End-to-end RNA-Seq workflow

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Introduction

This lab will walk you through an end-to-end RNA-Seq differential expression workflow, using DESeq2 along with other Bioconductor packages. We will start from the FASTQ files, show how these were aligned to the reference genome, prepare gene expression values as a count matrix by counting the sequenced fragments, perform exploratory data analysis (EDA), perform differential gene expression analysis with DESeq2, and visually explore the results.

We note that a number of other Bioconductor packages can also be used for statistical inference of differential expression at the gene level including edgeR, BaySeq, DSS and limma.

If you have questions about this workflow, please post them to the Bioconductor support site. If the questions concern a specific package, you can tag the post with the name of the package, or for general questions about the workflow, tag the post with deseq2. Note the posting guide for crafting an optimal question for the support site.

Experimental data

The data used in this workflow is an RNA-Seq experiment of airway smooth muscle cells treated with dexamethasone, a synthetic glucocorticoid steroid with anti-inflammatory effects. Glucocorticoids are used, for example, in asthma patients to prevent or reduce inflammation of the airways. In the experiment, four primary human airway smooth muscle cell lines were treated with 1 micromolar dexamethasone for 18 hours. For each of the four cell lines, we have a treated and an untreated sample. The reference for the experiment is:

Himes BE, Jiang X, Wagner P, Hu R, Wang Q, Klanderman B, Whitaker RM, Duan Q, Lasky-Su J, Nikolos C, Jester W, Johnson M, Panettieri R Jr, Tantisira KG, Weiss ST, Lu Q. "RNA-Seq Transcriptome Profiling Identifies CRISPLD2 as a Glucocorticoid Responsive Gene that Modulates Cytokine Function in Airway Smooth Muscle Cells." PLoS One. 2014 Jun 13;9(6):e99625. PMID: 24926665. GEO: GSE52778.

Preparing count matrices

As input, the DESeq2 package expects count data as obtained, e.g., from RNA-Seq or another high-throughput sequencing experiment, in the form of a matrix of integer values. The value in the i-th row and the j-th column of the matrix tells how many reads have been mapped to gene i in sample j. Analogously, for other types of assays, the rows of the matrix might correspond e.g., to binding regions (with ChIP-Seq) or peptide sequences (with quantitative mass spectrometry).

The count values must be raw counts of sequencing reads. This is important for DESeq2's statistical model to hold, as only the actual counts allow assessing the measurement precision correctly. Hence, please do not supply other quantities, such as (rounded) normalized counts, or counts of covered base pairs – this will only lead to nonsensical results.

Aligning reads to a reference

The computational analysis of an RNA-Seq experiment begins earlier: what we get from the sequencing machine is a set of FASTQ files that contain the nucleotide sequence of each read and a quality score at each position. These reads must first be aligned to a reference genome or transcriptome. It is important

to know if the sequencing experiment was single-end or paired-end, as the alignment software will require the user to specify both FASTQ files for a paired-end experiment. The output of this alignment step is commonly stored in a file format called SAM/BAM.

A number of software programs exist to align reads to the reference genome, and the development is too rapid for this document to provide an up-to-date list. We recommend consulting benchmarking papers that discuss the advantages and disadvantages of each software, which include accuracy, ability to align reads over splice junctions, speed, memory footprint, and many other features.

The reads for this experiment were aligned to the Ensembl release 75 human reference genome using the STAR read aligner:

```
for f in `cat files`; do STAR --genomeDir ../STAR/ENSEMBL.homo_sapiens.release-75 \ --readFilesIn fastq/f_1.fastq fastq/f_2.fastq \ --runThreadN 12 --outFileNamePrefix aligned/f_1.fastq done
```

SAMtools was used to generate BAM files.

```
cat files | parallel -j 7 samtools view -bS aligned/{}.Aligned.out.sam -o aligned/{}.bam
```

The BAM files for a number of sequencing runs can then be used to generate count matrices, as described in the following section.

Counting reads

Besides the main count matrix, which we will use later, the *airway* package also contains a small subset of the raw data, namely eight BAM file each with a subset of the reads. We will use these files to demonstrate how a count matrix can be constructed from BAM files. Afterwards, we will load the full count matrix corresponding to all samples and all data, which is already provided in the same package, and will continue the analysis with that full table.

We load the data package with the example data:

```
library("airway")
```

The R function *system.file* can be used to find out where on your computer the files from a package have been installed. Here we ask for the full path to the <code>extdata</code> directory, which is part of the <code>airway</code> package.

```
dir <- system.file("extdata", package="airway", mustWork=TRUE)</pre>
```

In this directory, we find the eight BAM files (and some other files):

list.files(dir)

```
[1] "GSE52778_series_matrix.txt"
##
    [2] "Homo sapiens.GRCh37.75 subset.gtf"
    [3] "sample table.csv"
##
    [4] "SraRunInfo_SRP033351.csv"
##
    [5] "SRR1039508_subset.bam"
##
    [6] "SRR1039508_subset.bam.bai"
##
##
    [7] "SRR1039509_subset.bam"
    [8] "SRR1039512_subset.bam"
##
    [9] "SRR1039513_subset.bam"
##
   [10] "SRR1039516_subset.bam"
   [11] "SRR1039517_subset.bam"
  [12] "SRR1039520_subset.bam"
  [13] "SRR1039521_subset.bam"
```

Typically, we have a table with experimental metadata for our samples. For your own project, you might create such a comma-separated value (CSV) file using a text editor or spreadsheet software such as Excel.

We load this file with *read.csv*. The parentheses around the last line are used to print the result in addition to storing it to the sampleTable object.

```
csvfile <- file.path(dir,"sample_table.csv")
(sampleTable <- read.csv(csvfile,row.names=1))</pre>
```

```
##
              SampleName
                            cell
                                   dex albut
                                                    Run avgLength Experiment
## SRR1039508 GSM1275862 N61311 untrt untrt SRR1039508
                                                              126
                                                                   SRX384345
## SRR1039509 GSM1275863 N61311
                                  trt untrt SRR1039509
                                                               126
                                                                   SRX384346
## SRR1039512 GSM1275866 N052611 untrt untrt SRR1039512
                                                               126
                                                                   SRX384349
## SRR1039513 GSM1275867 N052611 trt untrt SRR1039513
                                                               87
                                                                   SRX384350
## SRR1039516 GSM1275870 N080611 untrt untrt SRR1039516
                                                               120
                                                                   SRX384353
## SRR1039517 GSM1275871 N080611
                                 trt untrt SRR1039517
                                                               126
                                                                   SRX384354
## SRR1039520 GSM1275874 N061011 untrt untrt SRR1039520
                                                               101
                                                                   SRX384357
## SRR1039521 GSM1275875 N061011
                                   trt untrt SRR1039521
                                                               98
                                                                   SRX384358
##
                 Sample
                           BioSample
## SRR1039508 SRS508568 SAMN02422669
## SRR1039509 SRS508567 SAMN02422675
## SRR1039512 SRS508571 SAMN02422678
## SRR1039513 SRS508572 SAMN02422670
## SRR1039516 SRS508575 SAMN02422682
## SRR1039517 SRS508576 SAMN02422673
## SRR1039520 SRS508579 SAMN02422683
## SRR1039521 SRS508580 SAMN02422677
```

Once the reads have been aligned, there are a number of tools which can be used to count the number of reads which can be unambiguously assigned to genomic features for each sample. These often take as input SAM/BAM alignment files and a file specifying the genomic features, e.g. a GFF3 or GTF file specifying the gene models.

The following tools can be used generate count matrices:

function	package	framework	output	DESeq2 input func
$\overline{summarize Overlaps} \\ feature Counts$	$Genomic A lignments \\ R subread$	R/Bioconductor R/Bioconductor	$Summarized Experiment\\ matrix$	$DESeqDataSet \ DESeqDataSetFrom$
htseq-count	HTSeq	Python	files	DESeqDataSetFrom

Using the Run column in the sample table, we construct the full paths to the files we want to perform the counting operation on:

```
filenames <- file.path(dir, paste0(sampleTable$Run, "_subset.bam"))
```

We indicate in Bioconductor that these files are BAM files using the *BamFileList* function. Here we also specify details about how the BAM files should be treated, e.g., only process 2000000 reads at a time.

```
library("Rsamtools")
bamfiles <- BamFileList(filenames, yieldSize=2000000)</pre>
```

Note: make sure that the chromosome names of the genomic features in the annotation you use are consistent with the chromosome names of the reference used for read alignment. Otherwise, the scripts might fail to count any reads to features due to the mismatching names. We can check the chromosome names in the alignment files like so:

seqinfo(bamfiles[1])

Seqinfo object with 84 sequences from an unspecified genome:

##	seqnames	seqlengths	isCircular	genome
##	1	249250621	<na></na>	<na></na>
##	10	135534747	<na></na>	<na></na>
##	11	135006516	<na></na>	<na></na>
##	12	133851895	<na></na>	<na></na>
##	13	115169878	<na></na>	<na></na>
##				
##	GL000210.1	27682	<na></na>	<na></na>
##	GL000231.1	27386	<na></na>	<na></na>

```
## GL000229.1 19913 <NA> <NA>
## GL000226.1 15008 <NA> <NA>
**# GL000207.1 4262 <NA> <NA>
```

Next, we need to read in the gene model which will be used for counting reads. We will read the gene model from a GTF file, using makeTxDbFromGFF from the GenomicFeatures package. GTF files can be downloaded from Ensembl's FTP site or other gene model repositories. A TranscriptDb object is a database which can be used to generate a variety of range-based objects, such as exons, transcripts, and genes. We will want to make a list of exons grouped by gene.

