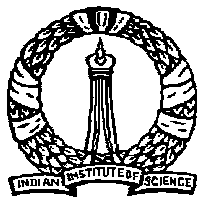


# Lecture Notes on Electric Drives

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2005-2006

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# Chapter 1

## General Concepts About Drives

### 1.1 Basic Relationship in an Electric Drive

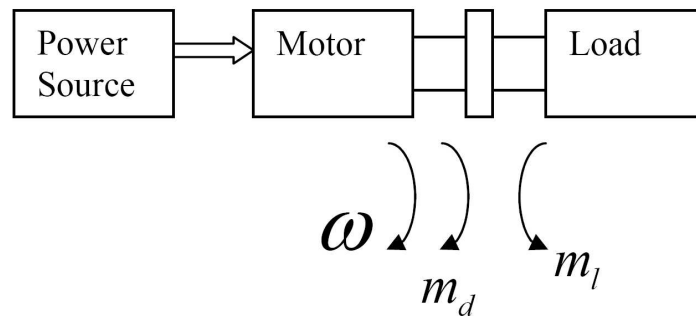


Figure 1.1: Driving Torque  $m_d$ , Load Torque  $m_l$  and Speed  $\omega$

## 1.2 Four Quadrants of Operation

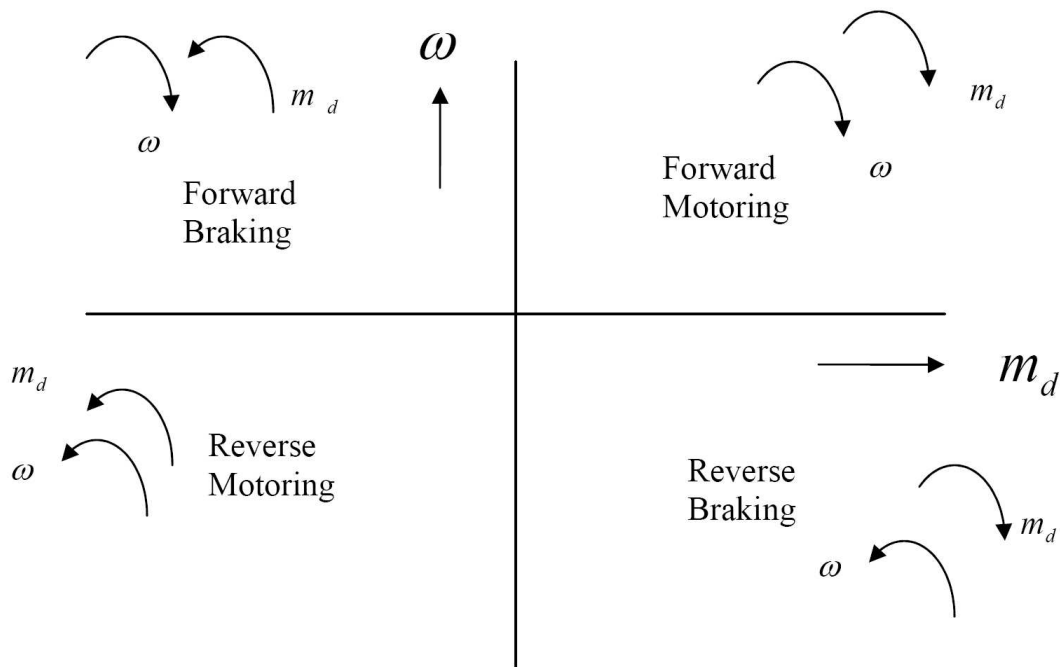


Figure 1.2: Four Quadrant Operation: Motoring and Braking Modes

## 1.3 Basic Equations of Electrical Machines

Developed Torque equation

$$m_d \propto \phi \times i \quad (1.1)$$

Mechanical equation

$$J \frac{d\omega}{dt} = m_d - m_l \quad (1.2)$$

Position equation

$$\frac{d\varepsilon}{dt} = \omega \quad (1.3)$$

Where,

$m_d$  - Developed Torque (Nm)

$m_l$  - Load Torque (Nm)

$\omega$  - Speed (rad/sec)

$\varepsilon$  - Position (rad)

$J$  - Moment of Inertia (kg- $m^2$ )

## 1.4 Hierarchy of Loops in Cascaded Control

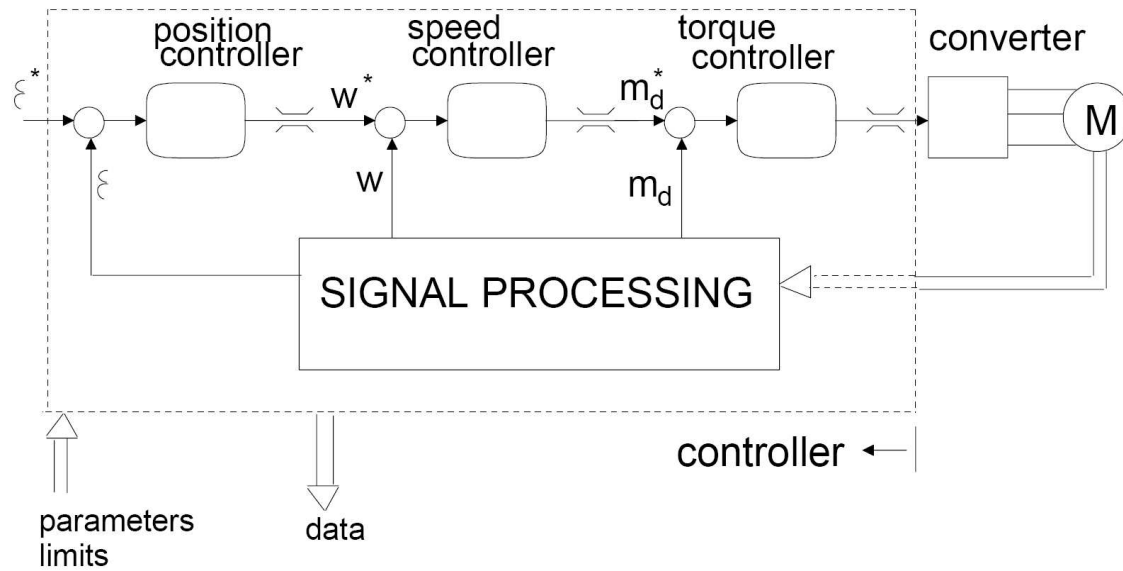


Figure 1.3: Hierarchy of Control Loops

### Control Strategy

***Torque Control (ie Current Control)***— > Controlled through the Voltage

***Speed Control***— > Controlled through the Torque

***Position Control***— > Controlled through the Speed

### Speed of Response of Loops

#### ***Torque Control Loop***

Needs to be fastest, Typically 0 to 100 percent torque in 100 Milliseconds.

#### ***Speed Control Loop***

Has the next larger time constant, of the order of 10s of 100s of milliseconds.



# Chapter 2

## DC Motor Control

### 2.1 Equations of a Separately excited DC Motor Drive

Armature voltage quation

$$V_a = R_a i_a + L_a \frac{di_a}{dt} + e_b \quad (2.1)$$

Back EMF Equation

$$e_b = C\phi \times \omega_m \quad (2.2)$$

Torque Equation

$$m_d = C\phi \times i_a \quad (2.3)$$

Mechanical Equation

$$J \frac{d\omega_m}{dt} = m_d - m_l \quad (2.4)$$

## 2.2 DC Motor Plant Model

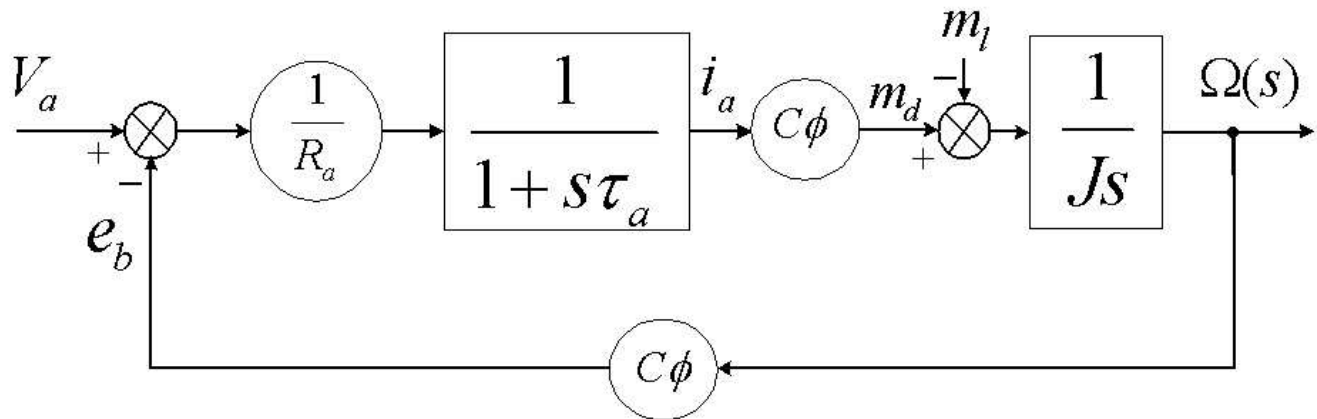


Figure 2.1: DC motor model

## 2.3 Block Diagram of DC Motor Drive

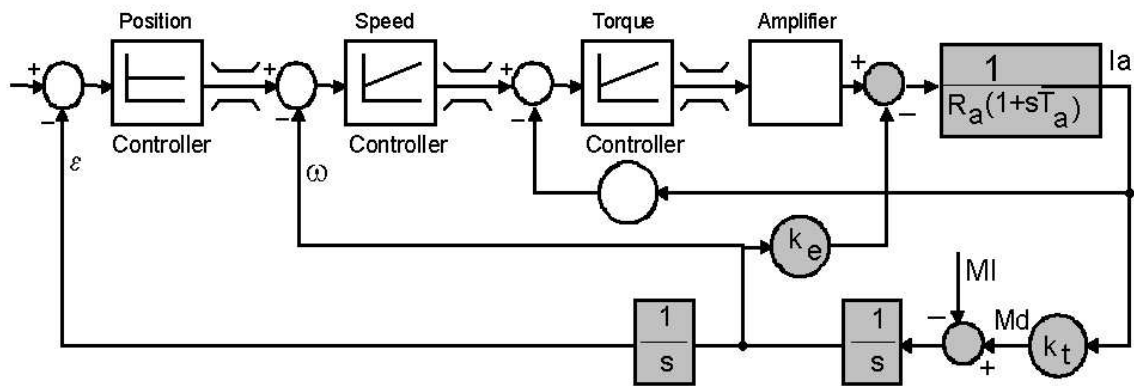


Figure 2.2: Control Block Diagram

## 2.4 Power Amplifiers for DC Drives

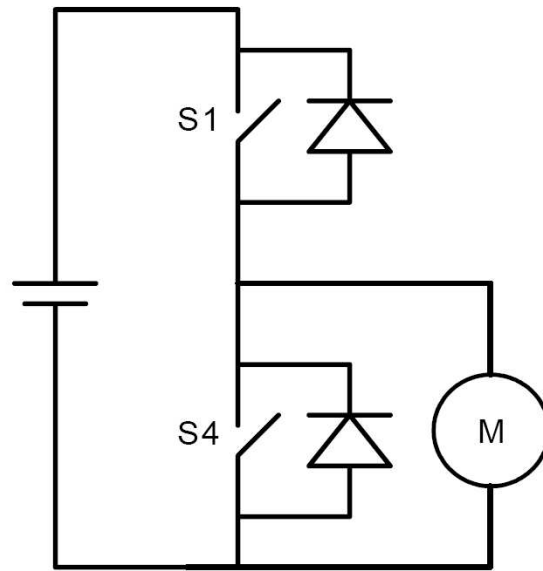


Figure 2.3: Two Quadrant Operation

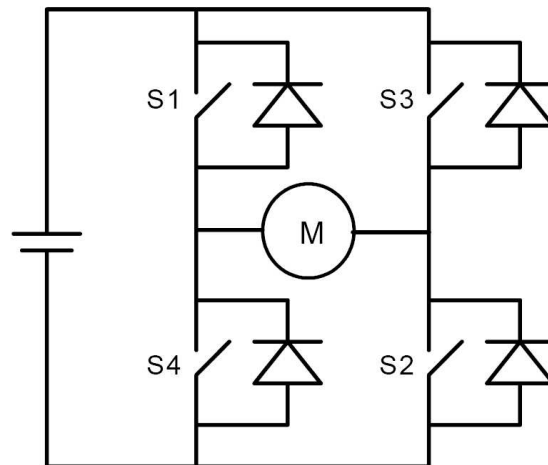


Figure 2.4: Four Quadrant Operation

## 2.5 Two Quadrant Operation

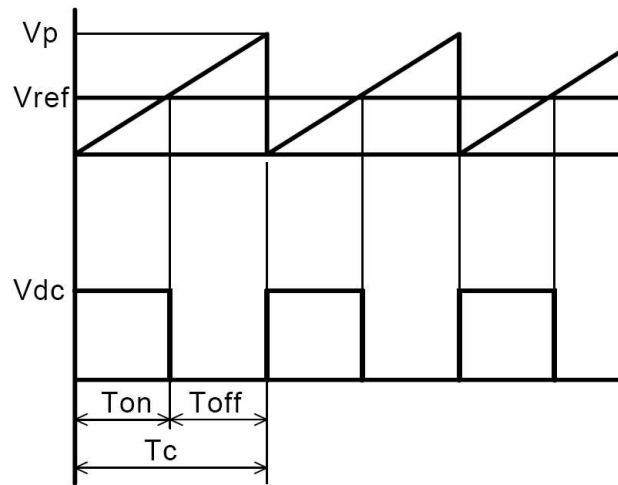


Figure 2.5: Two quadrant operation

Average Output Voltage is given as

$$\begin{aligned}
 V_{avg} &= \frac{T_{ON}}{T_C} \times V_{dc} \\
 &= \frac{V_{ref}}{V_p} \times V_{dc} \\
 &= V_{ref} \times \left( \frac{V_{dc}}{V_p} \right)
 \end{aligned} \tag{2.5}$$

Therefore,

$$Gain = \frac{V_{dc}}{V_p}$$

## 2.6 Four Quadrant Operation

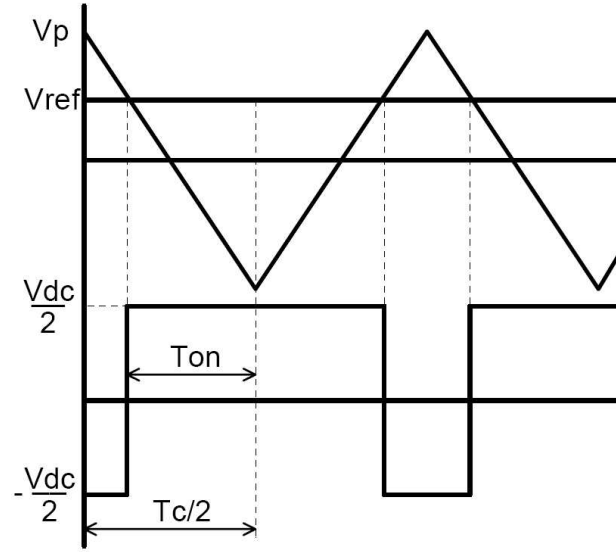


Figure 2.6: Four quadrant operation

Average Output Voltage is given as

$$\begin{aligned}
 V_{avg} &= \frac{T_{ON}}{\frac{T_C}{2}} \times \frac{V_{dc}}{2} + \frac{(\frac{T_C}{2} - T_{ON})}{\frac{T_C}{2}} \times \frac{-V_{dc}}{2} \\
 V_{avg} &= 2 \times \frac{T_{ON}}{\frac{T_C}{2}} \times \frac{V_{dc}}{2} - \frac{V_{dc}}{2} \\
 V_{avg} &= \frac{V_{dc}}{2} \times (2 \times \frac{T_{ON}}{\frac{T_C}{2}} - 1) \\
 V_{avg} &= \frac{V_{dc}}{2} \times (2 \times \frac{V_{ref} + V_p}{2V_p} - 1) \\
 V_{avg} &= \frac{V_{dc}}{2} \times (\frac{V_{ref}}{V_p} + 1 - 1) \\
 V_{avg} &= V_{ref} \times (\frac{\frac{V_{dc}}{2}}{V_p}) \tag{2.6}
 \end{aligned}$$

## 2.7 Transfer Functions of a Separately Excited DC machine

$$\frac{\Omega(s)}{V_a(s)} = \frac{\frac{1}{C\phi}}{1 + \tau_m s + \tau_m \tau_a s^2} \quad (2.7)$$

$$\frac{I_a(s)}{V_a(s)} = \frac{\left(\frac{\tau_m}{R_a}\right)s}{1 + \tau_m s + \tau_m \tau_a s^2} \quad (2.8)$$

$$\frac{\Omega(s)}{m_l(s)} = \left(\frac{-1}{R_a}\right) \frac{1 + s\tau_a}{1 + \tau_m s + \tau_m \tau_a s^2} \quad (2.9)$$

$$\frac{I_a(s)}{m_l(s)} = \frac{\frac{1}{C\phi}}{1 + \tau_m s + \tau_m \tau_a s^2} \quad (2.10)$$

where,

$\tau_a = \frac{L_a}{R_a}$  - Armature time constant

$\tau_m = \frac{JR_a}{(C\phi)^2}$  - Mechanical time constant

## 2.8 Design of the Current Control Loop

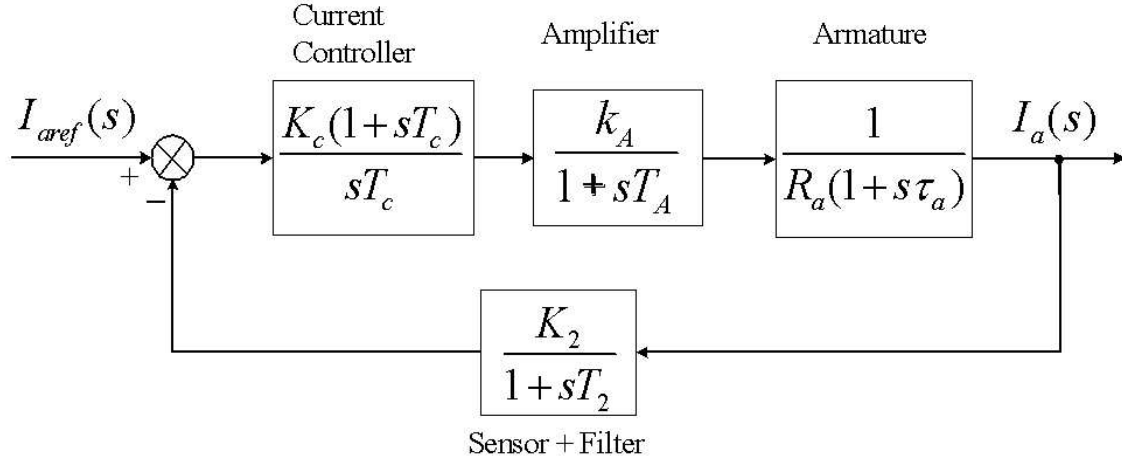


Figure 2.7: Block diagram of Current Control Loop

Whenever the system consists of a series of first order blocks, the procedure followed is that the zero of the PI controller is chosen to cancel out the largest of the system time constants, which is the armature time constant  $\tau_a$ . Therefore the first step in the design is to set  $T_c$  to be equal to  $\tau_a$ . Subsequently, the product of the two smaller time constants  $T_A$  and  $T_2$  is approximated by a single first order system with time constant equal to the sum of these i.e.  $T_A + T_2 = \sigma$ . The gain of the PI controller is then adjusted to get a second order response for the current loop with a damping ratio of 0.707. The final closed loop transfer function of the current loop then becomes:

$$\frac{I_a(s)}{I_{aref}(s)} = \left(\frac{1}{K_2}\right) \frac{1 + sT_2}{1 + 2\sigma s + 2\sigma^2 s^2} \quad (2.11)$$

If the current reference is smoothed by a filter having the transfer function  $\frac{1}{1+sT_2}$ , The resulting transfer function has the form

$$\frac{I_a(s)}{I_{aref}(s)} = \left(\frac{1}{K_2}\right) \frac{1}{1 + 2\sigma s + 2\sigma^2 s^2} \quad (2.12)$$

This is a second order system with natural frequency  $\omega_n$  and damping ratio  $\delta$  given by,

$$\omega_n = \frac{1}{\sqrt{2}\sigma} \quad (2.13)$$

$$\delta = \frac{1}{\sqrt{2}} \quad (2.14)$$

Typically, the response will reach the final value for the first time after  $4.7 \sigma$  seconds and will have a maximum overshoot of 4.3 percent.

The speed loop is generally much slower than the current loop. Therefore, while considering the design problem of the speed loop as below, the current loop transfer function is approximated as a first order function of the form

$$\frac{I_a(s)}{I_{aref}(s)} = \left(\frac{1}{K_2}\right) \frac{1}{1 + 2\sigma s} \quad (2.15)$$

The equivalent time constant of the current loop is therefore  $2\sigma = 2(T_A + T_2)$ . Note that the effect of the armature electrical time constant has been eliminated.



# Chapter 3

## BLDC Motor Control

### 3.1 BLDC motor

The Brushless DC Motor [BLDC Motor] can be considered as an inversion of the conventional DC Motor with commutator and brushes. In the conventional machine, the field is on the stator and the armature on the rotor. The main current has to be passed through brushes and commutator to the armature winding. At high speeds, commutation of the current becomes difficult, thereby setting a limit on the highest speed achievable. The BLDC machine was evolved in an effort to take advantage of electronic devices for the commutation of current. Structurally it has the field on the rotor and the armature on the stator. The field normally consists of permanent magnets mounted on the rotor, generally stuck on using adhesives. The stator contains a three-phase winding. However the windings are generally of the concentrated type and not the distributed AC windings generally present in Induction and Synchronous machines.

If a BLDC machine is coupled to another motor and rotated, with the stator winding being open circuited, the induced voltages in the stator windings have the following waveforms as shown in Fig. 3.1.

The line-to-line voltages have the following waveform as shown in Fig. 3.2.

These waveforms can be observed with the help of an oscilloscope. From the waveform of Fig. 3.1, it can be deduced that the waveform of the currents which should be fed to the windings in order to produce a steady torque is as shown in Fig. 3.3.

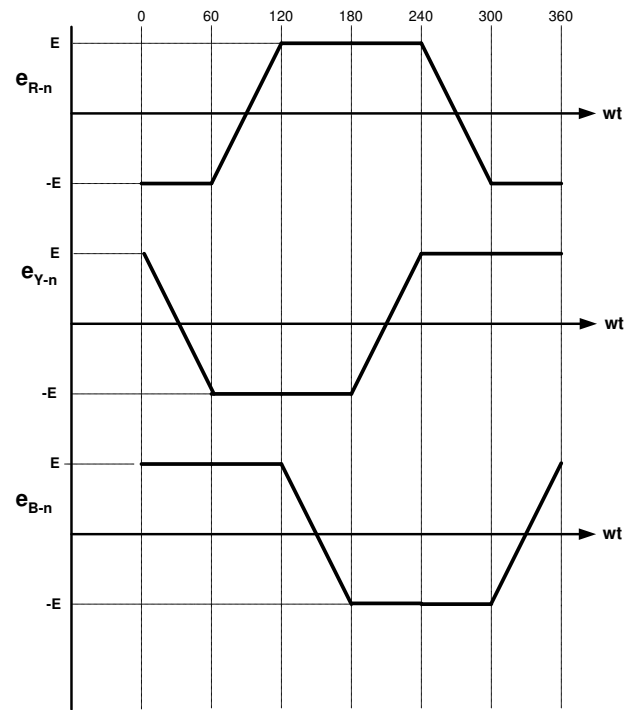


Figure 3.1: Line to neutral induced emf waveform of a star connected BLDC machine

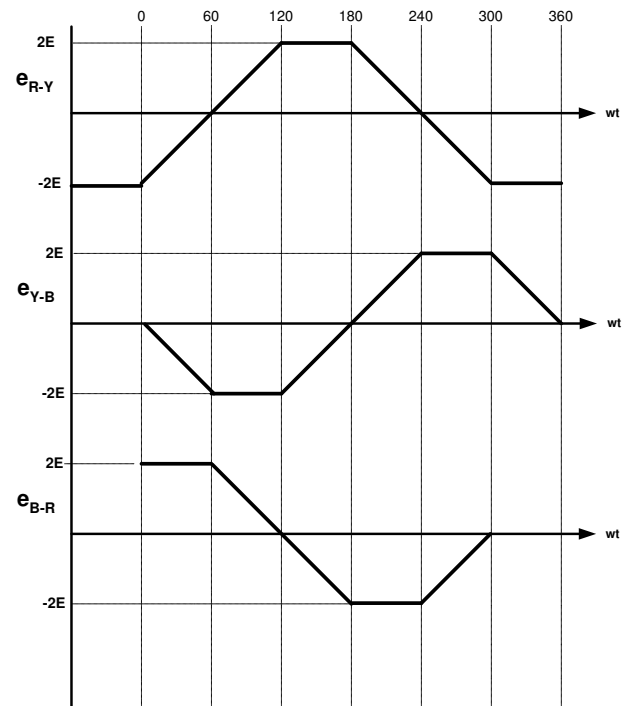


Figure 3.2: Line to Line induced emf waveform of a BLDC machine

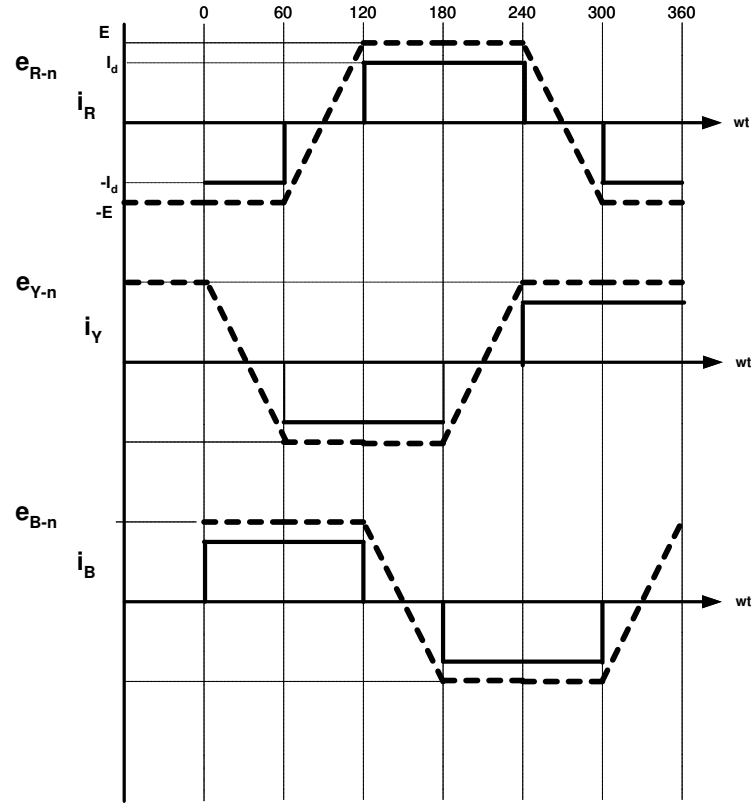


Figure 3.3: Emf and Currents in a BLDC machine

The total instantaneous power input into the machine can be written as

$$P_{in} = e_{R-n} \times i_R + e_{Y-n} \times i_Y + e_{B-n} \times i_B \quad (3.1)$$

$$= 2EI_d \quad (3.2)$$

a constant value, assuming there is no ripple in the current. Therefore, a smooth torque will be produced by the machine, just as a conventional DC machine produces smooth torque. Therefore the control of a BLDC Motor amounts to controlling the phase currents to follow the above pattern. The amplitude of the current is adjusted by a speed controller to produce the required torque.

The hardware structure of a BLDC drive therefore is as given in Fig. 3.4.

The necessity for the position sensing using Hall Effect sensors is explained in the following. In Fig. 3.5, the position of the rotor i.e. the north pole of the magnet, with respect to the axis of the R-phase winding is defined as the angle  $\varepsilon$ . Note that  $\varepsilon$  is expressed in electrical angles i.e. in a machine with multiple poles, it is the mechanical position multiplied by the number of pole pairs.

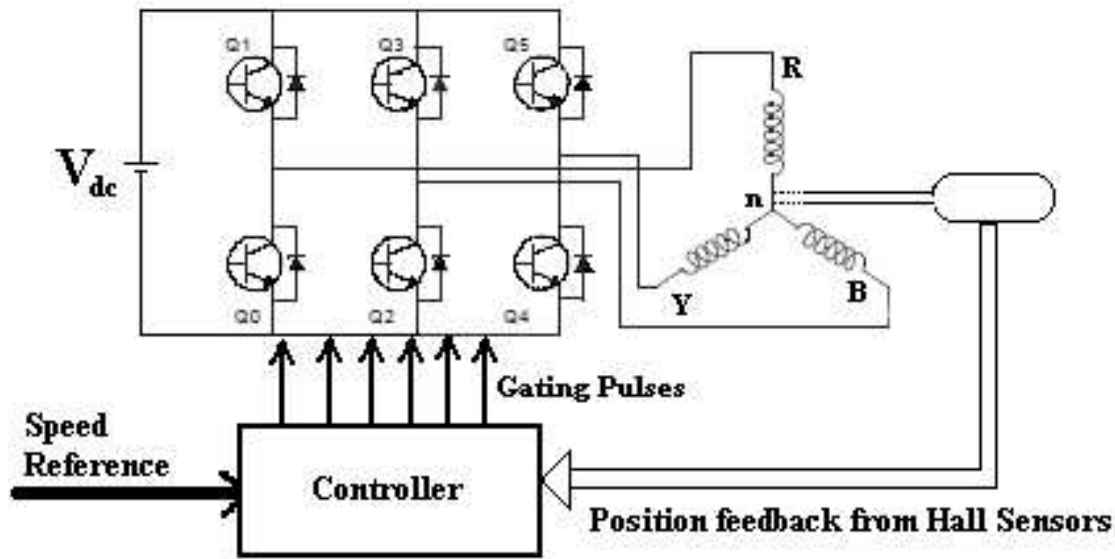


Figure 3.4: Hardware Structure of a BLDC motor drive

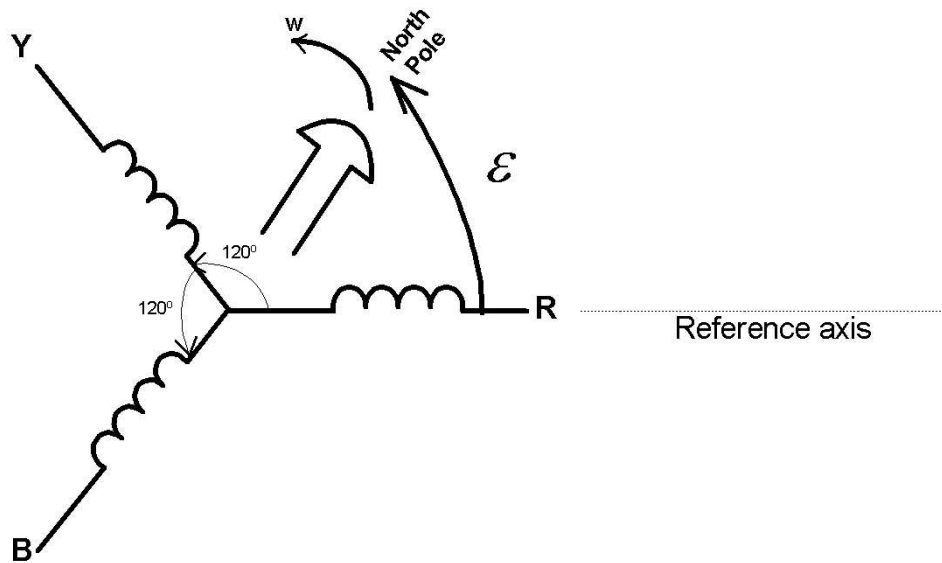


Figure 3.5: Definition of rotor position

$$\omega = \omega_m \times \frac{P}{2} \quad (3.3)$$

where,

$\omega_m$  is the speed in mechanical radians/second and  $\frac{P}{2}$  is the number of pole pairs.

The current excitation pattern of Fig. 3.3, reproduced in Fig. 3.6, implies that over every  $60^\circ$  of a period, one phase carries positive current (entering the motor), a second phase carries a negative current (leaving the motor), while the third phase carries no current (silent phase). The resultant direction of the stator mmf for each of the  $60^\circ$  is shown in Fig. 3.7.

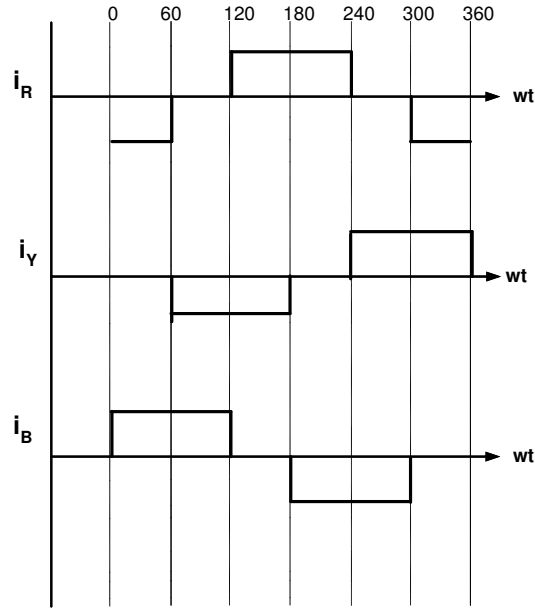


Figure 3.6: Currents in the phases

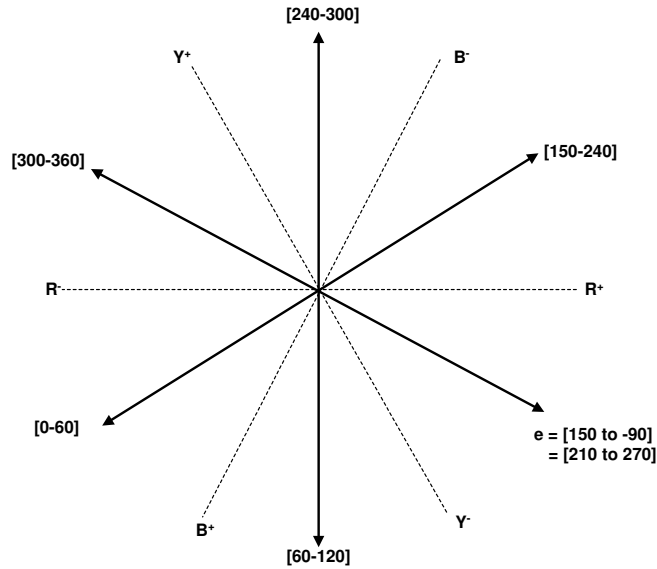


Figure 3.7: Stator MMF Orientation in Space over a cycle

During every  $60^\circ$  period, maximum torque is obtained for a given current if the mean position of the rotor (north pole) is  $90^\circ$  behind the MMF on the average. Applying this principle, Fig. 3.7 can be redrawn as shown in Fig. 3.8, in which each  $60^\circ$  is now expressed in terms of the rotor position angle  $\varepsilon$ .

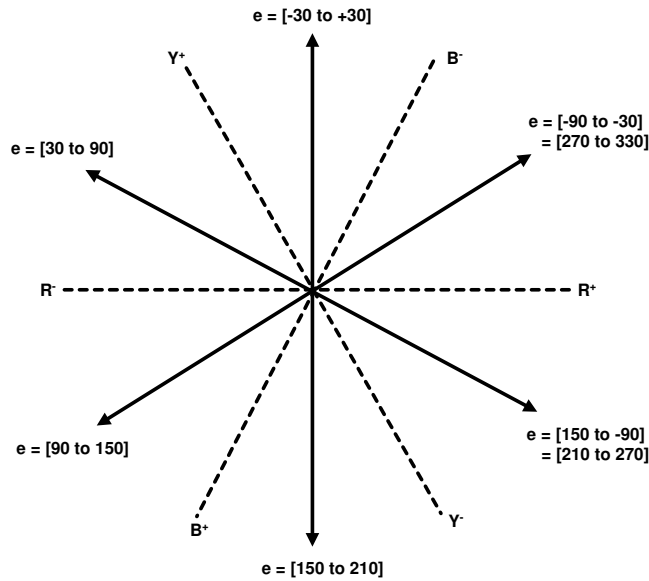


Figure 3.8: Stator MMF Orientation in terms of  $\varepsilon$

Therefore, we can relate the requirements for the phase currents to the rotor position  $\varepsilon$  by means of a table as given in Table. 3.1

Table 3.1: Relationship between phase currents and rotor position

$\varepsilon$	$i_R$	$i_Y$	$i_B$
$-30^\circ$ $+30^\circ$	0	+	-
$30^\circ$ $90^\circ$	-	+	0
$90^\circ$ $150^\circ$	-	0	+
$150^\circ$ $210^\circ$	0	-	+
$210^\circ$ $270^\circ$	+	-	0
$270^\circ$ $300^\circ$	+	0	-

If the currents are related to  $\varepsilon$  as for Table. 3.1, then the relationship to the back emfs as in Fig. 3.3 automatically results. Fig. can therefore be redrawn as below in Fig. 3.9 with  $\varepsilon$  along the x-axis.

