# EBSeq: An R package for differential expression analysis using RNA-seq data

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#### 1 Introduction

EBSeq may be used to identify differentially expressed (DE) genes and isoforms in an RNA-Seq experiment. As detailed in Leng et al., 2012 [3], EBSeq is an empirical Bayesian approach that models a number of features observed in RNA-seq data. Importantly, for isoform level inference, EBSeq directly accommodates isoform expression estimation uncertainty by modeling the differential variability observed in distinct groups of isoforms. Consider Figure 1, where we have plotted variance against mean for all isoforms using RNA-Seq expression data from Leng et al., 2012 [3]. Also shown is the fit within three sub-groups of isoforms defined by the number of constituent isoforms of the parent gene. An isoform of gene g is assigned to the  $I_q = k$ group, where k=1,2,3, if the total number of isoforms from gene g is k (the  $I_g=3$  group contains all isoforms from genes having 3 or more isoforms). As shown in Figure 1, there is decreased variability in the  $I_q = 1$  group, but increased variability in the others, due to the relative increase in uncertainty inherent in estimating isoform expression when multiple isoforms of a given gene are present. If this structure is not accommodated, there is reduced power for identifying isoforms in the  $I_g = 1$  group (since the true variances in that group are lower, on average, than that derived from the full collection of isoforms) as well as increased false discoveries in the  $I_g = 2$  and  $I_g = 3$  groups (since the true variances are higher, on average, than those derived from the full collection). EBSeq directly models differential variability as a function of  $I_g$  providing a powerful approach for isoform level inference. As shown in Leng et al., 2012 [3], the model is also useful for identifying DE genes. We will briefly detail the model in Section 3 and then describe the flow of analysis in Section 4 for both isoform and gene-level inference.

# 2 Citing this software

Please cite the following article when reporting results from the software.

Leng, N., J.A. Dawson, J.A. Thomson, V. Ruotti, A.I. Rissman, B.M.G. Smits, J.D. Haag, M.N. Gould, R.M. Stewart, and C. Kendziorski. EBSeq: An empirical Bayes hierarchical model for inference in RNA-seq experiments, *Bioinformatics*, 2013.

#### 3 The Model

#### 3.1 Two conditions

We let  $X_{g_i}^{C1} = X_{g_i,1}, X_{g_i,2}, ..., X_{g_i,S_1}$  denote data from condition 1 and  $X_{g_i}^{C2} = X_{g_i,(S_1+1)}, X_{g_i,(S_1+2)}, ..., X_{g_i,S}$  data from condition 2. We assume that counts within condition C are distributed as Negative Binomial:  $X_{g_i,s}^C|_{T_{g_i,s}}, q_{g_i}^C \sim NB(r_{g_i,s}, q_{g_i}^C)$  where

$$P(X_{g_i,s}|r_{g_i,s}, q_{g_i}^C) = {X_{g_i,s} + r_{g_i,s} - 1 \choose X_{g_i,s}} (1 - q_{g_i}^C)^{X_{g_i,s}} (q_{g_i}^C)^{r_{g_i,s}}$$
(1)

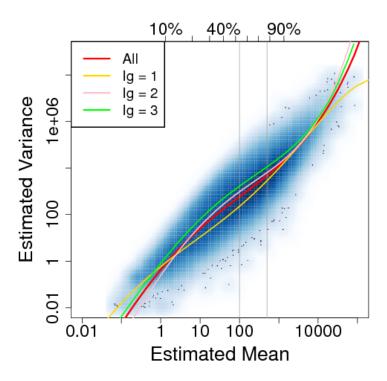


Figure 1: Empirical variance vs. mean for each isoform profiled in the ESCs vs iPSCs experiment detailed in the Case Study section of Leng *et al.*, 2012 [3]. A spline fit to all isoforms is shown in red with splines fit within the  $I_g = 1$ ,  $I_g = 2$ , and  $I_g = 3$  isoform groups shown in yellow, pink, and green, respectively.

and 
$$\mu^C_{g_i,s} = r_{g_i,s}(1-q^C_{g_i})/q^C_{g_i}; (\sigma^C_{g_i,s})^2 = r_{g_i,s}(1-q^C_{g_i})/(q^C_{g_i})^2.$$

We assume a prior distribution on  $q_{g_i}^C$ :  $q_{g_i}^C|\alpha, \beta^{I_g} \sim Beta(\alpha, \beta^{I_g})$ . The hyperparameter  $\alpha$  is shared by all the isoforms and  $\beta^{I_g}$  is  $I_g$  specific (note this is an index, not a power). We further assume that  $r_{g_i,s} = r_{g_i,0}l_s$ , where  $r_{g_i,0}$  is an isoform specific parameter common across conditions and  $r_{g_i,s}$  depends on it through the sample-specific normalization factor  $l_s$ . Of interest in this two group comparison is distinguishing between two cases, or what we will refer to subsequently as two patterns of expression, namely equivalent expression (EE) and differential expression (DE):

$$H_0 \text{ (EE)}: q_{g_i}^{C1} = q_{g_i}^{C2} \text{ vs } H_1 \text{ (DE)}: q_{g_i}^{C1} \neq q_{g_i}^{C2}.$$

Under the null hypothesis (EE), the data  $X_{g_i}^{C1,C2} = X_{g_i}^{C1}, X_{g_i}^{C2}$  arises from the prior predictive distribution  $f_0^{I_g}(X_{g_i}^{C1,C2})$ :

$$f_0^{I_g}(X_{g_i}^{C1,C2}) = \left[\prod_{s=1}^{S} {X_{g_i,s} + r_{g_i,s} - 1 \choose X_{g_i,s}}\right] \frac{Beta(\alpha + \sum_{s=1}^{S} r_{g_i,s}, \beta^{I_g} + \sum_{s=1}^{S} X_{g_i,s})}{Beta(\alpha, \beta^{I_g})}$$
(2)

Alternatively (in a DE scenario),  $X_{g_i}^{C1,C2}$  follows the prior predictive distribution  $f_1^{I_g}(X_{g_i}^{C1,C2})$ :

$$f_1^{I_g}(X_{q_i}^{C1,C2}) = f_0^{I_g}(X_{q_i}^{C1}) f_0^{I_g}(X_{q_i}^{C2})$$
(3)

Let the latent variable  $Z_{g_i}$  be defined so that  $Z_{g_i} = 1$  indicates that isoform  $g_i$  is DE and  $Z_{g_i} = 0$  indicates isoform  $g_i$  is EE, and  $Z_{g_i} \sim Bernoulli(p)$ . Then, the marginal distribution of  $X_{g_i}^{C1,C2}$  and  $Z_{g_i}$  is:

$$(1-p)f_0^{I_g}(X_{g_i}^{C1,C2}) + pf_1^{I_g}(X_{g_i}^{C1,C2})$$
(4)

The posterior probability of being DE at isoform  $g_i$  is obtained by Bayes' rule:

$$\frac{pf_1^{I_g}(X_{g_i}^{C1,C2})}{(1-p)f_0^{I_g}(X_{g_i}^{C1,C2}) + pf_1^{I_g}(X_{g_i}^{C1,C2})}$$
(5)

#### 3.2 More than two conditions

EBSeq naturally accommodates multiple condition comparisons. For example, in a study with 3 conditions, there are K=5 possible expression patterns (P1,...,P5), or ways in which latent levels of expression may vary across conditions:

$$\begin{aligned} &\text{P1: } q_{g_i}^{C1} = q_{g_i}^{C2} = q_{g_i}^{C3} \\ &\text{P2: } q_{g_i}^{C1} = q_{g_i}^{C2} \neq q_{g_i}^{C3} \\ &\text{P3: } q_{g_i}^{C1} = q_{g_i}^{C3} \neq q_{g_i}^{C2} \\ &\text{P4: } q_{g_i}^{C1} \neq q_{g_i}^{C2} = q_{g_i}^{C3} \\ &\text{P5: } q_{g_i}^{C1} \neq q_{g_i}^{C2} \neq q_{g_i}^{C3} \text{ and } q_{g_i}^{C1} \neq q_{g_i}^{C3} \end{aligned}$$