There are other options for constructing a *TranscriptDB*. For the *known genes* track from the UCSC Genome Browser, one can use the pre-built Transcript DataBase: *TxDb.Hsapiens.UCSC.hg19.knownGene*. The *makeTxDbFrom-Biomart* function can be used to automatically pull a gene model from Biomart.

```
library("GenomicFeatures")
gtffile <- file.path(dir, "Homo_sapiens.GRCh37.75_subset.gtf")</pre>
(txdb <- makeTxDbFromGFF(gtffile, format="gtf"))</pre>
## Warning in matchCircularity(seqlevels(gr), circ_seqs): None of the strings
## in your circ_seqs argument match your seqnames.
## TxDb object:
## # Db type: TxDb
## # Supporting package: GenomicFeatures
## # Data source: /Users/michael/Library/R/3.2/library/airway/extdata/Homo_sapiens.GRCh37.79
## # Organism: NA
## # miRBase build ID: NA
## # Genome: NA
## # transcript_nrow: 65
## # exon_nrow: 279
## # cds_nrow: 158
## # Db created by: GenomicFeatures package from Bioconductor
## # Creation time: 2015-06-15 15:57:31 +0200 (Mon, 15 Jun 2015)
## # GenomicFeatures version at creation time: 1.20.1
## # RSQLite version at creation time: 1.0.0
## # DBSCHEMAVERSION: 1.1
```

The following line produces a *GRangesList* of all the exons grouped by gene.

```
(genes <- exonsBy(txdb, by="gene"))
```

```
## GRangesList object of length 20:
   $ENSG00000009724
   GRanges object with 18 ranges and 2 metadata columns:
##
          seqnames
                                  ranges strand
                                                        exon_id
                                                                       exon_name
##
             <Rle>
                               <IRanges>
                                          <Rle>
                                                      <integer>
                                                                     <character>
                  1 [11086580, 11087705]
##
      [1]
                                                             98 ENSE00000818830
      [2]
##
                  1 [11090233, 11090307]
                                                             99 ENSE00000472123
                  1 [11090805, 11090939]
      [3]
                                                            100 ENSE00000743084
##
                  1 [11094885, 11094963]
##
      [4]
                                                            101 ENSE00000743085
##
      [5]
                  1 [11097750, 11097868]
                                                            103 ENSE00003520086
##
                  1 [11106948, 11107176]
                                                            111 ENSE00003467404
##
     [14]
##
     Γ15]
                  1 [11106948, 11107176]
                                                    1
                                                            112 ENSE00003489217
##
     [16]
                  1 [11107260, 11107280]
                                                            113 ENSE00001833377
##
     [17]
                  1 [11107260, 11107284]
                                                    1
                                                            114 ENSE00001472289
##
     [18]
                  1 [11107260, 11107290]
                                                            115 ENSE00001881401
##
##
## <19 more elements>
## seqinfo: 1 sequence from an unspecified genome; no seqlengths
```

After these preparations, the actual counting is easy. The function *summa-rizeOverlaps* from the *GenomicAlignments* package will do this. This produces a *SummarizedExperiment* object, which contains a variety of information about an experiment, and will be described in more detail below.

Note: If it is desired to perform counting using multiple cores, one can use the *register* and *MulticoreParam* functions from the *BiocParallel* package before the counting call below.

We specify a number of arguments besides the features and the reads. The mode argument describes what kind of read overlaps will be counted as a hit. These modes are shown in Figure 1 of the "Counting reads with summarizeOverlaps" vignette for the *GenomicAlignments* package. Setting singleEnd to FALSE indicates that the experiment produced paired-end reads, and we want to count a pair of reads only once toward the read count for a gene. In order to produce

correct counts, it is important to know if the RNA-Seq experiment was strand-specific or not. This experiment was not strand-specific so we set <code>ignore.strand</code> to TRUE. The <code>fragments</code> argument can be used when <code>singleEnd=FALSE</code> to specify if unpaired reads should be counted (yes if <code>fragments=TRUE</code>).

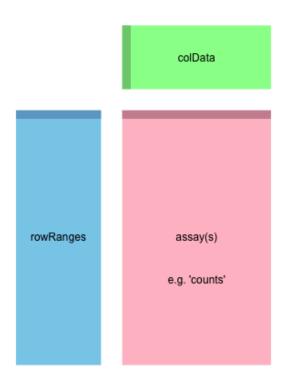


Figure 1: plot of chunk sumexp

Here we show the component parts of a SummarizedExperiment object, and also its subclasses, such as the DESeqDataSet which is explained in the next section. The assay(s) (pink block) contains the matrix (or matrices) of summarized values, the rowRanges (blue block) contains information about the genomic ranges, and the colData (green block) contains information about the samples or experiments. The highlighted line in each block represents the first row (note that the first row of colData lines up with the first column of the assay.

This example code above actually only counts a small subset of reads from the original experiment. Nevertheless, we can still investigate the resulting SummarizedExperiment by looking at the counts in the assay slot, the phenotypic data about the samples in colData slot (in this case an empty DataFrame), and the data about the genes in the rowRanges slot.

```
se
## class: SummarizedExperiment
## dim: 20 8
## exptData(0):
## assays(1): counts
## rownames(20): ENSG00000009724 ENSG00000116649 ... ENSG00000271794
     ENSG00000271895
## rowRanges metadata column names(0):
## colnames(8): SRR1039508_subset.bam SRR1039509_subset.bam ...
     SRR1039520_subset.bam SRR1039521_subset.bam
## colData names(0):
head(assay(se))
```

##		SRR1039508_subset.bam	SRR1039509_subset.bam
##	ENSG00000009724	38	28
##	ENSG00000116649	1004	1255
##	ENSG00000120942	218	256
##	ENSG00000120948	2751	2080
##	ENSG00000171819	4	50
##	ENSG00000171824	869	1075
##		${\tt SRR1039512_subset.bam}$	SRR1039513_subset.bam
##	ENSG00000009724	66	24
##	ENSG00000116649	1122	1313
##	ENSG00000120942	233	252
##	ENSG00000120948	3353	1614
##	ENSG00000171819	19	543
##	ENSG00000171824	1115	1051
##		${\tt SRR1039516_subset.bam}$	SRR1039517_subset.bam
##	ENSG00000009724	42	41
##	ENSG00000116649	1100	1879
##	ENSG00000120942	269	465
##	ENSG00000120948	3519	3716
##	ENSG00000171819	1	10
##	ENSG00000171824	944	1405
##		${\tt SRR1039520_subset.bam}$	SRR1039521_subset.bam
##	ENSG00000009724	47	36
##	ENSG00000116649	745	1536
##	ENSG00000120942	207	400
##	ENSG00000120948	2220	1990

```
## ENSG0000171819
                                        14
                                                             1067
## ENSG0000171824
                                       748
                                                             1590
colSums(assay(se))
## SRR1039508_subset.bam SRR1039509_subset.bam SRR1039512_subset.bam
##
                     6478
                                                                   7699
                                            6501
## SRR1039513_subset.bam SRR1039516_subset.bam SRR1039517_subset.bam
##
                     6801
                                            8009
                                                                  10849
## SRR1039520_subset.bam SRR1039521_subset.bam
##
                     5254
                                            9168
colData(se)
## DataFrame with 8 rows and 0 columns
rowRanges(se)
## GRangesList object of length 20:
   $ENSG00000009724
  GRanges object with 18 ranges and 2 metadata columns:
##
##
          segnames
                                  ranges strand
                                                        exon id
                                                                      exon name
##
             <Rle>
                               <IRanges>
                                           <Rle>
                                                     <integer>
                                                                    <character>
##
      [1]
                  1 [11086580, 11087705]
                                                             98 ENSE00000818830
##
      [2]
                  1 [11090233, 11090307]
                                                             99 ENSE00000472123
##
      [3]
                  1 [11090805, 11090939]
                                                            100 ENSE00000743084
      [4]
                  1 [11094885, 11094963]
##
                                                            101 ENSE00000743085
##
      [5]
                  1 [11097750, 11097868]
                                                            103 ENSE00003520086
##
##
     [14]
                  1 [11106948, 11107176]
                                                            111 ENSE00003467404
                  1 [11106948, 11107176]
##
     [15]
                                                            112 ENSE00003489217
##
                  1 [11107260, 11107280]
                                                            113 ENSE00001833377
     [16]
                  1 [11107260, 11107284]
##
     [17]
                                                            114 ENSE00001472289
##
     [18]
                  1 [11107260, 11107290]
                                                            115 ENSE00001881401
##
## ...
## <19 more elements>
## seqinfo: 1 sequence from an unspecified genome; no seqlengths
```

Note that the rowRanges slot is a *GRangesList*, which contains all the information about the exons for each gene, i.e., for each row of the count matrix. It also contains metadata about the construction of the gene model in the metadata slot.

str(metadata(rowRanges(se)))

```
## List of 1
    $ genomeInfo:List of 14
                                                   : chr "TxDb"
     ..$ Db type
     ..$ Supporting package
                                                   : chr "GenomicFeatures"
##
##
     ..$ Data source
                                                   : chr "/Users/michael/Library/R/3.2/library
##
     ..$ Organism
     ..$ miRBase build ID
##
                                                   : chr NA
     ..$ Genome
##
                                                   : chr NA
##
     ..$ transcript_nrow
                                                   : chr "65"
##
     ..$ exon nrow
                                                   : chr "279"
     ..$ cds_nrow
                                                   : chr "158"
##
##
     ..$ Db created by
                                                   : chr "GenomicFeatures package from Biocone
                                                   : chr "2015-06-15 15:57:31 +0200 (Mon, 15 .
##
     ..$ Creation time
     ..$ GenomicFeatures version at creation time: chr "1.20.1"
##
     ..$ RSQLite version at creation time
                                                  : chr "1.0.0"
                                                   : chr "1.1"
     ..$ DBSCHEMAVERSION
```

The colData slot, so far empty, should contain all the metadata. We hence assign our sample table to it:

(colData(se) <- DataFrame(sampleTable))</pre>

```
## DataFrame with 8 rows and 9 columns
##
             SampleName cell
                                    dex
                                           albut
                                                       Run avgLength
##
               <factor> <factor> <factor> <factor>
                                                   <factor> <integer>
## SRR1039508 GSM1275862 N61311 untrt untrt SRR1039508
## SRR1039509 GSM1275863 N61311
                                  trt untrt SRR1039509
                                                                 126
## SRR1039512 GSM1275866 N052611
                                  untrt
                                          untrt SRR1039512
                                                                 126
## SRR1039513 GSM1275867 N052611
                                  trt untrt SRR1039513
                                                                  87
## SRR1039516 GSM1275870 N080611 untrt untrt SRR1039516
                                                                 120
                                   trt
## SRR1039517 GSM1275871 N080611
                                          untrt SRR1039517
                                                                 126
                                 untrt
## SRR1039520 GSM1275874 N061011
                                           untrt SRR1039520
                                                                 101
## SRR1039521 GSM1275875 N061011
                                           untrt SRR1039521
                                   trt
                                                                  98
##
             Experiment
                                   BioSample
                          Sample
##
               <factor> <factor>
                                     <factor>
## SRR1039508 SRX384345 SRS508568 SAMN02422669
## SRR1039509 SRX384346 SRS508567 SAMN02422675
## SRR1039512 SRX384349 SRS508571 SAMN02422678
## SRR1039513 SRX384350 SRS508572 SAMN02422670
## SRR1039516 SRX384353 SRS508575 SAMN02422682
## SRR1039517 SRX384354 SRS508576 SAMN02422673
## SRR1039520 SRX384357 SRS508579 SAMN02422683
## SRR1039521 SRX384358 SRS508580 SAMN02422677
```

At this point, we have counted the reads which overlap the genes in the gene model we specified. This is a branching point where we could use a variety of Bioconductor packages for exploration and differential expression of the counts, including edgeR, BaySeq, DSS and limma. We will continue, using DESeq2. The SummarizedExperiment object is all we need to start our analysis. In the following section we will show how to use it to create the data object used by DESeq2.

The *DESeqDataSet*, column metadata, and the design formula

Bioconductor software packages often define and use a custom class for their data object, which makes sure that all the needed data slots are consistently provided and fulfill the requirements. In addition, Bioconductor has general data classes (such as the SummarizedExperiment) that can be used to move data between packages. In DESeq2, the custom class is called DESeqDataSet. It is built on top of the SummarizedExperiment class (in technical terms, DESeqDataSet is a subclass), and it is easy to convert SummarizedExperiment instances into DESeqDataSet and vice versa. One of the main differences is that the assay slot is instead accessed using the count accessor, and the class enforces that the values in this matrix are non-negative integers.

