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Improving Performance of C++ Programs with Static Analysis

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1 Introduction

Static analysis is the analysis of computer software without the need to fully compile and execute it as opposed to dynamic analysis[2] which involves running the application. With the help of static analysis we can uncover hard-to-find bugs in the codebase or we can spot potential inefficiencies which otherwise would be hidden to the developers.

It complements traditional testing by providing tools to check aspect of software which could not be done by traditional testing. Testing the performance of an application with the traditional way is much harder than with static analysis. Static analysis can also be used to check for violations of coding conventions.

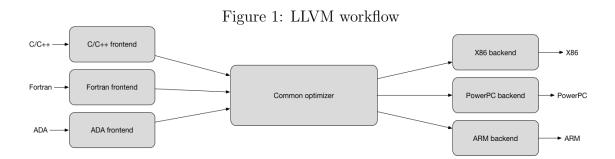
2 Technical background

In this section I will outline different technologies related to the main goal of this thesis. Starting from the global framework, which I'm integrating modules into, to the abstract representation of a C++ application. Also I will highlight the importance of every module to the global picture.

2.1 LLVM

LLVM formerly known as Low Level Virtual Machine is a "collection of the reusable and modular compiler technologies" [3]. LLVM started out as a university project[4], and since then it grew significantly in size and it now offers numerous subprojects which help building and maintaining both commercial and open-source applications.

The essential goal of LLVM is to provide generalized optimizations to arbitrary programming languages using the LLVM Intermediate Language also known as LLVM IR, which acts as a common representation of different programming languages. This is achieved through using specific language front-ends, which transforms the given language to LLVM IR.



A common use-case of LLVM begins with an aforementioned language fontend, which will transform a given language, C++ in our case, to LLVM IR. The common optimizer, then will perform certain optimizations with this intermediate representation depending on the various settings, for instance if we want smaller or faster code. After the optimizer did its job it will transfer the optimized IR to a certain back-end, again depending on different settings, which will generate the actual executable code for the specified architecture.

Describing the LLVM Intermediate Representation it raises the possibility of analyzing directly this representation instead of Abstract Syntax Tree. The drawbacks of this proposal are that this representation is too abstract to bear strong resem-

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blance with the original code which makes it really hard to refactor the original code.

Apart from defining a generalized way of code optimization LLVM provides a full framework of other utility classes and tools, with which one can write better, faster code.

2.2 Clang

The most widely spread C/C++ family compiler front-end for LLVM is Clang which aims to excel from the open-source compilers with its exceptionally fast compile-times and user-friendly diagnostic messages[5]. Because of its library oriented design it's really easy to integrate new modules into the Clang ecosystem and also it can be scaled much more effectively than a monolithic system.

Clang tries to be as user-friendly as possible with its expressive diagnostic messages emitted during compilation. This includes for instance printing the exact location where the erroneous code is, displaying a caret icon (^) at the exact spot.

Also Clang's output is coloured by default making it easier to see what the problem is. Also Clang can represent intervals in the output to show which segment of the code needs changing, along with so-called FixItHints which are little modifications to the code required to fix a certain problem. These can include inserting new code, removing old, and modifying existing.

Figure 2: Clang diagnostic

Figure 3: GCC diagnostic on the same error

```
3.cpp: In function 'int main()':
3.cpp:3:1: error: expected ',' or ';' before '}' token
}
^
```

Clang currently supports the vast majority of the newest features of the inprogress C++17 Standard, and fully supports C++98, C++11 and C++14[6].

2.3 Clang Static Analyzer

This project is built on top of Clang and LLVM and it's a tool for finding bugs in C, C++ and Objective-C code with the use of static analysis. This is a stand alone tool which can be called from the command line and it consists of several modules each checking for different types of bugs in the code.

2.4 Clang-tidy

Clang-tidy is a similar tool to Clang Static Analyzer in its purpose but it uses the Abstract Syntax Tree to find bugs while Clang Static Analyzer uses symbolic execution to find bugs. It extends its functionality providing more modules while also being able to run the checks of Clang Static Analyzer.

It defines itself as "a clang-based C++ "linter" tool"[7]. It uses Clang's expressive diagnostics to print out warnings to the user and also provides support for FixItHints which can be used to automatically fix and refactor erroneous source code.

Clang-tidy also provides access to all the Clang and LLVM framework, making it easy to navigate the abstract syntax tree generated from the C++ code or reading the source-file's text, using the parser to get the next token from a given location for example and also the semantic analyzer functionalities.

In this thesis I am creating four different modules for Clang-tidy which can potentially improve the execution time of C++ applications. Each module addresses different aspects of coding errors which can be rewritten in a more effective way.

2.5 Abstract syntax tree - AST

The fundamental bedrock of compiling a programming language is the construction of a so-called abstract syntax tree, which is a tree that represents language specific constructs annotated with all the information what's needed to generate machine code from the source. From now on when I am talking about AST I mean specifically an AST generated from C++ code.

The AST doesn't contain every syntactic information from the source code, for instance it will not contain semicolons after statements. But will include information about implicit casting of variables, implicit constructor calls, temporary object creation and many other implicitly coded information.

```
int main()
```

```
2
3
    int a = 5;
4    int b = a + 4;
5    return b;
6
}
```

