

# The Truth of a Procedure

Lisa Lippincott

Why don't we routinely write down the reasoning behind our programs in a formal way, and have computers check it?

The mathematical tools we use for proofs present a poor user interface for procedural programming.

# Logic

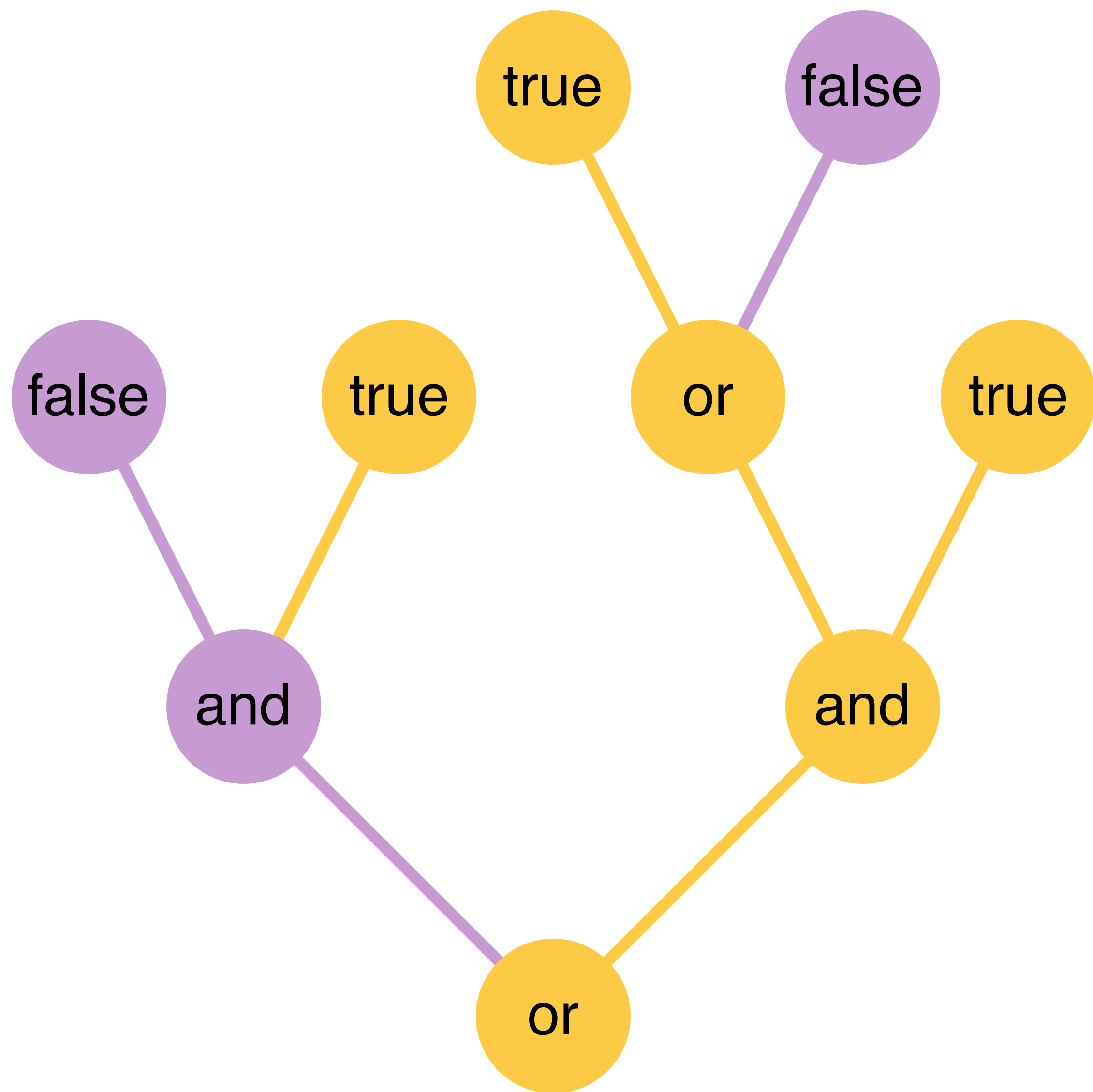
# Procedural Logic

A procedure is an embodied algorithm, conceived as a scheme by which events may be arranged in time, space, possibility and causality.

Procedures are sentences.

A sentence is a statement about the world, which may either be in agreement with the world ("true") or be in disagreement with the world ("false").

$(\text{false} \text{ and } \text{true}) \text{ or } ((\text{true} \text{ or } \text{false}) \text{ and } \text{true})$  ————— Sentence



