

# Quantum Error Correction - Notes

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## 1 Quantum Mechanics: Conventions and Notation

- We take the three postulates of QM from Nielsen and Chuang:
  1. Associated to any isolated physical system is a Hilbert space  $\mathcal{H}$ , known as the *state space* of the system, and the system is described by its *state vector* (or just *state*), which is a **unit** vector in  $\mathcal{H}$
  2. The evolution of a **closed** quantum system is described by a *unitary transformation*
  3. *Quantum measurements* are specified by a collection  $\{M_m\}$  of *measurement operators* acting on  $\mathcal{H}$ . The index  $m$  refers to the measurement outcomes, and if the system is in state  $|\psi\rangle$  initially, the probability  $m$  occurs is:

$$\mathbb{P}(m) = \langle \psi | M_m^\dagger M_m | \psi \rangle$$

and the state collapses to

$$|\psi\rangle \rightarrow \frac{M_m |\psi\rangle}{\sqrt{\mathbb{P}(m)}}$$

### 1.1 Projective Measurements

- A *projective measurement* is described by an *observable*/Hermitian operator  $M$  acting on  $\mathcal{H}$
- $M$  has a spectral decomposition:

$$M = \sum_m m P_m \tag{1.1}$$

where  $m$  are the (real) eigenvalues of  $M$  and  $P_m$  is the projector onto the corresponding eigenspace

- Note  $\sum_m P_m = 1$
- This means the outcomes are indexed by  $m$ , and the info in postulate (3) reduces to

$$\mathbb{P}(m) = \langle \psi | P_m | \psi \rangle \quad \text{and} \quad |\psi\rangle \rightarrow \frac{P_m |\psi\rangle}{\sqrt{\mathbb{P}(m)}}$$

- The *expectation* of  $M$  on state  $|\psi\rangle$  in a probabilistic sense reduces to

$$\mathbb{E}(M) = \langle M \rangle_\psi = \langle \psi | M | \psi \rangle \tag{1.2}$$

- **Notation:** usually just list the projectors as  $\{P_m\}$  so  $\sum_m P_m = 1$  and  $P_m P_n = \delta_{mn} P_m$

- Also sometimes say ‘measure in basis  $|m\rangle$ ’, which just means ‘perform projective measurement with projectors  $P_m = |m\rangle\langle m|$ ’
- Often refer to *positive operator-valued measurements (POVMs)*, which we define here
- Suppose we do a measurement with operators  $\{M_m\}$  on system in state  $|\psi\rangle$ , so  $\mathbb{P}(m) = |\psi| M_m^\dagger M_m |\psi\rangle$
- Define *POVM elements*  $E_m = M_m^\dagger M_m$ ; then  $\sum_m E_m = 1$  and  $\mathbb{P}(m) = \langle\psi| E_m |\psi\rangle$
- Since  $E_m$  are sufficient to describe the outcomes, we call the set  $\{E_m\}$  a POVM

## 1.2 Density Operator Formalism

- **Motivation:** nice and easy to talk about subsystems of a composite system, systems where we don’t know the state precisely, statistical mechanics
- Consider a system which is in a state from the set  $\{|\psi_i\rangle\}$ , with the probability of being in state  $i$  given by  $p_i$
- The set  $\{|\psi_i\rangle, p_i\}$  is called an *ensemble of pure states*
- The *density operator/matrix* for this system is defined as

$$\rho = \sum_i p_i |\psi_i\rangle\langle\psi_i| \quad (1.3)$$

- Clearly the states  $|\psi_i\rangle$  uniquely define  $\rho$  and vice-versa, so postulate (1) is unchanged
- For postulate 2, we see that if  $U$  governs evolution of states,  $\rho$  evolves as

$$\rho = \sum_i p_i |\psi_i\rangle\langle\psi_i| \xrightarrow{U} \sum_i p_i U |\psi_i\rangle\langle\psi_i| U^\dagger = U \rho U^\dagger \quad (1.4)$$

