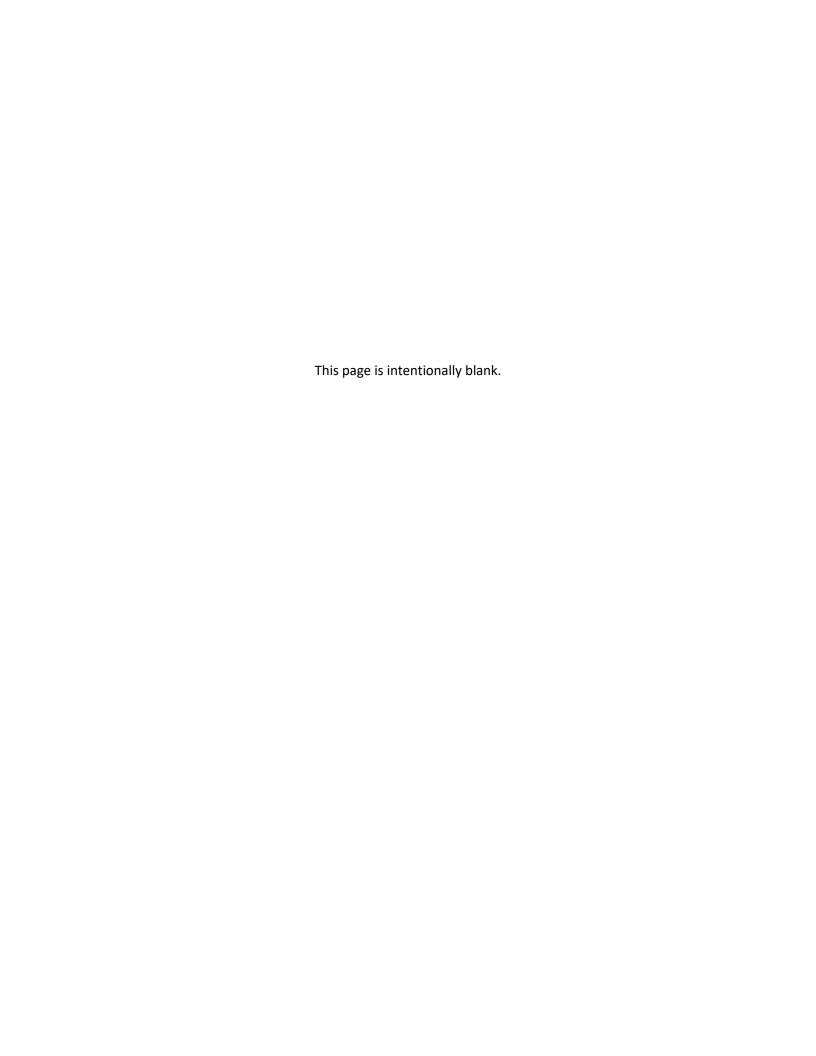


# User's Guide

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## CHAPTER O. PRELIMINARY MATERIAL

## **Document Revision History**

2015-12-16	Original draft		
2016-03-11	Second draft		
2016-06-14	Version 2.1		
2016-10-11	Version 2.4: New logo on cover and replaced vcqi_halt_immediately with vcqi_cleanup		
2017-02-15	Version 2.5: New ability to specify 2+ demographic stratification variables		
	New RI data quality report		
	New inchworm plots with two distributions per row		

## Acronym List

CI	Two-sided Confidence Interval
DEFF	Design effect
FVL	Forms and Variable List Document (that accompanies this User's Guide)
LCB	Lower one-sided confidence bound
HC	Health center (might sometimes be used interchangeably with "health facility")
ICC	Intracluster correlation coefficient
MCV	Measles Containing Vaccine
MOV	Missed opportunity for vaccination
UCB	Upper one-sided confidence bound
VCQI	Vaccination Coverage Quality Indicators

## **License Agreement**

#### (Pending approval by WHO)

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#### **CHAPTER 1. INTRODUCTION**

The Vaccination Coverage Quality Indicators (VCQI¹) software is a set of programs to calculate standard outputs from coverage survey datasets. This document is meant to guide you in using the software.

VCQI is organized around three types of surveys:

- 1. Routine Immunization
- 2. Tetanus typically administered to women who have had a live birth in the past 12 months
- 3. Post-SIA typically administered directly following a vaccination campaign or supplemental immunization activity

In the remainder of this document we abbreviate these three surveys using the letters RI, TT and SIA.

The programs that comprise VCQI are freely available, courtesy of the World Health Organization.

## 1.1 How to Obtain the VCQI Programs

In the future, VCQI will be free to download from a website. For now, you need to write to Carolina Danovaro at the World Health Organization (<a href="mailto:danovaroc@who.int">danovaroc@who.int</a>) and obtain permission to acquire the programs. If approved, she will direct you to contact Dale Rhoda at Biostat Global Consulting (<a href="mailto:Dale.Rhoda@biostatglobal.com">Dale.Rhoda@biostatglobal.com</a>) for the latest version of the files. The files are shared using GitHub (<a href="mailto:www.github.com">www.github.com</a>). You will need to have a (free) GitHub account to download the VCQI programs and keep them up-to-date.

## 1.2 Stata Version Required

VCQI requires version 14 or later of Stata to run.

## 1.3 Where to put the VCQI Programs

VCQI consists of many new Stata commands, saved in text files with the extension .ado. The programs are saved in seven sub-folders. The most straightforward way to make these commands available to Stata is to save them to your local hard drive and add those folders to Stata's so-called adopath. The adopath is the group of folders that Stata searches for .ado files.

One logical place to save the VCQI programs might be in the folder that Stata calls your PERSONAL folder. Start Stata and type the command sysdir. It will produce output like this:

```
. sysdir
   STATA: C:\Program Files (x86)\Stata14\
   BASE: C:\Program Files (x86)\Stata14\ado\base\
   SITE: C:\Program Files (x86)\Stata14\ado\site\
   PLUS: c:\ado\plus\
PERSONAL: c:\ado\personal\
OLDPLACE: c:\ado\
```

-

<sup>&</sup>lt;sup>1</sup> Pronounced "Vicki"

This indicates that the user's PERSONAL folder is c:\ado\personal. So this user could copy the VCQI\_Stata\_Programs folder into c:\ado\personal. Because the programs are saved in sub-folders, Stata will not find them automatically; the user will need run the following lines before running VCQI:

```
adopath + C:\ado\personal\VCQI_Stata_Programs\DESC
adopath + C:\ado\personal\VCQI_Stata_Programs\DIFF
adopath + C:\ado\personal\VCQI_Stata_Programs\LIBRARY
adopath + C:\ado\personal\VCQI_Stata_Programs\PLOT
adopath + C:\ado\personal\VCQI_Stata_Programs\RI
adopath + C:\ado\personal\VCQI_Stata_Programs\SIA
adopath + C:\ado\personal\VCQI_Stata_Programs\SIA
```

You may either run these lines of code every time you run VCQI, or have Stata run them automatically at startup by putting them in a program named "profile.do" and saving that program in your PERSONAL folder.

## 1.4 Terminology

This document describes numerous analyses that can be performed on coverage survey data. The letter I in the acronym VCQI stands for *indicators* and this document often calls the analyses indicators but it also sometimes uses the word *measure* or *analysis* to mean the same thing. Any of those words mean a clearly-defined analysis that runs on coverage survey data and, if successful, produces some output. The details of the indicators appear in later sections of this document and in the accompanying specifications for the VCQI software.

### **CHAPTER 2. OVERVIEW**

This section of the document gives an overview of a) files that comprise VCQI, b) datasets and parameter files that need to be assembled in order to run VCQI, and c) the files that are produced by VCQI.

## 2.1 Running VCQI

The usual practice is to copy a VCQI control program (.do file) from the examples provided, edit the file, save it and run it in Stata. Open the resulting spreadsheet and check the log sheet for errors or warnings. If VCQI ran successfully, examine the results to see if they make sense. If yes, you might copy tabulated results or automatically generated figures into a report. Save the control program and output for future reference. To run a second analysis, copy the control program to a new, empty folder; edit the new program to send its output to that new folder where the control program is saved; save the control program, and run it.

VCQI performs a series of checks to be sure you have defined the necessary inputs and that it can find the input datasets and necessary variables. When something goes wrong, it tries to provide informative error messages both to the Stata output screen and in a VCQI log file. If VCQI detects an important error, the log is copied into the output spreadsheet before the program halts. If an unanticipated error occurs, the incomplete log will be a Stata dataset saved in the VCQI output folder. If you open the spreadsheet and find only placeholder text in the Log worksheet, then close the Excel file and type the command "vcqi\_cleanup" in the Stata command line. In most cases this will cause the log to be closed and processed and copied to the output spreadsheet file. Re-open the spreadsheet and look at the log tab. Otherwise follow the instructions found in the placeholder Log tab in the spreadsheet.

In the future we hope to establish a VCQI user's group and online forum. For now, if you have problems that you cannot debug yourself, contact Dale.Rhoda@biostatglobal.com.

### 2.2 The Indicators

Several types of analyses are included with VCQI; they are described in this document using short abbreviations:

• DESC: Descriptive indicators document the composition of the survey sample and summarize responses to multiple-choice questions; these indicators may be calculated for any survey.

Within each survey the indicators are organized according to vaccination program attributes that have proven useful in earlier assessments:

- COVG: Indicators related to estimated proportion served, known informally as <u>coverage</u>
- ACC: Indicators related to whether respondents have <u>access</u> to vaccination services
- CONT: Indicators related to whether respondents experience continuity of services
- QUAL: Indicators related to the *quality* of vaccination service

Finally, there are indicators to conduct formal hypothesis tests

DIFF: Indicators to estimate <u>differences</u> in coverage may be calculated for many outcomes. VCQI calculates differences in coverage a) between strata, and b) between sub-groups within a single stratum.

Additional indicators will be added over time.

## 2.3 Files that comprise VCQI

### Stata Programs

VCQI is a set of Stata programs that work together to analyze the survey data. You will need to download the programs and put them in folders where Stata can access them. No special license key is required to run the programs – if you have the VCQI programs and you have a licensed copy of Stata, you can run VCQI.

## **Control Program**

To run VCQI, the user copies a control program, edits it, and then runs it. The control program will call all the other necessary programs – in most cases a VCQI user will not need to look inside any program except the control program. Every control program alternates between clearly marked blocks of code that the user *should edit* and blocks that they *should not edit*. Portions of the control program that you might edit include those that point to folders and datasets, lines that describe the vaccination schedule and survey, and those that list which indicators you want to calculate. Sample control programs are provided with the VCQI programs and several are annotated in this user's guide. See Chapter 7.

## 2.4 Files Used by VCQI

#### **Datasets**

You need to assemble a small set of files to run VCQI – precisely which files depends on whether you are analyzing data from a routine immunization (RI) survey, a post-supplemental immunization activity (SIA) survey, or a tetanus protection at birth (TT) survey. Details appear in later sections of this document. VCQI assumes that the datasets were collected using the survey questions described in the accompanying document named *Vaccination Coverage Surveys – Forms & Variable Lists (FVL) Structured for Compatibility with VCQI* (described hereafter as 'the FVL document'2). VCQI assumes that variables are named and coded as described there. A future annex to this guide will list the smallest set of variables required for each VCQI indicator. If the data were collected using other survey instruments, it will be necessary to recode the data to look as though it comes from questions in the FVL document.

#### **Parameter Files**

The user provides parameter files listing the names of geographic or administrative strata in the survey and the order in which they should be listed in output tables. Annex B describes how to specify names and listing orders of strata.

## 2.5 Files Produced by VCQI

VCQI can produce four types of files.

#### **Analysis Datasets**

For each indicator, there is usually an intermediate analysis dataset (flat file) produced that includes only the variables required for that indicator. The analysis file usually includes elements from several

<sup>&</sup>lt;sup>2</sup> The variable list document is a slightly modified version of Annex H of the 2015 draft version of the WHO Vaccination Coverage Cluster Survey Reference Manual.

input datasets (e.g., from the list of households (HH), from the list of household members (HM), from the cluster metadata (CM) and from the subject matter dataset: RI, TT, or SIA). The analysis file will also include new so-called *derived variables* that VCQI calculates from the survey data and uses to calculate the indicators.

#### **Output Databases**

Most indicators produce one or more databases documenting indicator outcomes by geographic or administrative stratum in the dataset. These databases are saved as Stata datasets and are suitable for importing by other programs. They could be used for later calculations or to tabulate or graph results in a way that is not supported by VCQI. The database files have the word \_database in their filenames. Later sections of this document list the databases saved by each indicator.

#### **Tabulated Output**

VCQI saves tabulated output in an Excel file, usually generating one tab or worksheet per indicator. The output is formatted and ready to be copied and pasted into project reports. The user controls which strata appear in the tables and in what order. (See Annex B.) Typically, a VCQI control program analyzes only one sort of survey data and produces only one Excel output file. If a survey asked questions about routine immunization, tetanus doses for pregnant women, and campaign coverage, that data would be analyzed using at least three separate control programs and the outputs for each portion of the survey would be saved in a different Excel workbook.

For RI survey analysis, there is an option to summarize the quality of the vaccination data. If the user requests this analysis, its outcomes will be put into a separate Excel spreadsheet with the words "dates\_ticks" in the filename. Watch for a forthcoming tutorial on how to interpret that output.

#### **Graphic Output**

Many VCQI indicators generate figures. The control program includes options so the user can stipulate whether the program should make any figures at all, and if so, which types and which strata should appear in them. As a rule, the same strata that appear in the tabulated output also appear in the figures. Each figure is saved as a portable network graphics file (extension .png). The control program has an option to also save the figures in Stata's .gph format, which makes it possible to edit the figures later using Stata's graph editor.

At this time VCQI generates three kinds of graphical output, organ pipe plots, inchworm plots, and unweighted sample proportion plots. Annexes B & C show examples of VCQI figures. There is helpful information on organ pipe plots and inchworm plots in the 2015 Draft Update to WHO's Vaccination Coverage Cluster Survey Reference Manual – specifically in Chapter 6 and Annex M. Unweighted proportion plots simply list a sample size and show an estimated proportion for each stratum using a colored symbol.

## 2.6 Levels of Survey Strata

VCQI is flexible and can analyze data from a single geographic region (stratum) or from several strata. If the strata comprise all the pieces of a higher level (e.g., all the provinces in a nation) then VCQI can calculate the aggregated higher level results as well.

The examples in this user's guide assume that your survey was conducted in strata at sub-sub-national level (e.g., a separate survey in each health district). It assumes that the districts are nested within provinces, and the survey was conducted in every district in every province. It provides examples of estimating results at the district level, at the provincial level, and at the national level.

It is possible to do simpler or even more complex analyses, such as a single survey in a single stratum, or even four nested levels of hierarchy. The variations are described in Annex B.

## 2.7 Program Progress Log

Every VCQI session generates a log file with messages to document the user's inputs and inform the user which programs were used, what version of those programs, and whether their progress was successful or if they issued errors or warnings.

The log entries are stored in a Stata dataset while VCQI is running. The various programs append new comments onto the dataset throughout the run. When VCQI exits, the log entries are copied into the output Excel workbook in a sheet named "Log".

VCQI users should look at the log file before focusing on VCQI output. Open the Log tab in the spreadsheet and examine the output to see if there are any Priority 1 errors or Priority 2 warnings. The first column of the logfile indicates the order in which comments were written to the log. If there were errors or warnings, then the log is sorted to show them at the top of the worksheet. Errors are shaded red and warnings are yellow. Errors typically must be addressed and VCQI must be re-run. Warnings do not require you to re-run VCQI but they are messages important enough to be brought to your attention before you interpret the other VCQI output.

As far as the VCQI user is concerned, the only portion of the log that is of interest is whether or not there are errors, and if so, how to correct them. The many hundreds of other lines in the log are useful for debugging when there is a problem. You will not need to interpret them, but you may be asked to email your log to the VCQI developers if you have difficulty with a VCQI analysis.

The error messages are meant to be worded in a clear enough manner to help you correct the problem. If the messages are not clear, please send feedback to Dale Rhoda.

## 2.8 Structure of VCQI Control Programs

Users should copy and edit the control programs that are provided with VCQI. It is good practice to use a different control program for each analysis, and save the control program and resulting output for later reference.

Regardless of whether you are analyzing an RI, TT or SIA survey, the typical VCQI control program consists of seven sections or blocks of code, alternating back and forth between blocks that the user *should not edit* and those that they *should edit*. Chapter 7 lists example control programs, line by line, and describes what they do.

Table 2-1. VCQI control programs consist of seven blocks of code

Block of Stata Code	User Edits Code in this Block?
A. Initialize Stata – clean out old data, programs, and macros	No
B. User specifies input and output folders and a name for this analysis	Yes
C. Open the log file & document which version of VCQI programs are running	No No
D. User specifies datasets and metadata about survey, schedule and analysis	Yes
E. VCQI checks inputs; pre-processes analysis dataset	No
F. User specifies which indicators to calculate, and any required inputs	Yes
G. VCQI closes log, deletes temporary files, informs the user of any errors	No

## CHAPTER 3. ANALYSIS OF ROUTINE IMMUNIZATION (RI) SURVEYS

Analysis of RI survey data is accomplished with a dedicated control program, copied from an example and modified to fit the user's survey and dataset. VCQI currently calculates eighteen indicators from RI surveys.

- 1. One regarding access to vaccination (RI\_ACC\_01)
- 2. One regarding continuity of vaccination (RI CONT 01)
- 3. Five regarding vaccination coverage (RI COVG 01 thru 05)
- 4. And eleven regarding the quality of the vaccination program (RI\_QUAL\_01 thru \_09, RI\_QUAL\_12, and RI\_QUAL\_13³)

## 3.1 Vaccination Schedule Metadata

The user must specify the vaccination schedule that was in place over the time being evaluated by the survey. If the target population is children 12-23 months, then the user should specify the vaccination schedule in place over the preceding 24 months. The vaccination schedule is stipulated in the control program, or in a small .do file that the control program runs. The schedule is defined using Stata scalar values, typically in Block D of the control program. These ages may vary from country to country.

For single-dose vaccines, specify the minimum age in days at which the dose should be given. E.g.,

```
vcqi_scalar4 bcg min age days =
                                                 // birth dose
vcqi scalar hepb min age days =
                                                // birth dose
                                                 // birth dose
vcqi scalar opv0 min age days =
                                             0
                                                // 14 weeks
vcqi scalar ipv min age days =
                                            98
                                                // 9 months
vcqi scalar mcv1 min age days =
                                           270
                                                // 9 months
vcqi scalar yf min age days
                                           270
```

If there is a maximum age for valid administration, specify that. In most countries, most doses do not have a maximum age for valid administration. E.g.,

```
vcqi_scalar opv0_max_age_days =
14 // valid in 1st 2 wks
```

For multi-dose vaccines, specify the minimum age in days for the first dose, and the minimum age and interval (also in days) for later doses. E.g.,

<sup>&</sup>lt;sup>3</sup> RI\_QUAL\_10 and \_11 have been defined but their implementation has been deferred for now.

<sup>&</sup>lt;sup>4</sup> vcqi\_scalar is a program that 1) assigns a Stata scalar the value named in the line of syntax, and 2) writes the new value of the scalar in the VCQI log. It has the same consequence as Stata's *scalar* command, with the bonus of documenting the assigned value in the log.

#### A Note on Dose Names

The convention in VCQI is for dose names to be expressed using lower case letters in variable names and scalar names. These are case sensitive. Before running VCQI, you will need to rename the dose-related variables from the FVL document and include the dose names in the variable names. The following lines show how the variables might be renamed for penta1. When evaluating dates, VCQI expects to find month, day, and year coded in separate variables named <dose>\_date\_card\_m, <dose>\_date\_card\_d, <dose>\_date\_card\_y, and <dose>\_tick\_card in the RI dataset<sup>5</sup>, and corresponding variables with the word 'register' substituted for 'card' in the RIHC dataset. The user should write a program to either rename the survey variables, or to make new variables to meet that expectation.

#### In the RI dataset:

```
rename RI39d pental_date_card_d
rename RI39m pental_date_card_m
rename RI39y pental_date_card_y
rename RI40 pental_tick_card
```

#### In the RIHC dataset:

```
rename RIHC29d pental_date_register_d rename RIHC29m pental_date_register_m rename RIHC29y pental_date_register_y rename RIHC30 pental_tick_register
```

The user can name the doses anything they wish (with 6 or fewer characters in the name). For instance, it would be perfectly valid to use the name penta1, dpt1, or dtp1. The doses must be named consistently in the RI and RIHC datasets and in the scalars that define the schedule. So if the variables use penta1, then the schedule scalars should not say dpt1; they should say penta1.

Note: The only dose name that is hard-coded into VCQI is bcg. If the survey asks interviewers to record whether they saw the BCG scar on the child, then VCQI expects to find a variable named bgc\_scar\_history. All other doses are free to use any alternate abbreviations.

Note: Dose names should use abbreviations with 6 or fewer characters. If it is a multi-dose sequence, the letter portion of the abbreviation should use 5 or fewer characters. So 'penta' is okay and 'pneum' is okay, but 'pneumo' is too long because when the 1, 2 or 3 are appended for first, second, and third doses, the abbreviation would be 7 characters.

Again, the VCQI convention is for the scalars and these date and tick variables to use lower case names.

But in VCQI control programs we sometimes require a single dose name or a list of dose names as inputs and those may be specified in upper or lower case. (VCQI will convert the case to what it needs when it

<sup>&</sup>lt;sup>5</sup> The string <dose> is a placeholder; it might be bcg or mcv1 or penta1. The FVL document contains a longer example in the section named "Breaking Dates Into Month, Day and Year Components".

runs.) If you see a dose name being listed using the  $vcqi\_global^6$  command, it can be either upper or lower case.

## 3.2 Survey Metadata

There are three categories of information that VCQI requires to describe the survey:

- 1. What are the earliest and latest allowable dates of vaccination for respondents and doses inquired about in this survey?
- 2. What are the minimum and maximum age of children eligible for the survey (in days)?
- 3. Did the survey protocol include seeking vaccination records at health centers, and if yes, for which respondents?

These are typically specified in Block D of the control program.

#### Earliest and Latest Allowable Vaccination Dates for this Survey

The user must specify the earliest and latest possible vaccination dates of respondents who are eligible for the RI survey. For surveys that include birth doses, the earliest date will be the same as the earliest possible birth date of survey respondents and the latest date will be the last day of the survey. This information will be used to assess the data quality of dates on cards and registers. If a card or register shows a date that is earlier than the earliest allowable date or later than the latest date, then the date is assumed to contain an error, and VCQI will replace the date with a tick mark.

Specify those dates with the following global macros<sup>7</sup> in the control program:

```
vcqi_global EARLIEST_SVY_VACC_DATE_M 1
vcqi_global EARLIEST_SVY_VACC_DATE_D 1
vcqi_global EARLIEST_SVY_VACC_DATE_Y 2013

vcqi_global LATEST_SVY_VACC_DATE_M 1
vcqi_global LATEST_SVY_VACC_DATE_D 1
vcqi_global LATEST_SVY_VACC_DATE_Y 2015
```

Note: These global macros are not dose-specific or child-specific – they apply to all doses and all children. In the survey described above, a child had to be born on or between January 1, 2013 and December 31, 2013 to be eligible for the survey. Any vaccination date that falls outside the window specified by these scalars will be considered incorrect – vaccination dates that fall outside that window will be treated as tick marks on the card, and will not be included in analyses that evaluate date of vaccination.

Eligible Ages for this Survey

The user should specify the age inclusion criteria for the survey using two global macros. If omitted, VCQI assumes that children had to be between 365 and 731 days of age. The minimum age of eligibility

<sup>&</sup>lt;sup>6</sup> vcqi\_global is a program that 1) assigns a Stata global macro the value named in the line of syntax, and 2) writes the new value of the global macro in the VCQI log. It has the same consequence as Stata's *global* command, with the bonus of documenting the assigned value in the log.

<sup>&</sup>lt;sup>7</sup> By convention, VCQI uses upper-case for global macros and lower-case for local macros and scalars.

is used on a dose-by-dose basis to decide which children were age-eligible for which doses. This is particularly relevant in surveys that ask about doses administered in the second year of life.

```
vcqi_global VCQI_RI_MIN_AGE_OF_ELIGIBILITY 365 vcqi global VCQI RI MAX AGE OF ELIGIBILITY 731
```

## Records Sought at Health Centers

The user must specify whether vaccination records were sought at health facilities, by setting one and only one of the following global macros to 1:

- RI\_RECORDS\_NOT\_SOUGHT
- RI\_RECORDS\_SOUGHT\_FOR\_ALL
- RI RECORDS SOUGHT IF NO CARD

This is accomplished in the control program with code like the following:

This selection affects calculations for many of the outcomes. All RI indicators interpret this data the same, except for the MOV indicators (RI\_QUAL\_07, RI\_QUAL\_08, RI\_QUAL\_09). The table below outlines how the data will be used.

Table 3-1. How RI\_RECORDS inputs affect outcome calculation

RI_ RECORDS_ NOT_ SOUGHT	RI_ RECORDS_ SOUGHT_ FOR_ALL	RI_ RECORDS_ SOUGHT_ IF_ NO_CARD	Outcome is based on:	Notes
1	0	0	Card and History Only	Data from EPI registers is ignored, even if it is present in the dataset
0	1	0	Card or History or Register	There may be records with data from both card and register. In that case, the indicators set the final outcome to whichever record (card or register) is more favorable to the vaccination program. In other words, it gives the benefit of the doubt to the program and assumes that the source that documents a good outcome is correct
0	0	1	Card and History if card was seen; Register and History for those without Cards	Only looks at data for the register for respondents who did not furnish a card. If the survey team happens to collect register data for a respondent who also has card data, the register data will be ignored.

