

# Modeling the Effects of Throughfall Reduction on Soil Water Content

Elizabeth Belk, US Environmental Protection Agency  
Daniel Markewitz and Todd Rasmussen, The University of Georgia  
Eduardo Maklouf Carvalho, EMBRAPA Amazonia Oriental  
Daniel Nepstad and Eric Davidson, The Woods Hole Research Center



## ABSTRACT

Access to water reserves in deep soil during drought periods determines whether or not the tropical moist forests of Amazonia will be buffered from the deleterious effects of water deficits. Changing climatic conditions are predicted to increase periods of drought in Amazonian forests and may lead to increased tree mortality, changes in forest composition, or greater susceptibility to fire. A throughfall reduction experiment has been established in the Tapajós National Forest of east-central Amazonia (Brazil) to test the potential effects of severe water stress during prolonged droughts.

The objective of this component of the throughfall reduction study is to develop an understanding of the physical processes driving the observed soil-water dynamics at the site.

Using Time-Domain Reflectometry observations of water contents from this experiment we have developed a dynamic, one-dimensional, vertical flow model to elucidate our understanding of hydrologic processes within these tall-stature forests on well-drained, upland, deep Oxisols and to simulate changes in the distribution of soil water.

Simulations using 3-yr's of data accurately captured mild soil-water depletion near the surface after the first treatment year and decreasing soil moisture at depth during the second treatment year. The model is sensitive to the water retention and unsaturated flow equation parameters, specifically the van Genuchten parameters  $\theta_s$ ,  $\theta_r$ , and  $n$ , but less sensitive to  $K_s$  and  $a$ .

The low root-mean-square-error between observed and predicted volumetric soil water content suggests that this vertical flow model captures the most important hydrologic processes in the upper-landscape position of this study site. The model indicates that rates of evapotranspiration within the exclusion plot have been sustained at the expense of soil water storage.

