Estimating Population Trends with Stratified Random

Sampling Under the Pressures of Climate Change

- ³ Benjamin A. Levy¹, Christopher M. Legault², Timothy J. Miller², Elizabeth N. Brooks²
- ⁴ ¹Ben's Institution, USA
- ⁵ National Marine Fisheries Service, Northeast Fisheries Science Center, Woods Hole, MA,
- 6 USA
- 7 Corresponding author: Ben Levy (benjamin.levy@noaa.gov)
- 8 Competing interests: The authors declare there are no competing interests.
- 9 [[Chris comments in double square brackets, search for them to see comments]]

- $_{10}$ Abstract
- 11 An Abstract
- 12 Keywords
- 13 keyword 1, keyword 2

14 Introduction

18

27

much of below is from https://apps-nefsc.fisheries.noaa.gov/nefsc/ecosystem-ecology/
or https://www.fisheries.noaa.gov/data-tools/fisheries-economics-united-states-data-andvisualizations

• The eastern continental shelf is ecologically diverse and economically important

The Northeast United States continental shelf spans from the Outer Banks of North Carolina to the Gulf of Maine. The region covers over 250,000 km² of ocean, extending over 200 km from shore in the largest areas in New England to just 30 km off shore in the southern regions. This ecologically diverse region contains approximately 18,000 vertebrate marine species. Commercial fisheries have been an important part of local economies for centuries. In 2019, New England fisheries produced \$22 billion in sales, which sustained over 200,000 jobs. Maintaining a healthy ecosystem is therefore vital to sustained ecological health and economic prosperity of the region. (NEFMC 2020)

• Bottom trawl survey is important for monitoring population trends

Fish stocks in this highly productive and economically important region are managed by the
National Oceanic and Atmospheric Administration's (NOAA) Northeast Fisheries Science
Center (NEFSC) in Woods Hole, Massachusetts. Federal scientists assess the health and
abundance of each commercial fish stock using fishery-independent bottom trawl survey data
that has been collected by NOAA throughout the region since 1963 (cite survey paper[[, some
possible citations are https://repository.library.noaa.gov/view/noaa/4825/noaa_4825_DS1.
pdf and T.S. Azarovitz 1981 A brief historical review of the Woods Hole Laboratory trawl
survey time series. W.G. Doubleday, D. Rivard (Eds.), Bottom Trawl Surveys, Can. Spec.
Pub. Fish. Aqua. Sci. No. 58 (1981), pp. 62-67]]). The survey uses a stratified random design
where bottom trawl sampling takes place in predefined strata along the eastern continental

- shelf. The survey has created a rich time series data set with many uses including species-
- 39 specific habitat identification, analysis of how environmental conditions influence species
- 40 abundance, and estimating yearly species abundance trends to help inform stock assessments
- and ultimately quota limits just listed a few uses of survey. change/add others?.
- The survey takes place twice each year- once in the spring and again in the fall. Since most
- spatial analyses and projections of future distributions typically assume a constant survey
- 44 catchability and/or availability over time, NOAA's survey design includes sampling during
- ⁴⁵ approximately the same 2-3 week time period in each season.
 - Climate change is happening

46

- Due to a combination of climate change and shifts in circulation, the Northeast United
 States continental shelf has experienced rapid warming in recent decades, resulting in a shift
 in spatial distributions of many species. Since stock assessment models rely on accurate
 descriptions of population dynamics and contemporary patterns of spatial abundance, there
 is concern that rapid undocumented changes in spatial distributions of species will bias
 future stock assessments. The implication of this is that the bottom trawl survey is actually
 sampling the population during a different life cycle stage than was originally assumed [[I
 don't think the concern is about life cycle stage, but rather that the fish catchability or
 availability in the survey will change, thus changing the relationship between the index and
 the true population]], which can lead to biased stock assessments. We are therefore interested
 in analyzing the impact of climate change on the accuracy of future stock assessment models
 as measured by NOAA's ongoing bottom-trawl survey along the East coast.
- say something about our study species? Past abundance history, biological characteristics, others? Maybe better suited in below section [[I think the specific species can wait for the methods section, just laying the groundwork here in the intro]]

use more info from initial proposal

- Fish are changing spatial distribution and have altered life stages (?) because of climate change [[see note above about catchability and availability]] NYE paper
- Population indexing methods may be becoming biased as a result
- Briefly describe our study to test this

To test the ability of the bottom trawl survey to track population trends under shifting
environmental conditions, we construct spatial models for fish where movement depend on
temperature preferences. We can then consider the impact of climate change by simulating
scenarios with repeating temperature patterns and those where temperature increases on
average over time. In both cases we analyze the ability of stratified random sampling to
track population trends.

