

# End-to-end RNA-Seq workflow

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## 1 Introduction

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This lab will walk you through an end-to-end RNA-Seq differential expression workflow, using *DESeq2* along with other Bioconductor packages. We will start from the FASTQ files, show how these were aligned to the reference genome, prepare gene expression values as a count matrix by counting the sequenced fragments, perform exploratory data analysis (EDA), perform differential gene expression analysis with *DESeq2*, and visually explore the results.

We note that a number of other Bioconductor packages can also be used for statistical inference of differential expression at the gene level including *edgeR*, *BaySeq*, *DSS* and *limma*.

If you have questions about this workflow, please post them to the [Bioconductor support site](#). If the questions concern a specific package, you can tag the post with the name of the package, or for general questions about the workflow, tag the post with `deseq2`. Note the [posting guide](#) for crafting an optimal question for the support site.

## 2 Experimental data

---

The data used in this workflow is an RNA-Seq experiment of airway smooth muscle cells treated with dexamethasone, a synthetic glucocorticoid steroid with anti-inflammatory effects. Glucocorticoids are used, for example, in asthma patients to prevent or reduce inflammation of the airways. In the experiment, four primary human airway smooth muscle cell lines were treated with 1 micromolar dexamethasone for 18 hours. For each of the four cell lines, we have a treated and an untreated sample. The reference for the experiment is:

Himes BE, Jiang X, Wagner P, Hu R, Wang Q, Klanderman B, Whitaker RM, Duan Q, Lasky-Su J, Nikolos C, Jester W, Johnson M, Panettieri R Jr, Tantisira KG, Weiss ST, Lu Q. "RNA-Seq Transcriptome Profiling Identifies CRISPLD2 as a Glucocorticoid Responsive Gene that Modulates Cytokine Function in Airway Smooth Muscle Cells." *PLoS One*. 2014 Jun 13;9(6):e99625. PMID: [24926665](#). GEO: [GSE52778](#).

## 3 Preparing count matrices

---

As input, the *DESeq2* package expects count data as obtained, e.g., from RNA-Seq or another high-throughput sequencing experiment, in the form of a matrix of integer values. The value in the  $i$ -th row and the  $j$ -th column of the matrix tells how many reads have been mapped to gene  $i$  in sample  $j$ . Analogously, for other types of assays, the rows of the matrix might correspond e.g., to binding regions (with ChIP-Seq) or peptide sequences (with quantitative mass spectrometry).

The count values must be raw counts of sequencing reads. This is important for *DESeq2*'s statistical model to hold, as only the actual counts allow assessing the measurement precision correctly. Hence, please do not supply other quantities, such as (rounded) normalized counts, or counts of covered base pairs – this will only lead to nonsensical results.

## 4 Aligning reads to a reference

---

The computational analysis of an RNA-Seq experiment begins earlier: what we get from the sequencing machine is a set of FASTQ files that contain the nucleotide sequence of each read and a quality score at each position. These reads must first be aligned to a reference genome or transcriptome. It is important to know if the sequencing experiment was single-end or paired-end, as the alignment software will require the user to specify both FASTQ files for a paired-end experiment. The output of this alignment step is commonly stored in a file format called [SAM/BAM](#).

A number of software programs exist to align reads to the reference genome, and the development is too rapid for this document to provide an up-to-date list. We recommend consulting benchmarking papers that discuss the advantages and disadvantages of each software, which include accuracy, ability to align reads over splice junctions, speed, memory footprint, and many other features.

The reads for this experiment were aligned to the Ensembl release 75 human reference genome using the [STAR read aligner](#):

```
for f in `cat files`; do STAR --genomeDir ../STAR/ENSEMBL.homo_sapiens.release-75 \
--readFilesIn fastq/$f\_1.fastq fastq/$f\_2.fastq \
--runThreadN 12 --outFileNamePrefix aligned/$f.; done
```

[SAMtools](#) was used to generate BAM files.

```
cat files | parallel -j 7 samtools view -bS aligned/{}.Aligned.out.sam -o aligned/{}.bam
```

The BAM files for a number of sequencing runs can then be used to generate count matrices, as described in the following section.

## 5 Counting reads

---

Besides the main count matrix, which we will use later, the [airway](#) package also contains a small subset of the raw data, namely eight BAM file each with a subset of the reads. We will use these files to demonstrate how a count matrix can be constructed from BAM files. Afterwards, we will load the full count matrix corresponding to all samples and all data, which is already provided in the same package, and will continue the analysis with that full table.

We load the data package with the example data:

```
library("airway")
```

The R function `system.file` can be used to find out where on your computer the files from a package have been installed. Here we ask for the full path to the `extdata` directory, which is part of the [airway](#) package.

```
dir <- system.file("extdata", package="airway", mustWork=TRUE)
```

In this directory, we find the eight BAM files (and some other files):

```
list.files(dir)
```

```
## [1] "GSE52778_series_matrix.txt"
## [2] "Homo_sapiens.GRCh37.75_subset.gtf"
## [3] "sample_table.csv"
## [4] "SraRunInfo_SRP033351.csv"
## [5] "SRR1039508_subset.bam"
## [6] "SRR1039509_subset.bam"
## [7] "SRR1039512_subset.bam"
## [8] "SRR1039513_subset.bam"
## [9] "SRR1039516_subset.bam"
## [10] "SRR1039517_subset.bam"
## [11] "SRR1039520_subset.bam"
## [12] "SRR1039521_subset.bam"
```

Typically, we have a table with experimental metadata for our samples. For your own project, you might create such a comma-separated value (CSV) file using a text editor or spreadsheet software such as Excel.

We load this file with `read.csv`. The parentheses around the last line are used to print the result in addition to storing it to the `sampleTable` object.

```
csvfile <- file.path(dir,"sample_table.csv")
(sampleTable <- read.csv(csvfile, row.names=1))
```

	SampleName	cell	dex	albut	Run	avgLength	Experiment	
##	SRR1039508	GSM1275862	N61311	untrt	SRR1039508	126	SRX384345	
##	SRR1039509	GSM1275863	N61311	trt	untrt	SRR1039509	126	SRX384346

```

## SRR1039512 GSM1275866 N052611 untrt untrt SRR1039512      126  SRX384349
## SRR1039513 GSM1275867 N052611    trt untrt SRR1039513      87   SRX384350
## SRR1039516 GSM1275870 N080611 untrt untrt SRR1039516      120  SRX384353
## SRR1039517 GSM1275871 N080611    trt untrt SRR1039517      126  SRX384354
## SRR1039520 GSM1275874 N061011 untrt untrt SRR1039520      101  SRX384357
## SRR1039521 GSM1275875 N061011    trt untrt SRR1039521      98   SRX384358
##                      Sample     BioSample
## SRR1039508 SRS508568 SAMN02422669
## SRR1039509 SRS508567 SAMN02422675
## SRR1039512 SRS508571 SAMN02422678
## SRR1039513 SRS508572 SAMN02422670
## SRR1039516 SRS508575 SAMN02422682
## SRR1039517 SRS508576 SAMN02422673
## SRR1039520 SRS508579 SAMN02422683
## SRR1039521 SRS508580 SAMN02422677

```

Once the reads have been aligned, there are a number of tools which can be used to count the number of reads which can be unambiguously assigned to genomic features for each sample. These often take as input SAM/BAM alignment files and a file specifying the genomic features, e.g. a GFF3 or GTF file specifying the gene models.

The following tools can be used generate count matrices:

function	package	framework	output	<i>DESeq2</i> input function
<i>summarizeOverlaps</i>	<i>GenomicAlignments</i>	R/Bioconductor	<i>SummarizedExperiment</i>	<i>DESeqDataSet</i>
<i>featureCounts</i>	<i>Rsubread</i>	R/Bioconductor	matrix	<i>DESeqDataSetFromMatrix</i>
<i>htseq-count</i>	<i>HTSeq</i>	Python	files	<i>DESeqDataSetFromHTSeq</i>

Using the Run column in the sample table, we construct the full paths to the files we want to perform the counting operation on:

```
filenames <- file.path(dir, paste0(sampleTable$Run, "_subset.bam"))
```

We indicate in Bioconductor that these files are BAM files using the *BamFileList* function. Here we also specify details about how the BAM files should be treated, e.g., only process 2000000 reads at a time.

```
library("Rsamtools")
bamfiles <- BamFileList(filenames, tileSize=2000000)
```

**Note:** make sure that the chromosome names of the genomic features in the annotation you use are consistent with the chromosome names of the reference used for read alignment. Otherwise, the scripts might fail to count any reads to features due to the mismatching names. We can check the chromosome names in the alignment files like so:

```
seqinfo(bamfiles[1])
```

```

## Seqinfo object with 84 sequences from an unspecified genome:
##   seqnames    seqlengths isCircular genome
##   1          249250621    <NA>    <NA>
##   10         135534747    <NA>    <NA>
##   11         135006516    <NA>    <NA>
##   12         133851895    <NA>    <NA>
##   13         115169878    <NA>    <NA>
##   ...
##   GL000210.1    27682    <NA>    <NA>
##   GL000231.1    27386    <NA>    <NA>
##   GL000229.1    19913    <NA>    <NA>
##   GL000226.1    15008    <NA>    <NA>

```

```
## GL000207.1      4262      <NA>      <NA>
```

Next, we need to read in the gene model which will be used for counting reads. We will read the gene model from a [GTF file](#), using `makeTxDbFromGFF` from the [GenomicFeatures](#) package. GTF files can be downloaded from Ensembl's FTP site or other gene model repositories. A `TranscriptDb` object is a database which can be used to generate a variety of range-based objects, such as exons, transcripts, and genes. We will want to make a list of exons grouped by gene.

There are other options for constructing a `TranscriptDB`. For the *known genes* track from the UCSC Genome Browser, one can use the pre-built Transcript DataBase: [TxDb.Hsapiens.UCSC.hg19.knownGene](#). The `makeTxDbFromBiomart` function can be used to automatically pull a gene model from Biomart.

```
library("GenomicFeatures")

gtffile <- file.path(dir, "Homo_sapiens.GRCh37.75_subset.gtf")
(txdb <- makeTxDbFromGFF(gtffile, format="gtf"))

## Warning in matchCircularity(seqlevels(gr), circ_seqs): None of the strings
## in your circ_seqs argument match your seqnames.

## TxDb object:
## # Db type: TxDb
## # Supporting package: GenomicFeatures
## # Data source: /home/oles/R/R-3.2.0/library/airway/extdata/Homo_sapiens.GRCh37.75_subset.gtf
## # Organism: NA
## # miRBase build ID: NA
## # Genome: NA
## # transcript_nrow: 65
## # exon_nrow: 279
## # cds_nrow: 158
## # Db created by: GenomicFeatures package from Bioconductor
## # Creation time: 2015-06-05 15:09:41 +0200 (Fri, 05 Jun 2015)
## # GenomicFeatures version at creation time: 1.20.1
## # RSQLite version at creation time: 1.0.0
## # DBSCHEMAVERSION: 1.1
```

The following line produces a `GRangesList` of all the exons grouped by gene.

```
(genes <- exonsBy(txdb, by="gene"))
```

```
## GRangesList object of length 20:
## $ENSG00000009724
## GRanges object with 18 ranges and 2 metadata columns:
##   seqnames      ranges strand | exon_id      exon_name
##   <Rle>      <IRanges>  <Rle>  | <integer>    <character>
##   [1]      1 [11086580, 11087705] - |      98 ENSE00000818830
##   [2]      1 [11090233, 11090307] - |      99 ENSE00000472123
##   [3]      1 [11090805, 11090939] - |     100 ENSE00000743084
##   [4]      1 [11094885, 11094963] - |     101 ENSE00000743085
##   [5]      1 [11097750, 11097868] - |     103 ENSE00003520086
##   ...
##   [14]     ...     ...     ... | ...
##   [15]      1 [11106948, 11107176] - |     111 ENSE00003467404
##   [16]      1 [11106948, 11107176] - |     112 ENSE00003489217
##   [17]      1 [11107260, 11107280] - |     113 ENSE00001833377
##   [18]      1 [11107260, 11107284] - |     114 ENSE00001472289
##   [18]      1 [11107260, 11107290] - |     115 ENSE00001881401
##
## ...
## <19 more elements>
```

```
## -----
## seqinfo: 1 sequence from an unspecified genome; no seqlengths
```

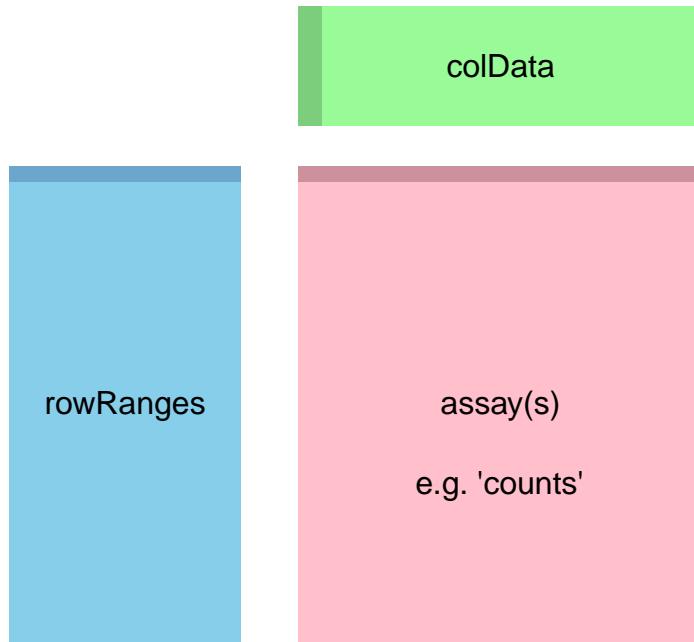
After these preparations, the actual counting is easy. The function `summarizeOverlaps` from the [GenomicAlignments](#) package will do this. This produces a `SummarizedExperiment` object, which contains a variety of information about an experiment, and will be described in more detail below.

**Note:** If it is desired to perform counting using multiple cores, one can use the `register` and `MulticoreParam` functions from the [BiocParallel](#) package before the counting call below.

```
library("GenomicAlignments")
```

```
se <- summarizeOverlaps(features=genes, reads=bamfiles,
                         mode="Union",
                         singleEnd=FALSE,
                         ignore.strand=TRUE,
                         fragments=TRUE )
```

We specify a number of arguments besides the `features` and the `reads`. The `mode` argument describes what kind of read overlaps will be counted as a hit. These modes are shown in Figure 1 of the “Counting reads with `summarizeOverlaps`” vignette for the [GenomicAlignments](#) package. Setting `singleEnd` to `FALSE` indicates that the experiment produced paired-end reads, and we want to count a pair of reads only once toward the read count for a gene. In order to produce correct counts, it is important to know if the RNA-Seq experiment was strand-specific or not. This experiment was not strand-specific so we set `ignore.strand` to `TRUE`. The `fragments` argument can be used when `singleEnd=FALSE` to specify if unpaired reads should be counted (yes if `fragments=TRUE`).



Here we show the component parts of a `SummarizedExperiment` object, and also its subclasses, such as the `DESeqDataSet` which is explained in the next section. The `assay(s)` (pink block) contains the matrix (or matrices) of summarized values, the `rowRanges` (blue block) contains information about the genomic ranges, and the `colData` (green block) contains

information about the samples or experiments. The highlighted line in each block represents the first row (note that the first row of `colData` lines up with the first column of the assay).