## THE EXPERIMENT

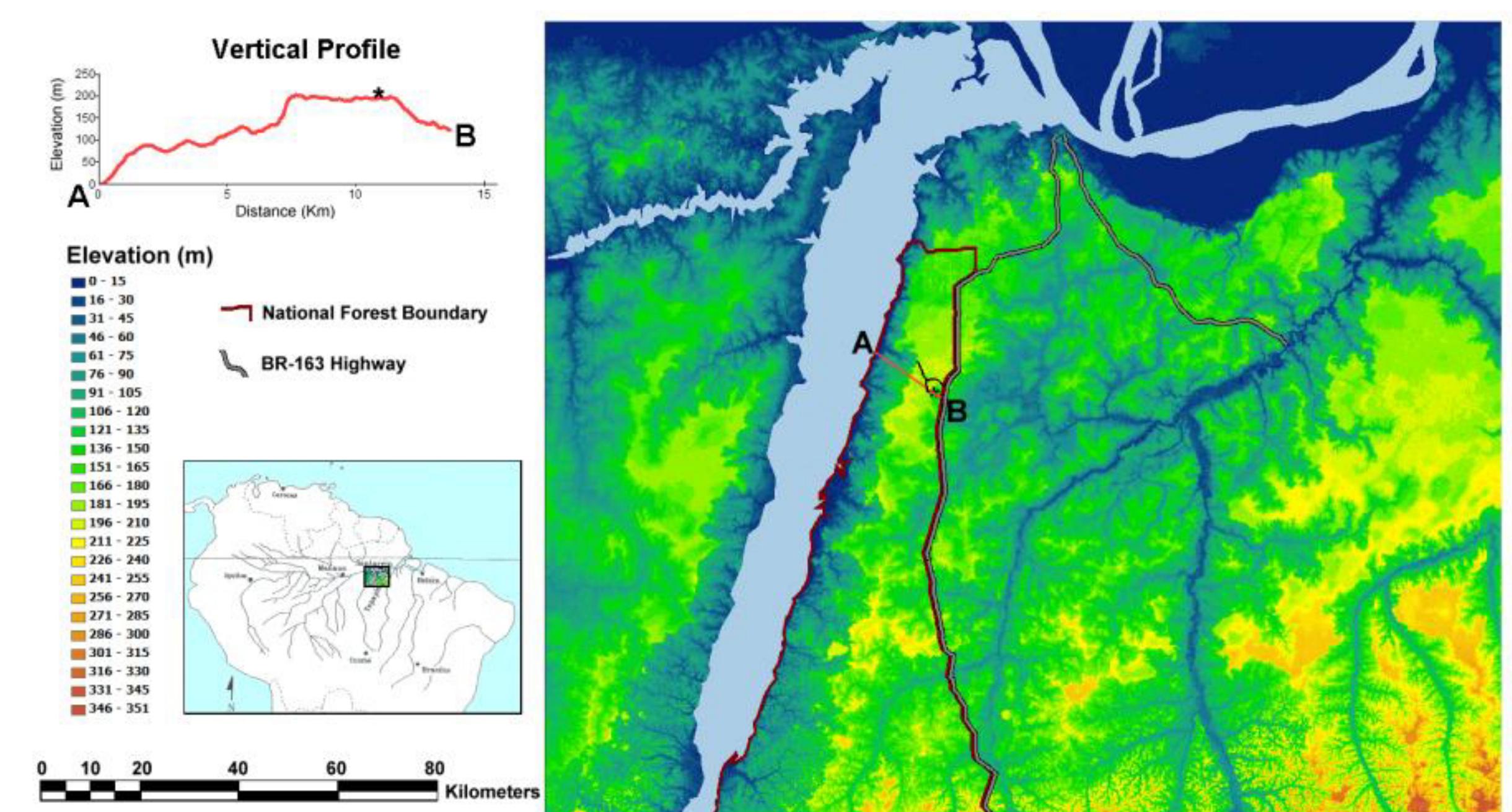