A second difference is that the DESeqDataSet has an associated $design\ formula$. The experimental design is specified at the beginning of the analysis, as it will inform many of the DESeq2 functions how to treat the samples in the analysis (one exception is the size factor estimation, i.e., the adjustment for differing library sizes, which does not depend on the design formula). The design formula tells which variables in the column metadata table (colData) specify the experimental design and how these factors should be used in the analysis.

The simplest design formula for differential expression would be ~ condition, where condition is a column in colData(dds) which specifies which of two (or more groups) the samples belong to. For the airway experiment, we will specify ~ cell + dex, which means that we want to test for the effect of dexamethasone (the last factor), controlling for the effect of different cell line (the first factor).

You can use R's formula notation to express any experimental design that can be described within an ANOVA-like framework. Note that DESeq2 uses the same formula notation as, for instance, the lm function of base R. If the question of interest is whether a fold change due to treatment is different across groups, interaction terms can be included using models such as ~ group + treatment + group:treatment. See the manual page for ?results for examples of extracting contrasts from more complex designs such as these.

In the following sections, we will demonstrate the construction of the DESeq-DataSet from two starting points:

- from a SummarizedExperiment object created by, e.g., summarizeOverlaps in the above example
- more generally, from a count matrix and a column metadata table which have been loaded into R

For a full example of using the HTSeq Python package for read counting, please see the pasilla vignette. For an example of generating the DESeqDataSet from files produced by htseq-count, please see the DESeq2 vignette.

Starting from SummarizedExperiment

We now use R's data command to load a prepared SummarizedExperiment that was generated from the publicly available sequencing data files associated with the Himes et al. paper, described above. The steps we used to produce this object were equivalent to those you worked through in the previous sections, except that we used all the reads and all the genes. For more details on the exact steps used to create this object type browseVignettes("airway") into your R session.

```
data("airway")
se <- airway</pre>
```

colData(se)

We can quickly check the millions of fragments which uniquely aligned to the genes (the second argument of *round* tells how many decimal points to keep).

```
round( colSums(assay(se)) / 1e6, 1 )

## SRR1039508 SRR1039509 SRR1039512 SRR1039513 SRR1039516 SRR1039517

## 20.6 18.8 25.3 15.2 24.4 30.8

## SRR1039520 SRR1039521

## 19.1 21.2
```

Supposing we have constructed a *SummarizedExperiment* using one of the methods described in the previous section, we now need to make sure that the object contains all the necessary information about the samples, i.e., a table with metadata on the count matrix's columns stored in the colData slot:

```
## DataFrame with 8 rows and 9 columns
## SampleName cell dex albut Run avgLength
## <factor> <fa
```

```
## SRR1039509 GSM1275863
                            N61311
                                                untrt SRR1039509
                                                                        126
                                         trt
## SRR1039512 GSM1275866
                           N052611
                                                untrt SRR1039512
                                                                        126
                                      untrt
## SRR1039513 GSM1275867
                           N052611
                                                untrt SRR1039513
                                                                         87
                                        trt
## SRR1039516 GSM1275870
                           N080611
                                                untrt SRR1039516
                                                                        120
                                      untrt
## SRR1039517 GSM1275871
                           N080611
                                        trt
                                                untrt SRR1039517
                                                                        126
## SRR1039520 GSM1275874
                           N061011
                                                untrt SRR1039520
                                                                        101
                                      untrt
## SRR1039521 GSM1275875
                           N061011
                                                untrt SRR1039521
                                                                         98
                                        trt
##
              Experiment
                             Sample
                                       BioSample
##
                <factor>
                           <factor>
                                         <factor>
## SRR1039508
               SRX384345 SRS508568 SAMN02422669
## SRR1039509
               SRX384346 SRS508567 SAMN02422675
## SRR1039512
               SRX384349 SRS508571 SAMN02422678
## SRR1039513
               SRX384350 SRS508572 SAMN02422670
## SRR1039516
               SRX384353 SRS508575 SAMN02422682
## SRR1039517
               SRX384354 SRS508576 SAMN02422673
## SRR1039520
               SRX384357 SRS508579 SAMN02422683
## SRR1039521
               SRX384358 SRS508580 SAMN02422677
```

Here we see that this object already contains an informative colData slot — because we have already prepared it for you, as described in the *airway* vignette. However, when you work with your own data, you will have to add the pertinent sample / phenotypic information for the experiment at this stage. We highly recommend keeping this information in a comma-separated value (CSV) or tabseparated value (TSV) file, which can be exported from an Excel spreadsheet, and the assign this to the colData slot, making sure that the rows correspond to the columns of the *SummarizedExperiment*. We made sure of this correspondence by specifying the BAM files using a column of the sample table.

Once we have our fully annotated SummarizedExperiment object, we can construct a DESeqDataSet object from it, which will then form the starting point of the actual DESeq2 package, described in the following sections. We add an appropriate design for the analysis.

```
library("DESeq2")

dds <- DESeqDataSet(se, design = ~ cell + dex)</pre>
```

If we only wanted to perform transformations and exploratory data analysis we could use a ~ 1 for the design, but be careful, because a true experimental design, e.g. ~ condition would need to be added later before differential expression (or else we would only be testing the intercept).

Note that there are two alternative functions, DESeqDataSetFromMatrix and DESeqDataSetFromHTSeq, which allow you to get started in case you have your data not in the form of a SummarizedExperiment object, but either as a simple

matrix of count values or as output files from the htseq-count script from the HTSeq Python package.

Below we demonstrate using DESeqDataSetFromMatrix.

Starting from count matrices

In this section, we will show how to build an *DESeqDataSet* supposing we only have a count matrix and a table of sample information.

Note: if you have prepared a SummarizedExperiment you should skip this section. While the previous section would be used to contruct a DESeqDataSet from a SummarizedExperiment, here we first extract the individual object (count matrix and sample info) from the SummarizedExperiment in order to build it back up into a new object — only for demonstration purposes. In practice, the count matrix would either be read in from a file or perhaps generated by an R function like featureCounts from the Rsubread package.

The information in a *SummarizedExperiment* object can be accessed with accessor functions. For example, to see the actual data, i.e., here, the read counts, we use the *assay* function. (The *head* function restricts the output to the first few lines.)

countdata <- assay(se)
head(countdata)</pre>

##		SRR1039508	SRR1039509	SRR1039512	SRR1039513	SRR1039516
##	ENSG0000000003	679	448	873	408	1138
##	ENSG0000000005	0	0	0	0	0
##	ENSG00000000419	467	515	621	365	587
##	ENSG00000000457	260	211	263	164	245
##	ENSG00000000460	60	55	40	35	78
##	ENSG00000000938	0	0	2	0	1
##		SRR1039517	SRR1039520	SRR1039521		
## ##	ENSG0000000003	SRR1039517 1047	SRR1039520 770	SRR1039521 572		
	ENSG0000000003 ENSG00000000005					
##		1047	770			
##	ENSG0000000005	1047	770	572 0		
## ## ##	ENSG0000000005 ENSG00000000419	1047 0 799	770 0 417	572 0 508		

In this count matrix, each row represents an Ensembl gene, each column a sequenced RNA library, and the values give the raw numbers of sequencing reads that were mapped to the respective gene in each library. We also have metadata on each of the samples (the columns of the count matrix). If you've counted reads with some other software, you need to check at this step that the columns of the count matrix correspond to the rows of the column metadata.

```
coldata <- colData(se)</pre>
```

We now have all the ingredients to prepare our data object in a form that is suitable for analysis, namely:

- countMatrix: a table with the read counts
- coldata: a table with metadata on the count matrix's columns

To now construct the data object from the matrix of counts and the metadata table, we use:

We will continue with the object generated from the SummarizedExperiment section.

Visually exploring the dataset

The rlog transformation

Many common statistical methods for exploratory analysis of multidimensional data, especially methods for clustering and ordination (e.g., principal-component analysis and the like), work best for (at least approximately) homoskedastic data; this means that the variance of an observed quantity (here, the expression strength of a gene) does not depend on the mean. In RNA-Seq data, however, variance grows with the mean. For example, if one performs PCA (principal components analysis) directly on a matrix of normalized read counts, the result typically depends only on the few most strongly expressed genes because they show the largest absolute differences between samples. A simple and often used strategy to avoid this is to take the logarithm of the normalized count values plus a small pseudocount; however, now the genes with low counts tend to dominate

the results because, due to the strong Poisson noise inherent to small count values, they show the strongest relative differences between samples.

As a solution, DESeq2 offers the regularized-logarithm transformation, or rlog for short. For genes with high counts, the rlog transformation differs not much from an ordinary log2 transformation. For genes with lower counts, however, the values are shrunken towards the genes' averages across all samples. Using an empirical Bayesian prior on inter-sample differences in the form of a ridge penalty, this is done such that the rlog-transformed data are approximately homoskedastic. See the help for ?rlog for more information and options. Another transformation, the variance stabilizing transformation, is discussed alongside the rlog in the DESeq2 vignette.

Note: the rlog transformation is provided for applications *other* than differential testing. For differential testing we recommend the *DESeq* function applied to raw counts, as described later in this workflow, which also takes into account the dependence of the variance of counts on the mean value during the dispersion estimation step.

The function *rlog* returns a *SummarizedExperiment* object which contains the rlog-transformed values in its *assay* slot:

```
rld <- rlog(dds)
head(assay(rld))</pre>
```

```
##
                   SRR1039508 SRR1039509 SRR1039512 SRR1039513 SRR1039516
## ENSG00000000003
                                            9.501695
                                                                   9.757212
                     9.399151
                                 9.142478
                                                        9.320796
## ENSG00000000005
                     0.000000
                                 0.000000
                                            0.000000
                                                        0.000000
                                                                   0.000000
## ENSG0000000419
                     8.901283
                                 9.113976
                                            9.032567
                                                        9.063925
                                                                   8.981930
## ENSG0000000457
                     7.949897
                                 7.882371
                                            7.834273
                                                        7.916459
                                                                   7.773819
## ENSG0000000460
                     5.849521
                                 5.882363
                                            5.486937
                                                        5.770334
                                                                   5.940407
## ENSG00000000938
                                                       -1.636072
                    -1.638084
                                -1.637483
                                           -1.558248
                                                                  -1.597606
##
                   SRR1039517 SRR1039520 SRR1039521
## ENSG0000000003
                     9.512183
                                 9.617378
                                            9.315309
## ENSG0000000005
                     0.000000
                                 0.000000
                                            0.00000
## ENSG0000000419
                     9.108531
                                 8.894830
                                            9.052303
## ENSG0000000457
                     7.886645
                                 7.946411
                                            7.908338
## ENSG0000000460
                     5.663847
                                 6.107733
                                            5.907824
## ENSG00000000938
                    -1.639362
                                -1.637608
                                           -1.637724
```

To show the effect of the transformation, we plot the first sample against the second, first simply using the log2 function (after adding 1, to avoid taking the log of zero), and then using the rlog-transformed values. For the log2 method, we need estimate size factors to account for sequencing depth (this is done automatically for the rlog method).

