MixFishSim: highly resolved spatiotemporal simulations for exploring mixed fishery dynamics

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Abstract

Most fisheries exploit a variety of spatially and temporally heterogeneous fish populations using species-unselective gear that can result in unintended, unwanted catch of low quota or protected species. Reducing these unwanted catches is crucial for biological and economic sustainability of 'mixed fisheries' and implementation of an ecosystem approach to fishing.

If fisheries are to avoid unwanted catch a good understanding of spatiotemporal fishery dynamics is required. However, traditional scientific advice is limited by a lack of highly resolved knowledge of population distributions, population movement and how fishers interact with different fish populations. This reflects the fact that data on fish location at high temporal and spatial resolutions is expensive and difficult to collect. Proxies inferred from either scientific surveys or commercial catch data are often used to model distributions, often with sparse data at limited spatial and temporal resolution.

To understand how data resolution impacts inference on mixed fisheries interactions, we develop a highly resolved spatiotemporal simulation model incorporating: i) delay-difference population dynamics, ii) population movement

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using Gaussian Random Fields to simulate patchy, heterogeneously distributed

populations, and iii) fishery dynamics for multiple fleet characteristics based

on species targeting via a mix of correlated random walk movement (for explo-

ration) and learned behaviour (for exploitation) phases of the fisheries.

We simulate 50 years of fishing and use the results from the fisheries catch

to draw inference on the underlying population structures. We compare this

inference to a simulated fixed-site sampling design commonly used for fisheries

monitoring purposes and the true underlying population structures input to the

simulation. We i) use the results to establish the potential and limitations of

fishery-dependent data in providing a robust picture of spatiotemporal distribu-

tions; and ii) simulate an area closure based on areas defined from the different

data sources at a range of temporal and spatial resolutions and assess their ef-

fectiveness on reducing catches of a fish population.

We conclude from our simulations that commercial data, while containing

bias, provides a useful tool for managing catches in mixed fisheries if applied at

the correct spatiotemporal scale.

[333 words]

Keywords: Some, keywords, here. Max 6

2010 MSC: 00-01, 99-00

1. Introduction

Fishers exploit a variety of fish populations that are heterogeneously dis-

tributed in space and time, with varying knowledge of species distributions and

using species non-selective fishing gear. In doing so fisheries catch an assemblage

of species and may discard over-quota catch when managed by single species

quotas and fishers exhaust one or more quota. This may lead to overexploita-

tion of fish populations (Ulrich et al., 2011; Batsleer et al., 2015). Discarding

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of fish in excess of quota limits the ability to maintain fishing mortality within sustainable limits (Alverson et al., 1994; Crowder et al., 1998; Rijnsdorp et al., 2007) and the ability to manage for the biological and economic sustainability of fisheries. As such, there is increasing interest in technical solutions such as gear and spatial closures as measures to reduce unwanted catch (Kennelly and Broadhurst, 2002; Catchpole and Revill, 2008; Bellido et al., 2011).

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Changes to spatial fishing patterns have been proposed as a method to reduce discards (Holmes et al., 2011; Little et al., 2014; Dunn et al., 2014). Implemen-16 tation of avoidance measures is, however, restricted by lack of knowledge of fish 17 and fishery spatiotemporal dynamics and understanding of the scale at which 18 processes become important for management. Understanding the correct scale for spatial measures is crucial for implementation at a resolution that ensures effective management (Dunn et al., 2016) while minimising economic impact. For 21 example, a scale that promotes species avoidance for vulnerable or low quota species while allowing continuance of sustainable fisheries for available quota 23 species. 24

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Identifying an appropriate scale has been a challenge in the past that has 26 led to ineffectual measures with unintended consequences such as limited impact 27 towards the management objective or increased benthic impact on previously 28 unexploited areas (e.g. the cod closure in the North Sea (Rijnsdorp et al., 2001; Dinmore et al., 2003)). More refined spatial information has since become available through the combination of logbook and Vessel Monitoring System (VMS) 31 data (Lee et al., 2010; Bastardie et al., 2010; Gerritsen et al., 2012; Mateo et al., 32 2016) and more real-time spatial management has been possible (e.g. Holmes 33 et al., 2011). Such information is, however, derived from an inherently biased sampling programme, targeted fishing, where fishers establish favoured fishing grounds first through an explore-exploit strategy Bailey et al. (2018) and then 36 use experience to return to areas of high catch. 37

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This comes as a surprise: I thought this was going to be about discardsAgree, have removed this to avoid confusion

- We ask two fundamental questions regarding spatiotemporal inference derived from observational data:
- 1. How does sampling-derived data reflects the underlying population structures?
- 2. How does data aggregation and source impact on spatial fisheries management measures?
- To answer these questions we i) develop a simulation model where popu-45 lation dynamics are highly-resolved in space and time. Being known directly 46 rather than inferred from sampling or commercial catch, we can use the pop-47 ulation model to evaluate how inference from fisheries-dependent and fisheries independent sampling relates to the real population structure. We ii) compare, at different spatial and temporal aggregations, the simulated population distributions to samples from fisheries-dependent and fisheries independent catches 51 to test if these are a true reflection of the relative density of the populations. We 52 then iii) simulate a fishery closure to protect a species based on different spatial 53 and temporal data aggregations. We use these evaluations to draw inference on

the utility of commercial data in supporting management decisions.

57 [We find..]

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#### 58 2. Materials and Methods

A simulation model that is modular and discrete-event based was developed.
This approach enables efficient computation by allowing for sub-modules implemented on time-scales appropriate to capture the characteristic of the different
processes (Figure 1). The following sub-modules were included to capture the
full system: 1) Population dynamics, 2) Recruitment dynamics, 3) Population
movement, 4) fishery dynamics.