For example, for the indicator for valid vaccination coverage (RI\_COVG\_02), if the card shows that the child received the dose too early to be valid, but the register date indicates that it was valid, then the outcome variables are listed below for each RI\_RECORDS\_SOUGHT option:

Table 3-2. How RI RECORDS inputs affect the main valid dose outcome (RI COVG 02)

	_	•	· —	<del>-</del> •
RI RECORDS SOUGHT	Card Seen	Valid_dose_by_card	Valid_dose_by_register	Valid_dose_to_analyze (main outcome)
FOR_ALL	Yes	Invalid dose	Valid dose	Valid dose (from register)
NOT_SOUGHT	Yes	Invalid dose	n/a	Invalid dose (from card)
IF_NO_CARD	No	n/a	Valid dose	Valid dose (from register)
IF_NO_CARD	Yes	Invalid dose	Valid dose	Invalid dose (from card)

Changing which of these three inputs is set to 1 will affect the results of the final indicator. The final indicator is sometimes recorded with a variable that uses the suffix "to analyze" and is often saved in a database with the abbreviation "\_a\_" in its filename. Chapter 6 contains detailed information about individual indicators.

## 3.3 Analysis Metadata and Options

#### Lists of Doses

The user must specify the names of the doses in the coverage analysis. This is accomplished in three steps in the control program.

First, specify the names of the single dose vaccines:

The doses can be listed in any order and in either upper or lower case. The spelling of dose names must correspond exactly to those in the schedule scalars and the date and tick variable names in the RI and RIHC datasets.

Next, specify the name of any two-dose vaccines:

```
vcqi global RI MULTI 2 DOSE LIST ROTA
```

Note: For this global macro you should not specify numbers on the end of the dose names. Do not list ROTA1 and ROTA2; simply list ROTA and VCQI will know that there is a 1 and 2. If there are no two-dose vaccines in the schedule, leave the list blank.

Next, specify the name of any three-dose vaccines:

```
vcqi global RI MULTI 3 DOSE LIST PENTA PCV OPV
```

Note: For this global macro you should not specify numbers on the end of the dose names. Do not list PENTA1 PENTA2 PENTA3...simply list PENTA and VCQI will know that there is a 1, 2, and 3 dose.

Note: It is recommended to list all doses here that appear in the survey questionnaire. In some cases you might do a limited analysis of a short list of doses and you might be tempted to shorten these lists to include only the doses of interest so VCQI will run faster. But doing so may affect what you are

able to calculate in that analysis. If you exclude a dose from this list, no information about its coverage will be available in any of the indicators. And it will be important, in particular, to list all doses from the survey when calculating indicators re: missed opportunities for simultaneous vaccination (RI\_COVG\_07, RI\_COVG\_08 and RI\_COVG\_09).

#### List of Stratum Levels

The user specifies which level of strata should appear in tables and plots and datasets using syntax like this in Block D:

```
vcqi_global SHOW_LEVEL_1_ALONE 0
vcqi_global SHOW_LEVEL_2_ALONE 0
vcqi_global SHOW_LEVEL_3_ALONE 0
vcqi_global SHOW_LEVELS_2_3_TOGETHER 0
vcqi_global SHOW_LEVELS_1_4_TOGETHER 1
vcqi_global SHOW_LEVELS_2_4_TOGETHER 0
vcqi_global SHOW_LEVELS_3_4_TOGETHER 0
vcqi_global SHOW_LEVELS_2_3_4_TOGETHER 1
```

Annex B describes several common combinations of 0's and 1's for these parameters and how they affect the tables and plots.

If the user asks for output only for LEVEL 1, then VCQI will only calculate outcomes for LEVEL 1. If the user asks for output for several levels, VCQI will calculate outcomes for all levels that are requested. The selection here affects not only the tables and plots, but also the databases of results.

In these globals, the word "TOGETHER" can be interpreted to mean "NESTED". The results for the lower levels will be nested underneath results from the appropriate higher level. See Annex B.

#### **Options for Individual Indicators**

See sections on DESC 01 in Chapter 6 for how to run the analysis that describes the RI dataset.

See sections on DESC\_02 and DESC\_03 in Chapter 6 for how to summarize responses to multiple-choice questions.

The user specifies a title, subtitle, and as many footnotes as they like for the Excel worksheet that holds the indicator output. These are specified using global macros in the control program. For example, the following code specifies the title and two footnotes for the RI\_COVG\_01 indicator. It specifies an empty subtitle. (The footnotes are long and wrap onto several lines each in this document but they are each specified on a single long line of Stata code in the sample control program that comes with VCQI.)

vcqi\_global RI\_COVG\_01\_TO\_FOOTNOTE\_2 Note: This measure is a population estimate that incorporates survey weights. The CI, LCB and UCB are calculated with software that take the complex survey design into account.

Footnotes are numbered sequentially and you may specify as many footnotes for a single measure as you wish. It is important not to skip any numbers. Begin with 1 and increase by 1 up to the number that you wish to list.

Note: If you skip a number when specifying footnotes (i.e., 1..2..4..5) then VCQI will only list the footnotes from before the break (i.e., 1 and 2).

Several indicators include some automatic footnotes, based on user inputs. The logic that produces these is laid out in Chapter 7.

There are no special inputs or metadata required to calculate RI\_COVG\_01-02 or RI\_QUAL\_01-02. Use the default titles and footnotes in the example control program, or specify new ones if you wish.

The remaining indicators each require the user to specify one or more global macros to define precisely what to analyze and how. See the individual descriptions of the indicators in later sections of this document.

See sections on COVG\_DIFF\_01 and COVG\_DIFF\_02 for how to test hypotheses about whether population levels of RI coverage differ a) between strata, or b) between sub-groups within a stratum, respectively.

See Annex B for details on how to control which strata appear in the Excel output and the graphic figures.

## CHAPTER 4. ANALYSIS OF TETANUS PROTECTION AT BIRTH (TT) SURVEYS

Analysis of TT survey data is accomplished with a dedicated control program, copied from an example and modified to fit the user's survey and dataset. VCQI currently calculates a single measure from tetanus surveys TT\_COVG\_01: Proportion of the children born in the last 12 months protected at birth from tetanus. VCQI assumes that the survey datasets are consistent with the FVL document, so you will need to rename and recode all applicable variables accordingly before running VCQI.

## 4.1 Schedule Metadata

This indicator does not require any vaccination schedule information.

## 4.2 Survey Metadata

## **Records Sought at Health Centers**

The user must specify whether vaccination records were sought at health centers, by setting one and only one of the following global macros to 1:

- TT\_RECORDS\_NOT\_SOUGHT
- TT\_RECORDS\_SOUGHT\_FOR\_ALL
- TT\_RECORDS\_SOUGHT\_IF\_NO\_CARD

This selection affects how the main outcome of TT COVG 01 is calculated.

Table 4-1. How TT\_RECORDS inputs affect indicator calculations

		•		
TT_	TT_	TT_	Outcome is based	Notes
RECORDS_	RECORDS_	RECORDS_	on	
NOT_	SOUGHT_	SOUGHT_		
SOUGHT	FOR_ALL	IF_		
		NO_CARD		
1	0	0	Card and History	Data from the TTHC dataset is ignored even
			Only	if the control program names a TTHC file –
				evidence for protection at birth comes only
				from the card & respondent's recall.
0	1	0	Card or History or	The dataset may contain records with data
			Register	from both card and register. In that case, the
				indicator considers evidence from
				respondent recall, from the vaccination card,
				and from the health facility register. If data
				from any source indicates that the child was
				protected at birth, then the main outcome
				will indicate that the child was protected at
				birth.
0	0	1	Card and History	
	0	1	Card and History	Only looks at data from the health facility for
			if card was seen;	respondents who did not furnish a card. If
			Register and	the survey team happens to have collected
			History for those	register data for a respondent who also has
			without cards	card data, the register data will be ignored.

## 4.3 Analysis Metadata and Options

See sections on DESC\_01 in Chapter 6 for how to run the analysis that describes the TT dataset.

See sections on DESC\_02 and DESC\_03 in Chapter 6 for how to summarize responses to multiple-choice questions.

See section on TT\_COVG\_01 in Chapter 6 for how to generate the protected at birth indicator.

See sections on COVG\_DIFF\_01 and COVG\_DIFF\_02 for how to test hypotheses about whether population levels of protection at birth differ a) between strata, or b) between sub-groups within a stratum, respectively.

See Annex B for details on how to control which strata appear in the Excel output and the graphic figures.

## CHAPTER 5. ANALYSIS OF POST-CAMPAIGN (SIA) SURVEYS

Analysis of SIA survey data is accomplished with a dedicated control program, copied from an example and modified to fit the user's survey and dataset. One important limitation at this time is that VCQI assumes that the SIA consisted of a single dose. If your vaccination campaign included more than one dose, each will need to be saved in separate datasets and analyzed with separate VCQI control programs.

VCQI currently calculates four indicators for SIA surveys.

- Proportion of target population who received the campaign dose (SIA\_COVG\_01)
- Proportion of target population whose campaign dose was their first-ever dose (SIA\_COVG\_02)
- Estimated proportion of each single-year birth cohort in the target population who have had 0, 1, or 2+ lifetime doses of measles vaccine (SIA\_COVG\_03)
- Proportion of vaccinated respondents who received a campaign card (SIA QUAL 01)

VCQI assumes that the survey datasets are consistent with the FVL document, so you will need to rename and recode all applicable variables accordingly before running VCQI.

## 5.1 Vaccination Schedule Metadata

The SIA module does not use schedule metadata.

## 5.2 Survey Metadata

Block D also specifies whether the coverage survey documented coverage using fingermarks or not. If not, then the fingermark outcome is not summarized in the Excel spreadsheet. The value 1 means yes, and the value 0 means no.

```
vcqi global SIA FINGERMARKS SOUGHT 1
```

Note: VCQI currently assumes that the coverage survey checks for coverage using either a campaign card or caregiver's recall. Code the variables SIA20 and SIA22 in accordance with FVL document. If the campaign did not use cards, then there will be some extra card-related columns in the output table that may be safely ignored or deleted.

## 5.3 Analysis Metadata and Options

See sections on DESC\_01 in Chapter 6 for how to run the analysis that describes the SIA dataset. See sections on DESC\_02 & DESC\_03 in Chapter 6 for how to summarize responses to multiple-choice questions.

There are no special inputs or metadata required to calculate the SIA indicators. You may use the titles, subtitles, and footnotes from the example control program, or specify new ones in your control program.

See sections on COVG\_DIFF\_01 and COVG\_DIFF\_02 in Chapter 6 for how to test hypotheses about whether population levels of campaign coverage differ a) between strata, or b) between sub-groups within a stratum, respectively.

See Annex B for details on how to control which strata appear in the Excel output and graphic figures.

#### CHAPTER 6. DESCRIPTION OF THE VACCINATION COVERAGE QUALITY INDICATORS

The following pages list the individual indicators that are available in VCQI. Each contains an overview, a list and description of required global macro inputs (if any) and a short list of outputs that the software generates. The VCQI files you download include examples of control programs to run each of these indicators and include examples of output from each.

## 6.1 Weighted and unweighted analyses

Many of the analyses listed here are described as "Weighted: Yes". Those analyses are always weighted even if there are some respondents for whom we do not have sufficient data to be able to put them in the numerator. Valid coverage is a good example. If we do not have vaccination dates from the card or register then we cannot say that a respondent got a valid dose, but the convention for these indicators is to put all respondents in the denominator so the measure is interpreted as "% of the population represented by the respondents for whom we a) had data elements required and b) found evidence of valid coverage".

Some of the analyses listed below are described as "Weighted: No". These are usually analyses where only a subset of respondents will be in the denominator, so it could be confusing to draw conclusions about the overall population.<sup>8</sup>

Note: VCQI does not currently provide estimates of sampling error for the unweighted analysis. The estimate is a description of a proportion observed in the sample, and is reported without an estimate of its uncertainty. (This would be a good topic for discussion in a user's group whether it would be helpful to report the precision of the estimates, due to sampling variability, for these indicators.)

## 6.2 Analysis Counter

Block F of the control program sets a global macro named ANALYSIS\_COUNTER. It is required, and usually set to 1. In most control programs it will only be set once and never changed.

In the remainder of this chapter, you will note that the analysis counter appears in the names of many VCQI output files and worksheets.

In advanced analyses, the user can conduct sensitivity analyses by running an initial analysis and then changing some of the analysis parameters, changing the analysis counter and re-running the indicator. In the first run, the output files and tabs would list the value 1 for ANALYSIS COUNTER and in the

\_

<sup>&</sup>lt;sup>8</sup> In the current software, these analyses will always be unweighted. In a future revision it might be advisable to add a capability to recognize when more than (some user-specified proportion, like 90%) of the sample is in the denominator and more than (some user-specified count, like 2) respondents in every cluster are in the denominator, in which case maybe the weighted proportion is meaningful and the user could be informed, in a footnote of the unweighted analysis, that a weighted analysis is available. The user could obtain the weighted analysis by going back and including an option to FORCE calculation of the weighted measure. This is an idea that should be discussed with a user group, perhaps. For now, if this document says it is unweighted, it is unweighted.

second run they would be named with the value 2 and would therefore not overwrite the first set of output. This can be accomplished in a single control program.

For example, one could explore how valid coverage changes if we allow a four-day "grace period" whereby we count a dose as valid if the child receives it up to four days before they were scheduled to do so. This can be done with code like the following:

```
* Initial run uses the usual schedule established in Block D
vcqi scalar pental min age days =
                                               42 // 6 weeks
vcqi_scalar penta2_min_age_days = 70 // 10 weeks
vcqi_scalar penta2_min_interval_days = 28 // 4 weeks
                                              70 // 10 weeks
vcqi scalar penta3 min age days =
                                              98 // 14 weeks
vcqi_scalar penta3_min interval days = 28 // 4 weeks
* intervening code from Block E goes here
* intervening code from Block E goes here
* intervening code from Block E goes here
* This code in block F accomplishes the original analysis
* Tabular output goes to tab named "RI COVG 02 1"
* Databases and plots have the ANALYSIS COUNTER value 1 in filenames
vcqi global ANALYSIS COUNTER 1
RI COVG 02
* Now re-run using a schedule with a grace period
* Tabular output goes to tab named "RI COVG 02 2"
* Databases and plots have the ANALYSIS COUNTER value 2 in filenames
vcqi qlobal ANALYSIS COUNTER 2
vcqi_scalar penta1_min_age_days = 38  // 6 weeks minus 4 days
vcqi_scalar penta2_min_age_days = 66  // 10 weeks minus 4 days
vcqi scalar penta2 min interval days = 24 // 4 weeks minus 4 days
vcqi scalar penta3 min age days = 94 // 14 weeks minus 4 days
vcqi scalar penta3 min interval days = 24 // 4 weeks minus 4 days
RI COVG 02
```

This same sensitivity analysis could be accomplished using two CONTROL programs that send output to two different Excel files altogether. In that case, there is no need to change the value of ANALYSIS\_COUNTER.

Note: Some indicators use the ANALYSIS\_COUNTER to open datasets from indicators that were run earlier, so it is best to experiment carefully with changing the ANALYSIS COUNTER. Note that the indicators in Table 6-1 rely on datasets constructed earlier. The value of ANALYSIS\_COUNTER must be the same when the later indicator is run that it was when the earlier indicator was run. So in some cases, to do the sensitivity analysis, it will be necessary to change ANALYSIS\_COUNTER and re-run several indicators or to use a Stata command to rename copies of earlier datasets. (e.g., To do a

sensitivity analysis on RI\_QUAL\_07 with different inputs, it will be necessary to re-run RI\_COVG\_02 using the new value of ANALYSIS\_COUNTER as well or to copy the dataset named RI\_COVG\_02\_1 to a new dataset named RI\_COVG\_02\_2. Watch for a tutorial with additional examples of how to use the analysis counter in several kinds of "what-if" analyses.)

Table 6-1 lists the indicators that rely on output from RI\_COVG\_01, RI\_COVG\_02 and RI\_COVG\_03.

Table 6-1. VCQI indicators that rely on others being run first

. , ,				
Indicators that use output from	Indicators that use output from	Indicators that use output from		
RI_COVG_01	RI_COVG_02	RI_COVG_03		
(crude coverage)	(valid coverage)	(fully vaccinated)		
RI_ACC_01	RI_COVG_03	RI_COVG_04		
RI_CONT_01	RI_COVG_04			
RI_COVG_02	RI_QUAL_06			
RI_COVG_03	RI_QUAL_07			
RI_COVG_04				
RI_COVG_05				

## 6.3 DESC: All Surveys: Describing the Survey Sample

## DESC\_01: Expected vs observed sample: clusters, households, & respondents

Description: This indicator generates a table that describes the expected number of clusters,

households, and respondents, based on records from the survey design phase, and will document the actual numbers observed in the survey. It documents the number of households visited, the number of households where no one was home and the number of respondents who refused. It also describes the number of selected respondents (by gender) and interview disposition as well as the number of respondents for whom

records were found in health facility registers.

Weighted: No

Denominator: All households selected to be visited (first portion of the table)

All eligible respondents (second portion of the table)

Numerator: Counts from survey design and from survey dataset

User inputs: The user must specify what sort of survey dataset is being summarized:

vcqi global DESC 01 DATASET <TT, RI or SIA>

Control Program

Command: DESC\_01

Outputs: This indicator generates three <u>databases</u> that describe the households visited, the

eligible respondents, and the RI dataset. They are named

DESC\_01\_HH\_<RI, TT, or SIA>\_<analysis counter>\_database.dta DESC\_01\_EL\_<RI,TT,SIA> <analysis counter>\_database.dta

DESC\_01\_RI\_<analysis counter>\_database.dta

The Excel worksheet is named DESC\_01.

This indicator does not generate any plots.

In the Excel worksheet, VCQI reports the following quantities for each stratum. These items are listed in rows here, because of space consideration, but in the VCQI spreadsheet, they are columns. In the VCQI output, each row in the output table represents a different stratum.

Table 6-2. Fields reported in DESC\_01

Worksheet	Summary of:	Category	Sub-category	What is
Column	·			reported
В	HH Visited	Expected	Total	N
С		Observed	Total	N
D			Total	N
E		Occupant		%
F			Eligible	N
G			8	%
Н	Info From		Total	N
I		Neighbor	10tai	%
J		Neighbor	Eligible	N
K			Liigibie	%
L	Info From Occupant	No Info	Total	N
M			Total	%
N		Eligible	Total	N
0		Selected	Total	N
Р		Completed om Occupant	Total	N
Q				%
R			Male	N
S				%
Т			Female	N
U				%
V			Found Register Records	N
W				%
X		Did Not Complete	Caretaker Unavailable	N
Y				%
Z			Refused	N
AA				%
AB			Other	N
AC				
AL				%

Within a stratum, there are some relationships that should hold among columns:

- 1. D + H + L = C
- 2. P + X + Z + AB = O
- 3. R + T = P
- 4. E + I + M = 100%
- 5. Q + Y + AA + AC = 100%
- 6. S + U = 100%

#### DESC 02: Response to multiple-choice question (e.g., education, occupation, etc.)

Description:

Most surveys will include some multiple-choice questions to be summarized in the survey report. These often describe the respondents' demographics, opinions, sources of info and reasons for not vaccinating.

DESC\_02 summarizes responses to questions where the respondent must select only one response, and the responses are saved in a single outcome variable., The variable is usually saved as an integer with a value label to describe the response option.

Note: To summarize responses to questions where the respondent can select more than one response, see DESC 03.

Weighted: Yes or No – the user decides

Denominator: Depends on user selections:

Table 6-3. Denominator definitions for DESC\_02

DESC_02_WEIGHTED	DESC_02_DENOMINATOR	Denominator Description
No	Responded	Number of respondents who
		answered the question
No	All	Number of all respondents
Yes	All	Sum of weights for all
		respondents

Numerator:

Depends on user selections:

Table 6-4. Numerator definitions for DESC\_02

DESC_02_WEIGHTED	Numerator Description
No	Number of respondents who selected a particular choice
Yes	Sum of weights for respondents who selected that choice

User inputs:

For each requested table, the user specifies

#### Required:

DESC\_02\_DATASET <RI, SIA or TT>
DESC\_02\_VARIABLES <name of variable(s) that holds the response<sup>9</sup>>
DESC\_02\_WEIGHTED <YES or NO><sup>10</sup>
DESC\_02\_DENOMINATOR <ALL or RESPONDED><sup>11</sup>

<sup>&</sup>lt;sup>9</sup> If more than one variable is listed, a table will be made for each variable and all the currently defined DESC\_02 global variables will be applied to all of those tables; if you wish to make tables using different options (e.g., some weighted and some not) then run DESC\_02 once with the WEIGHTED option and then turn that option off and run DESC\_02 again.

<sup>&</sup>lt;sup>10</sup> Many VCQI globals are set to 1 to indicate YES and 0 to indicate NO. This global is an exception; use the words YES or NO.

<sup>&</sup>lt;sup>11</sup> If WEIGHTED is YES then DENOMINATOR must be ALL.

Note: If the user asks for weighted output then the denominator must be ALL. The estimated proportion for each response will be weighted and will be accompanied by a confidence interval. If the user asks for unweighted output, the user can stipulate that the denominator should be ALL respondents, or only those who RESPONDED to the question (response is not missing).

#### Optional:

Several inputs are optional. If you wish to over-ride the label for one of the response options, you may do so using the "MISSING" options. And if you want to report sub-totals of response options, you may do so using the "SUBTOTAL" options. To work correctly, the items indicated in <angle brackets> in Table 6-5 should be replaced with integers.

	Table 6-5.	Optional	inputs for	DESC 02
--	------------	----------	------------	---------

Optional Globals	Description	Values
DESC_02_N_MISSING_LEVELS	Number of replacement labels	Integer
DESC_02_MISSING_LEVEL_<1	Response value that new label will	Integer
up to the N_MISSING_LEVELS>	apply to	
DESC_02_MISSING_LABEL_<1	New value label	Text
up to the N_MISSING_LEVELS>		
DESC_02_N_SUBTOTALS	Number of response groups user	Integer
	would like to create for variable	
DESC_02_SUBTOTAL_LEVELS_<1	Response values that will be	List of Integers
up to the N_SUBTOTALS>	grouped together	
DESC_02_SUBTOTAL_LABEL_<1	New value label for grouped	Text
up to the N_SUBTOTALS> Text	responses	
label for table		

The options in Table 6-5 accomplish two different goals. In Stata, missing values are not allowed value labels, so the first set of optional inputs lets the user assign labels to missing values (or lets the user over-ride the value label and specify what label they would like to see in the output table). If the user wishes to specify a label for one level, set N\_MISSING\_LEVELS to 1 and identify which level. A common option will be to specify the missing level denoted in Stata with a single period. (See example below.) If the user wishes to specify revised labels for other levels, then supply those as well.<sup>12</sup>

In the example below, the level 3 has a value label that says "Other, please specify". The user wished to override this label and instead say "3: Other". The user also wanted to label the column of missing values with the word "Missing".

```
vcqi_global DESC_02_N_MISSING_LEVELS 2
vcqi_global DESC_02_MISSING_LEVEL_1 3
vcqi_global DESC_02_MISSING_LABEL_1 3: Other
```

<sup>12</sup> Although the key word in the global names is "MISSING", they key functionality is to re-label those responses.

Note: When the DENOMINATOR is set to RESPONDED, VCQI will not list missing as a level in the output table – it assumes that you are not interested in tabulating missing responses. But the so-called MISSING options may still be used to re-label non-missing responses.

Note: When the DENOMINATOR is set to ALL, it will be a good idea to specify a LABEL for missing values (.). Otherwise the output table may include a column header that says ". (%) ", which may be confusing to some readers who do not know that a dot is Stata's way of representing a missing value.

The second goal accomplished by the optional inputs to specify a sub-total. Tell Stata how many sub-totals there will be, and then for each, specify the list of response categories that should be grouped together and stipulate what label to put at the top of the column.

Note: There is currently no option for suppressing the individual responses and showing sub-totals only.

```
vcqi_global DESC_02_N_SUBTOTALS 1
vcqi_global DESC_02_SUBTOTAL_LEVELS_1 1 2
vcqi_global DESC_02_SUBTOTAL_LABEL_1 Subtotal: 1 or 2
```

Control Program

Command: DESC\_02

Outputs:

This indicator makes one <u>database</u> per variable summarized. The file will be named DESC\_02\_<analysis counter>\_<DESC 02 counter>.dta. The DESC 02 counter starts at 1 and increases by 1 every additional time DESC\_02 is called. The database lists the % for each option along with total N for every stratum at every level. If the user requests weighted results, it reports weighted N and reports 95% CI for each option if the calculation.

This indicator makes one Excel <u>worksheet</u> per variable summarized. The worksheet will be named DESC\_02\_<analysis counter>\_<DESC\_02 counter>\_<name of variable being summarized>\_database.dta.

This indicator does not generate any plots.

Interpretation: Depends on the user's selections:

Table 6-6. Interpretation of DESC\_02

DESC_02_WEIGHTED	DESC_02_DENOMINATOR	Interpretation
Unweighted	All	"Among the N respondents,
		X% selected this response
		option."
Unweighted	Responded	"Among the N respondents
		who answered the question,
		X% selected this response
		option."
Weighted	All	"X% of eligible respondents
		in the population are
		estimated to be in the
		category of person who
		would select this response
		option."

#### **More Notes:**

One important limitation of this indicator is that it only lists responses that respondents actually selected. If there was a valid response option that no one selected, it will not appear in the resulting table.

It is possible to summarize the responses to numerous questions. After setting the input global macros and calling DESC\_02, simply change the DESC\_02 global macros and call DESC\_02 again. The output for each call is summarized in a new tab in the Excel worksheet. See the example control programs that you downloaded with VCQI for examples.

It is allowable to specify more than one variable for DESC\_02\_VARIABLES. If you specify two variables, they will each be summarized in their own worksheets. They will use the same options for the required and optional inputs. It may be rare to have two variables where you wish to use all the same options, but it is allowed.

