

Operator-Algebra Quantum Erasure Correction and Holography

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Abstract

We present a self-contained introduction to holographic error correction, aimed at readers with a background in quantum information theory. We use minimal holographic language, characterising what makes a quantum erasure correcting code holographic in a purely algebraic way. We state and prove the main theorems of the field, expanding on details of previously written proofs. We also present several simple examples of holographic codes.

Declaration

I declare that this dissertation was composed entirely by myself.

Chapter 2 provides an introduction to quantum error correction. It largely contains standard results from the quantum information literature, and is not original work. Section 2.5 adapts these results for the case of erasures, and consists of my own independent analysis.

Chapter 3 states and proves the three main theorems of Daniel Harlow’s subject-defining paper [5]. While this does not contain original work (as it goes through Harlow’s proofs), various steps are expanded on, and much of the added detail is my own work. Section 3.5 discusses holographic properties of erasure codes, and is heavily inspired by Harlow [5] and Pollack et al. [7], although the proof therein is largely my own work.

Chapter 4 presents the examples of [7]. The discussions contained within largely follow the discussions of this paper, and are not my own work. However, I expand on various aspects of their discussion independently.

Chapter 5 contains my own thoughts and conclusions on the results of the rest of the dissertation, and was composed independently.

Personal Statement

The first two weeks of the project consisted of me reading up on the background theory underlying quantum error correction. This included how to model noise using quantum operations, specific examples of error correcting circuits, and various theoretical machinery such as the error correction conditions. My main source for this was Nielsen and Chuang’s textbook [14]. I also attempted to adapt some of the theory to quantum erasures, which were to be the main focus of the project, deriving some of the results in section 2.5, with a view to link them to Theorem 3.1 of [5]. I also read some theory about holography and the AdS/CFT correspondence. Even though the goal of the dissertation was to approach the subject from a quantum informational perspective, I wanted to understand how the subject fits into holography to gain some motivation and intuition as to why the theorems are structured the way they are.

My supervisor, Dr. Joan Simon, suggested that it would make more sense to understand the toy model of [5] before jumping into the general theory presented. I therefore spent the third week going through this toy model in detail, with particular focus on trying to understand the significance of the $|\chi\rangle$ state. I wasn’t able to come up with an ‘intuitive’ explanation for this state, so I decided to move onto attempting to understand the proof of Theorem 3.1 of [5] in hope that it would provide some intuition. This goal was realised, with the proof giving some insight into the importance of $|\chi\rangle$ as a purification.

The fourth week of the project consisted of me reading about von Neumann algebras in some detail. This consisted of the proofs in appendix A of [5], as well as [8] for a more ‘abstract’, mathematical exposition of the subject.

After this, I moved onto understanding Theorem 5.1 of [5]. I used [7] as a secondary source here, as I found some of the language more comprehensible. I also decided to change the language used from that of code subspaces to encoding isometries at this point, inspired by [7].

The next step was trying to precisely characterise what makes a code holographic. [5] is not particularly clear on this to a reader with minimal background in holography, referring to several complex holographic concepts. [7] however provides a precise algebraic characterisation of the so-called Ryu-Takayanagi formula in the language of erasure codes, which I decided to take as the defining characteristic of a holographic code. This, combined with the two-sided implication of a code having complementary recovery and satisfying an RT formula, implies all the other holographic properties which [5] talks about. Understanding this implication was the next goal. I read through and understood the proof in the forward direction in [7], but found it quite dense and notationally cumbersome. I therefore attempted to prove it in a way which matched the sketch proof in [5] a bit more closely, accomplishing this. I also managed to prove the reverse implication, expanding

on the sketch again presented in [5]. This was quite tricky as there was minimal detail provided, and I particularly struggled with working out what happens when one takes a small perturbation in the entropy. I did eventually manage this. The final weeks of the project consisted of writing up all my work, and going through the examples of [7]. These were more opaque than I first anticipated, as I found the authors to not be particularly clear in defining various characteristics. Once I worked out what the authors had intended though, the examples made sense; indeed, they elucidated many aspects of the proof that an RT formula implies complementary recovery and vice versa.

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Contents

1	Introduction	2
2	Error Correction: An Introduction	4
2.1	The Classical Bit-Flip	4
2.2	The Quantum Bit-Flip	5
2.3	Quantum Noise	6
2.3.1	Quantum Operations	6
2.3.2	Operator-Sum Representation	7
2.3.3	Axiomatisation	8
2.4	General Theory of Error Correction	9
2.5	Quantum Erasure	10
3	Holographic Erasure Correction	12
3.1	Notation	12
3.2	Conventional Erasure Correction	13
3.3	Subsystem Erasure Correction	18
3.4	Operator-Algebra Erasure Correction	20
3.4.1	von Neumann Algebras	20
3.4.2	Classification of von Neumann Algebras	21
3.4.3	The Link to Error Correction	22
3.4.4	Algebraic States and Entropy	23
3.5	Operator-Algebra Error Correction	26
3.6	Holographic Properties of Erasure Codes	29

4	Examples	35
4.1	Codes with one term	36
4.2	Codes with two terms	39
4.3	A Complete Example	42
5	Conclusions	44
5.1	Summary of Project	44
5.2	Future Research Directions	45
A	Quantum Circuit Notation	46
A.1	Quantum Gates	46
A.2	Quantum Circuits	47
B	Quantum Information and Entropy	49
B.1	Classical Information	49
B.1.1	Shannon Entropy	49
B.1.2	Relative Entropy	50
B.2	Quantum Information	51
B.2.1	von Neumann Entropy	51
B.2.2	Relative Entropy	53
B.2.3	Properties of von Neumann Entropy	54
B.2.4	Entropy as a Measure of Entanglement	54
C	The Schmidt Decomposition and Purifications	56
C.1	The Schmidt Decomposition	56
C.2	Purifications	57

Chapter 1

Introduction

Ever since David Deutsch and Richard Josza introduced their famous algorithm [4] demonstrating a problem which a quantum computer could solve exponentially faster than a classical computer, quantum computing has been an active area of research. A few years later when Peter Shor described a quantum algorithm to factorise integers in polynomial time [18], it became clear that quantum computers held vast potential; if Shor's algorithm could be implemented with enough qubits, then almost every cryptographic scheme used throughout the World could be broken!

Luckily for anyone relying on cryptographic procedures such as these functioning robustly, qubits are immensely delicate and sensitive to changes in their environment. They are prone to suffering errors and decoherence, irreparably ruining computations. Even to this day, the largest number factorised using Shor's algorithm is 21 [13] - far smaller than the huge numbers needed to break cryptographic protocols!

In 1995, Shor proposed a potential solution to this issue of scalability: *quantum error correction* [17]. The idea was relatively simple - encode one logical qubit in nine physical qubits in such a way that the single qubit was protected from arbitrary errors. Shor's code was quickly improved upon, with a (minimal) five qubit code which could protect against arbitrary single qubit errors being published in 2001 [10].

While quantum computing has in many ways moved on from simple factorisation, error correction remains an active field of research. With the proof of the *quantum threshold theorem* [1][11][9], which states that a quantum computer with a *physical* error rate below a certain threshold can suppress the *logical* error rate to arbitrarily low levels, quantum error correction is considered by many as the most likely way to build a fully fault-tolerant quantum computer.

Recently, an unexpected link between quantum error correction and the structure

of space-time was discovered. The AdS/CFT correspondence in the field of holography (itself a branch of string theory) posits a relationship between quantum gravity in an anti-de Sitter space, and a conformal field theory on the boundary [12]. In 2015, Ahmed Almheiri, Xi Dong, and Daniel Harlow discovered that in the language of quantum error correction, a certain aspect of AdS/CFT known as *subregion duality* could be elucidated [2]. Explicitly, certain error correcting procedures have various properties which can be interpreted naturally in holography. Such codes have come to be known as *holographic error correcting codes*, and they have already provided simple toy models to explore the emergence of space-time. From a quantum error correction perspective, it is also hoped that holography can inspire new error correcting codes to be developed.

The goal of this dissertation is to present the basic theory of holographic error correction in a self-contained way, aimed at those with a background in quantum computing rather than holography. Therefore, the focus will be almost entirely on the quantum information perspective, with any comments on holography being given purely for motivation.

This dissertation is structured as follows. Chapter 2 will present all the relevant background theory in error correction, assuming an understanding of quantum mechanics and quantum computing. Those looking for a more detailed exposition of these topics would be well-advised to read Nielsen and Chuang's textbook [14]. Chapter 3 will present the main results and proofs of Daniel Harlow's work in [5] - this paper is the primary reference for holographic error correction in general, describing the three basic theorems of the field in a self-contained way. We change some of the conventions used by Harlow to be more relevant to quantum computing, describing error correction via an *encoding isometry* rather than a *code subspace* as Harlow does. Chapter 4 presents and expands on the examples of operator-algebra codes in [7]. Finally, Chapter 5 will present a summary of the project and suggestions as to future directions of study.

Chapter 2

Error Correction: An Introduction

In this chapter, we present the theory of quantum error correction. We begin with a discussion of a classical example to build intuition, before moving on to the quantum world. We work through the generalities, before discussing quantum *erasure* correction - the main focus of this project. These discussions are adapted from [14] and [5]. This chapter also assumes an understanding of quantum circuit notation. If this is not familiar, a brief summary of the salient features is presented in Appendix A.

2.1 The Classical Bit-Flip

To gain some intuition for error-correction, we don't even need to start with a quantum process. Instead, we present the *classical bit-flip code*. While the ideas are basic and situation-specific, the key features of the process carry through to the quantum case.

Suppose Alice wishes to send a single bit to Bob across a noisy communication channel. In this example, we model the noise as a bit-flip - there is a fixed probability p for the transmitted bit to flip state from a 0 to a 1 or a 1 to a 0. Alice is afraid that Bob will receive the incorrect bit value, so instead she copies her bit three times and sends all three bits to Bob instead. When Bob receives the three bits, he takes the majority bit value to be the correct message; that way, even if 1 bit flips, Bob will still receive the correct message!

However, this method is not perfect. It could be the case that 2 or more bits flip in the channel, and Bob could receive the incorrect message. Assuming the noise

acts *independently* on each transmitted bit, the probability of this happening is

$$\mathbb{P}(2 \text{ or more flips}) = 3p^2(1 - p) + p^3, \quad (2.1.1)$$

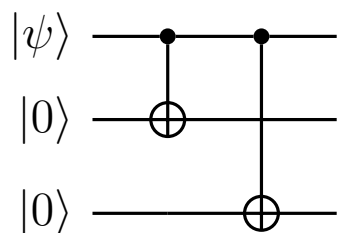
which is strictly less than p for $0 < p < 1/2$. Therefore so long as p is less than $1/2$, Bob has an increased chance of receiving Alice's intended message.

While this is a very simple example, there are some salient features which are common to all error-correcting processes, both quantum and classical. First, Alice *encodes* her bit (called the *logical bit*) by copying it three times (where the encoded bits are called the *physical bits*). She then sends the physical bits to Bob, where they encounter *noise* in the communication channel, potentially flipping their values. When the bits reach Bob, he needs to determine whether a bit-flip occurred - which is called *error detection* - and if so, he *corrects* it by flipping back the corresponding physical bit, before finally *decoding* the message. Schematically:

$$0 \xrightarrow{\text{Encoding}} 000 \xrightarrow{\text{Noise}} 001 \xrightarrow{\text{Correction}} 000 \xrightarrow{\text{Decoding}} 0.$$

2.2 The Quantum Bit-Flip

The quantum situation is exactly analogous, except this time Alice wishes to send a qubit $|\psi\rangle$ to Bob. The quantum communications channel acts similarly too, flipping each of the computational basis states (essentially applying an X gate) with a fixed probability p . The *no-cloning theorem* prevents Alice copying her arbitrary qubit though, so she has to be a bit more creative with encoding it. She does this by means of a quantum circuit taking $|0\rangle \rightarrow |000\rangle$ and $|1\rangle \rightarrow |111\rangle$, which is explicitly given by



$$(2.2.1)$$

Note in particular that the physical encoded state is **not** equal to three copies of the logical state: $|\psi\rangle = a|0\rangle + b|1\rangle \rightarrow a|000\rangle + b|111\rangle \neq |\psi\rangle \otimes |\psi\rangle \otimes |\psi\rangle$, so no-cloning is certainly not violated.

Suppose Alice does all this, and sends Bob the encoded physical qubits. Bob needs to check whether a bit-flip occurred on any of the individual qubits, so he performs

a projective measurement with projectors

$$\begin{aligned}
P_0 &= |000\rangle\langle 000| + |111\rangle\langle 111| && \text{(no error)} \\
P_1 &= |100\rangle\langle 100| + |011\rangle\langle 011| && \text{(qubit 1 flipped)} \\
P_2 &= |010\rangle\langle 010| + |101\rangle\langle 101| && \text{(qubit 2 flipped)} \\
P_3 &= |001\rangle\langle 001| + |110\rangle\langle 110| && \text{(qubit 3 flipped)}.
\end{aligned} \tag{2.2.2}$$

This is called a *syndrome measurement*. To see that this works, suppose only the first physical qubit flips, so Bob receives $|E\rangle \equiv a|100\rangle + b|011\rangle$. In this case, $\langle E|P_1|E\rangle = 1$, so the measurement returns 1 with certainty, and Bob can establish that the first qubit flipped. Also note that $P_1|E\rangle = |E\rangle$, so the measurement does not change the state.

Bob's final task is to recover the original logical qubit. The outcome of the syndrome measurement tells him which physical qubit flipped (if any), and so he can just flip the corresponding qubit back by applying an X gate. He can then perform a measurement of the corrected state to obtain the original amplitudes.

This process is again imperfect. If two or more qubits flip, then Bob cannot recover the original state with this process. However, an identical calculation to the classical case shows us that it improves the probability that Bob can reconstruct the original state so long as $p < 1/2$.

2.3 Quantum Noise

The above examples provide basic examples of error correction. However, in order to talk about error correction in full generality, we need some general theory. In particular, we need to consider how to model arbitrary noise for quantum systems, which is done through *quantum operations*.

2.3.1 Quantum Operations

In general, an isolated or *closed* quantum system evolves in time under the action of a unitary operator. That is, if a closed system is initially in state ρ , at a later time it will be in the state $U\rho U^\dagger$ for some unitary operator U . In practice though, quantum systems are *open* and interact with their environment, so we cannot just assume that our system of interest evolves unitarily. If a system is initially in state ρ and evolves to state ρ' , to be fully general we simply write

$$\rho' = \mathcal{E}(\rho), \tag{2.3.1}$$

where \mathcal{E} is a map from density operators to density operators on the state space of the system. This, in the loosest possible sense, defines a quantum operation. To be more explicit, suppose our system of interest is initially in the state ρ , and the environment is in ρ_{env} . The full state system-environment state is then $\rho \otimes \rho_{\text{env}}$, and taken together is a closed system, so it evolves unitarily as

$$\rho \otimes \rho_{\text{env}} \rightarrow U (\rho \otimes \rho_{\text{env}}) U^\dagger. \quad (2.3.2)$$

To find the quantum operation governing the evolution of ρ alone, we can take a partial trace over the environment:

$$\mathcal{E}(\rho) = \text{Tr}_{\text{env}} [U(\rho \otimes \rho_{\text{env}})U^\dagger]. \quad (2.3.3)$$

This is a more concrete definition of a quantum operation. One immediate issue though is that evolution of the principal system is expressed in terms of operators involving the environment, to which we may not have access in full. There is, however, a way around this.

2.3.2 Operator-Sum Representation

Suppose $\{|e_k\rangle\}$ is an orthonormal basis for the environment system such that the initial state of the environment is $\rho_{\text{env}} = |e_0\rangle\langle e_0|$. Then, we can rewrite Equation 2.3.3 as

$$\mathcal{E}(\rho) = \sum_k \langle e_k| U [\rho \otimes |e_0\rangle\langle e_0|] U^\dagger |e_k\rangle \equiv \sum_k E_k \rho E_k^\dagger, \quad (2.3.4)$$

where we implicitly define $E_k \equiv \langle e_k| U |e_0\rangle$. Note that this is a *partial* inner product since U acts on both the principal system and the environment, so the E_k are operators on the principal system of interest only. This fixes our issue! This is called the *operator-sum representation* or *Kraus representation* of \mathcal{E} , and the set $\{E_k\}$ are called its *operation elements*.

The operation elements have a completeness property; since $\mathcal{E}(\rho)$ must itself be a density matrix, we require $\text{Tr}(\mathcal{E}(\rho)) = 1$. Therefore

$$1 = \text{Tr} \left(\sum_k E_k \rho E_k^\dagger \right) = \text{Tr} \left(\sum_k \rho E_k^\dagger E_k \right) \quad (2.3.5)$$

holds for all states ρ , and so

$$\sum_k E_k^\dagger E_k = I. \quad (2.3.6)$$

If this equation is satisfied, \mathcal{E} is called a *trace-preserving operation*. We assume all operations from here on out to be trace-preserving, unless stated otherwise.

The operator-sum representation of a quantum operation is not unique. The following theorem characterises this, stated without proof.

Theorem 2.3.1 (Unitary freedom in the operator-sum representation). *Suppose $\{E_1, \dots, E_m\}$ and $\{F_1, \dots, F_n\}$ are operation elements of operations \mathcal{E} and \mathcal{F} respectively. Append zero operators to the shorter list of elements to ensure $m = n$. Then, $\mathcal{E} = \mathcal{F}$ if and only if $E_i = \sum_j u_{ij} F_j$, where u_{ij} are the elements of an $m \times m$ unitary matrix.*

2.3.3 Axiomatisation

Quantum operations can alternatively be defined axiomatically, with no reference to an environment at all; this is often neater, especially for our purposes. We therefore make the following definition.

Definition 2.3.1 (Quantum Operation). Suppose \mathcal{H}_i are finite-dimensional Hilbert spaces of arbitrary dimension. A map \mathcal{E} from the set of density operators on \mathcal{H}_1 to the set of density operators on \mathcal{H}_2 is called a **quantum operation** if it satisfies:

1. $\text{Tr}(\mathcal{E}(\rho))$ is the probability that the process represented by \mathcal{E} occurs, so $0 \leq \text{Tr}(\mathcal{E}(\rho)) \leq 1$.
2. \mathcal{E} is *convex-linear*; that is, for a set of probabilities $\{p_i\}$ and density matrices $\{\rho_i\}$, we have

$$\mathcal{E} \left(\sum_i p_i \rho_i \right) = \sum_i p_i \mathcal{E}(\rho_i). \quad (2.3.7)$$

3. \mathcal{E} is a completely positive map; so for any positive operator A , $\mathcal{E}(A)$ is also a positive operator. More generally, if we introduce an auxiliary system R , $(I_R \otimes \mathcal{E})(B)$ is positive for any positive operator B on $R \otimes \mathcal{H}_1$.

Note that the first property here reduces to $\text{Tr}(\mathcal{E}(\rho)) = 1$ for trace-preserving operations. We can in fact show that any map \mathcal{E} satisfying these properties has an operator-sum representation, which is formalised by the following theorem, again stated without proof:

Theorem 2.3.2. *A map \mathcal{E} from the set of density operators on \mathcal{H}_1 to the set of density operators on \mathcal{H}_2 satisfies the above axioms if and only if*

$$\mathcal{E}(\rho) = \sum_i E_i \rho E_i^\dagger \quad (2.3.8)$$

for some set of operators $E_i : \mathcal{H}_1 \rightarrow \mathcal{H}_2$, and $\sum_i E_i^\dagger E_i \leq I$.

We now present a basic example of a quantum operation, showing how the action of the bit-flip channel can be modelled.

