

# Image Segmentation

## DD2423 Image Analysis and Computer Vision

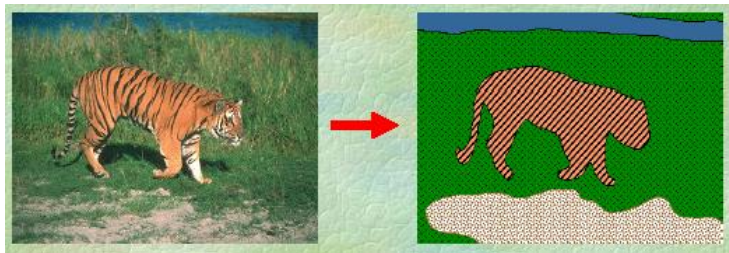
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November 24, 2021

# From images to objects

- Image segmentation: **Dividing** images into semantically meaningful **regions**.
- **Reliable** segmentation is possible **with prior information**, but such information is often not available.

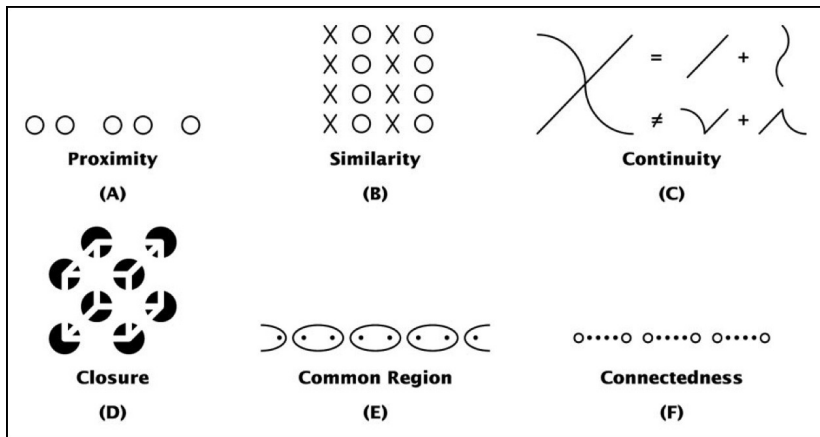


A  
12 B 14  
C

OBCPGDOPDDGQQPCPOCG  
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OPQDCBGSOSPQSRCBDOP

KLEFIZKNMLMVKWIY LKMNI  
IKLWNMVKAILKHNMVTEFNL  
MKLNVKWAVNMKLIYZFENM  
NMKLNHKVEYIFKLXNVIW TY  
KVN MKLIYW TNMILKMFWEN

# Segmentation in humans (**Gestalt** Theory)



# What is this?



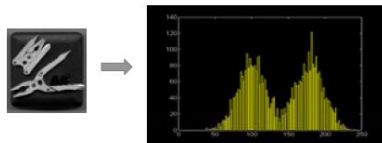
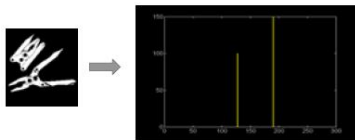
# Segmentation techniques

- **Figure-ground** segmentation: divide image in foreground and background regions. Methods:
  - Thresholding \*
  - Level-set methods
  - Energy minimization with graphs
  - Instance segmentation with CNNs
- Image segmentation: divide image in regions with pixels of similar qualities. Methods:
  - K-means clustering \*
  - Watershedding \*
  - Mean-shift segmentation \*
  - Normalized cuts \*
  - Semantic segmentation
- Methods mentioned today are used for grouping of data, not just image data, but nowadays most of them are rarely used directly for image segmentation.



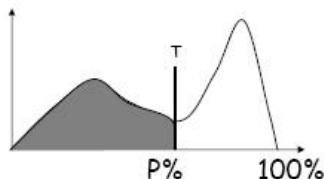
# Histogram based segmentation

- **Threshold** the grey-level values to create a binary image.
- How to automatically find a good threshold?
- Common **problems**: measurement noise, non-uniform illumination, non-constant intensity of objects, unknown size of objects, ...



## 1. P-tile method

- Use the a priori knowledge about the size of the object: assume an object with size  $P$  as fraction of the whole.
- Choose a threshold such that  $P\%$  of the overall histogram is determined.



