Model of our own *CJup*

```
1  ---------- MODULE CJupiter ----------
   Model of our own CJupiter protocol.
5  EXTENDS Integers, OT, TLC, AdditionalFunctionOperators, AdditionalSequenceOperators
6  ----------
7  CONSTANTS
8      Client,        the set of client replicas
9      Server,        the (unique) server replica
10     Char,          set of characters allowed
11     InitState      the initial state of each replica

13 Replica ≜ Client ∪ {Server}

15 List ≜ Seq(Char ∪ Range(InitState))                 all possible lists/strings
16 MaxLen ≜ Cardinality(Char) + Len(InitState)         the max length of lists in any states;
17     We assume that all inserted elements are unique.

19 ClientNum ≜ Cardinality(Client)
20 Priority ≜ CHOOSE f ∈ [Client → 1 .. ClientNum] : Injective(f)
21 ----------
22 ASSUME
23     ∧ Range(InitState) ∩ Char = {}    due to the uniqueness requirement
24     ∧ Priority ∈ [Client → 1 .. ClientNum]
25 ----------
   The set of all operations. Note: The positions are indexed from 1.
30 Rd ≜ [type : {"Rd"}]
31 Del ≜ [type : {"Del"}, pos : 1 .. MaxLen]
32 Ins ≜ [type : {"Ins"}, pos : 1 .. (MaxLen + 1), ch : Char, pr : 1 .. ClientNum]   pr: priority

34 Op ≜ Ins ∪ Del
35 ----------
   Cop: operation of type Op with context
39 Oid ≜ [c : Client, seq : Nat]    operation identifier
40 Cop ≜ [op : Op ∪ {Nop}, oid : Oid, ctx : SUBSET Oid]

   tb: Is cop1 totally ordered before cop2?

   This can be determined according to the serial view (sv) of any replica.
47 tb(cop1, cop2, sv) ≜
48     LET pos1 ≜ FirstIndexOfElementSafe(sv, cop1.oid)
49         pos2 ≜ FirstIndexOfElementSafe(sv, cop2.oid)
50      IN IF pos1 ≠ 0 ∧ pos2 ≠ 0    at the server or both are remote operations
51         THEN pos1 < pos2          at a client: one is a remote operation and the other is a local operation
52         ELSE pos1 ≠ 0
   OT of two operations of type Cop.
```

```
56  COT(lcop, rcop) ≜ [lcop EXCEPT !.op = Xform(lcop.op, rcop.op), !.ctx = @ ∪ {rcop.oid}]
57 ├──────────────────────────────────────────────────────────────────────────┤
58  VARIABLES
        For the client replicas:
62      cseq,      cseq[c]: local sequence number at client c ∈ Client
        For all replicas: the n-ary ordered state space
66      css,       css[r]: the n-ary ordered state space at replica r ∈ Replica
67      cur,       cur[r]: the current node of css at replica r ∈ Replica
68      state,     state[r]: state (the list content) of replica r ∈ Replica
        For edge ordering in CSS
72      serial,    serial[r]: the serial view of replica r ∈ Replica about the server
73      cincomingSerial,
74      sincomingSerial,
        For communication between the Server and the Clients:
78      cincoming,   cincoming[c]: incoming channel at the client c ∈ Client
79      sincoming,   incoming channel at the Server
        For model checking:
83      chins      a set of chars to insert
84 ├──────────────────────────────────────────────────────────────────────────┤
85  serialVars ≜ ⟨serial, cincomingSerial, sincomingSerial⟩
86  vars ≜ ⟨chins, cseq, css, cur, state, cincoming, sincoming, serialVars⟩
87 ├──────────────────────────────────────────────────────────────────────────┤
88  comm ≜ INSTANCE CSComm WITH Msg ← Cop
89  commSerial ≜ INSTANCE CSComm WITH Msg ← Seq(Oid),
90                                cincoming ← cincomingSerial, sincoming ← sincomingSerial
91 ├──────────────────────────────────────────────────────────────────────────┤
    A css is a directed graph with labeled edges, represented by a record with node field and edge field.
    Each node is characterized by its context, a set of oids. Each edge is labeled with an operation.
98  IsCSS(G) ≜
99      ∧ G = [node ↦ G.node, edge ↦ G.edge]
100     ∧ G.node ⊆ (SUBSET Oid)
101     ∧ G.edge ⊆ [from : G.node, to : G.node, cop : Cop]

103 TypeOK ≜
        For the client replicas:
107     ∧ cseq ∈ [Client → Nat]
        For edge ordering in CSS:
111     ∧ serial ∈ [Replica → Seq(Oid)]
112     ∧ commSerial!TypeOK
        For all replicas: the n-ary ordered state space
116     ∧ ∀ r ∈ Replica : IsCSS(css[r])
117     ∧ cur ∈ [Replica → SUBSET Oid]
118     ∧ state ∈ [Replica → List]
```

For communication between the server and the clients:

$\land\ comm\,!\,TypeOK$

For model checking:

$\land\ chins \subseteq Char$

The *Init* predicate.

$Init \triangleq$

For the client replicas:

$\land\ cseq = [c \in Client \mapsto 0]$

For the server replica:

$\land\ serial = [r \in Replica \mapsto \langle\rangle]$

$\land\ commSerial\,!\,Init$

For all replicas: the n-ary ordered state space

$\land\ css = [r \in Replica \mapsto [node \mapsto \{\{\}\},\ edge \mapsto \{\}]]$

$\land\ cur = [r \in Replica \mapsto \{\}]$

$\land\ state = [r \in Replica \mapsto InitState]$

For communication between the server and the clients:

$\land\ comm\,!\,Init$

For model checking:

$\land\ chins = Char$

Locate the node in *rcss* (the *css* at replica $r \in Replica$) that matches the context *ctx* of cop.