If the paper has two goals this should be clear from the start. but may be bet ter over 2 MSsI would like to keep both parts. but have made clearer in how its set out. The closure scenarios form validation of the data aggregation, rather than effectiveness of the closures themselves - so its a continuation of the same question in my

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- Population dynamics (fishing and natural mortality, growth) operate on a daily time-step, while population movement occurs on a weekly time-step. Recruitment takes place periodically each year for a set time duration specified for each population, while the fishing module operates on a tow-by-tow basis (i.e. multiple events a day).
- In the model system population movement is driven by random (diffusive) and directed (advective) processes and we incorporate characterisation of a number of different fishing fleet dynamics exploiting four fish populations with different spatial and population demographics. The following describes the implementation of each of the sub-modules.



Figure 1: Schematic overview of the simulation model. Blue boxes indicate fleet dynamics processes, the green boxes population dynamics processes while the white boxes are the time steps at which processes occur; t = tow, tmax is the total number of tows; (Rec), (Pop Movement), (Pop Dynamics) logic gates for recruitment periods, population movement and population dynamics for each of the populations, (Past Knowledge) a switch whether to use a random (exploratory) or past knowledge (exploitation) fishing strategy.

# 2.1. Population dynamics

The basic population level processes are simulated using a modified two-stage Deriso-Schnute delay difference model (Deriso, 1980; Schnute, 1985; Dichmont et al., 2003) occurring at a daily time-step. A daily time-step was chosen to discretise continuous population processes on a biologically relevant and computationally tractable timescale. Under the population dynamics module population biomass growth and depletion for pre-recruits and recruited fish are modelled separately as a function of previous recruited biomass, intrinsic population growth and recruitment. Biomass for each cell c is incremented each day d as follows (the full parameter list is detailed in Table 1):

$$B_{c,d+1} = (1+\rho)B_{c,d} \cdot e^{-Z_{c,d}} - \rho \cdot e^{-Z_{c,d}} \times (B_{c,d-1} \cdot e^{-Z_{c,d-1}} + Wt_{R-1} \cdot \alpha_{d-1} \cdot R_{\bar{y}(c,y,d-1)}) + Wt_R \cdot \alpha_d \cdot R_{\bar{y}(c,y,d)}$$
(1)

where  $\rho$  is Brody's coefficient, shown to be equal to  $e^{-K}$  when K is the growth rate from a von Bertalanffy logistic growth model (Schnute, 1985).  $Wt_{R-1}$  is the average weight of fish prior to recruitment, while  $Wt_R$  is the average recruited weight.  $\alpha_d$  represents the proportion of fish recruited during that day for the year, while  $R_{c,\tilde{y}}$  is the annual recruits in cell c for year y.

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Mortality  $Z_{c,d}$  can be decomposed to natural mortality,  $M_{c,d}$ , and fishing mortality,  $F_{c,d}$ , where both  $M_{c,d}$  and  $F_{c,d}$  are instantaneous rates with  $M_{c,d}$  fixed and  $F_{c,d}$  calculated by solving the Baranov catch equation (Hilborn and Walters, 1992) for  $F_{c,d}$ :

$$C_{c,d} = \frac{F_{c,d}}{F_{c,d} + M_{c,d}} * (1 - e^{-(F_{c,d} + M_{c,d})}) * B_{c,d}$$
 (2)

where  $C_{c,d}$  is the summed catch from the fishing model across all fleets and vessels in cell c for the population during the day d, and  $B_{c,d}$  the daily biomass for the population in the cell. Here, catch and fishing mortality are the sum of

- those across all fleets and vessels, where  $F_{fl,v,c,d,p} = E_{fl,v,c,d} \cdot Q_{fl,p} \cdot B_{c,d,p}$  with
- fl, v and p the fleet, vessel and population respectively and E and Q fishing
- 88 effort and catchability.

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# $\circ$ 2.2. Recruitment dynamics

Recruitment is modelled through a function relating the mature biomass to recruits at time of recruitment. In *MixFishSim*, it can be modelled either either as a stochastic Beverton-Holt stock-recruit form (Beverton and Holt, 1957):

$$\bar{R}_{c,d} = \frac{(\alpha * S_{c,d})}{(\beta + S_{c,d})}$$

$$R_{c,d} \sim \log N[(\log(\bar{R}_{c,d}), \sigma^2)]$$
(3)

Where  $\alpha$  is the maximum recruitment rate,  $\beta$  the spawning stock biomass (SSB) required to produce half the maximum stock size, S current stock size and  $\sigma^2$  the variability in the recruitment due to stochastic processes, or a stochastic Ricker form (Ricker, 1954):

 $\bar{R}_{c,d} = B_{c,d} * e^{(\alpha - \beta * B_{c,d})}$   $R_{c,d} \sim \log N[(\log(\bar{R}_{c,d}), \log(\sigma^2))]$ (4)

- where  $\alpha$  is the maximum productivity per spawner and  $\beta$  the density dependent
- 22 reduction in productivity as the SSB increases. In our example application the
- Beverton-Holt form of stock recruit relationship was used for all populations
- though either functional form can be chosen.
- 2.3. Population movement dynamics
- To simulate fish population distribution in space and time a Gaussian spatial
- 97 process was employed to model habitat suitability for each of the populations
- on a 2d grid.