Example 2.3.1 (The quantum bit-flip). *Consider the quantum operation from the set of density matrices of a single qubit to itself, with operation elements*

$$E_0 \equiv \sqrt{1-p}I = \sqrt{1-p} \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}, \quad E_1 \equiv \sqrt{p}X = \sqrt{p} \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}. \quad (2.3.9)$$

The action of this operation on a state ρ is therefore

$$\mathcal{E}(\rho) = (1-p)\rho + pX\rho X. \quad (2.3.10)$$

It therefore ‘does nothing’ to ρ with probability $1-p$, and flips each basis element of ρ with probability p , exactly matching the bit-flip channel!

2.4 General Theory of Error Correction

We now have the necessary theory to talk about the general theory behind quantum error-correction. A logical state ρ with support on a *logical space* \mathcal{H}_L is encoded by an *encoding isometry* $V : \mathcal{H}_L \rightarrow \mathcal{H}$, where the image of the isometry is called a *code subspace* $\mathcal{H}_{\text{code}} \subseteq \mathcal{H}$, and has equal dimensionality to \mathcal{H}_L . We call \mathcal{H} the *physical space*. In the bit-flip code for example, V is specified by the quantum circuit 2.2.1, and $\mathcal{H}_{\text{code}} = \text{span}\{V|0\rangle, V|1\rangle\} = \text{span}\{|000\rangle, |111\rangle\}$. After encoding, the state is subjected to noise (modelled by a quantum operation), a *syndrome measurement* is performed to diagnose whether an error occurred, and if so, what the error is, and then a *recovery operation* is performed to obtain the original state. Note that different errors have to correspond to orthogonal subspaces of the full Hilbert space \mathcal{H} in order to be reliably distinguished by the syndrome measurement.

In general, we make no assumptions about the full recovery procedure - in particular, we do not assume it is necessarily a two-stage detection-recovery process. We only assume that the noise is modelled by a quantum operation \mathcal{E} , and the correction is performed by a quantum operation \mathcal{R} , both acting on the physical Hilbert space. For error correction to be deemed successful, we require that for any physical state ρ with support on $\mathcal{H}_{\text{code}}$

$$(\mathcal{R} \circ \mathcal{E})(\rho) \propto \rho, \quad (2.4.1)$$

where we have a proportionality constant rather than equality to account for the possibility that the noise may not be trace-preserving (for example, if it takes a

measurement).

Not all errors are correctable. This is characterised by the *quantum error correction conditions*, which can be stated as the following theorem:

Theorem 2.4.1 (Quantum error-correction conditions). *Suppose $V : \mathcal{H}_L \rightarrow \mathcal{H}$ is an encoding isometry, and that P_{code} is the projection operator onto its image $\mathcal{H}_{\text{code}} \subseteq \mathcal{H}$. Say \mathcal{E} is a quantum operation modelling noise, with elements $\{E_i\}$. An error correction operation \mathcal{R} correcting \mathcal{E} on $\mathcal{H}_{\text{code}}$ exists if and only if*

$$P_{\text{code}} E_i^\dagger E_j P_{\text{code}} = \alpha_{ij} P_{\text{code}} \quad (2.4.2)$$

where α_{ij} are the elements of a complex hermitian matrix.

The proof of this can be found in [14]. From now on, we call the set $\{E_i\}$ *errors* rather than operation elements, and if an \mathcal{R} exists then we say they are a *correctable set* of errors.

In general, we may not know the form of the noise precisely, but the error correction conditions can be adapted to characterise an equivalence class of noise which an isometry and correction operation \mathcal{R} can correct for.

Theorem 2.4.2. *Suppose $V : \mathcal{H}_L \rightarrow \mathcal{H}$ is an encoding isometry with image $\mathcal{H}_{\text{code}}$, and \mathcal{R} is the full error-correcting operation correcting \mathcal{E} with errors $\{E_i\}$. Then, \mathcal{R} corrects for \mathcal{F} with errors $\{F_i\}$ if*

$$F_i = \sum_j m_{ij} E_j \quad (2.4.3)$$

for all i , and m_{ij} are some the elements of a complex matrix m on $\mathcal{H}_{\text{code}}$.

This is a useful statement, as we can talk about a class of errors $\{E_i\}$ which are correctable rather than a class of noises \mathcal{E} . For example, if we can find a process satisfying

$$P_{\text{code}} \sigma_i^1 \sigma_j^1 P_{\text{code}} = \alpha_{ij} P_{\text{code}} \quad (2.4.4)$$

for the Pauli matrices $\sigma_i^1 \in \{I, X, Y, Z\}$ acting on the first qubit, then we can correct for **arbitrary** single qubit errors, since any single qubit operation has operation elements which can be chosen to be proportional to the Pauli matrices. Shor's code [17] can do this, for example.

2.5 Quantum Erasure

For our purposes, a class of errors called *quantum erasures* is particularly important. An erasure is defined as the channel acting to erase a **known** subsystem of

the physical space. Formally, we suppose that the physical space \mathcal{H} has a tensor product structure, factorising as $\mathcal{H} = \mathcal{H}_A \otimes \mathcal{H}_{\bar{A}}$. One representation of the erasure channel is then

$$\mathcal{E}(\rho) = \text{Tr}_{\bar{A}}(\rho), \quad (2.5.1)$$

for any state on \mathcal{H} . This is not a unique definition: alternatives include the *depolarising channel*, which replaces the erased system with the identity. This definition is the most convenient for our purposes. Note that this takes states on \mathcal{H} to states on \mathcal{H}_A only. To extract the operation elements, we let $\{|a\rangle\}$ and $\{|\bar{a}\rangle\}$ be orthonormal bases of \mathcal{H}_A and $\mathcal{H}_{\bar{A}}$ respectively. We can then rewrite Equation 2.5.1 as

$$\mathcal{E}(\rho) = \sum_{\bar{a}} \langle \bar{a} | \rho | \bar{a} \rangle, \quad (2.5.2)$$

from which we can read off operation elements

$$E_{\bar{a}} \equiv I_A \otimes \langle \bar{a} | = \sum_a |a\rangle \langle a| \otimes \langle \bar{a} |. \quad (2.5.3)$$

The natural question to ask is what the quantum error correction conditions (given in Theorem 2.4.1) reduce to for erasures. To work this out, we can compute

$$E_{\bar{a}}^\dagger E_{\bar{b}} = (I_A \otimes |\bar{a}\rangle)(I_A \otimes \langle \bar{b}|) = I_A \otimes |\bar{a}\rangle \langle \bar{b}|, \quad (2.5.4)$$

and so Equation 2.4.2 reduces to

$$P_{\text{code}} |\bar{a}\rangle \langle \bar{b}| P_{\text{code}} = \alpha_{\bar{a}\bar{b}} P_{\text{code}} \quad (2.5.5)$$

where we drop the I_A for notational simplicity since the $|\bar{a}\rangle$ s do not have any action on the A subsystem. Even more simply, we can just write

$$P_{\text{code}} |\bar{a}\rangle \langle \bar{b}| P_{\text{code}} \propto P_{\text{code}}. \quad (2.5.6)$$

This will have an important implication when we come to look at Theorem 3.1 of [5]. Note that an arbitrary operator $X_{\bar{A}}$ acting on $\mathcal{H}_{\bar{A}}$ can be decomposed in the $\{|\bar{a}\rangle\}$ basis as

$$X_{\bar{A}} \equiv \sum_{\bar{a}, \bar{b}} x_{\bar{a}, \bar{b}} |\bar{a}\rangle \langle \bar{b}| \quad (2.5.7)$$

for some $x_{\bar{a}, \bar{b}} \in \mathbb{C}$. Therefore, if Equation 2.5.5 holds, we have

$$P_{\text{code}} X_{\bar{A}} P_{\text{code}} \propto P_{\text{code}}. \quad (2.5.8)$$

This is precisely Condition 3 of Theorem 3.1 of [5].

Chapter 3

Holographic Erasure Correction

In this section, we state and prove the three theorems of Harlow’s paper [5]. We follow Pollack et al. [7] in using the language of encoding isometries rather than the code subspace language of [5]. This is because when we give examples of erasure correcting codes in Chapter 4, they are much more easily presented by defining an isometry as a quantum circuit than directly giving a code subspace. Moreover, from the point of view of holography, an encoding isometry has physical significance as the *bulk to boundary map*. The downside is that it does add more notational baggage to the theorem statements and proofs which we present. The three theorems are in increasing generality; the first describes *conventional erasure correction*, the second *subsystem erasure correction*, and the third *operator-algebra error correction*. They all characterise whether an erasure is correctable in the sense of *complete* state recovery, rather than approximate recovery. Note that this chapter assumes an understanding of *von Neumann entropy*, *Schmidt decompositions*, and *purifications*. See Appendices B and C for brief summaries of these concepts.

3.1 Notation

For easy reference, we first present a list of the notational choices we make. The theorems and proofs below can be a little dense and hard to read due to the large number of objects to keep track of. Physical systems are labelled by *Roman* letters (e.g. a , A , R , etc.), and their associated Hilbert spaces by \mathcal{H}_a , \mathcal{H}_A , \mathcal{H}_R , etc. We use the shorthand $|A|$ to mean the dimensionality of the underlying Hilbert space, so $|A| \equiv |\mathcal{H}_A|$ etc. We use subscripts to indicate which system a state or operator is in or acts on; for example, $|\psi\rangle_A \in \mathcal{H}_A$ and $O_A \in \mathcal{L}(\mathcal{H}_A)$. We also use $\mathcal{L}(\mathcal{H})$ to denote the set of linear operators on \mathcal{H} . We single out elements of and operators

acting on the logical Hilbert space \mathcal{H}_L by marking them with a tilde symbol, so $|\tilde{\psi}\rangle \in \mathcal{H}_L$ and $\tilde{O} \in \mathcal{L}(\mathcal{H}_L)$, for example. For density operators corresponding to pure states, we use square brackets to indicate the state the operator corresponds to. So, for example, $\rho[\psi] = |\psi\rangle\langle\psi|$. Moreover, reduced density matrices are indicated by a subscript; so if $|\psi\rangle_{A\bar{A}} \in \mathcal{H}_A \otimes \mathcal{H}_{\bar{A}}$, then $\rho_A[\psi] \equiv \text{Tr}_{\bar{A}}(|\psi\rangle\langle\psi|)$. We also will often neglect tensor products with identity operators which are technically necessary to lift an operator to act on a full Hilbert space where the meaning is clear. For example, $O_A |\psi\rangle_{A\bar{A}}$ formally means $(O_A \otimes I_{\bar{A}}) |\psi\rangle_{A\bar{A}}$. Finally, when we say an operator “acts within a subspace”, we really mean that both the operator *and* its Hermitian conjugate act within the subspace.

3.2 Conventional Erasure Correction

We start by presenting Theorem 3.1 of [5] in the language of encoding isometries.

Theorem 3.2.1. *Let $V : \mathcal{H}_L \rightarrow \mathcal{H}$ be an encoding isometry, where $\mathcal{H} = \mathcal{H}_A \otimes \mathcal{H}_{\bar{A}}$, and $\mathcal{H}_{\text{code}} \subseteq \mathcal{H}$ is the image of V . Define an orthonormal basis $\{|\tilde{i}\rangle\}$ of \mathcal{H}_L , and let $|\phi\rangle \equiv \frac{1}{\sqrt{|R|}} |i\rangle_R (V |\tilde{i}\rangle)_{A\bar{A}}$, where R is an auxiliary system such that $\mathcal{H}_R = \mathcal{H}_L$, and $\{|i\rangle_R\}$ is an orthonormal basis of \mathcal{H}_R . The following statements are then equivalent:*

1. $|R| \leq |A|$, and if we decompose $\mathcal{H}_A = (\mathcal{H}_{A_1} \otimes \mathcal{H}_{A_2}) \oplus \mathcal{H}_{A_3}$ with $|A_1| = |R|$ and $|A_3| < |R|$, then there exists a unitary transformation U_A on \mathcal{H}_A and a fixed state $|\chi\rangle_{A_2\bar{A}} \in \mathcal{H}_{A_2\bar{A}}$ such that

$$(U_A \otimes I_{\bar{A}})(V |\tilde{i}\rangle)_{A\bar{A}} = |i\rangle_{A_1} \otimes |\chi\rangle_{A_2\bar{A}}, \quad (3.2.1)$$

where $|i\rangle_{A_1}$ is an orthonormal basis for \mathcal{H}_{A_1} .

2. For any operator \tilde{O} acting within \mathcal{H}_L , there exists an operator O_A on \mathcal{H}_A such that for any state $|\tilde{\psi}\rangle \in \mathcal{H}_L$, we have

$$\begin{aligned} O_A V |\tilde{\psi}\rangle &= V \tilde{O} |\tilde{\psi}\rangle \\ O_A^\dagger V |\tilde{\psi}\rangle &= V \tilde{O}^\dagger |\tilde{\psi}\rangle. \end{aligned} \quad (3.2.2)$$

3. For any operator $X_{\bar{A}}$ on $\mathcal{H}_{\bar{A}}$, we have

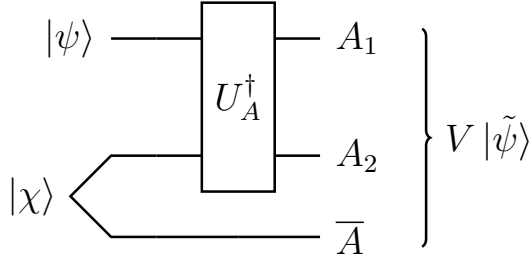
$$P_{\text{code}} X_{\bar{A}} P_{\text{code}} \propto P_{\text{code}} \quad (3.2.3)$$

where P_{code} is the projector onto $\mathcal{H}_{\text{code}}$. Alternatively, if $P_L = \sum_i |\tilde{i}\rangle\langle\tilde{i}|$ is the projector onto \mathcal{H}_L , then $P_{\text{code}} = V P_L V^\dagger$ is its image under V .

4. In the state $|\phi\rangle$, we have

$$\rho_{R\bar{A}}[\phi] = \rho_R[\phi] \otimes \rho_{\bar{A}}[\phi]. \quad (3.2.4)$$

Before proving this, we discuss some of the intuition behind each condition, and what all the objects in this theorem refer to. \bar{A} is the erased subsystem, and A is preserved under erasure. Condition 1 is the statement of full state recovery; we can recover any state on \mathcal{H}_L in full on subsystem A_1 by applying some unitary operator U_A which does not need access to \bar{A} . We can visualise this by means of a circuit diagram:



Here, $|\psi\rangle$ is a state on \mathcal{H}_{A_1} with the same matrix elements as $|\tilde{\psi}\rangle$ has on \mathcal{H}_L . A natural question to ask about Equation 3.2.1 is what the significance of $|\chi\rangle$ is. This is elucidated in the proof, but essentially it turns out that $|\chi\rangle$ is an arbitrary purification of $\rho_{\bar{A}}[\phi]$ on A_2 . Condition 2 says that any logical operator on \mathcal{H}_L can be equivalently represented by an operator acting on the A subsystem only. This makes sense as a condition for erasure correction: if we can reconstruct a state without access to \bar{A} , all the information about the state is in some sense ‘contained’ in A , so we should be able to operate on this information by just acting within \mathcal{H}_A . We derived Condition 3 earlier, as Equation 2.5.8; it is just the quantum error correction conditions adapted to erasures. In a more physically intuitive way, this says that performing a measurement of any operator on the erased subsystem \bar{A} cannot disturb the encoded information - a plausible condition for erasure to be correctable. In some sense, this means that all information about the original state is contained in the A system, as mentioned before. Condition 4 states that operators on the auxiliary system R and operators on the erased subsystem \bar{A} are not correlated.

We now present the proof of this theorem. This follows Harlow’s proof in [5], with details filled in.

Proof. (1) \implies (2): Define $O_A \equiv U_A^\dagger O_{A_1} U_A$, where O_{A_1} is an operator on \mathcal{H}_{A_1} with the same matrix elements as \tilde{O} has on \mathcal{H}_L ; that is

$$\langle i|\tilde{O}|\tilde{j}\rangle_{A\bar{A}} = \langle i|O_{A_1}|j\rangle_{A_1}$$

which is always possible since $|A_1| = |R| = |\mathcal{H}_L|$. Now, note that Equation 3.2.2 can be alternatively phrased as the statement that for any \tilde{O} , there exists a corresponding O_A with

$$\begin{aligned}\langle \tilde{i} | V^\dagger O_A V | \tilde{j} \rangle &= \langle \tilde{i} | \tilde{O} | \tilde{j} \rangle \\ \langle \tilde{i} | V^\dagger O_A^\dagger V | \tilde{j} \rangle &= \langle \tilde{i} | \tilde{O}^\dagger | \tilde{j} \rangle.\end{aligned}\tag{3.2.5}$$

We can then check these are satisfied by our O_A :

$$\begin{aligned}\langle \tilde{i} | V^\dagger O_A V | \tilde{j} \rangle &= \langle \tilde{i} | V^\dagger U_A^\dagger O_{A_1} U_A V | \tilde{j} \rangle \\ &= (\langle i |_{A_1} \otimes \langle \chi |_{A_2 \bar{A}}) O_{A_1} (|j \rangle_{A_1} \otimes |\chi \rangle_{A_2 \bar{A}}) \\ &= \langle i | O_{A_1} | j \rangle_{A_1} \langle \chi | \chi \rangle_{A_2 \bar{A}} \\ &= \langle \tilde{i} | \tilde{O} | \tilde{j} \rangle\end{aligned}\tag{3.2.6}$$

with similar for O_A^\dagger , as claimed.

(2) \implies (3): This implication is by contradiction. We can rewrite Equation 3.2.3 as the statement that $P_L V^\dagger X_{\bar{A}} V P_L \propto P_L$. So suppose there was some $X_{\bar{A}}$ such that $P_L V^\dagger X_{\bar{A}} V P_L \not\propto P_L$. Now, Schur's lemma in this context states that the only non-trivial operators commuting with all other operators on \mathcal{H}_L are scalar multiples of the identity. Since $V^\dagger X_{\bar{A}} V$ is not the identity, there must be some \tilde{O} on \mathcal{H}_L which doesn't commute with $V^\dagger X_{\bar{A}} V$, and some $|\tilde{\psi}\rangle \in \mathcal{H}_L$ such that:

$$\langle \tilde{\psi} | [P_L V^\dagger X_{\bar{A}} V P_L, \tilde{O}] | \tilde{\psi} \rangle = \langle \tilde{\psi} | [V^\dagger X_{\bar{A}} V, \tilde{O}] | \tilde{\psi} \rangle \neq 0.\tag{3.2.7}$$

But such an \tilde{O} cannot have a representation O_A on \mathcal{H}_A as defined in Equation 3.2.2, since this would by definition commute with $X_{\bar{A}}$; if it had such an O_A , then $\langle \tilde{\psi} | [V^\dagger X_{\bar{A}} V, \tilde{O}] | \tilde{\psi} \rangle = \langle \tilde{\psi} | [V^\dagger X_{\bar{A}} V, V^\dagger O_A V] | \tilde{\psi} \rangle = \langle \tilde{\psi} | V^\dagger [X_{\bar{A}}, O_A] V | \tilde{\psi} \rangle = 0$, which is a contradiction.

