

1 Finite Automata

1.1 Deterministic finite automaton - DFA

is a 5-tuple $(Q, \Sigma, \delta, q_0, F)$ where:

- Q is a finite set of *states*,
- Σ is a finite *input alphabet*
- $q_0 \in Q$ is the *initial state*,
- $F \subseteq Q$ is the set of *final states*, and
- δ is the *transition function*, i.e. $\delta : Q \times \Sigma \rightarrow Q$

For each state, there must be a transition for every input symbol out of Σ .

exp. Dfa for finding modulo of binary numbers

Suppose our modulo is m . Then for every possible remainder, there must be a state in fa $\{q_0, q_1, \dots, q_{m-1}\}$.

- state $q_0 : m * k + 0$
 $m * k \mid 0 \Rightarrow 2 * (5k) + 0 = m * k + 0$ (on 0, we go to q_0)
 $m * k \mid 1 \Rightarrow 2 * (5k) + 1 = m * k + 1$ (on 1, we go to q_1)
- state $q_1 : k + 1$
 $m * k \mid 0 \Rightarrow 2 * 1 + 0 = 2$ (go to q_2)
 $m * k \mid 1 \Rightarrow 2 * 1 + 1 = 3$ (go to q_3)
- state $q_{m-1} : k + (m - 1)$
 $m * k \mid 0 \Rightarrow 2 * (m - 1) + 0$
 $m * k \mid 1 \Rightarrow 2 * (m - 1) + 1$

If your remainder is bigger than m , then you must modulo it!

1.2 Nondeterministic finite automaton - NFA

is a 5-tuple $(Q, \Sigma, \delta, q_0, F)$ where:

- Q, Σ, q_0, F read dfa
- δ is the *transition function*, i.e. $\delta : Q \times \Sigma \rightarrow 2^Q$
 That is $\delta(q, a)$ is the *set* of all states p such that there is a transition labeled from a to p .

1.3 NFA with epsilon moves - NFA_ϵ

is a 5-tuple $(Q, \Sigma, \delta, q_0, F)$ where:

- Q, Σ, q_0, F read dfa
- δ is the *transition function*, i.e. $\delta : Q \times (\Sigma \cup \{\epsilon\}) \rightarrow 2^Q$
 That is $\delta(q, a)$ is the *set* of all states p such that there is a transition labeled from a to p , where a is either a symbol in Σ or ϵ .

ϵ -closure defines which ϵ transitions are allowed from a single state in a fa (set of states we can reach).

exp. NFA for L^c

$$NFA(L) \rightarrow DFA(L) \rightarrow DFA(L^c)$$

Due to the properties of **DFA**, the complementation is applied just by switching final and non-final states of fa.

2 Regular expressions

2.1 Regular operations

Let L_1, L_2 be some regular languages. Then their

- **union** $\rightarrow L_1 \cup L_2 = \{\forall x : x \in L_1 \text{ or } x \in L_2\}$
- **concatenation** $\rightarrow L_1.L_2 = L_1L_2$
- **kleene closure** $\rightarrow L^*$

are also regular languages.

Regexp are equivalent with NFA.

2.2 Pumping lemma for regular languages Let R be a class of regular languages. Then language $L \in R \rightarrow \exists n > 0 :$

$$\forall z \in L, |z| \geq n :$$

$$\exists u, v, w : |uv| \leq n, |v| \geq 1, z = uvw \rightarrow \forall i \geq 0 : uv^i w \in L$$

if we negate lemma, we can prove that some languages are irregular

$$\forall n > 0 : \exists z \in L, |z| \geq n$$

$$\forall u, v, w : |uv| \leq n, |v| \geq 1, z = uvw \rightarrow \exists i \geq 0 : uv^i w \notin L \Rightarrow L \notin R$$

3 Context-free grammars

3.1 Definition: A context-free grammar (CFG)

is a 4-tuple $G = (V, T, P, S)$ where:

- V is a finite set of *variables*
- T is a finite set of *terminals*
- P is a finite set of *productions*
 each of which is of the form $A \rightarrow \alpha$,
 where $A \in V$ and α is a word in the language $(V \cup T)^*$
- S is a special variable called the *start symbol*

Ambiguity: A CFG is said to be ambiguous if some word has more than one derivation tree.

exp. regex to CFG conversion

Suppose we have a regex: $a(ab)^*bb(aa+b)^*a$

Then we could model a CFG for it as:

- $S \rightarrow XYZUV$
- $X \rightarrow a$
- $Y \rightarrow aabY|\epsilon$
- $Z \rightarrow bb$
- $U \rightarrow aaU|bU|\epsilon$
- $V \rightarrow a$

3.2 Pumping lemma for context-free languages Let L be a CFL.

$$\exists n > 0 :$$

$$\forall z \in L, |z| \geq n :$$

$$\exists u, v, w, x, y : |vwx| \leq n, |vx| \geq 1 \\ z = uvwxy \rightarrow \forall i \geq 0 : uv^iwx^iy \in L$$

if we negate lemma, we can prove that some languages are not context-free.

