Assembly code and the machine model

David Marchant Based on slides by Troels Henriksen

2022-09-07

Some points from last lecture

- int main() -> int main(void)
- but int main(int argc, char* argv[]) is more common anyway...
- make and c are different
- make uses a makefile to automate commands
- c is compiled into runnable code via gcc/clang/other

Agenda

Machine execution

Computer architectures Registers and instructions From C to RISC-V

Memory

Byte-addressable memory In RISC-V

Control flow

Conditionals Loops

Machine execution

Computer architectures

Registers and instructions From C to RISC-V

Memory

Byte-addressable memory In RISC-V

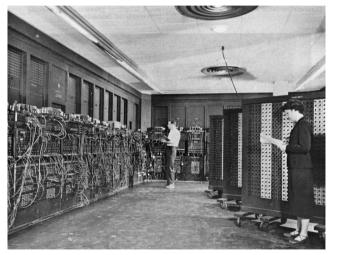
Control flow

Conditionals

Loops

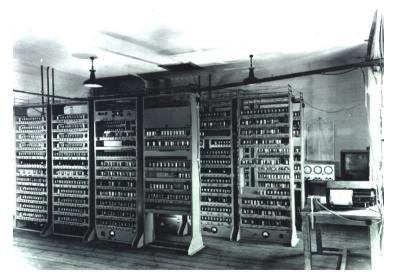
Programmable computers

Early computers were programmed by physically moving wires and switches.



ENIAC, 1947-1955, first programmable, electronic, general-purpose, digital computer.

Stored-program computers



EDSAC, 1949-1958, one of the first stored-program computers.

Machine code

Machine Code

A machine-readable sequence of *instructions* that cause the computer to change its state.

- A primitive programming language directly implemented by hardware.
- We use a textual surface syntax called assembly code.
- An assembler turns human-readable assembly code into actual machine code.

Types of machine code

- Early machine code languages were intended for human programming and had many conveniences and even high-level features.
- Each architecture has its own machine code; new machines often had new ones with more features.



PDP-7, 1965, a highly succesful and cheap minicomputer.

Types of machine code, continued

- Today the vast majority of programmers use *compilers* to generate machine code.
- Fairly few general-purpose architectures in use, most quite similar:

x86: Intel and AMD processors, used in most PCs and servers.

ARM: Previously mostly for low-power and mobile, now also used in Apple's newer laptops and encroaching on servers.

POWER: IBMs architecture; still in use for some high-end servers.

RISC-V: Open architecture, very new, currently mostly used for embedded

purposes, but growing.

Long tail: MIPS, SPARC, Alpha, z/Architecture, GPUs, DSPs, ...

Types of machine code, continued

- Today the vast majority of programmers use *compilers* to generate machine code.
- Fairly few general-purpose architectures in use, most quite similar:

x86: Intel and AMD processors, used in most PCs and servers.

ARM: Previously mostly for low-power and mobile, now also used in Apple's newer laptops and encroaching on servers.

POWER: IBMs architecture; still in use for some high-end servers.

RISC-V: Open architecture, very new, currently mostly used for embedded

purposes, but growing.

Long tail: MIPS, SPARC, Alpha, z/Architecture, GPUs, DSPs, ...

You will be taught RISC-V/32

- Concepts generalise to all other general-purpose architectures.
- ...but they might be uglier elsewhere.

Machine execution

Computer architectures

Registers and instructions

From C to RISC-V

Memory

Byte-addressable memory In RISC-V

Control flow

Conditionals

Loops

Registers and instructions

The two main concepts are registers and instructions

add
$$x1$$
, $x2$, $x3$

- add is the instruction type.
- x1, x2, x3 are names of registers.
- Add the values in the registers x2 and x3 and put the result in x1.
- A register is basically a variable implemented in hardware.
- An instruction is basically a simple function implemented in hardware.
- RISC-V/32 exposes a *fixed* number of registers with a *fixed* size (32 bits).
- ...and a fixed number of instructions.