(3) \implies (4): Consider arbitrary operators O_R on \mathcal{H}_R and $X_{\bar{A}}$ on $\mathcal{H}_{\bar{A}}$. If we denote the constant of proportionality in Equation 3.2.3 as $\lambda \in \mathbb{C}$, we have

$$P_{\text{code}} X_{\bar{A}} P_{\text{code}} = \lambda P_{\text{code}},\tag{3.2.8}$$

so taking the inner product with $|\phi\rangle$:

$$\langle \phi | P_{\text{code}} X_{\bar{A}} P_{\text{code}} | \phi \rangle = \langle \phi | X_{\bar{A}} | \phi \rangle = \lambda \langle \phi | P_{\text{code}} | \phi \rangle = \lambda \langle \phi | \phi \rangle = \lambda,\tag{3.2.9}$$

giving $\langle \phi | X_{\bar{A}} | \phi \rangle = \lambda$. But this implies

$$\begin{aligned}\langle \phi | X_{\bar{A}} O_R | \phi \rangle &= \langle \phi | P_{\text{code}} O_R X_{\bar{A}} P_{\text{code}} | \phi \rangle \\ &= \langle \phi | O_R P_{\text{code}} X_{\bar{A}} P_{\text{code}} | \phi \rangle \\ &= \langle \phi | O_R \lambda P_{\text{code}} | \phi \rangle \\ &= \langle \phi | O_R | \phi \rangle \langle \phi | X_{\bar{A}} | \phi \rangle\end{aligned}\tag{3.2.10}$$

since $P_{\text{code}}|\phi\rangle = |\phi\rangle$. Therefore, so long as $\langle\phi|O_R|\phi\rangle$ and $\langle\phi|X_{\bar{A}}|\phi\rangle$ are non-zero for any such O_R and $X_{\bar{A}}$, we have $\rho_{R\bar{A}}[\phi] = \rho_R[\phi] \otimes \rho_{\bar{A}}[\phi]$.

(4) \implies (1): First, note that by definition $|\phi\rangle$ is a purification of $\rho_{R\bar{A}}[\phi] = \rho_R[\phi] \otimes \rho_{\bar{A}}[\phi]$ on subsystem A . Also note that $|\phi\rangle$ maximally entangles R with A :

$$\rho_R[\phi] = \text{Tr}_{A\bar{A}} \left(\frac{1}{|R|} \sum_{ij} |i\rangle \langle j|_R (V |\tilde{i}\rangle \langle \tilde{j}| V^\dagger)_{A\bar{A}} \right) = \frac{1}{|R|} \sum_i |i\rangle \langle i|_R = \frac{I_R}{|R|} \quad (3.2.11)$$

since $\rho_R[\phi] = I_R/|R|$ is the maximally mixed state. This means that Equation 3.2.4 becomes

$$\rho_{R\bar{A}}[\phi] = \frac{I_R}{|R|} \otimes \rho_{\bar{A}}[\phi]. \quad (3.2.12)$$

Next, we perform long division on A . Say k is the largest integer such that $|A| = k|R| + r$ and $r < |R|$. Then, there exists a factorisation $\mathcal{H}_A = (\mathcal{H}_{A_1} \otimes \mathcal{H}_{A_2}) \oplus \mathcal{H}_{A_3}$ such that $|A_1| = |R|$, $|A_2| = k$, and $|A_3| = r$.

We now define the following state:

$$|\Psi\rangle_{RA_1} \equiv \frac{1}{\sqrt{|R|}} \sum_i |i\rangle_R |i\rangle_{A_1} \quad (3.2.13)$$

which is a purification of $\rho_R[\phi]$ on A_1 . We also define $|\chi\rangle_{A_2\bar{A}}$ to be an arbitrary purification of $\rho_{\bar{A}}[\phi]$ on A_2 . Note that the state

$$|\phi'\rangle \equiv |\Psi\rangle_{RA_1} \otimes |\chi\rangle_{A_2\bar{A}} \quad (3.2.14)$$

then purifies $\rho_{R\bar{A}}[\phi]$ on A_1A_2 :

$$\begin{aligned} \text{Tr}_{A_1A_2} (|\Psi\rangle \langle \Psi|_{RA_1} \otimes |\chi\rangle \langle \chi|_{A_2\bar{A}}) &= \text{Tr}_{A_1} (|\Psi\rangle \langle \Psi|_{RA_1}) \text{Tr}_{A_2} (|\chi\rangle \langle \chi|_{A_2\bar{A}}) \\ &= \rho_R[\phi] \otimes \rho_{\bar{A}}[\phi]. \end{aligned} \quad (3.2.15)$$

Such a factorisation exists since the R and \bar{A} registers are unentangled in Equation 3.2.12. In a purification, the dimension of the purifying system A_1 needs to be at least as big as the rank of the state being purified, so we therefore have $|A_1| = |R|$ (since $\rho_R[\phi]$ is maximally mixed), and $\text{rank}(\rho_{\bar{A}}[\phi]) \leq |A_2|$.

However, purifications are unitarily equivalent on the purifying system - A in our case - so there exists a unitary U_A on \mathcal{H}_A taking $|\phi\rangle = U_A |\phi'\rangle$. Overall, we therefore have:

$$\begin{aligned} (U_A \otimes I_{\bar{A}}) \left(\frac{1}{\sqrt{|R|}} \sum_i |i\rangle_R (V |\tilde{i}\rangle)_{A\bar{A}} \right) &= \frac{1}{\sqrt{|R|}} \sum_i |i\rangle_R |i\rangle_{A_1} \otimes |\chi\rangle_{A_2\bar{A}} \\ \implies (U_A \otimes I_{\bar{A}}) V |\tilde{i}\rangle_{A\bar{A}} &= |i\rangle_{A_1} \otimes |\chi\rangle_{A_2\bar{A}} \end{aligned} \quad (3.2.16)$$

as claimed. \square

One important facet of this theorem is that it does not specify the full set of subsystems \bar{A} which can be erased and still corrected. It may be that some choices of \bar{A} are not correctable; we need to apply the theorem to each choice in turn and check. Note as well that $|\chi\rangle_{A_2\bar{A}}$ has to have entanglement here for the code to function robustly. If $|\chi\rangle_{A_2\bar{A}}$ was a product state, for example, then we can get rid of \bar{A} entirely as it is extemporaneous. Entanglement is what allows a subsystem of A together with \bar{A} to access information which A cannot access on its own. We now present an example of conventional erasure correction: the toy model of [5].

Example

In this example, we refer to *qutrits*. A qutrit is exactly analogous to a qubit, except the underlying Hilbert space has three basis elements, which we denote $\{|0\rangle, |1\rangle, |2\rangle\}$. An arbitrary qutrit can then be written

$$|\psi\rangle = \sum_{i=0}^2 a_i |i\rangle \quad (3.2.17)$$

where $\sum_{i=0}^2 |a_i|^2 = 1$. Suppose Alice wishes to send this qutrit to Bob through a channel which acts to erase every third transmitted qutrit with certainty. To protect for this, Alice encodes her qutrit into the physical code subspace $\mathcal{H}_{\text{code}} = \text{span}(|0\rangle_{\text{code}}, |1\rangle_{\text{code}}, |2\rangle_{\text{code}})$, defined by

$$\begin{aligned} |0\rangle_{\text{code}} &= \frac{1}{\sqrt{3}}(|000\rangle + |111\rangle + |222\rangle) \\ |1\rangle_{\text{code}} &= \frac{1}{\sqrt{3}}(|012\rangle + |120\rangle + |201\rangle). \\ |2\rangle_{\text{code}} &= \frac{1}{\sqrt{3}}(|021\rangle + |102\rangle + |210\rangle) \end{aligned} \quad (3.2.18)$$

Explicitly, the encoding isometry V can be written

$$V = |0\rangle_{\text{code}} \langle\tilde{0}| + |1\rangle_{\text{code}} \langle\tilde{1}| + |2\rangle_{\text{code}} \langle\tilde{2}|. \quad (3.2.19)$$

Suppose that the erasure acts on the third qutrit of $\mathcal{H}_{\text{code}}$. Bob then only has access to the first two qutrits, but he can still recover the original state. Define a unitary operator on the first two qutrits by

$$\begin{aligned} U_{12} \equiv & |00\rangle \langle 00| + |01\rangle \langle 11| + |02\rangle \langle 22| + |12\rangle \langle 01| + |10\rangle \langle 12| + |11\rangle \langle 20| \\ & + |21\rangle \langle 02| + |22\rangle \langle 10| + |20\rangle \langle 21|, \end{aligned} \quad (3.2.20)$$

which does nothing to $|00\rangle$, and permutes the remaining 8 basis states as

$$|11\rangle \rightarrow |01\rangle \rightarrow |12\rangle \rightarrow |10\rangle \rightarrow |22\rangle \rightarrow |02\rangle \rightarrow |21\rangle \rightarrow |20\rangle \rightarrow |11\rangle. \quad (3.2.21)$$

We can then compute that

$$(U_{12} \otimes I_3)V|i\rangle = |i\rangle_1 \otimes \frac{1}{\sqrt{3}}(|00\rangle + |11\rangle + |22\rangle)_{23} \equiv |i\rangle_1 \otimes |\chi\rangle_{23}, \quad (3.2.22)$$

which explicitly shows state recovery is possible given access to only the first two qutrits, since then

$$(U_{12} \otimes I_3)V|\psi\rangle = |\psi\rangle_1 \otimes |\chi\rangle_{23}. \quad (3.2.23)$$

This procedure holds irrelevant of which qutrit has been erased; we can just define a unitary operator with equivalent action to 3.2.20 acting on the remaining two qutrits. We can also phrase this in terms of operator reconstructability as in Condition2. Suppose O acts on the space of a single qutrit as

$$O|\tilde{i}\rangle = \sum_{j=0}^2 (O)_{ji} |\tilde{j}\rangle. \quad (3.2.24)$$

Here, $(O)_{ji}$ are just the matrix elements of O . We can then find a corresponding operator O_{12} on the first two qutrits of $\mathcal{H}_{\text{code}}$ which has an identical action to O on the basis elements of $\mathcal{H}_{\text{code}}$:

$$O_{12}|i\rangle_{\text{code}} = \sum_{j=0}^2 (O)_{ji} |j\rangle_{\text{code}}. \quad (3.2.25)$$

This is done by just defining

$$O_{12} \equiv U_{12}^\dagger O U_{12} \quad (3.2.26)$$

where we take \tilde{O} to act on the first qutrit only.

3.3 Subsystem Erasure Correction

The generalisation of conventional erasure correction which encompasses situations where we can recover some information on A and some on \bar{A} is called *subsystem erasure correction*. This is Theorem 4.1 of [5], and can be stated as follows; again, in the language of encoding isometries.

Theorem 3.3.1. *Let $V : \mathcal{H}_L \rightarrow \mathcal{H}$ be an encoding isometry, where $\mathcal{H}_L = \mathcal{H}_a \otimes \mathcal{H}_{\bar{a}}$ and $\mathcal{H} = \mathcal{H}_A \otimes \mathcal{H}_{\bar{A}}$. Define orthonormal bases $\{|\tilde{i}\rangle\}$ of \mathcal{H}_a and $\{|\tilde{j}\rangle\}$ of $\mathcal{H}_{\bar{a}}$, and let $|\phi\rangle \equiv \frac{1}{\sqrt{|R||\bar{R}|}} \sum_{i,j} |i\rangle_R |j\rangle_{\bar{R}} (V |\tilde{i}\tilde{j}\rangle)_{A\bar{A}}$ where R and \bar{R} are auxiliary systems with $\mathcal{H}_R = \mathcal{H}_a$ and $\mathcal{H}_{\bar{R}} = \mathcal{H}_{\bar{a}}$, and orthonormal bases $\{|i\rangle_R\}$ and $\{|j\rangle_{\bar{R}}\}$ respectively. The following statements are then equivalent:*

1. $|a| \leq |A|$, and if we decompose $\mathcal{H}_A = (\mathcal{H}_{A_1} \otimes \mathcal{H}_{A_2}) \oplus \mathcal{H}_{A_3}$, where $|A_1| = |a|$, and $|A_3| \leq |a|$, then there exists a unitary transformation U_A on \mathcal{H}_A and a set of orthonormal states $|\chi_j\rangle_{A_2\bar{A}} \in \mathcal{H}_{A_2\bar{A}}$ such that

$$(U_A \otimes I_{\bar{A}}) V |\tilde{i}\tilde{j}\rangle = |i\rangle_{A_1} \otimes |\chi_j\rangle_{A_2\bar{A}}, \quad (3.3.1)$$

where $\{|i\rangle_{A_1}\}$ is an orthonormal basis of \mathcal{H}_{A_1} .

2. For any operator \tilde{O}_a acting within \mathcal{H}_a , there exists an operator O_A on \mathcal{H}_A such that for any state $|\tilde{\psi}\rangle \in \mathcal{H}_L$, we have

$$\begin{aligned} O_A V |\tilde{\psi}\rangle &= V \tilde{O}_a |\tilde{\psi}\rangle \\ O_A^\dagger V |\tilde{\psi}\rangle &= V \tilde{O}_a^\dagger |\tilde{\psi}\rangle. \end{aligned} \quad (3.3.2)$$

3. For any operator $X_{\bar{A}}$ on $\mathcal{H}_{\bar{A}}$, we have

$$P_{\text{code}} X_{\bar{A}} P_{\text{code}} = V (I_a \otimes X_{\bar{a}}) V^\dagger P_{\text{code}}, \quad (3.3.3)$$

where P_{code} is the projector onto the image of V ; that is, if $P_L = \sum_{i,j} |\tilde{i}\tilde{j}\rangle \langle \tilde{i}\tilde{j}|$ is the projector onto \mathcal{H}_L , then $P_{\text{code}} = V P_L V^\dagger$.

4. In the state $|\phi\rangle$, we have

$$\rho_{R\bar{R}A}[\phi] = \rho_R[\phi] \otimes \rho_{\bar{R}A}[\phi]. \quad (3.3.4)$$

The proof of this is virtually identical to that of Theorem 3.2.1, only that we must now keep track of the \mathcal{H}_a subsystem. Moreover, it is a straightforward special case of *operator-algebra erasure correction* in the next section, so we do not go through the proof. We just include the theorem here for completeness. In particular, there are some alternative generalisations of Harlow's theorems which build upon subsystem erasure correction rather than operator-algebra erasure correction. For an example, see [3].

3.4 Operator-Algebra Erasure Correction

In this section, we present Theorem 5.1 of [5]: operator-algebra erasure correction. This is Harlow’s most general theorem, encompassing both conventional and subsystem correction as special cases. However, in order to make sense of this, we need the theory of *von Neumann algebras* on finite-dimensional Hilbert spaces. We present the necessary results here; readers looking for a more detailed exposition of von Neumann algebras should consult Appendix A of [5], or [8] for a more ‘mathematical’ set of notes applicable to the infinite dimensional case. Note as well we do not prove the results here; we just present them.

3.4.1 von Neumann Algebras

Definition 3.4.1. A **von Neumann algebra** on a finite-dimensional Hilbert space \mathcal{H} is any set of linear operators $M \subseteq \mathcal{L}(\mathcal{H})$ such that:

- M contains all scalar multiples of the identity: $\forall \lambda \in \mathbb{C}, \lambda I \in M$, where I is the identity operator.
- M is closed under Hermitian conjugation: $\forall x \in M, x^\dagger \in M$.
- M is closed under multiplication: $\forall x, y \in M, xy \in M$.
- M is closed under addition: $\forall x, y \in M, x + y \in M$.

From now on, whenever we refer to a von Neumann algebra, we really mean a von Neumann algebra on a finite-dimensional Hilbert space. Note the notation of operators written in lower case rather than upper case as is common. This is because we are treating the operators as *elements* of an algebra, rather than individual operators in their own right. We will occasionally refer to a von Neumann algebra by its *generators*: the minimal set of operators which under the operations of conjugation, multiplication and addition can construct all other operators in M . We write $M = \langle x, y, \dots \rangle$ for the algebra generated by x, y, \dots

Any von Neumann algebra induces two ‘natural’ associated algebras: the *commutant* and the *centre*.

Definition 3.4.2 (Commutant). Given a von Neumann algebra M on \mathcal{H} , its **commutant**, denoted M' , is the set of all operators on \mathcal{H} which commute with M ; that is

$$M' \equiv \{y \in \mathcal{L}(\mathcal{H}) \mid xy = yx, \forall x \in M\}. \quad (3.4.1)$$

Definition 3.4.3 (Centre). Given a von Neumann algebra M on \mathcal{H} , its **centre**, denoted Z_M , is the set of all operators on \mathcal{H} in both M and M' ; that is

$$Z_M \equiv M \cap M'. \quad (3.4.2)$$

In classifying von Neumann algebras, there is a special role for algebras which have a centre containing only scalar multiples of the identity. Such an algebra is called a *factor*.

Definition 3.4.4 (Factor algebra). A von Neumann algebra M on \mathcal{H} is called a **factor** if Z_M contains only scalar multiples of the identity; that is

$$Z_M \equiv \langle I \rangle = \{\lambda I \mid \lambda \in \mathbb{C}\}. \quad (3.4.3)$$

3.4.2 Classification of von Neumann Algebras

In order to apply the theory of von Neumann algebras to erasure correction, we need two powerful classification theorems. We first classify factor algebras.

Theorem 3.4.1. *Suppose M is a factor on \mathcal{H} . Then there exists a tensor factorisation $\mathcal{H} = \mathcal{H}_A \otimes \mathcal{H}_{\bar{A}}$ such that $M = \mathcal{L}(\mathcal{H}_A) \otimes I_{\bar{A}}$ and $M' = I_A \otimes \mathcal{L}(\mathcal{H}_{\bar{A}})$.*

In other words, M induces a tensor factorisation $\mathcal{H} = \mathcal{H}_A \otimes \mathcal{H}_{\bar{A}}$, and it is then the set of all linear operators on the tensor factor \mathcal{H}_A . For more general von Neumann algebras, this classification generalises to something called a *Wedderburn decomposition*.

Theorem 3.4.2 (Wedderburn decomposition). *Suppose M is a von Neumann algebra on \mathcal{H} . Then there exists a block decomposition*

$$\mathcal{H} = \left[\bigoplus_{\alpha} (\mathcal{H}_{A_{\alpha}} \otimes \mathcal{H}_{\bar{A}_{\alpha}}) \right] \oplus \mathcal{H}_0 \quad (3.4.4)$$

in terms of which M and M' are block-diagonal, with corresponding decompositions

$$M = \left[\bigoplus_{\alpha} (\mathcal{L}(\mathcal{H}_{A_{\alpha}}) \otimes I_{\bar{A}_{\alpha}}) \right] \oplus 0, \quad M' = \left[\bigoplus_{\alpha} (I_{A_{\alpha}} \otimes \mathcal{L}(\mathcal{H}_{\bar{A}_{\alpha}})) \right] \oplus 0. \quad (3.4.5)$$

Here, \mathcal{H}_0 is the null space, and 0 is the zero operator on \mathcal{H}_0 .

For ease of notation, we usually drop the direct sum with the null space. This is all a bit abstract. We therefore give a series of examples of these classification theorems to build intuition, beginning with the classification of factors.

Example 3.4.1. The von Neumann algebra $M = \mathcal{L}(\mathbb{C}^2) \otimes I$ on $\mathcal{H} = \mathbb{C}^4$ is a factor. It has Wedderburn decomposition

$$M = \mathcal{L}(\mathbb{C}^2) \otimes I = \begin{pmatrix} a & 0 & b & 0 \\ 0 & a & 0 & b \\ c & 0 & d & 0 \\ 0 & c & 0 & d \end{pmatrix}, \quad (3.4.6)$$

where $a, b, c, d \in \mathbb{C}$. The commutant is $M' = I \otimes \mathcal{L}(\mathbb{C}^2)$, and it induces $\mathcal{H} = \mathbb{C}^2 \otimes \mathbb{C}^2$.

Our next example is a more complex one, displaying the block diagonal structure of Equation 3.4.5.