This example code above actually only counts a small subset of reads from the original experiment. Nevertheless, we can still investigate the resulting `SummarizedExperiment` by looking at the counts in the `assay` slot, the phenotypic data about the samples in `colData` slot (in this case an empty `DataFrame`), and the data about the genes in the `rowRanges` slot.

```
se

## class: SummarizedExperiment
## dim: 20 8
## exptData(0):
## assays(1): counts
## rownames(20): ENSG00000009724 ENSG00000116649 ... ENSG00000271794
##   ENSG00000271895
## rowRanges metadata column names(0):
## colnames(8): SRR1039508_subset.bam SRR1039509_subset.bam ...
##   SRR1039520_subset.bam SRR1039521_subset.bam
## colData names(0):

head(assay(se))

##           SRR1039508_subset.bam SRR1039509_subset.bam
## ENSG00000009724                  38                  28
## ENSG00000116649                1004                 1255
## ENSG00000120942                  218                  256
## ENSG00000120948                 2751                 2080
## ENSG00000171819                   4                   50
## ENSG00000171824                 869                 1075
##           SRR1039512_subset.bam SRR1039513_subset.bam
## ENSG00000009724                  66                  24
## ENSG00000116649                 1122                 1313
## ENSG00000120942                  233                  252
## ENSG00000120948                 3353                 1614
## ENSG00000171819                   19                  543
## ENSG00000171824                 1115                 1051
##           SRR1039516_subset.bam SRR1039517_subset.bam
## ENSG00000009724                  42                  41
## ENSG00000116649                 1100                 1879
## ENSG00000120942                  269                  465
## ENSG00000120948                 3519                 3716
## ENSG00000171819                   1                   10
## ENSG00000171824                 944                 1405
##           SRR1039520_subset.bam SRR1039521_subset.bam
## ENSG00000009724                  47                  36
## ENSG00000116649                 745                 1536
## ENSG00000120942                  207                  400
## ENSG00000120948                 2220                 1990
## ENSG00000171819                   14                  1067
## ENSG00000171824                 748                 1590

colSums(assay(se))

## SRR1039508_subset.bam SRR1039509_subset.bam SRR1039512_subset.bam
##           6478              6501              7699
## SRR1039513_subset.bam SRR1039516_subset.bam SRR1039517_subset.bam
```

```

##          6801          8009          10849
## SRR1039520_subset.bam SRR1039521_subset.bam
##          5254          9168

colData(se)

## DataFrame with 8 rows and 0 columns

rowRanges(se)

## GRangesList object of length 20:
## $ENSG00000009724
## GRanges object with 18 ranges and 2 metadata columns:
##   seqnames      ranges strand | exon_id      exon_name
##   <Rle>      <IRanges> <Rle> | <integer>    <character>
## [1]     1 [11086580, 11087705] - | 98 ENSE00000818830
## [2]     1 [11090233, 11090307] - | 99 ENSE00000472123
## [3]     1 [11090805, 11090939] - | 100 ENSE00000743084
## [4]     1 [11094885, 11094963] - | 101 ENSE00000743085
## [5]     1 [11097750, 11097868] - | 103 ENSE00003520086
## ...
## [14]    ...    ...    ...    ...    ...
## [15]     1 [11106948, 11107176] - | 111 ENSE00003467404
## [16]     1 [11106948, 11107176] - | 112 ENSE00003489217
## [17]     1 [11107260, 11107280] - | 113 ENSE00001833377
## [18]     1 [11107260, 11107284] - | 114 ENSE00001472289
## [19]     1 [11107260, 11107290] - | 115 ENSE00001881401
##
## ...
## <19 more elements>
## -----
## seqinfo: 1 sequence from an unspecified genome; no seqlengths

```

Note that the `rowRanges` slot is a `GRangesList`, which contains all the information about the exons for each gene, i.e., for each row of the count matrix. It also contains metadata about the construction of the gene model in the `metadata` slot.

```
str(metadata(rowRanges(se)))
```

```

## List of 1
## $ genomeInfo:List of 14
##   ..$ Db type                  : chr "TxDb"
##   ..$ Supporting package       : chr "GenomicFeatures"
##   ..$ Data source              : chr "/home/oles/R/R-3.2.0/library/airway/extdata/Homo_s
##   ..$ Organism                 : chr NA
##   ..$ miRBase build ID         : chr NA
##   ..$ Genome                   : chr NA
##   ..$ transcript_nrow          : chr "65"
##   ..$ exon_nrow                : chr "279"
##   ..$ cds_nrow                 : chr "158"
##   ..$ Db created by            : chr "GenomicFeatures package from Bioconductor"
##   ..$ Creation time             : chr "2015-06-05 15:09:41 +0200 (Fri, 05 Jun 2015)"
##   ..$ GenomicFeatures version at creation time: chr "1.20.1"
##   ..$ RSQLite version at creation time        : chr "1.0.0"
##   ..$ DBSCHEMAVERSION           : chr "1.1"

```

The `colData` slot, so far empty, should contain all the metadata. We hence assign our sample table to it:

```
(colData(se) <- DataFrame(sampleTable))
```

```

## DataFrame with 8 rows and 9 columns
##           SampleName    cell     dex   albut      Run avgLength
##           <factor> <factor> <factor> <factor> <factor> <integer>
## SRR1039508 GSM1275862 N61311 untrt  untrt SRR1039508      126
## SRR1039509 GSM1275863 N61311    trt  untrt SRR1039509      126
## SRR1039512 GSM1275866 N052611 untrt  untrt SRR1039512      126
## SRR1039513 GSM1275867 N052611     trt  untrt SRR1039513      87
## SRR1039516 GSM1275870 N080611 untrt  untrt SRR1039516     120
## SRR1039517 GSM1275871 N080611     trt  untrt SRR1039517      126
## SRR1039520 GSM1275874 N061011 untrt  untrt SRR1039520     101
## SRR1039521 GSM1275875 N061011     trt  untrt SRR1039521      98
##           Experiment   Sample   BioSample
##           <factor> <factor> <factor>
## SRR1039508 SRX384345 SRS508568 SAMN02422669
## SRR1039509 SRX384346 SRS508567 SAMN02422675
## SRR1039512 SRX384349 SRS508571 SAMN02422678
## SRR1039513 SRX384350 SRS508572 SAMN02422670
## SRR1039516 SRX384353 SRS508575 SAMN02422682
## SRR1039517 SRX384354 SRS508576 SAMN02422673
## SRR1039520 SRX384357 SRS508579 SAMN02422683
## SRR1039521 SRX384358 SRS508580 SAMN02422677

```

At this point, we have counted the reads which overlap the genes in the gene model we specified. This is a branching point where we could use a variety of Bioconductor packages for exploration and differential expression of the counts, including [edgeR](#), [BaySeq](#), [DSS](#) and [limma](#). We will continue, using [DESeq2](#). The *SummarizedExperiment* object is all we need to start our analysis. In the following section we will show how to use it to create the data object used by [DESeq2](#).

## 6 The *DESeqDataSet*, column metadata, and the design formula

---

Bioconductor software packages often define and use a custom class for their data object, which makes sure that all the needed data slots are consistently provided and fulfill the requirements. In addition, Bioconductor has general data classes (such as the *SummarizedExperiment*) that can be used to move data between packages. In [DESeq2](#), the custom class is called *DESeqDataSet*. It is built on top of the *SummarizedExperiment* class (in technical terms, *DESeqDataSet* is a subclass), and it is easy to convert *SummarizedExperiment* instances into *DESeqDataSet* and vice versa. One of the main differences is that the assay slot is instead accessed using the *count* accessor, and the class enforces that the values in this matrix are non-negative integers.

A second difference is that the *DESeqDataSet* has an associated *design formula*. The experimental design is specified at the beginning of the analysis, as it will inform many of the *DESeq2* functions how to treat the samples in the analysis (one exception is the size factor estimation, i.e., the adjustment for differing library sizes, which does not depend on the design formula). The design formula tells which variables in the column metadata table (*colData*) specify the experimental design and how these factors should be used in the analysis.

The simplest design formula for differential expression would be `~ condition`, where `condition` is a column in `colData(dds)` which specifies which of two (or more groups) the samples belong to. For the airway experiment, we will specify `~ cell + dex`, which means that we want to test for the effect of dexamethasone (the last factor), controlling for the effect of different cell line (the first factor).

You can use R's formula notation to express any experimental design that can be described within an ANOVA-like framework. Note that *DESeq2* uses the same formula notation as, for instance, the `lm` function of base R. If the question of interest is whether a fold change due to treatment is different across groups, interaction terms can be included using models such as `~ group + treatment + group:treatment`. See the manual page for `?results` for examples of extracting contrasts from more complex designs such as these.

In the following sections, we will demonstrate the construction of the *DESeqDataSet* from two starting points:

- from a *SummarizedExperiment* object created by, e.g., *summarizeOverlaps* in the above example
- more generally, from a count matrix and a column metadata table which have been loaded into R

For a full example of using the *HTSeq* Python package for read counting, please see the [pasilla](#) vignette. For an example of generating the *DESeqDataSet* from files produced by *htseq-count*, please see the [DESeq2](#) vignette.

## 6.1 Starting from *SummarizedExperiment*

We now use R's *data* command to load a prepared *SummarizedExperiment* that was generated from the publicly available sequencing data files associated with the Himes et al. paper, described above. The steps we used to produce this object were equivalent to those you worked through in the previous sections, except that we used all the reads and all the genes. For more details on the exact steps used to create this object type *browseVignettes("airway")* into your R session.

```
data("airway")
se <- airway
```

We can quickly check the millions of fragments which uniquely aligned to the genes (the second argument of *round* tells how many decimal points to keep).

```
round( colSums(assay(se)) / 1e6, 1 )

## SRR1039508 SRR1039509 SRR1039512 SRR1039513 SRR1039516 SRR1039517
##      20.6      18.8      25.3      15.2      24.4      30.8
## SRR1039520 SRR1039521
##      19.1      21.2
```

Supposing we have constructed a *SummarizedExperiment* using one of the methods described in the previous section, we now need to make sure that the object contains all the necessary information about the samples, i.e., a table with metadata on the count matrix's columns stored in the *colData* slot:

```
colData(se)

## DataFrame with 8 rows and 9 columns
##           SampleName    cell     dex   albut      Run avgLength
##           <factor> <factor> <factor> <factor> <factor> <integer>
## SRR1039508 GSM1275862 N61311    untrt    untrt SRR1039508     126
## SRR1039509 GSM1275863 N61311     trt     untrt SRR1039509     126
## SRR1039512 GSM1275866 N052611    untrt    untrt SRR1039512     126
## SRR1039513 GSM1275867 N052611     trt     untrt SRR1039513      87
## SRR1039516 GSM1275870 N080611    untrt    untrt SRR1039516     120
## SRR1039517 GSM1275871 N080611     trt     untrt SRR1039517     126
## SRR1039520 GSM1275874 N061011    untrt    untrt SRR1039520     101
## SRR1039521 GSM1275875 N061011     trt     untrt SRR1039521      98
##           Experiment   Sample BioSample
##           <factor> <factor> <factor>
## SRR1039508 SRX384345 SRS508568 SAMN02422669
## SRR1039509 SRX384346 SRS508567 SAMN02422675
## SRR1039512 SRX384349 SRS508571 SAMN02422678
## SRR1039513 SRX384350 SRS508572 SAMN02422670
## SRR1039516 SRX384353 SRS508575 SAMN02422682
## SRR1039517 SRX384354 SRS508576 SAMN02422673
## SRR1039520 SRX384357 SRS508579 SAMN02422683
## SRR1039521 SRX384358 SRS508580 SAMN02422677
```

Here we see that this object already contains an informative *colData* slot – because we have already prepared it for you, as described in the [airway](#) vignette. However, when you work with your own data, you will have to add the pertinent sample / phenotypic information for the experiment at this stage. We highly recommend keeping this information in a

comma-separated value (CSV) or tab-separated value (TSV) file, which can be exported from an Excel spreadsheet, and we assign this to the `colData` slot, making sure that the rows correspond to the columns of the `SummarizedExperiment`. We made sure of this correspondence by specifying the BAM files using a column of the sample table.

Once we have our fully annotated `SummarizedExperiment` object, we can construct a `DESeqDataSet` object from it, which will then form the starting point of the actual `DESeq2` package, described in the following sections. We add an appropriate design for the analysis.

```
library("DESeq2")
dds <- DESeqDataSet(se, design = ~ cell + dex)
```

If we only wanted to perform transformations and exploratory data analysis we could use a `~ 1` for the design, but be careful, because a true experimental design, e.g. `~ condition` would need to be added later before differential expression (or else we would only be testing the intercept).

Note that there are two alternative functions, `DESeqDataSetFromMatrix` and `DESeqDataSetFromHTSeq`, which allow you to get started in case you have your data not in the form of a `SummarizedExperiment` object, but either as a simple matrix of count values or as output files from the `htseq-count` script from the `HTSeq` Python package.

Below we demonstrate using `DESeqDataSetFromMatrix`.

## 6.2 Starting from count matrices

In this section, we will show how to build an `DESeqDataSet` supposing we only have a count matrix and a table of sample information.

**Note:** if you have prepared a `SummarizedExperiment` you should skip this section. While the previous section would be used to construct a `DESeqDataSet` from a `SummarizedExperiment`, here we first extract the individual object (count matrix and sample info) from the `SummarizedExperiment` in order to build it back up into a new object – only for demonstration purposes. In practice, the count matrix would either be read in from a file or perhaps generated by an R function like `featureCounts` from the `Rsubread` package.

The information in a `SummarizedExperiment` object can be accessed with accessor functions. For example, to see the actual data, i.e., here, the read counts, we use the `assay` function. (The `head` function restricts the output to the first few lines.)

```
countdata <- assay(se)
head(countdata)

##          SRR1039508 SRR1039509 SRR1039512 SRR1039513 SRR1039516
## ENSG000000000003     679      448      873      408     1138
## ENSG000000000005      0        0        0        0        0
## ENSG000000000419    467      515      621      365      587
## ENSG000000000457    260      211      263      164      245
## ENSG000000000460      60       55       40       35       78
## ENSG000000000938      0        0        2        0        1
##          SRR1039517 SRR1039520 SRR1039521
## ENSG000000000003    1047      770      572
## ENSG000000000005      0        0        0
## ENSG000000000419    799      417      508
## ENSG000000000457    331      233      229
## ENSG000000000460      63       76       60
## ENSG000000000938      0        0        0
```

In this count matrix, each row represents an Ensembl gene, each column a sequenced RNA library, and the values give the raw numbers of sequencing reads that were mapped to the respective gene in each library. We also have metadata on

each of the samples (the columns of the count matrix). If you've counted reads with some other software, you need to check at this step that the columns of the count matrix correspond to the rows of the column metadata.

```
coldata <- colData(se)
```

We now have all the ingredients to prepare our data object in a form that is suitable for analysis, namely:

- `countMatrix`: a table with the read counts
- `coldata`: a table with metadata on the count matrix's columns

To now construct the data object from the matrix of counts and the metadata table, we use:

```
(ddsMat <- DESeqDataSetFromMatrix(countData = countdata,
                                    colData = coldata,
                                    design = ~ cell + dex))
```

```
## class: DESeqDataSet
## dim: 64102 8
## exptData(0):
## assays(1): counts
## rownames(64102): ENSG00000000003 ENSG00000000005 ... LRG_98 LRG_99
## rowRanges metadata column names(0):
## colnames(8): SRR1039508 SRR1039509 ... SRR1039520 SRR1039521
## colData names(9): SampleName cell ... Sample BioSample
```

We will continue with the object generated from the *SummarizedExperiment* section.

## 7 Visually exploring the dataset

---

### 7.1 The rlog transformation

Many common statistical methods for exploratory analysis of multidimensional data, especially methods for clustering and ordination (e.g., principal-component analysis and the like), work best for (at least approximately) homoskedastic data; this means that the variance of an observed quantity (here, the expression strength of a gene) does not depend on the mean. In RNA-Seq data, however, variance grows with the mean. For example, if one performs PCA (principal components analysis) directly on a matrix of normalized read counts, the result typically depends only on the few most strongly expressed genes because they show the largest absolute differences between samples. A simple and often used strategy to avoid this is to take the logarithm of the normalized count values plus a small pseudocount; however, now the genes with low counts tend to dominate the results because, due to the strong Poisson noise inherent to small count values, they show the strongest relative differences between samples.

As a solution, *DESeq2* offers the *regularized-logarithm transformation*, or *rlog* for short. For genes with high counts, the *rlog* transformation differs not much from an ordinary *log2* transformation. For genes with lower counts, however, the values are shrunk towards the genes' averages across all samples. Using an empirical Bayesian prior on inter-sample differences in the form of a *ridge penalty*, this is done such that the *rlog*-transformed data are approximately homoskedastic. See the help for `?rlog` for more information and options. Another transformation, the *variance stabilizing transformation*, is discussed alongside the *rlog* in the *DESeq2* vignette.

**Note:** the *rlog* transformation is provided for applications *other* than differential testing. For differential testing we recommend the *DESeq* function applied to raw counts, as described later in this workflow, which also takes into account the dependence of the variance of counts on the mean value during the dispersion estimation step.