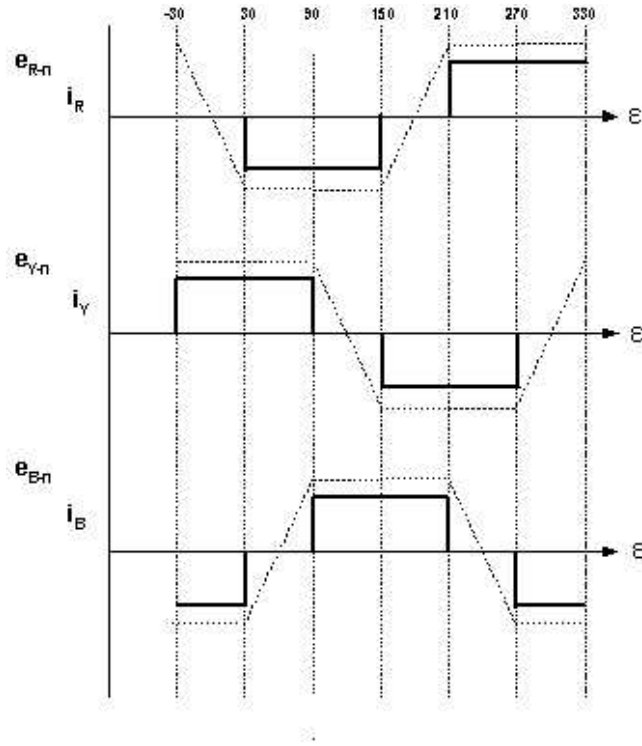


Figure 3.9: Waveforms of phase voltage and phase current in terms of rotor position  $\varepsilon$

The Fig. 3.9 make it clear that in order to control the BLDC motor properly, we need to know the position of the rotor, i.e., in which  $60^\circ$  sector it is located. The method used to detect the sector of  $e$  is through the use of Hall sensors, which produce an output when placed in the field of the rotor magnets. BLDC motors therefore are usually factory assembled with three Hall sensors. The output pulses produced by the three sensors and their relationship to the rotor position/back emf is shown in Fig. 3.10.

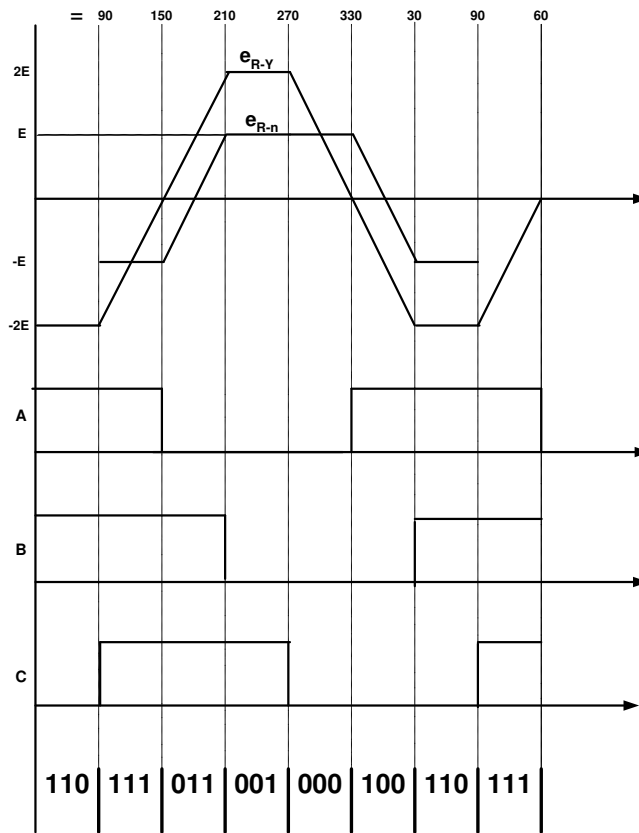


Figure 3.10: Phase relationship between the back emf and commutation signals

## 3.2 The Total Control System

As used in a conventional DC Motor drive, the BLDC drive system also consists of an outer speed controller and an inner torque controller, as shown in Fig. 3.11.

Note that the speed  $\omega$  of the motor can be calculated from the Hall sensor signal. Alternatively a tacho can be provided for measuring speed. The current feedback signal  $I_d$  is obtained from the output current sensors by suitably relating them to the sensor signals.

## 3.3 Current control and Switching pattern

From the current pattern, it can be seen that in any  $60^\circ$  interval, only two legs of the inverter are active, while the third leg is idle. The equivalent circuit of the motor can then be represented as in Fig. 3.12.

The voltage reference  $V^*$  at the output of the current controller is therefore used to control the width of the output pulses produced by the chopper of Fig. 3.12. One possible



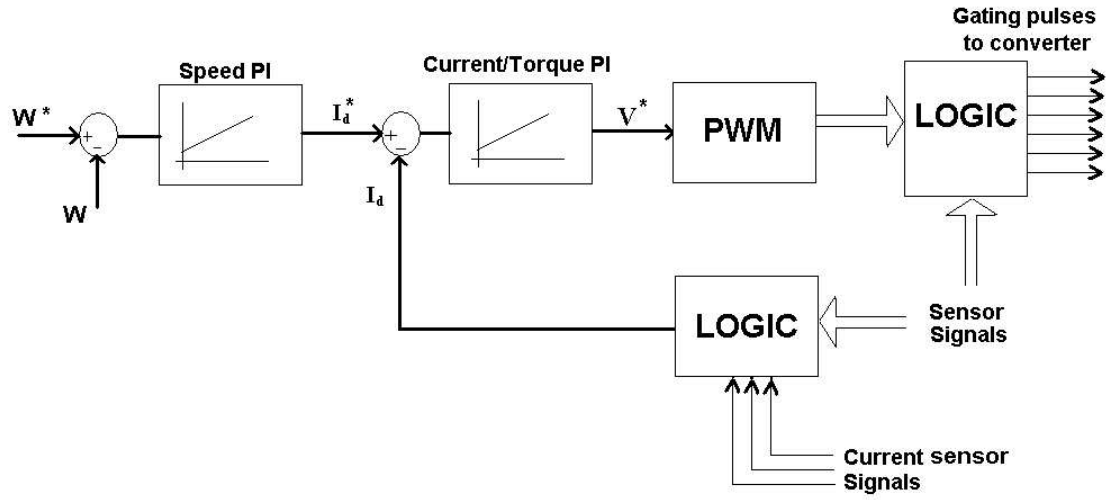
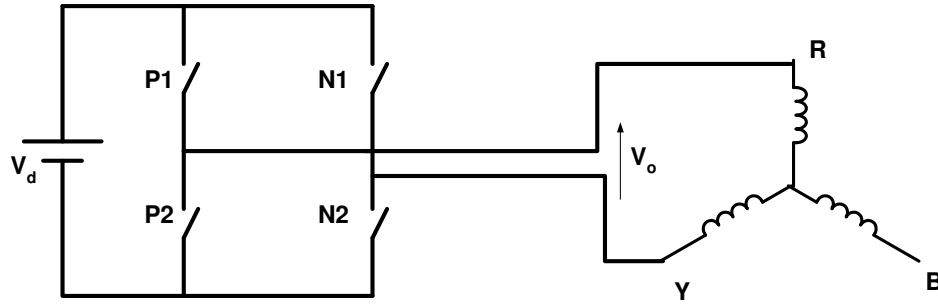


Figure 3.11: Block Diagram of BLDC Motor Control System

Figure 3.12: Equivalent circuit of BLDC Motor drive in any  $60^\circ$  period, eg.  $\varepsilon = 210^\circ$  to  $270^\circ$ 

gating scheme is shown in Fig. 3.13.

The advantage of the scheme is that for a given switching frequency of the device, the effective ripple frequency of the output voltage is doubled. The actual waveform of the motor phase current will therefore be not ideally smooth, but will contain ripple components. Since these components are at high frequency (KHz range), they will not produce any disturbance in the motor speed.

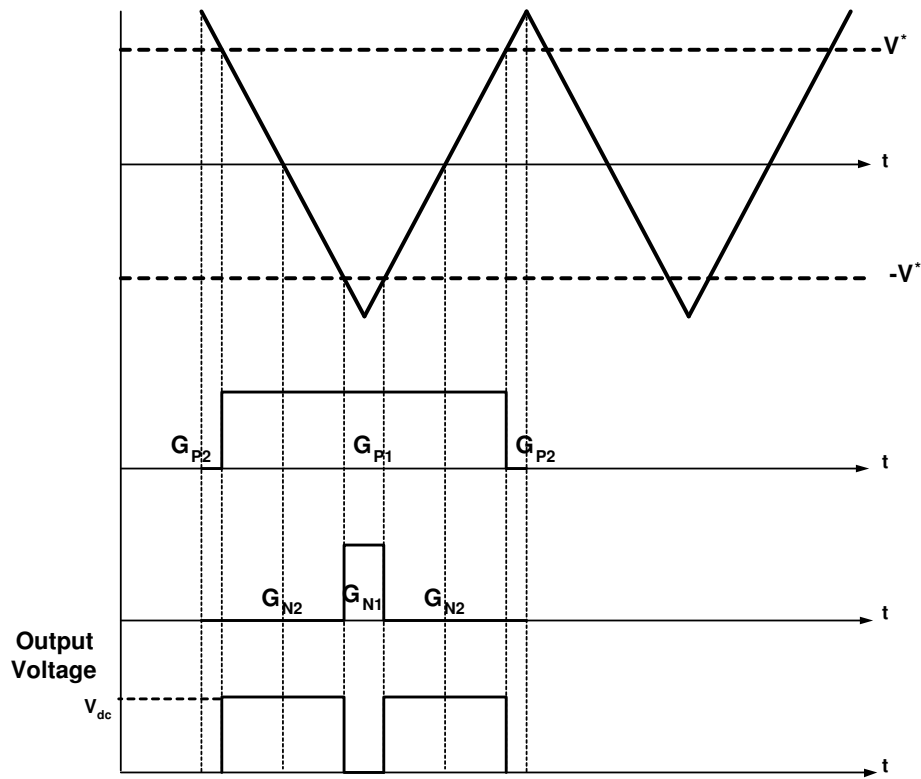


Figure 3.13: Gating Scheme

### 3.4 References

1. **TJE Miller**, Brushless Permanent-Magnet and Reluctance Motor Drives, Oxford University Press
2. **BK Bose**, Power Electronics and AC Drives, Prentice-Hall

### 3.5 Appendix

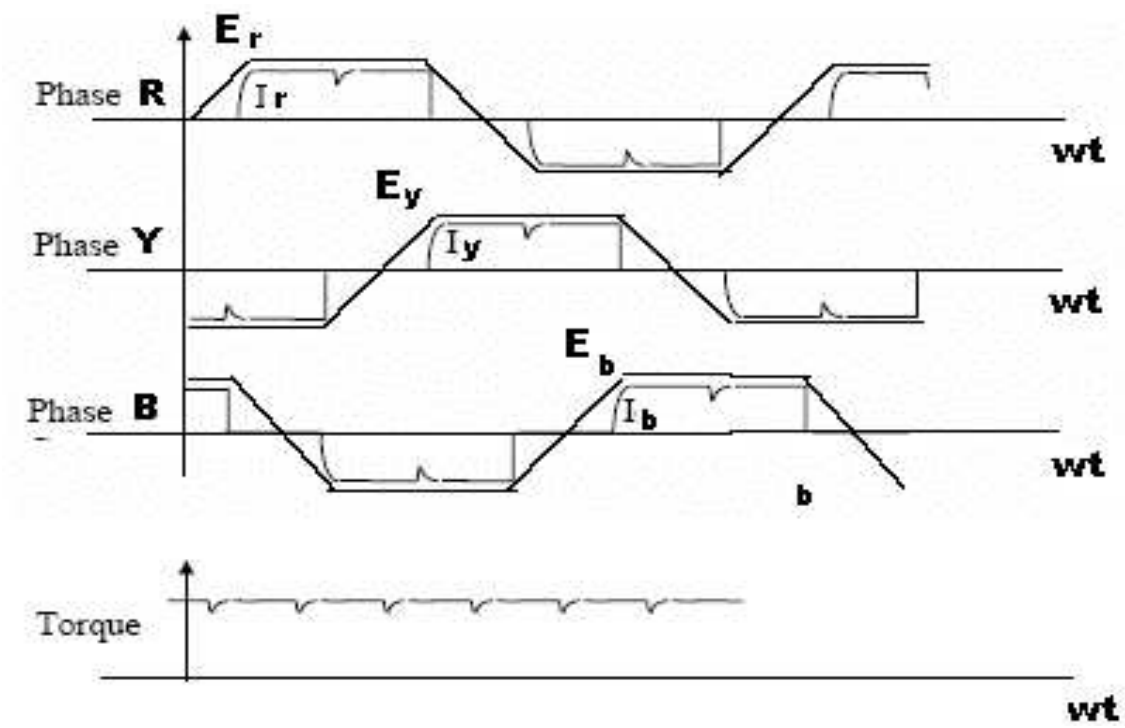


Figure 3.14: Effect of Commutation on phase currents and torque

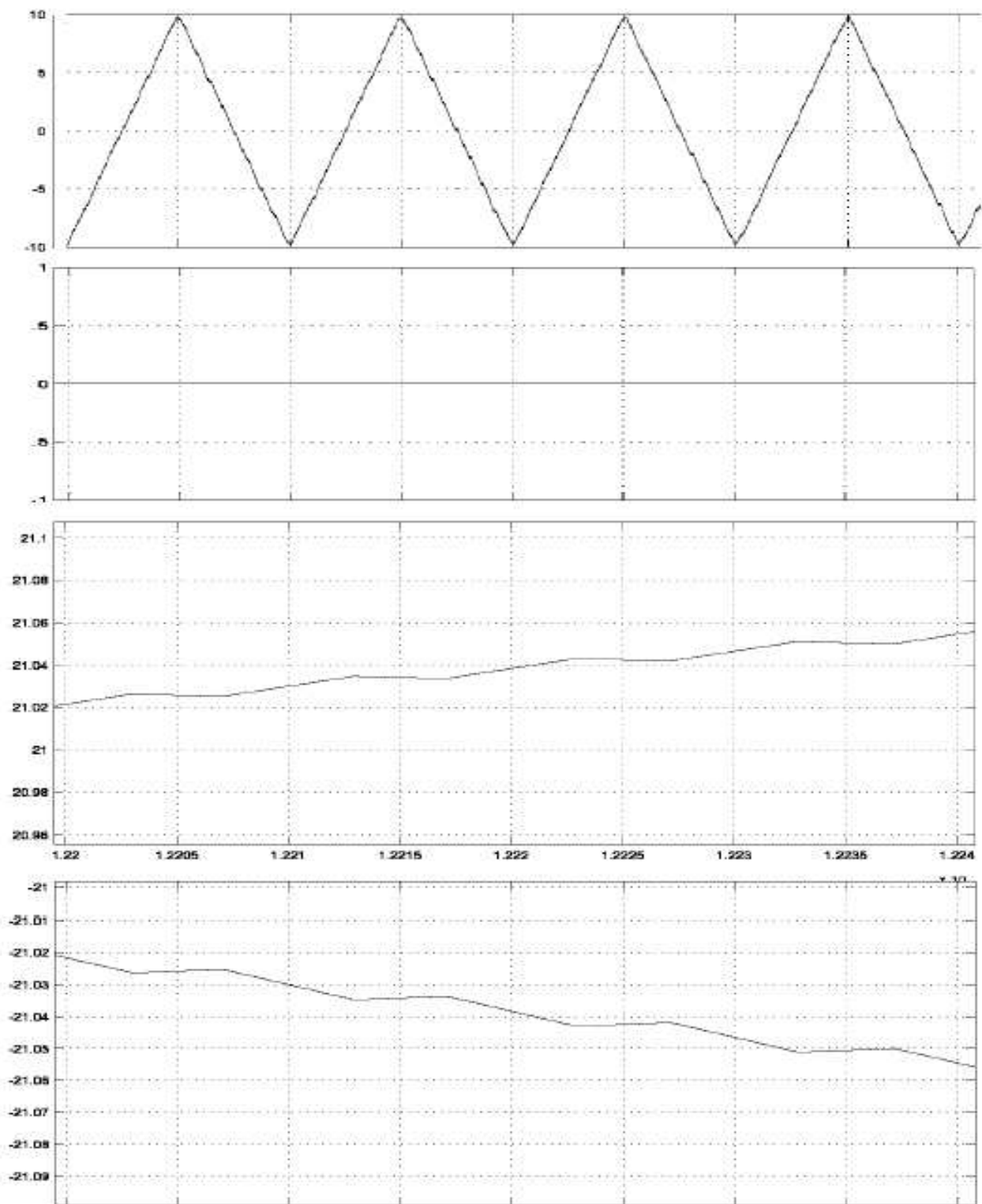


Figure 3.15: Ripple in phase currents whose frequency matches the switching frequency

# Chapter 4

## Review of Induction Motors

The squirrel cage induction motor is the work horse of industry. Traditionally it has been a constant speed device. With the advent of static inverters, speed control through frequency variation has become practical. This has opened up a wide range of applications. The basic theory of the squirrel cage induction motor is reviewed here.

### 4.1 Basic Principle of Operation

The induction motor has a three phase winding on the stator and a rotor cage with end rings which electrically behaves as another three phase winding. When the stator windings are supplied with balanced three phase currents, a revolving magnetomotive force is produced in the air gap. If the stator winding is designed properly, the spatial distribution of the stator  $mmf$  at any instant of time can be assumed to be sinusoidal. The location of the positive peak (north pole) of this  $mmf$  is taken as its instantaneous position. The speed of rotation of stator  $mmf$  wave is given by

$$N_e = \frac{120f_s}{P} \quad \text{revolution per minute} \quad (4.1)$$

where:  $f_s$  is the frequency of the stator currents;  $P$  is the number of poles.

Because of the stator  $mmf$  a rotating flux wave is produced in the air gap of the machine. This flux wave also has a sinusoidal spatial distribution at any instant of time and rotates in space in the same direction and with the same speed as the stator  $mmf$ . Speed of the stator  $mmf$  (or the flux) is referred to as the synchronous speed.

As the flux sweeps past the rotor conductors,  $emfs$  are induced in these conductors. Since the rotor bars are shorted by the end rings, currents flow in the rotor conductors. The interaction of rotor currents and the flux produces torque and the rotor begins to turn. The rotor attempts to catchup with the flux. However, since this would result in the disappearance of torque, an equilibrium is reached where the rotor runs at a speed such that the relative motion of the flux is sufficient to produce enough torque to sustain the rotor

speed. If the rotor runs at a speed of  $N$  revolutions per minute, the relative speed of the flux with respect to the rotor is given by

$$N_r = N_s - N \quad (4.2)$$

The slip 's' of the machine is defined as the ratio  $\frac{N_r}{N_s}$ .

i.e.

$$s = \frac{N_r}{N_s} = \frac{N_s - N}{N_s} = 1 - \frac{N}{N_s} \quad (4.3)$$

The frequency of the currents in the rotor is given by

$$f_r = \frac{N_r}{60} \frac{P}{2} \quad (4.4)$$

Therefor, the slip can also be defined as

$$s = \frac{f_r}{f_s} \quad (4.5)$$

Let  $w_r$  and  $w_s$  be the the angular frequencies of the rotor and stator currents respectively. If  $w$  is the speed of the rotor in the electrical radians per second, slip is also defined as

$$s = \frac{w_r}{w_s} = \frac{w_s - w}{w_s} = 1 - \frac{w}{w_s} \quad (4.6)$$

When the currents are induced in the rotor, the rotor also produces an *mmf*. The net *mmf* acting on the air gap is the sum of stator and rotor *mmfs* and air gap flux is established due to the resultant *mmf*.

## 4.2 Mechanism of Torque Production

When the motor is running in the steady state, sinusoidally distributed air gap flux and the *emf* induced in the rotor conductor can be indicated as shown in Figure 4.1.

Air gap flux is moving at a speed  $w_r$  electrical radians per second with respect to the rotor conductors. The amplitudes of the induced emfs in the rotor conductors are indicated in Figure 4.1. Due to the induced voltage, current flows in the rotor conductors. However because of the inductance in the rotor circuit, the rotor current is not in phase with the rotor induced *emf*, but lags it in time by an angle  $\theta_r$ , which is the rotor power factor angle. The inductance is responsible for the presence of  $\theta_r$  is the leakage inductance of the rotor. Consequently, the rotor conductor current amplitudes with respect to the air gap flux are as shown in Figure 4.2.

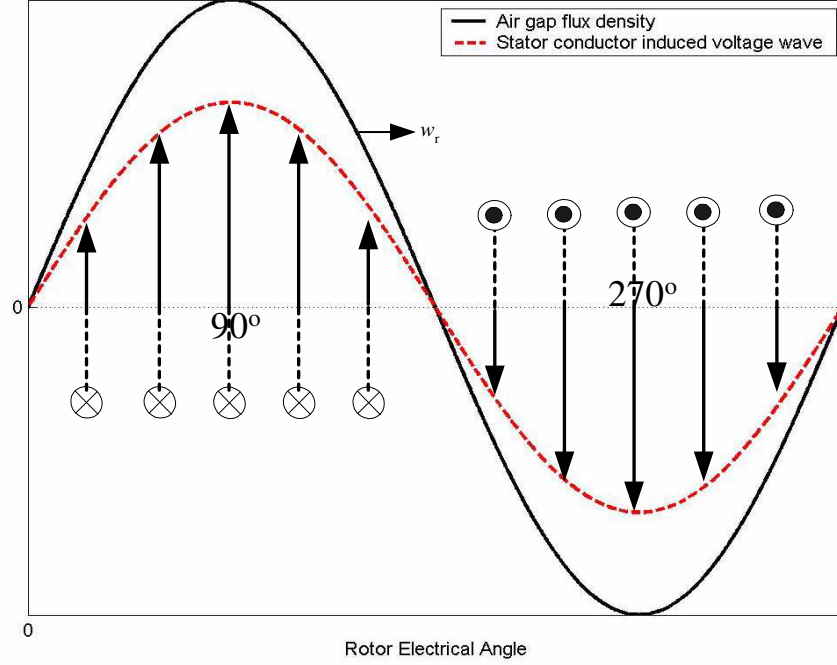


Figure 4.1: Air gap flux and rotor induced voltage wave

The currents in two rotor conductors  $180^\circ$  apart can be regarded as current in a coil, producing an *mmf* along the axis of the coil. Therefor the rotor *mmf* wave will have a spatial position with respect to the air gap flux as shown in Figure 4.3.

The rotor *mmf* also rotates at a speed  $w_r$  with respect to the rotor, since the rotor currents alternate at the frequency  $w_r$ . Therefor the rotor *mmf* will also rotate at synchronous speed  $w_s$  with respect to the stator. The peak of the rotor *mmf* wave is at an angle  $90^\circ + \theta_r$  behind the peak of the flux wave.

It can be seen that due to the lag between the rotor voltage and the rotor current the force on some of the rotor conductors oppose the rotation of the rotor. The net torque developed by the machine can be obtained by summing the forces acting on each of the rotor conductors. The expression for developed electromagnetic torque can be shown to be

$$m_d = \pi \frac{P}{2} l r B_p F_p \sin \delta \quad (4.7)$$

where:

P is the number of poles

l is the axial length of the machine

r is the radius of the rotor

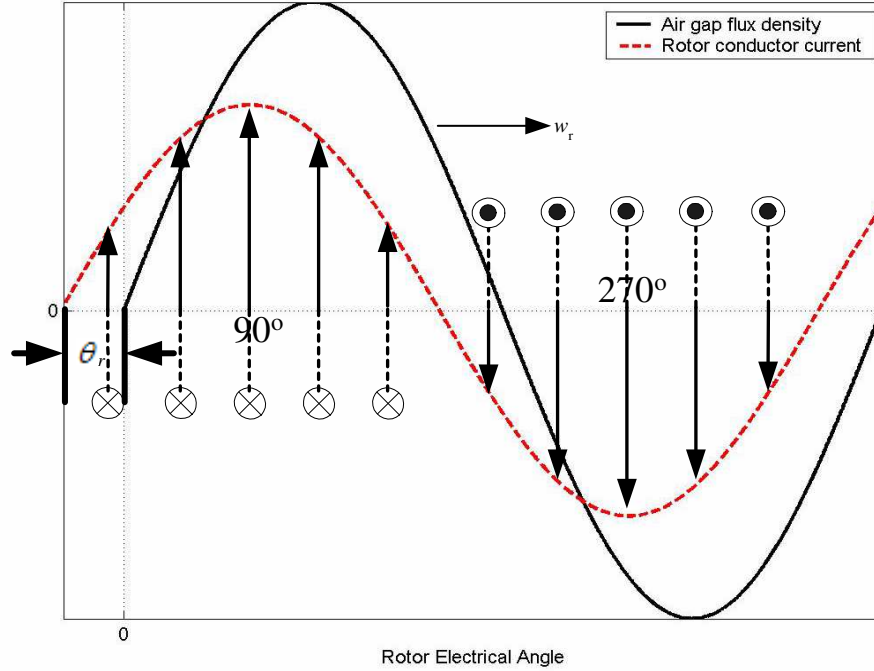


Figure 4.2: Air gap flux and rotor conductor current

$B_p$  is the peak value of air gap flux density

$F_p$  is the peak value of the rotor  $mmf$

$$\delta = 90 + \theta_r. \quad (4.8)$$

For the developed torque to be maximum for a given machine, the rotor power factor angle  $\theta_r$  should be zero, but this is not possible in a practical machine. The torque equation can also be given as

$$M_d = \frac{3}{2} \frac{P}{2} |\widehat{\psi}_m| |\widehat{I}_r| \sin \delta \quad (4.9)$$

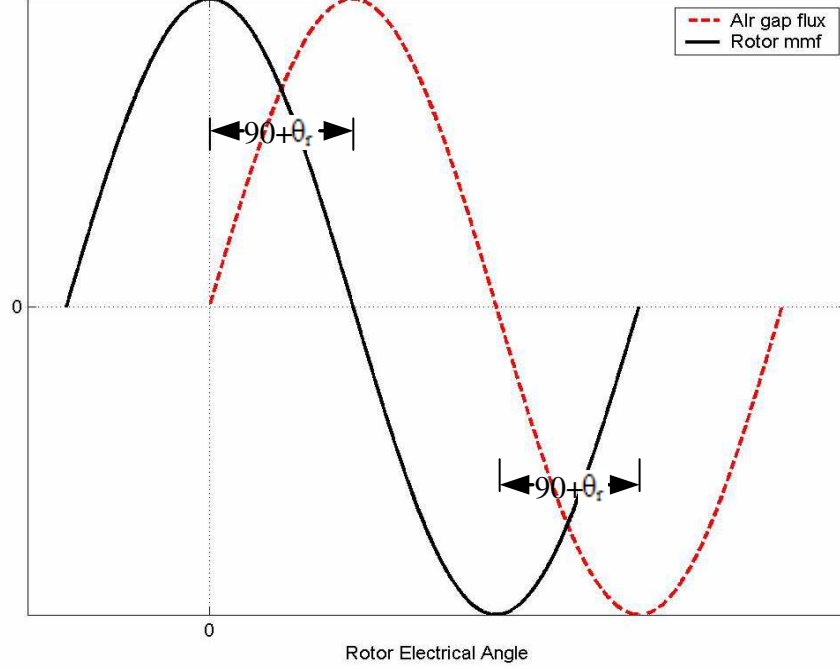
where:

$\widehat{\psi}_m$  is the peak value of the air gap flux linkage per pole

$\widehat{I}_r$  is the peak value of the rotor current

To establish the air gap flux, stator  $mmf$  has to overcome the rotor  $mmf$  and produce a net magnetizing  $mmf$ . When the machine is operated from a voltage source, whenever the rotor current flows, a corresponding current is drawn from the supply to maintain a net magnetizing  $mmf$ . Thus the machine acts like a transformer. Its behavior can therefore be represented by an equivalent circuit similar to that of transformer.



Figure 4.3: Air gap flux and rotor  $mmf$  waves

### 4.3 Steady-State Equivalent Circuit of Induction Motor

The air gap flux rotating at  $w_s$  electrical radians per second induces back  $emf$   $V_m$  in the stator. The flux also moves at a speed  $w_r$  with respect to the rotor. The corresponding  $emf$  induced in the rotor can be written as

$$E'_r = n s V_m \quad (4.10)$$

where:

$n$  is rotor to stator turns ration

$s$  is slip

Therefor, the machine can be represented by an equivalent circuit as shown in Figure 4.4.

Here the two sides of the transformer work at different frequencies, namely,  $w_s$  and  $w_r$ . The rotor current  $I'_r$  is given by

$$I'_r = - \frac{n s v_m}{R'_r + j w_r L'_{lr}} \quad (4.11)$$

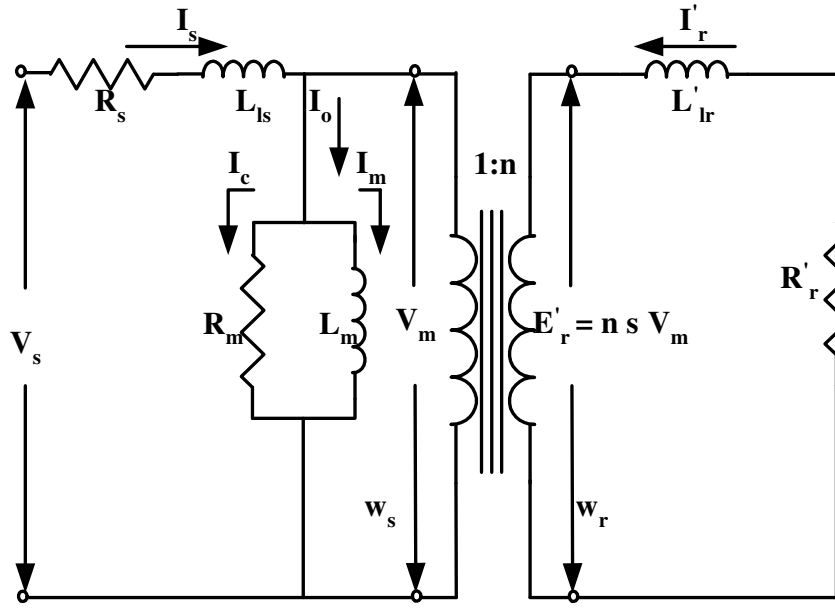


Figure 4.4: First attempt at equivalent circuit

$$\begin{aligned}
 I'_r &= - \frac{n v_m}{\frac{R'_r}{s} + j \frac{w_r}{s} L'_{lr}} \\
 &= - \frac{n v_m}{\frac{R'_r}{s} + j w_s L'_{lr}} \quad (4.12)
 \end{aligned}$$

using equation 10.12, the above equivalent circuit can be reduced to one in which both sides operate at the same frequency  $w_s$ . In addition, if the usual technique of referring all quantities to the primary (stator) turns is resorted to, the equivalent circuit shown in Figure 4.5 of the induction motor is obtained.

Note that the current  $I_r$  is shown and not the component  $I_2$  of stator current. Of course  $I_2 = -I_r$ . The phasor diagram associated with the above equivalent circuit shown in Figure 4.5 can be drawn as shown in Figure 4.6. For a machine with the sinusoidally distributed windings, the time phase angles between different quantities in the phasor diagram translate in to the spatial angle between them expressed in electrical radians per second. In drawing the phasor diagram, the core loss component  $I_c$  of the stator current has been neglected for convenience.

The developed torque can now be written as

$$M_d = K \psi_M I_r \sin \delta \quad (4.13)$$

where

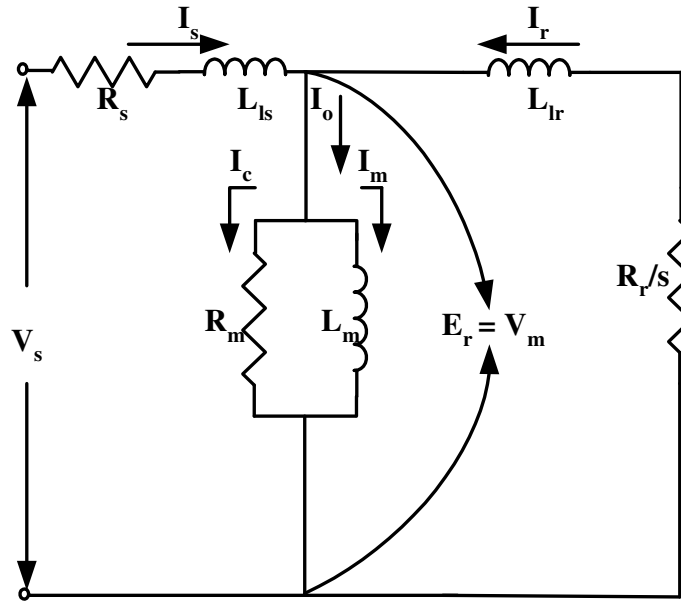


Figure 4.5: Equivalent circuit of induction motor referred to stator

$\psi_M$  and  $I_r$  are the *rms* values of the air gap flux and referred rotor current respectively.

Therefore

$$M_d = K' I_M I_r \sin \delta \quad (4.14)$$

Also,

$$I_r \sin \delta = I_s \sin \theta \quad (4.15)$$

Thus,

$$M_d = K' I_M I_s \sin \theta \quad (4.16)$$

$$= K' I_M I_a \quad (4.17)$$

where

$I_a$  is the component of the stator current in phase quadrature with the flux.

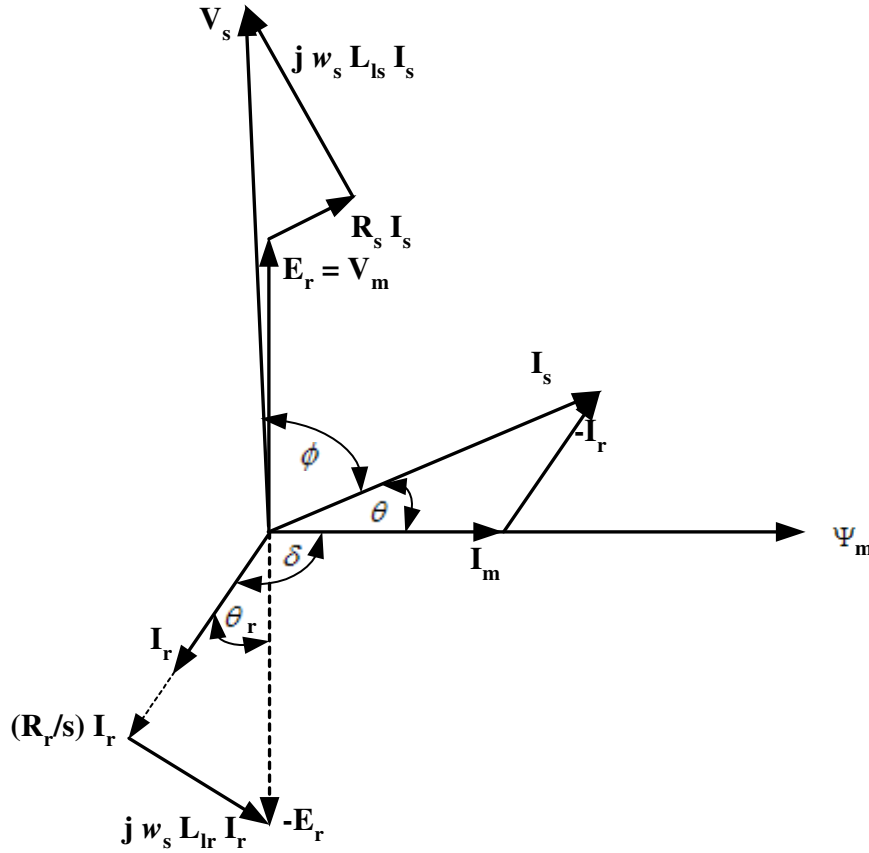


Figure 4.6: Phasor diagram of induction motor

## 4.4 Steady-state Performance Based On Approximate Equivalent Circuit

The equivalent circuit of Figure 4.5 can be approximated by neglecting the core-loss component and pushing the magnetizing inductance to the stator terminals. The resulting circuit is shown in Figure 4.7.

The circuit shown in Figure 4.7 is a little more convenient than the original one in getting an appreciation of the performance of the machine. Based on this equivalent circuit, rotor current

$$|I_r| = \frac{V_s}{((R_s + \frac{R_r}{s})^2 + \omega_s^2(L_{ls} + L_{lr})^2)^{\frac{1}{2}}} \quad (4.18)$$

The air gap power

$$P_{ag} = 3 |I_r|^2 \frac{R_r}{s} \quad (4.19)$$

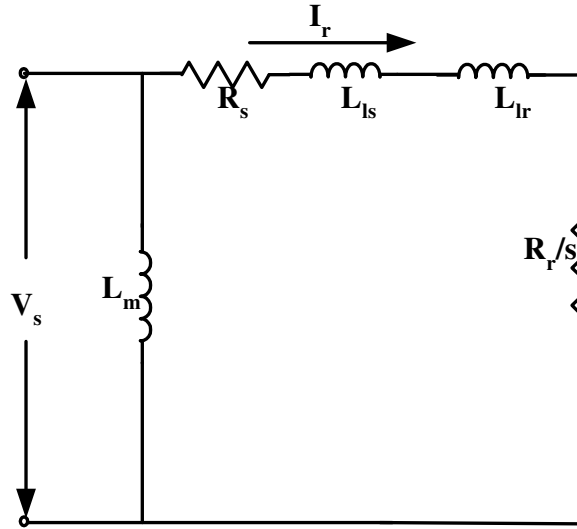


Figure 4.7: Approximate equivalent circuit of induction motor

The electromagnetic torque

$$M_d = \frac{P_{ag}}{\text{synchronous speed}}$$

$$M_d = 3 \frac{P}{2} \frac{R_r}{s w_s} \frac{V_s^2}{(R_s + \frac{R_r}{s})^2 + w_s^2 (L_{ls} + L_{lr})^2} \quad (4.20)$$

The starting torque is obtained by setting  $s = 1$  in equation 4.20. The torque speed characteristic based on equation 4.20 is shown in Figure 4.8.