#### DESC 03: Response to multiple-choice question (e.g., education, occupation, etc.)

Description: Most surveys will include some multiple-choice questions to be summarized in the

survey report. This indicator summarizes responses to questions where the respondent

may select more than one response option.

Note: To summarize responses to questions where the respondent can select only one response, see DESC\_02.

Weighted: Yes or No – the user decides

Denominator: Depends on user selection:

Table 6-7. Denominator definitions for DESC 03

DESC_02_WEIGHTED	DESC_02_DENOMINATOR	Denominator Description
No	Responded	Number of respondents who
		answered the question
No	All	Number of all respondents
Yes	All	Sum of weights for all
		respondents

Numerator: Depends on user selection:

Table 6-8. Numerator definitions for DESC 03

DESC_02_WEIGHTED	Numerator Description
No	Number of Respondents who selected a particular choice
Yes	Sum of weights for respondent who selected that choice

User inputs: For each requested table, the user specifies

#### Required:

#### Example:

<sup>&</sup>lt;sup>13</sup> Many VCQI globals are set to 1 to indicate YES and 0 to indicate NO. This global is an exception; use the words YES or NO.

<sup>&</sup>lt;sup>14</sup> If WEIGHTED is YES then DENOMINATOR must be ALL.

```
global DESC_03_SELECTED_VALUE 1
global DESC_03_WEIGHTED NO
global DESC 03 DENOMINATOR RESPONDED
```

Note: If the user asks for weighted output then the denominator must be ALL. The estimated proportion for each response will be weighted and will be accompanied by a confidence interval. If the user asks for unweighted output, they can stipulate that the denominator should be ALL respondents, or only those who RESPONDED to the question (response is not missing).

#### Optional:

Note: The user will need to complete the Global Names below with the correct integer value in place of < > text if they wish to utilize the MISSING\_LEVELS or SUBTOTAL functions.

Table 6-9. Optional inputs for DESC 03

Optional Globals	Description	Values
DESC_03_TO_TITLE	Title for cell A1 in Excel	String
	summary worksheet	
DESC_03_N_MISSING_LEVELS	Number of replacement labels	Integer
DESC_03_MISSING_LEVEL_<1 up	Response value(s) that new	Integer
to the N_MISSING_LEVELS>	label will apply to	
<integer></integer>		
DESC_03_MISSING_LABEL_<1 up	New value label	Text
to the N_MISSING_LEVELS> Text		
label for table		
DESC_03_N_SUBTOTALS	Number of response groups	Integer
	user would like to create for	
	variable	
DESC_03_SUBTOTAL_LEVELS_<1	Response values that will be	List of Integers
up to the N_SUBTOTALS> < list of	grouped together	
integers>		
DESC_03_SUBTOTAL_LABEL_<1	New value label for grouped	Text
up to the N_SUBTOTALS> Text	responses	
label for table		

The \_TITLE option is unique to DESC\_03. Note that DESC\_02 uses the Stata variable label to populate cell A1 of the summary Excel worksheet, but for DESC\_03 it is not clear which variable might hold the appropriate label, so the user is asked to specify the title for the DESC\_03 worksheet.

```
global DESC 03 TO TITLE Why is the child under vaccinated?
```

The "MISSING" options accomplish the same goals described above in DESC\_02: allow the user to over-ride the variable labels and specify what label they would like to see in the output table. If the user wishes to specify a label for one level, set

N\_MISSING\_LEVELS to 1 and identify which level. A common option will be to override the label for "Other, Please specify" and make it simply "Other". E.g.:

```
vcqi_global DESC_03_N_MISSING_LEVELS 1
vcqi_global DESC_03_MISSING_LEVEL_1 RI100
vcqi_global DESC_03_MISSING_LABEL 1 12. Other
```

The second goal for optional inputs is to allow the user to identify several response options that should be lumped together into a sub-total. The resulting table will list each individual response <u>and</u> the sub-total. To specify a sub-total, tell Stata how many sub-totals there will be, and then for each, specify the list of response categories that should be grouped together and tell it what label to put at the top of the column.

Note: There is currently no option for suppressing the individual responses and showing sub-totals only.

```
vcqi_global DESC_03_N_SUBTOTALS 3
vcqi_global DESC_03_SUBTOTAL_LEVELS_1 RI89 RI90 RI91 RI92
RI93 RI94 RI95
vcqi_global DESC_03_SUBTOTAL_LABEL_1 Troubles
vcqi_global DESC_03_SUBTOTAL_LEVELS_2 RI96 RI97 RI98
vcqi_global DESC_03_SUBTOTAL_LABEL_2 Beliefs
vcqi_global DESC_03_SUBTOTAL_LEVELS_3 RI99 RI100
vcqi_global DESC_03_SUBTOTAL_LABEL_3 Knowledge
```

Control Program

Command: DESC 03

Outputs:

This indicator makes one <u>database</u> per variable summarized. The file will be named DESC\_03\_<analysis counter>\_<DESC 03 counter>.dta. The DESC 03 counter starts at 1 and increases by 1 every additional time DESC\_03 is called. The database lists the % for each option along with total N for every stratum at every level. It reports weighted N if the user requests weighted results and reports 95% CI for each option if the calculation is weighted.

This indicator makes one Excel <u>worksheet</u> per variable summarized. The worksheet will be named DESC\_03\_<DESC\_03 counter>\_<DESC\_03\_SHORT\_TITLE>\_database.dta.

This indicator does not generate any plots.

Interpretation: Depends on the user's selections:

Table 6-10. Interpretations for DESC\_03

DESC_02_WEIGHTED	DESC_02_DENOMINATOR	Interpretation
Unweighted	All	"Among the N respondents, X%
		selected this response option."
Unweighted	Responded	"Among the N respondents who
		answered the question, X%
		selected this response option."
Weighted	All	"X% of eligible respondents in
		the population are estimated to
		be in the category of person
		who would select this response
		option."

Notes: This indicator, unlike DESC\_02, does summarize responses even if no one selected them.

It is possible to summarize the responses to numerous sets of questions. After setting the input global macros and calling DESC\_03, simply change the DESC\_03 global macros and call DESC\_03 again. The output for each call is summarized in a new tab in the Excel worksheet.

For each response option, DESC\_03 uses the variable label as the title of the column to summarize those responses. So if the variables being summarized are XY14 and its label is "Apples" and XY15 and its label is "Oranges", then those will form the labels. The variable labels can be over-ridden by the user, using the DESC\_03\_MISSING options.

## 6.4 RI\_COVG: RI Survey – Measures Related to Coverage

RI\_COVG\_01: Crude coverage

Weighted: Yes

Denominator: Sum of weights for all respondents

Numerator: Sum of weights for respondents who received the vaccine dose according to card,

register, history

Vaccines: Calculated for each dose

Time options: By the time of survey

Variations: By card

By history By register

By card or history (for purpose of comparison with older surveys)

By card or register (i.e., by documented source)

By card or history or register

To analyze (depends on whether RI records were sought at health facilities, and for

whom; see Chapter 3.)

User inputs: The dose list (See Chapter 3).

Whether RI records were sought at health facilities. See section 3.2 for a description of

the three global macros that describe what was done at health facilities.

Control Program

Command: RI COVG 01

Output: This indicator generates <u>databases</u> that summarize valid coverage:

Table 6-11. Naming convention for RI\_COVG\_01 databases

According to evidence from	Database Name
Card	RI_COVG_01_ <dose>_<analysis counter="">_c_database.dta</analysis></dose>
Caretaker's Verbal History	RI_COVG_01_ <dose>_<analysis counter="">_h_database.dta</analysis></dose>
Card or History	RI_COVG_01_ <dose>_<analysis counter="">_ch_database.dta</analysis></dose>
Register	RI_COVG_01_ <dose>_<analysis counter="">_r_database.dta</analysis></dose>
Card or Register	RI_COVG_01_ <dose>_<analysis counter="">_cr_database.dta</analysis></dose>
Card or History or Register	RI_COVG_01_ <dose>_<analysis counter="">_chr_database.dta</analysis></dose>
Main Outcome to Analyze	RI_COVG_01_ <dose>_<analysis counter="">_a_database.dta</analysis></dose>

How the main outcome for crude coverage is calculated for each respondent depends on whether RI records were sought at health centers, and if so, for whom. This is indicated in the control program by setting one (and only one) of the RECORDS\_SOUGHT global macros to 1. See section 3.2 for details on RECORDS\_SOUGHT global macros in RI Analysis.

The databases include the following output fields for every dose in the dose list and every outcome listed above and every stratum requested via the SHOW\_LEVEL globals (described in section 3.3 and Annex B): estimated %, 2-sided 95% CI, 1-sided 95% lower confidence bound (LCB), 1-sided 95% upper confidence bound (UCB), Design Effect (DEFF), Intracluster correlation coefficient (ICC), N (unweighted), N (weighted), and ICC2<sup>15</sup>.

The Excel <u>worksheet</u> for this indicator is named: RI\_COVG\_01 < analysis counter>. For the outcomes by card, history, and register it simply lists estimated % and 95% CI. For the main outcome it lists estimated %, 95% CI, LCB, UCB, DEFF, ICC, N (unweighted), N (weighted).

The <u>plots</u> generated by the indicator include one organ pipe plot of the main outcome per dose per stratum and one inchworm plot per dose summarizing the main crude coverage outcome.

The organ pipe plots are named RI\_COVG\_01\_<analysis counter>\_opplot\_<dose>\_ <stratum id>\_<stratum name>.png

The inchworm plots are named RI\_COVG\_01\_<analysis counter>\_iwplot\_<dose>\_<four 0/1 flags to show which levels are plotted>.png.

Interpretation: "X% of the population who were eligible for the survey are estimated to have received <dose>, as documented by <source(s)>."

Notes:

For BCG there is an additional outcome for coverage by scar stored in a database named RI\_COVG\_01\_<analysis\_counter>\_BCG\_s\_database.dta. And for BCG, evidence from the scar is counted in the card or history outcome, the card or history or register outcome and the 'to analyze' outcome.

If the survey did not ask for BCG evidence by scar then the RI\_COVG\_01 table will include scar columns that could be ignored or deleted and will include the words "or scar" in several column labels. In the future, it would be possible to add a user input to tell VCQI that the survey did not include a scar question and then the output could appear without alluding to scars. Discuss this enhancement with WHO & a VCQI User's Group.

$$ICC = \frac{(DEFF - 1)}{\left(\frac{\# \ of \ respondents \ in \ stratum}{\# \ of \ clusters \ in \ stratum} - 1\right)}$$

The variable named ICC2 is estimated with one-way ANOVA using the 0/1 outcome and using clusterID as the only factor. Differences between these two methods of estimation may be interesting to researchers. The first estimate (ICC) is the one listed in the VCQI spreadsheet output.

 $<sup>^{15}</sup>$  The variable that we name ICC is estimated using the design effect and the average respondents per cluster.

RI\_COVG\_02: Valid coverage

Weighted: Yes

Denominator: Sum of weights for all respondents

Numerator: Sum of weights for respondents who received a valid dose according to card or register

Vaccines: Calculated for each dose

Time options: By the time of survey, or

By 12 months of age

Variations: By card

By register

By card or register

To analyze

The main motivation is to assess valid coverage when using dates from both cards and registers. One reason for reporting card and register data alone, in addition to card <u>or</u> register, is to show how much coverage estimates increase when the survey team goes to the effort and expense of collecting data from health centers.

User inputs: The dose list (See Chapter 3).

Whether RI records were sought at health facilities. See description above for

RI\_COVG\_01.

The RI dose schedule (See Chapter 3).

Control Program

Command: RI COVG 02

Output: This indicator generates <u>databases</u> that summarize valid coverage:

Table 6-12. Naming convention for RI\_COVG\_03 databases

According to evidence from	Dataset name
Card	RI_COVG_02_ <dose>_<analysis counter="">_c_database.dta</analysis></dose>
Register	RI_COVG_02_ <dose>_<analysis counter="">_r_database.dta</analysis></dose>
Card or Register	RI_COVG_02_ <dose>_<analysis counter="">_cr_database.dta</analysis></dose>
Main Outcome to Analyze	RI_COVG_02_ <dose>_<analysis counter="">_a_database.dta</analysis></dose>
By age 1, according to card	RI_COVG_02_ <dose>_<analysis counter="">_ca1_database.dta</analysis></dose>
By age 1, according to register	RI_COVG_02_ <dose>_<analysis counter="">_ra1_database.dta</analysis></dose>
By age 1, according to card or register	RI_COVG_02_ <dose>_<analysis counter="">_cra1_database.dta</analysis></dose>
Main outcome for valid coverage by age 1	RI_COVG_02_ <dose>_<analysis counter="">_aa1_database.dta</analysis></dose>

How the main outcomes for valid coverage and valid coverage by age 1 are calculated for each respondent depends on whether RI records were sought at health centers, and if so, for whom. This is indicated in the control program by setting one (and only one) of the RECORDS\_SOUGHT global macros to 1. See section 3.2 for details on RECORDS\_SOUGHT global macros in RI Analysis.

The databases include the following output fields for every dose in the dose list and every outcome listed above and every stratum requested via the SHOW LEVEL globals (described in section 3.3 and Annex B): estimated %, 95% CI, LCB, UCB, DEFF, ICC, N (unweighted), N (weighted), and ICC2.

The Excel worksheet for this indicator is named: RI COVG 02 < analysis counter>. For the outcomes by card, by register, and by card or register it simply lists estimated % and 95% CI. For the main outcomes it lists estimated %, 95% CI, LCB, UCB, DEFF, ICC, N (unweighted), N (weighted).

The plots generated by the indicator include one organ pipe plot of the main outcome per dose per stratum and three inchworm plots per dose: one showing results for the main outcome for valid coverage and the other for the main outcome of valid coverage by age 1 and a third showing valid and crude coverage on the same figure. The valid coverage appears in color and crude coverage appears as a hollow gray outline. The indicator does not currently make organ pipe plots of any outcomes by age 1.

The organ pipe plots are named RI\_COVG\_02\_<analysis counter>\_opplot\_<dose>\_ <stratum id> <stratum name>.png

The inchworm plots are named RI\_COVG\_02\_<analysis counter>\_iwplot\_<dose>\_<a or age1 or a\_double>\_<four 0/1 flags to show which levels are plotted>.png.

The inchworm plots that show both valid and crude coverage on the same plot also have the word "double" in the filenames.

Interpretation: "X% of the population who were eligible for the survey are estimated to have a documented record of vaccinations (<source(s)>) and to have received a valid dose of <dose>."

Note:

The survey report should describe what is meant by a "valid dose".

- a) The child had reached the minimum age of eligibility for this dose.
- b) If the schedule specifies a maximum age of eligibility, then the child was within the allowable age range when they received the dose.
- c) If the dose is number 2 or 3 (or higher) in a sequence, then the minimum interval had passed since receiving the earlier dose, so the child was eligible to receive the next dose.

#### RI COVG 03: Fully vaccinated

Weighted: Yes

Denominator: Sum of weights for all respondents

Numerator: Sum of weights for respondents who received all doses in the list that makes up

"fully vaccinated" in that country at that time

Time options: By the time of survey (crude or valid), or

By 12 months of age (valid)

Variations: By any source (crude)

By card or register (valid)

User inputs: You must provide a list of doses that define "fully vaccinated". This is accomplished in

the control program with a line like this (the text is wrapped here in the user's guide but

should appear on a single line in the VCQI control program):

vcqi\_global RI\_DOSES\_TO\_BE\_FULLY\_VACCINATED BCG MCV1 YF PENTA1 PENTA2 PENTA3 OPV1 OPV2 OPV3

Note: In this global macro, the user <u>must</u> specify dose numbers on the multi-dose vaccines: PENTA1 PENTA2 PENTA3, etc. It does not matter what order the doses are listed in, but their names must match the dose names of the date and tick variables in the RI dataset and in the schedule scalars described in Chapter 3.

The control program must also provide the vaccination schedule described in Chapter 3 (which affects which doses were valid).

Note: This measure calculates both crude and valid outcomes and fully vaccinated by age 1. It uses the output from RI\_COVG\_01 and RI\_COVG\_02, so those measures must be calculated before asking for this one.

Control Program

Command: RI\_COVG\_03

Output: This indicator generates <u>databases</u> that summarize results for:

- Those who received crude doses of all the vaccines in the full vaccination list.
   This file is named RI\_COVG\_03\_<analysis counter>\_fvc\_database.dta.
- Those who received valid doses of all those vaccines.
   This file is named RI\_COVG\_03\_<analysis counter>\_fvv\_database.dta.
- Those who received a dose by the age of one year.
   This file is named RI\_COVG\_03\_<analysis counter>\_fva1\_database.dta.

The databases include the following output fields for every stratum requested via the SHOW\_LEVEL globals (described in section 3.3 and Annex B): estimated %, 95% CI, LCB, UCB, DEFF, ICC, N (unweighted), N (weighted), and ICC2.

The Excel worksheet for this indicator is named: RI\_COVG\_03 < analysis counter>. It lists estimated %, 95% CI, LCB, UCB, DEFF, ICC, N (unweighted), N (weighted).

The <u>plots</u> generated by the indicator include three organ pipe plots per stratum and four overall inchworm plots both showing results for crude, valid, and by age 1, and one showing both crude and valid outcomes on the same figure.

The organ pipe plots are named RI\_COVG\_03\_<analysis counter>\_opplot\_<fvc, fvv, or fva1>\_<stratum id>\_<stratum name>.png

The inchworm plots are named RI\_COVG\_03\_<analysis counter>\_iwplot\_<fvc, fvv, or fva1 or fvv\_double>\_<four 0/1 flags to show which levels are plotted>.png

Interpretation: "X% of the population who were eligible for the survey are estimated to be fully vaccinated, with <either crude or valid doses> having received list of doses to be fully vaccinated>."

RI COVG 04: Not vaccinated

Weighted: Yes

Denominator: Sum of weights for all respondents

Numerator: Sum of weights for respondents who received none of the doses in the list that makes

up "vaccinated" in that country at that time

Time options: By the time of survey (crude or valid), or

By 12 months of age (valid)

Variations: By any source (crude)

By card or register (valid)

User inputs: The RI\_DOSES\_TO\_BE\_FULLY\_VACCINATED list described in Chapter 3.

The vaccination schedule described in Chapter 3 (which affects which doses were valid).

Note: This measure calculates both crude and valid outcomes and not vaccinated by age 1. It uses the output from RI\_COVG\_01 and RI\_COVG\_02 and RI\_COVG\_03, so those measures must be calculated before asking for this one.

Control Program

Command: RI\_COVG\_04

Output: This indicator generates <u>databases</u> that summarize results for:

- Those who did not receive any crude doses of the vaccines in the full vaccination list. This file is named RI COVG 04 *<analysis counter>* nvc database.dta.
- Those who did not receive any valid doses of those vaccines.
   This file is named RI\_COVG\_04\_<analysis counter>\_nvv\_database.dta.
- Those who did not receive a dose by the age of one year.
   This file is named RI\_COVG\_04\_<analysis counter>\_nva1\_database.dta.

The databases include the following output fields for every stratum requested via the SHOW\_LEVEL globals (described in section 3.3 and Annex B): estimated %, 95% CI, LCB, UCB, DEFF, ICC, N (unweighted), N (weighted), and ICC2.

The Excel worksheet for this indicator is named: RI\_COVG\_04 < analysis counter>. It lists estimated %, 95% CI, LCB, UCB, DEFF, ICC, N (unweighted), N (weighted).

The <u>plots</u> generated by the indicator include three organ pipe plots per stratum and four overall inchworm plots showing results both for crude, valid, and by age 1 and one showing crude and valid outcomes on the same figure.

The organ pipe plots are named RI\_COVG\_04\_<analysis counter>\_opplot\_<nvc, nvv, or nva1>\_<stratum id>\_<stratum name>.png

The inchworm plots are named RI\_COVG\_04\_<analysis counter>\_iwplot\_<nvc, nvv, or nva1 or nvv double> <four 0/1 flags to show which levels are plotted>.png

Interpretation: "X% of the population who were eligible for the survey are estimated to be un-vaccinated, having no evidence of received any <crude or valid> doses of list of doses to be fully vaccinated> by the sources of information examined in this survey."

#### RI COVG 05: Clusters with alarmingly low crude coverage

Weighted: User-specifies, yes or no

Denominator: Count (or sum of weights) for all respondents in the cluster

Numerator: Count (or sum of weights) for respondents who received the dose

Vaccines: Calculate for select doses

User inputs: vcqi global RI COVG 05 DOSE LIST <e.g., MCV1 PENTA1>

vcqi\_global RI\_COVG\_05\_TABLES <ALL\_CLUSTERS or ONLY\_LOW\_CLUSTERS>

vcqi\_global RI\_COVG\_05\_THRESHOLD\_TYPE <COUNT or PERCENT>
vcqi global RI COVG 05 THRESHOLD <threshold number>

## Note: The doses in the dose list must have already had crude coverage calculated by RI\_COVG\_01.

The user can specify a single dose or several doses to check. The output table will list the count of persons in the cluster, the count of persons vaccinated, and the percent of persons vaccinated in the cluster for each dose in the RI\_COVG\_05\_DOSE\_LIST.

If the user wants to only see the list of clusters with alarmingly low coverage, specify ONLY\_LOW\_CLUSTERS. If the user wishes to see the counts for all clusters in all strata and have the tables highlight those whose coverage is low, then specify ALL\_CLUSTERS. (If you specify ALL\_CLUSTERS then the rows that list clusters with alarmingly low coverage will be shaded.)

The THRESHOLD\_TYPE dictates whether the threshold is a COUNT (i.e., any cluster with ≤ 2 children vaccinated is flagged alarmingly low) or a PERCENT (i.e., any cluster with ≤ 10% of children vaccinated is flagged as alarmingly low).

The THRESHOLD itself is either a COUNT (0, 1, 2, etc.) or a PERCENT (0, 1, 2, ... 98, 99, 100). Clusters whose coverage <u>is less than or equal to</u> the threshold will be flagged as having alarmingly low coverage.

Control Program

Command: RI COVG 05

Output: This indicator makes a single database named RI\_COVG\_05 < analysis counter>\_database.dta.

If making a single table that lists ONLY\_LOW\_CLUSTERS, then the Excel <u>worksheet</u> is named RI\_COVG\_05 <*analysis counter>*. If making tables for each stratum (ALL\_CLUSTERS) then the table name (and Excel tab name) will also list the stratum ID; in either case, the database and table will list:

- Cluster ID & name,
- count of respondents in the cluster,

- count of respondents with got\_crude\_<dose>\_to\_analyze == 1,
- % covered (sum of weights for vaccinated respondents divided by sum of weights for all respondents in the cluster)

This indicator does not make a graph at this time. It complements the organ pipe plots of RI\_COVG\_01.

Interpretation: "Low coverage is defined here as being a cluster where fewer than <threshold> <percent or individuals> showed evidence of vaccination. The clusters highlighted in this list show evidence of low coverage for at least one of for doses considered>."

## 6.5 RI\_ACC: RI Survey – Measures Related to Access

RI\_ACC\_01: Crude coverage for one dose

Weighted: Yes

Denominator: Sum of weights for all respondents

Numerator: Sum of weights for all respondents who received <dose>

Vaccines: May be calculated for any dose.

User inputs vcqi global RI ACC 01 DOSE NAME <often PENTA1 or DPT1>

Note: This indicator uses output from RI\_COVG\_01, so that must be calculated first.

Control Program

Command: RI ACC 01

Output: This indicator produces a single <u>database</u> named:

RI\_ACC\_01\_<analysis counter>\_<dose >\_database.dta

The database includes the following output fields for every stratum requested via the SHOW\_LEVEL globals (described in section 3.3 and Annex B): estimated %, 95% CI, LCB, UCB, DEFF, ICC, N (unweighted), N (weighted), and ICC2.

The <u>Excel worksheet</u> for this indicator is named: RI\_ACC\_01 < analysis counter>. It lists estimated %, 95% CI, LCB, UCB, DEFF, ICC, N (unweighted), N (weighted).

This indicator does not produce graphic figures. RI\_COVG\_01 produces organ pipe and inchworm plots which may be used to describe the results of this indicator.

Note: This indicator produces exactly the same output as RI\_COVG\_01, but it does not list coverage by card, history, register, etc. It only lists the final coverage outcome (to\_analyze).

Interpretation: "X% of the population who were eligible for the survey are estimated to have access to

vaccination services because they show evidence of having received <DPT1 / PENTA1>, as

documented by <source(s)>."

## 6.6 RI CONT: RI Survey – Measures Related to Continuity of Services

RI CONT 01: Dropout between two crude doses

Weighted: No

Denominator: Number of respondents who received the first dose

Numerator: Number of respondents who received the first dose and who were eligible but did not

receive the second dose

Vaccines: Any pair due to be administered at different ages

Time options: By the time of the survey

User inputs: vcqi\_global RI\_CONT\_01\_DROPOUT\_LIST PENTA1 PENTA3 OPV1 OPV3

This global macro can contain several pairs of doses. The indicator calculates dropout for each pair. In this case, it would calculate dropout from Penta1 to Penta3 and dropout from OPV1 to OPV3. There is no limit to the number of doses you can list, but

they must be in pairs.

Note: This indicator uses output from RI\_COVG\_01, so that must be calculated first.

Control Program

Command: RI CONT 01

Output This indicator produces a single database per dose pair named:

RI CONT 01 <analysis counter> <dose1> <dose2> database.dta

The database fields listed include sample % and N (unweighted). N is the number of respondents whose RI\_COVG\_01 records indicate that they received dose1. And % is the fraction who did not receive dose2.

The output depends on whether RI records were sought at health centers, and if so, for whom. This is indicated in the control program by setting one (and only one) of the RECORDS\_SOUGHT global macros to 1.

