

# Starcode: sequence clustering based on all-pairs search

Eduard Valera Zorita<sup>1,2</sup>, Pol Cuscó<sup>1,2</sup> and Guillaume Filion<sup>1,2\*</sup>

<sup>1</sup>Genome Architecture, Gene Regulation, Stem Cells and Cancer Programme, Centre for Genomic Regulation (CRG), Dr. Aiguader 88, 08003 Barcelona, Spain.

<sup>2</sup>Universitat Pompeu Fabra (UPF), Barcelona, Spain.

Received on XXXXX; revised on XXXXX; accepted on XXXXX

Associate Editor: XXXXXXXX

## ABSTRACT

**Motivation:** The increasing throughput of sequencing technologies offers new applications and challenges for computational biology. In many of those applications, sequencing errors need to be corrected. This is particularly important when using random DNA barcodes to trace and quantify transcripts or lineages, since spurious barcodes introduce inexistent entities. The reference population of random barcodes is unknown and in this case, error correction amounts to performing a pairwise comparison of all the barcodes, which is unfeasible for excessive computational complexity.

**Results:** Here we address this problem and describe an exact algorithm to determine which pairs of sequences lie within a given Levenshtein distance. For error correction or redundancy reduction purposes, matched pairs are then merged into clusters represented by a canonical sequence. The efficiency of starcode is attributable to the poucet search, a novel implementation of the Needleman-Wunsch algorithm performed on the nodes of a trie. On the task of matching random barcodes, starcode outperforms sequence clustering algorithms in both speed and precision.

**Availability and implementation:** The C source code is available at <http://github.com/gui11aume/starcode>.

**Contact:** [guillaume.filion@gmail.com](mailto:guillaume.filion@gmail.com)

## 1 INTRODUCTION

Sequencing technologies never achieve perfect precision. For instance, the Illumina platform (Margulies *et al.*, 2005) has a 1–2% error rate consisting of substitutions (Dohm *et al.*, 2008; Nakamura *et al.*, 2011) and the PacBio platform has a 15% error rate consisting of insertions and deletions (Eid *et al.*, 2009). The throughput of such technologies has recently created additional needs to develop efficient error correction algorithms.

Sequencing errors can be discovered by comparing the reads to a reference genome. However, such a reference is not always available. When the sequences are random or taken from an unknown source, clustering is the main strategy to correct the errors. For instance, this situation arises when using random barcodes to track cells or transcripts (Schepers *et al.*, 2008; Akhtar *et al.*, 2013). Sequencing errors will create erroneous (nonexistent) barcodes that have to be removed.

Sequence clustering can be viewed as a community detection problem on graphs, where nodes represent sequences and edges

represent matches between related sequences. The process consists of a matching phase (the most computationally intensive), where the graph is constructed, and a clustering phase where communities are identified.

Here we describe a sequence clustering algorithm focused on error correction. The algorithm is called “starcode” in reference to clusters of random barcodes, which typically have a star shape. Starcode is based on all-pairs search, *i.e.* all the pairs of sequences below a given Levenshtein distance are identified during the graph construction phase. Matching is carried out by lossless filtration, followed by a trie search. The novelty of the algorithm is the poucet strategy, which uses the redundancy of alphabetically sorted sequences to avoid unnecessary recomputations and gain speed.

In this article we present and benchmark starcode. We show that on real biological datasets, starcode is faster than existing sequence clustering software. Even though starcode was designed for error correction, we show that it can be used for other problems. As an illustration, we use it to identify enriched motifs in a bacterial genome and in protein-RNA interaction experiments.

## 2 METHODS

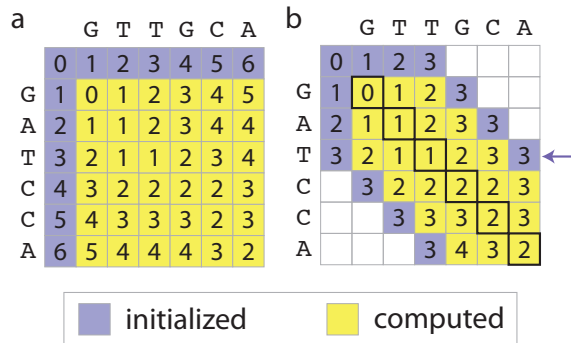
### 2.1 Inexact string matching using tries

The matching method of starcode is based on a variation of the Needleman-Wunsch (NW) algorithm (Needleman and Wunsch, 1970). In the original algorithm (Figure 1a), the Levenshtein distance between two sequences is found by applying a recurrence relation throughout a matrix of  $mn$  terms (the edit matrix), where  $m$  and  $n$  are the respective sequence lengths. The complexity of this dynamic programming approach is  $O(mn)$ .

In many instances, the information of interest is to find out whether the sequences are  $\tau$ -matches (*i.e.* their distance is less than or equal to a fixed threshold  $\tau$ ). In that case, the complexity can be reduced to  $O(\tau \min(m, n))$ . Instead of computing all the terms of the edit matrix, it is initialized as shown on Figure 1b and only the terms around the diagonal are computed. If a diagonal term has a value greater than  $\tau$ , the process is halted because the sequences are not  $\tau$ -matches.

