# Estimating Population Trends with Stratified Random

# Sampling Under the Pressures of Climate Change

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- 8 Competing interests: The authors declare there are no competing interests.
- 9 [[Chris comments in double square brackets, search for them to see comments]]

- $_{10}$  Abstract
- 11 An Abstract
- 12 Keywords
- 13 keyword 1, keyword 2

### 14 Introduction

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much of below is from https://apps-nefsc.fisheries.noaa.gov/nefsc/ecosystem-ecology/
or https://www.fisheries.noaa.gov/data-tools/fisheries-economics-united-states-data-andvisualizations

• The eastern continental shelf is ecologically diverse and economically important

The Northeast United States continental shelf spans from the Outer Banks of North Carolina to the Gulf of Maine. The region covers over 250,000 km<sup>2</sup> of ocean, extending over 200 km from shore in the largest areas in New England to just 30 km off shore in the southern regions. This ecologically diverse region contains approximately 18,000 vertebrate marine species. Commercial fisheries have been an important part of local economies for centuries. In 2019, New England fisheries produced \$22 billion in sales, which sustained over 200,000 jobs. Maintaining a healthy ecosystem is therefore vital to sustained ecological health and economic prosperity of the region.

• Bottom trawl survey is important for monitoring population trends

Fish stocks in this highly productive and economically important region are managed by the
National Oceanic and Atmospheric Administration's (NOAA) Northeast Fisheries Science
Center (NEFSC) in Woods Hole, Massachusetts. Federal scientists assess the health and
abundance of each commercial fish stock using fishery-independent bottom trawl survey
data that has been collected by NOAA throughout the region since 1963 (Azarovitz 1981;
Politis et al. 2014). The survey uses a stratified random design where bottom trawl sampling
takes place in predefined strata along the eastern continental shelf. The survey has created
a rich time series data set with many uses including species-specific habitat identification,
analysis of how environmental conditions influence species abundance, and estimating yearly

- species abundance trends to help inform stock assessments and ultimately quota limits **just**
- The survey takes place twice each year- once in the spring and again in the fall. Since most
- 40 spatial analyses and projections of future distributions typically assume a constant survey
- 41 catchability and/or availability over time, NOAA's survey design includes sampling during
- <sup>42</sup> approximately the same 2-3 week time period in each season.

listed a few uses of survey. change/add others?.

• Climate change is happening

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Due to a combination of climate change and shifts in circulation, the Northeast United
States continental shelf has experienced rapid warming in recent decades, resulting in a shift
in spatial distributions of many species. Since stock assessment models rely on accurate
descriptions of population dynamics and contemporary patterns of spatial abundance, there
is concern that rapid undocumented changes in spatial distributions of species will bias future
stock assessments. More specifically, as fish populations shift their distributions over time,
catchability and/or availability in the survey will change, altering the relationship between
the index and the true population. We are therefore interested in analyzing the impact of
climate change on the accuracy of future stock assessment models as measured by NOAA's
ongoing bottom-trawl survey along the East coast.

#### use more info from initial proposal

- Briefly describe our study to test this
- To test the ability of the bottom trawl survey to track population trends under shifting environmental conditions, we construct spatial models for fish where movement depend on temperature preferences. We can then consider the impact of climate change by simulating scenarios with repeating temperature patterns and those where temperature increases on average over time. In both cases we analyze the ability of stratified random sampling to track population trends.

### $_{62}$ Methods

• Describe simulation study

We construct spatial models for Yellowtail Flounder, Atlantic Cod, and Haddock on Georges
Bank, where movement of each species combine static species-specific habitat preferences
with temperature preferences. Model dynamics are driven by a time series of temperature
gradients that were estimated from data to create simulated data sets for each population
where the true biomass is known. Using temperature gradients that repeat each year creates
data sets with predictable, repeating spatial patterns, whereas using a temperature gradient
that increases on average throughout the simulation leads to spatial distributions that shift
over time, approximating the future distribution in the region under climate change. We
conducting stratified random sampling on our simulation output to mimic the bottom trawl
survey and compare the ability of contemporary indexing methods to track population trends.

### 74 Population Model Formulation

- <sup>75</sup> Used MixFishSim. Describe edits made to package
- We use the R package MixFishSim (MFS) to model our populations (Dolder et al. 2020).
- 77 MFS is a discrete spatiotemporal simulation tool where users can model multiple species un-
- der varying environmental conditions. The package uses a delay-difference population model
- vith discrete processes for growth, death, and recruitment of the population. We formulate
- the following inputs for the MFS package to address our research question. [[perhaps for dis-
- cussion, but we should mention somewhere that MFS only tracks biomass not age structure,
- the latter is important for stock assessments but not considered in this paper
- 83 Study Area
- <sup>84</sup> We obtained a shapefile for the 15 strata that comprise Georges Bank, where strata were
- partitioned based primarily on depth and secondarily by latitude (Politis et al. 2014). The

region was discritized into a raster with 88 rows and 144 columns to use as our modeling environment. A fish stock is considered to be a subpopulation of a species that has similar intrinsic parameters. Each of the species being modeled has multiple distinct stocks along the Atlantic coast resulting from local environmental conditions. Biological differences between species results in each stock inhabiting a different number of strata on Georges Bank. Haddock inhabit all 15 strata in the domain, Cod inhabit 13 strata, and Yellowtail exist in 9 strata. Figure 1 shows the regions used in our models.