$Locate(cop,\ rcss) \triangleq \text{CHOOSE } n \in rcss.node : n = cop.ctx$

Take union of two state spaces $ss1$ and $ss2$.

$ss1 \oplus ss2 \triangleq$

$[ss1 \text{ EXCEPT } !.node = @ \cup ss2.node,$

$\qquad !.edge = @ \cup ss2.edge]$

xForm: Iteratively transform cop with a path through the *css* at replica $r \in Replica$, following the first edges.

$xForm(cop,\ r) \triangleq$

$\text{LET } rcss \triangleq css[r]$

$u \triangleq Locate(cop,\ rcss)$

$v \triangleq u \cup \{cop.oid\}$

$\text{RECURSIVE } xFormHelper(\_,\ \_,\ \_,\ \_,\ \_,\ \_)$

'h' stands for "helper"; *xcss*: *eXtra css* created during transformation

$xFormHelper(uh,\ vh,\ coph,\ xcss,\ xcoph,\ xcurh) \triangleq$

$\text{IF } uh = cur[r]$

$\text{THEN } \langle xcss,\ xcoph,\ xcurh\rangle$

$\text{ELSE LET } fedge \triangleq \text{CHOOSE } e \in rcss.edge :$

$\land\ e.from = uh$

$\land\ \forall\, uhe \in rcss.edge :$

$(uhe.from = uh \land uhe \neq e) \Rightarrow tb(e.cop,\ uhe.cop,\ serial[r])$

```
                                uprime ≜ fedge.to
                                fcop ≜ fedge.cop
                                coph2fcop ≜ COT(coph, fcop)
                                fcop2coph ≜ COT(fcop, coph)
                                 vprime ≜ vh ∪ {fcop.oid}
                          IN     xFormHelper(uprime, vprime, coph2fcop,
                                    [xcss EXCEPT !.node = @ ∪ {vprime},
                                      !.edge = @ ∪ {[from ↦ vh, to ↦ vprime, cop ↦ fcop2coph],
                                                    [from ↦ uprime, to ↦ vprime, cop ↦ coph2fcop]}],
                                    coph2fcop, vprime)
    IN     xFormHelper(u, v, cop, [node ↦ ⟨v⟩,
                                   edge ↦ ⟨[from ↦ u, to ↦ v, cop ↦ cop]⟩],
                       cop, v)
```

Perform cop at replica $r \in Replica$.

```
Perform(cop, r) ≜
    LET xform ≜ xForm(cop, r)
        xcss ≜ xform[1]
        xcop ≜ xform[2]
        xcur ≜ xform[3]
    IN  ∧ css' = [css EXCEPT ![r].node = @ ⊕ xcss]
        ∧ cur' = [cur EXCEPT ![r] = xcur]
        ∧ state' = [state EXCEPT ![r] = Apply(xcop.op, @)]
```

Client $c \in Client$ issues an operation op.

```
DoOp(c, op) ≜   op: the raw operation generated by the client c ∈ Client
    ∧ cseq' = [cseq EXCEPT ![c] = @ + 1]
    ∧ LET cop ≜ [op ↦ op, oid ↦ [c ↦ c, seq ↦ cseq'[c]], ctx ↦ cur[c]]
      IN  ∧ Perform(cop, c)
          ∧ comm!CSend(cop)

DoIns(c) ≜
    ∃ ins ∈ {op ∈ Ins : op.pos ∈ 1 .. (Len(state[c]) + 1) ∧ op.ch ∈ chins ∧ op.pr = Priority[c]} :
        ∧ DoOp(c, ins)
        ∧ chins' = chins \ {ins.ch}   We assume that all inserted elements are unique.
        ∧ UNCHANGED ⟨serialVars⟩

DoDel(c) ≜
    ∃ del ∈ {op ∈ Del : op.pos ∈ 1 .. Len(state[c])} :
        ∧ DoOp(c, del)
        ∧ UNCHANGED ⟨chins, serialVars⟩

Do(c) ≜
    ∨ DoIns(c)
    ∨ DoDel(c)
```

Client $c \in Client$ receives a message from the $Server$.

```
Rev(c) ≜
    ∧ comm!CRev(c)
    ∧ Perform(Head(cincoming[c]), c)
    ∧ commSerial!CRev(c)
    ∧ serial' = [serial EXCEPT ![c] = Head(cincomingSerial[c])]
    ∧ UNCHANGED ⟨chins, cseq⟩
```

The *Server* receives a message.

```
SRev ≜
    ∧ comm!SRev
    ∧ LET cop ≜ Head(sincoming)
      IN  ∧ Perform(cop, Server)
          ∧ comm!SSendSame(cop.oid.c, cop)   broadcast the original operation
          ∧ serial' = [serial EXCEPT ![Server] = Append(@, cop.oid)]
          ∧ commSerial!SSendSame(cop.oid.c, serial'[Server])
    ∧ UNCHANGED ⟨chins, cseq, sincomingSerial⟩
```

The next-state relation.

```
Next ≜
    ∨ ∃ c ∈ Client : Do(c) ∨ Rev(c)
    ∨ SRev
```

The *Spec*. There is no requirement that the clients ever generate operations.

```
Spec ≜ Init ∧ □[Next]_vars ∧ WF_vars(SRev ∨ ∃ c ∈ Client : Rev(c))
```

The compactness of *CJupiter*: the *CSSes* at all replicas are the same.

```
Compactness ≜
    comm!EmptyChannel ⇒ Cardinality({css[r] : r ∈ Replica}) = 1

THEOREM Spec ⇒ Compactness
```

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