

Report 1.1: Quantum Operators

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Abstract

This note is a collection of exercises and solutions on quantum operators in the context of mathematical modeling. The problems were generated by chatGPT after providing the model with Baragrello's textbook as reference.

1 The Bosonic Number Operator

Problem: Consider a system of L bosonic modes described by the operators a_l and a_l^\dagger , satisfying the commutation relations:

$$[a_l, a_n^\dagger] = \delta_{ln} \mathbb{I}, \quad [a_l, a_n] = [a_l^\dagger, a_n^\dagger] = 0$$

Show that the number operator $\hat{N} = \sum_{l=1}^L a_l^\dagger a_l$ is self-adjoint and find its action on the Fock state $|n_1, n_2, \dots, n_L\rangle$.

Proof. The total number operator \hat{N} can be expressed as a sum of number operators for each states. Define

$$\hat{n}_l := a_l^\dagger a_l \tag{1.1}$$

then, this auxillary number operator for state l recovers the number of particles in state l .

We first recall how a Fock state is constructed. Given a set of operators $\{a_l, a_l^\dagger | 1 \leq l \leq L\}$, there exists a vaccum state ϕ_0 where $a_l \phi_0 = 0$ for all $0 \leq l \leq L$. The Fock state $|n_1, \dots, n_L\rangle$ is defined as

$$\varphi_{n_1, \dots, n_L} := |n_1, \dots, n_L\rangle := \frac{1}{\sqrt{n_1! \dots n_L!}} (a_1^\dagger)^{n_1} \dots (a_L^\dagger)^{n_L} \varphi_0 \tag{1.2}$$

The intuition behind the construction is that the operators a_l^\dagger corresponds to the creation operators, and the a_l to the anihilation operators. Thus, if the Fock state has n_l particles in state l , then the state can be recovered from the vaccum state by adding n_l creation operators. The reciprocal of the sqareroot of the factorials ensure that the state is normalized, i.e. $\|\varphi_{n_1, \dots, n_L}\| = \|\varphi_0\| = 1$.

We now show that

$$\hat{n}_l |n_1, \dots, n_L\rangle = n_l |n_1, \dots, n_L\rangle. \tag{1.3}$$

It suffices to show that

$$(a_l^\dagger a_l) (a_l^\dagger)^{n_l} \varphi_0 = n_l (a_l^\dagger)^{n_l} \varphi_0 \tag{1.4}$$

since each operator corresponding to different states commute. We prove this by induction. For simplicity, we drop the subscripts and write a, n instead of a_l, n_l . Induct on n . For the base case,

$$(a^\dagger a)(a^\dagger)^0 \varphi_0 = 0 \quad (1.5)$$

since the vacuum is annihilated by a . For the inductive step, consider the following.

$$(a^\dagger a)(a^\dagger)^n \varphi_0 = a^\dagger (a a^\dagger)(a^\dagger)^{n-1} \varphi_0 = a^\dagger (I + a^\dagger a)(a^\dagger)^{n-1} \varphi_0 \quad (1.6)$$

$$= (a^\dagger)^n \varphi_0 + (a^\dagger a)(a^\dagger)^{n-1} \varphi_0 = (1 + n - 1)(a^\dagger)^n \varphi_0 = n(a^\dagger)^n \varphi_0 \quad (1.7)$$

which proves our claim.

The total number operator is sum of all the auxiliary operators. That is,

$$\hat{N} = \sum_{l=1}^L n_l \quad (1.8)$$

and thus, the action of the total number operator on a Fock state is

$$\hat{N}|n_1, \dots, n_L\rangle = \left(\sum_{l=1}^L n_l \right) |n_1, \dots, n_L\rangle \quad (1.9)$$

□

2 The Fermionic Number Operator

Problem: For a system of fermionic modes, the creation and annihilation operators b_l and b_l^\dagger satisfy the anticommutation relations:

$$\{b_l, b_n^\dagger\} = \delta_{ln} \mathbb{I}, \quad \{b_l, b_n\} = \{b_l^\dagger, b_n^\dagger\} = 0$$

Prove that the number operator $\hat{N} = \sum_{l=1}^L b_l^\dagger b_l$ has eigenvalues 0 and 1 for each mode, and show why this implies the Pauli exclusion principle.

