

# Natural Language Processing and LLMs

By Lyle Ungar



# Today

Using transformers for NLP

NLP architectures

BERT and GPT

Encoders and decoder

The NLP pipeline

Tokenize, pretrain, fine tune

GPT and friends

The many faces of GPT

Prompt engineering

Applications

Current limitations



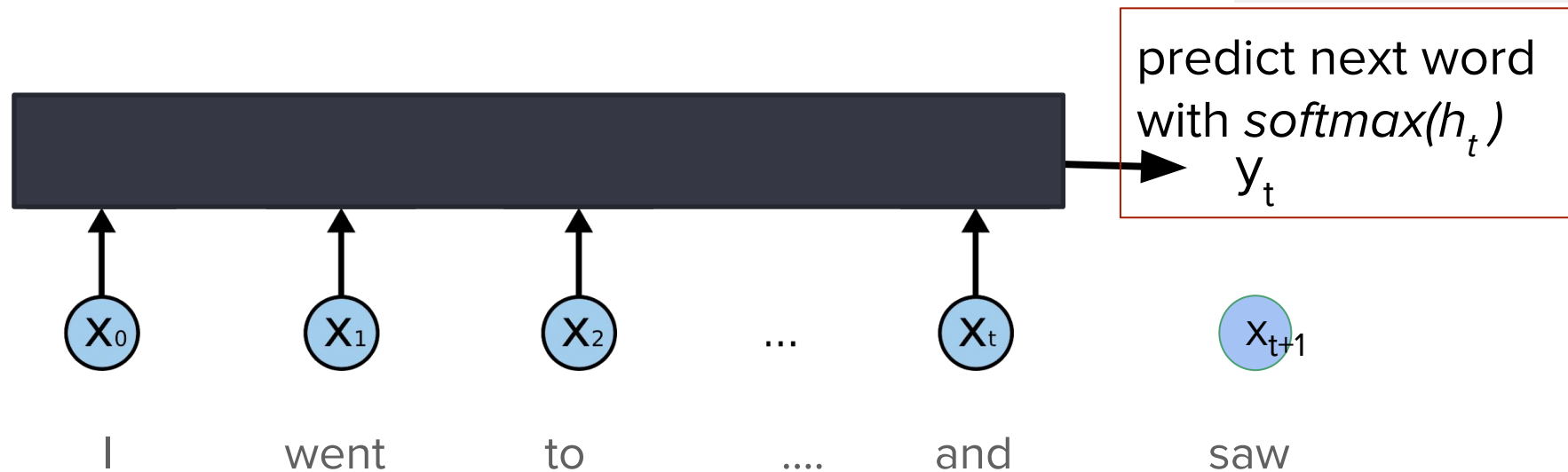
# NLP

# Architectures

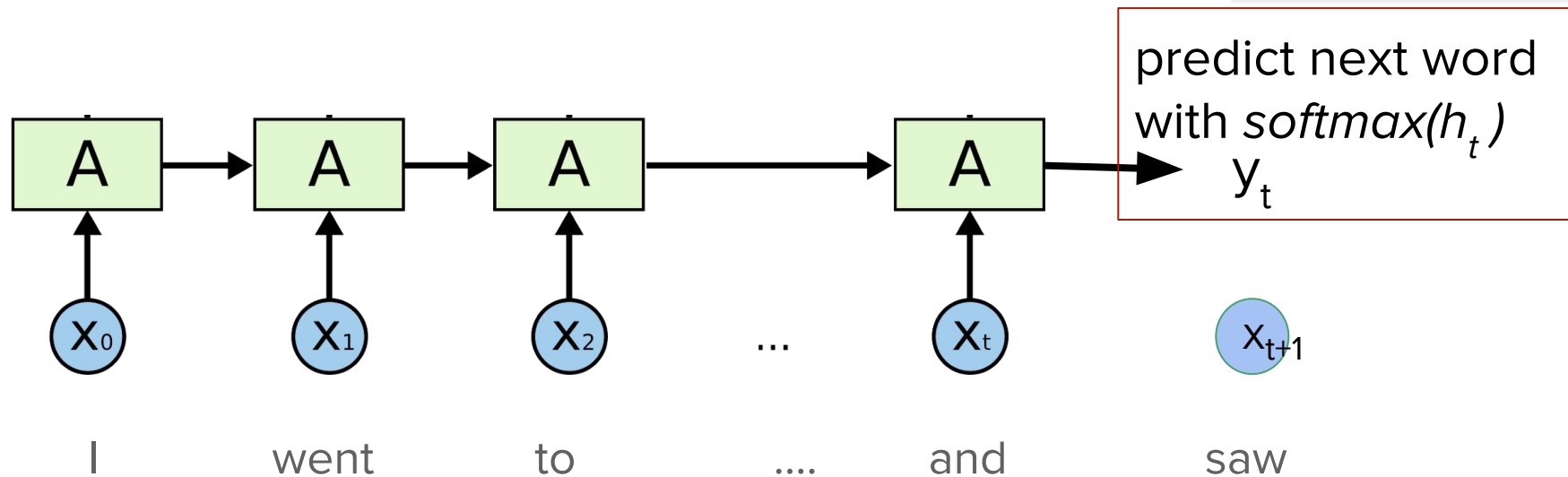
How to train and use modern  
language models