false

true

and

or

and

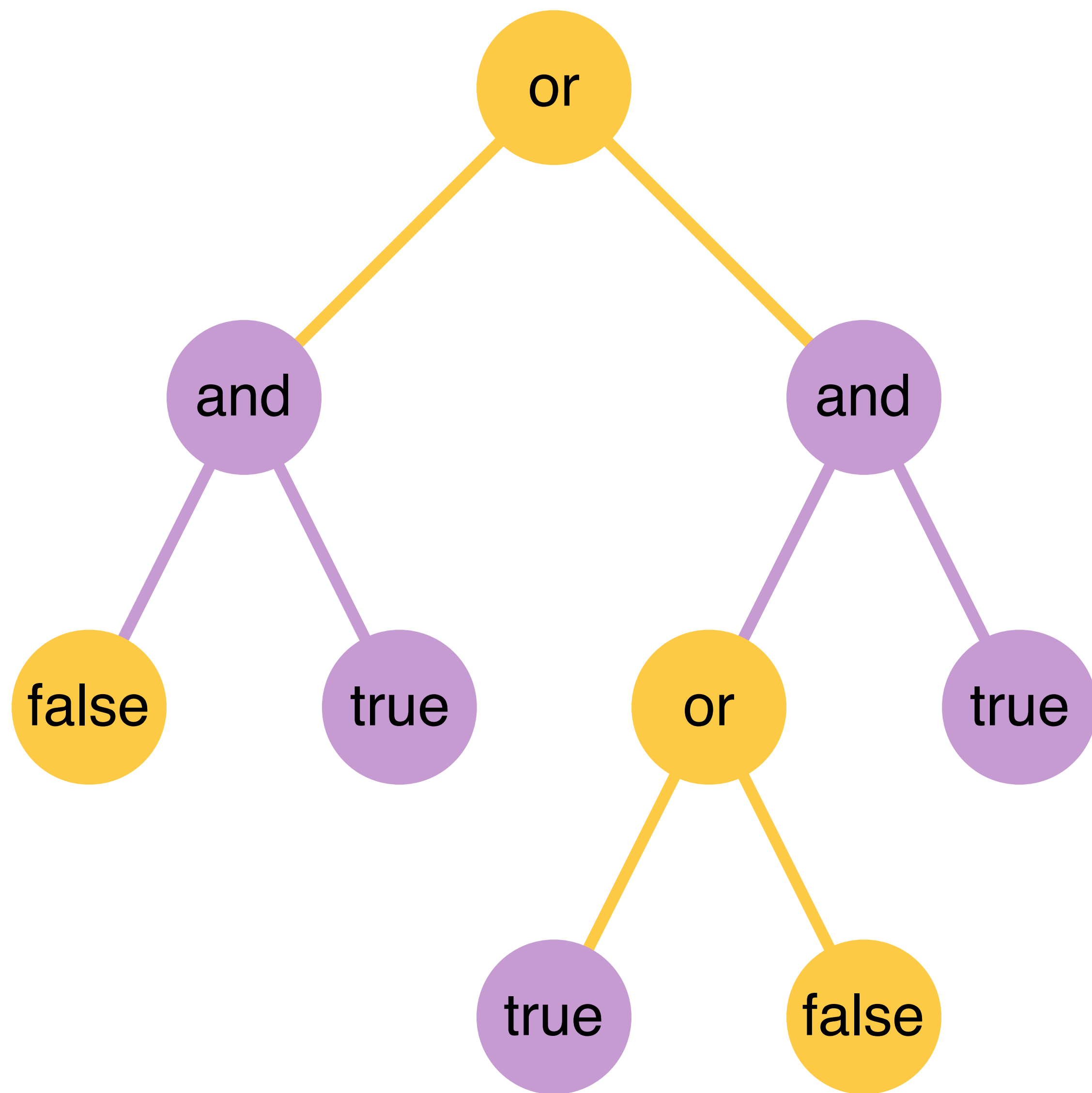
or

and

or

and

or



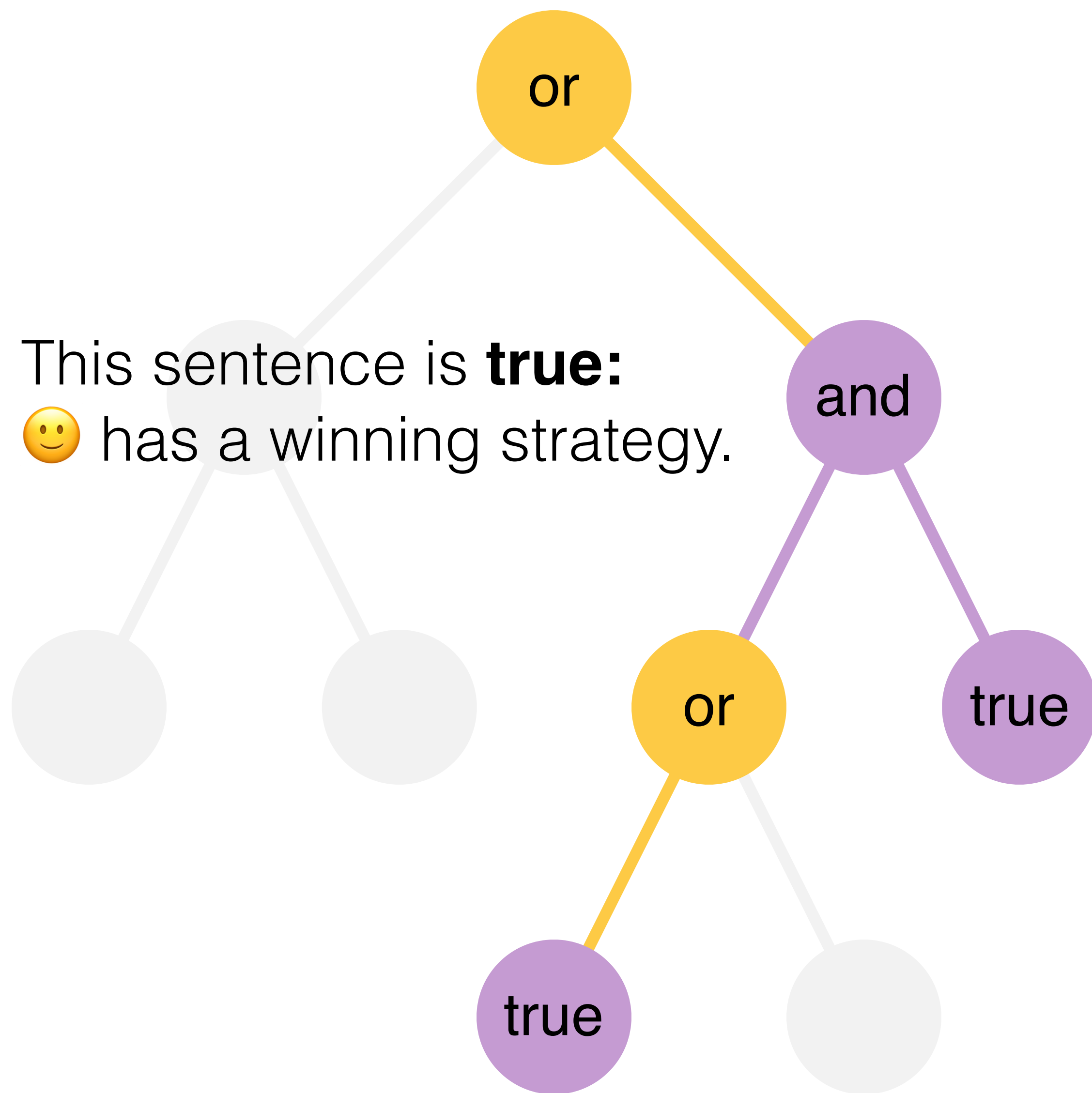
or 😊 makes a choice

and 😈 makes a choice

true 😈 loses the game

false 😞 loses the game



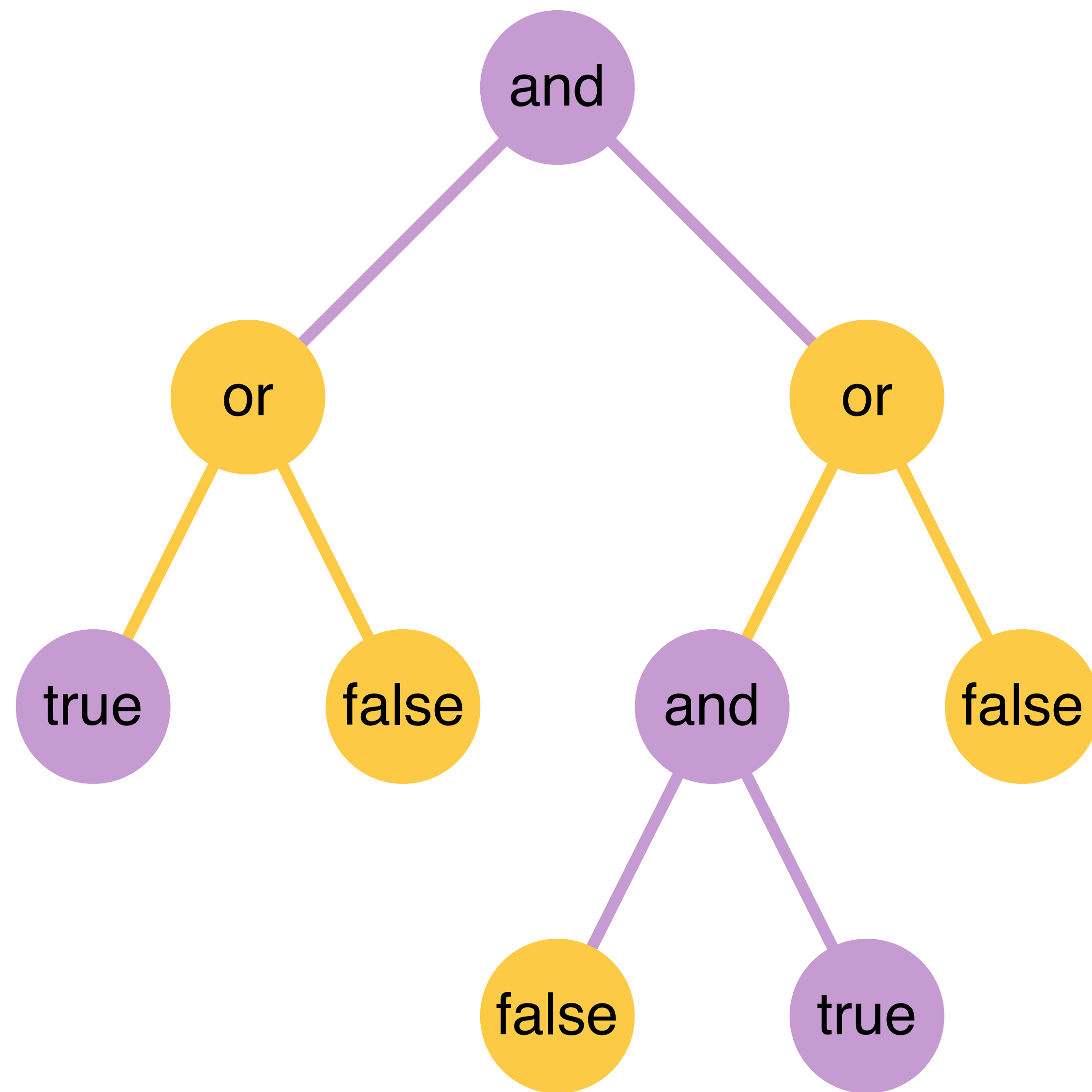
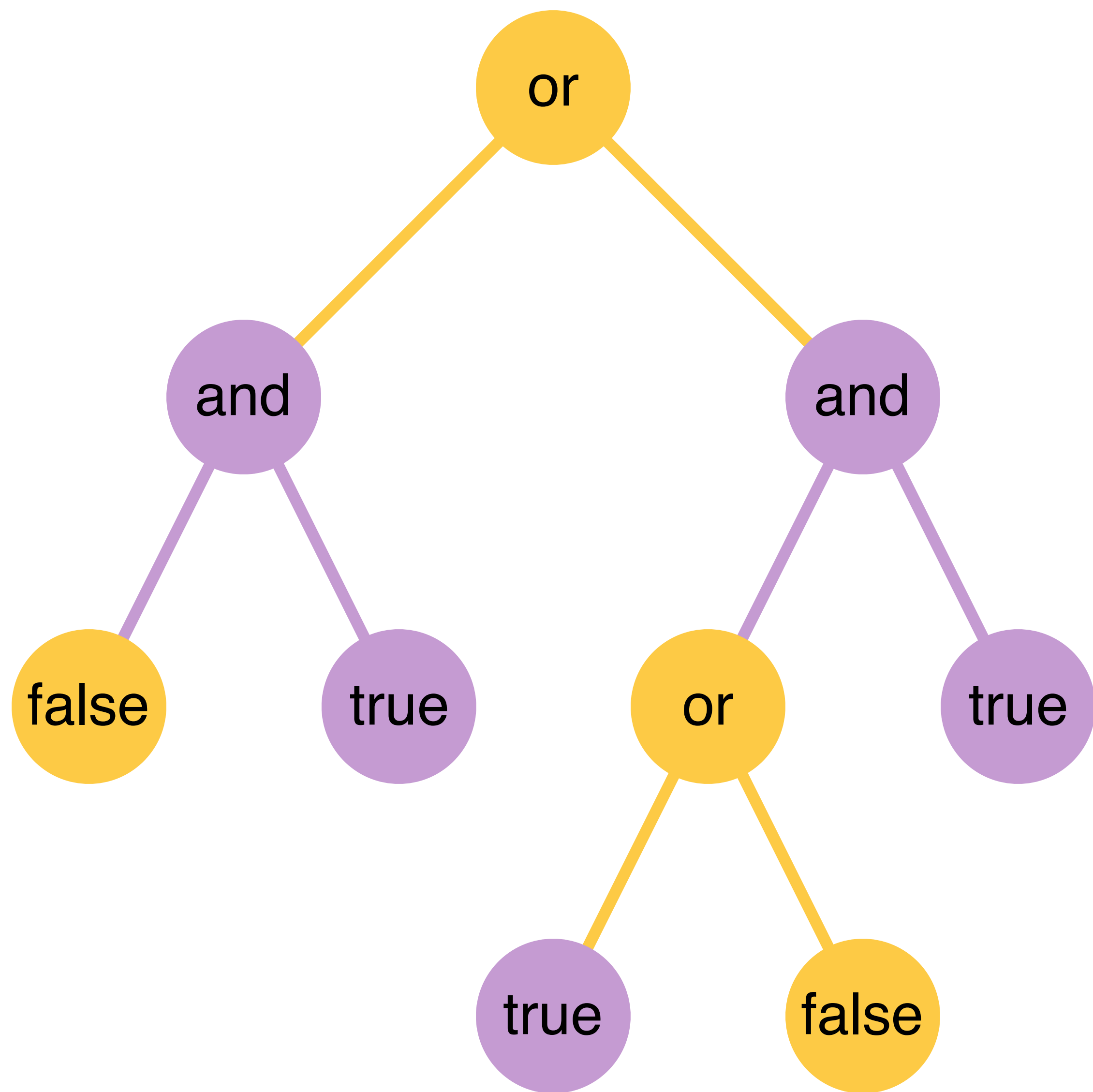


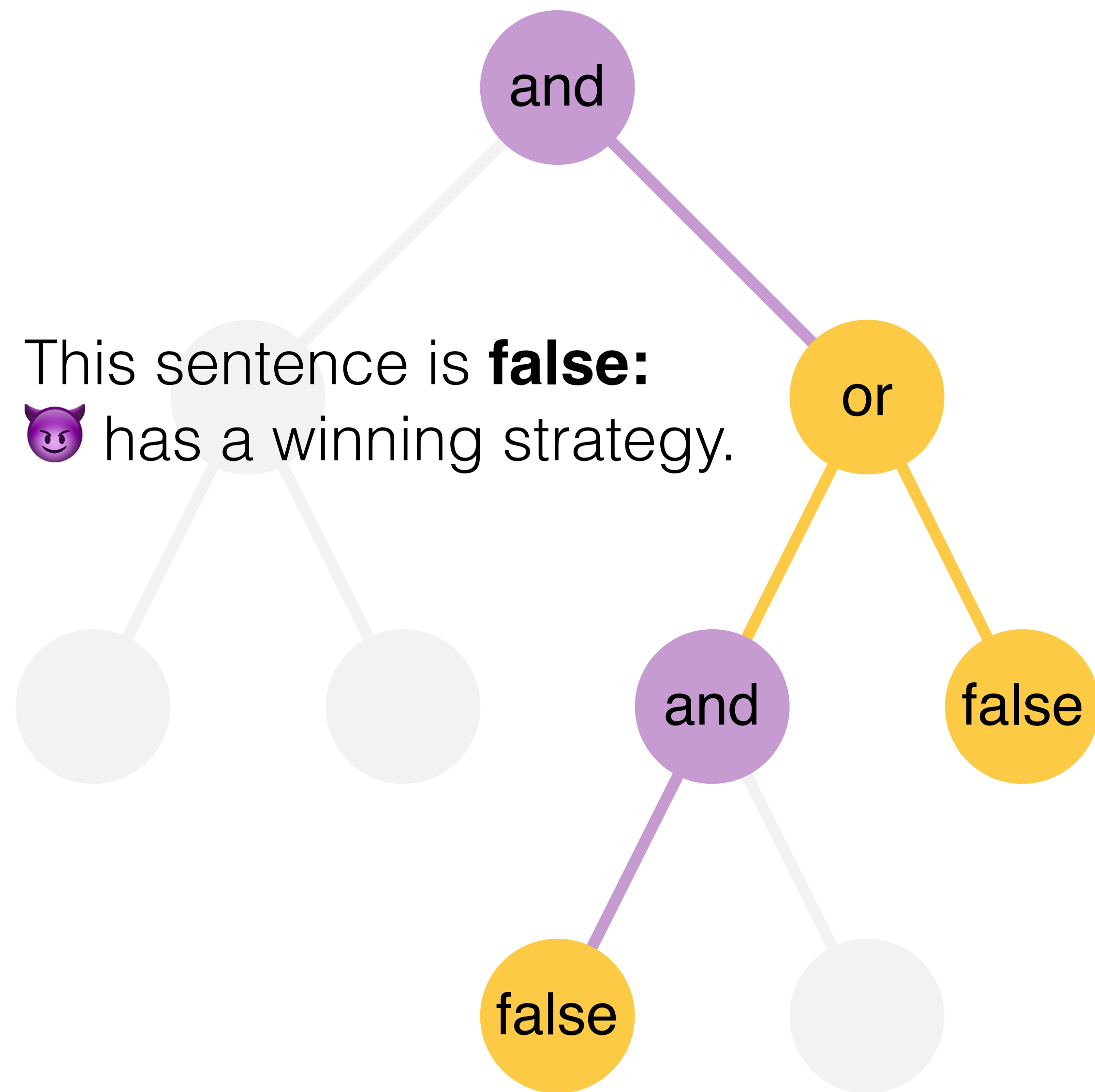
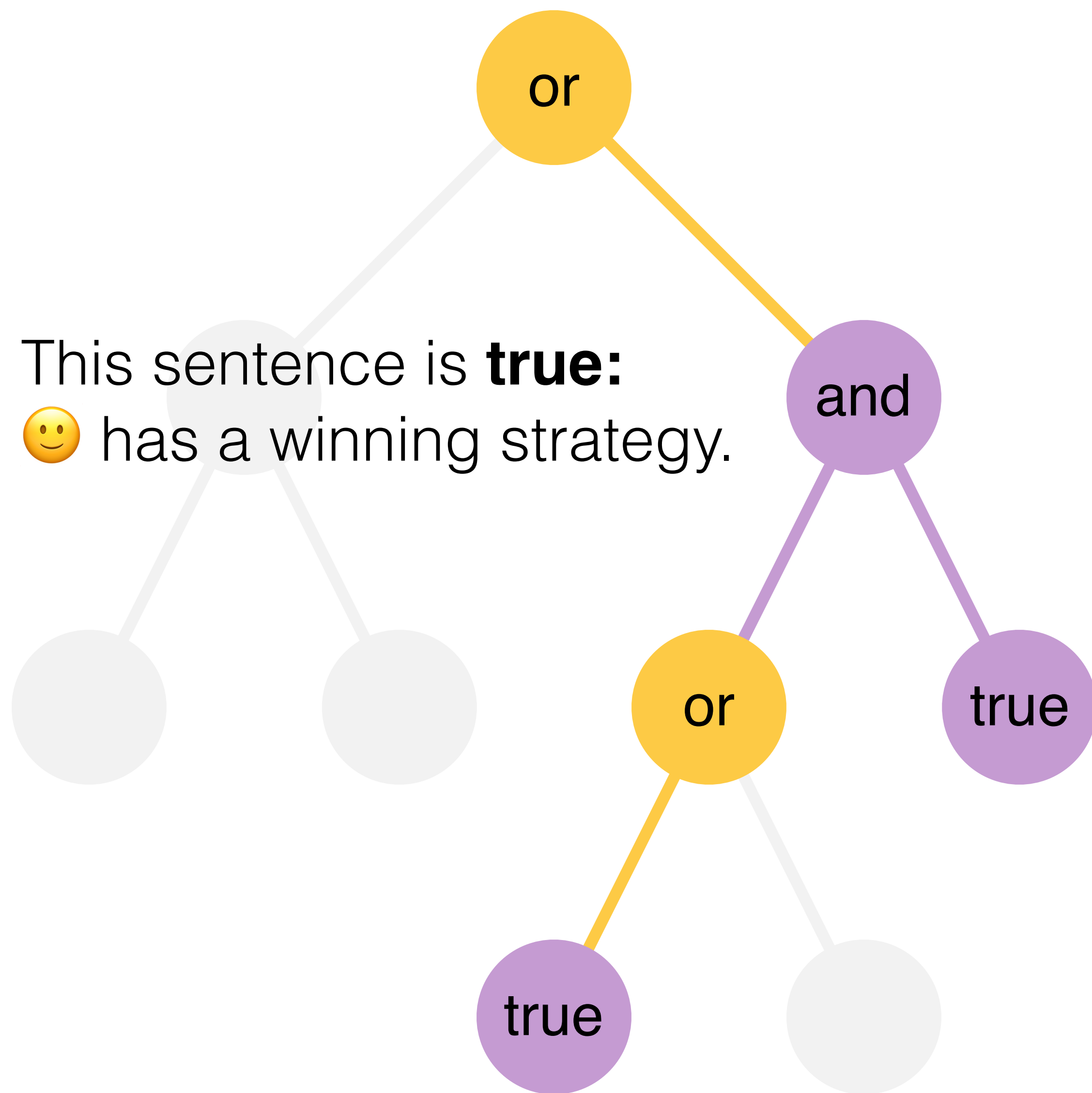
or 😊 makes a choice