This method can be used to match sequences against a prefix tree, also known as a trie (Ukkonen, 1995). The terms of the edit matrix are updated row-wise while a depth-first search traverses the trie (Figure 2). Every time a node is visited, a row is computed, and every time the search backtracks, a row is erased. If the threshold value  $\tau$  is exceeded for a diagonal term, the Levenshtein distance for all the downstream sequences is also necessarily greater than  $\tau$ . Therefore, no more hits are to be discovered in this path and the depth-first search backtracks to the parent node. When the process halts, every tail node (corresponding to a sequence of the database) on the path

\*to whom correspondence should be addressed



**Fig. 1.** Needleman-Wunsch (NW) sequence comparison. **a** Comparison of GTTGCA and GATCCA. The margins of the edit matrix (purple) are initialized and the cells (yellow) are computed from left to right and from top to bottom by the NW dynamic programming algorithm.  $E[i, j]$ , the term of coordinates  $(i, j)$  is computed as  $\min(E[i-1, j]+1, E[i, j-1]+1, E[i-1, j-1] + \Delta(i, j))$ , where  $\Delta(i, j) = 0$  if the  $i$ -th symbol from the first sequence is the same as the  $j$ -th symbol from the second, and  $\Delta(i, j) = 1$  otherwise. The Levenshtein distance between the two sequences is the value of the bottom right cell. **b** Lower-complexity algorithm to determine whether GTTGCA and GATCCA are 2-matches. The values in the purple cells are set during initialization. The dynamic programming algorithm proceeds as above, with the difference that it is aborted if the value of a diagonal cell (bold borders) is larger than 2. The values in the purple cells may differ from the original NW scheme (purple arrow), but the values in the yellow cells are nevertheless identical. The values of the white cells are never computed, which contributes to reducing the complexity.

of this search is a  $\tau$ -match of the query. This method is efficient because it eliminates large areas of the search space, and because the NW comparison of the query with each prefix of the database is computed only once.

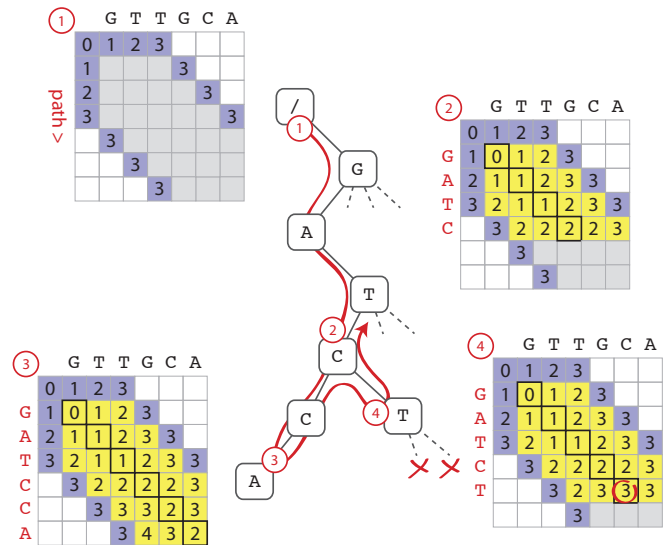
## 2.2 The poucet search algorithm

The search strategy can be further improved. If two consecutive queries share a prefix of length  $k$ , the succession of computations up to the  $k$ -th row of the edit matrix will be exactly the same for both queries. Therefore, computation intermediates can be stored in the nodes of the trie, so that the next trie search can start at depth  $k$ . However, storing the rows of the edit matrix in the nodes meets some difficulty. Indeed, on the  $k$ -th row, the terms on the right side of the diagonal depend on characters that are not shared between the two queries. This issue is solved by storing in each node a combination of row and column terms that form an angle shape, looking like a horizontally flipped L (Figure 3). Using this structure, the computation intermediates stored in a node at depth  $k$  depend only on the first  $k$  characters of the query.

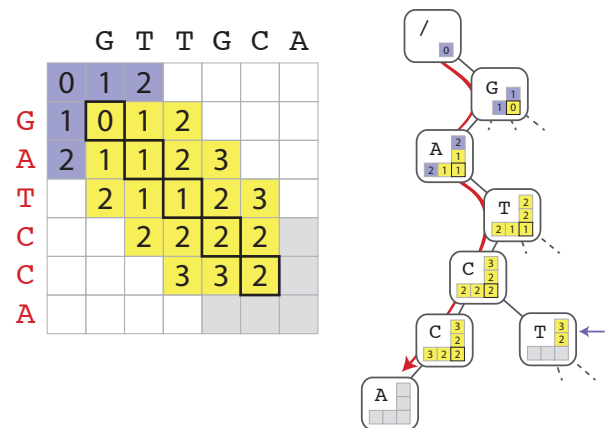
To take full advantage of this property, the input sequences are sorted alphabetically, which maximize prefix sharing between consecutive queries. In the fairy tale “Le Petit Poucet”, the hero seeds white pebbles for his older brothers to find their way home, which is reminiscent of the way a smaller query (in alphabetical order) paves the way for the next. We therefore called this search algorithm “poucet”.