13 Methods

• Describe simulation study

We construct spatial models for Yellowtail Flounder, Atlantic Cod, and Haddock on [[Georges]] George's Bank, where movement of each species combine static species-specific habitat preferences with temperature preferences. Model dynamics are driven by a time series of temperature gradients that were estimated from data to create simulated data sets for each population where the true biomass is known. Using temperature gradients that repeat each year creates data sets with predictable, repeating spatial patterns, whereas using a temperature gradient that increases on average throughout the simulation leads to spatial distributions that shift over time [[and mimics the possible future in the region under climate change?]]. We conducting stratified random sampling on our simulation output to mimic the bottom trawl survey and compare the ability of contemporary indexing methods to track population trends.

86 Population Model Formulation

- ₈₇ Used MixFishSim. Describe edits made to package
- We use the R package MixFishSim (MFS) to model our populations (Dolder et al. 2020).
- MFS is a discrete spatiotemporal simulation tool where users can model multiple species un-
- oder varying environmental conditions. The package uses a delay-difference population model
- 91 with discrete processes for growth, death, and recruitment of the population. We formulate
- the following inputs for the MFS package to address our research question. [[perhaps for dis-
- cussion, but we should mention somewhere that MFS only tracks biomass not age structure,
- the latter is important for stock assessments but not considered in this paper.
- 95 Study Area
- We obtained a shapefile for the 15 strata that comprise [Georges Bank does not have an
- apostrophe, I made the same mistake when I started here]] George's Bank to use as our
- modeling environment. We discritized the region into a raster with 88 rows and 144 columns.
- 99 Haddock inhabit all 15 strata in the domain, Cod inhabit 13 strata, and Yellowtail exist in 9
- strata. Figure 1 shows the regions used in our models. [[can mention that the different strata
- are based on biological differences among the species, possibly add that there are multiple
- stocks for all three species and the delineations among the stocks differ by species

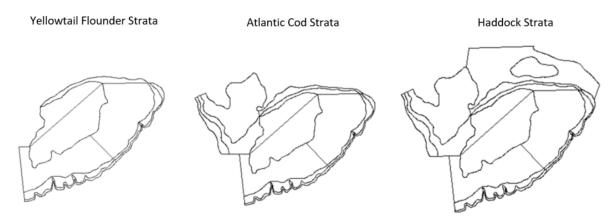


Figure 1: Strata inhabited by each species in our population models.

103 Population Dynamics and Recruitment

The time step for our models is one week. MFS uses a modified two-stage Deriso-Schnute delay difference equation that models the biomass in each cell in our study area (Dolder et 105 al. 2020). Individual terms in the formulation account for growth of mature adults, natural 106 and fishing mortality, and the addition of new recruits. [not sure we need to highlight the 107 recruitment model, might be able to just use the table because Bev-Holt formulation is well 108 known in fisheries We chose to represent recruitment in the model using a Beverton-Holt 109 formulation cite. Recruitment is a function of the adult biomass that existed in the previ-110 ous year and is added to the population incrementally throughout each species' predefined 111 spawning period. Parameter inputs were either obtained from the literature or chosen to 112 produce desired model dynamics. A full list of parameters used in our model can be seen 113 below in Tables ?? and 7. 114

115 Movement

The package was designed to generate theoretical habitat preferences using Gaussian Random Fields that combine with hypothetical temperature gradients to drive the probability
of movement from cell I to cell J using the formulation

$$Pr(C_{wk+1} = J | C_{wk} = I) = \frac{e^{-\lambda \cdot d_{I,J}} \cdot (Hab_{J,s}^2 \cdot Tol_{J,s,wk})}{\sum_{c=1}^{C} e^{-\lambda \cdot d} \cdot (Hab_{c,s}^2 \cdot Tol_{c,s,wk})},$$
(1)

119 where

 $e^{-\lambda \cdot d_{I,J}}$ accounts for distance between cells I and J,

 $Hab_{J,s}^2$ is the static habitat value for species s in cell J, and

 $Tol_{c,s,wk}$ is the value from normally distributed temperature tolerance for species s in cell c in week wk.

The following sections describe how we formulated the habitat and temperature components to model real species on the northeast continental shelf.