- For describing measurements, suppose we have measurement given by measurement operators  $\{M_m\}$
- If we were initially in  $|\psi_i\rangle$ , probabilities are

$$\mathbb{P}(m|i) = \langle\psi_i| M_m^\dagger M_m |\psi_i\rangle = \text{Tr} (M_m^\dagger M_m |\psi_i\rangle\langle\psi_i|)$$

- Therefore, the probability of obtaining  $m$  outright on  $\rho$  is

$$\mathbb{P}(m) = \sum_i \mathbb{P}(m|i) p_i = \text{Tr}(M_m^\dagger M_m \rho) \quad (1.5)$$

and after a bit of algebra, we find  $\rho$  collapses to

$$\rho \rightarrow \rho_m = \frac{M_m \rho M_m^\dagger}{\text{Tr}(M_m^\dagger M_m \rho)}$$

- If we know the state of a system is certainly  $|\psi\rangle$ , the system is in a *pure state* and  $\rho = |\psi\rangle\langle\psi|$ ; otherwise we have a *mixed state*
- We have criteria  $\text{Tr}(\rho^2) = 1$  for pure states and  $\text{Tr}(\rho^2) < 1$  for mixed states
- **Theorem:** an operator  $\rho$  is a density operator for some system iff

1.  $\text{Tr}(\rho) = 1$
  2.  $\rho$  is a positive operator
- **Theorem:** ensembles  $\{|\psi\rangle\}$  and  $\{|\phi_i\rangle\}$  generate the same density operator iff

$$|\psi_i\rangle = \sum_j U_{ij} |\phi_j\rangle$$

where  $U$  is a unitary operator

## 2 Noise and Error Correction

### 2.1 Markov Processes and Classical Noise

- Consider the classical bit-flip process; the environment contains magnetic fields which can cause a bit to ‘flip’ with probability  $p$
- To figure out  $p$ , we need a model both for the distribution of magnetic fields in the environment, and one for how they interact with bits
- This is a common thing: need a model for both the environment and for the system-environment interaction
- To be concrete, suppose  $p_0$  and  $p_1$  are the initial probabilities for the bit to be a 0 and a 1, and  $q_0$  and  $q_1$  are the probabilities after flipping
- Denote the initial state of the bit as  $A$  and the final state as  $B$ ; then

$$\mathbb{P}(B = b) = \sum_a \mathbb{P}(B = b | A = a) \mathbb{P}(A = a)$$

- The probabilities  $\mathbb{P}(B = b | A = a)$  are called *transition probabilities*; the above equation can also be written

$$\begin{pmatrix} q_0 \\ q_1 \end{pmatrix} = \begin{pmatrix} 1-p & p \\ p & 1-p \end{pmatrix} \begin{pmatrix} p_0 \\ p_1 \end{pmatrix}$$

- If some further noise occurs after the initial bit-flip, we can model this as being **independent** of the first flip - this is called *Markovicity*, and we can model the total noise process as a *Markov process*
- For a single-state process, we therefore have that output probabilities  $\mathbf{q}$  are related to input probabilities  $\mathbf{p}$  by

$$\mathbf{q} = E\mathbf{p} \tag{2.1}$$

where  $E$  is a matrix of transition probabilities called the *evolution matrix*

- This means the final state of the system is **linearly** related to the initial state
- We need  $E\mathbf{p}$  to be a valid probability distribution, so this gives us some conditions on  $E$ :
  - All entries of  $E$  are non-negative, so  $E$  is positive
  - All columns of  $E$  sum to 1, called *completeness*
- This is all classical, but there are quantum analogues

## 2.2 Quantum Operations

- **Not to be confused with operators!!**
- Similar to (2.1) for evolution of classical states, quantum states transform as

$$\rho' = \mathcal{E}(\rho) \quad (2.2)$$

where  $\mathcal{E}$  is called a *quantum operation*

- Simple examples: for time-evolution governed by  $U$ ,  $\mathcal{E}(\rho) = U\rho U^\dagger$ , for measurements  $\mathcal{E}_m(\rho) = M_m \rho M_m^\dagger$

