# Artificial Intelligence

#### **Lecturer 11 – Inference in First Order Logic**

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# First Order Logic

- Syntax
- Semantic
- Inference
  - Resolution

#### Inference in FOL

- Difficulties
  - Quantifiers
  - Infinite sets of terms
  - Infinite sets of sentences
- Examples:  $\forall x.King(x) \land Greedy(x) \Rightarrow Evil(x)$ 
  - Infinite set of instances

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King(Bill) \land Greedy(Bill) \Rightarrow Evil(Bill)

King(FatherOf(Bill)) \land Greedy(FatherOf(Bill)) \Rightarrow Evil(FatherOf(Bill))
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#### Robinson's Resolution

- Herbrand's Theorem (~1930)
  - A set of sentences S is unsatisfiable if and only there exists a finite subset S<sub>g</sub> of the set of all ground instances Gr(S), which is unsatisfiabe
- Herbrand showed that there is a procedure to demonstrate the unsatisfiability of a unsatisfiable set of sentences
- Robinson propose the Resolution procedure (~1950)

### Idea of Resolution

- Refutation-based procedure
  - $\square$   $S \models A$  if and only if  $S \cup \{ \neg A \}$  is unsatisfible
- Resolution procedure
  - $\ \ \square$  Transform  $S \cup \{ \neg A \}$  into a set of clauses
  - Apply Resolution rule to find a the empty clause (contradiction)
    - If the empty clause is found
      - □ Conclude S /= A
    - Otherwise
      - No conclusion

# Clause

A clause is a disjunction of literals, i.e., has the form

$$P_1 \vee P_2 \vee ... \vee P_n$$
  $P_i \equiv [\neg]R_i$ 

Example

$$P(x) \lor Q(x,a) \lor R(b)$$
  
 $P(y) \lor \neg Q(b,y) \lor R(y)$ 

- The empty clause corresponds to a contradiction
- Any sentence can be transformed to an equi-satisfiable set of clauses

# Elements of Resolution

- Resolution rule
- Unification
- Transform a sentence to a set of clauses

### Resolution rule

Resolution rule

$$\frac{A \vee B \qquad \neg C \vee D}{\theta(A \vee D)} \qquad \theta = mgu(B, C)$$

- mgu: most general unifier
  - The most general assignment of variables to terms in such a way that two terms are equal
  - Syntactical unification algorithm
- $\Box$   $\theta$ : substitution

# Example of Resolution rule

- x, y are variables
- a, b are constants

$$\frac{P(x) \vee Q(x,a) \qquad \neg Q(b,y) \vee R(y)}{P(b) \vee R(a)} \qquad \theta = \{x = b, y = a\}$$

$$A \equiv P(x)$$

$$B \equiv Q(x,a)$$

$$C \equiv Q(b,y)$$

$$D \equiv R(y)$$

# Example of Resolution rule

$$\frac{\neg Pet(Joe) \lor Cat(Joe) \lor Bird(Joe)}{\neg Pet(Joe) \lor Cat(Joe) \lor Parrot(x) \lor \neg Bird(x)} \qquad \textbf{(1)}$$

$$\frac{\neg Pet(Joe) \lor Cat(Joe) \lor Parrot(Joe)}{\neg Parrot(Joe)} \qquad \textbf{(1)}$$

$$\frac{\neg On(x,y) \lor Above(x,y) \qquad On(B,A) \lor On(A,B)}{Above(A,B) \lor On(B,A)} \qquad \textbf{(2)}$$

$$\frac{\neg Bird(x) \lor Feathers(x) \qquad \neg Feathers(y) \lor Flies(y)}{\neg Bird(x) \lor Flies(x)} \qquad \textbf{(3)}$$

$$\frac{\neg Bird(x) \lor Feathers(y)) = \{y/x\}}{\neg Bird(x), Feathers(y)) = \{y/x\}}$$

# Elements of Resolution

- Resolution rule
- Unification
- Transform a sentence to a set of clauses

# Unification

- Input
  - Set of equalities between two terms
- Output
  - Most general assignment of variables that satisfies all equalities
  - □ Fail if no such assignment exists

# Unification algorithm

#### Decompose

$$U \cup \{f(t_1, \ldots, t_n) = {}^{?} f(s_1, \ldots, s_n)\} \longrightarrow U \cup \{t_1 = {}^{?} s_1, \ldots, t_n = {}^{?} s_n\}$$

