
MODULE *FastLeaderElection*

This is the formal specification for Fast Leader Election in *Zab* protocol.

Reference: *FastLeaderElection.java*, *Vote.java*, *QuorumPeer.java* in <https://github.com/apache/zookeeper>. Medeiros A. *ZooKeepers* atomic broadcast protocol: Theory and *practice*[J]. Aalto University School of Science, 2012.

EXTENDS *Integers, FiniteSets, Sequences, Naturals, TLC*

The set of server identifiers

CONSTANT *Server*

Server states

CONSTANTS *LOOKING, FOLLOWING, LEADING*

Message types

CONSTANTS *NOTIFICATION*

Timeout signal

CONSTANT *NONE*

$Quorums \triangleq \{Q \in \text{SUBSET } Server : \text{Cardinality}(Q) * 2 > \text{Cardinality}(Server)\}$

$NullPoint \triangleq \text{CHOOSE } p : p \notin Server$

Server's *state*(*LOOKING, FOLLOWING, LEADING*).

VARIABLE *state*

The epoch number of the last *NEWLEADER* packet accepted, used for comparing.

VARIABLE *currentEpoch*

The zxid of the last transaction in history.

VARIABLE *lastZxid*

currentVote[*i*]: The server who *i* thinks is the current *leader(id, zxid, peerEpoch, ...)*.

VARIABLE *currentVote*

Election instance.(*logicalClock* in code)

VARIABLE *logicalClock*

The votes from the current leader election are stored in *ReceiveVotes*.

VARIABLE *receiveVotes*

The votes from previous leader elections, as well as the votes from the current leader election are stored in *outofelection*. Note that notifications in a *LOOKING* state are not stored in *outofelection*. Only *FOLLOWING* or *LEADING* notifications are stored in *outofelection*.

VARIABLE *outOfElection*

recvQueue[*i*]: The queue of received notifications or timeout signals in server *i*.

VARIABLE *recvQueue*

A variable to wait for new notifications, corresponding to line 1050 in *FastLeaderElection.java*.
VARIABLE *waitNotmsg*

leadingVoteSet[i]: The set of voters that follow *i*.
VARIABLE *leadingVoteSet*

The messages about election sent from one server to another. *electionMsgs[i][j]* means the input buffer of server *j* from server *i*.
VARIABLE *electionMsgs*

Set used for mapping *Server* to *Integers*, to compare ids from different servers.
VARIABLE *idTable*

serverVars $\triangleq \langle state, currentEpoch, lastZxid \rangle$

electionVars $\triangleq \langle currentVote, logicalClock, receiveVotes, outOfElection, recvQueue, waitNotmsg \rangle$

leaderVars $\triangleq \langle leadingVoteSet \rangle$

varsL $\triangleq \langle serverVars, electionVars, leaderVars, electionMsgs, idTable \rangle$

Processing of *electionMsgs*

BroadcastNotmsg(i, m) $\triangleq electionMsgs' = [electionMsgs \text{ EXCEPT } ![i] = [v \in Server \mapsto \text{IF } v \neq i$
THEN *Append(electionMsgs[i][v], m)*
ELSE *electionMsgs[i][v]*

DiscardNotmsg(i, j) $\triangleq electionMsgs' = [electionMsgs \text{ EXCEPT } ![i][j] = \text{IF } electionMsgs[i][j] \neq \langle \rangle$
THEN *Tail(electionMsgs[i][j])*
ELSE $\langle \rangle$

ReplyNotmsg(i, j, m) $\triangleq electionMsgs' = [electionMsgs \text{ EXCEPT } ![i][j] = \text{Append}(electionMsgs[i][j], m),$
 $![j][i] = \text{Tail}(electionMsgs[j][i])$

Processing of *recvQueue*

RECURSIVE *RemoveNone(-)*

RemoveNone(seq) $\triangleq \text{CASE } seq = \langle \rangle \rightarrow \langle \rangle$
 $\square \quad seq \neq \langle \rangle \rightarrow \text{IF } Head(seq).mtype = NONE \text{ THEN } RemoveNone(Tail(seq))$
ELSE $\langle Head(seq) \rangle \circ RemoveNone(Tail(seq))$

Processing of *idTable* and order comparing

RECURSIVE *InitializeIdTable(-)*

InitializeIdTable(Remaining) $\triangleq \text{IF } Remaining = \{\} \text{ THEN } \{\}$
ELSE LET *chosen* \triangleq CHOOSE $i \in Remaining : \text{TRUE}$
re $\triangleq Remaining \setminus \{chosen\}$
IN $\{\langle chosen, Cardinality(Remaining) \rangle\} \cup InitializeIdTable(re)$