The prior predictive distributions for these are given, respectively, by:

$$\begin{split} g_1^{I_g}(X_{g_i}^{C1,C2,C3}) &= f_0^{I_g}(X_{g_i}^{C1,C2,C3}) \\ g_2^{I_g}(X_{g_i}^{C1,C2,C3}) &= f_0^{I_g}(X_{g_i}^{C1,C2}) f_0^{I_g}(X_{g_i}^{C3}) \\ g_3^{I_g}(X_{g_i}^{C1,C2,C3}) &= f_0^{I_g}(X_{g_i}^{C1,C3}) f_0^{I_g}(X_{g_i}^{C2}) \\ g_4^{I_g}(X_{g_i}^{C1,C2,C3}) &= f_0^{I_g}(X_{g_i}^{C1}) f_0^{I_g}(X_{g_i}^{C2,C3}) \\ g_5^{I_g}(X_{q_i}^{C1,C2,C3}) &= f_0^{I_g}(X_{q_i}^{C1}) f_0^{I_g}(X_{q_i}^{C2}) f_0^{I_g}(X_{q_i}^{C3}) \end{split}$$

where  $f_0^{I_g}$  is the same as in equation 2. Then the marginal distribution in equation 4 becomes:

$$\sum_{k=1}^{5} p_k g_k^{I_g}(X_{g_i}^{C1,C2,C3}) \tag{6}$$

where  $\sum_{k=1}^{5} p_k = 1$ . Thus, the posterior probability of isoform  $g_i$  coming from pattern K is readily obtained by:

$$\frac{p_K g_K^{I_g}(X_{g_i}^{C1,C2,C3})}{\sum_{k=1}^5 p_k g_k^{I_g}(X_{g_i}^{C1,C2,C3})}$$
(7)

#### 3.3 Getting a false discovery rate (FDR) controlled list of genes or isoforms

To obtain a list of DE genes with false discovery rate (FDR) controlled at  $\alpha$  in an experiment comparing two biological conditions, the genes with posterior probability of being DE (PPDE) greater than 1 -  $\alpha$  should be used. For example, the genes with PPDE>=0.95 make up the list of DE genes with target FDR controlled at 5%. With more than two biological conditions, there are multiple DE patterns (see Section 3.2). To obtain a list of genes in a specific DE pattern with target FDR  $\alpha$ , a user should take the genes with posterior probability of being in that pattern greater than 1 -  $\alpha$ . Isoform-based lists are obtained in the same way.

### 4 Quick Start

Before analysis can proceed, the EBSeq package must be loaded into the working space:

> library(EBSeq)

#### 4.1 Gene level DE analysis (two conditions)

#### 4.1.1 Required input

**Data**: The object Data should be a G - by - S matrix containing the expression values for each gene and each lane (sample), where G is the number of genes and S is the number of lanes. These values should exhibit raw counts, without normalization across samples. Counts of this nature may be obtained from RSEM [4], Cufflinks [6], or a similar approach.

Conditions: The object Conditions should be a Factor vector of length S that indicates to which condition each sample belongs. For example, if there are two conditions and three samples in each, S=6 and Conditions may be given by

```
as.factor(c("C1","C1","C1","C2","C2","C2"))
```

The object GeneMat is a simulated data matrix containing 1,000 rows of genes and 10 columns of samples. The genes are named Gene\_1, Gene\_2 ...

```
> data(GeneMat)
> str(GeneMat)

num [1:1000, 1:10] 1879 24 3291 97 485 ...
- attr(*, "dimnames")=List of 2
   ..$ : chr [1:1000] "Gene_1" "Gene_2" "Gene_3" "Gene_4" ...
   ..$ : NULL
```

#### 4.1.2 Library size factor

As detailed in Section 3, EBSeq requires the library size factor  $l_s$  for each sample s. Here,  $l_s$  may be obtained via the function MedianNorm, which reproduces the median normalization approach in DESeq [1].

> Sizes=MedianNorm(GeneMat)

If quantile normalization is preferred,  $l_s$  may be obtained via the function QuantileNorm. (e.g. QuantileNorm(GeneMat, .75) for Upper-Quantile Normalization in [2])

#### 4.1.3 Running EBSeq on gene expression estimates

The function EBTest is used to detect DE genes. For gene-level data, we don't need to specify the parameter NgVector since there are no differences in  $I_g$  structure among the different genes. Here, we simulated the first five lanes to be in condition 1 and the other five in condition 2, so define:

```
Conditions=as.factor(rep(c("C1","C2"),each=5))
```

sizeFactors is used to define the library size factor of each lane. It could be obtained by summing up the total number of reads within each lane, Median Normalization [1], scaling normalization [5], Upper-Quantile Normalization [2], or some other such approach. These in hand, we run the EM algorithm, setting the number of iterations to five via maxround=5 for demonstration purposes. However, we note that in practice, additional iterations are usually required. Convergence should always be checked (see Section 5.1.3 for details). Please note this may take several minutes:

```
> EBOut=EBTest(Data=GeneMat,
```

<sup>+</sup> Conditions=as.factor(rep(c("C1","C2"),each=5)),sizeFactors=Sizes, maxround=5)

```
Removing transcripts with 75 th quantile < = 10 950transcripts will be tested
```

The posterior probabilities of being DE are obtained as follows, where PP is a matrix containing the posterior probabilities of being EE or DE for each of the 1,000 simulated genes:

```
> PP=GetPPMat(EBOut)
> str(PP)
num [1:950, 1:2] 0 0 0 0 0 ...
 - attr(*, "dimnames")=List of 2
  ..$ : chr [1:950] "Gene_1" "Gene_2" "Gene_3" "Gene_4" ...
  ..$ : chr [1:2] "PPEE" "PPDE"
> head(PP)
               PPEE PPDE
Gene_1 0.000000e+00
Gene_2 0.000000e+00
Gene_3 0.000000e+00
                       1
Gene_4 0.000000e+00
                       1
Gene_5 0.000000e+00
                       1
Gene_6 4.645857e-10
                        1
```

The matrix PP contains two columns PPEE and PPDE, corresponding to the posterior probabilities of being EE or DE for each gene. PP may be used to form an FDR-controlled list of DE genes with a target FDR of 0.05 as follows:

```
> DEfound=rownames(PP)[which(PP[,"PPDE"]>=.95)]
> str(DEfound)
chr [1:97] "Gene_1" "Gene_2" "Gene_3" "Gene_4" "Gene_5" ...
```

EBSeq found 98 DE genes in total with target FDR 0.05.

#### 4.2 Isoform level DE analysis (two conditions)

#### 4.2.1 Required inputs

**Data**: The object Data should be a I - by - S matrix containing the expression values for each isoform and each lane, where I is the number of isoforms and S is the number of lanes. As in the gene-level analysis, these values should exhibit raw data, without normalization across samples.

Conditions: The object Conditions should be a vector with length S to indicate the condition of each sample.

**IsoformNames**: The object **IsoformNames** should be a vector with length I to indicate the isoform names.

**IsosGeneNames**: The object IsosGeneNames should be a vector with length I to indicate the gene name of each isoform. (in the same order as IsoformNames.)

IsoList contains 1,200 simulated isoforms. In which IsoList\$IsoMat is a data matrix containing 1,200 rows of isoforms and 10 columns of samples; IsoList\$IsoNames contains the isoform names; IsoList\$IsosGeneNames contains the names of the genes the isoforms belong to.

```
> data(IsoList)
> str(IsoList)
List of 3
 $ IsoMat
                : num [1:1200, 1:10] 176 789 1300 474 1061 ...
  ..- attr(*, "dimnames")=List of 2
  ....$ : chr [1:1200] "Iso_1_1" "Iso_1_2" "Iso_1_3" "Iso_1_4" ...
  .. ..$ : NULL
                : chr [1:1200] "Iso_1_1" "Iso_1_2" "Iso_1_3" "Iso_1_4" ...
 $ IsoNames
 $ IsosGeneNames: chr [1:1200] "Gene_1" "Gene_2" "Gene_3" "Gene_4" ...
> IsoMat=IsoList$IsoMat
> str(IsoMat)
num [1:1200, 1:10] 176 789 1300 474 1061 ...
 - attr(*, "dimnames")=List of 2
  ..$ : chr [1:1200] "Iso_1_1" "Iso_1_2" "Iso_1_3" "Iso_1_4" ...
  ..$ : NULL
> IsoNames=IsoList$IsoNames
> IsosGeneNames=IsoList$IsosGeneNames
```

# 4.2.2 Library size factor

Similar to the gene-level analysis presented above, we may obtain the isoform-level library size factors via MedianNorm:

> IsoSizes=MedianNorm(IsoMat)

#### 4.2.3 The $I_q$ vector

While working on isoform level data, EBSeq fits different prior parameters for different uncertainty groups (defined as  $I_g$  groups). The default setting to define the uncertainty groups consists of using the number of isoforms the host gene contains  $(N_g)$  for each isoform. The default settings will provide three uncertainty groups:

```
I_g=1 group: Isoforms with N_g=1; I_g=2 group: Isoforms with N_g=2; I_q=3 group: Isoforms with N_g\geq 3.
```

The  $N_g$  and  $I_g$  group assignment can be obtained using the function GetNg. The required inputs of GetNg are the isoform names (IsoformNames) and their corresponding gene names (IsosGeneNames).

