

# **MatrixSSL 3.8 APIs**

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### 1 OVERVIEW

This document is the technical reference for the MatrixSSL and MatrixDTLS C code library APIs. The functions documented here can be used to add server or client SSL/TLS security to any new or existing application on any hardware platform using any data transport mechanism.

This document is primarily intended for the software developer performing MatrixSSL integration into their custom application but is also a useful reference for anybody wishing to learn more about MatrixSSL or the SSL/TLS protocol in general.

For additional information on how to implement these APIs in an application, see the MatrixSSL Developer's Guide included in this package.

## 1.1 Source Code Package

MatrixSSL is distributed as a C source code package with compile environments for the most popular development platforms.

## 1.1.1 Package Structure

MatrixSSL's public interface function prototypes are defined in the *matrixsslApi.h* file. Applications compiling with MatrixSSL APIs only have to include this single header file.

#include "matrixsslApi.h"

The *matrixsslApi.h* file includes other package-specific header files using relative paths based on the default directory structure. Optional product features are enabled and disabled by toggling documented #defines. There is no need to restructure the include logic within the header files or to move the header files from the default directory locations when configuring features.

The C data types used by functions in *matrixsslApi.h* come from a variety of module headers in the package directories. MatrixSSL API custom data types with publicly accessible members are documented where applicable.

#### 1.1.2 Integer Size

MatrixSSL was designed without dependency on platform specific integer sizes. MatrixSSL uses the int32\_t and uint32\_t type definitions throughout the code to ensure compatibility. These typedefs are contained in the *core/osdep.h* header file. This layer enables global redefinitions for platforms that do not support 32-bit integer types as the native int type.

#### 1.1.3 Compile-Time Features

MatrixSSL contains a set of optional features that are configurable at compile time. These, and how to use the example configurations provided, are described in the *MatrixSSL Developer's Guide*. Please consult that document for further information.



### 1.1.4 Cipher Suites

The user can enable or disable any of the supported cipher suites at compile-time from the *matrixsslConfig.h* header file. Simply comment out the cipher suites that are not needed. If run-time disabling of cipher suites is required, matrixsslSetCipherSuiteEnabledStatus can be used to disable (and re-enable) ciphers that have been compiled into the library.

The individual cryptographic algorithms may be enabled and disabled through the *cryptoConfig.h* header file for fine-tuning of library size. Below is a representative list of cipher suites along with their cryptographic requirements. The comprehensive list of which cipher suites are supported in the specific MatrixSSL package can be found in the *matrixsslConfig.h* file.

| Sample Cipher Suites in matrixsslConfig.h   | cryptoConfig.h Dependencies       |
|---|-----------------------------------|
| USE_TLS_RSA_WITH_AES_256_CBC_SHA            | USE_RSA USE_AES                   |
| USE_SSL_RSA_WITH_3DES_EDE_CBC_SHA           | USE_RSA USE_3DES                  |
| USE_SSL_RSA_WITH_RC4_128_SHA                | USE_RSA USE_ARC4                  |
| USE_TLS_DHE_RSA_WITH_AES_256_CBC_SHA        | USE_DH USE_RSA USE_AES            |
| USE_TLS_DH_anon_WITH_AES_256_CBC_SHA        | USE_DH USE_AES                    |
| USE_TLS_DHE_RSA_WITH_AES_256_CBC_SHA256     | USE_DH USE_RSA USE_AES USE_SHA256 |
| USE_TLS_RSA_WITH_AES_256_CBC_SHA256         | USE_RSA USE_AES USE_SHA256        |
| USE_TLS_ECDH_ECDSA_WITH_AES_256_CBC_SHA     | USE_ECC USE_AES                   |
| USE_TLS_DHE_PSK_WITH_AES_256_CBC_SHA        | USE_DH USE_AES                    |
| USE_TLS_ECDHE_RSA_WITH_AES_256_CBC_SHA      | USE_ECC USE_RSA USE_AES           |
| USE_TLS_PSK_WITH_AES_256_CBC_SHA            | USE_AES                           |
| USE_TLS_ECDHE_ECDSA_WITH_AES_256_GCM_SHA384 | USE_ECC USE_AES_GCM USE_SHA384    |

#### 1.1.5 Matrix Deterministic Memory

In commercial versions of MatrixSSL enabling <code>use\_matrix\_memory\_management</code> in <code>coreConfig.h</code> will activate the deterministic memory feature of the library. Every memory allocation in the library will be confined to a specific memory pool that has a regulated lifecycle. The feature enables tight control over memory usage.

Any APIs in this document that refer to "memory pools" or references to psPool\_t structures or poolUserPtr parameters are related to this memory feature and may be ignored by customers using the open source version of the software and commercial users that do not enable USE\_MATRIX\_MEMORY\_MANAGEMENT.