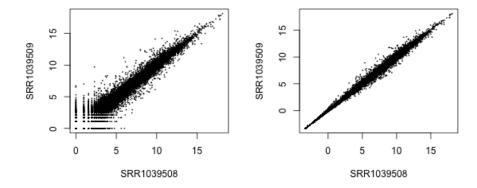


Figure 2: plot of chunk rldplot

Note that, in order to make it easier to see where several points are plotted on top of each other, we set the plotting color to a semi-transparent black and changed the points to solid circles (pch=16) with reduced size (cex=0.3).

We can see how genes with low counts seem to be excessively variable on the ordinary logarithmic scale, while the rlog transform compresses differences for genes for which the data cannot provide good information anyway.

Sample distances

A useful first step in an RNA-Seq analysis is often to assess overall similarity between samples: Which samples are similar to each other, which are different? Does this fit to the expectation from the experiment's design?

We use the R function *dist* to calculate the Euclidean distance between samples. To avoid that the distance measure is dominated by a few highly variable genes, and have a roughly equal contribution from all genes, we use it on the rlog-transformed data:

```
sampleDists <- dist( t( assay(rld) ) )
sampleDists</pre>
```

```
##
              SRR1039508 SRR1039509 SRR1039512 SRR1039513 SRR1039516
## SRR1039509
                40.89060
## SRR1039512
                37.35231
                           50.07638
## SRR1039513
                55.74569
                           41.49280
                                       43.61052
## SRR1039516
                41.98797
                           53.58929
                                       40.99513
                                                  57.10447
## SRR1039517
                57.69438
                           47.59326
                                      53.52310
                                                  46.13742
                                                              42.10583
## SRR1039520
                37.06633
                           51.80994
                                       34.86653
                                                  52.54968
                                                              43.21786
## SRR1039521
                56.04254
                           41.46514
                                       51.90045
                                                  34.82975
                                                              58.40428
              SRR1039517 SRR1039520
## SRR1039509
## SRR1039512
## SRR1039513
## SRR1039516
## SRR1039517
## SRR1039520
                57.13688
## SRR1039521
                47.90244
                            44.78171
```

Note the use of the function t to transpose the data matrix. We need this because dist calculates distances between data rows and our samples constitute the columns.

We visualize the distances in a heatmap, using the function *pheatmap* from the *pheatmap* package.

```
library("pheatmap")
library("RColorBrewer")
```

In order to plot the sample distance matrix with the rows/columns arranged by those distances in the matrix, we manually provide the sampleDists to the clustering_distance argument of the *pheatmap* function. Otherwise the *pheatmap* function would assume that the matrix contains the data values themselves, and would calculate distances between the rows/columns of the distance matrix, which is not desired.

Note that we have changed the row names of the distance matrix to contain treatment type and patient number instead of sample ID, so that we have all this information in view when looking at the heatmap.

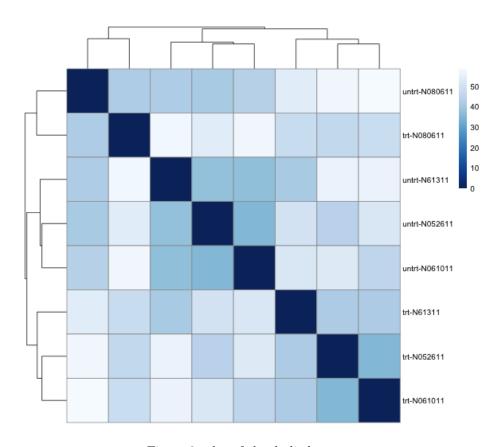


Figure 3: plot of chunk distheatmap

Another option for calculating sample distances is to use the Poisson Distance, implemented in the CRAN package PoiClaClu. Similar to the transformations offered in DESeq2, this measure of dissimilarity also takes the variance structure of counts into consideration when calculating the distances between samples. The PoissonDistance function takes the original count matrix (not normalized) with samples as rows instead of columns, so we need to transpose the counts in dds.

PCA plot

Another way to visualize sample-to-sample distances is a principal-components analysis (PCA). In this ordination method, the data points (i.e., here, the samples) are projected onto the 2D plane such that they spread out in the two directions which explain most of the differences in the data. The x-axis is the direction (or principal component) which separates the data points the most. The amount of the total variance which is contained in the direction is printed in the axis label.

```
plotPCA(rld, intgroup = c("dex", "cell"))
```

Here, we have used the function plotPCA which comes with DESeq2. The two terms specified by intgroup are the interesting groups for labeling the samples; they tell the function to use them to choose colors. We can also build the PCA plot from scratch using ggplot2. This is done by asking the plotPCA function to return the data used for plotting rather than building the plot. See the ggplot2 documentation for more details on using ggplot.

```
(data <- plotPCA(rld, intgroup = c( "dex", "cell"), returnData=TRUE))</pre>
```

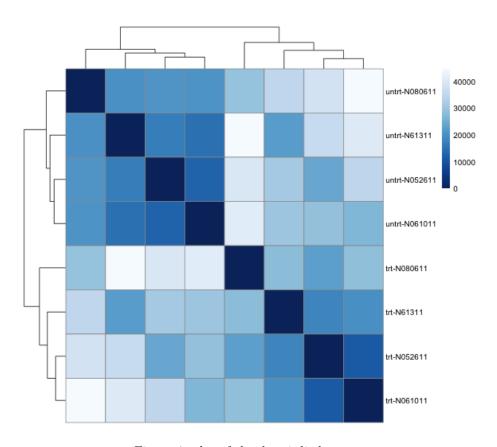


Figure 4: plot of chunk poisdistheatmap

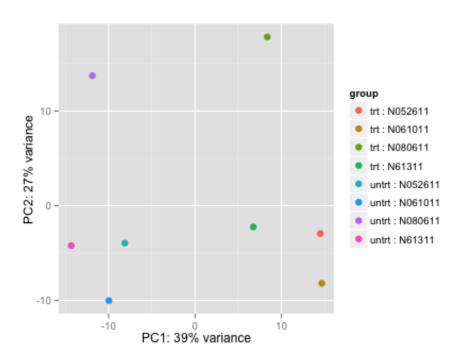


Figure 5: plot of chunk plotpca

```
##
                      PC1
                                 PC2
                                                                cell
                                                group
                                                        dex
                                                                           name
                           -4.208796
## SRR1039508 -14.331359
                                                             N61311 SRR1039508
                                      untrt: N61311 untrt
## SRR1039509
                6.754169
                           -2.245244
                                                             N61311 SRR1039509
                                         trt: N61311
## SRR1039512
               -8.130393
                           -3.952904
                                     untrt: N052611 untrt N052611 SRR1039512
## SRR1039513
               14.505648
                           -2.941862
                                        trt
                                              N052611
                                                        trt N052611 SRR1039513
## SRR1039516
              -11.891410
                           13.735002 untrt
                                           : N080611 untrt N080611 SRR1039516
## SRR1039517
                8.373975
                           17.823844
                                           : N080611
                                                        trt N080611 SRR1039517
                                        trt
## SRR1039520
                          -10.014674 untrt
                                              N061011 untrt N061011 SRR1039520
               -9.965898
                                           :
               14.685269
                                                        trt N061011 SRR1039521
## SRR1039521
                           -8.195366
                                        trt: N061011
percentVar <- round(100 * attr(data, "percentVar"))</pre>
```

We can then use this data to build up the plot, specifying that the color of the points should reflect dexamethasone treatment and the shape should reflect the cell line.

```
library("ggplot2")

qplot(PC1, PC2, color=dex, shape=cell, data=data) +
    xlab(paste0("PC1: ",percentVar[1],"% variance")) +
    ylab(paste0("PC2: ",percentVar[2],"% variance"))
```

From both visualizations, we see that the differences between cells are considerable, though not stronger than the differences due to treatment with dexamethasone. This shows why it will be important to account for this in differential testing by using a paired design ("paired", because each dex treated sample is paired with one untreated sample from the *same* cell line). We are already set up for this by using the design formula ~ cell + dex when setting up the data object in the beginning.

MDS plot

Another plot, very similar to the PCA plot, can be made using the $multidimensional\ scaling\ (MDS)$ function in base R. This is useful when we don't have the original data, but only a matrix of distances. Here we have the MDS plot for the distances calculated from the rlog transformed counts:

```
mds <- data.frame(cmdscale(sampleDistMatrix))
mds <- cbind(mds, as.data.frame(colData(rld)))
qplot(X1,X2,color=dex,shape=cell,data=mds)
And here from the PoissonDistance:
mds <- data.frame(cmdscale(samplePoisDistMatrix))
mds <- cbind(mds, as.data.frame(colData(dds)))
qplot(X1,X2,color=dex,shape=cell,data=mds)</pre>
```

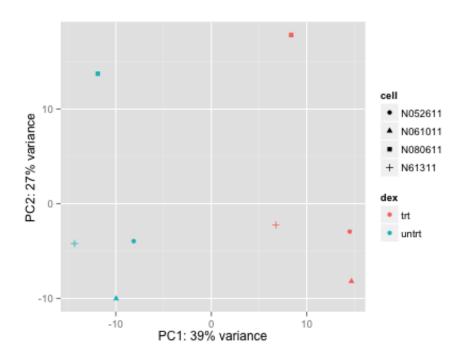


Figure 6: plot of chunk ggplotpca

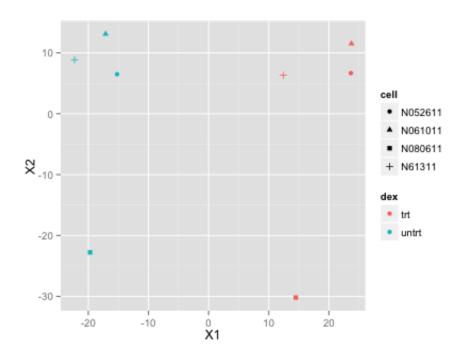


Figure 7: plot of chunk mdsrlog

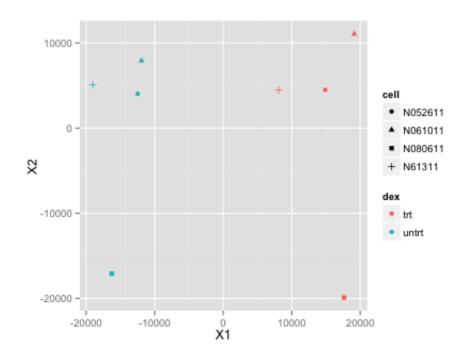


Figure 8: plot of chunk mdspois

Differential expression analysis

It will be convenient to make sure that untrt is the first level in the dex factor, so that the default log2 fold changes are calculated as treated over untreated (by default R will chose the first alphabetical level, remember: computers don't know what to do unless you tell them). The function relevel achieves this:

```
dds$dex <- relevel(dds$dex, "untrt")</pre>
```

In addition, if you have at any point subset the columns of the *DESeqDataSet* you should similarly call *droplevels* on the factors if the subsetting has resulted in some levels having 0 samples.