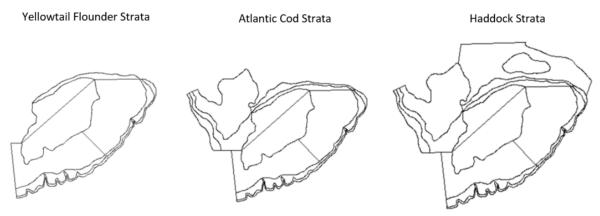


Figure 1: Strata inhabited by each species in our population models.

#### Population Dynamics and Recruitment

The time step for our models is one week. MFS uses a modified two-stage Deriso-Schnute delay difference equation that models the biomass in each cell in our study area (Dolder et al. 2020). Individual terms in the formulation account for growth of mature adults, natural and fishing mortality, and the addition of new recruits. [not sure we need to highlight the 97 recruitment model, might be able to just use the table because Bev-Holt formulation is well known in fisheries]BL: MFS has 2 recruitment options and I edited the package to use previous years biomass We chose to represent recruitment in the model using a 100 Beverton-Holt formulation cite. Recruitment is a function of the adult biomass that existed 101 in the previous year and is added to the population incrementally throughout each species' 102 predefined spawning period. Parameter inputs were either obtained from the literature or 103 chosen to produce desired model dynamics. A full list of parameters used in our model can be seen below in Tables ?? and 7.

106 Movement

The package was designed to generate theoretical habitat preferences using Gaussian Random Fields that combine with hypothetical temperature gradients to drive the probability of movement from cell I to cell J using the formulation

$$Pr(C_{wk+1} = J | C_{wk} = I) = \frac{e^{-\lambda \cdot d_{I,J}} \cdot (Hab_{J,s}^2 \cdot Tol_{J,s,wk})}{\sum_{c=1}^{C} e^{-\lambda \cdot d} \cdot (Hab_{c,s}^2 \cdot Tol_{c,s,wk})},$$
(1)

110 where

 $e^{-\lambda \cdot d_{I,J}}$  accounts for distance between cells I and J,

 $Hab_{J,s}^2$  is the static habitat value for species s in cell J, and

Tol<sub>c,s,wk</sub> is the value from normally distributed temperature tolerance for species s in cell c in week wk.

The following sections describe how we formulated the habitat and temperature components to model real species on the northeast continental shelf.

117 Habitat Input

Species-specific habitat preferences were derived using the *lrren* tool from the R package *envi*(Buller 2022) to create a niche model for each species. The *lrren* tool estimates an ecological
niche using the relative risk function by relating presence/absence data to two covariate
predictors. We used bottom trawl point data in from 2009-2021 as our presence/absence
input by using a value of 0 for any tow that failed to catch the given species and weighting
a successful catch by the biomass of the given tow [[I don't think we need to cite the trawl
data because we said we were using trawl data above]] BL: Does trawl data ever get
cited? It was not cited above. cite trawl data?. We combined data from both the

fall and spring surveys to obscure the influence of temperature so that the niche model would instead infer habitat preferences. Depth and mean sediment size were used as our 127 covariate predictors. Estimated depth for the region was obtained from FVCOM (Chen et 128 al. 2006). The mean sediment size raster was interpolated in ArcMap using the natural 129 neighbor interpolation method cite arcmap using point data collected by the United States 130 Geologic Survey (USGS) (McMullen et al. n.d.). Since the values in  $Hab_{J,s}^2$  are required 131 to be between 0 and 1, we transform the spatial estimates from *lrren* to fall between these 132 bounds. See Figure 2 for a visual representation of this process being applied to Cod. Figure 133 3 depicts habitat preferences  $Hab_{J,s}^2$  for each species. 134

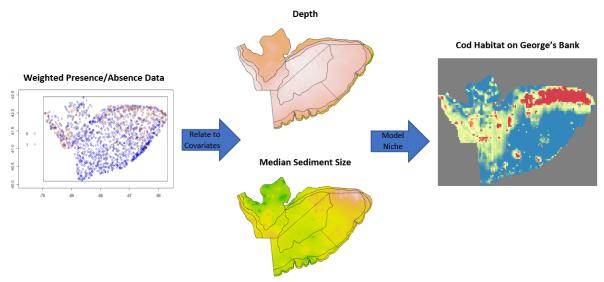


Figure 2: Visual representation of niche model for Cod.

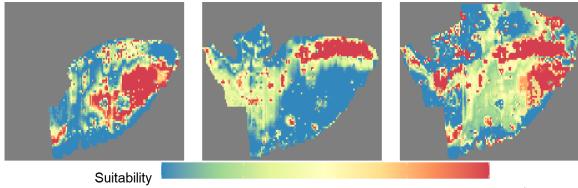


Figure 3: Static habitat preferences for each species in our population models (Yellotwtail, Cod, Haddock).