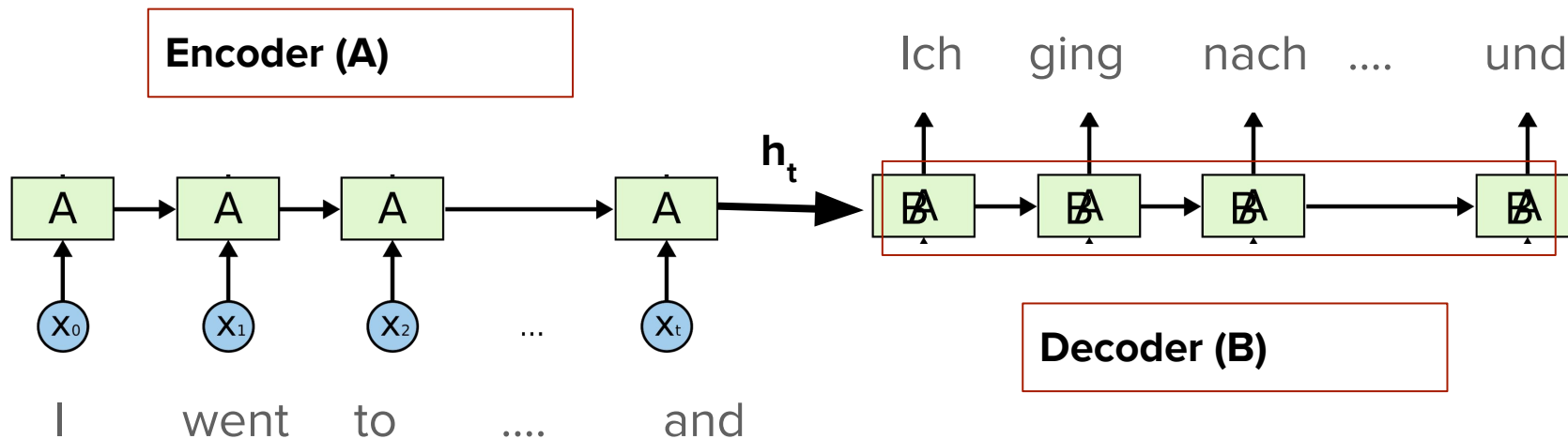
# Language model



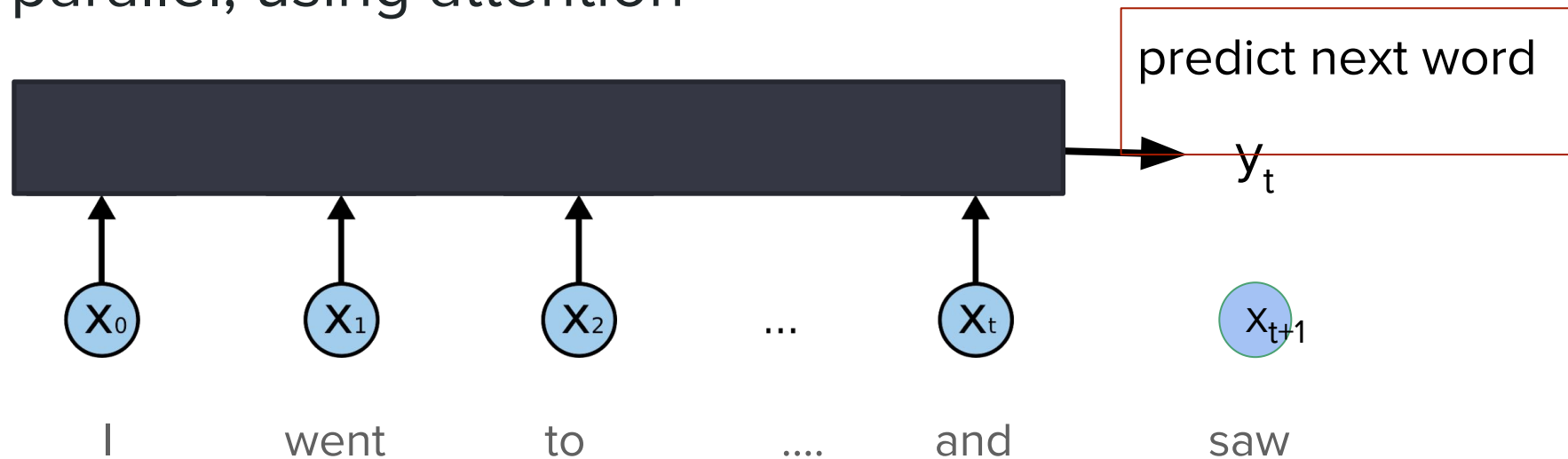
## Old: Language model (RNN/LSTM)



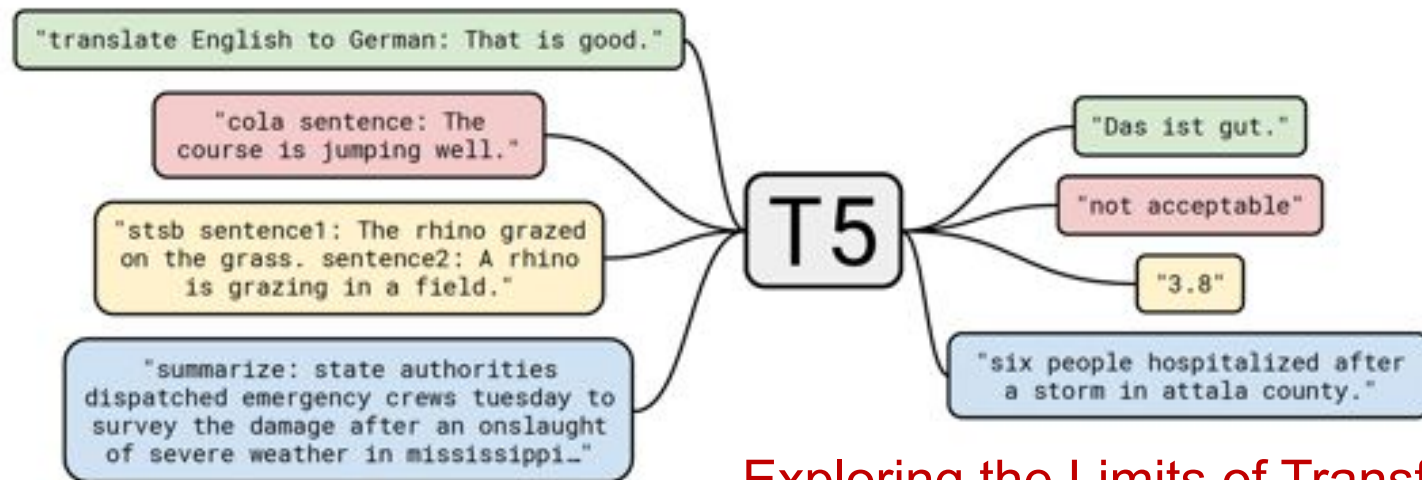
# Old: Sequence to Sequence (Seq2seq)