Running the pipeline

Finally, we are ready to run the differential expression pipeline. With the data object prepared, the DESeq2 analysis can now be run with a single call to the function DESeq:

```
dds <- DESeq(dds)
```

This function will print out a message for the various steps it performs. These are described in more detail in the manual page for *DESeq*, which can be accessed by typing ?DESeq. Briefly these are: the estimation of size factors (which control for differences in the library size of the sequencing experiments), the estimation of dispersion for each gene, and fitting a generalized linear model.

A *DESeqDataSet* is returned which contains all the fitted information within it, and the following section describes how to extract out results tables of interest from this object.

Building the results table

Calling results without any arguments will extract the estimated log2 fold changes and p values for the last variable in the design formula. If there are more than 2 levels for this variable, results will extract the results table for a comparison of the last level over the first level.

```
(res <- results(dds))
## log2 fold change (MAP): dex trt vs untrt
## Wald test p-value: dex trt vs untrt
## DataFrame with 64102 rows and 6 columns</pre>
```

```
##
                     baseMean log2FoldChange
                                                    lfcSE
                                                                 stat
##
                    <numeric>
                                    <numeric>
                                                            <numeric>
                                                <numeric>
## ENSG0000000003 708.60217
                                  -0.37424998 0.09873107
                                                          -3.7906000
                      0.00000
## ENSG0000000005
                                           NA
                                                       NA
                                                                   NA
  ENSG00000000419 520.29790
                                   0.20215551 0.10929899
                                                            1.8495642
   ENSG00000000457 237.16304
                                   0.03624826 0.13684258
                                                            0.2648902
## ENSG0000000460
                     57.93263
                                  -0.08523371 0.24654402
                                                          -0.3457140
##
                                           . . .
                                                       . . .
                                                                  . . .
## LRG 94
                            0
                                           NA
                                                       NA
                                                                   NA
## LRG 96
                            0
                                           NA
                                                       NA
                                                                   NA
## LRG 97
                            0
                                           NA
                                                       NA
                                                                   NA
  LRG_98
                            0
                                           NA
                                                       NA
                                                                   NA
## LRG_99
                             0
                                           NA
                                                       NA
                                                                   NA
##
                          pvalue
                                         padj
##
                       <numeric>
                                    <numeric>
## ENSG0000000000 0.0001502838 0.001217611
## ENSG0000000005
                               NA
   ENSG00000000419 0.0643763851 0.188306353
  ENSG00000000457 0.7910940556 0.907203245
   ENSG00000000460 0.7295576905 0.874422374
##
## LRG_94
                               NA
                                           NA
## LRG_96
                               NA
                                           NA
## LRG_97
                               NA
                                           NA
## LRG_98
                               NA
                                           NA
## LRG 99
                               NA
                                           NA
```

As **res** is a *DataFrame* object, it carries metadata with information on the meaning of the columns:

mcols(res, use.names=TRUE)

```
## DataFrame with 6 rows and 2 columns
##
                           type
                                                                description
##
                                                                <character>
                    <character>
## baseMean
                   intermediate mean of normalized counts for all samples
                                 log2 fold change (MAP): dex trt vs untrt
## log2FoldChange
                        results
## lfcSE
                        results
                                          standard error: dex trt vs untrt
## stat
                        results
                                          Wald statistic: dex trt vs untrt
## pvalue
                        results
                                      Wald test p-value: dex trt vs untrt
## padj
                        results
                                                      BH adjusted p-values
```

The first column, baseMean, is a just the average of the normalized count values, dividing by size factors, taken over all samples. The remaining four columns refer to a specific contrast, namely the comparison of the trt level over the

untrt level for the factor variable dex. See the help page for *results* (by typing ?results) for information on how to obtain other contrasts.

The column log2FoldChange is the effect size estimate. It tells us how much the gene's expression seems to have changed due to treatment with dexamethasone in comparison to untreated samples. This value is reported on a logarithmic scale to base 2: for example, a log2 fold change of 1.5 means that the gene's expression is increased by a multiplicative factor of 2^1.5 or approximately 2.82.

Of course, this estimate has an uncertainty associated with it, which is available in the column 1fcSE, the standard error estimate for the log2 fold change estimate. We can also express the uncertainty of a particular effect size estimate as the result of a statistical test. The purpose of a test for differential expression is to test whether the data provides sufficient evidence to conclude that this value is really different from zero. DESeq2 performs for each gene a hypothesis test to see whether evidence is sufficient to decide against the null hypothesis that there is no effect of the treatment on the gene and that the observed difference between treatment and control was merely caused by experimental variability (i.e., the type of variability that you can just as well expect between different samples in the same treatment group). As usual in statistics, the result of this test is reported as a p value, and it is found in the column pvalue. (Remember that a p value indicates the probability that a fold change as strong as the observed one, or even stronger, would be seen under the situation described by the null hypothesis.)

We can also summarize the results with the following line of code, which reports some additional information, which will be covered in later sections.

summary(res)

```
##
## out of 33469 with nonzero total read count
## adjusted p-value < 0.1
## LFC > 0 (up) : 2646, 7.9%
## LFC < 0 (down) : 2251, 6.7%
## outliers [1] : 0, 0%
## low counts [2] : 15928, 48%
## (mean count < 5.3)
## [1] see 'cooksCutoff' argument of ?results
## [2] see 'independentFiltering' argument of ?results</pre>
```

Note that there are many genes with differential expression due to dexamethasone treatment at the FDR level of 10%. This makes sense, as the smooth muscle cells of the airway are known to react to glucocorticoid steroids. However, there are two ways to be more strict about which set of genes are considered significant:

• lower the false discovery rate threshold (the threshold on padj in the results table)

• raise the log2 fold change threshold from 0 using the lfcThreshold argument of results. See the DESeq2 vignette for a demonstration of the use of this argument.

If we lower the false discovery rate threshold, we should also tell this value to results(), so that the function will use an alternative threshold for the optimal independent filtering step:

```
res.05 <- results(dds, alpha=.05)
table(res.05$padj < .05)

##
## FALSE TRUE
## 11864 4084</pre>
```

Sometimes a subset of the p values in res will be NA ("not available"). This is DESeq's way of reporting that all counts for this gene were zero, and hence not test was applied. In addition, p values can be assigned NA if the gene was excluded from analysis because it contained an extreme count outlier. For more information, see the outlier detection section of the vignette.

Other comparisons

In general, the results for a comparison of any two levels of a variable can be extracted using the contrast argument to results. The user should specify three values: the name of the variable, the name of the level in the numerator, and the name of the level in the denominator. Here we extract results for the log2 of the fold change of one cell line over another:

```
(res.cell <- results(dds, contrast=c("cell", "N061011", "N61311")))</pre>
## log2 fold change (MAP): cell N061011 vs N61311
## Wald test p-value: cell N061011 vs N61311
## DataFrame with 64102 rows and 6 columns
##
                    baseMean log2FoldChange
                                                 lfcSE
                                                              stat
                                                                        pvalue
##
                   <numeric>
                                  <numeric> <numeric>
                                                         <numeric>
                                                                    <numeric>
## ENSG0000000003 708.60217
                                  0.29055775 0.1360076
                                                        2.13633388 0.03265221
## ENSG0000000005
                     0.00000
                                          NΑ
                                                    NA
                                                                NA
## ENSG0000000419 520.29790
                                 -0.05069642 0.1491735 -0.33984871 0.73397047
## ENSG0000000457 237.16304
                                 0.01474463 0.1816382 0.08117584 0.93530211
## ENSG0000000460 57.93263
                                 0.20247610 0.2807312 0.72124547 0.47075850
## ...
## LRG 94
                                          NA
                                                    NA
                                                                NA
                                                                            NA
```

```
## LRG_96
                             0
                                            NA
                                                       NA
                                                                    NA
                                                                                NA
## LRG_97
                             0
                                                       NA
                                                                    NA
                                                                                NA
                                            NA
## LRG 98
                             0
                                            NA
                                                       NA
                                                                    NA
                                                                                NA
                             0
## LRG_99
                                            NA
                                                       NA
                                                                    NA
                                                                                NA
##
                          padj
##
                    <numeric>
## ENSG0000000000 0.1961655
## ENSG0000000005
## ENSG00000000419 0.9220321
## ENSG0000000457 0.9862824
## ENSG00000000460 0.8068941
##
## LRG_94
                            NΑ
## LRG 96
                            NA
## LRG_97
                            NA
## LRG 98
                            NA
## LRG_99
                            NA
```

If results for an interaction term are desired, the name argument of results should be used. Please see the help for the results function for more details.

Multiple testing

Novices in high-throughput biology often assume that thresholding these p values at a low value, say 0.05, as is often done in other settings, would be appropriate – but it is not. We briefly explain why:

There are 5722 genes with a p value below 0.05 among the 33469 genes, for which the test succeeded in reporting a p value:

```
sum(res$pvalue < 0.05, na.rm=TRUE)
## [1] 5722
sum(!is.na(res$pvalue))
## [1] 33469</pre>
```

Now, assume for a moment that the null hypothesis is true for all genes, i.e., no gene is affected by the treatment with dexamethasone. Then, by the definition of p value, we expect up to 5% of the genes to have a p value below 0.05. This amounts to 1673 genes. If we just considered the list of genes with a p value below 0.05 as differentially expressed, this list should therefore be expected to contain up to 1673 / 5722 = 29% false positives.

DESeq2 uses the Benjamini-Hochberg (BH) adjustment as described in the base R p.adjust function; in brief, this method calculates for each gene an adjusted p value which answers the following question: if one called significant all genes with a p value less than or equal to this gene's p value threshold, what would be the fraction of false positives (the $false\ discovery\ rate$, FDR) among them (in the sense of the calculation outlined above)? These values, called the BH-adjusted p values, are given in the column padj of the res object.