Note that for supersynchronous speeds of rotation (i.e. negative values of slip) the machine acts as a generator. The torque becomes negative, i.e., braking torque. In variable frequency drives, the machine can be made to enter the generating region of the characteristic by reducing the stator frequency  $w_s$  to a value below the running speed  $w$  of the machine. In the plugging region, the field rotates in the opposite direction to the rotor; slip is greater than unity and there is excessive heating. The machine draws power from the electrical as well as the mechanical system to which it is connected.

The characteristics show that there is a value of slip ' $s$ ' at which the torque is maximum, in the motoring as well as generating mode. The maximum torque is called the pull-out torque and is usually about 1.5 to 2 times the rated torque of the machine.

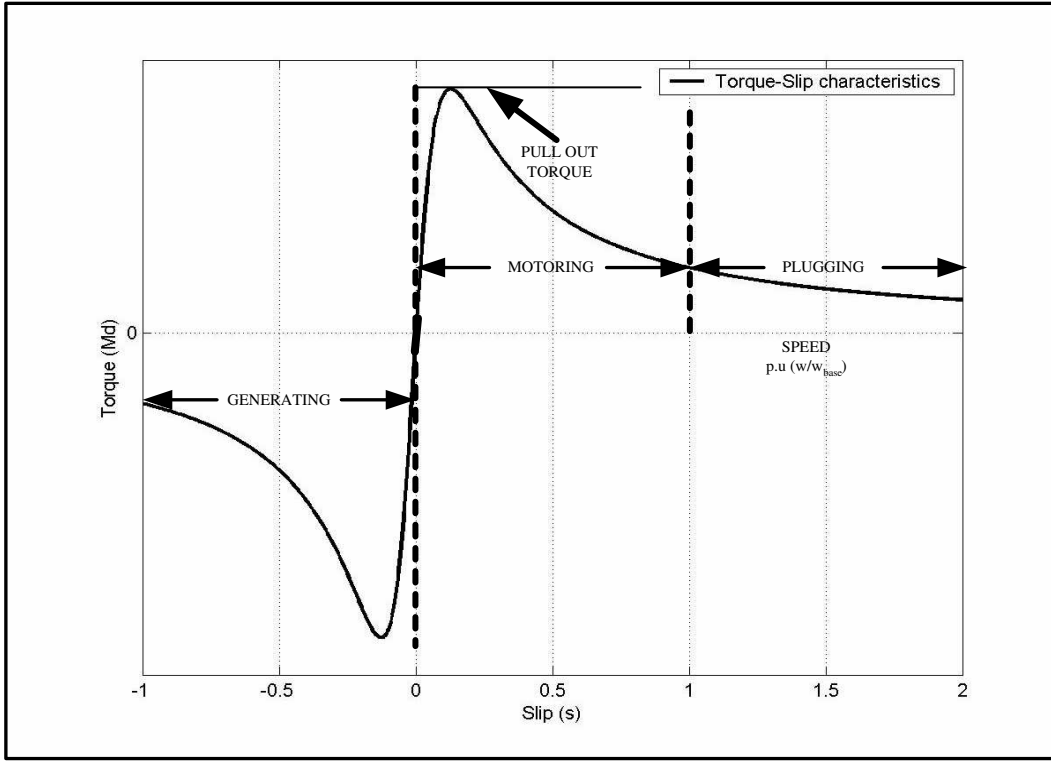


Figure 4.8: Torque-Slip characteristics of induction motor at constant voltage and frequency

Further approximate relationships can be obtained if the stator resistance and the leakage inductances are neglected. Such an approximation is fairly accurate for integral horse power machines at speeds above 10% of rated speed. The approximate expression for the torque is

$$M_d = 3 \frac{P}{2} \left( \frac{V_s}{w_s} \right)^2 \frac{w_r R_r}{R_r^2 + (w_r L_{lr})^2} \quad (4.21)$$

Rotor current

$$I_r = \frac{s V_s}{(R_r^2 + (w_r L_{lr})^2)^{\frac{1}{2}}} \quad (4.22)$$

$$\cos \theta_r = \frac{R_r}{(R_r^2 + (w_r L_{lr})^2)^{\frac{1}{2}}} \quad (4.23)$$

The air gap flux  $\psi_m$  is expressed as

$$\psi_m = \frac{V_s}{w_s} \quad (4.24)$$

For very small values of slip,  $R_r \gg w_r L_{lr}$  and the torque expression given in the equation 4.20 simplifies to

$$M_d = 3 \frac{P}{2} \psi_m^2 w_r \frac{1}{R_r} \quad (4.25)$$

Therefor, it can be said that at constant flux  $\psi_m$ , torque is directly proportional to the slip frequency  $w_r$ . At constant  $w_r$ , torque is proportional to the square of the flux.

## 4.5 Operation from Non-Sinusoidal Sources

When an induction motor is operated from an inverter, the applied voltages are not sinusoidal. They contain, besides the fundamental, odd harmonics. For example, in the six step inverter, harmonics of order  $6m \pm 1$  are present. Therefore, it becomes necessary to study the response of the machine to these harmonic voltages. The equivalent circuit offers a convenient starting point for this study. The equivalent circuit of induction motor shown in Figure 4.7 is redrawn in Figure 4.9 for a general harmonic of order  $k$ . All reactances get multiplied by the harmonic order  $k$ . It is assumed that resistance values remain same, i.e. skin effect is neglected.

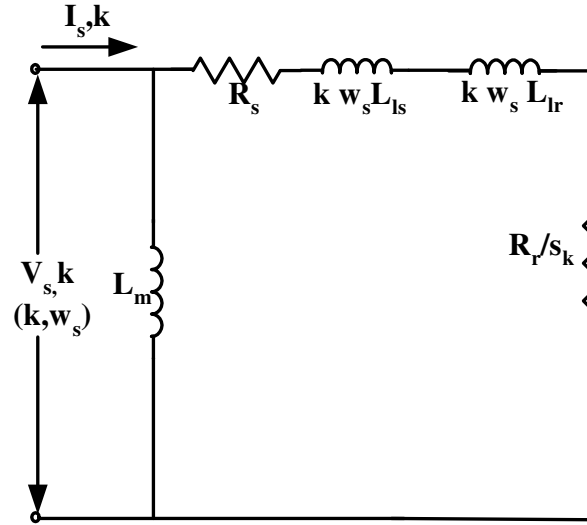


Figure 4.9: Equivalent circuit for any harmonic

The value of the slip  $s_k$  has to be interpreted properly. The slip is the ratio of rotor speed to the synchronous speed. The rotor speed is the same, whatever be the harmonic considered. But the synchronous speed, i.e., the speed at which the stator *mmf* is rotating, depends on the harmonic being considered. In general, the *mmf* due to the  $k^{th}$  harmonic will rotate at  $k$  times the speed of the fundamental. However not all the harmonic *mmfs* rotate in the same direction as the fundamental. It has been pointed out that harmonics of the order  $6m - 1$  (5,11,17,etc.) have a negative phase sequence and so the *mmf* produced by these harmonics will rotate in the opposite direction to the fundamental. Therefore, the

slip for the 5<sup>th</sup> harmonic is given by

$$s_5 = \frac{-5w_s - w}{5w_s} = 1 + \frac{1}{5} \frac{w}{w_s} = 1 + \frac{1}{5} (1 - s_1) \quad (4.26)$$

Since  $s_1$  is very small, it can be approximately said that

$$s_5 = 1 + \frac{1}{5}$$

Similarly,  $s_{11} = 1 + \frac{1}{11}$ ;  $s_{17} = 1 + \frac{1}{17}$ ; etc.

On the other hand, for a positive sequence harmonic such as 7<sup>th</sup>,

$$s_7 = \frac{7w_s - w}{7w_s} = 1 - \frac{1}{7} \frac{w}{w_s} = 1 - \frac{1}{7} (1 - s_1) \quad (4.27)$$

$$s_7 = 1 - \frac{1}{7}$$

similarly,  $s_{13} = 1 - \frac{1}{13}$ ;  $s_{19} = 1 - \frac{1}{19}$ ; etc.

In general, therefore, the slip corresponding to the harmonics is very close to unity. Therefore the equivalent circuit of Figure 4.10 can be successively simplified as follows.

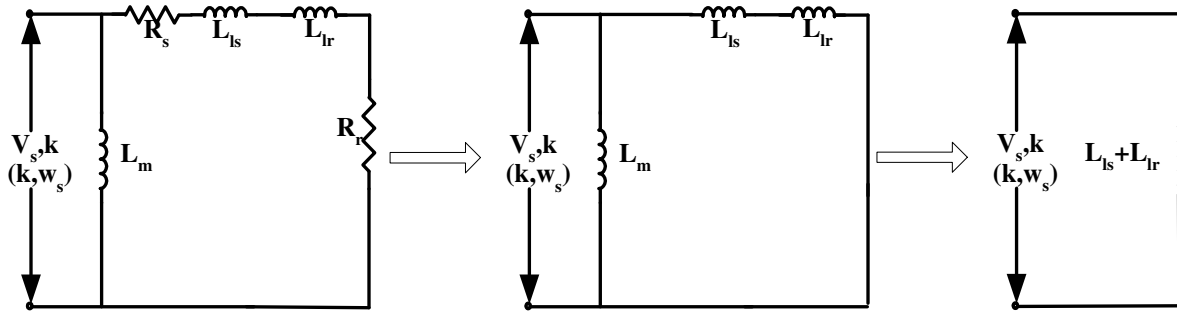


Figure 4.10: Simplification of harmonic equivalent circuit

The resistances can be neglected in comparison with the leakage reactance at the harmonic frequencies and further the magnetizing impedance can be neglected compared to the leakage reactance (in parallel connection). Thus machine offers only the leakage impedance to the harmonics in the applied voltage.

In the case of the six-step inverter, harmonics of the output voltage have amplitude which are inversely proportional to the harmonic order. If  $X_l$  is the leakage impedance of



the machine at fundamental frequency, various harmonic currents can be written as

$$I_5 = \frac{V_1}{5 \times 5X_1} = \frac{\frac{V_1}{X_1}}{25}$$

$$I_7 = \frac{\frac{V_1}{X_1}}{49}; \quad I_{11} = \frac{\frac{V_1}{X_1}}{121}, \quad \text{etc.} \quad (4.28)$$

The total *rms* stator current is therefore given by

$$I_{rms}^2 = I_1^2 + \left(\frac{V_1}{X_1}\right)^2 \left[\frac{1}{25^2} + \frac{1}{49^2} + \frac{1}{121^2} + \dots\right]$$

$$= I_1^2 \left[1 + \left(\frac{V_1}{I_1 X_1}\right)^2\right] \left[\frac{1}{25^2} + \frac{1}{49^2} + \frac{1}{121^2} + \dots\right] \quad (4.29)$$

Considering a machine with 10% leakage impedance,  $\frac{V_1}{X_1}$  corresponds to 10 times rated current. Therefore the harmonic currents, expressed in p.u. with rated current as base, can be calculated as:

$$I_5 = \frac{10}{25} = 0.4p.u$$

$$I_7 = \frac{10}{49} = 0.2p.u$$

$$I_{11} = \frac{10}{121} = 0.083p.u$$

an so on.

Therefore the harmonic currents considerably increase the *rms* stator current and contribute to the increased copper losses in the motor. Further, the maximum instantaneous current handled by the inverter switches can also go up by a factor of 1.5 to 2 and the inverter devices have to be suitably rated. Thus some amount of derating for the whole inverter drive system becomes inevitable. A further important effect created by the current harmonics is that they produce a torque pulsations in the motor. The mechanism by which torque pulsation are produced is explained below.

## 4.6 Effects of Harmonics on the Torque

The basic mechanism of torque production in the motor is due to the interaction of the rotor current with the air gap flux. In a machine which is excited by purely sinusoidal voltages, only fundamental flux and rotor current are produced and these rotate in synchronism, i.e., a constant spatial angle is maintained between the flux and the current. Since torque is proportional to the magnitudes of the flux and the current and also the *sine* of the spatial angle between two, a steady torque is produced.

In inverter fed machines, however, fluxes and currents at various harmonic frequencies are also produced. If the interaction between flux at one frequency and the current at same frequency is considered, steady torque is produced. In the case of positive sequence harmonics, this adds to the torque produced by the fundamental and in the case of negative sequence harmonics, it opposes the torque due to the fundamental. However, the contributions of the harmonics to the steady output torque of the motor are negligible in magnitude and it can be said that the useful output torque is only due to the fundamental.

When the interaction between flux at one frequency and rotor current at another frequency is considered, the two are in relative motion as they rotate at different speeds and possibly in the opposite directions. The torque produced by such interactions therefore pulsates with respect to time at the frequency of relative motion between the flux and current considered. Such interactions can be understood by looking at the phasor diagram of Figure 4.11.

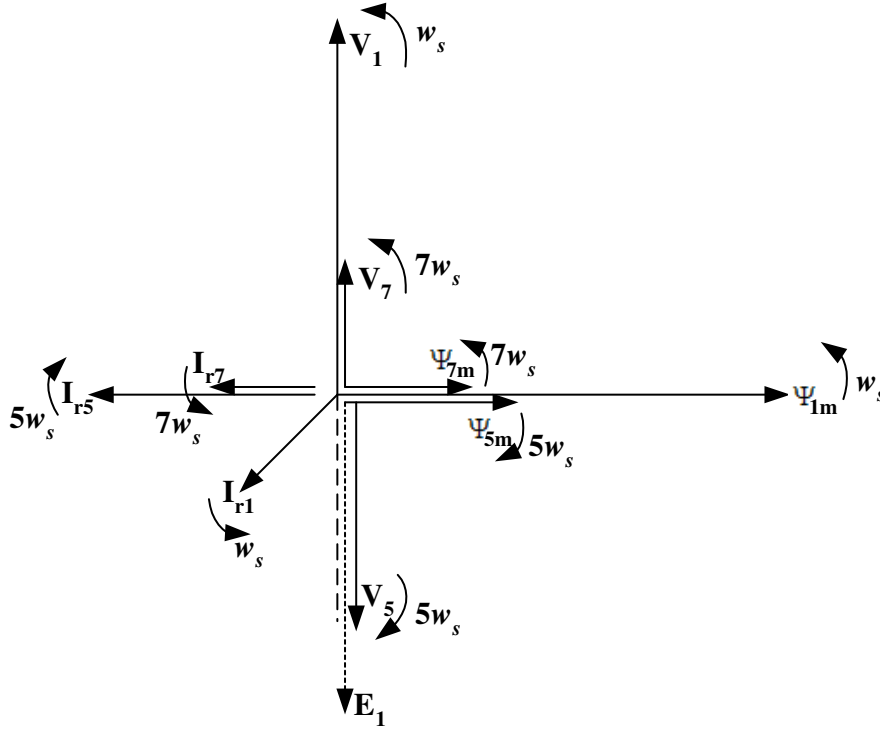


Figure 4.11: Phasor diagram showing harmonic fluxes and currents

The phasor diagram has been drawn taking the time origin as the instant at which the fundamental flux  $\psi_{1m}$  has the peak value. Correspondingly, the fundamental applied voltage will be at its negative zero crossing and is therefore pointing upwards. Now, it has been mentioned that due to the symmetry of the inverter output voltage waveform,

it can be expressed as a Fourier series containing only sine terms, i.e., the zero crossings of fundamental coincide with the zero crossings of the harmonics. Therefore the harmonic voltages  $V_5$  and  $V_7$  should also be at there negative zero crossings. However,  $V_5$  is a negative sequence component and rotates in the clockwise direction. Therefore the phasor of the  $V_5$  points downwards. Once the voltage phasors are drawn, corresponding flux phasors can be located at a lag angle of  $90^\circ$  with respect to the voltage, taking the direction of rotation into account.

For each harmonic flux, the rotor induced *emf* lags by  $90^\circ$ . Also at the harmonic frequencies, rotor leakage reactance dominates over the resistance and therefore the rotor can be taken to lag the induced voltage by  $90^\circ$ . The currents  $I_{r5}$  and  $I_{r7}$  are drawn in Figure 4.11 taking into account the above considerations. The diagram of Figure 4.11 can be given a clockwise rotation at a speed  $w_s$ , thereby making the fundamental quantities stationary. The resulting diagram is shown in Figure 4.12.

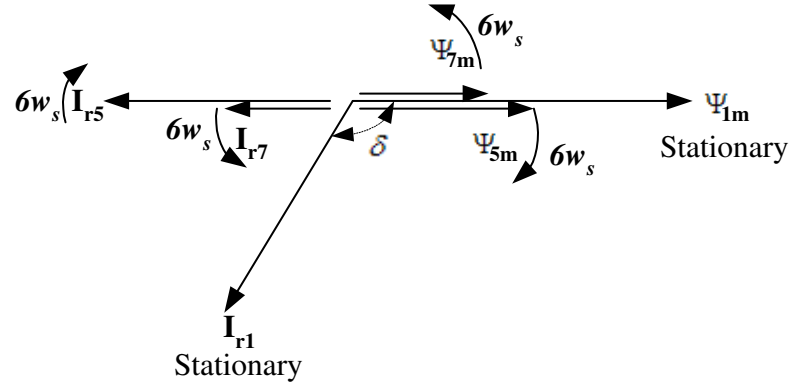


Figure 4.12: Phasor diagram with stationary fundamental quantities

From the Figure 4.12, it is clear that  $I_{r5}$  and  $I_{r7}$  are rotating with respect to  $\psi_{1m}$  at 6 times the fundamental speed, but in the opposite direction. They will both produce torque components pulsating at 6 times the fundamental frequency  $w_s$ . These torque components can be expressed as

$$M_{d6,1} = k [\psi_{1m} I_{r5} \sin(\pi + 6w_s t) + \psi_{1m} I_{r7} \sin(\pi - 6w_s t)] \quad (4.30)$$

Similarly the flux components  $\psi_{5m}$  and  $\psi_{7m}$  will interact with  $I_{r1}$  and produce  $6^{th}$  harmonic torque pulsation. These components can be expressed as

$$M_{d6,2} = k [\psi_{5m} I_{r1} \sin(\delta - 6w_s t) + \psi_{7m} I_{r1} \sin(\delta + 6w_s t)] \quad (4.31)$$

If  $\delta$  is approximately taken as  $90^\circ$ , the total 6<sup>th</sup> harmonic torque pulsation due to all the four flux currents pairs is

$$M_{d6} = k [\psi_{1m}(I_{r5} - I_{r7})\sin(6w_s t) + (\psi_{7m} + \psi_{5m})I_{r1}\cos(6w_s t)] \quad (4.32)$$

The harmonic fluxes  $\psi_{7m}$  and  $\psi_{5m}$  are generally very small and in any case the second term adds to the first in quadrature. Therefore the 6<sup>th</sup> harmonic torque pulsation can be expressed as

$$M_{d6} = k [\psi_{1m}(I_{r7} - I_{r5})\sin(6w_s t)] \quad (4.33)$$

Thus the fundamental flux interacting the 7<sup>th</sup> and 5<sup>th</sup> harmonic currents produces 6<sup>th</sup> harmonic torque pulsation. Note that there is a cancelling effect between the contribution of the two currents. Similarly torque pulsation at the 12<sup>th</sup>, 18<sup>th</sup>, etc. harmonics are also produced, although the 6<sup>th</sup> harmonic pulsation is the predominant one. The time variation of the instantaneous developed torque in the induction machine fed by a six step inverter therefore is as shown in Figure 4.13.

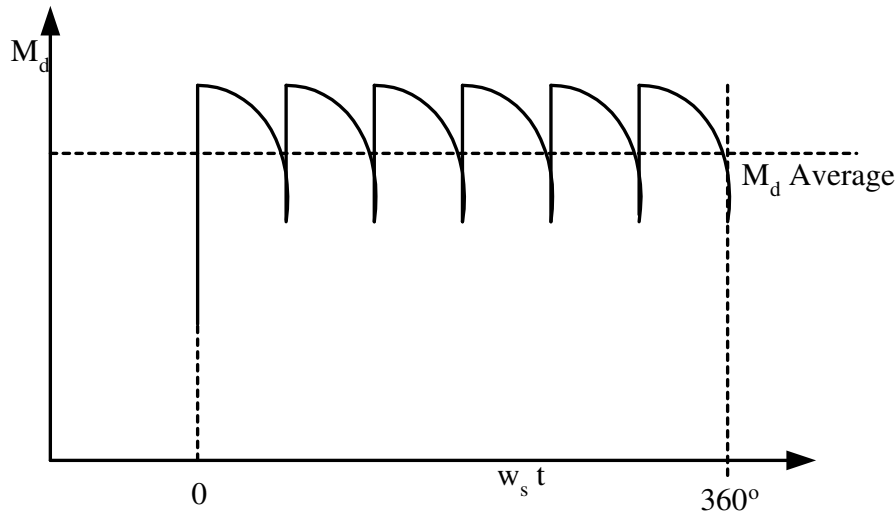


Figure 4.13: Phasor diagram with stationary fundamental quantities

It is even possible that the instantaneous torque is negative, for low values of average torque.

Such a pulsating torque may result in jerky motion of the motor, especially at low speeds (frequencies). Even at higher frequencies, where the torque pulsations are too fast for mechanical system to respond, increased stress on the shaft and bearings results.

## 4.7 REFERENCES

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# Chapter 5

## Basic Principles of Voltage Source Inverters

### 5.1 Single Phase Inverters

The circuit diagram of a single phase voltage source inverter, along with the gating signals for the power switches, is shown in Figure 5.1 and 5.2 respectively. The switches may be any one of the devices considered so far, namely BJTs, MOSFETs, IGBTs, or GTOs.

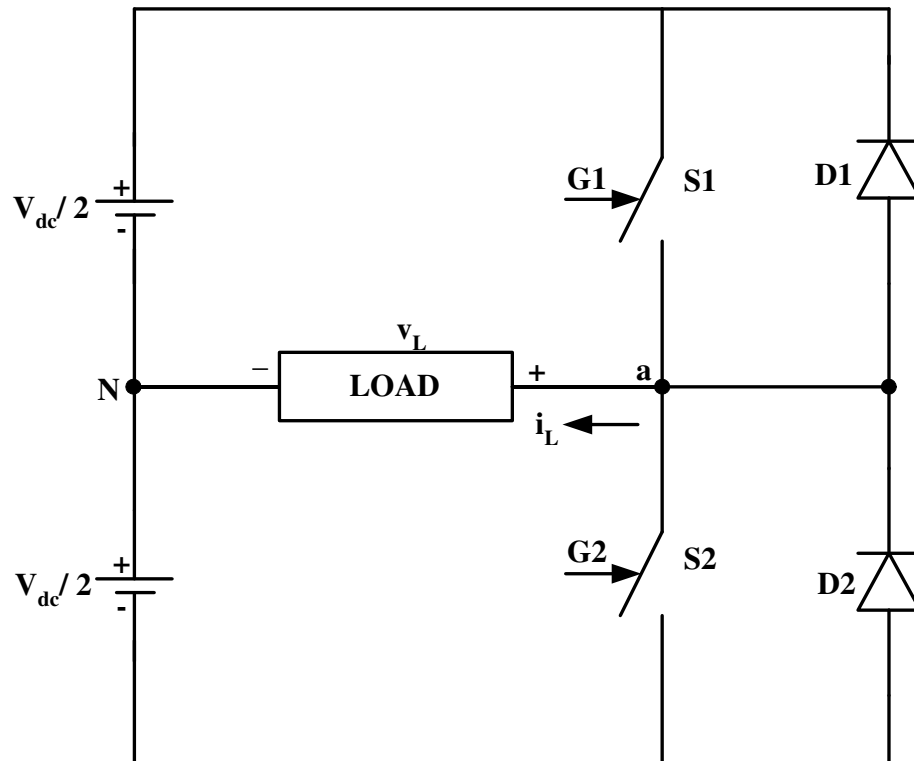


Figure 5.1: Circuit diagram of single phase voltage source inverter

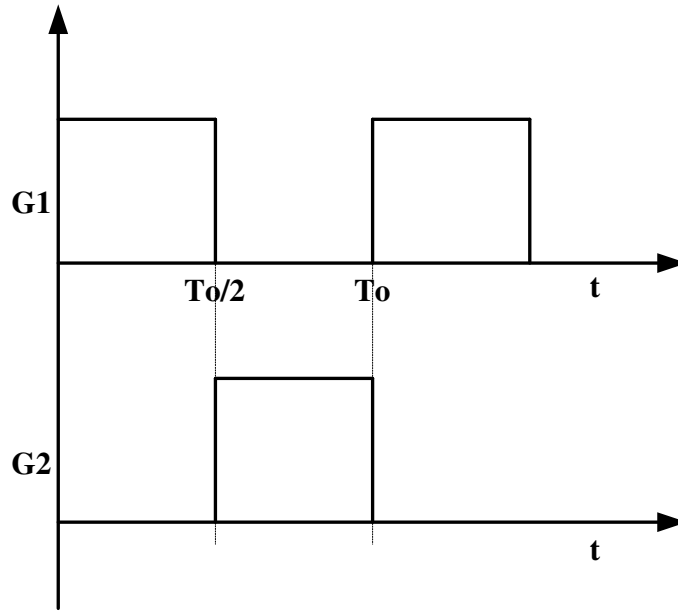


Figure 5.2: Gating signals for single phase voltage source inverter

The gating signals shown are such that each switch receives a gate pulse for half the period of the output frequency. The gating signals are complementary, i.e. at no instant of time do both the switches receive gating pulses. Also, there is no instant of time at which neither of the devices receives a gating pulse. These two features are characteristic of voltage source inverters. If the load current is continuous, as it usually is especially in motor drives, the output voltage  $V_L = V_{ON}$  of the inverter is entirely decided by the gating pulses, irrespective of the polarity of the load current  $i_L$ , i.e. irrespective of the load power factor. This can be explained as follows.

If  $S_1$  is receiving a gating pulse at a particular instant of time, then  $S_2$  will not receive a gating pulse and will be off. The diode  $D_2$  becomes reverse biased as  $S_1$  is gated ON. Therefore, only  $S_1$  or  $D_1$  can conduct current. If the load current is positive it will flow through  $S_1$ , otherwise through  $D_1$ . Thus irrespective of the load current polarity, the voltage  $V_{ON} = +\frac{V_{dc}}{2}$ .

Thus, provided the load current is continuous, the output voltage of a voltage source inverter can be decided purely by looking at the gating signals of the switches. In the above inverter, voltage  $V_{ON}$  will be a symmetrical square wave of the amplitude  $\frac{V_{dc}}{2}$ . The output voltage  $V_{ON}$  and superimposed, sinusoidal load current for the lagging and the leading conditions are shown in Figure 5.3 and 5.4 respectively. The sequence in which the four devices conduct is also indicated in each case.



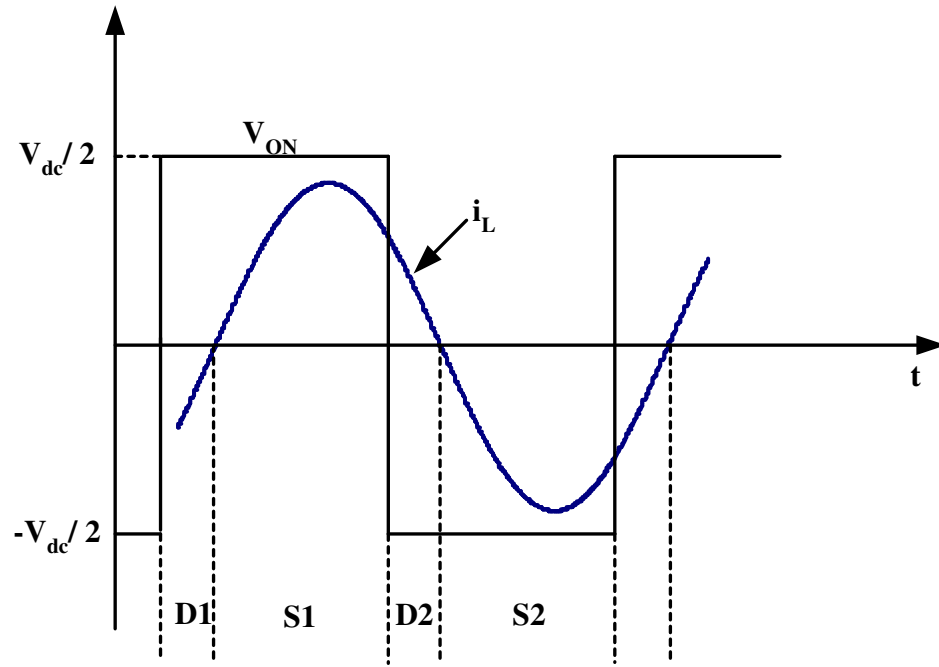


Figure 5.3: Inverter output voltage and current for lagging load

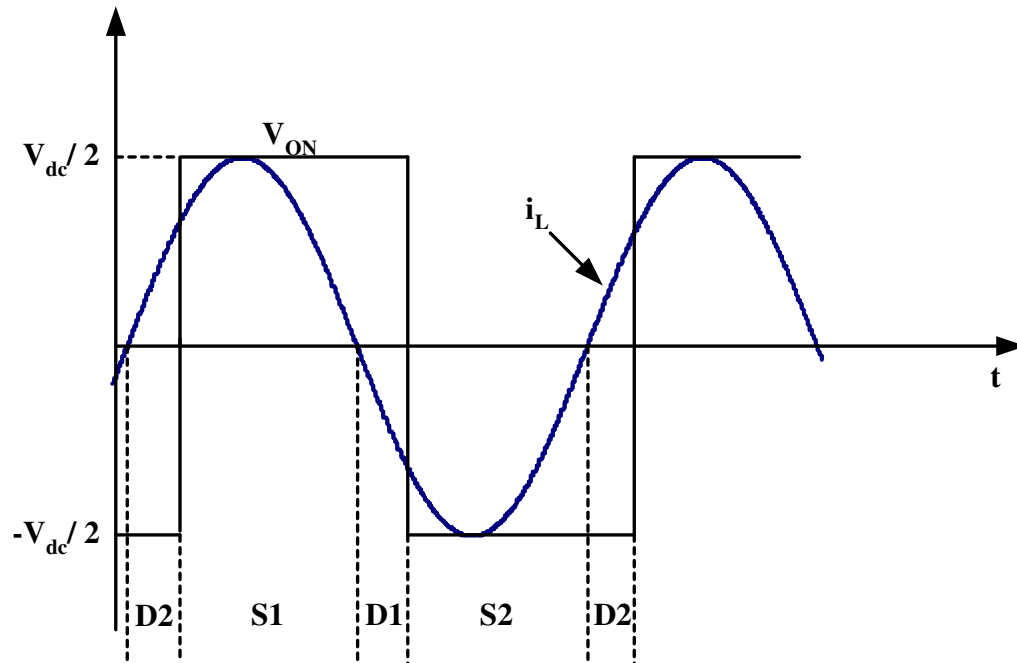


Figure 5.4: Inverter output voltage and current for leading load

For inductive loads, i.e. lagging loads, the sequence of conduction of devices is such that each of the switches  $S_1$  and  $S_2$  takes over current from its own antiparallel diode, whereas each diode takes over current from the complementary switch. Thus even though the switches receive the gating pulses at the transition of the voltage, current transfers into them only later and in a smooth fashion as decided by the load inductance. By the same token, the decay of current in the diodes also takes place in a smooth fashion, the  $\frac{di}{dt}$  is being limited by the load inductance. Therefore there is no need for inductances to limit the  $\frac{di}{dt}$  and consequently there is no associated energy loss. At turn-off of the switches, current transfers from switch to the complementary diode. Turn-off snubbers, in the form of capacitors across each switch are therefore required in order to limit the  $\frac{dv}{dt}$ . However, it can be verified that the charging and discharging of these capacitors is accomplished by the load current itself, i.e. the energy stored in these capacitors is not lost.

On the other hand, for leading loads, it can be seen that each switch takes over current from the opposite feedback diode. In the absence of  $\frac{di}{dt}$  limiting, large reverse recovery currents occur in the diodes and these current peaks will have to be handled by the switches on top of the load current. The energy stored in the  $\frac{di}{dt}$  snubber is transferred to the turn-off  $\frac{dv}{dt}$  snubber at the time of turn-off and causes overvoltages across the switch turning off, in addition to energy loss at each switching.

With the above method of gating, it is seen that the output voltage of the inverter is a symmetrical square wave. Therefore, this is referred to as the square wave mode of operation. The output voltage can be resolved into various frequency components by Fourier analysis and expressed as

$$V_{ON}(t) = \sum_{n=1(n \text{ odd})}^{\infty} \frac{4}{\pi} \frac{V_{dc}}{2} \frac{1}{n} \sin(nwt) \quad (5.1)$$

where  $w$  is the angular frequency given by

$$w = \frac{2\pi}{T} \quad (5.2)$$

The amplitude of the fundamental

$$= \frac{4}{\pi} \frac{V_{dc}}{2} \quad (5.3)$$

The various harmonics have amplitudes which are inversely proportional to their order. Because of the fact that the square wave possesses quarter wave and half wave symmetries, the Fourier series contains only odd *sine* terms. Note that the frequency of the output voltage can be changed by changing the frequency of the gating signals. However, the amplitudes of the output voltage is proportional to the  $V_{dc}$  and can be changed only by changing  $V_{dc}$ . The  $dc$  voltage is usually taken from the  $ac$  mains through a simple diode rectifier. If the  $V_{dc}$  is to be variable, the rectifier has to be a controlled converter or alternatively has to be followed by a chopper to vary the  $dc$  voltage input to the inverter.

## 5.2 Three Phase Voltage Source Inverter

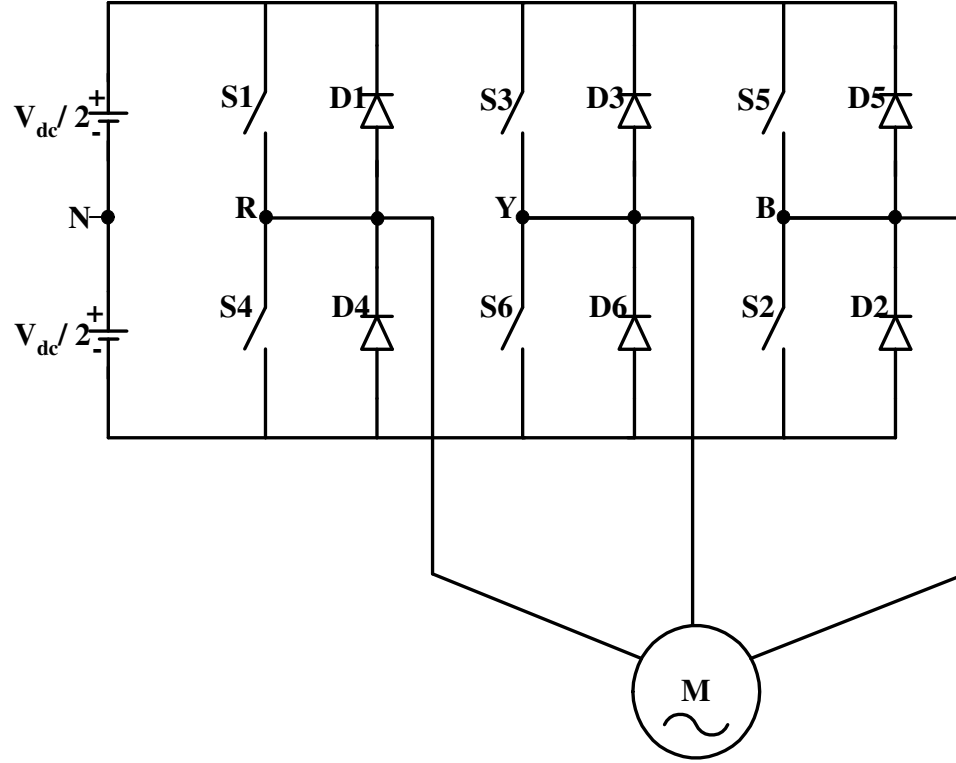


Figure 5.5: Three phase half bridge inverter

A three phase inverter can be realized by using three single phase inverters and arranging the there gating signals to be phase shifted by  $120^\circ$  with respect to one another. The center-tap of the  $dc$  supply does not have to be physically accessible, although it is convenient to speak of output voltages with respect to a fictitious center tap. Figure 5.5 shows the circuit diagram of a three phase half-bridge inverter. Note the numbering of the devices. The numbering is in the same order as the gating signals. The gating signals are shown in Figure 5.6. The three output voltages  $V_{RN}$ ,  $V_{YN}$  and  $V_{BN}$  of the three phases are as shown in Figure 5.7. Note that these are the voltages of the phases with respect to the imaginary center-tap of the  $dc$  supply. From these voltages, the line voltages  $V_{RY}$ ,  $V_{YB}$  and  $V_{BR}$  of the motor can also obtained as follows.

$$\begin{aligned}
 V_{RY} &= V_{RN} - V_{YN} ; V_{YB} = V_{YN} - V_{BN} ; \\
 V_{BR} &= V_{BN} - V_{RN}
 \end{aligned} \tag{5.4}$$

These voltages are also indicated in Figure 5.7.