## 2.3 Lossless filtration

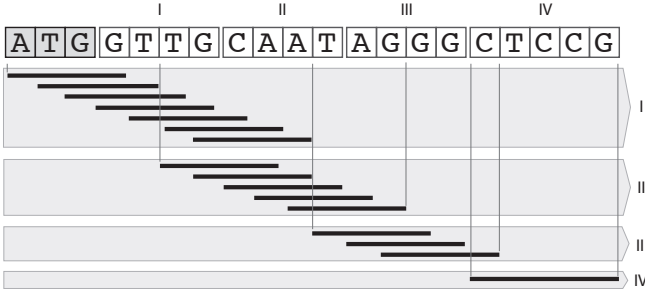
When a query has no match, it is advantageous to omit the trie search. To this end, starcode uses a partition approach similar to that described by Wu and Manber (1992). If the query is partitioned in  $\tau + 1$  segments, every  $\tau$ -match contains at least one of those (assuming that all the segments have length at least  $\tau$ ). Indeed, there are at most  $\tau$  differences between the query and the



**Fig. 2.** NW algorithm on tries. Each sequence of the index is a path in the trie. The query GTTGCA is written at the top of the matrix, which is initialized as shown on Figure 1b. The trie is traversed by a depth-first search (red path). At each depth, the node added to the path is written on the left of the edit matrix and the row is computed. Checkpoints from 1 to 4 (circled red numbers) show the state of the edit matrix as the search proceeds. The node labeled 3 is a leaf and thus corresponds to a 2-match of the query. After discovering the hit, the search path backtracks to the node labeled 2 and the last rows of the edit matrix are erased. The search path then goes to the node labeled 4, in which case the newly computed diagonal cell exceeds the threshold (circled in red). Even if this node has children, they are not visited (red crosses) because there is no 2-match to discover.



**Fig. 3.** Poucet search algorithm. The algorithm proceeds with the same principles as shown on Figure 2 with the difference that the edit matrix is not updated row-wise, but along a horizontally flipped L. As the depth-first search proceeds, these values are stored in the nodes of the trie. Since the values in the vertical part of the flipped L are the same for every child of a node, they are computed only once (purple arrow). The values in the grey cells will be computed as the search path (red) visits the node. Storing the intermediates in the nodes allows the next query to restart at depth  $k$  if it shares a common prefix of length  $k$  with the current query.



**Fig. 4.** Lossless filtration illustrated by an example sequence of length 20 with  $\tau = 3$ . The last  $\tau$  nucleotides of the query are removed, and the rest is divided into 4 series of contiguous segments. Each series is queried against a different index numbered I to IV. For instance, the only segment queried against index I is GTTG, while those queried against index II are GCAA, CAAT and AATA. If any of the segments is found in the appropriate index, the trie search is performed, otherwise it is omitted as there can be no  $\tau$ -match. Regardless of the result, segments labelled I to IV are then added to the corresponding respective index (*i.e.* only one segment is added to each index).

match, so at least one segment is unmodified. In the  $\tau$ -match, the position of the shared segment is at most  $\tau$  nucleotides on the left or on the right of its position in the query.

These observations are the basis of a filtration method that proceeds as follows. Every time a sequence is added to the trie, its segments are added to  $\tau + 1$  different indexes. More specifically, the first  $\tau$  nucleotides of the sequence are removed, and the rest of the sequence is partitioned in  $\tau + 1$  segments of sizes differing by at most 1 (the longer segments always in 3' for consistency). The first fragments are added to the first index, the second fragments to the second index *etc.*

Before the search, segments of the query are looked up in the indexes, and in case no match is found, the trie search is omitted because this query has no  $\tau$ -match. If at least one segment is found, the trie search is performed. As mentioned above, segments shared between the query and a  $\tau$ -match can be shifted up to  $\tau$  nucleotides. For this reason, shifted segments are looked up in the indexes according to the following scheme ensuring that no match can be missed. (Figure 4). The rightmost segment is looked up in the  $\tau+1$ -th index, the second rightmost segment and the contiguous segments shifted by 1 nucleotides are looked up in the  $\tau$ -th index and so on until the first segment and its contiguous segments shifted by up to  $\tau$  nucleotides are looked up in the first index.

## 2.4 Seek and construct

To reduce the size of the search space, starcode uses a dynamic “seek and construct” approach whereby queries are processed meanwhile the trie is built. In other words, each sequence is matched against the trie before it is inserted. If A and B are mutual  $\tau$ -matches, either A will be queried when B is in the trie, or the converse. Either way, the match A-B is discovered. This guarantees that every  $\tau$ -match is discovered, while maintaining the trie as “thin” as possible, thereby reducing the search time. The whole matching process is summarized in the pseudocode shown in Algorithms 1 and 2.