### 2.2.1 Closed and Open Systems

- *Closed* quantum systems can be thought of as being isolated, and not interacting with an environment; evolution is governed by a unitary operation
- *Open* systems are thought of as arising from an interaction between a system of interest and an environment, together forming a closed system
- For an open system, this means the final state  $\mathcal{E}(\rho)$  may not be unitarily related to the initial state
- Suppose the system-environment is initially in  $\rho \otimes \rho_{\text{env}}$ ; then, the operation for evolving  $\rho$  alone is found by taking a partial trace:

$$\mathcal{E}(\rho) = \text{Tr}_{\text{env}} [U(\rho \otimes \rho_{\text{env}})U^\dagger] \quad (2.3)$$

### 2.2.2 Operator-Sum Representation

- **Motivation:** restate (2.3) in terms of operators on the principal Hilbert space only
- Suppose  $|e_k\rangle$  is an orthonormal basis for  $\mathcal{H}_{\text{env}}$ , and initially  $\rho_{\text{env}} = |e_0\rangle\langle e_0|$
- Then:

$$\mathcal{E}(\rho) = \sum_k \langle e_k| U [\rho \otimes |e_0\rangle\langle e_0|] U^\dagger |e_k\rangle = \sum_k E_k \rho E_k^\dagger \quad (2.4)$$

where  $E_k = \langle e_k| U |e_0\rangle$  are operators on  $\mathcal{H}$  only

- This defines the *operator-sum representation* of  $\mathcal{E}$ , and  $\{E_k\}$  are the *operation elements* of  $\mathcal{E}$
- Since  $\text{Tr}(\mathcal{E}(\rho)) = 1$ , it follows that

$$\sum_k E_k^\dagger E_k = 1$$

which is satisfied by *trace-preserving operation*

- This is **very** useful, since we can talk about the operation without referring to properties of the environment
- This representation is **not** unique
- **Theorem: (Unitary Freedom)** Suppose  $\{E_1, \dots, E_m\}$  and  $\{F_1, \dots, F_n\}$  are operation elements of operations  $\mathcal{E}$  and  $\mathcal{F}$  respectively. Append zero operators to the shorter list so  $m = n$ . Then,  $\mathcal{E} = \mathcal{F}$  iff  $E_i = \sum_j u_{ij} F_j$  where  $u$  is a  $m \times m$  unitary matrix

### 2.2.3 Axiomatisation and Properties

- Quantum operations can be defined axiomatically
- A quantum operation  $\mathcal{E}$  is a map from the set of density operators on an input space  $\mathcal{H}_1$  to an output  $\mathcal{H}_2$ , satisfying the following properties:
  1.  $\text{Tr}(\mathcal{E}(\rho))$  is the probability the process  $\mathcal{E}$  occurs when  $\rho$  is the initial state. This means  $0 \leq \text{Tr}(\mathcal{E}(\rho)) \leq 1 \forall \rho$
  2.  $\mathcal{E}$  is convex-linear; that is, for real numbers/probabilities  $\{p_i\}$

$$\mathcal{E} \left( \sum_i p_i \rho_i \right) = \sum_i p_i \mathcal{E}(\rho_i) \quad (2.5)$$

3.  $\mathcal{E}$  is completely positive; so for any positive operator  $A$ ,  $\mathcal{E}(A)$  must also be positive. More generally, if we introduce auxillary system  $R$ ,  $(\mathcal{I} \otimes \mathcal{E})(A)$  is positive for any positive  $A$  on combined system  $R \otimes \mathcal{H}_1$
- We also have the following theorem:
  - **Theorem:**  $\mathcal{E}$  satisfies the above axioms iff

$$\mathcal{E}(\rho) = \sum_i E_i \rho E_i^\dagger \quad (2.6)$$

for some set of operators  $\{E_i\}$  mapping  $\mathcal{H}_1 \rightarrow \mathcal{H}_2$ , and  $\sum_i E_i^\dagger E_i \leq 1$

## 2.3 Error Correction

### 2.3.1 Examples

- Two main examples: *bit-flip correction* and *Shor code*
- **Bit-flip:**
  - Suppose Alice sends qubit  $|\psi\rangle = a|0\rangle + b|1\rangle$  to Bob across a noisy channel, which has probability  $p$  of ‘flipping’ each qubit; that is

$$|0\rangle \mapsto |1\rangle \quad \text{and} \quad |1\rangle \mapsto |0\rangle$$