$$\forall n > 0 :$$

$$\exists z \in L, |z| \geq n :$$

$$\forall u, v, w, x, y : |vwx| \leq n, |vx| \geq 1 \\ z = uvwxy \rightarrow \exists i \geq 0 : uv^iwx^iy \notin L$$

4 Pushdown Automata

4.1 Definition: A pushdown automaton (PDA)

is a 7 tuple $M = (Q, \Sigma, \Gamma, \delta, q_0, Z_0, F)$ where:

- Q is a finite set of *states*
- Σ is the *input alphabet*
- Γ is the *stack alphabet*
- $q_0 \in Q$ is the *initial state*
- $Z_0 \in \Gamma$ is the *start stack symbol*
- $F \subseteq Q$ is the set of *final states*, and
- δ is the *transition function*
 i.e. a mapping from $Q \times (\Sigma \cup \{\epsilon\}) \times \Gamma$ to finite subsets of $Q \times \Gamma^* \rightarrow 2^{Q \times \Gamma^*}$

4.2 Accepted languages of the PDA

For PDA $M = (Q, \Sigma, \Gamma, \delta, q_0, Z_0, F)$ we define two languages:

- $L(M)$, the *language accepted by final state*, to be
 $L(M) = \{w \in \Sigma^* | (q_0, w, Z_0) \rightarrow^* (p, \epsilon, \gamma)\}$
for some $p \in F$ and $\gamma \in \Gamma^$*
- $L(M)$, the *language accepted by empty stack*, to be
 $N(M) = \{w \in \Sigma^* | (q_0, w, Z_0) \rightarrow^* (p, \epsilon, \epsilon); \text{ for some } p \in Q\}$

5 Touring Machines

5.1 Definition: A basic Touring Machine (TM) is a 7-tuple $M = \{Q, \Sigma, \Gamma, \delta, q_0, B, F\}$ where:

- Q is a finite set of *states*
- Σ is the *input alphabet*
- Γ is the *tape alphabet* $B \in \Gamma \Rightarrow \Sigma \subseteq \Gamma$
- δ is the *transition function*
- q_0 is the *initial state* and,
- $F \subseteq Q$: is the set of *final states*

5.2 TM modifications:

- Finite storage $\Rightarrow \delta : Q \times \Gamma \times \Gamma^k \rightarrow Q \times \Gamma \times \{L, R, S\} \times \Gamma^k$
- Multiple track tape $\Rightarrow \delta : Q \times \Gamma^{tk} \rightarrow Q \times \Gamma^{tk} \times \{L, R, S\}$
- Two-way infinite tape $\Rightarrow \delta : Q \times \Gamma \rightarrow Q \times \Gamma \times \{L, R, S\}$
- Multiple tapes $\Rightarrow \delta : Q \times \Gamma^{tp} \rightarrow Q \times (\Gamma \times \{L, R, S\})^{tp}$
- Multidimensional tape $\Rightarrow \delta : Q \times \Gamma \rightarrow Q \times \Gamma \times \{L_1, R_1, \dots, L_d, R_d, S\}$

5.3 Universal Touring Machine (UTM)

is a TM, that accepts some *Touring machine* \mathbf{M} description and a word \mathbf{w} . The universal TM then decides if $w \in L(M)$.

[TM description|w] 111 < q_1 > 11 < q_2 > 11 ... 11 < q_k > 111w

\rightarrow language L is **semi-decidable**, if there exists a TM, which:

for every $w \in L$, TM halts in a final state

\rightarrow language L is **decidable**, if there exists a TM, which:

for every $w \in L$, TM halts in a final state

for every $w \notin L$, TM halts in a non-final state

\rightarrow language L is **undecidable**, if it is not decidable.

5.4 Theorems for sets

Let S, A, B be arbitrary sets. Then:

- S is *decidable* $\Rightarrow S$ is *semi-decidable*
- S is *decidable* $\Rightarrow \bar{S}$ is *decidable*
- S and \bar{S} are *semi-decidable* $\Rightarrow S$ is *decidable*
- A and B are *semi-decidable* $\Rightarrow A \cap B$ & $A \cup B$ are *semi-decidable*
- A and B are *decidable* $\Rightarrow A \cap B$ & $A \cup B$ are *decidable*

5.5 Known languages:

- *Diagonalizable language* $\rightarrow L_d = \{\langle M \rangle \mid \langle M \rangle \notin L(M)\}$ - undecidable / not semi-decidable.
- *Universal language* $\rightarrow L_u = \{\langle M \rangle, w \mid w \in L(M)\}$ - semi-decidable, but not decidable.
- *Empty language* $\rightarrow L_e = \{\langle M \rangle \mid L(M) = \emptyset\}$ - undecidable
- *Non-Empty language* $\rightarrow L_{ne} = \{\langle M \rangle \mid L(M) \neq \emptyset\}$ - semi-decidable, but not decidable.