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We first defined a Gaussian random field process,  $\{S(c): c \in \mathbb{R}^2\}$ , where for any set of cells  $c_1, \ldots, c_n$ , the joint distribution of  $S = \{S(c1), \ldots, S(c_n)\}$ 

an emergent property of the fleets rather than something we solve for (I could be wrong though!) catch for a vessel is a product of catchability and biomass. i.e. C = qB, but this catch is summed to solve for F. So its both really]

[link F to effort and catchability - as I think

Not clear how habitat/GRF affect local abundances, only have  $B_{y,d}$ Have included cell reference, c to make spatial link explicit

is multivariate Gaussian with a *Matérn* covariance structure, where the correlation strength weakens with distance. This enables us to model the spatial autocorrelation observed in animal populations where density is more similar in nearby locations (Tobler, 1970; F. Dormann et al., 2007) and we change the parameters to implement different spatial structures for the populations.

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The habitat for each of the populations was generated with the *RFSimulate* function of the *RandomFields* R package (Schlater et al., 2015). Each population was initialised at a single location, and subsequently moved according to a probabilistic distribution based on habitat suitability (represented by the normalised values from the GRFs), temperature and distance from current cell:

$$Pr(J|I) = \frac{e^{-\lambda * d_{IJ}} \cdot (Hab_{J,p}^2 \cdot Tol_{J,p,wk})}{\sum\limits_{c=1}^{C} e^{-\lambda * d} \cdot (Hab_{c,p}^2 \cdot Tol_{c,p,wk})}$$
(5)

Where  $d_{IJ}$  is the euclidean distance between cell I and cell J,  $\lambda$  is a given rate of decay,  $Hab_{J,p}^2$  is the squared index of habitat suitability for cell J and population p, with  $Tol_{J,p,wk}$  the temperature tolerance for cell J by population p in week wk (see below).

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During pre-defined weeks of the year the habitat quality is modified with user-defined spawning habitat locations, resulting in each population having concentrated areas where spawning takes place. In the simulations the populations moved towards these cells in the weeks prior to spawning, resulting in directional movement towards the spawning grounds.

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An advection-diffusion process controlled population movement, with a time-varying temperature covariate used to change the interaction between time and suitable habitat on a weekly time-step. Each population p was assigned a thermal tolerance with mean,  $\mu_p$  and variance,  $\sigma_p^2$  so that each cell and popula-

What does it mean concisely?
Areas are assigned? Yes,
the areas are pre-defined - I have amended to reflect and tried to clarify

What have a temperature covariate? Could just use time-Was intended as some biological meaning - species thermal tolerances load onto the

temperature ef-

tion temperature suitability is defined that:

$$Tol_{c,p,wk} = \frac{1}{\sqrt{(2\pi \cdot \sigma_p^2)}} \cdot \exp\left(-\frac{(T_{c,wk} - \mu_p)^2}{2 \cdot \sigma_p^2}\right)$$
 (6)

Where  $Tol_{c,p,wk}$  is the tolerance of population p for cell c in week wk,  $T_{c,wk}$  is
the temperature in the cell given the week and  $\mu_p$  and  $\sigma_p^2$  the mean and standard
deviation of the population temperature tolerance.

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The final process resulted in population structures and movement patterns unique to each species, with population movement occurring on a weekly basis.

The decision to model population movement on a weekly timescale was to reflect that fish tend to aggregate in species specific locations and range within a week is fairly limited [REF!!]. Therefore this process approximated the demographic shifts in fish populations throughout a year with seasonal spawning patterns (e.g. Figure S5).

## 2.4. Fleet dynamics

The fleet dynamics can be broadly categorised into three components; fleet targeting - which determined the fleet catch efficiency and preference towards a 132 particular species; trip-level decisions, which determined the initial location to 133 be fished at the beginning of a trip; and within-trip decisions, determining move-134 ment from one fishing spot to another within a trip. Together, these element 135 implement an explore-exploit type strategy for individual vessels to maximise their catch from an unknown resource distribution (Bailey et al. (2018)). The decision to use an individual based model for fishing vessels was taken because 138 fishers as a group tend to show heterogeneity and individual rather than group 139 dynamics. Thus this was the fleet dynamics is the productive of individual experiences rather than pre-ordained group dynamics.

# 2.4.1. Fleet targeting

Each fleet of n vessels was characterised by both a general efficiency,  $Q_{fl}$ , and a population specific efficiency,  $Q_{fl,p}$ . Thus, the product of these parameters  $[Q_{fl} \cdot Q_{fl,p}]$  affects the overall catch rates for the fleet and the preferential

targeting of one population over another. This, in combination with the parameter choice for the step-function defined below (as well as some randomness from the exploratory fishing process) determined the preference of fishing locations for the fleet. All species prices were kept the same across fleets and seasons.

#### 2.4.2. Trip-level decisions

Several studies (e.g. Hutton et al., 2004; Tidd et al., 2012; Girardin et al., 151 2015) have confirmed past activity and past catch rates are strong predictors of 152 fishing location choice. For this reason, the fleet dynamics sub-model included a 153 learning component, where a vessel's initial fishing location in a trip was based 154 on selecting from previously successful fishing locations. This was achieved by 155 calculating an expected revenue based on the catches from locations fished in 156 the preceding trip as well as the same month periods in previous years and the travel costs from the port to the fishing grounds, and choosing randomly from 158 the top 75 % of fishing events as defined by the expected profit. 159

# 2.4.3. Within-trip decisions

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Fishing locations within a trip are initially determined by a modified ran-161 dom walk process. As the simulation progresses the within-trip decision become gradually more influenced by experience gained from past fishing locations (as 163 per the initial trip-level location choice), moving location choice towards areas 164 of higher perceived profit. A random walk was chosen for the exploratory fishing 165 process as it is the simplest assumption commonly used in ecology to describe 166 optimal animal search strategy for exploiting homogeneously distributed prey about which there is uncertain knowledge (Viswanathan et al., 1999). In a ran-168 dom walk, movement is a stochastic process through a series of steps. These 169 steps have a length, and a direction that can either be equal in length or take 170 some other functional form. The direction of the random walk was also correlated (known as 'persistence') providing some overall directional movement 172 (Codling et al., 2008). 173