FALSE: *id1* < *id2*; TRUE: *id1* > *id2*

IdCompare(id1, id2) $\triangleq \text{LET } item1 \triangleq \text{CHOOSE } item \in idTable : item[1] = id1$

$$\text{IN } \begin{array}{l} \text{item2} \stackrel{\Delta}{=} \text{CHOOSE } \text{item} \in \text{idTable} : \text{item}[1] = \text{id2} \\ \text{item1}[2] > \text{item2}[2] \end{array}$$

$$\begin{aligned} \text{FALSE: } \text{zxid1} \leq \text{zxid2}; \text{ TRUE: } \text{zxid1} > \text{zxid2} \\ \text{ZxidCompare}(\text{zxid1}, \text{zxid2}) &\triangleq \vee \text{zxid1}[1] > \text{zxid2}[1] \\ &\quad \vee \wedge \text{zxid1}[1] = \text{zxid2}[1] \\ &\quad \wedge \text{zxid1}[2] > \text{zxid2}[2] \\ \text{ZxidEqual}(\text{zxid1}, \text{zxid2}) &\triangleq \text{zxid1}[1] = \text{zxid2}[1] \wedge \text{zxid1}[2] = \text{zxid2}[2] \end{aligned}$$

$$\begin{aligned} \text{FALSE: } vote1 \leq vote2; \text{ TRUE: } vote1 > vote2 \\ \text{TotalOrderPredicate}(vote1, vote2) &\triangleq \vee vote1.\text{proposedEpoch} > vote2.\text{proposedEpoch} \\ &\vee \wedge vote1.\text{proposedEpoch} = vote2.\text{proposedEpoch} \\ &\quad \wedge \vee \text{ZxidCompare}(vote1.\text{proposedZxid}, vote2.\text{proposedZxid}) \\ &\quad \vee \wedge \text{ZxidEqual}(vote1.\text{proposedZxid}, vote2.\text{proposedZxid}) \\ &\quad \quad \wedge \text{IdCompare}(vote1.\text{proposedLeader}, vote2.\text{proposedLeader}) \\ \text{VoteEqual}(vote1, round1, vote2, round2) &\triangleq \wedge vote1.\text{proposedLeader} = vote2.\text{proposedLeader} \\ &\quad \wedge \text{ZxidEqual}(vote1.\text{proposedZxid}, vote2.\text{proposedZxid}) \\ &\quad \wedge vote1.\text{proposedEpoch} = vote2.\text{proposedEpoch} \\ &\quad \wedge round1 = round2 \end{aligned}$$

Processing of *currentVote*

$$InitialVote \triangleq [proposedLeader \mapsto NullPoint, \\ proposedZxid \mapsto \langle 0, 0 \rangle, \\ proposedEpoch \mapsto 0]$$

$$\text{SelfVote}(i) \triangleq [\text{proposedLeader} \mapsto i, \\ \text{proposedZxid} \mapsto \text{lastZxid}[i], \\ \text{proposedEpoch} \mapsto \text{currentEpoch}[i]]$$

$$UpdateProposal(i, nid, nzxid, nepoch) \triangleq currentVote' = [currentVote \text{ EXCEPT } \begin{array}{l} ! [i].proposedLeader = nid, \\ ! [i].proposedZxid = nzxid, \\ ! [i].proposedEpoch = nepoch \end{array}]$$

Processing of *receiveVotes* and *outOfElection*

$$RvClear(i) \triangleq receiveVotes' = [receiveVotes \text{ EXCEPT } ![i] = [v \in Server \mapsto [vote \mapsto InitialVote, \\ round \mapsto 0, \\ state \mapsto LOOKING, \\ version \mapsto 0]]]$$

$$RvPut(i, id, mvote, mround, mstate) \triangleq receiveVotes' = \text{CASE } receiveVotes[i][id].round < mround \rightarrow [receive$$