More details could be found in Section 5.2.

#### 4.2.4 Running EBSeq on isoform expression estimates

The EBTest function is also used to run EBSeq for two condition comparisons on isoform-level data. Below we use 5 iterations to demonstrate. However, as in the gene level analysis, we advise that additional iterations will likely be required in practice (see Section 5.2.4 for details).

```
> IsoEBOut=EBTest(Data=IsoMat, NgVector=IsoNgTrun,
+ Conditions=as.factor(rep(c("C1","C2"),each=5)),sizeFactors=IsoSizes, maxround=5)
Removing transcripts with 75 th quantile < = 10
1102transcripts will be tested
> IsoPP=GetPPMat(IsoEBOut)
> str(IsoPP)
num [1:1102, 1:2] 0 0 0 0 0 ...
- attr(*, "dimnames")=List of 2
  ..$ : chr [1:1102] "Iso_1_1" "Iso_1_2" "Iso_1_3" "Iso_1_4" ...
  ..$ : chr [1:2] "PPEE" "PPDE"
> head(IsoPP)
        PPEE PPDE
Iso_1_1
           0
Iso_1_2
           0
                1
Iso_1_3
           0
                1
Iso_1_4
           0
               1
Iso_1_5
           0
                1
Iso_1_6
> IsoDE=rownames(IsoPP)[which(IsoPP[,"PPDE"]>=.95)]
> str(IsoDE)
 chr [1:106] "Iso_1_1" "Iso_1_2" "Iso_1_3" "Iso_1_4" "Iso_1_5" ...
```

We see that EBSeq found 105 DE isoforms at the target FDR of 0.05.

#### 4.3 Gene level DE analysis (more than two conditions)

The object MultiGeneMat is a matrix containing 500 simulated genes with 6 samples: the first two samples are from condition 1; the second and the third sample are from condition 2; the last two samples are from condition 3.

```
> data(MultiGeneMat)
> str(MultiGeneMat)

num [1:500, 1:6] 411 268 768 1853 878 ...
- attr(*, "dimnames")=List of 2
    ..$ : chr [1:500] "Gene_1" "Gene_3" "Gene_5" "Gene_7" ...
    ..$ : NULL
```

In analysis where the data are spread over more than two conditions, the set of possible patterns for each gene is more complicated than simply EE and DE. As noted in Section 3, when we have 3 conditions, there are 5 expression patterns to consider. In the simulated data, we have 6 samples, 2 in each of 3 conditions. The function GetPatterns allows the user to generate all possible patterns given the conditions. For example:

```
> Conditions=c("C1","C1","C2","C2","C3","C3")
> PosParti=GetPatterns(Conditions)
> PosParti
```

```
C1 C2 C3
Pattern1 1 1 1
Pattern2 1 1 2
Pattern3 1 2 1
Pattern4 1 2 2
Pattern5 1 2 3
```

where the first row means all three conditions have the same latent mean expression level; the second row means C1 and C2 have the same latent mean expression level but that of C3 is different; and the last row corresponds to the case where the three conditions all have different latent mean expression levels. The user may use all or only some of these possible patterns as an input to EBMultiTest. For example, if we were interested in Patterns 1, 2, 4 and 5 only, we'd define:

```
> Parti=PosParti[-3,]
```

> Parti

C1 C2 C3
Pattern1 1 1 1
Pattern2 1 1 2
Pattern4 1 2 2
Pattern5 1 2 3

Moving on to the analysis, MedianNorm or one of its competitors should be used to determine the normalization factors. Once this is done, the formal test is performed by EBMultiTest.

- > MultiSize=MedianNorm(MultiGeneMat)
- > MultiOut=EBMultiTest(MultiGeneMat,NgVector=NULL,Conditions=Conditions,
- + AllParti=Parti, sizeFactors=MultiSize, maxround=5)

The posterior probability of being in each pattern for every gene is obtained by using the function GetMultiPP:

- > MultiPP=GetMultiPP(MultiOut)
- > names(MultiPP)

```
[1] "PP" "MAP" "Patterns"
```

> MultiPP\$PP[1:10,]

```
Pattern1 Pattern2
                                     Pattern4
                                                Pattern5
                                 5.103008e-72 0.60106672
Gene_1
         8.574912e-94 0.3989333
Gene_3
        9.716720e-164 0.9694232 6.670885e-109 0.03057680
         6.282756e-26 0.9336809
                                 6.342497e-20 0.06631906
Gene_5
Gene_7
         0.000000e+00 0.5563573
                                 0.000000e+00 0.44364273
         5.044830e-16 0.9437746
                                 2.008227e-15 0.05622539
Gene_9
         1.956384e-11 0.9369721
                                 2.157793e-12 0.06302785
Gene_11
         1.429796e-08 0.7296838
                                 6.389130e-10 0.27031623
Gene_13
Gene_15
         3.504222e-47 0.9691736
                                 8.501776e-41 0.03082640
Gene_17 3.354675e-184 0.6564080 4.546629e-133 0.34359199
Gene_19 1.754055e-37 0.9044564
                                1.309553e-24 0.09554364
```

> MultiPP\$MAP[1:10]

```
Gene_1 Gene_3 Gene_5 Gene_7 Gene_9 Gene_11 Gene_13
"Pattern5" "Pattern2" "Pattern2" "Pattern2" "Pattern2" "Pattern2" "Pattern2" "Pattern2"
Gene_15 Gene_17 Gene_19
"Pattern2" "Pattern2" "Pattern2"
```

#### > MultiPP\$Patterns

```
C1 C2 C3
Pattern1 1 1 1
Pattern2 1 1 2
Pattern4 1 2 2
Pattern5 1 2 3
```

where MultiPP\$PP provides the posterior probability of being in each pattern for every gene. MultiPP\$MAP provides the most likely pattern of each gene based on the posterior probabilities. MultiPP\$Patterns provides the details of the patterns.

#### 4.4 Isoform level DE analysis (more than two conditions)

Similar to IsoList, the object IsoMultiList is an object containing the isoform expression estimates matrix, the isoform names, and the gene names of the isoforms' host genes. IsoMultiList\$IsoMultiMat contains 300 simulated isoforms with 8 samples. The first two samples are from condition 1; the second and the third sample are from condition 2; the fifth and sixth sample are from condition 3; the last two samples are from condition 4. Similar to Section 4.2, the function MedianNorm and GetNg could be used for normalization and calculating the  $N_g$ 's.

- > data(IsoMultiList)
- > IsoMultiMat=IsoMultiList[[1]]
- > IsoNames.Multi=IsoMultiList\$IsoNames
- > IsosGeneNames.Multi=IsoMultiList\$IsosGeneNames
- > IsoMultiSize=MedianNorm(IsoMultiMat)
- > NgList.Multi=GetNg(IsoNames.Multi, IsosGeneNames.Multi)
- > IsoNgTrun.Multi=NgList.Multi\$IsoformNgTrun
- > Conditions=c("C1","C1","C2","C2","C3","C3","C4","C4")

Here we have 4 conditions, there are 15 expression patterns to consider. The function **GetPatterns** allows the user to generate all possible patterns given the conditions. For example:

- > PosParti.4Cond=GetPatterns(Conditions)
- > PosParti.4Cond

```
C1 C2 C3 C4
Pattern1
          1 1 1 1
Pattern2
               1
            1
Pattern3
               2
          1 1 2
                  2
Pattern4
Pattern5
          1 2 1
Pattern6
Pattern7
                  1
                  2
          1 2 2
Pattern8
Pattern9
          1 1
               2
Pattern10 1
            2
               1
                  3
Pattern11 1
            2
               2
Pattern12 1
            2 3 1
Pattern13
          1
            2 3
                  2
            2
               3
                  3
Pattern14
          1
Pattern15 1 2
```

If we were interested in Patterns 1, 2, 3, 8 and 15 only, we'd define:

```
> Parti.4Cond=PosParti.4Cond[c(1,2,3,8,15),]
```

> Parti.4Cond

```
C1 C2 C3 C4

Pattern1 1 1 1 1

Pattern2 1 1 1 2

Pattern3 1 1 2 1

Pattern8 1 2 2 2

Pattern15 1 2 3 4
```

Moving on to the analysis, EBMultiTest could be used to perform the test:

- > IsoMultiOut=EBMultiTest(IsoMultiMat,
- + NgVector=IsoNgTrun.Multi,Conditions=Conditions,
- + AllParti=Parti.4Cond, sizeFactors=IsoMultiSize,
- + maxround=5)