Hence, if we consider a fraction of 10% false positives acceptable, we can consider all genes with an adjusted p value below 10% = 0.1 as significant. How many such genes are there?

```
sum(res$padj < 0.1, na.rm=TRUE)
## [1] 4897</pre>
```

We subset the results table to these genes and then sort it by the log2 fold change estimate to get the significant genes with the strongest down-regulation.

```
resSig <- subset(res, padj < 0.1)</pre>
head(resSig[ order( resSig$log2FoldChange ), ])
## log2 fold change (MAP): dex trt vs untrt
## Wald test p-value: dex trt vs untrt
## DataFrame with 6 rows and 6 columns
##
                    baseMean log2FoldChange
                                                 1fcSE
                                                             stat
                                                                        pvalue
##
                   <numeric>
                                  <numeric> <numeric>
                                                        <numeric>
                                                                      <numeric>
## ENSG00000162692 508.17023
                                  -3.453076 0.1764098 -19.574173 2.567708e-85
## ENSG00000146006 46.80760
                                  -2.858867 0.3369900 -8.483538 2.184477e-17
## ENSG00000105989 333.21469
                                  -2.851447 0.1754994 -16.247620 2.322038e-59
## ENSG00000214814 243.27698
                                  -2.760339 0.2225604 -12.402652 2.528167e-35
## ENSG00000267339 26.23357
                                  -2.746830 0.3515707 -7.813022 5.583283e-15
                                   -2.646722 0.1981697 -13.355834 1.095240e-40
## ENSG0000013293 244.49733
##
##
                      <numeric>
## ENSG00000162692 3.464629e-82
## ENSG00000146006 1.067351e-15
## ENSG00000105989 1.197967e-56
## ENSG00000214814 4.106165e-33
## ENSG00000267339 2.171538e-13
## ENSG00000013293 2.233907e-38
```

...and with the strongest upregulation. The *order* function gives the indices in increasing order, so a simple way to ask for decreasing order is to add a - sign. Alternatively, you can use the argument decreasing=TRUE.

```
head(resSig[ order( -resSig$log2FoldChange ), ])
## log2 fold change (MAP): dex trt vs untrt
## Wald test p-value: dex trt vs untrt
## DataFrame with 6 rows and 6 columns
##
                    baseMean log2FoldChange
                                                lfcSE
                                                           stat
                                                                       pvalue
##
                                                                     <numeric>
                   <numeric>
                                  <numeric> <numeric> <numeric>
## ENSG00000179593 67.24305
                                   4.884730 0.3312025 14.74847
                                                                 3.147214e-49
## ENSG00000109906 385.07103
                                   4.865889 0.3324560
                                                       14.63619
                                                                 1.650703e-48
## ENSG00000152583 997.43977
                                   4.316100 0.1724127
                                                       25.03354 2.637881e-138
## ENSG00000250978 56.31819
                                   4.093661 0.3291519
                                                       12.43699
                                                                1.645805e-35
## ENSG00000163884 561.10717
                                   4.079128 0.2103817
                                                       19.38917
                                                                 9.525995e-84
## ENSG00000168309 159.52692
                                   3.992788 0.2549099
                                                       15.66353
                                                                 2.685734e-55
##
                            padj
##
                       <numeric>
## ENSG00000179593 1.003732e-46
## ENSG00000109906 4.992238e-46
## ENSG00000152583 4.627108e-134
## ENSG00000250978 2.723496e-33
## ENSG00000163884 1.044347e-80
## ENSG00000168309 1.207961e-52
```

Diagnostic plots

A quick way to visualize the counts for a particular gene is to use the plotCounts function, which takes as arguments the DESeqDataSet, a gene name, and the group over which to plot the counts.

```
topGene <- rownames(res)[which.min(res$padj)]
plotCounts(dds, gene=topGene, intgroup=c("dex"))</pre>
```

We can also make more customizable plots using the ggplot function from the ggplot2 package:

```
data <- plotCounts(dds, gene=topGene, intgroup=c("dex","cell"), returnData=TRUE)
ggplot(data, aes(x=dex, y=count, color=cell)) +
    scale_y_log10() +
    geom_point(position=position_jitter(width=.1,height=0))</pre>
```

Here we use a more structural arrangement instead of random jitter, and color by the treatment.

ENSG00000152583

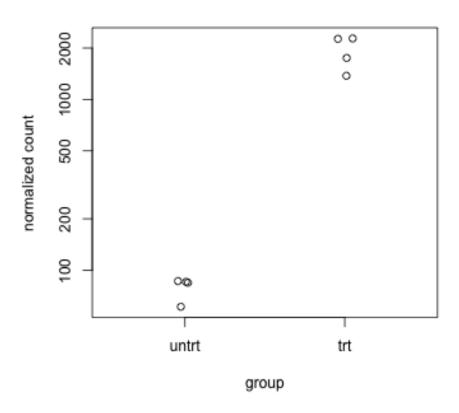


Figure 9: plot of chunk plotcounts

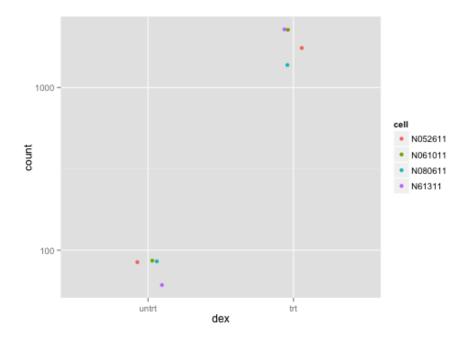


Figure 10: plot of chunk ggplotcountsjitter

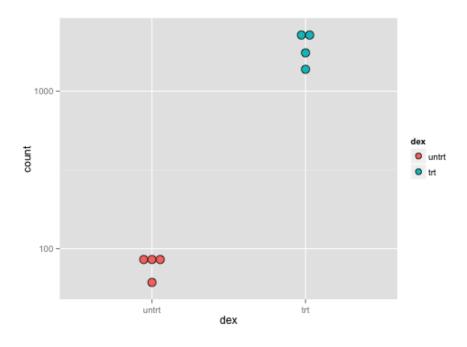


Figure 11: plot of chunk ggplotcountsdot

```
ggplot(data, aes(x=dex, y=count, fill=dex)) +
   scale_y_log10() +
   geom_dotplot(binaxis="y", stackdir="center")
```

Note that the *DESeq* test actually takes into account the cell line effect, so a more detailed plot would also show the cell lines.

```
ggplot(data, aes(x=dex, y=count, color=cell, group=cell)) +
    scale_y_log10() +
    geom_point() + geom_line()
```

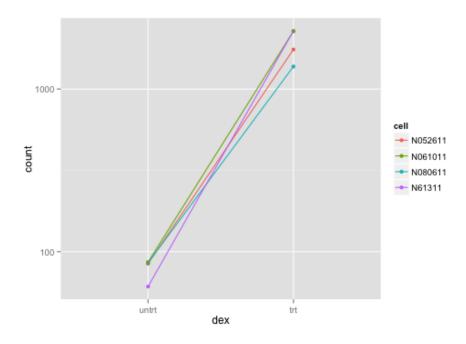


Figure 12: plot of chunk ggplotcountsgroup

An "MA-plot" provides a useful overview for an experiment with a two-group comparison. The log2 fold change for a particular comparison is plotted on the y-axis and the average of the counts normalized by size factor is shown on the x-axis ("M" for minus, because a log ratio is equal to log minus log, and "A" for average).

```
plotMA(res, ylim=c(-5,5))
```

Each gene is represented with a dot. Genes with an adjusted p value below a threshold (here 0.1, the default) are shown in red. The DESeq2 package

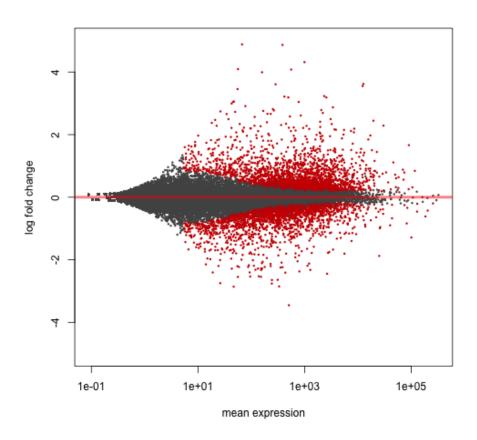


Figure 13: plot of chunk plotma

incorporates a prior on log2 fold changes, resulting in moderated log2 fold changes from genes with low counts and highly variable counts, as can be seen by the narrowing of spread of points on the left side of the plot. This plot demonstrates that only genes with a large average normalized count contain sufficient information to yield a significant call.

We can label individual points on the MA plot as well. Here we use the *with* R function to plot a circle and text for a selected row of the results object. Within the *with* function, only the baseMean and log2FoldChange values for the selected rows of res are used.

```
plotMA(res, ylim=c(-5,5))
with(res[topGene, ], {
   points(baseMean, log2FoldChange, col="dodgerblue", cex=2, lwd=2)
   text(baseMean, log2FoldChange, topGene, pos=2, col="dodgerblue")
})
```

Whether a gene is called significant depends not only on its LFC but also on its within-group variability, which DESeq2 quantifies as the dispersion. For strongly expressed genes, the dispersion can be understood as a squared coefficient of variation: a dispersion value of 0.01 means that the gene's expression tends to differ by typically sqrt(0.01) = 10% between samples of the same treatment group. For weak genes, the Poisson noise is an additional source of noise.

The function plotDispEsts visualizes DESeg2's dispersion estimates:

plotDispEsts(dds)

The black points are the dispersion estimates for each gene as obtained by considering the information from each gene separately. Unless one has many samples, these values fluctuate strongly around their true values. Therefore, we fit the red trend line, which shows the dispersions' dependence on the mean, and then shrink each gene's estimate towards the red line to obtain the final estimates (blue points) that are then used in the hypothesis test. The blue circles above the main "cloud" of points are genes which have high gene-wise dispersion estimates which are labelled as dispersion outliers. These estimates are therefore not shrunk toward the fitted trend line.

Another useful diagnostic plot is the histogram of the p values.

```
hist(res$pvalue, breaks=20, col="grey50", border="white")
```

This plot becomes a bit smoother by excluding genes with very small counts:

```
hist(res$pvalue[res$baseMean > 1], breaks=20, col="grey50", border="white")
```

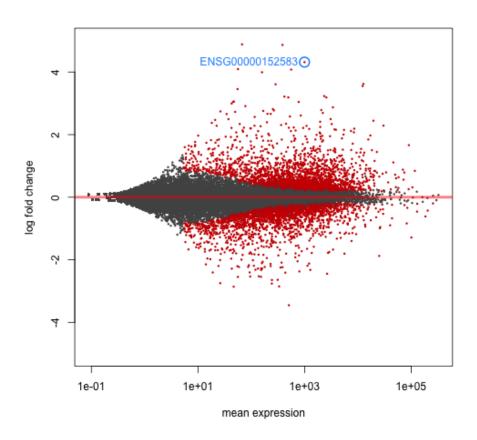


Figure 14: plot of chunk plotma2

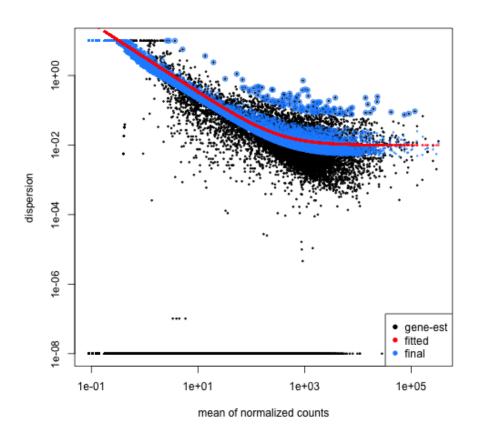


Figure 15: plot of chunk plotdispests

Histogram of res\$pvalue

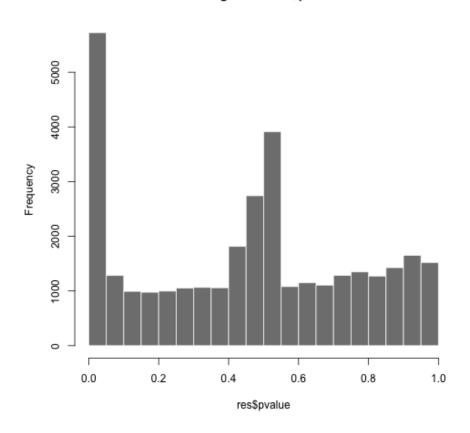


Figure 16: plot of chunk histpvalue

Histogram of res\$pvalue[res\$baseMean > 1]