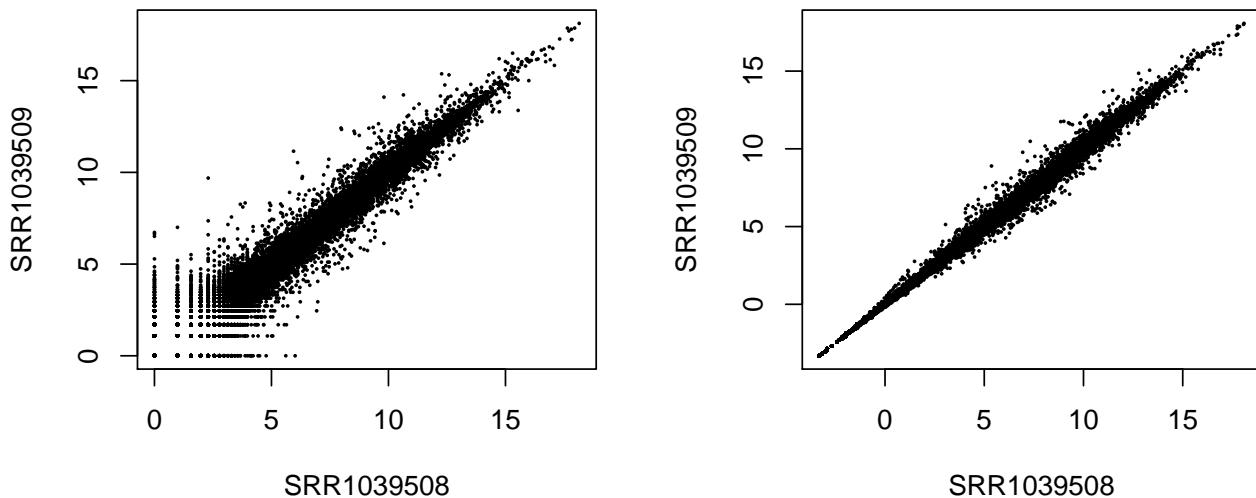
The function *rlog* returns a *SummarizedExperiment* object which contains the *rlog*-transformed values in its *assay* slot:

```
rld <- rlog(dds)
head(assay(rld))
```

```
##          SRR1039508 SRR1039509 SRR1039512 SRR1039513 SRR1039516
## ENSG000000000003  9.399151   9.142478   9.501695   9.320796   9.757212
## ENSG000000000005  0.000000   0.000000   0.000000   0.000000   0.000000
## ENSG000000000419  8.901283   9.113976   9.032567   9.063925   8.981930
## ENSG000000000457  7.949897   7.882371   7.834273   7.916459   7.773819
## ENSG000000000460  5.849521   5.882363   5.486937   5.770334   5.940407
## ENSG000000000938 -1.638084  -1.637483  -1.558248  -1.636072  -1.597606
##          SRR1039517 SRR1039520 SRR1039521
## ENSG000000000003  9.512183   9.617378   9.315309
## ENSG000000000005  0.000000   0.000000   0.000000
## ENSG000000000419  9.108531   8.894830   9.052303
## ENSG000000000457  7.886645   7.946411   7.908338
## ENSG000000000460  5.663847   6.107733   5.907824
## ENSG000000000938 -1.639362  -1.637608  -1.637724
```

To show the effect of the transformation, we plot the first sample against the second, first simply using the `log2` function (after adding 1, to avoid taking the log of zero), and then using the rlog-transformed values. For the `log2` method, we need estimate size factors to account for sequencing depth (this is done automatically for the `rlog` method).

```
par( mfrow = c( 1, 2 ) )
dds <- estimateSizeFactors(dds)
plot(log2( 1 + counts(dds, normalized=TRUE)[ , 1:2] ),
     pch=16, cex=0.3)
plot(assay(rld)[ , 1:2],
     pch=16, cex=0.3)
```



Note that, in order to make it easier to see where several points are plotted on top of each other, we set the plotting color to a semi-transparent black and changed the points to solid circles (`pch=16`) with reduced size (`cex=0.3`).

We can see how genes with low counts seem to be excessively variable on the ordinary logarithmic scale, while the rlog transform compresses differences for genes for which the data cannot provide good information anyway.

## 7.2 Sample distances

A useful first step in an RNA-Seq analysis is often to assess overall similarity between samples: Which samples are similar to each other, which are different? Does this fit to the expectation from the experiment's design?

We use the R function `dist` to calculate the Euclidean distance between samples. To avoid that the distance measure is dominated by a few highly variable genes, and have a roughly equal contribution from all genes, we use it on the rlog-transformed data:

```
sampleDists <- dist( t( assay(rld) ) )
sampleDists

##          SRR1039508 SRR1039509 SRR1039512 SRR1039513 SRR1039516
## SRR1039509    40.89060
## SRR1039512   37.35231   50.07638
## SRR1039513   55.74569   41.49280   43.61052
## SRR1039516   41.98797   53.58929   40.99513   57.10447
## SRR1039517   57.69438   47.59326   53.52310   46.13742   42.10583
## SRR1039520   37.06633   51.80994   34.86653   52.54968   43.21786
## SRR1039521   56.04254   41.46514   51.90045   34.82975   58.40428
##          SRR1039517 SRR1039520
## SRR1039509
## SRR1039512
## SRR1039513
## SRR1039516
## SRR1039517
## SRR1039520   57.13688
## SRR1039521   47.90244   44.78171
```

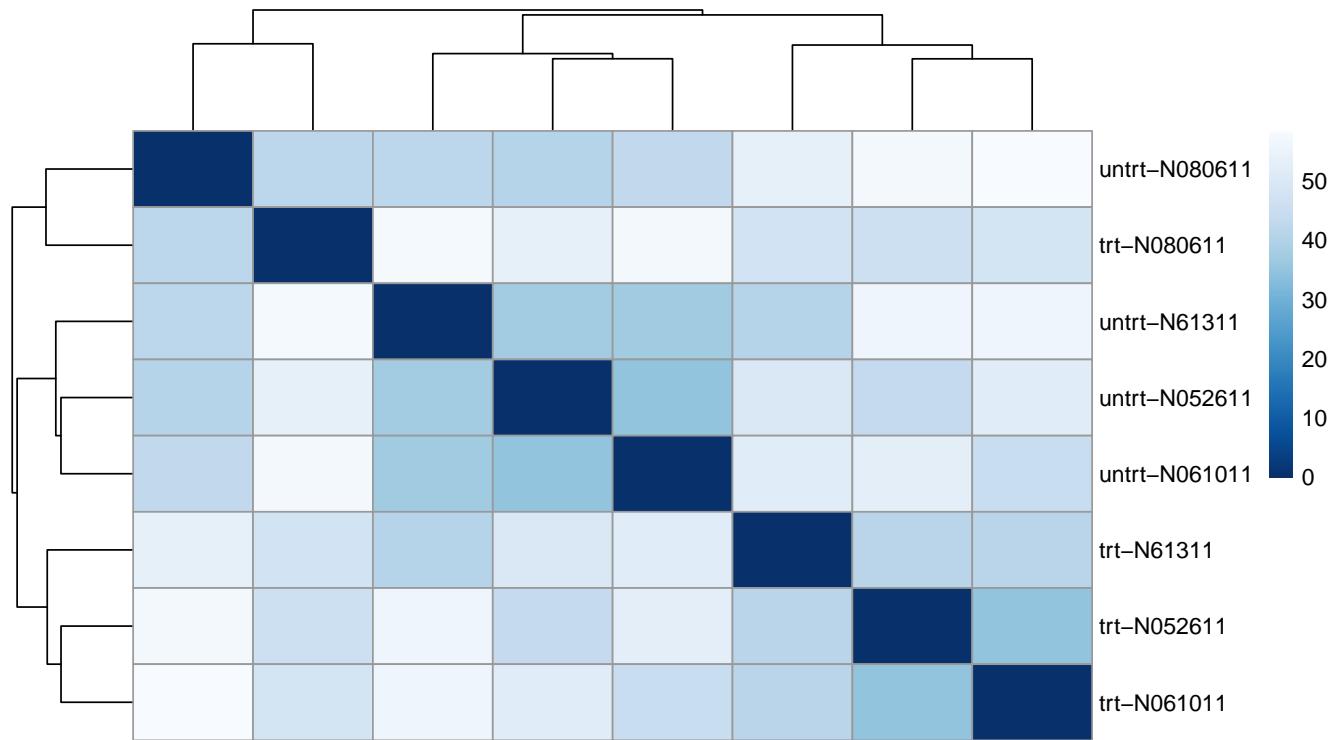
Note the use of the function `t` to transpose the data matrix. We need this because `dist` calculates distances between data rows and our samples constitute the columns.

We visualize the distances in a heatmap, using the function `pheatmap` from the `pheatmap` package.

```
library("pheatmap")
library("RColorBrewer")
```

In order to plot the sample distance matrix with the rows/columns arranged by those distances in the matrix, we manually provide the `sampleDists` to the `clustering_distance` argument of the `pheatmap` function. Otherwise the `pheatmap` function would assume that the matrix contains the data values themselves, and would calculate distances between the rows/columns of the distance matrix, which is not desired.

```
sampleDistMatrix <- as.matrix( sampleDists )
rownames(sampleDistMatrix) <- paste( rld$dex, rld$cell, sep="-" )
colnames(sampleDistMatrix) <- NULL
colors <- colorRampPalette( rev(brewer.pal(9, "Blues")) )(255)
pheatmap(sampleDistMatrix,
         clustering_distance_rows=sampleDists,
         clustering_distance_cols=sampleDists,
         col=colors)
```



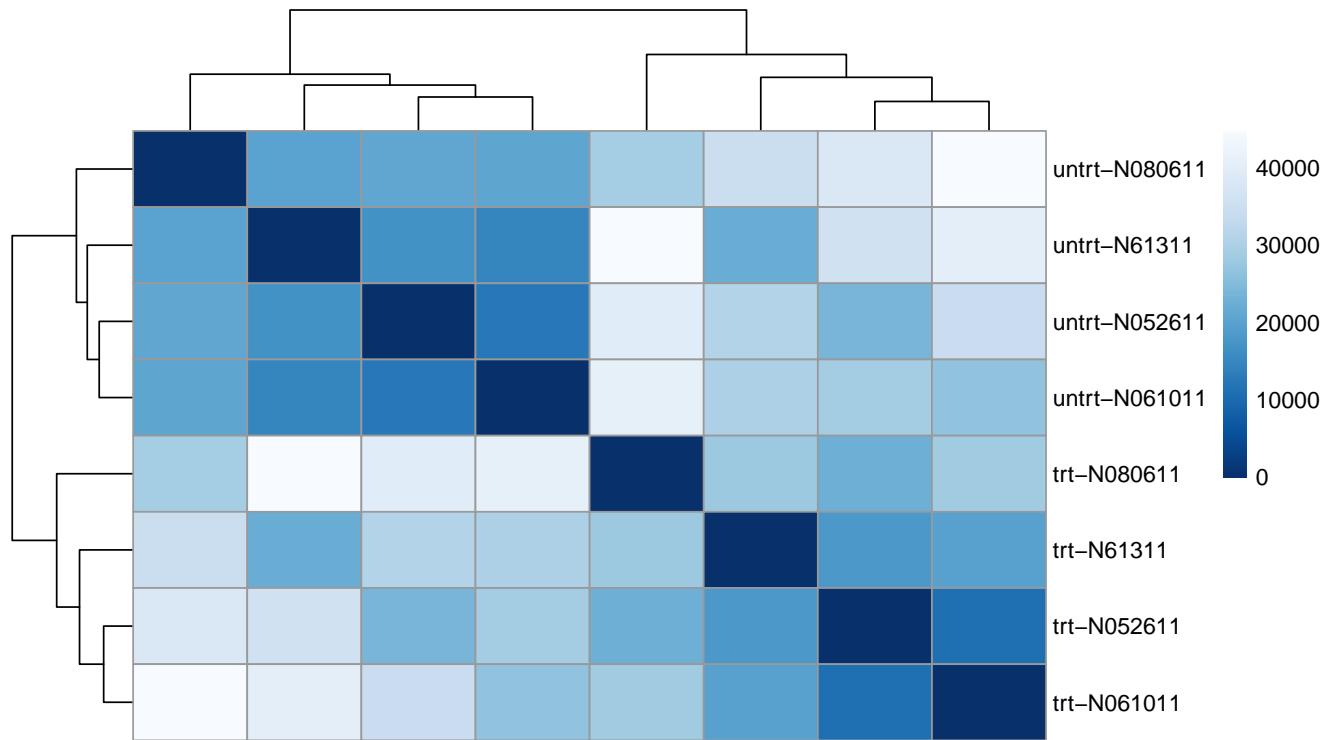
Note that we have changed the row names of the distance matrix to contain treatment type and patient number instead of sample ID, so that we have all this information in view when looking at the heatmap.

Another option for calculating sample distances is to use the Poisson Distance, implemented in the CRAN package *PoiClaClu*. Similar to the transformations offered in *DESeq2*, this measure of dissimilarity also takes the variance structure of counts into consideration when calculating the distances between samples. The *PoissonDistance* function takes the original count matrix (not normalized) with samples as rows instead of columns, so we need to transpose the counts in *dds*.

```
library("PoiClaClu")
poisd <- PoissonDistance(t(counts(dds)))
```

We can plot the heatmap as before:

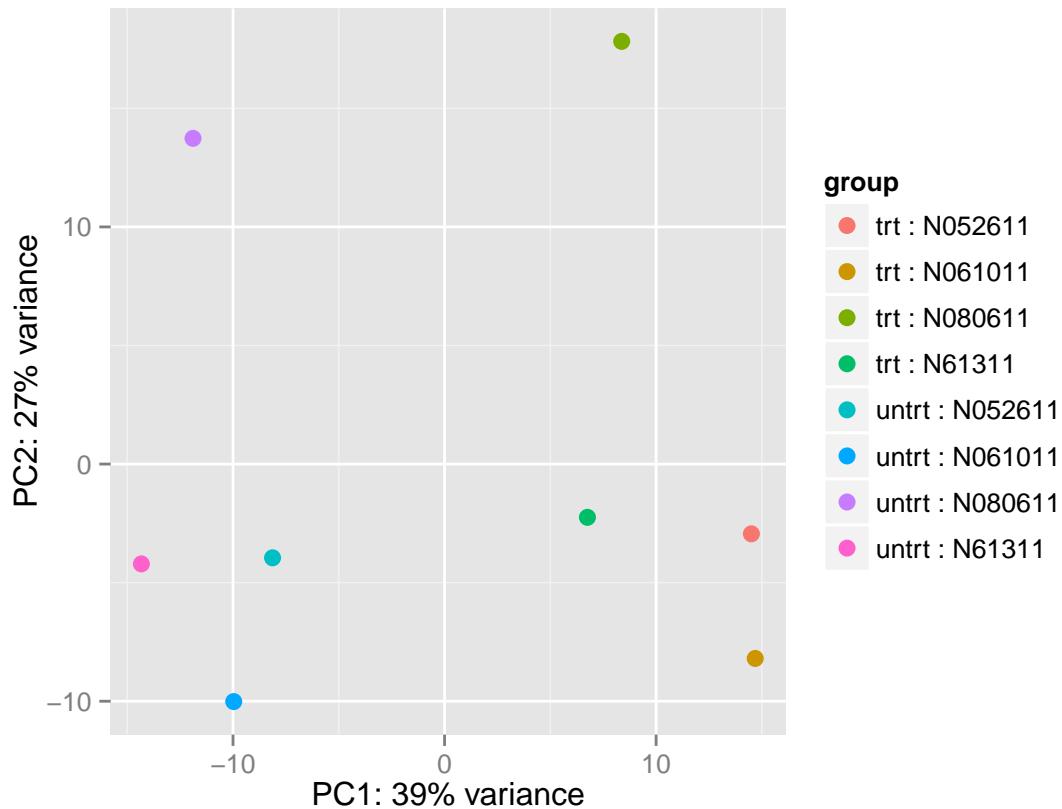
```
samplePoisDistMatrix <- as.matrix(poisd$dd)
rownames(samplePoisDistMatrix) <- paste(rld$dex, rld$cell, sep="-")
colnames(samplePoisDistMatrix) <- NULL
pheatmap(samplePoisDistMatrix,
         clustering_distance_rows=poisd$dd,
         clustering_distance_cols=poisd$dd,
         col=colors)
```



### 7.3 PCA plot

Another way to visualize sample-to-sample distances is a principal-components analysis (PCA). In this ordination method, the data points (i.e., here, the samples) are projected onto the 2D plane such that they spread out in the two directions which explain most of the differences in the data. The x-axis is the direction (or principal component) which separates the data points the most. The amount of the total variance which is contained in the direction is printed in the axis label.

```
plotPCA(rld, intgroup = c("dex", "cell"))
```



Here, we have used the function `plotPCA` which comes with `DESeq2`. The two terms specified by `intgroup` are the interesting groups for labeling the samples; they tell the function to use them to choose colors. We can also build the PCA plot from scratch using `ggplot2`. This is done by asking the `plotPCA` function to return the data used for plotting rather than building the plot. See the [ggplot2 documentation](#) for more details on using `ggplot`.