## 2.5 Parallelization

Queries are sorted and partitioned in contiguous blocks. The matching step then proceeds in two phases. In the first, a distinct trie is built from the sequences of each block according to the algorithm described above. In the second, all the sequence blocks are queried against the tries. If the queries are partitioned in  $N$  blocks, the first phase consists of  $N$  seek and construct jobs, while the second consists of  $N(N-1)/2$  query jobs. In each phase, the

### Algorithm 1 Starcode algorithm

```

1: Define:  $\tau$ 
2: Variables:  $seed, start = 0, height, seq, trie, lastseq, k$ 
3: Containers:  $hits, pebbles$ 
4: READ sequence file
5:  $height \leftarrow$  DETERMINE maximum sequence length
6: PAD sequences up to  $height$ 
7: SORT sequences alphabetically
8:  $k \leftarrow$  COMPUTE lookup word lengths
9:  $trie \leftarrow$  CREATE an empty trie of height  $height$ 
10: INSERT root node of  $trie$  in  $pebbles$  at depth 0
11: for all sequences do
12:    $seq \leftarrow$  GET next sequence
13:   if at least one  $k$ -mer of  $seq$  is in the lookup table then
14:      $seed \leftarrow$  LENGTH of shared prefix between current and
       next sequence
15:      $start \leftarrow$  LENGTH of shared prefix between  $seq$  and
        $lastseq$ 
16:     CLEAR  $hits$ 
17:     CLEAR  $pebbles$  at depth  $> start$ 
18:     for all  $pebbles$  at depth  $start$  do
19:        $node \leftarrow$  GET next node from  $pebbles$ 
20:       call POUCKET( $seq, node, seed, hits, pebbles$ )
21:     end for
22:     PROCESS  $hits$  and LINK matches to  $seq$ 
23:      $lastseq \leftarrow seq$ 
24:   end if
25:   INSERT  $seq$  path in  $trie$ 
26:   INSERT  $seq$   $k$ -mers into the lookup table
27: end for

```

### Algorithm 2 Poucet search algorithm

```

1: procedure POUCKET( $query, node, seed, hits, pebbles$ ):
2:   COMPUTE  $node$ -specific column following NW  $\triangleright$  Fig.1
3:   for all  $child$  nodes in  $node$  do
4:     COMPUTE  $child$ -specific row following NW  $\triangleright$  Fig.1
5:     COMPUTE center value using row and column  $\triangleright$  Fig.1
6:     if center value  $> \tau$  then  $\triangleright$  Mismatches exceeded.
7:       continue with next  $child$ 
8:     end if
9:     if  $node$  depth =  $height$  then  $\triangleright$  Hit found.
10:      SAVE  $node$  sequence in  $hits$ 
11:      continue with next  $child$ 
12:     end if
13:     if  $node$  depth  $\leq seed$  then
14:       SAVE  $node$  in  $pebbles$  at current depth
15:     end if
16:     call poucet( $query, child, seed, hits, pebbles$ )
17:   end for
18: end procedure

```

jobs show little dependency on each other, so the matching algorithm can be efficiently parallelized provided  $N$  is larger than the number of independent threads.

## 2.6 Clustering

The default clustering algorithm of starcode is designed to correct sequencing error. This method uses message passing (MacKay, 2002) to identify and count “canonical” sequences (also referred to as centroids in the clustering terminology). Each sequence transfers its read count to its closest  $\tau$ -match provided the latter has at least 5 times more counts. If the condition is not met, the transfer does not take place. If the sequence has several equally close  $\tau$ -matches, the counts are split equally among them. The process is repeated recursively, starting from sequences with lowest read count. The sequences with a positive read count at the end of the process are considered canonical. Clusters consist of all the sequences transferring their read counts to the same canonical sequence (sequence transferring their read counts to different canonicals are discarded).

Since no sequencing technology has an error rate higher than 20%, it is expected that sequences appearing from sequencing errors will always have 5 times or lower read count than the canonical sequence. Otherwise, sequences are more likely unrelated, or both derived from the same canonical sequence.

For other sequence clustering problems, starcode implements a multi-purpose algorithm called “sphere clustering” (Akhtar *et al.*, 2013). In sphere clustering, sequences are sorted by frequency of occurrence. Starting from the most frequent, each sequence becomes canonical and claims all its  $\tau$ -matches, which forms a cluster of radius  $\tau$  (hence the name). Claimed sequences are immediately removed, so that they can belong to only one cluster.