Listing 1: A simple C++ program

Comparing a simple program in Listing:1 with the AST generated from it in Listing:2 we can observe all the extra information the AST has. We can see implicit casting from lvalue to rvalue, a node for expressing compound statement, a lot of memory addresses, source locations and other meta information about the code.

```
FunctionDecl 0x7fe488 <basic.cpp:1:1, line:5:1> line:1:5 main 'int (void)'
  '-CompoundStmt 0x7f15c8 < col:12, line:5:1>
  |-DeclStmt 0x7fe48d <line:2:3, col:12>
   | '-VarDecl 0x7fe480 <col:3, col:11> col:7 used a 'int' cinit
       '-IntegerLiteral 0x7f1420 <col:11> 'int' 5
   |-DeclStmt 0x7fe485 < line:3:3, col:16>
    '-VarDecl 0x7fe48 <col:3, col:15> col:7 used b 'int' cinit
       '-BinaryOperator 0x7fe48d <col:11, col:15> 'int' '+'
8
         |-ImplicitCastExpr 0x7fe48d <col:11> 'int' <LValueToRValue>
         '-DeclRefExpr 0x7fe48d <col:11> 'int' lvalue Var 0x7fe480 'a' 'int'
10
         '-IntegerLiteral 0x7fe4f8 <col:15> 'int' 4
11
    '-ReturnStmt 0x7fe48d <line:4:3, col:10>
12
      '-ImplicitCastExpr 0x7fe598 <col:10> 'int' <LValueToRValue>
13
        '-DeclRefExpr 0x7fe480 <col:10> 'int' lvalue Var 0x7fe48 'b' 'int'
```

Listing 2: AST generated

The generated AST can also be represented as a tree graph to make it visually more appealing. This model represents the best how the different nodes are connected together.

In Clang the AST nodes are implemented as C++ classes with the proper use of inheritance, so the whole AST follows an object-oriented design, making it much easier to work with. In static analysis, we are primarily try to find the patterns connected to the problematic code, and then traverse the AST looking for these patterns.

Clang provides numerous so-called AST matchers[8], which are structures that can match different predicates on AST nodes. This greatly simplifies how one can traverse and look for a specific code pattern in the tree.

```
varDecl(matchesName("foo.*"))
forStmt(hasDescendant(varDecl()))
declRefExpr(hasDeclaration(varDecl(hasName("foo"))))
```

Listing 3: Basic AST matchers

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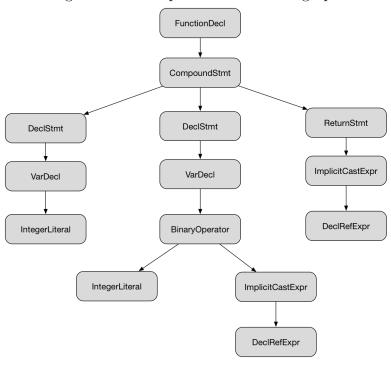


Figure 4: AST represented as a tree graph

In Listing:3 there are some examples of AST matchers. From the first line descending, a matcher that matches a variable declaration whose name begins with "foo"; for statements which have at least one variable declaration in their body; a "foo" named variable used in some context.

2.6 CMake

CMake is a free, open-source and more importantly cross-platform framework for managing complex C/C++ and Fortran projects. CMake handles everything from building the application itself, testing it and even installing/packaging. It's globally used in the industry, some notable applications using it are: Netflix, Inria and KDE[9].

Generally CMake workflow is a two-step procedure. Firstly one generates the platform-specific makefiles with CMake, optionally providing extra arguments such as custom property values, or the compiler to use for the actual compilation of the software. With a great selection of CMake generators it's able to generate project files for popular IDEs for instance Visual Studio, Apple's Xcode or even for CodeBlocks[10].

After the platform specific makefiles or project files are written, then the project is opened with the corresponding IDE or simply compile with make command on

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Unix-like systems.

In the light of the aforementioned it's no surprise that the LLVM project uses CMake too. It simplifies the generation of makefiles or project files, makes it really simple to run the unit and regression-tests associated with LLVM and its subprojects. Also it makes the project modular with conditionally including different subprojects, for instance the project can be compiled without the clang-tools-extra project, that contains Clang-tidy, but one can add the project by simply putting the directory in its place and refreshing the CMake configuration.

3 User documentation

3.1 Problems solved by new modules

The four new modules created for Clang-tidy aim to address four fairy distinctive and inefficient programming patterns.

3.1.1 Inefficient String Concatenation[1]

The first problem involves the standard library's string class. This class, more precisely

std::basic_string<char>, is the default implementation of a modifiable high-level character sequence in the language. It provides several functions which can modify, transform the underlying characters.

The problem arises when one wants to concatenate two or more strings. There are several methods achieving the concatenation: operator+, operator+= and .append() member function. The problem arises when one wants to include the original string in the concatenation with a structure similar to a = a + b; which is highly inefficient. In Listing:4 the concatenation using operator+ is causing a noticeable performance overhead in the application.

```
std::string a("Foo"), b("Baz");
for (int i = 0; i < 20000; ++i)

{
    a = a + "Bar" + b;
}</pre>
```

Listing 4: Highly inefficient code

This program could be refactored into a much faster code using either operator+= or .append() member function as shown in Listing:5.

```
std::string a("Foo"), b("Baz");
for (int i = 0; i < 20000; ++i)
{
    a.append("Bar").append(b);
}</pre>
```

Listing 5: A more efficient version

This check doesn't involve a FixItHint because the solution cannot be generalised

3.1.2 Inefficient Stream Use

The second problem involves the standard library's operator<< and std::ostream. In C++ you can use std::cout to print to the console output, which is really helpful for logging or just this is the way the program communicates with the user, for instance Clang-tidy or Clang itself.

There are two issues with using the standard way of interaction with the console. First is when using single characters yet annotating them with "" (double quotes), for example: "a". It's quite inefficient when you put such constructed characters to the stream. Instead one should construct single characters as 'a'.

```
std::cout << "a" << "b" << "c";
```

Listing 6: Sightly inefficient way of streaming characters

```
std::cout << 'a' << 'b' << 'c';
```

Listing 7: A generally more efficient version

The other problem which raises more concern about the performance is streaming multiple

std::endl after each other. Unnecessary use of std::endl can potentially cause massive performance hogs in an application especially if it's a library which is intended to be used by others. For example the code in Listing:8 can be rewritten in a highly more efficient form as in Figure:9

```
std::cout << std::endl << std::endl;
```

Listing 8: A really slow way to print newlines

```
std::cout << '\n' << std::endl;
```

Listing 9: A more efficient version

This check contains FixItHints for correcting the aforementioned issue. It replaces "" with '' and also rewrites multiple std::endl function calls in a stream, except for the last one.