- Equivalently, the channel has probability  $p$  to send  $X|\psi\rangle$
- However, we can protect against this noise by sending three qubits instead, *encoding* as

$$\begin{aligned} |0\rangle &\rightarrow |0_L\rangle = |000\rangle \\ |1\rangle &\rightarrow |1_L\rangle = |111\rangle \end{aligned} \quad (2.7)$$

- Bob then receives the three-qubit state, with some qubits possibly flipped
- He then has to perform *error-detection/syndrome diagnosis*: a measurement to detect if an error occurred and if so, on which qubit it occurred to
- The relevant 4 projectors for this measurement are:

$$\begin{aligned} P_0 &= |000\rangle\langle 000| + |111\rangle\langle 111| && \text{(no error)} \\ P_1 &= |100\rangle\langle 100| + |011\rangle\langle 011| && \text{(qubit 1 flipped)} \\ P_2 &= |010\rangle\langle 010| + |101\rangle\langle 101| && \text{(qubit 2 flipped)} \\ P_3 &= |001\rangle\langle 001| + |110\rangle\langle 110| && \text{(qubit 3 flipped)} \end{aligned} \quad (2.8)$$

- Note that the outcome of the relevant measurements is 1 if the corresponding error condition is met, and the measurement also does not change the state of the three qubits
- Upon getting our measurement result, we just apply  $X$  to the relevant qubit if an error occurred to get back our original state
- This error-correction procedure works perfectly so long as one or fewer errors occur: this happens with probability  $(1-p)^3 + 3p(1-p)^2 = 1 - 3p^2 + 2p^3$ , and the probability we cannot correct the error is therefore  $3p^2 - 2p^3$
- For  $p < 1/2$  we therefore have increased reliability
- In the operation language above, the action of the bit-flip channel can be written

$$\mathcal{E}(\rho) = (1-p)\rho + pX\rho X \quad (2.9)$$

- Before looking at the Shor code, we note another important basic error: the *phase-flip*
- This is similar to the bit-flip, except the relative phase of  $|0\rangle$  and  $|1\rangle$  are flipped; that is, with probability  $p$  we have

$$|\psi\rangle = a|0\rangle + b|1\rangle \mapsto a|0\rangle - b|1\rangle \quad (2.10)$$

- This is easy to correct for: we just move to the  $|\pm\rangle$  basis and perform the same procedure as in the bit-flip case
- The action of the phase-flip channel is:

$$\mathcal{E}(\rho) = (1-p)\rho + pZ\rho Z \quad (2.11)$$

- **The Shor code:**

- The initial motivation is to correct for both phase and bit-flips
- To do this, we first encode our qubit via the phase-flip machinery:  $|0\rangle \rightarrow |+++ \rangle$  and  $|1\rangle \rightarrow |-- - \rangle$
- Then, we encode each of our new three qubits via the bit-flip code:  $|+\rangle \rightarrow \frac{1}{\sqrt{2}}(|000\rangle + |111\rangle)$  and  $|-\rangle \rightarrow \frac{1}{\sqrt{2}}(|000\rangle - |111\rangle)$
- The final result is a nine qubit code, with logical qubits

$$\begin{aligned} |0\rangle &\rightarrow |0_L\rangle = \frac{1}{2\sqrt{2}} (|000\rangle + |111\rangle) (|000\rangle + |111\rangle) (|000\rangle + |111\rangle) \\ |1\rangle &\rightarrow |1_L\rangle = \frac{1}{2\sqrt{2}} (|000\rangle - |111\rangle) (|000\rangle - |111\rangle) (|000\rangle - |111\rangle) \end{aligned} \quad (2.12)$$

- This hierarchical way of encoding qubits is called *concatenation*
- It turns out that the Shor code can correct **arbitrary** single-qubit errors
- To see why, suppose the encoded qubit is initially  $|\psi\rangle = \alpha|0_L\rangle + \beta|1_L\rangle$ , and consider the operator-sum representation of an arbitrary error  $\mathcal{E}$  with elements  $\{E_i\}$
- After the noise acts, the state is now

$$\mathcal{E}(|\psi\rangle\langle\psi|) = \sum_i E_i |\psi\rangle\langle\psi| E_i^\dagger$$