## 2.7 Benchmark conditions

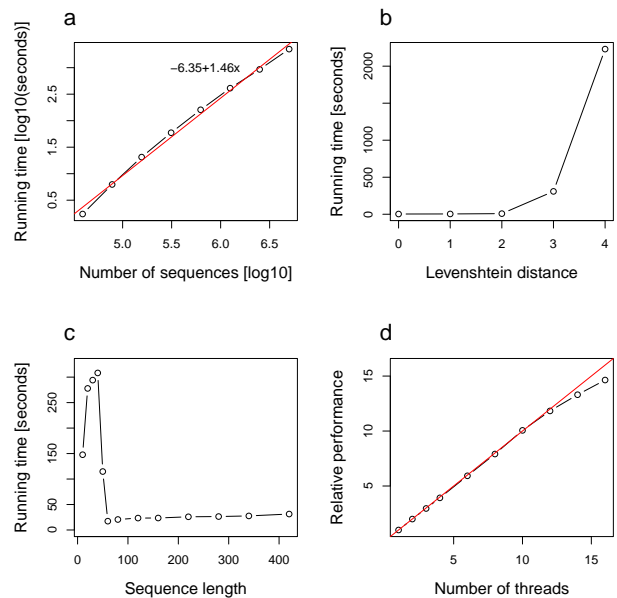
All the tests were performed on a 16-core dual-processor Intel Xeon E5-2687W v2 system with 256 GB of DDR3-RAM at 1866 Mhz

## 3 RESULTS

### 3.1 Basic performance

We measured basic performance and scalability metrics of starcode on a dataset of pseudo random sequences (Figure 5). The standard configuration consists of a set of 1,000,000 sequences of length 40 running on 1 thread and with a maximum Levenshtein distance of 3. To test the scalability as a function of a single parameter, only the parameter under study was modified whereas the others were kept constant.

Figure 5a shows the running time of starcode as a function of the number of input sequences  $n$ . In double logarithmic scale the trend is a straight line with slope 1.5, suggesting that the running time complexity of starcode is lower than quadratic (unlike the naive implementation of all-pairs search). Figure 5b shows that the running time grows exponentially as a function of the maximum Levenshtein distance used for clustering. The reason is that the trie fans out exponentially and the search bails out at a greater depth as the maximum distance increases. As a function of the sequence length, the running time first increases but then plummets and stays low (Figure 5c). Beyond a threshold length, the filtering algorithm starts to be efficient, and most of the queries are resolved without searching the trie. Finally, we show the scalability of starcode with increasing number of threads in Figure 5d. The search algorithm is fully parallel and the relative performance increases linearly up to 12 threads. The bending observed thereafter has two sources. The first is that the input reading and clustering steps are brief but not parallel, the second is that there is insufficient memory bandwidth to satisfy the increased demand of memory accesses due to hardware limitations.



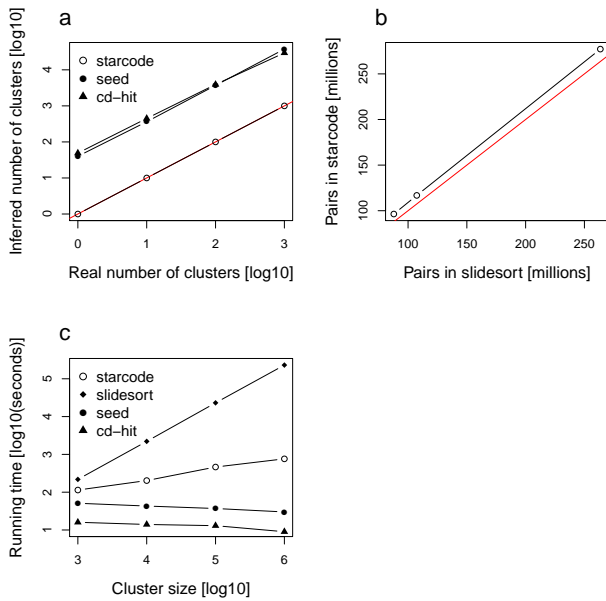
**Fig. 5.** Scalability. **a** Logarithm of the running time versus the logarithm of the number of sequences to be clustered. **b** Running time as a function of the clustering distance. **c** Running time versus length of the input sequences. **d** Relative performance increase for different number of parallel threads.

### 3.2 Benchmark

We benchmarked starcode against the sequence clustering algorithms slidesort (Shimizu and Tsuda, 2011), seed (Bao *et al.*, 2011), rainbow (Chong *et al.*, 2012) and cd-hit (Fu *et al.*, 2012). Even though slidesort is an all-pairs search algorithm, it was included in the benchmark because sequence comparison is the most computationally intensive step of the sequence clustering problem. Rainbow runs exclusively on paired-end reads, while the other tools run on single reads.

The performance of sequence clustering algorithms can be sensitive to the size of the clusters in the dataset, which in many applications is not known *a priori*. We therefore set up a benchmark on artificial datasets to test the accuracy and the scaling of the tools on a known cluster structure. We generated 4 datasets of 1 million 50-mers arranged in 1 to 1,000 clusters. Each cluster consisted of 100 repeats of the same centroid sequence, plus satellites derived from the centroid by incorporating 3 errors including at most 1 indel. The number of satellites per cluster ranged from 999900 to 900. Evaluating the exactness of slidesort on these datasets was problematic because the number of 3-matches in each dataset is not known (pairs of satellites in the same cluster may be 3-matches or not). For this reason we only compared the number of pairs found by starcode with the number of pairs found by slidesort. The outcome of the test is summarized in Figure 6.

