

2. Let  $K$  be a number field, and let  $I$  be an ideal in  $\mathcal{O}_K$ . If  $\alpha \in I$ , prove that  $N(\alpha) \in I$ .

**Proof**

Recall the definition of  $N(\alpha)$ .

$$N(\alpha) = \prod_{i=1}^n \sigma_i(\alpha)$$

where each  $\sigma_i(\alpha)$  are the embedded images of  $\alpha$  and  $n$  is the degree of the extension. WLOG, we claim that  $\sigma_1(\alpha) = \alpha$ . If  $n = 1$ , then the norm of  $\alpha$  equals to  $\alpha$  and the theorem becomes trivial. Assume  $n > 1$ .

It suffices to show that the following product is an element of  $\mathcal{O}_K$ :

$$P := \prod_{i=2}^n \sigma_i(\alpha)$$

Notice:

$$N(\alpha) = \alpha \cdot P$$

by strong closure of ideals,  $\alpha \in I$  implies the product implies that the Norm is in  $I$ . Nonetheless, it must be shown that the product  $P$  is in the ring.

Consider the field polynomial of  $\alpha$ . It must be a polynomial over  $\mathbb{Z}$ . Call it  $f(t)$ . Write:

$$f(t)/(t - \alpha) = \prod_{i=2}^n (t - \sigma_i(\alpha))$$

By polynomial long division, we notice that the constant term of the LHS is some constant within  $\mathcal{O}_K$ . By Viète's relation, that constant term is exactly the desired product  $P$ . □

5. Prove that if  $d$  is an integer that satisfies:

1.  $d \equiv 2, 3 \pmod{4}$
2.  $5 \nmid d$

then  $\mathbb{Z}[\sqrt{d}]$  is not a UFD.

**Proposition** We first show that for any ring element  $\alpha := a + b\sqrt{d}$ ,  $\sqrt{d}|\alpha$  if and only if  $d|a$ .

( $\Rightarrow$ ) If  $d|a$ , there exists some element  $\xi \in \mathbb{Z}[\sqrt{d}]$  that satisfies  $a = d\xi$ . Thus,  $a = -\sqrt{d} \cdot (\sqrt{d}\xi)$ .

Write:

$$a + b\sqrt{d} = \sqrt{d}(\xi\sqrt{d} + b)$$

which concludes this side of the proof.

( $\Leftarrow$ )  $\sqrt{d}|(a + b\sqrt{d})$  implies  $\sqrt{d}|a$  in the ring  $\mathbb{Z}[\sqrt{d}]$ . Again, write  $a$  in terms of multiples of  $\xi$ .

$$a = \sqrt{d}\xi$$

Multiply by the algebraic conjugate both side.

$$a^2 = \sqrt{d}(-\sqrt{d})(\xi)(\bar{\xi}) = -dN(\xi)$$

Looking at the equation in the ring  $\mathbb{Z}$ , we conclude that  $d|a^2$  and thus  $d|a$ , for  $d$  is squarefree.

**Proof** We claim that  $\sqrt{d}$  must be a unit. Assume for a contradiction, that there is a pair of nonunit elements,  $\alpha, \beta$  that multiply to become  $\sqrt{d}$ . Taking the norm:

$$-d = N(\alpha\beta) = N(\alpha)N(\beta)$$

For  $\alpha, \beta$  are non-units, they must both have an absolute norm greater than 1. Write:

$$\alpha := a + b\sqrt{d}, \beta := e + f\sqrt{d}$$

$$N(\alpha) \cdot N(\beta) = (a^2 - db^2)(e^2 - df^2)$$

$$-d = a^2e^2 - d[a^2f^2 - b^2e^2] - d^2(b^2f^2)$$

$a, b, e, f$  are all integers. Take (mod  $d$ ) both sides. We obtain  $d|ae$

Denote  $k := ae/d$ , an integer. Now, divide both sides of the previous result by  $-d$ . Obtain:

$$1 = -kae + [a^2f^2 - b^2e^2] + d(b^2f^2)$$

$$1 + b^2e^2 - a^2f^2 = d(b^2f^2 - k^2)$$

From the equation, we deduce  $d|(1 + b^2e^2 - a^2f^2)$ . Thus,  $d|(e + b^2e^3 - a^2f^2e)$ . Recall that  $d|ae$ .  $d|(e(1 + b^2e^2))$ . The greatest common divisor of the two factors must be one, that is,  $\gcd(e, 1 + b^2e^2) = 1$ . It follows that either  $d|e$  or  $d|(1 + b^2e^2)$ .

If  $d|e$ , then  $\sqrt{d}|\beta$  by proposition. We can write:

$$\sqrt{d} = \alpha(\beta/\sqrt{d})\sqrt{d}$$

And by cancellation:

$$1 = \alpha(\beta/\sqrt{d})$$

So  $\alpha$  must be a unit, hence a contradiction.