- Let's focus on the single term  $E_i |\psi\rangle\langle\psi| E_i^\dagger$

- Any single-qubit operator is a  $2 \times 2$  Hermitian matrix, so as an operator on qubit 1 only, it can be expanded in the basis of Hermitian matrices  $\{I, X, Z, Y = iXZ\}$ :

$$E_i = e_{i0}I + e_{i1}X_1 + e_{i2}Z_2 + e_{i3}X_1Z_1 \quad (2.13)$$

- Therefore, the unnormalised state  $E_i |\psi\rangle$  is a superposition of  $|\psi\rangle, X_1 |\psi\rangle, Z_1 |\psi\rangle, X_1Z_1 |\psi\rangle$
- Measuring the error syndrome collapses this superposition into one of the 4 states, and thus we can reverse the error by performing the appropriate inversion
- The same is true for all other errors, so error correction results in  $|\psi\rangle$  being recovered, even though the first qubit error was arbitrary
- This is **very** powerful: we can correct a continuous spectrum of errors by just correcting the bit-flip, phase-flip, and combined bit/phase-flip

### 2.3.2 Generalities

- General procedure: states  $|\psi\rangle \in \mathcal{H}$  encoded by unitary operation into a *quantum error-correcting code*, which is a subspace  $\mathcal{H}_{\text{code}}$  of a larger Hilbert space
- Often refer to the *projector into the code space*  $P_{\text{code}}$  or  $P_c$
- After encoding, the state is subjected to noise, a *syndrome measurement* is performed to diagnose what type of error occurred, and then a *recovery operation* is performed to obtain the original state
- Different errors correspond to **orthogonal** subspaces of the full Hilbert space so they can be distinguished
- In the general theory, we make no assumptions about a two-stage detection-recovery method: just assume noise is given by operation  $\mathcal{E}$  and error-correction done by operation  $\mathcal{R}$
- For error-correction to be successful, we require that for any state  $\rho$  supported in  $\mathcal{H}_{\text{code}}$ :

$$(\mathcal{R} \circ \mathcal{E})(\rho) \propto \rho \quad (2.14)$$

- The proportionality rather than equals means that we include non-trace-preserving operations in  $\mathcal{E}$
- **Theorem: (Quantum Error-Correction Conditions)** Let  $\mathcal{H}_{\text{code}}$  be a quantum code, and  $P_c$  be the projector onto it. Suppose  $\mathcal{E}$  is a quantum operation with elements  $\{E_i\}$ ; an error-correction operation  $\mathcal{R}$  correcting  $\mathcal{E}$  on  $\mathcal{H}_{\text{code}}$  exists iff

$$P_c E_i^\dagger E_j P_c = \alpha_{ij} P_c \quad (2.15)$$

where  $\alpha$  is a complex Hermitian matrix

- The set  $\{E_i\}$  are called the *errors*, and if  $\mathcal{R}$  exists we say that they are a *correctable set of errors*
- In general, we don't know the form of the noise, but we can adapt the quantum error correction conditions to find a whole class of noises which a code  $\mathcal{H}_c$  and correction operation  $\mathcal{R}$  can correct for
- **Theorem:** Suppose  $\mathcal{H}_c$  is a quantum code, and  $\mathcal{R}$  is the full error-correction operation correcting  $\mathcal{E}$  with elements  $\{E_i\}$ . Then,  $\mathcal{R}$  also corrects for  $\mathcal{F}$  with elements  $\{F_j\} = \{\sum_i m_{ji} E_i\}$  for complex matrix  $m$  on  $\mathcal{H}_c$

- This theorem means we can talk about a class of errors  $\{E_i\}$  which are *correctable* rather than a class of error processes  $\mathcal{E}$
- This is useful: if (for example) we can find a process satisfying

$$P_c \sigma_i^1 \sigma_j^1 P_c = \alpha_{ij} P_c$$

for the Pauli matrices, then we can correct for arbitrary single-qubit errors since any single qubit operation can be described by having operation elements given by the Pauli matrices

- The Shor code can do this!

