Estimating Population Trends with Stratified Random

Sampling Under the Pressures of Climate Change

- Benjamin A. Levy¹, Christopher M. Legault², Timothy J. Miller², Elizabeth N. Brooks²
- ¹Ben's Institution, USA
- ⁵ National Marine Fisheries Service, Northeast Fisheries Science Center, Woods Hole, MA,
- 6 USA
- 7 Corresponding author: Ben Levy (benjamin.levy@noaa.gov)
- 8 Competing interests: The authors declare there are no competing interests.

Abstract

Many stock assessments in the United States use abundance estimates derived from stratified random bottom trawl data. To accurately represent true abundance, catches of a species 11 must contain a low enough noise level to allow for a discernible pattern and the proportion of the population that is sampled should be consistent over time. These assumptions could 13 be violated given enough noise in the sampling process and/or climate change causing a 14 population to increase its abundance in strata that are not sampled. Using the R package 15 MixFishSim, we have developed data-driven spatial models for Yellowtail Flounder, Atlantic 16 Cod, and Haddock in the western Atlantic Ocean to allow examination of these assumptions 17 through simulation. Movement rates combine species-specific static habitat preferences with 18 temperature tolerances. Habitat preferences were derived from niche models relating bottom 19 trawl catches to environmental covariates. A repeating yearly temperature pattern produces 20 repeating spatial biomass distributions in a given week, while a temperature gradient that 21 increases on average over time results in spatial preferences that evolve throughout a given simulation. We created simulated spatial time series datasets for each species for several 23 temperature scenarios and population trends. Using stratified random sampling on model output we were able to compare abundance estimates derived from the design-based stratified mean to those using a spatio-temporal model-based approach that allows inclusion of environmental covariates (VAST). Our focus was on the ability of contemporary indexing methods to track population trends under shifting spatial preferences.

29 Keywords

survey, design-based, model-based, VAST, population simulation, climate change

Introduction

The continental shelf off the coast of the Northeast United States spans from the Outer
Banks of North Carolina to the Gulf of Maine. The region covers over 250,000 km² of ocean,
extending over 200 km from shore in the largest areas in New England to just 30 km off
shore in the southern regions. This ecologically diverse region contains approximately 18,000
vertebrate marine species, making commercial fisheries an important part of local economies
for centuries. In 2019, New England fisheries produced \$22 billion in sales, which supported
over 200,000 jobs ("Fisheries Economics of the United States: Data and Visualizations"
n.d.). Maintaining a healthy ecosystem is therefore vital to sustained ecosystem health and
economic prosperity of the region.

The Northeast Fisheries Science Center (NEFSC) has conducted a bottom trawl survey

The Northeast Fisheries Science Center (NEFSC) has conducted a bottom trawl survey since 1963 to support assessment and management of the fish and invertebrate populations in the region (Azarovitz 1981; Politis et al. 2014). The survey uses a stratified random design where bottom trawl sampling takes place in predefined strata along the eastern continental shelf. The survey has created a rich time series data set with many uses including species-specific habitat identification, age and life history information, analysis of how environmental conditions influence species abundance, and estimating yearly species abundance trends to help inform stock assessments, and ultimately fishing quota limits. The survey takes place twice each year- once in the spring and again in the fall. Most spatial analyses and projections of future distributions typically assume a constant survey catchability and availability over time. For this reason, NOAA's survey design includes sampling each strata in approximately the same 3-4 week time period in each season.

One component of a stock assessment is an estimation of yearly abundance that is often derived from the bottom trawl survey, when applicable. Stock assessment scientists choose from a number of approaches to obtain abundance estimates ranging from traditional designbased estimates to model-based estimates that vary in complexity. Design-based estimators rely on the design of the sampling scheme with the underlying assumption that the data being collected is representative of the population of interest. These methods do not account for spatial variation in samples and are not able to relate environmental influences with survey values. Model-based abundance estimates use statistical models to measure the relationship between response variables (such as presence or abundance) and predictor variables (such as environmental factors). Model-based estimators help account for complex relationships between variables and can help overcome problems related to the sampling process. Common model-based approaches include General Linear Models (GLM), General Additive Model (GAM), and General Linear Mixed Models (GLMM).

Due to a combination of climate change and shifts in circulation, the Northeast United 66 States continental shelf has experienced rapid warming in recent decades. The changes have resulted in a shift in spatial distributions of many species (Nye et al. 2009; Henderson et al. 2017; Kleisner et al. 2017). Since stock assessment models rely on accurate descriptions of population dynamics and contemporary patterns of spatial abundance, there is concern that rapid undocumented changes in spatial distributions of species will bias future stock 71 assessments. More specifically, as fish populations shift their distributions over time, catchability and/or availability in the survey could change, altering the relationship between the index and the true population (Arreguín-Sánchez 1996; Langan et al. 2021). A species shifting its range beyond the survey area is an additional compounding factor to consider. Existing research has focused on temperature as the driver of such changes (Klein et al. 2017) and evidence suggests that failing to account for the impact of climate-induced change can lead to management challenges (Kerr et al. 2022). In these scenarios, management strategy evaluations have shown that misconceptions of stock status can lead to unintended 79 overfishing, which can ultimately have detrimental ecologic and economic impacts (Mazur et al. 2023). We are therefore interested in analyzing the impact of climate change on the 81 accuracy of abundance estimates derived from NOAA's ongoing bottom-trawl survey along the east coast.

To test the ability of the bottom trawl survey to track population trends under shift-

ing environmental conditions, we construct spatial models for fish where movement depend on temperature preferences. We consider the impact of climate change by comparing simulations that use a repeating water temperature pattern to those where temperature increases on average over time. In both cases we conduct stratified random sampling on model output and analyze the ability of the samples to track population trends. We compare 89 yearly abundance estimates obtained from the stratified mean to estimates obtained from the Vector-Autoregressive Spatio-temporal (VAST) model. The stratified mean is a designbased approach that calculates the arithmetic mean catch per tow and has traditionally been used with stratified random sample designs. VAST is a spatial delta-generalized linear mixed model that estimates both abundance (biomass) and probability of occurrence (presence/absence) (Thorson 2019). If desired, VAST also allows users to include covariate data to better inform the model. Covariates can be static (eg. habitat preferences) or dynamics (eg. temperature). We explore whether including environmental predictors can help inform models and provide better abundance estimates, which is particularly relevant as climate change progresses.

$_{100}$ Methods

We construct spatial population models for Yellowtail Flounder (*Limanda ferruginea*), Atlantic Cod (*Gadus morhua*), and Haddock (*Melanogrammus aeglefinus*) on Georges Bank, hereafter referred to as Yellowtail, Cod, and Haddock. Movement of each species combine static species-specific habitat preferences with biologically-based temperature preferences. Model dynamics are driven by a time series of temperature gradients to create simulated spatial data sets for each population where the true biomass is known. Using temperature gradients that repeat each year creates data sets with repeating spatial patterns, whereas using a temperature gradient that increases on average throughout the simulation leads to spatial distributions that shift over time. We conduct stratified random sampling on our

simulation output to mimic the bottom trawl survey and use the samples to compare the ability of contemporary indexing methods to track population trends.

Population Model Formulation

We use the R package *MixFishSim* (MFS) to model our populations (Dolder et al. 2020).

MFS is a discrete spatiotemporal simulation tool that allows users to model multiple species

under varying environmental conditions. The package uses a delay-difference population

model with discrete processes for growth, death, and recruitment of the population. To

address our research question we formulate the following inputs for the MFS package.