- If records were not sought (RI\_RECORDS\_NOT\_SOUGHT is 1) then the RI\_COVG\_01 result is calculated from data on the card.
- If records were sought for all respondents (RI\_RECORDS\_SOUGHT\_FOR\_ALL is 1) then the respondent will be considered to have not dropped out if any source of info indicates that they received dose1 and dose2. The evidence for dose1 does not need to be the same as that for dose2. (This is consistent with the idea of documenting the best possible outcome, as described in Section 3.2.)
- If records were sought only for those who did not present a vaccination card (RI\_RECORDS\_SOUGHT\_IF\_NO\_CARD is 1) then the result will be based on cards for those who show cards, and on register data for those who do not have cards, but do have register data.

The Excel worksheet for this indicator is named: RI\_CONT\_01 < analysis counter>. The fields listed include sample % and N (unweighted).

The indicator generates one <u>plot</u> showing the unweighted sample % from each stratum. The file is named

RI\_CONT\_01\_<analysis counter>\_uwplot\_<dose1 >\_<dose2>\_<four 0/1 flags to show which levels are plotted>.png

Interpretation: "Among the <N> children who showed evidence of having received <earlier dose>, (per card or recall <or register>), <dropout>% did not show evidence of receiving <later dose>."

Notes:

A weighted dropout figure is straightforward to calculate from the tables made by RI\_COVG\_01 and RI\_COVG\_02. But the weights may muddle the meaning of the indicator, so we show the unweighted results here.

## 6.7 RI QUAL: RI Survey – Measures Related to quality of Services

RI\_QUAL\_01: Card availability

Weighted: Yes

Denominator: Sum of weights for all respondents

Numerator: Sum of weights for respondents who show a card with 1+ vaccination dates on it

Control Program

Command: RI\_QUAL\_01

Output: This indicator produces a single <u>database</u> named:

RI QUAL 01 <analysis counter> ca database.dta

The database includes the following output fields for every stratum requested via the SHOW\_LEVEL globals (described in section 3.3 and Annex B): estimated %, 95% CI, LCB, UCB, DEFF, ICC, N (unweighted), N (weighted), and ICC2.

The <u>Excel worksheet</u> for this indicator is named: RI\_QUAL\_01 < analysis counter>. It lists estimated %, 95% CI, LCB, UCB, DEFF, ICC, N (unweighted), N (weighted).

#### Plots include:

- One organ pipe plot for each stratum
- One inchworm plot

The organ pipe plots are named RI\_QUAL\_01\_<analysis counter>\_opplot\_<stratum id>\_<stratum name>.png

The inchworm plot is named RI\_QUAL\_01\_<analysis counter>\_iwplot\_<four 0/1 flags to show which levels are plotted>.png.

Interpretation: "X% of the population who were eligible for the survey are estimated to have home-based record (card) with one or more vaccination dates on it."

RI QUAL 02: Ever had a card

Weighted: Yes

Denominator: Sum of weights for all respondents

Numerator: Sum of weights for respondents who say that they ever received a card for the child

Control Program

Command: RI QUAL 02

Output: This indicator produces a single database named:

RI QUAL 02 <analysis counter> 1 database.dta

The database includes the following output fields for every stratum requested via the SHOW\_LEVEL globals (described in section 3.3 and Annex B): estimated %, 95% CI, LCB, UCB, DEFF, ICC, N (unweighted), N (weighted), and ICC2.

The Excel worksheet for this indicator is named: RI\_QUAL\_02 < analysis counter>. It lists estimated %, 95% CI, LCB, UCB, DEFF, ICC, N (unweighted), N (weighted).

#### Plots include:

- One organ pipe plot for each stratum
- One inchworm plot

The organ pipe plots are named RI QUAL 02 <analysis counter> opplot <stratum id>\_<stratum name>.png

The inchworm plot is named RI\_QUAL\_02\_<analysis counter>\_iwplot\_<four 0/1 flags to show which levels are plotted>.png.

Interpretation: "X% of the population who were eligible for the survey are estimated to have received at

least one home-based record (vaccination card), even if they no longer have it."

#### RI QUAL 03: Percent of doses with dates that were invalid

Weighted: No

Denominator: Number of respondents who had DOB data and <dose> with a date, by card or register

Numerator: Number of respondents whose <dose> was invalid (given too early)

Vaccines: May be calculated for any single dose or the first dose in a two- or three-dose series.

User inputs vcqi global RI QUAL 03 DOSE NAME <often PENTA1 or DPT1>

Control Program

Command: RI QUAL 03

Note: This indicator uses output from RI\_COVG\_02, so that must be calculated first.

Output: This indicator produces a single <u>database</u> named:

RI\_QUAL\_03\_<analysis counter>\_<dose>\_database.dta

The database lists number of respondents N (unweighted) whose record includes the DOB and date when they received DPT1, and the percent of those respondents who received it before they were age-eligible.

The calculation is based on the results of RI\_COVG\_02, so that indicator must be run before this one, and the results of this indicator will be affected by RECORDS\_SOUGHT global macros via that indicator. See section 3.2 for details about RECORDS\_SOUGHT global macros in RI Analysis.

The <u>Excel worksheet</u> for this indicator is named: RI\_QUAL\_03 < analysis counter>. The fields listed include sample % and N (unweighted). N is the number of respondents whose records indicate their age when they received DPT1.

The indicator generates one plot showing the unweighted sample % from each stratum.

The files is named

RI\_QUAL\_03\_<analysis counter>\_uwplot\_<dose >\_<four 0/1 flags to show which levels are plotted>.png.

Interpretation: "Of N respondents in the sample for whom age-at-vaccination could be calculated for <dose>, X% received it before they were eligible to do so."

RI QUAL 04: Percent of doses administered before a certain age

Weighted: No

Denominator: Number of respondents who had DOB data and <dose> with a date, by card or register

Numerator: Number of respondents whose <dose> was given before <threshold> age

Vaccines: Any dose (often MCV1 before 39 weeks or Penta1 before 6 weeks)

User inputs vcqi\_global RI\_QUAL\_04\_DOSE\_NAME <often MCV1 or MEASLES>

vcqi global RI QUAL 04 AGE THRESHOLD `=39\*7'

Note: The indicator uses logic to see if vaccination happened at an age < the threshold rather than ≤ the threshold. If the interpretation is 'before' then the threshold can be `=39\*7'; if the interpretation is 'by the age of' then it might be prudent to specify something like `=39\*7+1' so that vaccination on day 39\*7 would count.

Note: RI\_QUAL\_04\_AGE\_THRESHOLD must be populated with the age in days.

Control Program

Command: RI QUAL 04

Note: This indicator uses output from RI\_COVG\_02, so that must be calculated first.

Output: This indicator produces a single <u>database</u> named:

RI QUAL 04 <analysis counter> <dose> <threshold> database.dta

The database lists N (unweighted) of respondents whose record includes the age at which they received <dose>, and the percent of those respondents who received it before <threshold> age.

The output depends on whether RI records were sought at health centers, and if so, for whom. This is indicated in the control program by setting one (and only one) of the RECORDS\_SOUGHT global macros to 1. See section 3.2 for details about RECORDS\_SOUGHT global macros in RI Analysis.

The <u>Excel worksheet</u> for this indicator is named: RI\_QUAL\_04 < analysis counter>. The fields listed include sample % and N (unweighted). N is the number of respondents whose records indicate their age when they received <dose>.

The indicator generates one <u>plot</u> showing the unweighted sample % from each stratum. The files are named

RI\_QUAL\_04\_<analysis counter>\_uwplot\_<dose >\_<threshold>\_<four 0/1 flags to show which levels are plotted>.png

Notes: This indicator flags records where the dose was administered at an age strictly less than the user-defined threshold.

Interpretation: "Of N respondents in the sample for whom age-at-vaccination could be calculated for <dose>, X% received it before the age of <threshold> days."

RI QUAL 05: Percent of later doses in a series administered before <threshold> days passed

Weighted: No

Denominator: Number of <dose>2 & 3 doses administered where the date was known for that dose

and for the preceding dose

Numerator: Number of times the <dose>2 or 3 dose was administered before <threshold> days had

passed from the date of the earlier dose

Vaccines: Any two- or three-dose series; often DPT.

User inputs: vcqi global RI QUAL 05 DOSE NAME <Usually PENTA or DTP or DPT>

Note: RI\_QUAL\_05\_DOSE\_NAME should only contain the dose base name and not the dose number.

vcqi\_global RI\_QUAL\_05\_INTERVAL\_THRESHOLD <number...often 28>

Note: RI\_QUAL\_05\_INTERVAL\_THRESHOLD must be populated with age in days and the calculation flags records where the interval is strictly less than (<) the user-defined threshold. So if the threshold is 28, the outcome will be 1 if the interval was 0-27 days, and it will be 0 if the interval was 28+ days.

Control Program

Command: RI QUAL 05

Output: This indicator produces a single <u>database</u> named:

RI\_QUAL\_05\_<analysis counter>\_<dose>\_database.dta

The database lists N (unweighted) of intervals where respondents had documented vaccination dates for both the first and second dose, and the % of those intervals that were shorter than the interval defined in the user inputs.

The output depends on whether RI records were sought at health centers, and if so, for whom. This is indicated in the control program by setting one (and only one) of the RECORDS\_SOUGHT global macros to 1. See Section 3.2 for details on RECORDS\_SOUGHT global macros in RI Analysis.

The <u>Excel worksheet</u> for this indicator is named: RI\_QUAL\_05 < analysis counter>. The fields listed include sample % and N (unweighted). N is the number of Dose 1 to Dose 2 intervals plus the number of Dose 2 to Dose 3 intervals for which the data include dates for both doses. Some respondents will have dates for zero intervals, some for one interval, and some for two intervals.

The indicator generates one <u>plot</u> showing the unweighted sample % from each stratum. The files are named

RI\_QUAL\_05\_<analysis counter>\_uwplot\_<dose >\_<four 0/1 flags to show which levels are plotted>.png

Interpretation: "Of N intervals in the sample where the data include dates for both the earlier and later dose of <vaccine>, X% of the intervals were shorter than <threshold> days."

RI QUAL 06: Percent of valid <dose> doses that were administered before the age of 12 months

Weighted: No

Denominator: Number of children who had valid <dose>

Numerator: Number of children whose valid <dose>was received before the age of 12 months

Vaccines: Can be any dose; often MCV1

User inputs: vcqi global RI QUAL 06 DOSE NAME <dose name, often MCV1>

Note: The threshold for RI QUAL 06 is always age 1 year.

Control Program

Command: RI\_QUAL\_06

Note: This indicator uses output from RI\_COVG\_02, so that must be calculated first.

Output: This indicator produces a single <u>database</u> named:

RI\_QUAL\_06\_<analysis counter>\_<dose>\_database.dta

The database lists N (unweighted) of respondents who had a valid dose of measles and the % of those who had that dose before the age of 1, for every stratum requested via the SHOW\_LEVEL globals (described in section 3.3 and Annex B). The calculation is based on the results of RI\_COVG\_02, so that indicator must be run before this one, and the results of this indicator will be affected by RECORDS\_SOUGHT global macros via that indicator. See section 3.2 for details on RECORDS\_SOUGHT global macros in RI Analysis.

The <u>Excel worksheet</u> for this indicator is named: RI\_QUAL\_06 < analysis counter>. The fields listed include sample % and N (unweighted).

The indicator generates one <u>plot</u> showing the unweighted sample % from each stratum. The files are named

RI\_QUAL\_06\_<analysis counter>\_uwplot\_<dose >\_<four 0/1 flags to show which levels are plotted>.png

Interpretation: "Of N respondents in the sample who received a valid dose of <dose>, X% were

administered before the age of 1 year."

A note regarding three indicators that summarize missed opportunities for simultaneous vaccination (MOVs)

RI\_QUAL\_07 and \_08 and \_09 all summarize MOVs in the survey dataset.

When interpreting the MOV indicators it is very important to be clear whether the analysis was done with the CRUDE option (invalid doses count) or the VALID option (early doses are ignored).

Consider a country where DPT is scheduled to be given at 6, 10 and 14 weeks. Consider a child who received DPT at 5, 9 and 13 weeks and who received measles at 9 months of age. The child did not receive 3 valid doses of DPT...only the doses at 9 weeks and 13 weeks were valid...and they were valid for DPT1 and DPT2. The dose received at 5 weeks was an invalid dose, so the child did not receive a 3<sup>rd</sup> valid dose. So if the MOV analysis does not give credit for invalid doses (specify VALID option when running VCQI) then when the child returns for the measles vaccine at age 9 months, they are considered to be eligible for a 3<sup>rd</sup> valid dose of DPT. And if they do not receive it along with measles, it is counted as a missed opportunity.

If, instead, the user gives credit for invalid doses (specifies the CRUDE option), then the child is still counted as having two valid doses of DPT, but they are <u>not</u> considered eligible for a 3<sup>rd</sup> dose at the measles visit, and that visit is not considered to be a missed opportunity for DPT.

Specifying the VALID option will result in higher results for the MOV indicators. If the parameter is set to VALID then the child described above would be considered to have an MOV for DPT3 when they receive measles but not DPT at 9 months. If instead, the parameter is set to CRUDE then they would not.

It is my (Dale Rhoda) understanding that at this time (February 2017) WHO does not formally advise countries to give additional doses in a series if the child has received the full target number of doses, but some were invalid. (The practice may vary from country to country and even within countries.) So to summarize performance of the vaccination program as it is administered, it is probably appropriate to use the CRUDE option in the analysis. But biologically, children who receive a full complement of valid doses are probably more likely to develop immunity than those who receive some or all invalid doses. So it may be informative to do the MOV analysis twice...once with the parameter set to CRUDE and again with the parameter set to VALID, and to compare the output.

# RI\_QUAL\_07: Valid coverage if there had been no missed opportunities for simultaneous vaccination (MOV)

Weighted: Yes

Denominator: Sum of weights for all respondents

Numerator: Sum of weights for all respondents who had valid dose plus the sum of weights for those

who did not have a valid dose, but did have an uncorrected MOV

Vaccines: Calculate for each vaccine and dose

User inputs: vcqi\_global RI\_QUAL\_07\_VALID\_OR\_CRUDE <VALID or CRUDE>

Control Program

Command: RI QUAL 07

Note: This indicator uses output from RI\_COVG\_02, so that must be calculated first.

Output: <u>Databases</u> for this indicator are Stata datasets named:

RI\_QUAL\_07\_<analysis counter>\_<dose>\_<valid or crude>\_database.dta

Each database includes the following output fields for every stratum requested via the SHOW\_LEVEL globals (described in section 3.3 and Annex B): estimated %, 95% CI, LCB, UCB, DEFF, ICC, N (unweighted), N (weighted), ICC2 and the number of clusters in the stratum.

The <u>Excel worksheet</u> for this indicator is named: RI\_QUAL\_07 <analysis counter>. Coverage is estimated for each dose in RI\_DOSE\_LIST. Each dose is summarized in two columns listing: estimated % and 95% CI. The final (right-most) column in the worksheet lists N (unweighted) and N (weighted).

How the outcome is calculated for each respondent depends on whether RI records were sought at health centers, and if so, for whom. This is indicated in the control program by setting one (and only one) of the RECORDS SOUGHT global macros to 1.

Table 6-13. How RI\_QUAL\_07 uses RI\_RECORDS inputs

RI_RECORDS_ NOT_ SOUGHT	RI_RECORDS_ SOUGHT_FOR _ALL	RI_RECORDS_ SOUGHT_IF_ NO_CARD	Outcome is based on	Notes
1	0	0	Card Only	Outcome is calculated using the vaccination date on the card
0	1	0	Card; if missing Card, then Register	For single-dose vaccines: Dates from register records are used to fill in missing dates on cards; if there is a date on the card, the date from the register is ignored, even if it yields a more favorable outcome than the date on the card. [This could be the topic of a future change.]  For multi-dose vaccines: Dates from register records are used if the card does not contain any dates, or if the register records more doses for that vaccine series than the card does. [This also could be the topic of a future change to the software.]
0	0	1	Card if card was seen Register for those without cards	Outcome is calculated using the card for respondents who show vaccination cards, and using the register for those without cards, but whose documented vaccination records are collected from health centers

Plots include two inchworm plots per dose. The first shows what valid coverage would have been if there had been no MOVs and the second also overlays the valid coverage results from RI\_COVG\_02 with a gray hollow outline. The plot files are named RI COVG 07 <analysis counter> iwplot <dose or dose double> <four 0/1 flags to show which levels are plotted>.png.

Interpretation: "X% of the population who were eligible for the survey would have been estimated to have a documented record of vaccinations (<source(s)>) and to have received a valid dose of <dose> if there had been no missed opportunities for simultaneous vaccination."

Notes:

To see the difference between the CRUDE and VALID analysis, simply run the indicator twice. This can be accomplished with the following syntax in the control program:

```
vcqi global ANALYSIS COUNTER 1
vcqi global RI QUAL 07 VALID OR CRUDE VALID
RI_QUAL_07
```

```
vcqi_global ANALYSIS_COUNTER 2
vcqi_global RI_QUAL_07_VALID_OR_CRUDE CRUDE
RI QUAL 07
```

This will result in two sets of databases and figures, one with the ANALYSIS\_COUNTER value of 1 in the filenames and the other with the ANALYSIS\_COUNTER value of 2 in the filenames. The tabular output will be summarized in two worksheets named RI\_QUAL\_07 1 and RI\_QUAL\_07 2. The crude and valid worksheets will have different footnotes.

#### RI QUAL 08: Percent of visits with missed opportunity for simultaneous vaccination

Weighted: No

Denominator: Number of vaccination dates where a respondent was eligible to receive 1+ vaccinations

Numerator: Number of vaccination dates where a respondent did not receive all vaccinations for

which they were eligible

Vaccines: Calculate for each vaccine and dose

Calculate over all vaccines and doses

(rate of MOV per visit, i.e., # of vaccines missed per visit)

User inputs:

vcqi global RI QUAL 08 VALID OR CRUDE <CRUDE or VALID>

See notes section in RI QUAL 07 regarding CRUDE and VALID.

Control Program

Command: RI QUAL 08

Output: This indicator produces a <u>database</u> for each dose in the RI\_DOSE\_LIST. The database is

named: RI\_QUAL\_08\_<analysis counter>\_<dose>\_database.dta. It lists the number of visits where children were eligible for the dose in question, and the % of those visits where the child had a MOV for every stratum requested via the SHOW\_LEVEL globals

(described in section 3.3 and Annex B).

The indicator also produces a database that is not dose-specific, named RI\_QUAL\_08\_<analysis counter>\_any\_database.dta. It lists the total number of visits where a child was eligible for 1+ doses and the percent of those visits where the child

had 1+ MOVs.

The indicator also produces a database that is not dose-specific, named RI\_QUAL\_08\_<analysis counter>\_rate\_database.dta. It lists the total number of visits where a child was eligible for 1+ doses and average number of MOVs per visit.

How the outcome is calculated for each respondent depends on whether RI records were sought at health centers, and if so, for whom. This is indicated in the control program by setting one (and only one) of the RECORDS\_SOUGHT global macros to 1. See section RI\_QUAL\_07 for details on how the RECORDS\_SOUGHT global macros differ on MOV calculations.

The Excel worksheet for this indicator is named: RI\_QUAL\_08 <a href="RI\_QUAL\_08">RI\_QUAL\_08</a> <a hre

The indicator generates one <u>plot</u> per dose showing the unweighted % of eligible visits that yielded an MOV from each stratum. The plot files are named

RI\_COVG\_08\_<analysis counter> uwplot <dose> <four 0/1 flags to show which levels are plotted>.png.

The indicator also generates an overall plot showing the % of visits that had 1+ MOVs for any dose. That plot is named RI QUAL 08 <analysis counter> uwplot any <four 0/1 flags to show which levels are plotted>.png.

Interpretation: To interpret columns labeled "Visits with MOV for <dose>": "Respondents did not receive <dose> in X% of the N visits where they were eligible for it."

> To interpret the column labeled "Visits with MOV for any dose": "Respondents did not receive all doses for which they were eligible in X% of the N visits where they were eligible for one or more doses."

> To interpret the column labeled "MOVs per Visit": "On average, respondents were not given R doses for which they were eligible in each vaccination visit."

If MOVs per visit is a number smaller than 1, it may be helpful to interpret thus:

"On average, there was a missed opportunity for simultaneous vaccination in one out of every 1/R visits in the survey dataset." (i.e., If the average MOVs per visit is 0.2, we might say "On average there was a missed opportunity for simultaneous vaccination in one out of every 5 visits represented in the survey dataset."

Notes:

To see the difference between the CRUDE and VALID analysis, simply run the indicator twice. This can be accomplished with the following syntax in the control program:

```
vcqi global ANALYSIS COUNTER 1
vcqi global RI QUAL 08 VALID OR CRUDE VALID
RI QUAL 08
vcqi global ANALYSIS COUNTER 2
vcqi global RI QUAL 08 VALID OR CRUDE CRUDE
RI QUAL 08
```

This will result in two sets of databases and figures, one with the ANALYSIS\_COUNTER value of 1 in the filenames and the other with the ANALYSIS\_COUNTER value of 2 in the filenames. The tabular output will be summarized in two worksheets named RI QUAL 08 1 and RI QUAL 08 2. The crude and valid worksheets will have different footnotes.

RI QUAL 09: Percent of children with missed opportunity for simultaneous vaccination

Weighted: No

Denominator: Number of children with date of birth data and date of vaccination data indicating

that they had 1+ visits for vaccination on days when they were eligible to receive the

dose in question

Numerator: Number of children who experienced 1+ missed opportunities to be vaccinated for

the dose in question

Vaccines: Calculate for each vaccine and dose

Calculate over all vaccines and doses (# of children with 1+ MOV / # of children with 1+

eligible visit date in the dataset)

User inputs:

vcqi global RI QUAL 09 VALID OR CRUDE <CRUDE or VALID>

See notes section in RI\_QUAL\_07 regarding CRUDE and VALID.

Control Program

Command: RI QUAL 09

Output: This indicator produces a <u>database</u> for each dose in the RI dose list. Each is named:

RI\_QUAL\_09\_<analysis counter>\_<dose>\_database.dta

The database lists output for every stratum requested via the SHOW\_LEVEL globals (described in section 3.3 and Annex B), documenting the number of children who had a recorded date of birth who had 1+ documented vaccinations at an age when they were eligible to receive the dose in question, the number of children who experienced 1+ missed opportunities for the dose, the number of children whose missed opportunities were corrected, and the number of children whose missed opportunity was uncorrected at the time of the survey.

It also produces a database describing the proportion of respondents who experienced 1+ MOVs for any dose. That database is named:

RI\_QUAL\_09\_<analysis counter>\_anydose\_database.dta

How the outcome is calculated for each respondent depends on whether RI records were sought at health centers, and if so, for whom. This is indicated in the control program by setting one (and only one) of the RECORDS\_SOUGHT global macros to 1. See section RI\_QUAL\_07 for details on how the RECORDS\_SOUGHT global macros differ on MOV calculations.

The Excel worksheet for this indicator is named: RI\_QUAL\_09 < analysis counter>.

Report outcomes for all doses in a single very wide table, where each dose has four columns:

- 1. The number of children who had at least one visit where they were eligible to receive the dose (this is the number of children for which the indicator is either 0 or 1)
- 2. The % of those children who had 1+ MOVs for that dose (this measure)
- 3. The percent of children with eligible visits who had uncorrected MOVs
- 4. The percent of children with eligible visits who had corrected MOVs.

Note: The latter two figures add up to the percent calculated in this measure.

The data for all doses combined consist of five columns:

- 1. A total number of children who had dob data and 1+ eligible visits;
- 2. The percent who had 1+ MOVs for 1+ doses;
- 3. The percent for whom all MOVs were corrected;
- 4. The percent for whom none of the MOVs were corrected, and
- 5. The percent for whom some, but not all of the MOVs were corrected.

#### Note: Column 5 is equal to 2 minus 3 minus 4.

The indicator generates two plots for each dose: one that shows the unweighted proportion of respondents who had eligible visits that experienced 1+ MOVs, and another that shows the proportion of children whose MOVs were eventually corrected.

The indicator generates two additional plots: One that shows the % of respondents who were eligible for any dose, who experienced 1+ MOVs, and one that shows the % of respondents who had 1+ MOVs and later had all of their MOVs corrected.

The plot files are named RI\_QUAL\_09\_<analysis counter>\_uwplot\_<dose or anydose> <four 0/1 flags to show which levels are plotted>.png and

RI\_QUAL\_09\_<analysis counter>\_uwplot\_<dose or anydose>\_cor\_<four 0/1 flags to show which levels are plotted>.png

Interpretation: To interpret columns labeled "Had MOV for <dose> %": "Among the N children in the survey dataset who received some vaccinations on days when they were age-eligible to receive <dose>, X% of them experienced 1+ occasions where they were eligible to receive <dose> but did not receive it."

> To interpret the column labeled "MOV uncorrected for <dose> %": "Among the N children in the survey dataset who visited vaccination services on days when they were eligible to receive <dose>, X% of them experienced uncorrected missed opportunities for vaccination with <dose>, that is, there were 1+ occasions where they were eligible to receive <dose> but did not receive it, and as of the date of the survey they still had not received it."

> To interpret the column labeled "MOV corrected for <dose> %": "Among the N children in the survey dataset who visited vaccination services on days when they were eligible to receive <dose>, X% of them experienced corrected missed opportunities for vaccination with <dose>, that is, there were 1+ occasions where they were eligible to receive <dose> but did not receive it, but they did receive it at a later date."

To interpret column labeled "Had MOV for any dose (%)": "Among the N children in the survey dataset who visited vaccination services on days when they were eligible to receive any dose, X% of them experienced 1+ occasions where they did not receive all doses for which they were eligible."

To interpret column labeld "All MOVs were uncorrected (%)": "Among the N children in the survey dataset who experienced 1+ MOVs for any doses, X% had all of their MOVs still uncorrected at the time of the survey."

To interpret column labeled "All MOVs were corrected (%)": "Among the N children in the survey dataset who experienced 1+ MOVs for any doses, X% had all of their MOVs corrected by the time of the survey."