126 Habitat Input

Species-specific habitat preferences were derived using the *lrren* tool from the R package *envi* 127 (Buller 2022) to create a niche model for each species. The *lrren* tool estimates an ecological 128 niche using the relative risk function by relating presence/absence data to two covariate 129 predictors. We used bottom trawl point data in from 2009-2021 as our presence/absence 130 input by using a value of 0 for any tow that failed to catch the given species and weighting a 131 successful catch by the biomass of the given tow [I don't think we need to cite the trawl data 132 because we said we were using trawl data above] cite trawl data?. We combined data from 133 both the fall and spring surveys to obscure the influence of temperature so that the niche 134 model would instead infer habitat preferences. Depth and mean sediment size were used as 135 our covariate predictors. Estimated depth for the region was obtained from FVCOM (Chen et al. 2006). The mean sediment size raster was interpolated in ArcMap using the natural neighbor interpolation method [[citing ArcMap should suffice]] cite arcmap or more? 138 using point data collected by the United States Geologic Survey (USGS) (McMullen et al. 139 n.d.). Since the values in $Hab_{J,s}^2$ are required to be between 0 and 1, we transform the spatial 140 estimates from *lrren* to fall between these bounds. See Figure 2 for a visual representation 141 of this process being applied to Cod. Figure 3 depicts habitat preferences $Hab_{J,s}^2$ for each 142 species. 143

144 Temperature Input

Each species is assumed to have normally distributed temperature preferences $(N(\mu, \sigma))$.

We assume Yellowtail Flounder's preferences are N(8.75, 4.25), while Haddock and Cod

have preferences N(9,4). We chose these values by combining information in the literature

with temperatures recorded in the bottom trawl survey. Weekly estimated temperature

data for the region for 2012 was obtained from FVCOM (Chen et al. 2006). We chose

2012 because the data displayed an average temperature pattern that consistently oscillated

between maximum and minimum temperature values. This data was also transform to create

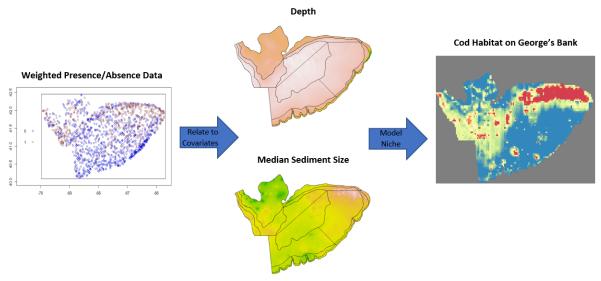


Figure 2: Visual representation of niche model for Cod.

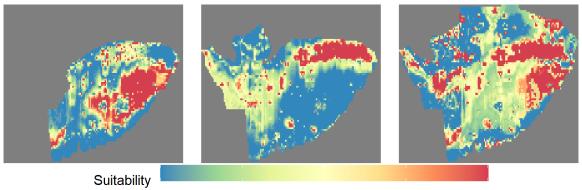


Figure 3: Static habitat preferences for each species in our population models (Yellotwtail, Cod, Haddock).

an oscillating pattern that increases 5 degrees Celsius on average over the duration of the simulation. show images of temperature and/or and/or temp videos??? and/or average temperature oscillations?[[as we discussed, one plot here comparing average temperatures for constant and increasing scenarios in the paper, one or more animations in supplemental materials]

-Describe difference between increasing and constant temperature scenarios (images?)

In equation (1), $Hab_{J,s}^2$ is constant for the duration of the simulation, while $Tol_{c,s,wk}$ changes 158 each week. Using a temperature gradient that repeats every 52 weeks produces the same spatial preferences in a given week each year, resulting in consistent spatial biomass patterns. 160 Scenarios where the temperature increases over time creates spatial preferences that evolve 161 as the water warms, producing spatial biomass patterns that shift in a given week over the 162 duration of the simulation. Thus, stratified random samples in scenarios with a repeating 163 temperature pattern will have constant survey catchability and availability over time, which 164 may not be true for increasing temperature scenarios due to evolving spatial preferences. 165 [may want to mention here or in the discussion that we used repeating 2012 to simplify 166 the simulations and reduce the number of factors explored that would have occurred with 167 different annual cycles] 168

- Describe each scenario that is considered

We consider 20 year simulations under three population parameter scenarios for each of our 170 three species- a scenario where parameters result in each population increasing over time, one 171 where the populations are relatively constant over time, and a scenario where the parameter 172 combination results in each population decreasing over time. Each of these three scenarios 173 is paired with a temperature gradient that repeats as well as one that increasing roughly 5 174 degrees Celsius [[need some justification for this large change, wanted to be extreme because 175 if the extreme doesn't make a difference, then more reasonable increases wouldn't make a 176 difference] over the duration of the 20 year simulation. We therefore simulate a total of 6 177

scenarios. show line plot of population for each?