Rather limited use... but can be used as starting point.

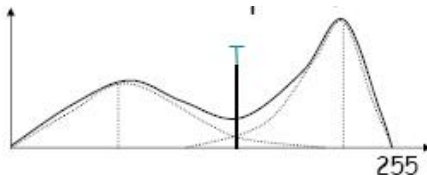
# Automatic thresholding

## 2. Mode method

- Find the peaks and valleys of the histogram.
- Set threshold to the pixel value of the valley.
- Non-trivial to find peaks/valleys:

Ignore small peaks, find largest peaks, find valley between these peaks.

Maximize 'peakness' (difference between peaks and valleys) to find the threshold as valley.



## 3. Iterative thresholding

- Start with an approximate threshold and refine it iteratively, taking into account some **goodness measure** e.g.  $T = (r_1 + r_2)/2$  where  $r_i$  is the mean gray value of previous segmented region  $i$ .

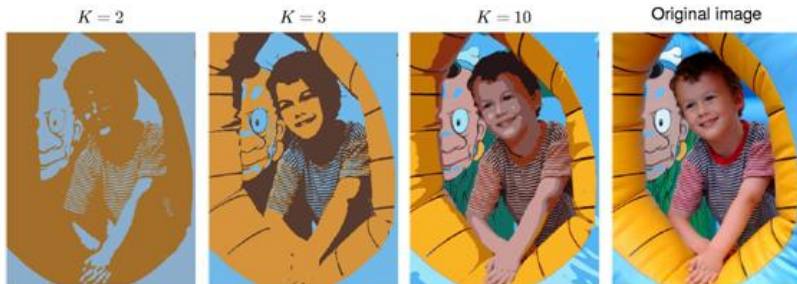
## 4. Adaptive thresholding

- In case of uneven illumination, global threshold has no use.
- One approach is to divide an image into  $m \times m$  **subimages** and determine a threshold for each subimage.
- Extension: fit local thresholds to a smooth function.



# K-means clustering

- Group pixels based on similarity in colours (or any other measure).
- K-means (or ISODATA) algorithm:
  - 1) Choose  $K$  initial mean values (possibly randomly).
  - 2) Assign each pixel to the mean that is closest.
  - 3) Update means as the average of pixels assigned to each mean.
  - 4) Iterate until there is no change in mean values.
- Problem: segments can be splitted up into pieces.



Two iterative steps: 1) associate points to **closest** cluster centers, 2) **recompute** cluster centers as **mean** of points associated to them.

# K-means clustering

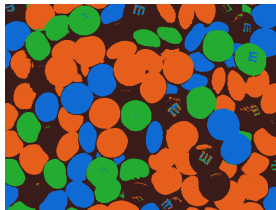


# K-means clustering

Original



4 clusters



8 clusters



Hard to know how many clusters you should have for best result.



- Assume that a pixel has a combination of colours from multiple clusters, instead of just coming from one particular cluster.
- Then the colour distribution of pixel  $i$  might be written as

$$P(c_i) = \sum_k P(z_i = k)P(c_i|z_i = k) = \sum_k \pi_k \mathcal{N}(c_i; \mu_k, \Sigma_k),$$

where  $\pi_k = P(z_i = k)$  is the probability that pixel  $i$  belongs to cluster  $k$  (assumed the same for all pixels) and  $\mathcal{N}(c_i; \mu_k, \Sigma_k)$  is a Gaussian distribution with mean  $\mu_k$  and variance  $\Sigma_k$ .

- Goal: Find the maximum likelihood estimate of model parameters.

# Gaussian mixture models (GMM)

Model parameters can be found with **Expectation-Maximization**.