To interpret column labeled "Some (not all) MOVs were corrected (%)": "Among the N children in the survey dataset who experienced 1+ MOVs for any doses, X% had some but not all of their MOVs corrected by the time of the survey."

**Notes:** 

To see the difference between the CRUDE and VALID analysis, simply run the indicator twice. This can be accomplished with the following syntax in the control program:

```
vcqi_global ANALYSIS_COUNTER 1
vcqi_global RI_QUAL_09_VALID_OR_CRUDE VALID
RI_QUAL_09
vcqi_global ANALYSIS_COUNTER 2
vcqi_global RI_QUAL_09_VALID_OR_CRUDE CRUDE
RI_QUAL_09
```

This will result in two sets of databases and figures, one with the ANALYSIS\_COUNTER value of 1 in the filenames and the other with the ANALYSIS\_COUNTER value of 2 in the filenames. The tabular output will be summarized in two worksheets named RI\_QUAL\_09 1 and RI\_QUAL\_09 2. The crude and valid worksheets will have different footnotes.

#### RI QUAL 12: Percent of later doses in a sequence administered after a particular interval

Weighted: No

Denominator: Number of times the two doses were administered and there was a recorded date for

each

Numerator: Number of times the later dose was administered after the interval (in days) in question

Vaccines: Calculate separately for different dose pairs

Variations: DPT1 to 2

DPT2 to 3 Others

User inputs:

Note: RI\_QUAL\_12\_THRESHOLD\_LIST must be populated with age in days

Note: The user may specify any number of dose pairs in RI\_QUAL\_12\_DOSE\_PAIR\_LIST. The number of thresholds in RI\_QUAL\_12\_THRESHOLD\_LIST must correspond to the number of dose pairs.

Control Program

Command: RI QUAL 12

Output: This indicator produces a <u>database</u> for each dose pair and threshold combination. The

database is named:

RI QUAL 12 <analysis counter> <dose1> <dose2> <threshold> database.dta

The database lists sample % and N (unweighted) for every stratum requested via the SHOW\_LEVEL globals (described in section 3.3 and Annex B).

How the outcome is calculated for each respondent depends on whether RI records were sought at health centers, and if so, for whom. This is indicated in the control program by setting one (and only one) of the RECORDS\_SOUGHT global macros to 1. See section 3.2 for details on how the RECORDS\_SOUGHT global macros in RI Analysis.

The <u>Excel worksheet</u> for this indicator is named: RI\_QUAL\_12 < analysis counter>. The fields listed include sample % and N (unweighted). When the indicator performs calculations for more than one dose pair, the results for second and later pairs appear in columns to the right of those for the first pair.

The indicator generates one <u>plot</u> per dose pair and threshold showing the unweighted sample % from each stratum. The plot files are named

RI\_QUAL\_12\_<analysis counter>\_uwplot\_<dose1 >\_<dose2>\_<threshold>\_<four 0/1 flags to show which levels are plotted>.png

Interpretation: "Of N intervals in the sample where the data include dates for both the earlier and later dose of <vaccine>, X% of the intervals were longer than <threshold> days."

Notes:

The indicator uses a calculation to determine whether the vaccination interval is greater than (>) the specified interval. (Not greater than or equal to (≥).)

Depending on what the user specifies for a threshold, it might be a good thing for the interval to exceed the threshold (i.e., that might mean it's a valid interval) or it might be a bad thing (i.e., the interval is 365 days). In coding the variable for analysis, we assume that it is a bad thing for the interval to exceed the threshold; so if RI\_RECORDS\_SOUGHT\_FOR\_ALL is 1 then we record a 0 if either the card or register indicates that the interval was shorter than the threshold.

Hence this indicator should NOT be used to establish whether the second dose in the interval is valid; it should rather be used to estimate the proportion of times the interval is unacceptably long.

#### RI QUAL 13: Percent of children who receive <dose> by a certain age

Weighted: No

Denominator: Number of children who have a date recorded for <dose> and have DOB data

Numerator: Number of children whose age at <dose> occurs before they are <threshold> days old

Vaccines: Any vaccine; often DPT3

User inputs vcqi global RI QUAL 13 DOSE NAME <often PENTA3 or DTP3>

vcqi global RI QUAL 13 AGE THRESHOLD `=(26\*7)+1'

Note: RI QUAL 13 AGE THRESHOLD must be populated with age in days

Note: The +1 is included in the threshold calculation because the indicator uses logic to see if vaccination happened at an age < the threshold rather than ≤ the threshold.

Note: This indicator uses output from RI\_COVG\_02, so that must be calculated first.

Control Program

Note:

Command: RI\_QUAL\_13

Output: This indicator produces a single database named:

RI\_QUAL\_13\_<analysis counter>\_<dose\_abbreviation>\_<threshold>\_database.dta

The database lists sample % and N (unweighted) for every stratum requested via the SHOW LEVEL globals (described in section 3.3 and Annex B).

How the outcome is calculated for each respondent depends on whether RI records were sought at health centers, and if so, for whom. This is indicated in the control program by setting one (and only one) of the RECORDS\_SOUGHT global macros to 1. See section 3.2 for details on how the RECORDS\_SOUGHT global macros in RI Analysis.

The <u>Excel worksheet</u> for this indicator is named: RI\_QUAL\_13 < analysis counter>. The fields listed include sample % and N (unweighted).

The indicator generates a single <u>plot</u> showing the unweighted sample % from each stratum. The plot files are named RI\_QUAL\_13\_<analysis counter>\_uwplot\_<dose\_abbrev>\_<threshold>\_<four 0/1 flags to show which levels are plotted>.png

Interpretation: "Of N respondents in the sample for whom age-at-vaccination could be calculated for <dose>, X% received it before the age of <threshold> days."

At this time, this indicator is not eligible to be included in hypothesis tests using COVG\_DIFF01 or COVG\_DIFF02. It is reported as an unweighted sample proportion

and VCQI does not do any calculations regarding its sampling variability.

## 6.8 TT\_COVG: Tetanus Survey – Measures Related to Coverage

TT COVG 01: Children born protected from neonatal tetanus

Weighted: Yes

Denominator: Sum of weights for all respondents

Numerator: Sum of weights for women who are protected

Variations: By card

By history

By card or history

By register

By card or history or register

To analyze

Control Program

Command: TT COVG 01

Inputs defined via global

macros: None for this indicator

Output: <u>Databases</u> for this indicator are Stata datasets named:

TT\_COVG\_01\_<analysis counter>\_<abbreviation>\_database.dta

Abbreviations can include:

- by card (c)
- by history (h)
- by card or history (ch)
- by register (r) (if records were sought)
- by card, history or register (chr) (if records were sought)
- to analyze (a)

Each database includes the following output fields for every stratum requested via the SHOW\_LEVEL globals (described in section 4.3 and Annex B): estimated %, 95% CI, LCB, UCB, DEFF, ICC, N (unweighted), N (weighted), and ICC2.

The output labeled "to analyze" is the main outcome and how its value is calculated for each respondent depends on whether TT records were sought at health centers, and if so, for whom. This is indicated in the control program by setting one (and only one) of the RECORDS\_SOUGHT global macros to 1. See section 4.2 for details on RECORDS\_SOUGHT global macros for TT analysis.

The Excel worksheet for this indicator is named: TT\_COVG\_01 < analysis counter>. Each of the databases is summarized in the worksheet. The fields in the worksheet include estimated %, 95% CI, LCB, UCB, DEFF, ICC, N (unweighted), N (weighted).

Plots include one organ pipe plot per stratum, named TT\_COVG\_01\_<analysis counter>\_opplot\_<stratum id>\_<stratum name>.png and one inchworm plot is named TT\_COVG\_01\_<analysis counter>\_iwplot\_<four 0/1 flags to show which levels are plotted>.png.

Interpretation: "X% of babies born in the 12 months preceding the survey are estimated to have been protected at birth from neonatal tetanus, according to evidence given from [maternal vaccination card and/or maternal recall of their vaccination history and/or health center records of maternal vaccinations]."

# 6.9 SIA COVG: Post-SIA Survey – Measures Related to Coverage

#### SIA\_COVG\_01 Crude SIA coverage

Weighted: Yes

Denominator: Sum of weights for all respondents

Numerator: Sum of weights for respondents who received the vaccine dose according to

[card, history, or finger mark]

Vaccines: Calculated for each dose

Time options: During the campaign

Variations: By card

By history By finger mark

By card or history or finger mark (this is the main coverage outcome)

Control Program

Command: SIA COVG 01

Output: This indicator generates databases that summarize SIA coverage:

Table 6-14. Naming convention for SIA COVG 01 databases

Summarize SIA coverage	Database Name
according to evidence from	
Campaign card	SIA_COVG_01_ <analysis counter="">_c_database.dta</analysis>
Caretaker's verbal history	SIA_COVG_01_ <analysis counter="">_h_database.dta</analysis>
Fingermark	SIA_COVG_01_ <analysis counter="">_f_database.dta</analysis>
Main outcome to analyze	SIA_COVG_01_ <analysis counter="">_a_database.dta</analysis>

The databases include the following output fields for the campaign dose and every outcome listed above and every stratum requested via the SHOW\_LEVEL globals (described in section 5.3 and Annex B): estimated %, 95% CI, LCB, UCB, DEFF, ICC, N (unweighted), N (weighted), and ICC2.

The Excel worksheet for this indicator is named: SIA\_COVG\_01 < analysis counter>. For the outcomes by card, history, and register it simply lists estimated % and 95% CI. For the main outcome it lists estimated %, 95% CI, LCB, UCB, DEFF, ICC, N (unweighted), N (weighted).

The <u>plots</u> generated by the indicator include one organ pipe plot of the main outcome per stratum and one inchworm plot summarizing the main crude coverage outcome for the campaign dose.

The organ pipe plots are named

SIA\_COVG\_01\_<analysis counter>\_opplot\_<stratum id>\_<stratum name>.png

The inchworm plots are named SIA\_COVG\_01\_<analysis counter>\_iwplot\_<four 0/1 flags to show which levels are plotted>.png.

Interpretation: "X% of eligible children who were living here during the campaign are estimated to have been vaccinated against [measles] during the recent campaign per information obtained [by card, by caregiver history, by finger mark]."

Notes

This indicator assumes that every respondent in the SIA dataset was in the country at the time of the campaign and meets any other inclusion criteria for the campaign. Respondents who do not meet the criteria should either be removed from the dataset in an upstream step or should have their weights set to zero.

SIA COVG 02: Crude SIA coverage where SIA dose was the first dose

Weighted: Yes

Denominator: Sum of weights for all respondents

Numerator: Sum of weights for respondents who received the SIA dose and had never received a

dose before

Vaccines: Calculated for each dose

Time options: During the campaign

Control Program

Command: SIA COVG 02

Output: This indicator generates one <u>database</u> that summarizes the outcome; the file is named

SIA\_COVG\_02\_<analysis counter>\_a\_database.dta.

The database includes the following output fields for every stratum requested via the SHOW\_LEVEL globals (described in section 5.3 and Annex B): estimated %, 95% CI, LCB, UCB, DEFF, ICC, N (unweighted), N (weighted), and ICC2.

The Excel worksheet for this indicator is named: SIA\_COVG\_02 < analysis counter>. It lists estimated %, 95% CI, LCB, UCB, DEFF, ICC, N (unweighted), N (weighted).

The <u>plots</u> generated by the indicator include one organ pipe plot per stratum and one inchworm plot.

The organ pipe plots are named SIA\_COVG\_02\_<analysis counter>\_opplot\_ <stratum id>\_<stratum name>.png

The inchworm plot is named SIA\_COVG\_02\_<analysis counter>\_iwplot\_<four 0/1 flags to show which levels are plotted>.png.

Interpretation: "X% of eligible children who were living here during the campaign received their first-ever

dose of [measles] vaccine in the recent campaign."

Notes This indicator assumes that every respondent in the SIA dataset was in the country at

the time of the campaign and meets any other inclusion criteria for the campaign.

Respondents who do not meet the criteria should either be removed from the dataset

in an upstream step or should have their weights set to zero.

#### SIA COVG 03: Lifetime measles doses, by birth cohort

Weighted: Yes

Description: Most SIAs will be targeted at a population of children who span several years of age.

Each year of age is considered to be a so-called one-year "birth cohort". In this

measure, we report how <u>each cohort</u> is divided across three categories: those for whom we do not find evidence (by card or history or registry) that they ever received a dose of the campaign dose (e.g., MCV) (0 doses); those for whom we find evidence of a single lifetime dose (1 dose); and those for whom we find evidence of 2+ doses (2+ doses). The three categories will sum to 100% for each cohort. ("Do not know" is not evidence

and is treated as a zero.)

Denominator: Sum of weights for all respondents

Numerator: There are three numerators:

- 1. Sum of weights for respondents who report never having received the campaign dose
- 2. Sum of weights for respondents who show evidence of one lifetime dose
- 3. Sum of weights for respondents who show evidence of 2+ lifetime doses

User inputs:

This indicator uses the SIA\_MIN\_AGE and SIA\_MAX\_AGE global macros to identify the youngest and oldest birth cohort targeted in the campaign.

```
vcqi_global SIA_MIN_AGE `=9*30'
vcqi_global SIA_MAX_AGE `=int(15*365.25)'
```

#### Note: SIA\_MIN\_AGE and SIA\_MAX\_AGE must be populated with age in days

Control Program

Command: SIA COVG 03

Output:

This indicator produces three <u>databases</u> for each year of age eligibility in the survey – one for each of the three numerators. The datasets are named SIA\_COVG\_03\_<analysis counter>\_<db counter>\_database.dta, where the db counter is a number starting with 0 for the youngest cohort, 0 doses, then increasing by 1 with each additional database. The table below illustrates the pattern in filenames and numbers.

Table 6-15. Naming convention for SIA\_COVG\_03 databases

VCQI output database filename	Outcome summarized
SIA_COVG_03_1_1_database.dta	9m-12m; % with 0 lifetime doses
SIA_COVG_03_1_2_database.dta	9m-12m; % with 1 lifetime doses
SIA_COVG_03_1_3_database.dta	9m-12m; % with 2+ lifetime doses
SIA_COVG_03_1_4_database.dta	1 year old; % with 0 lifetime doses
SIA_COVG_03_1_5_database.dta	1 year old; % with 1 lifetime doses
SIA_COVG_03_1_6_database.dta	1 year old; % with 2+ lifetime doses
SIA_COVG_03_1_7_database.dta	2 years old; % with 0 lifetime doses
SIA_COVG_03_1_8_database.dta	2 years old; % with 1 lifetime doses
SIA_COVG_03_1_9_database.dta	2 years old; % with 2+ lifetime doses
And so on	

The database includes the following output fields for every stratum requested via the SHOW\_LEVEL globals (described in section 5.3 and Annex B): estimated %, 95% CI, LCB, UCB, DEFF, ICC, N (unweighted), N (weighted), and ICC2.

The Excel worksheet for this indicator is named: SIA COVG 03 < analysis counter>. It lists estimated %, 95% CI, LCB, UCB, DEFF, ICC, N (unweighted), N (weighted).

This indicator does not produce any plots.

Interpretation: "X% of children in the age cohort who had completed Y years gave verbal or documented indication of having received [0, 1, or 2+] lifetime doses of [the campaign vaccine]."

# 6.10 SIA QUAL: Post-SIA Survey - Measures Related to Quality of Services

SIA QUAL 01: Received a campaign card

Weighted: No

Denominator: Number of respondents who were vaccinated in the campaign

Numerator: There are three numerators:

Number of vaccinated respondents whose card was seen by survey data collectors Number of vaccinated respondents who reported having a card, but it was not seen Number of vaccinated respondents who either showed a card or reported receiving one

Time options: During the campaign

Control Program

Command: SIA QUAL 01

Output: This indicator generates three <u>databases</u> that summarizes the outcomes – one for each

numerator:

Table 6-16. Naming convention for SIA QUAL 01 databases

Numerator	Database Name
Card Seen	SIA_QUAL_01_ <analysis counter="">_s_database.dta</analysis>
Card Unseen	SIA_QUAL_01_ <analysis counter="">_u_database.</analysis>
Main Outcome	SIA_QUAL_01_ <analysis counter="">_a_database.dta</analysis>
(Card seen or unseen)	

The databases include the number of respondents vaccinated and the unweighted % who received a card in every stratum requested via the SHOW\_LEVEL globals (described in section 5.3 and Annex B).

The Excel worksheet for this indicator is named: SIA\_QUAL\_01 < analysis counter>.

The <u>plots</u> generated by the indicator include one organ pipe plot of the main outcome per stratum and one inchworm plot summarizing the main outcome.

The organ pipe plots are named SIA\_QUAL\_01\_<analysis counter>\_opplot\_ <stratum id>\_<stratum name>.png

The inchworm plots are named SIA\_QUAL\_01\_<analysis counter>\_iwplot\_<four 0/1 flags to show which levels are plotted>.png.

Interpretation: "Among the N children who were vaccinated in the campaign, X% demonstrated that they received a card."

"Among the N children who were vaccinated in the campaign, X% reported having received a campaign card, but did not show it."

"Among the N children who were vaccinated in the campaign, X% either demonstrated that they received, or reported having received a campaign card."

# 6.11 Hypothesis Tests for Differences in Coverage

#### COVG\_DIFF\_01: Differences between strata

Description: This indicator allows the user to test the hypothesis that coverage is the same between

two strata, i.e., two provinces or two districts. The user specifies the strata of interest and the variable being tested and then runs the test. Results are written to a database

and optionally to a spreadsheet.

Weighted: Yes

Null

hypothesis: Underlying population level coverage is the same in the two strata being tested

Alternative

hypothesis: Coverage in the first stratum is not equal to coverage in the second.

Variables: Any variable used for weighted coverage analyses. Table 6-5 at the end of this section

lists the variables that are appropriate for testing.

User inputs: The inputs are a set of global macros which are defined before the first test and then re-

defined for subsequent tests.

Table 6-17. User inputs for COVG\_DIFF\_01

Global Macro	Acceptable Values	Description	Notes
COVG_DIFF_01_STRATUM_LEVEL	2 or 3		
COVG_DIFF_01_ANALYSIS_COUNTER	Number	Set to whatever value was used in the analysis that generated	Usually 1
		the dataset	
COVG_DIFF_01_ID_OR_NAME	ID or NAME	Specifies whether the user will identify the two strata using their IDs or their names.	Both ID and NAME must match those in the appropriate name dataset. So if the hypothesis is between strata at level 2, then the IDs or NAMEs specified below must match the IDs and NAMEs in the LEVEL2_NAME_DATASET
COVG_DIFF_01_STRATUM_ID1	ID of stratum 1 to test or BLANK		Can only be populated if COVG_DIFF_01_ID_OR_NAME is set to ID

Global Macro	Acceptable Values	Description	Notes
COVG_DIFF_01_STRATUM_ID2	ID of stratum 2 to test or BLANK		Can only be populated if COVG_DIFF_01_ID_OR_NAME is set to ID
COVG_DIFF_01_STRATUM_NAME1	NAME of stratum 1 to test or BLANK		Can only be populated if COVG_DIFF_01_ID_OR_NAME is set to NAME
COVG_DIFF_01_STRATUM_NAME2	NAME of stratum 2 to test or BLANK		Can only be populated if COVG_DIFF_01_ID_OR_NAME is set to NAME
COVG_DIFF_01_INDICATOR	Name of the indicator that generated the variable to test.		Examples: TT_COVG_01, RI_QUAL_01, or SIA_COVG_01
COVG_DIFF_01_VARIABLE	Name of the coverage variable to be tested.		Examples: got_crude_penta3_by_card or protected_at_birth_to_analyze  Table 6-5 lists indicators and analyzes that are reasonable to include in hypothesis tests.

Control Program

Command: COVG DIFF 01

Output: This indicator does not make a <u>database</u> and it does not make any <u>plots</u>.

The Excel <u>worksheet</u> is named COVG\_DIFF\_01 <analysis counter>. Each hypothesis test adds an additional row to the worksheet. It lists stratum level, stratum 1 ID & name, stratum 2 ID & name, variable tested, unweighted N and weighted N, coverage and 95% CI in stratum 1 & stratum 2, difference in coverage, degrees of freedom for the test, 95% CI for the difference, and Rao-Scott p-value for the test.

Interpretation: "The probability of observing two strata of this size with sample proportions that differ by this much or more if the underlying coverage were the same is equal to the p-value."

Example: vcqi global COVG DIFF 01 STRATUM LEVEL 2 vcqi global COVG DIFF 01 ANALYSIS COUNTER 1

vcqi global COVG DIFF 01 ID OR NAME NAME

vcqi global COVG DIFF 01 STRATUM NAME1 UPPER PROVINCE vcqi global COVG DIFF 01 STRATUM NAME2 LOWER PROVINCE

vcqi global COVG DIFF 01 INDICATOR SIA COVG 01 vcqi global COVG DIFF 01 VARIABLE got sia dose

COVG DIFF 01

Notes: This indicator does not generate a database or a figure. Each hypothesis test adds an

additional row to the COVG\_DIFF\_01 worksheet.

Table 6-18. Weighted coverage variables that are eligible for hypothesis testing

Indicator	Coverage Variable
	protected_at_birth_by_card
1	protected_at_birth_by_history
TT COVC 01	protected_at_birth_c_or_h
TT_COVG_01	protected_at_birth_by_register
	protected_at_birth_c_or_h_or_r
	protected_at_birth_to_analyze
	got_sia_dose_by_card
SIA COV 01	got_sia_dose_by_history
SIA_COV_01	got_sia_dose
	got_sia_dose_by_fingermark
SIA_COV_02	sia_is_first_measles_dose
	campaign_card_seen
SIA_QUAL_01	campaign_card_unseen
	got_campaign_card
RI_ACC_01	got_crude_ <dose>_to_analyze</dose>
	got_crude_ <dose>_by_card</dose>
	got_crude_ <dose>_by_history</dose>
	got_crude_ <dose>_by_register</dose>
RI_COVG_01	got_crude_ <dose>_c_or_h</dose>
	got_crude_ <dose>_c_or_r</dose>
	got_crude_ <dose>_c_or_h_or_r</dose>
	got_crude_ <dose>_to_analyze</dose>
	got_valid_ <dose>_by_card</dose>
RI_COVG_02	got_valid_ <dose>_by_register</dose>
111_00 7 0_02	got_valid_ <dose>_c_or_r</dose>
	got_valid_ <dose>_to_analyze</dose>
RI_COVG_03	fully_vaccinated_
	fully_vaccinated_by_age1
	not_vaccinated_crude
RI_COVG_04	not_vacinated_valid
	not_vaccinated_by_age1
RI_QUAL_01	showed_card_with_dates
RI_QUAL_02	ever_had_an_ri_card
RI_QUAL_07	valid_< <i>dose</i> >_if_no_movs

Note: The string <dose> is a placeholder for a real dose name like bcg, penta1, mcv1, etc. The dose names in these variables use lower-case letters and should be the same names used in the RI dose List and scalars.

#### COVG DIFF 02: Differences between subpopulations within a stratum

Description: This indicator allows the user to test the hypothesis that coverage is the same between

two subpopulations, i.e., urban vs. rural, male vs. female, literate caregiver vs. illiterate, received ante-natal care vs. did not. The user specifies the stratum of interest and the two subpopulations and the variable being tested and then runs the test. Results are

written to a database and optionally to a spreadsheet.

Weighted: Yes

Null

hypothesis: Underlying population coverage is the same in the two subpopulations being tested

Alternative

hypothesis: Coverage in the first subpopulation is not equal to coverage in the second.

Variables: Any variable used for weighted coverage analyses. Table 6-5 at the end of the previous

section lists the variables that are appropriate for this sort of testing.

Inputs: The inputs are a set of global macros which are defined before the first test and then re-

defined for subsequent tests.

Table 6-19. User inputs for COVG\_DIFF\_02

Global Macro	Acceptable Values	Description	Notes
COVG_DIFF_02_STRATUM_LEVEL	1 or 2 or 3		
COVG_DIFF_02_ANALYSIS_COUNTER	Number	Set to whatever value was used in the analysis that generated the dataset	Usually 1
COVG_DIFF_02_ID_OR_NAME	ID or NAME	Specifies whether the user will identify the two strata using their IDs or their names.	Both ID and NAME must match those in the appropriate name dataset. So if the hypothesis is between strata at level 2, then the IDs or the NAMEs specified below must match the IDs and NAMES in the LEVEL2_NAME_DATASET
COVG_DIFF_02_STRATUM_ID	ID of stratum 2 to test or BLANK		Can only be populated if COVG_DIFF_02_ID_OR_NAME is set to ID
COVG_DIFF_02_STRATUM_NAME	NAME of stratum 2 to test or BLANK		Can only be populated if COVG_DIFF_02_ID_OR_NAME is set to NAME

Global Macro	Acceptable Values	Description	Notes
COVG_DIFF_02_INDICATOR	Name of the indicator that generated the variable to test		Examples: RI_COVG_01 or TT_COVG_01
COVG_DIFF_02_SUBPOP_VARIABLE	Variable that holds the levels of the subpopulation		Examples: urban_cluster, sex, caregiver_literate, etc.
COVG_DIFF_02_SUBPOP_ID1	Level of first population		Must be an integer
COVG_DIFF_02_SUBPOP_ID1	Level of second population		Must be an integer
COVG_DIFF_02_VARIABLE	Name of the coverage variable to be tested.		Examples: got_crude_penta3_by_card or protected_at_birth_to_analyze  Table 6-5 lists indicators and analyzes that are reasonable to include in hypothesis tests.

Control Program

Command: COVG DIFF 02

Table Output: This indicator does not make a database and it does not make any plots.

The Excel <u>worksheet</u> is named COVG\_DIFF\_02 *<analysis counter>*. Each hypothesis test adds an additional row to the worksheet. It lists stratum level, stratum ID & name, subpopulation variable, subpopulation id & name for subpopulations 1 & 2; unweighted and weighted N, variable tested, coverage and 95% CI in stratum 1 & stratum 2, difference in coverage, degrees of freedom for the test, 95% CI for the difference, and Rao-Scott p-value for the test.