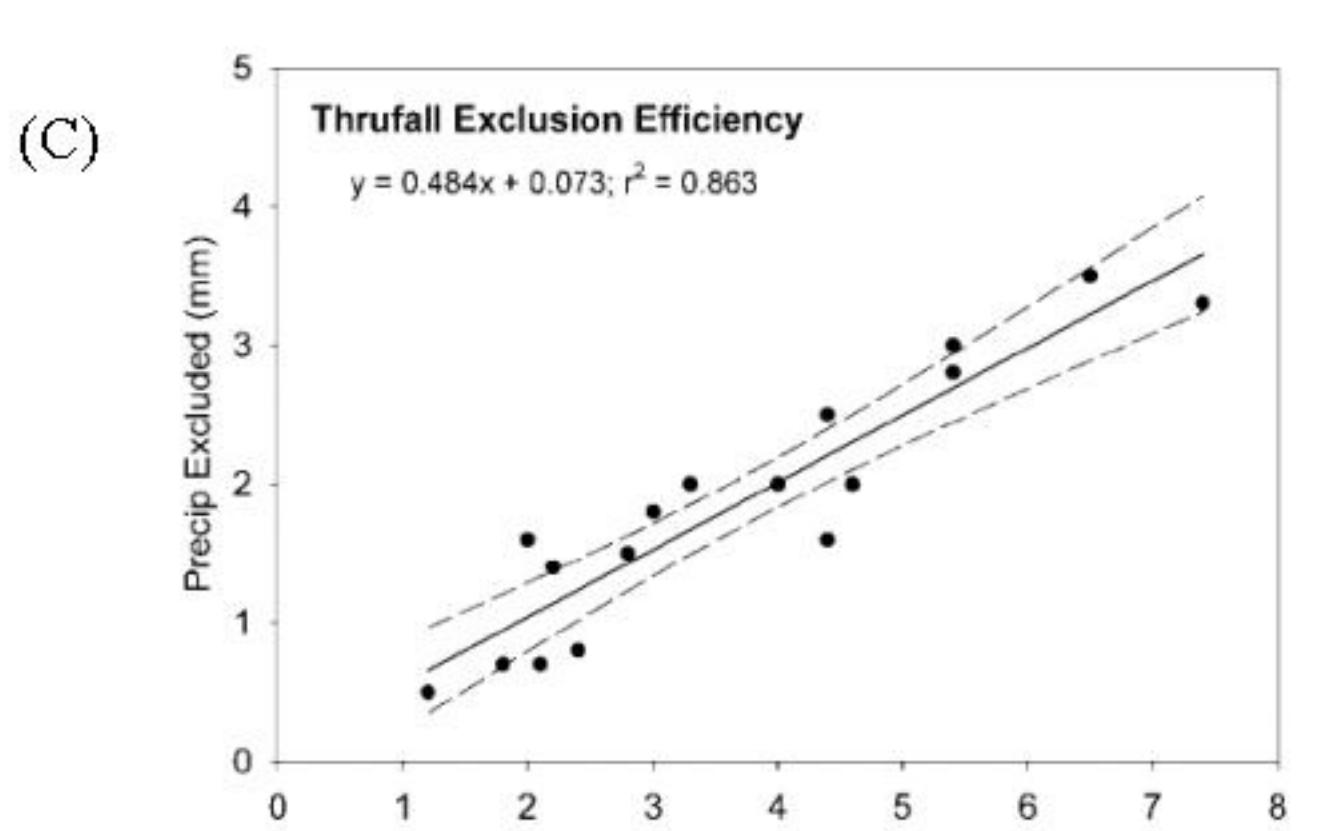
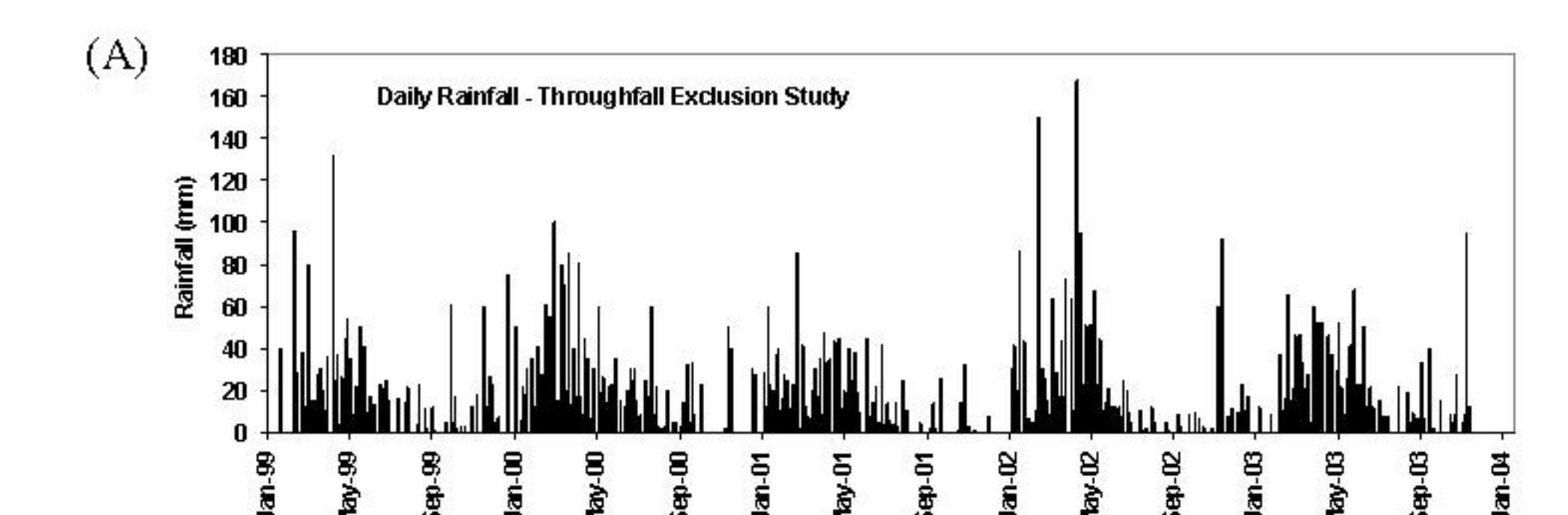