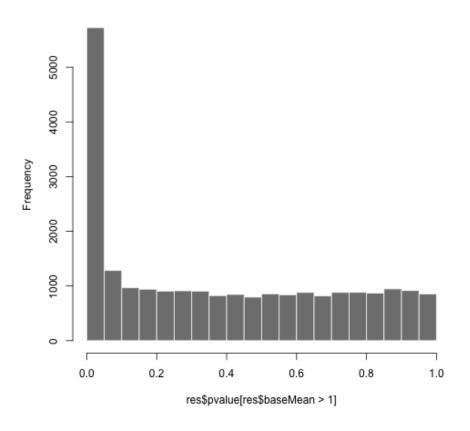


Figure 17: plot of chunk histpvalue2

Gene clustering

In the sample distance heatmap made previously, the dendrogram at the side shows us a hierarchical clustering of the samples. Such a clustering can also be performed for the genes. Since the clustering is only relevant for genes that actually carry signal, one usually carries it out only for a subset of most highly variable genes. Here, for demonstration, let us select the 35 genes with the highest variance across samples. We will work with the *rlog* transformed counts:

```
library("genefilter")
topVarGenes <- head(order(-rowVars(assay(rld))),20)</pre>
```

The heatmap becomes more interesting if we do not look at absolute expression strength but rather at the amount by which each gene deviates in a specific sample from the gene's average across all samples. Hence, we center each genes' values across samples, and plot a heatmap. We provide the column side colors to help identify the treated samples (in blue) from the untreated samples (in grey).

```
mat <- assay(rld)[ topVarGenes, ]
mat <- mat - rowMeans(mat)
df <- as.data.frame(colData(rld)[,c("cell","dex")])
pheatmap(mat, annotation_col=df)</pre>
```

We can now see blocks of genes which covary across patients. Note that a set of genes at the top of the heatmap are separating the N061011 cell line from the others. At the bottom of the heatmap, we see a set of genes for which the treated samples have higher gene expression.

Independent filtering

The MA plot highlights an important property of RNA-Seq data. For weakly expressed genes, we have no chance of seeing differential expression, because the low read counts suffer from so high Poisson noise that any biological effect is drowned in the uncertainties from the read counting. We can also show this by examining the ratio of small p values (say, less than, 0.01) for genes binned by mean normalized count:

```
# create bins using the quantile function
qs <- c(0, quantile(res$baseMean[res$baseMean > 0], 0:7/7))
# cut the genes into the bins
bins <- cut(res$baseMean, qs)
# rename the levels of the bins using the middle point</pre>
```

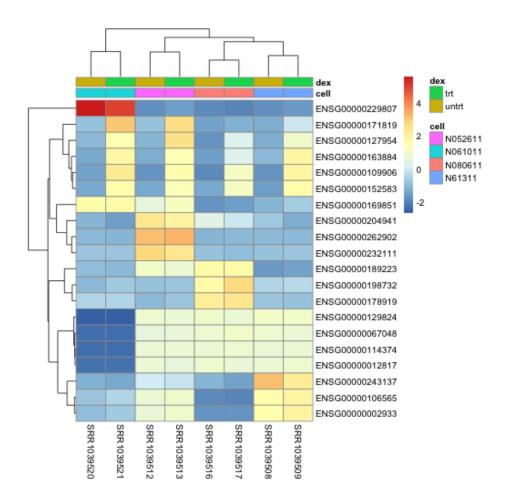


Figure 18: plot of chunk genescluster

```
levels(bins) <- paste0("~",round(.5*qs[-1] + .5*qs[-length(qs)]))
# calculate the ratio of $p$ values less than .01 for each bin
ratios <- tapply(res$pvalue, bins, function(p) mean(p < .01, na.rm=TRUE))
# plot these ratios
barplot(ratios, xlab="mean normalized count", ylab="ratio of small p values")</pre>
```

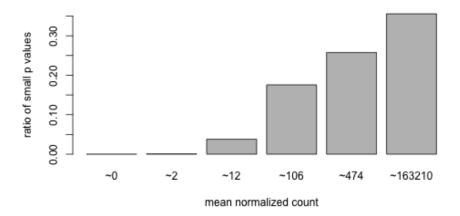


Figure 19: plot of chunk sensitivityovermean

At first sight, there may seem to be little benefit in filtering out these genes. After all, the test found them to be non-significant anyway. However, these genes have an influence on the multiple testing adjustment, whose performance improves if such genes are removed. By removing the weakly-expressed genes from the input to the FDR procedure, we can find more genes to be significant among those which we keep, and so improved the power of our test. This approach is known as *independent filtering*.

The DESeq2 software automatically performs independent filtering which maximizes the number of genes which will have adjusted p value less than a critical value (by default, alpha is set to 0.1). This automatic independent filtering is performed by, and can be controlled by, the results function. We can observe how the number of rejections changes for various cutoffs based on mean normalized count. The following optimal threshold and table of possible values is stored as an attribute of the results object.

```
attr(res,"filterThreshold")
## 72.63637%
## 5.339835
```

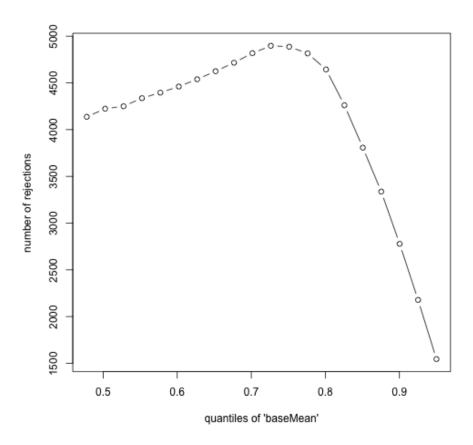


Figure 20: plot of chunk filterthreshold

The term independent highlights an important caveat. Such filtering is permissible only if the filter criterion is independent of the actual test statistic. Otherwise, the filtering would invalidate the test and consequently the assumptions of the BH procedure. This is why we filtered on the average over all samples: this filter is blind to the assignment of samples to the treatment and control group and hence independent. The independent filtering software used inside DESeq2 comes from the genefilter package, which contains a reference to a paper describing the statistical foundation for independent filtering.

Annotation packages to add gene names

Our result table only uses Ensembl gene IDs, but gene names may be more informative. Bioconductor's annotation packages help with mapping various ID schemes to each other.

We load the *AnnotationDbi* package and the annotation package *org.Hs.eg.db*:

```
library("AnnotationDbi")
library("org.Hs.eg.db")
```

This is the organism annotation package ("org") for *Homo sapiens* ("Hs"), organized as an *AnnotationDbi* database package ("db"), using Entrez Gene IDs ("eg") as primary key. To get a list of all available key types, use:

columns(org.Hs.eg.db)

```
[1] "ENTREZID"
                       "PFAM"
                                       "IPI"
                                                       "PROSITE"
    [5] "ACCNUM"
                                       "CHR"
##
                       "ALIAS"
                                                       "CHRLOC"
   [9] "CHRLOCEND"
                       "ENZYME"
                                       "MAP"
                                                       "PATH"
## [13] "PMID"
                       "REFSEQ"
                                       "SYMBOL"
                                                       "UNIGENE"
## [17] "ENSEMBL"
                       "ENSEMBLPROT"
                                       "ENSEMBLTRANS" "GENENAME"
## [21] "UNIPROT"
                       "GO"
                                       "EVIDENCE"
                                                       "ONTOLOGY"
## [25] "GOALL"
                       "EVIDENCEALL"
                                       "ONTOLOGYALL" "OMIM"
## [29] "UCSCKG"
```

We can use the mapIds function to add invidual columns to our results table. To add the gene symbol and Entrez ID, we call mapIds twice:

Now the results have the desired external gene ids:

```
resOrdered <- res[order(res$padj),]
head(resOrdered)</pre>
```

```
## log2 fold change (MAP): dex trt vs untrt
## Wald test p-value: dex trt vs untrt
## DataFrame with 6 rows and 8 columns
##
                     baseMean log2FoldChange
                                                  lfcSE
                                                             stat
##
                    <numeric>
                                   <numeric> <numeric> <numeric>
## ENSG0000152583
                     997.4398
                                    4.316100 0.1724127
                                                         25.03354
                     495.0929
## ENSG0000165995
                                    3.188698 0.1277441
                                                        24.96160
                                                         24.13054
## ENSG00000101347 12703.3871
                                    3.618232 0.1499441
## ENSG0000120129
                   3409.0294
                                    2.871326 0.1190334
                                                         24.12201
## ENSG00000189221 2341.7673
                                    3.230629 0.1373644 23.51868
## ENSG00000211445 12285.6151
                                    3.552999 0.1589971 22.34631
                          pvalue
                                           padj
                                                     symbol
##
                       <numeric>
                                      <numeric> <character> <character>
## ENSG00000152583 2.637881e-138 4.627108e-134
                                                    SPARCL1
                                                                   8404
                                                     CACNB2
## ENSG00000165995 1.597973e-137 1.401503e-133
                                                                    783
## ENSG00000101347 1.195378e-128 6.441180e-125
                                                     SAMHD1
                                                                  25939
                                                      DUSP1
## ENSG00000120129 1.468829e-128 6.441180e-125
                                                                   1843
## ENSG00000189221 2.627083e-122 9.216332e-119
                                                       MAOA
                                                                   4128
## ENSG00000211445 1.311440e-110 3.833996e-107
                                                       GPX3
                                                                   2878
```

Exporting plain text results

You can easily save the results table in a CSV file, which you can then load with a spreadsheet program such as Excel. The call to as.data.frame is necessary to convert the DataFrame object (IRanges package) to a data.frame object which can be processed by write.csv.

```
write.csv(as.data.frame(resOrdered), file="results.csv")
```

ReportingTools output (dynamic HTML documents)

Another more sophisticated package for exporting results from various Bioconductor analysis packages is the *ReportingTools* package. *ReportingTools* will automatically generate dynamic HTML documents, including links to external databases using gene identifiers and boxplots summarizing the normalized counts across groups.

```
library("ReportingTools")
```

The most basic report is just a nicer HTML interface to the results table in R. We convert our results object into a dataframe and pass this to the Reporting Tools functions. Here we will take just the top 1000 genes:

There are three steps: (1) initializing a report, (2) publishing (pushing) the results to the report, and (3) finishing the report. The report will be written in a directory reports created in the current working directory.

We can now open in a web browser the file ./reports/airway_DE_report.html. This gives a readable report with pagination, as well as sortable, filterable numeric columns, and a search function.