Interpretation: "The probability of observing two subpopulations this size with sample proportions that differ by this much or more if the underlying coverage were the same is equal to the p-value."

#### Example:

\* Got campaign card coverage is equal between urban

\* and rural sub-groups within Province 1

vcqi\_global COVG\_DIFF\_02\_ID\_OR\_NAME ID
vcqi\_global COVG\_DIFF\_02\_STRATUM\_LEVEL 2

```
vcqi_global COVG_DIFF_02_STRATUM_ID 1

vcqi_global COVG_DIFF_02_INDICATOR SIA_QUAL_01
vcqi_global COVG_DIFF_02_ANALYSIS_COUNTER 1

vcqi_global COVG_DIFF_02_VARIABLE got_campaign_card

vcqi_global COVG_DIFF_02_SUBPOP_VARIABLE urban_cluster
vcqi_global COVG_DIFF_02_SUBPOP_LEVEL1 0
vcqi_global COVG_DIFF_02_SUBPOP_LEVEL2 1

COVG_DIFF_02
```

Notes: Each hypothesis test adds an additional row to the COVG\_DIFF\_02 worksheet.

#### **CHAPTER 7. EXAMPLES OF CONTROL PROGRAMS**

As described at the end of Chapter 2, VCQI control programs usually consist of seven blocks of code. Three blocks are edited and customized by the user and four blocks are usually not edited but are necessary for the program to run correctly.

This chapter shows examples of each of the seven blocks for an RI control program, a TT control program, and an SIA control program.

## 7.1 Block A – Start with clear memory

The first block of a VCQI control program is the same, whether TT, RI, or SIA. Three lines of code clear out old data, programs, and macros and ensure that the output that goes to the screen will not pause during the run. The code below shows the top of a TT program. RI and SIA programs would look the same, with the acronyms RI or SIA being substituted for TT.

```
****************
* Vaccination Coverage Quality Indicators (VCQI) control program to analyze
* data from a tetanus survey
* Program example and template for the VCQI User's Guide
* Written by Biostat Global Consulting
* Updated 2017-02-15
* The user might customize this program by changing items below in the
* code blocks marked TT-B, TT-D, and TT-F below. Those blocks are
* marked "(User may change)".
*****************
* Code Block: TT-A
*-----
            Start with clear memory
set more off
clear all
macro drop all
```

# 7.2 Block B – Specify input/output folders & analysis name

Block B is the first of three sections that the user edits. It consists of three lines of code, two name folders where VCQI will find the survey datasets, where she will put the output files, and the third line gives a name to the analysis. The analysis name will appear in the name of the output spreadsheet. This page shows Block B from a TT control program and it looks exactly like one from an RI or SIA program.

#### 7.3 Block C – CD to output folder & open VCQI log

vcqi log all program versions

Block C has Stata change the working directory to be the output folder specified in Block B. Then it deletes any old copies of the Excel output file so this new run will be putting output into a new file. Next it opens the VCQI log, putting some initial messages in there to document the user-inputs that have been specified up to this point. Lastly it runs a program that puts more than 200 lines of output in the log file, documenting precisely which versions of the VCQI Stata programs will be used in this analysis. The log file lists the version number and date that each program was last changed in a substantial way. This may be helpful for troubleshooting problems later.

```
************
* Code Block: TT-C
*-----
                 CD to output folder & open VCQI log
cd "${VCQI OUTPUT FOLDER}"
* Start with a clean, empty Excel file for tabulated output (TO)
                                                                         The global macro VCP stands for VCQI Current
capture erase "${VCQI OUTPUT FOLDER}/${VCQI ANALYSIS NAME} TO.xlsx"
                                                                         Program; it holds the name of the program
* Give the current program a name, for logging purposes
                                                                         that is currently running. When a message is
global VCP TT Control Program -
                                                                         posted to the log file, the message lists the
                                                                         name of the program that made the message.
* Open the VCQI log and put a comment in it
                                                                         This is accomplished by passing $VCP to the
vcqi log comment $VCP 3 Comment "Run begins...log opened..."
                                                                         program that writes the log.
* Document the global macros that were defined before the log opened
vcqi log qlobal VCQI DATA FOLDER
vcqi log global VCQI OUTPUT FOLDER
vcqi log global VCQI ANALYSIS NAME
                                                                           The program vcqi log global writes a
                                                                            message into the log, documenting the
* Write an entry in the log file for each program, noting its version number
                                                                            value of a global macro.
```

#### 7.4 Block D – Specify dataset names & important metadata

Block D holds the second set of lines that a user typically edits. The user specifies the names of the Stata datasets that hold the coverage survey data. Variable names and coding conventions for those datasets are in VCQI's Forms and Variable List (FVL) document. The user also specifies some parameters or metadata to describe the vaccination schedule, the coverage survey, and some parameters to control what VCQI generates and how it looks. Many of the lines in Block D are common across TT, RI, and SIA surveys. After the common code, three sections follow listing lines of code that are specific to TT, RI and SIA control programs.

## Block D - Code common to RI, TT and SIA analyses

```
* Code Block: TT-D
                                                     (User may change)
               Specify dataset names and parameters/metadata
* If you will describe the dataset using DESC 01 then you need to also specify
* the HH and HM datasets
vcqi global VCQI HH DATASET
                         HH faux dataset
* Parameters to describe the analysis being requested
* Name the datasets that give geographic names of the various strata
                                                               See Annex B for a description and of
* and list the order in which strata should appear in tabular output.
                                                               these ORDER and NAME datasets.
* See Annex B of the VCQI User's Guide
vcqi global LEVEL2 ORDER DATASET ${VCQI DATA FOLDER}/level2order
vcqi global LEVEL3 ORDER DATASET ${VCQI DATA FOLDER}/level3order
vcqi global LEVEL1 NAME DATASET ${VCQI DATA FOLDER}/level1name
vcqi global LEVEL2 NAME DATASET ${VCQI DATA FOLDER}/level2names
vcqi global LEVEL3 NAME DATASET ${VCQI DATA FOLDER}/level3names
```

- $^{\star}$  The user can ask for results to be broken out by levels of
- \* a) a single demographic stratifier (like urban/rural), or
- \* b) a set of several stratifiers (like urban/rural and sex and household wealth)

\*

- \* If the user requests a single stratifier
- \* then the stratifier will appear in inchworm and unweighted proportion
- \* plots as well as VCQI tables.
- \* But if the user requests two or more stratifiers
- \* then inchworm plots and unweighted proportion plots are not generated for
- \* this run. The stratifiers will appear only in VCQI tables, but not plots.
- \* List of demographic variables for stratified tables (can be left blank) vcqi global VCQI LEVEL4 SET VARLIST urban cluster
- \* Name of dataset that documents the user's preferred order and
- \* row labels for LEVEL4 strata(can be left blank)
- \* (VCQI will generate a layout file if one is not specified; you may
- \* copy VCQI's file, edit it, move it to the input dataset folder and
- \* then point to it here during later VCQI runs.)

vcqi\_global VCQI\_LEVEL4\_SET\_LAYOUT \${VCQI\_DATA\_FOLDER}/VCQI\_LEVEL4\_SET\_LAYOUT\_urban\_cluster

See Annex B for a description and of the SET\_LAYOUT dataset

\* These globals control how the output looks in the tabulated dataset \* from the 05TO programs; see Annex B in the VCQI User's Guide. vcqi qlobal SHOW LEVEL 1 ALONE vcqi global SHOW LEVEL 2 ALONE 0 vcqi global SHOW LEVEL 3 ALONE vcqi global SHOW LEVELS 2 3 TOGETHER vcqi qlobal SHOW LEVELS 1 4 TOGETHER vcqi qlobal SHOW LEVELS 2 4 TOGETHER vcqi qlobal SHOW LEVELS 3 4 TOGETHER vcqi qlobal SHOW LEVELS 2 3 4 TOGETHER 1 vcqi global SHOW BLANKS BETWEEN LEVELS 1 \* User specifies the method for calculating confidence intervals \* Valid choices are LOGIT, WILSON, JEFFREYS or CLOPPER; our default \* recommendation is WILSON. vcqi global VCQI CI METHOD WILSON Set this global to 1 to generate tabular output in an Excel file. Set it to 0 if you only wish to make \* Specify whether the code should export to excel, or not (usually 1) figures or database output. vcqi global EXPORT TO EXCEL \* The code to format excel is a little slow, so give an option to turn it off \* when debugging (usually 1) This global is set to 1 unless you are doing some vcqi global FORMAT EXCEL fast debugging. Setting it to 0 makes the Excel output less usable.

* Specify whether the code should make plots, or not	(usually 1)	
* MAKE_PLOTS must be 1 for any plots to be made vcqi_global MAKE_PLOTS	1	Set this global to 1 to make plots. Set it 0 if you only want database or tabular output.
* Make inchworm plots? Set to 1 for yes.		
vcqi_global VCQI_MAKE_IW_PLOTS	1	
* Make unweighted sample proportion plots? Set to 1 :	for ves.	Furthermore, you can suppress individual types of
vcqi_global VCQI_MAKE_UW_PLOTS	1	plotssometimes you may want to make only
		inchworm plotsso turn off the organ pipe and
* Make organ pipe plots? Set to 1 for yes. vcqi global VCQI MAKE OP PLOTS	1	unweighted sample proportion plots
vedi_global vegi_mare_or_reors	1	
* Save the data underlying each organ pipe plot? Set	t to 1 for yes.	
* Recall that organ pipe plots are very spare, and do * for any of the bars	o not list the cluster io	d
* If this option is turned on, (set to 1) then the of * will save a dataset in the Plots_OP folder for each * list the cluster id for each bar in the plot along * width. This makes it possible to identify precise. * with which bar in the plot.	n plot. The dataset will with its height and	
vcqi_global VCQI_SAVE_OP_PLOT_DATA	1	
* Specify whether the code should save Stata .gph fit * Usually 0. These files are only made if MAKE_PLOTS * Set to 1 if you want to be able to edit plots in the * or re-export them in a different size or graphic fit.	S is 1. he Stata Graph Editor	
vcqi_global SAVE_VCQI_GPH_FILES	1	

\* Specify whether the code should save VCQI output databases

\*

- \* WARNING!! If this macro is set to 1, VCQI will delete ALL files that
- \* end in database.dta in the VCQI OUTPUT FOLDER at the end of the run
- \* If you want to save the databased, change the value to 0.
- \* (Usually 1)

vcqi global DELETE VCQI DATABASES AT END

1

\* Specify whether the code should delete intermediate datasets

- \* at the end of the analysis (Usually 1)
- \* If you wish to keep them for additional analysis or debugging,
- \* set the option to 0.

vcqi global DELETE TEMP VCQI DATASETS

1

- \* Set this global to 1 to test all metadata and code that makes
- \* datasets and calculates derived variables...without running the
- \* indicators or generating output

vcqi global VCQI CHECK INSTEAD OF RUN

0

VCQI generates datasets (flat files) of analysis results that it calls *databases*. They are deleted by default when VCQI is finished because we assume that most users want to look at tabular output in Excel rather than unformatted flat files. In order to keep the database, set this global to 0.

This global is usually set to 1 so VCQI will delete its temporary datasets when it is finished running. A user might set this global to 0 to keep those datasets for the purpose of debugging a program or following along to understand some of VCQI's intermediate work products.

Some users may wish to do additional analyses using some of VCQI's derived variables; if so, set this option to 0.

If you set this global to 1 then VCQI will do a quick check of your inputs to see if there is anything obviously missing or mis-specified, hopefully allowing you to catch mistakes at the start rather than 20 or 30+ minutes into a long VCQI run.

#### Block D – Code specific to TT analyses

```
* Names of datasets that hold TT data
vcqi global VCQI TTHC DATASET TTHC faux dataset
* -----
* Parameters to describe the TT survey
* ------
* These following parameters help describe the survey protocol
* with regard to whether they:
* a) skipped going to health centers to find TT records
* (TT RECORDS NOT SOUGHT 1)
* b) looked for records for all respondents
    (TT RECORDS SOUGHT FOR ALL 1)
* c) looked for records for women who didn't present vaccination cards
   during the household interview
    (TT_RECORDS_SOUGHT_IF_NO_CARD 1)
* These are mutually exclusive, so only one of them should be set to 1.
* (the code checks that condition later)
vcqi global TT RECORDS NOT SOUGHT
vcqi global TT RECORDS SOUGHT FOR ALL
vcqi global TT RECORDS SOUGHT IF NO CARD 1
```

#### Block D for an RI survey analysis

```
* Name of datasets that hold RI data
vcqi qlobal VCQI RI DATASET RI mdy
vcqi_global VCQI_RIHC_DATASET RIHC_mdy
* -----
* Parameters to describe RI schedule
* These parameters may change from survey to survey
* http://www.who.int/immunization/policy/Immunization routine table2.pdf?ua=1
* Note: Not including maximums (e.g., ms & yf are to be given b/t 9-12 months;
* series are to be given b/t 4-8 weeks of previous dose)
scalar bcg min age days = 0 // birth dose
scalar hepb min age days = 0 // birth dose
scalar opv0_min_age_days = 0 // birth dose
* opv0 only given in the first two weeks of life
scalar opv0 max age days = 14 // birth dose
scalar pental_min_age_days = 42 // 6 weeks
scalar pcvl_min_age_days = 42 // 6 weeks
scalar opvl_min_age_days = 42 // 6 weeks
scalar rotal_min_age_days = 42 // 6 weeks
scalar penta2 min age days = 70 // 10 weeks
scalar penta2 min interval days = 28 // 4 weeks
scalar penta2_min_interval_days = 26 // 4 weeks
scalar pcv2_min_age_days = 70 // 10 weeks
scalar pcv2_min_interval_days = 28 // 4 weeks
scalar opv2_min_age_days = 70 // 10 weeks
scalar opv2_min_interval_days = 28 // 4 weeks
scalar rota2_min_age_days = 70 // 10 weeks
scalar rota2 min interval days = 28 // 4 weeks
```

```
scalar penta3 min age days = 98 // 14 weeks
scalar penta3 min interval days = 28 // 4 weeks
scalar pentas_min_interval_days = 20 // 4 weeks
scalar pcv3_min_age_days = 98 // 14 weeks
scalar pcv3_min_interval_days = 28 // 4 weeks
scalar opv3_min_age_days = 98 // 14 weeks
scalar opv3_min_interval_days = 28 // 4 weeks
scalar rota3_min_age_days = 98 // 14 weeks
scalar rota3_min_interval_days = 28 // 4 weeks
scalar ipv min age days = 98 // 14 weeks
scalar mcv_min_age_days = 270 // 9 months
scalar mcv1_min_age_days = 270 // 9 months
scalar yf_min_age_days = 270 // 9 months
* -----
* Parameters to describe survey
* ------
* Specify the earliest and latest possible vaccination date for this survey.
* (The software assumes this survey includes birth doses, so the earliest date
* is the first possible birthdate for RI survey respondents and the latest
* date is the last possible vaccination date for this dataset - the latest
* date might be the date that the survey ended.
vcqi qlobal EARLIEST SVY VACC DATE M
vcqi global EARLIEST SVY VACC DATE D
vcqi qlobal EARLIEST SVY VACC DATE Y
                                                 2013
vcqi qlobal LATEST SVY VACC DATE M
vcqi qlobal LATEST SVY VACC DATE D
vcqi global LATEST SVY VACC DATE Y
                                                 2015
```

```
* These parameters indicate the eligible age range for survey respondents
* (age expressed in days)
vcqi qlobal VCQI RI MIN AGE OF ELIGIBILITY 365
vcqi qlobal VCQI RI MAX AGE OF ELIGIBILITY 729
* These following parameters help describe the survey protocol
* with regard to whether they:
* a) skipped going to health centers to find RI records (RI RECORDS NOT SOUGHT 1)
* b) looked for records for all respondents (RI RECORDS SOUGHT FOR ALL 1)
* c) looked for records for women who didn't present vaccination cards
    during the household interview (RI RECORDS SOUGHT IF NO CARD 1)
* These are mutually exclusive, so only one of them should be set to 1.
vcgi global RI RECORDS NOT SOUGHT
vcqi qlobal RI RECORDS SOUGHT FOR ALL
vcqi global RI RECORDS SOUGHT IF NO CARD 1
* Which doses should be included in the analysis
* Note that these abbreviations must correspond to those used in the
* names of the dose date and dose tick variables. The variables are
* named using lower-case acronyms. The globals here may be upper or
* mixed case...they will be converted to lower case in the software.
vcqi qlobal RI SINGLE DOSE LIST BCG HEPB OPV0 IPV MCV1 YF
vcqi qlobal RI MULTI 2 DOSE LIST
vcqi qlobal RI MULTI 3 DOSE LIST PENTA PCV OPV ROTA
* For RI analysis, there is an optional report on data quality
* Set this global to 1 to generate that report
* It appears in its own separate Excel spreadsheet
vcqi global VCQI REPORT DATA QUALITY
```

These are the VCQI default values: children are eligible for the survey if they are at least 12 months old and not yet 24 months old.

If you do not specify these parameters, VCQI will set them to 365 and 729 by default; you may specify other values here.

If you ask for a report on data quality, VCQI will generate a separate spreadsheet to describe how many dates are provided by card and by register and how many dates of birth are provided by history.

It summarizes how many of the dates have obvious problems and it summarizes whether the evidence of vaccination across sources is concordant or discordant.

The report only needs to be generated once; after the initial run, this option can be set to 0 to skip this step.

# Block D for an SIA survey analysis

# 7.5 Block E – Pre-process survey data

Block E should not be changed by the user. The code varies across RI, TT and SIA surveys. We have pasted Block E from each kind of survey here.

```
* Code Block: TT-E
                                                (Do not change)
*-----
             Pre-process survey data
*-----
establish unique TT ids
if "$VCQI CHECK INSTEAD OF RUN" == "1" {
    vcqi log comment $VCP 3 Comment "The user has requested a check instead of a run."
    vcqi global VCQI PREPROCESS DATA
                                    0
    vcqi global VCQI GENERATE DVS
                                    0
    vcqi global VCQI GENERATE DATABASES
                                    0
    vcqi global EXPORT TO EXCEL
    vcqi global MAKE PLOTS
check TT schedule_metadata
check TT survey metadata
check_TT_analysis metadata
```

```
* Code Block: SIA-E
                                                        (Do not change)
*-----
                Pre-process survey data
* Prepare to do SIA analysis
check SIA schedule metadata
check SIA survey metadata
check SIA analysis metadata
establish unique SIA ids
if "$VCQI CHECK INSTEAD OF RUN" == "1" {
     vcqi log comment $VCP 3 Comment "The user has requested a check instead of a run."
     vcqi global VCQI PREPROCESS DATA
                                   0
     vcqi global VCQI GENERATE DVS
     vcqi global VCQI GENERATE DATABASES 0
     vcqi global EXPORT TO EXCEL
     vcqi global MAKE PLOTS
                                         0
```

```
*****************
* Code Block: RI-E
*-----
               Format the VCQI dose list and pre-process survey data
* Construct the global RI DOSE LIST from what the user specified above
* VCQI currently handles single-dose and three-dose vaccines.
* First, list single dose vaccines
global RI DOSE LIST `=lower("$RI SINGLE DOSE LIST")'
* Finally, list each dose for three-dose vaccines
foreach i in $RI MULTI 3 DOSE LIST {
    qlobal RI DOSE LIST "$RI DOSE LIST `=lower("`i'")'1 `=lower("`i'")'2 `=lower("`i'")'3"
* Put a copy of the dose list in the log
vcqi log global RI DOSE LIST
 ______
* Check the user's metadata for completeness and correctness
* -----
check RI schedule metadata
check RI survey metadata
check RI analysis metadata
* Run the program to look at date of birth (from history, card, and register)
* and look at dates of vaccination from cards and register. This program
* evaluates each date and checks to see that it occurred in the period
* allowed for respondents eligible for this survey. It also checks to see
* that doses in a sequence were given in order. If any vaccination date
* seems to be outside the right range or recorded out of sequence, the date
* is stripped off and replaced with a simple yes/no tick mark. This step
* means less date-checking is necessary in subsequent programs.
cleanup RI dates and ticks
```

```
* The name of the datasets coming out of these cleanup steps are:
* "${VCQI OUTPUT FOLDER}/${VCQI DATASET} clean" &
* "${VCQI OUTPUT FOLDER}/${VCQI RIHC DATASET} clean"
* ------
* Establish unique IDs
* The name of the dataset coming out of the ID step is RI_with_ids
establish unique RI ids
* If the user requests a check instead of a run, then turn off
* flags that result in databases, excel output, and plots
if "$VCQI CHECK INSTEAD OF RUN" == "1" {
     vcqi log comment $VCP 3 Comment "The user has requested a check instead of a run."
     vcqi global VCQI PREPROCESS DATA
     vcqi qlobal VCQI GENERATE DVS
     vcqi global VCQI GENERATE DATABASES
     vcqi global EXPORT TO EXCEL
                                         0
     vcqi global MAKE PLOTS
                                          0
```

### 7.6 Block F – Calculate VCQI indicators requested by the user

Block F is the third and final section that the user edits.

It is common to run the DESC indicators first to describe the dataset, and then run the specific RI, TT or SIA indicators of interest. Finally run the COVG\_DIFF indicators if you need formal hypothesis tests to decide whether coverage is likely to differ by an amount that is statistically significant. VCQI can test for differences

- a) between strata themselves, or
- b) between sub-groups within a stratum (e.g., males vs. females, urban vs. rural, education of caretaker, etc.)

Broadly speaking, there are four steps to run an indicator:

- 1. Specify required (and optional) inputs via vcqi\_global statements.
- 2. Specify the title, subtitle, and footnotes for the Excel worksheet that will hold tabular results.
- 3. Call the program that calculates the indicator and generates output.
- 4. If you will calculate this indicator again later in the program, clear out the input global macros so they are not mistakenly used again.

These steps are quite similar across indicators, but the details of the code in Block F differs substantially across TT, RI and SIA surveys. We have pasted some example code here. See the control programs that accompany this guide for full examples of how to run the VCQI indicators.

```
* Describe the RI survey dataset
vcqi_global DESC_01_DATASET RI
vcqi_global DESC_01_TO_TITLE RI Survey Sample Summary
vcqi_global DESC_01_TO_FOOTNOTE_1 Abbreviations: HH = Households
DESC_01
```

```
* Summarize responses to some multiple-choice questions using DESC 02
* ------
* Is the card an original or replacement? (simple unweighted sample proportion)
vcqi qlobal DESC 02 DATASET
                              RI
vcqi qlobal DESC 02 VARIABLES RI30
vcqi global DESC 02 WEIGHTED NO
vcqi qlobal DESC 02 DENOMINATOR RESPONDED
vcqi qlobal DESC 02 TO TITLE Is the card an original or replacement?
* Clear out the SUBTITLE in case it was previously used.
vcqi qlobal DESC 02 TO SUBTITLE
* Remember that DESC 0\overline{2} automatically assigns two footnotes, so if you
* want to include another, start with the number 3.
* We are not using it here, but clear it out in case it was used earlier.
vcqi qlobal DESC 02 TO FOOTNOTE 3
DESC 02
* Did you have to pay for replacement?
vcqi global DESC 02 VARIABLES RI31
vcqi global DESC 02 TO TITLE Did you have to pay for replacement?
DESC 02
```

```
* Now demonstrate using DESC 03 on a multiple-choice question
* where the respondent can select all answers that apply
vcqi qlobal DESC 03 DATASET
                                 RI
vcqi_global DESC_03_WEIGHTED YES
vcqi global DESC 03 DENOMINATOR ALL
vcqi global DESC 03 SELECTED VALUE
                                1
* The label on RI133 is "7. Other, please specify"; use the so-called
* MISSING options to re-label it simply "7. Other"
vcqi qlobal DESC 03 TO TITLE
                                 What messages have you heard about vaccination?
vcqi qlobal DESC 03 N MISSING LEVELS 1
vcqi global DESC 03 MISSING LEVEL 1 RI133
vcqi global DESC 03 MISSING LABEL 1 7. Other
* Clear out the SUBTITLE in case it was previously used.
vcqi qlobal DESC 03 TO SUBTITLE
* We are not using any footnotes here; clear out the first one so none are printed.
vcqi global DESC 03 TO FOOTNOTE 1
DESC 03
```

Note: Shaded lines below are wrapped onto multiple lines in this document, but appear on a single line of code (each) in Stata.

\* Estimate crude dose coverage for all the doses in the RI DOSE LIST

vcqi global RI COVG 01 TO TITLE Crude Coverage

vcqi\_global RI\_COVG\_01\_TO\_FOOTNOTE\_1 Abbreviations: CI=Confidence Interval; LCB=Lower Confidence Bound; UCB=Upper Confidence Bound; DEFF=Design Effect; ICC=Intracluster Correlation Coefficient

vcqi\_global RI\_COVG\_01\_TO\_FOOTNOTE\_2 Note: This measure is a population estimate that incorporates survey weights. The CI, LCB and UCB are calculated with software that take the complex survey design into account.

RI\_COVG\_01

```
* Identify clusters with alarmingly low coverage of BCG MCV1 OPV1 or PENTA1
vcqi global RI COVG 05 DOSE LIST BCG MCV1 OPV1 PENTA1
* Specify whether to make one table listing only the clusters with low
* coverage (ONLY LOW CLUSTERS)
* or to make one table per stratum, listing all clusters and highlighting
* those with low coverage (ALL CLUSTERS)
vcqi qlobal RI COVG 05 TABLES ONLY LOW CLUSTERS
* Specify whether alarmingly low coverage is defined by an absolute
* number of respondents vaccinated (COUNT) or by percent of respondents
* in the cluster (PERCENT)
vcqi global RI COVG 05 THRESHOLD TYPE COUNT
* Specify the threshold that defines alarmingly low
* A count, like 0, 1, 2 if the THRESHOLD TYPE is COUNT
* A percent 0 up to 100 if the THRESHOLD TYPE is PERCENT
* Clusters whose coverage is <= the threshold will be flagged
* as having alarmingly low coverage.
vcqi global RI COVG 05 THRESHOLD 2
* Note that the worksheet title is built by the indicator and not specified
* by the user.
* Note also the indicator builds footnotes 1 and 2, so the first
* user-specified footnote would be #3.
vcqi global RI COVG 05 TO FOOTNOTE 3
```

RI COVG 05

Note: Shaded lines below are wrapped onto multiple line in this document, but appear on a single line of code (each) in Stata.