Figure 1. The study site is located in the Tapajós National Forest at km 67 outside the city of Santarém, Brazil. The study plots are approximately 150 m above and 13 km east of the Tapajós River. The study plots are situated on a relatively level, upper-landscape plateau position where the soils are predominantly Haplustox (Latosols vermelhos) dominated by kaolinite clays, and support a terra firme forest. The figure demonstrates that similar landscape conditions are common in the region.



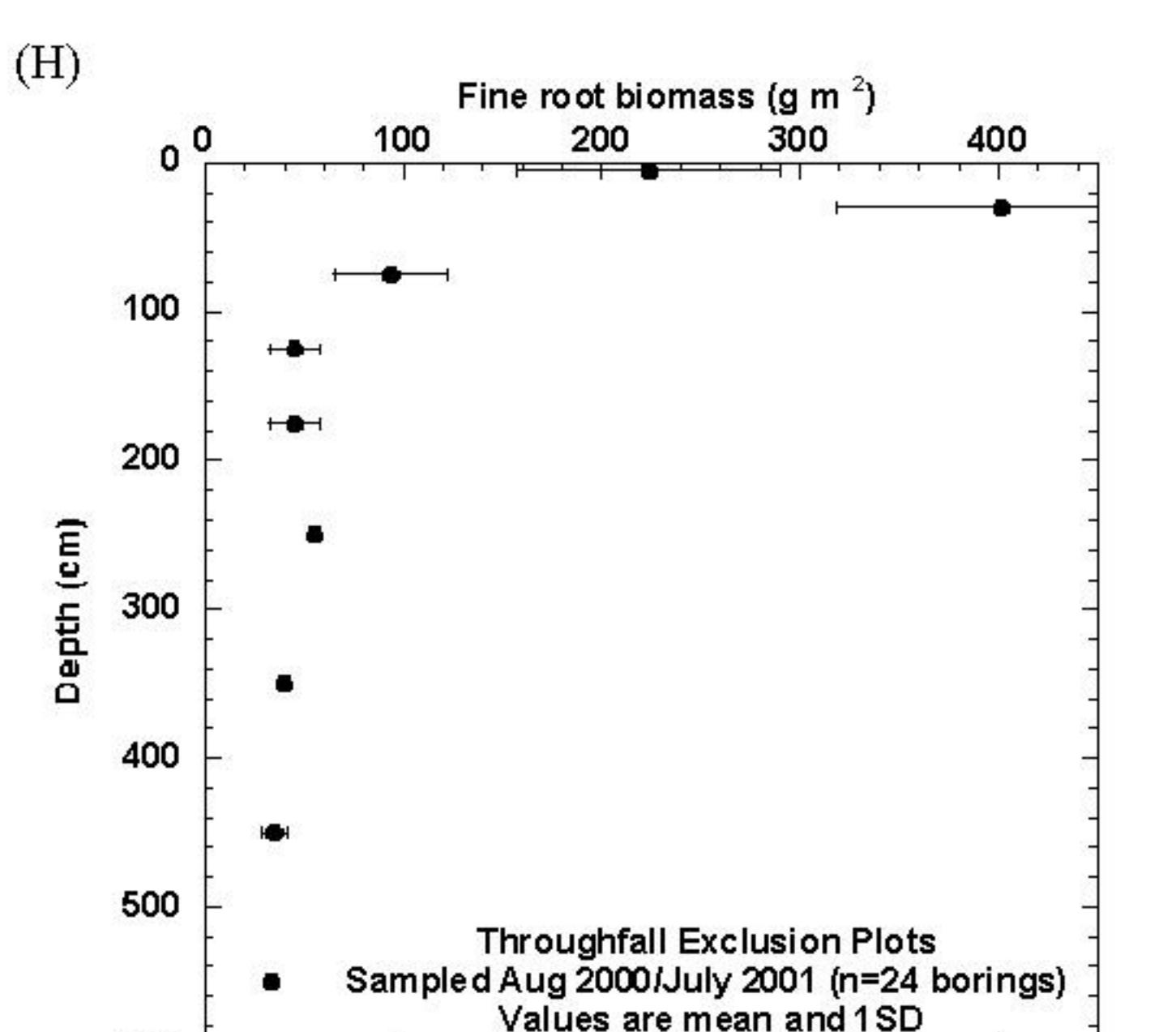
Figure 2: The throughfall reduction experiment was initiated in 1998. The experiment compares two one-hectare plots, one of which receives natural rainfall, while the other has plastic panels installed in the forest understory during the rainy season. These panels capture approximately sixty percent of incoming throughfall. After a one-year pretreatment period, plastic panels were installed at the beginning of the 2000 rainy season that extends from January to May. Panels are removed during the dry season and re-installed prior to the rainy season of the following year. A variety of processes are being monitored, including: tree growth and mortality, sapflow, litterfall, leaf area index, forest floor decomposition, soil respiration, trace gas emissions, forest floor flammability, and the amounts and chemistry of precipitation, throughfall, litter leachate, and soil solutions.