and 😈 makes a choice

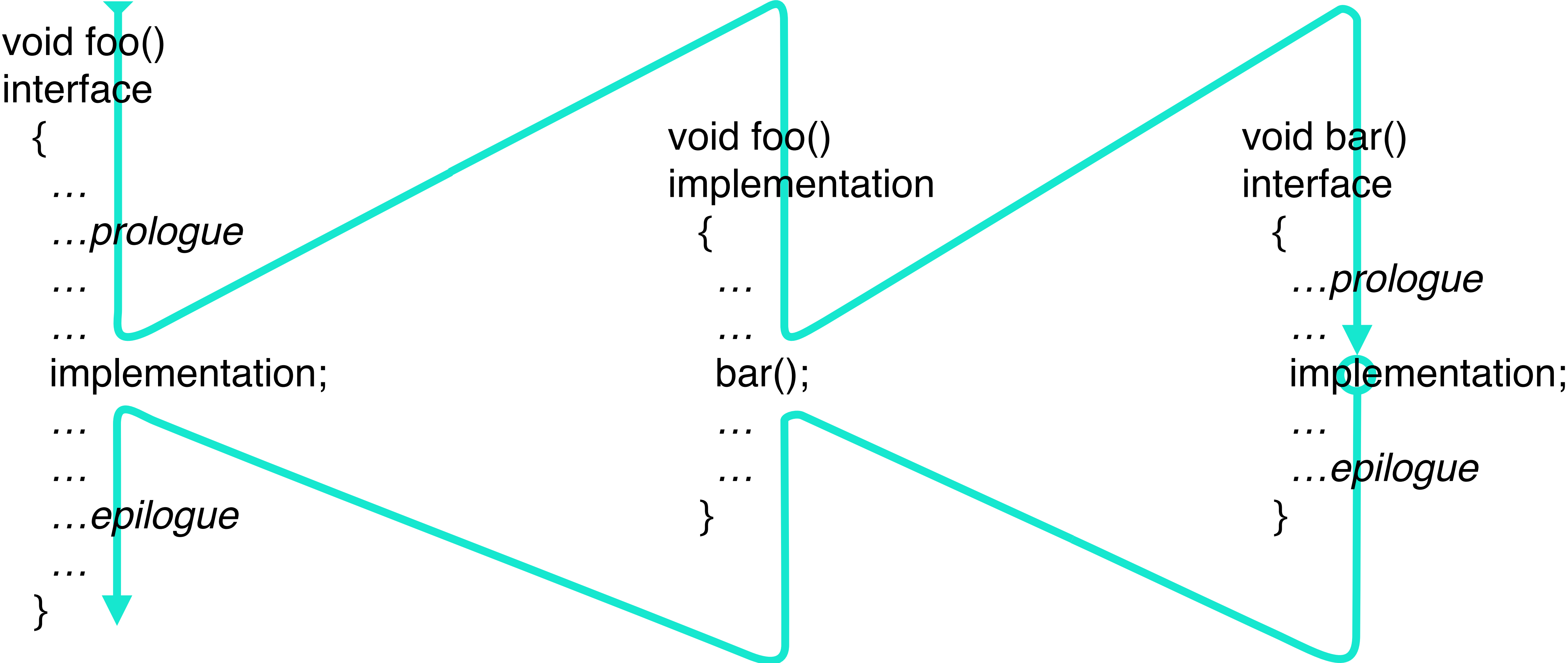
true 😈 loses the game

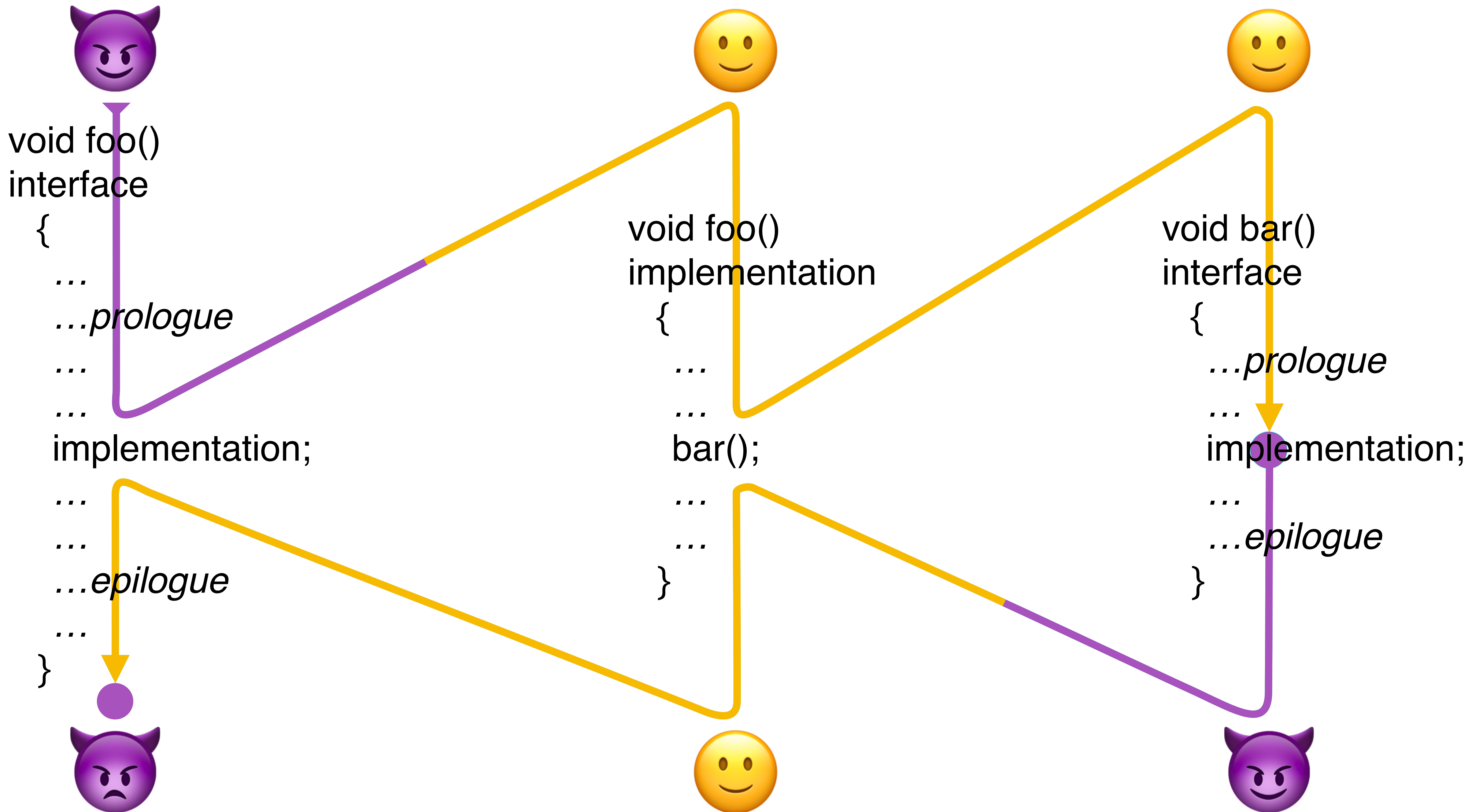


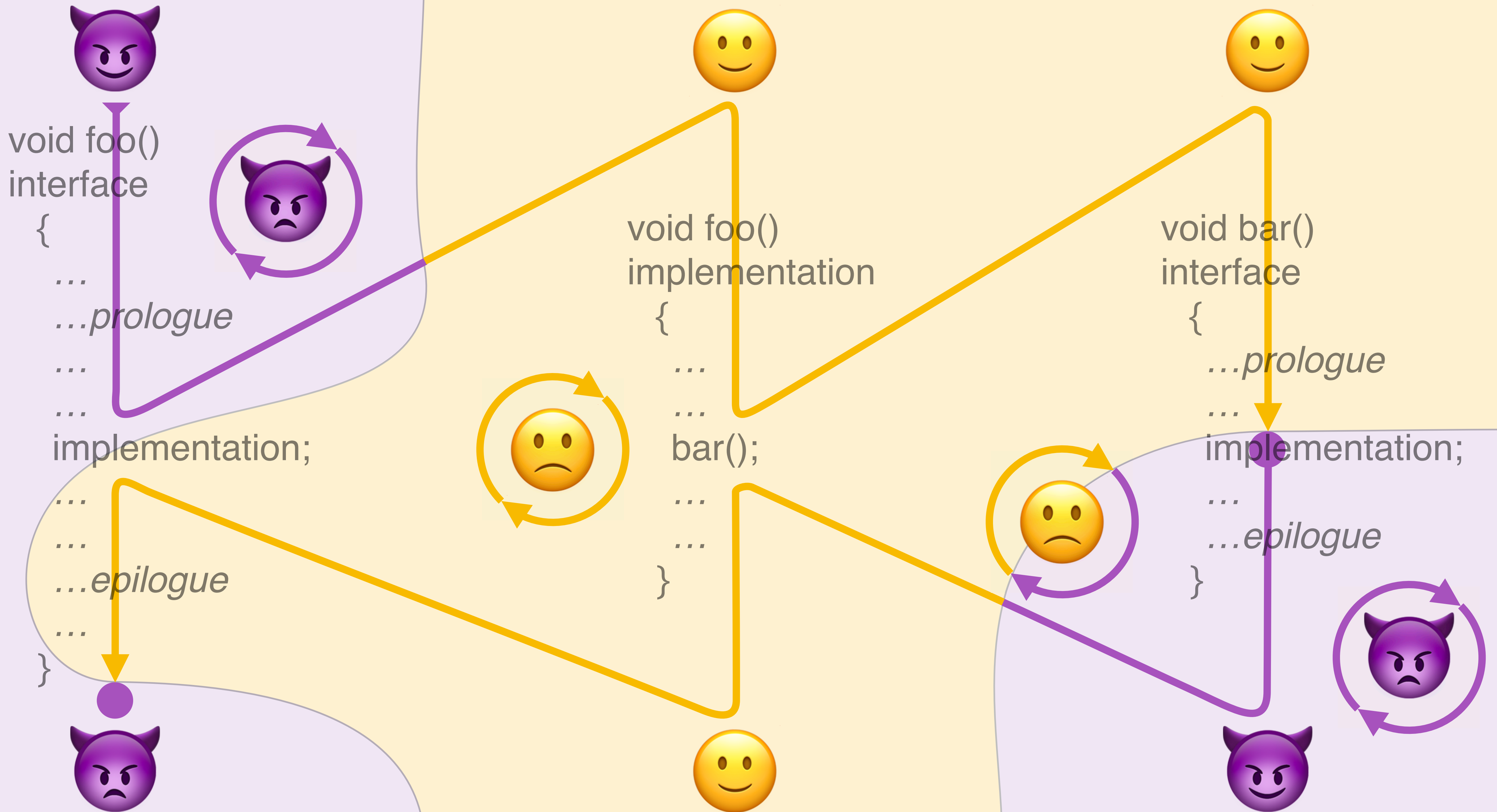




The code here is written in a fantasy C++, with extensions that make proofs fit into the code.







```
const int factorial( const int& n )
```

```
interface
```

```
{
```

```
    claim n >= 0;
```

```
    claim usable( n );
```

```
implementation;
```

```
    claim usable( n );
```

```
    claim usable( result );
```

```
}
```



```
const int factorial( const int& n )
```

```
interface
```

```
{  
    claim n >= 0;
```

```
    claim usable( n );
```

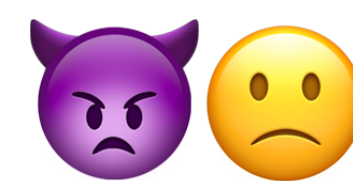
```
implementation;
```

```
    claim usable( n );  
    claim usable( result );
```

```
}
```

**claim** statements are assertions  
that must hold *for local reasons*.

Yellow claims for reasons in this function;  
purple claims for reasons in other functions.



If a **claim** statement fails,  
the current player loses.

```
const int factorial( const int& n )  
interface  
{  
    claim n >= 0;  
  
    claim usable( n );  
  
implementation;  
  
    claim usable( n );  
    claim usable( result );  
}
```

An lvalue is **usable** if it may be used in the usual manner for its cv-qualified type.

Usable scalar lvalues

- have a stable value (if not volatile), and
- are modifiable (if not const).

Class types may have more complicated rules for usability.



```
const int factorial( const int& n )
```

```
interface
```

```
{
```

```
    claim n >= 0;
```

```
    claim usable( n );
```

```
implementation;
```

```
    claim usable( n );
```

```
    claim usable( result );
```

```
}
```

If an operation is used in the procedure, its interface is part of the game.

We'll start the game with the interface of `operator>=( const int&, const int& )`.

The current player  
announces the value  
of each **usable** lvalue.



The value of **a** is six.  
And the value of **b** is zero.

const bool operator>=( const int& a,  
const int& b )

interface

{  
claim usable( a );  
claim usable( b );

implementation;

claim usable( a );  
claim usable( b );  
claim usable( result );  
}

If the object hasn't been changed, the player must repeat the previous value.



The value of **a** is six.  
And the value of **b** is zero.



**a** is still six,  
and **b** is still zero.  
And the **result** is true.



Unexpectedly changing  
a value is penalized.

`const bool operator>=( const int& a,  
const int& b )`

interface

```
{  
  claim usable( a );  
  claim usable( b );
```

implementation;

```
  claim usable( a );  
  claim usable( b );  
  claim usable( result );  
}
```

```
const int factorial( const int& n )  
interface
```

```
{  
  claim n >= 0;
```

```
  claim usable( n );
```

```
  implementation;
```

```
  claim usable( n );  
  claim usable( result );  
}
```

Lvalues asserted **usable** directly within the prologue provide the *direct input* to the function.

The result is **true**; the claim succeeds!



The value of n is six.

The epilogue likewise describes the *direct output*.

const int factorial( const int& n )

interface

{

claim n >= 0;

claim usable( n );

implementation;

claim usable( n );

claim usable( result );

}

const int factorial( const int& n )

implementation

{

int r = 1;

for ( int i = n; i != 0; --i )

if ( can\_multiply( r, i ) )

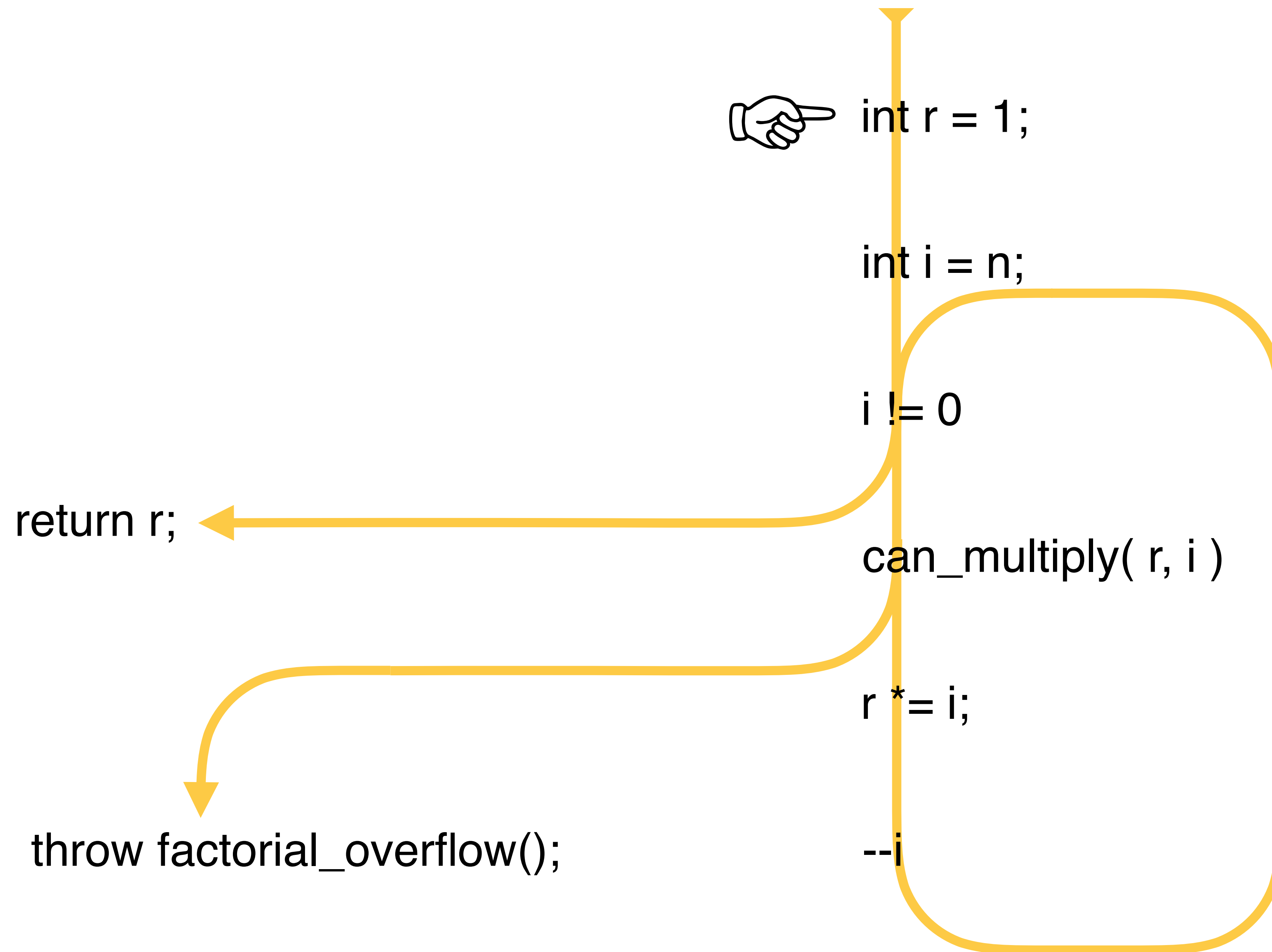
r \*= i;

else

throw factorial\_overflow();

return r;

}





When **substitutable** is claimed,  
lvalues must have identical values.

The value of **a** is one. 😊

**a** and **\*this** are both one.



The value of **a** is one, and  
**\*this** is one. **\*this** can be changed.