179 Simulating Bottom Trawl Survey and Population Indexing

-Describe post hoc sampling process and how data is used

After each simulation is complete, we mimic the bottom trawl survey by conducting stratified random sampling in each inhabited strata twice each year. We sample in the same weeks that 182 the Spring and Fall surveys take place and the number of the samples taken in each strata reflect true values. [[need to make sure that we don't lose sight of random samlping with the 184 following sentences]] Most strata contain enough cells to sample a unique location in each 185 survey over the duration of the simulation. For smaller strata we must repeat some sample 186 locations. We then use the biomass collected from our samples in contemporary population 187 indexing methods to estimate population trends. Knowing the true population values in our 188 simulations allows us to compare the error calculated from each estimation method. should 189 we mention here or later that we did both with and without measurement error analyses? 190

-Stratified mean vs VAST with and without covariates

[[could use an intro sentence here to distinguish design-based (stratified mean) and modelbased (VAST) estimators]] We compare the yearly estimated of abundance obtained from 193 the stratified mean to estimate obtained from the Vector-Autoregressive Spatio-temporal 194 (VAST) model. The stratified mean is a typical survey-based approach that scales indi-195 vidual samples to the strata-level by considering the area of each strata, before scaling to 196 the region-level based on the relative size of each strata. VAST is a spatio-temporal sta-197 tistical framework that models both abundance (biomass) and probability of occurrence 198 (presence/absence). If desired, VAST also allows users to include covariate data to bet-199 ter inform the model. Covariates can be static (eg. habitat preferences) or dynamics (eg. 200 temperature). The stratified mean calculations are straightforward and quick, while VAST 201 models require numerous user inputs and take on the order of hours to complete. [may want 202 to highlight the potential of VAST with covariates to address the climate change issue here 203

as the reason we are doing these simulations]

We follow the advice given in (Thorson 2019) to build VAST models to estimate biomass on 205 [[Georges]] George's Bank using stratified mean samples from our model output. In addition 206 to exploring different link functions and assumed distributions, our VAST model-building 207 process included testing the impact of including spatial and/or spatio-temporal variation in 208 our models, considering varying number of knots in our mesh, and testing different forms of 200 temporal correlation. We also carried out the same process both including covariates in our 210 model as well as running models without covariate information. We considered covariates in 211 the form of dynamic temperature values and/or static habitat values from our population 212 model. When using covariates we ultimately decided to provide the most information to 213 the model by including both covariates for both linear predictors. Since we know the true 214 population values in our models we calculate the absolute error of each VAST estimate to 215 compare between potential settings. Through this process, and in consultation with the VAST package creator, we determined setting that allowed VAST models to converge for all 217 of our scenarios while also providing the lowest absolute error values. Settings for our VAST models can be seen in Table???.

Our goal is to determine indexing approaches and settings that are robust to future envi-220 ronmental conditions and resulting spatial biomass patterns. An underlying assumption in 221 all indexing methods that individual random samples combine to accurately represent true 222 abundance by a) sampling all strata in which the population exists and b) low enough noise 223 level in the samples to allow for a discernible pattern. This assumption can be questioned 224 given enough noise in the sampling process cite? and/or shifting spatial preferences driven 225 by climate change causing a population to move into a previously uninhabited strata. To 226 simulate the impact of noise, indexing estimates after adding noise to our samples versus 227 those using the true sampling values. To evaluate the effect of populations moving into new habitat, we compare indexing estimates using samples from all strata versus those that only include a subset of the full spatial domain for each species. [may need to spell the aspect of reduced spatial domain a bit more because it might be confusing to readers who are expected
us to just add areas around the current ones instead of reducing the strata

When combining population trends for each species, differing temperature scenarios, altering seasons, and sampling possibilities (noise, strata, covariates) there are a large number of scenario combinations to consider. The columns in Table COMBOS show the choices that define each scenario.

Table COMBOS Each index estimate chooses one condition from each of the following columns. There are 3*3*2*2*2*2=288 VAST model combinations and 3*3*2*2*2=144 stratified mean estimates.