The model was designed to simulate daily changes in the distribution of soil water. Rainfall inputs (A), canopy interception (B), and throughfall exclusion (C) determine water flux to the soil surface. Vertical water movement through 13 soil layers (D) is driven by the soil water content ( $E_1$ ), the difference in total soil hydraulic head ( $E_2$ ), which integrates the effect of matrix ( $F$ ) and gravitational forces ( $E_3$ ), and unsaturated hydraulic conductivity ( $E_4$ ) that is estimated from measured saturated hydraulic conductivity ( $G$ ). Changes in soil water storage are then modeled (E5) including plant uptake of water by the forest vegetation as an outflow (E6), which is driven by potential evapotranspiration and fine root distribution (H). Input variables and units are defined in Table 1. Simulations were performed for the control plot with no reduction in water inputs and for the treatment plot using throughfall exclusion during the rainy season. Model predictions were compared to time domain reflectometry measures (I). The soil moisture measurements alone would not be sufficient to describe the rates of water fluxes because two soil layers may contain the same water volume within a given soil volume, but have different rates of fluid movement through them. This means that model estimations of water fluxes are required in order to fully quantify the hydrologic system.



$$E6: U(z) = U_{max} R(z) URF(z)$$

Plant Uptake is a function of maximum soil water uptake ( $U_{max}$ ) partitioned by root density for a given soil layer ( $R(z)$ ) and limited by the matric head in that soil layer ( $URF(z)$ )



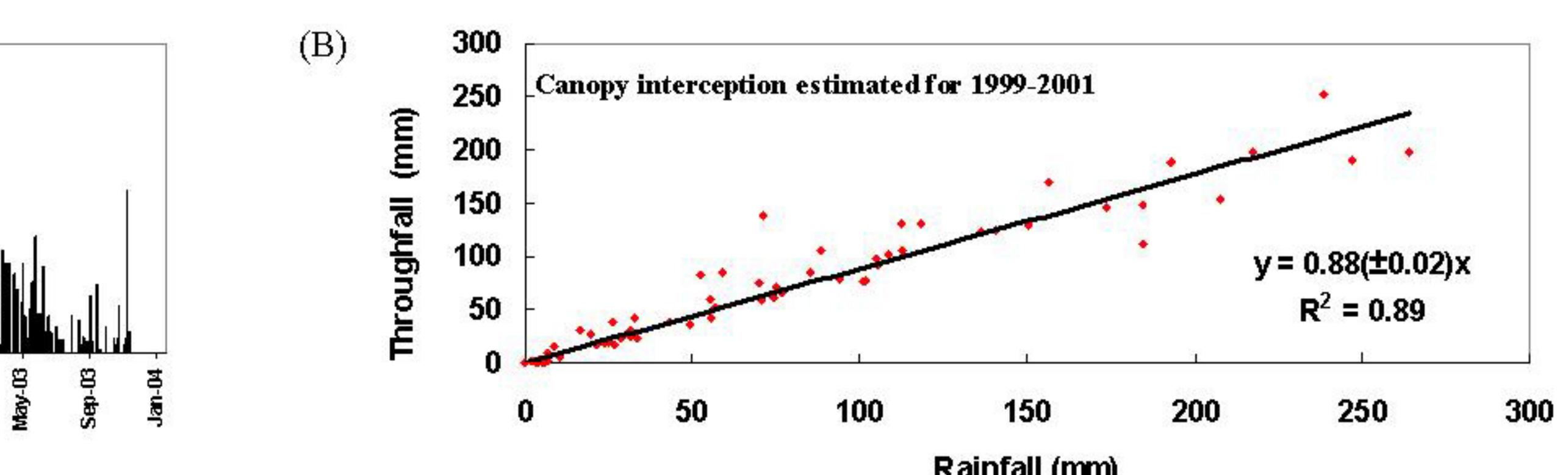
The fraction of the total fine (live) root biomass (0-2 mm) in each layer was used to estimate a rooting factor,  $R(z)$ , for each modeled soil layer.

Table 1: Model inputs. Parameters with (z) are input for each layer of soil.