The posterior probability of being in each pattern for every gene is obtained by using the function GetMultiPP:

- > IsoMultiPP=GetMultiPP(IsoMultiOut)
- > names(MultiPP)

```
[1] "PP" "MAP" "Patterns"
```

> IsoMultiPP\$PP[1:10,]

```
Pattern2
             Pattern1
                                       Pattern3
                                                     Pattern8
                                                                 Pattern15
Iso_1_1
         3.533233e-32\ 0.999882138\ 3.408808e-33\ 2.143838e-34\ 1.178620e-04
Iso_1_2
         4.231331e-14 0.999826487
                                   1.573392e-16 5.848567e-18 1.735129e-04
Iso_1_3
         5.633772e-47 0.992627423
                                   5.963569e-42
                                                 5.644910e-50 7.372577e-03
Iso_1_4
         4.248398e-35 0.998959777 1.983567e-30 5.054181e-33 1.040223e-03
Iso_1_5
         0.000000e+00 1.000000000 0.000000e+00 0.000000e+00 1.584343e-41
Iso_1_6 1.509151e-232 0.002646919 3.147566e-220 6.720686e-188 9.973531e-01
Iso_1_7 2.835263e-138 0.999439469 7.548859e-133 1.613556e-128 5.605313e-04
Iso_1_8 9.654898e-139 0.963893542 3.709303e-105 5.626105e-120 3.610646e-02
        1.947187e-47 0.957423511 1.073683e-50 3.868129e-46 4.257649e-02
Iso_1_10 7.904509e-08 0.999790300 9.178739e-10 9.386672e-10 2.096196e-04
```

> IsoMultiPP\$MAP[1:10]

```
Iso_1_3
                                                     Iso_1_5
                                                                 Iso_1_6
   Iso_1_1
               Iso_1_2
                                        Iso_1_4
"Pattern2"
                                                 "Pattern2" "Pattern15"
            "Pattern2"
                         "Pattern2"
                                     "Pattern2"
   Iso_1_7
               Iso_1_8
                            Iso_1_9
                                       Iso_1_10
"Pattern2"
            "Pattern2"
                         "Pattern2"
                                     "Pattern2"
```

> IsoMultiPP\$Patterns

```
C1 C2 C3 C4
Pattern1 1 1 1 1
Pattern2 1 1 1 2
Pattern3 1 1 2 1
Pattern8 1 2 2 2
Pattern15 1 2 3 4
```

where MultiPP\$PP provides the posterior probability of being in each pattern for every gene. MultiPP\$MAP provides the most likely pattern of each gene based on the posterior probabilities. MultiPP\$Patterns provides the details of the patterns.

### 5 More detailed examples

#### 5.1 Gene level DE analysis (two conditions)

#### 5.1.1 Running EBSeq on simulated gene expression estimates

EBSeq is applied as described in Section 4.1.3.

```
> data(GeneMat)
> Sizes=MedianNorm(GeneMat)
> EBOut=EBTest(Data=GeneMat,
+ Conditions=as.factor(rep(c("C1","C2"),each=5)),sizeFactors=Sizes, maxround=5)
> PP=GetPPMat(EBOut)
> str(PP)
num [1:950, 1:2] 0 0 0 0 0 ...
 - attr(*, "dimnames")=List of 2
  ..$ : chr [1:950] "Gene_1" "Gene_2" "Gene_3" "Gene_4" ...
  ..$ : chr [1:2] "PPEE" "PPDE"
> head(PP)
               PPEE PPDE
Gene_1 0.000000e+00
Gene_2 0.000000e+00
                       1
Gene_3 0.000000e+00
Gene_4 0.000000e+00
                       1
Gene_5 0.000000e+00
                       1
Gene_6 4.645857e-10
                       1
> DEfound=rownames(PP)[which(PP[,"PPDE"]>=.95)]
> str(DEfound)
 chr [1:97] "Gene_1" "Gene_2" "Gene_3" "Gene_4" "Gene_5" ...
```

EBSeq found 98 DE genes at a target FDR of 0.05.

#### 5.1.2 Calculating FC

The function PostFC may be used to calculate the Fold Change (FC) of the raw data as well as the posterior FC of the normalized data. Figure 2 shows the FC vs. Posterior FC on 1,000 gene expression estimates. The genes are ranked by their cross-condition mean (adjusted by the normalization factors). The posterior FC tends to shrink genes with low expressions (small rank); in this case the differences are minor.

```
> GeneFC=PostFC(EBOut)
> str(GeneFC)

List of 3
$ PostFC : Named num [1:950] 0.237 0.241 4.127 4.242 3.91 ...
    ... attr(*, "names")= chr [1:950] "Gene_1" "Gene_2" "Gene_3" "Gene_4" ...
$ RealFC : Named num [1:950] 0.237 0.239 4.128 4.28 3.918 ...
    ... attr(*, "names")= chr [1:950] "Gene_1" "Gene_2" "Gene_3" "Gene_4" ...
$ Direction: chr "C1 Over C2"

> PlotPostVsRawFC(EBOut, GeneFC)
```

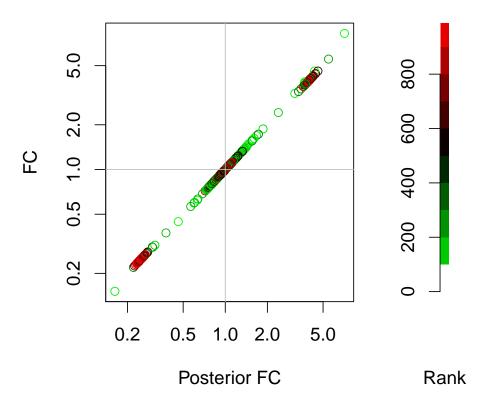


Figure 2: FC vs. Posterior FC for 1,000 gene expression estimates

#### 5.1.3 Checking convergence

As detailed in Section 3, we assume the prior distribution of  $q_g^C$  is  $Beta(\alpha, \beta)$ . The EM algorithm is used to estimate the hyper-parameters  $\alpha, \beta$  and the mixture parameter p. The optimized parameters at each iteration may be obtained as follows (recall we are using 5 iterations for demonstration purposes):

#### > EBOut\$Alpha

[,1]
iter1 0.8313646
iter2 0.8277784
iter3 0.8273499
iter4 0.8264807
iter5 0.8264807

#### > EBOut\$Beta

Ng1 iter1 1.718970 iter2 1.721066 iter3 1.719731 iter4 1.716137 iter5 1.716137

#### > EBOut\$P

[,1] iter1 0.1693038 iter2 0.1338740 iter3 0.1289061 iter4 0.1284153 iter5 0.1284153

In this case the differences between the 4th and 5th iterations are always less than 0.01.

#### 5.1.4 Checking the model fit and other diagnostics

As noted in Leng et al., 2012 [3], EBSeq relies on parametric assumptions that should be checked following each analysis. The QQP function may be used to assess prior assumptions. In practice, QQP generates the Q-Q plot of the empirical q's vs. the simulated q's from the Beta prior distribution with estimated hyperparameters. Figure 3 shows that the data points lie on the y=x line for both conditions, which indicates that the Beta prior is appropriate.

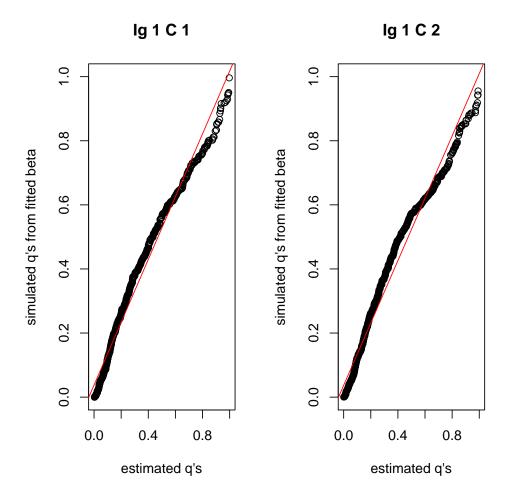


Figure 3: QQ-plots for checking the assumption of a Beta prior (upper panels) as well as the model fit using data from condition 1 and condition 2 (lower panels)

Likewise, the DenNHist function may be used to check the density plot of empirical q's vs the simulated q's from the fitted Beta prior distribution. Figure 4 also shows our estimated distribution fits the data very well.