#### 135 Temperature Input

Each species is assumed to have normally distributed temperature preferences  $(N(\mu, \sigma))$ . 136 We assume Yellowtail Flounder's preferences are N(8.75, 4.25), while Haddock and Cod 137 have preferences N(9,4). We chose these values by combining information in the literature 138 with temperatures recorded in the bottom trawl survey. Weekly estimated temperature data 139 for the region for 2012 was obtained from FVCOM (Chen et al. 2006). The 2012 data was 140 repeated yearly in the constant temperature scenario because displayed an average tem-141 perature pattern that consistently oscillated between maximum and minimum temperature 142 values. We chose to repeat estimates for a single year rather than use data for consecutive 143 years to reduce the number of factors impacting model dynamics while still incorporating 144 real data. The 2012 temperature data was also transform to create an oscillating pattern 145 that increases 5 degrees Celsius on average over the duration of the simulation. We chose a 5 degree increase over a 20 year simulation to allow temperature change to have a meaningful impact on dynamics while remaining within reasonable computational limits in terms of the 148 length of the simulation. Figure 4 depicts mean trends for the temperature scenarios used in our models. dont forget to include gif in final submission

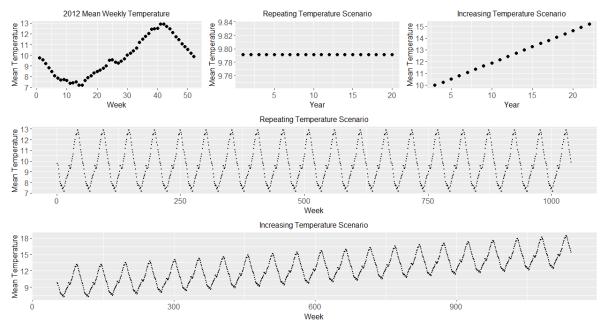


Figure 4: Mean trends of temperature data used in our model.

-Describe difference between increasing and constant temperature scenarios (images?)

In equation (1),  $Hab_{J,s}^2$  is constant for the duration of the simulation, while  $Tol_{c,s,wk}$  changes 152 each week. Using a temperature gradient that repeats every 52 weeks produces the same 153 spatial preferences in a given week each year, resulting in consistent spatial biomass patterns. 154 Scenarios where the temperature increases over time creates spatial preferences that evolve 155 as the water warms, producing spatial biomass patterns that shift in a given week over the 156 duration of the simulation. Thus, stratified random samples in scenarios with a repeating 157 temperature pattern will have constant survey catchability and availability over time, which 158 may not be true for increasing temperature scenarios due to evolving spatial preferences. 159 [BL: included in above paragraph may want to mention here or in the discussion that 160 we used repeating 2012 to simplify the simulations and reduce the number of factors explored 161 that would have occurred with different annual cycles.

<sub>163</sub> – Describe each scenario that is considered

We consider 20 year simulations under three population parameter scenarios for each of our 164 three species- a scenario where parameters result in each population increasing over time, one 165 where the populations are relatively constant over time, and a scenario where the parameter 166 combination results in each population decreasing over time. Each of these three scenarios 167 is paired with a temperature gradient that repeats as well as one that increasing roughly 5 degrees Celsius [BL: included in above paragraph need some justification for this large 169 change, wanted to be extreme because if the extreme doesn't make a difference, then more 170 reasonable increases wouldn't make a difference]] over the duration of the 20 year simulation. We therefore simulate 6 scenarios for each population. 172

- Simulating Bottom Trawl Survey and Population Indexing
- -Describe post hoc sampling process and how data is used
- After each simulation is complete, we mimic the bottom trawl survey by conducting stratified random sampling in each inhabited strata twice each year. We sample each strata in the same

weeks in which the Spring and Fall surveys take place and the number of the samples taken reflect true target values for each strata. Most strata contain enough cells that we were able 178 to generate a list of sampling locations without replacement, allowing us to sample a unique 179 random cell in each survey over the duration of the simulation. For strata that contain 180 small numbers of cells, we generate a random sampling locations with replacement of cells 181 previously sampled. We then use the biomass collected from our samples in contemporary 182 population indexing methods to estimate population trends. Knowing the true population 183 values in our simulations allows us to compare the error calculated from each estimation 184 method. BL: added both to above paragraph [should we mention here or later that we 185 did both with and without measurement error analyses?]] [[need to make sure that we don't 186 lose sight of random sampling with the following sentences. 187

-Stratified mean vs VAST with and without covariates [[could use an intro sentence here to distinguish design-based (stratified mean) and model-based (VAST) estimators]] [[may want to highlight the potential of VAST with covariates to address the climate change issue here as the reason we are doing these simulations]] BL: Chris, please check paragraph below for intro sentence and help fill in X, Y and Z

Stock assessment scientists use samples from the bottom trawl survey to estimate the abun-193 dance for each stock stock. There are a number of approaches to obtaining abundance 194 estimates ranging from traditional design-based estimates such as the stratified mean to 195 model-based estimates that range in complexity. The stratified mean calculates the geomet-196 ric mean catch per tow and does not account for spatial variation in samples or allow the 197 inclusion of environmental covariates. There are a number of commonly used model-based 198 estimates such as X, Y and Z. The Vector Autoregressive Spatio-Temporal (VAST) model is 199 a spatial delta-generalized linear mixed model (Thorson 2019). VAST is a spatio-temporal 200 statistical framework that models both abundance (biomass) and probability of occurrence 201 (presence/absence). If desired, VAST also allows users to include covariate data to better inform the model. Covariates can be static (eg. habitat preferences) or dynamics (eg. temperature). We explore whether including environmental predictors can help inform models and provide better abundance estimates, which is particularly relevant as climate change progresses. The stratified mean calculations are straightforward and quick, while VAST models require numerous user inputs and take on the order of hours to complete. We compare the yearly estimated of abundance obtained from the stratified mean to estimates obtained from the Vector-Autoregressive Spatio-temporal (VAST) model.