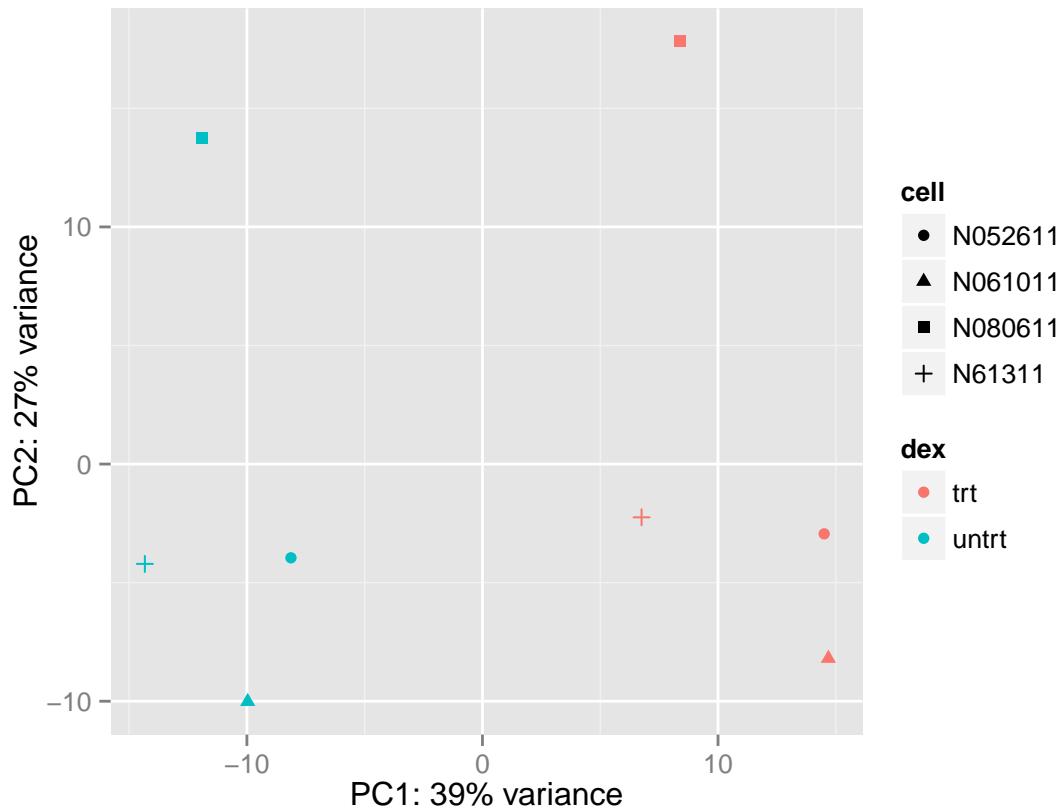
```
(data <- plotPCA(rld, intgroup = c("dex", "cell"), returnData=TRUE))
```

```
##          PC1        PC2      group    dex    cell     name
## SRR1039508 -14.331359 -4.208796 untrt : N61311 untrt  N61311 SRR1039508
## SRR1039509   6.754169 -2.245244   trt : N61311   trt  N61311 SRR1039509
## SRR1039512  -8.130393 -3.952904 untrt : N052611 untrt  N052611 SRR1039512
## SRR1039513  14.505648 -2.941862   trt : N052611   trt  N052611 SRR1039513
## SRR1039516 -11.891410 13.735002 untrt : N080611 untrt  N080611 SRR1039516
## SRR1039517   8.373975 17.823844   trt : N080611   trt  N080611 SRR1039517
## SRR1039520  -9.965898 -10.014674 untrt : N061011 untrt  N061011 SRR1039520
## SRR1039521  14.685269 -8.195366   trt : N061011   trt  N061011 SRR1039521
percentVar <- round(100 * attr(data, "percentVar"))
```

We can then use this data to build up the plot, specifying that the color of the points should reflect dexamethasone treatment and the shape should reflect the cell line.

```
library("ggplot2")

qplot(PC1, PC2, color=dex, shape=cell, data=data) +
  xlab(paste0("PC1: ", percentVar[1], "% variance")) +
  ylab(paste0("PC2: ", percentVar[2], "% variance"))
```



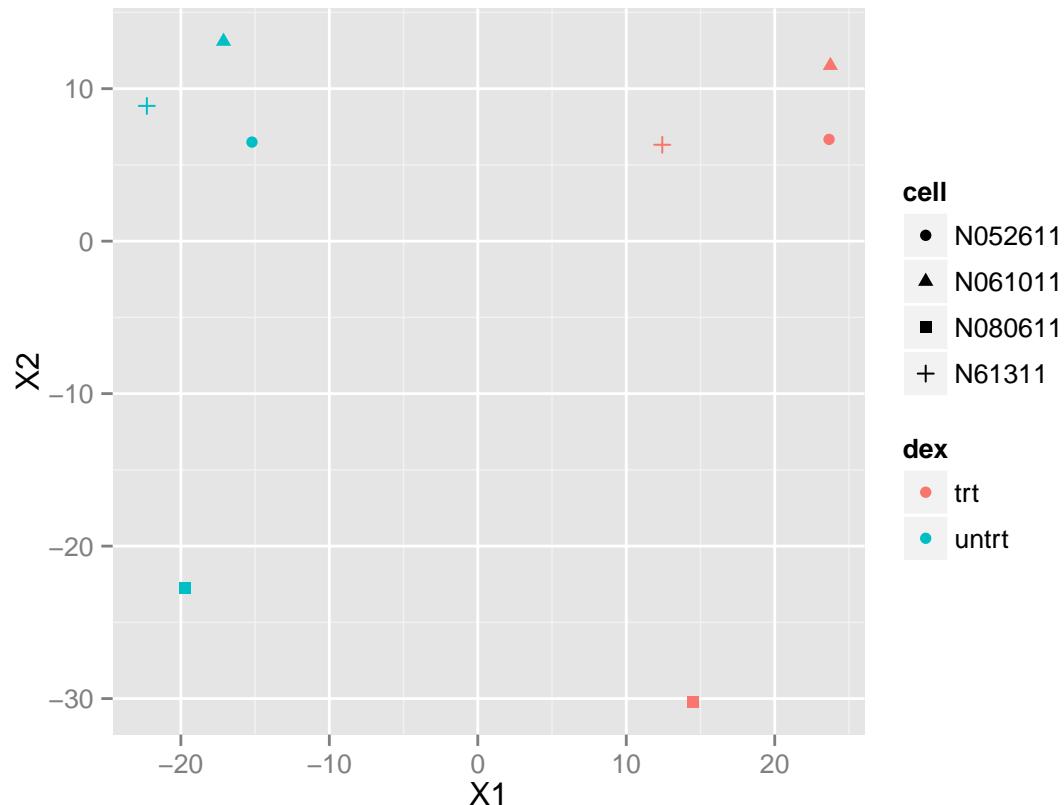
From both visualizations, we see that the differences between cells are considerable, though not stronger than the differences due to treatment with dexamethasone. This shows why it will be important to account for this in differential testing by using a paired design ("paired", because each dex treated sample is paired with one untreated sample from the *same* cell line). We are already set up for this by using the design formula `~ cell + dex` when setting up the data object in the beginning.

## 8 MDS plot

---

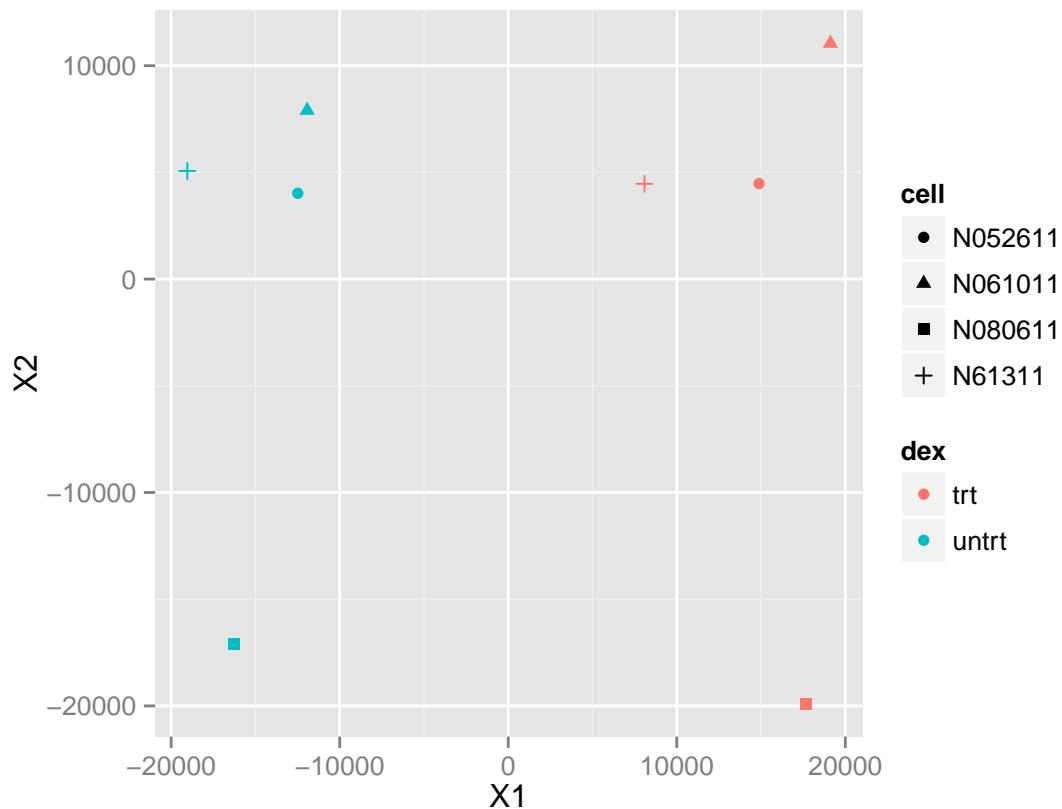
Another plot, very similar to the PCA plot, can be made using the *multidimensional scaling* (MDS) function in base R. This is useful when we don't have the original data, but only a matrix of distances. Here we have the MDS plot for the distances calculated from the *rlog* transformed counts:

```
mds <- data.frame(cmdscale(sampleDistMatrix))
mds <- cbind(mds, as.data.frame(colData(rld)))
qplot(X1,X2,color=dex,shape=cell,data=mds)
```



And here from the *PoissonDistance*:

```
mds <- data.frame(cmdscale(samplePoisDistMatrix))
mds <- cbind(mds, as.data.frame(colData(dds)))
qplot(X1,X2,color=dex,shape=cell,data=mds)
```



## 9 Differential expression analysis

It will be convenient to make sure that `untrt` is the first level in the `dex` factor, so that the default log2 fold changes are calculated as treated over untreated (by default R will chose the first alphabetical level, remember: computers don't know what to do unless you tell them). The function `relevel` achieves this:

```
dds$dex <- relevel(dds$dex, "untrt")
```

In addition, if you have at any point subset the columns of the `DESeqDataSet` you should similarly call `droplevels` on the factors if the subsetting has resulted in some levels having 0 samples.

### 9.1 Running the pipeline

Finally, we are ready to run the differential expression pipeline. With the data object prepared, the `DESeq2` analysis can now be run with a single call to the function `DESeq`:

```
dds <- DESeq(dds)
```

This function will print out a message for the various steps it performs. These are described in more detail in the manual page for `DESeq`, which can be accessed by typing `?DESeq`. Briefly these are: the estimation of size factors (which control for differences in the library size of the sequencing experiments), the estimation of dispersion for each gene, and fitting a generalized linear model.

A `DESeqDataSet` is returned which contains all the fitted information within it, and the following section describes how to extract out results tables of interest from this object.

## 9.2 Building the results table

Calling `results` without any arguments will extract the estimated log2 fold changes and  $p$  values for the last variable in the design formula. If there are more than 2 levels for this variable, `results` will extract the results table for a comparison of the last level over the first level.

```
(res <- results(dds))

## log2 fold change (MAP): dex trt vs untrt
## Wald test p-value: dex trt vs untrt
## DataFrame with 64102 rows and 6 columns
##           baseMean log2FoldChange      lfcSE      stat
##           <numeric>     <numeric>  <numeric>  <numeric>
## ENSG000000000003 708.60217    -0.37424998 0.09873107 -3.7906000
## ENSG000000000005 0.00000      NA          NA          NA
## ENSG00000000419  520.29790    0.20215551 0.10929899  1.8495642
## ENSG00000000457  237.16304    0.03624826 0.13684258  0.2648902
## ENSG00000000460  57.93263   -0.08523371 0.24654402 -0.3457140
## ...
## LRG_94            0            NA          NA          NA
## LRG_96            0            NA          NA          NA
## LRG_97            0            NA          NA          NA
## LRG_98            0            NA          NA          NA
## LRG_99            0            NA          NA          NA
##           pvalue      padj
##           <numeric>  <numeric>
## ENSG000000000003 0.0001502838 0.001217611
## ENSG000000000005 NA          NA
## ENSG00000000419  0.0643763851 0.188306353
## ENSG00000000457  0.7910940556 0.907203245
## ENSG00000000460  0.7295576905 0.874422374
## ...
## LRG_94            NA         NA
## LRG_96            NA         NA
## LRG_97            NA         NA
## LRG_98            NA         NA
## LRG_99            NA         NA
```

As `res` is a `DataFrame` object, it carries metadata with information on the meaning of the columns:

```
mcols(res, use.names=TRUE)

## DataFrame with 6 rows and 2 columns
##           type              description
##           <character>        <character>
## baseMean intermediate mean of normalized counts for all samples
## log2FoldChange    results  log2 fold change (MAP): dex trt vs untrt
## lfcSE           results  standard error: dex trt vs untrt
## stat            results  Wald statistic: dex trt vs untrt
## pvalue          results  Wald test p-value: dex trt vs untrt
## padj            results  BH adjusted p-values
```

The first column, `baseMean`, is a just the average of the normalized count values, dividing by size factors, taken over all samples. The remaining four columns refer to a specific contrast, namely the comparison of the `trt` level over the `untrt` level for the factor variable `dex`. See the help page for `results` (by typing `?results`) for information on how to obtain other contrasts.

The column `log2FoldChange` is the effect size estimate. It tells us how much the gene's expression seems to have changed due to treatment with dexamethasone in comparison to untreated samples. This value is reported on a logarithmic scale to base 2: for example, a log2 fold change of 1.5 means that the gene's expression is increased by a multiplicative factor of  $2^{1.5} \approx 2.82$ .

Of course, this estimate has an uncertainty associated with it, which is available in the column `lfcSE`, the standard error estimate for the log2 fold change estimate. We can also express the uncertainty of a particular effect size estimate as the result of a statistical test. The purpose of a test for differential expression is to test whether the data provides sufficient evidence to conclude that this value is really different from zero. *DESeq2* performs for each gene a *hypothesis test* to see whether evidence is sufficient to decide against the *null hypothesis* that there is no effect of the treatment on the gene and that the observed difference between treatment and control was merely caused by experimental variability (i.e., the type of variability that you can just as well expect between different samples in the same treatment group). As usual in statistics, the result of this test is reported as a *p* value, and it is found in the column `pvalue`. (Remember that a *p* value indicates the probability that a fold change as strong as the observed one, or even stronger, would be seen under the situation described by the null hypothesis.)

We can also summarize the results with the following line of code, which reports some additional information, which will be covered in later sections.

```
summary(res)
```

```
## 
## out of 33469 with nonzero total read count
## adjusted p-value < 0.1
## LFC > 0 (up)      : 2646, 7.9%
## LFC < 0 (down)    : 2251, 6.7%
## outliers [1]       : 0, 0%
## low counts [2]     : 15928, 48%
## (mean count < 5.3)
## [1] see 'cooksCutoff' argument of ?results
## [2] see 'independentFiltering' argument of ?results
```

Note that there are many genes with differential expression due to dexamethasone treatment at the FDR level of 10%. This makes sense, as the smooth muscle cells of the airway are known to react to glucocorticoid steroids. However, there are two ways to be more strict about which set of genes are considered significant:

- lower the false discovery rate threshold (the threshold on `padj` in the results table)
- raise the log2 fold change threshold from 0 using the `lfcThreshold` argument of `results`. See the *DESeq2* vignette for a demonstration of the use of this argument.

If we lower the false discovery rate threshold, we should also tell this value to `results()`, so that the function will use an alternative threshold for the optimal independent filtering step:

```
res.05 <- results(dds, alpha=.05)
```

```
table(res.05$padj < .05)
```

```
## 
## FALSE  TRUE
## 11864 4084
```

Sometimes a subset of the *p* values in `res` will be NA ("not available"). This is *DESeq*'s way of reporting that all counts for this gene were zero, and hence not test was applied. In addition, *p* values can be assigned NA if the gene was excluded from analysis because it contained an extreme count outlier. For more information, see the outlier detection section of the vignette.

If you use *DESeq2* results in published research, please cite our methods paper which you can find by typing `citation("DESeq2")` (and the same holds for other Bioconductor packages). This helps to support the individuals who put time into open source software for genomic analysis.

### 9.3 Other comparisons

In general, the results for a comparison of any two levels of a variable can be extracted using the `contrast` argument to `results`. The user should specify three values: the name of the variable, the name of the level in the numerator, and the name of the level in the denominator. Here we extract results for the log2 of the fold change of one cell line over another:

```
results(dds, contrast=c("cell", "N061011", "N61311"))

## log2 fold change (MAP): cell N061011 vs N61311
## Wald test p-value: cell N061011 vs N61311
## DataFrame with 64102 rows and 6 columns
##           baseMean log2FoldChange      lfcSE       stat     pvalue
##          <numeric>      <numeric> <numeric>      <numeric> <numeric>
## ENSG000000000003 708.60217    0.29055775 0.1360076  2.13633388 0.03265221
## ENSG000000000005  0.00000    NA          NA          NA          NA
## ENSG00000000419   520.29790   -0.05069642 0.1491735 -0.33984871 0.73397047
## ENSG00000000457   237.16304    0.01474463 0.1816382  0.08117584 0.93530211
## ENSG00000000460   57.93263    0.20247610 0.2807312  0.72124547 0.47075850
## ...
##           ...        ...        ...        ...        ...
## LRG_94        0        NA        NA        NA        NA
## LRG_96        0        NA        NA        NA        NA
## LRG_97        0        NA        NA        NA        NA
## LRG_98        0        NA        NA        NA        NA
## LRG_99        0        NA        NA        NA        NA
##           padj
##          <numeric>
## ENSG000000000003 0.1961655
## ENSG000000000005  NA
## ENSG00000000419  0.9220321
## ENSG00000000457  0.9862824
## ENSG00000000460  0.8068941
## ...
##           ...
## LRG_94        NA
## LRG_96        NA
## LRG_97        NA
## LRG_98        NA
## LRG_99        NA
```

If results for an interaction term are desired, the `name` argument of `results` should be used. Please see the help for the `results` function for more details.