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We use a Lévy flight which is a particular form of random walk charac-175 terised by a heavy-tailed distribution of step-length. The Lévy flight has re-176 ceived a lot of attention in ecological theory in recent years as having shown to have very similar characteristics as those observed by animals in nature, and 178 being a near optimum searching strategy for predators pursuing patchily dis-179 tributed prey (Viswanathan et al., 1999; Bartumeus et al., 2005; Sims et al., 180 2008). Bertrand et al. (2007) showed that Peruvian anchovy fishermen have a 181 stochastic search pattern similar to that observed with a lévy flight. However, 182 it remains a subject of debate (e.g. see Edwards et al., 2011; Reynolds, 2015), 183 with the contention that search patterns may be more simply characterised as 184 random walks (Sakiyama and Gunji, 2013) with specific patterns related to the 185 characteristics of the prey field (Sims et al., 2012). 186

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For our implementation of a random walk directional change is based on a negatively correlated circular distribution where a favourable fishing ground is likely to be "fished back over" by the vessel returning in the direction it came from. The step length (i.e. the distance travelled from the current to the next fishing location) is determined by recent fishing success, measured as the summed value of fish caught (revenue, Rev),

$$Rev = \sum_{p=1}^{P} L_p \cdot Pr_p \tag{7}$$

where  $L_p$  is landings of a population p, and  $Pr_p$  price of a population. Here, when fishing is successful vessels remain in a similar location and continue to exploit the local fishing grounds. When unsuccessful, they move some distance away from the current fishing location. The movement distance retains some degree of stochasticity, which can be controlled separately, but is determined by the relationship:

$$StepL = e^{log(\beta_1) + log(\beta_2) - (log(\frac{\beta_1}{\beta_3}))} * Rev$$
 (8)

Where  $\beta_1$ ,  $\beta_2$  and  $\beta_3$  are parameters determining the shape of the step function

So step length increases with increasingly gross revenue?No, the opposite in its relation to revenue, so that, a step from (x1,y1) to (x2, y2) is defined by:

$$(x2, y2) = x1 + StepL \cdot \cos(\frac{\pi \cdot Br}{180}),$$

$$y1 + StepL \cdot \sin(\frac{\pi \cdot Br}{180})$$

$$with \quad Br_{t-1} < 180, Br_t = 180 + \sim vm[(0, 360), k]$$

$$Br_{t-1} > 180, Br_t = 180 - \sim vm[(0, 360), k]$$

$$(9)$$

where k the concentration parameter from the von Mises distribution which we correlate with the revenue so that  $k = (Rev + 1/RefRev) * max_k$ , where  $max_k$  is the maximum concentration value, k, and RefRev is parametrised as for  $\beta_3$  in the step length function. A realised example of the step length and turning angle relationships to revenue can be seen at Figure S15.

## 2.4.4. Local population depletion

Where several fishing vessels exploit the same fish population competition is known to play an important role in local distribution of fishing effort (Gillis and Peterman, 1998). If several vessels are fishing on the same patch of fish, local depletion and interference competition will affect fishing location choice of the fleet as a whole (Rijnsdorp, 2000; Poos and Rijnsdorp, 2007). In order to account for this behaviour, the fishing sub-model operates spatially on a daily time-step so that for future days the biomass available to the fishery is reduced in the areas fished. The cumulative effect is to make heavily fished areas less attractive as future fishing opportunities.

#### 2.5. Fisheries independent survey

A fisheries-independent survey is simulated where fishing on a regular grid
begins each year at the same time for a given number of stations (a fixed station
survey design). Catches of the populations at each station are recorded but not
removed from the population. This provides a fishery independent snapshot
of the populations at a regular spatial intervals each year, similar to scientific
surveys undertaken by fisheries research agencies.

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## 1 2.6. Software

The simulation framework is implemented in the statistical software package R (R Core Team, 2017) and available as an R package from the authors github site (www.github.com/pdolder/MixFishSim).

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#### 216 3. Parameterisation

#### 3.1. Population models

We parametrised the simulation model for four populations with different 218 demographics; growth rates, natural mortality and recruitment functions (Table 219 4). Habitat preference (Figure S1) and temperature tolerances (Figures S3, S4) were unique to each population resulting in differently weekly distribution 221 patterns (Figures S5-S7). In addition, each of the populations has two defined 222 spawning areas which result in the populations moving towards these areas in 223 pre-defined weeks (Figure S2) with population-specific movement rates (Table 224 4). The individual habitat preferences and thermal tolerances results different 225 spatial habitat use for each population (Figure S9) and consequently different 226 seasonal exploitation patterns (Fishing mortality in Figure S10). 227

# 228 3.2. Fleet parametrisation

The fleets were parametrised to reflect five different characteristic fisheries 229 with unique exploitation dynamics (Table 5). By setting different catchability 230 parameters  $(Q_{fl,p})$  we create different targeting preferences between the fleets 231 and hence spatial dynamics. The stochasticity in the random walk process 232 ensures that within a fleet different vessels have slightly different spatial distributions based on individual experience. The step function was parametrised 234 dynamically within the simulations as the maximum revenue obtainable was 235 not known beforehand. This was implemented so that vessels take smaller steps 236 when fishing at a location that yields landings value in the top 90th percentile of the value experienced in that year so far (as defined per fleet in Table 5).