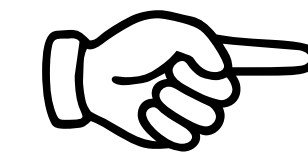
int::int( const int& a )  
interface

{  
  claim usable( a );

implementation;

  claim **substitutable**( a, \*this );

  claim usable( a );  
  claim usable( \*this );  
}



```
int r = 1;
```

```
int i = n;
```

```
i != 0
```

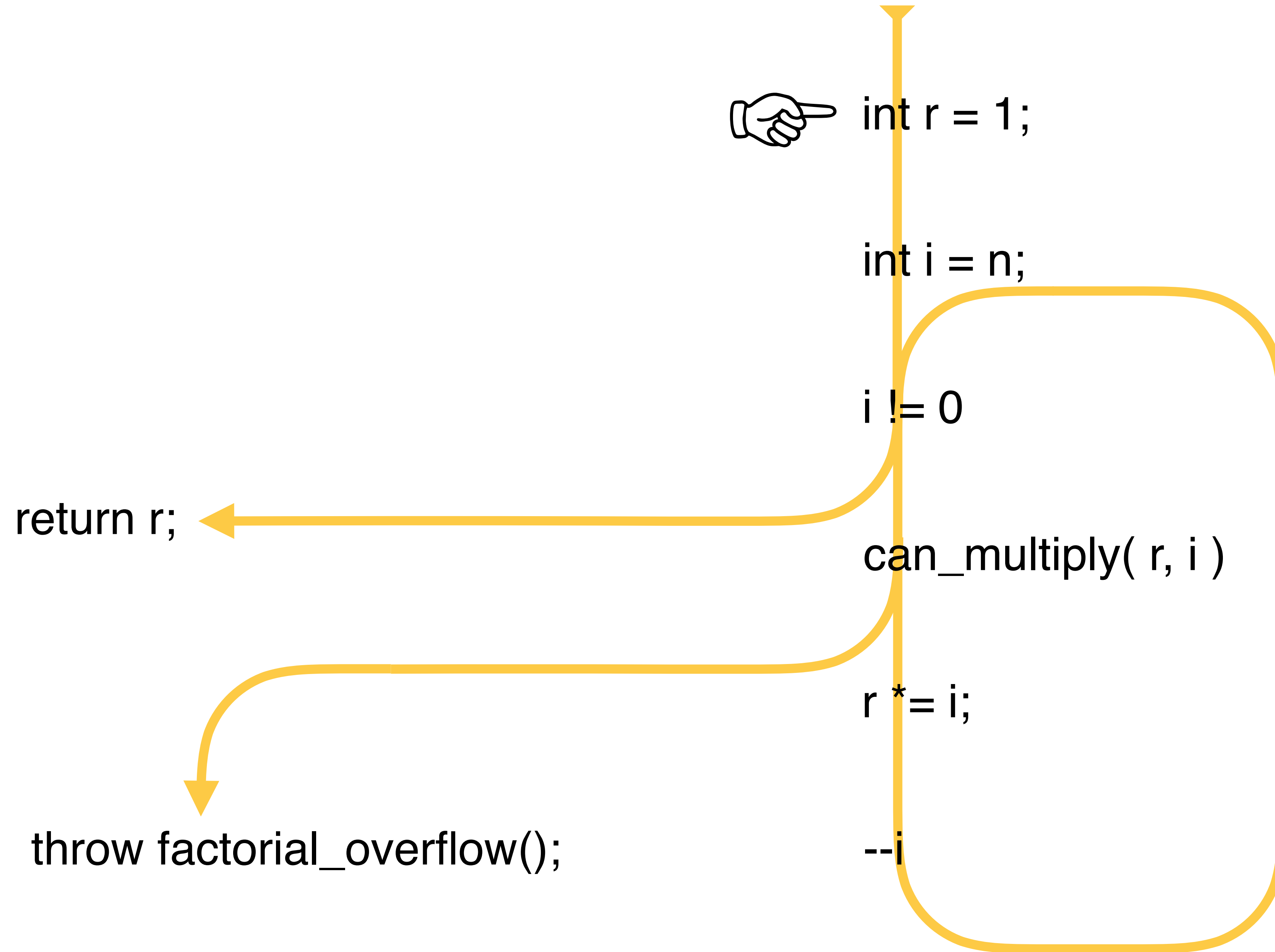
```
can_multiply( r, i )
```

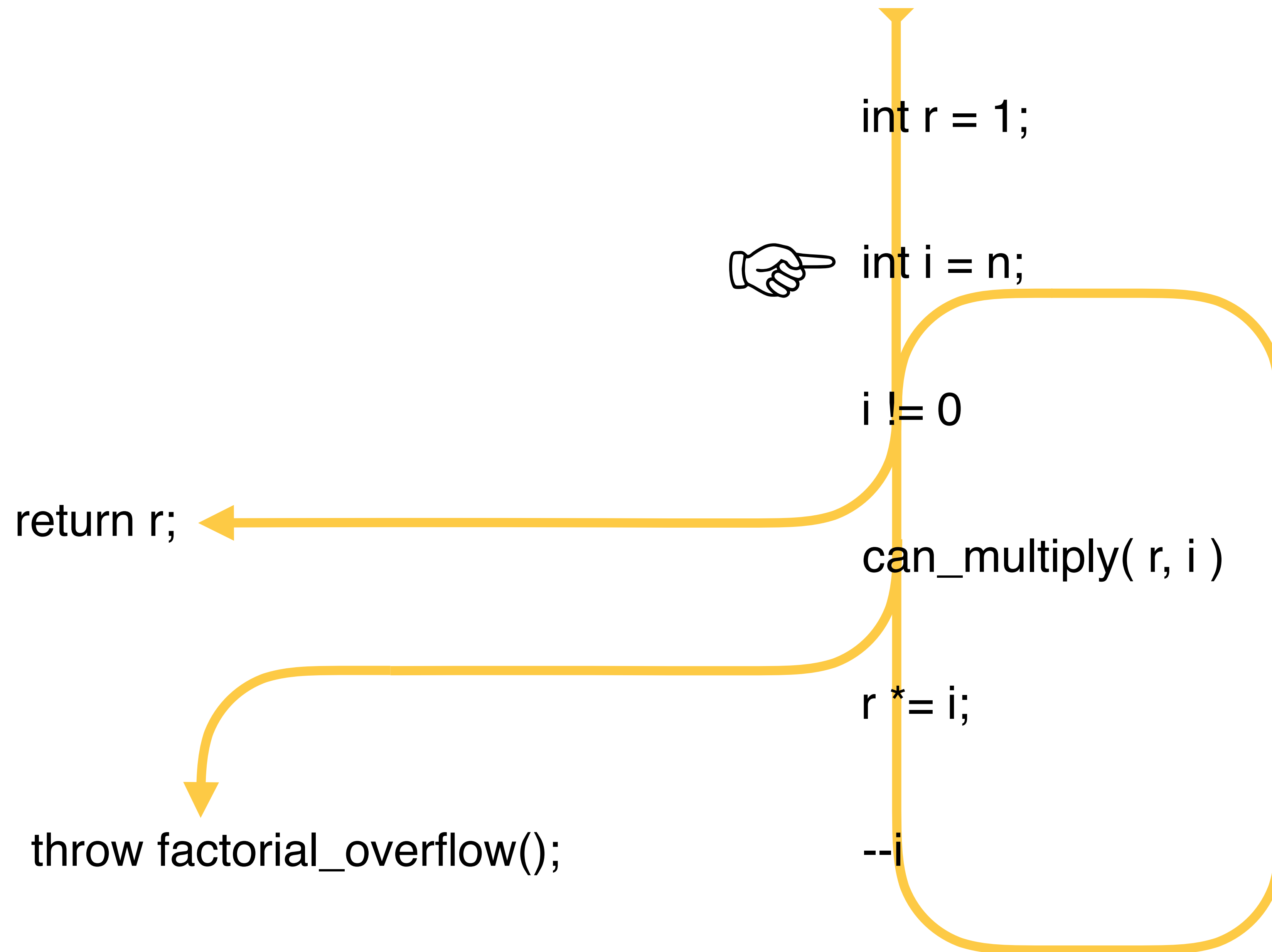
```
r *= i;
```

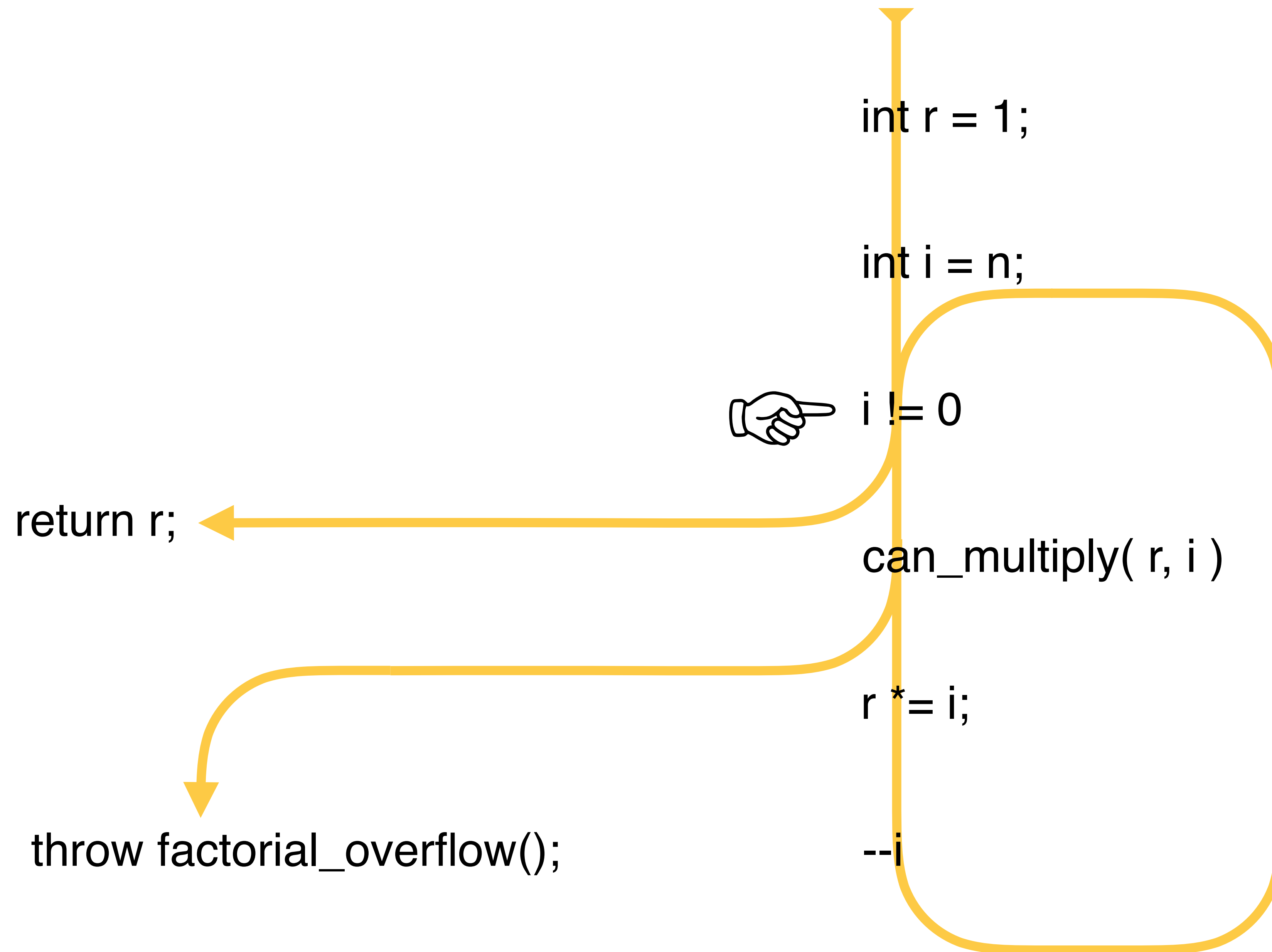
```
--i
```

```
return r;
```

```
throw factorial_overflow();
```



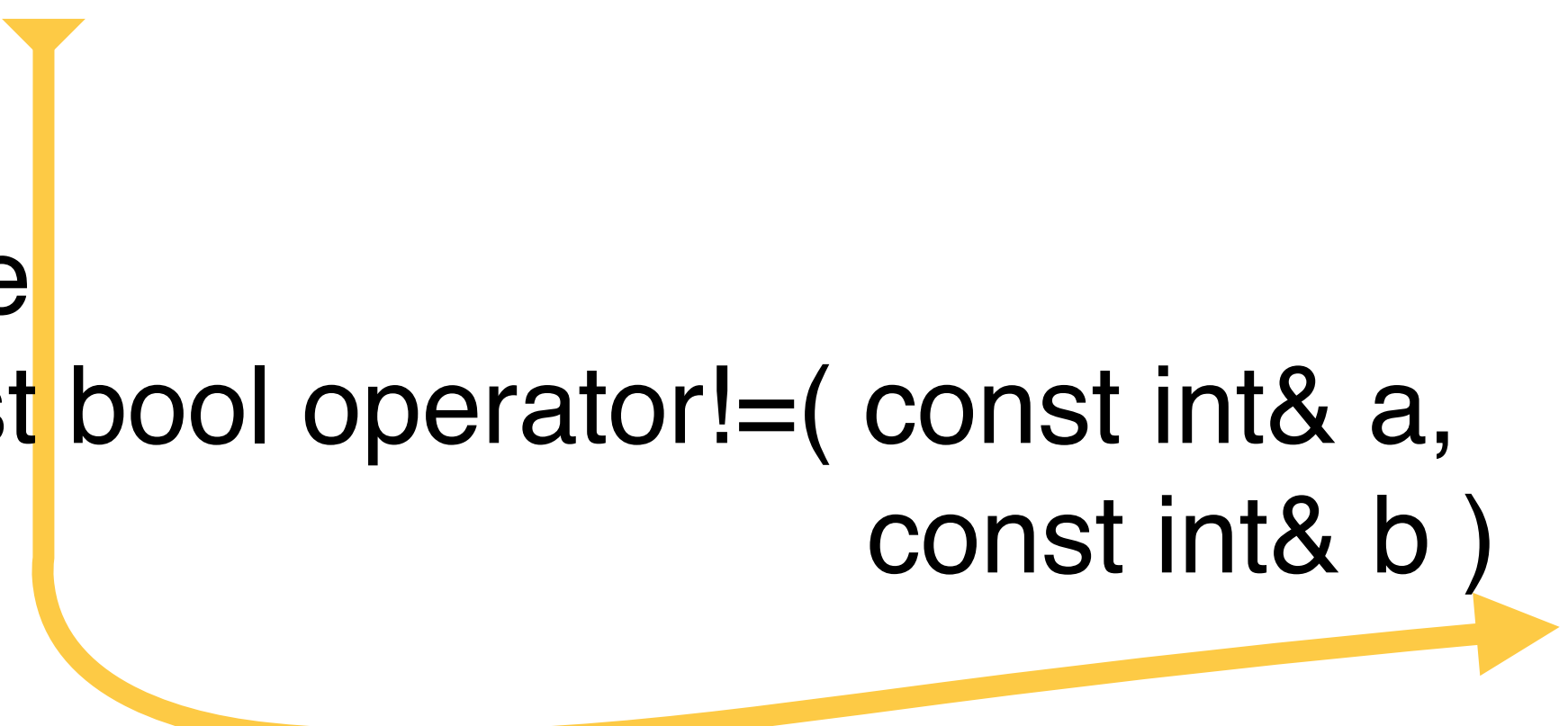




Inline functions without declared interfaces are played by the entering player.

Sometimes showing what a function does is simpler than describing it.  
But this also makes the program brittle!

```
inline  
const bool operator!=( const int& a,  
                        const int& b )  
{  
    return !( a == b );  
}
```



```
inline  
const bool operator!( const bool& c )  
{  
    return c ? false : true;  
}
```

Branch directions are also part of the direct input and output.

The value of **a** is six,  
and **b** is zero.



The **result** is false; swerve right!