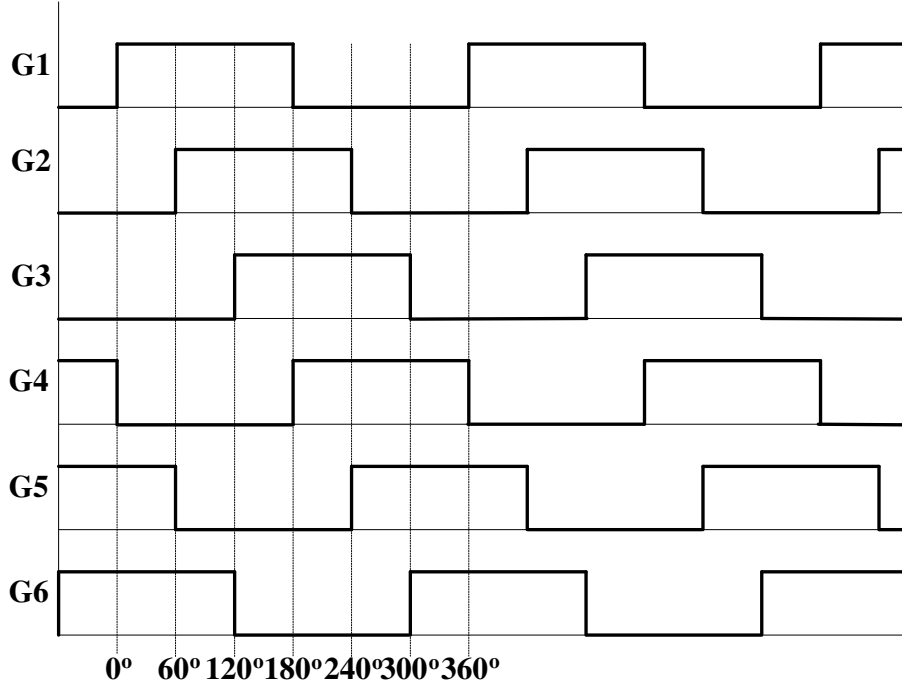


Figure 5.6: Gating signals of the three phase inverter

Since the phase to phase voltages are obtained as the difference between two square waves displaced by  $120^\circ$ , all the triplen harmonics are absent in these waveforms. Thus, besides the fundamental, phase to phase voltages contain only harmonics of order  $6m \pm 1$ ,  $m = 1, 2, 3, \dots$ ; of these, harmonics of order 7, 13, ....(*i.e.*  $6m + 1$ ) have the same phase sequence as the fundamental and are spoken of as positive sequence harmonics. Harmonics of order 5, 11, ...(*i.e.*  $6m - 1$ ) have the opposite phase sequence and are spoken of as negative sequence harmonics. But every harmonic component in the three phase to phase voltages forms a balanced three phase set. Taking advantage of this, phase to neutral voltages of a star-connected motor can be determined as follows.

$$V_{Rn} = \frac{1}{3} (V_{RY} - V_{BR}) \tag{5.5a}$$

$$V_{Yn} = \frac{1}{3} (V_{YB} - V_{RY}) \tag{5.5b}$$

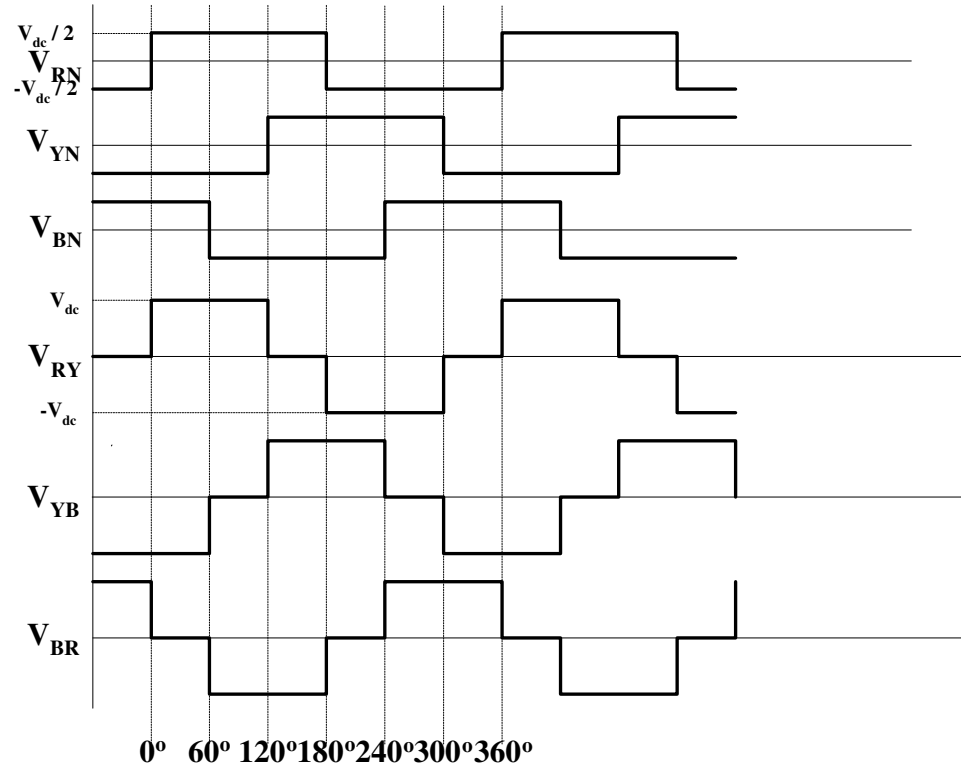


Figure 5.7: Phase to center and phase to phase voltage waveforms

$$V_{Bn} = \frac{1}{3} (V_{BR} - V_{YB}) \quad (5.5c)$$

There the letter 'n' represents the motor neutral. The waveforms of the motor phase to neutral voltages are shown in Figure 5.8.

Since these voltages have six steps in one cycle, this mode of inverter operation is referred to as six-step operation. The inverter itself is sometimes referred to as a six step inverter, although the same inverter circuit can be operated in other modes also.

The *rms* line to line voltage of the motor

$$\begin{aligned} &= \sqrt{3} \frac{1}{\sqrt{2}} \frac{4}{\pi} \frac{V_{dc}}{2} \\ &= \frac{\sqrt{6}}{\pi} V_{dc} = 0.78 V_{dc} \end{aligned} \quad (5.6)$$

The *rms* phase to neutral voltage of the motor

$$= \frac{\sqrt{2}}{\pi} V_{dc} = 0.45 V_{dc} \quad (5.7)$$

The peak phase to neutral voltage of the motor

$$= \frac{2}{\pi} V_{dc} = 0.637 V_{dc} \quad (5.8)$$

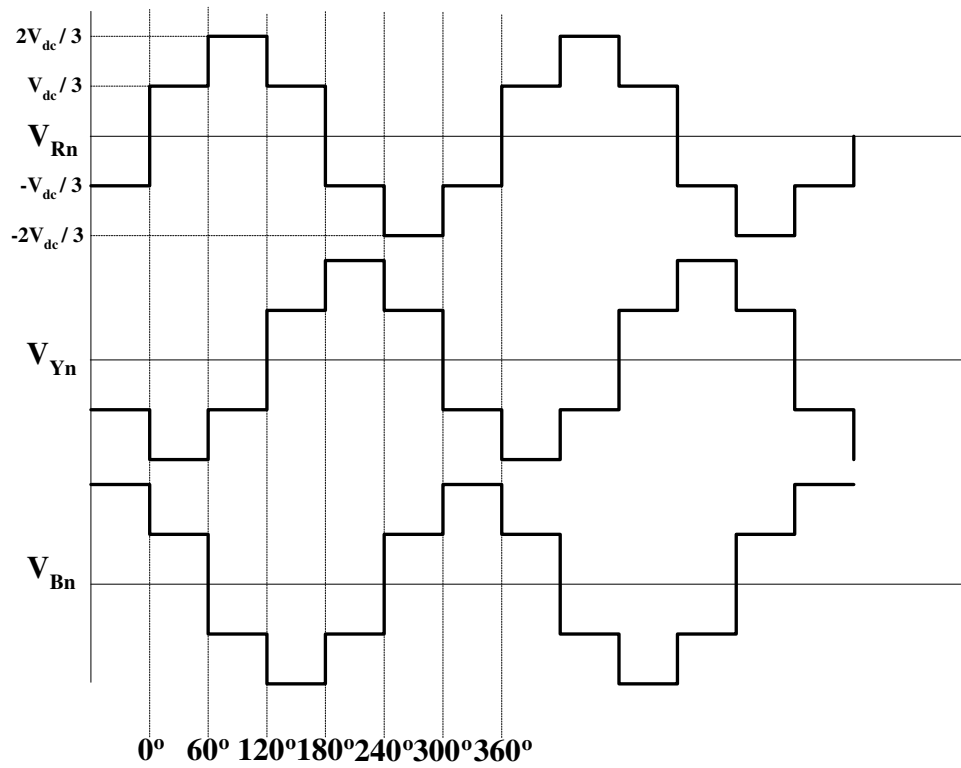


Figure 5.8: Motor phase to neutral voltages

Since the motor voltages are derived from the phase to center square wave outputs of the individual inverter phases, they contain odd harmonics of the form  $6m \pm 1$   $m = 1, 2, 3, \dots$  and the amplitude of each harmonic is inversely proportional to its order.

### 5.3 Pulse Width Modulation

The single phase inverter phase to center output voltage can only attain two possible values viz.  $\frac{V_{dc}}{2}$  and  $-\frac{V_{dc}}{2}$ . In the square wave mode of operation each output voltage prevails for a half period continuously. In this mode of operation, each switch is turned on and off only once per cycle. It is possible to operate the inverter with more than one switching per cycle and thereby modify the output voltage waveform. In any such attempt, the basic quarter wave and half wave symmetries of the square wave are usually preserved, so that the output voltage still contains only odd harmonics. Consider the output voltage waveform shown in Figure 5.9. The square wave has been modified by introducing one ‘notch’ per quarter cycle. The corresponding gate drive signals are also indicated in Figure 5.9.

It can be shown that the inverter output voltage can be expressed in terms of a Fourier

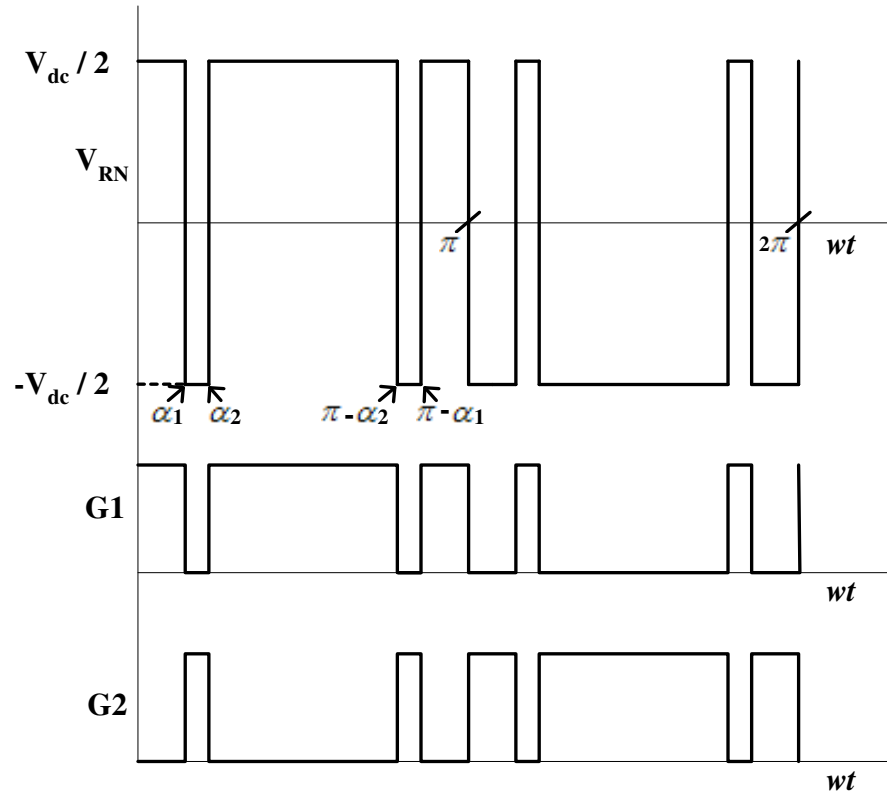


Figure 5.9: Output voltage and gating signals for pulse width operation

series as follows:

$$V_{RN}(t) = \sum_{n=1, n \text{ odd}}^{\infty} \frac{4}{n\pi} [1 - 2\cos(n\alpha_1) + 2\cos(n\alpha_2)] \frac{V_{dc}}{2} \sin(n\omega t) \quad (5.9)$$

Therefore the amplitudes of the  $n^{th}$  harmonic can be expressed as

$$V_{RN,n} = \frac{4}{n\pi} [1 - 2\cos(n\alpha_1) + 2\cos(n\alpha_2)] \frac{V_{dc}}{2} \quad (5.10)$$

The two switching angles  $\alpha_1$  and  $\alpha_2$  can be selected so as to control the amplitudes of any two harmonics. For example, they can be calculated to yield a required amplitude of fundamental and to eliminate one harmonic, which is usually the one with the lower order. But in this process, eight additional switchings have been introduced per cycle. Further, it is obvious that this method will only yield fundamental voltages of the lower amplitudes than the square wave. Therefore, for a given dc bus voltage, the maximum amplitude of the fundamental is obtained in the square wave (six step for a three phase inverter) mode. Further, with the above technique of controlling or eliminating certain frequency components, some other frequency components will increase in magnitude. The inverter is said to operate in the pulse width modulation (PWM) mode.

## 5.4 Reference

- 1) B.K. Bose, 'Power Electronics and AC Drives', Prentice-Hall.
- 2) K. Thorborg, 'Power Electronics'.

## 5.5 Questions for Tutorial

- 1) Assume that a 3-phase six step inverter is supplying a balanced 3-phase currents to a lagging load. Sketch the waveform of the dc input current to the inverter.
- 2) The line to line voltages of two 3-phase six step inverters operating at the same frequency with a phase difference of  $30^\circ$  are added (through a transformer with delta connected primaries and secondaries in series). Sketch the resulting output waveform. What techniques can be employed to cancel out the  $5^{th}$  and  $9^{th}$  harmonics in the resultant output?



# Chapter 6

## Pulse-Width Modulation Techniques

An induction motor driven by a six step inverter draws currents at the harmonic frequencies in addition to the fundamental current. Useful output power is produced by the fundamental only. The harmonics contribute to the additional copper losses in the machine and create torque pulsations. They also stress the inverter switches by way of large instantaneous current peaks. As has been pointed out, harmonics in the six-step voltage source wave are of order of  $6m \pm 1$ , the most dominant being the  $5^{th}$  (20%) and the  $7^{th}$  (14%). In addition the six step inverter requires an additional power converter to vary the  $dc$  bus voltage and the machine voltage.

In high power units, some amount of reduction in harmonics can be achieved even with the six step inverters by suitably phase shifting and adding the output voltages of two or more six step inverters. Because of the phase shift involved, the fundamentals add vectorially rather than arithmetically and some reduction in the output voltage has to be accepted. Further, control of the fundamental amplitude still requires a variable  $dc$  voltage.

With present day power switching devices, switching frequencies of between  $500Hz$  to  $1000Hz$  can be comfortably achieved even at high power ratings. Therefore, the trend is towards pulse width modulated (PWM) inverters for driving induction motors; achieving a favorable harmonic profile as well as control of fundamental amplitude are both accomplished within the inverter itself by suitably designed switching patterns. Various techniques are available for determining switching patterns. The basic principles underlying a few of these are discussed in the following.

### 6.1 Basic Motivation for PWM

The basic attempt in all PWM methods is to produce the required amplitude and frequency of the fundamental, while moving the energy in the harmonics to a higher range in the frequency spectrum. The expectation is that at such higher frequencies, even the machine leakage inductance will exhibit appreciable reactance, thereby limiting the harmonic currents

drawn from the inverter. Also, since the torque pulsations created by these high-frequency harmonics are also at high frequencies, the motor should be able to run smoothly. The constraint on the PWM process is the fact that the additional switchings per cycle are required in order to accomplish the modulation. This increases the losses in the inverter. Moreover, as the switching pattern becomes more complicated, an individual inverter phase may be required to produce pulses or notches of very small width. The switching times of the devices used in the inverter impose a limitation here.

There are basically two types of PWM implementations. In one, the switching instants are decided in real time (by either analog or digital means) by comparing a high frequency ‘carrier’ wave with a low frequency ‘reference’ or ‘modulating’ wave. The second class of PWM methods makes use of precalculated switching patterns corresponding to each possible frequency and voltage command for the fundamental. The switching pattern is calculated so as to eliminate a certain number of low order harmonics or to optimize some performance index such as the *rms* current or the *peak* current drawn from the inverter. Amongst carrier based PWM techniques the so called *sine triangle* or the *subharmonic* modulation technique is the oldest and best known. Amongst stored waveform techniques, the method of selective harmonic elimination is widely used.

## 6.2 Selective Harmonic Elimination

In this method the basic square wave produced by each inverter phase (phase to *dc* center tap voltage) is modified by the introduction of the additional switching angles  $\alpha_1, \alpha_2, \dots, \alpha_p$  in each quarter cycle. At each of these switching angles, there is a reversal of the phase to center voltage and so these angles are also referred to as angles of reversal.

The angles are introduced in such a manner that the resulting waveform possesses quarter-wave symmetry, i.e. contains no even harmonics and is antisymmetric about the  $180^\circ$  point. It can be expanded in a Fourier series containing only sine terms by suitably choosing the time origin. Note that in addition to the switchings at the angles of reversal, there are two switchings at the  $0^\circ$  point and at the  $180^\circ$  point as in the square wave. In Figure 6.1 shows some examples of the resulting phase to center voltage waveforms.

Depending on whether the number of angles of reversals are even or odd, the transition at  $0^\circ$  is either positive going or negative going respectively. The harmonic component of any such waveform can be determined by Fourier analysis. Recognizing that due to the symmetry of the waveform, it is necessary to perform integration over the interval  $0$  to  $\frac{\pi}{2}$ , the *rms* value of the  $n^{th}$  harmonic component in a waveform containing reversals at  $\alpha_1, \alpha_2, \dots, \alpha_p$  can be

written as

$$v_n = \frac{1}{\sqrt{2}} \frac{4}{\pi} \frac{V_{dc}}{2} \left| \left[ \int_0^{\alpha_1} \sin(n\theta) d\theta - \int_{\alpha_1}^{\alpha_2} \sin(n\theta) d\theta \dots - \int_{\alpha_p}^{\frac{\pi}{2}} \sin(n\theta) d\theta \right] \right|, \quad n \text{ odd} \quad (6.1)$$

In writing the above, advantage has also been taken of the fact that the series will only contain sine terms. Whether a particular interval  $\alpha_k$  to  $\alpha_{k+1}$  contributes a positive or negative integral is decided by whether the voltage is  $\frac{+V_{dc}}{2}$  or  $\frac{-V_{dc}}{2}$  during the interval. The above equation 6.1 can be evaluated as

$$v_n = \frac{0.45}{n} V_{dc} \left| [1 - 2\cos(n\alpha_1) + 2\cos(n\alpha_2) - 2\cos(n\alpha_3) \dots] \right| \quad (6.2)$$

From equation 6.2, it can be observed that each angle of the reversal constitutes a degree of freedom in controlling the amplitudes of the various frequency components. In general, in 3 phase inverters, any triplen harmonic components present in phase to center waveforms get eliminated in the phase to phase waveforms. Therefore control or elimination of triplen harmonic through switching is not attempted. Harmonics of order  $6k \pm 1$ ,  $k = 1, 2, \dots$  as well as the fundamental are only controlled.

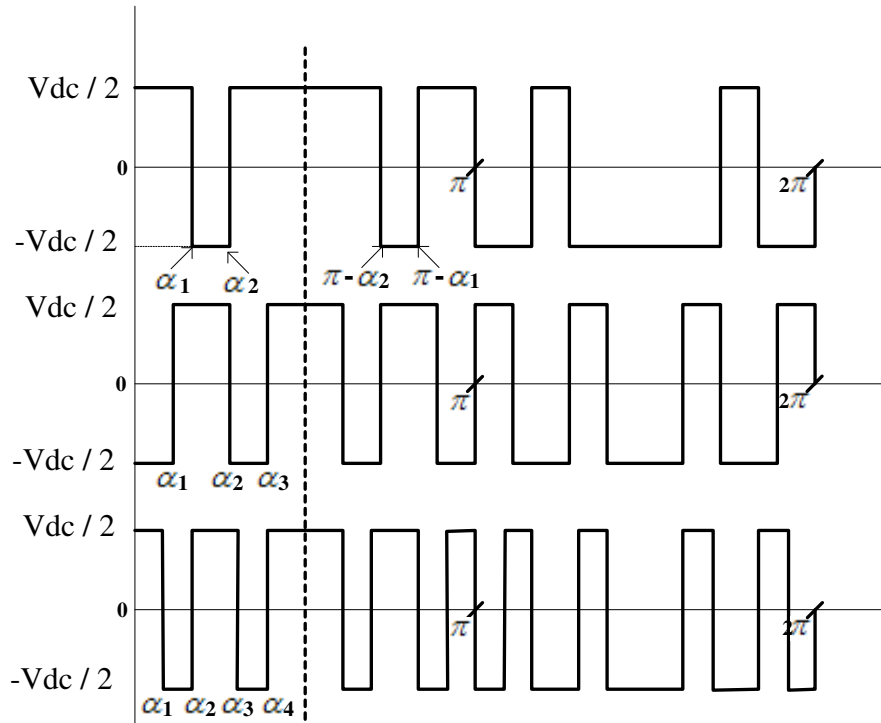


Figure 6.1: Inverter phase-center waveforms for selective harmonic elimination

As an example, consider a waveform with three angles of reversal  $\alpha_1$ ,  $\alpha_2$ , and  $\alpha_3$ . If the criterion for determining the angles is that the fundamental should have a required amplitude

and that the 5<sup>th</sup> and 7<sup>th</sup> harmonic should be eliminated, the equations for calculating the angles are:

$$\begin{aligned} 2\cos(\alpha_1) - 2\cos(\alpha_2) + 2\cos(\alpha_3) - 1 &= \frac{u_{1rms}}{0.45V_{dc}} = a \\ 2\cos(5\alpha_1) - 2\cos(5\alpha_2) + 2\cos(5\alpha_3) - 1 &= 0 \\ 2\cos(7\alpha_1) - 2\cos(7\alpha_2) + 2\cos(7\alpha_3) - 1 &= 0 \end{aligned} \quad (6.3)$$

These are nonlinear equations and have to be solved by numerically methods. Note that the angles have to be recalculated for every value of ‘a’, i.e. every value of fundamental required. Once the solution is obtained, the ratio of any of the other harmonics such as 11<sup>th</sup>, 13<sup>th</sup>, 17<sup>th</sup>, 19<sup>th</sup>, etc. to the fundamental can be expressed as

$$\frac{V_{nrms}}{V_{1rms}} = \frac{\frac{V_{nrms}}{0.45V_{dc}}}{\frac{V_{1rms}}{0.45V_{dc}}} = \left| \frac{1}{a} \frac{1}{n} [2\cos(n\alpha_1) - 2\cos(n\alpha_2) + 2\cos(n\alpha_3) - 1] \right| \quad (6.4)$$

Figure 6.2 shows the variation of  $\alpha_1$ ,  $\alpha_2$  and  $\alpha_3$  as a function of the voltage control ratio ‘a’. Figure 6.3 shows the magnitudes of the 11<sup>th</sup> and 13<sup>th</sup> harmonics of the voltage with this technique, expressed as a percentage of the fundamental, as the voltage control ratio is varied. It can be observed that while the 5<sup>th</sup> and 7<sup>th</sup> harmonics are eliminated, the amplitudes of the 11<sup>th</sup> and 13<sup>th</sup> harmonics increases over their values for the six step voltage. Thus, although the lower order harmonics may be eliminated, the amplitudes of some of the higher order harmonics increase. This is characteristic of all PWM methods.

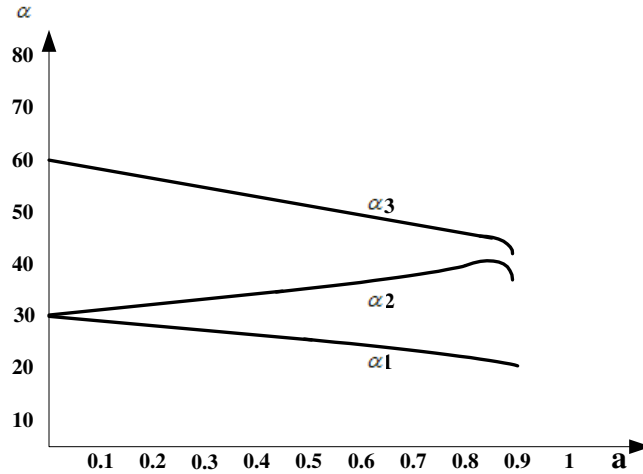


Figure 6.2: Variation of  $\alpha_1$ ,  $\alpha_2$  and  $\alpha_3$  as a function of the voltage control ratio ‘a’

A PWM circuit can be developed for a variable frequency AC motor drive by employing the above technique. The total voltage range has to be quantized into a discrete number of

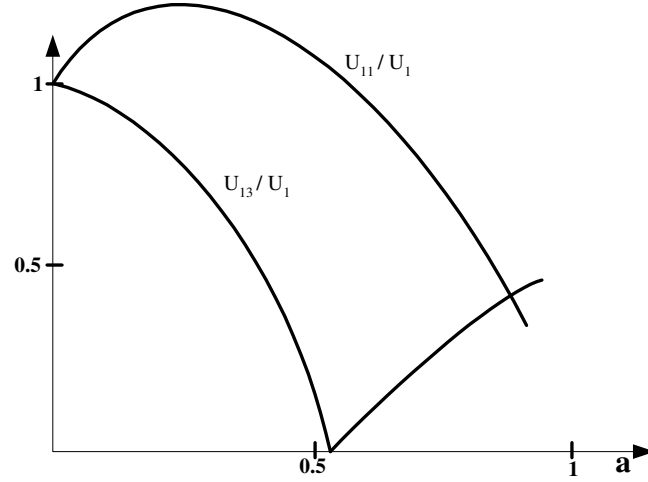


Figure 6.3: Magnitudes of the 11<sup>th</sup> and 13<sup>th</sup> harmonics of the voltage

voltage levels, the number of levels deciding the resolution. Corresponding to each voltage level, the reversal angles  $\alpha_1, \alpha_2, \dots$  have to be calculated and the resulting waveform stored in memory. Thus each voltage level for the fundamental requires one segment of memory. The length of the each segment is decided by the accuracy with which it is desired to reproduce the switching angles. The usual technique is to store the gating signals for the six inverter switches and step through the cycle using a high frequency clock. The frequency of this clock is a multiple of the fundamental frequency required. The higher the clock frequency, the more the size of the each memory segment and the better the resolution in reproducing the angles of reversal. Savings in memory size can also be effected by making use of the fact that the waveforms possess symmetry. It is sufficient to store only  $90^\circ$  of the waveform; the gating signals appropriate to the rest of the cycle can be obtained by suitable logic.

When deciding the voltage waveform for a particular value of fundamental, cognizance has to be taken of the fact that at higher voltages, the fundamental frequency will also be greater, in order to maintain the  $\frac{v}{f}$  ratio in the motor. Therefore, the number of reversals has to be gradually reduced, as the fundamental approaches the value for the six step wave. Otherwise, number of switchings per second i.e. the switching frequency may become too high for the switches. The strategy generally followed is that at low speeds corresponding to low fundamental frequency and amplitudes, a large number of reversals are incorporated in the waveform, so that a large number of low order harmonics get eliminated. Number of reversals is reduced in steps as the fundamental frequency (and hence amplitude) is increased. Ultimately, the waveform approaches the square wave mode.

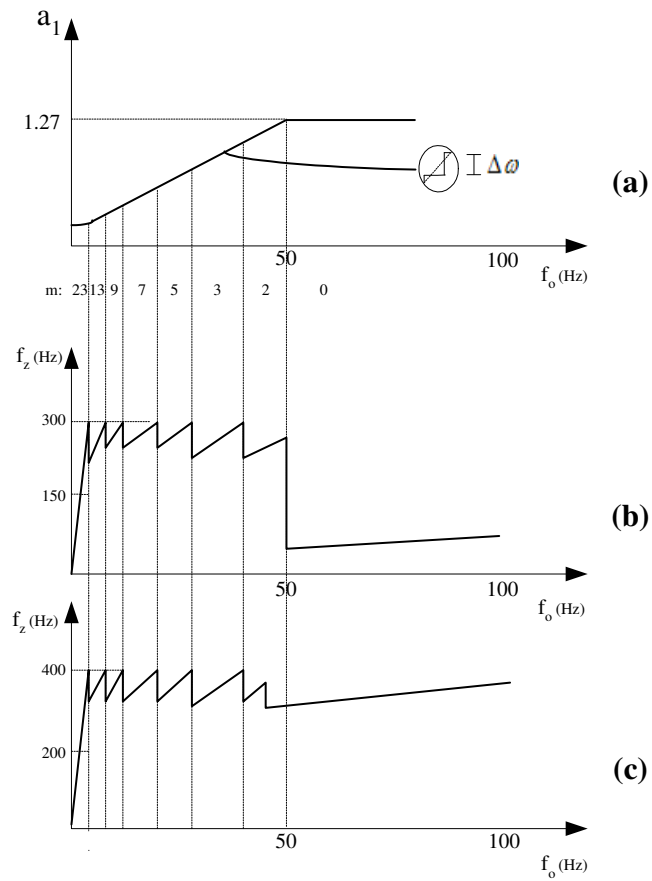


Figure 6.4: **a.**  $\frac{v}{f}$  characteristics for constant flux operation **b.** Inverter switching frequency **c.** Frequency of lower order nonzero harmonic  $f_z$

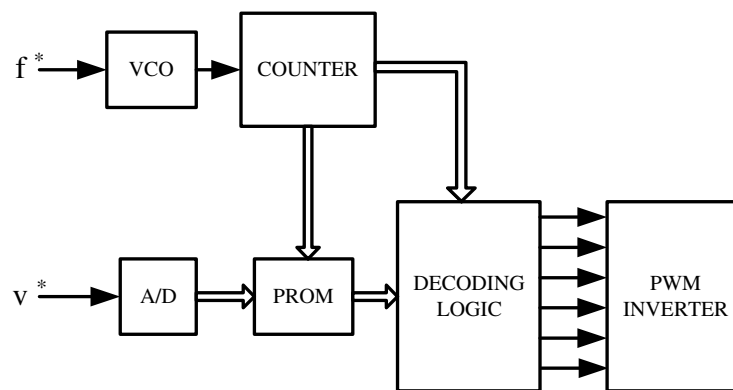


Figure 6.5: Block diagram of modulator for analog frequency and voltage command

Moreover, as the voltage control ratio ‘a’(equation 6.3) exceeds about 0.82, no solution exists for the equation 6.3. In this region, therefore, criterion of elimination of harmonics has to be replaced by some other criterion, perhaps the minimization of harmonic distortion.

The variation of the fundamental voltage, the inverter switching frequency and the frequency of the lowest order harmonic with non-zero amplitude for a modulator based on the above principles are described by Pollmann [2] and are shown in Figure 6.4.

The simplified block diagram of the modulator incorporating the stored waveform technique is shown in Figure 6.5.

The harmonic elimination technique is only one method of stored waveform PWM. Other criteria can also be employed in optimizing the gating pattern. For example, the motor current can be calculated taking into account a large number of harmonics; the voltage waveform can then be optimized to give minimum *rms* current, minimum *peak* current, minimum losses in the system, etc. Such techniques, however, require extensive computational effort and resulting voltage waveform is machine dependent.

### 6.3 Sub-Harmonic Sine-Triangle PWM

This method was first discussed extensively by Schonung and Stemmler and is the oldest of the ‘carrier’ based PWM techniques. The basis of the method can be explained as follows.

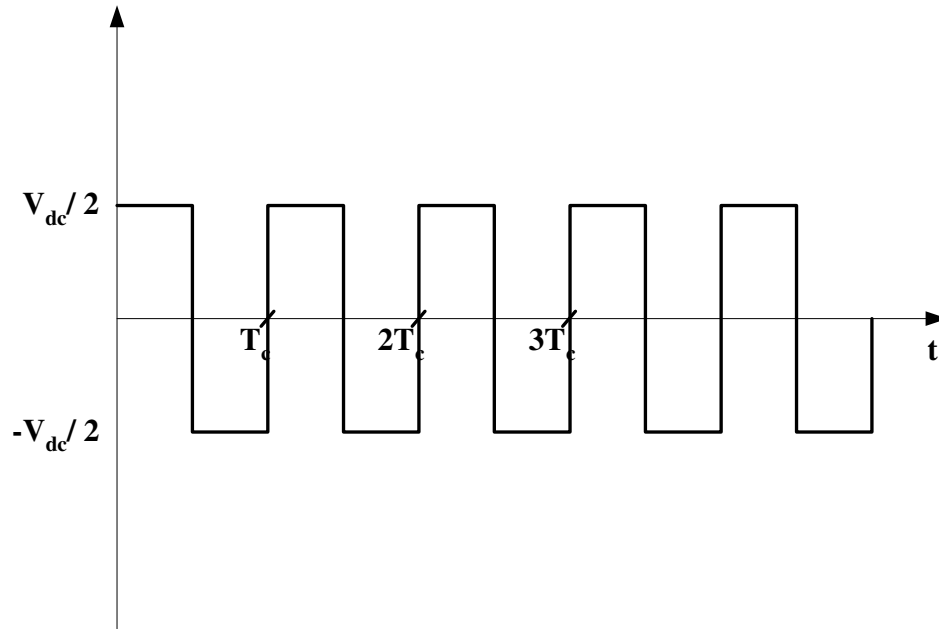


Figure 6.6: Symmetrical square wave

Consider the symmetrical square wave of voltage, with a period  $T_c$ , as shown in Figure 6.6. Such a voltage can be produced by one phase of a voltage source inverter switching at a frequency of  $f_c = \frac{1}{T_c}$ . The positive and negative volt-seconds balance in each cycle and the average value is zero. If the duty cycle of the each cycle is increased above 50%, i.e., the positive volt-seconds are more than the negative volt-seconds, the average value will be positive. Similarly, if the duty cycle reduced below 50%, average voltage will be negative. If the duty cycle is varied with time in a sinusoidal manner about the 50% level, average value of the voltage in each cycle will also vary in a sinusoidal manner with respect to time. The frequency at which the duty cycle is varied or ‘modulated’ is referred to as the modulating frequency  $f_m$ . The modulated waveform contain besides the component at the modulating frequency, other components in the neighborhood of the pulse frequency  $f_c$ . If the  $f_m$  is small compared to  $f_c$ , unwanted components will be far removed from the wanted frequency  $f_m$ . Such a waveform can therefore can be used to feed an induction motor. The modulating frequency  $f_m$  is the fundamental and the other components are the unwanted harmonics. Since these harmonics are at frequencies well away from the fundamental, the currents at these harmonics should be small.

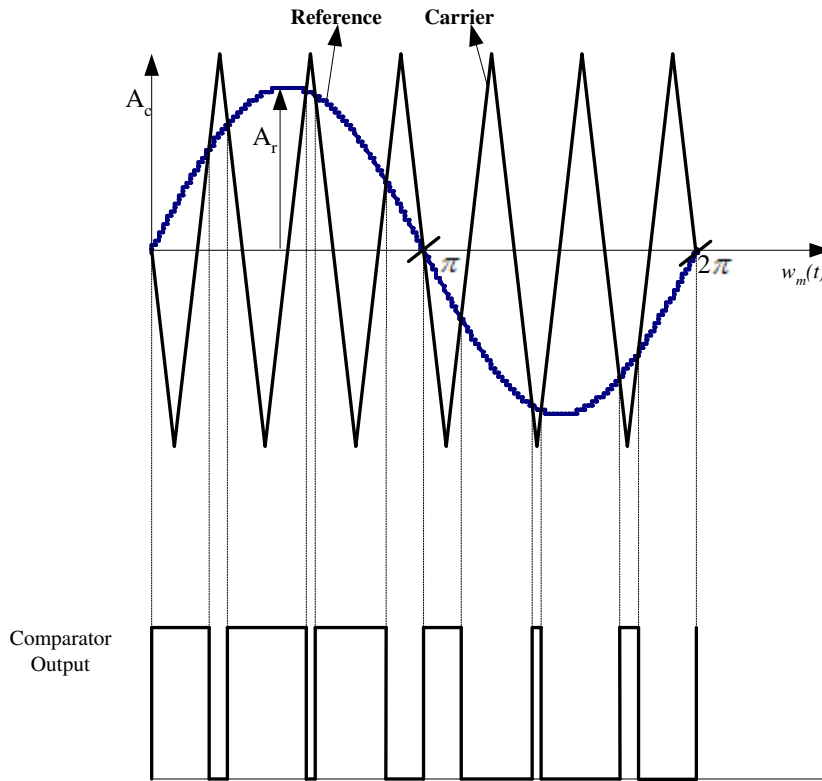


Figure 6.7: Carrier, reference and comparator outputs



The gating pulses for the inverter switches are generated by the following technique. A constant amplitude triangular waveform at frequency  $f_c$  is generated. This is referred to as the carrier waveform. This carrier waveform is then compared with a sinusoidal waveform at the modulating frequency  $f_m$ . The amplitude of the sinusoidal waveform is less than that of the triangle. The sine-wave is known as the reference waveform. The carrier, the reference and the output of the comparator are shown in Figure 6.7.

The comparator gives a 1 output whenever the reference wave is greater than the carrier wave and 0 output whenever the carrier is greater than the reference. The 1's are used as gating pulses for the top switch and 0's for the bottom switch. In this manner the phase to center-tap output voltage of the inverter will be the desired modulated square-wave.

The carrier has an amplitude  $A_c$  and the reference wave an amplitude  $A_r$ . The ratio of the reference to carrier amplitudes is defined to be the modulation index  $m$ .

$$\text{i.e. } m = \frac{A_r}{A_c} \quad (6.5)$$

By varying  $A_r$  while keeping  $A_c$  constant, i.e. by varying the modulation index, the amplitude of the fundamental component in the output voltage can be varied. Similarly by varying the frequency of the sine waves, the fundamental frequency in the output can be varied.