Registers as variables

We can see the RISC-V machine as having 32 32-bit integer variables.

```
int32_t x0, x1, x2, x3, x4, x5, x6, x7 x8, x9, x10, x11, x12, x13, x14, x15 x16, x17, x18, x19, x20, x21, x22, x23 x23, x24, x25, x26, x27, x28, x29, x30, x31;
```

Each instruction then changes these variables.

Instruction	Meaning
add x_i , x_j , x_k	$x_i = x_j + x_k$
sub x_i , x_j , x_k	$x_i = x_j - x_k$
addi x_i , x_j , v	$x_i = x_j + v$
and x_i , x_j , x_k	$x_i = x_j \& x_k$
andi x_i , x_j , v	$x_i = x_j \& v$

Playing with a RISC-V interpreter

https://www.cs.cornell.edu/courses/cs3410/2019sp/riscv/interpreter/

Machine execution

Computer architectures Registers and instructions

From C to RISC-V

Memory

Byte-addressable memory In RISC-V

Control flow

Conditionals

From C to RISC-V

A compiler must decide in which registers to store variables.

(More variables than registers? We'll talk about that later.)

From C to RISC-V

A compiler must decide in which registers to store variables.

(More variables than registers? We'll talk about that later.)

Assembly instructions are very simple, so high-level languages must break up complex expressions, which often requires extra registers.

$$e = (a + b) - (c + d);$$

From C to RISC-V

A compiler must decide in which registers to store variables.

(More variables than registers? We'll talk about that later.)

Assembly instructions are very simple, so high-level languages must break up complex expressions, which often requires extra registers.

$$e = (a + b) - (c + d);$$
add x6, x1, x2 # a + b
add x7, x3, x4 # c + d
sub x5, x6, x7 # (a + b) - (c + d)

Register names

■ The RISC-V registers have names and designated uses.

Register	Name	Intended use
x0	zero	The constant value 0 (writes ignored)
x1	ra	Return address
x 2	ra	Stack pointer
x4	gp	Global pointer
x5-x7	t0-t2	Temporaries
x8-x9	s0-s1	Saved registers
x10-x11	a0-a1	Arguments/return values
x12-x17	a2-a7	Function arguments
x18-x27	s2-s11	Saved registers
x28-x31	t3-t6	Temporaries

- With a few exceptions, these uses are just conventions, not enforced by machine.
- We'll see why the conventions are useful in the next lecture.

Pseudo instruction

- Think of them as shortcuts.
- Unfortunately, the browser RISC-V interpreter does not allow most pseudoinstructions.

$$mv x_i, x_j | Move$$

Pseudo instruction

- Think of them as shortcuts.
- Unfortunately, the browser RISC-V interpreter does not allow most pseudoinstructions.

mv
$$x_i$$
, x_j | Move | addi x_i , x_j , 0 | li x_i , k | Load immediate

Pseudo instruction

- Think of them as shortcuts.
- Unfortunately, the browser RISC-V interpreter does not allow most pseudoinstructions.

mv
$$x_i$$
, x_j Move addi x_i , x_j , 0 li x_i , k Load immediate addi x_i , zero, k neg x_i Negate

Pseudo instruction

- Think of them as shortcuts.
- Unfortunately, the browser RISC-V interpreter does not allow most pseudoinstructions.

Pseudo instruction

An instruction allowed in the assembly syntax, but translated into one or more other instructions by the assembler.

- Think of them as shortcuts.
- Unfortunately, the browser RISC-V interpreter does not allow most pseudoinstructions.

mv
$$x_i$$
, x_j Move addi x_i , x_j , 0

li x_i , k Load immediate addi x_i , zero, k

neg x_i Negate sub x_i , zero, x_j

nop No operation add zero, zero, zero

Why are they not implemented directly in hardware?

Compiler Explorer

https://godbolt.org/

Remember to set the compiler to "RISC-V rv32gc clang" (or some other RISC-V).