Example 3.4.2. Consider the von Neumann algebra $M = \langle Z \otimes I \otimes I, I \otimes X \otimes I, I \otimes Z \otimes I \rangle$, where X and Z are Pauli matrices, over $\mathcal{H} = \mathbb{C}^8$. This induces a decomposition of \mathcal{H} as

$$\mathcal{H} = \bigoplus_{\alpha=0}^1 (\mathbb{C}^2 \otimes \mathbb{C}^2), \quad (3.4.7)$$

and M has Wedderburn decomposition

$$M = \bigoplus_{\alpha=0}^1 (\mathcal{L}(\mathbb{C}^2) \otimes I) = \left(\begin{array}{cc|cc|cc} a & 0 & b & 0 & & & & \\ 0 & a & 0 & b & & & & \\ c & 0 & d & 0 & & & & \\ 0 & c & 0 & d & & & & \\ \hline & & & & \mathbf{0} & & & \\ & & & & e & 0 & f & 0 \\ & & & & 0 & e & 0 & f \\ & & & & g & 0 & h & 0 \\ & & & & 0 & g & 0 & h \end{array} \right), \quad (3.4.8)$$

where $a, \dots, h \in \mathbb{C}$. The commutant is $M' = \bigoplus_{\alpha=0}^1 (I \otimes \mathcal{L}(\mathbb{C}^2))$.

3.4.3 The Link to Error Correction

To link this mathematical discussion to the language of error correction, suppose we have a von Neumann algebra M on the logical Hilbert space \mathcal{H}_L . Then we have a decomposition

$$\mathcal{H}_L = \bigoplus_{\alpha} (\mathcal{H}_{L_{\alpha}} \otimes \mathcal{H}_{\bar{L}_{\alpha}}) \quad (3.4.9)$$

such that M is the set of all operators which are block diagonal in α , where each operator acts as $\tilde{O}_{L_{\alpha}} \otimes I_{\bar{L}_{\alpha}}$ within each block, where $\tilde{O}_{L_{\alpha}}$ is an arbitrary linear

operator on \mathcal{H}_{L_α} . In matrix form, for some $\tilde{O} \in M$, we can write:

$$\tilde{O} = \begin{pmatrix} \tilde{O}_{L_1} \otimes I_{\bar{L}_1} & 0 & \cdots \\ 0 & \tilde{O}_{L_2} \otimes I_{\bar{L}_2} & \cdots \\ \vdots & \vdots & \ddots \end{pmatrix}. \quad (3.4.10)$$

The commutant similarly consists of operators $\tilde{O}' \in M'$ which have matrix form:

$$\tilde{O}' = \begin{pmatrix} I_{L_1} \otimes \tilde{O}'_{\bar{L}_1} & 0 & \cdots \\ 0 & I_{L_2} \otimes \tilde{O}'_{\bar{L}_2} & \cdots \\ \vdots & \vdots & \ddots \end{pmatrix}. \quad (3.4.11)$$

Also in matrix notation, the centre Z_M consists of operators $\tilde{\Lambda}$ of the form:

$$\tilde{\Lambda} = \begin{pmatrix} \lambda_1(I_{L_1} \otimes I_{\bar{L}_1}) & 0 & \cdots \\ 0 & \lambda_2(I_{L_2} \otimes I_{\bar{L}_2}) & \cdots \\ \vdots & \vdots & \ddots \end{pmatrix}, \quad (3.4.12)$$

where $\lambda_\alpha \in \mathbb{C}$.

We can actually introduce a basis for \mathcal{H}_L with a von Neumann algebra M , which is ‘compatible’ with the induced decomposition. Choose orthonormal bases $\{|\widetilde{\alpha}, i\rangle\}$ and $\{|\widetilde{\alpha}, j\rangle\}$ of \mathcal{H}_{L_α} and $\mathcal{H}_{\bar{L}_\alpha}$ respectively; we use these to build a basis for the entire Hilbert space as

$$|\widetilde{\alpha}, ij\rangle \equiv |\widetilde{\alpha}, i\rangle \otimes |\widetilde{\alpha}, j\rangle. \quad (3.4.13)$$

3.4.4 Algebraic States and Entropy

Given a state ρ on \mathcal{H} and a Hermitian operator O , the expectation value of O on ρ is typically defined as

$$\mathbb{E}_\rho(O) = \text{Tr}(O\rho). \quad (3.4.14)$$

In what follows, we will often wish to compute the expectation values of operators in a von Neumann algebra M . An arbitrary state ρ is typically *not* an element of M , and contains more information than is necessary to compute expectations on M . This is the motivation for defining a so-called *algebraic state* - a version of the state which is in some sense ‘visible’ from M . We denote this by ρ_M . The following theorem precisely defines what we mean by this.

Theorem 3.4.3. *Suppose M is a von Neumann algebra on \mathcal{H} , and let ρ be a state on \mathcal{H} . Then, there exists a unique state $\rho_M \in M$ such that*

$$\text{Tr}(x\rho_M) = \text{Tr}(x\rho) \iff \mathbb{E}_{\rho_M}(x) = \mathbb{E}_\rho(x) \quad (3.4.15)$$

for all $x \in M$.

This theorem states that in computing expectation values of elements of M , we can replace ρ by ρ_M .

We can actually write down an explicit form for ρ_M . We do this for factors first. From Theorem 3.4.1, we know that if M is a factor on \mathcal{H} , then there exists a factorisation $\mathcal{H} = \mathcal{H}_A \otimes \mathcal{H}_{\bar{A}}$ such that $M = \mathcal{L}(\mathcal{H}_A) \otimes I_{\bar{A}}$. Defining the reduced state

$$\rho_A \equiv \text{Tr}_{\bar{A}} \rho, \quad (3.4.16)$$

we see that the unique algebraic state obeying Theorem 3.4.3 is just

$$\rho_M \equiv \rho_A \otimes \frac{I_{\bar{A}}}{|\bar{A}|}. \quad (3.4.17)$$

For a general von Neumann algebra, we can do similar. From Theorem 3.4.2, we know that if M is a von Neumann algebra on \mathcal{H} , then there exists a decomposition

$$\mathcal{H} = \bigoplus_{\alpha} (\mathcal{H}_{A_{\alpha}} \otimes \mathcal{H}_{\bar{A}_{\alpha}}), \quad (3.4.18)$$

in terms of which

$$M = \bigoplus_{\alpha} (\mathcal{L}(\mathcal{H}_{A_{\alpha}}) \otimes I_{\bar{A}_{\alpha}}). \quad (3.4.19)$$

Any state ρ can be written in block form with respect to the decomposition 3.4.18, and since M is block-diagonal, only the diagonal blocks of ρ will contribute to expectation values. Denoting the $(\alpha, \alpha')^{\text{th}}$ block of ρ by $\rho_{\alpha\alpha'}$, we can then define

$$p_{\alpha} \rho_{A_{\alpha}} \equiv \text{Tr}_{\bar{A}_{\alpha}} \rho_{\alpha\alpha}, \quad (3.4.20)$$

where $p_{\alpha} \in \mathbb{R}^+$, chosen so that $\text{Tr}_{A_{\alpha}} \rho_{A_{\alpha}} = 1$. Since $\text{Tr} \rho = 1$, we see that $\sum_{\alpha} p_{\alpha} = 1$, so the p_{α} can be interpreted as probabilities. Finally, we can define the unique algebraic state obeying Theorem 3.4.3 as

$$\rho_M \equiv \bigoplus_{\alpha} \left(p_{\alpha} \rho_{A_{\alpha}} \otimes \frac{I_{\bar{A}_{\alpha}}}{|\bar{A}_{\alpha}|} \right), \quad (3.4.21)$$

which is clearly Hermitian, non-negative, has trace one, is of the form given in Equation 3.4.18, and so is in M , and gives the same expectation values for any element of M .

From Equation 3.4.17, we see that when M is a factor, the von Neumann entropy of ρ_M is equivalent to the von Neumann entropy of the reduced state ρ_A . This suggests that we should introduce a generalisation of the von Neumann entropy for a state ρ on an algebra M , which we define as follows:

Definition 3.4.5 (Algebraic entropy). Let ρ be an arbitrary state, and M a von Neumann algebra. The **algebraic entropy** of ρ with respect to M is

$$S(\rho, M) \equiv - \sum_{\alpha} \text{Tr}_{A_{\alpha}}(p_{\alpha} \rho_{A_{\alpha}} \log(p_{\alpha} \rho_{A_{\alpha}})) = - \sum_{\alpha} p_{\alpha} \log p_{\alpha} + \sum_{\alpha} p_{\alpha} S(\rho_{A_{\alpha}}) \quad (3.4.22)$$

where $S(\rho_{A_{\alpha}}) \equiv -\text{Tr}_A(\rho_{A_{\alpha}} \log \rho_{A_{\alpha}})$ is the von Neumann entropy of the reduced state $\rho_{A_{\alpha}}$ as defined in Equation 3.4.20.

For some more motivation as to why this is an appropriate definition, we recommend consulting Appendix A of [5]. We can interpret algebraic entropy as having two parts: a *classical* term consisting of the Shannon entropy $-\sum_{\alpha} p_{\alpha} \log p_{\alpha}$ of the probability distribution p_{α} , and a *quantum* term associated to the von Neumann entropies of each diagonal block of ρ , weighted by the probabilities. We clearly see that when M is a factor, this reduces to the standard von Neumann entropy since the classical Shannon entropy of the probabilities p_{α} vanishes because we only have $p_0 = 1$.

We can also define a notion of *algebraic relative entropy* analogously.

Definition 3.4.6 (Algebraic relative entropy). Given two states ρ, σ on M , the **algebraic relative entropy** between them is

$$\begin{aligned} S(\rho|\sigma, M) &\equiv -S(\rho, M) - \text{Tr} \left(\bigoplus_{\alpha} [\log(p_{\alpha}^{\{\sigma\}} \sigma_{A_{\alpha}}) \otimes I_{\bar{A}_{\alpha}}] \rho \right) \\ &= \sum_{\alpha} p_{\alpha}^{\{\rho\}} \log \frac{p_{\alpha}^{\{\rho\}}}{p_{\alpha}^{\{\sigma\}}} + \sum_{\alpha} p_{\alpha}^{\{\rho\}} S(\rho_{A_{\alpha}}|\sigma_{A_{\alpha}}), \end{aligned} \quad (3.4.23)$$

where $p_{\alpha}^{\{\rho\}}$ and $p_{\alpha}^{\{\sigma\}}$ are the probability distributions corresponding to ρ and σ respectively, and $S(\rho_{A_{\alpha}}|\sigma_{A_{\alpha}})$ is the von Neumann relative entropy between $\rho_{A_{\alpha}}$ and $\sigma_{A_{\alpha}}$.

There's a lot of notation to unpack here, so we present an example.

Example 3.4.3. Consider the von Neumann algebra and Hilbert space of Example 3.4.2, which has two diagonal blocks given by $\alpha = 0, 1$. Consider the GHZ state $|\psi\rangle = \frac{1}{\sqrt{2}}(|000\rangle + |111\rangle) \in \mathcal{H}$. The density matrix of this state has diagonal blocks

$$\rho_{00} = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix}, \quad \rho_{11} = \begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}. \quad (3.4.24)$$

Tracing out the corresponding blocks, we find

$$\rho_{A_0} = \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}, \quad \rho_{A_1} = \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix}, \quad (3.4.25)$$

and $p_0 = p_1 = 1/2$. We can then compute

$$S(\rho, M) = -2 \left(\frac{1}{2} \log \frac{1}{2} \right) + \frac{1}{2} S(\rho_{A_0}) + \frac{1}{2} S(\rho_{A_1}) = 1. \quad (3.4.26)$$

3.5 Operator-Algebra Error Correction

We now finally have the machinery to present Theorem 5.1 of [5].

Theorem 3.5.1. *Let $V : \mathcal{H}_L \rightarrow \mathcal{H}$ be an encoding isometry with image $\mathcal{H}_{code} \subseteq \mathcal{H}$, where $\mathcal{H} = \mathcal{H}_A \otimes \mathcal{H}_{\bar{A}}$. Say we have a von Neumann algebra M on \mathcal{H}_L . Define orthonormal basis $\{|\widetilde{\alpha, ij}\rangle\}$ of \mathcal{H}_L as in equation 3.4.13, which is compatible with the decomposition $\mathcal{H}_L = \oplus_{\alpha} (\mathcal{H}_{L_{\alpha}} \otimes \mathcal{H}_{\bar{L}_{\alpha}})$ induced by M . Let $|\phi\rangle \equiv \frac{1}{\sqrt{|R|}} \sum_{\alpha, i, j} |\alpha, ij\rangle_R (V |\widetilde{\alpha, ij}\rangle)_{A\bar{A}}$, where R is an auxiliary system with $\mathcal{H}_R = \mathcal{H}_L$. The following statements are then equivalent:*

1. $\sum_{\alpha} |L_{\alpha}| \leq |A|$, and we can decompose $\mathcal{H}_A = \oplus_{\alpha} (\mathcal{H}_{A_1^{\alpha}} \otimes \mathcal{H}_{A_2^{\alpha}}) \oplus \mathcal{H}_{A_3}$ with $|A_1^{\alpha}| = |L_{\alpha}|$ such that there exists a unitary transformation U_A on \mathcal{H}_A and sets of orthonormal states $|\chi_{\alpha, j}\rangle_{A_2^{\alpha} \bar{A}} \in \mathcal{H}_{A_2^{\alpha} \bar{A}}$ such that

$$(U_A \otimes I_{\bar{A}}) V |\widetilde{\alpha, ij}\rangle = |\alpha, i\rangle_{A_1^{\alpha}} \otimes |\chi_{\alpha, j}\rangle_{A_2^{\alpha} \bar{A}}, \quad (3.5.1)$$

where $\{|\alpha, i\rangle_{A_1^{\alpha}}\}$ is an orthonormal basis for $\mathcal{H}_{A_1^{\alpha}}$.

2. For any operator $\tilde{O} \in M$, there exists an operator O_A on \mathcal{H}_A such that for any state $|\tilde{\psi}\rangle \in \mathcal{H}_L$, we have

$$\begin{aligned} O_A V |\tilde{\psi}\rangle &= V \tilde{O} |\tilde{\psi}\rangle \\ O_A^{\dagger} V |\tilde{\psi}\rangle &= V \tilde{O}^{\dagger} |\tilde{\psi}\rangle. \end{aligned} \quad (3.5.2)$$

3. For any operator $X_{\bar{A}}$ on $\mathcal{H}_{\bar{A}}$, we have

$$P_{code} X_{\bar{A}} P_{code} = V X' V^{\dagger} P_{code}, \quad (3.5.3)$$

where $X' \in M'$ is an element of the commutant, and P_{code} is the image of the projector onto \mathcal{H}_L under V (or the projector onto \mathcal{H}_{code}); that is, if $P_L = \sum_{\alpha, i, j} |\widetilde{\alpha, ij}\rangle \langle \widetilde{\alpha, ij}|$, then $P_{code} = V P_L V^{\dagger}$.

4. For any operator $\tilde{O} \in M$, we have

$$[O_R, \rho_{R\bar{A}}[\phi]] = 0, \quad (3.5.4)$$

where O_R is the unique operator on \mathcal{H}_R such that

$$\begin{aligned} O_R |\phi\rangle &= V \tilde{O} V^\dagger |\phi\rangle \\ O_R^\dagger |\phi\rangle &= V \tilde{O}^\dagger V^\dagger |\phi\rangle. \end{aligned} \quad (3.5.5)$$

Similar to the last theorem, this characterises in some sense ‘how well’ a code subspace can correct a subalgebra M for the erasure of \bar{A} . In fact, it reduces to subsystem erasure correction when M is a factor, and to conventional erasure correction when $M = \mathcal{L}(\mathcal{H}_L)$ is the full set of linear operators on \mathcal{H}_L .

Proof. (1) \implies (2): Define $O_A \equiv U_A^\dagger (\oplus_\alpha (O_{A_1^\alpha} \otimes I_{A_2^\alpha})) U_A$, where $O_{A_1^\alpha}$ is an operator acting on $\mathcal{H}_{A_1^\alpha}$ with the same matrix elements as \tilde{O}_{L_α} (as in Equation 3.4.10) does on \mathcal{H}_{L_α} . Condition 2 is then immediate, following the same steps as in the proof of conventional erasure correction.

(2) \implies (3): This implication is by contradiction. Suppose that $P_{\text{code}} X_{\bar{A}} P_{\text{code}} = V x' V^\dagger P_{\text{code}}$, where $x' \in \mathcal{L}(\mathcal{H}_L)$ but $x' \notin M$. Therefore there must be some operator $\tilde{O} \in M$ which does not commute with x' , and so there must be a state $|\tilde{\psi}\rangle \in \mathcal{H}_L$ such that

$$\langle \tilde{\psi} | [x', \tilde{O}] | \tilde{\psi} \rangle = \langle \tilde{\psi} | [V^\dagger P_{\text{code}} X_{\bar{A}} P_{\text{code}} V, \tilde{O}] | \tilde{\psi} \rangle = \langle \tilde{\psi} | V^\dagger [X_{\bar{A}}, V \tilde{O} V^\dagger] V | \tilde{\psi} \rangle \neq 0. \quad (3.5.6)$$

However, such an \tilde{O} cannot have a corresponding O_A as this would automatically commute with $X_{\bar{A}}$, which contradicts Condition 2.

(3) \implies (4): Say $\tilde{O} \in M$, and suppose $X_{\bar{A}}$ and Y_R are arbitrary operators on $\mathcal{H}_{\bar{A}}$ and \mathcal{H}_R respectively. We then have:

$$\begin{aligned} \text{Tr}_{R\bar{A}} (O_R \rho_{R\bar{A}}[\phi] X_{\bar{A}} Y_R) &= \langle \phi | X_{\bar{A}} Y_R O_R | \phi \rangle \\ &= \langle \phi | X_{\bar{A}} Y_R V \tilde{O} V^\dagger | \phi \rangle \\ &= \langle \phi | V \tilde{O} V^\dagger X_{\bar{A}} Y_R | \phi \rangle \\ &= \langle \phi | O_R X_{\bar{A}} Y_R | \phi \rangle \\ &= \text{Tr}_{R\bar{A}} (\rho_{R\bar{A}}[\phi] O_R X_{\bar{A}} Y_R), \end{aligned} \quad (3.5.7)$$

where the first equality is by substituting in the definition of $\rho[\phi]$ and expanding, the second is by definition of O_R , the third is due to $V \tilde{O} V^\dagger$ commuting with Y_R trivially and with $X_{\bar{A}}$ by Equation 3.5.3, and the last two by similar logic in reverse.

This can only hold for arbitrary $X_{\bar{A}}$ and Y_R if $[O_R, \rho_{R\bar{A}}[\phi]] = 0$ as claimed.

(4) \implies (1): Our basis $\{|\alpha, ij\rangle_R\}$ for \mathcal{H}_R gives a decomposition

$$\mathcal{H}_R = \bigoplus_{\alpha} (\mathcal{H}_{R_{\alpha}} \otimes \mathcal{H}_{\bar{R}_{\alpha}}) \quad (3.5.8)$$

and so $\mathcal{H}_{R\bar{A}} = \mathcal{H}_R \otimes \mathcal{H}_{\bar{A}}$ can be decomposed as

$$\mathcal{H}_{R\bar{A}} = \bigoplus_{\alpha} (\mathcal{H}_{R_{\alpha}} \otimes \mathcal{H}_{\bar{R}_{\alpha}} \otimes \mathcal{H}_{\bar{A}}). \quad (3.5.9)$$

From Equation 3.5.4, we know that $[O_R, \rho_{R\bar{A}}[\phi]] = 0$ for all O_R as defined in Equation 3.5.5. This means that $\rho_R[\phi] = I_R/|R|$ is the maximally mixed state on R . We therefore have that, in terms of this decomposition

$$\rho_{R\bar{A}}[\phi] = \bigoplus_{\alpha} \left[\frac{|R_{\alpha}||\bar{R}_{\alpha}|}{|R|} \left(\frac{I_{R_{\alpha}}}{|R_{\alpha}|} \otimes \rho_{\bar{R}_{\alpha}\bar{A}} \right) \right], \quad (3.5.10)$$

for some states $\rho_{\bar{R}_{\alpha}\bar{A}}$. The coefficient out the front can be computed by requiring that $\rho_{R\bar{A}}[\phi]$ is a valid density operator tracing to 1. Since $\rho_R[\phi] = I_R/|R|$, we must have also that $\text{Tr}_{\bar{A}}(\rho_{\bar{R}_{\alpha}\bar{A}}) = I_{\bar{R}_{\alpha}}/|\bar{R}_{\alpha}|$.