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With increasing probability throughout the simulation, fishing locations were chosen based on experience of profitable catches built up in the same month from previous years and from the previous trip. 'Profitable' in this context was defined as the locations where the top 70 % of expected profit would be found given previous trips revenue and cost of movement to the new fishing location. This probability was based on a logistic sigmoid function with a lower asymptote of 0 and upper asymptote of 0.95, and a growth rate which ensures the upper asymptote (where decisions are mainly based on past knowledge) is reached approximately halfway through the simulation.

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#### 250 3.3. Survey settings

The survey simulation was set up with follow a fixed gridded station design with 100 stations fished each year, starting on day 92 and ending on day 112 (5 stations per day) with same catchability parameters for all populations ( $Q_p = 1$ ).

# 3.4. Example research question

To illustrate the capabilities of MixFishSim, we investigate the influence of the temporal and spatial resolution of different data sources on the reduction in catches of a population given spatial closures. To do so, we set up a simulation to run for 50 years based on a  $100 \times 100$  square grid (undetermined units), with five fleets of 20 vessels each and four fish populations. Fishing takes place four times a day per vessel and five days a week, while population movement is every week.

We allow the simulation to run unrestricted for 30 years, then implement spatial closed areas for the last 20 years of the simulation based on data (either derived from the commercial catches, fisheries-independent survey or the 'real

Is there equilibrium after 5 years or still some trend in B? I have rerun to ensure some steady state dynamics

<sup>266</sup> population') used at different spatial and temporal scales.

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The following steps are undertaken to determine closures:

- 269 1. Extract data source
- 2. Aggregate according to desired spatial and temporal resolution
- 3. Interpolate across entire area at desired resolution
- 4. Close area covering top 5 % of catch

273 In total 28 closure scenarios were run which represent combinations of:

- data types: commercial logbook data, survey data and 'real population',
- temporal resolutions: weekly, monthly and yearly closures,
- spatial resolutions: 1 x 1 grid, 5 x 5 grid, 10 x 10 grid and 20 x 20 grid,
  - **closure basis:** highest 5 % of catch rates for the protected species

Survey closures were on an annual basis only, as this was the most temporally resolved survey data available.

## 80 4. Results

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## 281 4.1. Simulation dynamics

It can be seen from a single vessels movements during a trip that the vessel exploits four different fishing grounds, three of them multiple times (Figure S11), while across several trips fishing grounds that are further apart are fished (Figure S12). These different locations relate to areas where the highest revenue were experienced, as shown by Figure S13, where several trips for the vessel overlaid on the revenue field, i.e.

$$\sum_{c=1}^{c} \sum_{s=1}^{s} B_{s,c} \cdot Q_{s,c}$$

Procedure unclear. Refer to symbols in methods section or switch order starting with description of data type etc..Yes, will redo Vessels from the same fleet (and therefore targeting preference) exploit similar but slightly different fishing grounds depending on their own personal experience during the explore phase of the fishery (Figure S14), which is the result of the randomness in the correlated random walk step function, with distance moved during the exploitation phase and the direction stochastically related to the revenue experienced on the fishing ground (Figure S15).

Move some of the supplementary figures to the manuscript

<sup>288</sup> 4.2. How does sampling-derived data reflect the underlying population structure?

In order to answer this question we compare different spatial and temporal aggregations of the simulated population distributions to:

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- a) fisheries-independent data: the inferred population from a fixed-site sampling survey design as commonly used for fisheries monitoring purposes;
- b) **fisheries-dependent data:** the inferred population from our fleet model which includes fishery-induced sampling dynamics.

Figure 2 shows the aggregated catch composition from each of the data sources over a ten-year period (to average seasonal patterns) at different spa-297 tial resolutions. The finer spatial grid for the real population (top left) and 298 commercial data (top middle) show visually similar patterns, though there are 299 large unsampled areas in the commercial data from a lack of fishing activity 300 (particularly in the lower left part of the sampling domain). The survey data at 301 this spatial resolution displays very sparse information about the spatial distributions of the populations. The slightly aggregated data on a 5 x 5 grid shows 303 similar patterns and, while losing some of the spatial detail, there remains good 304 consistency between the 'real population' and the commercial data. Survey 305 data starts to pick out some of the similar patterns as the other data sources, 306 but lacks coverage. The spatial catch information on a 10 x 10 and 20 x 20 grid lose a significant amount of information about the spatial resolutions for 308 all data sources, and some differences between the survey, commercial and 'real 309

population' data emerge.

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Figure 3 shows the consequences of different temporal aggregations of the 312 data over a ten-year period, with weekly (top), monthly (middle) and yearly 313 (bottom) catch compositions from across an aggregated 20 x 20 area. As can be 314 seen by comparison to the 'real population', the monthly aggregation captures 315 the major patterns seen in the weekly data, albeit missing more subtle differ-316 ences. The yearly data results in a constant catch pattern due to the aggregation 317 process (sometimes known as an aggregation bias). The commercial data on a 318 weekly basis shows some of the same patterns as the 'real population', though 319 the first species (in red) is less well represented and some weeks are missing 320 catches from the area. The monthly data shows some consistency between the 321 'real population' and commercial data for species 2 - 4, though species 1 remains under-represented. On an annual basis, interestingly the commercial data under 323 represents the first species (in red) while the survey over represents species 1. 324 This is likely due to the biases in commercial sampling, with the fisheries not 325 targeting the areas where species 1 are present, and the biases in the survey 326 sampling from over representation of the spatial distribution. 327

# 4.3. How does data aggregation and source impact on spatial fisheries management measures?

We implemented a spatial closure using the different data sources and spatial and temporal aggregations as outlined in the protocol in Section 3.4. We used this to assess the efficacy of a closure in reducing fishing mortality on species 3, given availability of data and its use at different resolutions in order to evaluate the trade-offs in data sources.