### 9.4 Multiple testing

Novices in high-throughput biology often assume that thresholding these  $p$  values at a low value, say 0.05, as is often done in other settings, would be appropriate – but it is not. We briefly explain why:

There are 5722 genes with a  $p$  value below 0.05 among the 33469 genes, for which the test succeeded in reporting a  $p$  value:

```
sum(res$pvalue < 0.05, na.rm=TRUE)

## [1] 5722

sum(!is.na(res$pvalue))

## [1] 33469
```

Now, assume for a moment that the null hypothesis is true for all genes, i.e., no gene is affected by the treatment with dexamethasone. Then, by the definition of  $p$  value, we expect up to 5% of the genes to have a  $p$  value below 0.05. This amounts to 1673 genes. If we just considered the list of genes with a  $p$  value below 0.05 as differentially expressed, this list should therefore be expected to contain up to  $1673 / 5722 = 29\%$  false positives.

*DESeq2* uses the Benjamini-Hochberg (BH) adjustment as described in the base R `p.adjust` function; in brief, this method calculates for each gene an adjusted  $p$  value which answers the following question: if one called significant all genes with a  $p$  value less than or equal to this gene's  $p$  value threshold, what would be the fraction of false positives (the *false discovery rate*, FDR) among them (in the sense of the calculation outlined above)? These values, called the BH-adjusted  $p$  values, are given in the column `padj` of the `res` object.

Hence, if we consider a fraction of 10% false positives acceptable, we can consider all genes with an adjusted  $p$  value below  $10\% = 0.1$  as significant. How many such genes are there?

```
sum(res$padj < 0.1, na.rm=TRUE)
```

```
## [1] 4897
```

We subset the results table to these genes and then sort it by the log2 fold change estimate to get the significant genes with the strongest down-regulation.

```
resSig <- subset(res, padj < 0.1)
head(resSig[ order( resSig$log2FoldChange ), ])
```

```
## log2 fold change (MAP): dex trt vs untrt
## Wald test p-value: dex trt vs untrt
## DataFrame with 6 rows and 6 columns
##           baseMean log2FoldChange      lfcSE      stat      pvalue
##           <numeric>     <numeric> <numeric> <numeric>     <numeric>
## ENSG00000162692 508.17023    -3.453076 0.1764098 -19.574173 2.567708e-85
## ENSG00000146006 46.80760    -2.858867 0.3369900 -8.483538 2.184477e-17
## ENSG00000105989 333.21469    -2.851447 0.1754994 -16.247620 2.322038e-59
## ENSG00000214814 243.27698    -2.760339 0.2225604 -12.402652 2.528167e-35
## ENSG00000267339 26.23357    -2.746830 0.3515707 -7.813022 5.583283e-15
## ENSG00000013293 244.49733    -2.646722 0.1981697 -13.355834 1.095240e-40
##           padj
##           <numeric>
## ENSG00000162692 3.464629e-82
## ENSG00000146006 1.067351e-15
## ENSG00000105989 1.197967e-56
## ENSG00000214814 4.106165e-33
## ENSG00000267339 2.171538e-13
## ENSG00000013293 2.233907e-38
```

...and with the strongest upregulation. The `order` function gives the indices in increasing order, so a simple way to ask for decreasing order is to add a `-` sign. Alternatively, you can use the argument `decreasing=TRUE`.

```
head(resSig[ order( -resSig$log2FoldChange ), ])
```

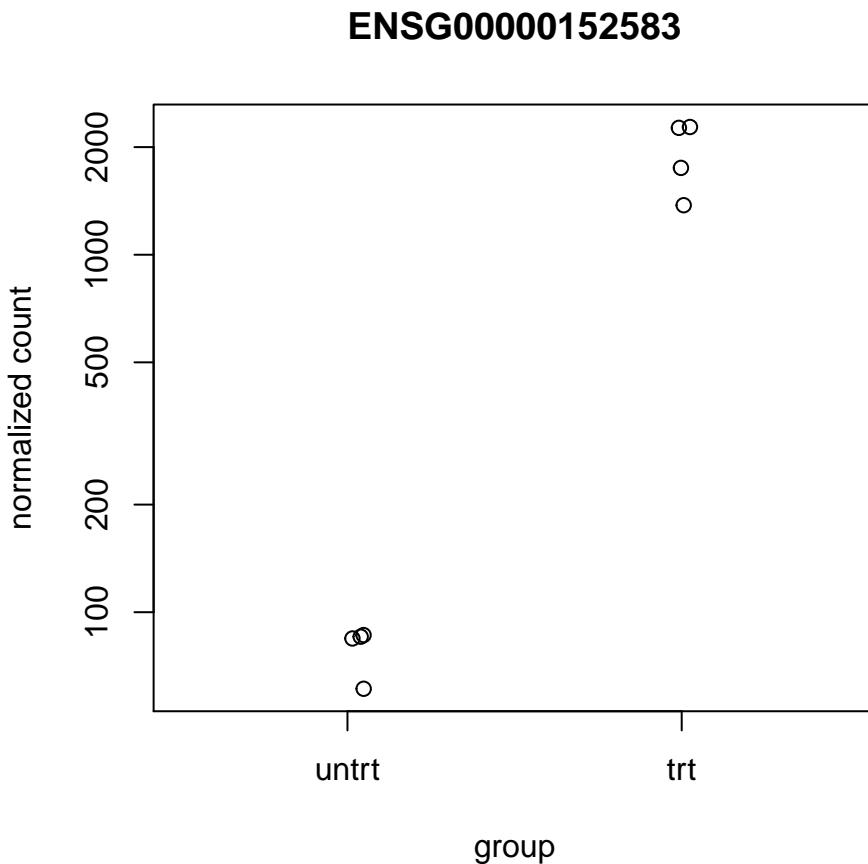
```
## log2 fold change (MAP): dex trt vs untrt
## Wald test p-value: dex trt vs untrt
## DataFrame with 6 rows and 6 columns
##           baseMean log2FoldChange      lfcSE      stat      pvalue
##           <numeric>     <numeric> <numeric> <numeric>     <numeric>
## ENSG00000179593 67.24305    4.884730 0.3312025 14.74847 3.147214e-49
## ENSG00000109906 385.07103   4.865889 0.3324560 14.63619 1.650703e-48
## ENSG00000152583 997.43977   4.316100 0.1724127 25.03354 2.637881e-138
## ENSG00000250978 56.31819    4.093661 0.3291519 12.43699 1.645805e-35
```

```
## ENSG00000163884 561.10717      4.079128 0.2103817 19.38917 9.525995e-84
## ENSG00000168309 159.52692      3.992788 0.2549099 15.66353 2.685734e-55
##                                     padj
##                               <numeric>
## ENSG00000179593  1.003732e-46
## ENSG00000109906  4.992238e-46
## ENSG00000152583  4.627108e-134
## ENSG00000250978  2.723496e-33
## ENSG00000163884  1.044347e-80
## ENSG00000168309  1.207961e-52
```

## 10 Diagnostic plots

A quick way to visualize the counts for a particular gene is to use the `plotCounts` function, which takes as arguments the `DESeqDataSet`, a gene name, and the group over which to plot the counts.

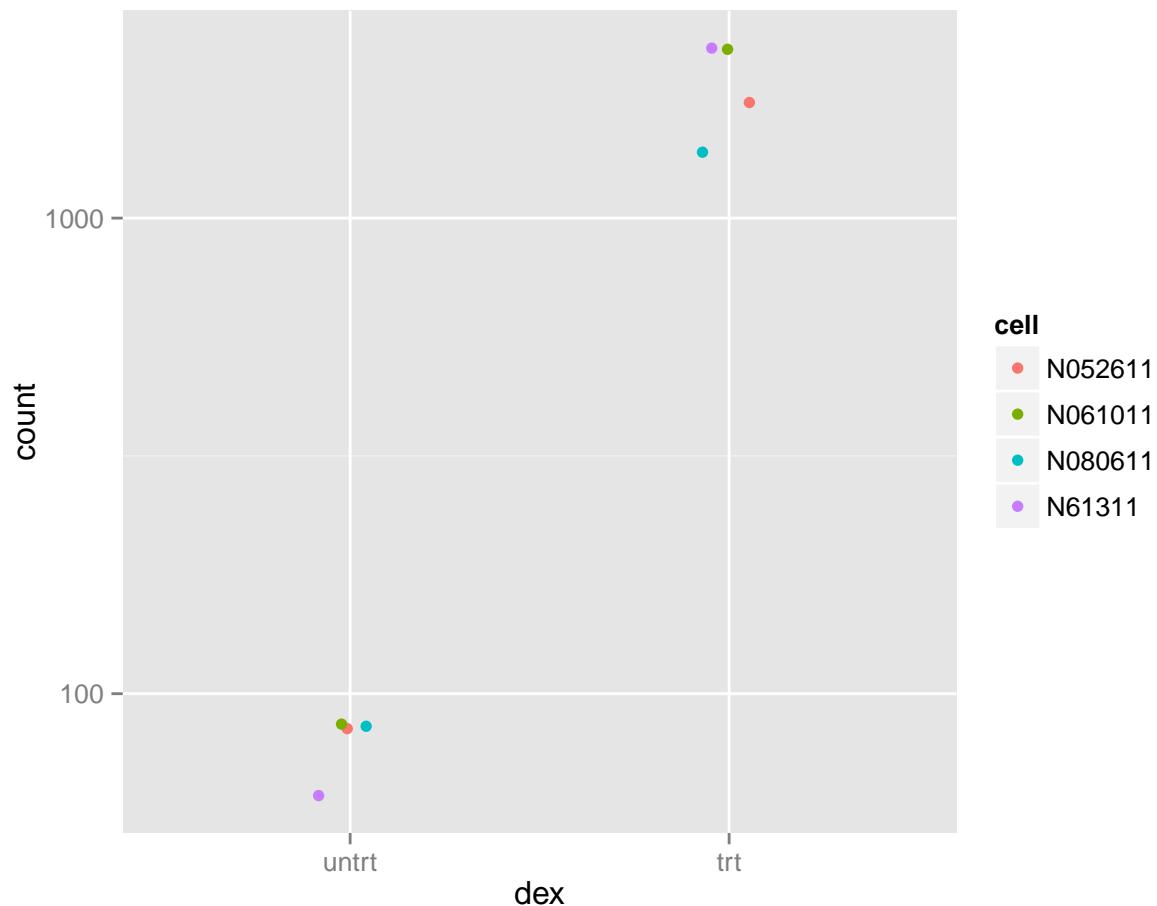
```
topGene <- rownames(res)[which.min(res$padj)]
plotCounts(dds, gene=topGene, intgroup=c("dex"))
```



We can also make more customizable plots using the `ggplot` function from the `ggplot2` package:

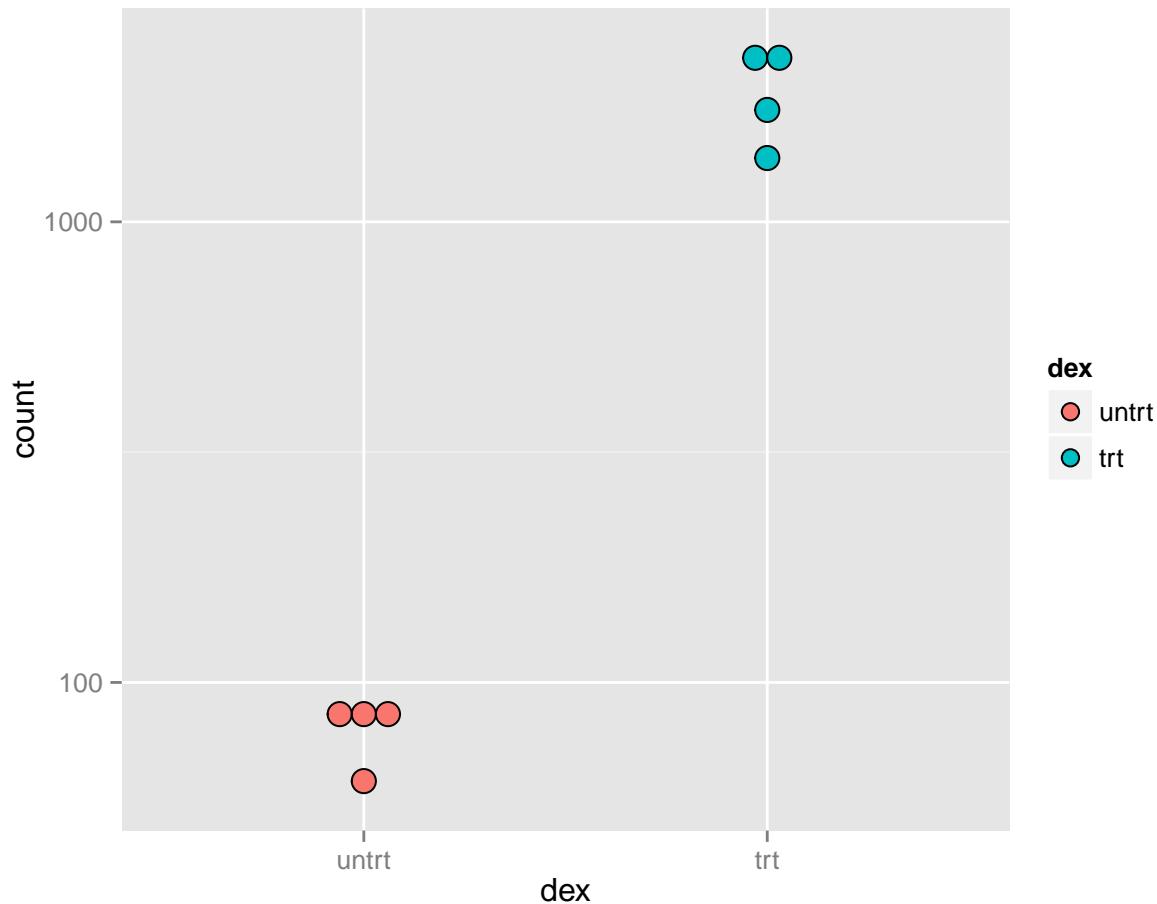
```
data <- plotCounts(dds, gene=topGene, intgroup=c("dex", "cell"), returnData=TRUE)
ggplot(data, aes(x=dex, y=count, color=cell)) +
```

```
scale_y_log10() +  
geom_point(position=position_jitter(width=.1,height=0))
```



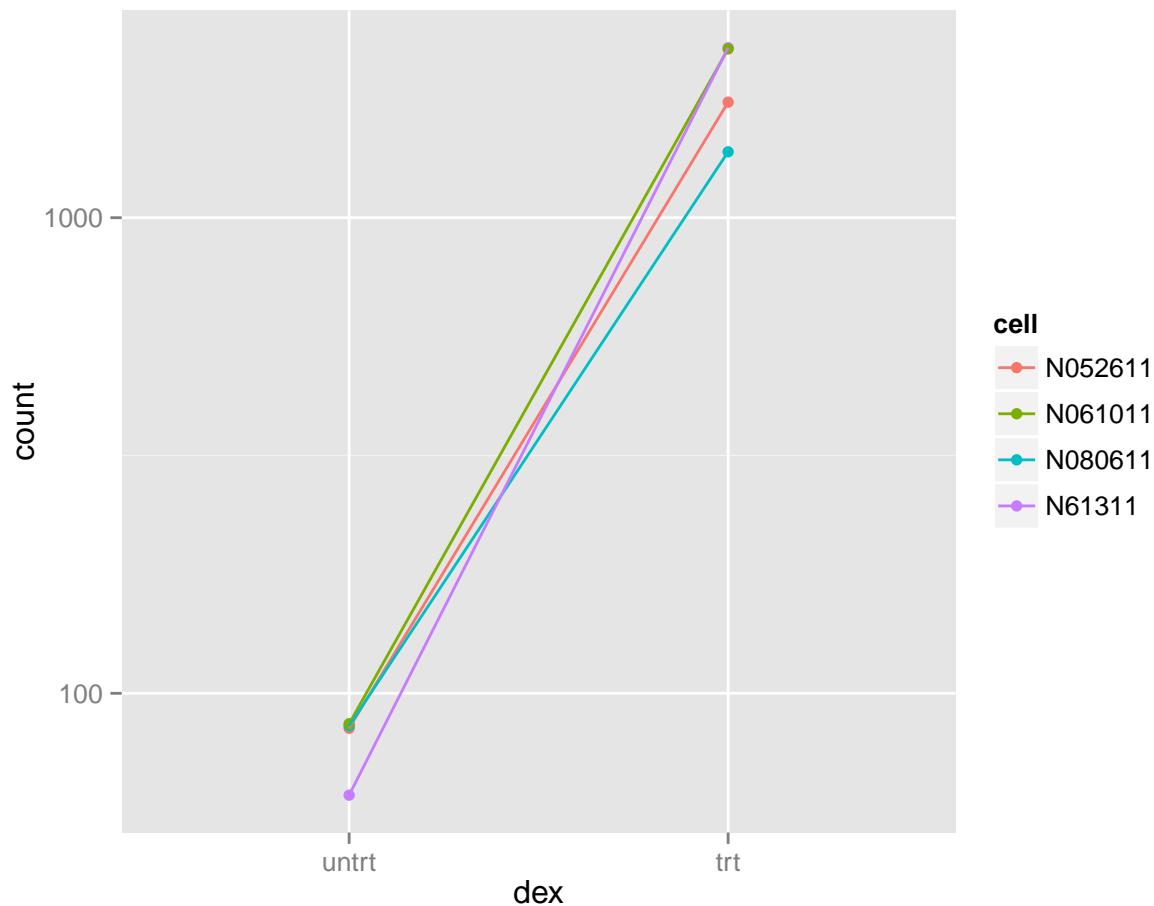
Here we use a more structural arrangement instead of random jitter, and color by the treatment.

```
ggplot(data, aes(x=dex, y=count, fill=dex)) +  
scale_y_log10() +  
geom_dotplot(binaxis="y", stackdir="center")
```