	Population	Temperature Strata		Noise in	Covariates	
Species	Trend	Scenario	Included	Data	(VAST only)	Season
Yellowtail	Increasing	Repeating	ing All strata No Noise		No Spring	
					Covariates	
Cod	Constant	Increasing	Subset	Yes Noise	Temp +	Fall
		5°			Habitat	
Haddock	Decreasing					

Results

The goal of our project was to analyze how well contemporary population indexing methods
can track population trends under a host of conditions, as depicted in Table COMBOS. Historically, Atlantic Cod has seen significant decline over the last XXX years while Haddock
has increased in abundance in recent year [[can cite the 2022 management track assessments,
see https://apps-nefsc.fisheries.noaa.gov/saw/sari.php for when the document becomes available]] cite. For this reason we compare indexing estimates using stratified random samples
from decreasing population scenarios for Cod (see Table ???) and increasing population

scenarios for Haddock (see Table ???). To provide a comprehensive analysis of population indexing methods we consider all possible scenario combinations for Yellowtail Flounder (see Table ???).

General themes that exist in Tables X, Y and Z are that VAST estimates provide lower 251 errors relative to those derived from the stratified mean, with VAST models that include 252 covariate information providing the lowest overall errors. We also see individual cases where 253 the stratified mean produced the lowest absolut error and instances where including covarites 254 in VAST models actually increase the absolute error. When we reduce the number of strata 255 that are included in indexing calculations to simulation species shifting into new territory, 256 we typically see an increase in absolute error [[as expected]], though there are some scenarios 257 where the impact is minimal. 258

In the Cod results, when using reduced strata adding covariates produces worst VAST results
(though still better than stratified mean). VAST without covariates much worse than stratified mean in fall with increasing temperature and all strata, but adding covariates corrects
this.

For Haddock, VAST has a particularly hard time in spring regularly producing larger errors
than the stratified mean with added covariates only improving to the level of the stratified
mean. VAST shows improved results in fall relative to the stratified mean with added
covaraites producing extremely low errors in some cases.

In considering the Yellowtail Flounder results in Table XXX, we can see VAST estimates generally provide lower errors relative to those derived from the stratified mean, with models that include covariate information typically providing the lowest errors. However, there are several instances in which VAST failed to provide improved abundance estimates during the fall season without covariate information, producing the largest errors seen in the Table. These errors are corrected by including covariate information allowing for an improved VAST estimate that are significantly lower than their stratified mean counterparts.

[[We'll want to expand the results section to focus on the impact of each factor one at at time within each species. This will be a bit dull, but it is important to walk through the results in words to ensure that readers get the message. They can of course examine the tables in detail and draw their own conclusions, but we should put our interpretation down on paper.]]

Discussion Discussion

[[some things that I think we'll need to address, in addition to those mentioned above are
(in no particular order): number of simulations done for each scenario - time required to do
them and analyses pros and cons of using VAST with covariates in a changing environment
implications for current practice of using stratified mean (not horrible, but might be able
to do better with model-based using covariates if enviro changing, note we don't want to
say stratified mean should not be used because that will draw a lot of tomato throwing)
future work - some of the ideas we've discussed already could be mentioned limitations to
our study]

288 Acknowledgements

[[we should thank Jim (obviously), but also those who helped you with the habitat data and others? also should note that funding provided by Climate-Groundfish source (I'll dig up the official name of the funding source)]]

²⁹² Data and Code Availability

All data and code used in this work are available at https://github.com/cmlegault/IBMWG.

[[need to update this]]

Table 2: Yellowtail error results

-	X	X.1	X.2	X.3	X.4	Constant.Population	X.5	Increasing.F
	Temp	Covariate	Strata	Noise	season	Stratified Mean	VAST Estimate	Stratified M
-	const	no cov	all	no	spring	0.21	0.11	0.16
	const	no cov	all	yes	spring	0.25	0.16	0.22
-	const	w/ cov	all	no	spring	0.21	0.07	0.16
	const	w/ cov	all	yes	spring	0.25	0.08	0.22
	const	no cov	all	no	fall	0.32	0.68	0.34
-	const	no cov	all	yes	fall	0.31	0.77	0.46
	const	w/ cov	all	no	fall	0.32	0.08	0.34
	const	w/ cov	all	yes	fall	0.31	0.11	0.46
	const	no cov	reduced	no	spring	0.27	0.19	0.2
	const	no cov	reduced	yes	spring	0.26	0.15	0.22
-	const	w/ cov	reduced	no	spring	0.27	0.19	0.2
	const	w/ cov	reduced	yes	spring	0.26	0.14	0.22
-	const	no cov	reduced	no	fall	0.47	0.25	0.41
	const	no cov	reduced	yes	fall	0.49	0.36	0.46
	const	w/ cov	reduced	no	fall	0.47	0.19	0.41
	const	w/ cov	reduced	yes	fall	0.49	0.17	0.46
	increasing	no cov	all	no	spring	0.28	0.11	0.32
	increasing	no cov	all	yes	spring	0.28	0.15	0.34
	increasing	w/ cov	all	no	spring	0.28	0.06	0.32
_	increasing	w/ cov	all	yes	spring	0.28	0.12	0.34
	increasing	no cov	all	no	fall	0.51	1.26	0.3
	increasing	no cov	all	yes	fall	0.5	1.38	0.39
	increasing	w/ cov	all	no	fall	0.51	0.23	0.3
	increasing	w/ cov	all	yes	fall	0.5	0.28	0.3
_	increasing	no cov	reduced	no	spring	0.31	0.17	0.4
	increasing	no cov	reduced	yes	spring	0.29	0.19	0.41
	increasing	w/ cov	reduced	no	spring	0.31	0.17	0.4
	increasing	w/ cov	reduced	yes	spring	0.29	0.15	0.41
	increasing	no cov	reduced	no	fall	0.64	0.75	0.7
-	increasing	no cov	reduced	yes	fall	0.66	0.89	0.69
	increasing	w/ cov	reduced	no	fall	0.64	0.2	0.7
_	increasing	w/ cov	reduced	yes	fall	0.66	0.13	0.69