\* Estimate the proportion of children who experienced 1+ MOVs vcqi global RI QUAL 09 VALID OR CRUDE VALID

vcqi global RI QUAL 09 TO TITLE Percent of Respondents with MOVs

vcqi\_global RI\_QUAL\_09\_TO\_FOOTNOTE\_1 Percent of respondents who had date of birth and visit date data who failed to receive a vaccination for which they were eligible on an occasion when they received another vaccination.

vcqi\_global RI\_QUAL\_09\_TO\_FOOTNOTE\_2 An uncorrected MOV means that the respondent had still not received a valid dose at the time of the survey.

vcqi\_global RI\_QUAL\_09\_TO\_FOOTNOTE\_3 A corrected MOV means that the respondent had received a valid dose by the time of the survey.

vcqi\_global RI\_QUAL\_09\_TO\_FOOTNOTE\_4 The denominator for Had MOV (%) is the number of respondents who had visits eliqible.

vcqi\_global RI\_QUAL\_09\_TO\_FOOTNOTE\_5 The denominator for MOV uncorrected and corrected (%) is the number of MOVs.

vcqi\_global RI\_QUAL\_09\_TO\_FOOTNOTE\_6 Note that for individual doses, the % MOV uncorrected + % MOV corrected adds up to 100%.

if "`=upper("\$RI\_QUAL\_09\_VALID\_OR\_CRUDE")" == "VALID" vcqi\_global RI\_QUAL\_09\_TO\_FOOTNOTE\_7 Note: Early doses are ignored in this analysis; the respondent is considered to have not received them.

if "`=upper("\$RI\_QUAL\_09\_VALID\_OR\_CRUDE")" == "CRUDE" vcqi\_global RI\_QUAL\_09\_TO\_FOOTNOTE\_7 Note: Early doses are accepted in this analysis; all doses are considered valid doses.

RI\_QUAL\_09

### Chapter 7. Examples of Control Programs

You may specify more than one dose pair

```
* Estimate the proportion of intervals that are longer
                                                                                and interval at a time. In this case we are
* than the specified thresholds
                                                                                 asking for three analyses. The dose pairs
* 1. Pental to Penta2 longer than 56 days
                                                                                are all listed on the DOSE_PAIR line and
* 2. Penta2 to Penta3 longer than 56 days
                                                                                the thresholds on the THRESHOLD LIST
* 3. BGC to MCV1 longer than 273 days
                                                                                line.
vcqi qlobal RI QUAL 12 DOSE PAIR LIST PENTA1 PENTA2 PENTA2 PENTA3 BCG MCV1
                                                                                Note: Be sure to put the
vcqi global RI QUAL 12 THRESHOLD LIST 56 56 273
                                                                                RI QUAL 12 THRESHOLD LIST in the
                                                                                same order as the corresponding dose
                                        Dose Intervals Exceed Thresholds
vcqi qlobal RI QUAL 12 TO TITLE
                                                                                pair in RI QUAL 12 DOSE PAIR LIST
vcqi qlobal RI QUAL 12 TO SUBTITLE
vcqi qlobal RI QUAL 12 TO FOOTNOTE 1 Note: This measure is an unweighted summary of a proportion
from the survey sample.
RI QUAL 12
* Does crude (RI COVG 01) penta3 by card differ between
* the Upper vs Lower province?
vcqi qlobal COVG DIFF 01 STRATUM LEVEL 2
vcqi global COVG DIFF 01 ANALYSIS COUNTER $ANALYSIS COUNTER
vcqi qlobal COVG DIFF 01 ID OR NAME NAME
vcqi qlobal COVG DIFF 01 STRATUM NAME1 UPPER PROVINCE
vcqi qlobal COVG DIFF 01 STRATUM NAME2 LOWER PROVINCE
vcqi qlobal COVG DIFF 01 INDICATOR RI COVG 01
vcqi global COVG DIFF 01 VARIABLE got crude penta3 by card
vcqi global COVG DIFF 01 TO FOOTNOTE 1 Abbreviations: CI = Confidence Interval
COVG DIFF 01
```

```
* Does crude Penta 1, 2, and 3 differ between urban and rural clusters
* in province 1?
vcqi qlobal COVG DIFF 02 INDICATOR RI COVG 01
vcqi qlobal COVG DIFF 02 ANALYSIS COUNTER $ANALYSIS COUNTER
vcqi global COVG DIFF 02 SUBPOP VARIABLE urban cluster
vcqi global COVG DIFF 02 SUBPOP LEVEL1 0
vcqi global COVG DIFF 02 SUBPOP LEVEL2 1
                                                                                  Establish all the global macros
vcqi global COVG DIFF 02_ID OR NAME ID
                                                                                  needed to run the test, and run it
vcqi qlobal COVG DIFF 02 STRATUM LEVEL 2
                                                                                  once.
vcqi global COVG DIFF 02 STRATUM ID 1
vcqi global COVG DIFF 02 VARIABLE got crude penta1 by card
vcqi global COVG DIFF 02 TO FOOTNOTE 1 Abbreviations: CI = Confidence Interval
COVG DIFF 02
                                                                                 Change the variable, and re-run the
vcqi global COVG DIFF 02 VARIABLE got crude penta2 by card
                                                                                 test; the 2nd and 3rd calls to
COVG DIFF 02
                                                                                 COVG_DIFF_02 here use all the same
                                                                                 global options as the first call. The
vcqi global COVG DIFF 02 VARIABLE got crude penta3 by card
                                                                                 only difference is the variable being
COVG DIFF 02
                                                                                 tested.
```

## 7.7 Block G – Exit gracefully

Block G is the same across all three kinds of control programs. It calls a program that cleans up after VCQI, moving the log file into Excel and, if the user wishes, deleting temporary files. The VCQI log is moved into a worksheet of the Excel output file; errors are shaded red and warnings are shaded yellow; the log is sorted so errors and warnings appear at the top of the log worksheet.

### ANNEX A. UNDERSTANDING NESTED STRATA IN VCQI

Table A-1 lists the vocabulary associated with the three nested levels of strata in our example.

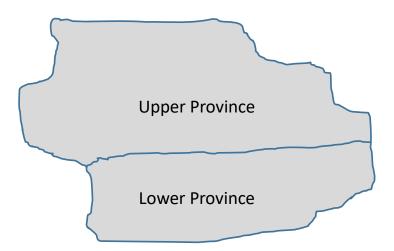
Table A-1. Overview of Three Nested Levels of Administrative Hierarchy

Name	Description	Note 1	Note 2
Level 1	Entire country	There is only one level	Calculating results for level
		1 stratum per VCQI	1 makes sense if the level 2
		analysis	strata are exhaustive
			(comprise the entire
			country).
Level 2	Sub-national strata	All level 2 strata are	Calculating results for level
	(e.g., provinces)	contained within level	2 makes sense if the level 3
		1; level 2 strata are	strata are exhaustive
		mutually exclusive,	(comprise the entire level 2
		(meaning that each	stratum). If you do a
		level 3 stratum is part	survey only of high-risk
		of only one level 2	districts at level 3, then it
		stratum) but level 2	may not make sense to
		strata do not have to	calculate results at levels 2
		be exhaustive (you do	or 1.
		not have to do the	
		survey in every	
		province in the	
		nation).	
Level 3	Sub-sub-national (i.e.,	Each level 3 stratum is	Level 3 is typically the
	health districts nested	contained within a	lowest administrative level
	within provinces)	level 2 stratum; level 3	at which the survey was
		strata are mutually	conducted. Level 2 is
		exclusive, (each	constructed by aggregating
		cluster appears in only	data from a set of level 3
		one level 3 stratum)	strata, and level 1 is
		but they do not have	constructed, if
		to be exhaustive (you do not need to do a	appropriate, by
			aggregating all the data from all level 2 strata.
		survey in every district	irom an level 2 strata.
Level 4	Demographic variable	in the province). The user specifies one	The Level 4 stratification
LEVEL4	Demographic variable that defines sub-	or more categorical	variables are optional;
	groups within Levels	variables to define	users may decide not to
	1-3	Level 4 strata. This	define Level 4 strata by
	1.0	variable might code	clearing out the global
		the sex of the	macro
		respondent, or	VCQI_LEVEL4_SET_VARLIST
		whether they live in	VOQI_LEVELT_JET_VAILEIJT
		an urban or rural	
		cluster	
		ciustei	

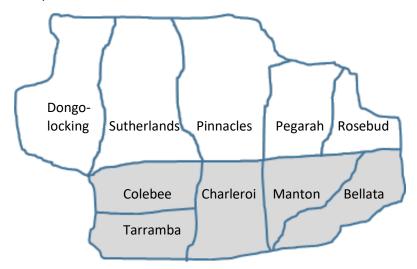
Consider the imaginary nation of Sassafrippi.



It is comprised of two provinces, named Upper Province and Lower Province.



Each province is made up of five health districts.



VCQI is designed to be able to analyze vaccination coverage surveys conducted at any of these three levels of administrative hierarchy. The terminology can be a little confusing because the terms Level 1, Level 2, and Level 3 can refer to different things depending on how the survey was done. Table A1 indicates what level of hierarchy the three terms would refer to under several common survey scenarios.

Note: In every case, Level 3 refers to the lowest level of administrative hierarchy where survey reports will be reported in separate strata. So if the survey is designed to yield district-level results, then Level 3 is the district level. If it is designed to yield provincial results (but not district level) then Level 3 is the provincial level. If it is only a national survey with no sub-national strata, then Level 3 is the national level.

If the Level 3 strata are nested within a higher level, and if the survey is conducted in every Level 3 stratum, then it may make sense to report aggregated results at Level 2 and possibly at Level 1.

Table A-2. Levels 1-3 vary depending on where the survey was conducted

Survey conducted in :	Level 1	Level 2	Level 3
Each health district in each province in the nation	Nation	Province	District
Each province in the nation (alternative #1)		Nation	Province
Each province in the nation (alternative #2)	Nation		Province
A subset of health districts (not all in any province)			District
All health districts in one province		Province	District
National survey only (no sub-national strata)			Nation
Single district survey			District

VCQI also alludes to something called Level 4, which gives users the flexibility to stratify results by demographic sub-groups within each administrative stratum. Common demographic sub-groups might include urban/rural, boy/girl, literate caregiver/illiterate caregiver, wealthy household/poorer household, etc. To calculate results using a demographic stratification variable, first be sure to establish

a variable that codes the demographic sub-groups. This can be accomplished with an integer variable that has a value label, or using a string variable. Once the stratification variable is defined for every respondent, then tell VCQI to use it by including the following line in the control program:

```
vcqi_global VCQI_LEVEL4_SET_VARLIST stratification_variable_name
e.g.,
vcqi_global VCQI_LEVEL4_SET_VARLIST urban_cluster
or
vcqi_global VCQI_LEVEL4_SET_VARLIST gender
or
vcqi_global VCQI_LEVEL4_SET_VARLIST literacy status
```

If the user specifies a single stratifier then it will appear in VCQI tables and figures. If the user specified more than one stratifier, it will appear in tables, but not in plots. In fact, VCQI does not make inchworm plots or unweighted proportion plots when the user specifies 2+ LEVEL4 stratification variables.

For example, when it is meaningful to have results at national, provincial, and district level, and the user requests sub-group estimates for urban and rural, then VCQI will summarize the following in tables and plots:

- 1. National results
  - a. All respondents combined
  - b. Urban respondents only
  - c. Rural respondents only
- 2. Provincial results for each province
  - a. All respondents combined
  - b. Urban respondents only
  - c. Rural respondents only
- 3. District level results for each district
  - a. All respondents combined
  - b. Urban respondents only
  - c. Rural respondents only

See Annex B for examples of tabulated output calculated at Levels 1-3 plus urban/rural sub-groups.

### ANNEX B. CONTROLLING HOW STRATA ARE LISTED IN VCQI OUTPUT

The user has control over the names of strata at all levels. The user has control over which strata will be listed, and in what order, in Excel tables. The user has control over which strata will be listed, <u>but not the listing order</u> for inchworm plots. In inchworm plots, the strata are always sorted by estimated coverage with the lowest levels at the bottom of the figure and higher levels near the top.

Table B-1 lists how stratum names and their order for tabulated output are defined:

Table B-1. Where to specify the names and listing order of various strata

Level	Names	Order	Notes
1	Dataset: level1name	Not applicable	There is NO 's' on the end of the dataset name as there can only be one Level 1 stratum.
2	Dataset: level2names	Dataset: level2order	There is an 's' on the end of the dataset name.
3	Specified in the survey dataset in the variable.	Dataset: level3order	VCQI obtains stratum names from variables like RIO2, TTO2, or SIAO2, which hold the survey stratum name
4	If the user does not specify the name of a VCQI_LEVEL4_SET_LAYOUT dataset then VCQI obtains the LEVEL4 names from value label of the variable that defines the subgroups or if the variable is a string variable, then the names are the strings themselves.  If the user names a VCQI_LEVEL4_SET_LAYOUT dataset, then VCQI takes the LEVEL4 names from that file.	If the user does not specify the name of a VCQI_LEVEL4_SET_LAYOUT dataset then VCQI obtains the LEVEL4 order from the order in which stratifiers are listed in the VCQI_LEVEL4_SET_VARLIST and the order of values of those variables.  If the user names a VCQI_LEVEL4_SET_LAYOUT dataset, then VCQI takes the LEVEL4 order from that file.	Examples: urban_cluster, gender  If the string variable gender took on string values of Male and Female then the Level 4 names would be Male and Female.  If the variable gender was an integer with a value label where 1 is labeled Male and 2 is labeled Female then once again, the Level 4 names would be Male and Female.

## B.O Demographic stratification using the VCQI LEVEL4 SET VARLIST and LAYOUT

There are two global macros that control demographic stratifiers. The first is VCQI\_LEVEL4\_SET\_VARLIST. This macro must be populated with one or more variable names for VCQI to use demographic stratifiers.

If the user lists a single variable in that macro, then VCQI will include the stratifier in both tables and plots, per the levels that the user request using the SHOW\_LEVELS\_\* macros. This annex shows several examples of how the output varies with different combinations of SHOW LEVELS \* macros.

If the user lists two or more variables in VCQI\_LEVEL4\_SET\_VARLIST then the demographic stratifiers will be used to make tables, but not to make plots. <u>VCQI will suppress inchworm plots and unweighted</u> proportion plots when the user asks for 2+ demographic strata.

If the demographic stratifiers have clear and succinct variable labels and value labels then it may not be necessary to also define a VCQI\_LEVEL4\_SET\_LAYOUT dataset. When the user does not define such a dataset, VCQI builds one and saves it in the \$VCQI\_OUTPUT\_FOLDER. The file will be named VCQI\_LEVEL4\_LAYOUT\_automatic.dta. This dataset controls the layout of demographic strata in the tables. If the user wants to modify how the strata are listed, they may edit this dataset and rename it and then tell VCQI to use their layout dataset rather than guess what they might want with the \_automatic file.

### Structure of a LEVEL4 LAYOUT dataset

The LAYOUT dataset holds four variables.

- 1. order is a numeric variable that takes integer values starting with 1 and increasing by 1. It indicates the order in which the rows should appear in VCQI tables.
- 2. label is a string variable that holds the label, if any, that should appear in this row in the table
- 3. rowtype is a string variable that takes three possible values:
  - a. LABEL ONLY means the row contains a label (i.e., Sex)
  - b. DATA ROW means the row contains a condition (i.e., sex == 1)
  - c. BLANK\_ROW means the user wants tables to include an extra blank row
- 4. condition is a string variable that holds Stata syntax to identify the demographic sub-group. For urban respondents, the condition might read "urban\_cluster == 1" and for rural respondents it might read "urban\_cluster == 0".

So a simple LAYOUT dataset might look like this:

order	label	condition	rowtype
1	Is the cluster urban?		LABEL_ONLY
2	0: Rural	urban_cluster == 0	DATA_ROW
3	1: Urban	urban_cluster == 1	DATA_ROW

And if the user did not want the initial label to appear, then the dataset would look like this:

order	label	condition	rowtype
1	0: Rural	urban_cluster == 0	DATA_ROW
2	1: Urban	urban_cluster == 1	DATA_ROW

And if the user wanted the urban row to appear first and the rural row to appear second, the dataset might look like this:

order	label	condition	rowtype
1	1: Urban	urban_cluster == 1	DATA_ROW
2	0: Rural	urban_cluster == 0	DATA_ROW

If the user specified three demographic stratifiers, like this:

```
vcqi_global VCQI_LEVEL4_SET_VARLIST urban_cluster RI20 RI136
vcqi global VCQI LEVEL4 SET LAYOUT
```

Then VCQI would write a file named VCQI\_LEVEL4\_LAYOUT\_automatic.dta that looks like this:

order	label	condition	rowtype
1	Is the cluster urban?		LABEL ONLY
2	0: Rural	urban_cluster == 0	DATA ROW
3	1: Urban	urban_cluster == 1	DATA_ROW
4	Sex	_	LABEL_ONLY
5	1: Male	RI20 == 1	DATA_ROW
6	2: Female	RI20 == 2	DATA_ROW
7	Did anyone from this household travel for 1+ months of the last 12 months		LABEL_ONLY
8	1: Yes	RI136 == 1	DATA_ROW
9	2: No	RI136 == 2	DATA_ROW

The user might edit the dataset to remove two unnecessary labels and shorten the third label, like this:

order	label	condition	rowtype
1	0: Rural	urban_cluster == 0	DATA_ROW
2	1: Urban	urban_cluster == 1	DATA_ROW
3		_	BLANK ROW
4	1: Male	RI20 == 1	DATA_ROW
5	2: Female	RI20 == 2	DATA ROW
6	Anyone travel for 1+ months?		LABEL_ONLY
7	1: Yes	RI136 == 1	DATA_ROW
8	2: No	RI136 == 2	DATA_ROW

The user could rename the dataset "layout\_edited.dta" and re-run VCQI, specifying:

```
vcqi_global VCQI_LEVEL4_SET_VARLIST urban_cluster RI20 RI136
vcqi global VCQI LEVEL4 SET LAYOUT ${VCQI OUTPUT FOLDER}/layout edited
```

And the resulting Excel table would look like this:

1	BCG Received Before Age 7 Days		
2			
3		Received BCG Before Age 7 Days (%)	N
4	Sassafrippi	90.1	2,153
5	0: Rural	90.2	813
6	1: Urban	90.1	1,340
7			
8	1: Male	90.7	1,071
9	2: Female	89.6	1,082
10	Anyone travel for 1+ months?		
11	1: Yes	87.4	199
12	2: No	90.4	1,954

The ability to edit the layout file gives the user substantial flexibility in specifying which stratifiers will appear in the tables. The conditions in the example above are simple, but the user could specify more complex strata, like this:

order	la	abel		condition	rowtype
1	Rural Males (Families who Trav	vel) urban_cluster ==	0 & RI20 == 1	& RI136 == 1	DATA_ROW
2	Rural Females (Families who Trav	vel) urban_cluster ==	0 & RI20 == 2	& RI136 == 1	DATA_ROW

### Which results in a table like this:

4	A	В	С
1	BCG Received Before Age 7 Days		
2			
3		Received BCG Before Age 7 Days (%)	N
4	Sassafrippi	90.1	2,153
5	Rural Males (Families who Travel)	83.9	31
6	Rural Females (Families who Travel)	87.2	39

## B.1 Sample listings of stratum name and order datasets

The following section shows the datasets listed above for the sample dataset analyzed in this user's guide.

Dataset: level1name

level1id	level1name
1	Sassafrippi

Dataset: level2names

level2id	level2name
1	Lower Province
2	Upper Province

Dataset: level2order

level2id	level2order
1	1
2	2

Values of TT01 and TT02

TT01	TT02
(also level3id)	(also level3name)
1	Pegarah
2	Dongolocking
3	Colebee
4	Manton
5	Bellata
6	Tarramba
7	Rosebud
8	Sutherlands
9	Charleroi
10	Pinnacles

Dataset: level3order

level3id	level3order
1	10
2	9
3	8
4	7
5	6
6	5
7	4
8	3
9	2
10	1

Dataset: level4\_layout\_rural\_urban

order	label	condition	rowtype
2	0: Rural	urban_cluster == 0	DATA_ROW
1	1: Urban	urban_cluster == 1	DATA_ROW

Note: When the user asks to see Level 4 stratified output, either under the national, provincial, or district level results, it will appear with urban first and rural second.

Table B-2 lists the order in which strata appear in inchworm plots.

Table B-2. Stratum sort order for VCQI inchworm plots

Levels included	How Sorted
Only Level 1	Only one row so sorting is not applicable
Only Level 2 or Level 3	Sorted by estimated coverage
Level 2 & 4 or 3 & 4	Sorted by the Level 2 or 3 coverage and then by Level 4 coverage
Levels 1 & 2	Sorted by estimated coverage
Levels 1 & 2 & 3	Sorted by Level 2 coverage and then by Level 3 within Level 2

# B.2 Example: Nested output for all Levels: 1, 2, and 3 with additional Level 4 stratification

This common combination of inputs will show output for every level, 1-3, and for each of the Level 4 sub-groups in each. In this example, the Level 4 stratifier is the variable that codes whether the cluster is urban or rural. The following pages show national, provincial, and district level results, each broken out by urban and rural sub-groups.<sup>16</sup>

```
vcqi global VCQI LEVEL4 SET VARLIST urban cluster
vcqi global VCQI LEVEL4 SET LAYOUT ///
${VCQI INPUT FOLDER}/level4 layout rural urban
vcqi qlobal SHOW LEVEL 1 ALONE
                                       0
vcqi qlobal SHOW LEVEL 2 ALONE
                                       0
vcqi global SHOW LEVEL 3 ALONE
                                       0
vcqi qlobal SHOW LEVELS 2 3 TOGETHER
                                       0
vcqi global SHOW LEVELS 1 4 TOGETHER
                                       1
vcqi qlobal SHOW LEVELS 2 4 TOGETHER
                                       0
vcqi global SHOW LEVELS 3 4 TOGETHER
                                       0
vcqi global SHOW LEVELS 2 3 4 TOGETHER 1
vcqi global SHOW BLANKS BETWEEN LEVELS 1
```

<sup>&</sup>lt;sup>16</sup> The table shows columns for the main result only that considers evidence from card, history, and health facility records. It omits columns for intermediate variables like protected at birth by card, by history, and by card or history.