Proof. We build on from a similar framework as we did from the Bosonic model. However, the Common Anticommutator Relation (CAR) implies the following.

$$\{b_n^\dagger, b_n^\dagger\} = 2(b_n^\dagger)^2 = 0 \quad \text{or} \quad (b^\dagger)^2 = 0 \quad (2.1)$$

This implies that for Fock states that have $n_l > 1$, the state must be identically zero.

The Pauli exclusion principle states that there cannot be more than one electron in one state, and the CAR implies this principle; if a state had more than one electron in one level, it would be equivalent to the zero state. □

3 Schrodinger and Heisenberg representations

Problem: Suppose A is an observable in the quantum system \mathcal{S} . What is the Schrodinger representation and the Heisenberg representation of A ? Derive a relation between the two observables.

Solution. The Schrodinger representation of the observable is a time independent matrix that describes the observable. From the representation, we can derive the expected value of the observable given a state $\Psi(t)$

$$\langle A \rangle = \langle \Psi(t), A\Psi(t) \rangle \quad (3.1)$$

Suppose the time evolution of $\Psi(t)$ is governed by a time evolution operator $U(t)$, i.e.

$$\Psi(t) = U(t)\Psi_0 \quad (3.2)$$

where Ψ_0 is the initial state. Then, the expected value of the observable can be rewritten as

$$\langle A \rangle = \langle U(t)\Psi_0, AU(t)\Psi_0 \rangle = \langle \Psi_0, U(t)^\dagger AU(t)\Psi(0) \rangle = \langle U(t)^\dagger AU(t) \rangle_H \quad (3.3)$$

where the time dependence has been incorporated into the observable, not the state. This new time dependent operator is the **Heisenberg Representation** of observable A . In symbols,

$$A(t) := U(t)^\dagger AU(t) \quad (3.4)$$

Recall the Schrodinger equation. The time derivative operator is governed by the Hamiltonian. We assume the Hamiltonian to be time independent and self-adjoint.

$$i\frac{\partial}{\partial t}U(t) = H(t)U(t) \quad \text{and} \quad -i\frac{\partial}{\partial t}U(t)^\dagger = U(t)^\dagger H(t)^\dagger \quad (3.5)$$

Take the time derivative of equation (3.3) by invoking the chain rule.

$$\begin{aligned} \frac{\partial}{\partial t}A(t) &= \left(\frac{\partial}{\partial t}U(t)^\dagger \right) AU(t) + U^\dagger(t)A \left(\frac{\partial}{\partial t}U(t) \right) \\ &= U(t)^\dagger (iH^\dagger A - iAH) U(t) \end{aligned} \quad (3.6)$$

Thus,

$$\dot{A}(t) = [A(t), H(t)] \quad (3.7)$$

where $H(t)$ is the Heisenberg representation of the Hamiltonian.

Also, if the Shrodinger equation is time-dependent, then

$$\dot{A}(t) = [A(t), H(t)] + i\frac{\partial}{\partial t}A \quad (3.8)$$

□

2.4 Dynamics for a Quantum System: Schrödinger Representation

Problem: Starting from the Schrödinger equation:

$$i \frac{\partial}{\partial t} \Psi(t) = H(t) \Psi(t)$$

derive the expression for the unitary time evolution operator $U(t, t_0)$ when the Hamiltonian $H(t)$ does not explicitly depend on time. Then, generalize this result for the time-dependent case where $[H(t_1), H(t_2)] = 0$ for all t_1, t_2 .

Solution. First assume that the Hamiltonian is constant. Then, the equation is separable, and we notice that

$$i \left(\frac{\partial}{\partial t} \Psi(t) \right) \frac{1}{\Psi(t)} = H \quad (3.9)$$

and by taking the derivative with respect to time both sides,

$$\ln(\Psi(t)) = -iHt + \ln(A) \quad (3.10)$$

and thus,

$$\Psi(t) = Ae^{-iHt} \quad (3.11)$$

where the constant A depends on the initial condition. We know that $\Psi(0)$ is the initial state. Hence,

$$\Psi(t) = e^{-iHt} \Psi(0) \quad (3.12)$$

Suppose the Hamiltonian varies as a function of time, but the evolution is time independent. That is, for any $t_1, t_2 > 0$,

$$[U(t_1), U(t_2)] = 0. \quad (3.13)$$

We repeat the process layed below.