## 2.4 Quantum Erasure

- One class of error which is particularly relevant to Harlow is *quantum erasure correction*
- This is defined as the channel ‘erasing’ a subsystem of the transmitted state, i.e. losing access to a **known** subsystem
- To formalise this, suppose  $\mathcal{H}_{\text{code}} \subseteq \mathcal{H}$  where  $\mathcal{H}$  has a tensor product structure  $\mathcal{H} = \mathcal{H}_A \otimes \mathcal{H}_{\bar{A}}$
- Then, the operation

$$\mathcal{E}(\rho) = \text{Tr}_{\bar{A}}(\rho) \quad (2.16)$$

‘erases’ the  $\bar{A}$  subsystem with certainty

- If we set  $\{|a\rangle\}$  and  $\{|\bar{a}\rangle\}$  to be orthonormal bases of  $\mathcal{H}_A$  and  $\mathcal{H}_{\bar{A}}$  respectively, we can rewrite this explicitly as

$$\mathcal{E}(\rho) = \sum_{\bar{a}} \langle \bar{a} | \rho | \bar{a} \rangle \quad (2.17)$$

from which we can read off operation elements

$$E_{\bar{a}} = I_A \otimes \langle \bar{a} | = \sum_a |a\rangle \langle a| \otimes \langle \bar{a} | \quad (2.18)$$

- We now want to see what the error correction conditions (2.15) reduce to; the first step to do this is calculating:

$$E_{\bar{a}}^\dagger E_{\bar{b}} = I_A \otimes |\bar{a}\rangle \langle \bar{b}| \quad (2.19)$$

- (2.15) therefore reduces to

$$P_{\text{code}} |\bar{a}\rangle \langle \bar{b}| P_{\text{code}} = \alpha_{\bar{a}\bar{b}} P_{\text{code}} \quad (2.20)$$

or, more simply

$$P_{\text{code}} |\bar{a}\rangle \langle \bar{b}| P_{\text{code}} \propto P_{\text{code}} \quad (2.21)$$

- This has a very relevant implication to Harlow: any operator  $X_{\bar{A}}$  on  $\mathcal{H}_{\bar{A}}$  can be decomposed as  $X_{\bar{A}} = \sum_{\bar{a}, \bar{b}} x_{\bar{a}, \bar{b}} |\bar{a}\rangle \langle \bar{b}|$ , so if (2.21) holds, then for any such  $X_{\bar{A}}$  we must have

$$P_{\text{code}} X_{\bar{A}} P_{\text{code}} \propto P_{\text{code}} \quad (2.22)$$

- **This is exactly (3.3) of Harlow**, establishing its necessity for the correctability of erasure of  $\bar{A}$



## 2.5 Harlow Toy Model

- Suppose Alice wishes to send the **qutrit**

$$|\psi\rangle = \sum_{i=0}^2 a_i |i\rangle$$

to Bob

- Suppose also that the channel erases the third qubit with probability  $p$
- An encoding which can protect for this is  $\mathcal{H}_{\text{code}} = \text{Span}\{|0_L\rangle, |1_L\rangle, |2_L\rangle\}$ , where

$$\begin{aligned} |0_L\rangle &= \frac{1}{\sqrt{3}}(|000\rangle + |111\rangle + |222\rangle) \\ |1_L\rangle &= \frac{1}{\sqrt{3}}(|012\rangle + |120\rangle + |201\rangle) \\ |2_L\rangle &= \frac{1}{\sqrt{3}}(|021\rangle + |102\rangle + |210\rangle) \end{aligned}$$

- Suppose an erasure occurs, so Bob only has access to the first two qubits; he can indeed recover the original state
- Define a unitary operator on the first two qubits via

$$U_{12} = |00\rangle\langle 00| + |01\rangle\langle 11| + |02\rangle\langle 22| + |10\rangle\langle 01| + |11\rangle\langle 12| + |12\rangle\langle 20| + |20\rangle\langle 02| + |21\rangle\langle 10| + |22\rangle\langle 21|$$

i.e.  $U_{12}$  does nothing to  $|00\rangle$ , and permutes the remaining 8 basis states as

$$|11\rangle \rightarrow |01\rangle \rightarrow |12\rangle \rightarrow |10\rangle \rightarrow |22\rangle \rightarrow |02\rangle \rightarrow |21\rangle \rightarrow |20\rangle \rightarrow |11\rangle$$