$$\square \quad receiveVotes[i][id].round = mround \rightarrow [receive$$

$$\square \quad outOfElection[i][id].round > mround \rightarrow out$$

$$\begin{aligned}
InitServerVars &\triangleq \wedge state = [s \in Server \mapsto LOOKING] \\
&\wedge currentEpoch = [s \in Server \mapsto 0] \\
&\wedge lastZxid = [s \in Server \mapsto \langle 0, 0 \rangle] \\
InitElectionVars &\triangleq \wedge currentVote = [s \in Server \mapsto SelfVote(s)] \\
&\wedge logicalClock = [s \in Server \mapsto 0] \\
&\wedge receiveVotes = [s \in Server \mapsto [v \in Server \mapsto [vote \mapsto InitialVote, \\
&\quad round \mapsto 0, \\
&\quad state \mapsto LOOKING, \\
&\quad version \mapsto 0]]] \\
&\wedge outOfElection = [s \in Server \mapsto [v \in Server \mapsto [vote \mapsto InitialVote, \\
&\quad round \mapsto 0, \\
&\quad state \mapsto LOOKING, \\
&\quad version \mapsto 0]]] \\
&\wedge recvQueue = [s \in Server \mapsto \langle \rangle] \\
&\wedge waitNotmsg = [s \in Server \mapsto FALSE] \\
InitLeaderVars &\triangleq \wedge leadingVoteSet = [s \in Server \mapsto \{\}] \\
Init &\triangleq \wedge InitServerVars \\
&\wedge InitElectionVars \\
&\wedge InitLeaderVars \\
&\wedge electionMsgs = [s \in Server \mapsto [v \in Server \mapsto \langle \rangle]] \\
&\wedge idTable = InitializeIdTable(Server)
\end{aligned}$$

The beginning part of *FLE*'s main function *lookForLeader()*

$$\begin{aligned}
ZabTimeout(i) &\triangleq \\
&\wedge state[i] \in \{LEADING, FOLLOWING\} \\
&\wedge state' = [state \text{ EXCEPT } ![i] = LOOKING] \\
&\wedge logicalClock' = [logicalClock \text{ EXCEPT } ![i] = logicalClock[i] + 1] \\
&\wedge currentVote' = [currentVote \text{ EXCEPT } ![i] = [proposedLeader \mapsto i, \\
&\quad proposedZxid \mapsto lastZxid[i], \\
&\quad proposedEpoch \mapsto currentEpoch[i]]] \\
&\wedge receiveVotes' = [receiveVotes \text{ EXCEPT } ![i] = [v \in Server \mapsto [vote \mapsto InitialVote, \\
&\quad round \mapsto 0, \\
&\quad state \mapsto LOOKING, \\
&\quad version \mapsto 0]]] \\
&\wedge outOfElection' = [outOfElection \text{ EXCEPT } ![i] = [v \in Server \mapsto [vote \mapsto InitialVote, \\
&\quad round \mapsto 0, \\
&\quad state \mapsto LOOKING, \\
&\quad version \mapsto 0]]]
\end{aligned}$$

$$\begin{aligned}
& \wedge \text{recvQueue}' = [\text{recvQueue} \quad \text{EXCEPT } ![i] = \langle \rangle] \\
& \wedge \text{waitNotmsg}' = [\text{waitNotmsg} \quad \text{EXCEPT } ![i] = \text{FALSE}] \\
& \wedge \text{leadingVoteSet}' = [\text{leadingVoteSet} \quad \text{EXCEPT } ![i] = \{\}] \\
& \wedge \text{BroadcastNotmsg}(i, [\text{mtype} \mapsto \text{NOTIFICATION}, \\
& \quad \text{msource} \mapsto i, \\
& \quad \text{mstate} \mapsto \text{LOOKING}, \\
& \quad \text{mround} \mapsto \text{logicalClock}'[i], \\
& \quad \text{mvote} \mapsto \text{currentVote}'[i]]) \\
& \wedge \text{UNCHANGED } \langle \text{currentEpoch}, \text{lastZxid}, \text{idTable} \rangle
\end{aligned}$$