While starcode achieves perfect clustering on all 4 datasets, the clustering achieved by seed and cd-hit is incomplete. Both tools identify approximately 40 false clusters per true cluster on all the datasets. We also observed that slidesort found 5-10% less 3-matches than starcode on all the datasets. We were surprised by this result because slidesort is claimed to be an



**Fig. 6.** Accuracy of the sequence clustering and all-pairs search algorithms. Starcode, seed and cd-hit were benchmarked on 4 datasets with the same number of sequences, but different number of clusters (see main text). **a** Starcode identifies the correct number of clusters, while seed and cd-hit identify about 40 false positives per true positive. **b** Slidesort identifies 5-10% less pairs than starcode. In both panels, the red line is first bisector.

exact algorithm. However, this was clearly not the case when we ran additional tests on smaller datasets where naive pairwise comparisons is feasible (the starcode repository contains such a dataset along with the instructions to reproduce our observations, see <http://github.com/guil1aume/starcode/misc>). In conclusion, starcode was the only tool to achieve perfect precision.

We benchmarked sequence clustering algorithms on the problem of clustering TRIP barcodes (Akhtar *et al.*, 2013). Briefly, the principle of TRIP (Thousands of Reporters Integrated in Parallel) is to tag reporter transcripts with random barcodes and measure the abundance of barcodes in the RNA as a proxy for gene expression. There is no reference to match aberrant barcodes against, because the tagging sequences are unknown.

The basic properties of the datasets used for benchmarking are summarized in Table 1. Datasets 1 and 2 consist of Illumina single reads; they differ by the total read count and by the empirical cluster sizes. According to the output of starcode, the largest clusters of dataset 1 contain approximately 2,000 sequences, while dataset 2 contains 4 clusters with more than 1 million sequences. Dataset 3 consist of Illumina paired-end reads.

**Table 1.** Summary of the biological datasets used for benchmarking. All the datasets are Illumina reads.

dataset	read count	read length	type
dataset 1	16,457,527	50	single
dataset 2	127,675,537	50	single
dataset 3	2,460,226	100	paired-end

The running times of starcode, seed, slidesort, rainbow and cd-hit-est are summarized in Table 2. Starcode was faster than the other tools on all the datasets. Seed came in second position on datasets 1 and 2, with a running time approximately 800 and 20 times greater, respectively. Rainbow came in second position on dataset 3, but it is the only other tool able cluster paired-end reads. We did not record the exact running times past 10 days since this is several orders of magnitude higher than the running time of starcode.

**Table 2.** Running time (in seconds) of the software on three biological datasets. Runs longer than 10 days were interrupted. A dash indicates that the software cannot be used for this dataset.

software	dataset 1	dataset 2	dataset 3
starcode	171	2,898	44
seed	135,959	60,374	-
slidesort	632,168	> 10 days	-
rainbow	-	-	306
cd-hit-est	> 10 days	512,591	-

The memory footprint of the different tools on the same datasets is shown in Table 3. The values represent the peak memory usage throughout the run on the datasets described above. On datasets 1 and 3, starcode had a significantly larger memory usage than the best tool, while on dataset 2 it used significantly less memory than seed and approximately as much as cd-hit. A large portion of the memory usage of starcode is dedicated to the trie structures used in the poucet search, which explains why starcode is in general more memory-demanding than alternative tools.

**Table 3.** Memory usage (in Gb).

software	dataset 1	dataset 2	dataset 3
starcode	9.4	30.9	5.2
seed	4.1	53.9	-
slidesort	1.9	13.9	-
rainbow	-	-	0.5
cd-hit-est	3.8	28.5	-

### 3.3 Identifying enriched sequence motifs

Sequence motifs are thought to play an important role in DNA metabolism. Key regulators, such as transcription factors, nucleosomes and non coding RNAs have sequence preferences targeting them to the sites where they act. Identifying those sequences is a way to pinpoint the regulators and the mechanisms they are involved in. However, the sequence motifs are not strictly identical at different sites, hence they are better identified by inexact matching. This problem becomes computationally difficult for long motifs (above 12-13 nucleotides) because of the combinatorial scaling. But as motifs become longer, the problem of identifying abundant inexact matches becomes similar to barcode clustering. We reasoned that starcode could also be used for the task of identifying biologically meaningful sequence motifs.

We set up a test based on the meningitis-causing agent *Neisseria meningitidis*. The genome of this bacterium is interspersed with a



frequent 12 bp sequence known as DNA uptake sequence (Smith *et al.*, 1999). We extracted the 12-mers from both orientations of the 2.19 Mb genome, yielding 4.39 million 12-mers, consisting of 2.77 million unique sequences. Clustering the 12-mers with starcode within a Levenshtein distance of 2 took less than 45 seconds with 12 threads. We identified the known DNA uptake sequence of *Neisseria meningitidis* (ATGCCGTCTGAA) as the most abundant 12-mer, with 1466 exact and 2096 inexact hits. This result testifies to the fact that starcode can be used to identify biologically relevant motifs in bacterial genomes.