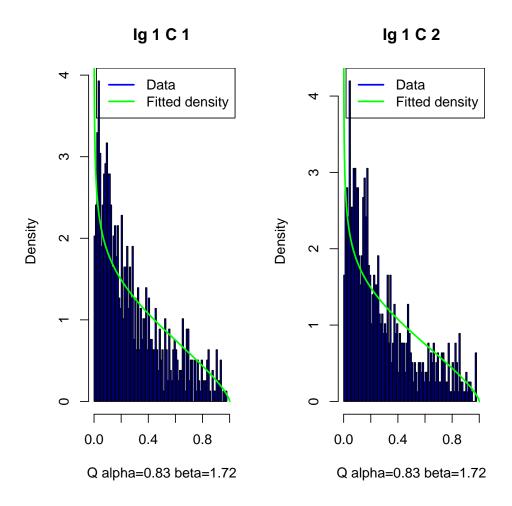


Figure 4: Density plots for checking the model fit using data from condition 1 and condition 2

#### 5.2 Isoform level DE analysis (two conditions)

#### 5.2.1 The $I_g$ vector

Since EBSeq fits rely on  $I_g$ , we need to obtain the  $I_g$  for each isoform. This can be done using the function GetNg. The required inputs of GetNg are the isoform names (IsoformNames) and their corresponding gene names (IsosGeneNames), described above. In the simulated data, we assume that the isoforms in the  $I_g=1$  group belong to genes Gene\_1, ..., Gene\_200; The isoforms in the  $I_g=2$  group belong to genes Gene\_201, ..., Gene\_600.

- > data(IsoList)
- > IsoMat=IsoList\$IsoMat
- > IsoNames=IsoList\$IsoNames
- > IsosGeneNames=IsoList\$IsosGeneNames
- > NgList=GetNg(IsoNames, IsosGeneNames, TrunThre=3)

The output of GetNg contains 4 vectors. GeneNg (IsoformNg) provides the number of isoforms  $N_g$  within each gene (within each isoform's host gene). GeneNgTrun (IsoformNgTrun) provides the  $I_g$  group assignments. The default number of groups is 3, which means the isoforms with  $N_g$  greater than 3 will be assigned to  $I_g=3$  group. We use 3 in the case studies since the number of isoforms with  $N_g$  larger than 3 is relatively small and the small sample size may induce poor parameter fitting if we treat them as separate groups. In practice, if there is evidence that the  $N_g=4,5,6...$  groups should be treated as separate groups, a user can change TrunThre to define a different truncation threshold.

# 5.2.2 Using mappability ambiguity clusters instead of the $I_g$ vector when the gene-isoform relationship is unknown

When working with a de-novo assembled transcriptome, in which case the gene-isoform relationship is unknown, a user can use read mapping ambiguity cluster information instead of Ng, as provided by RSEM [4] in the output file output\_name.ngvec. The file contains a vector with the same length as the total number of transcripts. Each transcript has been assigned to one of 3 levels (1, 2, or 3) to indicate the mapping uncertainty level of that transcript. The mapping ambiguity clusters are partitioned via a k-means algorithm on the unmapability scores that are provided by RSEM. A user can read in the mapping ambiguity cluster information using:

```
> IsoNgTrun = scan(file="output_name.ngvec", what=0, sep="\n")
```

Where "output\_name.ngvec" is the output file obtained from RSEM function rsem-generate-ngvector. More details on using the RSEM-EBSeq pipeline on de novo assembled transcriptomes can be found at http://deweylab.biostat.wisc.edu/rsem/README.html#de.

Other unmappability scores and other cluster methods (e.g. Gaussian Mixed Model) could also be used to form the uncertainty clusters.

#### 5.2.3 Running EBSeq on simulated isoform expression estimates

EBSeq can be applied as described in Section 4.2.4.

```
> IsoSizes=MedianNorm(IsoMat)
> IsoEBOut=EBTest(Data=IsoMat, NgVector=IsoNgTrun,
+ Conditions=as.factor(rep(c("C1","C2"),each=5)),sizeFactors=IsoSizes, maxround=5)
> IsoPP=GetPPMat(IsoEBOut)
> IsoDE=rownames(IsoPP)[which(IsoPP[,"PPDE"]>=.95)]
> str(IsoDE)
chr [1:106] "Iso_1_1" "Iso_1_2" "Iso_1_3" "Iso_1_4" "Iso_1_5" ...
```

We see that EBSeq found 105 DE isoforms at a target FDR of 0.05. The function PostFC could also be used here to calculate the Fold Change (FC) as well as the posterior FC on the normalization factor adjusted data.

```
> IsoFC=PostFC(IsoEBOut)
> str(IsoFC)

List of 3
$ PostFC : Named num [1:1102] 0.286 0.281 3.553 0.305 3.755 ...
    ... attr(*, "names")= chr [1:1102] "Iso_1_1" "Iso_1_2" "Iso_1_3" "Iso_1_4" ...
$ RealFC : Named num [1:1102] 0.285 0.281 3.556 0.305 3.759 ...
    ... attr(*, "names")= chr [1:1102] "Iso_1_1" "Iso_1_2" "Iso_1_3" "Iso_1_4" ...
$ Direction: chr "C1 Over C2"
```

#### 5.2.4 Checking convergence

For isoform level data, we assume the prior distribution of  $q_{gi}^C$  is  $Beta(\alpha, \beta^{I_g})$ . As in Section 5.1.3, the optimized parameters at each iteration may be obtained as follows (recall we are using 5 iterations for demonstration purposes):

#### > IsoEBOut\$Alpha

```
[,1]
iter1 0.7321709
iter2 0.7881646
iter3 0.7885616
iter4 0.7877492
iter5 0.7867663
```

#### > IsoEBOut\$Beta

```
Ng1 Ng2 Ng3
iter1 1.667405 2.648591 3.428080
iter2 1.833535 3.081309 4.453516
iter3 1.835056 3.092374 4.464689
iter4 1.838418 3.087711 4.464684
iter5 1.834517 3.082063 4.457264
```

#### > IsoEBOut\$P

```
[,1]
iter1 0.2183707
iter2 0.1715496
iter3 0.1601954
iter4 0.1573053
iter5 0.1559198
```

Here we have 3  $\beta$ 's in each iteration corresponding to  $\beta^{I_g=1}, \beta^{I_g=2}, \beta^{I_g=3}$ . We see that parameters are changing less than  $10^{-2}$  or  $10^{-3}$ . In practice, we require changes less than  $10^{-3}$  to declare convergence.

#### 5.2.5 Checking the model fit and other diagnostics

In Leng et al., 2012[3], we showed the mean-variance differences across different isoform groups on multiple data sets. In practice, if it is of interest to check differences among isoform groups defined by truncated  $I_g$  (such as those shown here in Figure 1), the function PolyFitPlot may be used. The following code generates the three panels shown in Figure 5 (if condition 2 is of interest, a user could change each C1 to C2.):

```
> par(mfrow=c(2,2))
> PolyFitValue=vector("list",3)
> for(i in 1:3)
+     PolyFitValue[[i]]=PolyFitPlot(IsoEBOut$C1Mean[[i]],
+     IsoEBOut$C1EstVar[[i]],5)
```

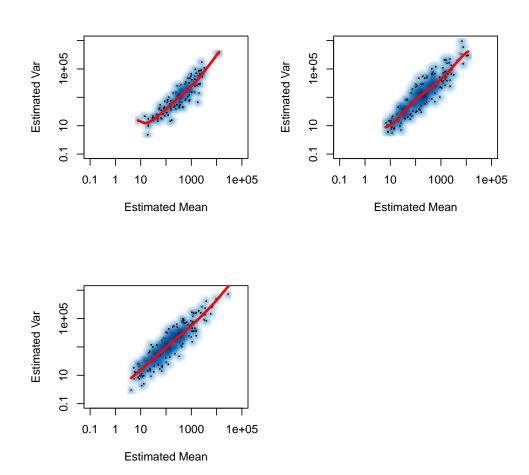


Figure 5: The mean-variance fitting plot for each Ng group

Superimposing all  $I_g$  groups using the code below will generate the figure (shown here in Figure 6), which is similar in structure to Figure 1:

```
> PolyAll=PolyFitPlot(unlist(IsoEBOut$C1Mean), unlist(IsoEBOut$C1EstVar),5)
> lines(log10(IsoEBOut$C1Mean[[1]][PolyFitValue[[1]]$sort]),
```

- > lines(log10(IsoEBOut\$C1Mean[[3]][PolyFitValue[[3]]\$sort]),
- + PolyFitValue[[3]]\$fit[PolyFitValue[[3]]\$sort],col="green",lwd=2)
- > legend("topleft",c("All Isoforms","Ng = 1","Ng = 2","Ng = 3"),
- + col=c("red", "yellow", "pink", "green"), lty=1, lwd=3, box.lwd=2)

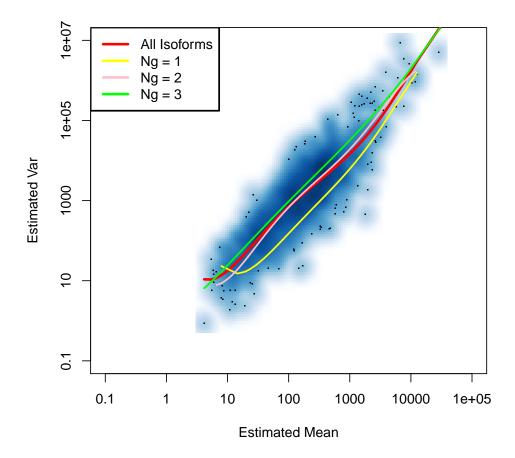


Figure 6: The mean-variance plot for each Ng group

To generate a QQ-plot of the fitted Beta prior distribution and the  $\hat{q}^C$ 's within condition, a user may use the following code to generate 6 panels (as shown in Figure 7).