3.1.3 Shared Pointer Conversion

This problem is relevant to std::shared_ptr in the standard library. A shared_ptr is a smart pointer, therefore it cannot dangle (point to invalid memory address), which allows other

shared_ptrs to point at the same object as the first pointer, as opposed to std::unique_ptr

which doesn't allow multiple owners of the object it points to. This makes it slightly more overweight than the unique_ptr because each pointer has to know whether it's the last one keeping a reference to the object, and if so when it's destructed it needs to free the resources allocated for the object it points to.

In C++ where classes are involved with the use of polymorphism a derived class' instance can always be converted to a base class' one. With this casting operation and shared_ptr's way of knowing how many references there are for the object, passing shared_ptrs via function arguments where there is implicit (or explicit) casting used is really inefficient in terms of performance.

```
class A {};
class B : A {};
void f(std::shared_ptr<A>){}
int main()

auto ptr = std::make_shared<B>();
f(ptr);
}
```

Listing 10: Inefficient implicit cast

In Listing:10 there is a small example program demonstrating the code which can be greatly improved just by getting the reference of the underlying object of the pointer, as seen in Listing:11. This program is about 2.5 times faster than the one before.

```
class A {};
class B : A {};
void f(const A&){}
int main()
{
    auto ptr = std::make_shared<B>();
    f(*ptr.get());
}
```

Listing 11: A much faster version

For this check there's no FixItHint option, because there are different ways of refactoring the inefficient structure and if the user accessed the **shared_ptr**'s API then it would be nearly impossible to find a simple automatic solution for refactoring such code.

3.1.4 Default Container Initialization

The last problematic code pattern addressed involves the standard library's default containers, namely std::vector, std::set, std::deque and std::map. In C++ when initialising containers one can initialise them and add the elements afterwards, which is slightly less efficient than initialising the containers in the expression they are declared.

```
std::vector<int> vec;
vec.push_back(3);
vec.emplace_back(1.2);
vec.push_back(1.0);
```

Listing 12: Inefficient way of creating containers

As in Listing:12 the Initialization of an std::vector could be done in a more efficient way, with less lines of code. In Listing:13 the refactored version needs some explicit conversions to work.

```
std::vector<int> vec{3, (int) 1.2, (int) 1.0};
```

Listing 13: A faster way of initialising

3.2 Performance benchmarks

In this section, I am showing some performance benchmarks of code which are affected by the problems each check fixes and compare these results to the results of the improved, refactored code. Each test is executed in a well isolated environment to highlight the execution times of only the code we are interested in.

3.2.1 Shared Pointer Conversion

After applying the suggested fixes by the Shared Pointer Conversion checker we see a significant improvement in speed compared to the previous version.

If we try to fit a line over the points with linear regression we get y = 0.0002632x + 0.0000059 for the refactored one and y = 0.002938x + 0.0075 for the original one. From these line equations we can see that the original is about 10 times steeper than the refactored one.

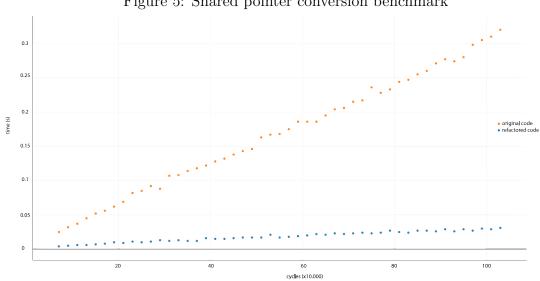


Figure 5: Shared pointer conversion benchmark

3.2.2 Inefficient String Concatenation

When benchmarking the speed of concatenating strings in a loop the results were surprising. As it turns out naively concatenating string in a loop is has a quadratic time complexity, yet if we modify on the operation as the check suggests we get a linear time complexity that is much faster than the original version. We can fit a quadratic polynomial $y = 0.0002033x^2 + 0.0086x - 0.00085$ to the original and a linear y = 0.0001347x + 0.00380 to the refactored.

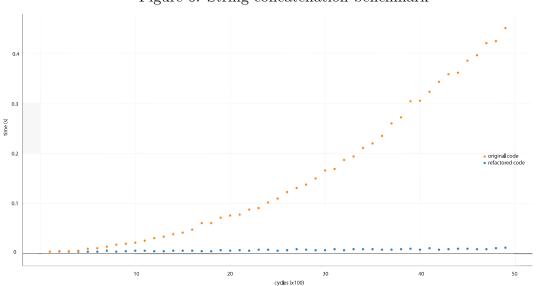


Figure 6: String concatenation benchmark

3.2.3 **Container Default Initialization**

Benchmarking code that used an inefficient way of initialising an std::vector on which the check was run with FixItHints enabled, the automatic refactoring provided a much faster code than the original one. Fitting lines on both samples gives us y = 0.007635x + 0.0038 line for the original and y = 0.002486x + 0.0046 for the refactored one, resulting in a 3 times faster code.

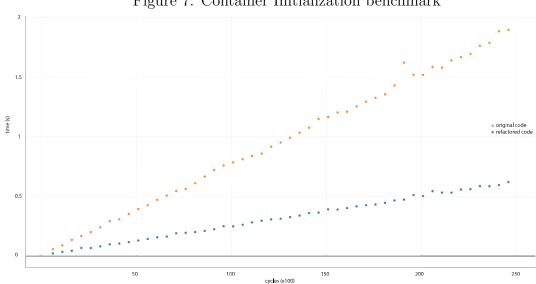


Figure 7: Container Initialization benchmark

3.2.4 Inefficient Stream Use

Benchmarking this check was divided into two categories because it warns about two distinct, yet related, performance problems.

First I measured the performance gains of refactoring << "a" type of calls to << 'a' types. The surprising result that I got was that there was no significant increase in performance when applied the FixItHints from the check only a marginal benefit. Performing linear regression analysis on the samples we get y = 0.004748x +0.0061 for the original and a slightly smoother y = 0.004596x + 0.004596 for the refactored code.