Machine execution

Computer architectures
Registers and instructions
From C to RISC-V

Memory

Byte-addressable memory

In RISC-V

Control flow

Conditionals

Loops

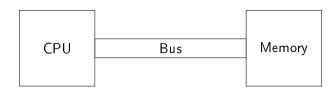
Byte-oriented memory organisation

Registers are for scratch space; data is primarily stored in memory.

$00 \cdots 0_{16}$							$FF\cdots F_{16}$
			• • •				

- Programs refer to data by address
 - Conceptually, envision as large array of bytes.
 - It's not really, but it works as a semantic model.
 - An address is like an index into that array.
 - A pointer stores an address.
 - Addresses are ultimately just unsigned integers.
- System provides private address space to each process.
 - ► You'll learn how in a few weeks; just trust me for now.

The Von Neumann Bottleneck



- Reading: CPU sends address to memory.
 - Memory responds with contents at address.
- Writing: CPU sends address and data to memory.
 - Memory overwrites location with new contents.
- The bus is slow!

The distance between computation and storage is the main performance obstacle in most programs.

Machine words

Any given computer has a "word size".

- "Native" size of integer-valued data.
 - But especially of memory addresses.
- 32-bit machines used to be the norm and are still found (e.g. RISC-V/32).
 - 2³² different addresses, meaning 4GiB can be addressed.
- 64-bit machines are most common.
 - ▶ 2⁶⁴ different addresses, meaning 18*EiB* can be addressed.
 - $ightharpoonup 18.4 \cdot 10^{18} \text{ bytes}.$
 - Current machines only use lower 48 bits of address.
- Machines also support other data formats.
 - Fractions or multiples of word size.
 - Always integral number of types.
 - ► Smaller types (e.g. 16-bit integers) take less space in memory, but are (usually) not faster than the "native" words
 - But bigger types (e.g. 128-bit integers) are slower.

Word-oriented memory organisation

- Addresses specify byte locations
 - Address of first byte in word.
 - Addresses of successive words differ by 4 (32 bit) or 8 (64 bit).
 - Addresses always refer to a byte even when addressing larger types.
- We can take the address of any variable in a C program
 - ► &x gives us the address of x.
 - If x has type T, then &x has type T★.

Byte ordering

- So, how are the bytes within a multi-byte word ordered in memory?
 - Most significant byte at lowest address, or least significant byte at lowest address?
- Conventions
 - Big endian: SPARC, POWER, Internet protocols.
 - ► Most significant byte has lowest address ("comes first").
 - Little endian: x86, ARM (mostly), RISC-V.
 - Most significant byte has highest address ("comes last").

Byte ordering example

Example

- ► Variable has 4-byte value of 0x01234567.
- ► Address &x is 0x100.
 - No matter what, the address of an object is always the address of the *first* byte in the object (counting from lowest addresses).

Big endian

0x0fe	0x0ff	0x100	0x101	0x102	0x103	0x104	0x105
		01	23	45	67		

Little endian

0x0fe	0x0ff	0x100	0x101	0x102	0x103	0x104	0x105
		67	45	23	01		

Byte ordering example

Example

- ► Variable has 4-byte value of 0x01234567.
- ► Address &x is 0x100.
 - No matter what, the address of an object is always the address of the first byte in the object (counting from lowest addresses).

Big endian

0x0fe	0x0ff	0x100	0x101	0x102	0x103	0x104	0x105
		01	23	45	67		

Little endian

0x0fe	0x0ff	0x100	0x101	0x102	0x103	0x104	0x105
		67	45	23	01		

Important note

This difference is *not visible* unless you start decomposing integers as bytes with memory operations. Bit-shifting etc. always acts as expected.

