

The sspm R package: spatial surplus production models for the management of northern shrimp fisheries

Valentin Lucet^{*1} and Eric Pedersen^{†1}

1 Concordia University, Montreal, Quebec, CA

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Statement of need

1. Population models, in particular fisheries productivity models, rarely integrate important spatially-structured ecosystem drivers
2. The Northern Shrimp stock in the Newfoundland and Labrador Shelves currently lacks a population model
3. Current SPM models are rarely spatially explicit and usually cannot account for relevant ecosystem drivers
4. Fisheries managers lack user-friendly, flexible tools to implement and apply SSPMs

Summary

Population modelling is an exercise of interest within environmental sciences and adjacent fields. Early population models tended to address simple dynamics such as exponential growth and density dependence (Gotelli, 2008), whereas modern population models increasingly acknowledge the non-stationary nature of wild populations (Thorson et al., 2017, 2015). More specifically, population models applied to resource management, such as fisheries models, increasingly address how stocks vary across space and time. Resource managers are becoming increasingly interested in how ecosystem factors such as predator abundance and abiotic variables impact the spatio-temporal variability of mechanisms like productivity and density dependence. However, efforts to include spatial dynamics and ecosystem variables in fisheries models are rare. Although the non-stationarity of stocks has been established (Szuwalski & Hollowed, 2016; Zhang et al., 2021), and despite the push for more ecosystem-based management methods in fisheries management (Berkes, 2012; Crowder et al., 2008; Tam et al., 2017), applications are lacking.

One family of population models that rarely account for spatial structure is the family of Surplus production models (SPMs). SPMs are well-known tools for single-stock modelling. They model the entire biomass of a stock and are useful in data-poor contexts where the age and sex structure of the population is not accessible (Prager, 1994; Punt, 2003). Basic SPMs are only based on simple mechanics of logistic growth (Walters et al., 2008), and therefore are widely viewed as a limited tool for modelling stocks. One main limitation of SPMs is that they usually assume spatially constant productivity. This assumption is a strong handicap in the context of the current global changes that are affecting global fisheries, such as climate change, which is already having an impact on the spatial structure of economically important stocks. One example is that of the Northern Shrimp (*Pandalus borealis*) in the Newfoundland and Labrador Shelves. This stock currently lacks a population model to predict how fishing pressure and changing environmental conditions may affect future abundance in the region. In the context of climate change and shifting ranges, fisheries productivity is likely to be a

^{*}co-first author

[†]co-first author

40 moving target (Karp et al., 2019), and managers need better methods that account for varying
41 productivity (Szuwalski & Hollowed, 2016).

42 Population models like SPMs usually fall under two categories: process-based models and
43 statistical models. Process based models often rely on differential equations and are based
44 on replicating the underlying processes (predation, recruitment, dispersal) behind population
45 dynamics. Statistical models, on the other hand, rely on fitting a model to data using
46 distributional assumptions, and present the advantage of naturally measuring uncertainty
47 around predictions. This is useful in a management context where uncertainty around decision-
48 making is important information to have on hand. In this paper, we use a statistical approach
49 to fitting SPMs using GAMs (generalized additive models). We apply this approach to the
50 population of northern shrimp of the Newfoundland and Labrador Shelves, leveraging the
51 smoothing properties of GAMs to account for varying productivity across time and space. The
52 resulting model is a spatial SPM (SSPM), implemented via a R package `sspm`.

53 The R package `sspm` is designed to make spatially-explicit surplus production models (SSPM)
54 more applicable. The package uses Generalized Additive Models (GAMs) to fit a SSPM to
55 biomass and harvest data. The package includes a range of features to manage biomass and
56 harvest data. Those features are organized in a stepwise workflow, whose implementation is
57 described in more detail in Figure 1.

- 58 1. Ingestion of variables as well as spatial boundaries and discretization into patches, using
59 the user's method of choice (random or custom sampling, voronoi tessellation or Delaunay
60 triangulation).
- 61 2. Smoothing data using spatio-temporal GAMs smoothers.
- 62 3. Computation of productivity values taking into account harvest information.
- 63 4. Fitting of SSPMs to smoothed data with GAMs.
- 64 5. Visualization of results, including confidence and prediction intervals.
- 65 6. One step ahead prediction of biomass.

66 Although it was developed in a fisheries context, the package is suitable to model spatially-
67 structured population dynamics in general.

68 Package design

69 The package follows an object oriented design, making use of the S4 class systems. The
70 different classes in the package work together to produce a stepwise workflow (Figure 1).