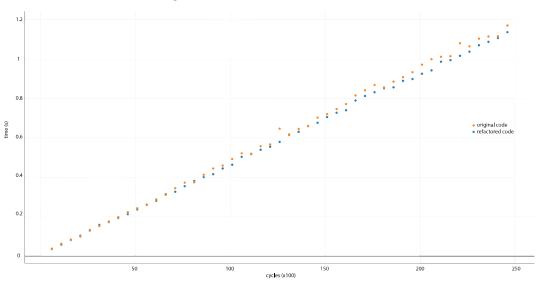


Figure 8: Char conversion benchmark

The other type of tests were conducted on using multiple std::endl on a single stream. The correction of this problem was also automatic, and the results show a 2 times increase in performance after applying the FixItHints. Fitting lines to the original gives a y = 0.01758x + 0.059 and a y = 0.008256x + 0.001 on the refactored.

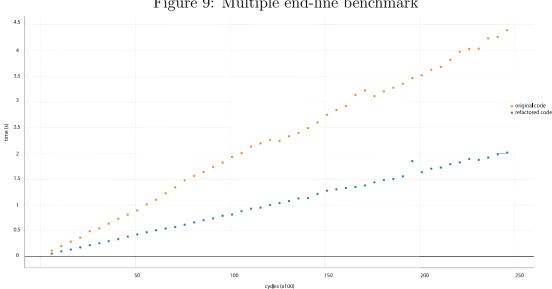


Figure 9: Multiple end-line benchmark

Installing the program 3.3

Installing the program with the new modules is fairly an easy process, but it varies from operating system to operating system.

3.3.1 Windows

Installing the tool on Microsoft Windows is a little bit more complicated than on Linux. There are several paths that you can take to set up a Linux environment inside Windows or you can use a native Windows approach.

3.3.1.1 MinGW is a project for Windows which aims to provide a minimalist GNU development environment[11]. This project doesn't aim to provide a POSIX run-time environment[11], but wants to simplify how one can use the C run-time Microsoft provides and other language run-times

MinGW currently features the following applications:

- 1. A GNU Compiler (gcc) port for Windows
- 2. GNU Binutils which contains a set of utility programs
- 3. MSYS(Optional)

MSYS is a collection of different utility programs from GNU project. It's an optional complementary designed for MingGW[12]. Its goal is to simplify interacting with UNIX tools, and also to provide a better command-line terminal application for Windows by providing Bash.

To install the program with MinGW, first you have to download the latest MinGW run-time from the original site: http://www.mingw.org/. Following this guide one should be able to install MinGW, MSYS, subversion and cmake on their Windows PC: http://ingar.satgnu.net/devenv/mingw32/base.html.

With these tools you can continue with the second step of Linux installation guide, following the steps carefully.

- **3.3.1.2** Cygwin An other option to installation is using Cygwin which is an environment aiming at creating a POSIX compatible layer in Windows.
- **3.3.1.3 WSL** also known as Windows Subsystem for Linux is an optional feature from Microsoft on Windows 10 Desktop operating systems. The subsystem enables the execution of native ELF64 binaries[13] on Windows, without a need of a virtual machine or third party layer.

WSL works by using a so-called Pico process which was developed at Microsoft under project Drawbridge[14] to enable a way of application sandboxing "with minimal kernel API surface" [14]. This Pico process wraps the native Linux application

while mapping all the Linux kernel calls to NT kernel calls hence providing the seamless functionality.

3.3.2 OS X

On macOs (formerly OS X) the installation is fairly straightforward, we just need some preparation before we can continue with the Linux guide in 3.3.3. First of all because macOs is a Unix type system (its roots are in BSD) working in a Terminal on macOs is quite familiar experience with a native Linux one, both having Bash as the default terminal application. But before we can deep dive into the Linux steps we need to install a package manager, because macOs doesn't have one out of the box.

We will install the Homebrew[15] package manager, as this supports the most features and it's the most supported one. Open a terminal and type:

```
user@host:$ ruby -e "$(curl -fsSL https://raw.githubusercontent.com
/Homebrew/install/master/install)"
```

This will install the whole homebrew system, and after that you will be able to install packages with the brew install command. Now you should install Xcode from the AppStore as it will install a lot of useful packages to the system, for instance gcc, ld, clang.

Now we should install the requirements to download LLVM and Clang:

```
user@host: $ brew install svn, cmake
```

After successfully installing these applications you can head to the second point of the Linux guide to finalise the installation process.

3.3.3 Linux

Before we start installing the tool on a Linux system, we have to install some basic applications needed to download and compile the program. In the Linux world there are a lot of package manager applications, for instance there is (on default) apt-get on Debian-based systems, Pacman on Arch Linux-based systems, rpm on RedHat-based systems and Portage on Gentoo-based systems. To install a package on these different systems use the following commands (supposing one have superuser privileges):

```
user@host:$ apt-get install <package-name>
user@host:$ pacman -S <package-name>
user@host:$ yum install <package-name>
user@host:$ emerge <package-name>
```

From now on, I will show the commands only on Debian-based systems, but translating the given instructions to a different Linux flavour shouldn't be hard.