Abstraction of *WorkerReceiver.run()*

$$\begin{aligned}
& \text{ReceiveNotmsg}(i, j) \triangleq \\
& \quad \wedge \text{electionMsgs}[j][i] \neq \langle \rangle \\
& \quad \wedge \text{LET } \text{notmsg} \triangleq \text{electionMsgs}[j][i][1] \\
& \quad \quad \text{toSend} \triangleq [\text{mtype} \mapsto \text{NOTIFICATION}, \\
& \quad \quad \quad \text{msource} \mapsto i, \\
& \quad \quad \quad \text{mstate} \mapsto \text{state}[i], \\
& \quad \quad \quad \text{mround} \mapsto \text{logicalClock}[i], \\
& \quad \quad \quad \text{mvote} \mapsto \text{currentVote}[i]) \\
& \quad \text{IN} \quad \vee \wedge \text{state}[i] = \text{LOOKING} \\
& \quad \quad \wedge \text{recvQueue}' = [\text{recvQueue} \quad \text{EXCEPT } ![i] = \text{Append}(\text{RemoveNone}(\text{recvQueue}[i]), \text{notmsg})] \\
& \quad \quad \wedge \text{LET } \text{replyOk} \triangleq \wedge \text{notmsg.mstate} = \text{LOOKING} \\
& \quad \quad \quad \wedge \text{notmsg.mround} < \text{logicalClock}[i] \\
& \quad \quad \text{IN} \\
& \quad \quad \vee \wedge \text{replyOk} \\
& \quad \quad \quad \wedge \text{ReplyNotmsg}(i, j, \text{toSend}) \\
& \quad \quad \vee \wedge \neg \text{replyOk} \\
& \quad \quad \quad \wedge \text{DiscardNotmsg}(j, i) \\
& \quad \vee \wedge \text{state}[i] \in \{\text{LEADING}, \text{FOLLOWING}\} \\
& \quad \wedge \vee \quad \text{Only reply when sender's state is LOOKING} \\
& \quad \quad \wedge \text{notmsg.mstate} = \text{LOOKING} \\
& \quad \quad \wedge \text{ReplyNotmsg}(i, j, \text{toSend}) \\
& \quad \vee \quad \text{sender's state and mine are both not LOOKING, just discard} \\
& \quad \quad \wedge \text{notmsg.mstate} \neq \text{LOOKING} \\
& \quad \quad \wedge \text{DiscardNotmsg}(j, i) \\
& \quad \wedge \text{UNCHANGED } \text{recvQueue} \\
& \wedge \text{UNCHANGED } \langle \text{serverVars}, \text{currentVote}, \text{logicalClock}, \text{receiveVotes}, \text{outOfElection}, \text{waitNotmsg}, \text{leader} \rangle \\
& \text{NotmsgTimeout}(i) \triangleq \\
& \quad \wedge \text{state}[i] = \text{LOOKING} \\
& \quad \wedge \forall j \in \text{Server} : \text{electionMsgs}[j][i] = \langle \rangle \\
& \quad \wedge \text{recvQueue}[i] = \langle \rangle \\
& \quad \wedge \text{recvQueue}' = [\text{recvQueue} \quad \text{EXCEPT } ![i] = \text{Append}(\text{recvQueue}[i], [\text{mtype} \mapsto \text{NONE}])] \\
& \quad \wedge \text{UNCHANGED } \langle \text{serverVars}, \text{currentVote}, \text{logicalClock}, \text{receiveVotes}, \text{outOfElection}, \text{waitNotmsg}, \text{leader} \rangle
\end{aligned}$$

Sub-action in *HandleNotmsg*

ReceivedFollowingAndLeadingNotification(i, n) \triangleq

LET $newVotes$ \triangleq $Put(i, n.msource, receiveVotes[i], n.mvote, n.mround, n.mstate)$
 $voteSet1$ \triangleq $VoteSet(i, n.msource, newVotes, n.mvote, n.mround)$
 $hasQuorums1$ \triangleq $voteSet1 \in Quorums$
 $check1$ \triangleq $CheckLeader(i, newVotes, n.mvote.proposedLeader, n.mround)$
 $leaveOk1$ \triangleq $\wedge n.mround = logicalClock[i]$
 $\wedge hasQuorums1$
 $\wedge check1$ state and *leadingVoteSet* cannot be changed twice in the first ' \wedge ' and second

IN

$\wedge \vee \wedge n.mround = logicalClock[i]$
 $\wedge receiveVotes' = [receiveVotes \text{ EXCEPT } ![i] = newVotes]$
 $\vee \wedge n.mround \neq logicalClock[i]$
 $\wedge \text{UNCHANGED } receiveVotes$
 $\wedge \vee \wedge leaveOk1$
 $\wedge PrintT(\text{"leave with condition 1"})$
 $\wedge state' = [state \text{ EXCEPT } ![i] = \text{IF } n.mvote.proposedLeader = i \text{ THEN } LEADING \text{ ELSE } FOLLOWING}]$
 $\wedge leadingVoteSet' = [leadingVoteSet \text{ EXCEPT } ![i] = \text{IF } n.mvote.proposedLeader = i \text{ THEN } voteSet1 \text{ ELSE } leadingVoteSet}]$
 $\wedge UpdateProposal(i, n.mvote.proposedLeader, n.mvote.proposedZxid, n.mvote.proposedEpoch)$
 $\wedge \text{UNCHANGED } \langle logicalClock, outOfElection \rangle$
 $\vee \wedge \neg leaveOk1$
 $\wedge outOfElection' = [outOfElection \text{ EXCEPT } ![i] = Put(i, n.msource, outOfElection[i], n.mvote, n.mround)]$
 \wedge LET $voteSet2$ \triangleq $VoteSet(i, n.msource, outOfElection'[i], n.mvote, n.mround)$
 $hasQuorums2$ \triangleq $voteSet2 \in Quorums$
 $check2$ \triangleq $CheckLeader(i, outOfElection'[i], n.mvote.proposedLeader, n.mround)$
 $leaveOk2$ \triangleq $\wedge hasQuorums2$
 $\wedge check2$