Study Area

118

129

We obtained a shapefile for the 15 strata that comprise Georges Bank, where strata are 119 partitioned based primarily on depth and secondarily by latitude (Politis et al. 2014). The region was discritized into a raster with 88 rows and 144 columns to use as our modeling 121 environment. Each cell in our simulation domain represents approximately 8.7 km². A fish 122 stock is considered to be a subpopulation of a species that has similar intrinsic parameters. 123 Each of the species being modeled has multiple biologically distinct stocks along the Atlantic 124 coast resulting from local environmental conditions. As a result, each stock inhabits a 125 different number of strata on Georges Bank. Haddock inhabit all 15 strata in the domain, 126 Cod populate 13 strata, and Yellowtail can be found in 9 strata. Figure 1 shows the strata 127 used in our model for each species. 128

Population Dynamics and Recruitment

The time step for our models is one week. MFS uses a modified two-stage Deriso-Schnute delay difference equation that models the biomass in each cell in our study area (Dolder et al. 2020). Individual terms in the formulation account for growth of mature adults, mortality (natural and fishing), and the addition of new recruits. Recruitment is a function of the adult biomass that existed in the previous year and is added to the population incrementally throughout each species' predefined spawning period. Parameter inputs were either obtained

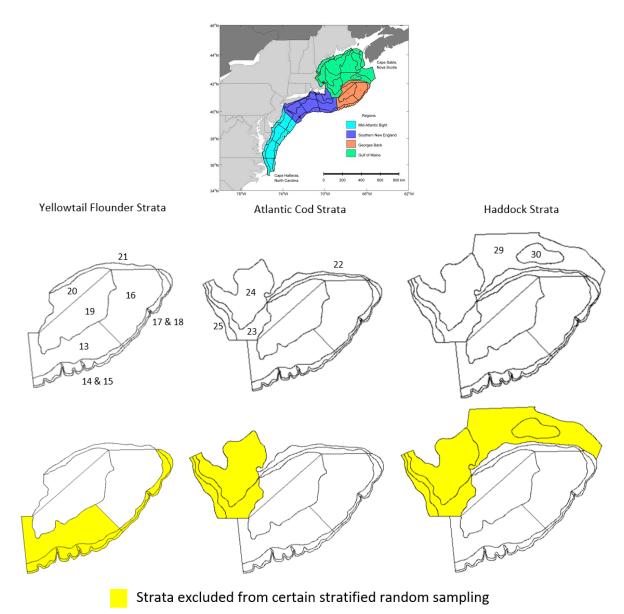


Figure 1: Strata inhabited by each species in our population models. All strata on the eastern US contintental shelf are shown in the first image with Georges Bank highlighted in orange [@walsh2015long] **fix cite**. Stratum numbers used by the NEFSC bottom trawl survey are shown in the middle row. Strata that are excluded from certain stratified random sampling are shown in the bottom row in yellow.

from the literature or chosen to produce desired model dynamics. A full list of parameters used in our model can be seen in Tables 1 and 2.

Movement Movement

The package combines species-specific temperature tolerances with habitat preferences to drive the probability of movement from cell I to cell J in week wk + 1 using the formulation

$$Pr(C_{wk+1} = J | C_{wk} = I) = \frac{e^{-\lambda \cdot d_{I,J}} \cdot (Hab_{J,s}^2 \cdot Tol_{J,s,wk})}{\sum_{c=1}^{C} e^{-\lambda \cdot d} \cdot (Hab_{c,s}^2 \cdot Tol_{c,s,wk})}, \tag{1}$$

where

 $e^{-\lambda \cdot d_{I,J}}$ accounts for distance between cells I and J,

 $Hab_{J,s}^2$ is the static habitat value for species s in cell J, and

 $Tol_{c,s,wk}$ is the value from normally distributed temperature tolerance for species s in cell c in week wk.

The package was designed to generate hypothetical temperature gradients and theoretical habitat preferences using Gaussian Random Fields. The following sections describe how we formulated the habitat and temperature components to model our three real species on Georges Bank.

150 Habitat Input

Species-specific habitat preferences were derived from niche model for each species using
the *lrren* tool from the R package *envi* (Buller 2022). The *lrren* tool estimates an ecological
niche using the relative risk function by relating presence/absence data to two covariate
predictors. We used bottom trawl point data from 2009-2021 as our presence/absence input
by using a value of 0 for any tow that failed to catch the given species and by representing
a successful catch by the biomass of the given tow. We combined data from both the fall
and spring surveys to obscure seasonal influences to allow the niche model to instead infer
static habitat preferences independent of temperature. Depth and mean sediment size were

used as our covariate predictors. Estimated depth for the region was obtained from FVCOM (Chen et al. 2006). The mean sediment size raster was interpolated in ArcMap using the natural neighbor interpolation method using point data collected by the United States Geologic Survey (USGS) (McMullen et al. n.d.). Since the values in $Hab_{J,s}$ are required to be between 0 and 1, we rescaled the spatial estimates from lrren to fall between these bounds. See Figure 2 for a visual representation of this process being applied to Cod. Figure 3 depicts habitat preferences $Hab_{J,s}$ for each species.

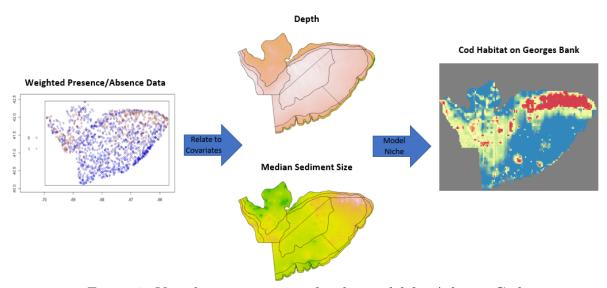


Figure 2: Visual representation of niche model for Atlantic Cod.

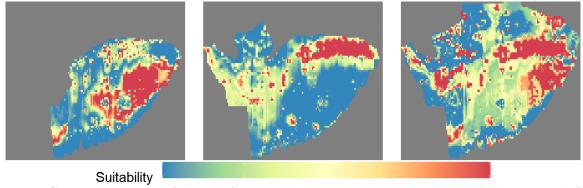


Figure 3: Static habitat preferences for each species in our population models. From left to right: Yellotwtail Flounder, Atlantic Cod, and Haddock.

Temperature Input

Each species is assumed to have normally distributed temperature preferences $N(\mu, \sigma)$,

with mean μ and standard deviation σ . Values were chosen by combining information in the literature with temperatures recorded in the bottom trawl survey. We assume Yellowtail's 169 preferences are N(8.75, 4.25), while Haddock and Cod have preferences N(9, 4). Weekly 170 estimated temperature data for the region for 2012 was obtained from FVCOM (Chen et al. 171 2006). We chose to repeat temperature estimates for a single year rather than use data for 172 consecutive years to reduce the number of factors impacting model dynamics while still incor-173 porating real data. The 2012 data was chosen because it displayed an average temperature 174 pattern that consistently oscillated between maximum and minimum temperature values, 175 allowing for a smooth repeating yearly temperature pattern for the constant temperature 176 scenario. The 2012 temperature data was also transformed to create an oscillating pattern 177 that increases 5 degrees Celsius on average over the duration of the simulation. We chose a 5 178 degree increase over a 20 year simulation to allow temperature change to have a meaningful 179 impact on spatial patterns while remaining within reasonable computational limits in terms 180 of the length of the simulation. Figure 4 depicts mean trends for the temperature scenarios 181 used in our models. dont forget to include animated gif in final submission 182

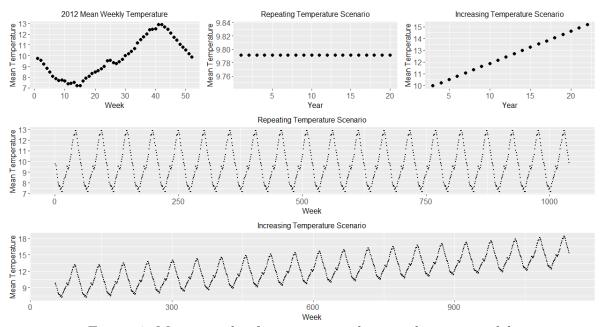


Figure 4: Mean trends of temperature data used in our model.

In equation (1), $Hab_{J,s}^2$ remains constant for each species for the duration of the simula-

183

tion, while $Tol_{c,s,wk}$ changes each week with temperature fluctuations. Using a temperature gradient that repeats every 52 weeks produces the same spatial preferences in a given week each year, resulting in relatively consistent spatial biomass patterns. Scenarios where the temperature increases over time creates spatial preferences that evolve as the water warms, producing spatial biomass patterns that shift in a given week over the duration of the simulation.

We carry out 20 year simulations for each of our three species under various population 190 scenarios. Historically, Cod has seen significant decline over the last 50?? years while 191 Haddock has increased in abundance in recent years [can cite the 2022 management track 192 assessments, see https://apps-nefsc.fisheries.noaa.gov/saw/sari.php for when the document 193 becomes available] just cite 2021 assessments?. For this reason we compare indexing 194 estimates using stratified random samples from decreasing population scenarios for Cod 195 and increasing population scenarios for Haddock. We consider increasing, decreasing, and 196 constant population trends for Yellowtail for completeness. The specific population trends 197 used in our analyses can be see in Figure 5. Each of these scenarios is simulated twice: first 198 with with an oscillating temperature gradient that repeats and second with a temperature 199 gradient that increases roughly 5 degrees Celsius over the duration of the 20 year simulation, for a total of 10 simulated spatial datasets.