Firstly we have to install subversion(svn) on the machine which is a version control system. Also we will need cmake which can generate Linux makefiles to make it easy to compile the application. To install them, type:

```
user@host: $ sudo apt-get install svn, cmake
```

We need subversion because the LLVM main project and Clang is in an svn repository over the internet. Then we need to clone (download) LLVM first, then clone Clang into the previously cloned LLVM project. Navigate to a comfortable directory where you want your project to reside and clone the repositories:

```
user@host:$ cd /directory/where/your/project/should/be
user@host:$ svn co http://llvm.org/svn/llvm-project/llvm/trunk llvm
user@host:$ cd llvm/tools
user@host:$ svn co http://llvm.org/svn/llvm-project/cfe/trunk clang
user@host:$ cd ../..
```

If you are comfortable with the default C++ standard library you can skip the next step, otherwise if you want to use newer libc++ standard library implementation, proceed with the following:

```
user@host:$ cd llvm/projects
user@host:$ svn co http://llvm.org/svn/llvm-project/libcxx/trunk
    libcxx
user@host:$ cd ../..
```

Now you have the framework required for the extra module. Copy the extra directory from the location where the thesis is, to the Clang project:

```
user@host:$ cp /dir/to/thesis/location/extra llvm/clang/tools/
```

Now we are ready to compile the whole project. Create a build directory outside of the project structure (in-tree builds are not supported[16]), then generate Unix Makefiles and compile the project. We pass -DCMAKE_BUILD_TYPE=RELEASE argument to cmake to set it to release build type.

```
user@host:$ mkdir build
user@host:$ cd build
user@host:$ cmake -G "Unix Makefiles" ../llvm -DCMAKE_BUILD_TYPE=
    RELEASE
user@host:$ make
```

Cmake supports many modern IDEs, see its documentation for different generators[10].

After the whole project compiled successfully you will find the built executable files in build/bin directory.

3.4 Using the program

After the program has been successfully installed on the given system we can begin using it to run the modules on distinct C++ files or on whole projects.

3.4.1 CLI

When it comes to running the application from the command line, its important to distinguish running the tool on different, standalone source-files and on a whole C++ project.

Running on standalone source files is the easiest method when only couple of files are involved which don't require any other library to compile. To run with the default checks enabled on a file named source.cpp:

```
user@host:$ clang-tidy ./source.cpp
```

If you want to specify which checks should be used when analysing you can use the -checks= flag, and after that you can use the checker name to add, and a checker name to exclude. You can use * to refer to all the checks:

```
user@host:$ clang-tidy -checks=-*,performance-inefficient-stream-
use ./source.cpp
```

This runs only the Inefficient String Use check. If you need to pass arguments to the compiler behind Clang-tidy you can use the -- flag and then just pass the arguments. For instance this code will run Clang-tidy with the default checks enabled, passing -std=c++14 argument to the compiler:

```
user@host:$ clang-tidy ./source.cpp -- -std=c++14
```

To specifically run the four modules involved in this thesis you can pass these arguments to -checks parameter:

- performance-inefficient-shared-pointer-reference
- performance-inefficient-stream-use
- performance-inefficient-string-concatenation
- performance-container-default-initializer

There are several options running the tool on a whole project. The fundamental principle to run the tool on multiple files, is to have a JSON Compilation database, which is "a format for specifying how to replay single compilations independently of the build system" [17].

Generating a JSON Compilation database can be done several ways:

- using cmake and passing -DCMAKE_EXPORT_COMPILE_COMMANDS=ON
- with Ninja build system's compdb tool[18]
- since version 4.0.0 Clang supports generating it with -MJ argument[19]

3.4.2 GUI

4 Developer documentation

4.1 Detailed description of the solved problems

In this section I am going to present the technical background of the mentioned problems, why they are inefficient and what can we do to refactor them. I am referencing the N4567[20] version Working Draft of the C++ standard, which contains every C++14 features and also freely available.

4.1.1 Shared Pointer Conversion Check

According to the C++ standard the memory header has to contain a templated class shared_ptr with the signature template<class T> class shared_ptr[20, §20.8.2.2]

This class is responsible for storing a standard C++ pointer and implementing shared ownership of this raw pointer. This means that the last shared_ptr object is responsible for the destruction of the underlying pointer.

The way shared_ptr achieves the shared ownership is by a class-wide static variable (use_count()) which is incremented in each construction and decremented in each destruction and if use_count() reaches zero in a destruction then the underlying pointer shall be destroyed either by default delete keyword or by a user-supplied custom deleter. The modification of the reference count has to be an atomic process and should not be modified simultaneously[20, §20.8.2.2 4.].

These properties could make the **shared_ptr** a hidden source of performance hogs when implicit temporary object creation is involved. Such as the case when inherit is used.

The standard says that a pointer to a derived class shall always be implicit convertible to a pointer to the base class[20, §4.10 3.]. Also in C++ objects generated by template instantiations are not covariant, they are invariant in fact, meaning there is no implicit conversion in this example, but a compile time error:

```
struct A {}; struct B : A {};
std :: vector <B*> vec1;
std :: vector <A*> vec2;
vec2 = vec1;
```

Yet to make shared_ptr<A> covariant, to preserve to resemblence to a raw pointer, the standard defines constructors with signature

template<class Y> shared_ptr(const shared_ptr<Y>& r) noexcept;

template<class Y> shared_ptr(shared_ptr<Y>&& r) noexcept;

[20, §20.8.2.2.1] which should be eligible for overload resolution if and only if T* is convertible to Y*.

This entails that a shared_ptr<A> shall be implicit convertible to shared_ptr if A is a derived class from B and B is accessible and unambiguous. But this conversion is costly, because of the reference counting, which in this case decrements the reference count in shared_ptr<Derived> and increments in shared_ptr<Base>. This incrementing, decrementing is unnecessary and resource consuming.

To solve this problem, the easiest method is to refactor the function which expects a shared_ptr<Base>, to expect a const Base& or const B* and call the function with either *ptr.get() or ptr.get().

4.1.2 Inefficient Stream Use Check

This problem consists of two parts. Firstly what makes it marginally inefficient to stream characters as strings. The standard defines that the hierarchy of the classes which handle Input/Output should look like in Figure:10.