There are several significant features to be noted in Figure 6.7. First of all, one period of the reference waveform is shown to contain an integral number of cycles of the triangles (9 in Figure 6.7). By this means, synchronization between carrier and reference is achieved, i.e. every cycle of the inverter output waveform will be the same. This feature is essential if the number of triangles per cycle of the reference, i.e., the frequency ratio  $\frac{f_c}{f_r}$ , is less than about 21. Otherwise, adjacent cycles of the inverter output voltage waveform will differ from one another and their differences will repeat periodically at a frequency lower than that of the reference, i.e. sub-harmonic or beat frequency components will begin to appear in the inverter output.

Moreover, in three-phase inverters, gating patterns have to be generated for three inverter phases. This is accomplished by employing a common carrier waveform and three sinusoidal waveforms having identical amplitude and frequency, with mutual phase displacement of  $120^\circ$ . In this case, in order to ensure that the output voltage waveforms produced by each inverter phase is the same, it has to be ensured that there are integral number of triangles in  $120^\circ$  of the reference waveforms. Therefore the carrier waveform  $f_c$  has to be made a multiple of three times the reference frequency  $f_r$ . It has been shown that the unwanted harmonic frequency components in the inverter output voltage waveform occur at frequencies  $Nf_c \pm Mf_r$ , where  $M$  and  $N$  are integers and  $N + M$  is odd. Therefore, there occur bands

of harmonics around each multiple of the carrier frequency  $f_c$  as follows:

$$\begin{aligned} f_c, f_c \pm 2f_r, f_c \pm 4f_r \dots & \text{first band} \\ 2f_c, 2f_c \pm f_r, 2f_c \pm 3f_r \dots & \text{second band} \\ 3f_c, 3f_c \pm 2f_r, 3f_c \pm 4f_r \dots & \text{third band} \end{aligned}$$

The amplitudes are largest for the first band and decrease progressively as further bands

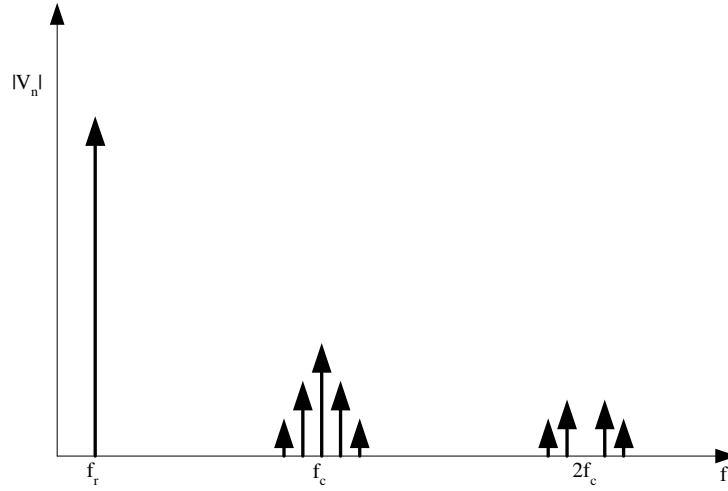


Figure 6.8: Output voltage spectrum with sine triangle modulation

are considered. A typical spectrum of the inverter output voltage is shown in Figure 6.8.

The component at  $f_c$  is a triplen harmonic and gets cancelled in the inverter line voltages. Moreover, by selecting  $f_c$  to be an odd multiple of  $f_r$ , it can be ensured that no even harmonics appear in the output. The necessity for keeping the carrier and the reference synchronized implies that as the reference frequency is changed, in a variable frequency drive,  $f_c$  also has to change correspondingly to keep the ratio  $\frac{f_c}{f_r}$  constant. Also, as the amplitude of the *sine* wave reference is increased, some of the pulses and notches in the inverter gating pulses becomes very narrow and may be difficult to reproduce accurately in the inverter. The situation where the *sine* and the *triangle* amplitudes are equal i.e. modulation index  $m = 1$ , represents the maximum value of the fundamental voltage in the output. It is easy to see that the amplitude of the fundamental component of the inverter output voltage in this case is given by

$$V_{1peak} = \frac{V_{dc}}{2} \quad (6.6)$$

Therefore,

$$V_{1rms,m=1} = \frac{1}{\sqrt{2}} \frac{V_{dc}}{2} = 0.35V_{dc} \quad (6.7)$$

This can be compared to the maximum value of  $0.45V_{dc}$  for square wave operation

Therefore,

$$\frac{V_{1rms,m=1}}{0.45V_{dc}} = 0.78 \quad (6.8)$$

Therefore the maximum *rms* value of the fundamental component that can be obtained with *sine triangle* modulation is only 78% of the value corresponding to the *square wave* operation. If the amplitude of the *sine* is increased further, the *sine* will not intersect the triangle during some of the carrier cycles, i.e. some of the pulses starts disappearing. This type of operation is referred to as *overmodulation*. In overmodulation, low frequency components such as the 5<sup>th</sup>, the 7<sup>th</sup>, etc. begin to appear in the inverter output voltage.

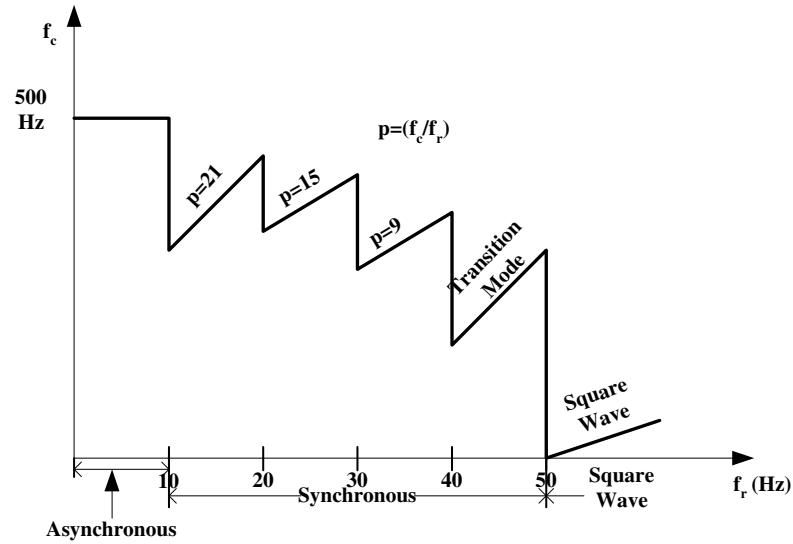


Figure 6.9: Profile of switching frequency with respect to reference frequency

While designing a modulator for a variable frequency AC drive based on the *sine triangle* modulation, the characteristic features mentioned above have to be kept in mind. Generally, maintaining a constant frequency ratio  $\frac{f_c}{f_r}$  is not feasible, especially in high power drives where the switching times of the devices are large. As the fundamental frequency  $f_r$  is increased, the switching frequency may become unmanageably large. The ratio  $\frac{f_c}{f_r}$  has to be made variable and should be progressively reduced as the fundamental frequency is increased. At the same time, the ratio should be kept a multiple of three and synchronization between

reference and carrier waveforms should be maintained. Figure 6.9 shows a typical profile of carrier frequency as a function of reference frequency.

At low reference frequencies below 10Hz, asynchronous PWM is used i.e. the carrier frequency is kept constant and the carrier and the reference waveforms are not synchronized. As the carrier to reference frequency ratio is high (more than 50) over this range, adverse effects due to beat frequencies are negligible. Beyond 10Hz, synchronous PWM is used, i.e. the carrier frequency is made a multiple of the reference frequency and *sine* and *triangles* are synchronized. To begin with the frequency ratio of  $P = 21$  is used until  $f_r = 20\text{Hz}$ . The maximum switching frequency over this range is therefore 420Hz. Similarly frequency ratios of 15 and 9 are used over the ranges 20-30Hz and 30-40Hz. As the frequency  $f_r$  increases, the modulation index also has to be increased correspondingly to keep the  $\frac{v}{f}$  ratio constant. It has already been pointed out that the maximum *rms* value of the line to line voltage that can be attained is only  $0.78V_{dc}$  for operation in the sine-triangle mode. Therefore at a frequency of about 40Hz the amplitude of the sine wave reference and the triangular carrier becomes equal.

As the reference amplitude approaches the carrier amplitude, some of the transitions in the inverter voltage waveform occur very close to each other; resulting pulse or notch widths demanded by the control circuit may be too small to be reproduced by the power circuit. Therefore some kind of logic to limit the minimum width of the pulses and the notches becomes necessary. Two approaches are possible. Pulses below the minimum width can be extended to the minimum width or can be dropped altogether.

As the reference frequency is increased from 40 to 50Hz therefore, the pulse pattern should gradually get transformed from the sine-triangle to square wave mode. The inverter works in a transition mode of PWM over this frequency range. This mode can be obtained in several ways: (a) by using a modified carrier waveform [4], (b) by using so called level interactions method [5], (c) by resorting to stored waveform over this frequency range. The optimization of the pulse pattern over this frequency range may be done by either taking the *rms* current or the peak current as the performance criterion and requires some computation.

## 6.4 Current Regulated PWM

In AC drives applications it is necessary to control the stator current of the motor rather than the stator voltage. If the inverter uses any of the PWM methods described above, it acts as the voltage source only and current control has to be achieved by closing a current loop around the inverter. An alternative technique is the so-called *current regulated* PWM technique. This method, is suitable for inductive loads such as motors. In this method, instantaneous stator current is compared with the reference current waveform, usually sinusoidal. Suppose

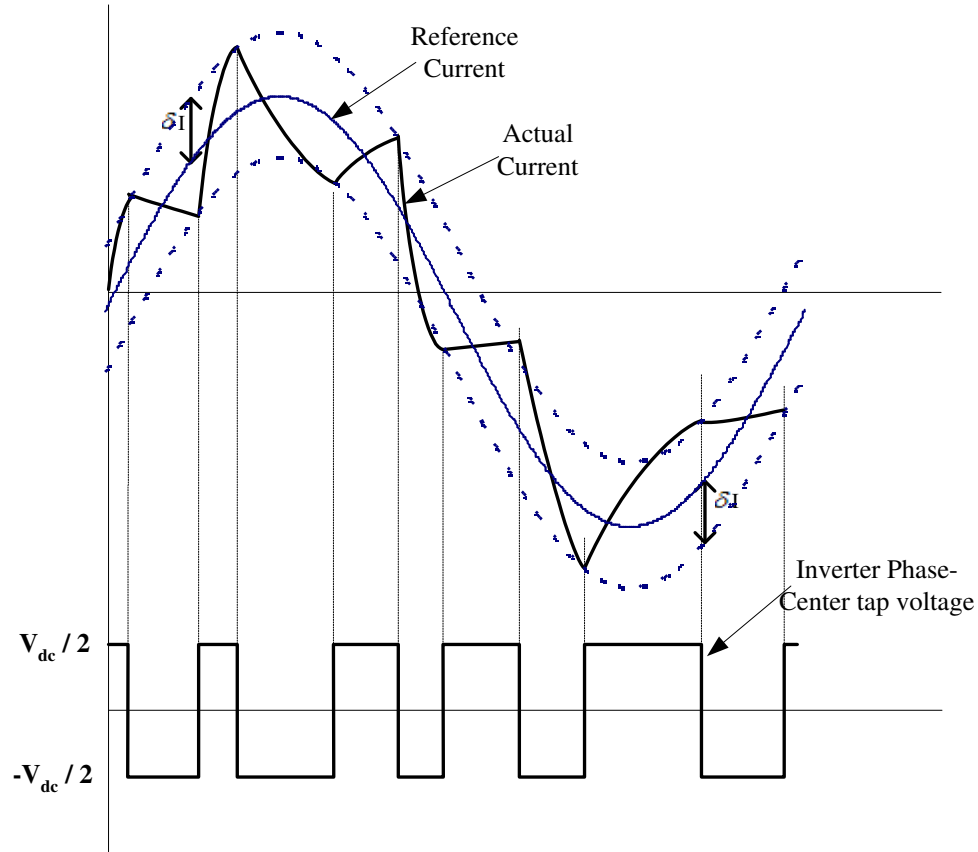


Figure 6.10: Current and voltage waveforms for current regulated PWM

that the top switch of the inverter phase is gated on, the phase to center tap voltage of that phase will then be  $+\frac{V_{dc}}{2}$ ; this voltage will force the machine current to rise. When the current exceeds the reference by a margin  $\delta I$ , gating pulse of the top switch is turned off and the bottom switch is gated on. The current now begins to fall. When the current falls below the reference by  $\delta I$ , the bottom switch is turned off and the top switch is again turned on. Typical load current and inverter phase to center tap voltage are shown in Figure 6.10.

The switching instants of the inverter are therefore decided by the current margin  $\delta I$  and the load impedance. The switching frequency of the inverter is therefore not constant in this technique and this may not be acceptable in some of the applications. Also a current sensor with the good bandwidth is required to accurately reproduce the load current waveform.

In drive applications, the load on the inverter consists of a *back emf* corresponding to the airgap voltage of the rotor, in series with the stator resistance and inductance. As the

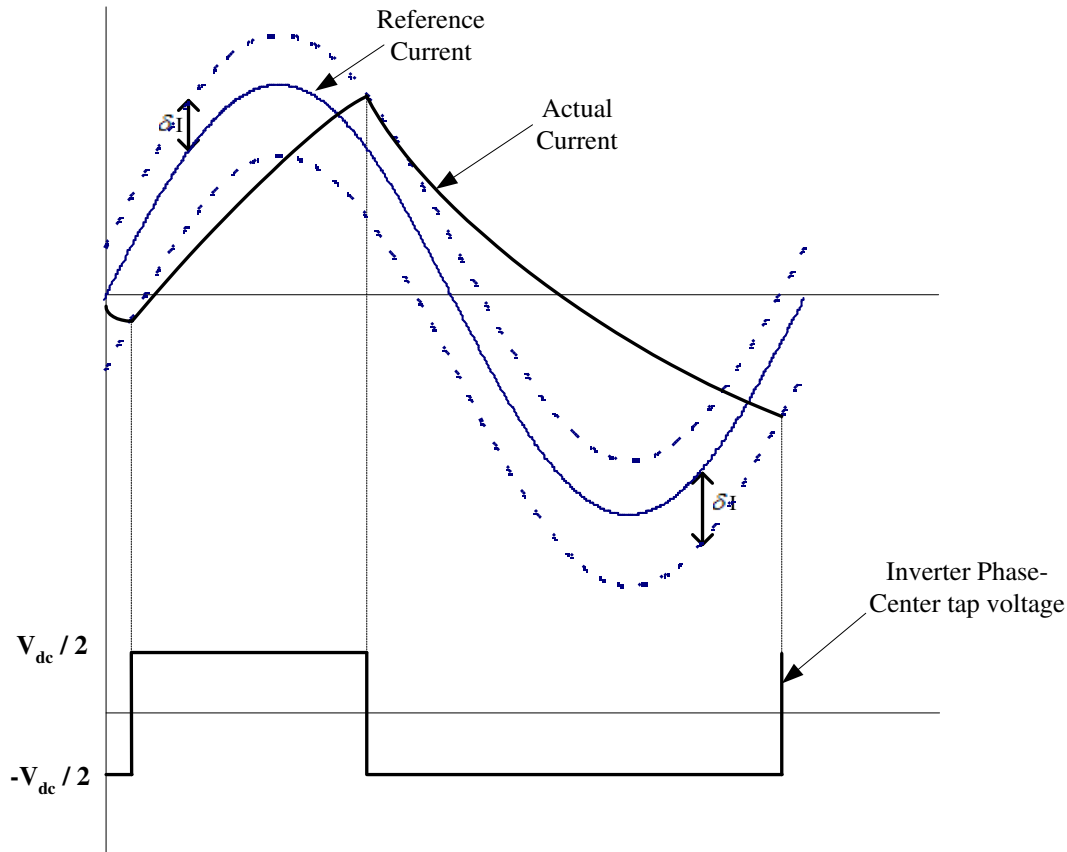


Figure 6.11: Current and voltage waveforms for current regulated PWM at high motor speeds

speed of the motor increases, the *back emf* also increases, once the flux is almost constant. Therefore at high speeds, *back emf* may approach the bus voltage and the inverter may not be able to force the current into the stator. As a result, the stator current will not follow the reference accurately. Figure 6.11 shows the possible current and voltage waveforms corresponding to this situation. The inverter is now operating in the square wave mode and the actual current no longer reproduces the reference.

## 6.5 Disucssion

Several variations on the PWM techniques discussed above are possible. As has been already pointed out, stored waveform PWM modulators can use some inertia other than harmonic elimination for optimizing the pulse patterns. Amongst the carrier based PWM modulators also, several variations are possible. For example, instead of a sinusoidal reference waveform,

a trapezoidal reference can be used. Although this introduces some amount of the low frequency harmonics such as the 5<sup>th</sup> and the 7<sup>th</sup> in the output voltage, the technique is amenable to implementation through software and is suitable for  $\mu p$  based modulators. Yet another variation is the so called ‘regular sampled’ or ‘True’ sinusoidal PWM. In this method, the fundamental period of the output voltage is divided into equal intervals (the number of intervals = the number of pulses per cycle). The pulsewidth in each interval is made proportional to the amplitude of the reference sine wave at the midpoint of the interval. This method is also suitable for fully digital implementation. Whatever be the PWM technique used the basic considerations are the minimization of the torque pulsations, the reduction of *rms* current drawn from inverter, and the minimization of the peak current drawn from the inverter without appreciably increasing the losses either in the inverter or in the machine.

## 6.6 References

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# Chapter 7

## Simple Drive Schemes for Inverter Fed Induction Motors

It has been shown that the torque developed in an induction motor is proportional to the square of the flux and is also proportional to the rotor(or slip) frequency  $w_r$ . Therefore, in order to obtain the full torque capability of the motor at any speed, the flux must be maintained at the rated value. If speed control is attempted by varying the stator voltage, keeping the frequency constant, flux and consequently torque capability of machine get drastically reduced. The torque speed characteristics under this method of control are shown in Figure 7.1.

Since the stator frequency is constant, the synchronous speed remains the same in all cases. As the voltage is reduced, the peak torque ability of the motor gets reduced. Although speed is controlled, the motor operates at high values of slip and high currents. This type of control is thus not very efficient and is only used sometimes with the fan type load, whose torque demand comes down with speed. In order to preserve the torque capability of the motor at all speeds over the control range, the preferred method is variable frequency control.

### 7.1 Variable Frequency Control

Consider the equivalent circuit of the induction motor, reproduced in Figure 7.2. The rotor current  $I_r$  is given by

$$I_r = -\frac{V_m}{\frac{R_r}{s} + jw_s L_{lr}} \quad (7.1)$$

The airgap power

$$P_{ag} = 3|I_r|^2 \frac{R_r}{s} \quad (7.2)$$

$$\begin{aligned} &= 3 \frac{V_m^2}{\left(\frac{R_r}{s}\right)^2 + (w_s L_{lr})^2} \frac{R_r}{s} \\ &= 3 \frac{V_m^2 s}{R_r^2 + (w_r L_{lr})^2} R_r \end{aligned} \quad (7.3)$$

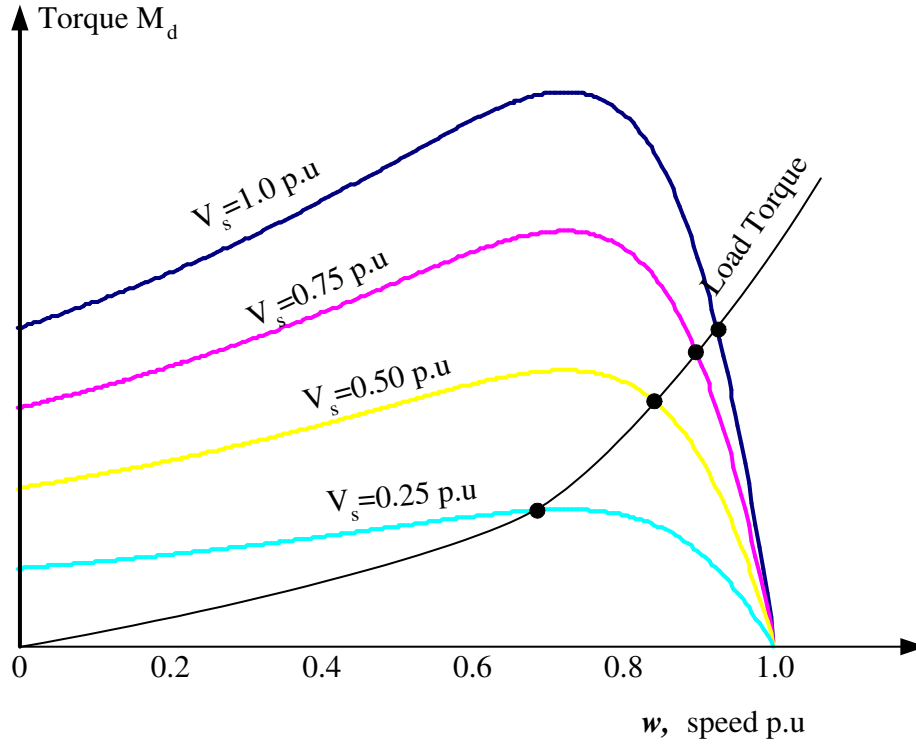


Figure 7.1: Torque-speed characteristics with stator voltage control.

The output torque

$$\begin{aligned}
 M_d &= \frac{P_{ag}}{\text{synchronous speed in Mech rad/sec}} \\
 &= 3 \frac{P}{2} \frac{1}{w_s} \frac{V_m^2 s}{R_r^2 + (w_r L_{lr})^2} R_r \\
 &= 3 \frac{P}{2} \left( \frac{V_m}{w_s} \right)^2 \frac{w_r}{R_r^2 + (w_r L_{lr})^2} R_r \quad (7.4)
 \end{aligned}$$

The above equation implies that the developed torque of the machine will depend only on  $w_r$ , irrespective of the stator frequency  $w_s$ , provided the ratio  $\frac{V_m}{w_s}$  is kept constant. This ratio is nothing but the amplitude of the airgap flux. Thus by keeping the airgap flux constant, and varying the stator frequency  $w_s$ , a family of torque speed curves can be obtained for the motor as shown in Figure 7.3. This method of speed control is referred to as constant flux control. Note that constant flux control is only possible up to rated voltage and frequency. For further increase in the frequency beyond rated frequency, if the voltage be increased proportionally this would exceed the rating of the machine. Therefore, beyond the rated

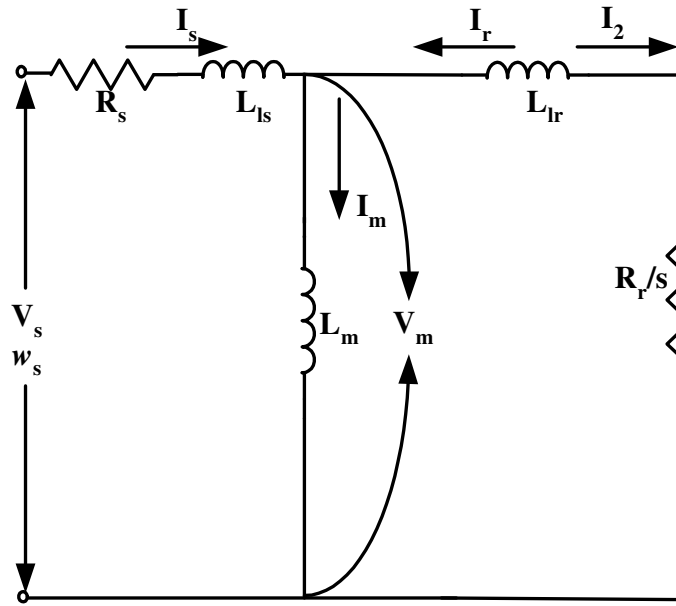


Figure 7.2: Equivalent circuit of induction motor.

frequency, the peak torque ability of the motor decreases as the ratio  $\frac{V_m}{w_s}$  is less than the rated value. This region of operation is referred to as the field weakening region.

It can be seen that any load torque demand within the capability of the machine can be met at all speeds up to the rated speed. Further, it is possible to obtain high torques for starting the motor by operating at reduced frequency.

However, the above method is difficult to implement as the airgap voltage  $V_m$  cannot be measured directly. It can be calculated by measuring the motor terminal voltages and currents, but this results in considerable complexity of the control circuits. Alternately the airgap flux can be measured directly by incorporating flux sensing coils or hall sensors in the motor and integrating their output voltages. This requires modification of the motor. However, it is difficult to carry out integration at low frequencies. Therefore, in practice, the above technique is implemented in an approximate manner, by keeping the ratio of the terminal voltage  $V_s$  to  $w_s$  constant.

The terminal voltage and the airgap voltage are reasonably close in magnitude at speeds above 10% of rated speed. At very low speeds (and hence stator frequencies) the drop in the stator resistance and leakage reactance becomes appreciable in magnitude compared to the airgap voltage. Therefore, at low speeds, keeping  $\frac{V_s}{w_s}$  constant is not equivalent to keeping the flux constant. The torque capability of the machine therefore comes down. The family of torque speed curves for constant  $\frac{V_s}{w_s}$  control (or constant  $\frac{V}{f}$  control as it is referred to)

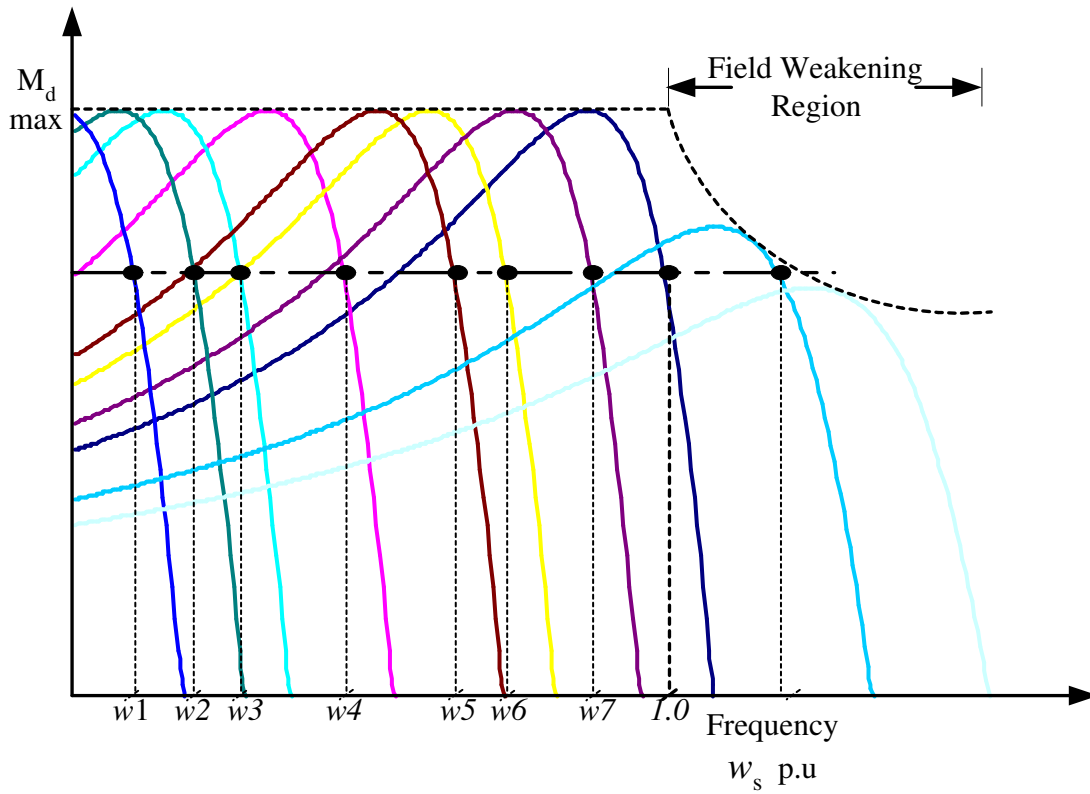


Figure 7.3: Torque-speed characteristics with constant flux control.

is shown in Figure 7.4. To some extent the drop in torque ability at low speeds can be counterbalanced by giving a boost to the stator voltage  $V_s$  at low frequencies above the constant  $\frac{V}{f}$  value. Figure 7.5 shows two possible  $\frac{V}{f}$  relationships that can be employed.

In a variety of industrial drive applications, speed range is limited to 1:2 or 1:3. The drive is not required to operate in the steady state at very low speeds. In such applications, the motor only traverses through the low frequency range while starting. Therefore inaccuracies in the  $\frac{V}{f}$  ratio are tolerable, (provided the machine is able to develop enough torque to accelerate). The constant  $\frac{V}{f}$  method is then suitable for adjustable speed drives. The method can be used in conjunction with either six-step or PWM inverters. In the former, at low frequencies, the 5<sup>th</sup> and 7<sup>th</sup> harmonics also have low frequencies and the torque pulsations may become objectionable. In addition, a second power converter is needed in cascade to vary the DC link voltage. With present day power switches, PWM inverters can be economically realized. In the following, therefore, drives using PWM inverters only are considered. Both voltage and frequency can be controlled within the inverter itself.

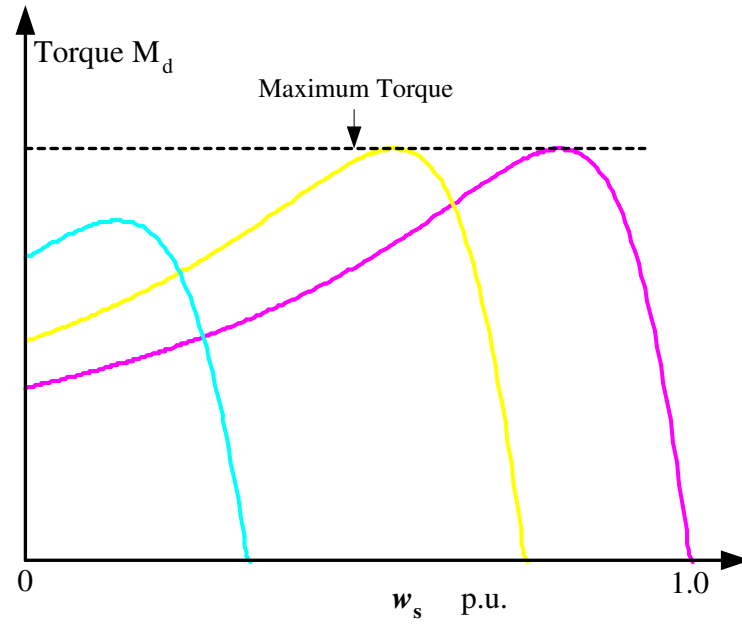


Figure 7.4: Torque-speed characteristics for constant  $\frac{v}{f}$  control.

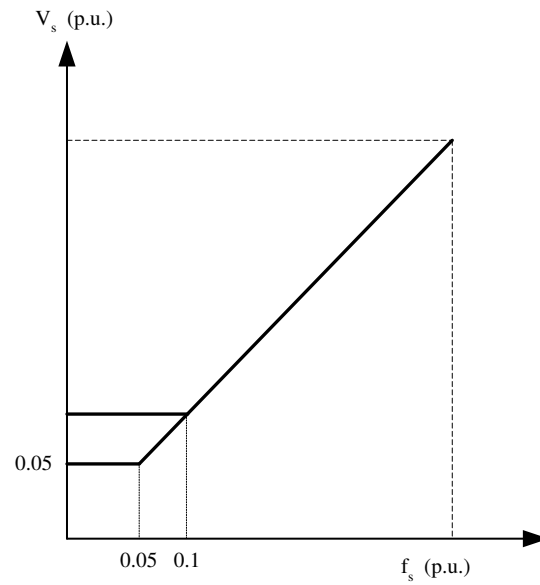


Figure 7.5:  $\frac{v}{f}$  relationship including low frequency boost of voltage.

## 7.2 Block diagram of inverter fed drive

The block diagram of an adjustable speed drive incorporating a PWM inverter and an induction motor is shown in Figure 7.6. Power is normally available as AC. Therefore a

front-end rectifier and filter are needed to create the DC voltage for the inverter. The simplest type of rectifier is the uncontrolled diode rectifier, as shown in Figure 7.6. Any fluctuations in the mains voltage will therefore cause fluctuations in the DC bus voltage also. A controlled rectifier can be used to regulate the DC bus voltage. Note that in either case, power flow cannot be reversed, i.e., regeneration is not possible. In the majority of industrial drives, regeneration is not required and so this is not a limitation.

The drive control system accepts as inputs the speed command and any feedback signals available from the motor. It generates the stator frequency command  $f^*$  and voltage command  $v^*$ . The PWM circuit then generates the gating signals required to impress the commanded voltage and frequency on the motor.

### 7.3 Open loop drives with $\frac{V}{f}$ control

In a speed control system, evidently the actual speed of the motor should be measured through a tacho generator in order to accurately control the speed. In many industrial drives, it is desirable to avoid the installation of a tacho, from the point of view of cost, installation problems, reliability, etc. Such drives are referred to as open loop drives. No feedback information is available from the motor and the drive control has only the speed command to act upon. As has been pointed out earlier, the voltage and the frequency have to be related to each other through the  $\frac{V}{f}$  program. This program generates the voltage command using the frequency command as the input. Therefore, the task of the drive control reduces to that of generating the frequency command using the speed command as input.

Since the rated or full load slip of an induction motor is usually small, the simplest approach to generating the frequency command is to directly use the speed command as the frequency command. The resulting drive control block diagram is shown in Figure 7.7. With such an arrangement the motor will always run at a speed which is less than the commanded speed by the slip speed corresponding to the prevailing load torque. If this speed error is accepted, then the system will run satisfactorily in the steady state.

However, the above simple arrangement may result in the motor pulling out when the speed command is suddenly changed. This may be explained as follows. Referring to Figure 7.8, let the machine operate initially at frequency  $f_{s1}$ , at the point  $A$  on the torque speed characteristic. If the speed command is suddenly changed resulting in a sudden change of frequency to  $f_{s2}$ , the new torque speed curve prevails. Because of the inertia of the mechanical system, machine speed cannot change suddenly. Therefore, the operating point jumps to  $B$  on the new curve. The slip at  $B$  is large and will result in large stator current. The developed torque is, however, larger than the load torque and the motor will accelerate. The

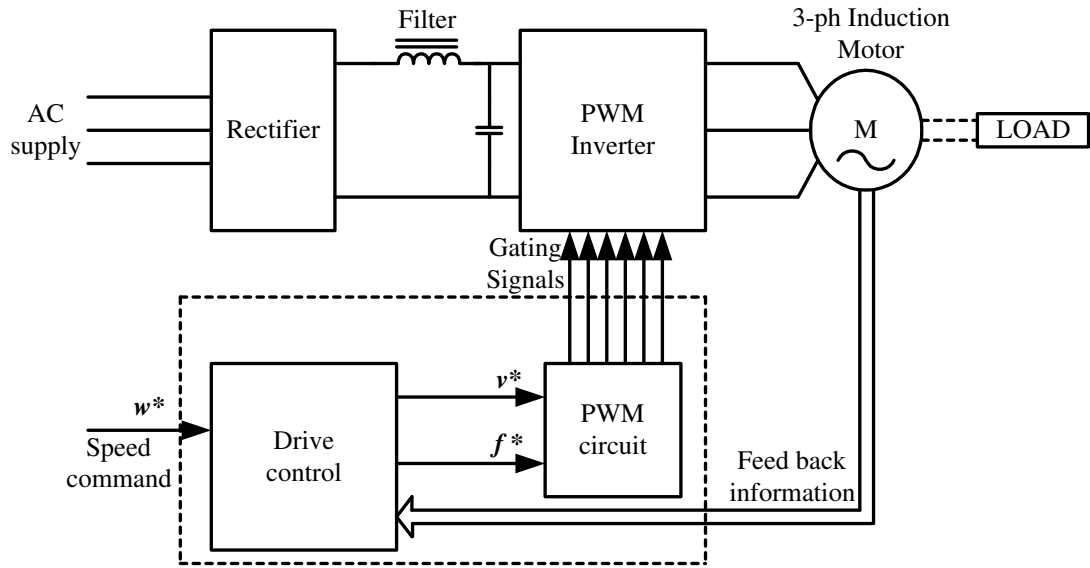
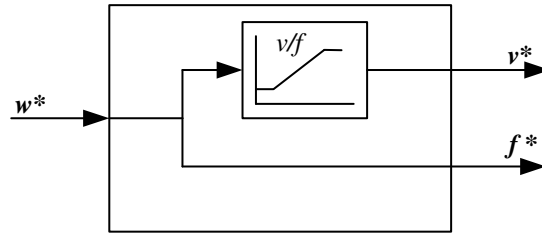
Figure 7.6: Block diagram of *PWM* inverter fed induction motor drive.

Figure 7.7: Drive control block diagram.

operating point will move along the characteristic and settle at  $C$ .

The above transient may be acceptable provided the resulting transient overcurrents can be handled by the inverter. Consider, however, the situation depicted in Figure 7.9. In this case, when the operating point jumps from  $B$  to  $A$  the resulting developed torque is less than the load torque. The motor decelerates, resulting in further reduction of developed torque and further deceleration. The motor will eventually pull out and come to a stop. In the process, large currents may be drawn from the inverter. Similar arguments can be advanced for sudden reduction of frequency also.