Machine execution

Computer architectures
Registers and instructions
From C to RISC-V

Memory

Byte-addressable memory In RISC-V

Control flow

Conditionals

An M-byte memory is conceptually an array

byte Memory[M];

An M-byte memory is conceptually an array

byte Memory[M];

Main difference between registers and memory

Memory is *dynamically addressable*, while the registers we operate on are statically encoded in instructions.

- In a stored program computer, instructions are also just data stored in memory (4 bytes per instruction in RISC-V).
- In a von Neumann computer, instructions are stored in the same memory as all other data.
 - Allows for self-modifying code (don't do this).
- Harvard architectures store instructions in a separate (usually read-only) memory.

Memory transfer instructions

Note how the *dynamic contents* of a register influences *which* memory address we access.

Instruction	Meaning
1b x_i , $v(x_j)$	$x_i = Memory[x_j + v]$
sb x_i , $v(x_j)$	$Memory[x_j + v] = x_i$
$lw x_i, v(x_j)$	$x_i = Memory[x_j + v]$
sw x_i , $v(x_j)$	$Memory[x_j + v] = x_i$

Machine execution

Computer architectures
Registers and instructions
From C to RISC-V

Memory

Byte-addressable memory In RISC-V

Control flow

Conditionals

Loops

The *program counter* (PC) is a special register that contains the address of the current instruction in memory.

Address	Cont	ents	(in as	sembly	syntax)
0x100	add	x6,	x1,	x2	
0x104	add	x7,	х3,	x4	
0x108	sub	x5,	x6,	x 7	

The program counter (PC) is a special register that contains the address of the current instruction in memory.

Address Contents (in assembly syntax)
$$\rightarrow 0x100 \quad \text{add } x6, x1, x2$$

$$0x104 \quad \text{add } x7, x3, x4$$

$$0x108 \quad \text{sub } x5, x6, x7$$

The *program counter* (PC) is a special register that contains the address of the current instruction in memory.

	Address	Contents (in assembly syntax)
	0x100	add $x6$, $x1$, $x2$
\rightarrow	0x104	add x7, x3, x4
	0x108	sub x5, x6, x7

The *program counter* (PC) is a special register that contains the address of the current instruction in memory.

	Address	Contents (in assembly syntax)
	0x100	add $x6$, $x1$, $x2$
	0x104	add $x7$, $x3$, $x4$
\rightarrow	0x108	sub x5, x6, x7

Control flow

What makes computers interesting is their ability to make decisions based on data.

- Machine code does not support structured control flow (if, while, for, etc).
- Instead we have conditional jumps.

Control flow

What makes computers interesting is their ability to make decisions based on data.

- Machine code does not support structured control flow (if, while, for, etc).
- Instead we have conditional jumps.

```
if (x1 < x2) {
   x3 = x1;
} else {
   x3 = x2;
}</pre>
```

Control flow

What makes computers interesting is their ability to make decisions based on data.

- Machine code does not support structured control flow (if, while, for, etc).
- Instead we have conditional jumps.

```
if (x1 < x2) {
    x3 = x1;
} else {
    x3 = x2;
}</pre>
blt x1, x2, L0
addi x3, x2, 0
jal x0, L1
L0:
addi x3, x1, 0
L1:
```

Instruction	Meaning
blt x_i , x_j , k	if $(x_i < x_j)$ PC += k
$jal x_i, k$	$x_i = PC + 4; PC += k$

(Assembler automatically inserts right offset k when we use a label.)

Machine execution

Computer architectures
Registers and instructions
From C to RISC-V

Memory

Byte-addressable memory In RISC-V

Control flow

Conditionals

Loops

- Suppose we want to implement $c = a \times b$. Assume:
 - c is in register a0.
 - ► a is in register a1.
 - ▶ b is in register a2.
- We'll implement it by *repeated addition* of *a* (*b* times).