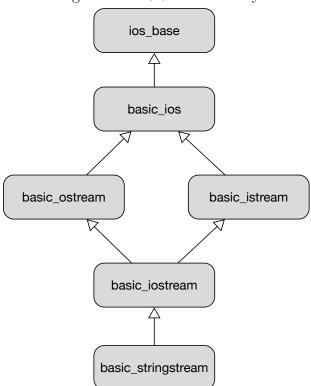


Figure 10: C++ IO hierarchy

In basic_ostream there are several overloads of operator<< however we are only interested in two particular overload:

- template<class traits>
 basic_ostream<char,traits>& operator<<(basic_ostream<char,traits>&, char);
- template<class traits>
 basic_ostream<char,traits>& operator<<(basic_ostream<char,traits>&,
 const char*);

[20, §27.7.3.6.4] These overloads enable the basic_ostream object to accept both << "A" and << 'A' structures.

These two overloads both achieve the same goal, however performance-wise they are not the same, since "A" structure will be represented by const char[2]¹ in the code while 'A' will be only a single instance char. And since arrays can be implicitly converted to pointers[20, §4.2] the former version will call the overload with const char* in its signature.

The only problem with const char* is that the length of the string is not known, so it must be processed until the tailing null-byte is found. On the contrary when using char the length is known, so no extra computation is required. However since modern CPUs are quite fast this extra computational complexity barely affects the observable performance, but in an embedded or in other time-critical even this small performance gain is significant.

The other inefficiency related to basic_ostream are the multiple calls to end1 function which is defined as[20, §27.7.3.8]:

```
template <class charT, class traits>
basic_ostream<charT,traits>& endl(basic_ostream<charT,traits>& os);
```

To be able to apply operator<< on functions the standard defines the following[20, §27.7.3.6]:

```
basic_ostream<charT,traits>& operator<<(
   basic_ostream<charT,traits>& (*pf)(basic_ostream<charT,traits>&));
```

which enables operator<< to take a function, that returns basic_ostream&, as an argument.

With this information one could think that streaming more than one std::endl is equivalent to streaming '\n' characters. However the standard also states that the endl function has to call flush[20, §27.7.3.8] which flushes the output buffer,

¹strings are closed by null bytes in C++ so it would look like 'A'\0

which is a time consuming procedure. The actual performance overhead caused by flushing the output buffer is operating system dependent, but in general it can be categorized as a performance hog, if used repeatedly.

4.1.3 Inefficient String Concatenation Check

As the C++ standard defines the Strings library it provides several ways of concatenating two strings together, a = a + b; and a.append(b);.

The first one requires two operators to be declared, the first is operator+ for basic_string [20, §21.4.8.1]:

And also the basic_string's relevant constructor:

```
basic_string(basic_string&& str) noexcept;
```

The other two ways of concatenating is with a designated member function: [20, §21.4.6.1] and [20, §21.4.6.2]

```
basic_string& operator+=(const basic_string& str);
basic_string& append(const basic_string& str);
```

Where operator+= just calls append. append then does the process of concatenating the strings. The standard does not say how the implementation should behave in the append operation, but an industrial grade standard implementation should use the possibility of simply copying (moving if applicable) the characters of the append argument to the receiver's buffer if it fits. Otherwise the buffer should be increased and the whole temporary object copied.

This way it would be more efficient to use append member function instead of creating a new string with containing the exact copy of itself and then assigning it to itself.

4.1.4 Default Container Initialization Check

The C++ standard defines standard containers which are able to hold collections of objects. The containers which are defined and we are interested in are: std::vector, std::set, std::deque and std::map. To put elements in these containers one can

define them with the default constructor and later add elements one by one with insertion operations.

Each insertion is a call of a member method for instance push_back(), insert() and emplace_back(). To use these methods right after creating the container is inefficient, because the standard mentions that every mentioned container must have a constructor which takes an initializer list as an argument:

- vector(initializer_list<T>, const Allocator& = Allocator()); where T is the Type of the objects in the container [20, §23.3.6.2]
- map(initializer_list<value_type>...); where value_type is a pair of the key and value type of the map [20, §23.4.4.2]
- set(initializer_list<value_type>...); where value_type is the type of the set's key [20, §23.4.6.2]
- deque(initializer_list<T>, const Allocator& = Allocator()); where T is the Type of the objects in the container[20, §23.3.3.2]

The more efficient form would be list-initializing the containers in place with the declaration by passing an initializer_list to the constructor. But the list-initialization raises concerns when implicit casting is involved. The standard states "If a narrowing conversion . . . is required to convert any of the arguments, the program is ill-formed" [20, §8.5.4 3.6].

A narrowing conversion is an implicit conversion of numerical types where there is possibility of over- or underflow when converting to the destination type. For a more detailed description see [20, §8.5.47]. To remove implicit narrowing conversions we need to introduce explicit casting. This checker uses C-style casts, which in the future should be replaced with a safer static_cast.

The standard also ensures that the elements in a list-initializer are constructed from left to right order [20, §8.5.4.4], this way not violating the order they were originally added to the container.

4.2 Implementations

In this section I am going to cover the implementation details of each module, covering the possible pitfalls and issues with implementing a standalone Clang-tidy module.

4.2.1 General implementation details

Each check have at least two methods, which are inherited from the ClangTidyCheck base class. The first method is registerMatchers which acts as a set-up phase. The actual AST matcher structure should be assembled in this function, adding all components. Also the callback method is specified in this segment, more precisely the object on which the callback method is called, this is usually this. In this method the developer has access to a MatchFinder object which controls the matchers. To add an AST matcher to the MatchFinder, the member function addMatcher() can be used, that takes an AST matcher and a callback object as parameters.

The other method is the callback function named check. This method gets called for every match on the AST by the checkers registered beforehand in the registerMatchers method. In this function the developer has access to virtually everything from the actual source code to the AST nodes, which can be queried from a MatchResult object.

4.2.2 Inefficient String Concatenation Check

Since Clang 4.0.0[21] this module is part of the official Clang svn repository and had been referenced in a guide to improve developer workflow productivity[22].

When implementing this checker the greatest challenge was matching such operator=
operator calls which have the left hand side variable on the right hand side also.
Initially there were two options, either filter on the AST matcher's side or match
everything and then filter in the callback function.