Try the other case, when  $d|(1 + b^2e^2)$ .  $\gcd(d, e) = 1$  for this case, and since  $d|ae$ ,  $d|a$  necessarily. Again, by the logic used in the previous case,  $\sqrt{d}$  must divide  $\alpha$  and by cancellation. We end up with  $\beta$  unit, a contradiction.  $\zeta$

We have demonstrated that  $\sqrt{d}$  is irreducible  $\checkmark$

If  $d \equiv 2$ , then  $2|d$ . Also,  $5|d$  so  $d$  is a composite integer. We come up with two decomposition for  $d$  in the ring  $\mathbb{Z}[\sqrt{d}]$ :

$$\sqrt{d}\sqrt{d} = 2 \cdot (d/2)$$

We claim that  $\sqrt{d}$  is not prime, though it is irreducible. If  $\sqrt{d}$  is prime, it must divide one of 2 or  $d/2$ . However, by our proposition, the division must also hold in the ring  $\mathbb{Z}$ , which is not the case. We have found an irreducible that is not prime, and this shows that  $\mathbb{Z}[\sqrt{d}]$  is not a UFD.

We may use a similar claim for  $d \equiv 3 \pmod{4}$ . Since  $5|d$ , unless  $d = -5$ ,  $d$  is composite. We repeat the same argument above, replacing 2 by 5. That is,  $d = 5 \cdot (d/5)$ .

Finally, it remains to show that  $\mathbb{Z}[-5]$  is not a UFD. Consider the following:

$$(1 + \sqrt{-5})(1 - \sqrt{-5}) = 2 \cdot 3$$

2, 3 are prime, since their norms are 4, 9 respectively. For either of them to have a nonunit divisor, there must exist some ring element that has a norm of 2 or 3, which is not possible. 2 clearly doesn't divide any of the terms in the LHS. Thus, 2 is an irreducible that is not a prime. This concludes the proof.  $\square$

6. Consider the ring  $R = \mathbb{Z}[\sqrt{-3}]$ . Let  $I := \langle 2, 1 + \sqrt{-3} \rangle$  be an ideal in  $R$ .

(i) Prove that  $I \neq \langle 2 \rangle$  in  $R$

**Proof** The element  $1 + \sqrt{-3}$  is in the ideal  $I$ , but it is not in  $\langle 2 \rangle$ . Assume for a contradiction,  $1 + \sqrt{-3} \in \langle 2 \rangle$ . For some element  $\xi \in R$ :

$$1 + \sqrt{-3} = 2\xi$$

Write  $\xi = a + b\sqrt{-3}$  where  $a, b$  are integers.

$$1 + \sqrt{-3} = 2a + 2b\sqrt{-3}$$

The coefficients must match, so  $2a = 1$  for some integer  $a$ . There is no integer solution, and we conclude that  $1 + \sqrt{-3}$  is not in  $\langle 2 \rangle$

(2) Prove that  $I^2 = \langle 2 \rangle I$

**Proof** Recall the identity:

$$\langle a, b \rangle^2 = \langle a^2, ab, b^2 \rangle$$

Rewrite the LHS:

$$I^2 = \langle 2, 1 + \sqrt{-3} \rangle^2 = \langle 4, 2 + 2\sqrt{-3}, 1 - 3 + 2\sqrt{-3} \rangle = \langle 4, 2 + 2\sqrt{-3}, 2 - 2\sqrt{-3} \rangle$$

Notice:

$$4 - (2 + 2\sqrt{-3}) = 2 - 2\sqrt{-3}$$

We write:

$$I^2 = \langle 4, 2 + 2\sqrt{-3} \rangle$$

Moving on to the RHS:

$$\langle 2 \rangle I = \langle 2 \rangle \langle 2, 1 + \sqrt{-3} \rangle = \langle 4, 2 + 2\sqrt{-3} \rangle$$

We conclude:

$$I^2 = \langle 2 \rangle I$$

(iii) Is  $R$  a dedekind domain?

**Claim** No  $R$  is not a dedekind domain.

**Proof** First establish two propositions. First of all, 2 is irreducible in the ring  $R$ . Assume for a contradiction that 2 is reducible. Write:

$$(a + b\sqrt{-3})(c + d\sqrt{-3}) = 2$$

where  $a, b, c, d \in \mathbb{Z}$

Multiplying both sides by the algebraic conjugate, we get:

$$(a^2 + 3b^2)(c^2 + 3d^2) = 2 \cdot \bar{2} = 4$$

If either one of the two terms equal 1, then  $(a, b) = (\pm 1, 0)$  or  $(c, d) = (\pm 1, 0)$  which in case shows that 2 is irreducible. Thus, we consider  $(a^2 + 3b^2) = 2$ . However, this equation has no integer solution, since  $|b| < 1$  but then  $a^2 = 0$  which has no solution.