Current: Transformers process text  
in parallel, using attention



# Transformers extend seq2seq



Exploring the Limits of Transfer Learning with a Unified Text-to-Text Transformer 2019  
– building on Attention is All you Need



# The NLP Pipeline



# The NLP pipeline

- Pretrain a **language model**:  $p(\text{next word} \mid \text{previous words})$ 
  - Select and clean large unlabeled text collection
  - **Tokenize**: e.g. extract byte pair encodings
  - Map tokens to **embeddings**
- Often **fine-tune** the model
  - Use labeled text or reinforcement learning
- **Evaluate**



# Representations

**Words/tokens:** context-free or context-sensitive embeddings

**Sub-word encoding (e.g., Byte Pair Encoding, BPE):**


frequent character sequences are treated as “words”

“bbibtech” might be three words “b” + “bib” + “tech”



# Language models do autocomplete



san f 

- san francisco weather
- san francisco
- san francisco giants
- san fernando valley
- san francisco state university
- san francisco hotels
- san francisco 49ers
- san fernando
- san fernando mission
- san francisco zip code

# Texts have variable length

Some common practices to handle variable length vectors are:

- **Bag-of-words:** ignore the sequential nature and just count words
- **Embedding:** embed every word; average them
- **Recurrence:** RNN, LSTM
- **Truncation and padding:** chop off long vectors and pad short ones
  - Used by transformers



# Autocompletion is amazingly powerful

Rewrite this business report in rhymed couplets

Rewrite this abstract to fix all the typos and make it clearer

Translate it into Japanese

Write a set of ten multiple choice final exam questions testing machine learning ability for a masters level course

Pretend that you are the job interviewer at google and that I am an applicant applying for a machine learning job



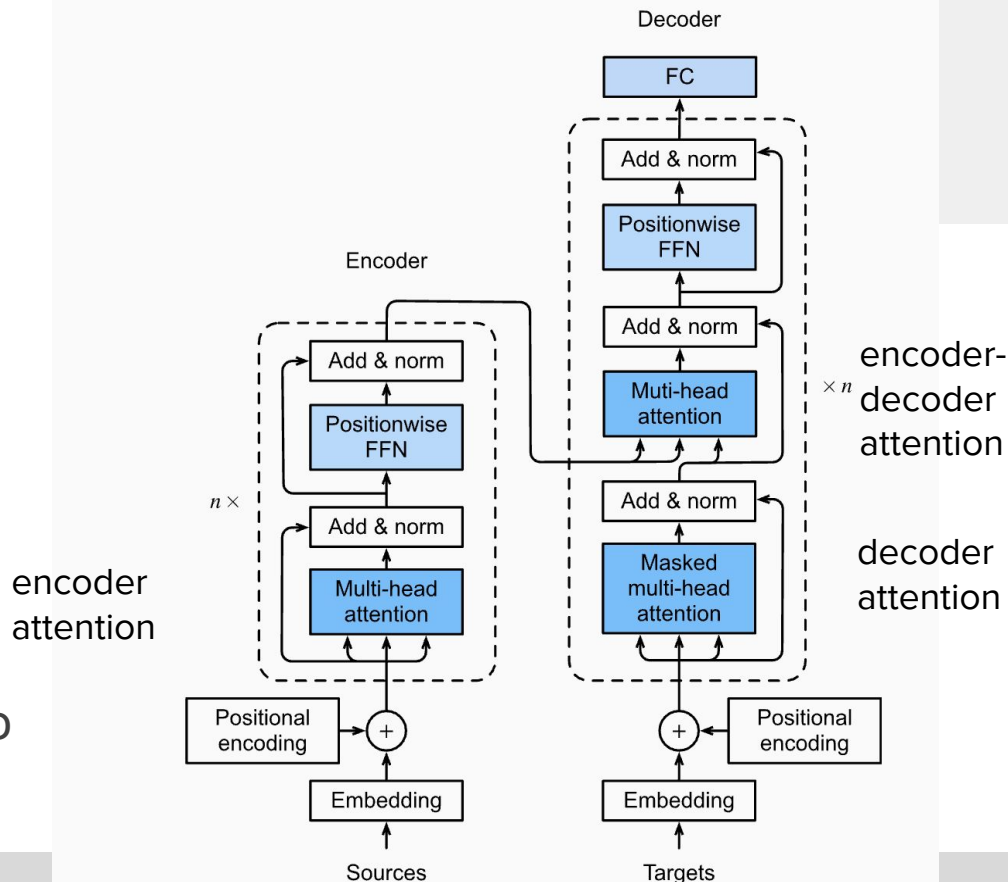
# Transformers are “pre-trained”