Filtering in the AST matcher is the preferred method because its less resource intensive and clearer. This is handled by first searching for an operator= with a basic_string on the left side and binding a label, basically a string, to that node. Then traversing the right side of the operator and looking for operator+ and also the node which is equal to the already bound node.

Since the checker can be parameterized there is a third method overload named storeOptions which handles the passed parameters. If the option StrictMode is specified as true the checker will be triggered for all inefficient calls, else only for those which are inside a loop.

This way we are sure that the callback will only be called for those operators which have the same variable on the right side as the left side.

4.2.3 Inefficient Stream Use Check

The implementation fo this checker consists of two distinct parts, one part for matching the character conversions and the other is for matching the multiple use of std::endl.

To match the character conversion, the tool is looking for calls to operator<< that have std::basic_ostream as one of the arguments, to make sure we are only dealing with cases regarding streams and not interfering with user-defined overloads of operator<<. The other argument must be an ImplicitCastExpr, that as the name suggests is an implicit cast between two types, which have const char[2] as source and const char * as destination. With these structures we can safely match the inefficient character conversions.

To match multiple std::endl use, we can use the left-associative property of operator<<. Matching the outermost std::endl and looking for any descendant std::endl we can make sure only the inner std::endl calls will be labelled.

Having constructed the checking functionality so that it only calls the callback function on an exact match we have only formatting to do. In case of std::endl the formatting is quite simple, just replace the unwanted std::endls with '\\n' to be able to print '\n\ in the warning message.

In the case of simple characters its a more complex process, because of the character escapes. This is solved by introducing a static free function getEscapedChar which contains a character table, and maps the characters to their escaped versions.

4.2.4 Default Container Initialization Check

4.2.5 Shared Pointer Conversion Check

To summarize in a nutshell the matcher part of the check, it's looking for CallExprs involving such functions that have a shared_ptr as argument and a constructor-conversion is taking place at the place of function call.

Delving more into the details of the matcher implementation, I should highlight the structure responsible for matching the actual constructor-conversion. To do that we need to define two QualTypes to represent the type for shared_ptr and also unique_ptr (I will talk about its importance later) with the help of the function getQualTypeForTemplate, which is a C++11 function using tailing return type with auto type deduction of decltype(QualType()).

Having the aforementioned types, we can construct the part which matches on such Exprs which have an ImplicitCastExpr that is a CK_ConstructorConversion type of cast. Moreover this cast has to have the type of the previously mentioned shared_ptr unless it is a conversion from unqiue_ptr to shared_ptr.

With these components, we only need to match such CallExprs that have this implicit conversion and bind a label to it, and also bind a label to the calllee function's declaration.

4.3 Program Structure

This section covers the abstract structure of the application in Clang-tidy project. The visualization is done with the Unified Modelling Language (UML for short), which is a standard way of representing different logical and physical connections in a computer software. We use UML Class diagrams to visualize the methods and fields of an object, representing also the visibility of these components from the outside of the class.

In Figure:11 we visualize each module's ancestor and how these modules are decoupled from each other.

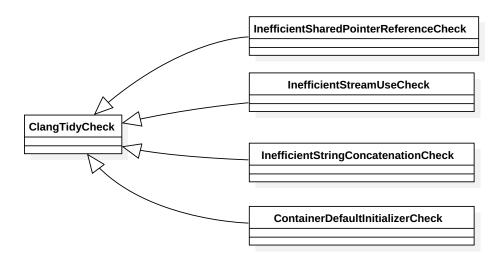
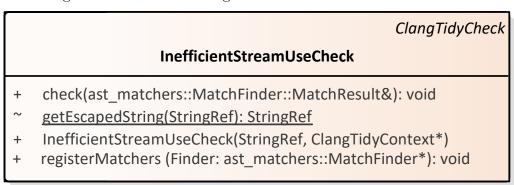


Figure 11: Inheritence diagram

Every module extends from the ClangTidyCheck class, which provides the interface of a Clang-tidy module to create the AST-matchers and also how the matched code should be handled afterwards. First step of adding a new module to Clang-tidy

is to inherit from this class and implement the methods, which can be used also to send extra parameters to the checkers themselves.

Figure 12: UML class diagram of Inefficient Stream Use checker



In Figure:12 you can observe one of the simplest module's UML Class diagram, which implements the methods declared by ClangTidyCheck and also defines one static free function in the source file.

Figure 13: UML class diagram of Container Default Initializer check

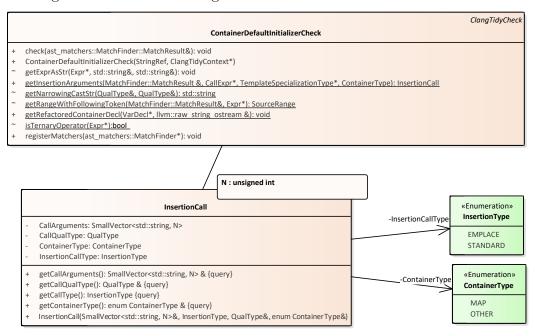


Figure:13 represents the abstract structure of the Container Default Initializer check. The solved problem in this module is more complex than the rest hence the increased complexity in the abstract structure. This checker uses several helper functions, and utility classes to store all the meta information about the containers and the conversions taking place in instantiating them.

Figure 14: UML class diagram of Inefficient String Concatenation check

ClangTidyCheck

InefficientStringConcatenationCheck

- StrictMode: bool {readOnly}
- + check(ast matchers::MatchFinder::MatchResult&): void
- + InefficientStringConcatenationCheck(StringRef, ClangTidyContext*)
- + registerMatchers(ast_matchers::MatchFinder*): void
- + storeOptions(ClangTidyOptions::OptionMap&): void

The next module's architecture is fairly simple again, as seen in Figure:14. It consists of the basic interface of a Clang-tidy checker, but it uses a new method storeOptions to be able to receive a passed parameter, and modify its behaviour accordingly.