Simulating Bottom Trawl Survey and Population Indexing

202

After completing all simulations, we mimic the bottom trawl survey by conducting stratified random sampling in each inhabited strata twice each year. We sample each strata in
the same weeks in which the spring and fall surveys take place (weeks 13 & 14 in the spring
and 37 & 38 in the fall). The number of the samples taken reflect true target values for each
strata and sampling cells were randomly selected.

An underlying assumption in all indexing methods is that individual random samples combine to accurately represent true abundance by a) containing a low enough noise level in the samples to allow for a discernible pattern and b) sampling all strata in which the

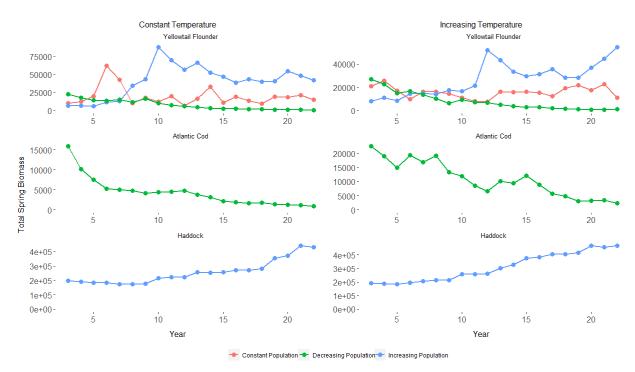


Figure 5: True population trends used in indexing analyses. Spring biomass plots are shown with fall values being very similar.

population exists. These assumptions can be questioned given enough noise in the sampling process and/or climate change causing a population to move into previously uninhabited strata. To simulate the impact of noise, we compare indexing estimates after adding noise to our samples versus those using the true sampling values. Annual survey observations were simulated as log-normal deviations from the underlying "true" survey catches with a CV of 0.3. The implication of a given population shifting its distribution into new habitat outside of the normal survey area is that stratified random sampling will fail to sample the entire geographic extend of the population. We simulate the effect of populations moving into new habitat by comparing indexing estimates using samples from all strata inhabited by each species on Georges Bank to those that only include a subset of the full spatial domain for each species. The strata to exclude for each species were chosen by reviewing how spatial preferences evolved in our increasing temperature scenarios and removing strata that each species either shifted into, or away from. Figure 1 shows all strata inhabited by each species as well as those that are removed from certain calculations using the spatial shifting trends

shown in Figure 6. The yellow regions in Figure 1 depict the strata that were removed from certain stratified random surveys for each species. We then use the biomass collected from 226 our samples in contemporary abundance indexing methods to estimate population trends. 227 Knowing the true population values in our simulations allows us to compute and compare 228 the absolute error calculated from each estimation method. 229 The design-based method we used is the stratified mean, which divides the inhabited 230 domain into N disjoint strata based on relevant geographic and environmental information 231 such as depth and latitude/longitude. The number of samples taken from each stratum S_i 232 for j=1...N is relative to the given area. The stratified mean biomass $SM_{s,y}$ for a given 233

$$SM_{s,y} = \sum_{i=1}^{N} W_i \frac{\sum_{k=1}^{S_i} y_{k,i}}{S_i},$$

season s and year y can then be calculated by through the weighted average

234

where $W_i = \frac{S_i}{\sum_{j=1}^N S_j}$ and $y_{k,i}$ represents the biomass in sample k of stratum i in season s and year s. These tow-dependent calculations are quick and easy to calculate, especially relative to the model-based VAST estimates.

We compare stratified mean estimates to those derived from the model-based VAST approach. VAST models both biomass $p_1(i)$ and presence/absence $p_2(i)$ for each observation i as linear predictors using a spatial delta-generalized linear mixed model that can be represented by

$$p_*(i) = \beta_*(t_i) + L_{\omega_*}\omega_*(s_i) + L_*\epsilon_*(c_i,t_i) + \upsilon(s_i,t_i) + \xi(i),$$

for *=1, 2, where more specific functional forms of each component are further described in (Thorson 2019). VAST models require numerous user inputs to determine how the linear predictors will be conditioned and solved. As a result, VAST models take on the order of

hours to complete.

We follow the advice given in (Thorson 2019) and (Thorson, James T n.d.a) to build 246 VAST estimates of biomass in our Georges Bank spatial population models using stratified 247 random samples from our simulation output. In addition to exploring different link functions 248 and assumed distributions, our VAST model-building process involved testing the impact of 249 including spatial and/or spatio-temporal variation in our models, considering varying number 250 of knots in our mesh, and testing different forms of temporal correlation. We carried out 251 the same model-building process using covariate information to inform our models as well 252 as without covariates in our models. We considered the driving factors of movement in our 253 population models as covariates- the dynamic temperature values and static habitat values 254 $(Hab_{J,s})$. We ultimately decided to provide the most information to the model by including 255 exact values for both temperature and habitat covariates to consider a best-case scenario. 256 Knowing the true population values in our models allowed us to calculate the absolute 257 error of each VAST estimate to compare between potential settings. Through this process, 258 and in consultation with the VAST package creator, we compared the performance of two 259 sets of settings in our VAST models as shown in Table 3. VAST Settings B were chosen 260 after reviewing the information provided in the "Seasonal Model" section of VAST's online Github tutorial (Thorson, James T n.d.b). After running models for each of our scenarios using these settings, we shared some of our results with the VAST package creator, James 263 (Jim) Thorson. Jim reviewed our VAST model results and suggested we also consider the 264 options shown in Settings A. Both settings use a Tweedie model and turn off the first linear 265 predictor p_1 so that only biomass is being modeled. The difference between the two settings 266 can be seen in the Rho Configuration, where RhoBeta2 = 0 in Settings B while RhoBeta2 267 = 3 in Settings A. The difference amounts to intercepts for the biomass predictor being a 268 fixed effect (RhoBeta2=0) versus intercepts being constant among years as a fixed effect 269 (RhoBeta2=3). According to Jim, the Settings A values are representative of what stock 270 assessment scientists typically use in their analyses. As a result we will focus our analysis 271

on VAST results obtained using Settings A, but present Settings B results to demonstrate how the settings used in model-based approaches impact model performance.

Each indexing scenario we consider is a combination of specific population trends for each species, differing temperature scenarios, altering seasons, and sampling possibilities (noise, strata, & covariates), resulting in a large number of scenario combinations to consider. The columns in Table 4 show the choices that define each scenario.

78 Results

Figure 6 depicts the spatial shifting that occurs in each stratum within our population 279 models, specifically during the bottom trawl survey in the spring (weeks 13 and 14) and fall 280 (weeks 37 and 38). The left column in Figure 6 depicts the percent of population that exists 281 in each stratum for each species when the temperature is a constant repeating pattern. We 282 notice a small amount of shifting between successive years in these constant temperature 283 scenarios as the population aggregates on especially suitable habitat in the domain. By 284 running simulations in MixFishSim with a temperature gradient that increased on average 285 over time we were able to create spatial datasets with shifting biomass distributions. As seen 286 in the right column of Figure 6, more exaggerated shifting takes place in a larger number of 287 strata when the temperature is increasing over time.

Tables 5, 6, 7, and 8 contain the absolute error between biomass estimates and model output for each of our abundance estimates. While the model-based results provided a slightly lower overall mean absolute error (0.34) compared to the stratified mean (0.38), the variance of all VAST absolute errors (0.10) was much larger than the stratified mean (0.02). When considering individual scenarios, while about 96% had a VAST estimate with a lower relative error than the corresponding stratified mean estimate, 63% of individual scenarios contained a VAST estimate with a worse error than the corresponding stratified mean estimate. VAST models that included covariate information provided the lowest overall

errors and standard deviations. Models with covariates had an average absolute error of 0.22, compared to models that did not include covariate information having an average error of 0.46, and stratified mean estimates produces an average error of 0.39. These findings demonstrate the ability of spatio-temporal models to account for spatial variation in the species being sampled under the right conditions, but also highlight volatility/sensitivity of model estimates to the settings being used and/or the covariate response detected in the data.

When we reduce the number of strata that are included in indexing calculations to simulate species shifting into new territory, we typically see an increase in absolute error (as expected), though there are some scenarios where the impact is minimal. Furthermore, there are scenarios where including covariates in VAST models actually increases the absolute error, especially when we fail to sample the entire domain (e.g. in Table 8 rows 8 and 9, VAST models without covariates show lower errors than when covariates are included). We analyze these results further in the sections that follow.