We follow the advice given in (Thorson 2019) to build VAST models to estimate biomass 210 on Georges Bank using stratified mean samples from our model output. In addition to 211 exploring different link functions and assumed distributions, our VAST model-building pro-212 cess included testing the impact of including spatial and/or spatio-temporal variation in our 213 models, considering varying number of knots in our mesh, and testing different forms of 214 temporal correlation. We also carried out the same process both including covariates in our 215 model as well as running models without covariate information. We considered covariates in 216 the form of dynamic temperature values and/or static habitat values from our population 217 model. When using covariates we ultimately decided to provide the most information to the model by including both covariates for both linear predictors. Since we know the true population values in our models we calculate the absolute error of each VAST estimate to 220 compare between potential settings. Through this process, and in consultation with the 221 VAST package creator, we determined setting that allowed VAST models to converge for all 222 of our scenarios while also providing the lowest absolute error values. Settings for our VAST 223 models can be seen in Table???. 224

Our goal is to determine indexing approaches and settings that are robust to future environmental conditions and resulting spatial biomass patterns. An underlying assumption in all
indexing methods is that individual random samples combine to accurately represent true
abundance by a) sampling all strata in which the population exists and b) low enough noise
level in the samples to allow for a discernible pattern. This assumption can be questioned
given enough noise in the sampling process cite? and/or shifting spatial preferences driven

by climate change causing a population to move into a previously uninhabited strata. To simulate the impact of noise, we compare indexing estimates after adding noise to our samples
versus those using the true sampling values. We simulate the effect of populations moving
into new habitat by comparing indexing estimates using samples from all strata inhabited by
each species on Georges bank to those that only include a subset of the full spatial domain
for each species.[[may need to spell the aspect of reduced spatial domain a bit more because
it might be confusing to readers who are expected us to just add areas around the current
ones instead of reducing the strata]]

When combining population trends for each species, differing temperature scenarios, altering seasons, and sampling possibilities (noise, strata, covariates) there are a large number of scenario combinations to consider. The columns in Table COMBOS show the choices that define each scenario.

Table COMBOS Each index estimate chooses one condition from each of the following columns. There are 3\*3\*2\*2\*2\*2=288 VAST model combinations and 3\*3\*2\*2\*2=144 stratified mean estimates.

|            | Population | Temperature   | Strata     | Noise | Covariates |        |
|------------|------------|---------------|------------|-------|------------|--------|
| Species    | Trend      | Scenario      | Included   | Added | (VAST)     | Season |
| Yellowtail | Increasing | Repeating     | All strata | No    | No         | Spring |
|            |            |               |            |       | Covariates |        |
| Cod        | Constant   | Increasing 5° | Subset     | Yes   | Temp +     | Fall   |
|            |            |               |            |       | Habitat    |        |
| Haddock    | Decreasing |               |            |       |            |        |

### Results

The goal of our project was to analyze how well contemporary population indexing methods can track population trends under a host of conditions, as depicted in Table COMBOS. Historically, Atlantic Cod has seen significant decline over the last XXX years while Haddock has increased in abundance in recent year [[can cite the 2022 management track assessments, 250 see https://apps-nefsc.fisheries.noaa.gov/saw/sari.php for when the document becomes available] cite. For this reason we compare indexing estimates using stratified random samples 252 from decreasing population scenarios for Cod (see Table ???) and increasing population 253 scenarios for Haddock (see Table???). To provide a comprehensive analysis of population 254 indexing methods we consider all possible scenario combinations for Yellowtail Flounder (see 255 Table ???). The specific population trends used in our analyses can be see in Figure 5 256

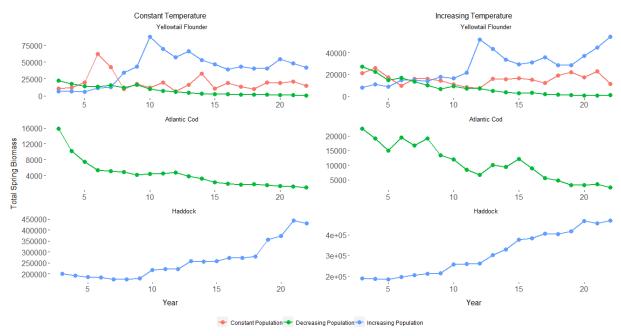


Figure 5: True population trends used in indexing analyses. Spring biomass plots are shown with fall values being very similar.

General themes that exist in Tables X, Y and Z are that VAST estimates provide lower errors relative to those derived from the stratified mean, with VAST models that include covariate information providing the lowest overall errors. We also see individual cases where the stratified mean produced the lowest absolute error and instances where including covarites
in VAST models actually increase the absolute error. When we reduce the number of strata
that are included in indexing calculations to simulation species shifting into new territory,
we typically see an increase in absolute error [[as expected]], though there are some scenarios
where the impact is minimal.

In the Cod results, when using reduced strata adding covariates produces worst VAST results
(though still better than stratified mean). VAST without covariates much worse than stratified mean in fall with increasing temperature and all strata, but adding covariates corrects
this.

For Haddock, VAST has a particularly hard time in spring regularly producing larger errors
than the stratified mean with added covariates only improving to the level of the stratified
mean. VAST shows improved results in fall relative to the stratified mean with added
covariates producing extremely low errors in some cases.