The second proposition is that  $I$  is a proper ideal. It suffices to show that  $1 \notin I$ . Assume  $1 \in I$  for a contradiction. Write:

$$2(a + b\sqrt{-3}) + (1 + \sqrt{-3})(c + d\sqrt{-3}) = 1$$

Where  $a, b, c, d \in \mathbb{Z}$  Comparing coefficients, we deduce:

$$2a + c + 3d = 1$$

$$2b - c + d = 0$$

Adding up, we get:

$$2a + 4d = 1$$

But the LHS is even while the RHS is odd. We have reached a contradiction and indeed  $I$  is proper.

$I$  is not a dedekind domain. The ideal  $\langle 2 \rangle$  is a prime ideal, for 2 is irreducible. Clearly,  $\langle 2 \rangle \subsetneq \langle 2, 1 + \sqrt{-3} \rangle = I$ . On part(1), we showed that the two ideals are distinct. By the proposition established,  $I$  is a proper Ideal. Thus  $\langle 2 \rangle$  is a prime ideal that is not maximal.  $R$  is not a dedekind domain.  $\square$

7. Show in  $\mathbb{Z}[\sqrt{-5}]$  that  $\sqrt{-5} \mid (a + b\sqrt{-5})$  iff  $5 \mid a$ . Deduce that  $\sqrt{-5}$  is prime in  $\mathbb{Z}[\sqrt{-5}]$ . Hence, conclude that the element 5 factors uniquely in this ring, even if the ring is not a UFD.

**Proof** ( $\Leftarrow$ ) If  $5 \mid a$ , there exists some element  $\xi \in \mathbb{Z}[\sqrt{-5}]$  that satisfies  $a = 5\xi$ . Thus,  $a = -\sqrt{-5} \cdot (\sqrt{-5}\xi)$ .

Write:

$$a + b\sqrt{-5} = \sqrt{-5}(-\xi\sqrt{-5} + b)$$

which concludes this side of the proof.

( $\Rightarrow$ )  $\sqrt{-5} \mid (a + b\sqrt{-5})$  implies  $\sqrt{-5} \mid a$ . Again, write  $a$  in terms of multiples of  $\xi$ .

$$a = \sqrt{-5}\xi$$

Multiply by the algebraic conjugate both side.

$$a^2 = \sqrt{-5} - (\sqrt{-5})(\xi)(\bar{\xi}) = 5N(\xi)$$

Looking at the equation in the ring  $\mathbb{Z}$ , we conclude that  $5 \mid a^2$  and thus  $5 \mid a$ , for 5 is prime.

Take any two elements  $\alpha, \beta$  in the ring  $\mathbb{Z}[\sqrt{-5}]$ . Assume that the product is divisible by  $\sqrt{-5}$ . Write:

$$\alpha := a + b\sqrt{-5}, \beta := c + d\sqrt{-5}$$

$$\begin{aligned}\alpha\beta &= (a + b\sqrt{-5})(c + d\sqrt{-5}) \\ &= ac - 5bd + \sqrt{-5}(ad + bc)\end{aligned}$$

The real part of the product must be divisible by 5, by the proposition that we have proven.  $5|(ac - 5bd)$  so  $5|ac$ . WLOG,  $5|a$ . Again, by the proposition,  $\sqrt{-5}|\alpha$  as desired.

Move on to factorize 5.  $5 = -(\sqrt{-5})^2$ . Any irreducible factorization of 5 must include two associates of  $\sqrt{-5}$ . Assume we have another factorization that has more irreducibles other than these two associates. After cancellation, we are left with a set of irreducibles that multiply up to a unit, which is impossible for all irreducibles are nonunits. We conclude that the factorization is unique up to associates.

8. In domain  $D$ , a principal ideal  $\langle p \rangle$  is prime iff  $p$  is zero or prime.

**Proof** ( $\Leftarrow$ ) If  $p = 0$ , then the ideal  $\langle p \rangle = 0$ . The zero ideal must be prime, for integral domains don't have zero divisor. Suppose  $p$  is prime, but  $\langle p \rangle$  is not a prime ideal. It is possible to obtain two elements  $a, b \in D$  such that  $a, b \notin \langle p \rangle$  but  $ab \in \langle p \rangle$ . This means  $p|ab$ . Since  $p$  is prime,  $p|a$  WLOG. This implies  $a \in \langle p \rangle$  which is a contradiction.

( $\Rightarrow$ ) Assume that  $\langle p \rangle$  is a prime ideal, but  $p$  is nonzero and nonprime. For  $p$  is nonprime, write:

$$pq = ab$$

for  $a, b \in D$  which are nonzero and nonunits, and some  $q \in D$ . Recall that in a domain, an ideal is prime iff the domain mod ideal is also a domain. Since  $\langle p \rangle$  is domain by assumption, the fractional domain  $D/\langle p \rangle$  must have no zero divisors.

We claim that  $a + \langle p \rangle$  and  $b + \langle p \rangle$  are zero divisors. Clearly, both of them are nonzero by assumption. Write:

$$(a + \langle p \rangle)(b + \langle p \rangle) = ab + \langle p \rangle$$

and notice that  $ab \in \langle p \rangle$  so indeed the coset is the zero coset.  $\square$