To test starcode on another application, we used the RNA-protein interaction data produced by RNAcompete (Ray *et al.*, 2009). The mammalian splicing factor SRSF1 is known to bind RNA GA-rich motifs, but there is some disagreement about the motif that it recognizes (Pandit *et al.*, 2013). For each replicate of the human SRSF1 in the RNAcompete dataset, we replaced the microarray signals by their rank and extracted the 10-mers from the microarray probes. The 10-mers were given a score equal to the rank of the probe they belong, and enriched motifs were found using the sphere clustering of starcode with maximum Levenshtein distance 2. The score of the most enriched 10-mer is thus the sum of the ranks of all 10-mers within this distance. Clustering the 6.3 million extracted 10-mers with 12 threads took about 20 seconds for each replicate. The most enriched 10-mers were AGGACACGGA, AGGACACGGA, AGGACGGAGG, AGGACGGAGG, AGGACACGGA and AGGATACAGG. Except for the last replicate, the motifs consist of AGGAC and GGA, with a spacer of variable length. This suggests that the binding of SRSF1 to RNA may involve a spacer sequence, which would explain the disagreement between the motifs derived from 6-mers or 7-mers.

## 4 DISCUSSION AND CONCLUSION

Through the parallel poucet search algorithm, starcode implements an exact sequence clustering algorithm that can be faster than popular heuristics. By design, starcode is tailored to process high throughput sequencing data on multi-core platforms. Our benchmark shows that starcode achieves perfect clustering on short random sequences in less time than what is considered acceptable for heuristic searches. We also show that starcode outperforms next generation read mappers in this context. The software tools used for this benchmark are usually not used for barcode or random sequence clustering. In this respect, starcode fills a need arising from the development of barcoding technologies.

The speed of starcode also makes it useful for other clustering tasks, such as identifying enriched motifs in microbial genomes and in experimental data. Here we have given two examples of such applications. In the first, we recover a known enriched 12-mer in the genome of *Neisseria meningitidis*. In the second, we recover the motif of the human RNA binding protein SRSF1 and notice that it seems to consist of two halves separated by a linker. This hypothesis is consistent with the fact that SRSF1 binds RNA through two consecutive RNA Recognition Motifs (RRM) that are known to bind 3-4 nucleotides in a row (Daubner *et al.*, 2013). The Levenshtein distance, which incorporates insertions and deletions is more likely to capture bi-partite binding motifs than position weight matrix representations. The use of a clustering method to tackle this

problem is unusual, but it illustrates the potential advantages of distance-based approaches.

The current version of starcode has been primarily optimized for speed. The memory footprint depends on the number of sequences to cluster (because the sequences of the input set are loaded in memory as a set of tries) and on the mean number of matches per sequence. Every match is stored in memory until the clustering phase, which may represent a large overhead if the dataset is dense. As counterintuitive as it may seem, long queries will usually impose a lower memory footprint because the matches between the sequences are more sparse. We have shown that the running time will also be shorter thanks to the lookup table search (Figure 5c).

Perhaps the most surprising element of this study is that an exact algorithm can compete with extremely fast heuristics. This will no longer be true when clustering divergent sequences because the Levenshtein distance will have to be increased, leading to exponentially longer running times (Figure 5b). However, for the important practical case that the divergence is driven by sequencing errors, starcode illustrates that there is still room for algorithmic innovations that can outperform heuristics. The idea of the poucet search seems simple in retrospect, yet it is a powerful way to tap into the data structuration provided by string sorting. This principle could find some applications in algorithms used in various fields.

## ACKNOWLEDGEMENT

We would like to thank Maria Chatzou for her precious feedback on the preliminary version of this manuscript.

**Funding:** The research leading to these results has received funding from the Government of Catalonia (Dept. of Economy and Knowledge) and the Spanish Ministry of Economy and Competitiveness (Centro de Excelencia Severo Ochoa 2013-2017' (SEV-2012-0208). P.C. fellowship is partly financed by the Spanish Ministry of Economy and Competitiveness (State Training Subprogram: predoctoral fellowships for the training of PhD students (FPI) 2013).

## REFERENCES

- Akhtar, W., de Jong, J., Pindyurin, A. V., Pagie, L., Meuleman, W., de Ridder, J., Berns, A., Wessels, L. F. A., van Lohuizen, M., and van Steensel, B. (2013). Chromatin position effects assayed by thousands of reporters integrated in parallel. *Cell*, **154**(4), 914–27.
- Bao, E., Jiang, T., Kaloshian, I., and Girke, T. (2011). SEED: efficient clustering of next-generation sequences. *Bioinformatics*, **27**(18), 2502–9.
- Chong, Z., Ruan, J., and Wu, C.-I. (2012). Rainbow: an integrated tool for efficient clustering and assembling RAD-seq reads. *Bioinformatics*, **28**(21), 2732–7.
- Daubner, G. M., Clry, A., and Allain, F. H.-T. (2013). RRM-RNA recognition: NMR or crystallography and new findings. *Curr. Opin. Struct. Biol.*, **23**(1), 100–8.
- Dohm, J. C., Lottaz, C., Borodina, T., and Himmelbauer, H. (2008). Substantial biases in ultra-short read data sets from high-throughput DNA sequencing. *Nucleic Acids Res.*, **36**(16), e105.
- Eid, J., Fehr, A., Gray, J., Luong, K., Lyle, J., Otto, G., Peluso, P., Rank, D., Baybayan, P., Bettman, B., Bibillo, A., Bjornson, K., Chaudhuri, B., Christians, F., Cicero, R., Clark, S., Dalal, R., Dewinter, A., Dixon, J., Foquet, M., Gaertner, A., Hardenbol, P., Heiner, C., Hester, K., Holden, D., Kearns, G., Kong, X., Kuse, R., Lacroix, Y., Lin, S., Lundquist, P., Ma, C., Marks, P., Maxham, M., Murphy, D., Park, I., Pham, T., Phillips, M., Roy, J., Sebra, R., Shen, G., Sorenson, J., Tomaney, A., Travers, K., Trulson, M., Vieceli, J., Wegener, J., Wu, D., Yang, A., Zaccarin, D., Zhao, P., Zhong, F., Korlach, J., and Turner, S. (2009). Real-time DNA sequencing from single polymerase molecules. *Science*, **323**(5910), 133–8.