In considering the Yellowtail Flounder results in Table XXX, we can see VAST estimates generally provide lower errors relative to those derived from the stratified mean, with models that include covariate information typically providing the lowest errors. However, there are several instances in which VAST failed to provide improved abundance estimates during the fall season without covariate information, producing the largest errors seen in the Table. These errors are corrected by including covariate information allowing for an improved VAST estimate that are significantly lower than their stratified mean counterparts.

[[We'll want to expand the results section to focus on the impact of each factor one at at time within each species. This will be a bit dull, but it is important to walk through the results in words to ensure that readers get the message. They can of course examine the tables in detail and draw their own conclusions, but we should put our interpretation down on paper.]]

Table 2: Yellowtail error results

| - | X          | X.1       | X.2     | X.3   | X.4    | Constant.Population | X.5           | Increasing.F |
|---|------------|-----------|---------|-------|--------|---------------------|---------------|--------------|
|   | Temp       | Covariate | Strata  | Noise | season | Stratified Mean     | VAST Estimate | Stratified M |
| - | const      | no cov    | all     | no    | spring | 0.21                | 0.11          | 0.16         |
|   | const      | no cov    | all     | yes   | spring | 0.25                | 0.16          | 0.22         |
| _ | const      | w/ cov    | all     | no    | spring | 0.21                | 0.07          | 0.16         |
|   | const      | w/ cov    | all     | yes   | spring | 0.25                | 0.08          | 0.22         |
|   | const      | no cov    | all     | no    | fall   | 0.32                | 0.68          | 0.34         |
| - | const      | no cov    | all     | yes   | fall   | 0.31                | 0.77          | 0.46         |
|   | const      | w/ cov    | all     | no    | fall   | 0.32                | 0.08          | 0.34         |
|   | const      | w/ cov    | all     | yes   | fall   | 0.31                | 0.11          | 0.46         |
|   | const      | no cov    | reduced | no    | spring | 0.27                | 0.19          | 0.2          |
|   | const      | no cov    | reduced | yes   | spring | 0.26                | 0.15          | 0.22         |
| - | const      | w/ cov    | reduced | no    | spring | 0.27                | 0.19          | 0.2          |
|   | const      | w/ cov    | reduced | yes   | spring | 0.26                | 0.14          | 0.22         |
| - | const      | no cov    | reduced | no    | fall   | 0.47                | 0.25          | 0.41         |
|   | const      | no cov    | reduced | yes   | fall   | 0.49                | 0.36          | 0.46         |
|   | const      | w/ cov    | reduced | no    | fall   | 0.47                | 0.19          | 0.41         |
|   | const      | w/ cov    | reduced | yes   | fall   | 0.49                | 0.17          | 0.46         |
|   | increasing | no cov    | all     | no    | spring | 0.28                | 0.11          | 0.32         |
|   | increasing | no cov    | all     | yes   | spring | 0.28                | 0.15          | 0.34         |
|   | increasing | w/ cov    | all     | no    | spring | 0.28                | 0.06          | 0.32         |
| _ | increasing | w/ cov    | all     | yes   | spring | 0.28                | 0.12          | 0.34         |
|   | increasing | no cov    | all     | no    | fall   | 0.51                | 1.26          | 0.3          |
|   | increasing | no cov    | all     | yes   | fall   | 0.5                 | 1.38          | 0.39         |
|   | increasing | w/ cov    | all     | no    | fall   | 0.51                | 0.23          | 0.3          |
|   | increasing | w/ cov    | all     | yes   | fall   | 0.5                 | 0.28          | 0.3          |
| _ | increasing | no cov    | reduced | no    | spring | 0.31                | 0.17          | 0.4          |
|   | increasing | no cov    | reduced | yes   | spring | 0.29                | 0.19          | 0.41         |
|   | increasing | w/ cov    | reduced | no    | spring | 0.31                | 0.17          | 0.4          |
|   | increasing | w/ cov    | reduced | yes   | spring | 0.29                | 0.15          | 0.41         |
|   | increasing | no cov    | reduced | no    | fall   | 0.64                | 0.75          | 0.7          |
| - | increasing | no cov    | reduced | yes   | fall   | 0.66                | 0.89          | 0.69         |
|   | increasing | w/ cov    | reduced | no    | fall   | 0.64                | 0.2           | 0.7          |
| _ | increasing | w/ cov    | reduced | yes   | fall   | 0.66                | 0.13          | 0.69         |
|   |            |           |         |       |        |                     |               |              |

Table 3: Cod error results

| Temp       | Strata  | Noise | season | VAST.No.Cov | VAST.wCov | Stratified.Mean |
|------------|---------|-------|--------|-------------|-----------|-----------------|
| constant   | all     | no    | spring | 0.11        | 0.12      | 0.36            |
| constant   | all     | yes   | spring | 0.12        | 0.15      | 0.35            |
| constant   | all     | no    | fall   | 0.19        | 0.05      | 0.49            |
| constant   | all     | yes   | fall   | 0.30        | 0.23      | 0.41            |
| constant   | reduced | no    | spring | 0.17        | 0.24      | 0.41            |
| constant   | reduced | yes   | spring | 0.20        | 0.23      | 0.46            |
| constant   | reduced | no    | fall   | 0.21        | 0.33      | 0.60            |
| constant   | reduced | yes   | fall   | 0.18        | 0.31      | 0.58            |
| increasing | all     | no    | spring | 0.12        | 0.15      | 0.25            |
| increasing | all     | yes   | spring | 0.19        | 0.19      | 0.27            |
| increasing | all     | no    | fall   | 0.76        | 0.13      | 0.45            |
| increasing | all     | yes   | fall   | 0.89        | 0.33      | 0.44            |
| increasing | reduced | no    | spring | 0.14        | 0.22      | 0.32            |
| increasing | reduced | yes   | spring | 0.15        | 0.21      | 0.29            |
| increasing | reduced | no    | fall   | 0.60        | 0.31      | 0.54            |
| increasing | reduced | yes   | fall   | 0.62        | 0.32      | 0.53            |