Input	Description	Units
Rainfall	Daily rainfall depth	mm d⁻¹
PET	Daily potential evapotranspiration	mm d⁻¹
Throughfall	Rainfall entering soil surface	fraction
$\Delta z(z)$	Distance between layers	m
$H(z)$	Total hydraulic head	m
$D_w(z)$	Water depth in soil layer	m
$K_s(z)$	Saturated hydraulic conductivity	$m s^{-1}$
$R(z)$	Root length or biomass present	fraction
Van Genuchten Parameters:		
$\theta_s(z)$	saturated water content	$m^3 m^{-3}$
$\theta_r(z)$	residual water content	$m^3 m^{-3}$
$\alpha(z)$	water retention	$m^{-1}$
$n(z)$	water retention	-

## MODEL STRUCTURE AND INPUTS

The model was designed to simulate daily changes in the distribution of soil water. Rainfall inputs (A), canopy interception (B), and throughfall exclusion (C) determine water flux to the soil surface. Vertical water movement through 13 soil layers (D) is driven by the soil water content ( $E_1$ ), the difference in total soil hydraulic head ( $E_2$ ), which integrates the effect of matrix ( $F$ ) and gravitational forces ( $E_3$ ), and unsaturated hydraulic conductivity ( $E_4$ ) that is estimated from measured saturated hydraulic conductivity ( $G$ ). Changes in soil water storage are then modeled (E5) including plant uptake of water by the forest vegetation as an outflow (E6), which is driven by potential evapotranspiration and fine root distribution (H). Input variables and units are defined in Table 1. Simulations were performed for the control plot with no reduction in water inputs and for the treatment plot using throughfall exclusion during the rainy season. Model predictions were compared to time domain reflectometry measures (I). The soil moisture measurements alone would not be sufficient to describe the rates of water fluxes because two soil layers may contain the same water volume within a given soil volume, but have different rates of fluid movement through them. This means that model estimations of water fluxes are required in order to fully quantify the hydrologic system.



$$E1: \theta(z) = \frac{D_w(z)}{\Delta z}$$

Soil water content ( $\theta$ ) is determined for each soil layer using water depth ( $D$ ) and layer thickness ( $\Delta z$ ).

Water flux between soil layers is determined using Darcy's law for one-dimensional (vertical), unsaturated flow where  $q_z$  is the vertical water flux ( $m s^{-1}$ ),  $K(\theta)$  is the unsaturated hydraulic conductivity ( $m s^{-1}$ ),  $\Delta H$  is the difference in total hydraulic head between two adjoining layers ( $m$ ) and  $\Delta z$  is the downward-directed, vertical distance between the midpoints of the layers ( $m$ ).

$$E3: h_m = \frac{1}{\alpha} [\Theta^{1/m} - 1]^{1/m}$$

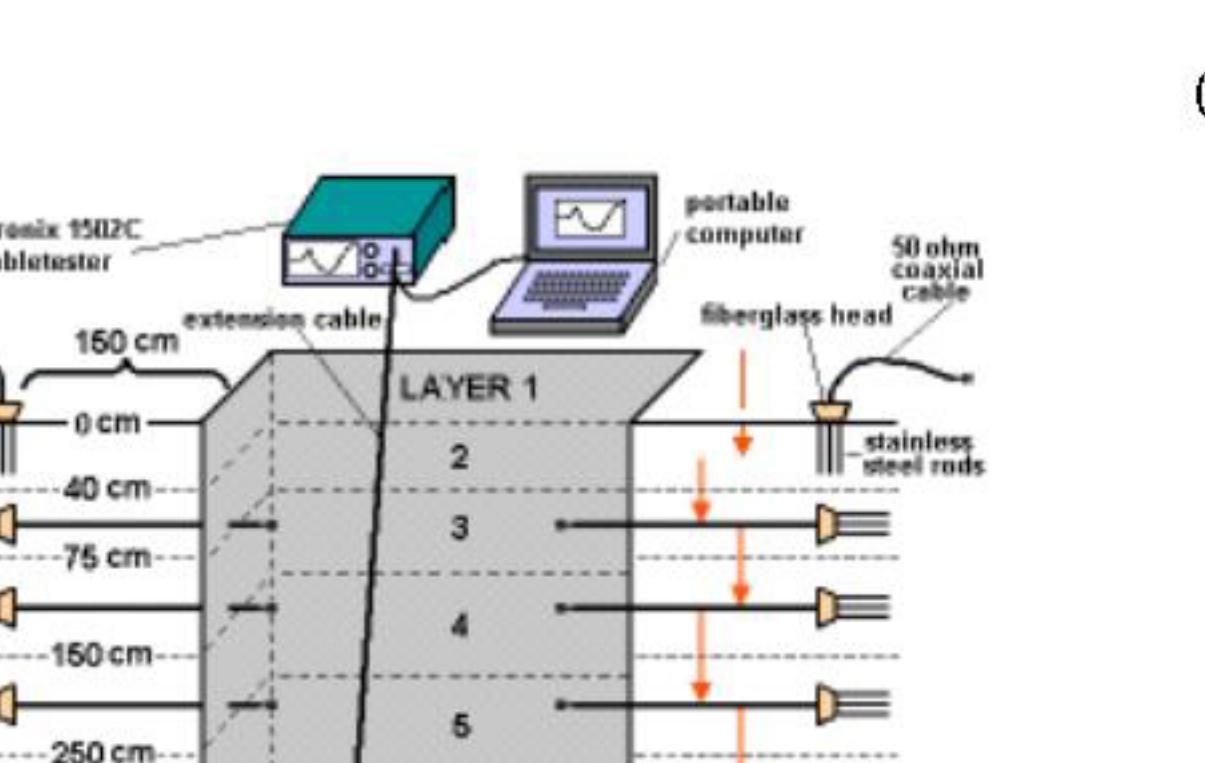
The matric head of the soil water is determined by the van Genuchten equation relating water content to matric head where  $\Theta = (\theta - \theta_r)/(\theta_s - \theta_r)$  with  $s$  and  $r$  being saturated and residual water content.