The value of **a** is still six,  
**b** is still zero,  
and the **result** is false.



```
const bool operator==( const int& a,  
                        const int& b )
```

```
interface
```

```
{  
  claim usable( a );  
  claim usable( b );
```

```
implementation;
```

```
if ( result )
```

```
  claim substitutable( a, b );
```

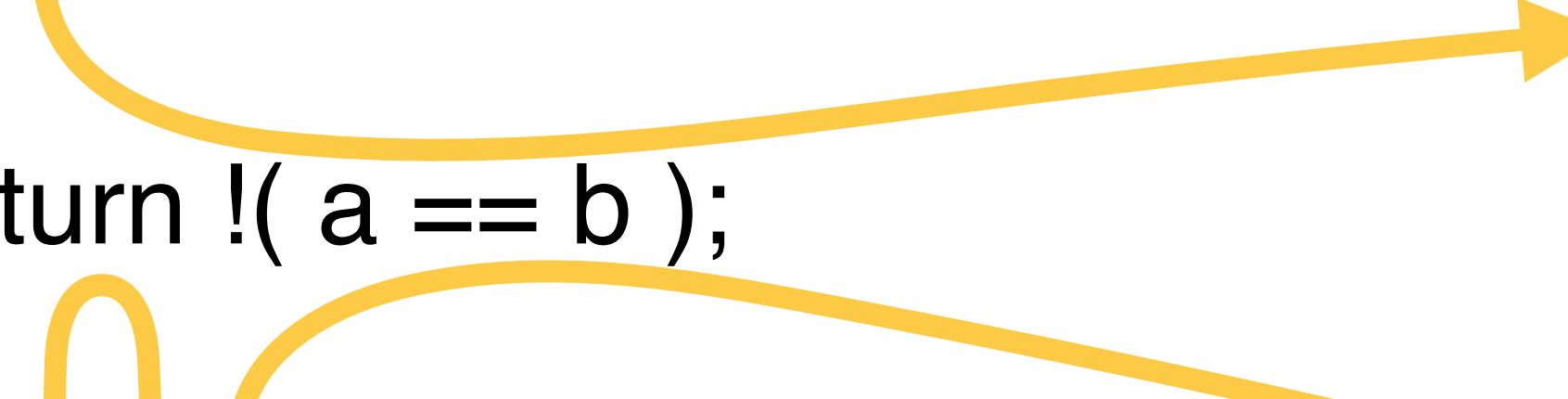
```
  claim usable( a );  
  claim usable( b );  
  claim usable( result );
```

```
}
```

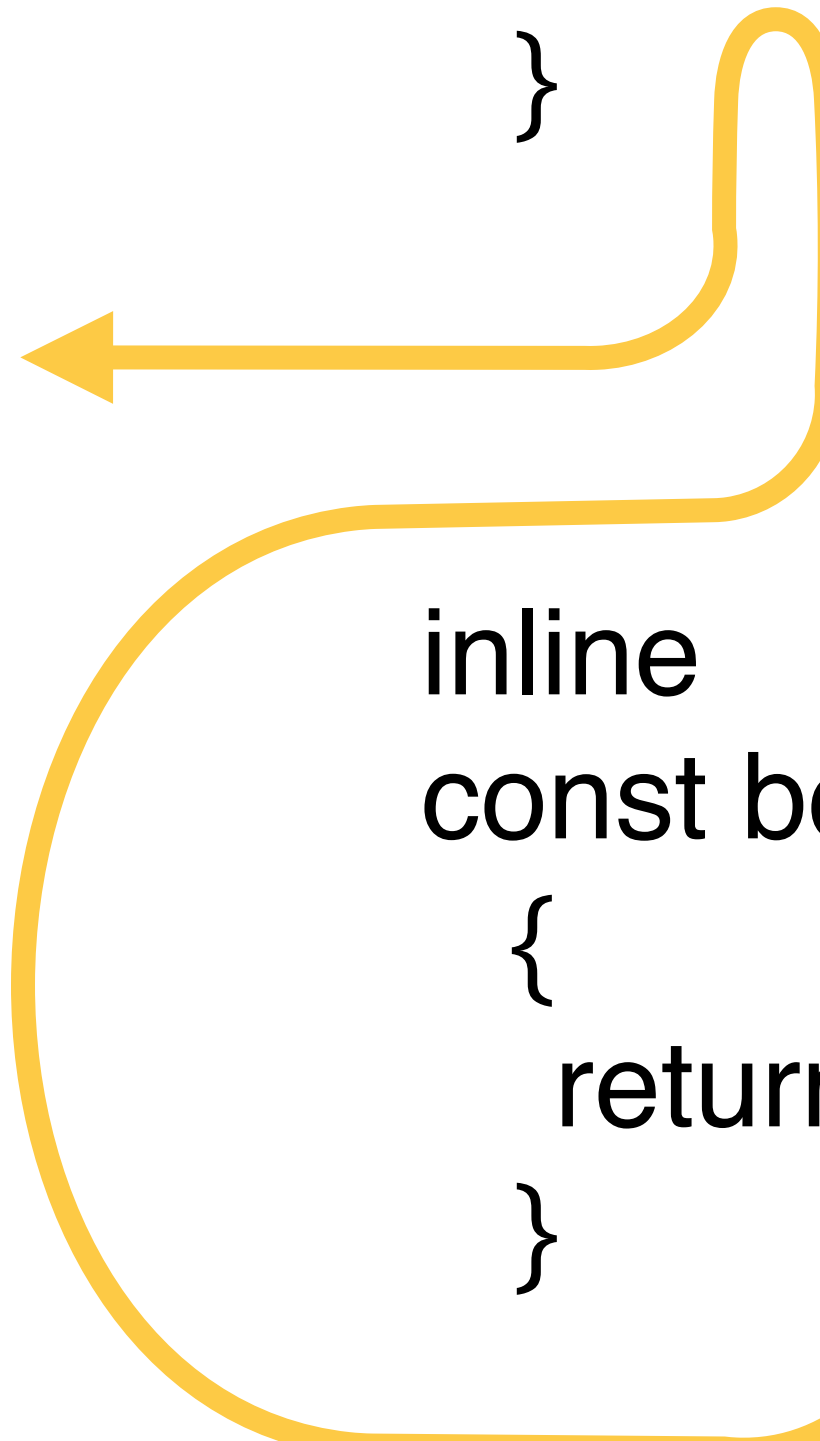
Inline functions without declared interfaces are played by the entering player.

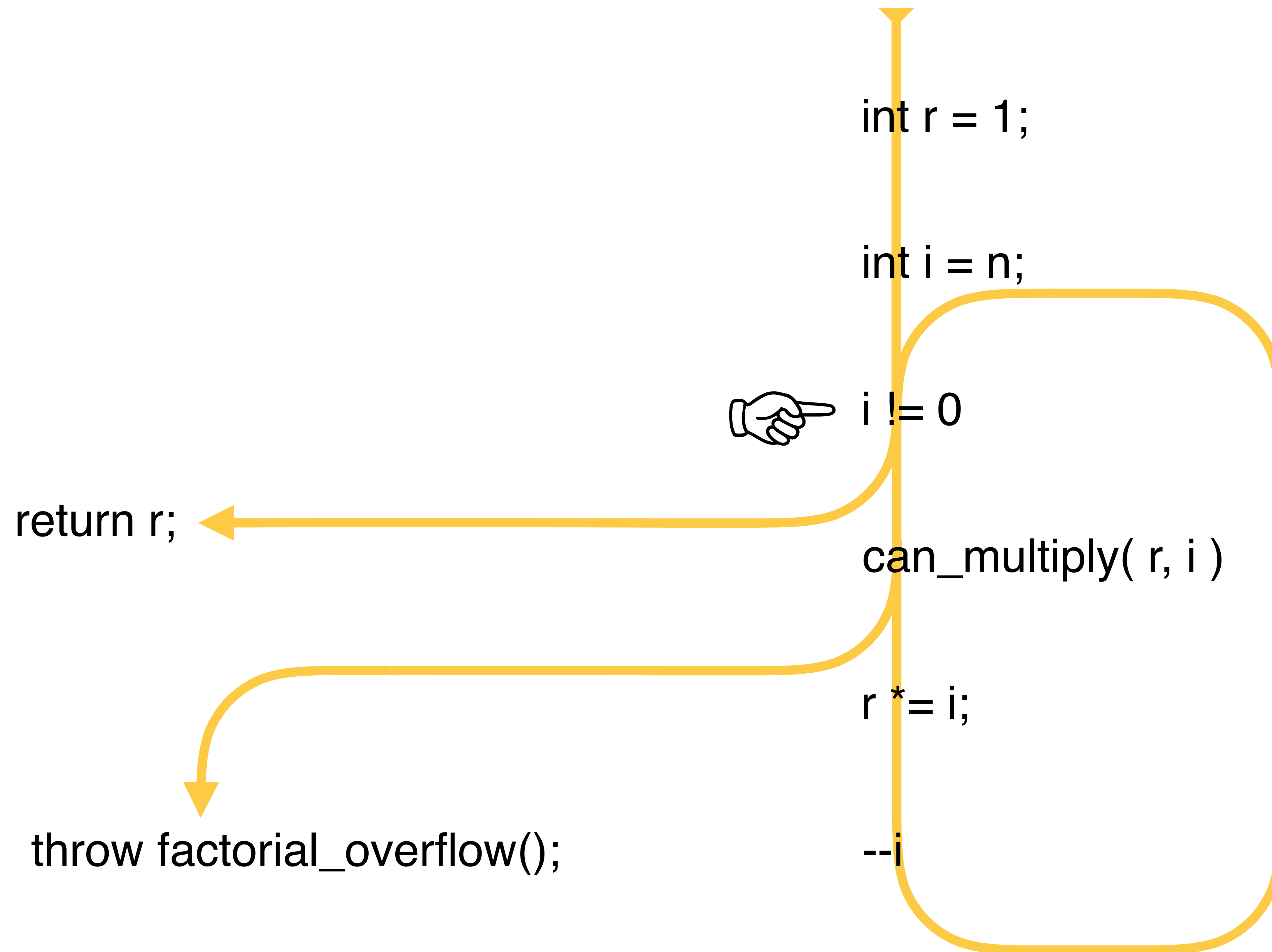
Sometimes showing what a function does is simpler than describing it. But this also makes the program brittle!

```
inline  
const bool operator!=( const int& a,  
                        const int& b )  
{  
    return !( a == b );  
}
```

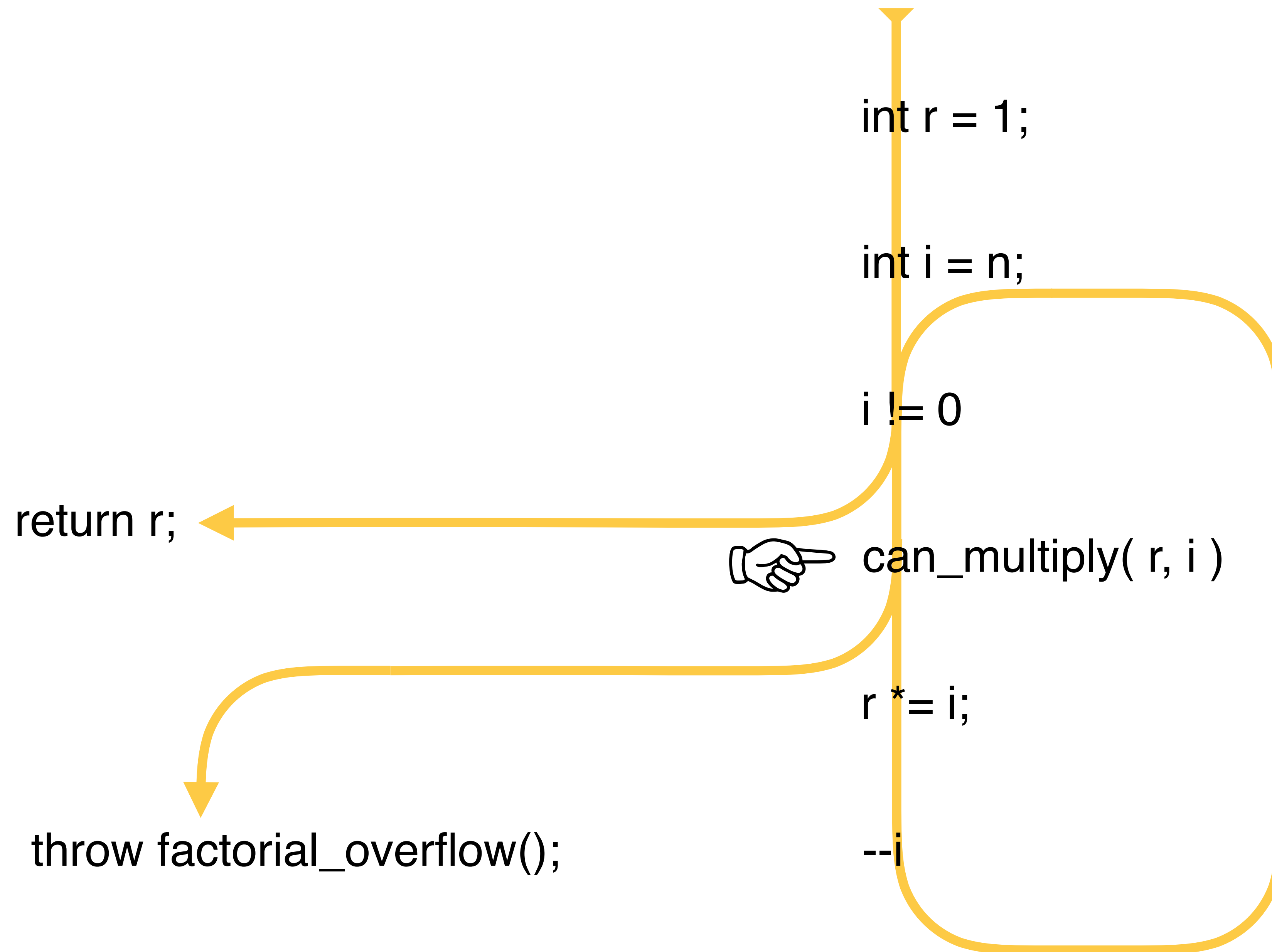


```
inline  
const bool operator!( const bool& c )  
{  
    return c ? false : true;  
}
```









`can_multiply` has a *basic interface*: usable input, usable output.

The value of `a` is one, and the value of `b` is six.



`a` is still one, and `b` is still six. And the **result** is true.