IN

$\vee \wedge leaveOk2$
 $\wedge PrintT(\text{"leave with condition 2"})$
 $\wedge logicalClock' = [logicalClock \text{ EXCEPT } ![i] = n.mround]$
 $\wedge state' = [state \text{ EXCEPT } ![i] = \text{IF } n.mvote.proposedLeader = i \text{ THEN } LEADING \text{ ELSE } FOLLOWING}]$
 $\wedge leadingVoteSet' = [leadingVoteSet \text{ EXCEPT } ![i] = \text{IF } n.mvote.proposedLeader = i \text{ THEN } voteSet2 \text{ ELSE } leadingVoteSet}]$
 $\wedge UpdateProposal(i, n.mvote.proposedLeader, n.mvote.proposedZxid, n.mvote.proposedEpoch)$
 $\vee \wedge \neg leaveOk2$
 \wedge LET $leaveOk3$ \triangleq $\wedge n.mstate = LEADING$
 $\wedge n.mround = logicalClock[i]$

IN

$\vee \wedge leaveOk3$
 $\wedge PrintT(\text{"leave with condition 3"})$
 $\wedge state' = [state \text{ EXCEPT } ![i] = \text{IF } n.mvote.proposedLeader = i \text{ THEN } LEADING \text{ ELSE } FOLLOWING}]$
 $\wedge UpdateProposal(i, n.mvote.proposedLeader, n.mvote.proposedZxid, n.mvote.proposedEpoch)$
 $\vee \wedge \neg leaveOk3$
 $\wedge \text{UNCHANGED } \langle state, currentVote \rangle$
 $\wedge \text{UNCHANGED } \langle logicalClock, leadingVoteSet \rangle$

Main part of *lookForLeader()*

$$\begin{aligned}
& \text{HandleNotmsg}(i) \triangleq \\
& \quad \wedge \text{state}[i] = \text{LOOKING} \\
& \quad \wedge \neg \text{waitNotmsg}[i] \\
& \quad \wedge \text{recvQueue}[i] \neq \langle \rangle \\
& \quad \wedge \text{LET } n \triangleq \text{recvQueue}[i][1] \\
& \quad \quad \text{rawToSend} \triangleq [\text{mtype} \mapsto \text{NOTIFICATION}, \\
& \quad \quad \quad \text{msource} \mapsto i, \\
& \quad \quad \quad \text{mstate} \mapsto \text{LOOKING}, \\
& \quad \quad \quad \text{mround} \mapsto \text{logicalClock}[i], \\
& \quad \quad \quad \text{mvote} \mapsto \text{currentVote}[i]] \\
& \text{IN} \quad \vee \wedge n.\text{mtype} = \text{NONE} \\
& \quad \quad \wedge \text{BroadcastNotmsg}(i, \text{rawToSend}) \\
& \quad \quad \wedge \text{UNCHANGED } \langle \text{logicalClock}, \text{currentVote}, \text{receiveVotes}, \text{waitNotmsg}, \text{outOfElection}, \text{state}, \text{recvQueue} \rangle \\
& \quad \vee \wedge n.\text{mtype} = \text{NOTIFICATION} \\
& \quad \quad \wedge \vee \wedge n.\text{mstate} = \text{LOOKING} \\
& \quad \quad \quad \wedge \vee \quad \text{n.round} \geq \text{my round, then update data and receiveVotes.} \\
& \quad \quad \quad \wedge n.\text{mround} \geq \text{logicalClock}[i] \\
& \quad \quad \quad \wedge \vee \quad \text{n.round} > \text{my round, update round and decide new proposed leader.} \\
& \quad \quad \quad \quad \wedge n.\text{mround} > \text{logicalClock}[i] \\
& \quad \quad \quad \quad \wedge \text{logicalClock}' = [\text{logicalClock} \text{ EXCEPT } ![i] = n.\text{mround}] \quad \text{There should be } \text{RvClock}[i] \\
& \quad \quad \quad \quad \wedge \text{LET } \text{selfinfo} \triangleq [\text{proposedLeader} \mapsto i, \\
& \quad \quad \quad \quad \quad \text{proposedZxid} \mapsto \text{lastZxid}[i], \\
& \quad \quad \quad \quad \quad \text{proposedEpoch} \mapsto \text{currentEpoch}[i]] \\
& \quad \quad \quad \quad \text{peerOk} \triangleq \text{TotalOrderPredicate}(n.\text{mvote}, \text{selfinfo}) \\
& \quad \quad \text{IN} \quad \vee \wedge \text{peerOk} \\
& \quad \quad \quad \wedge \text{UpdateProposal}(i, n.\text{mvote}.\text{proposedLeader}, n.\text{mvote}.\text{proposedZxid}, n.\text{mvote}.\text{proposedEpoch}) \\
& \quad \quad \quad \vee \wedge \neg \text{peerOk} \\
& \quad \quad \quad \quad \wedge \text{UpdateProposal}(i, i, \text{lastZxid}[i], \text{currentEpoch}[i]) \\
& \quad \quad \wedge \text{BroadcastNotmsg}(i, [\text{mtype} \mapsto \text{NOTIFICATION}, \\
& \quad \quad \quad \text{msource} \mapsto i, \\
& \quad \quad \quad \text{mstate} \mapsto \text{LOOKING}, \\
& \quad \quad \quad \text{mround} \mapsto n.\text{mround}, \\
& \quad \quad \quad \text{mvote} \mapsto \text{currentVote}'[i]]) \\
& \quad \vee \quad \text{n.round} = \text{my round} \ \& \ n.\text{vote} > \text{my vote} \\
& \quad \quad \wedge n.\text{mround} = \text{logicalClock}[i] \\
& \quad \quad \wedge \text{LET } \text{peerOk} \triangleq \text{TotalOrderPredicate}(n.\text{mvote}, \text{currentVote}[i]) \\
& \quad \quad \text{IN} \quad \vee \wedge \text{peerOk} \\
& \quad \quad \quad \wedge \text{UpdateProposal}(i, n.\text{mvote}.\text{proposedLeader}, n.\text{mvote}.\text{proposedZxid}, n.\text{mvote}.\text{proposedEpoch}) \\
& \quad \quad \quad \wedge \text{BroadcastNotmsg}(i, [\text{mtype} \mapsto \text{NOTIFICATION}, \\
& \quad \quad \quad \text{msource} \mapsto i, \\
& \quad \quad \quad \text{mstate} \mapsto \text{LOOKING}, \\
& \quad \quad \quad \text{mround} \mapsto \text{logicalClock}[i], \\
& \quad \quad \quad \text{mvote} \mapsto n.\text{mvote}]) \\
& \quad \quad \vee \wedge \neg \text{peerOk}
\end{aligned}$$