- Suppose we want to implement $c = a \times b$. Assume:
 - c is in register a0.
 - ► a is in register a1.
 - ▶ b is in register a2.
- We'll implement it by repeated addition of a (b times).

```
addi a0, a1, 0 \# initialise a0 = a1
```

- Suppose we want to implement $c = a \times b$. Assume:
 - c is in register a0.
 - ► a is in register a1.
 - ▶ b is in register a2.
- We'll implement it by repeated addition of a (b times).

```
addi a0, a1, 0  # initialise a0 = a1 LOOP:  # loop label
```

- Suppose we want to implement $c = a \times b$. Assume:
 - c is in register a0.
 - ► a is in register a1.
 - ▶ b is in register a2.
- We'll implement it by repeated addition of a (b times).

```
addi a0, a1, 0  # initialise a0 = a1
LOOP:  # loop label
beq a2, zero, END  # jump to end if no iterations left
```

- Suppose we want to implement $c = a \times b$. Assume:
 - c is in register a0.
 - a is in register a1.
 - ▶ b is in register a2.
- We'll implement it by *repeated addition* of *a* (*b* times).

- Suppose we want to implement $c = a \times b$. Assume:
 - c is in register a0.
 - a is in register a1.
 - ▶ b is in register a2.
- We'll implement it by *repeated addition* of *a* (*b* times).

- Suppose we want to implement $c = a \times b$. Assume:
 - c is in register a0.
 - a is in register a1.
 - ▶ b is in register a2.
- We'll implement it by *repeated addition* of *a* (*b* times).

```
addi a0, a1, 0  # initialise a0 = a1  
LOOP:  # loop label  
beq a2, zero, END  # jump to end if no iterations left  
addi a2, a2, -1  # decrement b  
add a0, a0, a1  # add a to c  
jal zero, LOOP  # try again
```

- Suppose we want to implement $c = a \times b$. Assume:
 - c is in register a0.
 - a is in register a1.
 - ▶ b is in register a2.
- We'll implement it by *repeated addition* of *a* (*b* times).

```
addi a0, a1, 0  # initialise a0 = a1
LOOP:  # loop label
beq a2, zero, END  # jump to end if no iterations left
addi a2, a2, -1  # decrement b
add a0, a0, a1  # add a to c
jal zero, LOOP  # try again
END:  # loop end
```

- Suppose we want to implement $c = a \times b$. Assume:
 - c is in register a0.
 - a is in register a1.
 - ▶ b is in register a2.
- We'll implement it by *repeated addition* of *a* (*b* times).

```
addi a0, a1, 0  # initialise a0 = a1
LOOP:  # loop label
beq a2, zero, END  # jump to end if no iterations left
addi a2, a2, -1  # decrement b
add a0, a0, a1  # add a to c
jal zero, LOOP  # try again
END:  # loop end
```

...is this correct for all a and b?

Fibonacci Numbers

```
int n = 10;
int a = 1;
int b = 1;
int i = 0;
while (n != 0) {
 out[i] = b;
 i = i + 1;
  int tmp = a + b;
  a = b;
 b = tmp;
  n = n - 1;
```

Fibonacci Numbers

```
addi a0, zero, 10
int n = 10:
                           addi t0, zero, 1
int a = 1;
                           addi t1, zero, 1
int b = 1;
                           addi t2, zero, 0
int i = 0:
                           LOOP:
while (n != 0) {
                           beg a0, zero, DONE
 out[i] = b;
                           addi a0, a0, -1
 i = i + 1;
                           sw t1, 0(t2)
  int tmp = a + b;
                           addi t2, t2, 4
 a = b;
                           add t3, t0, t1
 b = tmp;
                           add t0, zero, t1
 n = n - 1:
                           add t1, zero, t3
                           jal zero, LOOP
                           DONE:
```

Takeaways

- Instructions operate on data stored in registers.
- Load/store instructions ferry data between registers and byte-addressed memory.
- Branch/jump instructions move the instruction pointer.
- Assembly is somewhat tedious but fundamentally simple.
- Hint: when you have to write an assembly program, consider first writing it in C(-ish) syntax with a single "instruction" per statement, and translate from there.