We can also use some of the built-in functionality of *ReportingTools* for *DESeq2* objects (there are similar functions for *edgeR* and *limma* objects).

By including the *DESeqDataSet* along with a factor indicating the condition of the samples, the output will include boxplots of normalized counts. Remember, though that our statistical test was not just of the differences across dexamethasone treatment, but the differences of the pairs (taking account of the cell line information).

Additionally, if we change the primary ID of our dataset to be Entrez IDs, then ReportingTools will also include the gene symbol, name and a link to an external database. In order to see what this looks like, let's create a subset of the results table and DESeqDataSet in the same order: the top 50 genes by adjusted p-value. We then add the Entrez ID as the rownames of both objects.

```
resReport <- head(resOrdered, 50)
ddsReport <- dds[ rownames(resReport), ]
rownames(resReport) <- resReport$entrez
rownames(ddsReport) <- rownames(resReport)</pre>
```

Now we can pass these objects to ReportingTools:

Ease of exchanging methods

It is easy, within Bioconductor, to use different statistical methods for differential gene expression. Here we will compare the DESeq2 results with that from two other popular packages, edgeR and limma with the voom transformation.

In order to make the results more comparable across packages we will not use the independent filtering function of DESeq2. We therefore need to set a filter on the mean of normalized counts, to remove the genes from analysis which have very small counts.

```
# careful when filtering if groups are not balanced
filter <- rowMeans(assay(airway)) > 5
airwayFilt <- airway[ filter, ]</pre>
```

Now we call *DESeq* and *results* on the filtered dataset:

```
dds <- DESeqDataSet(airwayFilt, design = ~ cell + dex)
dds <- DESeq(dds)
res <- results(dds, independentFiltering=FALSE)</pre>
```

The steps for the *edgeR* software are similar (estimation of factors to account for library size, estimation of dispersion and testing):

```
library("edgeR")
coldata <- as.data.frame(colData(dds))
design <- model.matrix(~ cell + dex, data=coldata)
dgel <- DGEList(assay(airwayFilt))
dgel <- calcNormFactors(dgel)
dgel <- estimateGLMCommonDisp(dgel,design=design)
dgel <- estimateGLMTrendedDisp(dgel,design=design)
dgel <- estimateGLMTagwiseDisp(dgel,design=design)
dgel <- glmFit(dgel,design=design)
lrt <- glmLRT(edgerfit, coef=5)
tt <- topTags(lrt, n=nrow(dgel), sort.by="none")</pre>
```

The limma+voom pipeline involves the voom function which transforms the data to the log scale and determines the dependence of the variance of log counts over the mean.

```
library("limma")
dgel.voom <- DGEList(assay(airwayFilt))</pre>
dgel.voom <- calcNormFactors(dgel.voom)</pre>
v <- voom(dgel.voom,design,plot=FALSE)</pre>
vfit <- lmFit(v,design)</pre>
vfit <- eBayes(vfit)</pre>
lvtt <- topTable(vfit, coef=5, n=nrow(dgel.voom), sort.by="none")</pre>
We can now compare the results from the three packages, thresholding at a given
FDR value. The three packages are highly consistent in the set of genes with
very low FDR (1\%).
alpha <- 0.01
table("deseq2"=res$padj < alpha, "edger"=tt$table$FDR < alpha, "limma"=lvtt$adj.P.Val < alpha
   , , limma = FALSE
##
##
           edger
## deseq2 FALSE
                    TRUE
##
     FALSE 13788
                     884
##
     TRUE
                35
                     177
##
##
   , , limma = TRUE
##
##
           edger
## deseq2 FALSE
                    TRUE
##
     FALSE
                 0
                     180
     TRUE
                 7
                    2724
##
We can visualize the overlap more easily using a barplot. The following function
will convert the table into a barplot:
bartab <- function(x,y,z,names,skipNone=FALSE,...) {</pre>
  x <- factor(x,c("FALSE","TRUE"))</pre>
  y <- factor(y,c("FALSE","TRUE"))</pre>
  z <- factor(z,c("FALSE","TRUE"))</pre>
  tabs <- as.vector(table(x, y, z))</pre>
  names(tabs) <- c("none",names[1],names[2],paste(names[1:2],collapse="+"),</pre>
                     names[3],paste(names[c(1,3)],collapse="+"),
                     paste(names[2:3],collapse="+"),"all")
  tabs \leftarrow tabs[c(1,2,3,5,4,6,7,8)]
  if (skipNone) {
    tabs <- tabs[-1]
  barplot(tabs, ...)
```

}

Calling that function:

```
par(mar=c(5,8,3,3))
bartab(res$padj < alpha, tt$table$FDR < alpha, lvtt$adj.P.Val < alpha, c("DESeq2","edgeR","]</pre>
```

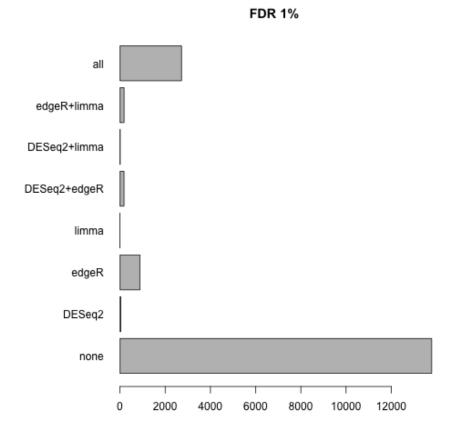


Figure 21: plot of chunk unnamed-chunk-58

We can also test for log fold changes higher than a threshold, and compare these sets across software packages:

```
# testing against a threshold
# DESeq2
lfc <- 1
resLFC <- results(dds, independentFiltering=FALSE, lfcThreshold=lfc)
# edgeR</pre>
```

Session information

As last part of this document, we call the function sessionInfo, which reports the version numbers of R and all the packages used in this session. It is good practice to always keep such a record as it will help to trace down what has happened in case that an R script ceases to work because the functions have been changed in a newer version of a package. The session information should also always be included in any emails to the Bioconductor support site along with all code used in the analysis.

sessionInfo()

```
## R version 3.2.0 (2015-04-16)
## Platform: x86_64-apple-darwin13.4.0 (64-bit)
## Running under: OS X 10.10.3 (Yosemite)
## locale:
## [1] en_US.UTF-8/en_US.UTF-8/en_US.UTF-8/C/en_US.UTF-8/en_US.UTF-8
## attached base packages:
## [1] stats4
                parallel stats
                                     graphics grDevices datasets utils
## [8] methods
                 base
##
## other attached packages:
## [1] edgeR 3.10.2
                                  limma 3.24.10
## [3] ReportingTools_2.8.0
                                  org.Hs.eg.db_3.1.2
   [5] RSQLite_1.0.0
                                  DBI_0.3.1
##
## [7] genefilter_1.50.0
                                  ggplot2_1.0.1
```

LFC > 1: FDR 10%

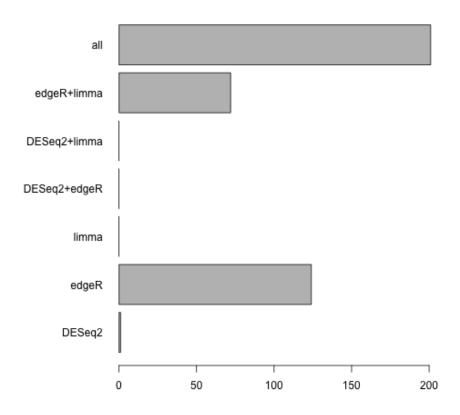


Figure 22: plot of chunk unnamed-chunk-60

```
## [9] PoiClaClu_1.0.2
                                   RColorBrewer_1.1-2
## [11] pheatmap_1.0.2
                                   DESeq2_1.8.1
## [13] RcppArmadillo_0.5.200.1.0 Rcpp_0.11.6
## [15] GenomicAlignments_1.4.1
                                   GenomicFeatures_1.20.1
## [17] AnnotationDbi_1.30.1
                                   Biobase_2.28.0
## [19] Rsamtools_1.20.4
                                   Biostrings_2.36.1
## [21] XVector_0.8.0
                                   airway_0.102.0
## [23] GenomicRanges_1.20.5
                                   GenomeInfoDb_1.4.0
## [25] IRanges 2.2.4
                                   S4Vectors 0.6.0
## [27] BiocGenerics 0.14.0
                                   BiocStyle_1.6.0
## [29] testthat_0.10.0
                                   devtools 1.8.0
## [31] knitr_1.10.5
                                   BiocInstaller_1.18.3
##
## loaded via a namespace (and not attached):
##
    [1] Category_2.34.2
                                  bitops_1.0-6
##
    [3] tools 3.2.0
                                  rpart 4.1-9
##
    [5] Hmisc_3.16-0
                                  colorspace_1.2-6
   [7] nnet_7.3-9
                                  gridExtra_0.9.1
##
   [9] GGally_0.5.0
                                  curl_0.8
## [11] git2r_0.10.1
                                  graph_1.46.0
                                  xm12_0.1.1
## [13] formatR_1.2
## [15] rtracklayer_1.28.4
                                  labeling_0.3
## [17] ggbio_1.16.0
                                  scales_0.2.4
## [19] RBGL_1.44.0
                                  stringr_1.0.0
## [21] digest_0.6.8
                                  foreign_0.8-63
## [23] R.utils_2.1.0
                                  AnnotationForge_1.10.1
## [25] dichromat 2.0-0
                                  BSgenome_1.36.0
## [27] PFAM.db 3.1.2
                                  GOstats 2.34.0
## [29] hwriter_1.3.2
                                  BiocParallel_1.2.3
## [31] R.oo_1.19.0
                                  acepack_1.3-3.3
## [33] VariantAnnotation 1.14.2 RCurl 1.95-4.6
## [35] magrittr_1.5
                                  GO.db 3.1.2
## [37] Formula 1.2-1
                                  futile.logger 1.4.1
## [39] Matrix_1.2-1
                                  munsell_0.4.2
## [41] proto_0.3-10
                                  R.methodsS3_1.7.0
## [43] stringi_0.4-1
                                  MASS_7.3-40
## [45] zlibbioc_1.14.0
                                  plyr_1.8.2
## [47] grid_3.2.0
                                  crayon_1.3.0
## [49] lattice_0.20-31
                                  splines_3.2.0
## [51] annotate_1.46.0
                                  locfit_1.5-9.1
## [53] geneplotter_1.46.0
                                  reshape2_1.4.1
## [55] biomaRt_2.24.0
                                  futile.options_1.0.0
## [57] XML_3.98-1.2
                                  evaluate 0.7
## [59] biovizBase 1.16.0
                                  latticeExtra_0.6-26
## [61] lambda.r 1.1.7
                                  gtable 0.1.2
## [63] reshape_0.8.5
                                  xtable_1.7-4
```

```
## [65] survival_2.38-1 OrganismDbi_1.10.0
## [67] snow_0.3-13 memoise_0.2.1
## [69] rversions_1.0.1 cluster_2.0.1
## [71] GSEABase_1.30.2
```