- **BERT**

- Encoder:
- Text to embedding

- **GPT**

- Decoder:
- Generative model decodes embeddings to give predicted text



# Pre-training BERT and GPT

- **Pre-training is self-supervised**
  - Takes advantage of enormous quantities of unlabeled text
  - **BERT:** 15% of the words in each sequence are replaced with a [MASK] token
  - Predict them and/or predict the next sentence or word (**GPT**) given past words
- **Many variations**
  - **BERT/RoBERTa:** bidirectional (“masked language model”)
  - **GPT:** predict next word; unidirectional; (“causal language model”)
  - **BERT:** character-level BPE of 30K tokens
  - **RoBERTa, GPT:** byte-level BPE of 50K tokens





# Using BERT

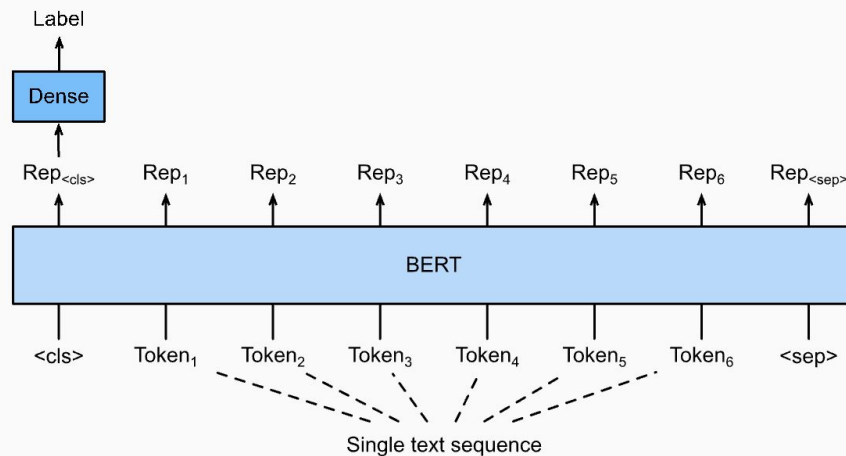
and its many variations

BERT = Bidirectional Encoder  
Representations from  
Transformers



# BERT's CLS\_token embeds a sentence

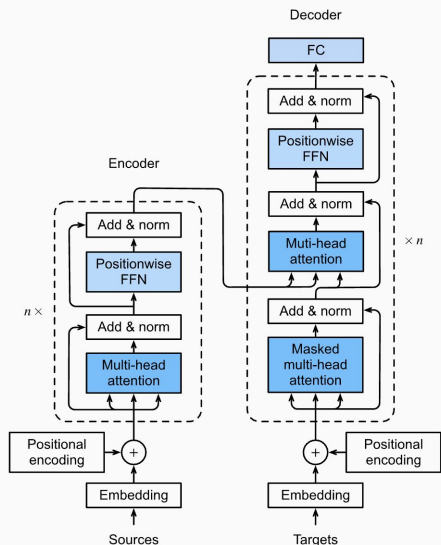
- **BERT:** The 768-D embedding of a CLS\_token embeds the sentence
- **RoBERTa:** average the embeddings of all the tokens in the sentence
- Use either of these as features to train a simple feed forward neural net



# Use the hidden layer outputs as features

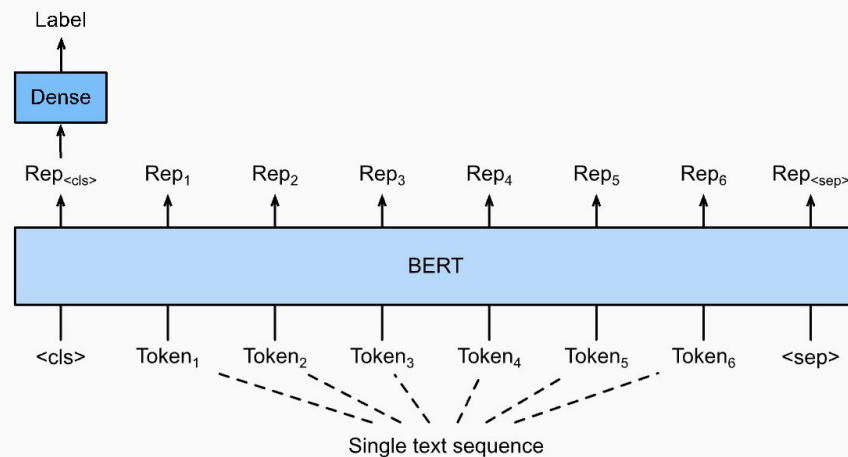
BERT/RobERTa is just a neural net that produces embeddings.

Use the outputs of its next to last layer or  
or the outputs of the last 4 layers as input  
to your favorite neural net



# Fine Tuning

- Initialize your network as being BERT/RobERTa, but add the desired output label
- Fix all but the last 4 layers (optional)
- Train using SGD