1. **Expectation** step (update membership probabilities):

$$T_{i,k} \leftarrow P(z_i = k | c_i, \{\pi_k, \mu_k, \Sigma_k\}) = \frac{\pi_k \mathcal{N}(c_i; \mu_k, \Sigma_k)}{\sum_j \pi_j \mathcal{N}(c_i; \mu_j, \Sigma_j)}$$

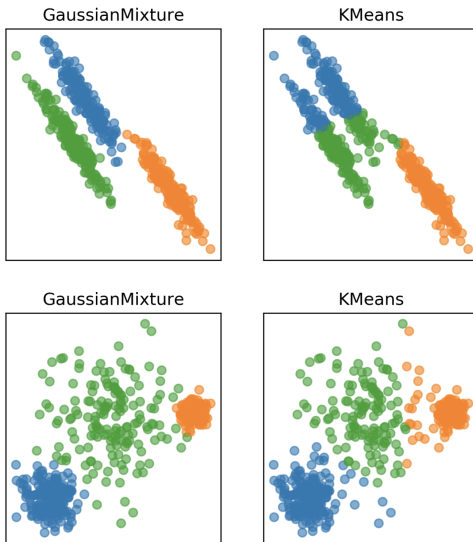
2. **Maximization** step (update model parameters):

$$\pi_k \leftarrow \frac{1}{N} \sum_i T_{i,k}, \quad \mu_k \leftarrow \frac{\sum_i T_{i,k} c_i}{\sum_i T_{i,k}}$$

$$\Sigma_k \leftarrow \frac{\sum_i T_{i,k} (c_i - \mu_k)(c_i - \mu_k)^T}{\sum_i T_{i,k}}$$

3. Iterate until convergence.

# Gaussian mixture models (GMM)



**K-means** assumes **round** clusters, **GMM** allows them to be **elliptic**.

- Methods mentioned so far neglect the dependency between neighboring pixels.
- Neglecting dependency may cause segments to be splitted up into different pieces.
- If spatial coherence between pixels is taken into account, this can be avoided.
- Dependency between neighboring pixels or regions could be represented in various ways.

# Mean-shift segmentation

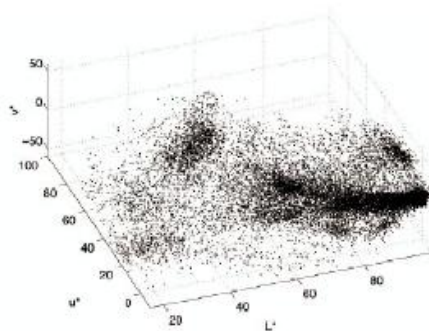
- Mean shift is a common method for kernel density estimation.
- Group pixels both in terms of **colours and positions**.



$$\begin{matrix} \Rightarrow \\ \text{each pixel} \end{matrix} \begin{bmatrix} x \\ y \\ R \\ G \\ B \end{bmatrix}$$

- Note: You could use  $(x, y)$  also for K-means and GMM. However, for Mean-shift it is more common to do so.

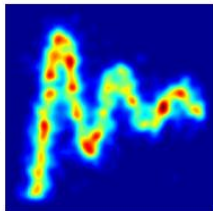
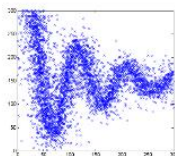
# Mean-shift segmentation



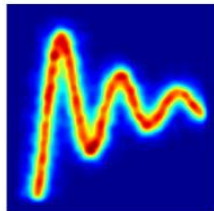
Example distribution of colour values in Luv space  
(L=luminance, uv=color)

# Mean-shift segmentation

- Mean-shift tries to find points (in 5D space) with maximum density.
- Problem: We just have a bunch of samples.
- However, each sample can be assumed to be noisy.
- Solution: We place a small “ball”  $K(x - x_i)$  around each sample, with maximum density in the center  $x_i$ .



(a) 2000 Samples



(b) 20000 Samples

# Formally: an iterative scheme

We want to find **maxima** of the total **density function**

$$f(x) = \frac{1}{n} \sum_{i=1}^n K(x - x_i) \quad \text{where} \quad K(x) = C k(\|x\|^2)$$