Therefore, the simple drive control scheme of Figure 7.7 has to be modified to prevent the sudden changes in the frequency command  $f^*$ . This can be achieved by making the frequency command  $f^*$  track the speed command  $w^*$  at a finite speed, through what is referred to as a 'slow start' circuit. In the simplest case, this consists of a  $RC$  circuit. The rate of change

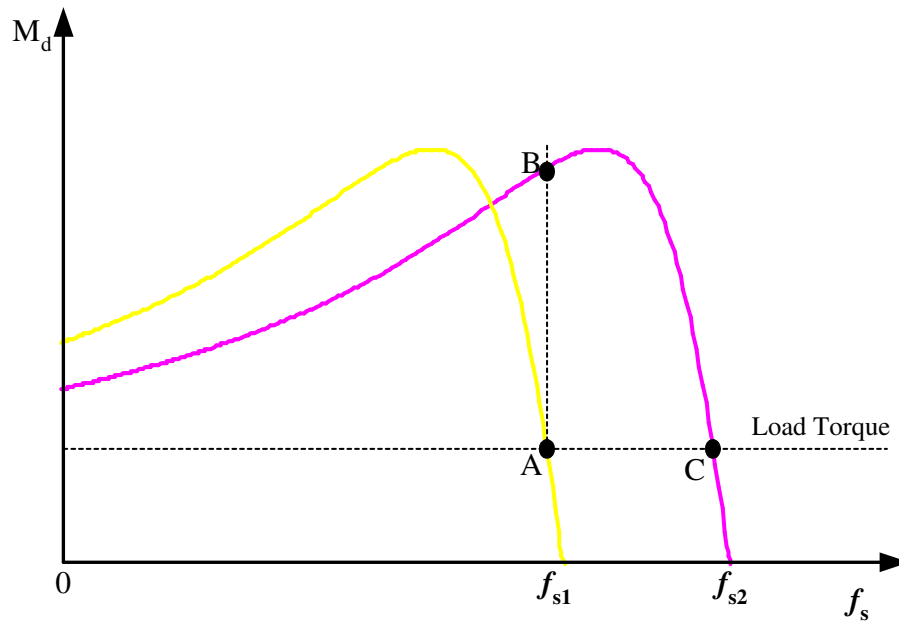


Figure 7.8: Effect of change in speed command.

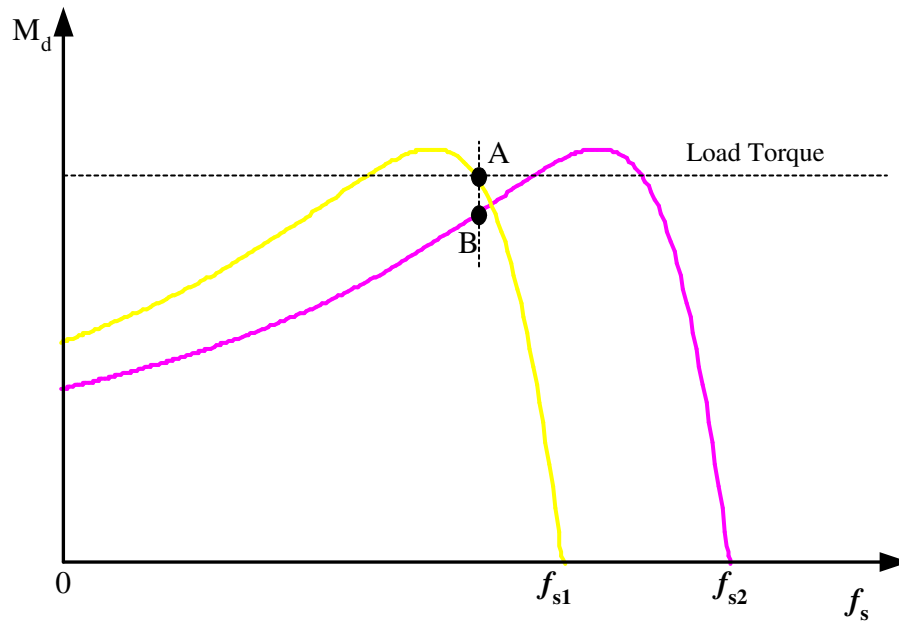


Figure 7.9: Effect of change in speed command.

of  $f^*$  must be limited to such an extent that the motor speed variation is able to track of



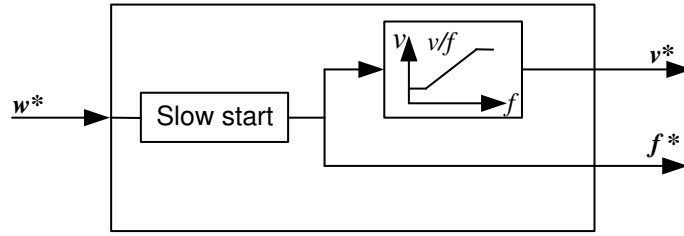


Figure 7.10: Drive control incorporating slow start.

changes in  $f^*$ . The resulting block diagram in Figure 7.10.

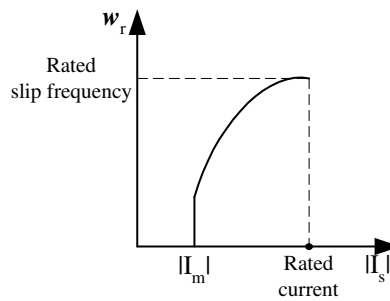


Figure 7.11: Slip frequency vs stator current relationship.

Note that in the steady state  $f^*$  will be equal to  $w^*$ . However, the speed of the response of the drive to changes in the speed command is now very much limited. This is not a drawback in many drives, as speed changes are commanded only once in a while.

## 7.4 Slip Compensation to Improve Speed Regulation

It was pointed out in the above method of control that the motor speed will always be less than the commanded speed due to the slip speed. For a given speed command, therefore, the speed will drop as load is increased. The speed regulation of drive can be improved, without, however, incorporating a tachometer, by a technique known as slip compensation. This makes use of the fact that the amplitude of the stator current  $I_s$  and the slip frequency  $w_r$  of the machine are related to one another. The method therefore requires knowledge of the stator current in order to inject a correction to the frequency command. Measurement of current can be accomplished in a relatively easy manner and therefore slip compensation can be employed if speed regulation better than that obtained in the previous method is required. The principle of slip compensation can be explained as follows.

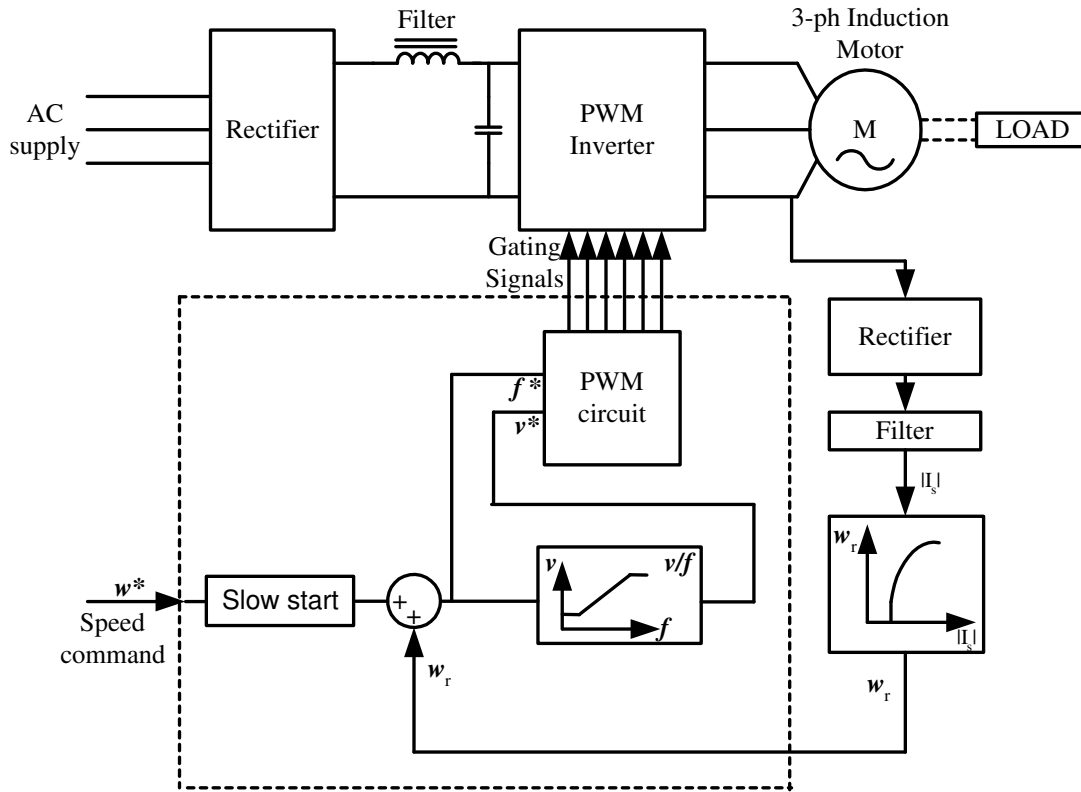


Figure 7.12: Drive control incorporating slip compensation.

From the equivalent circuit of Figure 7.2 magnetizing current and the stator current can be related by

$$I_m = I_s \frac{\frac{R_r}{s} + j\omega_s L_{lr}}{\frac{R_r}{s} + j\omega_s (L_{lr} + L_m)} \quad (7.5)$$

or

$$I_s = I_m \frac{R_r + j\omega_r L_r}{R_r + j\omega_r L_{lr}}, \quad L_r = L_{lr} + L_m \quad (7.6)$$

Thus

$$|I_s|^2 = |I_m|^2 \frac{1 + (\omega_r T_r)^2}{1 + (\omega_r T_{lr})^2} \quad (7.7)$$

where

$$T_r = \frac{L_r}{R_r}; \quad T_{lr} = \frac{L_{lr}}{R_r} \quad (7.8)$$

Using equation 7.7, with  $I_m$  assumed to be the rated value of the magnetizing current, the magnitude of the stator current  $I_s$  can be calculated for different values of rotor frequency  $\omega_r$ . The resulting relationship between slip frequency and stator current is shown in Figure 7.11.

If the magnitude of the stator current in the machine can be measured, the corresponding slip frequency signal  $w_r$  can be generated using a function generator incorporating the graph of Figure 7.11. This signal can then be added to the speed command signal and the sum used as the frequency command. The resulting overall drive control scheme is shown in Figure 7.12.

The line current of the motor is sensed using a current sensor. Note that the frequency of the current is variable. Current signal is then rectified and filtered to get a DC signal proportional to the amplitude of the stator current. This signal is then used to generate slip compensation signal which is then added to the output of the slow start circuit to obtain the frequency command. Since the slip frequency at rated load is small, good speed regulation of the motor of the order of 1% can be achieved even in the presence of errors in the motor parameter values and magnetizing current assumed to generate the slip compensation signal.

Note that slip compensation constitutes a positive feedback. Excessive compensation may result in the motor pulling out following an increase in the speed command.

## 7.5 Drive with Speed Feedback

If a tacho generator can be provided to measure speed, then the motor speed can be directly controlled. The block diagram of the drive can then be as shown in Figure 7.13.

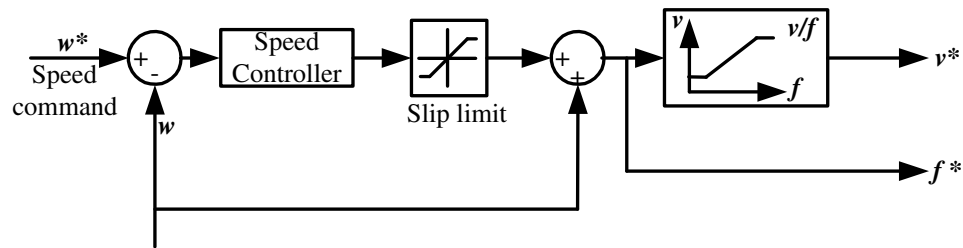


Figure 7.13: Control scheme with speed feedback.

The output of the speed controller is directly used as the slip frequency by adding it to the speed feedback signal to generate the stator frequency command. Therefore, by limiting the controller output as shown, the maximum slip frequency can be limited and pullout of the machine can be avoided.

## 7.6 Conclusion

Simple drive schemes for inverter fed induction motors have been outlined. The control schemes are based on steady state relationships in the machine and are not suitable for applications requiring fast dynamic response. For such applications, more sophisticated algorithms based on the dynamic model of the induction motor become necessary and will be discussed subsequently.

# Chapter 8

## PMSM Control

### 8.1 DC Drives Vs AC Drives

In DC drives

- The field control is neglected and it is assumed that the field current is maintained at the rated value.
- The torque is therefore simply proportional to the armature current.

The situation is different in AC machines. In AC machines,

- Only the three stator phases are available (IM and PMSM).
- The inverter has to control the net MMF produced by the three phase stator winding to control flux and torque.
- The stator windings carry AC current.
- To carry over the analogy of the DC motor, the machine has to be viewed in a rotating reference frame fixed to the flux.

The simplest example to illustrate this is the PMSM with sinusoidal MMF distribution.

### 8.2 Permanent magnet synchronous motor

Synchronous motor drives are suitable for constant speed applications as its speed of operation depends only on the frequency of the stator supply. Synchronous motor with permanent magnet is a choice in KW range for applications like machine tools, robotics, aerospace actuators, electric vehicles etc. The advantage of Permanent Magnet Synchronous Motor (PMSM) over other motors are as follows:

- Higher efficiency.

- Higher torque to inertia ratio.
- Compact size.

The permanent magnet synchronous machine consist of a three phase stator similar to that of a induction machine and a rotor with permanent magnets. The machine characteristics depends on the type of the magnets used and the way they are located in the rotor. Accordingly, the PMSM are classified as,

- Projecting surface mounted PMSM.
- Inset magnet PMSM.
- Interior magnet PMSM.

The different type of PMSM are shown in Fig. 8.1, Fig. 8.2 and Fig. 8.3.

The surface mounted motor is the simplest and widely used configuration. As the relative permeability of the permanent magnet material is almost equal to air, the projecting type machines are of uniform air-gap.

In this chapter, the modelling and control of surface mounted PMSM is only reported.

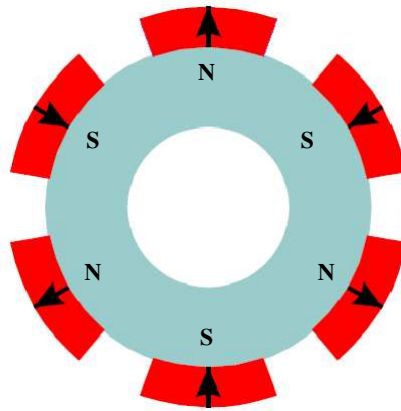


Figure 8.1: Projecting surface mounted PMSM

## 8.3 Modelling of PMSM

### 8.3.1 Assumptions

The following assumptions are made in the derivation:

- Magnetic saturation is neglected.

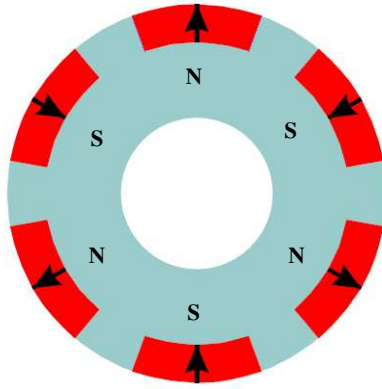


Figure 8.2: Inset magnet PMSM

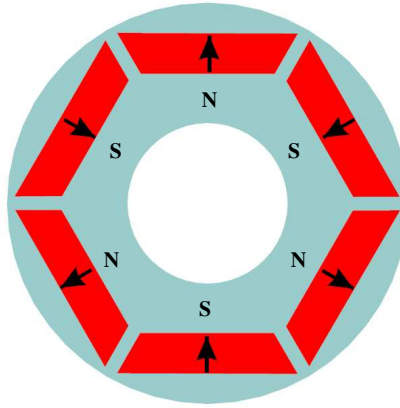


Figure 8.3: Interior magnet PMSM

- The induced emf is sinusoidal.
- Eddy current and hysteresis losses are negligible.
- There is no field or damper winding on the rotor.

Different co-ordinate system in PMSM are represented as shown in Fig. 8.4.

### 8.3.2 Space phasor of stator currents

The stator consists of three phase winding having spatial displacement from each other. The axis of phase-1 is taken as reference axis for the stationary coordinates fixed to the stator. The currents in the windings can have any general variation with respect to time. Assuming that the spatial distribution of mmf produced by each coil is sinusoidal in nature, the stator mmf caused by three phase currents flowing in the three windings can be represented by a

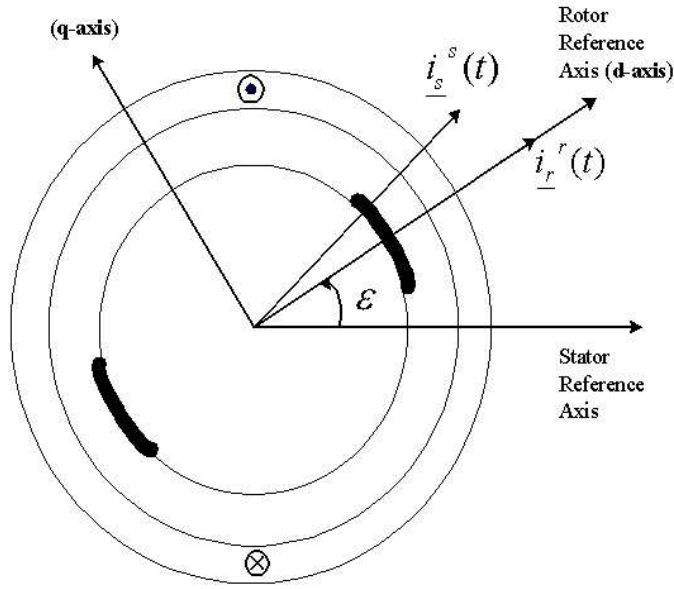


Figure 8.4: Representation of co-ordinate systems

single time varying quantity which has got some spatial orientation. This is called space phasor (vector) of stator mmf. The stator current space phasor is shown in Fig. 8.5 and Fig. 8.6.

The space vector of stator current can be represented in terms of three phase currents as,

$$\underline{i}_s^s(t) = i_{s1}(t) + i_{s2}(t)e^{j\gamma} + i_{s3}(t)e^{j2\gamma} \quad (8.1)$$

The space vector of stator current can also be represented in terms of equivalent two phase  $(\alpha - \beta)$  axes currents as,

$$\underline{i}_s^s(t) = i_{s\alpha}(t) + ji_{s\beta}(t) \quad (8.2)$$

As  $\gamma = 120^\circ$ , the  $\alpha$  axis current and  $\beta$  axis currents can be written as,

$$i_{s\alpha}(t) = i_{s1}(t) \cos(0^\circ) + i_{s2}(t) \cos(120^\circ) + i_{s3}(t) \cos(240^\circ) \quad (8.3)$$

$$i_{s\beta}(t) = i_{s1}(t) \sin(0^\circ) + i_{s2}(t) \sin(120^\circ) + i_{s3}(t) \sin(240^\circ) \quad (8.4)$$

The above equation can be simplified as,



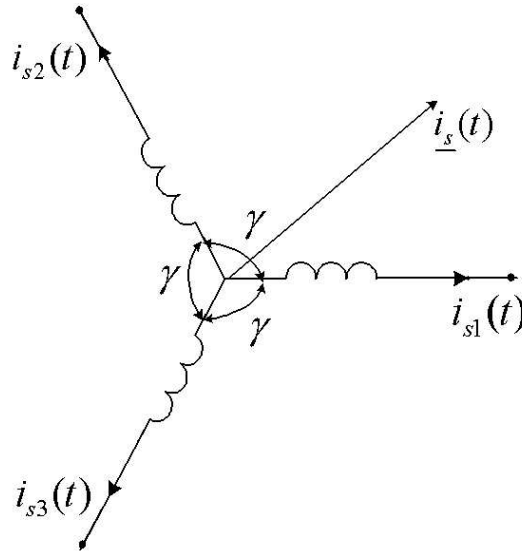
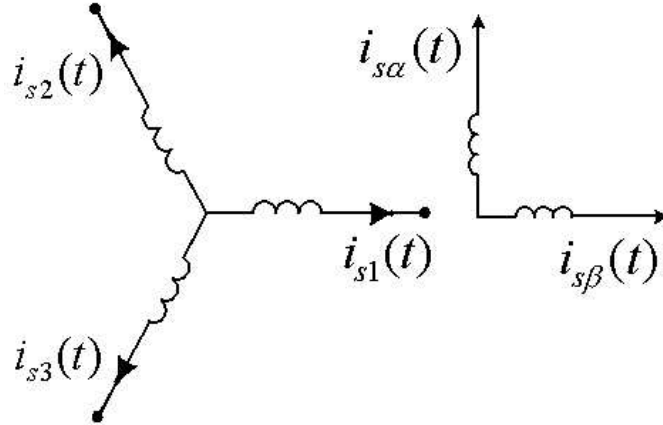


Figure 8.5: Stator current space phasor

Figure 8.6: Stator current transformation from three phase to  $\alpha - \beta$  axis.

$$i_{s\alpha}(t) = i_{s1}(t) - \frac{1}{2}i_{s2}(t) - \frac{1}{2}i_{s3}(t) \quad (8.5)$$

$$i_{s\beta}(t) = \frac{\sqrt{3}}{2}i_{s2}(t) - \frac{\sqrt{3}}{2}i_{s3}(t) \quad (8.6)$$

For a three phase three wire system the condition,

$$i_{s1}(t) + i_{s2}(t) + i_{s3}(t) = 0$$

holds good for all instants of time. Using this condition in equations 8.5 and 8.6,  $\alpha$  and  $\beta$  axis currents can be rewritten as,

$$i_{s\alpha}(t) = \frac{1}{2}i_{s1}(t) \quad (8.7)$$

$$i_{s\beta}(t) = \frac{\sqrt{3}}{2}i_{s1}(t) + \sqrt{3}i_{s2}(t) \quad (8.8)$$

According to above equations, the space phasor of the stator current can be calculated from two of the three phase currents.

### 8.3.2.1 Transformation of stator currents and voltages from $\alpha - \beta$ to d-q coordinates and vice versa

The stator currents and voltages can be transformed from stator coordinates in  $\alpha - \beta$  axes to rotor coordinates d-q axis with suitable transformation equations using angle  $\varepsilon$ .

Equations used for transforming stator currents from  $\alpha - \beta$  to d-q coordinates are,

$$(i_{sd} + ji_{sq}) = (i_{s\alpha} + ji_{s\beta})e^{-j\varepsilon} \quad (8.9)$$

$$i_{sd} = i_{s\alpha} \cos \varepsilon + i_{s\beta} \sin \varepsilon \quad (8.10)$$

$$i_{sq} = i_{s\beta} \cos \varepsilon - i_{s\alpha} \sin \varepsilon \quad (8.11)$$

Equations used for transforming stator currents from d-q to  $\alpha - \beta$  coordinates are,

$$(i_{s\alpha} + ji_{s\beta}) = (i_{sd} + ji_{sq})e^{j\varepsilon} \quad (8.12)$$

$$i_{s\alpha} = i_{sd} \cos \varepsilon - i_{sq} \sin \varepsilon \quad (8.13)$$

$$i_{s\beta} = i_{sd} \sin \varepsilon + i_{sq} \cos \varepsilon \quad (8.14)$$

Similar transformation equations are used for transforming stator voltage from  $\alpha - \beta$  to d-q coordinates and vice versa.

### 8.3.3 Space phasor of rotor currents

The rotor magnets can be considered as mmf sources, since they possess high electrical resistivity and their relative permeability is approximately equal to that of air for external magnetic fields. Hence the machine is characterized by a constant wide airgap, resulting in a relatively small synchronous reactance which minimizes armature reaction. In order to obtain the space phasor of the rotor currents, the mmf sources due to the magnets are considered to be equivalent current sources. It is then convenient to represent the permanent magnet rotor with three phase windings and two current sources, as shown in Fig. 8.7. The

magnetic axis of the two pole rotor is taken as the reference for the spatial orientation of the fictitious rotor windings: this axis also form the reference axis (d-axis) for a rotating coordinate system fixed to the rotor.

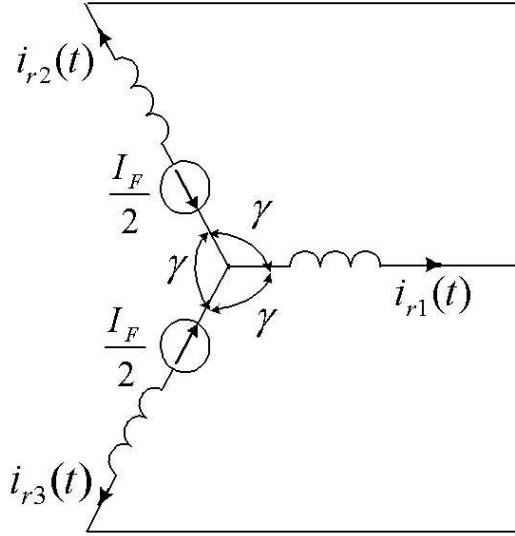


Figure 8.7: Equivalent rotor circuit of PMSM

The space phasor of the rotor currents in rotating coordinates is therefore given by

$$\underline{i}_r^r(t) = i_{ri}(t) + i_{r2}(t)e^{j\gamma} + i_{r3}(t)e^{j2\gamma} \quad (8.15)$$

$$\underline{i}_r^r(t) = I_F - \frac{I_F}{2}e^{j\gamma} - \frac{I_F}{2}e^{j2\gamma} \quad (8.16)$$

As there are no mmf sources or magnets along the quadrature (q-axis), the imaginary part in above equation is zero and hence

$$\underline{i}_r^r(t) = \frac{3}{2}I_F \quad (8.17)$$

The current  $I_F$  is the equivalent current source representing the mmf of the magnet and is a function of the magnet dimensions and its magnetic properties.

#### 8.3.4 Space phasor of Stator and rotor voltages

The voltage equations of a general symmetrical ac machine is given by following equations

$$\underline{v}_s = R_s \underline{i}_s + L_s \frac{d}{dt} \underline{i}_s + L_o \frac{d}{dt} (\underline{i}_r e^{j\varepsilon}) \quad (8.18)$$

$$0 = R_r \underline{i}_r + L_r \frac{d}{dt} \underline{i}_r + L_o \frac{d}{dt} (\underline{i}_s e^{-j\varepsilon}) \quad (8.19)$$

Since the rotor magnets behave like mmf sources, the rotor voltage equation is of no significance.

Using equation 8.17, the stator voltage in stator coordinates can be written as,

$$\underline{v_s} = R_s \underline{i_s} + L_s \frac{d}{dt} \underline{i_s} + L_o \frac{d}{dt} \left( \frac{3}{2} I_F e^{j\varepsilon} \right) \quad (8.20)$$

$$\underline{v_s} = R_s \underline{i_s} + L_s \frac{d}{dt} \underline{i_s} + \frac{3}{2} L_o I_F \frac{d}{dt} (e^{j\varepsilon}) \quad (8.21)$$

$$\underline{v_s} = R_s \underline{i_s} + L_s \frac{d}{dt} \underline{i_s} + K_E \frac{d}{dt} (e^{j\varepsilon}) \quad (8.22)$$

Using transformation equations derived in section 8.3.2.1, the stator voltage equation becomes,

$$\begin{aligned} & R_s (i_{sd} + j i_{sq}) e^{j\varepsilon} + \\ & L_s \frac{d}{dt} (i_{sd} + j i_{sq}) e^{j\varepsilon} + K_E \frac{d}{dt} (e^{j\varepsilon}) = (v_{sd} + j v_{sq}) e^{j\varepsilon} \end{aligned} \quad (8.23)$$

$$\begin{aligned} & R_s (i_{sd} + j i_{sq}) e^{j\varepsilon} + \\ & L_s \left[ \frac{d}{dt} (i_{sd} + j i_{sq}) \right] e^{j\varepsilon} + j L_s \left[ \frac{d\varepsilon}{dt} \right] (i_{sd} + j i_{sq}) e^{j\varepsilon} + j K_E \left[ \frac{d\varepsilon}{dt} \right] (e^{j\varepsilon}) = (v_{sd} + j v_{sq}) e^{j\varepsilon} \end{aligned} \quad (8.24)$$

where  $K_E = \frac{3}{2} L_o I_F$

The above equation is still in stator coordinates. Transforming to rotor coordinates by multiplying  $e^{-j\varepsilon}$  and separating into real and imaginary parts:

$$R_s i_{sd} + L_s \frac{d}{dt} i_{sd} - w_s L_s i_{sq} = v_{sd} \quad (8.25)$$

$$R_s i_{sq} + L_s \frac{d}{dt} i_{sq} + w_s L_s i_{sd} + K_E w_s = v_{sq} \quad (8.26)$$

These are the stator voltage equations of the machine in rotating d-q axis.

### 8.3.5 Electromagnetic torque

The electromagnetic torque developed by the machine is given by,

$$m_d = \frac{2}{3} \frac{P}{2} L_o \text{Im}[\underline{i_s} (\underline{i_r} e^{j\varepsilon})^*] \quad (8.27)$$

Using equation 8.17 and transformation equations derived in section 8.3.2.1 in the above equation

$$m_d = \frac{2P}{3} \frac{L_o}{2} I_m \left[ \frac{3}{2} I_F (\underline{i}_s e^{-j\varepsilon}) \right] \quad (8.28)$$

$$m_d = \frac{2P}{3} \frac{L_o}{2} I_m \left[ \frac{3}{2} I_F (i_{sd} + j i_{sq}) \right] \quad (8.29)$$

$$m_d = \frac{2P}{3} \frac{L_o}{2} \frac{3}{2} I_F i_{sq} \quad (8.30)$$

$$m_d = K_T i_{sq} \quad (8.31)$$

where  $K_T = \frac{P}{2} L_o I_F$

### 8.3.6 Complete dynamic model of PMSM

The complete dynamic model of PMSM is given by the set of equations given below

$$R_s i_{sd} + L_s \frac{d}{dt} i_{sd} - w_s L_s i_{sq} = v_{sd} \quad (8.32)$$

$$R_s i_{sq} + L_s \frac{d}{dt} i_{sq} + w_s L_s i_{sd} + K_E w_s = v_{sq} \quad (8.33)$$

$$J \frac{d\omega}{dt} = K_T i_{sq} - m_l \quad (8.34)$$

$$\frac{d\varepsilon}{dt} = \left(\frac{P}{2}\right) \omega \quad (8.35)$$

$$\frac{d\varepsilon}{dt} = \omega_s \quad (8.36)$$

## 8.4 PMSM Drive

### 8.4.1 Features of PMSM Drive

- Torque control requires  $i_{sq}$  to be controlled.
- $i_{sd}$  is normally kept at zero.
- Actual measurements only give  $i_{s1}, i_{s2}, i_{s3}$ .
- $i_{s1}, i_{s2}, i_{s3}$  are to be transformed to rotor coordinates.
- Stator voltage-current equations in d-q reference frame decide structure of current control loops.
- Coupling exists between the two axes.
- The final output viz. stator voltage references in the d-q frame have to be transformed back to stator coordinates.
- PWM algorithm has to be executed to generate gating signals.

### 8.4.2 Feed-forward Signals For Decoupling Current Control Along d and q Axes

The d axis v-i equation is given by,

$$v_{sd} = R_s i_{sd} + L_s \frac{d}{dt} i_{sd} - w_s L_s i_{sq}$$

Let the output voltage of the current controller on d axis be  $v'_{sd}$ .

Also let  $v_{sd} = -w_s L_s i_{sq}$ .

The current response on the d axis is then given by:

$$v'_{sd} = R_s i_{sd} + L_s \frac{d}{dt} i_{sd} \quad (8.37)$$

Thus the response of the d-axis current has been decoupled from the effect of the q axis current.

The q axis v-i equation is:

$$v_{sq} = R_s i_{sq} + L_s \frac{d}{dt} i_{sq} + w_s L_s i_{sd} + K_E w_s$$

Let  $v_{sq} = v'_{sq} + w_s L_s i_{sd} + K_E w_s$ .

Then the current response is given by:

$$v'_{sq} = R_s i_{sq} + L_s \frac{d}{dt} i_{sq} \quad (8.38)$$

Again, the response of q-axis current loop has been decoupled from the effect of the d axis current.

### 8.4.3 d and q-axis current control loops

The current control loop in d-axis and q-axis loops are shown in Fig. 8.8 and Fig. 8.9.

The  $i_{sd}$  and  $i_{sq}$  currents are respectively controlled only by the outputs of the corresponding current controllers.

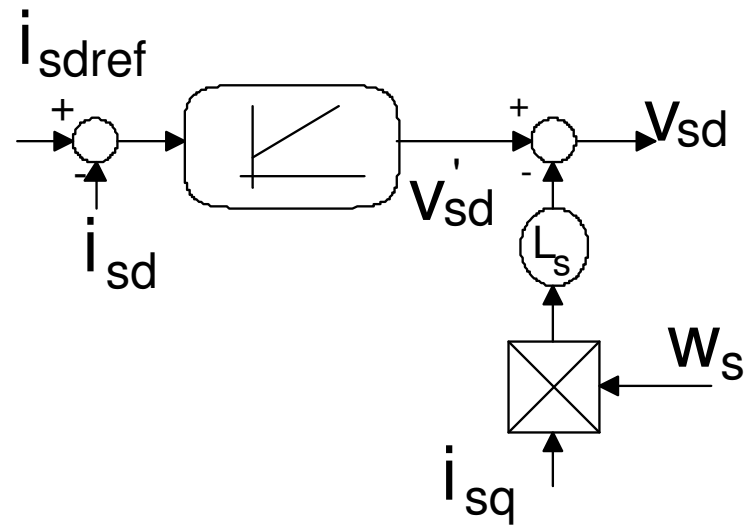


Figure 8.8: d-axis current control loop

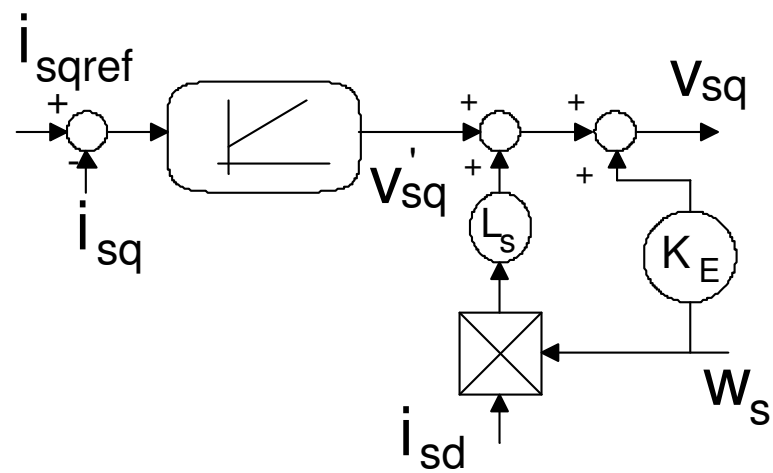


Figure 8.9: q-axis current control loop

## hardware setup

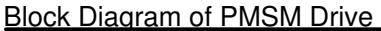


Figure 8.10: Control diagram of the PMSM drive

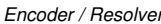


Figure 8.11: Block diagram of hardware setup



### 8.4.5 Analog Interface

#### Signals

- Stator currents of 2 phases.
- DC bus voltage.

#### Scaling and Shifting

- Internal ADC - unipolar, 0-5 V.
- Bipolar signals are scaled to 2.5 V and shifted by 2.5 V.
- After reading the ADC, the offset is subtracted from the data.
- Scaling is done such that the magnitude of the space phasor of 1 p.u. current is represented by 3FFFh (half of the maximum positive number).

### 8.4.6 Incremental Encoder Interface

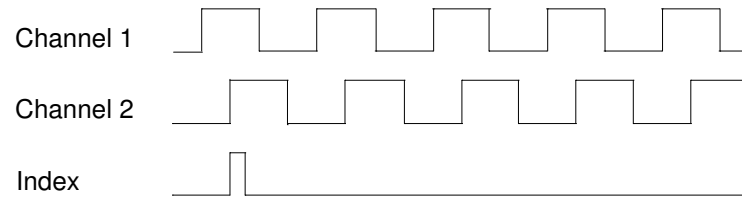


Figure 8.12: Ch1, Ch2 and index pulse of incremental encoder

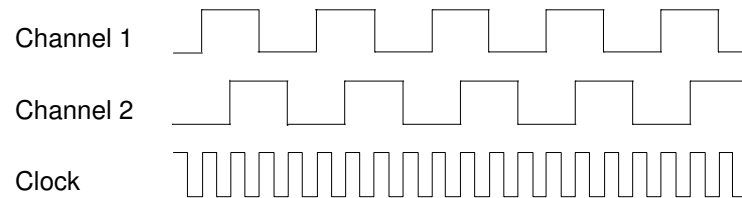


Figure 8.13: Clock generation generation from ch1 and ch2

- Incremental encoder generates two trains of pulses at quadrature through two channels and an index pulse (one per revolution) through a third channel.

- Depending on the direction of rotation, either channel 1 leads channel 2 or vice versa.
- Angle resolution can be enhanced by counting the number of positive and negative edges.
- In PMSM the encoder is mounted on the shaft in such a way that the index pulse is generated when the a-phase coil axis is in alignment with the field axis.
- Internally the rising and falling edges of QEP signals are detected and a pulse train is generated.
- An internal general purpose timer is set in up-down count mode with this pulse train as the clock input. The timer operates in up-count mode in forward direction and in down-count mode in reverse direction.
- When the index pulse is generated, the timer is reset to
  - Zero for forward direction.
  - Maximum pulse number for reverse direction of rotation.
- At every sampling interrupt, the timer is read-off to get the instantaneous mechanical shaft position  $\varepsilon$ .
- Speed is calculated as  $\frac{(\varepsilon_n - \varepsilon_{n-1})}{T_s}$ , where  $T_s$  is the sampling period.

#### 8.4.7 Resolver Interface

- Square wave pulse of 0.5 duty ratio and at desired frequency is output through a PWM channel of 'F240
- A bipolar driver feeds this signal to the primary of the resolver.
- The secondaries are sampled at the middle of the pulses and read off by the ADCs to reconstruct  $\sin \varepsilon$  and  $\cos \varepsilon$ .
- The electrical angle is calculated by simple trigonometry.
- Speed is calculated as  $w_s = \cos \varepsilon \left( \frac{d \sin \varepsilon}{dt} \right) - \sin \varepsilon \left( \frac{d \cos \varepsilon}{dt} \right)$ .