Abundance Estimate Ratio Results

311

A simple visual analysis of all error plots for each species reveals that VAST estimates tend to provide abundance estimates that are above the true model value, while the stratified mean estimates are, on average, below the true model values. This can be further examined through yearly estimate:model ratio values, where we divide the yearly abundance estimates by the true model value. In doing so we see that VAST estimates tend to remain closer to the desired value of 1 compared to stratified mean estimates, which can result in yearly abundance values as low as 0 at times and exhibit large yearly changes. A representative example of this trend for each species is shown on the log scale in Figure 7.

Analysis of individual yearly model:estimate ratios when all strata are included revealed that 73% of all yearly VAST ratios were above 1 (27% less than 1), with an average of 1.29 and a standard deviation of 0.21. On the other hand, just 33% of stratified mean estimate ratios were above 1 with an average of 0.874 and a standard deviation of 0.12. There were

seasonal differences in estimate ratios for VAST with spring VAST ratios producing a mean value of 1.08 with a standard deviation of 0.12 while fall VAST ratios were larger with a mean of 1.50 and standard deviation of 0.38. Stratified mean ratios were more consistent between seasons with spring ratios resulting in a mean value of 0.91 with a standard deviation of 0.10, and fall values of providing a mean ratio of 0.84 with a standard deviation of 0.16. The breakdown for individual species followed similar patterns.

When the entire domain is sampled, the stratified mean produced an average yearly ratio 330 of 0.87 with a standard deviation of 0.12. Adding covariates in these scenarios brings the 331 VAST estimate ratio closer to 1. Specifically, when all strata are sampled, adding covariates 332 improved the VAST estimate ratio from a mean of 1.45 and standard deviation of 0.17 to a 333 mean of 1.13 and standard deviation of 0.09. Failing to sample the entire domain predictably 334 decreases each individual yearly estimate as the entire population is not being accounted for 335 in the sampling process, which in turn decreases the corresponding estimate ratio. For 336 example, failing to sample the entire domain decreased the estimate ratio for the stratified 337 mean from 0.87 to 0.59 (standard deviation of 0.10). With a reduced number of strata, 338 adding covariates to our VAST models decreased the average ratio results from a mean of 339 1.04 and standard deviation of 0.33 to a mean of 0.78 and standard deviation of 0.19. As discussed later, when we fail to sample the entire domain in VAST models adding covariates sometimes decreased the accuracy of VAST estimates, typically in the form of additional yearly overestimation. 343

Yellowtail Flounder Results

344

The top two panels in Figure 6 depict the results for Yellowtail with a repeating temperature gradient on the left (constant temperature) and a temperature gradient that increases over time on the right (increasing temperature). In both temperature scenarios we see the percent of Yellowtail in stratum 13 decrease over the course of the time series in both seasons. The spring population in stratum 19 also decreases in both temperature scenarios as well. The percent of the population increases in stratum 16 in the spring over the duration

of both time series, which implies the flow out of strata 13 and 19 in the spring are going into stratum 16. These dynamics occur in both temperature scenarios in weeks 13 and 14 352 because stratum 16 contains favorable habitat for Yellowtail that coincides with most of the 353 areas we have designated as the species' spawning ground, which takes place in weeks 9-12. 354 These spring changes are therefore related to the static habitat values in our model rather 355 than the temperature preferences, which is why we seen the same dynamics with constant 356 and increasing temperature. While we observe similar changes in the fall (weeks 37 and 357 38) in the constant temperature scenario, an increasing average temperature results in a 358 decrease in the population in stratum 16 over time and corresponding increases in strata 359 17 and 18 (see Figure 6). These dynamics imply that an increase in temperature results in 360 the more shallow strata 16 becoming less desirable than the deeper and more narrow outer 361 strata 17 and 18. One noticeable seasonal difference in the constant temperature scenario 362 for Yellowtail is how ~10\% of the population exists in the narrow outer strata 17 in the 363 fall, while seemingly none of the population exists in any of the strata near the edge of the domain (14, 15, 17, 18) in the spring. 365

Tables 5 and 6 contain the absolute error between our abundance estimates for Yellowtail 366 comparing design-based approach (stratified mean) with two settings for a model-based approach (VAST A & B). In reviewing these Tables we can see VAST estimates generally provide lower errors relative to those derived from the stratified mean, with models that include covariate information typically providing the lowest errors. The settings used in VAST mattered with Settings B outperforming Settings A in $67/96 \approx 70\%$ of scenarios. 371 When all strata are sampled, adding covariates improved estimates in all scenarios. Including 372 covariates still improve VAST estimates when certain strata are excluded from sampling, but 373 the change in error was smaller than with all strata included. There are several instances 374 in which VAST failed to provide improved abundance estimates compared to the stratified 375 mean during the fall season without covariate information, producing the largest errors seen 376 in Tables 5 and 6. However, including covariate information to each VAST model produced estimates with significantly lower error than the corresponding stratified mean estimate.

Of all scenarios without covariates, $33/48 \approx 68\%$ had a VAST fit with a lower relative 379 error compared to the stratified mean estimate. All 15 of the covariate-free VAST estimates 380 that resulted in a higher error than the stratified mean were for the fall season and had 381 a common theme of producing abundance estimates that are above the true model value. 382 These 15 fall estimates span all other scenario variations. The implication of this is that our 383 model-based approach without covariates struggles with the primary seasonal difference for 384 Yellowtail, which is that a larger percentage of the population exists in the narrow strata 385 toward the edge of the domain (18 and/or 17). This theory is further supported by the fact 386 that the absolute error in the increasing temperature scenarios increased dramatically in the 387 fall season, when the combined percentage of the population in the outer strata 17 and 18 388 increased to over 40% by the end of the simulation. 380

Including covariate information made a noticeable difference in our Yellowtail model-390 based VAST estimates. All of the VAST estimates that included covariate information 391 produced a lower relative error than the corresponding VAST model that did not include co-392 variates. The largest improvements were seen in the increasing temperature scenarios. When 393 comparing to the design-based estimates, $47/48 \approx 98\%$ of the VAST estimates that included covariates information had a lower relative error than the corresponding stratified mean estimate. This implies that the covariate information helped our model-based estimate account for the design-based issues related to an increasing percentage of the population entering 397 smaller strata, which can become exacerbated in the increasing temperature simulations. A 398 representative example of Yellowtail abundance estimates is shown in Figure 8, where one 399 can visualize the impact on biomass estimates between constant and increasing temperature, 400 and how including covariates can improve the estimate. 401

We see diminished performance of our abundance estimates for Yellowtail under increasing temperature, with the most dramatic changes seen in our VAST estimates without covariates. One exception to this is when we use a stratified mean approach while the population

is decreasing. Our analyses have found that the stratified mean tends to under estimate the true abundance and since these estimates are bounded below by zero, as the Yellowtail 406 population decreases towards zero the difference between the estimate and the true value 407 also decrease. That is, if the population is low enough, failing to appropriately sample the 408 population in a design-based method produces the same result as appropriately sampling. 409 In comparing abundances estimates calculated under the same conditions with the only 410 difference being the temperature scenario, we see that an increasing average temperature 411 had a larger impact on the model-based estimates compared to the design-based method. 412 Specifically, when comparing the error of abundance estimates from the constant tempera-413 ture scenario to the corresponding increasing temperature scenario, VAST increased by an 414 average factor of 1.75 (both with and without covariates) while the stratified mean error 415 increased by an average factor of 1.25. 416

417 Cod Results

In the constant temperature scenario for Cod, the population decreases its presence in 418 strata 19 and 20 in both seasons over the duration of the simulation, while simultaneously 419 increasing presence in stratum 16. However, we see a seasonal impact in stratum 16 during 420 the increasing average temperature simulations where in the fall the population decrease 421 presence in strata 16 and 21, and increase presence in 18, 22, and 24 (see Figures 1 and 6). Similar to the Yellowtail population, the favorable habitat in stratum 16 acts as an attractor in both temperature scenarios in the spring when the water temperature is cooler. When the 424 temperature increases over time, the fall population compensates by shifting their preference 425 to the adjacent strata that are deeper and/or further north than stratum 16. 426

Table 7 contains the absolute error between our abundance estimates for Cod and the true model values. The different VAST settings produced similar error values with $18/32 \approx 57\%$ of estimates performing better with VAST Settings A. Of the abundance estimates without covariates, 12/16 = 75% had a VAST fit with a lower relative error compared to the stratified mean in mean estimate. VAST produced higher relative error compared to the stratified mean in

scenarios that involved increasing temperature in the fall season without covariates. Similar to the Yellowtail results, this implies the model-based approach had seasonal trouble with populations shifting into smaller strata where fewer samples take place. These impacts are shown in the plots of representative biomass estimates for Cod shown in Figure 9. Providing covariate information in these cases once again helped the model-based approach to provide improved absolute error estimates relative to the stratified mean. However, we see that adding covariates to VAST in the fall produces higher absolute error values when sampling reduced strata in the constant temperature scenario.