Table 3: Cod error results

Temp	Strata	Noise	season	VAST.No.Cov	VAST.wCov	Stratified.Mean
constant	all	no	spring	0.11	0.12	0.36
constant	all	yes	spring	0.12	0.15	0.35
constant	all	no	fall	0.19	0.05	0.49
constant	all	yes	fall	0.30	0.23	0.41
constant	reduced	no	spring	0.17	0.24	0.41
constant	reduced	yes	spring	0.20	0.23	0.46
constant	reduced	no	fall	0.21	0.33	0.60
constant	reduced	yes	fall	0.18	0.31	0.58
increasing	all	no	spring	0.12	0.15	0.25
increasing	all	yes	spring	0.19	0.19	0.27
increasing	all	no	fall	0.76	0.13	0.45
increasing	all	yes	fall	0.89	0.33	0.44
increasing	reduced	no	spring	0.14	0.22	0.32
increasing	reduced	yes	spring	0.15	0.21	0.29
increasing	reduced	no	fall	0.60	0.31	0.54
increasing	reduced	yes	fall	0.62	0.32	0.53

Table 4: Haddock error results

Temp	Strata	Noise	season	VAST.No.Cov	VAST.wCov	Stratified.Mean	X	X.1
const	all	no	spring	0.49	0.18	0.18	NA	
const	all	yes	spring	0.73	0.43	0.21	NA	Haddock
const	all	no	fall	0.28	0.05	0.26	NA	Increasing
const	all	yes	fall	0.41	0.06	0.27	NA	
const	reduced	no	spring	0.34	0.35	0.45	NA	
const	reduced	yes	spring	0.30	0.33	0.46	NA	
const	reduced	no	fall	0.36	0.48	0.54	NA	
const	reduced	yes	fall	0.33	0.46	0.52	NA	
increasing	all	no	spring	0.25	0.05	0.26	NA	
increasing	all	yes	spring	0.30	0.06	0.31	NA	
increasing	all	no	fall	0.89	0.23	0.40	NA	
increasing	all	yes	fall	1.04	0.35	0.42	NA	
increasing	reduced	no	spring	0.32	0.40	0.44	NA	
increasing	reduced	yes	spring	0.38	0.37	0.37	NA	
increasing	reduced	no	fall	0.44	0.64	0.72	NA	
increasing	reduced	yes	fall	0.42	0.62	0.70	NA	

295 References

- Buller, I.D. 2022. Envi: Environmental interpolation using spatial kernel density estimation.
- The Comprehensive R Archive Network. doi:10.5281/zenodo.5347826.
- ²⁹⁸ Chen, C., Beardsley, R.C., Cowles, G., Qi, J., Lai, Z., Gao, G., and others. 2006. An unstruc-
- tured grid, finite-volume coastal ocean model: FVCOM user manual. SMAST/UMASSD:
- ₃₀₀ 6–8.
- Dolder, P.J., Minto, C., Guarini, J.-M., and Poos, J.J. 2020. Highly resolved spatiotemporal
- simulations for exploring mixed fishery dynamics. Ecological Modelling **424**: 109000.
- Elsevier.
- McMullen, K., Paskevich, V., and Poppe, L. (n.d.). USGS east-coast sediment analysis:
- Procedures, database, and GIS data. US Geological Survey Open-File Report 2005:
- 306 1001.
- NEFMC. 2020. Northeast skate complex fishery management plan. Amendment 5 discussion
- document. Available online at https://www.nefmc.org/library/amendment-5-3.
- Thorson, J.T. 2019. Guidance for decisions using the vector autoregressive spatio-temporal
- (VAST) package in stock, ecosystem, habitat and climate assessments. Fisheries Research
- **210**: 143–161. Elsevier.

