




The sspm R package: spatial surplus production models for the management of northern shrimp fisheries

Valentin Lucet^{*1} and Eric Pedersen^{†1}

¹ Concordia University, Montreal, Quebec, CA

DOI: [10.xxxxxx/draft](https://doi.org/10.xxxxxx/draft)

Software

- [Review](#) 
- [Repository](#) 
- [Archive](#) 

Editor: [Open Journals](#) 

Reviewers:

- [@openjournals](#)

Submitted: 01 January 1970

Published: unpublished

License

Authors of papers retain copyright and release the work under a Creative Commons Attribution 4.0 International License ([CC BY 4.0](#)).

Statement of need

1. Population models, in particular fisheries productivity models, rarely integrate important spatially-structured ecosystem drivers
2. The Northern Shrimp stock in the Newfoundland and Labrador Shelves currently lacks a population model
3. Current SPM models are rarely spatially explicit and usually cannot account for relevant ecosystem drivers
4. Fisheries managers lack user-friendly, flexible tools to implement and apply SSPMs

Summary

Population modelling is an exercise of interest within environmental sciences and adjacent fields. Early population models dating back to the 1980s and 1990s etc, tended to address simple dynamics such as exponential growth and density dependence (cite), whereas modern population models increasingly acknowledge the non-stationary nature of wild populations ([Thorson et al., 2017, 2015](#)). More specifically, population models applied to resource management, such as fisheries models, increasingly address how stocks vary across space and time. Resource managers are becoming increasingly interested in how ecosystem factors such as predator abundance and abiotic variables impact the spatio-temporal variability of mechanisms like productivity and density dependence. However, efforts to include spatial dynamics and ecosystem variables in fisheries models are rare. Although the non-stationarity of stocks has been established ([Szuwalski & Hollowed, 2016](#); [Zhang et al., 2021](#)), and despite the push for more ecosystem-based management methods in fisheries management [Tam et al. \(2017\)](#), applications are lacking.

One family of population models that rarely account for spatial structure is the family of Surplus production models (SPMs). SPMs are well-known tools for single-stock modelling. They model the entire biomass of a stock and are useful in data-poor contexts where the age and sex structure of the population is not accessible (cite). Basic SPMs are based on simple mechanics of logistic growth (cite), and therefore are widely viewed as a limited tool for modelling stocks. One main limitation of SPMs is that they usually assume spatially constant productivity. This assumption is a strong handicap in the context of the current global changes that are affecting global fisheries, such as climate change, which is already having an impact on the spatial structure of economically important stocks. One example is that of the Northern Shrimp (*Pandalus borealis*) in the Newfoundland and Labrador Shelves. This stock currently lacks a population model to predict how fishing pressure and changing environmental conditions may affect future abundance in the region. In the context of climate change and shifting

^{*}co-first author

[†]co-first author

39 ranges, fisheries productivity is likely to be a moving target (Karp et al., 2019), and managers
40 need better methods that account for varying productivity (Szuwalski & Hollowed, 2016).

41 Population models like SPMs usually fall under two categories: process-based models and
42 statistical models. Process based models often rely on differential equations and are based
43 on replicating the underlying processes (predation, recruitment, dispersal) behind population
44 dynamics. Statistical models, on the other hand, rely on fitting a model to data using
45 distributional assumptions, and present the advantage of naturally measuring uncertainty
46 around predictions. This is useful in a management context where uncertainty around decision-
47 making is important information to have on hand. In this paper, we use a statistical approach
48 to fitting SPMs using GAMs (generalized additive models). We apply this approach to the
49 population of northern shrimp of the Newfoundland and Labrador Shelves, leveraging the
50 smoothing properties of GAMs to account for varying productivity across time and space. The
51 resulting model is a spatial SPM (SSPM), implemented via a R package `sspm`.

52 The R package `sspm` is designed to make spatially-explicit surplus production models (SSPM)
53 more applicable. The package uses Generalized Additive Models (GAMs) to fit a SSPM to
54 biomass and harvest data. The package includes a range of features to manage biomass and
55 harvest data. Those features are organized in a stepwise workflow, whose implementation is
56 described in more detail in Figure 1.