In comparing abundances estimates calculated under the same conditions with the only difference being the temperature scenario, we see that an increasing average temperature had a noticable negative impact on the model-based estimates. Specifically, when comparing the error of abundance estimates from the constant temperature scenario to the corresponding increasing temperature scenario for Cod, VAST increased by an average factor of 3.57 without covariates and 1.67 with covariates. In considering the average change in the error of stratified mean estimates between constant temperature scenarios and increasing temperature scenarios we surprisingly find an improvement in the error of abundance estimates, decreasing by an average factor of 0.87.

Haddock Results

Figure 6 reveals some subtle seasonal differences in the percent of Haddock in each strata. 450 In the constant temperature scenarios, the spring shows a decrease in strata 19 and 20 that 451 correspond to increases in 16, 24 and 29. This change represents a northward movement 452 between larger centrally located strata. While strata 24 and 29 also increase in the fall 453 under constant temperature, the corresponding decrease is primarily from strata 16 and 13. 454 While similar results can be seen in the spring for the increasing temperature scenario, much 455 more dramatic results exist in the fall under increasing temperature as we see a significant 456 decreases in strata 13, 16, 21, and 22 that leads to the most noticeable increases in strata 17, 457 18, and 29. This shift represents movement from the shallower and more centrally located strata towards deeper strata located near the edge of the domain. Since stratum 16 contains
very favorable habitat including much of the species' spawning ground, the strong shift out
of 16 and into the northern most strata of 29 in the fall demonstrates a climate-driven change
in movement preference.

Table 8 contains the absolute error between our abundance estimates for Haddock and the 463 true model values. Absolute error values were lower with VAST Settings B in $21/32 \approx 66\%$ 464 of scenarios. We notice that VAST produced particularly large errors in spring compared to 465 the stratified mean, with added covariates only improving to the level of the stratified mean. 466 VAST shows improved results in fall relative to the stratified mean with including covariates 467 producing extremely low errors in some cases. For Haddock results, adding covariates im-468 proves estimates only when all strata are included. That is, similar to Cod, when sampling a 469 reduced domain adding covariates actually decreases VAST's accuracy. Since this occurs in 470 all scenarios, it seems to again be related to failing to accurately monitor stratum 17 near the 471 edge of the domain. Some representative biomass estimates for Haddock that demonstrate 472 these results can be seen in Figure 10. 473

Of the scenarios without covariates, $10/16 \approx 63\%$ had a VAST fit with a lower rela-474 tive error compared to the stratified mean estimate. The 6 covariate-free VAST estimates that resulted in a higher error than the stratified mean spanned all scenarios and seasons, with several fall errors being especially large (significant overestimates). Adding covariate 477 information resulted in $14/16 \approx 88\%$ of VAST estimates having improved error compared 478 to the stratified mean. The 2 scenarios that produced worse error with covarites spanned 479 temperature scenarios, but were both in the spring season when the proportion of the pop-480 ulation in each strata remained constant in each scenario. The average change in the error 481 of abundance estimates between constant temperature scenarios and increasing temperature 482 scenarios were 1.42 for VAST estimates without covariates, 1.80 for VAST estimates that 483 included covariates, and 1.37 for stratified mean estimates.

Discussion

To test the impact of shifting populations on abundance estimates, we simulated population data that included environmental forcing and compared biomass estimates derived from 487 stratified random sampling to the known true values. We carried out simulations for 3 species 488 on Georges Bank that took into account different population trends, temperature scenarios, noise in survey samples, and seasonal variation. We compared a design-based estimation 490 approach (stratified mean) with a model-based approach that allows for the inclusion of 491 environmental covariates (VAST) when the entire domain was sampled as well as when a 492 reduced number of strata were included to simulate our species shifting into a new habitat. Our analysis included a comparison of absolute error values between 20-year estimates and consideration of the year-to-year variation displayed by each approach as well as the variation 495 between each 20-year estimate. 496

Our analysis showed that both the design-based and model-based approaches are each 497 capable of providing biomass estimates that track the true abundance trend. While VAST 498 estimates tended to provide lower relative error values compared to the stratified mean, 499 especially when covariate information was included in the models, VAST Settings A and 500 B also combined to produce over 30 abundance estimates with larger error values than the 501 largest stratified mean error. These facts demonstrate the power of model-based estimates 502 while also highlighting how sensitive they are to the choices made regarding settings and 503 data used in analyses. Users often rely on traditional model-building processes and diagnostic 504 techniques such as AIC to guide their choices, but successfully navigating the many decisions 505 depends on the background of the given user and care should be taken to which diagnostic 506 tools are used. For example, a recent simulation study showed that the existing diagnostic 507 tools available in VAST sometimes guide users towards using settings that decrease the 508 accuracy of the model cite Chris C paper. The value of model-based approaches such as VAST are clear- they allow users to overcome issues related to the input data to account for 510 factors such as environmental change. However, the many consequential decisions that must

be made in a given model and the lack of clear criteria for making these decisions makes it challenging to make these choices and even more difficult to determine whether the choices 513 are correct. 514

Seasonal differences in spatial abundance patterns in our population models led to sea-515 sonal differences in abundance estimates, with most estimates producing a higher error in 516 the fall. This may have to do with the fact that our species spawn during months 9-14, which 517 coincides with the spring sampling weeks 13 & 14. Spawning in our population models con-518 centrates much of each species in the spawning grounds towards the center of the domain 519 where more samples occur. The populations are more spread out during the fall survey in 520 weeks 37 & 38 and since the water temperature is warmer during these months biomass shifts 521 towards the deeper strata, which tend to be less sampled. We explored this through abun-522 dance estimate ratios which revealed how the model-based estimates had a larger change in 523 average ratio between seasonal estimates compared to the design-based estimates. 524

Spatial factors contributed to many of the scenarios that produced larger error values seen 525 in our estimates. Flow from the shallow centrally located strata into the deeper, smaller, 526 and less-sampled eastern strata contributed to VAST failing to accurately modeling the abundance of Yellowtail without the addition of covariate information, the impact of which was exacerbated in the increasing temperature scenarios. While adding covariates can help inform the model and improve the estimate as seen with the Yellowtail simulation results, covariates can hinder the model in certain instances with Cod and Haddock.

527

531

As seen in Table 3, VAST models that included covariates used a linear combination 532 of second degree polynomials for habitat and temperature to approximate species-specific 533 covariate responses. We considered a best-case scenario by providing covariate information to 534 VAST in the form of the exact habitat and temperature values that governed movement from 535 our population models. This covariate information typically resulted in improved estimates, 536 with 73% of VAST estimates with covariates providing a lower absolute error compared 537 to the corresponding estimate without covariates, and 96% of VAST models with covariates 538

resulting in a lower absolute error than the corresponding stratified mean estimate. In reality
the covariates that influence a given species would be less clear and the collected values would
contain sampling noise. One could test the impact these differences by assuming the wrong
covariate response function (linear vs polynomial etc) or by including each of the covariates
individually. Including noise in the covariate data would further explore how robust the
model-based estimates are to additional uncertainty in the covariate information.