The trend in fishing mortality for each species show that in most cases the fishery closure was successful in reducing fishing mortality on the species of interest (species 3; Figure 4), though interestingly the largest reductions in fishing mortality happened immediately after the closures, following which the fisheries "adapted" to the closures and fishing mortality increased again somewhat. The

exception to the success was the closures implemented based on the coarsest spatial (20 x 20) and temporal resolution which were ineffective with all data sources. As expected, closures based on the "known" population distribution were most effective, with differing degrees of success using the commercial data. Fishing mortality rates on the other species changed in different proportions, depending on whether the displaced fishing effort moved to areas where the populations were in more greater or lesser density.

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A regression tree highlights that the most factor contributing to differences 348 in fishing mortality before and after the closure was the population (72 % show-349 ing that the closures were effective for population 3), followed by data resolution 350 (21 %), data type (7 %) with the least important factor the timescale (< 1 %). 35 In general the finer the spatial resolution of the data used the greater reduction in fishing mortality for population 3 after the closures (Figure 5). The notable 353 outliers are the commercial data at the coarsest spatial resolution (20 x 20) at 354 a yearly and weekly timescale, where closures were nearly as effective as the 355 fine-scale resolution. In this case the closures were sufficiently large to protect 356 the population (and was as effective as when the closure was based on the "real 357 population") but this may have consequences in terms of restricting a much 358 larger area than necessary. 359

360

#### 5. Discussion

Our study evaluates the importance of data scaling and considers potential
bias introduced through data aggregation when using commercial fisheries data
to infer spatio-temporal dynamics in fisheries. Understanding how fishers exploit multiple heterogeneously distributed fish populations with different catch
limits or conservation status requires detailed understanding of the overlap of
resources; this is difficult to achieve using conventional modelling approaches
due to species targeting in fisheries resulting in preferential sampling Martínez-

Minaya et al. (2018). Often data is aggregated or extrapolated which requires assumptions about the spatial and temporal scale of processes. Our study ex-plores the assumptions behind such aggregation and preferential sampling to identify potential impacts on management advice. With modern management approaches increasingly employing more nuanced spatio-temporal approaches in order to maximise productivity while taking account of both the biological and human processes operating on different time-frames (Dunn et al. (2016)), understanding assumptions behind the data used - increasingly a combination of logbook and positional information from vessel monitoring systems - is vital to ensure measures are effective. 

We employ a simulation approach to model each of the population and fishery processes in a hypothetical 'mixed fishery', allowing us to i) evaluate the consequences of different aggregation assumptions on our understanding of the spatio-temporal distribution of the underlying fish populations, and ii) evaluate the effectiveness of a spatial closure given those assumptions. Our approach captures fine scale population and fishery dynamics not usually considered (although see Bastardie et al. (2010); Bailey et al. (2018)) which offers the advantage that larger scale fishery patterns are emergent properties of the system rather than the result of a statistical modelling framework.

Our results show commercial data can provide at right scale and resolution - depends on scale of process: pop movement etc... Important to consider how fishers interact / adapt to changes with the resource and mgmt.

It seems clear that spatial disaggregation is more important than the temporal disaggregation of the commercial data... WHY

Closure scenarios demonstrate potential tor reduce F - not as high as with real pop, but good. Make link to other studies – read up on these.

#### The what next:

400 Real world spatiotemp closures rarely been able to consider these issues / de-401 signed with these issues fully in mind - NS cod closures, plaice and trevose box... 403 Use of commercial data increasing - likely to become more important in 404 future. Also collaborative approach with industry, e.g. hotspot mapping, spa-405 tiotemp advice... 407 Other potential uses of the model 408 409 Survey design 410 411 commercial index standardization methods 412 413 Sampling scheme design 414 415 Testing fleet dynamics models at an aggregated level 416 417 Bigger picture stuff:: LO, increasing desire for more nuanced spatiotemp 418 mgmt... Wider applicability: birds, wildlife?? 419 6. Conclusions Study shows .... 421 This is important because .... 423 424 How we might apply this in future .... 425

# 427 Abbreviations

Detail any unusual ones used.

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- program (DP227AC).

# 435 Appendices

Table 1: Description of variables for population dynamics sub-module	Table 1:	Description	of variables	for po	pulation	dynamics :	sub-module
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Variable	Meaning	Units					
	Population dynamics						
Delay-difference model							
$B_{c,d}$	Biomass in cell $c$ and day $d$	kg					
$Z_{c,d}$	Total mortality in cell $c$ for day $d$	-					
$R_{c,\tilde{y}}$	Annualy recruited fish in cell	$yr^{-1}$					
ho	Brody's growth coefficient	${ m yr}^{-1}$					
$Wt_R$	Weight of a fully recruited fish	kg					
$Wt_{R-1}$	Weight of a pre-recruit fish	kg					
$\alpha_d$	Proportion of annually recruited fish recruited during	-					
	$\mathrm{day}\ d$						
Baranov catch equation							
$C_{c,d}$	Catch from cell $c$ for day $d$	kg					
$F_{c,d}$	Instantaneous rate of fishing mortality in cell $\boldsymbol{c}$ on	-					
	$\mathrm{day}\ d$						
$M_{c,d}$	Instantaneous rate of natural mortality in cell $\boldsymbol{c}$ on	-					
	$\mathrm{day}\ d$						
$B_{c,d}$	Biomass in cell $c$ on day $d$	kg					
Recruitment dynamics							
$\tilde{R}_{c,d}$	is the recruitment in cell $c$ for day $d$	$d^{-1}$					
$B_{c,d}$	is the Biomass in cell $c$ for day $d$	$d^{-1}$					
$\alpha$	the maximum recruitment rate	kg					
$\beta$	the biomass required to produce half the maximum	kg					
	rate of recruitment						