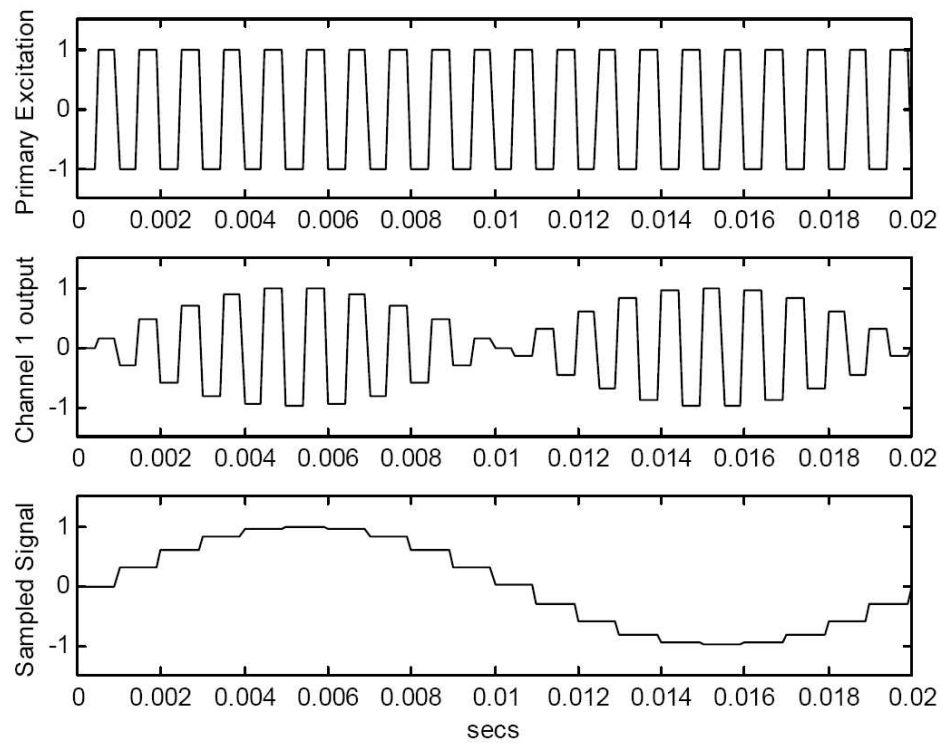


Figure 8.14: Signal measurement in resolver



# Chapter 9

## Field Oriented Control of AC Motor Drives

### 9.1 Introduction

Until a few years ago, dc motor drives remained the only choice for fast response high performance drives such as steel and paper mill drives, servo drives for machine tool applications, etc., although it was realized that a squirrel cage induction motor enjoys many advantage over a dc motor, such as better power/weight ratio, lower inertia, higher speeds and less maintenance. Partly this state of affairs could be attributed to the fact that inverters for AC motor drives were not as rugged and reliable as the line commutated converters needed for dc motor drives. More importantly, however, dc motors represent simple control systems. This is because the dc motor has two separate physical windings, the armature and the field, whose *mmfs* are always at right angles with respect to one another in space due to the action of the commutator. The armature current can be controlled independently of the field current yielding fast response torque and speed control. This decoupling between control of torque and control of flux is not available readily in AC motors. It was only towards the late 1970s that it was realized that the dynamic behaviour of AC motors could be viewed in a manner analogous to that of the dc motor, provided the machine is modelled in an appropriate manner. The conceptual framework for such a model was then established and control methods could then be proposed for AC motor drives, yielding decoupled control of flux and torque with performance equivalent to that of the dc motor drive . This technique for decoupled control of torque and flux in an AC motor has come to be known as field oriented control or vector control of AC motors [1,2]. At the beginning , this technique could not be readily applied because it required complex signal processing. With present day advances in microelectronics, this is no longer a serious constraint and field oriented AC drives are emerging as a viable alternative to dc motor drives in high performance applications.

In the following, the principle of field oriented control is developed in the context of a squirrel cage induction motor drive. The block diagram of the drive is presented and

explained. The implementation of the control scheme in a microprocessor is discussed.

## 9.2 Space Phasors (3)

The conceptual foundation for field oriented control lies in the so called space phasor modelling of AC machines. It is therefore necessary to first develop an appreciation of the concept of space phasors. Consider a three phase winding in an AC machine, for example, the stator winding of an induction motor. Figure 9.1 shows the schematic diagram of the three phase coils, each of which has  $N_s$  turns. The diagram shows the spatial orientation of the three coils, the angles being in electrical radians.

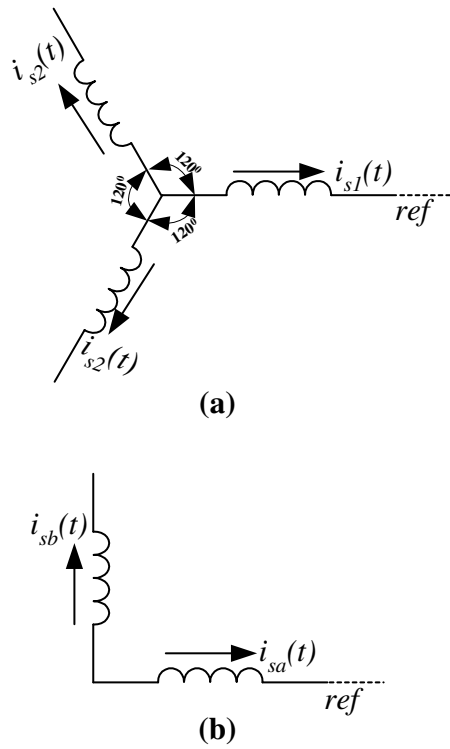


Figure 9.1:

It is assumed that the spatial distribution of  $mmf$  produced by each coil is sinusoidal in nature and also that the neutral is isolated, so that the condition

$$i_{s1}(t) + i_{s2}(t) + i_{s3}(t) = 0 \quad (9.1)$$

holds at all instants of time. The currents can have any general variation with respect to time. The axis of coil 1 is taken as the reference for spatial orientation. At any given instant of time, the net  $mmf$  produced by the three coils is given by adding the  $mmfs$  due to the

individual coils, but with appropriate spatial orientation, i.e. vectorially. The net  $mmf$  can therefore in general have components along and perpendicular to the reference direction. If these components are denoted by subscripts  $a$  and  $b$  respectively, their values are given by

$$mmf_a = N_s[i_{s1}(t) + i_{s2}(t)\cos\gamma + i_{s3}(t)\cos2\gamma] \quad (9.2)$$

$$mmf_b = N_s[i_{s2}(t)\sin\gamma + i_{s3}(t)\sin2\gamma] \quad (9.3)$$

Where  $\gamma = 2\pi/3$  electrical radians. Thus it can be seen that the system of three coils can be replaced by a system of two coils  $a$  and  $b$  shown in Figure 9.1, having the same number of turns  $N_s$  as the original coils and carrying currents  $i_{sa}(t)$  and  $i_{sb}(t)$  given by

$$i_{sa}(t) = \frac{3}{2}i_{s1}(t) - \frac{1}{2}i_{s2}(t) - \frac{1}{2}i_{s3}(t) \quad (9.4)$$

$$i_{sb}(t) = [i_{s2}(t) - i_{s3}(t)]\frac{\sqrt{3}}{2} \quad (9.5)$$

Using the condition (9.1), these can be rewritten as

$$i_{sa}(t) = \frac{3}{2}i_{s1}(t) \quad (9.6)$$

$$i_{sb}(t) = \frac{\sqrt{3}}{2}[i_{s2}(t) - i_{s3}(t)] \quad (9.7)$$

The net  $mmf$  produced by the two systems of coils is identical. The net effect of all the currents is thus obtained by adding  $i_{sa}(t)$  and  $i_{sb}(t)$  with proper spatial orientation. Using complex notation, this can be expressed by defining a so called current phasor  $\underline{i}_s(t)$  by

$$\underline{i}_s(t) = i_{sa}(t) + ji_{sb}(t) \quad (9.8)$$

The current space phasor is thus a complex function of time, whose real and imaginary parts give the components of current along two mutually perpendicular directions in space. Pictorially the space phasor  $\underline{i}_s(t)$  can be represented by a vector in a two dimensional plane, the real and imaginary components being  $i_{sa}(t)$  and  $i_{sb}(t)$ . This is shown in Figure 9.2.

The space phasor can also be expressed in polar instead of cartesian form as follows:

$$\underline{i}_s(t) = i_s(t)e^{j\zeta(t)} \quad (9.9)$$

Where

$i_s(t)$  - instantaneous amplitude of space phasor

$\zeta(t)$  - instantaneous angle that the space phasor makes with the reference direction.

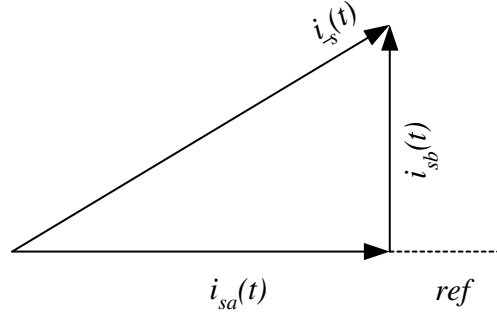


Figure 9.2:

Since the orientations refer to spatial direction in Figure 9.2, it should not be confused with the usual time phasor diagram of sinusoidal steady state analysis. The space phasor  $\underline{i}_s(t)$  can also be expressed in terms of the original three phase currents as follows:

$$\underline{i}_s(t) = i_{s1}(t) + i_{s2}(t)e^{j\gamma} + i_{s3}(t)e^{j2\gamma} \quad (9.10)$$

Similarly, space phasors can be defined for other quantities such as voltages and flux linkages associated with a three phase system of windings.

From the study of polyphase windings, it will be seen that if the three individual quantities are balanced three phase sinusoids, then the space phasor will have a constant amplitude and will rotate in space with constant angular velocity. But the definition of space phasors is not limited to sinusoidal quantities alone. Any general time variation is possible for the three individual variables. Thus the concept of a space phasor is a useful tool in the analysis of AC motor drives, because the inverters that drive the motor produce non-sinusoidal voltages. The currents produced by a current source inverter for example have the waveforms shown in Figure 9.3. The corresponding space phasor will therefore occupy a fixed position in space for one sixth of a cycle and jump in position by  $60^\circ$  at every commutation in the inverter.

It will be seen that what was described in the preceding is nothing but a statement of the equivalence between three phase and two phase windings, with the important extension that the two real quantities are composed into one complex quantity known as the space phasor. The advantage as we have seen is that we are now able to visualize the motion of the space phasor.



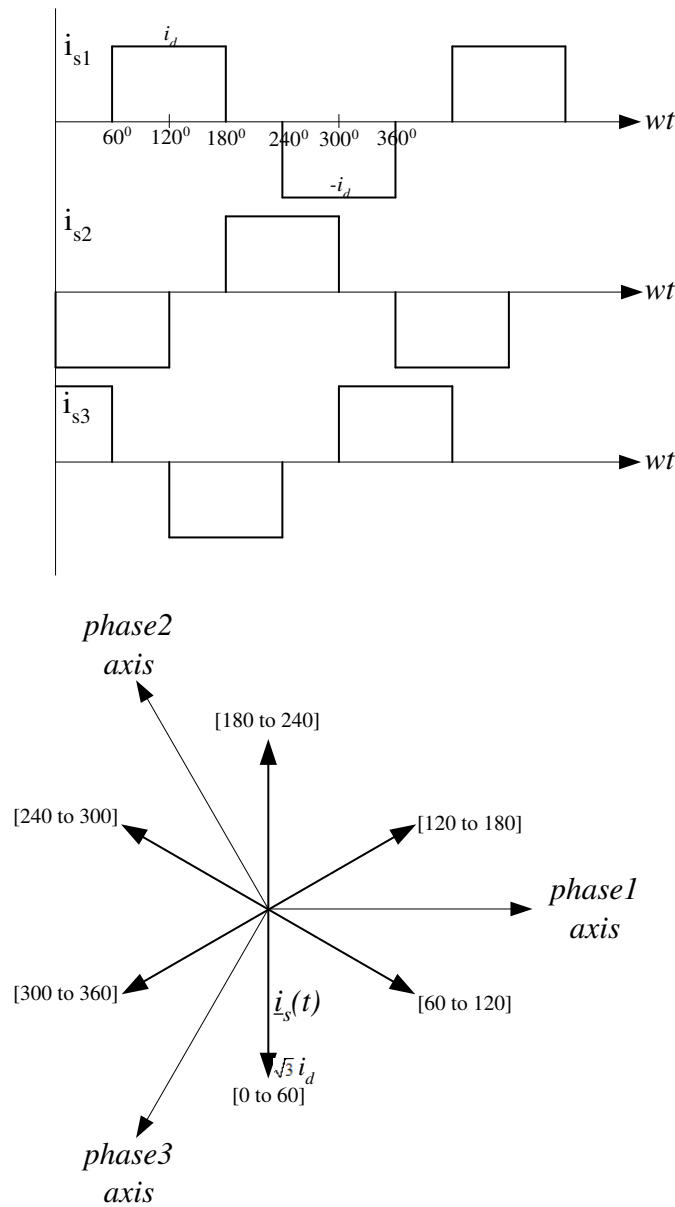


Figure 9.3:

## 9.3 Induction Machine Equations in Space Phasor Form

The symmetrical three phase squirrel cage induction motor has a three phase system of coils on the stator and a cage on the rotor which can be considered to be equivalent to a three phase winding. The two sets of windings can be represented by two equivalent two phase coils as shown in Figure 9.4. The rotor axis makes an angle  $\varepsilon(t)$  with respect to the stator axis. Two current space phasors  $\underline{i}_s(t)$  and  $\underline{i}_r(t)$  can be defined for the stator and rotor current

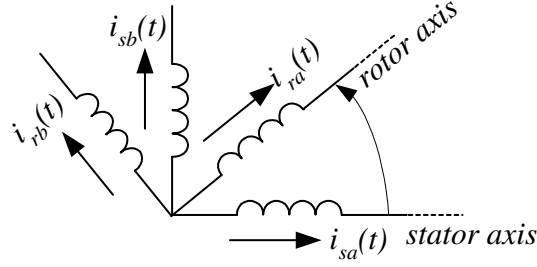


Figure 9.4:

respectively as follows:

$$\underline{i}_s(t) = i_{sa}(t) + j i_{sb}(t) \quad (9.11)$$

$$\underline{i}_r(t) = i_{ra}(t) + j i_{rb}(t) \quad (9.12)$$

Note that the two are defined with respect to different coordinate axes;  $\underline{i}_s(t)$  with respect to stator coordinates and  $\underline{i}_r(t)$  with respect to rotor coordinates. It is also possible to write down the flux linkages of the various coils as a first step towards writing down the machine voltage equations

$$\psi_{sa}(t) = L_s i_{sa}(t) + M i_{ra}(t) \cos \varepsilon(t) - M i_{rb}(t) \sin \varepsilon(t) \quad (9.13)$$

$$\psi_{sb}(t) = L_s i_{sb}(t) + M i_{ra}(t) \sin \varepsilon(t) + M i_{rb}(t) \cos \varepsilon(t) \quad (9.14)$$

where

$L_s$  - self inductance of stator coils

$M$  - maximum value of mutual inductance between stator and rotor coils. Combining 10.14 and 10.15 to form the stator flux space phasor.

$$\underline{\psi}_s(t) = \psi_{sa}(t) + j \psi_{sb}(t) = L_s \underline{i}_s(t) + M \underline{i}_r(t) e^{j \varepsilon(t)} \quad (9.15)$$

Similarly the rotor flux linkage space phasor can be derived as

$$\underline{\psi}_r(t) = \psi_{ra}(t) + j \psi_{rb}(t) = L_r \underline{i}_r(t) + M \underline{i}_s(t) e^{-j \varepsilon(t)} \quad (9.16)$$

where

$L_r$  - self inductance of rotor coil referred to stator number of turns. The form of equations

9.15 and 9.16 resembles that for a coil with self and mutual inductance except for the expression for current in the second term. It must be remembered that 9.15 is with respect to stator coordinate and 9.16 is with respect to rotor coordinates. Therefore, space phasors defined with respect to another coordinate system have to be transformed to the coordinate system of the equation. Multiplication by  $e^{j\varepsilon(t)}$  results in a clockwise rotation of the coordinate system by an angle  $\varepsilon(t)$ , while multiplication by  $e^{-j\varepsilon(t)}$  results in anticlockwise rotation of the coordinate system by the same angle.

The voltage-current equations for the stator and rotor windings can also be written in the space phasor form. First the individual coil equations are written as follows:

$$v_{sa}(t) = R_s i_{sa}(t) + \frac{d\psi_{sa}(t)}{dt} \quad (9.17)$$

$$v_{sb}(t) = R_s i_{sb}(t) + \frac{d\psi_{sb}(t)}{dt} \quad (9.18)$$

$$v_{ra}(t) = R_r i_{ra}(t) + \frac{d\psi_{ra}(t)}{dt} \quad (9.19)$$

$$v_{rb}(t) = R_r i_{rb}(t) + \frac{d\psi_{rb}(t)}{dt} \quad (9.20)$$

where

$R_s$  - stator resistance,  $R_r$  - rotor resistance.

Combining equation 9.17 with 9.18 and 9.19 with 9.20 we then obtain two complex equations:

$$\underline{v}_s(t) = R_s \underline{i}_s(t) + \frac{d\underline{\psi}_s(t)}{dt} \quad (9.21)$$

$$\underline{v}_r(t) = R_r \underline{i}_r(t) + \frac{d\underline{\psi}_r(t)}{dt} \quad (9.22)$$

using 9.15 and 9.16 these can be rewritten as

$$\underline{v}_s(t) = R_s \underline{i}_s(t) + L_s \frac{d\underline{i}_s(t)}{dt} + M \frac{d}{dt} [\underline{i}_r(t) e^{j\varepsilon(t)}] \quad (9.23)$$

$$\underline{v}_r(t) = R_r \underline{i}_r(t) + L_r \frac{d\underline{i}_r(t)}{dt} + M \frac{d}{dt} [\underline{i}_s(t) e^{-j\varepsilon(t)}] \quad (9.24)$$

It must be remembered that equation 9.23 refers to the stator and is in stator coordinates whereas equation 9.24 refers to the rotor and is in rotor coordinates. For a squirrel cage induction motor, of course,  $\underline{v}_r(t)$  is zero. Each of the above equations is actually two equations combined into one. With these two equations, the electrical behavior of the machine is defined.

It can also be shown that the torque developed by the machine is given by

$$M_d = \frac{2}{3} \frac{P}{2} M \operatorname{Im} [\underline{i}_s(t) [\underline{i}_r(t) e^{j\varepsilon(t)}]^*] \quad (9.25)$$

Where  $Im$  stands for imaginary part and  $*$  denotes complex conjugate,  $P$  is the number of poles. Therefore the complete equations that describe the behavior of the machine are as follows:

$$\begin{aligned}
 R_s \underline{i}_s(t) + L_s \frac{d\underline{i}_s(t)}{dt} + M \frac{d}{dt} [\underline{i}_r(t) e^{j\varepsilon(t)}] &= \underline{v}_s(t) \\
 R_r \underline{i}_r(t) + L_r \frac{d\underline{i}_r(t)}{dt} + M \frac{d}{dt} [\underline{i}_s(t) e^{-j\varepsilon(t)}] &= 0 \\
 J \frac{d\omega_m}{dt} &= \frac{2}{3} M \operatorname{Im} [\underline{i}_s(t) [\underline{i}_r(t) e^{j\varepsilon(t)}]^*] - M_{load} \\
 \frac{P}{2} \omega_m &= \omega = \frac{d\varepsilon(t)}{dt}
 \end{aligned} \tag{9.26}$$

where

$\omega_m$ - rotor speed in mechanical rad/sec

$\omega$  - rotor speed in electrical rad/sec

$J$  - moment of inertia

$M_{load}$ - torque load

The above equations describe the dynamic behaviour of the machine. The steady-state behaviour, pertaining to sinusoidal steady state operation, can also be deduced from the above.

## 9.4 Sinusoidal Steady State Operation (3)

Under sinusoidal steady-state conditions, the applied stator voltage can be expressed as follows:

$$\begin{aligned}
 V_{s1}(t) &= \sqrt{2} V_s \cos(\omega_1 t + \tau_1) \\
 V_{s2}(t) &= \sqrt{2} V_s \cos(\omega_1 t - \gamma + \tau_1) \\
 V_{s2}(t) &= \sqrt{2} V_s \cos(\omega_1 t - 2\gamma + \tau_1)
 \end{aligned} \tag{9.27}$$

Where  $\omega_1$  is the stator angular frequency.

When composed into a space phasor according to equation 9.10, the stator voltage space phasor is expressed as

$$\underline{v}_s(t) = \frac{3\sqrt{2}}{2} v_s e^{j(\omega_1 t + \tau_1)} \quad (9.28)$$

$$i.e. \quad \underline{v}_s(t) = \frac{3\sqrt{2}}{2} \underline{V}_s e^{j\omega_1 t} \quad (9.29)$$

where  $\underline{V}_s$  is a complex constant, with amplitude equal to the *rms* line to neutral voltage and spatial orientation giving the instantaneous position of the peak of the voltage space wave at the instant  $t = 0$ . With the voltage input, the solution to the stator and rotor equations in 9.26 can be shown to be

$$\underline{i}_s(t) = \frac{3\sqrt{2}}{2} \underline{I}_s e^{j\omega_1 t} \quad (9.30)$$

$$\underline{i}_r(t) = \frac{3\sqrt{2}}{2} \underline{I}_r e^{j\omega_2 t} \quad (9.31)$$

where

$$\omega_2 = \omega_1 - \omega = \omega_1 - \frac{d\varepsilon}{dt} \quad (9.32)$$

The rotor current referred to stator coordinates is given by

$$\underline{i}_r(t) e^{j\varepsilon(t)} = \frac{3\sqrt{2}}{2} \underline{I}_r e^{j\omega_1 t} \quad (9.33)$$

With the definitions  $M = L_0$ ,  $L_s = L_0(1 + \sigma_s)$ ,  $L_r = L_0(1 + \sigma_r)$ , the stator and rotor equations in 9.26 can then be rewritten as

$$(R_s + j\omega_1 \sigma_s L_0) \underline{I}_s + j\omega_1 L_0 (\underline{I}_s + \underline{I}_r) = \underline{v}_s(t) \quad (9.34)$$

$$(R_r + j\omega_2 \sigma_r L_0) \underline{I}_r + j\omega_2 L_0 (\underline{I}_s + \underline{I}_r) = 0 \quad (9.35)$$

If the ratio between the rotor frequency  $\omega_2$  and the stator frequency  $\omega_1$  is defined as the slip ' $s$ ' i.e.  $s = \frac{\omega_2}{\omega_1}$ , equation 9.35 can be rewritten as

$$\left(\frac{R_r}{s} + j\omega_1 \sigma_r L_0\right) \underline{I}_r + j\omega_1 L_0 (\underline{I}_s + \underline{I}_r) = 0 \quad (9.36)$$

Equation 9.34 and 9.36 together yield a steady state equivalent circuit of the induction machine as follows:

It should be remembered that the complex constants  $\underline{V}_s$ ,  $\underline{I}_s$  and  $\underline{I}_r$  are proportional to the instantaneous values of the corresponding space phasors  $\underline{v}_s$ ,  $\underline{i}_s$  and  $\underline{i}_r$  at the instant  $t = 0$ .

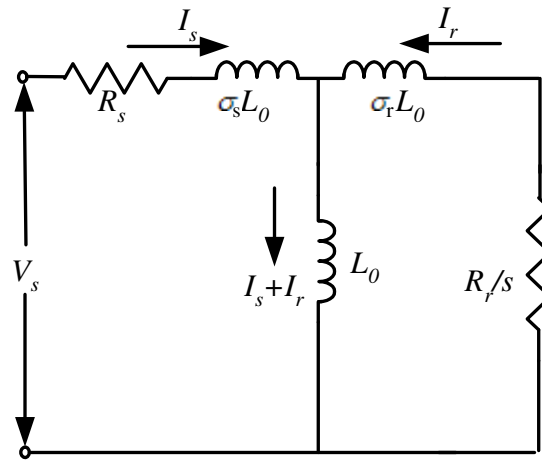


Figure 9.5:

However, because of the assumption that the winding *mmfs* are sinusoidally distributed in space, there is one to one correspondence between the above circuit and the usual one based on time phasors. This means that the parameters of the above circuit can be determined by the usual no-load and locked rotor tests.

All the steady-state characteristics of the machine such as torque-speed characteristics, circle diagram etc. can therefore be deduced from the equivalent circuit of Figure 9.5. However, what is of interest in the development of the concept of field oriented control is to appreciate the position and magnitude of different fluxes in the machine. The space phasor diagram of figure 9.6 below is drawn to show the spatial orientation of different fluxes in the machine. These can be defined as follows:

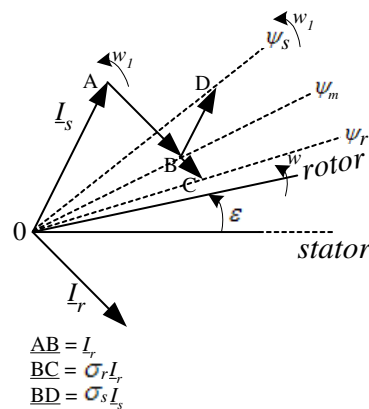


Figure 9.6:

$$\begin{aligned}
\text{Mutual or air gap flux } \underline{\psi}_m &= L_0[\underline{I}_s + \underline{I}_r] \\
&\cong L_0\underline{I}_m
\end{aligned} \tag{9.37}$$

$$\begin{aligned}
\text{Stator flux } \underline{\psi}_s &= \sigma_s L_0 \underline{I}_s + L_0[\underline{I}_s + \underline{I}_r] \\
&= L_0[(1 + \sigma_s)\underline{I}_s + \underline{I}_r] \\
&\cong L_0\underline{I}_{ms}
\end{aligned} \tag{9.38}$$

$$\begin{aligned}
\text{Rotor flux } \underline{\psi}_r &= \sigma_r L_0 \underline{I}_r + L_0[\underline{I}_s + \underline{I}_r] \\
&= L_0[\underline{I}_s + (1 + \sigma_r)\underline{I}_r] \\
&\cong L_0\underline{I}_{mr}
\end{aligned} \tag{9.39}$$

In addition to the magnetizing current  $\underline{I}_m$  responsible for mutual flux, two additional magnetizing currents  $\underline{I}_{ms}$  and  $\underline{I}_{mr}$  have been defined in equation 9.38 and 9.39 to account for stator and rotor fluxes also. Even though this has been done in the context of sinusoidal steady-state operation, identical definitions can be given in the general case of transient non-sinusoidal operation also.

All the fluxes rotate at the synchronous speed  $\omega_1$  with respect to the stationary stator axis. The torque developed by the machine can be expressed as the vector product of the stator current and any one of these fluxes.

If it is desired to establish an analogy between the induction motor and the dc machine, with similar decoupling between flux and torque, then the stator current  $\underline{I}_s$  should be decomposed into two spatially orthogonal components, along and perpendicular to the flux - but which flux? To decide this question, the machine equations ( 9.26) have to be recast using stator current components oriented along the different fluxes. It has been established that to obtain decoupling between flux and torque, the equation should be viewed from a frame of reference fixed to the rotor flux  $\underline{\psi}_r(t)$ . This exercise is carried out in the next section.

## 9.5 Dynamics of the Induction Motor in the Rotor Flux Frame of Reference (3)

It is assumed here that the machine is operated from a current source which impresses on the machine windings a given stator current space phasor  $\underline{i}_s(t)$ . This can be realized in practice with a pulse width modulated inverter operating at a switching frequency of a few kilohertz in the current regulated PWM mode. The first of the machine equations 9.26

therefore serves only to determine the stator voltage  $\underline{v}_s(t)$  and need not be considered in determining the dynamic response of the machine. It is the second equation, corresponding to the rotor, that determines the machine behaviour. This equation is repeated here, with  $M = L_0$  and  $L_r = (1 + \sigma_r)L_0$ .

$$R_r \underline{i}_r(t) + (1 + \sigma_r)L_0 \frac{d\underline{i}_r(t)}{dt} + L_0 \frac{d}{dt}[\underline{i}_s(t)e^{-j\varepsilon(t)}] = 0$$

The rotor flux space phasor is given by

$$\underline{\psi}_r(t) = L_0(1 + \sigma_r)\underline{i}_r(t) + L_0\underline{i}_s(t)e^{-j\varepsilon(t)} \quad (9.40)$$

in rotor coordinates. The representation in terms of stator coordinates can be obtained by multiplying equation 9.40 by  $e^{j\varepsilon(t)}$ , giving

$$\underline{\psi}_r(t)e^{j\varepsilon(t)} = L_0[\underline{i}_s(t) + (1 + \sigma_r)\underline{i}_r(t)e^{j\varepsilon(t)}] \quad (9.41)$$

$$= L_0\underline{i}_{mr}(t) \quad (9.42)$$

Therefore

$$\underline{i}_{mr}(t) = [\underline{i}_s(t) + (1 + \sigma_r)\underline{i}_r(t)e^{j\varepsilon(t)}] \quad (9.43)$$

The rotor equation then becomes:

$$\frac{R_r}{1 + \sigma_r}[\underline{i}_{mr}(t) - \underline{i}_s(t)]e^{-j\varepsilon(t)} + L_0 \frac{d}{dt}[(\underline{i}_{mr}(t) - \underline{i}_s(t))e^{-j\varepsilon(t)}] + L_0 \frac{d}{dt}[\underline{i}_s(t)e^{-j\varepsilon(t)}] = 0 \quad (9.44)$$

It must be observed that equation 9.44 is still in terms of the rotor coordinate system. After simplification

$$\frac{R_r}{1 + \sigma_r}[\underline{i}_{mr}(t) - \underline{i}_s(t)]e^{-j\varepsilon(t)} + L_0 \frac{d}{dt}[\underline{i}_{mr}(t) - j\frac{d\varepsilon(t)}{dt}\underline{i}_{mr}(t)L_0]e^{-j\varepsilon(t)} = 0 \quad (9.45)$$

If equation 9.45 is multiplied by  $e^{j\varepsilon(t)}$ , the resulting equation will be in terms of stator coordinates. Observing that  $\frac{d\varepsilon(t)}{dt} = \omega$ , the speed of the machine,

$$L_0 \frac{d}{dt}\underline{i}_{mr}(t) + \frac{R_r}{1 + \sigma_r}\underline{i}_{mr}(t) - j\omega L_0\underline{i}_{mr}(t) - \frac{R_r}{1 + \sigma_r}\underline{i}_s(t) = 0 \quad (9.46)$$



This equation can now be expressed in terms of a coordinate system fixed to the rotor flux  $\underline{\psi}_r(t)$  or equivalently to the current  $\underline{i}_{mr}(t)$ . To do this,  $\underline{i}_{mr}(t)$  is first expressed in polar form with respect to stator coordinates as

$$\underline{i}_{mr}(t) = i_{mr}(t)e^{j\rho(t)} \quad (9.47)$$

Where  $i_{mr}(t)$  is the instantaneous magnitude of the current space phasor  $\underline{i}_{mr}(t)$  and  $\rho(t)$  is its instantaneous position with respect to the stator real axis. Now equation 9.46 can therefore be written as

$$L_0 \frac{d}{dt} i_{mr}(t) e^{j\rho(t)} + \frac{R_r}{1 + \sigma_r} i_{mr}(t) e^{j\rho(t)} - j\omega L_0 i_{mr}(t) e^{j\rho(t)} - \frac{R_r}{1 + \sigma_r} \underline{i}_s(t) = 0 \quad (9.48)$$

i.e.

$$[L_0 \frac{d}{dt} i_{mr}(t) + \frac{R_r}{1 + \sigma_r} i_{mr}(t) + jL_0 \frac{d\rho}{dt} i_{mr}(t) - j\omega L_0 i_{mr}(t)] e^{j\rho(t)} = \frac{R_r}{1 + \sigma_r} \underline{i}_s(t) \quad (9.49)$$

Equation 9.49, which is still in stator coordinates, can now be transformed into rotor flux coordinates by multiplying by  $e^{-j\rho(t)}$ , giving

$$L_0 \frac{d}{dt} i_{mr}(t) + \frac{R_r}{1 + \sigma_r} i_{mr}(t) + j(\omega_{mr} - \omega) L_0 i_{mr}(t) = \frac{R_r}{1 + \sigma_r} \underline{i}_s(t) e^{-j\rho(t)} \quad (9.50)$$

where  $\omega_{mr} = \frac{d\rho(t)}{dt}$  is the instantaneous angular speed of the rotor flux. The right hand side of the equation 9.50 contains the transformation of the stator current space phasor to the rotor flux coordinate system. If the coordinates of the stator current in this system are denoted by  $i_{sd}(t)$  and  $i_{sq}(t)$ , then

$$\underline{i}_s(t) e^{-j\rho(t)} = i_{sd}(t) + j i_{sq}(t) \quad (9.51)$$

Equation 9.50 can now be separated into real and imaginary parts as follows:

$$\begin{aligned} L_0 \frac{d}{dt} i_{mr}(t) + \frac{R_r}{1 + \sigma_r} i_{mr}(t) &= \frac{R_r}{1 + \sigma_r} i_{sd}(t) \\ (\omega_{mr} - \omega) L_0 i_{mr}(t) &= \frac{R_r}{1 + \sigma_r} i_{sq}(t) \end{aligned} \quad (9.52)$$

Defining the rotor time constant as

$$T_r = \frac{L_r}{R_r} = \frac{L_0(1 + \sigma_r)}{R_r} \quad (9.53)$$

equation 9.52 can be rewritten as

$$T_r \frac{di_{mr}(t)}{dt} + i_{mr}(t) = i_{sd}(t) \quad (9.54)$$

$$\omega_{mr}(t) = \frac{d\rho}{dt} = \omega + \frac{i_{sq}(t)}{T_r i_{mr}(t)} \quad (9.55)$$

The above two equations now describe the dynamics of the current fed induction motor in the rotor flux oriented frame of reference.  $i_{sd}(t)$  and  $i_{sq}(t)$  are the inputs to the machine.  $i_{mr}(t)$ ,  $\frac{d\rho(t)}{dt}$  and  $\omega$  are the outputs or the response of the machine. Of course, to make the equation complete, the torque equation should also be written in terms of field coordinates, which can be done as follows. From equation 9.25, the torque developed is given by

$$\begin{aligned} M_d(t) &= \frac{2}{3} \frac{P}{2} L_0 \text{Im}[\underline{i}_s(t)(\underline{i}_r(t)e^{j\varepsilon})^*] \\ &= \frac{2}{3} \frac{P}{2} \frac{L_0}{1 + \sigma_r} \text{Im}[\underline{i}_s(t)[\underline{i}_{mr}(t) - \underline{i}_s(t)]^*] \\ &= \frac{2}{3} \frac{P}{2} \frac{L_0}{1 + \sigma_r} \text{Im}[\underline{i}_s(t)\underline{i}_{mr}(t)^*] \end{aligned}$$

i.e.

$$\begin{aligned} M_d(t) &= \frac{2}{3} \frac{P}{2} \frac{L_0}{1 + \sigma_r} \text{Im}[\underline{i}_s(t)i_{mr}(t)e^{j\rho(t)}] \\ &= \frac{2}{3} \frac{P}{2} \frac{L_0}{1 + \sigma_r} \text{Im}[i_{mr}(t)[\underline{i}_s(t)e^{j\rho(t)}]] \\ &= \frac{2}{3} \frac{P}{2} \frac{L_0}{1 + \sigma_r} \text{Im}[i_{mr}(t)[i_{sd}(t) + ji_{sq}(t)]] \\ &= \frac{2}{3} \frac{P}{2} \frac{L_0}{1 + \sigma_r} i_{mr}(t)i_{sq}(t) \end{aligned} \quad (9.56)$$

Therefore the complete machine equations can now be given as

$$T_r \frac{di_{mr}(t)}{dt} + i_{mr}(t) = i_{sd}(t)$$

$$\omega_{mr}(t) = \frac{d\rho}{dt} = \omega + \frac{i_{sq}(t)}{T_r i_{mr}(t)}$$

$$J \frac{d\omega_m}{dt} = \frac{2}{3} \frac{P}{2} \frac{L_0}{1 + \sigma_r} i_{mr}(t) i_{sq}(t) - M_{load} \quad (9.57)$$

$$\frac{d\varepsilon}{dt} = \omega = \frac{P}{2} \omega_m \quad (9.58)$$

The decoupling between flux and torque control is now clear. If it is desired to change the flux, the input  $i_{sd}(t)$  to the machine should be controlled. The response of  $i_{mr}(t)$  is slow, limited by the large rotor time constant  $T_r$  as seen from equation 9.54. However, if it is desired to control the torque, this can be done by controlling the input  $i_{sq}(t)$  appropriately, without disturbing  $i_{mr}(t)$  by changing  $i_{sd}(t)$ . The torque response does not have any limiting time constants under this type of control and torque response is instantaneous. Thus the stator current is now decomposed into two spatially orthogonal components  $i_{sd}(t)$  and  $i_{sq}(t)$ , resembling the field and armature current in the *dc* machine, which control the flux and the torque independently. This is the basis of field oriented control of the induction motor. Pictorially the process of control can be represented as follows:

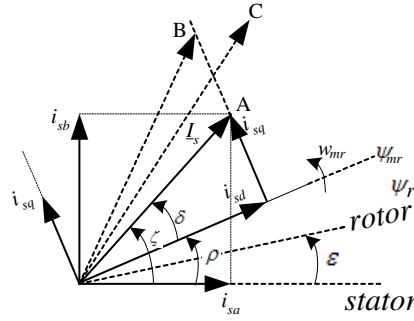


Figure 9.7:

Assume that initially the stator current space phasor is  $OA$ . If it is now desired to increase the developed torque, the correct way to achieve fast decoupled response is to move stator current to the position  $OB$ , thereby keeping  $i_{sd}$  constant and changing only  $i_{sq}$ . If it is attempted to move the current phasor to a location such as  $OC$  then  $i_{sd}$  and consequently  $i_{mr}$  have to change. This will result in a slow and oscillatory response of the machine.