Of the $\sim 27\%$ of VAST models where including covariates did not improve the VAST 545 estimate, most provided a comparable error (for ex, 0.11 vs 0.13). The instances where in-546 cluding covariates provided a noticeable change in error took place in scenarios that included 547 either an increasing temperature and/or reduced sampling domain. More specifically, when 548 a reduced number of strata are sampled for Haddock and Cod, adding covariate information 540 leads to a decrease in performance for fall estimates. This is in contrast to our Yellowtail 550 results, where adding covariate information to VAST models always decreased the absolute 551 error in the resulting abundance estimates. Although strata were excluded for both species, 552 the decline in performance for just Cod and Haddock can be explained by a failure to sample 553 the full spectrum of temperature values where the species exists. We notice in Figure 1 that 554 the strata excluded from sampling for Yellowtail still allowed the survey to range across the species preferred values and thus provided a covariate response that improved the estimate. On the other hand, the specific strata that were excluded from sampling for Haddock were located in the northern region of the domain, which means the covariate response for Had-558 dock was not informed by any data from the coldest temperature range. This could explain 550 the failure to form a complete covariate response that not only degrades the estimate in the 560 regions being sampled, but also confounds the ability to project estimates into an unsampled 561 region. Figure 11 depicts the impact on biomass estimates of including a covariate response 562 for Haddock when both the average temperature and biomass were increasing over time. 563 When projecting into the unsampled strata as seen Figure 11, projecting biomass into the 564 unsampled strata provides the wrong magnitude of biomass and also a different trend. 565

Estimation methods that produce large variation between yearly estimates as displayed 566 by the stratified mean can potentially lead to changes in catch limits that do not correspond 567 to the true population trend, which could have a compounding effect. For example, a large, 568 increasing biomass estimate when the population has actually decreased and is fairly low 569 could potentially lead to a windfall catch limit that further reduces the total biomass available 570 the following year. A second overestimate the following year could then have a detrimental 571 impact by reducing the population even further. Conversely, an overly smoothed estimator 572 could miss true signals of change in the population and delay needed management response 573 to either sudden increases or decreases in the population. Our population model has assumed 574 a constant total mortality that accounts for both fishing and natural death, and therefore 575 will not account for impacts of such management decisions. This type of question can be 576 best explored with a management strategy evaluation. 577

578 Acknowledgements

The authors would like to thank Joe Langan for helping to develop the proposal that funded this work. Funding was provided by the Northeast Fisheries and Climate program at the Northeast Fisheries Science Center. Thank you to Jim Thorson for consulting with us about VAST settings and implementation. We appreciate the help from Robyn Linner for helping to extract FVCOM temperature data and are thankful for David Chevrier for meeting with us to clarify questions related to some of our GIS data.

Data and Code Availability

All data and code used in this work are available at https://github.com/Blevy2/READ-PDB-blevy2-MFS2.

588 References

- Arreguín-Sánchez, F. 1996. Catchability: A key parameter for fish stock assessment. Reviews
- in fish biology and fisheries **6**: 221–242. Springer.
- Azarovitz, T. 1981. A brief historical review of the woods hole laboratory trawl survey time
- series. Bottom trawl surveys.
- Buller, I.D. 2022. Envi: Environmental interpolation using spatial kernel density estimation.
- The Comprehensive R Archive Network. doi:10.5281/zenodo.5347826.
- ⁵⁹⁵ Chen, C., Beardsley, R.C., Cowles, G., Qi, J., Lai, Z., Gao, G., and others. 2006. An unstruc-
- tured grid, finite-volume coastal ocean model: FVCOM user manual. SMAST/UMASSD:
- 6-8.
- Dolder, P.J., Minto, C., Guarini, J.-M., and Poos, J.J. 2020. Highly resolved spatiotemporal
- simulations for exploring mixed fishery dynamics. Ecological Modelling **424**: 109000.
- 600 Elsevier.
- Fisheries Economics of the United States: Data and Visualizations. (n.d.). https:
- //www.fisheries.noaa.gov/data-tools/fisheries-economics-united-states-data-and-
- visualizations#.
- Henderson, M.E., Mills, K.E., Thomas, A.C., Pershing, A.J., and Nye, J.A. 2017. Effects
- of spring onset and summer duration on fish species distribution and biomass along the
- northeast united states continental shelf. Reviews in Fish Biology and Fisheries 27:
- 607 411–424. Springer.
- 608 Kerr, L., Barajas, M., and Wiedenmann, J. 2022. Coherence and potential drivers of stock
- assessment uncertainty in northeast US groundfish stocks. ICES Journal of Marine Sci-
- ence **79**(8): 2217–2230. Oxford University Press.
- Klein, E.S., Smith, S.L., and Kritzer, J.P. 2017. Effects of climate change on four new england
- groundfish species. Reviews in Fish Biology and Fisheries 27: 317–338. Springer.
- Kleisner, K.M., Fogarty, M.J., McGee, S., Hare, J.A., Moret, S., Perretti, C.T., and Saba,
- V.S. 2017. Marine species distribution shifts on the US northeast continental shelf under

- continued ocean warming. Progress in Oceanography **153**: 24–36. Elsevier.
- Langan, J.A., Puggioni, G., Oviatt, C.A., Henderson, M.E., and Collie, J.S. 2021. Climate
- alters the migration phenology of coastal marine species. Marine Ecology Progress Series
- 618 **660**: 1–18.
- Mazur, M.D., Jesse, J., Cadrin, S.X., Truesdell, S., and Kerr, L. 2023. Consequences of
- ignoring climate impacts on new england groundfish stock assessment and management.
- Fisheries Research **262**: 106652. Elsevier.
- 622 McMullen, K., Paskevich, V., and Poppe, L. (n.d.). USGS east-coast sediment analysis:
- Procedures, database, and GIS data. US Geological Survey Open-File Report 2005:
- 1001.
- NEFSC. 2012. 54th Northeast Regional Stock Assessment Workshop (54th SAW) Assess-
- ment Report. Northeast Fish. Sci. Cent. Ref. Doc. 12-18; 604 p. Available from:
- National Marine Fisheries Service, 166 Water Street, Woods Hole, MA 02543-1026, or
- online at http://www.nefsc.noaa.gov/nefsc/publications/.
- 629 NEFSC. 2013. 55th Northeast Regional Stock Assessment Workshop (55th SAW) Assess-
- ment Report. Northeast Fish. Sci. Cent. Ref. Doc. 13-11; 849 p. Available from:
- National Marine Fisheries Service, 166 Water Street, Woods Hole, MA 02543-1026, or
- online at http://www.nefsc.noaa.gov/nefsc/publications/.
- Nye, J.A., Link, J.S., Hare, J.A., and Overholtz, W.J. 2009. Changing spatial distribution
- of fish stocks in relation to climate and population size on the northeast united states
- continental shelf. Marine Ecology Progress Series **393**: 111–129.
- Politis, P.J., Galbraith, J.K., Kostovick, P., and Brown, R.W. 2014. Northeast fisheries
- science center bottom trawl survey protocols for the NOAA ship henry b. bigelow.
- Thorson, James T. (n.d.b). Seasonal and Interannual Dynamics Github Documentation.
- https://james-thorson-noaa.github.io/docs/tutorials/seasonal-model/.
- Thorson, James T. (n.d.a). Vector Autoregressive Spatio-Temporal (VAST) model wiki page.
- 641 https://github.com/James-Thorson-NOAA/VAST/wiki.

- Thorson, J.T. 2019. Guidance for decisions using the vector autoregressive spatio-temporal
- 643 (VAST) package in stock, ecosystem, habitat and climate assessments. Fisheries Research
- **210**: 143–161. Elsevier.
- Thorson, J.T. 2020. Predicting recruitment density dependence and intrinsic growth rate for
- all fishes worldwide using a data-integrated life-history model. Fish and Fisheries **21**(2):
- 237–251. Wiley Online Library.

648 Tables

Table 2: Parameters used in population models for each scenario.

Parameter	Description	Unit	Yellowtail	Cod	Haddock
Constant Population					
M+F	Adjusted Mortality (Natural + Fishing)	1/wk	0.764	0.83	0.309
P0	Initial Biomass	kg	3190	21500	180000
a	Max recruitment rate	kg	30400	27900	73600
В	Recruitment half saturation value	kg	4300	10500	40500
Decreasing Population					
M+F	Adjusted Mortality (Natural + Fishing)	1/wk	0.764	0.623	0.334
P0	Initial Biomass	kg	50000	21500	180000
a	Max recruitment rate	$_{ m kg}$	1.07e + 12	3.89e + 08	4.97e + 08
ß	Recruitment half saturation value	kg	$2.3e{+}12$	9.8e + 08	2.08e + 09
Increasing Population					
M+F	Adjusted Mortality (Natural + Fishing)	1/wk	0.564	0.372	0.134
P0	Initial Biomass	kg	3190	21500	180000
a	Max recruitment rate	kg	40000	45000	1e + 05
В	Recruitment half saturation value	kg	43000	62800	405000

Table 1. Parameters used in all population models. SAW 1: (NEFSC 2012), SAW 2:

^{650 (}NEFSC 2013), SAW 3: not out yet????