By definition, $|\phi\rangle_{R\bar{A}}$ is a purification of $\rho_{R\bar{A}}[\phi]$ on A , and in a purification the dimension of the purifying system is necessarily as big as the rank of the state being purified (this is immediate from the Schmidt decomposition). So, denoting $\text{rank}(\rho_{\bar{R}_{\alpha}\bar{A}}) \equiv |\rho_{\bar{R}_{\alpha}\bar{A}}|$, we can write

$$\sum_{\alpha} |R_{\alpha}||\rho_{\bar{R}_{\alpha}\bar{A}}| \leq |A|. \quad (3.5.11)$$

This means that we can indeed decompose

$$\mathcal{H}_A = \bigoplus_{\alpha} (\mathcal{H}_{A_1^{\alpha}} \otimes \mathcal{H}_{A_2^{\alpha}}) \oplus \mathcal{H}_{A_3} \quad (3.5.12)$$

where $|A_1^{\alpha}| = |R_{\alpha}| = |L_{\alpha}|$ and $|A_2^{\alpha}| \geq |\rho_{\bar{R}_{\alpha}\bar{A}}|$ by long division. For each α , we can then purify $\rho_{\bar{R}_{\alpha}\bar{A}}$ on A_2^{α} ; since $\text{Tr}_{\bar{A}}(\rho_{\bar{R}_{\alpha}\bar{A}}) = I_{\bar{R}_{\alpha}}/|\bar{R}_{\alpha}|$, such a purification has the form

$$|\psi_{\alpha}\rangle_{\bar{R}_{\alpha}A_2^{\alpha}\bar{A}} = \frac{1}{\sqrt{|\bar{R}_{\alpha}|}} \sum_j |\alpha, j\rangle_{\bar{R}_{\alpha}} |\chi_{\alpha, j}\rangle_{A_2^{\alpha}\bar{A}}, \quad (3.5.13)$$

where the $|\chi_{\alpha, j}\rangle_{A_2^{\alpha}\bar{A}}$ are mutually orthonormal on $A_2^{\alpha}\bar{A}$. This means we can write a purification for $\rho_{R\bar{A}}$ on the full A system as

$$\begin{aligned} |\phi'\rangle &= \sum_{\alpha, i, j} \frac{1}{\sqrt{|R_{\alpha}|}} |\alpha, i\rangle_{R_{\alpha}} |\alpha, i\rangle_{A_1^{\alpha}} |\psi_{\alpha}\rangle_{\bar{R}_{\alpha}A_2^{\alpha}\bar{A}} \\ &= \frac{1}{\sqrt{|R|}} \sum_{\alpha, i, j} |\alpha, ij\rangle_R |\alpha, i\rangle_{A_1^{\alpha}} |\chi_{\alpha, j}\rangle_{A_2^{\alpha}\bar{A}}. \end{aligned} \quad (3.5.14)$$

Finally, since $|\phi\rangle$ and $|\phi'\rangle$ are two different purifications of $\rho_{R\bar{A}}[\phi]$ on A , they must differ by the action of some unitary U_A . We therefore have

$$\begin{aligned} (U_A \otimes I_{\bar{A}}) \left(\frac{1}{\sqrt{|R|}} \sum_{\alpha, i, j} |\alpha, ij\rangle_R (V |\widetilde{\alpha, ij}\rangle)_{A\bar{A}} \right) &= \frac{1}{\sqrt{|R|}} \sum_{\alpha, i, j} |\alpha, ij\rangle_R |\alpha, i\rangle_{A_1^\alpha} |\chi_{\alpha, j}\rangle_{A_2^\alpha \bar{A}} \\ \implies (U_A \otimes I_{\bar{A}}) V |\widetilde{\alpha, ij}\rangle &= |\alpha, i\rangle_{A_1^\alpha} \otimes |\chi_{\alpha, j}\rangle_{A_2^\alpha \bar{A}}, \end{aligned} \tag{3.5.15}$$

which finishes the proof. \square

3.6 Holographic Properties of Erasure Codes

So far in this chapter, we have stated and proved the three theorems of [5]. These are of increasing generality, and characterise the correctability of certain subsystems. However, we have yet to define what actually makes a code *holographic*. In high-energy physics, a holographic theory is one which posits a quantitative relationship between a gravitational theory and a non-gravitational theory ‘on the boundary’. The most well known example of a holographic theory is the *AdS/CFT correspondence* [12], which describes a correspondence between a string theory on D -dimensional *Anti-de Sitter space*, and a *conformal field theory* on its $D - 1$ -dimensional boundary. There is a so-called ‘holographic dictionary’, which precisely defines the links between objects in the gravitational bulk and the boundary CFT. One entry in this dictionary is the *Ryu-Takayanagi (RT) formula*, which describes the correspondence between the entropy of a state ρ on a boundary sub-region A , and its entropy with respect to the degrees of freedom in the gravitational bulk which are ‘visible’ from A :

$$S_A(\rho) = S_{\text{bulk}, A}(\rho) + \text{Tr}(\mathcal{L}\rho). \tag{3.6.1}$$

Here, \mathcal{L} is an operator acting on the bulk, which geometrically computes the area of a bulk subregion. It is this formula which can be interpreted in terms of quantum erasure correction. In fact, we can *define* what it means for an erasure correcting code to obey an RT formula as follows.

Definition 3.6.1 (RT formula). Say $V : \mathcal{H}_L \rightarrow \mathcal{H}$ is an encoding isometry, M is a von Neumann algebra on \mathcal{H}_L , and $\mathcal{H} = \mathcal{H}_A \otimes \mathcal{H}_{\bar{A}}$ factorises. The triplet (V, A, M) has an **RT formula** if there exists an **area operator** $\mathcal{L} \in \mathcal{L}(\mathcal{H}_L)$ such that for any state ρ on \mathcal{H}_L :

$$S(\text{Tr}_{\bar{A}}(V\rho V^\dagger)) = S(M, \rho) + \text{Tr}(\rho\mathcal{L}). \tag{3.6.2}$$

Moreover, if $\mathcal{L} \propto I$, we say the RT formula is *trivial*.

We can see the form of Equation 3.6.2 roughly matches that of Equation 3.6.1, where in a very loose sense, the logical Hilbert space \mathcal{H}_L corresponds to the gravitational bulk, and the physical Hilbert space $\mathcal{H} = \mathcal{H}_A \otimes \mathcal{H}_{\bar{A}}$ corresponds to the boundary CFT. It turns out that *any* operator-algebra erasure code obeying an additional condition called *complementary recovery* has an RT formula, with the converse also true. We say a triplet (V, A, M) has complementary recovery if not only does Condition 2 of Theorem 3.5.1 hold for elements of M on \mathcal{H}_A , but also for elements of M' on $\mathcal{H}_{\bar{A}}$. That is, for any operator $\tilde{O}' \in M'$, there exists an operator $O_{\bar{A}}$ on $\mathcal{H}_{\bar{A}}$ such that for any state $|\tilde{\psi}\rangle \in \mathcal{H}_L$, we have

$$\begin{aligned} O_{\bar{A}} V |\tilde{\psi}\rangle &= V \tilde{O}' |\tilde{\psi}\rangle \\ O_{\bar{A}}^\dagger V |\tilde{\psi}\rangle &= V \tilde{O}'^\dagger |\tilde{\psi}\rangle. \end{aligned} \quad (3.6.3)$$

We can then state and prove the following theorem, inspired by theorems in [5] and [7].

Theorem 3.6.1. *Say $V : \mathcal{H}_L \rightarrow \mathcal{H}$ is an encoding isometry, M is a von Neumann algebra on \mathcal{H}_L , and $\mathcal{H} = \mathcal{H}_A \otimes \mathcal{H}_{\bar{A}}$ factorises. Also say that (V, A, M) has complementary recovery. Then, (V, A, M) and (V, \bar{A}, M') both have an RT formula with the same area operator \mathcal{L} , and $\mathcal{L} \in Z_M$ is in the centre. Moreover, if (V, A, M) and (V, \bar{A}, M) both have an RT formula with the same \mathcal{L} , then (V, A, M) have complementary recovery.*

Proof. (\implies): Suppose M induces the decomposition $\mathcal{H}_L = \bigoplus_\alpha (\mathcal{H}_{L_\alpha} \otimes \mathcal{H}_{\bar{L}_\alpha})$, so M and M' have Wedderburn decompositions

$$M = \bigoplus_\alpha (\mathcal{L}(\mathcal{H}_{L_\alpha}) \otimes I_{\bar{L}_\alpha}), \quad M' = \bigoplus_\alpha (I_{L_\alpha} \otimes \mathcal{L}(\mathcal{H}_{\bar{L}_\alpha})). \quad (3.6.4)$$

Let $\{|\widetilde{\alpha, i, j}\rangle\} = \{|\widetilde{\alpha, i}\rangle_{L_\alpha} \otimes |\widetilde{\alpha, j}\rangle_{\bar{L}_\alpha}\}$ be the basis of \mathcal{H}_L which is compatible with the decomposition of M in the sense of Equation 3.4.13. By the equivalence of Conditions 1 and 2 in Theorem 3.5.1 and complementary recovery, there exist factorisations

$$\mathcal{H}_A = \bigoplus_\alpha (\mathcal{H}_{A_1^\alpha} \otimes \mathcal{H}_{A_2^\alpha}) \oplus \mathcal{H}_{A_3}, \quad \mathcal{H}_{\bar{A}} = \bigoplus_\alpha (\mathcal{H}_{\bar{A}_1^\alpha} \otimes \mathcal{H}_{\bar{A}_2^\alpha}) \oplus \mathcal{H}_{\bar{A}_3} \quad (3.6.5)$$

and unitaries $U_A \in \mathcal{L}(\mathcal{H}_A)$ and $U_{\bar{A}} \in \mathcal{L}(\mathcal{H}_{\bar{A}})$ such that (dropping the tensor factors with identity operators)

$$\begin{aligned} U_A V |\widetilde{\alpha, i, j}\rangle &= |\alpha, i\rangle_{A_1^\alpha} \otimes |\chi_{\alpha, j}\rangle_{A_2^\alpha \bar{A}} \\ U_{\bar{A}} V |\widetilde{\alpha, i, j}\rangle &= |\bar{\chi}_{\alpha, i}\rangle_{\bar{A} \bar{A}_2^\alpha} \otimes |\alpha, j\rangle_{\bar{A}_1^\alpha}. \end{aligned} \quad (3.6.6)$$

Applying $U_{\bar{A}}$ to the first of these, and U_A to the second, we see

$$\begin{aligned} U_A U_{\bar{A}} V |\widetilde{\alpha, i, j}\rangle &= |\alpha, i\rangle_{A_1^\alpha} \otimes U_{\bar{A}} |\chi_{\alpha, j}\rangle_{A_2^\alpha \bar{A}} \\ U_A U_{\bar{A}} V |\widetilde{\alpha, i, j}\rangle &= U_A |\bar{\chi}_{\alpha, i}\rangle_{A \bar{A}_2^\alpha} \otimes |\alpha, j\rangle_{\bar{A}_1^\alpha}. \end{aligned} \quad (3.6.7)$$

Equating the right hand sides, we see there must be states $|\chi_\alpha\rangle_{A_2^\alpha \bar{A}_2^\alpha}$ and $|\bar{\chi}_\alpha\rangle_{A_2^\alpha \bar{A}_2^\alpha}$ such that

$$\begin{aligned} U_{\bar{A}} |\chi_{\alpha, j}\rangle_{A_2^\alpha \bar{A}_2^\alpha} &= |\chi_\alpha\rangle_{A_2^\alpha \bar{A}_2^\alpha} \otimes |\alpha, j\rangle_{\bar{A}_1^\alpha} \\ U_A |\bar{\chi}_\alpha\rangle_{A_2^\alpha \bar{A}_2^\alpha} &= |\alpha, i\rangle_{A_1^\alpha} \otimes |\bar{\chi}_\alpha\rangle_{A_2^\alpha \bar{A}_2^\alpha}. \end{aligned} \quad (3.6.8)$$

However, the equality of the right hand sides of Equation 3.6.7 tells us that $|\chi_\alpha\rangle_{A_2^\alpha \bar{A}_2^\alpha} = |\bar{\chi}_\alpha\rangle_{A_2^\alpha \bar{A}_2^\alpha}$. We therefore obtain that

$$U_A U_{\bar{A}} V |\widetilde{\alpha, i, j}\rangle = |\alpha, i\rangle_{A_1^\alpha} \otimes |\chi_\alpha\rangle_{A_2^\alpha \bar{A}_2^\alpha} \otimes |\alpha, j\rangle_{\bar{A}_1^\alpha}. \quad (3.6.9)$$

We can use this map to express any logical state $\tilde{\rho}$ on \mathcal{H}_L as follows

$$U_A U_{\bar{A}} V \tilde{\rho} V^\dagger U_A^\dagger U_{\bar{A}}^\dagger = \bigoplus_{\alpha} \left[p_{\alpha} \rho_{A_1^\alpha \bar{A}_1^\alpha} \otimes (\chi_\alpha)_{A_2^\alpha \bar{A}_2^\alpha} \right]. \quad (3.6.10)$$

Here, $\rho_{A_1^\alpha \bar{A}_1^\alpha}$ is a state acting on $\mathcal{H}_{A_1^\alpha} \otimes \mathcal{H}_{\bar{A}_1^\alpha}$ in the same way as $\tilde{\rho}_{L_\alpha \bar{L}_\alpha}$ does on $\mathcal{H}_{L_\alpha} \otimes \mathcal{H}_{\bar{L}_\alpha}$ (recall that $p_{\alpha} \tilde{\rho}_{L_\alpha \bar{L}_\alpha}$ are the diagonal blocks of $\tilde{\rho}$ in α with the p_{α} chosen to ensure $\text{Tr}(\tilde{\rho}_{L_\alpha \bar{L}_\alpha}) = 1$), and $\chi_\alpha \equiv |\chi_\alpha\rangle \langle \chi_\alpha|$. We therefore have that

$$\text{Tr}_{\bar{A}} \left(U_A U_{\bar{A}} V \tilde{\rho} V^\dagger U_A^\dagger U_{\bar{A}}^\dagger \right) = U_A \text{Tr}_{\bar{A}} (V \tilde{\rho} V^\dagger) U_A^\dagger = \bigoplus_{\alpha} \left[p_{\alpha} \rho_{A_1^\alpha} \otimes (\chi_\alpha)_{A_2^\alpha} \right]. \quad (3.6.11)$$

We then compute:

$$\begin{aligned} S(\text{Tr}_{\bar{A}} (V \tilde{\rho} V^\dagger)) &= -\text{Tr} \left[\bigoplus_{\alpha} \left(p_{\alpha} \rho_{A_1^\alpha} \otimes (\chi_\alpha)_{A_2^\alpha} \right) \log \bigoplus_{\alpha} \left(p_{\alpha} \rho_{A_1^\alpha} \otimes (\chi_\alpha)_{A_2^\alpha} \right) \right] \\ &= -\sum_{\alpha} \text{Tr} \left[p_{\alpha} \rho_{A_1^\alpha} \log (p_{\alpha} \rho_{A_1^\alpha}) \otimes (\chi_\alpha)_{A_2^\alpha} + p_{\alpha} \rho_{A_1^\alpha} \otimes (\chi_\alpha)_{A_2^\alpha} \log (\chi_\alpha)_{A_2^\alpha} \right] \\ &= -\sum_{\alpha} \text{Tr}_{L_\alpha} [p_{\alpha} \tilde{\rho}_{L_\alpha} \log (p_{\alpha} \tilde{\rho}_{L_\alpha})] - \sum_{\alpha} p_{\alpha} \text{Tr} \left[(\chi_\alpha)_{A_2^\alpha} \log (\chi_\alpha)_{A_2^\alpha} \right] \\ &= S(\tilde{\rho}, M) + \sum_{\alpha} p_{\alpha} S(\text{Tr}_{\bar{A}} (\chi_\alpha)). \end{aligned} \quad (3.6.12)$$

On the first line, we use the fact that von Neumann entropy is invariant under unitary transformations and Equation 3.6.11, the second uses that $\log(O_A \otimes O_B) =$

$\log(O_A) \otimes I_B + I_A \otimes \log(O_B)$, and the third uses the fact that $\rho_{A_1^\alpha}$ and $\tilde{\rho}_{L_\alpha}$ have the same matrix elements on their corresponding Hilbert spaces. So, if we define

$$\mathcal{L} \equiv \bigoplus_{\alpha} S(\text{Tr}_{\bar{A}}(\chi_{\alpha})) I_{L_{\alpha} \bar{L}_{\alpha}}, \quad (3.6.13)$$

we see that

$$\text{Tr}(\tilde{\rho} \mathcal{L}) = \text{Tr} \left(\bigoplus_{\alpha} [p_{\alpha} \tilde{\rho}_{L_{\alpha}} \otimes \tilde{\rho}_{\bar{L}_{\alpha}} S(\text{Tr}_{\bar{A}}(\chi_{\alpha}))] \right) = \sum_{\alpha} p_{\alpha} S(\text{Tr}_{\bar{A}}(\chi_{\alpha})). \quad (3.6.14)$$

Therefore, we establish an RT formula

$$S(\text{Tr}_{\bar{A}}(V \tilde{\rho} V^{\dagger})) = S(\tilde{\rho}, M) + \text{Tr}(\tilde{\rho} \mathcal{L}) \quad (3.6.15)$$

for (V, A, M) . Since we can repeat these arguments with $A \leftrightarrow \bar{A}$ and $M \leftrightarrow M'$ (i.e. with $i \leftrightarrow j$), we see that (V, \bar{A}, M') obeys an RT formula. Moreover, since $S(\text{Tr}_{\bar{A}}(\chi_{\alpha})) = S(\text{Tr}_A(\chi_{\alpha}))$, the area operator \mathcal{L} is indeed the same for both RT formulae; since it is in M and M' , it is in the centre as well.