- A bit of computation then shows that

$$(U_{12} \otimes I_3) |i_L\rangle = |i\rangle \otimes \frac{1}{\sqrt{3}}(|00\rangle + |11\rangle + |22\rangle)$$

- Clearly this explicitly shows state recovery is possible, since

$$(U_{12} \otimes I_3) |\psi_L\rangle = |\psi\rangle \otimes \frac{1}{\sqrt{3}}(|00\rangle + |11\rangle + |22\rangle)$$

- We can equivalently frame the correctability in terms of operators
- Say  $O$  acts on the original Hilbert space as

$$O |i\rangle = \sum_j (O)_{ji} |j\rangle$$

- We can always find a logical operator  $O_L$  acting on  $\mathcal{H}_{\text{code}}$  which has the same action as  $O$ ; that is

$$O_L |i_L\rangle = \sum_j (O)_{ji} |j_L\rangle$$

- For our scenario, it's easy enough to just set

$$O_{12} = U_{12}^\dagger O U_{12}$$

where  $O$  acts on the first qubit only; then

$$O_{12} |i_L\rangle = \sum_j (O)_{ji} |j_L\rangle$$

- $O_{12}$  is in some sense an  $O_L$  which has support only on the first two qubits

## 2.6 Harlow 3.1

- Before relating the toy model to Harlow 3.1, we need the concepts of the *Schmidt decomposition* and *purifications*
- Suppose  $|\psi\rangle$  is a pure state of composite system  $AB$ , so  $|\psi\rangle \in \mathcal{H}_A \otimes \mathcal{H}_B$ ; then we can choose orthonormal bases  $\{|i_A\rangle\}$  and  $\{|i_B\rangle\}$  such that

$$|\psi\rangle = \sum_i \lambda_i |i_A\rangle |i_B\rangle \quad (2.23)$$

where  $\sum_i \lambda_i^2 = 1$  are the *Schmidt coefficients*

- For purification, suppose we have a state  $\rho_A$  of system  $A$
- We can introduce an ancillary system  $R$  and define a pure state  $|AR\rangle$  on composite system  $AR$  such that  $\rho_A = \text{Tr}_R(|AR\rangle \langle AR|)$ ;  $|AR\rangle$  is a purification of  $\rho_A$
- Harlow (3.1) states that, if  $\mathcal{H} = \mathcal{H}_A \otimes \mathcal{H}_{\bar{A}}$  and  $\mathcal{H}_{\text{code}} \subseteq \mathcal{H}$  has orthonormal basis  $\{|i_L\rangle\}$ , we can decompose  $\mathcal{H}_A = (\mathcal{H}_{A_1} \otimes \mathcal{H}_{A_2}) \oplus \mathcal{H}_{A_3}$  and erasure of  $\bar{A}$  is correctable if there exists a unitary transformation  $U_A$  on  $\mathcal{H}_A$  and a state  $|\chi\rangle_{A_2\bar{A}} \in \mathcal{H}_{A_2\bar{A}}$  such that

$$|i_L\rangle = U_A(|i\rangle_{A_1} \otimes |\chi\rangle_{A_2\bar{A}}) \quad (2.24)$$

where  $|i\rangle_{A_1}$  is an o.n. basis for  $\mathcal{H}_{A_1}$

- To interpret this, we essentially take  $A_1$  to be the initial system to be protected pre-encoding (so in the toy model,  $\mathcal{H}_{A_1} = \mathcal{H}_3$ )