Figure 15: UML class diagram of Inefficient Shared Pointer check

ClangTidyCheck

InefficientSharedPointerReferenceCheck

- + check(ast matchers::MatchFinder::MatchResult&): void
- ~ getBaseTypeAsString(Type*): std::string
- a getCallExprArgNum (CallExpr*, Expr*):unsigned int
- + InefficientSharedPointerReferenceCheck(StringRef, ClangTidyContext*)
- + registerMatchers(ast_matchers::MatchFinder*): void

In Figure:15 you can inspect the structure of the Inefficient Shared Pointer checker which declares two extra static methods for retrieving extra information about the matched nodes.

5 Testing

Testing is one of the most important part of developing new software. Without the required quality assurance measures we cannot produce justifiably safe code. Clang-tidy offers an out of the box solution for writing tests for the new modules, which can be run as a separate build task, to support continuous integration of the project.

5.1 Tools for testing

5.1.1 Python

Python is a multi-paradigm dynamically typed programming language. It can be interpreted line by line and also it has a huge amount of libraries which can extend the language. It's one of the most popular programming languages in the world. It emphasizes code simplicity and in general requires less lines of code to achieve the same result as with C++ for example.

The aforementioned characteristics of the language makes it perfectly suitable to be a C++ testing suite. Clang-tidy uses numerous of Python scripts, from making it easier to add new checkers to the project to running a custom configured Clang-tidy to all the files in a project.

5.1.2 CMake

As I have already mentioned previously CMake is a framework for both building and testing applications. In LLVM and its subprojects define several CMake tasks which will run the tests on the project and verify their result and fail the task if one of the tasks failed.

5.1.3 FileCheck

FileCheck is a utility script in Clang project which simplifies the testing of tools that need to emit some sort of an output[23]. In Clang-tidy this tool is used to verify that the tool, run on a test-file, produces the expected output, as well as the expected FixItHints were applied to the file.

Along with check_clang_tidy.py which is a driver for FileCheck, their responsibility is to ensure that every test-file is executed and all the expectations are met. The check_clang_tidy.py is executed as part of the check-clang-tools CMake process.

The assertions are annotated as C++ comments, with prefixes that are Clangtidy specific (CHECK-FIXES, CHECK-MESSAGES). To verify an output message, a diagnostic output for instance, one can use the CHECK-MESSAGES command, which must be parameterized with line and row numbers of the exact position of the expected message, for instance CHECK-MESSAGES: :[[@LINE-1]]:2: warning: message means that we are expecting "warning: message" diagnostic message to be emitted at the second column at the line before the current line. This way we can pinpoint the location of the emitted messages.

FileCheck also supports regular expressions inside the validation code inside {{ }}. If the code to match contains {{ one can use {{[{]]}}}} to escape the bracket characters. Using regular expressions is especially useful when validating applied FixItHints for making the expected string more concise and ignore possible platform dependent whitespace issues.

5.2 Regression tests

The structural stability and validity of the implemented modules were tested with the help of regression tests. These consist of single files that contain several code snippets with the problematic code patterns present and also in absence of such code to minimise accidental false-positive fixes or warning messages.

Testing and validating Clang-tidy modules can be a challenging process and has to be taken with care to decrease the number of possible false positive matches or wrong FixItHints which can break the further compilation of the file or whole project.

5.2.1 Testing templated code

The problem with C++ templates is that the compiler creates distinct functions or classes depending on the template parameters, before the Abstract Syntax Tree is built. The result is having the same problematic code pattern multiple times in the AST, and having each templated instantiation with the same location information as the original one.

This means that if we naively match the AST not checking whether it's a template instantiation that we are matching, we can correct the code multiple times each pointing to the original template declaration. This can result in undeterministic FixItHints which point to the same source location with different content.

Outlining such a scenario as a motivating example:

```
template<int N>
  struct A{
       int f() { return N; }
  };
  template<int N>
  void f1 (A<N>& a) {
       std::vector<int> vec;
       vec.push_back(a.f());
       vec.push_back(N);
10
  }
11
12
  int main() {
13
       auto a1 = A < 4 > \{\};
       auto a2 = A < 5 > \{\};
15
       f1(a1);
16
       f1(a2);
17
```

Given the above code and a checker, similar to Container Default Initializer checker, which looks for consecutive CXXMemberCallExpr objects after the default constructor, gathers them and fixes the container initialization. This checker would find that the expression at line 9 is a CallExpr wrapping an UnresolvedMemberCallExpr which is right because that expression is dependent upon the template parameter N.

With this information the checker does nothing but skip this initialisation and moving to the next one. Because in this example there are 3 initialisation of std::vectors. The remaining two comes from the template instantiation of f1 by calling it with both a1 and a2.

When this checker is at the second instantiation which is with N = 4, there is no dependent expression anymore, so it creates a FixItHint $vec\{a.f(), 4\}$ to replace the default constructor with. After replacement, the checker creates a FixItHint again, with $vec\{a.f(), 5\}$ since N = 5 in that instantiation.

So in the end we have two distinct FixItHints vec{a.f(), 4} and vec{a.f(), 5}, having the same source location to apply them. But how to decide which one to apply, or should we apply them at all? The answer is that we should not apply either of them because they are all just a product of template instantiation.

To solve these type of problems which are rooted in templated code instantiation we should check whether the AST segment we are matching against is a template instantiation.

5.2.2 Mocking

To be able to test the modules' behaviour independent of the actual standard implementation, when using elements from the standard library, we have to create an isolated environment imitating the way how the standard works. To achieve this when using the STL library we create the required classes and functionality. Objects created to mimic the behaviour of the original one are called mock objects.

5.2.3 Test files

There is a test-file for each module introduced, which are run as part of check-clang-tools CMake task. Each file contains the mock of the related STL containers and other functionality residing in namespace std, for instance conversions, templated type checks, etc..

Each test-case in the files are annotated with CHECK-MESSAGES and/or CHECK-FIXES comments. After them are the expected diagnostic messages and also the expected FixItHints fixes.

6 References

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