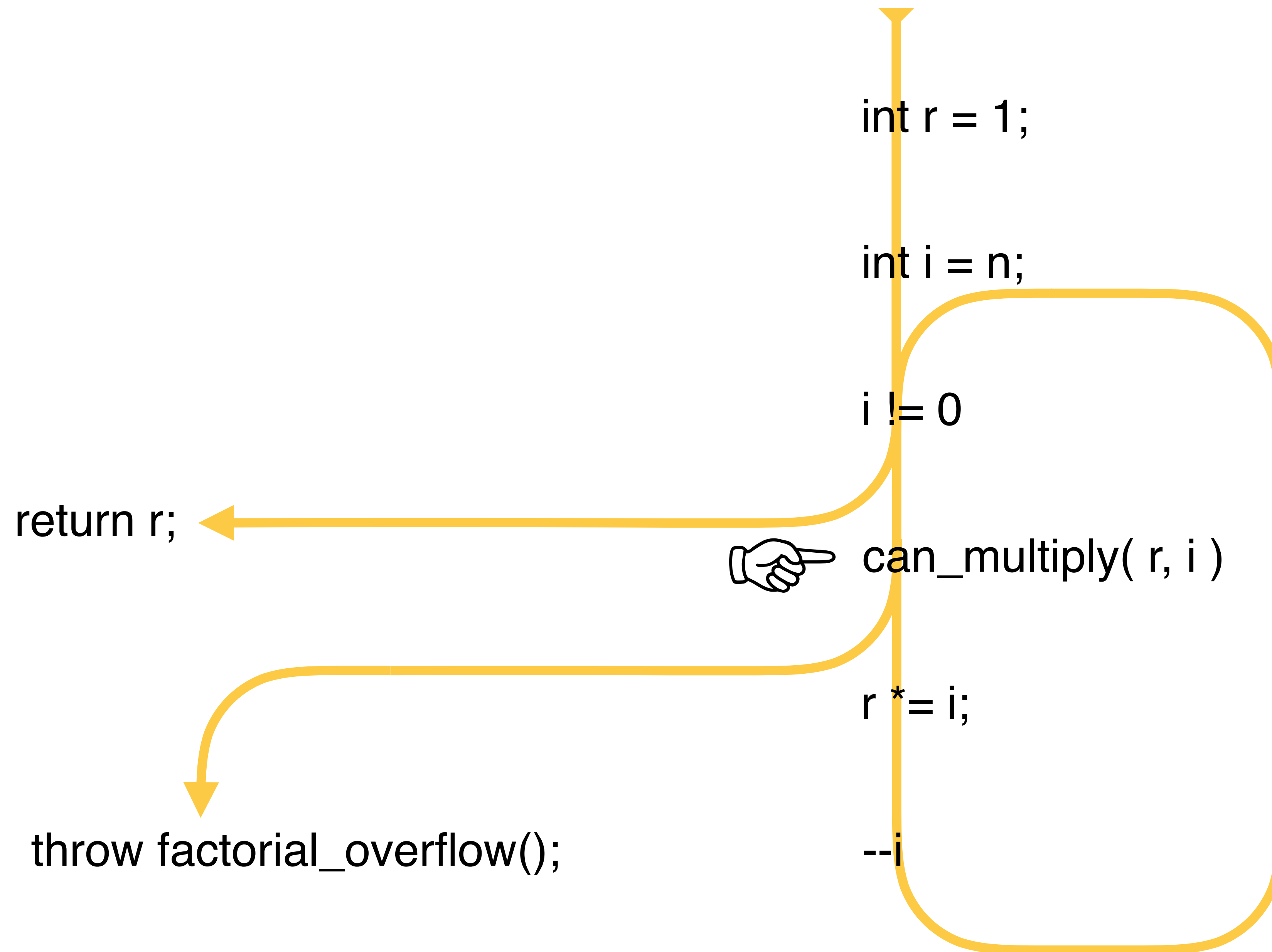
```
const bool can_multiply( const int& a,  
                        const int& b )
```

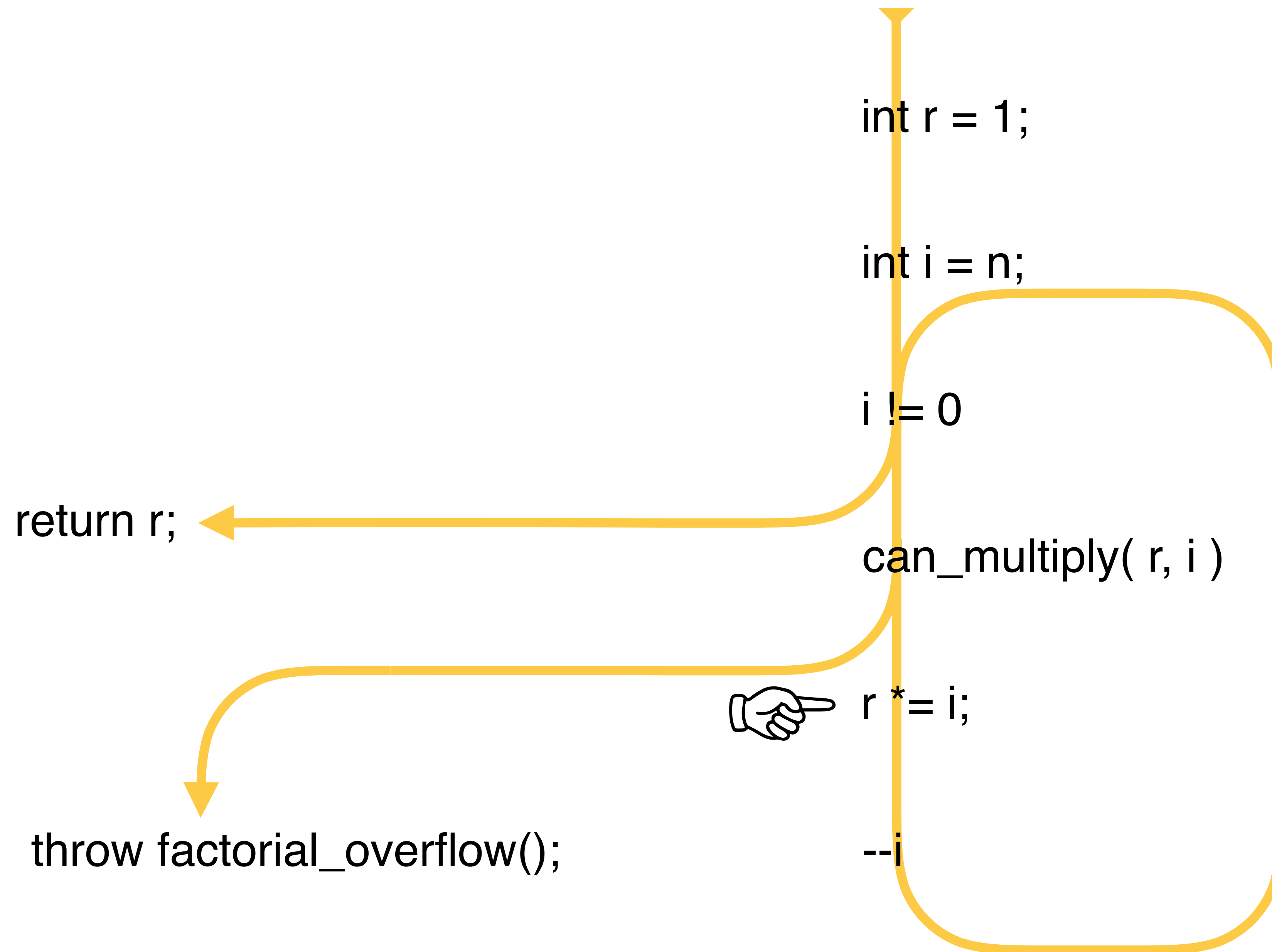
interface

```
{  
  claim usable( a );  
  claim usable( b );
```

implementation;

```
  claim usable( a );  
  claim usable( b );  
  claim usable( result );  
}
```





int& int::operator\*=( const int m )

interface

{

claim can\_multiply( \*this, m );

claim usable( m );

claim usable( \*this );

implementation;

claim aliased( result, \*this );

claim usable( m );

claim usable( \*this );

claim usable( result );

}

If a function's direct input is repeated, its direct output must also be repeated.

As before, the value of **a** is one, and the value of **b** is six.



a is still one,  
and b is still six.  
Like last time, the **result** is true.



  Announcing different direct output is penalized.

```
const bool can_multiply( const int& a,  
                        const int& b )
```

```
interface
```

```
{  
  claim usable( a );  
  claim usable( b );
```

```
implementation;
```

```
  claim usable( a );  
  claim usable( b );  
  claim usable( result );  
}
```

Lvalues are **aliased** when they refer to the same object.

The `can_multiply` claim succeeds!

The value of `m` is six, and while `*this` is currently one, it can change.



`result` and `*this` are the same object.

`m` is still six;  
`*this` is now six and can change;  
the `result` is six and can change.



There is a penalty for *not* mentioning observable aliasing.

```
int& int::operator*=( const int m )  
interface
```

```
{  
    claim can_multiply( *this, m );
```

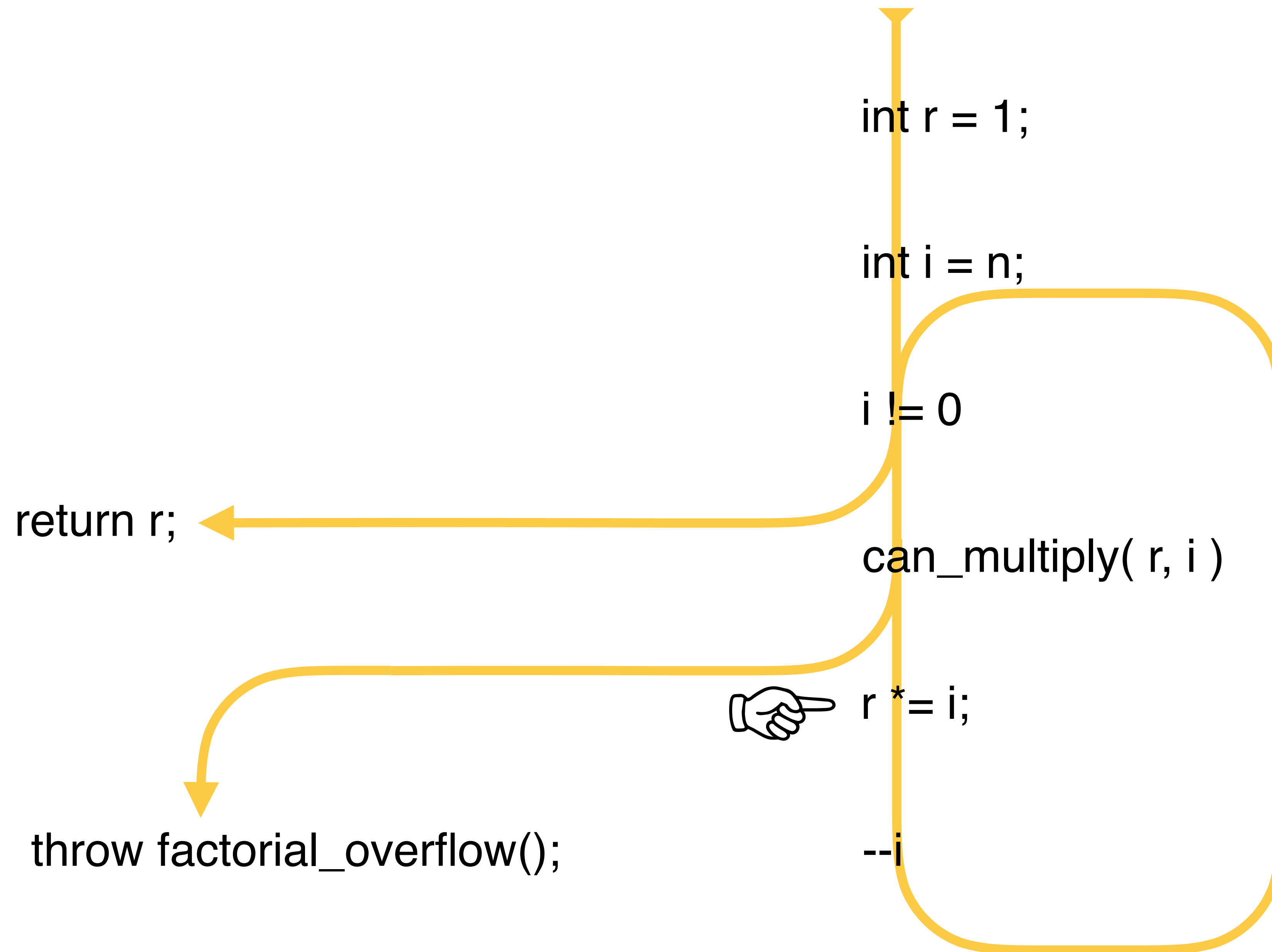
```
    claim usable( m );  
    claim usable( *this );
```

```
implementation;
```

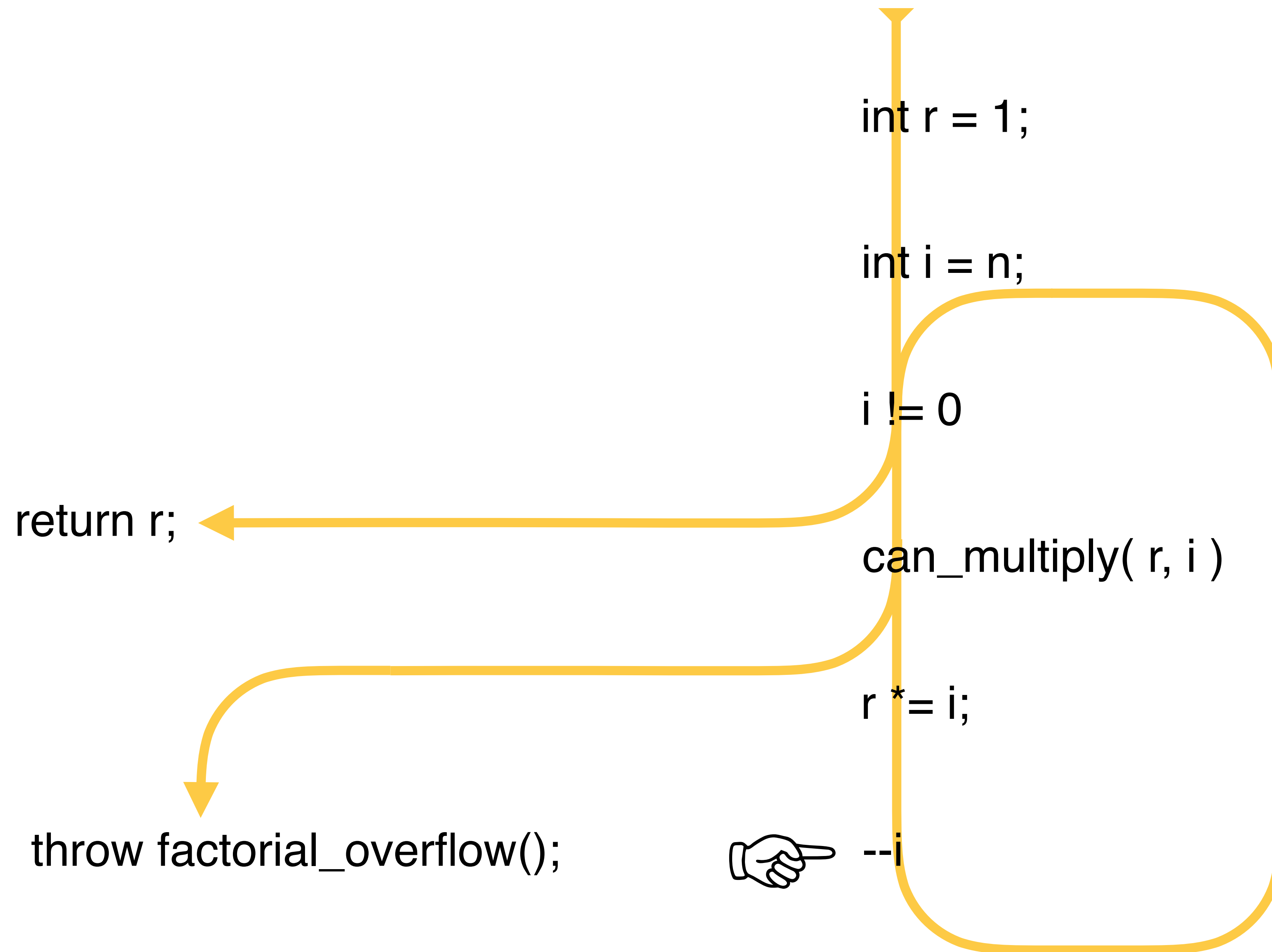
```
    claim aliased( result, *this );
```

```
    claim usable( m );  
    claim usable( *this );  
    claim usable( result );
```

```
}
```







int& int::operator--()  
interface

{  
  claim can\_decrement( \*this );

Success!

const bool  
can\_decrement( const int& a )  
interface

{  
  claim usable( a );

implementation;

  claim usable( a );  
  claim usable( result );

Six.