<sup>+</sup> PolyFitValue[[1]]\$fit[PolyFitValue[[1]]\$sort],col="yellow",lwd=2)

<sup>&</sup>gt; lines(log10(IsoEBOut\$C1Mean[[2]][PolyFitValue[[2]]\$sort]),

<sup>+</sup> PolyFitValue[[2]]\$fit[PolyFitValue[[2]]\$sort],col="pink",lwd=2)

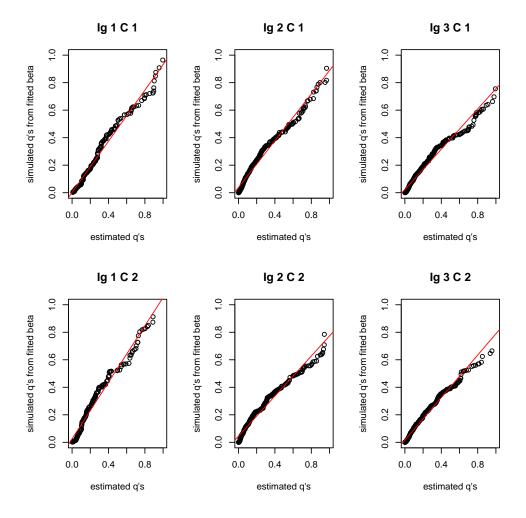


Figure 7: QQ-plots of the fitted prior distributions within each condition and each Ig group

And in order to produce the plot of the fitted Beta prior densities and the histograms of  $\hat{q}^C$ 's within each condition, the following may be used (it generates Figure 8):

- > par(mfrow=c(2,3))
- > DenNHist(IsoEBOut)

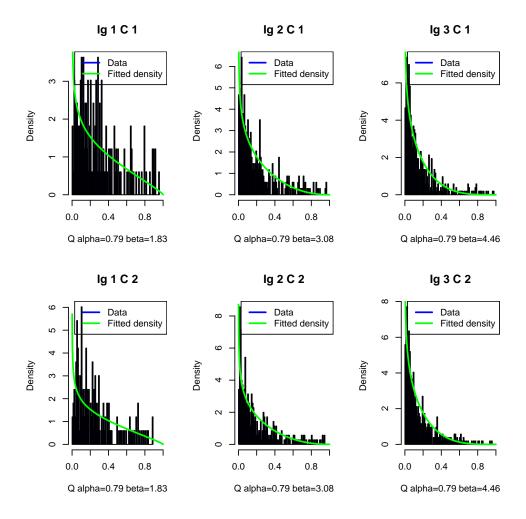


Figure 8: Prior distribution fit within each condition and each Ig group. (Note only a small set of isoforms are considered here for demonstration. Better fitting should be expected while using full set of isoforms.)

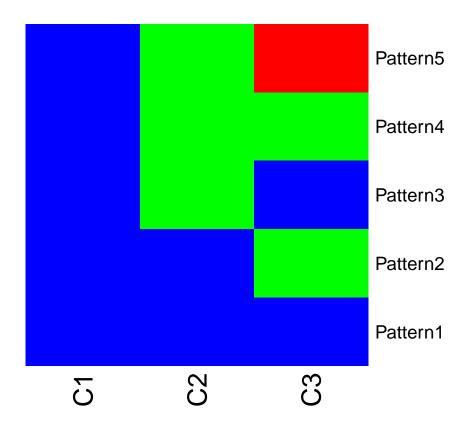
### 5.3 Gene level DE analysis (more than two conditions)

As described in Section 4.3, the function GetPatterns allows the user to generate all possible patterns given the conditions. To visualize the patterns, the function PlotPattern may be used.

- > Conditions=c("C1","C1","C2","C2","C3","C3")
- > PosParti=GetPatterns(Conditions)
- > PosParti

C1 C2 C3
Pattern1 1 1 1
Pattern2 1 1 2
Pattern3 1 2 1
Pattern4 1 2 2
Pattern5 1 2 3

> PlotPattern(PosParti)



 $Figure \ 9: \ \ All \ possible \ patterns$ 

If we were interested in Patterns 1, 2, 4 and 5 only, we'd define:

```
> Parti=PosParti[-3,]
```

> Parti

C1 C2 C3
Pattern1 1 1 1
Pattern2 1 1 2
Pattern4 1 2 2
Pattern5 1 2 3

Moving on to the analysis, MedianNorm or one of its competitors should be used to determine the normalization factors. Once this is done, the formal test is performed by EBMultiTest.

- > data(MultiGeneMat)
- > MultiSize=MedianNorm(MultiGeneMat)
- > MultiOut=EBMultiTest(MultiGeneMat,
- + NgVector=NULL, Conditions=Conditions,
- + AllParti=Parti, sizeFactors=MultiSize,
- + maxround=5)

The posterior probability of being in each pattern for every gene is obtained using the function GetMultiPP:

- > MultiPP=GetMultiPP(MultiOut)
- > names(MultiPP)

```
[1] "PP" "MAP" "Patterns"
```

> MultiPP\$PP[1:10,]

```
Pattern1 Pattern2
                                    Pattern4
                                              Pattern5
Gene_1
        8.574912e-94 0.3989333 5.103008e-72 0.60106672
Gene_3 9.716720e-164 0.9694232 6.670885e-109 0.03057680
       6.282756e-26 0.9336809 6.342497e-20 0.06631906
Gene_5
Gene_7
        0.000000e+00 0.5563573 0.000000e+00 0.44364273
       5.044830e-16 0.9437746 2.008227e-15 0.05622539
Gene_9
Gene_11 1.956384e-11 0.9369721 2.157793e-12 0.06302785
Gene_13 1.429796e-08 0.7296838 6.389130e-10 0.27031623
Gene_15 3.504222e-47 0.9691736 8.501776e-41 0.03082640
Gene_17 3.354675e-184 0.6564080 4.546629e-133 0.34359199
Gene_19 1.754055e-37 0.9044564 1.309553e-24 0.09554364
```

#### > MultiPP\$MAP[1:10]

```
Gene_1 Gene_3 Gene_5 Gene_7 Gene_9 Gene_11 Gene_13
"Pattern5" "Pattern2" "Pat
```

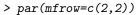
#### > MultiPP\$Patterns

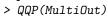
C1 C2 C3
Pattern1 1 1 1
Pattern2 1 1 2
Pattern4 1 2 2
Pattern5 1 2 3

where MultiPP\$PP provides the posterior probability of being in each pattern for every gene. MultiPP\$MAP provides the most likely pattern of each gene based on the posterior probabilities. MultiPP\$Patterns provides the details of the patterns. The FC and posterior FC for multiple condition data can be obtained by the function GetMultiFC:

```
> MultiFC=GetMultiFC(MultiOut)
> str(MultiFC)
List of 6
                 : num [1:500, 1:3] 1.217 0.951 1.069 0.923 0.983 ...
 $ FCMat
  ..- attr(*, "dimnames")=List of 2
  ....$ : chr [1:500] "Gene_1" "Gene_3" "Gene_5" "Gene_7" ...
  ....$ : chr [1:3] "C10verC2" "C10verC3" "C20verC3"
 $ Log2FCMat
                : num [1:500, 1:3] 0.2828 -0.0724 0.0969 -0.1151 -0.0251 ...
  ..- attr(*, "dimnames")=List of 2
  ....$ : chr [1:500] "Gene_1" "Gene_3" "Gene_5" "Gene_7" ...
  ....$ : chr [1:3] "C10verC2" "C10verC3" "C20verC3"
                 : num [1:500, 1:3] 1.216 0.951 1.069 0.923 0.983 ...
 $ PostFCMat
  ..- attr(*, "dimnames")=List of 2
  ....$ : chr [1:500] "Gene_1" "Gene_3" "Gene_5" "Gene_7" ...
  ....$ : chr [1:3] "C10verC2" "C10verC3" "C20verC3"
 $ Log2PostFCMat : num [1:500, 1:3] 0.2819 -0.072 0.0967 -0.115 -0.0251 ...
  ..- attr(*, "dimnames")=List of 2
  ....$ : chr [1:500] "Gene_1" "Gene_3" "Gene_5" "Gene_7" ...
  ....$ : chr [1:3] "C10verC2" "C10verC3" "C20verC3"
               : num [1:500, 1:3] 499 253 813 1843 753 ...
 $ CondMeans
  ..- attr(*, "dimnames")=List of 2
  ....$ : chr [1:500] "Gene_1" "Gene_3" "Gene_5" "Gene_7" ...
  ....$ : chr [1:3] "C1" "C2" "C3"
 $ ConditionOrder: Named chr [1:3] "C1" "C2" "C3"
  ..- attr(*, "names")= chr [1:3] "Condition1" "Condition2" "Condition3"
```

To generate a QQ-plot of the fitted Beta prior distribution and the  $\hat{q}^C$ 's within condition, a user could also use function DenNHist and QQP.