312 Tables

Table 6: Parameters used in all population models.

Parameter	Description	Unit	Yellowtail	Cod	Haddock	Source
<u+03c1> M W1 W2 sigma</u+03c1>	Ford's growth coefficient Natural mortality Weight of fully recruited fish Weight of pre-recruit fish Variance in recruited fish	1/wk 1/wk kg kg kg*kg	4.48 0.2064 0.39 0.13 0.55	4.43 0.2728 2.95 0.39 0.55	4.49 0.334 1.12 0.19 0.55	NA NA NA NA
lambda spwn rec	Decay rate for movement Spawning weeks for species s Recruitment weeks for species s	- wks wks	0.7 9-12 9-12	0.7 8-13 8-13	0.7 11-14 11-14	NA NA NA

Table 1. Parameters used in all population models.

Parameter	Description		Yellowtail	Cod	Haddock	Source
ρ	Ford's growth coefficient	$\rm wk^{-1}$	4.48	4.43	4.49	
M	Natural Mortality	$\rm wk^{-1}$	0.2064	0.2728	0.3340	
W_R	Weight of fully recruited fish	kg	0.39	2.95	1.12	
W_{R-1}	Weight of pre-recruit fish	kg	0.13	0.39	0.19	
σ^2	Variance in recruited fish	kg^2	0.55	0.55	0.55	
λ	Decay rate for movement	-	0.7	0.7	0.7	
$Spwn_s$	Spawning weeks for species s	wk	9-12	8-13	11-14	
Rec_s	Recruitment weeks for species s	wk	9-12	8-13	11-14	

Table XX. Parameters used in all VAST models.

Parameter	Description	Input/Value
ObsModel	Link function and assumed distribution	c(10,2)
FieldCOnfig	Specified spatial and/or spatio-temporal	c(Omega1=0, Epsilon1=0,
	variation in predictors	Omega2=1, Epsilon2=1)
RhoConfig	Specifying whether intercepts or	c(Beta1=3, Beta2=3,
	spatio-temporal variation is structured among	Epsilon1=0, Epsilon2=4)
	time intervals	

Parameter	Description	Input/Value
X1_formula	Right-sided formula affecting the 1st linear	X1_formula = ~
	predictor	poly(Temp, degree=2)
X2_formula	Right-sided formula affecting the 2nd linear	$X2$ _formula = \sim
	predictor	poly(Temp, degree= 2) +
		$\operatorname{poly}(\operatorname{Habitat},\operatorname{degree}{=}2\)$

Table 7: Parameters used in population models for each scenario.

Parameter	Description	Unit	Yellowtail	Cod	Haddock
Constant Population					
M+F	Adjusted Mortality (Natural + Fishing)	1/wk	0.764	0.83	0.309
P0	Initial Biomass	kg	3190	21500	180000
a	Max recruitment rate	kg	30400	27900	73600
В	Recruitment half saturation value	kg	4300	10500	40500
Decreasing Population					
M+F	Adjusted Mortality (Natural + Fishing)	1/wk	0.764	0.623	0.334
P0	Initial Biomass	kg	50000	21500	180000
a	Max recruitment rate	kg	1.07e + 12	3.89e + 08	4.97e + 08
ß	Recruitment half saturation value	kg	$2.3e{+}12$	9.8e + 08	2.08e + 09
Increasing Population					
M+F	Adjusted Mortality (Natural + Fishing)	1/wk	0.564	0.372	0.134
P0	Initial Biomass	kg	3190	21500	180000
a	Max recruitment rate	kg	40000	45000	1e + 05
В	Recruitment half saturation value	kg	43000	62800	405000