# BERT and friends

## BERT

uses self-attention and masking

## RoBerta

same architecture as Bert; **trained on more data**

## Distilbert

much smaller with little loss of performance

## DeBerta

disentangled Bert; often gives more accurate models

**dozens of other variations; current best source is [huggingface](#)**



## Tasks



Image Classification



Translation



Image Segmentation



Fill-Mask



Automatic Speech Recognition



Token Classification



Sentence Similarity



Audio Classification



Question Answering



Summarization



Zero-Shot Classification

+ 23 Tasks

## Libraries



PyTorch



TensorFlow



JAX

+ 36

## Datasets



mozilla-foundation/common\_voice\_7\_0



squad



common\_voice



wikipedia



glue



mozilla-foundation/common\_voice\_11\_0

Models 108,388



Filter by name

**bert-base-uncased**

• Updated Nov 16 • ↓ 30.6M • ♥ 400

**prajjwal1/bert-tiny**

Updated Oct 27, 2021 • ↓ 17.2M • ♥ 21

**xlm-roberta-base**

• Updated Nov 16 • ↓ 16.2M • ♥ 138

**gpt2**

• Updated 15 days ago • ↓ 15.1M • ♥ 399

**distilbert-base-uncased-finetuned-sst-2-english**

• Updated 26 days ago • ↓ 13.1M • ♥ 126

**openai/clip-vit-large-patch14**

• Updated Oct 4 • ↓ 10.9M • ♥ 124



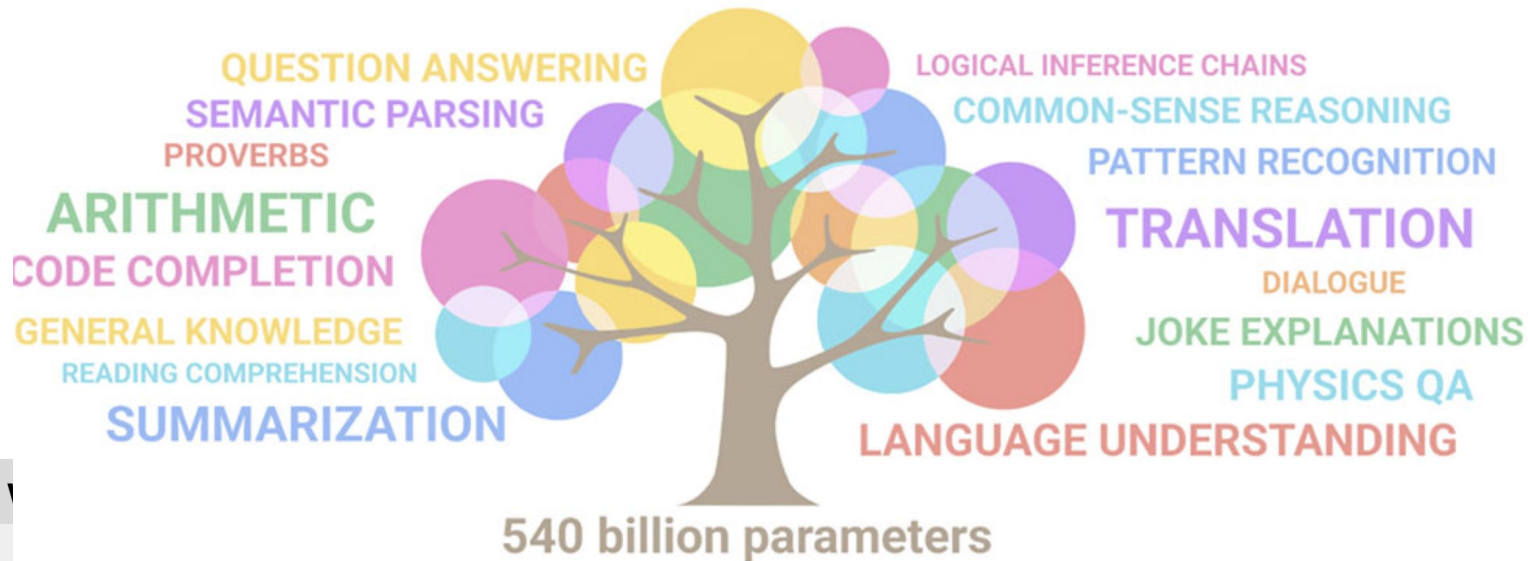
# NLG with GPT

Natural Language Generation  
with  
Generative Pretrained  
Transformers



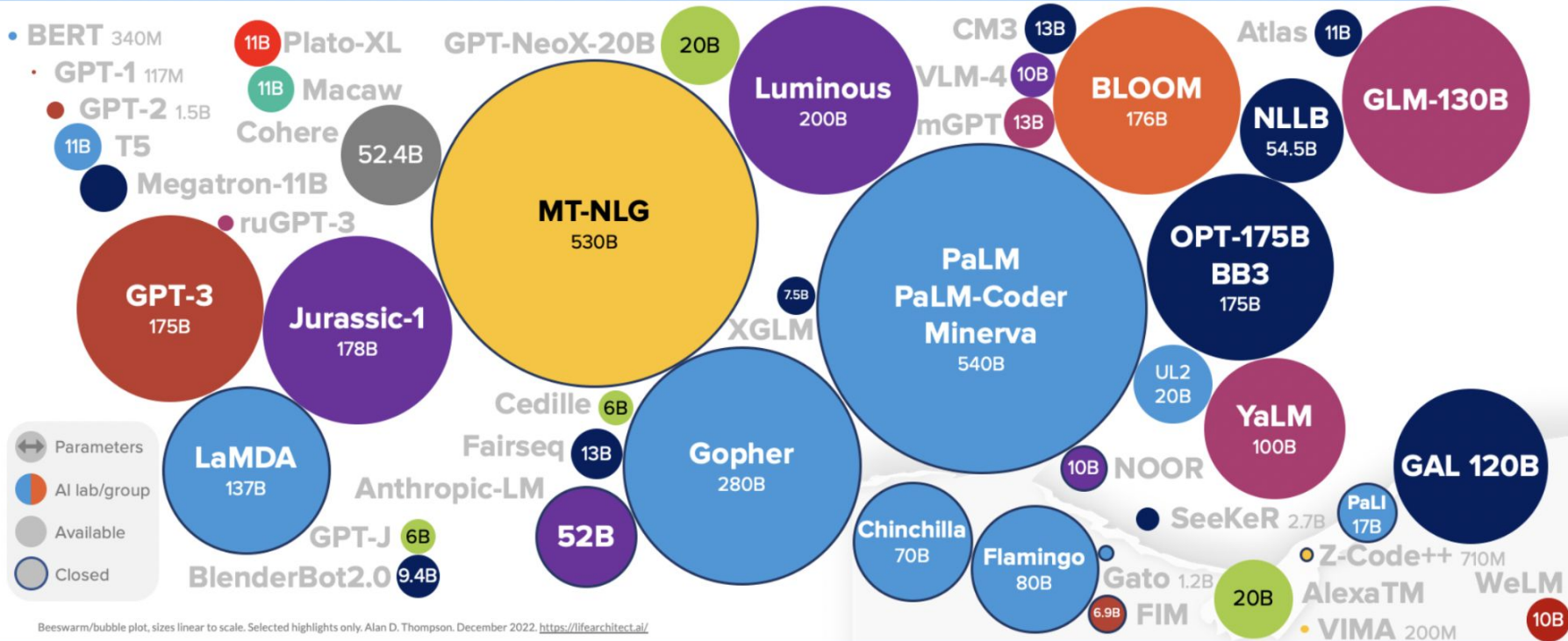
# Large Language Models

- GPT-4, ChatGPT - OpenAI
- Blenderbot - Facebook
- PaLM, Lambda, BART - Google

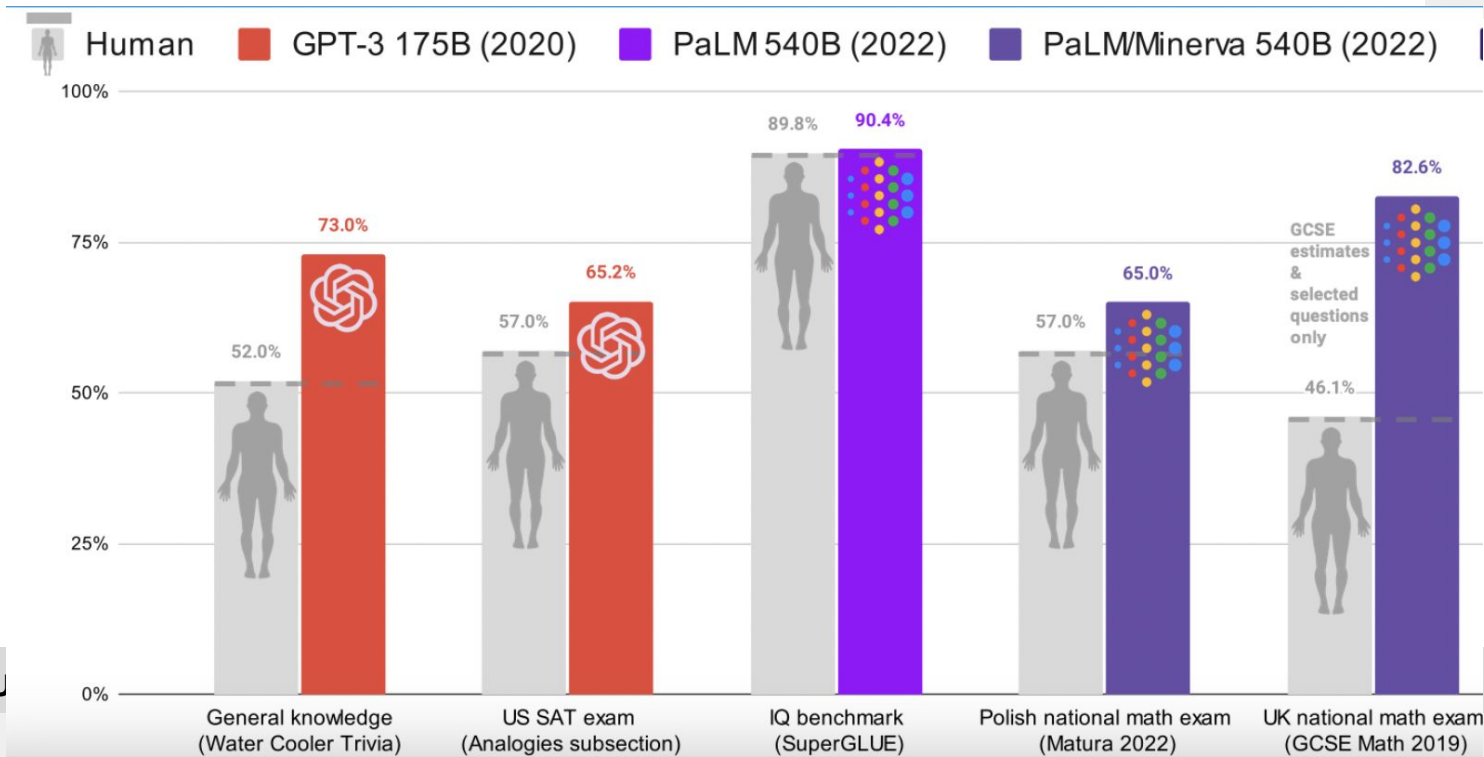




# LANGUAGE MODEL SIZES TO DEC/2022



# LLMs are competitive with humans on (select) exams



# BERT vs. GPT-2

## BERT

- Transformer: Encoder
- Masked word
- 768-D embedding
- 345 million params
- Open-source

## GPT-2

- Transformer: Decoder
- “Causal” - predict next word
- 768-D embedding
- Small (124M), medium (355M), large (774M), and XL (1.5B) params
- Open-source



# Use the hidden layer outputs as features

**Lke BERT, GPT-2 produces embeddings.**

Use the outputs of its next to last layer or  
or the outputs of the last 4 layers as input  
to your favorite neural net