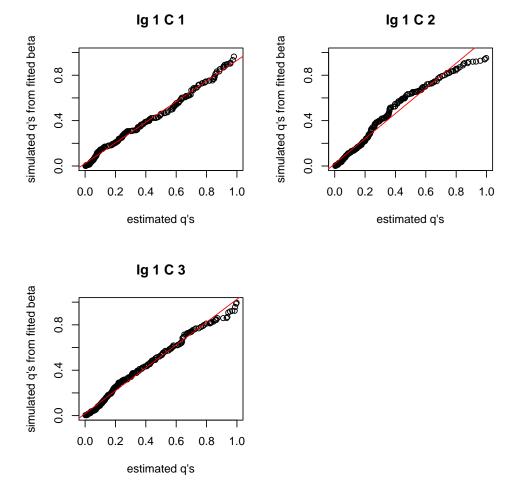


Figure 10: QQ-plots of the fitted prior distributions within each condition and each Ig group

- > par(mfrow=c(2,2))
- > DenNHist(MultiOut)

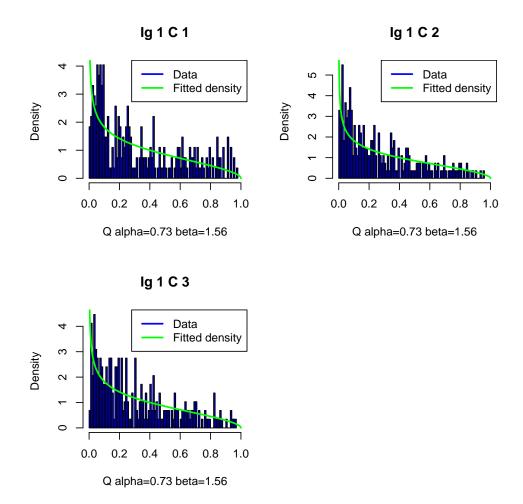


Figure 11: Prior distributions fit within each condition. (Note only a small set of genes are considered here for demonstration. Better fitting should be expected while using full set of genes.)

# 5.4 Isoform level DE analysis (more than two conditions)

Similar to Section 4.3, the function GetPatterns allows a user to generate all possible patterns given the conditions. To visualize the patterns, the function PlotPattern may be used.

- > Conditions=c("C1","C1","C2","C2","C3","C3","C4","C4")
- > PosParti.4Cond=GetPatterns(Conditions)
- > PosParti.4Cond

	C1	C2	СЗ	C4
Pattern1	1	1	1	1
Pattern2	1	1	1	2
Pattern3	1	1	2	1
Pattern4	1	1	2	2
Pattern5	1	2	1	1
Pattern6	1	2	1	2
Pattern7	1	2	2	1
Pattern8	1	2	2	2
Pattern9	1	1	2	3
${\tt Pattern10}$	1	2	1	3
${\tt Pattern11}$	1	2	2	3
${\tt Pattern12}$	1	2	3	1
${\tt Pattern13}$	1	2	3	2
${\tt Pattern14}$	1	2	3	3
${\tt Pattern15}$	1	2	3	4

- > PlotPattern(PosParti.4Cond)
- > Parti.4Cond=PosParti.4Cond[c(1,2,3,8,15),]
- > Parti.4Cond

	C1	C2	СЗ	C4	
Pattern1	1	1	1	1	
Pattern2	1	1	1	2	
Pattern3	1	1	2	1	
Pattern8	1	2	2	2	
Pattern15	1	2	3	4	

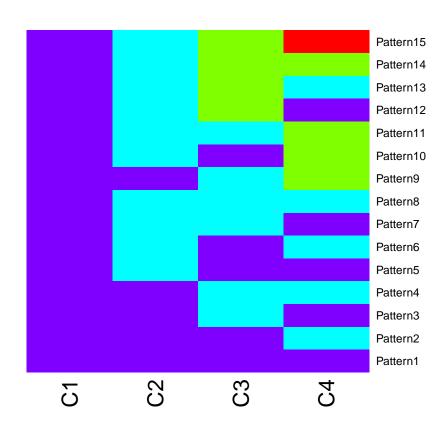


Figure 12: All possible patterns for 4 conditions

- > data(IsoMultiList)
- > IsoMultiMat=IsoMultiList[[1]]
- > IsoNames.Multi=IsoMultiList\$IsoNames
- > IsosGeneNames.Multi=IsoMultiList\$IsosGeneNames
- > IsoMultiSize=MedianNorm(IsoMultiMat)
- > NgList.Multi=GetNg(IsoNames.Multi, IsosGeneNames.Multi)
- > IsoNgTrun.Multi=NgList.Multi\$IsoformNgTrun
- > IsoMultiOut=EBMultiTest(IsoMultiMat,NgVector=IsoNgTrun.Multi,Conditions=Conditions,
- + AllParti=Parti.4Cond,
- + sizeFactors=IsoMultiSize, maxround=5)
- > IsoMultiPP=GetMultiPP(IsoMultiOut)
- > names(MultiPP)

```
[1] "PP" "MAP" "Patterns"
```

#### > IsoMultiPP\$PP[1:10,]

	Pattern1	Pattern2	Pattern3	Pattern8	Pattern15
Iso_1_1	3.533233e-32	0.999882138	3.408808e-33	2.143838e-34	1.178620e-04
Iso_1_2	4.231331e-14	0.999826487	1.573392e-16	5.848567e-18	1.735129e-04
Iso_1_3	5.633772e-47	0.992627423	5.963569e-42	5.644910e-50	7.372577e-03
Iso_1_4	4.248398e-35	0.998959777	1.983567e-30	5.054181e-33	1.040223e-03
Iso_1_5	0.000000e+00	1.000000000	0.000000e+00	0.000000e+00	1.584343e-41
Iso_1_6	1.509151e-232	0.002646919	3.147566e-220	6.720686e-188	9.973531e-01
Iso_1_7	2.835263e-138	0.999439469	7.548859e-133	1.613556e-128	5.605313e-04
Iso_1_8	9.654898e-139	0.963893542	3.709303e-105	5.626105e-120	3.610646e-02
Iso_1_9	1.947187e-47	0.957423511	1.073683e-50	3.868129e-46	4.257649e-02
Iso_1_10	7.904509e-08	0.999790300	9.178739e-10	9.386672e-10	2.096196e-04

#### > IsoMultiPP\$MAP[1:10]

```
Iso_1_1
               Iso_1_2
                            Iso_1_3
                                        Iso_1_4
                                                     Iso_1_5
                                                                  Iso_1_6
"Pattern2"
                                                  "Pattern2" "Pattern15"
            "Pattern2"
                         "Pattern2"
                                     "Pattern2"
   Iso_1_7
               Iso_1_8
                            Iso_1_9
                                       Iso_1_10
"Pattern2"
            "Pattern2"
                         "Pattern2"
                                     "Pattern2"
```

#### > IsoMultiPP\$Patterns

```
C1 C2 C3 C4
Pattern1 1 1 1 1
Pattern2 1 1 1 2
Pattern3 1 1 2 1
Pattern8 1 2 2 2
Pattern15 1 2 3 4
```

#### > IsoMultiFC=GetMultiFC(IsoMultiOut)

The FC and posterior FC for multiple condition data can be obtained by the function GetMultiFC: To generate a QQ-plot of the fitted Beta prior distribution and the  $\hat{q}^C$ 's within condition, a user could also use the functions DenNHist and QQP.

```
> par(mfrow=c(3,4))
> QQP(IsoMultiOut)
.
```

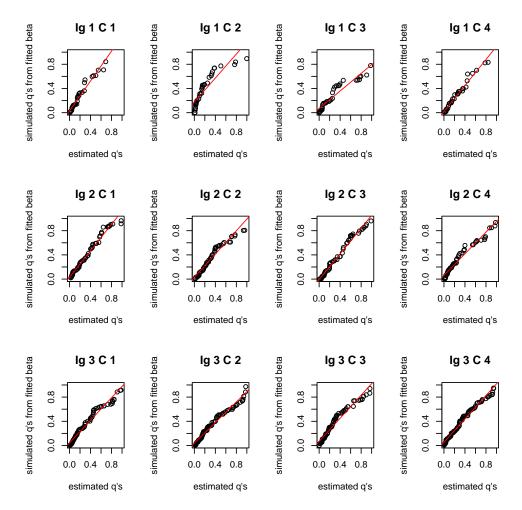


Figure 13: QQ-plots of the fitted prior distributions within each condition and Ig group. (Note only a small set of isoforms are considered here for demonstration. Better fitting should be expected while using full set of isoforms.)