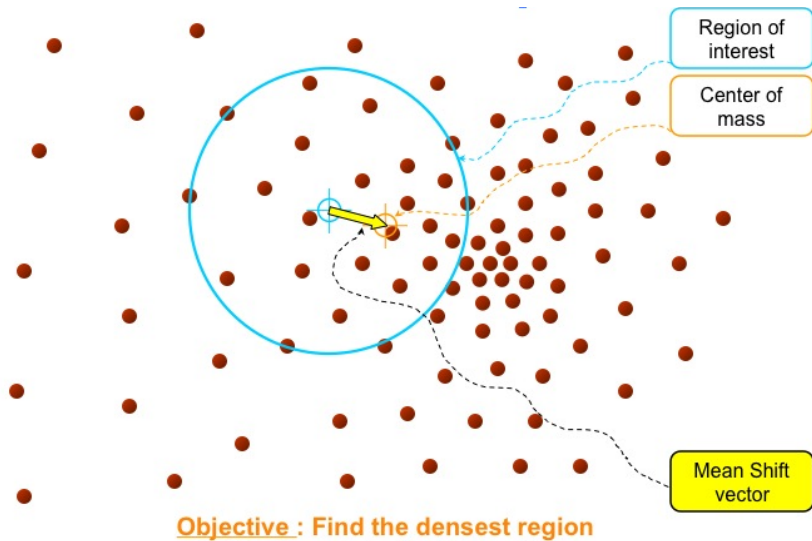
Then the **gradient** should be

$$\nabla f(x) = \frac{2C}{n} \sum_{i=1}^n (x - x_i) k'(\|x - x_i\|^2) = 0 \Rightarrow x^{new} = \frac{\sum_{i=1}^n x_i k'(\|x - x_i\|^2)}{\sum_{i=1}^n k'(\|x - x_i\|^2)}$$

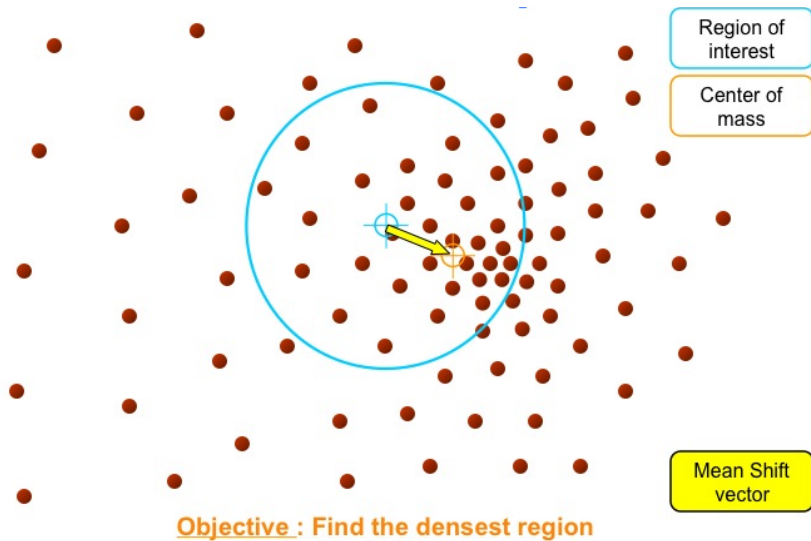
Most common **kernel** ('ball'): **Gaussian** kernel, for which the derivative also is a Gaussian.