Six.  
True.



claim usable( \*this );

implementation;

  claim can\_increment( \*this );  
  claim aliased( \*this, result );

Six; it changes.

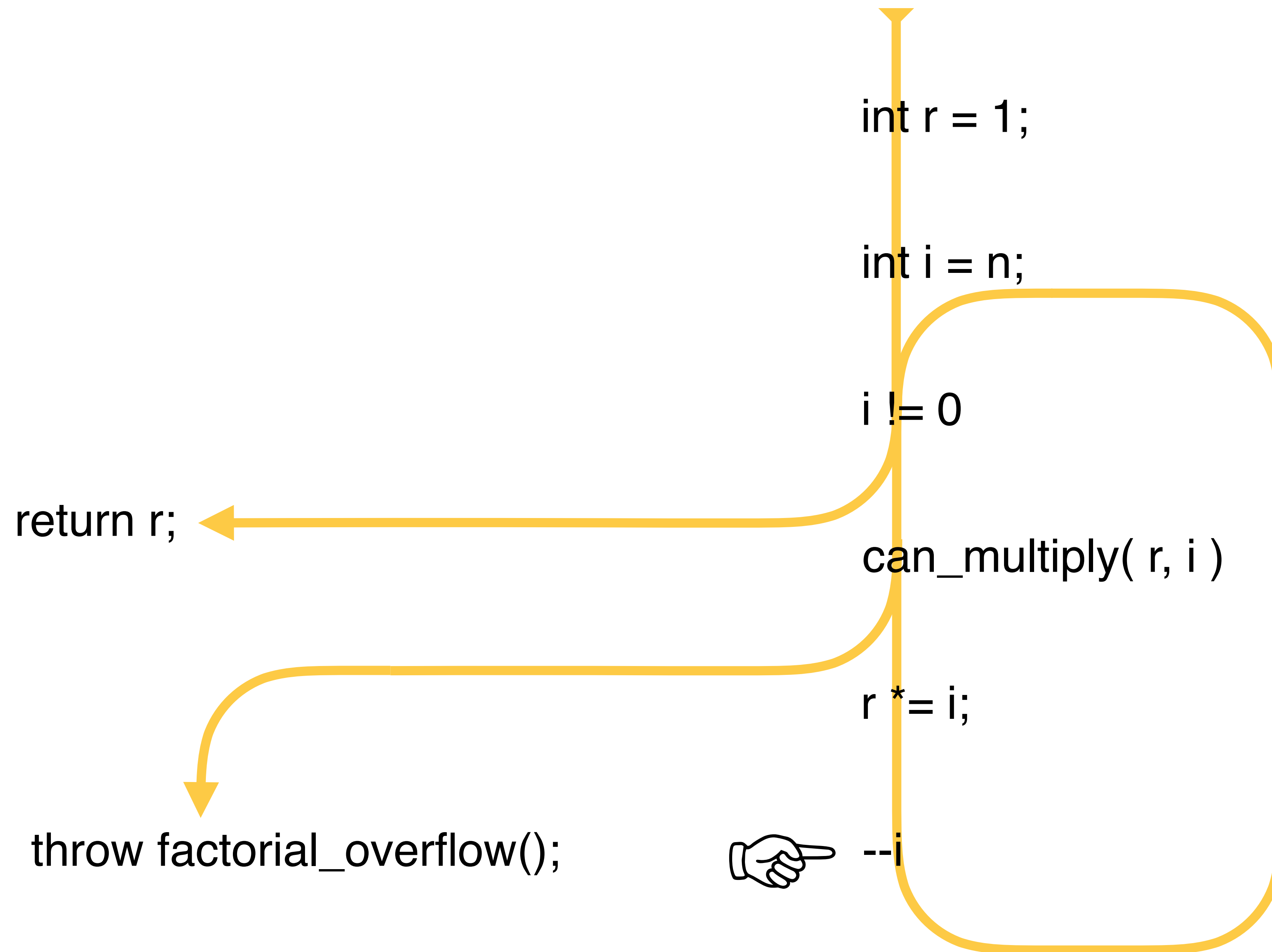


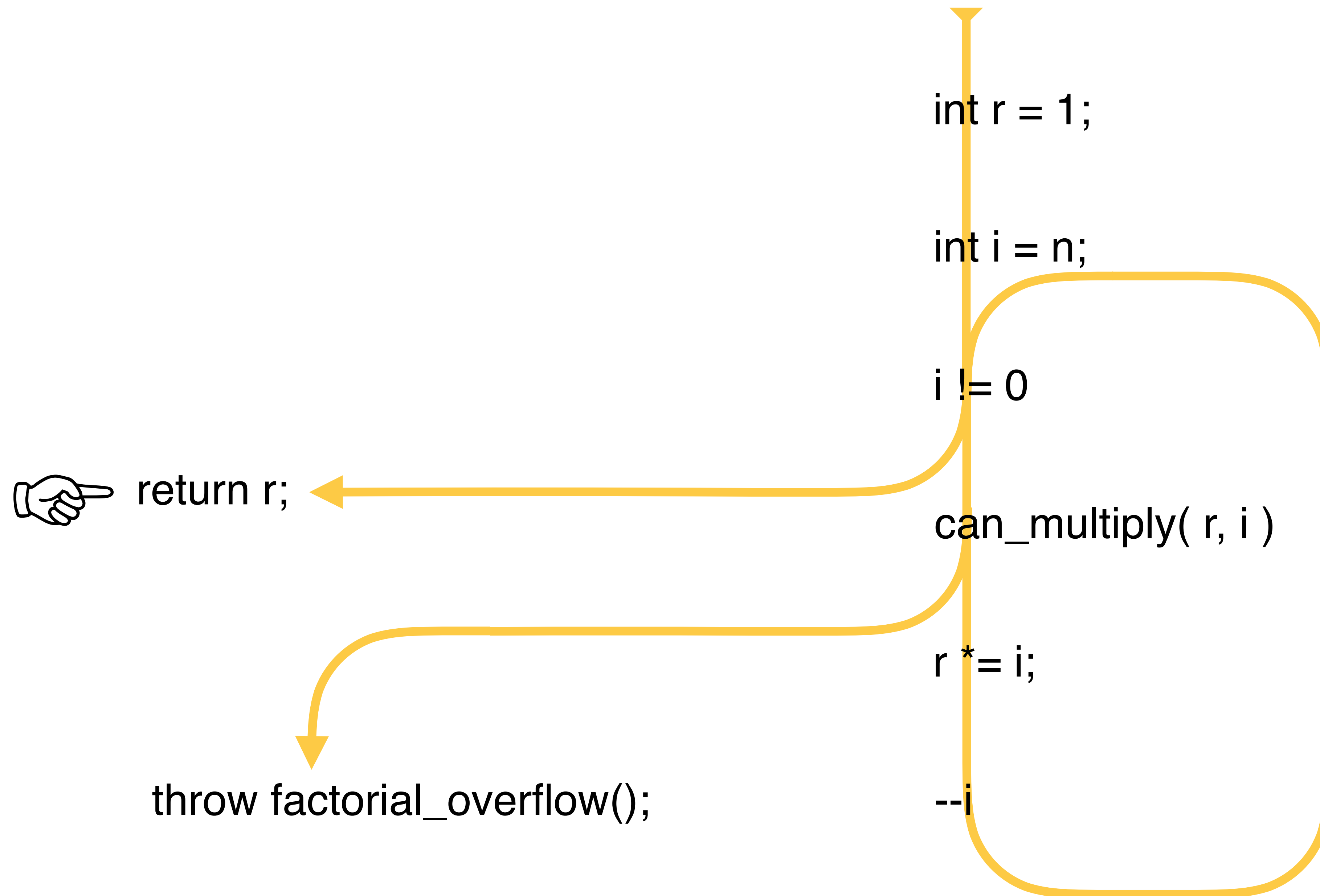
Success!  
Same object.

  claim usable( \*this );  
  claim usable( result );

Both are now five;  
they can change.







```
const int factorial( const int& n )
```

```
interface
```

```
{
```

```
    claim n >= 0;
```

```
    claim usable( n );
```

```
implementation;
```

```
    claim usable( n );
```

```
    claim usable( result );
```

```
}
```

```
const int factorial( const int& n )
```

```
implementation
```

```
{
```

```
    int r = 1;
```

```
    for ( int i = n; i != 0; --i )
```

```
        if ( can_multiply( r, i ) )
```

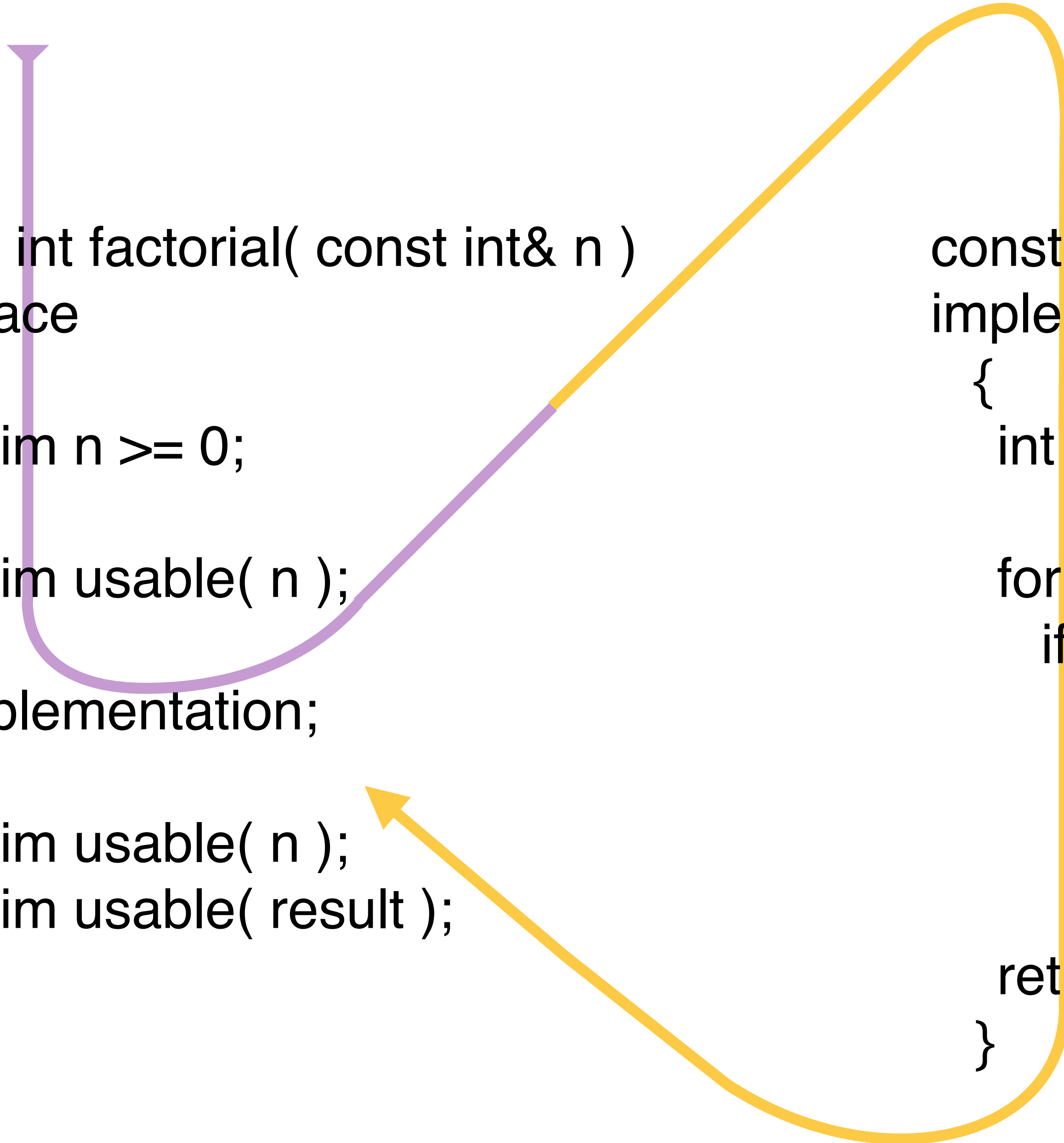
```
            r *= i;
```

```
        else
```

```
            throw factorial_overflow();
```

```
    return r;
```

```
}
```



```
const int factorial( const int& n )
```

```
interface
```

```
{  
  claim n >= 0;
```

```
  claim usable( n );
```

```
implementation;
```

```
  claim usable( n );  
  claim usable( result );  
}
```

n is still six.

The **result** is seven hundred twenty.



```
const int factorial( const int& n )  
interface
```

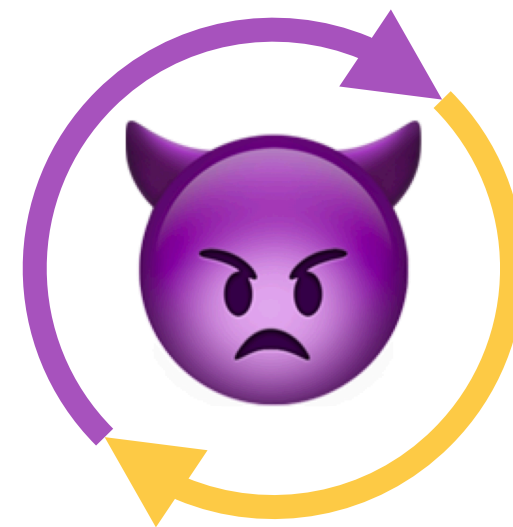
```
{  
  claim n >= 0;
```

```
  claim usable( n );
```

```
  implementation;
```

```
  claim usable( n );  
  claim usable( result );  
}
```

Finally, 😈 can have rematches:  
if 😈 repeats the direct input,  
😊 must repeat the direct output.



If this makes the game  
endless, 😈 loses.

n is still six.












The **result** is seven hundred twenty.



In the **game of truth**, 😈 announces the input,  
and 😊 announces the output, broadly construed.



The game of truth has six penalty conditions:

-   Stuck in a loop
-   Assertion failure
-   Unexpected value change
-   Inconsistent function results
-   Unmentioned aliasing
-  (Leftover capability on exit)

 **wins this game of truth**  
if the first penalty goes to .

 **wins this game of truth**  
if the first penalty goes to .

😊 wins this game of truth if the first penalty goes to 😈.

😊 **has a winning strategy** if the first penalty goes to 😈 **for all input values.**

😈 wins this game of truth if the first penalty goes to 😞.

😈 **has a winning strategy** if the first penalty goes to 😞 **for some input values.**

😊 wins this game of truth if the first penalty goes to 😈.

😊 has a winning strategy if the first penalty goes to 😈 for all input values.

**The procedure is true if  
😊 has a winning strategy.**

😈 wins this game of truth if the first penalty goes to 😞.

😈 has a winning strategy if the first penalty goes to 😞 for some input values.

**The procedure is false if  
😈 has a winning strategy.**

Q: Is there always a winning strategy for some player?  
Or could a procedure be neither true nor false?