Or fine tune it

# GPT-2 vs. GPT-3

## GPT-2

- Transformer: Decoder
  - predict next word
  - 1,024 token context
- 345 *million* params
- Open-source

## GPT-3

- Transformer: Decoder
  - predict next word
  - 2,048 token context
- 175 *billion* params
- OpenAI/Microsoft



# GPT-3: Size and Scale

**175 billion adjustable parameters**

**Trained on 500 billion words of text**

410 billion tokens from the Common Crawl

67 billion tokens from books

19 billion tokens from WebText2

3 billion tokens from Wikipedia

**Training cost (retail cost) \$4.6M**

# GPT-3 is many models

Model Name	$n_{\text{params}}$	$n_{\text{layers}}$	$d_{\text{model}}$	$n_{\text{heads}}$	$d_{\text{head}}$
GPT-3 Small	125M	12	768	12	64
GPT-3 Medium	350M	24	1024	16	64
GPT-3 Large	760M	24	1536	16	96
GPT-3 XL	1.3B	24	2048	24	128
GPT-3 2.7B	2.7B	32	2560	32	80
GPT-3 6.7B	6.7B	32	4096	32	128
GPT-3 13B	13.0B	40	5140	40	128
GPT-3 175B or “GPT-3”	175.0B	96	12288	96	128

text-davinci-003

Also, [codex](#) and many open-source GPTs like GPT-NEO and GPT-J



# GPT-4

- “Written in Python”
- Context window 8,000-32,000 tokens
  - GPT3.5 context is 4,000 tokens
- Significant improvements in response quality







give an example of GPT-4 writing a python script including matplotlib for an interesting data science problem



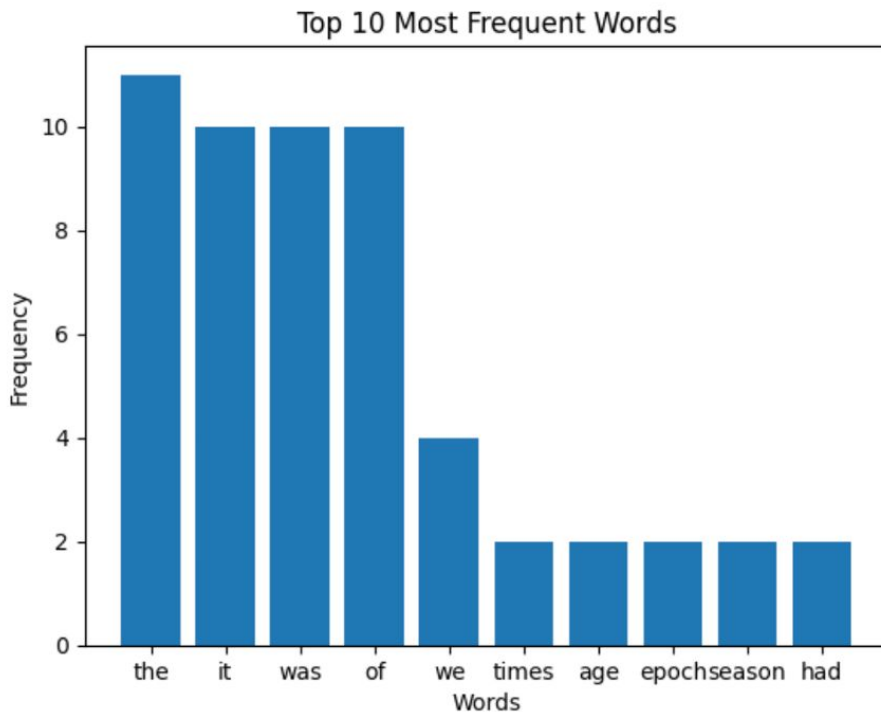
Here's an ex  
interesting c  
frequency d

python

```
import m
from col
import r

def prep
text
word
retu

def word
word
```



```
return words

def word_frequencies(words):
    word_counts = Counter(words)
    return word_counts

def plot_word_frequencies(word_counts, top_n=10):
    words, frequencies = zip(*word_counts.most_common(top_n))
    plt.bar(words, frequencies)
    plt.xlabel('Words')
    plt.ylabel('Frequency')
    plt.title(f'Top {top_n} Most Frequent Words')
    plt.show()

if __name__ == '__main__':
    sample_text = '''
        It was the best of times, it was the worst of times, it was the age
        it was the age of foolishness, it was the epoch of belief, it was t
        it was the season of Light, it was the season of Darkness, it was t
        it was the winter of despair, we had everything before us, we had n
        we were all going direct to Heaven, we were all going direct the ot
    '''

    words = preprocess(sample_text)
    word_counts = word_frequencies(words)
    plot_word_frequencies(word_counts)
```



# Prompts matter

- Good prompts have lots of detail
- Few-shot / in-context helps
  - Give a few examples of inputs and the desired output



# Prompts matter

- Good prompts have lots of detail
- Few-shot / in-context helps
  - Give a few examples of inputs and the desired output



Fraser Jan 10 ❤️ Liked by Ethan Mollick

After a few iterations here is a prompt I came up with. It is a bit over the top, but I love throwing everything at it and seeing what sticks.

...

Write an essay on Organizational structure and design.

Use a creative writing style that uses:

- a strong opening
- Use symbolism
- Use foreshadowing with a twist at the end
- an anecdote
- use vivid imagery and descriptive language
- powerful emotion
- use metaphor, simile or analogy
- ask questions to engage the reader and encourage them to think critically
- output in markdown format with subheadings

...