$$\begin{aligned}
& \wedge \text{UNCHANGED } \langle \text{currentVote}, \text{electionMsgs} \rangle \\
& \wedge \text{UNCHANGED } \text{logicalClock} \\
& \wedge \text{LET } \text{rcvsetModifiedTwice} \triangleq \text{n.mround} > \text{logicalClock}[i] \\
& \text{IN } \vee \wedge \text{rcvsetModifiedTwice} \quad \text{Since a variable cannot be changed more than once in } \text{rcvsetModifiedTwice} \\
& \quad \wedge \text{RvClearAndPut}(i, \text{n.msource}, \text{n.mvote}, \text{n.mround}) \quad \text{clear + put} \\
& \quad \vee \wedge \neg \text{rcvsetModifiedTwice} \\
& \quad \wedge \text{RvPut}(i, \text{n.msource}, \text{n.mvote}, \text{n.mround}, \text{n.mstate}) \quad \text{put} \\
& \wedge \text{LET } \text{hasQuorums} \triangleq \text{HasQuorums}(i, i, \text{receiveVotes}'[i], \text{currentVote}'[i], \text{n.mround}) \\
& \text{IN } \vee \wedge \text{hasQuorums} \quad \text{If hasQuorums, see action WaitNewNotmsg and WaitNewNotmsgEnd} \\
& \quad \wedge \text{waitNotmsg}' = [\text{waitNotmsg} \text{ EXCEPT } ![i] = \text{TRUE}] \\
& \quad \vee \wedge \neg \text{hasQuorums} \\
& \quad \wedge \text{UNCHANGED } \text{waitNotmsg} \\
& \vee \text{ n.round} < \text{my round, just discard it.} \\
& \wedge \text{n.mround} < \text{logicalClock}[i] \\
& \wedge \text{UNCHANGED } \langle \text{logicalClock}, \text{currentVote}, \text{electionMsgs}, \text{receiveVotes}, \text{waitNotmsg} \rangle \\
& \wedge \text{UNCHANGED } \langle \text{state}, \text{outOfElection}, \text{leadingVoteSet} \rangle \\
& \vee \text{ mainly contains receivedFollowingNotification(line 1146), receivedLeadingNotification(line 1185).} \\
& \wedge \text{n.mstate} \in \{\text{LEADING}, \text{FOLLOWING}\} \\
& \wedge \text{ReceivedFollowingAndLeadingNotification}(i, \text{n}) \\
& \wedge \text{UNCHANGED } \langle \text{electionMsgs}, \text{waitNotmsg} \rangle \\
& \wedge \text{recvQueue}' = [\text{recvQueue} \text{ EXCEPT } ![i] = \text{Tail}(\text{recvQueue}[i])] \\
& \wedge \text{UNCHANGED } \langle \text{currentEpoch}, \text{lastZxid}, \text{idTable} \rangle
\end{aligned}$$