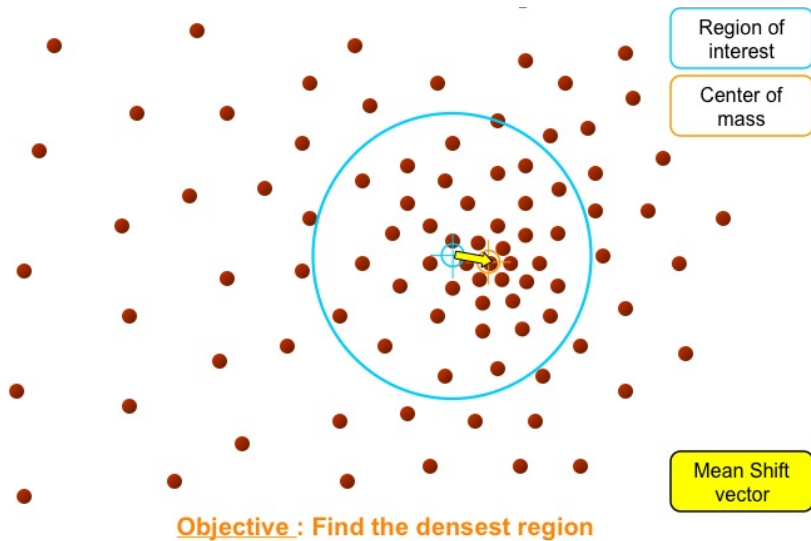
# Mean-shift segmentation



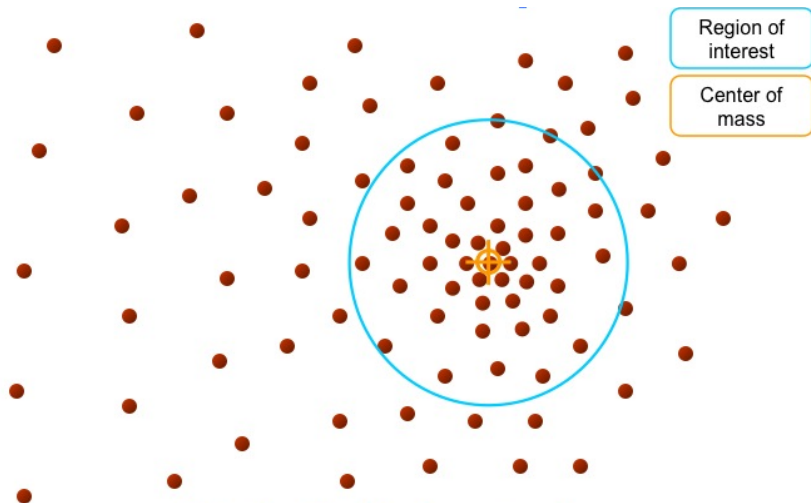
# Mean-shift segmentation



# Mean-shift segmentation



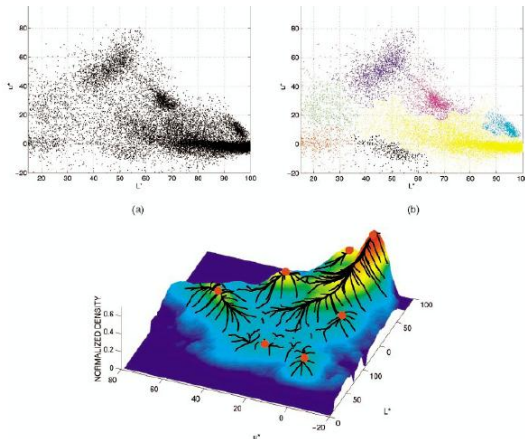
# Mean-shift segmentation



Objective : Find the densest region

# Mean-shift segmentation

- The density function will have peaks (also called modes).
- If we start at each pixel and do gradient-ascent, we will converge to one of these modes.
- Then **cluster** the image based on the **modes pixels converged to**.



# Mean-shift segmentation example

- Get a segmentation by starting with the 5D value of each pixel, iterate and see which peak (mode) you end up with.



(a)



(b)

The output of many segmentation methods can be improved by simply merging similar neighboring regions. Often you intentionally start with an oversegmentation and then merge regions.

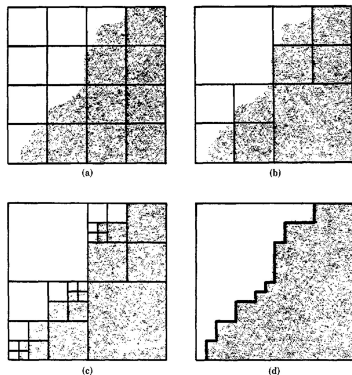
Region similarity can be measured by

- Comparing their mean intensities. Check against a predetermined threshold.
- Comparing their statistical distributions. Check whether a merge would represent 'observed' values better.
- Checking 'weakness' of the common boundary. Weak boundary: intensities on two sides differ less than a threshold.

# Merging and Splitting

A split-and-merge algorithm can be very simple.

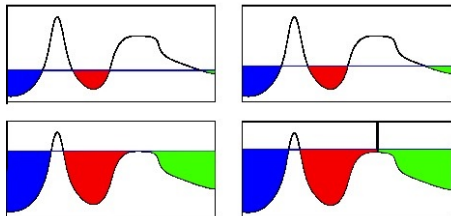
- First hierarchically split image regions until the variation in each region are small enough.
- Then merge neighbours as long as variations remain small.