Temp	Covariate	Strata	Noise	Season	Scenario	Stratified Mean	VAST Es
all	1 2 2 7 6 2 2 6 6 6		1		·- · · · · · · · · · · · · · · · · · ·	.5 T. ST. ST. ST. ST. ST. ST. ST. ST. ST.	
Constant Population							
constant	no cov	all	no	spring	Constant Population	0.21	
constant	no cov	all	yes	spring	Constant Population	0.25	
constant	w/ cov	all	no	spring	Constant Population	0.21	
constant	w/ cov	all	yes	spring	Constant Population	0.25	
constant	no cov	all	no	fall	Constant Population	0.32	
constant	no cov	all	yes	fall	Constant Population	0.31	
constant	w/ cov	all	no	fall	Constant Population	0.32	
constant	w/ cov	all	yes	fall	Constant Population	0.31	
constant	no cov	reduced	no	spring	Constant Population	0.27	
constant	no cov	reduced	yes	spring	Constant Population	0.26	
constant	w/ cov	reduced	no	spring	Constant Population	0.27	
constant	w/ cov	reduced	yes	spring	Constant Population	0.26	
constant	no cov	reduced	no	fall	Constant Population	0.47	
constant	no cov	reduced	yes	fall	Constant Population	0.49	
constant	w/ cov	reduced	no	fall	Constant Population	0.47	
constant	w/ cov	reduced	yes	fall	Constant Population	0.49	
increasing	no cov	all	no	spring	Constant Population	0.28	
increasing	no cov	all	yes	spring	Constant Population	0.28	
increasing	w/ cov	all	no	spring	Constant Population	0.28	
increasing	w/ cov	all	yes	spring	Constant Population	0.28	
increasing	no cov	all	no	fall	Constant Population	0.51	
increasing	no cov	all	yes	fall	Constant Population	0.50	
increasing	w/ cov	all	no	fall	Constant Population	0.51	
increasing	w/ cov	all	yes	fall	Constant Population	0.50	
increasing	no cov	reduced	no	spring	Constant Population	0.31	
increasing	no cov	reduced	yes	spring	Constant Population	0.29	
increasing	w/ cov	reduced	no	spring	Constant Population	0.31	
increasing	w/ cov	reduced	yes	spring	Constant Population	0.29	
increasing	no cov	reduced	no	fall	Constant Population	0.64	
increasing	no cov	reduced	yes	fall	Constant Population	0.66	
increasing	w/ cov	reduced	no	fall	Constant Population	0.64	
increasing	w/ cov	reduced	yes	fall	Constant Population	0.66	
Increasing Population	I	11			T	0.10	T
constant	no cov	all	no	spring	Increasing Population	0.16	
constant	no cov	all	yes	spring	Increasing Population	0.22	
constant	w/ cov	all	no	spring	Increasing Population	0.16	
constant	w/ cov	all	yes	spring	Increasing Population	0.22	
constant	no cov	all	no	fall	Increasing Population	0.34	
constant	no cov	all	yes	fall	Increasing Population	0.46	
constant	w/ cov	all	no	fall	Increasing Population	0.34	
constant	w/ cov	all	yes	fall	Increasing Population	0.46	
constant	no cov	reduced	no	spring	Increasing Population	0.20	
constant	no cov	reduced	yes	spring	Increasing Population	0.22	
constant	w/ cov	reduced	no	spring	Increasing Population	0.20	
constant	w/ cov	reduced	yes	spring fall	Increasing Population	0.22	
constant	no cov	reduced	no	fall	Increasing Population Increasing Population	0.41 0.46	
constant	no cov	reduced	yes	fall	Increasing Population Increasing Population	0.40	
constant	w/ cov	reduced reduced	no	fall	Increasing Population Increasing Population	0.41	
reduced	w/ cov	reduced	yes	1411	mereasing ropulation	0.40	
	no gov	all	ne	enring	Increasing Population	0.32	
increasing increasing	no cov	all	no	spring	Increasing Population Increasing Population	0.32	
increasing	no cov	all	2/3/s	spring	Increasing Population Increasing Population	0.34	
increasing	w/ cov w/ cov	all	no vos	spring spring	Increasing Population	0.34	
increasing	,	all	yes	fall	Increasing Population Increasing Population	0.34	
mereasing	no cov	an	no	ran	increasing ropulation	0.30	

Table 9: Cod error results.

Strata	Noise	season	VAST No Cov	VAST w/ Cov	Stratified Mean
constant					
all	no	spring	0.11	0.12	0.36
all	yes	spring	0.12	0.15	0.35
all	no	fall	0.19	0.05	0.49
all	yes	fall	0.30	0.23	0.41
reduced	no	spring	0.17	0.24	0.41
reduced	yes	spring	0.20	0.23	0.46
reduced	no	fall	0.21	0.33	0.60
reduced	yes	fall	0.18	0.31	0.58
increasing					
all	no	spring	0.12	0.15	0.25
all	yes	spring	0.19	0.19	0.27
all	no	fall	0.76	0.13	0.45
all	yes	fall	0.89	0.33	0.44
reduced	no	spring	0.14	0.22	0.32
reduced	yes	spring	0.15	0.21	0.29
reduced	no	fall	0.60	0.31	0.54
reduced	yes	fall	0.62	0.32	0.53