#### Orient.

$$U \cup \{t = \ ^? v\} \longrightarrow U \cup \{v = \ ^? t\}$$

#### Delete

$$U \cup \{v = ? v\} \longrightarrow U$$

• Vars(U), Vars(t) are sets of

variables in  $\boldsymbol{U}$  and  $\boldsymbol{t}$ 

• v is a variable

• s and t are terms

• f and g are function symbols

#### Eliminate.

$$U \cup \{v = {}^?t\}, \ v \in \mathcal{V}ars(U) \setminus \mathcal{V}ars(t) \longrightarrow U[v/t] \cup \{v = {}^?t\}$$

#### Mismatch

$$U \cup \{f(t_1, \dots, t_m) = {}^{?} g(s_1, \dots, s_n)\}, f, g \text{ distinct or } m \neq n \longrightarrow FAIL$$

#### Occurs

$$U \cup \{v = t\}, \ v \neq t \text{ but } v \in \mathcal{V}ars(t) \longrightarrow FAIL$$

### Example of Unification

$$\begin{array}{ll} \{\underline{F(G(H(y)),H(A))} = ^?F(G(x),x)\} & \xrightarrow{\text{Decompose}} \\ \\ \{\underline{G(H(y))} = ^?G(x),\ H(A) = ^?x\} & \xrightarrow{\text{Decompose}} \\ \\ \{\underline{H(y)} = ^?x,\ H(A) = ^?x\} & \xrightarrow{\text{Orient}} \\ \\ \{\underline{x} = ^?H(y),\ H(A) = ^?x\} & \xrightarrow{\text{Eliminate }x} \\ \\ \{x = ^?H(y),\ \underline{H(A)} = ^?H(y)\} & \xrightarrow{\text{Decompose}} \\ \\ \{x = ^?H(y),\ \underline{A} = ^?y\} & \xrightarrow{\text{Orient}} \\ \\ \{x = ^?H(y),\ \underline{y} = ^?A\} & \xrightarrow{\text{Eliminate }y} \\ \\ \{x = ^?H(A),\ y = ^?A\} & \xrightarrow{\text{Eliminate }y} \\ \end{array}$$

### Elements of Resolution

- Resolution rule
- Unification
- Transform a sentence to a set of clauses

### Transform a sentence to a set of clauses

- Eliminate implication
- 2. Move negation inward
- 3. Standardize variable scope
- 4. Move quantifiers outward
- 5. Skolemize existential quantifiers
- 6. Eliminate universal quantifiers
- 7. Distribute and, or
- 8. Flatten and, or
- 9. Eliminate and

# Eliminate implication

$$\{ \forall x \, (\forall y \, P(x,y)) \rightarrow \neg (\forall y \, Q(x,y) \rightarrow R(x,y)) \}$$

$$\begin{array}{ccc} \alpha \to \beta & \longrightarrow & \neg \alpha \lor \beta \\ \alpha \leftrightarrow \beta & \longrightarrow & (\neg \alpha \lor \beta) \land (\neg \beta \lor \alpha) \end{array}$$

$$\{\forall x \neg (\forall y P(x,y)) \lor \neg (\forall y \neg Q(x,y) \lor R(x,y)))\}$$

# Move negation inward

$$\{\forall x \, \neg (\forall y \, P(x,y)) \vee \neg (\forall y \, \neg Q(x,y) \vee R(x,y)))\}$$

$$\{ \forall x (\exists y \neg P(x,y)) \lor (\exists y Q(x,y) \land \neg R(x,y)) \}$$

# Standardize variable scope

$$\{\forall x (\exists y \neg P(x,y)) \lor (\exists y Q(x,y) \land \neg R(x,y))\}$$

Each variable for each quantifier

$$\{\forall x (\exists y \neg P(x,y)) \lor (\exists z Q(x,z) \land \neg R(x,z))\}$$

# Move quantifiers outward

$$\{ \forall x (\exists y \neg P(x, y)) \lor (\exists z Q(x, z) \land \neg R(x, z)) \}$$

$$\begin{array}{ccccc} (Qx \ \alpha) \wedge \beta & \longrightarrow & Qx \ (\alpha \wedge \beta) & \alpha \wedge (Qx \ \beta) & \longrightarrow & Qx \ (\alpha \wedge \beta) \\ (Qx \ \alpha) \vee \beta & \longrightarrow & Qx \ (\alpha \vee \beta) & \alpha \vee (Qx \ \beta) & \longrightarrow & Qx \ (\alpha \vee \beta) \end{array}$$

$$\{ \forall x \exists y \exists z \neg P(x,y) \lor (Q(x,z) \land \neg R(x,z)) \}$$