- > par(mfrow=c(3,4))
- > DenNHist(IsoMultiOut)

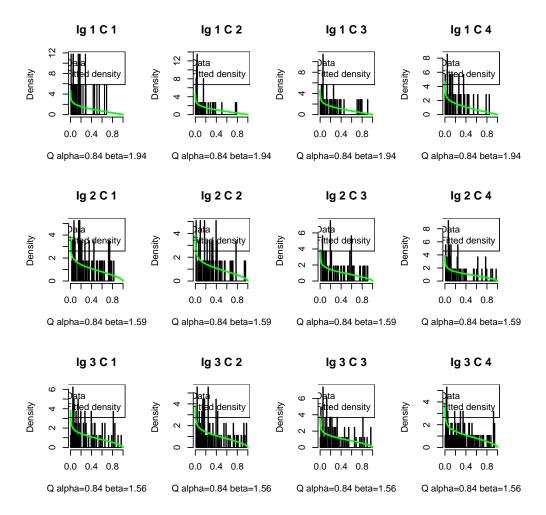


Figure 14: Prior distributions fit within each condition and Ig group. (Note only a small set of isoforms are considered here for demonstration. Better fitting should be expected while using full set of isoforms.)

#### 5.5 Working without replicates

When replicates are not available, it is difficult to estimate the transcript specific variance. In this case, EBSeq estimates the variance by pooling similar genes together. Specifically, we take genes with FC in the 25% - 75% quantile of all FC's as candidate genes. By defining NumBin = 1000 (default in EBTest), EBSeq will group genes with similar means into 1,000 bins. For each candidate gene, we use the across-condition variance estimate as its variance estimate. For each bin, the bin-wise variance estimation is taken to be the median of the across-condition variance estimates of the candidate genes within that bin. For each non-candidate gene, we use the bin-wise variance estimate of the host bin (the bin containing this gene) as its variance estimate. This approach works well when there are no more than 50% DE genes in the data set.

#### 5.5.1 Gene counts with two conditions

To generate a data set with no replicates, we take the first sample of each condition. For example, using the data from Section 5.1, we take sample 1 from condition 1 and sample 6 from condition 2. Functions MedianNorm, GetPPMat and PostFC may be used on data without replicates.

```
> data(GeneMat)
> GeneMat.norep=GeneMat[,c(1,6)]
> Sizes.norep=MedianNorm(GeneMat.norep)
> EBOut.norep=EBTest(Data=GeneMat.norep,
+ Conditions=as.factor(rep(c("C1","C2"))),
+ sizeFactors=Sizes.norep, maxround=5)

Removing transcripts with 75 th quantile < = 10
938transcripts will be tested
> PP.norep=GetPPMat(EBOut.norep)
> DEfound.norep=rownames(PP.norep)[which(PP.norep[,"PPDE"]>=.95)]
> GeneFC.norep=PostFC(EBOut.norep)
```

#### 5.5.2 Isoform counts with two conditions

To generate an isoform level data set with no replicates, we also take sample 1 and sample 6 in the data we used in Section 5.2. Example codes are shown below.

```
> data(IsoList)
> IsoMat=IsoList$IsoMat
> IsoNames=IsoList$IsoNames
> IsosGeneNames=IsoList$IsosGeneNames
> NgList=GetNg(IsoNames, IsosGeneNames)
> IsoNgTrun=NgList$IsoformNgTrun
> IsoMat.norep=IsoMat[,c(1,6)]
> IsoSizes.norep=MedianNorm(IsoMat.norep)
> IsoEBOut.norep=EBTest(Data=IsoMat.norep, NgVector=IsoNgTrun,
+ Conditions=as.factor(c("C1", "C2")),
+ sizeFactors=IsoSizes.norep, maxround=5)
Removing transcripts with 75 th quantile < = 10
1088transcripts will be tested
> IsoPP.norep=GetPPMat(IsoEBOut.norep)
> IsoDE.norep=rownames(IsoPP.norep)[which(IsoPP.norep[,"PPDE"]>=.95)]
> IsoFC.norep=PostFC(IsoEBOut.norep)
```

#### 5.5.3 Gene counts with more than two conditions

To generate a data set with multiple conditions and no replicates, we take the first sample from each condition (sample 1, 3 and 5) in the data we used in Section 5.3. Example codes are shown below.

```
> data(MultiGeneMat)
> MultiGeneMat.norep=MultiGeneMat[,c(1,3,5)]
> Conditions=c("C1","C2","C3")
> PosParti=GetPatterns(Conditions)
> Parti=PosParti[-3,]
> MultiSize.norep=MedianNorm(MultiGeneMat.norep)
> MultiOut.norep=EBMultiTest(MultiGeneMat.norep,
+ NgVector=NULL,Conditions=Conditions,
+ AllParti=Parti, sizeFactors=MultiSize.norep,
+ maxround=5)
> MultiPP.norep=GetMultiPP(MultiOut.norep)
> MultiFC.norep=GetMultiFC(MultiOut.norep)
```

#### 5.5.4 Isoform counts with more than two conditions

To generate an isoform level data set with multiple conditions and no replicates, we take the first sample from each condition (sample 1, 3, 5 and 7) in the data we used in Section 5.4. Example codes are shown below.

```
> data(IsoMultiList)
> IsoMultiMat=IsoMultiList[[1]]
> IsoNames.Multi=IsoMultiList$IsoNames
> IsoSGeneNames.Multi=IsoMultiList$IsosGeneNames
> IsoMultiMat.norep=IsoMultiMat[,c(1,3,5,7)]
> IsoMultiSize.norep=MedianNorm(IsoMultiMat.norep)
> NgList.Multi=GetNg(IsoNames.Multi, IsosGeneNames.Multi)
> IsoNgTrun.Multi=NgList.Multi$IsoformNgTrun
> Conditions=c("C1", "C2", "C3", "C4")
> PosParti.4Cond=GetPatterns(Conditions)
> PosParti.4Cond
C1 C2 C3 C4
Pattern1 1 1 1 1
```

```
> Parti.4Cond=PosParti.4Cond[c(1,2,3,8,15),]
```

> Parti.4Cond

- > IsoMultiOut.norep=EBMultiTest(IsoMultiMat.norep,
- + NgVector=IsoNgTrun.Multi,Conditions=Conditions,
- + AllParti=Parti.4Cond, sizeFactors=IsoMultiSize.norep,
- + maxround=5)
- > IsoMultiPP.norep=GetMultiPP(IsoMultiOut.norep)
- > IsoMultiFC.norep=GetMultiFC(IsoMultiOut.norep)

## 6 EBSeq pipelines and extensions

# 6.1 RSEM-EBSeq pipeline: from raw reads to differential expression analysis results

EBSeq is coupled with RSEM [4] as an RSEM-EBSeq pipeline which provides quantification and DE testing on both gene and isoform levels.

For more details, see http://deweylab.biostat.wisc.edu/rsem/README.html#de

# 6.2 EBSeq interface: A user-friendly graphical interface for differential expression analysis

EBSeq interface provides a graphical interface implementation for users who are not familiar with the R programming language. It takes .xls, .xlsx and .csv files as input. Additional packages need be downloaded; they may be found at http://www.biostat.wisc.edu/~ningleng/EBSeq\_Package/EBSeq\_Interface/

#### 6.3 EBSeq Galaxy tool shed

EBSeq tool shed contains EBSeq wrappers for a local Galaxy implementation. For more details, see http://www.biostat.wisc.edu/~ningleng/EBSeq\_Package/EBSeq\_Galaxy\_toolshed/

# 7 Acknowledgment

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