(\Leftarrow): Recall the definition of algebraic relative entropy

$$S(\tilde{\rho} | \tilde{\sigma}, M) = -S(\tilde{\rho}, M) - \text{Tr} \left(\bigoplus_{\alpha} [\log(p_{\alpha}^{\{\tilde{\sigma}\}} \tilde{\sigma}_{L_{\alpha}}) \otimes I_{\bar{L}_{\alpha}}] \tilde{\rho} \right). \quad (3.6.16)$$

Consider taking a small perturbation $\delta \tilde{\rho}$ about a state $\tilde{\sigma}$; to first order in $\delta \tilde{\rho}$, we have that

$$S(\tilde{\sigma} + \delta \tilde{\rho} | \tilde{\sigma}, M) = 0. \quad (3.6.17)$$

We similarly have that

$$S(\text{Tr}_{\bar{A}}(V(\tilde{\sigma} + \delta \tilde{\rho})V^{\dagger}) | \text{Tr}_{\bar{A}}(V \tilde{\sigma} V^{\dagger})) = 0 \quad (3.6.18)$$

to first order. Using these facts, we take the same variation on Equation 3.6.15:

$$\begin{aligned} S(\text{Tr}_{\bar{A}}(V(\tilde{\sigma} + \delta \tilde{\rho})V^{\dagger})) &= S(\tilde{\sigma} + \delta \tilde{\rho}, M) + \text{Tr}((\tilde{\sigma} + \delta \tilde{\rho}) \mathcal{L}) \\ \implies \text{Tr}(\text{Tr}_{\bar{A}}(V \delta \tilde{\rho} V^{\dagger}) \log[\text{Tr}_{\bar{A}}(V \tilde{\sigma} V^{\dagger})]) &= \sum_{\alpha} \text{Tr}(\delta \tilde{\rho} [(\log(p_{\alpha}^{\{\tilde{\sigma}\}} \tilde{\sigma}_{L_{\alpha}}) \otimes I_{\bar{L}_{\alpha}}) - \mathcal{L}]). \end{aligned} \quad (3.6.19)$$

Both sides of the equation are linear in $\delta \tilde{\rho}$, so we can integrate over all such perturbations:

$$\text{Tr}(\text{Tr}_{\bar{A}}(V \tilde{\rho} V^{\dagger}) \log[\text{Tr}_{\bar{A}}(V \tilde{\sigma} V^{\dagger})]) = \sum_{\alpha} \text{Tr}(\tilde{\rho} [(\log(p_{\alpha}^{\{\tilde{\sigma}\}} \tilde{\sigma}_{L_{\alpha}}) \otimes I_{\bar{L}_{\alpha}}) - \mathcal{L}]). \quad (3.6.20)$$

The next step is to calculate the relative entropy:

$$\begin{aligned}
S(\text{Tr}_{\bar{A}}(V\tilde{\rho}V^\dagger) | \text{Tr}_{\bar{A}}(V\tilde{\sigma}V^\dagger)) &= \text{Tr}(\text{Tr}_{\bar{A}}(V\tilde{\rho}V^\dagger) \log[\text{Tr}_{\bar{A}}(V\tilde{\rho}V^\dagger)]) \\
&\quad - \text{Tr}(\text{Tr}_{\bar{A}}(V\tilde{\rho}V^\dagger) \log[\text{Tr}_{\bar{A}}(V\tilde{\sigma}V^\dagger)]) \\
&= -S(\text{Tr}_{\bar{A}}(V\tilde{\rho}V^\dagger)) - \sum_{\alpha} \text{Tr}(\tilde{\rho}[(\log(p_{\alpha}^{\{\tilde{\sigma}\}}\tilde{\sigma}_{L_{\alpha}}) \otimes I_{\bar{L}_{\alpha}}) - \mathcal{L}]) \\
&= -S(\tilde{\rho}, M) - \sum_{\alpha} \text{Tr}(\tilde{\rho}[\log(p_{\alpha}^{\{\tilde{\sigma}\}}\tilde{\sigma}_{L_{\alpha}}) \otimes I_{\bar{L}_{\alpha}}]) \\
&= S(\tilde{\rho}|\tilde{\sigma}, M),
\end{aligned} \tag{3.6.21}$$

where we use Equation 3.6.20 in the second line, and the RT formula 3.6.15 in the third. We can perform the same argument with $A \leftrightarrow \bar{A}$ and $M \leftrightarrow M'$, and we find similarly that

$$S(\text{Tr}_A(V\tilde{\rho}V^\dagger) | \text{Tr}_A(V\tilde{\sigma}V^\dagger)) = S(\tilde{\rho}|\tilde{\sigma}, M'). \tag{3.6.22}$$

These two conditions together in fact imply complementary recovery. Consider an arbitrary state $|\tilde{\psi}\rangle \in \mathcal{H}_L$, an arbitrary operator $X_{\bar{A}}$ on $\mathcal{H}_{\bar{A}}$, and an operator $\tilde{O} \in M$. von Neumann algebras are spanned by their Hermitian elements, so we can take \tilde{O} to be Hermitian. Now, consider

$$\langle \tilde{\psi} | e^{-i\lambda\tilde{O}} V^\dagger X_{\bar{A}} V e^{i\lambda\tilde{O}} | \tilde{\psi} \rangle = \langle \tilde{\psi} | e^{-i\lambda\tilde{O}} P_L V^\dagger X_{\bar{A}} V P_L e^{i\lambda\tilde{O}} | \tilde{\psi} \rangle. \tag{3.6.23}$$

We show that this is independent of λ . Define

$$|\tilde{\psi}(\lambda)\rangle \equiv e^{i\lambda\tilde{O}} |\tilde{\psi}\rangle, \tag{3.6.24}$$

and note that for any $\tilde{O}' \in M'$, we have that the expectation $\langle \tilde{\psi}(\lambda) | \tilde{O}' | \tilde{\psi}(\lambda) \rangle$ is independent of λ . Since for any state ρ , there is a corresponding $\rho_{M'}$ such that $\mathbb{E}_{\rho}(x') = \mathbb{E}_{\rho_{M'}}(x)$ for any $x' \in M'$, the state $(\tilde{\psi}(\lambda))_{M'}$ corresponding to $\tilde{\psi}(\lambda) \equiv |\tilde{\psi}(\lambda)\rangle \langle \tilde{\psi}(\lambda)|$ is also independent of λ . Therefore, for any two λ, λ' , $(\tilde{\psi}(\lambda))_{M'} = (\tilde{\psi}(\lambda'))_{M'}$, which means

$$S(\tilde{\psi}(\lambda) | \tilde{\psi}(\lambda'), M') = 0. \tag{3.6.25}$$

So, from Equation 3.6.22, we have

$$0 = S(\tilde{\psi}(\lambda) | \tilde{\psi}(\lambda'), M') = S(\text{Tr}_A(V\tilde{\psi}(\lambda)V^\dagger) | \text{Tr}_A(V\tilde{\psi}(\lambda')V^\dagger)), \tag{3.6.26}$$

which further implies that $\text{Tr}_A(V\tilde{\psi}(\lambda)V^\dagger)$ is independent of λ , which itself implies that $|\tilde{\psi}(\lambda)\rangle$ is independent of λ . Returning to Equation 3.6.23, we see that it is independent of λ , and so in particular its first variation with respect to λ must vanish. This is proportional to $\langle \tilde{\psi} | [P_L V^\dagger X_{\bar{A}} V P_L, \tilde{O}] | \tilde{\psi} \rangle$, so we have

$$0 = \langle \tilde{\psi} | [P_L V^\dagger X_{\bar{A}} V P_L, \tilde{O}] | \tilde{\psi} \rangle = \langle \tilde{\psi} | [V^\dagger P_{\text{code}} X_{\bar{A}} P_{\text{code}} V, \tilde{O}] | \tilde{\psi} \rangle \tag{3.6.27}$$

which just implies Condition 3 of Theorem 3.5.1 and hence Condition 2 too. Since we can repeat this argument again with $M \leftrightarrow M'$ and $A \leftrightarrow \bar{A}$, we establish complementary recovery as claimed, which completes the proof. \square

This theorem establishes equivalence of a code obeying complementary recovery, and satisfying an RT formula, and hence being holographic. Note as well that this proof provides a constructive definition of the area operator for an operator-algebra code with complementary recovery, namely

$$\mathcal{L} \equiv \bigoplus_{\alpha} S(\text{Tr}_{\bar{A}}(\chi_{\alpha})) I_{L_{\alpha} \bar{L}_{\alpha}}. \quad (3.6.28)$$

In other words, the area operator has eigenvalues equal to the entanglement entropies of the states $|\chi_{\alpha}\rangle$ across the bipartition A and \bar{A} .

Chapter 4

Examples

The notation in the previous chapter is rather dense and difficult to understand. We therefore present some simple examples to try and elucidate the structure of the proof of Theorem 3.6.1. First, we recall some basic facts we've talked about so far to keep them all for easy reference.

We say a triplet (V, A, M) of an encoding isometry $V : \mathcal{H}_L \rightarrow \mathcal{H} \cong \mathcal{H}_A \otimes \mathcal{H}_{\bar{A}}$, a subregion A , and von Neumann algebra M on \mathcal{H}_L has an *RT formula* if

$$S(\text{Tr}_{\bar{A}}(V\rho V^\dagger)) = S(\rho, M) + \text{Tr}(\rho\mathcal{L}) \quad (4.0.1)$$

for any state ρ on \mathcal{H}_L and some *area operator* $\mathcal{L} \in \mathcal{L}(\mathcal{H}_L)$. The *algebraic entropy* $S(\rho, M)$ is defined as

$$S(\rho, M) = - \sum_{\alpha} p_{\alpha} \log(p_{\alpha}) + \sum_{\alpha} p_{\alpha} S(\rho_{L_{\alpha}}). \quad (4.0.2)$$

In some sense, this splits the algebraic entropy into a ‘classical’ part $S_c \equiv - \sum_{\alpha} p_{\alpha} \log(p_{\alpha})$ (which is just the Shannon entropy of a discrete probability distribution taking values $\{p_{\alpha}\}$), and a ‘quantum’ part $S_q \equiv \sum_{\alpha} p_{\alpha} S(\rho_{L_{\alpha}})$ (which is the sum of the von Neumann entropies of the reduced states $\rho_{L_{\alpha}}$, weighted by the probabilities p_{α}). Moreover, the left hand side of the RT formula is in some sense the von Neumann entropy of the state ρ on the subregion A , which we denote S_A . We therefore express the RT formula in full as

$$\underbrace{S(\text{Tr}_{\bar{A}}(V\rho V^\dagger))}_{S_A} = - \underbrace{\sum_{\alpha} p_{\alpha} \log(p_{\alpha})}_{S_c} + \underbrace{\sum_{\alpha} p_{\alpha} S(\rho_{L_{\alpha}})}_{S_q} + \text{Tr}(\rho\mathcal{L}). \quad (4.0.3)$$

Following [7], we present some examples of operator-algebra erasure codes which contain one, two, or all three of the classical, quantum, and area terms in their

RT formula. We express all the encoding isometries as *quantum circuits*, and familiarity with these is assumed; for a summary, see Appendix A. To analyse the codes, we need to make use of a uniqueness theorem of [7], which we state without proof.

Theorem 4.0.1. *Suppose $V : \mathcal{H}_L \rightarrow \mathcal{H} = \mathcal{H}_A \otimes \mathcal{H}_{\bar{A}}$ is an encoding isometry, and A is a subregion. Let $M \equiv V^\dagger(\mathcal{L}(\mathcal{H}_A) \otimes I_{\bar{A}})V$ be the image of operators on \mathcal{H}_A projected back onto \mathcal{H}_L under V . If M is a von Neumann algebra, then it is the **unique** von Neumann algebra such that (V, A, M) satisfy complementary recovery. If not, then no such von Neumann algebra exists.*

Combined with Theorem 3.6.1, this gives a series of steps to compute the area operator of the RT formula for a given code. These are

1. Calculate $M = V^\dagger(\mathcal{L}(\mathcal{H}_A) \otimes I_{\bar{A}})V$ and check it is a von Neumann algebra to verify that we have complementary recovery.
2. Compute the Wedderburn decomposition of \mathcal{H}_L induced by M , and follow Theorem 3.5.1 to define a basis $|\widetilde{\alpha, i, j}\rangle$ which lines up with M .
3. Apply Theorem 3.5.1 twice to obtain unitaries U_A and $U_{\bar{A}}$ such that $U_A U_{\bar{A}} V |\widetilde{\alpha, i, j}\rangle = |\alpha, i\rangle \otimes |\chi_\alpha\rangle \otimes |\alpha, j\rangle$.
4. Following Theorem 3.6.1, obtain the states $|\chi_\alpha\rangle$ and compute their entanglement entropies across A_2 and \bar{A}_2 ; these are the eigenvalues of \mathcal{L} .

4.1 Codes with one term

We start with some codes which have only a single term on the right hand side of the RT formula. To make sense of these, recall that the von Neumann algebra M on \mathcal{H}_L induces a decomposition

$$\mathcal{H}_L = \bigoplus_{\alpha} (\mathcal{H}_{L_\alpha} \otimes \mathcal{H}_{\bar{L}_\alpha}). \quad (4.1.1)$$

This expression takes into account the fact that the tensor factors \mathcal{H}_{L_α} and $\mathcal{H}_{\bar{L}_\alpha}$ may vary in dimensionality with α . To keep these examples simple, we will not consider cases such as these, and choose these factors to have constant dimensionality 2. We therefore relabel

$$\mathcal{H}_{L_\alpha} \rightarrow \mathcal{H}_i, \quad \mathcal{H}_{\bar{L}_\alpha} \rightarrow \mathcal{H}_j, \quad (4.1.2)$$

so both of \mathcal{H}_i and \mathcal{H}_j are represented by a qubit, or are not present at all. Moreover, we limit α to take at most two values, so $\alpha = 0$ or $\alpha \in \{0, 1\}$ depending on our specific example. When α has two degrees of freedom, we encode it in its own Hilbert space $\mathcal{H}_\alpha = \mathbb{C}^2$, where each element of the computational basis keeps track of which α -block of the decomposition we are in. Essentially, in this case we are expressing the Hilbert space isomorphism

$$\mathcal{H}_L = \bigoplus_{\alpha=0}^1 (\mathcal{H}_i \otimes \mathcal{H}_j) \cong \mathcal{H}_\alpha \otimes \mathcal{H}_i \otimes \mathcal{H}_j. \quad (4.1.3)$$

The benefit of doing this is that we can specify the encoding isometry V as quantum circuits as stated, acting on qubits α , i , and j , which are labelled as such on the left of each circuit.

Example 1

In this example, we choose \mathcal{H}_i and \mathcal{H}_j to not be present, but allow $\alpha \in \{0, 1\}$ to have its own qubit. The logical space is then

$$\mathcal{H}_L = \mathcal{H}_\alpha = \mathbb{C}^2. \quad (4.1.4)$$

Our encoding isometry is

$$V_1 \equiv \begin{array}{c} \alpha \text{ --- } \bullet \text{ --- } A \\ |0\rangle \text{ --- } \oplus \text{ --- } \bar{A} \end{array} \quad (4.1.5)$$

and we pick \mathcal{H}_A to be the first qubit on the right, and $\mathcal{H}_{\bar{A}}$ to be the second. In terms of the computational basis $\{|0\rangle, |1\rangle\}$, we can write this algebraically as

$$V = |00\rangle_{A\bar{A}} \langle 0|_\alpha + |11\rangle_{A\bar{A}} \langle 1|_\alpha. \quad (4.1.6)$$

Following the uniqueness theorem, we compute the von Neumann algebra by calculating $V^\dagger(\mathcal{L}(\mathcal{H}_A) \otimes I_{\bar{A}})V$. We can express any operator $O \in \mathcal{L}(\mathcal{H}_A) \otimes I_{\bar{A}}$ in terms of the Pauli matrices:

$$O = \alpha(I_A \otimes I_{\bar{A}}) + \beta(X_A \otimes I_{\bar{A}}) + \gamma(Y_A \otimes I_{\bar{A}}) + \delta(Z_A \otimes I_{\bar{A}}), \quad (4.1.7)$$

where $\alpha, \beta, \gamma, \delta \in \mathbb{C}$, and then

$$V^\dagger O V = \alpha I + \delta Z. \quad (4.1.8)$$

M is therefore the von Neumann algebra of diagonal operators on \mathcal{H}_α . So, consider an arbitrary state ρ on \mathcal{H}_L . The algebraic state ρ_M is just the state consisting of the diagonal elements of ρ

$$\rho_M = p_0 |0\rangle \langle 0| + p_1 |1\rangle \langle 1| \quad (4.1.9)$$

for some constants p_0 and p_1 summing to 1. Classically, we cannot say which of the two states the system is in, so observables in M can only measure a classical uncertainty in the state corresponding to the probabilities p_0 and p_1 , and cannot distinguish any superposition over α from this. The algebraic entropy therefore reduces to just the classical term $S(\rho, M) = S_c$. Moreover, we calculate that $\text{Tr}_{\bar{A}}(V\rho V^\dagger) = p_0 |0\rangle\langle 0| + p_1 |1\rangle\langle 1|$. So the entropy S_A exactly matches S_c , and the full RT formula is

$$S(\text{Tr}_{\bar{A}}(V\rho V^\dagger)) = - \sum_{\alpha=0}^1 p_\alpha \log p_\alpha. \quad (4.1.10)$$

This means we have a trivial area operator $\mathcal{L} = 0$. Interestingly, M is its own centre and is non-trivial, so even an algebra with a non-trivial centre can have a trivial area operator.

Example 2

We now present a slightly degenerate example. We choose \mathcal{H}_i and \mathcal{H}_j not to be present again, and also restrict $\alpha = 0$. The logical Hilbert space is therefore one-dimensional, with $\mathcal{H}_L = \mathbb{C}$. We can still however define a single state: $\rho = 1$. Our encoding isometry is

$$V \equiv \begin{array}{c} |+\rangle \text{---} \bullet \text{---} A \\ |0\rangle \text{---} \oplus \text{---} \bar{A} \end{array} \quad (4.1.11)$$

which just prepares a Bell state:

$$V = |\Phi\rangle \equiv \frac{1}{\sqrt{2}}(|00\rangle + |11\rangle). \quad (4.1.12)$$

S_A is therefore $S(|\Phi\rangle\langle\Phi|) = \log 2$, and the von Neumann algebra is just the set of scalars, so $S(\rho, M) = 0$. The RT formula is therefore achieved by choosing $\mathcal{L} = \log 2$, as then $S_A = \log 2 = \text{Tr}(\rho\mathcal{L})$ as required.

Example 3

In this example, we choose $\alpha = 0$, but allow each of \mathcal{H}_i and \mathcal{H}_j to be qubits, so $\mathcal{H}_i = \mathcal{H}_j = \mathbb{C}^2$. In full, $\mathcal{H}_L = \mathcal{H}_i \otimes \mathcal{H}_j = \mathbb{C}^2 \otimes \mathbb{C}^2$. Our encoding isometry is simply the identity

$$V \equiv \begin{array}{c} i \text{---} A \\ j \text{---} \bar{A} \end{array} \quad (4.1.13)$$

We have $\mathcal{H}_i = \mathcal{H}_A$ and $\mathcal{H}_j = \mathcal{H}_{\bar{A}}$, so $S_A = S(\text{Tr}_j(\rho))$ for arbitrary state ρ . Moreover, $M = \mathcal{L}(\mathcal{H}_i) \otimes I_j$, so the distribution over blocks is trivial with $p_0 = 1$, and

the classical part of $S(\rho, M)$ vanishes, leaving us with $S(\rho, M) = S_q = S(\text{Tr}_j(\rho))$. Therefore, we necessarily have $\mathcal{L} = 0$ for the area operator.