A: These games are topologically Borel. In a Borel game, if one player does not have a winning strategy, the other player does.

(“Borel determinacy,” Donald A. Martin, 1975)

- ✓ Euclidean geometry
- ✓ Algebraically closed fields (of any characteristic)
- ✓ Dense linear orderings (with or without endpoints)

The true

The false

The true

The false

The true

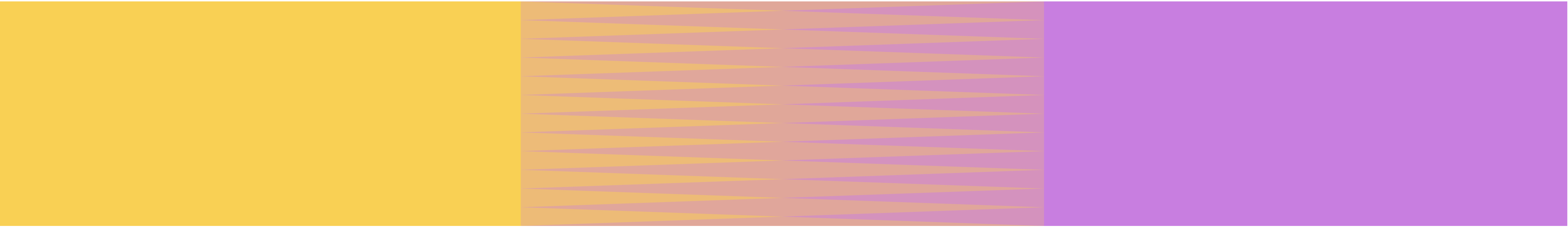
The false

The true

The false

The true

The false





The necessary

The possible

The impossible



The necessary

The possible

The impossible

Undecidable  
“halting problem”  
programs are here.

Good procedures

Bad procedures

More bad procedures

The necessary

The possible

The impossible

Undecidable  
“halting problem”  
programs are here.

Good programs

Bad programs

More bad programs

The necessary

The possible

The impossible



Q: Is there some advantage we can give to 😈 so that 😊 has a winning strategy only if the procedure is necessarily true?

A: We can put 😈 in charge of the computer!  
That's the principle behind the **game of necessity**.

Instead of choosing values,  
 *names* the usable values.



The value of **a** is Sue.  
And the value of **b** is Zachary.

```
const bool operator>=( const int& a,  
                       const int& b )
```

```
interface
```

```
{  
    claim usable( a );  
    claim usable( b );
```

```
implementation;
```

```
    claim usable( a );  
    claim usable( b );  
    claim usable( result );  
}
```

If the object hasn't been changed, 😈 must repeat the previous name.



The value of **a** is Sue.  
And the value of **b** is Zachary.



**a** is still Sue,  
and **b** is still Zachary.  
And the **result** is Bob. Bob the boolean.

```
const bool operator>=( const int& a,  
                        const int& b )
```

```
interface
```

```
{  
    claim usable( a );  
    claim usable( b );
```

```
implementation;
```

```
    claim usable( a );  
    claim usable( b );  
    claim usable( result );
```

```
}
```

```
const int factorial( const int& n )
```

```
interface
```

```
{
```

```
  claim n >= 0;
```

```
  claim usable( n );
```

```
  implementation;
```

```
  claim usable( n );
```

```
  claim usable( result );
```

```
}
```



Bob is a left-turning boolean; the claim succeeds!

At branches and claims,  
 tells us which way to go.

must be consistent: once  
a boolean turns one way, it  
must always turn that way.



When claiming substitutability,  
😈 explains that both names  
refer to the same value.

The value of **a** is Sam,  
and the value of **b** is Fred.



Swerve left!

Fred is Sam's middle name.

Sammy-Freddy, his parents  
used to call him.



True story!

```
const bool operator==( const int& a,  
                        const int& b )
```

```
interface
```

```
{  
    claim usable( a );  
    claim usable( b );
```

```
implementation;
```

```
if ( result )  
    claim substitutable( a, b );
```

```
claim usable( a );  
claim usable( b );  
claim usable( result );
```

```
}
```

Instead of announcing values,  
😊 repeats names used by 😈.

```
claim usable( f );
```

That's good old Charlie. 😊

If the value wasn't named in  
some previous claim, 😞 loses.

```
claim usable( v );
```

??? 🤔

At branches and boolean claims, 😊 asks 😈 which way to go.

If 😈 hasn't already chosen a left turn, a boolean claim may not go well for 😞.

```
if ( can_multiply( r, i ) )
```

Which way does Betty turn? 😊



Betty turns left at branches.

```
claim decrementable( a );
```

Which way does Eddie turn? 😞



Right! The claim fails!

When claiming substitutability,  
😊 reminds 😈 that both names  
refer to the same value.

If the names differ, and  
😈 didn't already claim  
substitutability, 😞 loses.

claim substitutable( x, y );

And here's Forn, who  
you say is called Orald  
by the northern men.



claim substitutable( p, q );

Could Bacon be Shakespeare?



In the **game of truth**, 😈 announces the input,  
and 😊 announces the output, broadly construed.

In the **game of necessity**, 😈 tells a story, and 😊  
tells how the procedure executes within the story.

The game of necessity has eight penalty conditions:

  Stuck in a loop

  Assertion failure

  Unexpected name change

  Inconsistent result names

  Unmentioned aliasing

 (Leftover capability on exit)

 Inconsistent branches

 Novel atomic claim



😊 has a winning strategy  
for this **game of necessity**  
if the procedure is **true for**  
**all possible computers.**

😈 has a winning strategy  
for this **game of necessity**  
if the procedure is **false for**  
**some possible computer.**

(Forcing, Paul Cohen, 1963)

int& int::operator--()  
interface

{  
  claim can\_decrement( \*this );



  claim usable( \*this );  
  implementation;

  claim can\_increment( \*this );  
  claim aliased( \*this, result );

  claim usable( \*this );  
  claim usable( result );  
}

const bool  
can\_decrement( const int& a )  
interface

{  
  claim usable( a );

  implementation;

  claim usable( a );  
  claim usable( result );

}

Which way?

Right turn!  
You lose.

Sue.



Sue.  
Eddie.





Q: Is there some advantage we can give to 😊 that's stronger than putting 😈 in charge of the computer?

A: We can team up with 😊 to write the procedure!  
That's the principle behind the **game of proof**.

```
const int factorial( const int& n )  
implementation
```

```
{  
    int r = 1;
```

```
    countdown_theorem( n, 0 );
```



```
    for ( int i = n; i != 0; --i )  
        if ( can_multiply( r, i ) )  
            r *= i;  
    else  
        throw factorial_overflow();
```

```
    return r;  
}
```

In this game, 😊 can insert **claim** statements into the function implementation as the game is being played.

This includes inserting calls to *theorems*, which are more complex claims, separated into an interface and implementation.

Theorem interfaces invoke their implementations with **claim implementation**, treating the implementation as a single assertion.

As with other function calls, only the interface is part of the caller's game.

```
void  
countdown_throrem( const int& high,  
                   const int& low )  
  
interface  
{  
    claim high >= low;  
  
    claim implementation;  
  
    for ( int c = high; c != low; --c )  
        {}  
}
```

How do you count down  
from Sue to Zachary?

To sum up: Sue  $\geq$  Zachary is Bob.  
Which way does Bob turn?



As I said before, Bob turns left.



Sue, Frank, Faye, Ted, Terry, Ollie,  
and the loop ends with Zachary.

```
void  
countdown_throrem( const int& high,  
                   const int& low )
```

```
interface
```

```
{  
  claim high  $\geq$  low;
```

```
  claim implementation;
```

```
  for ( int c = high; c  $\neq$  low; --c )  
    {  
    }  
}
```

In the **game of truth**, 😈 announces the input, and 😊 announces the output, broadly construed.

In the **game of necessity**, 😈 tells a story, and 😊 tells how the procedure executes within the story.

In the **game of proof**, 😈 tells a story while 😊 asks questions, forcing 😈 to expand on the story.

😊 has a winning strategy for this **game of proof** if the procedure can be made necessary by **adding claims to the implementation.**

(Compactness)

😈 has a winning strategy for this **game of proof** if the procedure is false for some possible computer **that obeys the claimable rules.**

(Forcing, filtered colimits, finite injury)

Cf. Completeness, Kurt Gödel, 1929

```
const int factorial( const int& n )
```

```
interface
```

```
{  
    claim n >= 0;
```

```
    claim usable( n );
```

```
implementation;
```

```
    claim usable( n );  
    claim usable( result );
```

```
}
```

```
const int factorial( const int& n )
```

```
implementation
```

```
{  
    int r = 1;
```

```
    countdown_theorem( n, 0 );
```

```
    for ( int i = n; i != 0; --i )
```

```
        if ( can_multiply( r, i ) )
```

```
            r *= i;
```

```
        else
```

```
            throw factorial_overflow();
```

```
    return r;
```

```
}
```

```
const int factorial( const int& n )
```

```
interface
```

```
{  
  claim n >= 0;
```

```
  claim usable( n );
```

```
  implementation;
```

```
  claim usable( n );
```

```
  claim usable( result );
```

```
}
```



The trouble came from not saying  
what we meant at this point.



```
const int factorial( const int& n )
```

```
interface
```

```
{  
  for ( int i = n; i != 0; --i )  
    {
```

```
    claim usable( n );
```

```
    implementation;
```

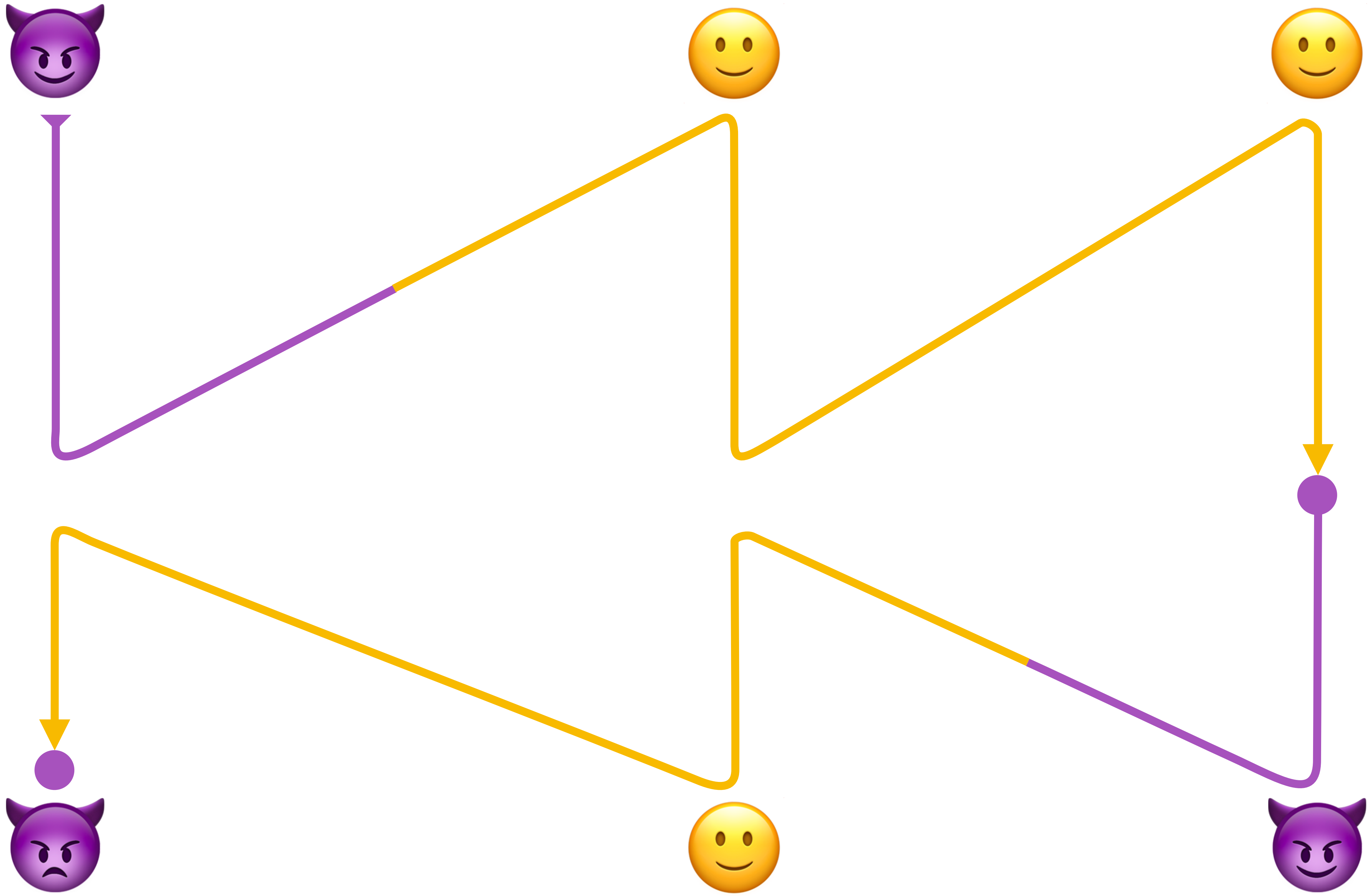
```
    claim usable( n );
```

```
    claim usable( result );
```

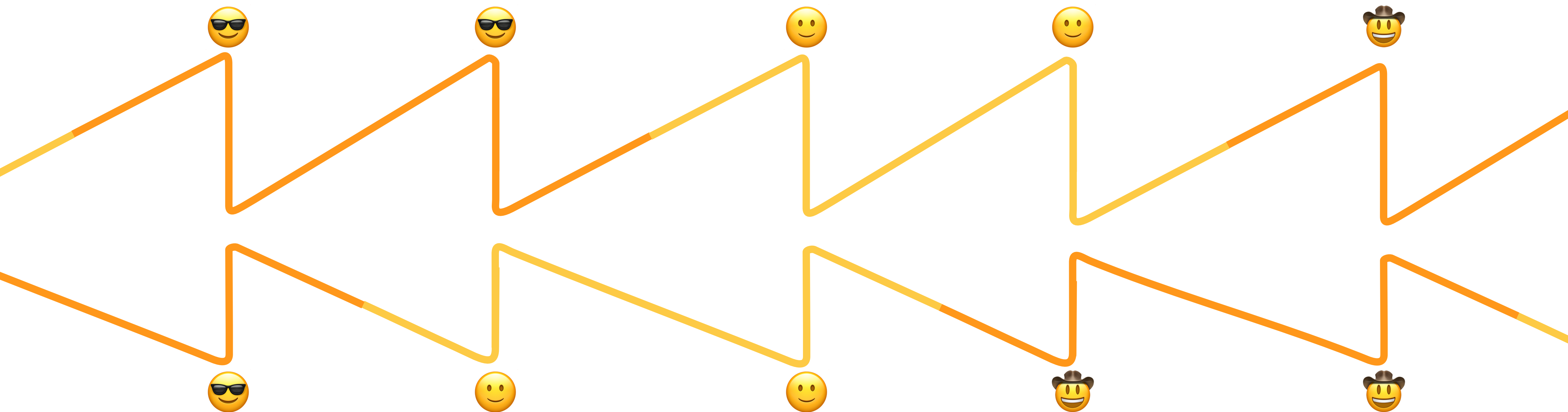
```
}
```

The trouble came from not saying what we meant at this point.

If the interface had expressed the precondition the function really used, there would have been no need to call a theorem.



In the big picture, there are no demons.



There are only other players, trying to win their own games.

Questions?