## Existential Instantiation

$$\{ \forall x \; \exists y \; \exists z \; \neg P(x,y) \vee (Q(x,z) \wedge \neg R(x,z)) \}$$
 
$$\frac{\exists v \; \alpha}{\mathsf{SUBST}(\{v/k\},\alpha)}$$

$$\{ \forall x \neg P(x,a) \lor (Q(x,b) \land \neg R(x,b) \}$$

# Skolemize existential quantifiers

$$\{ \forall x \exists y \exists z \neg P(x,y) \lor (Q(x,z) \land \neg R(x,z)) \}$$

$$\exists v \; \alpha \; \longrightarrow \; \alpha[v/\pi(v_1,\ldots,v_n)]$$
 with  $\pi$  *new* and  $v_1,\ldots,v_n$  universally quantified outside  $\exists v \; \alpha$ 

$$\{\forall x \neg P(x, F_1(x)) \lor (Q(x, F_2(x)) \land \neg R(x, F_2(x)))\}$$

# Eliminate universal quantifiers

$$\{\forall x \, \neg P(x, F_1(x)) \vee (Q(x, F_2(x)) \wedge \neg R(x, F_2(x)))\}$$

$$\{\neg P(x, F_1(x)) \lor (Q(x, F_2(x)) \land \neg R(x, F_2(x)))\}$$

# Distribute and, or

$$\{\neg P(x, F_1(x)) \lor (Q(x, F_2(x)) \land \neg R(x, F_2(x)))\}$$

$$\begin{array}{ccc} \alpha \vee (\beta \wedge \gamma) & \longrightarrow & (\alpha \vee \beta) \wedge (\alpha \vee \gamma) \\ (\beta \wedge \gamma) \vee \alpha & \longrightarrow & (\beta \vee \alpha) \wedge (\gamma \vee \alpha) \end{array}$$

$$\{(\neg P(x, F_1(x)) \lor Q(x, F_2(x))) \land (\neg P(x, F_1(x)) \lor \neg R(x, F_2(x)))\}$$

### Flatten and, or

$$\{(\neg P(x, F_1(x)) \lor Q(x, F_2(x))) \land (\neg P(x, F_1(x)) \lor \neg R(x, F_2(x)))\}$$

$$\begin{array}{cccc} (\alpha \wedge (\beta \wedge \gamma)) & \longrightarrow & (\alpha \wedge \beta \wedge \gamma) \\ (\alpha \vee (\beta \vee \gamma)) & \longrightarrow & (\alpha \vee \beta \vee \gamma) \\ ((\alpha \wedge \beta) \wedge \gamma) & \longrightarrow & (\alpha \wedge \beta \wedge \gamma) \\ ((\alpha \vee \beta) \vee \gamma) & \longrightarrow & (\alpha \vee \beta \vee \gamma) \end{array}$$

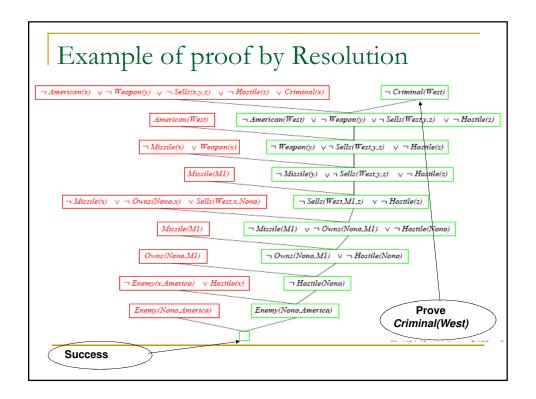
$$\{(\neg P(x, F_1(x)) \lor Q(x, F_2(x))) \land (\neg P(x, F_1(x)) \lor \neg R(x, F_2(x)))\}$$

#### Eliminate and

$$\{(\neg P(x, F_1(x)) \lor Q(x, F_2(x))) \land (\neg P(x, F_1(x)) \lor \neg R(x, F_2(x)))\}$$

$$\{\alpha \wedge \beta\} \quad \longrightarrow \quad \{\alpha, \ \beta\}$$

$$\{\neg P(x, F_1(x)) \lor Q(x, F_2(x)), \neg P(x, F_1(x)) \lor \neg R(x, F_2(x))\}$$