# Watershedding

- Create some topological map over image domain (using gradient magnitude, distance transform or similar).
- Gradually fill basins with 'water' from the deepest points upwards.
- When two basins meet, create an edge between two segments.
- End when all pixels are either filled or edge pixels.



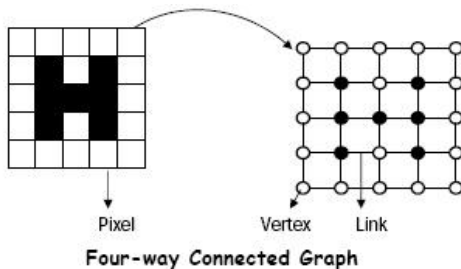
# Watershedding

- Usually leads to **over-segmentation**, unless relevant image regions already have a close to uniform colour.
- However, efficient way to create **superpixels** (groups of similar pixels) that can be grouped using e.g. merging.



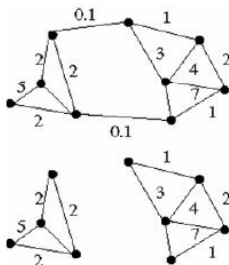
# Graph theory in image segmentation

- Graph theory can be used to analyse and segment images.
- Each **pixel** (or superpixel) in the image corresponds to a **node**.
- Neighbouring pixels are connected by **links**.
- Nodes and links have **weights**.
  - Node weights are often based on the pixel colours, and
  - Link weights on similarities between neighbouring pixels.



# Graph theoretic clustering

- In graph-theoretic clustering, the links in the graph represents similarities between the nodes.
- These can be summarized as a large **affinity (similarity) matrix  $W$** .
- Problem: Split the graph in two (or more) pieces so that the cutted links have low weights as possible.



# Measuring affinity (similarity)

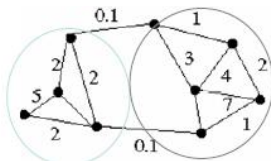
- The **affinity matrix** can for example have the following elements:
  - **Intensity**  $W(x, y) = \exp \{ -\|I(x) - I(y)\|^2 / (2\sigma^2) \}$
  - **Position**  $W(x, y) = \exp \{ -\|x - y\|^2 / (2\sigma^2) \}$
  - **Colour**  $W(x, y) = \exp \{ -\|c(x) - c(y)\|^2 / (2\sigma^2) \}$
- Here  $\sigma$  represents a scale factor that can be thought of how **different two pixels can be** and we still regard them as similar.
- The best methods combine many possible similarity measures.
- Nowadays, common to use features learned with deep networks.

# Normalized cuts

- Goal: Maximize sum of within cluster similarities, while minimizing sum of across cluster similarities.
- Minimize Normalized Cut

$$Ncut(A, B) = \frac{cut(A, B)}{assoc(A, V)} + \frac{cut(A, B)}{assoc(B, V)}$$

- $A$  and  $B$  are two disjoint sets of vertices and  $V = A \cup B$ .
- $cut(A, B)$  – sum of links between vertices in  $A$  and  $B$ .
- $assoc(A, V)$  – sum of links connected to any vertex in  $A$ .
- Segmentation found by solving a generalized eigenvalue problem.



- Let  $W$  be affinity matrix and  $D$  diagonal matrix with  $D_{ii} = \sum_j W_{ij}$ .
- Minimizing  $Ncut(A, B)$  is equivalent to solving

$$\min_y \frac{y^T (D - W) y}{y^T D y},$$

where elements in  $y$  indicate whether nodes belong to  $A$  or  $B$ .

- Equivalent to solving generalized eigenvalue problem

$$(D - W)y = \lambda Dy$$

or after normalization

$$(I - D^{-1/2} W D^{-1/2})z = \lambda z, \text{ where } z = D^{1/2} y.$$

# Normalized cuts





# Summary of good questions

- What is the purpose of image segmentation?
- What is Gestalt Theory?
- How to select a threshold for histogram based segmentation?
- How does K-means work?
- Why is spatial coherence important for segmentation?
- What does a mean-shift algorithm try to do and how?
- How does split-and-merge work?
- What is an affinity matrix?
- What does Normalized Cuts try to optimize?

- Gonzalez and Woods: Chapter 10.3-10.7
- Szeliski: Chapter 5.2.1-5.2.2 and 7.5