- Fu, L., Niu, B., Zhu, Z., Wu, S., and Li, W. (2012). CD-HIT: accelerated for clustering the next-generation sequencing data. *Bioinformatics*, **28**(23), 3150–2.
- MacKay, D. J. C. (2002). *Information Theory, Inference & Learning Algorithms*. Cambridge University Press, New York, NY, USA.
- Margulies, M., Egholm, M., Altman, W. E., Attiya, S., Bader, J. S., Bemben, L. A., Berka, J., Braverman, M. S., Chen, Y.-J., Chen, Z., Dewell, S. B., Du, L., Fierro, J. M., Gomes, X. V., Godwin, B. C., He, W., Helgesen, S., Ho, C. H., Ho, C. H., Irzyk, G. P., Jando, S. C., Alenquer, M. L. I., Jarvie, T. P., Jirage, K. B., Kim, J.-B., Knight, J. R., Lanza, J. R., Leamon, J. H., Lefkowitz, S. M., Lei, M., Li, J., Lohman, K. L., Lu, H., Makhijani, V. B., McDade, K. E., McKenna, M. P., Myers, E. W., Nickerson, E., Nobile, J. R., Plant, R., Puc, B. P., Ronan, M. T., Roth, G. T., Sarkis, G. J., Simons, J. F., Simpson, J. W., Srinivasan, M., Tartaro, K. R., Tomasz, A., Vogt, K. A., Volkmer, G. A., Wang, S. H., Wang, Y., Weiner, M. P., Yu, P., Begley, R. F., and Rothberg, J. M. (2005). Genome sequencing in microfabricated high-density picolitre reactors. *Nature*, **437**(7057), 376–80.
- Nakamura, K., Oshima, T., Morimoto, T., Ikeda, S., Yoshikawa, H., Shiwa, Y., Ishikawa, S., Linak, M. C., Hirai, A., Takahashi, H., Altaf-Ul-Amin, M., Ogasawara, N., and Kanaya, S. (2011). Sequence-specific error profile of Illumina sequencers. *Nucleic Acids Res.*, **39**(13), e90.
- Needleman, S. B. and Wunsch, C. D. (1970). A general method applicable to the search for similarities in the amino acid sequence of two proteins. *J. Mol. Biol.*, **48**(3), 443–53.
- Pandit, S., Zhou, Y., Shiue, L., Coutinho-Mansfield, G., Li, H., Qiu, J., Huang, J., Yeo, G. W., Ares, M., and Fu, X.-D. (2013). Genome-wide analysis reveals SR protein cooperation and competition in regulated splicing. *Mol. Cell*, **50**(2), 223–35.
- Ray, D., Kazan, H., Chan, E. T., Castillo, L. P., Chaudhry, S., Talukder, S., Blencowe, B. J., Morris, Q., and Hughes, T. R. (2009). Rapid and systematic analysis of the RNA recognition specificities of RNA-binding proteins. *Nat. Biotechnol.*, **27**(7), 667–70.
- Schepers, K., Swart, E., van Heijst, J. W. J., Gerlach, C., Castrucci, M., Sie, D., Heimerikx, M., Velds, A., Kerkhoven, R. M., Arens, R., and Schumacher, T. N. M. (2008). Dissecting T cell lineage relationships by cellular barcoding. *J. Exp. Med.*, **205**(10), 2309–18.
- Shimizu, K. and Tsuda, K. (2011). SlideSort: all pairs similarity search for short reads. *Bioinformatics*, **27**(4), 464–70.
- Smith, H. O., Gwinn, M. L., and Salzberg, S. L. (1999). DNA uptake signal sequences in naturally transformable bacteria. *Res. Microbiol.*, **150**(9-10), 603–16.
- Ukkonen, E. (1995). On-line construction of suffix trees. *Algorithmica*, **14**(3), 249–260.
- Wu, S. and Manber, U. (1992). Fast text searching: Allowing errors. *Commun. ACM*, **35**(10), 83–91.