ 
 $\longrightarrow \Diamond \Box(\$q2 \neq \#[])$ 
by force
qed

```

Putting everything together, we obtain that *FullSpec* refines the Buffer specification under the refinement mapping.

```

theorem FullSpec-impl-ISpec:  $\vdash \text{FullSpec} \longrightarrow \text{ISpec } \text{inp } qc \text{ out}$ 
unfolding FullSpec-def ISpec-def
using FullInit Full-step-simulation[THEN M11] Full-fairness
by force

```

```

theorem FullSpec-impl-Spec:  $\vdash \text{FullSpec} \longrightarrow \text{Spec } \text{inp } \text{out}$ 
unfolding Spec-def using FullSpec-impl-ISpec
by (force intro: eeXI[unlift-rule])

```

By transitivity, two buffers in a row also implement a single buffer.

```

theorem DBSpec-impl-Spec:  $\vdash \text{DBSpec} \longrightarrow \text{Spec } \text{inp } \text{out}$ 
by (rule lift-imp-trans[OF DBSpec-impl-FullSpec FullSpec-impl-Spec])

```

end — locale *DBuffer*

end

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