On the premise that $\text{ReceiveVotes.HasQuorums} = \text{TRUE}$, corresponding to logic in line 1050 – 1055 in *LFE.java*.
 $\text{WaitNewNotmsg}(i) \triangleq$

$$\begin{aligned}
& \wedge \text{state}[i] = \text{LOOKING} \\
& \wedge \text{waitNotmsg}[i] = \text{TRUE} \\
& \wedge \text{recvQueue}[i] \neq \langle \rangle \\
& \wedge \text{recvQueue}[i][1].\text{mtype} = \text{NOTIFICATION} \\
& \wedge \text{LET } n \triangleq \text{recvQueue}[i][1] \\
& \quad \text{peerOk} \triangleq \text{TotalOrderPredicate}(\text{n.mvote}, \text{currentVote}[i]) \\
& \quad \text{delQ} \triangleq \text{Tail}(\text{recvQueue}[i]) \\
& \text{IN } \vee \wedge \text{peerOk} \\
& \quad \wedge \text{waitNotmsg}' = [\text{waitNotmsg} \text{ EXCEPT } ![i] = \text{FALSE}] \\
& \quad \wedge \text{recvQueue}' = [\text{recvQueue} \text{ EXCEPT } ![i] = \text{Append}(\text{delQ}, n)] \\
& \vee \wedge \neg \text{peerOk} \\
& \quad \wedge \text{recvQueue}' = [\text{recvQueue} \text{ EXCEPT } ![i] = \text{delQ}] \\
& \quad \wedge \text{UNCHANGED } \text{waitNotmsg} \\
& \wedge \text{UNCHANGED } \langle \text{serverVars}, \text{currentVote}, \text{logicalClock}, \text{receiveVotes}, \text{outOfElection}, \text{leaderVars}, \text{electionMsgs} \rangle
\end{aligned}$$

On the premise that $\text{ReceiveVotes.HasQuorums} = \text{TRUE}$, corresponding to logic in line 1061 – 1066 in *LFE.java*.
 $\text{WaitNewNotmsgEnd}(i) \triangleq$

$$\begin{aligned}
& \wedge \text{state}[i] = \text{LOOKING} \\
& \wedge \text{waitNotmsg}[i] = \text{TRUE} \\
& \wedge \vee \text{recvQueue}[i] = \langle \rangle
\end{aligned}$$

$$\begin{aligned}
& \vee \wedge \text{recvQueue}[i] \neq \langle \rangle \\
& \wedge \text{recvQueue}[i][1].\text{mtype} = \text{NONE} \\
\wedge \text{state}' & = [\text{state} \text{ EXCEPT } ![i] = \text{IF } \text{currentVote}[i].\text{proposedLeader} = i \text{ THEN } \text{LEAD} \\
& \text{ELSE } \text{FOLL} \\
\wedge \text{leadingVoteSet}' & = [\text{leadingVoteSet} \text{ EXCEPT } ![i] = \text{IF } \text{currentVote}[i].\text{proposedLeader} = i \text{ THEN } \text{VoteS} \\
& \text{ELSE } @] \\
& \wedge \text{UNCHANGED } \langle \text{currentEpoch}, \text{lastZxid}, \text{electionVars}, \text{electionMsgs}, \text{idTable} \rangle
\end{aligned}$$

Test - simulate modifying *currentEpoch* and *lastZxid*. We want to reach violations to achieve some traces and see whether the whole state of system is advancing. The actions below are completely not equal to implementation in real, just simulate a process of leader updates state and followers get it.