Table 4: Haddock error results

| $\operatorname{Temp}$ | Strata  | Noise | season | VAST.No.Cov | VAST.wCov | Stratified.Mean | X  | X.1        |
|-----------------------|---------|-------|--------|-------------|-----------|-----------------|----|------------|
| const                 | all     | no    | spring | 0.49        | 0.18      | 0.18            | NA |            |
| const                 | all     | yes   | spring | 0.73        | 0.43      | 0.21            | NA | Haddock    |
| const                 | all     | no    | fall   | 0.28        | 0.05      | 0.26            | NA | Increasing |
| const                 | all     | yes   | fall   | 0.41        | 0.06      | 0.27            | NA |            |
| const                 | reduced | no    | spring | 0.34        | 0.35      | 0.45            | NA |            |
| const                 | reduced | yes   | spring | 0.30        | 0.33      | 0.46            | NA |            |
| const                 | reduced | no    | fall   | 0.36        | 0.48      | 0.54            | NA |            |
| const                 | reduced | yes   | fall   | 0.33        | 0.46      | 0.52            | NA |            |
| increasing            | all     | no    | spring | 0.25        | 0.05      | 0.26            | NA |            |
| increasing            | all     | yes   | spring | 0.30        | 0.06      | 0.31            | NA |            |
| increasing            | all     | no    | fall   | 0.89        | 0.23      | 0.40            | NA |            |
| increasing            | all     | yes   | fall   | 1.04        | 0.35      | 0.42            | NA |            |
| increasing            | reduced | no    | spring | 0.32        | 0.40      | 0.44            | NA |            |
| increasing            | reduced | yes   | spring | 0.38        | 0.37      | 0.37            | NA |            |
| increasing            | reduced | no    | fall   | 0.44        | 0.64      | 0.72            | NA |            |
| increasing            | reduced | yes   | fall   | 0.42        | 0.62      | 0.70            | NA |            |

### 285 Discussion

[[ some things that I think we'll need to address, in addition to those mentioned above are
(in no particular order): number of simulations done for each scenario - time required to do
them and analyses pros and cons of using VAST with covariates in a changing environment
implications for current practice of using stratified mean (not horrible, but might be able
to do better with model-based using covariates if enviro changing, note we don't want to
say stratified mean should not be used because that will draw a lot of tomato throwing)
future work - some of the ideas we've discussed already could be mentioned limitations to
our study perhaps for discussion, but we should mention somewhere that MFS only tracks
biomass not age structure, the latter is important for stock assessments but not considered
in this paper]]

## 296 Acknowledgements

[[we should thank Jim (obviously), but also those who helped you with the habitat data (robyn, david Chev.) and others? also should note that funding provided by Climate-Groundfish source (I'll dig up the official name of the funding source)]

### 300 Data and Code Availability

All data and code used in this work are available at https://github.com/cmlegault/IBMWG.

[[need to update this]]

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322 Tables

Table 6: Parameters used in all population models.

| Parameter                                       | Description  | Unit                              | Yellowtail                             | Cod                                    | Haddock                               | Source               |
|---|--|-----------------------------------|--|--|---------------------------------------|----------------------|
| <u+03c1><br/>M<br/>W1<br/>W2<br/>sigma</u+03c1> | Ford's growth coefficient Natural mortality Weight of fully recruited fish Weight of pre-recruit fish Variance in recruited fish | 1/wk<br>1/wk<br>kg<br>kg<br>kg*kg | 4.48<br>0.2064<br>0.39<br>0.13<br>0.55 | 4.43<br>0.2728<br>2.95<br>0.39<br>0.55 | 4.49<br>0.334<br>1.12<br>0.19<br>0.55 | NA<br>NA<br>NA<br>NA |
| lambda<br>spwn<br>rec                           | Decay rate for movement<br>Spawning weeks for species s<br>Recruitment weeks for species s                                       | -<br>wks<br>wks                   | 0.7<br>9-12<br>9-12                    | 0.7<br>8-13<br>8-13                    | 0.7<br>11-14<br>11-14                 | NA<br>NA<br>NA       |

Table 1. Parameters used in all population models.

| Parameter  | Description                       |               | Yellowtail | Cod    | Haddock | Source |
|------------|-----------------------------------|---------------|------------|--------|---------|--------|
| ρ          | Ford's growth coefficient         | $\rm wk^{-1}$ | 4.48       | 4.43   | 4.49    |        |
| M          | Natural Mortality                 | $\rm wk^{-1}$ | 0.2064     | 0.2728 | 0.3340  |        |
| $W_R$      | Weight of fully recruited fish    | kg            | 0.39       | 2.95   | 1.12    |        |
| $W_{R-1}$  | Weight of pre-recruit fish        | kg            | 0.13       | 0.39   | 0.19    |        |
| $\sigma^2$ | Variance in recruited fish        | $kg^2$        | 0.55       | 0.55   | 0.55    |        |
| $\lambda$  | Decay rate for movement           | -             | 0.7        | 0.7    | 0.7     |        |
| $Spwn_s$   | Spawning weeks for species $s$    | wk            | 9-12       | 8-13   | 11-14   |        |
| $Rec_s$    | Recruitment weeks for species $s$ | wk            | 9-12       | 8-13   | 11-14   |        |