4.2 Codes with two terms

In this section, we present some codes in which the RT formula has two terms on the right hand side. These examples are built up by combining the single term examples in various ways. There is a bit more complexity in the physical Hilbert space though, so we go through this first. Recall that in Theorem 3.6.1, \mathcal{H}_A and $\mathcal{H}_{\bar{A}}$ were decomposed into

$$\mathcal{H}_A = \bigoplus_{\alpha} (\mathcal{H}_{A_1^\alpha} \otimes \mathcal{H}_{A_2^\alpha}) \oplus \mathcal{H}_{A_3}, \quad \mathcal{H}_{\bar{A}} = \bigoplus_{\alpha} (\mathcal{H}_{\bar{A}_1^\alpha} \otimes \mathcal{H}_{\bar{A}_2^\alpha}) \oplus \mathcal{H}_{\bar{A}_3}. \quad (4.2.1)$$

As with the decomposition of \mathcal{H}_L , the α -dependence allows dependence of dimensionality of the tensor factors on which α -block we are in. In these examples, this is not the case. The presence of \mathcal{H}_{A_3} allows us to factor only the image of V in \mathcal{H}_A and $\mathcal{H}_{\bar{A}}$, which is what we do. Following what we did for \mathcal{H}_L , we therefore factorise as

$$\mathcal{H}_A = \mathcal{H}_{A_\alpha} \otimes \mathcal{H}_{A_1} \otimes \mathcal{H}_{A_2}, \quad \mathcal{H}_{\bar{A}} = \mathcal{H}_{\bar{A}_\alpha} \otimes \mathcal{H}_{\bar{A}_1} \otimes \mathcal{H}_{\bar{A}_2}, \quad (4.2.2)$$

with the \mathcal{H}_{A_α} and $\mathcal{H}_{\bar{A}_\alpha}$ factors only present if we have an example with two α -blocks. The fact that the α degree of freedom is visible from both A and \bar{A} is where the pseudo-classical behaviour of the codes comes from. In these examples, we label the right hand side of our circuits with the associated decomposition of \mathcal{H}_A and $\mathcal{H}_{\bar{A}}$ as well.

Recall as well in Theorem 3.6.1 that the decompositions above allowed us to show that there exist unitaries U_A and $U_{\bar{A}}$ such that for codes with complementary recovery

$$U_A U_{\bar{A}} V |\alpha, i, j\rangle = |\alpha, i\rangle_{A_\alpha A_1} \otimes |\chi_\alpha\rangle_{A_2 \bar{A}_2} \otimes |\alpha, j\rangle_{\bar{A}_\alpha \bar{A}_1} \quad (4.2.3)$$

in our new notation. In our examples, U_A and $U_{\bar{A}}$ will always be the identity.

Example 4

This example is perhaps the most intuitive so far, as all three of the tensor factors of $\mathcal{H}_L = \mathcal{H}_\alpha \otimes \mathcal{H}_i \otimes \mathcal{H}_j$ are qubits. Our encoding isometry is the circuit

$$V = \begin{array}{ccc} \alpha & \text{---} \bullet & A_\alpha \\ i & \text{---} | & A_1 \\ |0\rangle & \text{---} \oplus & \bar{A}_\alpha \\ j & \text{---} & \bar{A}_1 \end{array} \quad (4.2.4)$$

We have chosen A to be the first two qubits, and \bar{A} to be the last two. We begin by computing $M = V^\dagger(\mathcal{L}(\mathcal{H}_A) \otimes I_{\bar{A}})$. Analogously to Example 4.1, M has access to all diagonal operators on \mathcal{H}_α (via \mathcal{H}_{A_α}), and analogously to Example 4.1, it also has full access to all operators on \mathcal{H}_i . M also must necessarily act as the identity on \mathcal{H}_j . The centre Z_M is non-trivial: while it must act as the identity on \mathcal{H}_i and \mathcal{H}_j , it can act non-trivially on \mathcal{H}_α as a diagonal operator.

Since we have decomposition $\mathcal{H}_L = \mathcal{H}_\alpha \otimes \mathcal{H}_i \otimes \mathcal{H}_j$, we note that the basis $\{|\widetilde{\alpha, i, j}\rangle\}$ of \mathcal{H}_L which lines up with M is just $|\widetilde{\alpha, i, j}\rangle = |\alpha\rangle |i\rangle |j\rangle$. Considering how our isometry acts on such a basis element, we explicitly have

$$V |\widetilde{\alpha, i, j}\rangle = |\alpha\rangle_{A_\alpha} |i\rangle_{A_1} |\alpha\rangle_{\bar{A}_\alpha} |j\rangle_{\bar{A}_1}. \quad (4.2.5)$$

Comparing with Equation 4.2.3, we see that we can clearly pick both unitaries U_A and $U_{\bar{A}}$ to be identities, and the states $|\alpha, i\rangle_{A_\alpha A_1} = |\alpha\rangle_{A_\alpha} |i\rangle_{A_1}$ and $|\alpha, j\rangle_{\bar{A}_\alpha \bar{A}_1} = |\alpha\rangle_{\bar{A}_\alpha} |j\rangle_{\bar{A}_1}$ also factorise cleanly. We do not however have any $|\chi_\alpha\rangle$ states (as we have no A_2 and \bar{A}_2 subsystems), so we see that $\mathcal{L} = 0$ as it only has zero eigenvalues and the RT formula has no area term.

Now, the α degree of freedom is visible from both A and \bar{A} , so acts as though it has been measured from the point of view of A , giving the classical term S_c on the right hand side. The i and j qubits may be entangled with \mathcal{H}_α , so after measurement it will collapse to one of the ρ_α states from the decomposition $\rho_M = p_0\rho_0 + p_1\rho_1$. The quantum term S_q then reduces to the probability p_α corresponding to ρ_α , multiplied by the von Neumann entropy of ρ_α reduced to \mathcal{H}_i . In full, the RT formula is

$$S(\text{Tr}_{\bar{A}}(V\rho V^\dagger)) = - \sum_{\alpha} p_{\alpha} \log(p_{\alpha}) + \sum_{\alpha} p_{\alpha} S(\text{Tr}_j(\rho_{\alpha})). \quad (4.2.6)$$

Example 5

In this next code, we have the full \mathcal{H}_α system, but no \mathcal{H}_i or \mathcal{H}_j . Our encoding isometry is

$$V = \begin{array}{c} \alpha \text{ --- } \bullet \text{ --- } \bullet \text{ --- } A_\alpha \\ |+\rangle \text{ --- } \bullet \text{ --- } A_2 \\ |0\rangle \text{ --- } \oplus \text{ --- } \bar{A}_\alpha \\ |0\rangle \text{ --- } \oplus \text{ --- } \bar{A}_2 \end{array} \quad (4.2.7)$$

As in Example 4.1, the von Neumann algebra M is just the set of diagonal operators on \mathcal{H}_α . The algebraic entropy $S(\rho, M)$ will therefore again just be the classical Shannon entropy of the distribution $\{p_\alpha\}$.

We can be even more explicit with this example by considering a logical pure state $|\psi\rangle = a|0\rangle + b|1\rangle$, where $|a|^2 = p_0$ and $|b|^2 = p_1$. We calculate $V|\psi\rangle$ as

$$V|\psi\rangle = a|0+00\rangle + \frac{b}{\sqrt{2}}(|1010\rangle + |1111\rangle), \quad (4.2.8)$$

from which we can calculate the reduced state for the density operator $\rho = |\psi\rangle\langle\psi|$ corresponding to our qubit:

$$\text{Tr}_{\bar{A}}(V\rho V^\dagger) = |a|^2|0+\rangle\langle 0+|_{A_\alpha A_2} + |b|^2\left(|1\rangle\langle 1|_{A_\alpha} \otimes \frac{I_{A_2}}{2}\right). \quad (4.2.9)$$

Therefore, the entropy S_A is

$$\begin{aligned} S_A &= -(|a|^2 \log |a|^2 + |b|^2 \log |b|^2) + |b|^2 S\left(\frac{I_{A_2}}{2}\right) \\ &= -\sum_{\alpha=0}^1 p_\alpha \log p_\alpha + \text{Tr}\left(\rho \begin{pmatrix} 0 & 0 \\ 0 & \log 2 \end{pmatrix}\right). \end{aligned} \quad (4.2.10)$$

So for this pure case, the area operator is explicitly $\mathcal{L} = \log 2 \cdot |1\rangle\langle 1|$. This matches what we expect: comparing with Equation 4.2.3, we see that we have for this isometry

$$V|\widetilde{\alpha, i, j}\rangle = |\alpha\rangle_{A_\alpha} \otimes |\chi_\alpha\rangle_{A_2 \bar{A}_2} \otimes |\alpha\rangle_{\bar{A}_\alpha} \quad (4.2.11)$$

where $|\chi_0\rangle = |00\rangle$ and $|\chi_1\rangle = \frac{1}{\sqrt{2}}(|00\rangle + |11\rangle)$ is a Bell state. So since $S(\text{Tr}_{\bar{A}}(|\chi_0\rangle\langle\chi_0|)) = 0$ and $S(\text{Tr}_{\bar{A}}(|\chi_1\rangle\langle\chi_1|)) = \log 2$, $\mathcal{L} = \sum_{\alpha=0}^1 S(\text{Tr}_{\bar{A}}(|\chi_\alpha\rangle\langle\chi_\alpha|)) \cdot I_\alpha$ matches what we found.

Example 6

This example has $\alpha = 1$, and each of \mathcal{H}_i and \mathcal{H}_j are qubits. Our encoding isometry is

$$V = \begin{array}{c} i \text{ --- } A_1 \\ |+\rangle \text{ --- } A_2 \\ j \text{ --- } \bar{A}_1 \\ |0\rangle \text{ --- } \bar{A}_2 \end{array} \quad (4.2.12)$$

Analogously to Example 4.1 again, $M = \mathcal{L}(\mathcal{H}_i) \otimes I_j$. Since there is only one value of α , the classical part $S_q = 0$, and the only contribution to the algebraic entropy $S(\rho, M)$ is the entropy of the reduced state on \mathcal{H}_i , so $S(\text{Tr}_j(\rho))$.

However, since there is a Bell state shared across A_2 and \bar{A}_2 , its entropy contributes to S_A . Explicitly, comparing with Equation 4.2.3, we have

$$V |\widetilde{\alpha, i, j}\rangle = |i\rangle_{A_1} \otimes |\chi_0\rangle_{A_2 \bar{A}_2} \otimes |j\rangle_{\bar{A}_1}, \quad (4.2.13)$$

where $|\chi_0\rangle$ is again a Bell state. We therefore have area operator $\mathcal{L} = \log 2 \cdot I$.

4.3 A Complete Example

We now present an example of a code in which *all* terms of the RT formula are present. All the terms in the decomposition $\mathcal{H}_L = \mathcal{H}_\alpha \otimes \mathcal{H}_i \otimes \mathcal{H}_j$ are present, as are all terms in the decompositions of \mathcal{H}_A and $\mathcal{H}_{\bar{A}}$. The encoding isometry is given by

$$V = \begin{array}{c} \alpha \text{ --- } A_\alpha \\ i \text{ --- } A_1 \\ |+\rangle \text{ --- } A_2 \\ |0\rangle \text{ --- } \bar{A}_\alpha \\ j \text{ --- } \bar{A}_1 \\ |0\rangle \text{ --- } \bar{A}_2 \end{array} \quad (4.3.1)$$

Analogously to Example 4.2, M has full access to operators on \mathcal{H}_i (via \mathcal{H}_{A_1}); analogously to Example 4.1, it has full access to diagonal operators on \mathcal{H}_α (via \mathcal{H}_{A_α}); and it has no access to \mathcal{H}_j , so must act as the identity on it. The basis lining up with M therefore decomposes as $|\widetilde{\alpha, i, j}\rangle = |\alpha\rangle |i\rangle |j\rangle$. Applying the isometry to this basis state, we get the full form of Equation 4.2.3

$$V |\widetilde{\alpha, i, j}\rangle = |\alpha, i\rangle_{A_\alpha A_1} \otimes |\chi_\alpha\rangle_{A_2 \bar{A}_2} \quad (4.3.2)$$

where $|\alpha, i\rangle = |\alpha\rangle_{A_\alpha} |i\rangle_{A_1}$, $|\alpha, j\rangle = |\alpha\rangle_{\bar{A}_\alpha} |j\rangle_{\bar{A}_1}$ both decompose, and the $|\chi_\alpha\rangle$ are

$$|\chi_0\rangle_{A_2\bar{A}_2} = |+\rangle_{A_2} |0\rangle_{\bar{A}_2}, \quad |\chi_1\rangle_{A_2\bar{A}_2} = \frac{1}{\sqrt{2}}(|00\rangle_{A_2\bar{A}_2} + |11\rangle_{A_2\bar{A}_2}). \quad (4.3.3)$$

Following Example 4.2, we see that we again prepare a Bell state on $\mathcal{H}_{A_2} \otimes \mathcal{H}_{\bar{A}_2}$, so the same calculation implies that the area operator is $\mathcal{L} = \log 2 |1\rangle \langle 1|_\alpha$. However, we also have all the pieces of Example 4.2, so the algebraic entropy has both a classical and a quantum term, and so we have all three terms on the right hand side of the RT formula.

These examples, while simple, provide a good demonstration of all the ingredients of the various theorems presented in this paper. In particular, we have provided examples of *all* possible combinations of terms on the right hand side of the RT formula. We also note that we had examples in which a von Neumann algebra with non-trivial centre still has a trivial area operator \mathcal{L} , which is not immediately obvious as a possibility.

Chapter 5

Conclusions

5.1 Summary of Project

Throughout this dissertation, we explored holographic error correction, describing what it means for a code to be holographic. We began with introducing the background theory, discussing two simple examples of error correction in the classical and quantum bit-flip. We then discussed how to model noise via quantum operations, before specialising to error correction. We discussed the quantum error correction conditions, defining what it means for a set of errors to be correctable. We then adapted these conditions for the case of quantum erasures.

We then moved onto describing the defining theorems of holographic erasure correction, following [5]. We stated and proved theorems on conventional, subsystem, and operator-algebra erasure correction, providing an example in the conventional case. We then defined what it means for a code to be holographic, by requiring that it obeys an algebraic version of the RT formula, and then showed that if a code obeys complementary recovery, then it must have an RT formula and vice versa. Finally, we presented some basic examples of operator-algebra error correction, describing the encoding isometries via quantum circuits.

Our presentation of results throughout closely mirrored [5] and [7], but we did not present all of the results they describe. In particular, we did not present a detailed discussion on holography, focussing more on the quantum information point of view.

5.2 Future Research Directions

While we presented the basic theory of holographic error correction in this project, the field has vastly widened since Harlow’s original publication in 2016, with a vast set of research directions being undertaken. Moreover, Harlow’s work is rather restrictive in making lots of assumptions about the structure of underlying Hilbert spaces. For example, it assumes that the physical Hilbert space decomposes as $\mathcal{H} = \mathcal{H}_A \otimes \mathcal{H}_{\bar{A}}$. This is often not possible, and from the point of view of holography, is often undesirable. Generalising the results would have been a natural direction for this project to have taken, if time constraints allowed it. We would have liked to consider, for example, allowing \mathcal{H} to have general von Neumann algebra acting on it rather than a factor (inducing the decomposition), and seeing if an analogous theorem to 3.5.1 would hold if the commutant was erased.

Another direction we would have liked to explore more is that of approximate and state-specific erasure correction, as discussed in [3]. This provides a vast generalisation of the results of [5] to situations where we allow the code to only *approximately* correct an erasure. This paper also discusses non-isometric encodings, generalising the results even further.

However, the most active area of research in holographic error correction is one we’ve neglected to mention: *tensor networks* [6]. These are much more relevant from a holographer’s point of view, and link error correction and entanglement to the geometry of AdS space much more concretely. Had we had a significant longer period of time to write this report, tensor network models of holography would likely have been our focus.

Appendix A

Quantum Circuit Notation

In this appendix, we will introduce the graphical quantum circuit notation for quantum computations. This is adapted from Nielsen and Chuang [14]. We only describe the minimal set of features of a quantum circuit to understand our examples; for a more detailed explanation, we recommend consulting the above textbook.

A.1 Quantum Gates

Generally, a quantum computation consists of taking a string of qubits $|\psi_1\rangle \otimes \cdots \otimes |\psi_n\rangle$ as an input, applying a series of unitary operators to specified qubits in turn, and outputting a string of qubits. In computing jargon, we call a unitary operator a *quantum gate* in this context. These can be as simple or as complex as we want; the *only* constraint is unitarity.

Example A.1.1. Consider the qubit $|\psi\rangle = a|0\rangle + b|1\rangle$, with computational basis elements $\{|0\rangle, |1\rangle\}$. The quantum NOT gate, denoted X , is defined by its action on the computational basis as follows

$$X|0\rangle = |1\rangle, \quad X|1\rangle = |0\rangle. \quad (\text{A.1.1})$$

In matrix notation, X can be expressed as

$$X = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \quad (\text{A.1.2})$$

Another quantum gate is the Hadamard gate, denoted H . This is defined by

$$H|0\rangle = \frac{1}{\sqrt{2}}(|0\rangle + |1\rangle) \equiv |+\rangle, \quad H|1\rangle = \frac{1}{\sqrt{2}}(|0\rangle - |1\rangle) \equiv |-\rangle. \quad (\text{A.1.3})$$

In some sense, this rotates the computational basis elements by $\pi/4$. In matrix notation, it can be written

$$H = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 & 1 \\ 1 & -1 \end{pmatrix}. \quad (\text{A.1.4})$$

Both of these single-qubit gates are clearly unitary. However, gates don't have to act on only a single qubit. There are *multi-qubit gates*, which we give an example of too.

Example A.1.2. Consider the space of two qubits, with computational basis $\{|00\rangle, |01\rangle, |10\rangle, |11\rangle\}$. The CX (or controlled- X) gate is defined by

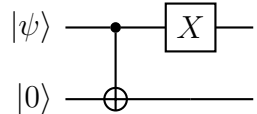
$$\begin{aligned} CX |00\rangle &= |00\rangle, & CX |10\rangle &= |11\rangle \\ CX |01\rangle &= |01\rangle, & CX |11\rangle &= |10\rangle. \end{aligned} \quad (\text{A.1.5})$$

This can be seen to act as a X gate on the second qubit if the first (or control) qubit is in the $|1\rangle$ state, and as the identity if the control qubit is a $|0\rangle$. In matrix notation, it can be written as

$$CX = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & 1 & 0 \end{pmatrix}. \quad (\text{A.1.6})$$

A.2 Quantum Circuits

Now we have defined a quantum gate, we can define a quantum circuit. Formally, a quantum circuit is an ordered sequence of quantum gates, measurements, and resets, all of which may be conditioned on the outcome of classical computations. In the simple examples in this dissertation, we do not consider any circuits involving measurements and resets; only quantum gates. Circuits are diagrammatically represented by quantum circuit notation, with the benefit being that it is far clearer to see which individual qubits a multiple-qubit gate may be acting on. The diagram below demonstrates a simple example.



$$(\text{A.2.1})$$

We go through the pieces of this circuit step-by-step. We read the diagram from left to right; the circuit takes an input state $|\psi\rangle$ on the left, and adjoins on an

ancillary qubit in the $|0\rangle$ state. Moving to the right, the symbol connecting the two wires signifies a CX gate, with the first qubit as control (denoted by the filled-in dot), and the second as the target (denoted by the circle with a cross). Finally, the X in a box signifies that the circuit acts on the first qubit with an X gate. We can be even more specific by considering the action of this circuit on an arbitrary input state $|\psi\rangle = a|0\rangle + b|1\rangle$. We have

$$a|0\rangle + b|1\rangle \xrightarrow{|0\rangle} a|00\rangle + b|10\rangle \xrightarrow{CX} a|00\rangle + b|11\rangle \xrightarrow{X_1} a|10\rangle + b|01\rangle. \quad (\text{A.2.2})$$

While this example doesn't really illustrate how powerful this notation is due to its simplicity, the salient features of a quantum circuit diagram are all present. Essentially, it is just a graphical notation for adjoining on ancillary qubits to a state, and then applying a series of unitary operators.

One gate which we haven't mentioned in our discussion is the *Toffoli gate*, which features in our examples. This is a three-qubit gate, which can be thought of as a “doubly controlled X gate”. It acts as an X gate on the third qubit if and only if the first two qubits are in the $|11\rangle$ state. In a quantum circuit diagram, this is denoted by the symbol


(A.2.3)

analogously to the $CNOT$ gate.