- 71 1. The first pillar of the package's design is the concept of boundary data, the spatial
72 polygons that sets the boundary of the spatial model. The boundary data is ingested
73 into a `sspm_boundary` object with a call to `spm_as_boundary()`.
- 74 2. The boundary data is then discretized into a `sspm_discrete_boundary` object with the
75 `spm_discretize()` function, dividing the boundary area into discrete patches.
- 76 3. The second pillar is the recognition of 3 types of data: **trawl**, **predictors**, and **catch**
77 (i.e. harvest). The next step in the workflow is to ingest the data into `sspm_dataset`
78 objects via a call to `spm_as_dataset()`.
- 79 4. The first proper modelling step is to smooth the biomass and predictors data by combining
80 a `sspm_dataset`, and a `sspm_discrete_boundary`. The user specifies a gam formula with
81 custom smooth terms. The output is still a `sspm_dataset` object with a `smoothed_data`
82 slot which contains the smoothed predictions for all patches.
- 83 5. Then, catch is integrated into the biomass data by calling `spm_aggregate_catch` on the
84 two `sspm_dataset` that contains catch and smoothed biomass. Productivity and (both
85 log and non log) is calculated at this step.
- 86 6. The next step consists in combining all relevant datasets for the modelling of productivity
87 (i.e. the newly created productivity dataset and the predictor(s) dataset(s)) with a call
88 to `sspm()`. Additionally, the user may apply lags to the variables with `spm_lag()` and
89 determine the split between testing and training data with `spm_split()`.

7. The second modelling step consists in modelling productivity per se. Once again, a gam formula with custom syntax is used.
8. The resulting object contains the model fit. Predictions can be obtained using the built-in `predict()` method, and plots with the `plot()` method.

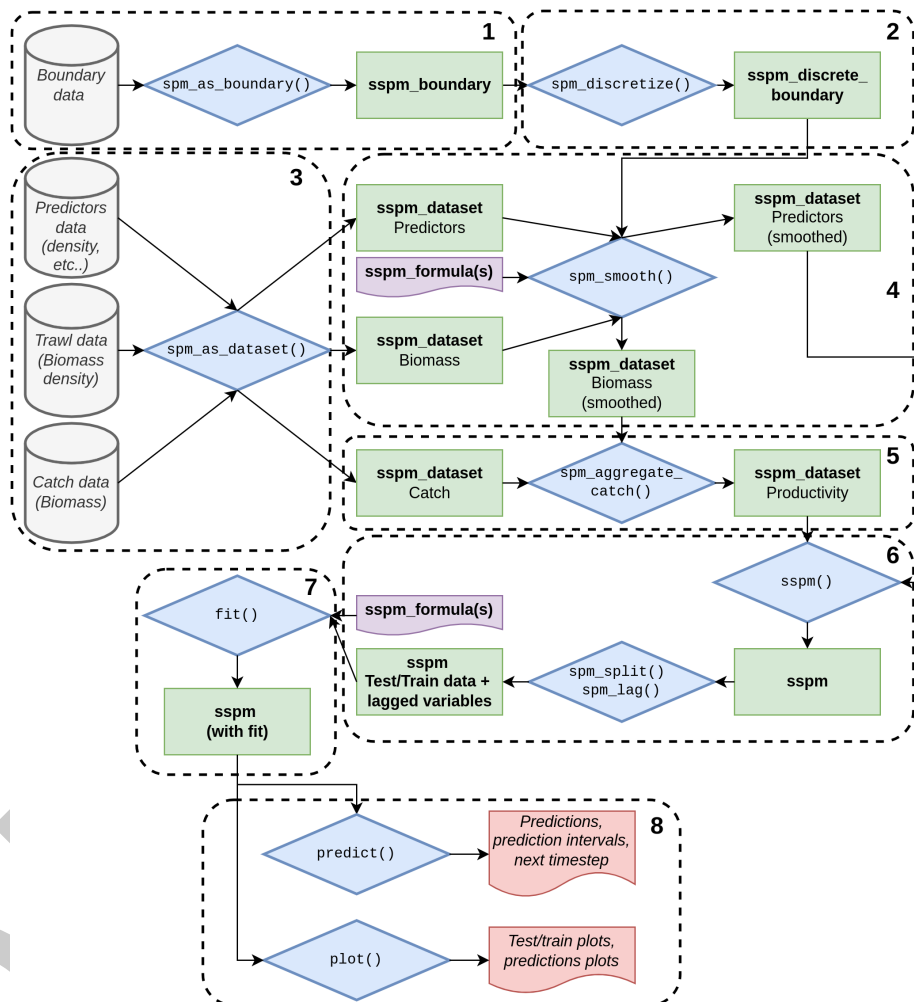


Figure 1: The sspm workflow.

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