Table XX. Parameters used in all VAST models.

| Parameter   | Description                                   | Input/Value             |
|-------------|---|-------------------------|
| ObsModel    | Link function and assumed distribution        | c(10,2)                 |
| FieldCOnfig | Specified spatial and/or spatio-temporal      | c(Omega1=0, Epsilon1=0, |
|             | variation in predictors                       | Omega2=1, Epsilon2=1)   |
| RhoConfig   | Specifying whether intercepts or              | c(Beta1=3, Beta2=3,     |
|             | spatio-temporal variation is structured among | Epsilon1=0, Epsilon2=4) |
|             | time intervals                                |                         |

| Parameter  | Description                                  | Input/Value   |
|------------|--|---|
| X1_formula | Right-sided formula affecting the 1st linear | X1_formula = ~  |
|            | predictor                                    | poly(Temp, degree=2)  |
| X2_formula | Right-sided formula affecting the 2nd linear | $X2$ _formula = $\sim$  |
|            | predictor                                    | poly(Temp, degree= $2$ ) +  |
|            |  | $\operatorname{poly}(\operatorname{Habitat},\operatorname{degree}{=}2\ )$ |

Table 7: Parameters used in population models for each scenario.

| Parameter             | Description                            | Unit | Yellowtail  | Cod        | Haddock    |
|-----------------------|--|------|-------------|------------|------------|
| Constant Population   |  |      |             |            |            |
| M+F                   | Adjusted Mortality (Natural + Fishing) | 1/wk | 0.764       | 0.83       | 0.309      |
| P0                    | Initial Biomass                        | kg   | 3190        | 21500      | 180000     |
| a                     | Max recruitment rate                   | kg   | 30400       | 27900      | 73600      |
| В                     | Recruitment half saturation value      | kg   | 4300        | 10500      | 40500      |
| Decreasing Population |  |      |             |            |            |
| M+F                   | Adjusted Mortality (Natural + Fishing) | 1/wk | 0.764       | 0.623      | 0.334      |
| P0                    | Initial Biomass                        | kg   | 50000       | 21500      | 180000     |
| a                     | Max recruitment rate                   | kg   | 1.07e + 12  | 3.89e + 08 | 4.97e + 08 |
| В                     | Recruitment half saturation value      | kg   | $2.3e{+}12$ | 9.8e + 08  | 2.08e + 09 |
| Increasing Population |  |      |             |            |            |
| M+F                   | Adjusted Mortality (Natural + Fishing) | 1/wk | 0.564       | 0.372      | 0.134      |
| P0                    | Initial Biomass                        | kg   | 3190        | 21500      | 180000     |
| a                     | Max recruitment rate                   | kg   | 40000       | 45000      | 1e + 05    |
| ß                     | Recruitment half saturation value      | kg   | 43000       | 62800      | 405000     |