Appendix B

Quantum Information and Entropy

In this appendix, we present the necessary background in information theory to understand this dissertation. We will begin with some *classical* information theory to present the subject and build some intuition, before describing the quantum generalisations. We follow the textbook of Nielsen and Chuang [14] in presentation. Note that there are several other information-theoretic quantities which we do not describe here. We limit ourselves to only those definitions which are directly relevant to this dissertation.

B.1 Classical Information

B.1.1 Shannon Entropy

The key concept of classical information theory is the *Shannon entropy* of a random variable X . Intuitively, this is a way of quantifying the information gained when we learn the value of X , or alternatively, the uncertainty about X before we learn its value. To define Shannon entropy, suppose we wish to quantify how much information is provided by an event E which may occur in a probabilistic experiment. To do this, we define an ‘information function’ $I(E)$, which we intuitively suppose should obey the following axioms:

1. $I(E)$ is a function of the probability the event E occurs only, so we can write $I = I(p)$, where $p \in [0, 1]$.
2. I should be a smooth function of probability.

3. The information gained when two independent events occur with individual probabilities p and q should be equal to the sum of the information gained from each event alone; that is, $I(pq) = I(p) + I(q)$.

With these axioms, it's not hard to show that $I(p) = k \log p$, where k is a real constant. This therefore motivates the following definition of Shannon entropy.

Definition B.1.1. Suppose X is a discrete random variable, taking values x_n with probabilities p_n . The **Shannon entropy** of X is given by

$$H(X) \equiv - \sum_n p_n \log p_n. \quad (\text{B.1.1})$$

When Claude Shannon defined this in [16], he chose the logarithm to be with base 2. This is arbitrary, and we choose the convention that the definition involves the *natural logarithm*, with base e .

Example B.1.1 (Binary entropy). *Suppose X is a Bernoulli random variable, taking values x_0 and x_1 with probabilities p and $1 - p$ respectively. The Shannon entropy of X is then*

$$H(X) \equiv H_{\text{bin}}(p) = -p \log p - (1 - p) \log (1 - p). \quad (\text{B.1.2})$$

Note that this attains its maximum value for $p = 1/2$. This matches our intuition that entropy should be a measure of uncertainty in X before we measure it: if $p = 1/2$, we have maximum uncertainty as to whether a measurement of X will return x_0 or x_1 . To the contrary, if $p = 0.999$, even before measuring X we could say with some degree of certainty that X will return x_0 . Explicitly, $H_{\text{bin}}(1/2) = \log 2 \approx 0.693$, and $H_{\text{bin}}(0.999) \approx 0.008$.

B.1.2 Relative Entropy

The *relative entropy* is a measure of the ‘closeness’ of two probability distributions $p(x)$ and $q(x)$, over the same index set x .

Definition B.1.2. Suppose $p(x)$ and $q(x)$ are two probability distributions, indexed over x . The **relative entropy** of $p(x)$ to $q(x)$ is given by

$$H(p(x) \| q(x)) \equiv \sum_x p(x) \log \frac{p(x)}{q(x)} = -H(X) - \sum_x p(x) \log q(x), \quad (\text{B.1.3})$$

where X is a random variable under probability distribution p .

It is not immediately obvious why this is a good definition for a distance between two distributions. The following theorem gives a starting point as to why this is the case.

Theorem B.1.1. $H(p(x)||q(x)) \geq 0$, so the relative entropy is non-negative, with equality if and only if $p(x) = q(x)$ for all x .

Example B.1.2. Suppose $X \sim p(x)$ is a random variable following discrete distribution $p(x)$, where the index set x takes d values. Set $Y \sim q(x) \equiv 1/d$ to be the uniform distribution over x . Then:

$$H(p(x)||q(x)) = -H(X) - \sum_x p(x) \log \frac{1}{d} = \log d - H(X). \quad (\text{B.1.4})$$

Note that non-negativity therefore implies that $H(X) \leq \log d$; an occasionally useful fact about entropy. In particular, it quantifies the maximum amount of information which can be contained in a d -bit.

B.2 Quantum Information

B.2.1 von Neumann Entropy

Shannon entropy measures the uncertainty of random variables associated with classical probability distributions; quantum states are similarly associated with probabilities, with density operators replacing classical probability distributions. We therefore wish to define a similar measure of uncertainty for states. To motivate a definition, consider an ensemble of pure quantum states $\{\rho_n\}$ occurring with corresponding probabilities $\{p_n\}$. The density operator for this system is then

$$\rho = \sum_n p_n \rho_n. \quad (\text{B.2.1})$$

If the set of states $\{\rho_n\}$ are all orthogonal, this ensemble should behave exactly like a classical random variable following probability distribution $\{p_n\}$. A quantum measure of entropy should therefore reduce to $H(p_n)$ in this case. With this idea in mind, we can define the so-called *von Neumann entropy*, which satisfies this intuition.

Definition B.2.1. Given a quantum state described by density operator ρ on Hilbert space \mathcal{H} , its **von Neumann entropy** is defined as

$$S(\rho) \equiv -\text{Tr}_{\mathcal{H}} (\rho \log \rho), \quad (\text{B.2.2})$$

where \log denotes the natural matrix logarithm.

The matrix logarithm is often computationally difficult to calculate. However, if we write ρ in terms of its eigenvectors $\{|i\rangle\}$ and corresponding (real) eigenvalues $\{\eta_i\}$ as $\rho = \sum_i \eta_i |i\rangle \langle i|$ (which can always be done because ρ is Hermitian), the von Neumann entropy is simply

$$S(\rho) = - \sum_i \eta_i \log \eta_i. \quad (\text{B.2.3})$$

Example B.2.1. *In this example, we consider two states in a two-state system with basis $\{|0\rangle, |1\rangle\}$. First, consider the state*

$$\rho = \frac{1}{2} (|0\rangle \langle 0| + |1\rangle \langle 1|) = \frac{1}{2} \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}. \quad (\text{B.2.4})$$

The states $|0\rangle \langle 0|$ and $|1\rangle \langle 1|$ are orthogonal, so perfectly distinguishable; we therefore cannot say classically which state the system is in, so we expect the von Neumann entropy to reduce to $H_{\text{bin}}(1/2) = \log 2$. This is exactly what we observe:

$$S(\rho) = -\text{Tr}(\rho \log \rho) = -\frac{1}{2} \log \frac{1}{2} - \frac{1}{2} \log \frac{1}{2} = \log 2. \quad (\text{B.2.5})$$

Alternatively, consider the state

$$\sigma = \frac{1}{2} (|0\rangle \langle 0| + |0\rangle \langle 1| + |1\rangle \langle 0| + |1\rangle \langle 1|) = \frac{1}{2} \begin{pmatrix} 1 & 1 \\ 1 & 1 \end{pmatrix}. \quad (\text{B.2.6})$$

The von Neumann entropy is then

$$S(\sigma) = -\text{Tr}(\sigma \log \sigma) = -1 \log 1 - 0 \log 0 = 0. \quad (\text{B.2.7})$$

This vanishes because σ actually describes a pure state

$$\sigma = \frac{1}{2} (|0\rangle + |1\rangle)(\langle 0| + \langle 1|), \quad (\text{B.2.8})$$

so we can classically say the system described by σ is in the corresponding state with certainty.

Example B.2.2 (Quantum vs Classical Entropy). *Consider the density operator describing a superposition between two non-orthogonal states:*

$$\rho = p \underbrace{|0\rangle \langle 0|}_{\rho_0} + (1-p) \cdot \underbrace{\frac{1}{2}(|0\rangle + |1\rangle)(\langle 0| + \langle 1|)}_{\rho_1}. \quad (\text{B.2.9})$$

This is a mixed state describing an ensemble of ρ_0 and ρ_1 with probabilities p and $1-p$. Classically, if this was the case the entropy of the ensemble would be given by

$H_{bin}(p)$. However, quantum effects mean that the lack of orthogonality between ρ_0 and ρ_1 generates cross terms in the density operator which modifies its eigenvalues. We can compute the eigenvalues of ρ as

$$\lambda_{\pm} \equiv \frac{1}{2} \left(1 \pm \sqrt{1 - 2p(1-p)} \right), \quad (\text{B.2.10})$$

and so the von Neumann entropy is

$$S(\rho) = -\lambda_+ \log \lambda_+ - \lambda_- \log \lambda_- \neq H_{bin}(p). \quad (\text{B.2.11})$$

While this does not match the classical case, it does share some properties. They both vanish for $p = 0, 1$, which in the quantum case corresponds to there being no uncertainty in the system and ρ representing a pure state. They also both attain a maximum at $p = 1/2$, corresponding to the maximally mixed state.

B.2.2 Relative Entropy

Similarly to the classical relative entropy measuring the distance between two probability distributions (without being a formal metric), there is a quantum relative entropy measuring the distance between two density operators without being a formal metric on the underlying Hilbert space. We define this as follows.

Definition B.2.2. Given two density operators ρ and σ on Hilbert space \mathcal{H} , the **relative entropy** between them is given by

$$S(\rho||\sigma) = -\text{Tr}_{\mathcal{H}} (\rho \log \rho - \rho \log \sigma) = -S(\rho) - \text{Tr}_{\mathcal{H}} (\rho \log \sigma). \quad (\text{B.2.12})$$

Once again, this is non-negative; a result called *Klein's inequality*

Theorem B.2.1. *The relative entropy is non-negative for any two states ρ and σ :*

$$S(\rho||\sigma) \geq 0. \quad (\text{B.2.13})$$

Example B.2.3. Suppose ρ and σ are states on a d -dimensional Hilbert space \mathcal{H}_d . Choose $\sigma = I_d/d$ to be the maximally mixed state, proportional to the identity. Then, the relative entropy is given by

$$S(\rho||\sigma) = -S(\rho) - \text{Tr}_{\mathcal{H}_d} \left(\rho \log \frac{1}{d} \right) = -S(\rho) + \log d. \quad (\text{B.2.14})$$

Note then that non-negativity implies that $S(\rho) \leq \log d$.

B.2.3 Properties of von Neumann Entropy

We now state some generic properties of von Neumann entropy, without proof. See [14] or any good set of notes on quantum information theory for proofs.

- $S(\rho) = 0$ if and only if ρ is a pure state.
- $S(\rho) = \log d$ is maximal for a d -dimensional Hilbert space if and only if ρ is a maximally mixed state (e.g. ρ is proportional to the identity).
- $S(\rho) = S(U\rho U^\dagger)$ is invariant under unitary transformations U ; this is the statement that entropy is invariant under a change of basis.
- $S(\rho)$ is *concave*: given an ensemble of density operators $\{\rho_i\}$ and probabilities $\{p_i\}$, we have

$$S\left(\sum_i p_i \rho_i\right) \geq \sum_i p_i S(\rho_i). \quad (\text{B.2.15})$$

- $S(\rho)$ satisfies the following bound, for the same set-up as the last property:

$$S\left(\sum_i p_i \rho_i\right) \leq \sum_i p_i S(\rho_i) - \sum_i p_i \log p_i = \sum_i p_i S(\rho_i) + H(p_i), \quad (\text{B.2.16})$$

with equality if the ρ_i are orthogonal.

- $S(\rho)$ is additive for independent systems. If ρ_A and ρ_B describe states in systems A and B , then

$$S(\rho_A \otimes \rho_B) = S(\rho_A) + S(\rho_B). \quad (\text{B.2.17})$$

- $S(\rho)$ is strongly subadditive: for any three systems A, B, C , we have

$$S(\rho_{ABC}) + S(\rho_B) \leq S(\rho_{AB}) + S(\rho_{AC}). \quad (\text{B.2.18})$$

B.2.4 Entropy as a Measure of Entanglement

Entanglement between quantum systems is notoriously *very* hard to quantify, particularly for more than two systems. However, for entanglement between precisely two systems, the von Neumann entropy can be used as a way to quantify the entanglement.

To build some intuition, suppose that we have a separable state of two systems

A and B : $|\Psi_{AB}\rangle = |\psi_A\rangle \otimes |\psi_B\rangle$. Consider the reduced density matrices on either system A or B :

$$\begin{aligned}\rho_A &= \text{Tr}_B (|\Psi_{AB}\rangle \langle \Psi_{AB}|) = |\psi_A\rangle \langle \psi_A| \\ \rho_B &= \text{Tr}_A (|\Psi_{AB}\rangle \langle \Psi_{AB}|) = |\psi_B\rangle \langle \psi_B|.\end{aligned}\tag{B.2.19}$$

Both of these are pure states, so $S(\rho_A) = S(\rho_B) = 0$. This is a result of our original state being separable; A and B were not entangled. Thus, we should expect that a non-zero von Neumann entropy for either of the reduced states to signify some degree of entanglement between A and B . We therefore take this as a definition of entanglement entropy.

Definition B.2.3. Suppose we have a quantum system of N particles, and a bipartition dividing it into a subsystem A of k particles and a subsystem B of l particles such that $k + l = N$. Suppose that the state of the system is described by ρ_{AB} . The *entropy of entanglement* for the bipartition is given by

$$S(\rho_A) = -\text{Tr}_A [\rho_A \log \rho_A] = -\text{Tr}_B [\rho_B \log \rho_B] = S(\rho_B),\tag{B.2.20}$$

where $\rho_A = \text{Tr}_B (\rho_{AB})$ is the reduced state to subsystem A and similarly for ρ_B .

Note that this is not a unique definition of entanglement entropy. Some other common definitions include the *Renyi entanglement entropy* [15], or even simply relative entropy.

Example B.2.4. Consider the Bell state $|\Phi\rangle = \frac{1}{\sqrt{2}}(|0\rangle_A \otimes |1\rangle_B + |1\rangle_A \otimes |0\rangle_B)$. This has density matrix

$$\rho_{AB} = \frac{1}{2} |\Psi\rangle \langle \Psi| = \frac{1}{2} \begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & 1 & 1 & 0 \\ 0 & 1 & 1 & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix},\tag{B.2.21}$$

which itself has zero entropy since it is a pure state. However, calculating the reduced density operators, we find

$$\begin{aligned}\rho_A &= \frac{1}{2}(|0\rangle \langle 0|_A + |1\rangle \langle 1|_A) = \frac{1}{2} \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \\ \rho_B &= \frac{1}{2}(|0\rangle \langle 0|_B + |1\rangle \langle 1|_B) = \frac{1}{2} \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix},\end{aligned}\tag{B.2.22}$$

which have $S(\rho_A) = S(\rho_B) = \log 2$. This reflects the fact that the Bell states maximally entangle subsystems A and B .

Appendix C

The Schmidt Decomposition and Purifications

In this appendix, we present the concepts of *Schmidt decomposition* and *purifications*. These are two constructions which we make use of in several of our proofs, and they are central results in quantum information theory. Our discussion will follow Nielsen and Chuang [14].

C.1 The Schmidt Decomposition

The Schmidt decomposition is characterised by the following theorem.

Theorem C.1.1 (Schmidt decomposition). *Suppose $|\psi\rangle$ is a pure state of composite system AB , with Hilbert space $\mathcal{H}_A \otimes \mathcal{H}_B$. Then, there exist sets of orthonormal states $\{|i_A\rangle\} \in \mathcal{H}_A$ and $\{|i_B\rangle\} \in \mathcal{H}_B$ called **Schmidt bases** such that*

$$|\psi\rangle = \sum_i \lambda_i |i_A\rangle |i_B\rangle, \quad (\text{C.1.1})$$

where the λ_i are non-negative, real numbers, satisfying $\sum_i \lambda_i^2 = 1$. They are called **Schmidt numbers**, and the decomposition C.1.1 is called the **Schmidt decomposition**.

The proof of this is a straightforward corollary of the existence of the singular value decomposition. As an example of why this is useful, consider the reduced density operators of $|\psi\rangle$ as defined in Theorem C.1.1. Denoting $\rho = |\psi\rangle \langle \psi|$, the Schmidt decomposition immediately tells us

$$\rho_A = \sum_i \lambda_i^2 |i_A\rangle \langle i_A|, \quad \rho_B = \sum_i \lambda_i^2 |i_B\rangle \langle i_B|. \quad (\text{C.1.2})$$

In other words, the eigenvalues of these reduced states are equivalent, which is not immediately obvious by other means. Moreover, the number of $\lambda_i \neq 0$ is called the *Schmidt number* of $|\psi\rangle$. This can be used as a rudimentary measure of the entanglement of $|\psi\rangle$, since $|\psi\rangle$ is a product state if and only if it has Schmidt number equal to 1.

Example C.1.1. Consider the two-qubit state $|\psi\rangle = (|00\rangle + |01\rangle + |10\rangle)/\sqrt{3} \in \mathbb{C}^2 \otimes \mathbb{C}^2$. We can compute its reduced density operators as

$$\rho_A = \rho_B = \frac{1}{3}(2|0\rangle\langle 0| + |0\rangle\langle 1| + |1\rangle\langle 0| + |1\rangle\langle 1|). \quad (\text{C.1.3})$$

These have eigenvectors and eigenvalues

$$\begin{aligned} \lambda_0 &= \frac{1}{6}(3 + \sqrt{5}), & |v_1\rangle &= \frac{1}{2}(1 + \sqrt{5})|0\rangle + |1\rangle \\ \lambda_1 &= \frac{1}{6}(3 - \sqrt{5}), & |v_1\rangle &= \frac{1}{2}(1 - \sqrt{5})|0\rangle + |1\rangle. \end{aligned} \quad (\text{C.1.4})$$

Normalising the eigenvectors gives the Schmidt bases, and the Schmidt coefficients are $\sqrt{\lambda_i}$.

C.2 Purifications

Purifications are characterised by the following theorem.

Theorem C.2.1 (Purifications). Suppose ρ_A is a reduced state of system A . Introducing a new system R , we can always define a pure state $|AR\rangle$ for the composite system AR such that $\rho_A = \text{Tr}_R(|AR\rangle\langle AR|)$. Such a state is called a **purification** of ρ_A on R .

The proof of this is constructive, so we go through it.

Proof. Write ρ_A in terms of an orthonormal basis $\{|i_A\rangle\}$ of \mathcal{H}_A as

$$\rho_A = \sum_i p_i |i_A\rangle\langle i_A|, \quad (\text{C.2.1})$$

which is always possible since ρ_A is Hermitian. Introduce a system R with the same state space as A , and orthonormal basis $\{|i_R\rangle\}$. Define a pure state for the combined system as

$$|AR\rangle \equiv \sum_i \sqrt{p_i} |i_A\rangle |i_R\rangle \quad (\text{C.2.2})$$

We now calculate the reduced density operator for A corresponding to $|AR\rangle$:

$$\begin{aligned}
\text{Tr}_R(|AR\rangle\langle AR|) &= \sum_{ij} \sqrt{p_i p_j} |i_A\rangle\langle j_A| \text{Tr}(|i_R\rangle\langle j_R|) \\
&= \sum_{ij} \sqrt{p_i p_j} |i_A\rangle\langle j_A| \delta_{ij} \\
&= \sum_i p_i |i_A\rangle\langle i_A| \\
&= \rho_A
\end{aligned} \tag{C.2.3}$$

and so $|AR\rangle$ is a purification of ρ_A . □

Note the link between purifications and the Schmidt decomposition: the process of purifying a mixed state ρ_A of system A is to define a pure state with a Schmidt basis for subsystem A being the basis in which the mixed state ρ_A is diagonal. The Schmidt coefficients are then just the square roots of the eigenvalues of ρ_A . Moreover, we can also think of purifications ‘in the other direction’; that is, if we have a mixed state ρ_A of system A , we can think of it as being a subsystem of some larger pure state ρ_{AB} of composite system AB , where the ‘mixedness’ of A comes from A being entangled with B and measurement of B being non-deterministic.

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