The dynamic behaviour of the induction motor in the rotor flux reference frame can be represented by the block diagram shown Figure 9.8. The motor block diagram consists of





into command values for the phase currents, it is clear that accurate information regarding the instantaneous position of the rotor flux, given by the angle  $\rho$ , is essential. In Figure 9.9, this is accomplished through the flux acquisition system. Provided this task can be performed accurately, field oriented control yields several significant advantages:

- it allows direct control of flux and torque, making torque limiting and field weakening possible
- with correct information regarding the angle  $\rho$ , the motor is self controlled and cannot pull out; in the event of an overload torque, the motor is stalled with maximum torque.
- decoupling between flux and torque is effective even under dynamic conditions
- since the controllers process dc quantities in the steady state, the effect of unavoidable phase shifts in the control loops is not present.

In order to realise these advantages, however, the major task of acquiring the flux signal has to be performed accurately over the entire speed range down to zero speed, especially for reversing drives. This is a formidable task and had to await the advent of microprocessors and other signal processing chips. However, with present day technology, the signal processing that is required can be effectively accomplished.

Depending on the method employed to sense the flux, field oriented control can be classified into two major categories, direct and indirect. In indirect field orientation, the air gap flux in the machine is directly measured by measuring the induced voltage in Hall sensors or flux sensing coils and integrating it. However, there are several difficulties associated with this approach. The motor has to be specially modified in order to accommodate the sensing device. Moreover, the Hall sensors are fragile. Also the integrators are subject to drift at low frequencies and this limits the lowest speed at which the technique can be used. The induced voltage in the sensing devices contain harmonics due to rotor slots. These harmonics are difficult to filter as their frequency changes with the speed of the machine. Because of these reasons the direct method is usually very difficult to employ. But it has the merit that the measurements are not dependent on machine parameter values, which change with temperature, saturation, etc.

Instead of using voltages from sensing coils, the machine terminal voltage themselves can be used. However, in this case, the stator resistance drop has to be compensated before integration. Since the resistance changes with temperature, this is also difficult to achieve accurately.

A different approach is followed in the indirect method of field orientation. This method uses the model equations of the machine with easily measurable quantities as inputs and



Accurate orientation of the stator current space phasor by this method requires a knowledge of machine parameters for performing the computation. For example, the rotor time constant  $T_r$  should be known accurately. Since the rotor resistance varies with temperature,  $T_r$  is subject to variation. Therefore to achieve best results, indirect field orientation requires some kind of adaptation scheme to keep track of parameter variations [4, 5].

## 9.7 Implementation of Indirect Field Orientation

Since a lot of nonlinear elements such as multipliers, function generators, etc. are essential to perform the signal processing necessary for indirect field orientation, analog realisation becomes expensive and difficult to adjust. Therefore, a digital implementation is the only feasible solution and is a practical proposition with today's microprocessors and memories. This carries with it all the advantages of digital control by software, such as standardised hardware, flexibility, etc.

As a first step towards microprocessor based control, it is necessary to digitise the various analog signals. This requires that the necessary resolution in amplitude and the sampling rate be fixed. With respect to amplitude resolution, the use of 10 or at most 12-bit A/D converters is adequate for digitizing the analog signals from the motor such as speed and current. Only in exceptional situations is higher resolution necessary; 16-bit microprocessors such as 8086 or 8088 can provide sufficient word length. For the digital to analog conversion, 10-bit resolution is sufficient. However, the sampling rates cannot be uniform for all the control processes and have to be selected depending on the nature of the signals being processed. Basically there are three main control functions in the induction motor speed control system: a) torque control, (b) speed control and (c) flux control. In a position control application, there will be an additional position control loop. Of the three control functions, torque control requires sensing of the stator currents which may vary at frequencies of upto 100 Hz for a 50 Hz motor taking field weakening operation into account. Therefore the sampling rate is the highest for the torque control function. The speed control function should have the next lower sampling rate with the flux control function possibly having an even slower rate. For example, with a transistor PWM inverter and 8086 processor, the following sampling times have been found to be adequate.

a) Sampling rate of 1 msec for torque control; this includes the following steps:

- A/D conversion of stator currents
- Updating of flux model
- transformation to field coordinates



- torque control
- transformation of reference currents from field to stator coordinates
- outputting of stator current references

b) Sampling period of 5 msec for

- flux control including field weakening
- speed control
- position control

Where a position encoder is used for sensing speed/position, the sampling time sometimes has to be varied with the speed. Use of an absolute encoder is preferable for sensing speed and position as it removes any ambiguity regarding the initial position. However, incremental decoders are much less expensive.

Since the sampling rates are fairly high, the control loops can be treated as practically continuous time systems. Because the plant - the induction motor - has become decoupled and linearised to a considerable extent as a result of field orientation, familiar methods used in conjunction with dc drives can be used to design the controllers. The overall block diagram

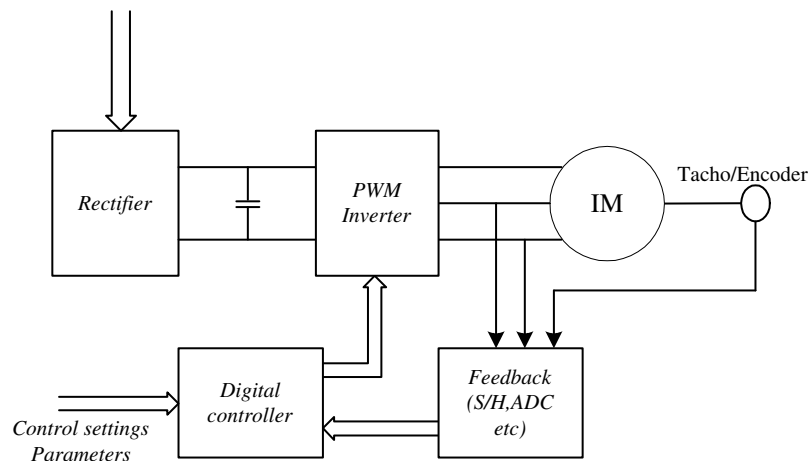


Figure 9.11:

of an induction motor drive with a microprocessor controller is as shown in Figure 9.11. A variety of processors are available with the required 16-bit word length. An essential feature that is necessary to execute field oriented control is the capability to perform multiplications and divisions. In general, by using normalized variables throughout the control system, the

need for floating point operations may be avoided and integer arithmetic will suffice. In case the speed of the processor is inadequate while executing multiply and divide instructions, the processor may be augmented by a hardware multiplier/divider. Figure 9.12 shows a possible hardware organisation of the microprocessor based controller.

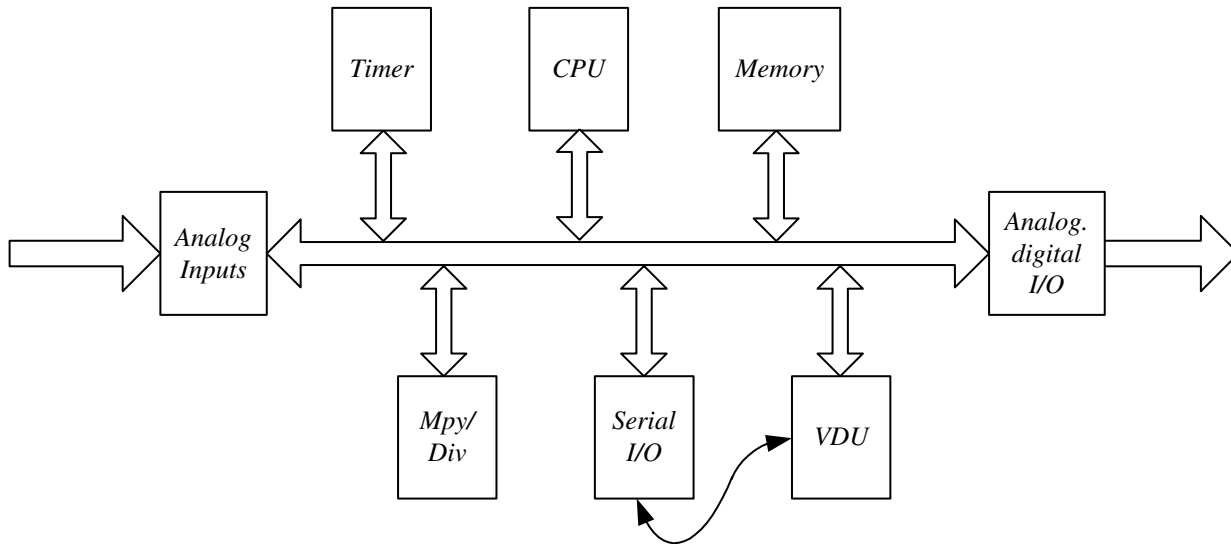


Figure 9.12:

The software should be organised in such a way that the routine for torque control gets priority over the computation for the speed loop and the flux loop. The torque control routine is invoked at regular intervals by a timer interrupt. The timing for the various routines and their priority in execution can be indicated as shown in Figure 9.13. Usually the controllers

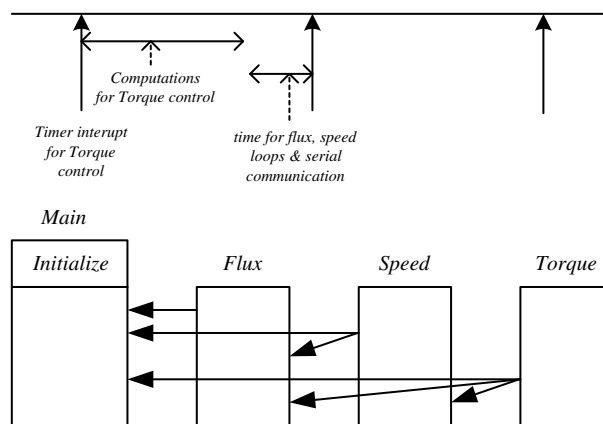


Figure 9.13:

used in the drive system are all of the PI type. Figure 9.14 shows the block diagram of a PI controller. The defining equations for the PI controller are as follows:

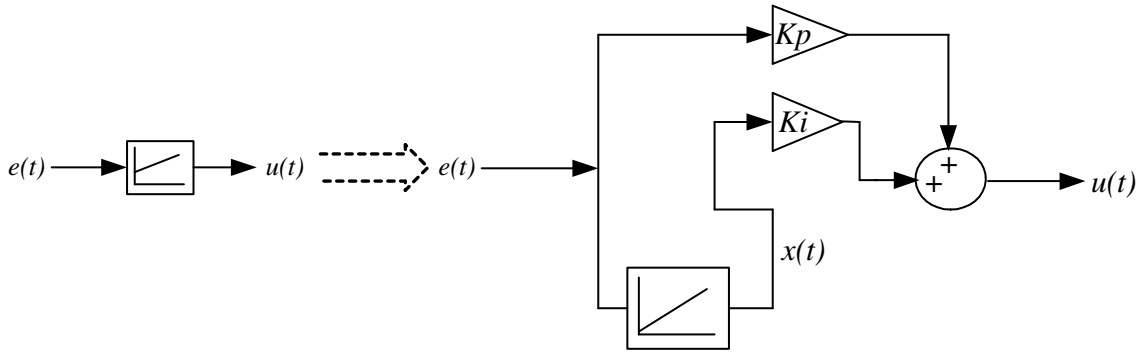


Figure 9.14:

$$u(t) = k_p e(t) + k_i \int e(t) dt \quad (9.66)$$

$$x(t) = \int_{t_0}^t e(\tau) d\tau + x(t_0) \quad (9.67)$$

The digital realisation for a microprocessor PI controller is as follows:

$$x(kT) = (T/2)[e(kT) + e[(k-1)T]] + x(k-1)T \quad (9.68)$$

$$u(kT) = k_p e(kT) + k_i x(kT) \quad (9.69)$$

using the simple trapezoidal rule for integration.

Consider the drive block diagram of Figure 9.10. Assuming that the torque, speed and flux controllers are of the PI type and also that the sampling times for the torque, speed and flux control are  $T_0$ ,  $T_1$  and  $T_2$  respectively ( $T_0 < T_1 < T_2$ ), the computations to be performed in each sampling interval can be listed as shown below. The PI controller parameters are denoted by and with subscripts,  $k_p$  and  $k_i$  with subscripts  $m$ ,  $s$  and  $t$  for torque, speed and flux respectively. The outputs of the unity gain integrators (Figure 9.14) in the three controllers are denoted by  $x_m$ ,  $x_s$  and  $x_f$  respectively.

Note: Recent developments in fast Digital Signal Processors operating at high clock speeds upwards of 20 MHz with single cycle multiply instructions have made it much easier to organise the control loops. It is no longer essential, although it may be convenient in some ways, to execute the different loops with different times. They can all be executed once in each sampling interval. It is still possible to achieve sampling intervals of the order of  $64\mu s$  comfortably.

## 9.8 Conclusion

The basic principle of field oriented control of a squirrel cage induction motor was developed assuming a controlled current pwm inverter. Considerations for implementation using a microprocessor based controller have been outlined. The assumption of constant current supply is usually not valid at high speeds where the inverter ceiling voltage is reached and the dc bus voltage cannot force current against the back emf of the machine. Further, in the case of high power thyristor inverters operating at switching frequencies of a few hundred hertz, it can no longer be assumed that current of any value can be instantaneously forced into the stator windings. In such cases, the inverter becomes a voltage source rather than a current source. In this case also the technique of field orientation can be applied. However, now the stator transients as represented by the stator equation 9.23 cannot be neglected [3]. Equation 9.23 has to be transformed into field coordinates and the associated interactions have to be represented in the machine block diagram. However, these are considerations for the implementation of field oriented control. The basic principle does not change. Similarly an induction motor controlled by a current source inverter (ASCI) can also be provided with vector control. In fact the principle of field orientation can be applied for the control of any rotating field machine; wound rotor induction motors, synchronous motors either with field winding or of the permanent magnet (PM) type can all be controlled using this principle to obtain high performance drives [6,7].

## 9.9 References

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# Chapter 10

## Space-Phasor Based PWM Techniques

The concept of space-phasor, which was dealt with in connection with the modelling and control of induction motors, can also be used as a basis for developing pulse width modulation (PWM) strategies for inverters. In particular, space phasor based PWM techniques for voltage source inverters are discussed in the following.

Consider a three-phase half bridge voltage source inverter, shown in Figure 10.1. Each phase to center-tap voltage, viz.  $V_{R0}$ ,  $V_{Y0}$ , and  $V_{B0}$  can have only two possible values, namely  $\frac{V_{dc}}{2}$  or  $-\frac{V_{dc}}{2}$ , depending on whether the top switch or the bottom switch is being gated. Thus each phase can be regarded as a single pole double-throw switch. The two states of each switch are denoted by  $+$  and  $-$  in the following, corresponding to the phase to center voltage being  $\frac{V_{dc}}{2}$  and  $-\frac{V_{dc}}{2}$  respectively. As there are three phases, there are eight possible switching states for the inverter at any instant of time.

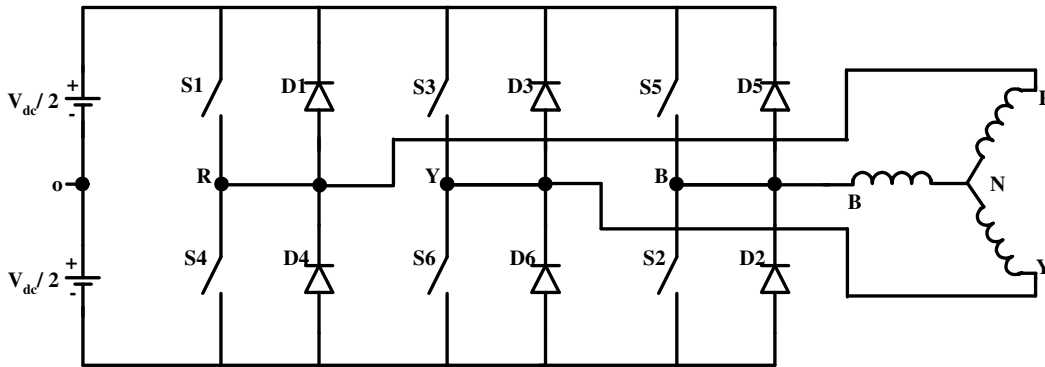


Figure 10.1: Three phase half bridge voltage source inverter.

Corresponding to each of the switching states, the motor line-to-neutral voltages can be

determined using the following equations:

$$\begin{aligned} V_{s1} &= V_{RN} = \frac{1}{3}[V_{RY} - V_{BR}] = \frac{1}{3}[2V_{R0} - V_{Y0} - V_{B0}] \\ V_{s2} &= V_{YN} = \frac{1}{3}[V_{YB} - V_{RY}] = \frac{1}{3}[2V_{Y0} - V_{B0} - V_{R0}] \\ V_{s3} &= V_{BN} = \frac{1}{3}[V_{BY} - V_{YB}] = \frac{1}{3}[2V_{B0} - V_{R0} - V_{Y0}] \end{aligned} \quad (10.1)$$

The two two-phase voltages  $V_{sa}$  and  $V_{sb}$  that occur in the definition of the space phasor can be determined as

$$v_{sa} = \frac{3}{2}v_{s1} \quad (10.2)$$

$$v_{sb} = \frac{\sqrt{3}}{2}[v_{s2} - v_{s3}] \quad (10.3)$$

The space-phasor of the machine stator voltage then obtained as

$$\bar{v}_s = v_{sa} + jv_{sb} \quad (10.4)$$

The eight switching states of the inverter and the corresponding space phasors of the machine stator voltage are shown in Figure 10.2 and 10.3 respectively.

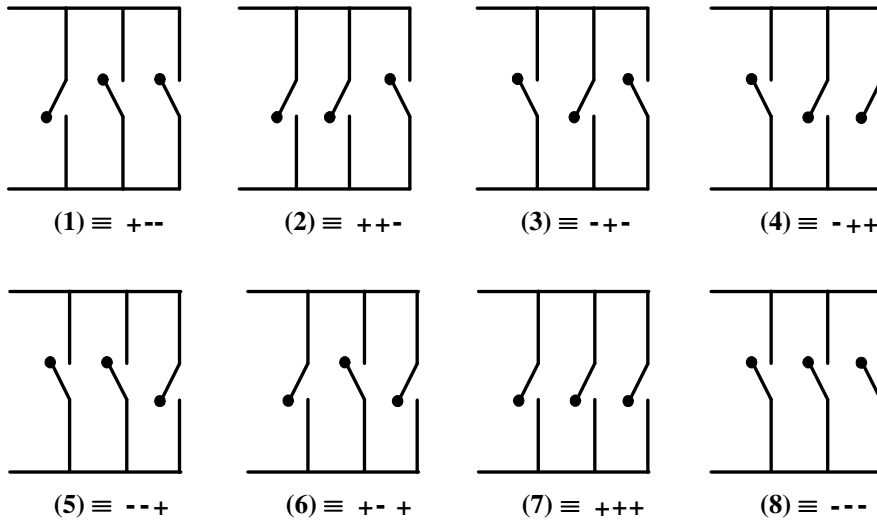


Figure 10.2: Eight switching states of the inverter.

It can be seen that at any instant of time there are only eight possible positions for the voltage space phasors. When the inverter is operated in the six step mode, the switching states go through the sequence 1-2-3-4-5-6-1-2-3. The states 7 and 8 are not used at all. The space phasor of stator voltage stays in each of the position 1 to 6 for a time interval

corresponding to  $60^\circ$  of the fundamental period and jumps to the next position at the end of every  $60^\circ$  ( $\frac{1}{6}$  of the fundamental period). With the above switching sequence, at every jump in the position of the voltage space phasor, only one of the three phases of the inverter switches from top to bottom or vice versa.

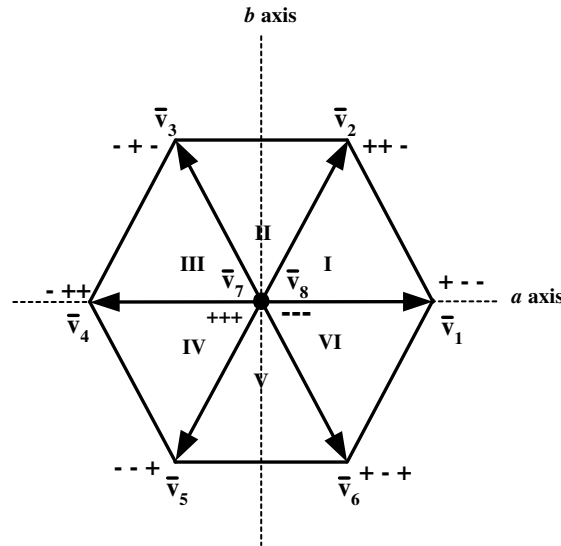


Figure 10.3: Space phasors corresponding to each switching state.

The ideal trajectory for the voltage space phasor  $\bar{v}_s$  is of course a circle described with uniform angular velocity. The objective of any PWM process is to approximate this ideal trajectory of  $\bar{v}_s$  by switching amongst the eight standard positions of  $\bar{v}_s$ . Towards this end, the continuously moving reference vector  $\bar{v}_s^*$  is sampled at a sampling frequency  $f_s$ . During the interval  $T_s = \frac{1}{f_s}$  between samples, the reference  $\bar{v}_s^*$  is assumed to remain constant. It is clear that for this assumption to be valid, the sampling frequency should be fairly high compare to fundamental output frequency desired from the inverter.

Now consider that at a particular sampling instant, the reference  $\bar{v}_s^*$  is situated in sector 1 as shown in Figure 10.4. The angle  $\alpha$  represents a position of the reference vector with respect to the beginning of the sector.

It is intuitively clear that the reference vector can be reproduced best during the period till the next sample by switching the inverter to create the vectors  $v_1, v_2, v_7$  and  $v_8$  in some sequence. Selecting any of the other vectors  $v_3$  to  $v_6$  would result in a greater deviation of the actual vector from the desired reference and would thus contribute to harmonics.

The switching pattern can be calculated as follows.

Assume that the sampling period  $T_s$  is divided into three sub intervals  $T_1, T_2$  and  $T_0$ . The inverter is switched so as to produce the vector  $V_1$  for  $T_1$  seconds and  $V_2$  for  $T_2$  seconds

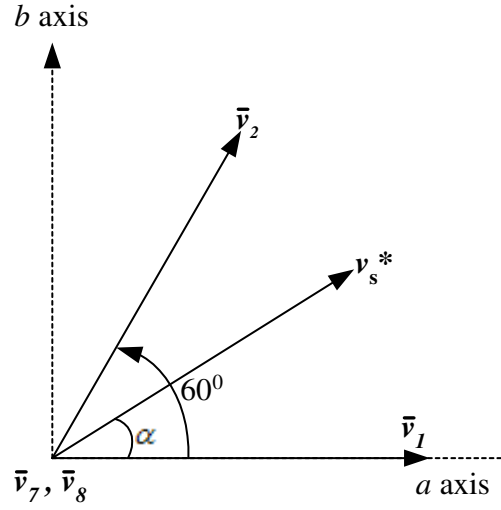


Figure 10.4: Sampled reference vector located in sector I.

and ‘zero’ (i.e. either  $v_7$  or  $v_8$ ) for  $T_0$  seconds. The sub-intervals  $T_1, T_2$  and  $T_0$  have to be calculated so that the volt-seconds produced by the vectors  $\bar{V}_1, \bar{V}_2$  and  $\bar{V}_7/\bar{V}_8$  along the a and b axes are the same as those produced by the desired reference vector  $\bar{V}_s^*$ , i.e.

$$V_{dc}T_1 + V_{dc} \cos 60^\circ T_2 = |\bar{v}_s^*| \cos \alpha T_s \dots a \text{ axis} \quad (10.5)$$

$$V_{dc} \sin 60^\circ T_2 = |\bar{v}_s^*| \sin \alpha T_s \dots b \text{ axis} \quad (10.6)$$

where  $|\bar{v}_s^*|$  is the amplitude or length of the reference vector.

$$a = \frac{|\bar{v}_s^*|}{V_{dc}} \quad (10.7)$$

Then equations 10.5 and 10.6 can be rewritten as

$$T_1 + T_2 \cos 60^\circ = a T_s \cos \alpha \quad (10.8)$$

$$T_2 \sin 60^\circ = a T_s \sin \alpha \quad (10.9)$$

Solving for  $T_1$  and  $T_2$

$$T_1 = T_s a \frac{\sin(60^\circ - \alpha)}{\sin 60^\circ} \quad (10.10)$$

$$T_2 = T_s a \frac{\sin \alpha}{\sin 60^\circ} \quad (10.11)$$

$$T_0 = T_s - T_1 - T_2 \quad (10.12)$$

As the reference vector moves to other sectors, the corresponding boundary vectors of the sector should be created during the intervals  $T_1$  and  $T_2$ .



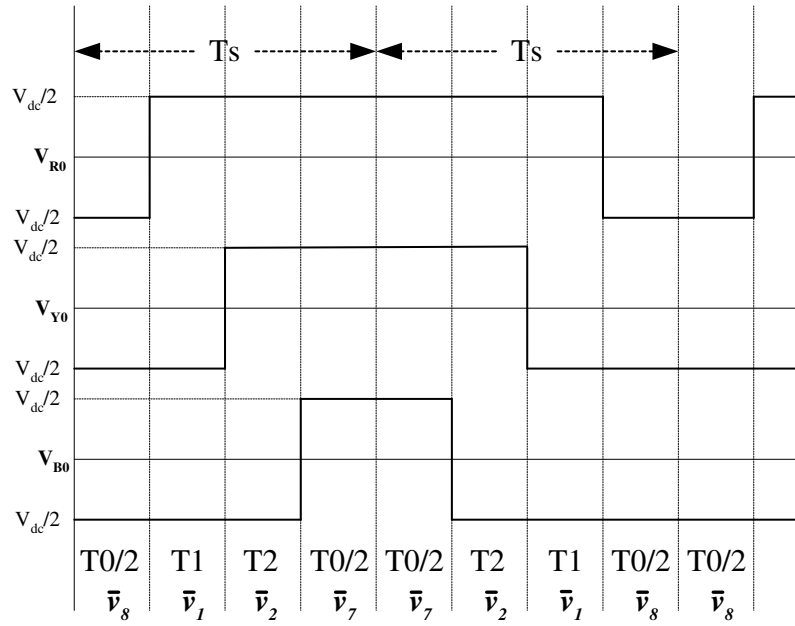


Figure 10.5: Switching sequence during each successive sampling intervals.

In order to minimize the number of switchings in the inverter, it is desirable that switching should take place in one phase of the inverter only for a transition from one state to another. This can be achieved if the following switching sequence is used:

8-1-2-7-2-1-8-1-2-7.....

Therefore the zero interval  $T_0$  is divided into two equal halves of length  $\frac{T_0}{2}$ . These half intervals are placed at the beginning and end of every sampling interval  $T_s$ . If the half at the beginning is realized as  $v_8$ , then that at the end is realized as  $v_7$  and vice-versa. The switching sequence during successive sampling interval will then be as above.

It can be seen from the Figure 10.5 the chopping frequency  $f_c$  of each phase of the inverter is given by

$$f_c = \frac{f_s}{2} \quad (10.13)$$

It should be pointed out that during each sampling interval, the desired reference vector is approximated in an average sense, since the volt-seconds are equated. However, instantaneously, the actual vectors produced by the inverter are different from the reference vector and therefore instantaneous voltage deviations or voltage ‘ripple’ exists. As a result harmonic currents will flow in the machine. By following the above mentioned switching sequence, harmonics are to some extent reduced.

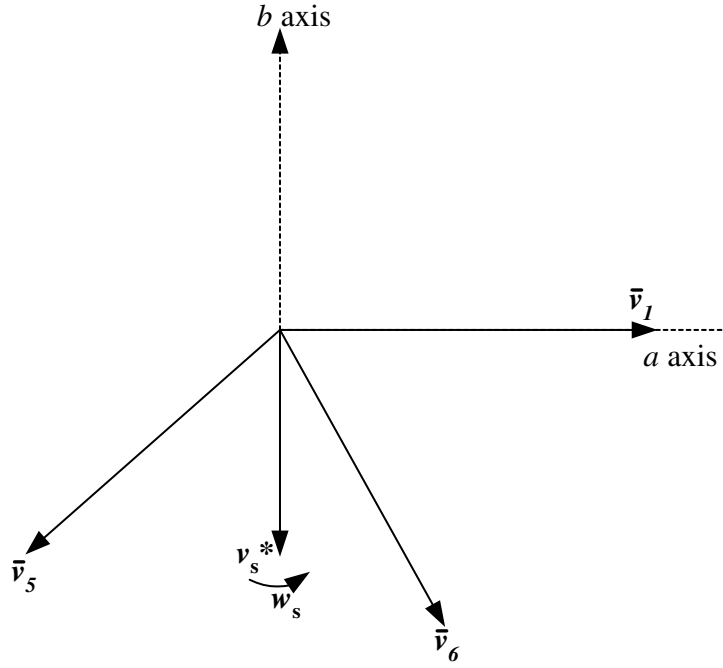


Figure 10.6: Reference time instant for calculating  $\underline{v}_{R0}$ .

## 10.1 Comparison with Sinusoidal PWM

In order to compare the space vector PWM with the sinusoidal PWM, the mean value of the inverter phase to dc center-tap voltages are calculated first, over one full cycle of the fundamental i.e. for one full rotation of reference vector  $\bar{v}_s^*$ . Let  $t = 0$  correspond to the instant where the average value  $\underline{v}_{R0}$  of the phase to center tap voltage  $v_{R0}$  goes through its positive zero crossing. At this instant, therefore, the reference vector  $\bar{v}_s^*$  will point vertically downwards as shown in Figure 10.6. From this initial position, the reference vector rotates in the anticlockwise direction with angular velocity  $\omega_1$ . Its position at any instant  $t$  with respect to the starting position is given by the angle  $\theta = \omega_1 t$ . For  $0^\circ \leq \theta \leq 30^\circ$ , the reference vector is in sector  $V$ ; for  $30^\circ \leq \theta \leq 90^\circ$ , the reference vector lies in sector  $VI$ . If the variation of  $\underline{v}_{R0}$  can be determined over these  $90^\circ$ , then its waveform over the rest of the cycle can be drawn using symmetry.

For

$$0^\circ \leq \theta \leq 30^\circ, \quad \underline{v}_{R0} = \frac{V_{dc}}{2} \frac{1}{T_s} [-T_1 + T_2] \quad (10.14)$$

further

$$\alpha = 30^0 + \theta \quad (10.15)$$

Using the expressions 10.10 and 10.11 for  $T_1$  and  $T_2$ , it can be shown that

$$\underline{v}_{R0} = V_{dc}.a \sin \theta = V_{dc}.a \sin \omega_1 t \quad (10.16)$$

for

$$30^0 \leq \theta \leq 90^0, \quad \underline{v}_{R0} = \frac{V_{dc}}{2} \frac{1}{T_s} [T_1 + T_2] \quad (10.17)$$

However,

$$\alpha = \theta - 30^0$$

Again, using 10.10 and 10.11 in 10.17, it can be shown that

$$\underline{v}_{R0} = V_{dc} \frac{1}{\sqrt{3}} a \sin(30^0 + \theta)$$

i.e.

$$\underline{v}_{R0} = V_{dc} \frac{1}{\sqrt{3}} a \sin(30^0 + \omega_1 t) \quad (10.18)$$

Using the expressions in 10.16 and 10.18 and taking the advantage of the symmetry, the variation of  $\underline{v}_{R0}$  over one full cycle of the fundamental can be drawn. The waveforms for  $\underline{v}_{Y0}$  and  $\underline{v}_{B0}$  will also be identical except for mutual phase shift of  $120^0$ . The mean value of the line voltages  $\underline{v}_{RY}$ ,  $\underline{v}_{YB}$  and  $\underline{v}_{BR}$  can also then be drawn by taking the differences. Figure 10.7 shows the variation of  $\underline{v}_{R0}$  and  $\underline{v}_{RY}$  for the period  $0^0 \leq \omega_1 t \leq 180^0$ .

From Figure 10.7 it can be seen that the waveform of the mean value  $\underline{v}_{R0}$  of the phase to center tap voltage is not sinusoidal but contains triplen harmonics. These harmonics get cancelled in the line to line voltages and hence the waveform of  $\underline{v}_{RY}$  is perfectly sinusoidal. The machine line to neutral voltages will also be perfectly sinusoidal. Considering the waveform of  $\underline{v}_{RY}$ ,

The peak value of mean line to line voltage

$$= \frac{2}{\sqrt{3}} a V_{dc} \quad (10.19)$$

Recalling the definition of the voltage controlled ratio given by equation 10.7, the maximum value of  $a$  is given by

$$= \frac{\text{radius of the largest circle inscribed in the voltage hexagon}}{V_{dc}} \quad (10.20)$$

Therefore,

$$= \frac{V_{dc} \cos 30^\circ}{V_{dc}} = \frac{\sqrt{3}}{2} \quad (10.21)$$

Substituting in 10.19,

The maximum peak line-to-line voltage using space phasor PWM

$$= \frac{2}{\sqrt{3}} \frac{\sqrt{3}}{2} V_{dc} = V_{dc} \quad (10.22)$$

Compare this with the value for sine triangle PWM, given by

The maximum peak line-to-line voltage for sine-triangle PWM

$$= \frac{V_{dc}}{2} \sqrt{3} \quad (10.23)$$

is seen that the space vector PWM gives higher voltage output, while still remaining in

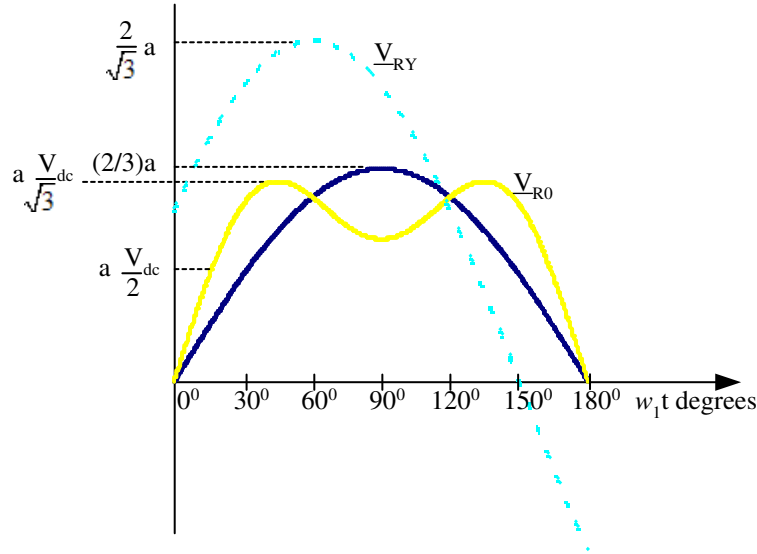


Figure 10.7: Waveform of  $\underline{v}_{R0}$  and the fundamental component of  $\underline{v}_{R0}$ .

modulation, by a factor of  $\frac{2}{\sqrt{3}} = 1.154$ , i.e. nearly 15% more output voltage without going into over modulation. This is an advantage of space vector PWM over the sine triangle technique. Space vector PWM can therefore be regarded as a carrier based PWM technique, with the difference that the reference waveform a triplen harmonics in addition to the fundamental. The method of adding a third harmonic component to the reference sine wave in sine triangle PWM as also been suggested to increase the fundamental output voltage.

It is also possible to derive the peak values of the fundamental line to neutral voltages in the two methods. For sine triangle PWM, this has a maximum value of  $0.5V_{dc}$ . For space vector PWM, the maximum value of the peak fundamental line to neutral voltage of the machine can be written as

$$\begin{aligned}
 v_{Rn1} &= \frac{2}{3} [\text{radius of the largest circle inscribed in the voltage hexagon}] \\
 &= \frac{2}{3} \frac{\sqrt{3}}{2} V_{dc} \\
 &= 0.577 V_{dc}
 \end{aligned} \tag{10.24}$$

## 10.2 Implementation of Space-Vector PWM

Space vector PWM has the advantage that it is amenable to software implementation. In a microprocessor based drive controller, the amplitude  $V_s$  and the position  $\alpha$  within the sector of the reference vector will both be generated as digital words by the software. It is then possible to compute equations (10.10 and 10.11) through software, perhaps by storing the functions  $\sin\alpha$  and  $\sin(60 - \alpha)$  in memory. On examining the switching sequence in Figure 10.5 it can be seen that the reference vector is in zero state at the beginning and end of a sampling interval. Also, the zero state at the end of one sampling interval is the same as the zero state at the beginning of the next sampling interval. Therefore, once the zero state at the end of the interval starts, the computation of  $T_1, T_2$  and  $T_0$  for the next interval can begin and should be completed before the end of the zero state at the beginning of the next sampling interval. The values of  $T_1, T_2$  and  $\frac{T_0}{2}$  can be loaded as terminating counts in counters clocked by a constant frequency and thus translated into pulses of appropriate width for gating the inverter switches. Some decoding will be necessary to decide the boundary states corresponding to the sector in which the reference vector is located.

Implementation by discrete hardware is also possible (3). For example, the amplitude range of  $|\bar{v}_s^*|$  and the angle  $\alpha$  can both be quantized into a finite number of discrete values. Corresponding to each value of  $|\bar{v}_s^*|$  and  $\alpha$ , the gating pulses can be stored in memory and decoded according to the sector. This approach is similar to the stored waveform technique. While the memory size required is appreciable, it is economically quite feasible with the low prices of memories.

The technique of current regulated PWM can also be implemented using the concept of space phasors (4). It is possible to optimize the switching frequency also in order to achieve either the lowest possible switching frequency for a given current ripple or to obtain the fastest possible current response.

## 10.3 References

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