Parameter	Description	Unit	Yellowtail	Cod	Haddock	Source
$\overline{ ho}$	Ford's growth coefficient	$\rm wk^{-1}$	4.48	4.43	4.49	(Thorson 2020)
M	Natural Mortality	${\rm wk^{-1}}$	0.2064	0.2728	0.3340	(Thorson 2020)
F	Fishing Mortality	${\rm wk^{-1}}$	0.358	0.511	0.45	SAW 1, 2, 3
W_R	Weight of fully recruited fish	kg	0.39	2.95	1.12	SAW 1, 2, 3
W_{R-1}	Weight of pre-recruit fish	kg	0.13	0.39	0.19	SAW 1, 2, 3
σ^2	Variance in recruited fish	kg^2	0.55	0.55	0.55	assumed
λ	Decay rate for movement	-	0.7	0.7	0.7	assumed
$Spwn_s$	Spawning weeks for species s	wk	9-12	8-13	11-14	SAW 1, 2, 3
Rec_s	Recruitment weeks for species s	wk	9-12	8-13	11-14	SAW 1, 2, 3

Table 3. Settings for our two VAST models.

651

Table 4: Each index estimate chooses one condition from each of the following 7 columns.

Species	Population Trend	Temperature Scenario	Strata Included	Noise Added	Season	Covariates (VAST)
Cod	Increasing Constant Decreasing	Repeating Increasing 5°C	All strata Subset	No Yes	Spring Fall	No Yes

D	D ' . !	G.44 A	C.u. D
Parameter	Description	Settings A	Settings B
ObsModel	Link function and assumed	c(10,2)	c(10,2)
	distribution		
FieldConfig	Specified spatial and/or	c(Omega1=0,	c(Omega1=0,
	spatio-temporal variation in	Epsilon1=0,	Epsilon1=0,
	predictors	Omega2=1,	Omega2=1,
		Epsilon2=1)	Epsilon2=1)
RhoConfig	Specifying whether intercepts	c(Beta1=3,	c(Beta1=3,
	or spatio-temporal variation is	Beta2=0,	Beta2=3,
	structured among time intervals	Epsilon1=0,	Epsilon1=0,
		Epsilon2=4)	Epsilon2=4)
X1_formula	Right-sided formula affecting	N/A	$X1_formula = \sim$
	the 1st linear predictor		poly(Temp,
			degree=2)
X2_formula	Right-sided formula affecting	$X2$ _formula = \sim	$X2$ _formula = \sim
	the 2nd linear predictor	poly(Temp,	poly(Temp,
		degree=2) +	degree=2) +
		poly(Habitat,	poly(Habitat,
		degree=2)	degree=2)

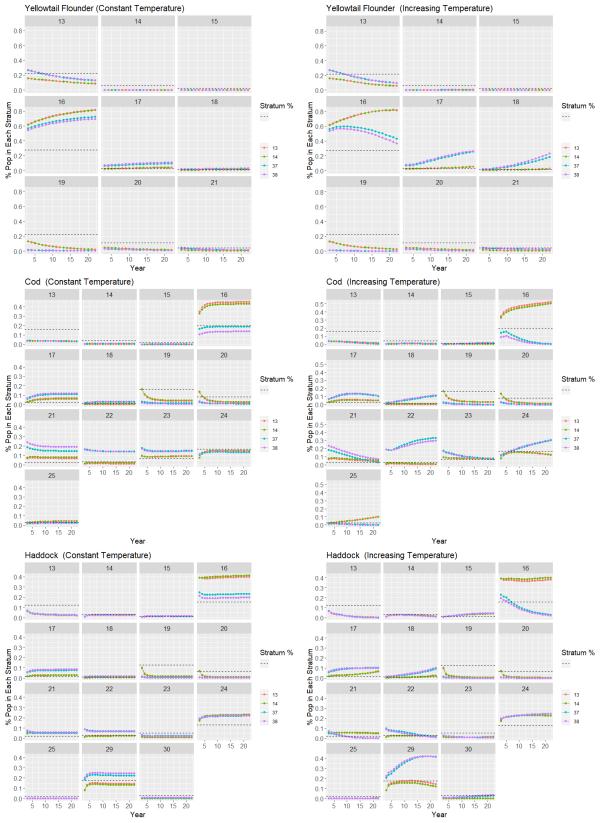


Figure 6: Percent of each species in each strata for during survey weeks in our spatial simulations. All constant temperature scenarios follow the patterns on the left while increasing temperature scenarios follow the patterns on the right. See Figure 1 for a spatial reference of the Georges Bank strata.



Figure 7: Representative example of typical ratio trend for each species, as shown on a log scale.

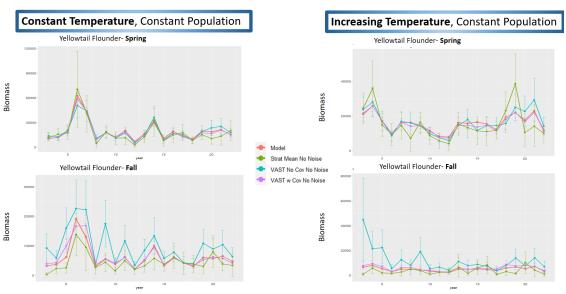


Figure 8: Representative example of bioamass estimate trend for Yellowtail. Both scenarios have a relatively constant population with all strata being surveyed. The main difference is the left column has a repeating temperature gradient while the right column has increasing average temperature.

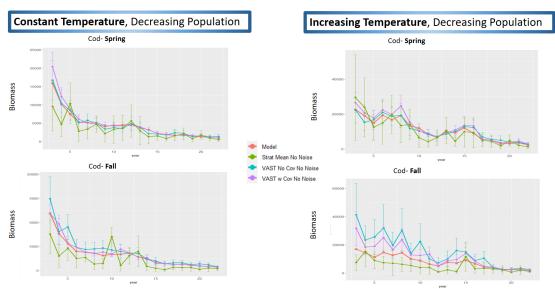


Figure 9: Representative example of bioamass estimate trend for Atlantic Cod. Both scenarios have a decreasing population trend with all strata being surveyed. The main difference is the left column has a repeating temperature gradient while the right column has increasing average temperature

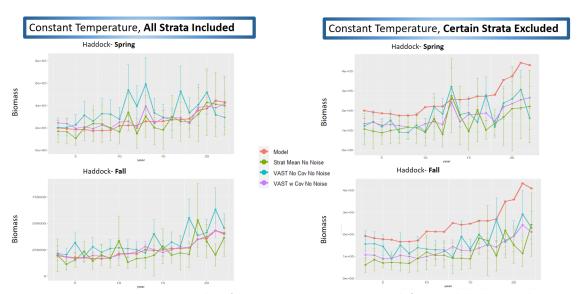


Figure 10: Representative example of bioamass estimate trend for Haddock. Both scenarios have an increasing population trend and a repeating temperature gradient. The main difference is the left column has all strata being surveyed while the right column has certain strata excluded from sampling

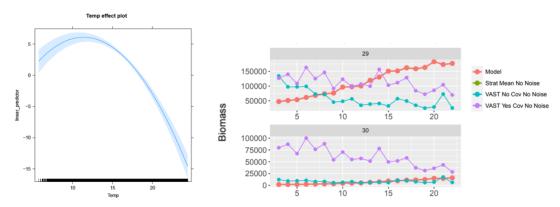


Figure 11: Temperature covariate response plot and resulting population estimate for Haddock when the population was increasing over time, the average temperature was increasing, and certain strata shown in Figure 1 were excluded from sampling.

Table 5: Yellowtail Flounder error results with all strata included in calculations. Row colors correspond to the same settings applied in different seasons.