- 57 1. Ingestion of variables as well as spatial boundaries and discretization into patches, using
58 the user's method of choice (random or custom sampling, voronoi tessellation or Delaunay
59 triangulation).
- 60 2. Smoothing data using spatio-temporal GAMs smoothers.
- 61 3. Computation of productivity values taking into account harvest information.
- 62 4. Fitting of SSPMs to smoothed data with GAMs.
- 63 5. Visualization of results, including confidence and prediction intervals.
- 64 6. One step ahead prediction of biomass.

65 Although it was developed in a fisheries context, the package is suitable to model spatially-
66 structured population dynamics in general.

67 Package design

68 The package follows an object oriented design, making use of the S4 class systems. The
69 different classes in the package work together to produce a stepwise workflow (Figure 1).

- 70 1. The first pillar of the package's design is the concept of boundary data, the spatial
71 polygons that sets the boundary of the spatial model. The boundary data is ingested
72 into a `sspm_boundary` object with a call to `spm_as_boundary()`.
- 73 2. The boundary data is then discretized into a `sspm_discrete_boundary` object with the
74 `spm_discretize()` function, dividing the boundary area into discrete patches.
- 75 3. The second pillar is the recognition of 3 types of data: **trawl**, **predictors**, and **catch**
76 (i.e. harvest). The next step in the workflow is to ingest the data into `sspm_dataset`
77 objects via a call to `spm_as_dataset()`.
- 78 4. The first proper modelling step is to smooth the biomass and predictors data by combining
79 a `sspm_dataset`, and a `sspm_discrete_boundary`. The user specifies a gam formula
80 with custom smooth terms (see ?? for more details). The output is still a `sspm_dataset`
81 object with a `smoothed_data` slot which contains the smoothed predictions for all patches.
- 82 5. Then, catch is integrated into the biomass data by calling `spm_aggregate_catch` on the
83 two `sspm_dataset` that contains catch and smoothed biomass. Productivity and (both
84 log and non log) is calculated at this step.
- 85 6. The next step consists in combining all relevant datasets for the modelling of productivity
86 (i.e. the newly created productivity dataset and the predictor(s) dataset(s)) with a call
87 to `sspm()`. Additionally, the user may apply lags to the variables with `spm_lag()` and
88 determine the split between testing and training data with `spm_split()`.

- 89 7. The second modelling step consists in modelling productivity per se. Once again, a gam
90 formula with custom syntax is used.
91 8. The resulting object contains the model fit. Predictions can be obtained using the
92 built-in `predict()` method, and plots with the `plot()` method.