$$E4: K(\theta) = K_s \Theta^{1/2} \left[ 1 - [1 - \Theta^{2/(n-1)}]^m \right]^2$$

Unsaturated hydraulic conductivity,  $K(\theta)$ , is calculated from saturated hydraulic conductivity,  $K_s$ , according to the equation of Mualem (see G).

$$E5: \frac{\partial q_z(z)}{\partial z} \pm U(z) = \frac{\partial \theta(z)}{\partial z}$$

Changes in soil water storage are modeled using the Richards' (mass balance) equation that accounts for inflows and outflows in each layer. Root uptake is the main mechanism for water loss (see E6 and H)).



$$E6: U(z) = U_{max} R(z) URF(z)$$

Plant Uptake is a function of maximum soil water uptake ( $U_{max}$ ) partitioned by root density for a given soil layer ( $R(z)$ ) and limited by the matric head in that soil layer ( $URF(z)$ )

$$E7: \text{Water Component}$$

Water Component

Water diversion by park

Intercepted water

Rainfall

Throughfall

Soil layer 1

Soil layer 2

Soil layer 3

Soil layer 4

Soil layer 5

Soil layer 6

Soil layer 7

Soil layer 8

Soil layer 9

Soil layer 10

Soil layer 11

Soil layer 12

Soil layer 13

Water flux

Plant uptake of water

Water diversion by park

Intercepted water

Rainfall

Throughfall

Soil layer 1

Soil layer 2

Soil layer 3

Soil layer 4

Soil layer 5

Soil layer 6

Soil layer 7

Soil layer 8

Soil layer 9

Soil layer 10

Soil layer 11

Soil layer 12

Soil layer 13

Water flux

Plant uptake of water

Water diversion by park

Intercepted water

Rainfall

Throughfall

Soil layer 1

Soil layer 2

Soil layer 3

Soil layer 4

Soil layer 5

Soil layer 6

Soil layer 7

Soil layer 8

Soil layer 9

Soil layer 10

Soil layer 11

Soil layer 12

Soil layer 13

Water flux

Plant uptake of water

Water diversion by park

Intercepted water

Rainfall

Throughfall

Soil layer 1

Soil layer 2

Soil layer 3

Soil layer 4

Soil layer 5

Soil layer 6

Soil layer 7

Soil layer 8

Soil layer 9

Soil layer 10

Soil layer 11

Soil layer 12

Soil layer 13

Water flux

Plant uptake of water

Water diversion by park

Intercepted water

Rainfall

Throughfall

Soil layer 1

Soil layer 2

Soil layer 3

Soil layer 4

Soil layer 5

Soil layer 6