Temperature Scenario	Season	Covariate	Noise	VAST A	VAST B	Stratified Mea
Constant Population						
Constant	\mathbf{spring}	no cov	no	0.13	0.11	0.21
Constant	spring	no cov	yes	0.14	0.16	0.25
Constant	spring	w/ cov	no	0.07	0.07	n/a
Constant	spring	w/ cov	yes	0.08	0.08	n/a
Constant	fall	no cov	no	0.63	0.68	0.32
Constant	fall	no cov	yes	0.80	0.77	0.31
Constant	fall	w/ cov	no	0.14	0.08	n/a
Constant	fall	w/ cov	yes	0.17	0.11	n/a
Increasing	\mathbf{spring}	no cov	no	0.14	0.11	0.28
Increasing	spring	no cov	yes	0.18	0.15	0.28
Increasing	spring	w/ cov	no	0.05	0.06	n/a
Increasing	spring	w/ cov	yes	0.10	0.12	n/a
Increasing	fall	no cov	no	1.46	1.26	0.51
Increasing	fall	no cov	yes	1.40	1.38	0.5
Increasing	fall	w/ cov	no	0.21	0.23	n/a
Increasing	fall	w/ cov	yes	0.30	0.28	n/a
Decreasing Population						
Constant	spring	no cov	no	0.11	0.08	0.23
Constant	spring	no cov	yes	0.12	0.11	0.27
Constant	spring	w/ cov	no	0.07	0.06	n/a
Constant	spring	w/ cov	yes	0.11	0.07	n/a
Constant	fall	no cov	no	0.97	0.81	0.41
Constant	fall	no cov	yes	0.99	1.09	0.37
Constant	fall	w/ cov	no	0.16	0.08	n/a
Constant	fall	w/ cov	yes	0.29	0.18	n/a
Increasing	spring	no cov	no	0.17	0.15	0.22
Increasing	spring	no cov	yes	0.15	0.17	0.26
Increasing	spring	w/ cov	no	0.08	0.07	n/a
Increasing	spring	w/ cov	yes	0.16	0.10	n/a
Increasing	fall	no cov	no	1.17	1.06	0.28
Increasing	fall	no cov	yes	1.14	1.10	0.25
Increasing	fall	w/ cov	no	0.40	0.15	n/a
Increasing	fall	w/ cov	yes	0.40	0.20	n/a
ncreasing Population						
Constant	spring	no cov	no	0.46	0.13	0.16
Constant	spring	no cov	yes	0.43	0.21	0.22
Constant	spring	w/ cov	no	0.06	0.06	n/a
Constant	spring	w/ cov	yes	0.08	0.07	n/a
Constant	fall	no cov	no	0.40	0.36	0.34
Constant	fall	no cov	yes	0.38	0.44	0.46
Constant	fall	w/ cov	no	0.11	0.08	n/a
Constant	fall	w/ cov	yes	0.24	0.17	n/a
Increasing	spring	no cov	no	0.16	0.13	0.32
Increasing	spring	no cov	yes	0.21	0.16	0.32
Increasing	spring	w/ cov	no	0.06	0.07	n/a
Increasing	spring	w/ cov	yes	0.12	0.10	n/a
Increasing	fall	no cov	no	0.71	0.66	0.3
Increasing	fall	no cov	yes	1.03	0.71	0.39
Increasing	fall	w/ cov	no	0.43	0.21	n/a
Increasing	fall	w/ cov	yes	0.51	0.37	n/a

Table 6: Yellowtail Flounder error results with certain strata excluded from calculations. Row colors correspond to the same settings applied in different seasons.

Temperature Scenario Constant Population	Season	Covariate	Noise	VAST A	VAST B	Stratified Mean
Constant	spring	no cov	no	0.24	0.19	0.27
Constant	spring	no cov	yes	0.16	0.15	0.22
Constant	spring	w/ cov	no	0.19	0.19	n/a
Constant	spring	w/ cov	yes	0.12	0.14	n/a
Constant	fall	no cov	no	0.30	0.25	0.47
Constant	fall	no cov	yes	0.78	0.36	0.44
Constant	fall	w/ cov	no	0.22	0.19	n/a
Constant	fall	w/ cov	yes	0.24	0.17	n/a
Increasing	spring	no cov	no	0.23	0.17	0.31
Increasing	spring	no cov	yes	0.25	0.19	0.29
Increasing	spring	w/ cov	no	0.17	0.17	n/a
Increasing	spring	w/ cov	yes	0.62	0.15	n/a
Increasing	fall	no cov	no	2.22	0.75	0.64
Increasing	fall	no cov	yes	1.75	0.89	0.59
Increasing	fall	w/ cov	no	0.24	0.20	n/a
Increasing	fall	w/ cov	yes	0.59	0.13	n/a
Decreasing Population						
Constant	spring	no cov	no	0.31	0.19	0.25
Constant	spring	no cov	yes	0.27	0.16	0.27
Constant	spring	w/ cov	no	0.19	0.19	n/a
Constant	spring	w/ cov	yes	0.15	0.16	n/a
Constant	fall	no cov	no	0.53	0.24	0.55
Constant	fall	no cov	yes	0.53	0.36	0.53
Constant	fall	w/ cov	no	0.18	0.23	n/a
Constant	fall	w/ cov	yes	0.16	0.24	n/a
Increasing	spring	no cov	no	0.18	0.14	0.32
Increasing	spring	no cov	yes	0.37	0.15	0.29
Increasing	spring	w/ cov	no	0.21	0.22	n/a
Increasing	spring	w/ cov	yes	0.16	0.21	n/a
Increasing	fall	no cov	no	0.90	0.60	0.54
Increasing	fall	no cov	yes	0.84	0.62	0.48
Increasing	fall	w/ cov	no	0.32	0.31	n/a
Increasing	fall	w/ cov	yes	0.36	0.32	n/a
Increasing Population						
Constant	spring	no cov	no	0.22	0.15	0.2
Constant	spring	no cov	yes	0.19	0.11	0.22
Constant	spring	w/ cov	no	0.17	0.17	n/a
Constant	spring	w/ cov	yes	0.11	0.13	n/a
Constant	fall	no cov	no	0.19	0.11	0.41
Constant	fall	no cov	yes	0.26	0.21	0.46
Constant	fall	w/ cov	no	0.21	0.22	n/a
Constant	fall	w/ cov	yes	0.17	0.19	n/a
Increasing	spring	no cov	no	0.31	0.33	0.4
Increasing	spring	no cov	yes	0.30	0.26	0.38
Increasing	spring	w/ cov	no	0.30	0.30	n/a
Increasing	spring	w/ cov	yes	0.31	0.25	n/a
Increasing	fall	no cov	no	0.56	0.49	0.7
Increasing	fall	no cov	yes	0.58	0.48	0.69
Increasing	fall	w/ cov	no	0.48	0.53	n/a
	fall	w/ cov	yes			n/a

Table 7: Cod error results.

Strata	Noise	Season	VAST NC A	VAST NC B	VAST WC A	VAST WC B	Stratified Mean
Constant Temp.							
all	no	spring	0.11	0.11	0.13	0.12	0.36
all	yes	spring	0.14	0.12	0.09	0.15	0.35
all	no	fall	0.23	0.19	0.09	0.05	0.49
all	yes	fall	0.34	0.30	0.20	0.23	0.41
reduced	no	spring	0.25	0.17	0.22	0.24	0.41
reduced	yes	spring	0.25	0.20	0.14	0.23	0.46
reduced	no	fall	0.16	0.21	0.26	0.33	0.60
reduced	yes	fall	0.16	0.18	0.26	0.31	0.58
Increasing Temp.							
all	no	spring	0.12	0.12	0.16	0.15	0.25
all	yes	spring	0.16	0.19	0.23	0.19	0.27
all	no	fall	0.86	0.76	0.47	0.13	0.45
all	yes	fall	1.13	0.89	0.55	0.33	0.44
reduced	no	spring	0.29	0.26	0.22	0.21	0.34
reduced	yes	spring	0.32	0.19	0.19	0.11	0.33
reduced	no	fall	1.41	0.79	0.37	0.26	0.62
reduced	yes	fall	2.09	1.37	0.40	0.26	0.57

Table 8: Haddock error results.

Strata	Noise	Season	VAST NC A	VAST NC B	$V\!\mathrm{AST}\ \mathrm{WC}\ \mathrm{A}$	VAST WC B	Stratified Mean
Constant Temp.							
all	no	spring	0.45	0.49	0.13	0.18	0.18
all	yes	spring	0.55	0.73	0.18	0.43	0.14
all	no	fall	0.31	0.28	0.05	0.05	0.26
all	yes	fall	0.45	0.41	0.15	0.06	0.27
reduced	no	spring	0.34	0.34	0.30	0.35	0.45
reduced	yes	spring	0.31	0.30	0.45	0.33	0.44
reduced	no	fall	0.34	0.36	0.46	0.48	0.54
reduced	yes	fall	0.29	0.33	0.41	0.46	0.50
Increasing Temp.							
all	no	spring	0.28	0.25	0.11	0.05	0.26
all	yes	spring	0.35	0.30	0.11	0.06	0.31
all	no	fall	0.82	0.89	0.23	0.23	0.40
all	yes	fall	1.01	1.04	0.29	0.35	0.39
reduced	no	spring	0.35	0.32	0.41	0.40	0.44
reduced	yes	spring	0.33	0.38	0.39	0.37	0.36
reduced	no	fall	0.48	0.44	0.61	0.64	0.72
reduced	yes	fall	0.49	0.42	0.60	0.62	0.70