$$i \left(\frac{\partial}{\partial t} \Psi(t) \right) \frac{1}{\Psi(t)} = H(t) \quad (3.14)$$

$$\ln(\Psi(t)) - \ln(\Psi(0)) = -i \int_0^t H(u) du \quad (3.15)$$

$$\Psi(t) = \exp \left(-i \int_0^t H(u) du \right) \Psi(0) \quad (3.16)$$

Based on the integral, it is possible to obtain the commutator of two time evolution operators.

$$[U(t_1), U(t_2)] = \int_0^{t_2} \int_0^{t_1} [H(u), H(v)] dv du \quad (3.17)$$

So if the Hamiltonians-which are also Schrodinger representations, and hence a matrix- do not commute, then it is not guaranteed that

$$U(t_1)U(t_2) = U(t_2)U(t_1) \quad (3.18)$$

□

2.5 Heisenberg Uncertainty Principle

Problem: Consider two operators A and B acting on a Hilbert space H . The uncertainties ΔA and ΔB are defined as:

$$(\Delta A)^2 = \langle \psi, (A - \langle A \rangle)^2 \psi \rangle, \quad (\Delta B)^2 = \langle \psi, (B - \langle B \rangle)^2 \psi \rangle$$

where $\langle A \rangle = \langle \psi, A \psi \rangle$ and similarly for B . Prove the Heisenberg uncertainty principle:

$$\Delta A \Delta B \geq \frac{1}{2} |\langle [A, B] \rangle|$$

where $[A, B]$ is the commutator of A and B .

Proof. We apply the Cauchy-Schwartz inequality. The inner product of two vectors are less than the product of their respective norms.

$$\langle (A^2 - \langle A \rangle^2) \Psi, (B^2 - \langle B \rangle^2) \Psi \rangle \leq \| (A^2 - \langle A \rangle^2) \Psi \|^2 \| (B^2 - \langle B \rangle^2) \Psi \|^2 \quad (3.19)$$

The norm of $(A^2 - \langle A \rangle^2) \Psi$ squared is

$$\| (A^2 - \langle A \rangle^2) \Psi \|^2 = \langle (A^2 - \langle A \rangle^2) \Psi, (A^2 - \langle A \rangle^2) \Psi \rangle = (\Delta A)^4. \quad (3.20)$$

Apply the same equation to B and take square root on both sides equation (3.19).

$$\langle (A - \langle A \rangle) \Psi, (B - \langle B \rangle) \Psi \rangle \leq (\Delta A \Delta B)^2 \quad (3.21)$$

¹ Concentrate all operators to one side of the norm.

$$\langle \Psi, (A - \langle A \rangle)(B - \langle B \rangle) \Psi \rangle \leq (\Delta A \Delta B)^2 \quad (3.22)$$

It is straightforward to verify the following equation.

$$\begin{aligned} (A - \langle A \rangle)(B - \langle B \rangle) &= \frac{(A - \langle A \rangle)(B - \langle B \rangle) + (A - \langle A \rangle)(B - \langle B \rangle)}{2} \\ &\quad + \frac{(A - \langle A \rangle)(B - \langle B \rangle) - (A - \langle A \rangle)(B - \langle B \rangle)}{2} \end{aligned} \quad (3.23)$$

¹It is straightforward to show that the LHS of this equation squared is the LHS of (3.19)

We call the former operator F and the latter operator C . Notice that $C^\dagger = -C$ so the operator must be purely imaginary. Taking the expected value of both F, C , we verify that

$$\langle (A - \langle A \rangle)(B - \langle B \rangle) \rangle = \langle \frac{1}{2} (\{A, B\} + i[A, B]) \rangle \quad (3.24)$$

Finally, we obtain the Heisenberg inequality by ignoring the anticommutator.

$$(\Delta A \Delta B)^2 \geq |\langle \frac{1}{2} (\{A, B\} + i[A, B]) \rangle|^2 \geq \frac{1}{4} \|\langle [A, B] \rangle\|^2 \quad (3.25)$$

$$\Delta A \Delta B \geq \frac{1}{2} \|\langle [A, B] \rangle\| \quad (3.26)$$

□