# Summary of Resolution

- Refutation-based procedure
  - $\ \square \ S \not= A \ \text{if and only if} \ S \cup \{ \neg A \} \ \text{is unsatisfiable}$
- Resolution procedure
  - $\Box$  Transform  $S \cup \{ \neg A \}$  into a set of clauses
  - Apply Resolution rule to find a the empty clause (contradiction)
    - If the empty clause is found
      - □ Conclude S /= A
    - Otherwise
      - No conclusion

# Summary of Resolution

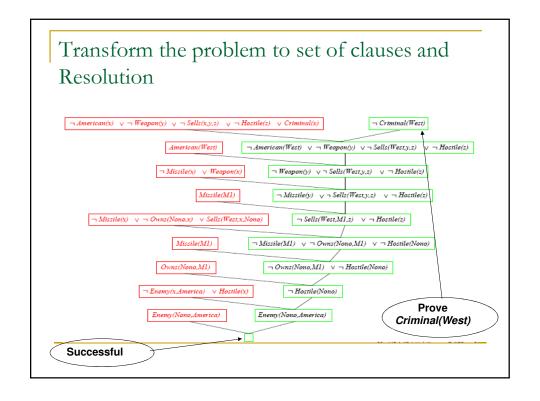
#### Theorem

□ A set of clauses S is unsatisfiable if and only if upon the input S, Resolution procedure finds the empty clause (after a finite time).

### Exercice

- The law says that it is a crime for an American to sell weapons to hostile nations
- The country Nono, an enemy of America, has some missiles, and all of its missiles were sold to it by Colonel West, who is American
- Is West a criminal?

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The country Nono, an enemy of America, has some missiles, and all of its missiles were
sold to it by Colonel West, who is American MODELLING
            "... it is a crime for an American to sell weapons to hostile nations":
                   Vx, y, z American(x) A Weapon(y)A Nation(z)A Hostile(z)
                               A Sells(x, z, y) \Rightarrow Criminal(x)
            "Nono ... has some missiles":
                  \exists x \ Owns(Nono, x) \land Missile(x)
            "All of its missiles were sold to it by Colonel West":
                  \forall x \ Owns(Nono, x) \land Missile(x) \Rightarrow Sells(West, Nono, x)
            We will also need to know that missiles are weapons:
                  \forall x \; Missile(x) \Rightarrow Weapon(x)
            and that an enemy of America counts as "hostile":
                  \forall x \; Enemy(x, America) \Rightarrow Hostile(x)
            "West, who is American ...":
                  American(West)
            "The country Nono ...":
                  Nation(Nono)
            "Nono, an enemy of America ...":
                  Enemy(Nono, America)
                  Nation(America)
```



### Exercice

- Jack owns a dog own(Jack, dog)
- Every dog owner is an animal lover
- No animal lover kills an animal
- Either Jack or Curiosity killed the cat, who is named Tuna
- Did Curiosity kill the cat?

Jack owns a dog own(Jack, dog)
Every dog owner is an animal lover
No animal lover kills an animal
Either Jack or Curiosity killed the cat, who is named Tuna
Did Curiosity kill the cat? Kills(Curiosity,Tuna)

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\exists x.Dog(x) \land Owns(Jack, x)
\forall x \forall y.(Dog(y) \land Owns(x, y)) \Rightarrow AnimalLover(x)
\forall x \forall y.(AnimalLover(x) \land Animal(y) \Rightarrow \neg Kills(x, y))
Kills(Jack, Tuna) \lor Kill(Curiosity, Tuna)
Cat(Tuna)
\forall x.Cat(x) \Rightarrow Animal(x)
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# Transform the problem to set of clauses

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\begin{aligned} &Dog(D)\\ &Owns(Jack,D)\\ &\neg Dog(y)\vee \neg Owns(x,y)\vee AnimalLover(x)\\ &\neg AnimalLover(x)\wedge \neg Animal(y)\vee \neg Kills(x,y)\\ &Kills(Jack,Tuna)\vee Kill(Curiosity,Tuna)\\ &Cat(Tuna)\\ &\neg Cat(x)\vee Animal(x)\\ &\neg Kills(Curiosity,Tuna) \end{aligned}
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