## 3 Quantum Secret Sharing

- Reference: <https://arxiv.org/pdf/quant-ph/9901025.pdf#page=5&zoom=100,0,0>
- Suppose we want to share a secret amongst  $n$  people such that no single person has any knowledge of the secret, but any  $k$  of them together can reconstruct the secret perfectly - we also require that  $k - 1$  or fewer of them together have **no** information about the secret
- This is called a  $(k, n)$  *threshold scheme* in the classical case
- In the quantum case, the secret is an arbitrary unknown quantum state
- We define a  $(k, n)$  *quantum threshold scheme* (with  $k \leq n$ ) as a method which encodes an arbitrary secret (given but not necessarily explicitly known) quantum state into  $n$  shares such that

1. From  $k$  or more shares, the state can be perfectly reconstructed
2. From  $k - 1$  or fewer shares, no information **at all** can be deduced about the secret state

- Formally, this means that the reduced density matrix of  $k - 1$  shared (with the remaining  $n - k + 1$  shares traced out) is independent of the value of the secret
- Harlow's toy model can be viewed as a (2,3) quantum threshold code: the secret is an arbitrary qutrit which is encoded into three qutrits, and each qutrit is taken as a share as

$$\alpha |0\rangle + \beta |1\rangle + \gamma |2\rangle \rightarrow \frac{1}{\sqrt{3}} \left[ \alpha(|000\rangle + |111\rangle + |222\rangle) + \beta(|012\rangle + |120\rangle + |201\rangle) + \gamma(|021\rangle + |102\rangle + |210\rangle) \right] \quad (3.1)$$

- From any single share, we cannot deduce any info about the secret state since each individual qutrit is always maximally mixed
- Given two shares though, we can simply add the value of the first share to the second, then the second to the first (all mod 3) (or alternatively just apply  $U_{ij}$ ), which gives us the state

$$(\alpha|0\rangle + \beta|1\rangle + \gamma|2\rangle) \frac{1}{\sqrt{3}}(|00\rangle + |12\rangle + |21\rangle) \quad (3.2)$$

- This is slightly different to Harlow's version, which has  $|\chi\rangle \propto |00\rangle + |11\rangle + |22\rangle$  vs  $|\chi\rangle \propto |00\rangle + |12\rangle + |21\rangle$
- What they both have in common though is non-zero entanglement; that is, consider the two density matrices for the (2,3) systems in both cases:

$$\begin{aligned} \rho_{23}^1 &= \frac{1}{3}(|00\rangle\langle 00| + |12\rangle\langle 12| + |21\rangle\langle 21| + |00\rangle\langle 12| + |12\rangle\langle 00| + |00\rangle\langle 21| + |21\rangle\langle 00| \\ &\quad + |12\rangle\langle 21| + |21\rangle\langle 12|) \\ \rho_{23}^2 &= \frac{1}{3}(|00\rangle\langle 00| + |11\rangle\langle 11| + |22\rangle\langle 22| + |00\rangle\langle 11| + |11\rangle\langle 00| + |00\rangle\langle 22| + |22\rangle\langle 00| \\ &\quad + |11\rangle\langle 22| + |22\rangle\langle 11|) \end{aligned} \quad (3.3)$$

- Tracing out the 2 subsystem yields

$$\begin{aligned} \rho_3^1 &= \frac{1}{3}(|0\rangle\langle 0| + |1\rangle\langle 1| + |2\rangle\langle 2|) \\ \rho_3^2 &= \frac{1}{3}(|0\rangle\langle 0| + |1\rangle\langle 1| + |2\rangle\langle 2|) \end{aligned} \quad (3.4)$$

and so both have von Neumann entropy

$$S(\rho_3) = \log 3 \quad (3.5)$$

- This non-zero entanglement of  $|\chi\rangle$  is necessary for the code to function robustly
- Say that instead  $|\chi\rangle$  was a product state; then the encoding could be written as

$$|\psi_L\rangle = U_{12}(|\psi\rangle_1 \otimes |\chi\rangle_2 \otimes |\tilde{\chi}\rangle_3) = U_{12}(|\psi\rangle_1 \otimes |\chi\rangle_2) \otimes |\tilde{\chi}\rangle_3 \quad (3.6)$$

- This means that for any encoded state  $|\psi_L\rangle$ , the third qutrit would just be in the pure state  $|\tilde{\chi}\rangle_3$ , so would give no additional information about the encoded state  $|\psi_L\rangle$  which the second qutrit would not have on its own