| Temp                  | Covariate           | Strata  | Noise         | Season | Scenario              | Stratified Mean                           | VAST Es  |
|-----------------------|---------------------|---------|---------------|--------|-----------------------|---|----------|
| all                   | 1 2 2 7 6 2 2 6 6 6 |         | 1             |        |                       | .5 T. ST. ST. ST. ST. ST. ST. ST. ST. ST. |          |
| Constant Population   |                     |         |               |        |                       |   |          |
| constant              | no cov              | all     | no            | spring | Constant Population   | 0.21                                      |          |
| constant              | no cov              | all     | yes           | spring | Constant Population   | 0.25                                      |          |
| constant              | w/ cov              | all     | no            | spring | Constant Population   | 0.21                                      |          |
| constant              | w/ cov              | all     | yes           | spring | Constant Population   | 0.25                                      |          |
| constant              | no cov              | all     | no            | fall   | Constant Population   | 0.32                                      |          |
| constant              | no cov              | all     | yes           | fall   | Constant Population   | 0.31                                      |          |
| constant              | w/ cov              | all     | no            | fall   | Constant Population   | 0.32                                      |          |
| constant              | w/ cov              | all     | yes           | fall   | Constant Population   | 0.31                                      |          |
| constant              | no cov              | reduced | no            | spring | Constant Population   | 0.27                                      |          |
| constant              | no cov              | reduced | yes           | spring | Constant Population   | 0.26                                      |          |
| constant              | w/ cov              | reduced | no            | spring | Constant Population   | 0.27                                      |          |
| constant              | w/ cov              | reduced | yes           | spring | Constant Population   | 0.26                                      |          |
| constant              | no cov              | reduced | no            | fall   | Constant Population   | 0.47                                      |          |
| constant              | no cov              | reduced | yes           | fall   | Constant Population   | 0.49                                      |          |
| constant              | w/ cov              | reduced | no            | fall   | Constant Population   | 0.47                                      |          |
| constant              | w/ cov              | reduced | yes           | fall   | Constant Population   | 0.49                                      |          |
| increasing            | no cov              | all     | no            | spring | Constant Population   | 0.28                                      |          |
| increasing            | no cov              | all     | yes           | spring | Constant Population   | 0.28                                      |          |
| increasing            | w/ cov              | all     | no            | spring | Constant Population   | 0.28                                      |          |
| increasing            | w/ cov              | all     | yes           | spring | Constant Population   | 0.28                                      |          |
| increasing            | no cov              | all     | no            | fall   | Constant Population   | 0.51                                      |          |
| increasing            | no cov              | all     | yes           | fall   | Constant Population   | 0.50                                      |          |
| increasing            | w/ cov              | all     | no            | fall   | Constant Population   | 0.51                                      |          |
| increasing            | w/ cov              | all     | yes           | fall   | Constant Population   | 0.50                                      |          |
| increasing            | no cov              | reduced | no            | spring | Constant Population   | 0.31                                      |          |
| increasing            | no cov              | reduced | yes           | spring | Constant Population   | 0.29                                      |          |
| increasing            | w/ cov              | reduced | no            | spring | Constant Population   | 0.31                                      |          |
| increasing            | w/ cov              | reduced | yes           | spring | Constant Population   | 0.29                                      |          |
| increasing            | no cov              | reduced | no            | fall   | Constant Population   | 0.64                                      |          |
| increasing            | no cov              | reduced | yes           | fall   | Constant Population   | 0.66                                      |          |
| increasing            | w/ cov              | reduced | no            | fall   | Constant Population   | 0.64                                      |          |
| increasing            | w/ cov              | reduced | yes           | fall   | Constant Population   | 0.66                                      |          |
| Increasing Population | I                   |         |               |        |                       | 1   | I        |
| constant              | no cov              | all     | no            | spring | Increasing Population | 0.16                                      |          |
| constant              | no cov              | all     | yes           | spring | Increasing Population | 0.22                                      |          |
| constant              | w/ cov              | all     | no            | spring | Increasing Population | 0.16                                      |          |
| constant              | w/ cov              | all     | yes           | spring | Increasing Population | 0.22                                      |          |
| constant              | no cov              | all     | no            | fall   | Increasing Population | 0.34                                      |          |
| constant              | no cov              | all     | yes           | fall   | Increasing Population | 0.46                                      |          |
| constant              | w/ cov              | all     | no            | fall   | Increasing Population | 0.34                                      |          |
| constant              | w/ cov              | all     | yes           | fall   | Increasing Population | 0.46                                      |          |
| constant              | no cov              | reduced | no            | spring | Increasing Population | 0.20                                      |          |
| constant              | no cov              | reduced | yes           | spring | Increasing Population | 0.22                                      |          |
| constant              | w/ cov              | reduced | no            | spring | Increasing Population | 0.20                                      |          |
| constant              | w/ cov              | reduced | yes           | spring | Increasing Population | 0.22                                      |          |
| constant              | no cov              | reduced | no            | fall   | Increasing Population | 0.41                                      |          |
| constant              | no cov              | reduced | yes           | fall   | Increasing Population | 0.46                                      |          |
| constant              | w/ cov              | reduced | no            | fall   | Increasing Population | 0.41                                      |          |
| constant              | w/ cov              | reduced | yes           | fall   | Increasing Population | 0.46                                      |          |
| reduced               | T                   | _ 11    | T             | · ·    | T D. 1 11             | 0.00                                      | <u> </u> |
| increasing            | no cov              | all     | no            | spring | Increasing Population | 0.32                                      |          |
| increasing            | no cov              | all     | <b>2</b> ⁄4€s | spring | Increasing Population | 0.34                                      |          |
| increasing            | w/ cov              | all     | no            | spring | Increasing Population | 0.32                                      |          |
| increasing            | w/ cov              | all     | yes           | spring | Increasing Population | 0.34                                      |          |
| increasing            | no cov              | all     | no            | fall   | Increasing Population | 0.30                                      |          |

Table 9: Cod error results.

| Strata     | Noise | season | VAST No Cov | VAST w/ Cov | Stratified Mean |
|------------|-------|--------|-------------|-------------|-----------------|
| constant   |       |        |             |             |                 |
| all        | no    | spring | 0.11        | 0.12        | 0.36            |
| all        | yes   | spring | 0.12        | 0.15        | 0.35            |
| all        | no    | fall   | 0.19        | 0.05        | 0.49            |
| all        | yes   | fall   | 0.30        | 0.23        | 0.41            |
| reduced    | no    | spring | 0.17        | 0.24        | 0.41            |
| reduced    | yes   | spring | 0.20        | 0.23        | 0.46            |
| reduced    | no    | fall   | 0.21        | 0.33        | 0.60            |
| reduced    | yes   | fall   | 0.18        | 0.31        | 0.58            |
| increasing |       |        |             |             |                 |
| all        | no    | spring | 0.12        | 0.15        | 0.25            |
| all        | yes   | spring | 0.19        | 0.19        | 0.27            |
| all        | no    | fall   | 0.76        | 0.13        | 0.45            |
| all        | yes   | fall   | 0.89        | 0.33        | 0.44            |
| reduced    | no    | spring | 0.14        | 0.22        | 0.32            |
| reduced    | yes   | spring | 0.15        | 0.21        | 0.29            |
| reduced    | no    | fall   | 0.60        | 0.31        | 0.54            |
| reduced    | yes   | fall   | 0.62        | 0.32        | 0.53            |