Tal	Table 2: Description of variables for population movement sub-module							
Variable	Meaning	Units						
	Population movement dynamics							
Habitat me	odel							
a	b	С						
Thermal to	plerance							
$T_{c,wk}$	Temperature for cell in week	$^{\circ}\mathrm{C}$						
$\mu_p$	Mean of the thermal tolerance for population	$^{\circ}\mathrm{C}$						
$\sigma_p^2$	Standard deviation of thermal tolerance for the pop-	$^{\circ}\mathrm{C}$						
	ulation							
Population	movement model							
λ	decay rate for population movement	-						
$Hab_{c,p}^2$	Square of habitat suitability for cell $\boldsymbol{c}$ and population	-						
	p							
$Tol_{c,p,wk}$	Thermal tolerance for population $p$ in cell $c$ at week	-						
	wk							
$d_{IJ}$	euclidean distance between cell $I$ and cell $J$	-						

Table 3: Description of variables for fleet dynamics sub-module

Variable	Meaning	Units					
Short-term fleet dynamics							
Rev	Revenue from fishing tow	€					
$L_p$	Landings of population $p$	kg					
$Pr_p$	Average price of population $p$	$\in \ \mathrm{kg}^{-1}$					
StepL	Step length for vessel	euclidean					
		distance					
$\operatorname{Br}$	Bearing	degrees					
k	Concentration parameter for Von mises distribution	-					
$eta_1$	shape parameter for step function	-					
$eta_2$	shape parameter for step function	-					
$\beta_3$	shape parameter for step function	-					

Table 4: Population dynamics and movement parameter setting

Parameter	Pop 1	Pop 2	Pop 3	Pop 4			
Habitat quality							
Matérn $\nu$	1/0.015	1/0.05	1/0.01	1/0.005			
Matérn $\kappa$	1	2	1	1			
Anisotropy	1.5, 3, -3, 4	1,2,-1,2	2.5,1,-1,2	0.1,2,-1,0.2			
Spawning areas (bound	40,50,40,50;	50,60,30,40;	30,34,10,20;	50,55,80,85;			
box)	80,90,60,70	80,90,90,90	$60,\!70,\!20,\!30$	30,40,30,40			
Spawning multiplier	10	10	10	10			
Movement $\lambda$	0.1	0.1	0.1	0.1			
Population dynamics							
Starting Biomass	1e5	2e5	1e5	1e4			
Beverton-Holt Recruit 'a'	6	27	18	0.3			
Beverton-Holt Recruit 'b'	4	4	11	0.5			
Beverton-Holt Recruit $\sigma^2$	0.7	0.6	0.7	0.6			
Recruit week	13-16	12-16	14-16	16-20			
Spawn week	16-18	16-19	16-18	18-20			
K	0.3	0.3	0.3	0.3			
wt	1	1	1	1			
$wt_{d-1}$	0.1	0.1	0.1	0.1			
M (annual)	0.2	0.1	0.2	0.1			
Movement dynamics							
$\mu$	12	15	17	14			
$\sigma^2$	8	9	7	10			

Table 5:	Fleet dyna	amics para	meter setti	ng	
Parameter	Fleet	Fleet	Fleet	Fleet	Fleet
	1	2	3	4	5
Targeting preferences					
Price Pop1	100	100	100	100	100
Price Pop2	200	200	200	200	200
Price Pop3	350	350	350	350	350
Price Pop4	600	600	600	600	600
Q Pop1	0.01	0.02	0.02	0.01	0.01
Q Pop2	0.02	0.01	0.02	0.01	0.03
Q Pop3	0.01	0.02	0.02	0.01	0.02
Q Pop4	0.02	0.01	0.02	0.05	0.01
Exploitation dynamics					
step function $\beta_1$	1	2	1	2	3
step function $\beta_2$	10	15	8	12	7
step function $\beta_3$	Q90	Q90	Q85	Q90	Q80
step function $rate$	20	30	25	35	20
Past Knowledge	${ m T}$	Τ	${ m T}$	Τ	${ m T}$
Past Year & Month	Τ	${ m T}$	${ m T}$	${ m T}$	${ m T}$
Past Trip	${ m T}$	T	Τ	Τ	${ m T}$
Threshold	0.7	0.7	0.7	0.7	0.7
Fuel Cost	3	2	5	2	1

Table 6: Fishing mortality effects of the closure scenarios. Results show the fishing mortality before the closure (f\_before) and after the closure (f\_after) and the percentage change in f (f\_change). The results are ordered by most effective scenario first, least effective last.)