$$\begin{aligned}
\text{LeaderAdvanceEpoch}(i) & \triangleq \\
& \wedge \text{state}[i] = \text{LEADING} \\
& \wedge \text{currentEpoch}' = [\text{currentEpoch} \text{ EXCEPT } ![i] = @ + 1] \\
& \wedge \text{UNCHANGED } \langle \text{state}, \text{lastZxid}, \text{electionVars}, \text{leaderVars}, \text{electionMsgs}, \text{idTable} \rangle \\
\\
\text{FollowerUpdateEpoch}(i, j) & \triangleq \\
& \wedge \text{state}[i] = \text{FOLLOWING} \\
& \wedge \text{currentVote}[i].\text{proposedLeader} = j \\
& \wedge \text{state}[j] = \text{LEADING} \\
& \wedge \text{currentEpoch}[i] < \text{currentEpoch}[j] \\
& \wedge \text{currentEpoch}' = [\text{currentEpoch} \text{ EXCEPT } ![i] = \text{currentEpoch}[j]] \\
& \wedge \text{UNCHANGED } \langle \text{state}, \text{lastZxid}, \text{electionVars}, \text{leaderVars}, \text{electionMsgs}, \text{idTable} \rangle \\
\\
\text{LeaderAdvanceZxid}(i) & \triangleq \\
& \wedge \text{state}[i] = \text{LEADING} \\
& \wedge \text{lastZxid}' = [\text{lastZxid} \text{ EXCEPT } ![i] = \text{IF } \text{lastZxid}[i][1] = \text{currentEpoch}[i] \\
& \text{THEN } \langle \text{currentEpoch}[i], \text{lastZxid}[i][2] + 1 \rangle \\
& \text{ELSE } \langle \text{currentEpoch}[i], 1 \rangle] \\
& \wedge \text{UNCHANGED } \langle \text{state}, \text{currentEpoch}, \text{electionVars}, \text{leaderVars}, \text{electionMsgs}, \text{idTable} \rangle \\
\\
\text{FollowerUpdateZxid}(i, j) & \triangleq \\
& \wedge \text{state}[i] = \text{FOLLOWING} \\
& \wedge \text{currentVote}[i].\text{proposedLeader} = j \\
& \wedge \text{state}[j] = \text{LEADING} \\
& \wedge \text{LET } \text{precede} \triangleq \vee \text{lastZxid}[i][1] < \text{lastZxid}[j][1] \\
& \quad \vee \wedge \text{lastZxid}[i][1] = \text{lastZxid}[j][1] \\
& \quad \wedge \text{lastZxid}[i][2] < \text{lastZxid}[j][2] \\
& \text{IN } \wedge \text{precede} \\
& \quad \wedge \text{lastZxid}' = [\text{lastZxid} \text{ EXCEPT } ![i] = \text{lastZxid}[j]] \\
& \wedge \text{UNCHANGED } \langle \text{state}, \text{currentEpoch}, \text{electionVars}, \text{leaderVars}, \text{electionMsgs}, \text{idTable} \rangle \\
\\
\text{Next} & \triangleq \\
& \vee \exists i \in \text{Server} : \text{ZabTimeout}(i) \\
& \vee \exists i, j \in \text{Server} : \text{ReceiveNotmsg}(i, j)
\end{aligned}$$

$\forall \exists i \in Server : \quad NotmsgTimeout(i)$
 $\forall \exists i \in Server : \quad HandleNotmsg(i)$
 $\forall \exists i \in Server : \quad WaitNewNotmsg(i)$
 $\forall \exists i \in Server : \quad WaitNewNotmsgEnd(i)$

 $\forall \exists i \in Server : \quad LeaderAdvanceEpoch(i)$
 $\forall \exists i, j \in Server : \quad FollowerUpdateEpoch(i, j)$
 $\forall \exists i \in Server : \quad LeaderAdvanceZxid(i)$
 $\forall \exists i, j \in Server : \quad FollowerUpdateZxid(i, j)$

$Spec \triangleq Init \wedge \Box [Next]_{varsL}$

These invariants should be violated after running for minutes.

$ShouldBeTriggered1 \triangleq \neg \exists Q \in Quorums : \wedge \forall i \in Q : \wedge state[i] \in \{FOLLOWING, LEADING\}$
 $\quad \wedge currentEpoch[i] > 3$
 $\quad \wedge logicalClock[i] > 2$
 $\quad \wedge currentVote[i].proposedLeader \in Q$
 $\quad \wedge \forall i, j \in Q : currentVote[i].proposedLeader = currentVote[j].proposedLeader$

$ShouldBeTriggered2 \triangleq \neg \exists Q \in Quorums : \wedge \forall i \in Q : \wedge state[i] \in \{FOLLOWING, LEADING\}$
 $\quad \wedge currentEpoch[i] > 3$
 $\quad \wedge currentVote[i].proposedLeader \in Q$
 $\quad \wedge \forall i, j \in Q : \quad currentVote[i].proposedLeader =$
 $\quad \quad currentVote[j].proposedLeader$

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