5.6 Rice's theorem for (not)semi-decidability:

1. $L \in S \wedge L \subseteq L' \Rightarrow L' \in S$
2. $L \in S \wedge L$ infinite $\Rightarrow \exists L' \subseteq L : L \in S, L'$ finite
3. innumerability of final sets in S

$$(1) \wedge (2) \wedge (3) \Leftrightarrow L_s \text{ is semi-decidable}$$

6 Complexity classes

6.1 In terms of formal languages:

- $\text{DTIME}(T(n)) = \{L \mid L \text{ is a language} \wedge L \text{ has time complexity } T(n)\}$
- $\text{DSpace}(S(n)) = \{L \mid L \text{ is a language} \wedge L \text{ has space complexity } S(n)\}$
- $\text{NTIME}(T(n)) = \{L \mid L \text{ is a language} \wedge L \text{ has nondet. time complexity } T(n)\}$
- $\text{DSpace}(S(n)) = \{L \mid L \text{ is a language} \wedge L \text{ has nondet. space complexity } S(n)\}$

6.2 In terms of decision problems:

- $\text{DTIME}(T(n)) = \{D \mid D \text{ is a decision problem} \wedge L(D) \text{ has time complexity } T(n)\}$
- $\text{DSpace}(S(n)) = \{D \mid D \text{ is a decision problem} \wedge L(D) \text{ has space complexity } S(n)\}$
- $\text{NTIME}(T(n)) = \{D \mid D \text{ is a decision problem} \wedge L(D) \text{ has nondet. time complexity } T(n)\}$
- $\text{DSpace}(S(n)) = \{D \mid D \text{ is a decision problem} \wedge L(D) \text{ has nondet. space complexity } S(n)\}$

6.3 Relations between different complexity classes:

- $\text{DTIME}(T(n)) \subseteq \text{DSpace}(T(n))$ i.e. What can be solved in time $O(T(n))$, can also be solved on space $O(T(n))$
 - $L \in \text{DSpace}(S(n)) \wedge S(n) \geq \log_2 n \Rightarrow \exists c : L \in \text{DTIME}(c^{S(n)})$ i.e. What can be solved nondeterministically in space $O(S(n))$, can be solved deterministically in (at most) time $O(c^{S(n)})$
 - $L \in \text{NTIME}(T(n)) \Rightarrow \exists c : L \in \text{DTIME}(c^{T(n)})$ i.e. What can be solved nondeterministically in time $O(T(n))$, can be solved deterministically in (at most) time $O(c^{T(n)})$
- Consequently, the substitution of nondeterministic algorithm with a deterministic one causes at most *exponential* increase in the **time** required to (deterministically) solve a problem.
- $\text{NSPACE}(S(n)) \subseteq \text{DSpace}(S^2(n))$, if $S(n) \geq \log_2 n \wedge S(n)$ is "well behaved" i.e. What can be solved nondeterministically on space $O(S(n))$, can also be solved deterministically on space $O(S^2(n))$
- Consequently, the substitution of nondeterministic algorithm with a deterministic one causes at most *quadratic* increase in the **space** required to (deterministically) solve a problem.

6.4 Define P, NP, PSPACE, NPSPACE:

- $P = \cup_{i \geq 1} \text{DTIME}(n^i)$ is the class of all decision problems **deterministically** solvable in *polynomial time*.
- $NP = \cup_{i \geq 1} \text{NTIME}(n^i)$ is the class of all decision problems **nondeterministically** solvable in *polynomial time*.
- $\text{PSPACE} = \cup_{i \geq 1} \text{DSpace}(n^i)$ is the class of all decision problems **deterministically** solvable on *polynomial space*.
- $\text{NPSPACE} = \cup_{i \geq 1} \text{NSPACE}(n^i)$ is the class of all decision problems **nondeterministically** solvable on *polynomial space*.

6.5 Relations between P, NP, PSPACE, NPSPACE:

$$P \subseteq NP \subseteq \text{PSPACE} = \text{NPSPACE}$$

Proof:

- $P \subseteq NP \rightarrow$ Every deterministic TM of polynomial time complexity can be viewed as a (trivial) nondeterministic TM of the same complexity.
- $NP \subseteq \text{PSPACE} \rightarrow$ If $L \in NP$, then $\exists k$ such that $L \in \text{NTIME}(n^k)$. So $L \in \text{NSPACE}(n^k)$, and hence $L \in \text{DSpace}(n^{2k})$. Therefore $L \in \text{PSPACE}$.
- $(\text{PSPACE} = \text{NPSPACE}) \rightarrow$ Trivially, $\text{PSPACE} \subseteq \text{NPSPACE}$. The opposite direction: $\text{NPSPACE} = (\text{def}) = \cup \text{NSPACE}(n^i) \subseteq (\text{by Savitch}) \subseteq \cup \text{DSpace}(n^j) \subseteq \text{PSPACE}$