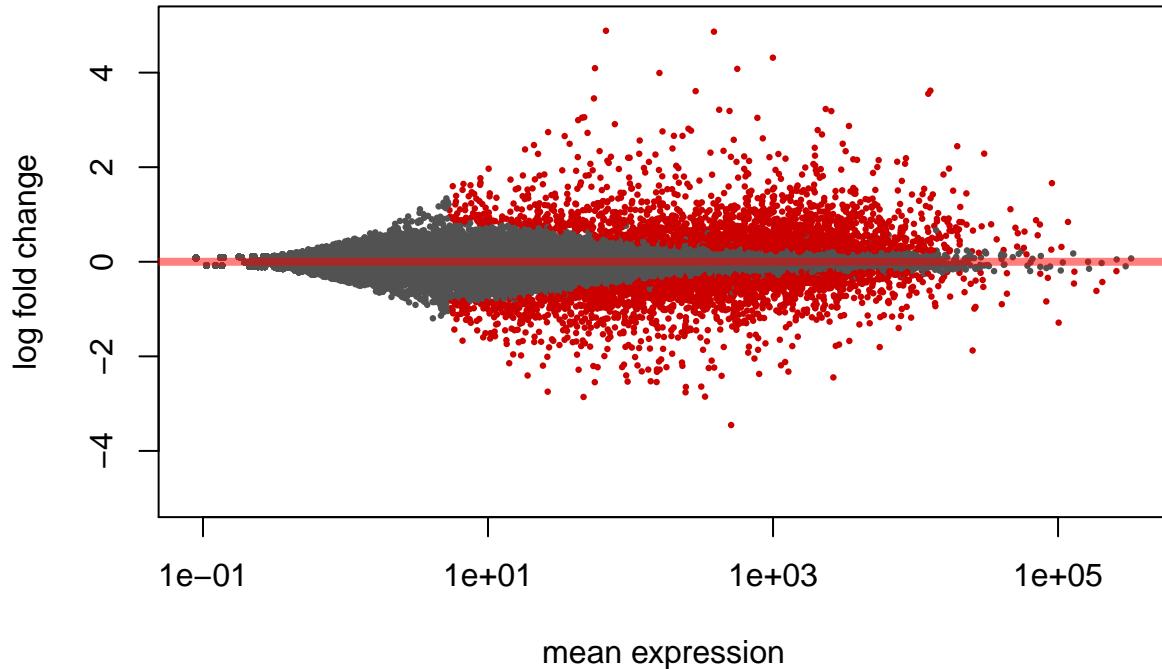
Note that the *DESeq* test actually takes into account the cell line effect, so a more detailed plot would also show the cell lines.

```
ggplot(data, aes(x=dex, y=count, color=cell, group=cell)) +  
  scale_y_log10() +  
  geom_point() + geom_line()
```



An “MA-plot” provides a useful overview for an experiment with a two-group comparison. The  $\log_2$  fold change for a particular comparison is plotted on the y-axis and the average of the counts normalized by size factor is shown on the x-axis (“M” for minus, because a log ratio is equal to  $\log_{\text{minus}} \log_{\text{plus}}$ , and “A” for average).

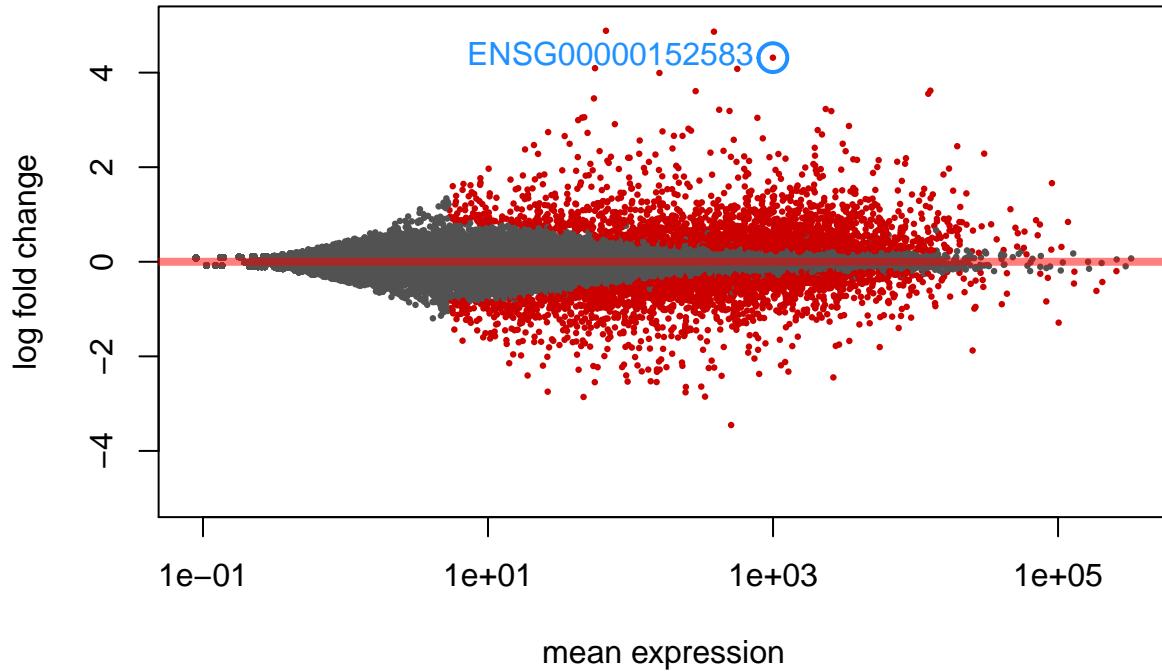
```
DESeq2::plotMA(res, ylim=c(-5,5))
```



Each gene is represented with a dot. Genes with an adjusted  $p$  value below a threshold (here 0.1, the default) are shown in red. The *DESeq2* package incorporates a prior on log2 fold changes, resulting in moderated log2 fold changes from genes with low counts and highly variable counts, as can be seen by the narrowing of spread of points on the left side of the plot. This plot demonstrates that only genes with a large average normalized count contain sufficient information to yield a significant call.

We can label individual points on the MA plot as well. Here we use the *with* R function to plot a circle and text for a selected row of the results object. Within the *with* function, only the `baseMean` and `log2FoldChange` values for the selected rows of `res` are used.

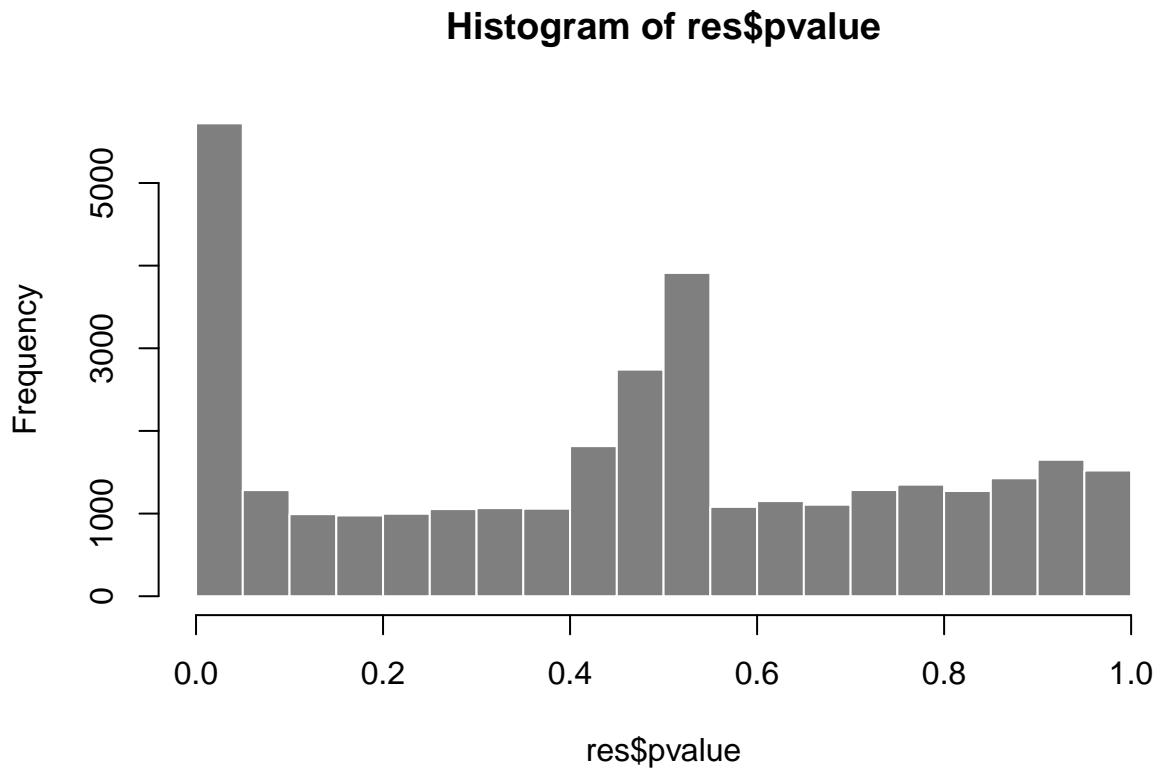
```
DESeq2:::plotMA(res, ylim=c(-5,5))
with(res[topGene, ], {
  points(baseMean, log2FoldChange, col="dodgerblue", cex=2, lwd=2)
  text(baseMean, log2FoldChange, topGene, pos=2, col="dodgerblue")
})
```



Whether a gene is called significant depends not only on its LFC but also on its within-group variability, which *DESeq2* quantifies as the *dispersion*. For strongly expressed genes, the dispersion can be understood as a squared coefficient of variation: a dispersion value of 0.01 means that the gene's expression tends to differ by typically  $\sqrt{0.01} = 10\%$  between samples of the same treatment group. For weak genes, the Poisson noise is an additional source of noise.

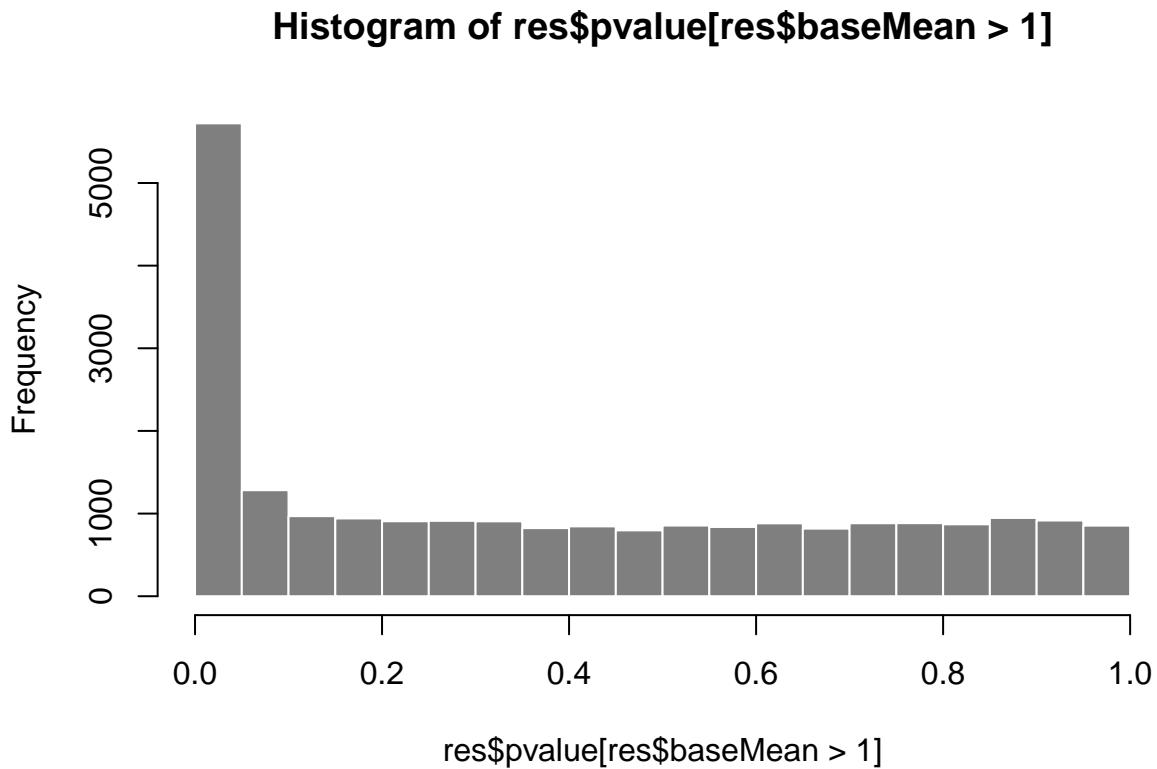
Another useful diagnostic plot is the histogram of the *p* values.

```
hist(res$pvalue, breaks=20, col="grey50", border="white")
```



This plot becomes a bit smoother by excluding genes with very small counts:

```
hist(res$pvalue[res$baseMean > 1], breaks=20, col="grey50", border="white")
```



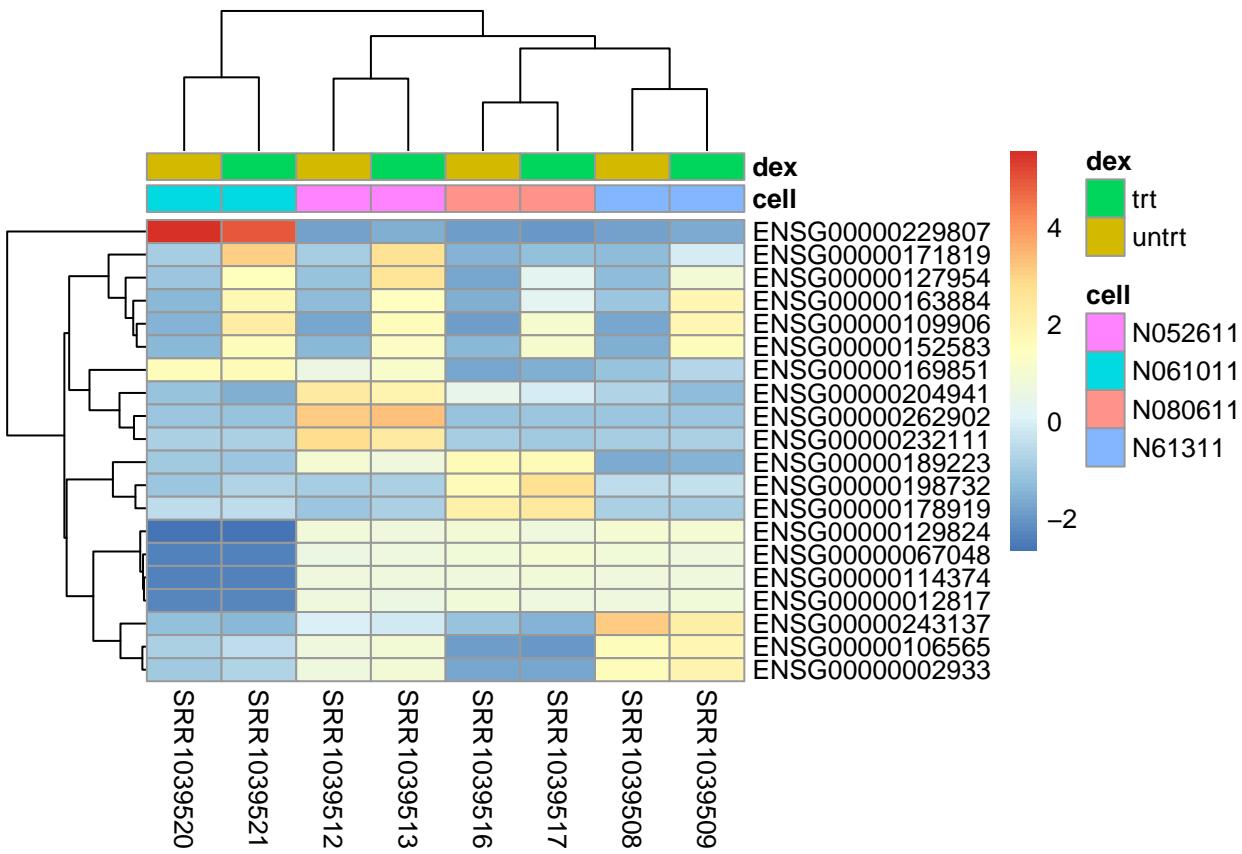
## 11 Gene clustering

In the sample distance heatmap made previously, the dendrogram at the side shows us a hierarchical clustering of the samples. Such a clustering can also be performed for the genes. Since the clustering is only relevant for genes that actually carry signal, one usually carries it out only for a subset of most highly variable genes. Here, for demonstration, let us select the 35 genes with the highest variance across samples. We will work with the *rlog* transformed counts:

```
library("genefilter")
topVarGenes <- head(order(-rowVars(assay(rld))), 20)
```