Table B-3. Nested output for all Levels: 1-3 with additional Level 4 stratification

### **Protected at Birth from Neonatal Tetanus**

	Protected at birth	95% CI	95% LCB	95% UCB				
	(%)	(%)	(%)	(%)	DEFF	ICC	N	Weighted N
Sassafrippi	81.5	(79.9, 83.0)	80.1	82.8	1.3	0.0322	3,064	6,417,278
Sassafrippi - 1: Urban	82.2	(80.2, 84.0)	80.5	83.7	1.2	0.0217	1,909	3,891,568
Sassafrippi - 0: Rural	80.5	(77.6, 83.2)	78.0	82.7	1.4	0.0448	1,155	2,525,710
Lower Province	81.8	(79.6, 83.9)	80.0	83.6	1.1	0.0157	1,493	3,044,108
Lower Province - 1: Urban	82.8	(80.2, 85.2)	80.6	84.8	0.9	-0.0146	897	1,798,728
Lower Province - 0: Rural	80.5	(76.3, 84.1)	77.0	83.6	1.4	0.0416	596	1,245,380
Charleroi	82.2	(75.8 <i>,</i> 87.5)	76.8	86.7	1.6	0.0628	310	284,985
Charleroi - 1: Urban	80.1	(73.3, 85.8)	74.4	85.0	1.1	0.0114	213	194,745
Charleroi - 0: Rural	86.7	(68.0, 96.6)	71.0	95.7	2.8	0.2054	97	90,240
Tarramba	81.6	(74.3, 87.5)	75.4	86.7	1.8	0.0974	285	611,843
Tarramba - 1: Urban	88.1	(81.3, 93.1)	82.4	92.4	0.6	-0.0547	132	283,421
Tarramba - 0: Rural	75.9	(63.9, 85.5)	65.8	84.3	1.9	0.1017	153	328,422
Bellata	81.1	(75.9, 85.6)	76.7	84.9	0.7	-0.0418	269	530,378
Bellata - 1: Urban	81.8	(74.8, 87.6)	75.9	86.8	0.3	-0.0863	153	304,827
Bellata - 0: Rural	80.1	(70.1, 87.9)	71.7	86.9	1.1	0.0148	116	225,551
Manton	82.1	(77.3, 86.2)	78.1	85.6	8.0	-0.0250	308	1,028,427
Manton - 1: Urban	80.4	(73.9, 85.8)	75.0	85.1	0.7	-0.0307	185	622,187
Manton - 0: Rural	84.7	(77.0, 90.5)	78.3	89.7	0.8	-0.0238	123	406,240
Colebee	82.2	(77.6, 86.2)	78.3	85.7	0.9	-0.0154	321	588,474
Colebee - 1: Urban	84.9	(79.3 <i>,</i> 89.5)	80.2	88.8	0.9	-0.0087	214	393,548
Colebee - 0: Rural	76.8	(67.7, 84.4)	69.1	83.4	0.4	-0.0654	107	194,926
Upper Province	81.2	(78.7, 83.5)	79.1	83.1	1.4	0.0448	1,571	3,373,170
Upper Province - 1: Urban	81.6	(78.5 <i>,</i> 84.5)	79.0	84.0	1.5	0.0476	1,012	2,092,840
Upper Province - 0: Rural	80.5	(76.1, 84.4)	76.8	83.8	1.4	0.0466	559	1,280,330
Pinnacles	83.7	(78.3, 88.3)	79.1	87.6	1.2	0.0249	301	223,164
Pinnacles - 1: Urban	83.9	(75.4, 90.3)	76.8	89.5	1.4	0.0444	163	122,839
Pinnacles - 0: Rural	83.5	(75.1, 90.0)	76.5	89.1	1.0	0.0037	138	100,325
Sutherlands	84.0	(79.3, 88.0)	80.0	87.4	0.5	-0.0536	292	870,605
Sutherlands - 1: Urban	83.8	(77.9, 88.7)	78.8	88.0	0.6	-0.0446	197	592,716
Sutherlands - 0: Rural	84.4	(75.5, 91.0)	76.9	90.1	0.4	-0.0751	95	277,889
Rosebud	77.5	(72.0, 82.4)	72.8	81.7	1.1	0.0079	305	1,330,046
Rosebud - 1: Urban	76.4	(68.0, 83.4)	69.3	82.4	1.1	0.0083	162	700,511
Rosebud - 0: Rural	78.7	(70.1, 85.8)	71.5	84.8	1.1	0.0061	143	629,534
Dongolocking	85.8	(81.6, 89.3)	82.3	88.8	0.7	-0.0276	337	327,062
Dongolocking - 1: Urban	84.1	(79.1, 88.3)	79.9	87.7	0.6	-0.0347	258	249,928
Dongolocking - 0: Rural	91.2	(82.7, 96.4)	84.1	95.8	0.6	-0.0433	79	77,134
Pegarah	81.9	(76.9, 86.2)	77.7	85.5	1.1	0.0091	336	622,294
Pegarah - 1: Urban	85.0	(79.8, 89.4)	80.6	88.8	0.8	-0.0154	232	426,845
Pegarah - 0: Rural	74.9	(64.5, 83.6)	66.2	82.4	0.9	-0.0093	104	195,448

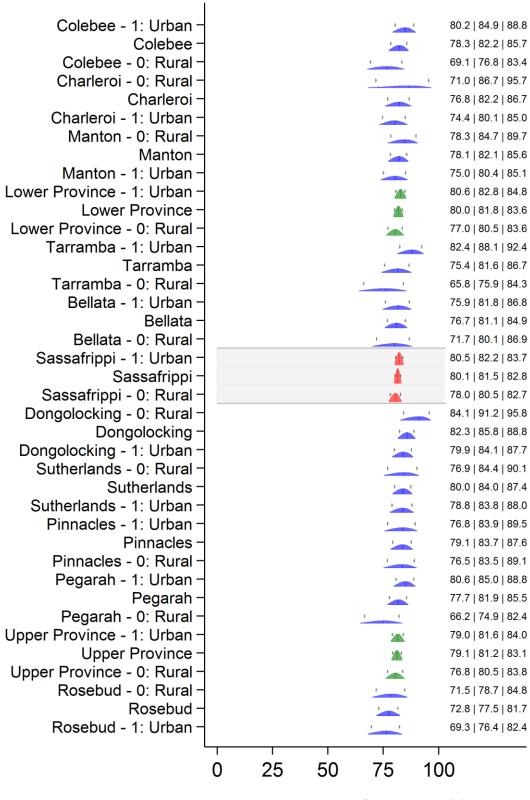
Abbreviations: CI=Confidence Interval; LCB=Lower Confidence Bound; UCB=Upper Confidence Bound; DEFF=Design Effect; ICC=Intracluster Correlation Coefficient

Note: This measure is a population estimate that incorporates survey weights. The CI, LCB and UCB are calculated with software that take the complex survey design into account.

Note: The figure on the following page shows coverage estimates sorted from bottom to top, by level 2 coverage (Upper Province has the lowest estimated coverage) and within each province the districts are sorted by district level coverage, so all the districts for the Upper Province appear at the bottom of the page, and then national coverage in the center, and then all the districts for the Lower Province appear above. Within each district, or province, or national level, the urban and rural sub-groups are sorted by estimated coverage.

Note: In Colebee, the urban coverage is higher than rural, so it appears at the top. In Charleroi, rural coverage is higher, so it appears above urban.

## TT - Protected at Birth



Estimated Coverage %

Text at right: 1-sided 95% LCB | Point Estimate | 1-sided 95% UCB

# B.3 Example: Nested output for all Levels: 1, 2, and 3 with NO additional stratification

This combination of inputs will show output for every level, 1-3, with level 3 output nested under level 2, but no additional stratification by sub-group. The following page shows national, provincial, and district level results.<sup>17</sup>

```
vcqi qlobal VCQI LEVEL4 SET VARLIST
vcqi global SHOW LEVEL 1 ALONE
                                        1
vcqi global SHOW LEVEL 2 ALONE
                                        0
vcqi global SHOW LEVEL 3 ALONE
                                        0
vcqi_global SHOW_LEVELS 2 3 TOGETHER
                                        1
vcqi_global SHOW LEVELS_1_4_TOGETHER
                                        0
vcqi global SHOW LEVELS 2 4 TOGETHER
                                        0
vcqi global SHOW LEVELS 3 4 TOGETHER
                                        0
vcqi global SHOW LEVELS 2 3 4 TOGETHER 0
vcqi global SHOW BLANKS BETWEEN LEVELS 1
```

<sup>&</sup>lt;sup>17</sup> The table shows columns for the main result only that considers evidence from card, history, and health facility records. It omits columns for intermediate variables like protected at birth by card, by history, and by card or history.

Table B-4. Nested output for all Levels: 1, 2, and 3 with NO additional stratification

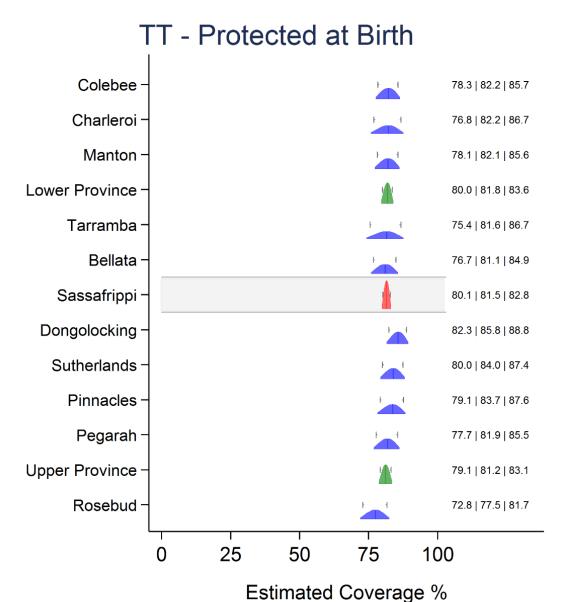
#### **Protected at Birth from Neonatal Tetanus**

Sassafrippi	Protected at birth (%) <b>81.5</b>	95% CI (%) <b>(79.9, 83.0)</b>	95% LCB (%) <b>80.1</b>	95% UCB (%) <b>82.8</b>	DEFF <b>1.3</b>	ICC <b>0.0322</b>	N <b>3,064</b>	Weighted N <b>6,417,278</b>
Lower Province	81.8	(79.6, 83.9)	80.0	83.6	1.1	0.0157	1,493	3,044,108
Charleroi	82.2	(75.8, 87.5)	76.8	86.7	1.6	0.0628	310	284,985
Tarramba	81.6	(74.3, 87.5)	75.4	86.7	1.8	0.0974	285	611,843
Bellata	81.1	(75.9, 85.6)	76.7	84.9	0.7	-0.0418	269	530,378
Manton	82.1	(77.3, 86.2)	78.1	85.6	0.8	-0.0250	308	1,028,427
Colebee	82.2	(77.6, 86.2)	78.3	85.7	0.9	-0.0154	321	588,474
Upper Province	81.2	(78.7, 83.5)	79.1	83.1	1.4	0.0448	1,571	3,373,170
Pinnacles	83.7	(78.3, 88.3)	79.1	87.6	1.2	0.0249	301	223,164
Sutherlands	84.0	(79.3, 88.0)	80.0	87.4	0.5	-0.0536	292	870,605
Rosebud	77.5	(72.0, 82.4)	72.8	81.7	1.1	0.0079	305	1,330,046
Dongolocking	85.8	(81.6, 89.3)	82.3	88.8	0.7	-0.0276	337	327,062
Pegarah	81.9	(76.9, 86.2)	77.7	85.5	1.1	0.0091	336	622,294

Abbreviations: CI=Confidence Interval; LCB=Lower Confidence Bound; UCB=Upper Confidence Bound; DEFF=Design Effect; ICC=Intracluster Correlation Coefficient

Note: This measure is a population estimate that incorporates survey weights. The CI, LCB and UCB are calculated with software that take the complex survey design into account.

Note: The figure below shows coverage estimates sorted from bottom to top, by Level 2 coverage (Upper Province has the lowest estimated coverage) and within each province the districts are sorted by district level coverage, so all the districts for the Upper Province appear at the bottom of the page, and then national coverage in the center, and then all the districts for the Lower Province appear above.



Text at right: 1-sided 95% LCB | Point Estimate | 1-sided 95% UCB

# B.4 Example: Non-nested output for all Levels: 1, 2, and 3 with NO additional stratification

This combination of inputs will show output for every level, 1-3, with level 3 output listed underneath level 2, but not nested, and without stratification by sub-group. The following page shows national, provincial, and district level results. The order in which results are listed is controlled by input datasets named level2order, level3order, and level4order.

```
vcqi global VCQI LEVEL4 SET VARLIST
vcqi qlobal SHOW LEVEL 1 ALONE
                                       1
vcqi global SHOW LEVEL 2 ALONE
                                       1
vcqi global SHOW LEVEL 3 ALONE
                                       1
vcqi qlobal SHOW LEVELS 2 3 TOGETHER
vcgi global SHOW LEVELS 1 4 TOGETHER
                                       0
vcqi_global SHOW LEVELS_2_4_TOGETHER
                                       0
vcqi global SHOW LEVELS 3 4 TOGETHER
                                        0
vcqi global SHOW LEVELS 2 3 4 TOGETHER 0
vcqi global SHOW BLANKS BETWEEN LEVELS 1
```

<sup>&</sup>lt;sup>18</sup> The table shows columns for the main result only that considers evidence from card, history, and health facility records. It omits columns for intermediate variables like protected at birth by card, by history, and by card or history.

Table B-5. Non-nested output for all Levels: 1-3 with NO additional stratification

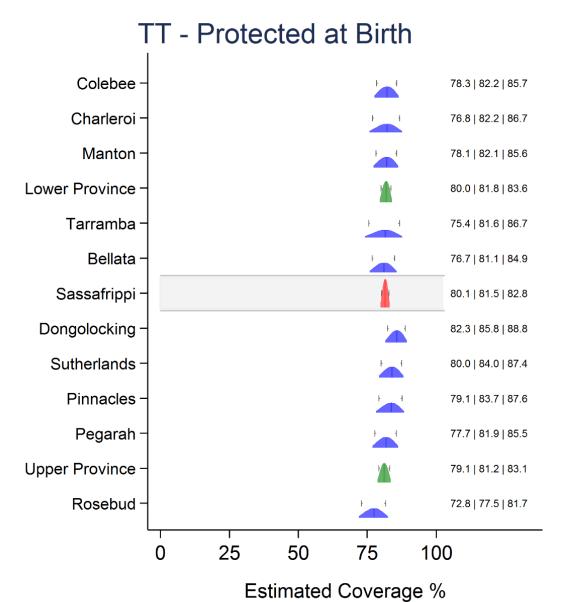
### **Protected at Birth from Neonatal Tetanus**

Sassafrippi	Protected at birth (%) <b>81.5</b>	95% CI (%) <b>(79.9, 83.0)</b>	95% LCB (%) <b>80.1</b>	95% UCB (%) <b>82.8</b>	DEFF <b>1.3</b>	ICC <b>0.0322</b>	N <b>3,064</b>	Weighted N <b>6,417,278</b>
Lower Province Upper Province	81.8	(79.6, 83.9)	80.0	83.6	1.1	0.0157	1,493	3,044,108
	81.2	(78.7, 83.5)	79.1	83.1	1.4	0.0448	1,571	3,373,170
Pinnacles	83.7	(78.3, 88.3)	79.1	87.6	1.2	0.0249	301	223,164
Charleroi	82.2	(75.8, 87.5)	76.8	86.7	1.6	0.0628	310	284,985
Sutherlands	84.0	(79.3, 88.0)	80.0	87.4	0.5	-0.0536	292	870,605
Rosebud	77.5	(72.0, 82.4)	72.8	81.7	1.1	0.0079	305	1,330,046
Tarramba Bellata	81.6 81.1	(72.0, 82.4) (74.3, 87.5) (75.9, 85.6)	75.4 76.7	86.7 84.9	1.8 0.7	0.0079 0.0974 -0.0418	285 269	611,843 530,378
Manton	82.1	(77.3, 86.2)	78.1	85.6	0.8	-0.0250	308	1,028,427
Colebee	82.2	(77.6, 86.2)	78.3	85.7	0.9	-0.0154	321	588,474
Dongolocking	85.8	(81.6, 89.3)	82.3	88.8	0.7	-0.0276	337	327,062
Pegarah	81.9	(76.9, 86.2)	77.7	85.5	1.1	0.0091	336	622,294

Abbreviations: CI=Confidence Interval; LCB=Lower Confidence Bound; UCB=Upper Confidence Bound; DEFF=Design Effect; ICC=Intracluster Correlation Coefficient

Note: This measure is a population estimate that incorporates survey weights. The CI, LCB and UCB are calculated with software that take the complex survey design into account.

Note: The figure on the following page is the same as the figure for the previous table. Inchworm plots show Level 3 districts nested within Level 2, even if the Excel table does not.



Text at right: 1-sided 95% LCB | Point Estimate | 1-sided 95% UCB

## **B.5 Example: Output for Level 3 only**

This combination of inputs will show output only for level 3. This might be appropriate if the survey were conducted only in high risk districts, but not in every district. The following page shows district level results.<sup>19</sup>

```
vcqi global VCQI LEVEL4 SET VARLIST
                                       0
vcqi qlobal SHOW LEVEL 1 ALONE
vcqi global SHOW LEVEL 2 ALONE
                                       0
vcqi qlobal SHOW LEVEL 3 ALONE
                                       1
vcqi global SHOW LEVELS 2 3 TOGETHER
                                       0
vcqi qlobal SHOW LEVELS 1 4 TOGETHER
                                       0
vcqi global SHOW LEVELS 2 4 TOGETHER
                                       0
vcqi global SHOW LEVELS 3 4 TOGETHER
vcqi global SHOW LEVELS 2 3 4 TOGETHER 0
vcqi global SHOW BLANKS BETWEEN LEVELS 1
```

<sup>&</sup>lt;sup>19</sup> The table shows columns for the main result only that considers evidence from card, history, and health facility records. It omits columns for intermediate variables like protected at birth by card, by history, and by card or history.

Table B-6. Output for Level 3 only

### **Protected at Birth from Neonatal Tetanus**

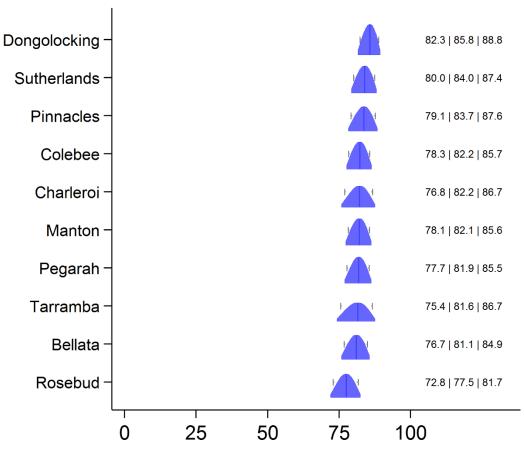
	Protected at birth (%)	95% CI (%)	95% LCB (%)	95% UCB (%)	DEFF	ICC	N	Weighted N
Pinnacles	83.7	(78.3, 88.3)	79.1	87.6	1.2	0.0249	301	223,164
Charleroi	82.2	(75.8, 87.5)	76.8	86.7	1.6	0.0628	310	284,985
Sutherlands	84.0	(79.3, 88.0)	80.0	87.4	0.5	-0.0536	292	870,605
Rosebud	77.5	(72.0, 82.4)	72.8	81.7	1.1	0.0079	305	1,330,046
Tarramba	81.6	(74.3, 87.5)	75.4	86.7	1.8	0.0974	285	611,843
Bellata	81.1	(75.9, 85.6)	76.7	84.9	0.7	-0.0418	269	530,378
Manton	82.1	(77.3, 86.2)	78.1	85.6	0.8	-0.0250	308	1,028,427
Colebee	82.2	(77.6, 86.2)	78.3	85.7	0.9	-0.0154	321	588,474
Dongolocking	85.8	(81.6, 89.3)	82.3	88.8	0.7	-0.0276	337	327,062
Pegarah	81.9	(76.9, 86.2)	77.7	85.5	1.1	0.0091	336	622,294

Abbreviations: CI=Confidence Interval; LCB=Lower Confidence Bound; UCB=Upper Confidence Bound; DEFF=Design Effect; ICC=Intracluster Correlation Coefficient

Note: This measure is a population estimate that incorporates survey weights. The CI, LCB and UCB are calculated with software that take the complex survey design into account.

Note: The figure on the following page shows Level 3 strata sorted in order of estimated coverage. Neither the table above nor the figure below make any reference whatsoever to Level 2 strata.





Estimated Coverage %

Text at right: 1-sided 95% LCB | Point Estimate | 1-sided 95% UCB

## B.6 Example: Output for Level 3 with additional Level 4 stratification

This combination of inputs will show output for level 3 and for the urban and rural sub-groups in each district. Again, omitting Levels 2 and 1 might be appropriate if the survey were conducted only in high risk districts, but not in every district. The following page shows district level results.<sup>20</sup>

```
vcqi global VCQI LEVEL4 SET VARLIST urban cluster
vcqi global VCQI LEVEL4 SET LAYOUT ///
${VCQI INPUT FOLDER}/level4 layout rural urban
                                       0
vcqi qlobal SHOW LEVEL 1 ALONE
vcqi global SHOW LEVEL 2 ALONE
                                       0
vcqi global SHOW LEVEL 3 ALONE
                                       0
vcqi global SHOW LEVELS 2 3 TOGETHER
                                       0
vcqi qlobal SHOW LEVELS 1 4 TOGETHER
                                       0
vcqi qlobal SHOW LEVELS 2 4 TOGETHER
                                       0
vcqi global SHOW LEVELS 3 4 TOGETHER
                                       1
vcqi global SHOW LEVELS 2 3 4 TOGETHER 0
vcqi global SHOW BLANKS BETWEEN LEVELS 1
```

<sup>&</sup>lt;sup>20</sup> The table shows columns for the main result only that considers evidence from card, history, and health facility records. It omits columns for intermediate variables like protected at birth by card, by history, and by card or history.

Table B-7. Output for Level 3 with additional Level 4 stratification

### **Protected at Birth from Neonatal Tetanus**

birth (%) 95% CI (%) 95% LCB (%) 95% UCB (%) DEFF ICC N Weighted N Pinnacles 83.7 (78.3, 88.3) 79.1 87.6 1.2 0.0249 301 223,164 Pinnacles - 1: Urban 83.9 (75.4, 90.3) 76.8 89.5 1.4 0.0444 163 122,839 Pinnacles - 0: Rural 83.5 (75.1, 90.0) 76.5 89.1 1.0 0.0037 138 100,325
Pinnacles - 1: Urban       83.9       (75.4, 90.3)       76.8       89.5       1.4       0.0444       163       122,839         Pinnacles - 0: Rural       83.5       (75.1, 90.0)       76.5       89.1       1.0       0.0037       138       100,325
Pinnacles - 0: Rural       83.5       (75.1, 90.0)       76.5       89.1       1.0       0.0037       138       100,325
(1,711)
Charleroi 82.2 (75.8, 87.5) 76.8 86.7 1.6 0.0628 310 284,985
Charleroi - 1: Urban 80.1 (73.3, 85.8) 74.4 85.0 1.1 0.0114 213 194,745
Charleroi - 0: Rural 86.7 (68.0, 96.6) 71.0 95.7 2.8 0.2054 97 90,240
Sutherlands 84.0 (79.3, 88.0) 80.0 87.4 0.5 -0.0536 292 870,605
Sutherlands - 1: Urban 83.8 (77.9, 88.7) 78.8 88.0 0.6 -0.0446 197 592,716
Sutherlands - 0: Rural         84.4         (75.5, 91.0)         76.9         90.1         0.4         -0.0751         95         277,889
Rosebud 77.5 (72.0, 82.4) 72.8 81.7 1.1 0.0079 305 1,330,046
Rosebud - 1: Urban 76.4 (68.0, 83.4) 69.3 82.4 1.1 0.0083 162 700,511
Rosebud - 0: Rural 78.7 (70.1, 85.8) 71.5 84.8 1.1 0.0061 143 629,534
Tarramba 81.6 (74.3, 87.5) 75.4 86.7 1.8 0.0974 285 611,843
Tarramba - 1: Urban       88.1       (81.3, 93.1)       82.4       92.4       0.6       -0.0547       132       283,421
Tarramba - 0: Rural         75.9         (63.9, 85.5)         65.8         84.3         1.9         0.1017         153         328,422
Bellata 81.1 (75.9, 85.6) 76.7 84.9 0.7 -0.0418 269 530,378
Bellata - 1: Urban 81.8 (74.8, 87.6) 75.9 86.8 0.3 -0.0863 153 304,827
Bellata - 0: Rural         80.1         (70.1, 87.9)         71.7         86.9         1.1         0.0148         116         225,551
Manton 82.1 (77.3, 86.2) 78.1 85.6 0.8 -0.0250 308 1,028,427
Manton - 1: Urban 80.4 (73.9, 85.8) 75.0 85.1 0.7 -0.0307 185 622,187
Manton - 0: Rural 84.7 (77.0, 90.5) 78.3 89.7 0.8 -0.0238 123 406,240
Colebee 82.2 (77.6, 86.2) 78.3 85.7 0.9 -0.0154 321 588,474
Colebee - 1: Urban 84.9 (79.3, 89.5) 80.2 88.8 0.9 -0.0087 214 393,548
Colebee - 0: Rural 76.8 (67.7, 84.4) 69.1 83.4 0.4 -0.0654 107 194,926
Dongolocking 85.8 (81.6, 89.3) 82.3 88.8 0.7 -0.0276 337 327,062
Dongolocking - 1: Urban 84.1 (79.1, 88.3) 79.9 87.7 0.6 -0.0347 258 249,928
Dongolocking - 0: Rural 91.2 (82.7, 96.4) 84.1 95.8 0.6 -0.0433 79 77,134

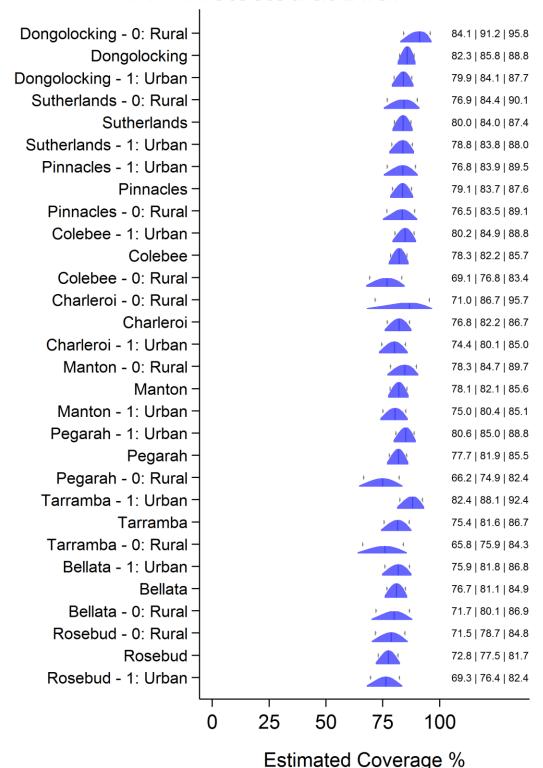
Annex C.

Pegarah	81.9	(76.9, 86.2)	77.7	85.5	1.1	0.0091	336	622,294
Pegarah - 1: Urban	85.0	(79.8, 89.4)	80.6	88.8	0.8	-0.0154	232	426,845
Pegarah - 0: Rural	74.9	(64.5, 83.6)	66.2	82.4	0.9	-0.0093	104	195,448

Abbreviations: CI=Confidence Interval; LCB=Lower Confidence Bound; UCB=Upper Confidence Bound; DEFF=Design Effect; ICC=Intracluster Correlation Coefficient Note: This measure is a population estimate that incorporates survey weights. The CI, LCB and UCB are calculated with software that take the complex survey design into account.

Note: The figure on the following page shows Level 3 strata sorted in order of estimated coverage. Within each Level 3 stratum, the Level 4 urban and rural sub-groups are sorted by estimated coverage. Neither the table above nor the figure below make any reference to Level 2 strata.

## TT - Protected at Birth



Text at right: 1-sided 95% LCB | Point Estimate | 1-sided 95% UCB

### **B.7 Additional Options for Customizing VCQI Output**

If you have questions about how to order results, try changing the different SHOW\_LEVEL settings. If the options are not to your liking, then you have the option of writing a program to access the results in the database files and construct customized tables of your own. Watch for a forthcoming tutorial on how to do this.

Similarly, you may wish to construct inchworm plots that use a different order or different set of colors than the VCQI default. (For instance, you may wish to always list the strata in the same order, regardless of estimated coverage.) In that case you might wish to copy and modify the program vcqi\_to\_iwplot.ado (located in VCQI's folder of PLOT programs) and introduce a different system for sorting the rows of the plots. Watch for a forthcoming tutorial on how to do this, also.