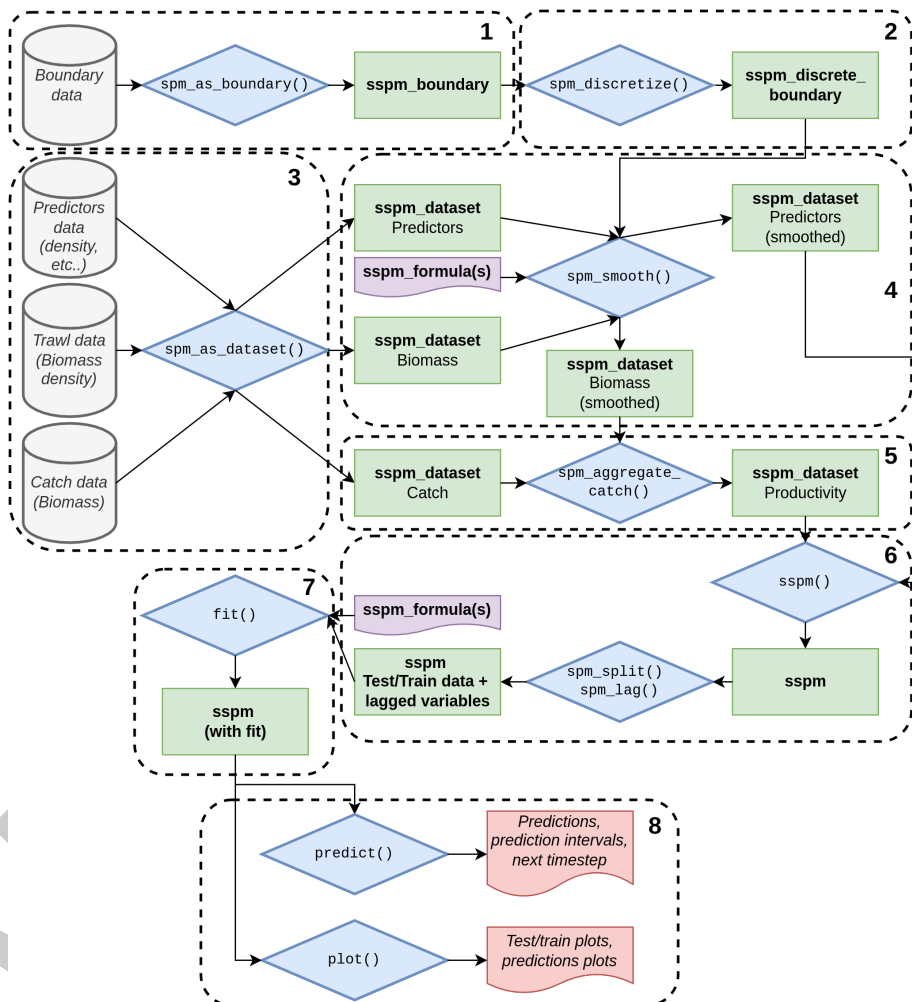


Figure 1: The sspm workflow.

Acknowledgements

This research was supported by funding from [...].

References

- Berkes, F. (2012). Implementing ecosystem-based management: Evolution or revolution? *Fish and Fisheries*, 13(4), 465–476. <https://doi.org/10.1111/j.1467-2979.2011.00452.x>
- Crowder, L. B., Hazen, E. L., Avissar, N., Bjorkland, R., Latanich, C., & Ogburn, M. B. (2008). The Impacts of Fisheries on Marine Ecosystems and the Transition to Ecosystem-Based Management. *Annual Review of Ecology, Evolution, and Systematics*, 39(1), 259–278. <https://doi.org/10.1146/annurev.ecolsys.39.110707.173406>

- 102 Karp, M. A., Peterson, J. O., Lynch, P. D., Griffis, R. B., Adams, C. F., Arnold, W. S.,
 103 Barnett, L. A. K., deReynier, Y., DiCosimo, J., Fenske, K. H., Gaichas, S. K., Hollowed, A.,
 104 Holsman, K., Karnauskas, M., Kobayashi, D., Leising, A., Manderson, J. P., McClure, M.,
 105 Morrison, W. E., ... Link, J. S. (2019). Accounting for shifting distributions and changing
 106 productivity in the development of scientific advice for fishery management. *ICES Journal*
 107 *of Marine Science*, fsz048. <https://doi.org/10.1093/icesjms/fsz048>
- 108 Szuwalski, C. S., & Hollowed, A. B. (2016). Climate change and non-stationary population
 109 processes in fisheries management. *ICES Journal of Marine Science*, 73(5), 1297–1305.
 110 <https://doi.org/10.1093/icesjms/fsv229>
- 111 Tam, J. C., Link, J. S., Rossberg, A. G., Rogers, S. I., Levin, P. S., Rochet, M.-J., Bundy, A.,
 112 Belgrano, A., Libralato, S., Tomczak, M., Wolfshaar, K. van de, Pranovi, F., Gorokhova,
 113 E., Large, S. I., Niquil, N., Greenstreet, S. P. R., Druon, J.-N., Lesutiene, J., Johansen, M.,
 114 ... Rindorf, A. (2017). Towards ecosystem-based management: Identifying operational food-
 115 web indicators for marine ecosystems. *ICES Journal of Marine Science*, 74(7), 2040–2052.
 116 <https://doi.org/10.1093/icesjms/fsw230>
- 117 Thorson, J. T., Jannot, J., & Somers, K. (2017). Using spatio-temporal models of population
 118 growth and movement to monitor overlap between human impacts and fish populations.
 119 *Journal of Applied Ecology*, 54(2), 577–587. <https://doi.org/10.1111/1365-2664.12664>
- 120 Thorson, J. T., Skaug, H. J., Kristensen, K., Shelton, A. O., Ward, E. J., Harms, J. H., &
 121 Benante, J. A. (2015). The importance of spatial models for estimating the strength of
 122 density dependence. *Ecology*, 96(5), 1202–1212. <https://doi.org/10.1890/14-0739.1>
- 123 Zhang, F., Reid, K. B., & Nudds, T. D. (2021). The longer the better? Trade-offs in
 124 fisheries stock assessment in dynamic ecosystems. *Fish and Fisheries*, 22(4), 789–797.
 125 <https://doi.org/10.1111/faf.12550>