The heatmap becomes more interesting if we do not look at absolute expression strength but rather at the amount by which each gene deviates in a specific sample from the gene's average across all samples. Hence, we center each genes' values across samples, and plot a heatmap. We provide the column side colors to help identify the treated samples (in blue) from the untreated samples (in grey).

```
mat <- assay(rld)[ topVarGenes, ]
mat <- mat - rowMeans(mat)
df <- as.data.frame(colData(rld)[,c("cell", "dex")])
pheatmap(mat, annotation_col=df)
```

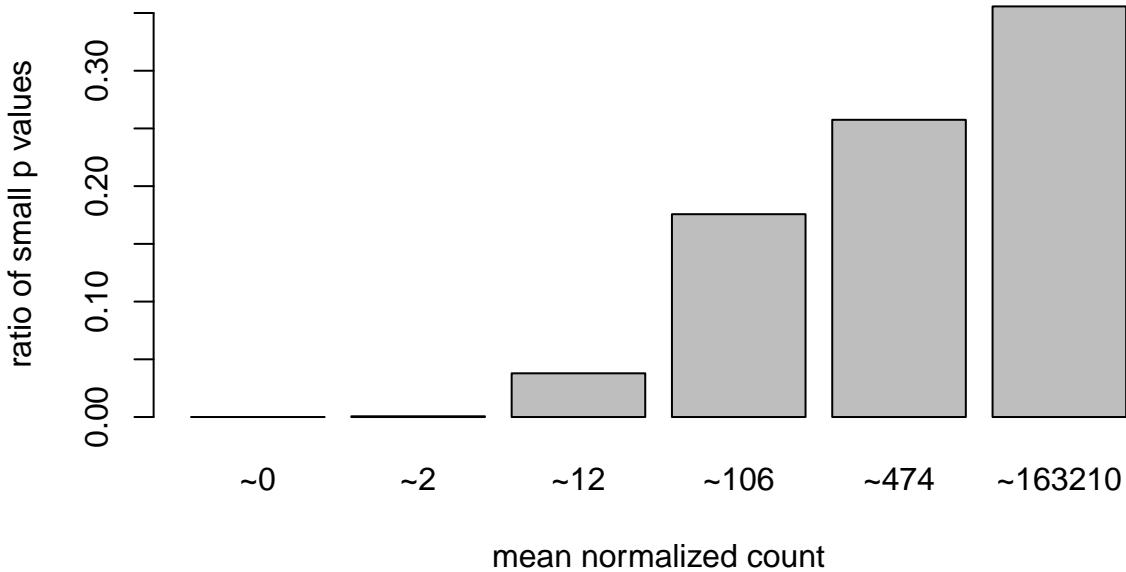


We can now see blocks of genes which covary across patients. Note that a set of genes at the top of the heatmap are separating the N061011 cell line from the others. At the bottom of the heatmap, we see a set of genes for which the treated samples have higher gene expression.

## 12 Independent filtering

The MA plot highlights an important property of RNA-Seq data. For weakly expressed genes, we have no chance of seeing differential expression, because the low read counts suffer from so high Poisson noise that any biological effect is drowned in the uncertainties from the read counting. We can also show this by examining the ratio of small  $p$  values (say, less than, 0.01) for genes binned by mean normalized count:

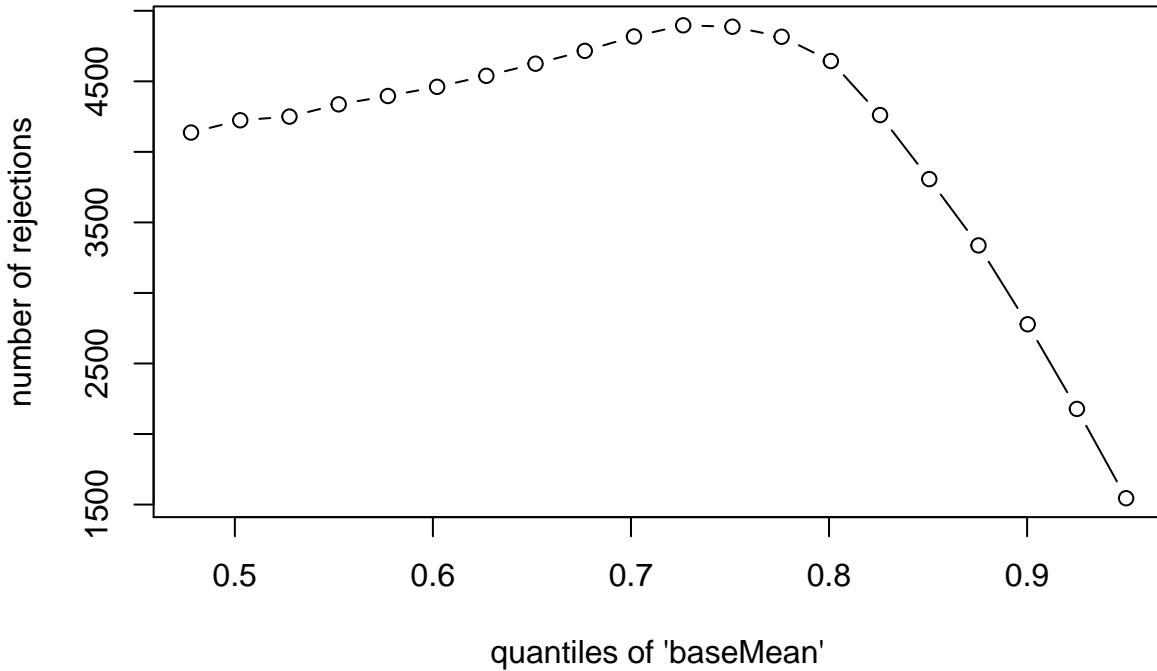
```
# create bins using the quantile function
qs <- c(0, quantile(res$baseMean[res$baseMean > 0], 0:7/7))
# cut the genes into the bins
bins <- cut(res$baseMean, qs)
# rename the levels of the bins using the middle point
levels(bins) <- paste0("~", round(.5*qs[-1] + .5*qs[-length(qs)]))
# calculate the ratio of $p$ values less than .01 for each bin
ratios <- tapply(res$pvalue, bins, function(p) mean(p < .01, na.rm=TRUE))
# plot these ratios
barplot(ratios, xlab="mean normalized count", ylab="ratio of small p values")
```



At first sight, there may seem to be little benefit in filtering out these genes. After all, the test found them to be non-significant anyway. However, these genes have an influence on the multiple testing adjustment, whose performance improves if such genes are removed. By removing the weakly-expressed genes from the input to the FDR procedure, we can find more genes to be significant among those which we keep, and so improved the power of our test. This approach is known as *independent filtering*.

The *DESeq2* software automatically performs independent filtering which maximizes the number of genes which will have adjusted  $p$  value less than a critical value (by default, alpha is set to 0.1). This automatic independent filtering is performed by, and can be controlled by, the *results* function. We can observe how the number of rejections changes for various cutoffs based on mean normalized count. The following optimal threshold and table of possible values is stored as an attribute of the *results* object.

```
attr(res, "filterThreshold")
## 72.63637%
## 5.339835
plot(attr(res, "filterNumRej"), type = "b",
      xlab = "quantiles of 'baseMean'", 
      ylab = "number of rejections")
```



The term *independent* highlights an important caveat. Such filtering is permissible only if the filter criterion is independent of the actual test statistic. Otherwise, the filtering would invalidate the test and consequently the assumptions of the BH procedure. This is why we filtered on the average over *all* samples: this filter is blind to the assignment of samples to the treatment and control group and hence independent. The independent filtering software used inside *DESeq2* comes from the [genefilter](#) package, which contains a reference to a paper describing the statistical foundation for independent filtering.

## 13 Annotation: adding gene names

---

Our result table only uses Ensembl gene IDs, but gene names may be more informative. Bioconductor's annotation packages help with mapping various ID schemes to each other.

We load the *AnnotationDbi* package and the annotation package *org.Hs.eg.db*:

```
library("AnnotationDbi")
library("org.Hs.eg.db")
```

This is the organism annotation package ("org") for *Homo sapiens* ("Hs"), organized as an *AnnotationDbi* database package ("db"), using Entrez Gene IDs ("eg") as primary key. To get a list of all available key types, use:

```
columns(org.Hs.eg.db)
```

```
## [1] "ENTREZID"      "PFAM"          "IPI"           "PROSITE"
## [5] "ACCCNUM"       "ALIAS"         "CHR"          "CHRLOC"
## [9] "CHRLOCEND"    "ENZYME"        "MAP"          "PATH"
## [13] "PMID"          "REFSEQ"        "SYMBOL"       "UNIGENE"
## [17] "ENSEMBL"        "ENSEMBLPROT"   "ENSEMBLTRANS" "GENENAME"
```

```
## [21] "UNIPROT"      "GO"          "EVIDENCE"      "ONTOLOGY"
## [25] "GOALL"        "EVIDENCEALL"   "ONTOLOGYALL"   "OMIM"
## [29] "UCSCKG"
```

**TODO:** here use mapIds() from the *AnnotationDbi* package.

Converting IDs with the native functions from the *AnnotationDbi* package is a bit cumbersome, so we provide the following convenience function (without explaining how exactly it works):

```
convertIDs <- function( ids, from, to, db, ifMultiple=c("putNA", "useFirst")) {
  stopifnot( inherits( db, "AnnotationDb" ) )
  ifMultiple <- match.arg( ifMultiple )
  suppressWarnings( selRes <- AnnotationDbi::select(
    db, keys=ids, keytype=from, columns=c(from,to) ) )
  if ( ifMultiple == "putNA" ) {
    duplicatedIds <- selRes[ duplicated( selRes[,1] ), 1 ]
    selRes <- selRes[ ! selRes[,1] %in% duplicatedIds, ]
  }
  return( selRes[ match( ids, selRes[,1] ), 2 ] )
}
```

This function takes a list of IDs as first argument and their key type as the second argument. The third argument is the key type we want to convert to, the fourth is the *AnnotationDb* object to use. Finally, the last argument specifies what to do if one source ID maps to several target IDs: should the function return an NA or simply the first of the multiple IDs? To convert the Ensembl IDs in the rownames of *res* to gene symbols and add them as a new column, we use:

```
res$hgnc_symbol <- convertIDs(row.names(res), "ENSEMBL", "SYMBOL", org.Hs.eg.db)
res$entrezgene <- convertIDs(row.names(res), "ENSEMBL", "ENTREZID", org.Hs.eg.db)
```

Now the results have the desired external gene ids:

```
resOrdered <- res[order(res$pvalue),]
head(resOrdered)

## log2 fold change (MAP): dex trt vs untrt
## Wald test p-value: dex trt vs untrt
## DataFrame with 6 rows and 8 columns
##           baseMean log2FoldChange      lfcSE      stat
##             <numeric>     <numeric> <numeric> <numeric>
## ENSG00000152583  997.4398     4.316100 0.1724127 25.03354
## ENSG00000165995  495.0929     3.188698 0.1277441 24.96160
## ENSG00000101347 12703.3871     3.618232 0.1499441 24.13054
## ENSG00000120129  3409.0294     2.871326 0.1190334 24.12201
## ENSG00000189221  2341.7673     3.230629 0.1373644 23.51868
## ENSG00000211445 12285.6151     3.552999 0.1589971 22.34631
##           pvalue      padj hgnc_symbol entrezgene
##             <numeric>     <numeric> <character> <character>
## ENSG00000152583 2.637881e-138 4.627108e-134    SPARCL1      8404
## ENSG00000165995 1.597973e-137 1.401503e-133    CACNB2       783
## ENSG00000101347 1.195378e-128 6.441181e-125    SAMHD1      25939
## ENSG00000120129 1.468829e-128 6.441181e-125    DUSP1       1843
## ENSG00000189221 2.627083e-122 9.216332e-119     MAOA       4128
## ENSG00000211445 1.311440e-110 3.833996e-107     GPX3        2878
```

## 14 Exporting results

---

You can easily save the results table in a CSV file, which you can then load with a spreadsheet program such as Excel. The call to `as.data.frame` is necessary to convert the `DataFrame` object (`IRanges` package) to a `data.frame` object which can be processed by `write.csv`.

```
write.csv(as.data.frame(res0Ordered), file="results.csv")
```

## 15 Reporting Tools

---

Another more sophisticated package for exporting results from various Bioconductor analysis packages is the `ReportingTools` package. `ReportingTools` will automatically generate dynamic HTML documents, including links to external databases using gene identifiers and boxplots summarizing the normalized counts across groups.

```
library("ReportingTools")
```

**TODO** add this

## 16 Ease of exchanging methods

---

```
# careful when filtering if groups are not balanced
filter <- rowMeans(assay(airway)) > 5
airwayFilt <- airway[ filter, ]

dds <- DESeqDataSet(airwayFilt, design = ~ cell + dex)
dds <- DESeq(dds)
res <- results(dds, independentFiltering=FALSE)

library("edgeR")
coldata <- as.data.frame(colData(dds))
design <- model.matrix(~ cell + dex, data=coldata)
dge1 <- DGEList(assay(airwayFilt))
dge1 <- calcNormFactors(dge1)
dge1 <- estimateGLMCommonDisp(dge1, design=design)
dge1 <- estimateGLMTrendedDisp(dge1, design=design)
dge1 <- estimateGLMTagwiseDisp(dge1, design=design)
edgerfit <- glmFit(dge1, design=design)
lrt <- glmLRT(edgerfit, coef=5)
tt <- topTags(lrt, n=nrow(dge1), sort.by="none")

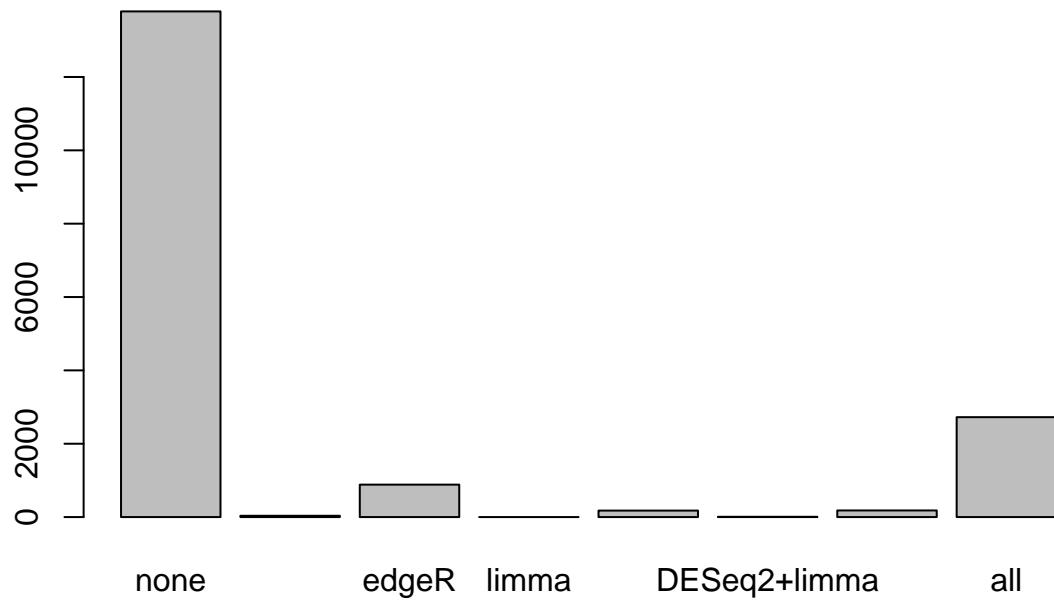
library("limma")
dge1.voom <- DGEList(assay(airwayFilt))
dge1.voom <- calcNormFactors(dge1.voom)
v <- voom(dge1.voom, design, plot=FALSE)
vfit <- lmFit(v, design)
vfit <- eBayes(vfit)
lvtt <- topTable(vfit, coef=5, n=nrow(dge1.voom), sort.by="none")

alpha <- 0.01
table("deseq2"=res$padj < alpha, "edgeR"=tt$table$FDR < alpha, "limma"=lvtt$adj.P.Val < alpha)
```