scenario	metric	pop	f_before	f_after	f_change	timescale	basis	data_type	resolution
9	F	spp_3	1.08	0.29	-73.47	weekly	high_pop	real_pop	1.00
10	F	$spp_3$	1.08	0.29	-72.94	monthly	high_pop	real_pop	1.00
11	F	$spp_3$	1.08	0.35	-68.04	yearly	high_pop	real_pop	1.00
45	F	$\mathrm{spp}\_3$	1.08	0.58	-46.70	yearly	high_pop	commercial	20.00
1	F	$spp_3$	1.08	0.58	-46.21	weekly	high_pop	commercial	1.00
23	F	$spp\_3$	1.08	0.59	-45.27	weekly	high_pop	$real\_pop$	5.00
2	F	$spp_3$	1.08	0.59	-45.06	monthly	high_pop	commercial	1.00
7	F	$spp_3$	1.08	0.60	-44.48	yearly	high_pop	survey	1.00
24	F	$spp_3$	1.08	0.61	-43.20	monthly	high_pop	$real\_pop$	5.00
3	F	$spp_3$	1.08	0.64	-40.82	yearly	high_pop	commercial	1.00
25	F	$\mathrm{spp}\_3$	1.08	0.65	-39.94	yearly	high_pop	$real\_pop$	5.00
17	F	$spp\_3$	1.08	0.67	-38.11	yearly	high_pop	commercial	5.00
15	F	$spp\_3$	1.08	0.71	-34.38	weekly	high_pop	commercial	5.00
43	F	$spp\_3$	1.08	0.71	-34.31	weekly	high_pop	commercial	20.00
16	F	$spp_3$	1.08	0.73	-32.58	monthly	high_pop	commercial	5.00
51	F	${\rm spp\_3}$	1.08	0.78	-27.92	weekly	$high\_pop$	${\rm real\_pop}$	20.00
37	F	${\rm spp\_3}$	1.08	0.78	-27.76	weekly	$high\_pop$	${\rm real\_pop}$	10.00
39	F	${\rm spp\_3}$	1.08	0.79	-26.98	yearly	$high\_pop$	$real\_pop$	10.00
38	F	${\rm spp\_3}$	1.08	0.81	-25.47	monthly	high_pop	$real\_pop$	10.00
21	F	${\rm spp\_3}$	1.08	0.81	-25.21	yearly	high_pop	survey	5.00
35	F	$\mathrm{spp}\_3$	1.08	0.81	-25.05	yearly	high_pop	survey	10.00
44	F	$\mathrm{spp}\_3$	1.08	0.87	-19.91	monthly	high_pop	commercial	20.00
52	F	${\rm spp\_3}$	1.08	0.88	-18.39	monthly	$high\_pop$	${\rm real\_pop}$	20.00
30	F	${\rm spp\_3}$	1.08	0.96	-11.06	monthly	$high\_pop$	commercial	10.00
29	$\mathbf{F}$	$spp\_3$	1.08	0.98	-9.80	weekly	$high\_pop$	commercial	10.00
31	F	$spp\_3$	1.08	1.03	-4.36	yearly	high_pop	commercial	10.00
53	F	$spp_3$	1.08	1.06	-1.64	yearly	high_pop	$real\_pop$	20.00
49	F	$\mathrm{spp}\_3$	1.08	1.07	-1.01	yearly	high_pop	survey	20.00



Figure 2: Data aggregation at different spatial resolutions over a ten year period

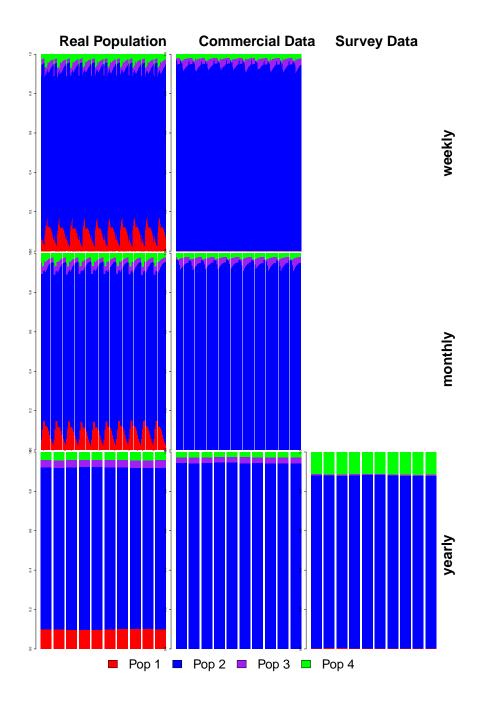


Figure 3: Data aggregation at different temporal resolutions over a ten-year period

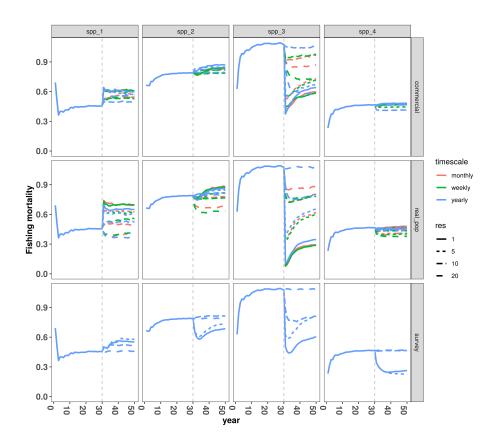


Figure 4: Comparison of closure scenarios effect on fishing mortality trends. Line colour denotes the timescale, while linestyle denotes the spatial resolution.

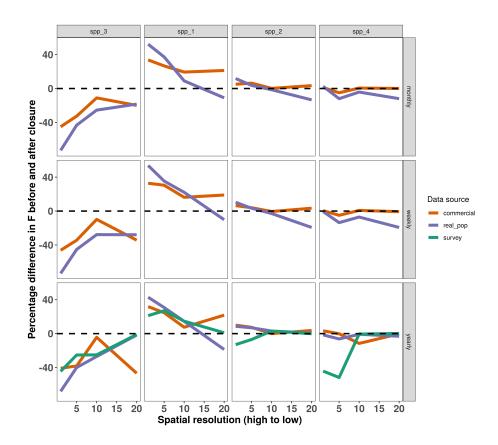


Figure 5: Comparison of closure scenario effectiveness based on different spatial and temporal resolutions.

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