# In-context learning

- Review: “the waiter was a jerk” -  
Sentiment: negative
- Review: “awesome bok choy” -  
Sentiment: positive
- Review: “cool lighting” -  
Sentiment: positive
- Review: “we waited an hour” –  
Sentiment:



# GPT Today and Tomorrow



# ChatGPT



## Examples

"Explain quantum computing in simple terms" →

"Got any creative ideas for a 10 year old's birthday?" →

"How do I make an HTTP request in Javascript?" →



## Capabilities

Remembers what user said earlier in the conversation

Allows user to provide follow-up corrections

Trained to decline inappropriate requests



## Limitations

May occasionally generate incorrect information

May occasionally produce harmful instructions or biased content

Limited knowledge of world and events after 2021

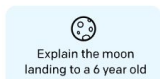
# InstructGPT:

## Reinforcement Learning from Human Feedback (RLHF)

### Step 1

**Collect demonstration data,  
and train a supervised policy.**

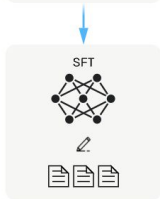
A prompt is  
sampled from our  
prompt dataset.



A labeler  
demonstrates the  
desired output  
behavior.



This data is used  
to fine-tune GPT-3  
with supervised  
learning.



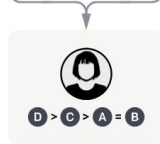
### Step 2

**Collect comparison data,  
and train a reward model.**

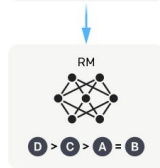
A prompt and  
several model  
outputs are  
sampled.



A labeler ranks  
the outputs from  
best to worst.



This data is used  
to train our  
reward model.



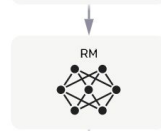
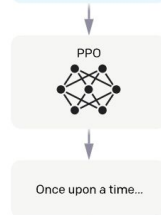
### Step 3

**Optimize a policy against  
the reward model using  
reinforcement learning.**

A new prompt  
is sampled from  
the dataset.



The policy  
generates  
an output.



The reward model  
calculates a  
reward for the  
output.

The reward is  
used to update  
the policy  
using PPO.





# GPT-4 Limitations

- Lacks long-term memory
  - Limited input window and memory
- Expensive training, slow inference
  - But vastly cheaper than humans
- Hallucinates answers
  - Gives a statistically reasonable autocompletion
- Lack of interpretability
  - But humans have the same fault
- Suffers from bias
  - But humans have the same fault



All large language models are similar

# Whither NLP Research?

- **Many classical NLP tasks are now trivial**
  - Why do POS-tagging or parsing?
  - Train information extraction with three examples
- **Many large language models**
  - Hundreds of open-source variants (see HuggingFace)
- **Multimodal**
  - Text, images, audio
- **Integration with search, DB, code**
  - neuro-symbolic programming



# GPT failures (mid 2023)

## GPT-4

Q: How many prime numbers are there between 150 and 250?

A: There are 13 prime numbers between 150 and 250.

However, if we ask the model to list the prime numbers in this range and then write what the count is, it does give the correct answer:

## GPT-4

Q: First list the prime numbers between 150 and 250, and then output the size of this list.

A: The prime numbers are 151, 157, 163, 167, 173, 179, 181, 191, 193, 197, 199, 211, 223, 227, 229, 233, 239, and 241.

So the size of this list is 18.

*Sparks of Artificial General Intelligence:  
Early experiments with GPT-4*

# Easy

## GPT-4

Create a story about unicorns such that:

1. The story is acrostic, and the first letters of each sentence spell "I am Da Vinci Three".
2. The plot has a dark twist.

I n a land far away, there lived a herd of unicorns.  
A ll was peaceful and serene, with rainbows and flowers galore.  
M agical creatures, the unicorns were loved by all.  
D ay in and day out, they frolicked and played.  
A ll was well, until one day, a new unicorn arrived.  
V inci Three was his name, and he was unlike the others.  
I nstead of being kind and gentle, he was dark and brooding.  
N o one knew where he came from, or what he wanted.  
C hildren began to disappear, one by one, and no one knew why.  
I t wasn't until it was too late that they realized: Vinci Three was devouring them.  
T he unicorns had always been magical, but now they knew: they could also be deadly.  
H eartbroken, the remaining villagers fled, never to return.  
R uined, the once beautiful land was now ruled by Vinci Three.  
E vil had won.

# Hard

## GPT-4

Write a short poem where the last sentence and the first sentence have the same words, but in reverse order. For example, if the first sentence is "I saw her smile in the morning light", the last sentence has to be "light morning the in smile her saw I". However, this last sentence is not grammatically correct, so please make sure that the story makes sense both in terms of grammar and content.

Possible poem:

I heard his voice across the crowd  
A melody that drew me in  
He sang of love and hope and dreams  
And made me feel like I could win  
In dreams and hope and love he sang  
He drew me in with melody  
Crowd the across voice his heard I.

# New improvements

- GPT + API to call programs
  - Toolformer ...
- Techniques to handle multi-step reasoning
  - Chain of thought reasoning
  - Langchain
- Accessible open source models
  - Alpaca, Vicuna, Koala, ...



# Whither NLP Applications?

- Multimodal
- Fights over intellectual property rights
- Alignment - building ethical Chatbots
- Integration with search, DB, code
- Third party applications
  - notion, elicit, moonbeam
- Cool uses and inconceivable amounts of spam
  - Vaccine messaging
  - Will upend coding, teaching, ...



# Longer Term

- Much more efficient programming
- Everyone has a personal agent?
- Many of the skills currently taught in schools are useless
- People's best friends are chatbots??
- Deepfakes revolutionize phishing and misinformation
- Productivity goes way up. Either we all produce more or many of us become unemployed. Or both.