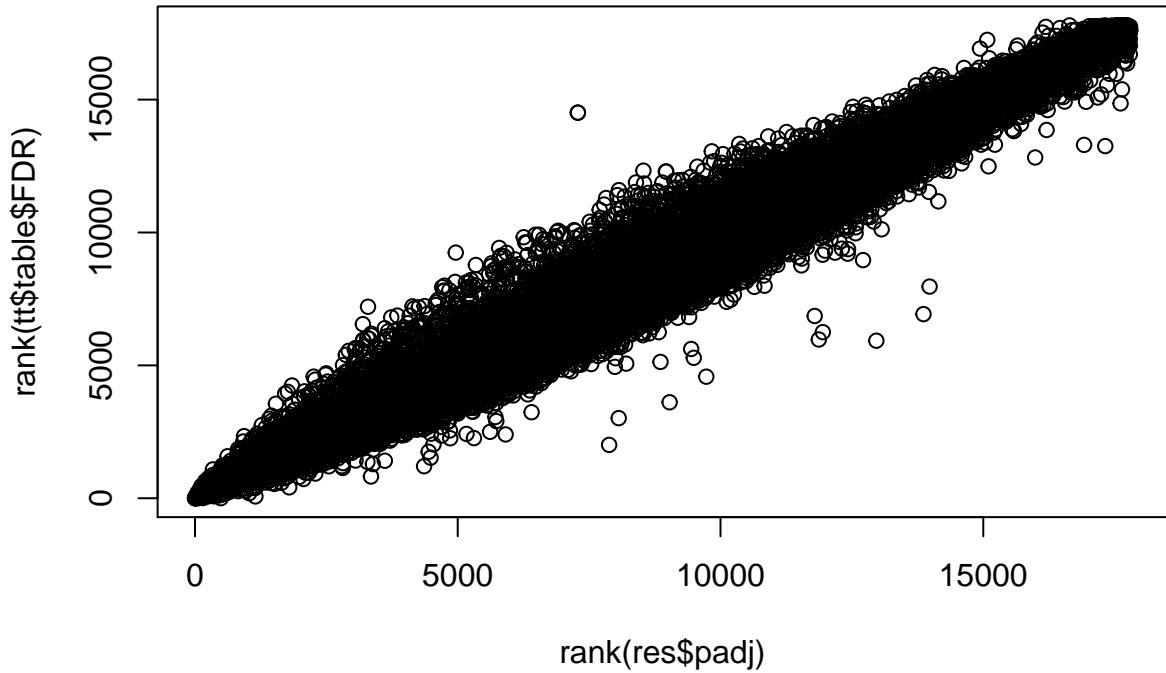
```
## , , limma = FALSE
##
##      edger
## deseq2 FALSE  TRUE
##    FALSE 13788   884
##    TRUE     35   177
##
## , , limma = TRUE
##
##      edger
## deseq2 FALSE  TRUE
##    FALSE      0   180
##    TRUE      7  2724

bartab <- function(x,y,z,names,skipNone=FALSE,...) {
  x <- factor(x,c("FALSE","TRUE"))
  y <- factor(y,c("FALSE","TRUE"))
  z <- factor(z,c("FALSE","TRUE"))
  tabs <- as.vector(table(x, y, z))
  names(tabs) <- c("none",names[1],names[2],paste(names[1:2],collapse="+"),
                  names[3],paste(names[c(1,3)],collapse="+"),
                  paste(names[2:3],collapse="+"),"all")
  tabs <- tabs[c(1,2,3,5,4,6,7,8)]
  if (skipNone) {
    tabs <- tabs[-1]
  }
  barplot(tabs, ...)
}

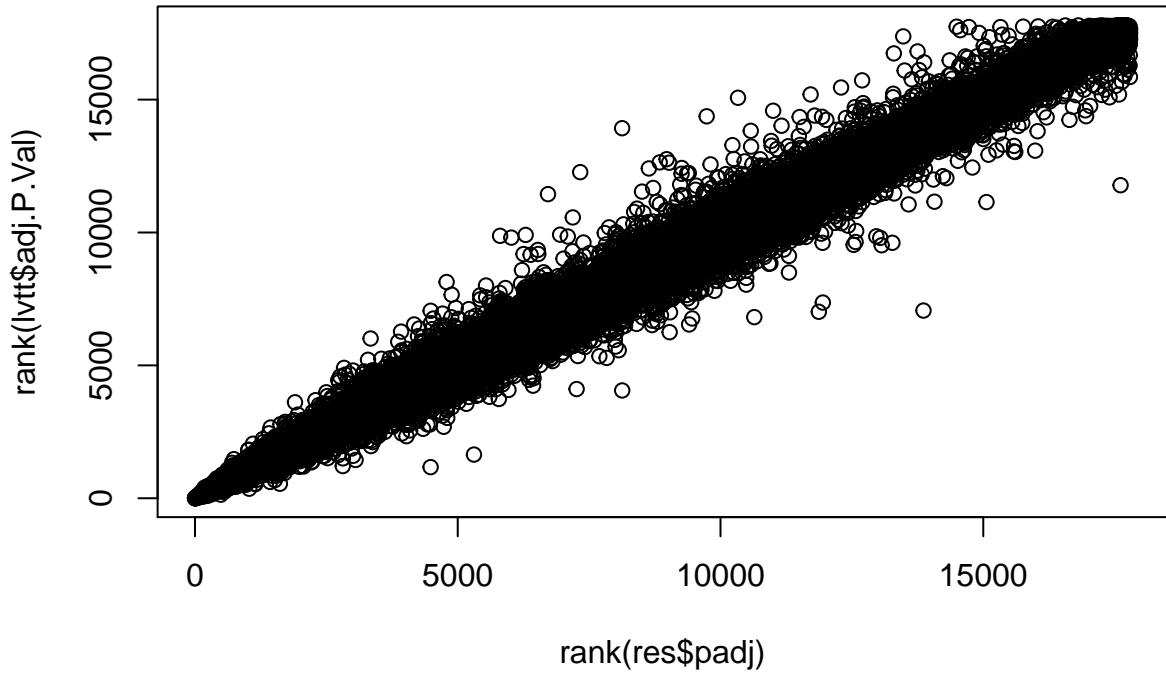
bartab(res$padj < alpha, tt$table$FDR < alpha, lvtt$adj.P.Val < alpha, c("DESeq2","edgeR","limma"))
```



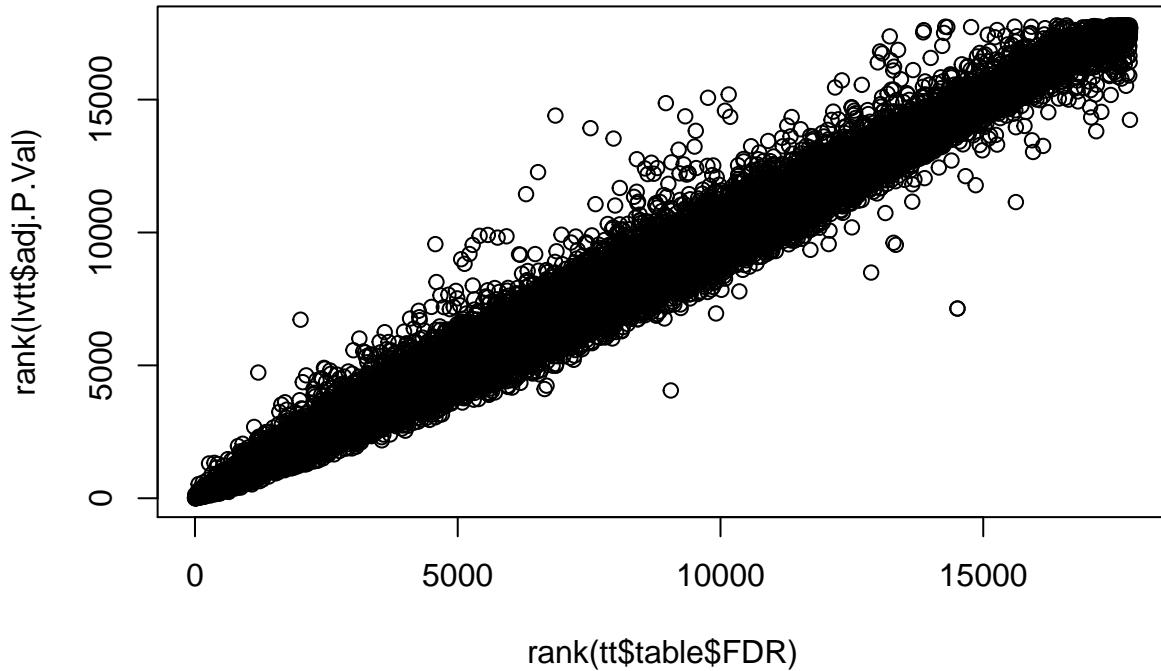
```
plot(rank(res$padj),rank(tt$table$FDR))
```



```
plot(rank(res$padj),rank(lvtt$adj.P.Val))
```



```
plot(rank(tt$table$FDR),rank(lvtt$adj.P.Val))
```



```

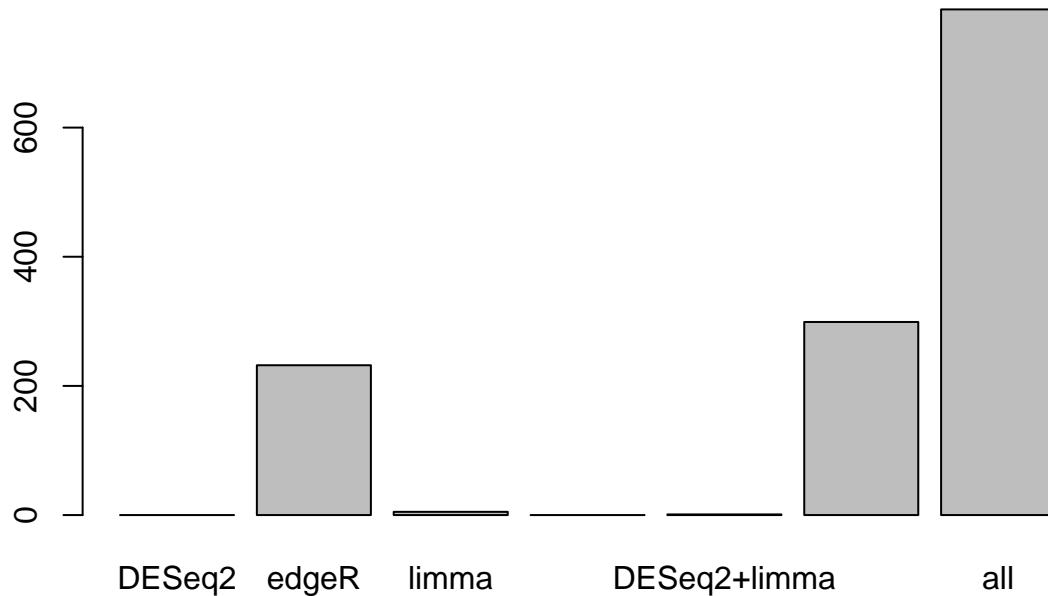
lfc <- 0.5
resLFC <- results(dds, independentFiltering=FALSE, lfcThreshold=lfc)

tr <- glmTreat(edgerfit, coef=5, lfc=lfc)
ttlFC <- topTags(tr, n=nrow(dgel), sort.by="none")

vtr <- treat(vfit, lfc=lfc)
lvttLFC <- topTreat(vtr, coef=5, n=nrow(dgel.voom), sort.by="none")

alpha <- 0.1
bartab(resLFC$padj < alpha, ttlFC$table$FDR < alpha, lvttLFC$adj.P.Val < alpha, c("DESeq2", "edgeR", "limma"))

```



## 17 Session information

---

As last part of this document, we call the function `sessionInfo`, which reports the version numbers of R and all the packages used in this session. It is good practice to always keep such a record as it will help to trace down what has happened in case that an R script ceases to work because the functions have been changed in a newer version of a package. The session information should also **always** be included in any emails to the [Bioconductor support site](#) along with all code used in the analysis.

```
sessionInfo()

## R version 3.2.0 (2015-04-16)
## Platform: x86_64-unknown-linux-gnu (64-bit)
## Running under: Fedora 18 (Spherical Cow)
##
## locale:
## [1] LC_CTYPE=en_US.UTF-8          LC_NUMERIC=C
## [3] LC_TIME=en_US.UTF-8          LC_COLLATE=en_US.UTF-8
## [5] LC_MONETARY=en_US.UTF-8       LC_MESSAGES=en_US.UTF-8
## [7] LC_PAPER=en_US.UTF-8          LC_NAME=C
## [9] LC_ADDRESS=C                  LC_TELEPHONE=C
## [11] LC_MEASUREMENT=en_US.UTF-8    LC_IDENTIFICATION=C
##
## attached base packages:
## [1] stats4   parallel  stats      graphics  grDevices utils      datasets
## [8] methods  base
```

```
##  
## other attached packages:  
## [1] rmarkdown_0.6.1           edgeR_3.10.2  
## [3] limma_3.24.5            ReportingTools_2.8.0  
## [5] org.Hs.eg.db_3.1.2       RSQLite_1.0.0  
## [7] DBI_0.3.1                genefilter_1.50.0  
## [9] ggplot2_1.0.1            PoiClaClu_1.0.2  
## [11] RColorBrewer_1.1-2      pheatmap_1.0.2  
## [13] DESeq2_1.8.1             RcppArmadillo_0.5.100.1.0  
## [15] Rcpp_0.11.6              GenomicAlignments_1.4.1  
## [17] GenomicFeatures_1.20.1   AnnotationDbi_1.30.1  
## [19] Biobase_2.28.0           Rsamtools_1.20.4  
## [21] Biostrings_2.36.1        XVector_0.8.0  
## [23] airway_0.102.0          GenomicRanges_1.20.4  
## [25] GenomeInfoDb_1.4.0      IRanges_2.2.3  
## [27] S4Vectors_0.6.0          BiocGenerics_0.14.0  
## [29] BiocStyle_1.7.3          knitr_1.10.5  
  
##  
## loaded via a namespace (and not attached):  
## [1] Category_2.34.2           bitops_1.0-6  
## [3] tools_3.2.0                rpart_4.1-9  
## [5] Hmisc_3.16-0              colorspace_1.2-6  
## [7] nnet_7.3-9                gridExtra_0.9.1  
## [9] GGally_0.5.0              graph_1.46.0  
## [11] formatR_1.2               rtracklayer_1.28.4  
## [13] labeling_0.3              ggbio_1.16.0  
## [15] scales_0.2.4              RBGL_1.44.0  
## [17] stringr_1.0.0             digest_0.6.8  
## [19] foreign_0.8-63            R.utils_2.1.0  
## [21] AnnotationForge_1.10.1   htmltools_0.2.6  
## [23] dichromat_2.0-0          BSgenome_1.36.0  
## [25] PFAM.db_3.1.2            GOstats_2.34.0  
## [27] hwriter_1.3.2             BiocParallel_1.2.2  
## [29] acepack_1.3-3.3          R.oo_1.19.0  
## [31] VariantAnnotation_1.14.1  RCurl_1.95-4.6  
## [33] magrittr_1.5               GO.db_3.1.2  
## [35] Formula_1.2-1            futile.logger_1.4.1  
## [37] Matrix_1.2-1              munsell_0.4.2  
## [39] proto_0.3-10              R.methodsS3_1.7.0  
## [41] yaml_2.1.13               stringi_0.4-1  
## [43] MASS_7.3-40                zlibbioc_1.14.0  
## [45] plyr_1.8.2                grid_3.2.0  
## [47] lattice_0.20-31            splines_3.2.0  
## [49] annotate_1.46.0            locfit_1.5-9.1  
## [51] markdown_0.7.7              geneplotter_1.46.0  
## [53] reshape2_1.4.1              biomaRt_2.24.0  
## [55] futile.options_1.0.0       XML_3.98-1.2  
## [57] evaluate_0.7                biovizBase_1.16.0  
## [59] latticeExtra_0.6-26         lambda.r_1.1.7  
## [61] gtable_0.1.2                reshape_0.8.5  
## [63] mime_0.3                   xtable_1.7-4  
## [65] survival_2.38-1             snow_0.3-13  
## [67] OrganismDbi_1.10.0         cluster_2.0.1  
## [69] GSEABase_1.30.1
```