The Matrix Deterministic Memory document contains the details.



## 2 MATRIXSSL API

## 2.1 matrixSsIOpen

int32 matrixSslOpen();

| Return Value | Description                                       |  |
|--------------|---|--|
| PS_SUCCESS   | Successful initialization                         |  |
| PS_FAILURE   | Failed core module initialization. Can't continue |  |

#### **Servers and Clients**

This is the initialization function for the MatrixSSL library. Applications must call this function as part of their own initialization process before any other MatrixSSL functions are called.

#### **Memory Profile**

This function internally allocates memory that is freed during matrixSslClose

## 2.2 matrixSsINewKeys

int32 matrixSslNewKeys(sslKeys\_t \*\*keys, void \*memAllocUserPtr);

| Parameter | Input/Output | Description   |
|-----------|--------------|---|
| keys      | input/output | Internally allocated structure to use when loading key material   |
|           |              | Optional user context for the creation of the memory pool that will hold the key material. Only relevant to commercial versions when USE_MATRIX_MEMORY_MANAGEMENT is enabled. NULL otherwise. |

| Return Value | Description  |  |
|--------------|--|--|
| PS_SUCCESS   | Successful key storage initialization                |  |
| PS_MEM_FAIL  | Failure. Unable to allocate memory for the structure |  |

#### **Servers and Clients**

This is a necessary function that all implementations must call before loading in the specific key material that will be used in the SSL handshake.

After allocating the key structure, the user will load custom key material from files (or memory) using matrixSslLoadRsaKeys, matrixSslLoadPkcs12, matrixSslLoadDhParams, and/or matrixSslLoadPsk. Loading RSA/ECC keys or DH parameters may be done once for each keys context. Multiple calls can be made to load pre-shared keys for a single keys context.

Once loaded with the key material, the keys structure will be passed to matrixSslNewClientSession or matrixSslNewServerSession to associate those keys with the SSL session.

#### **Memory Profile**

This function internally allocates memory that is freed during matrixSslDeleteKeys. The caller does not need to free the keys parameter if this function does not return PS SUCCESS.

The poolUserPtr value will be passed as the userPtr to psOpenPool when creating the dedicated memory pool for this key material.



## 2.3 matrixSslLoadRsaKeys

| Parameter      | Input/Output | Description  |
|----------------|--------------|--|
|                |              | Allocated key structure returned from a previous call to matrixSslNewKeys. Will become input to matrixSslNewClientSession or matrixSslNewServerSession to associate key material with a SSL session.   |
| certFile       | input        | The fully qualified filename(s) of the PEM formatted X.509 RSA identity certificate for this SSL peer. For in-memory support, see matrixSslLoadRsaKeysMem  |
|                |              | This parameter is always relevant to servers. Clients will want to supply an identity certificate and private key if supporting client authentication. $\mathtt{NULL}$ otherwise.  |
| privFile       | input        | The fully qualified filename of the PEM formatted PKCS#1 or PKCS#8 private RSA key that was used to sign the certFile.  This parameter is always relevant to servers. Clients will want to supply an identity certificate and private key if supporting client authentication. NULL otherwise. |
| privPass       | input        | The plaintext password used to encrypt the private key file. NULL if private key file is not password protected or unused. MatrixSSL supports the MD5 PKCS#5 2.0 PBKDF1 password standard.   |
| trustedCAFiles | input        | The fully qualified filename(s) of the trusted root certificates (Certificate Authorities) for this SSL peer.  This parameter is always relevant to clients. Servers will want to supply a CA if requesting client authentication. NULL otherwise.   |

| Return Value        | Test | Description   |
|---------------------|------|---|
| PS_SUCCESS          | 0    | Success. All input files parsed and the keys parameter is available for use in session creation           |
| PS_CERT_AUTH_FAIL   | < 0  | Failure. Certificate or chain did not self-authenticate or private key could not authenticate certificate |
| PS_PLATFORM_FAIL    | < 0  | Failure. Error locating or opening an input file  |
| PS_ARG_FAIL         | < 0  | Failure. Bad input function parameter   |
| PS_MEM_FAIL         | < 0  | Failure. Internal memory allocation failure   |
| PS_PARSE_FAIL       | < 0  | Failure. Error parsing certificate or private key buffer  |
| PS_FAILURE          | < 0  | Failure. Password protected decoding failed. Likey incorrect password provided                            |
| PS_UNSUPPORTED_FAIL | < 0  | Failure. Unsupported key algorithm in certificate material  |

#### **Servers and Clients**

This function is called to load the RSA certificates and private key files from disk that are needed for SSL client-server authentication. The key material is loaded into the keys parameter for input into the subsequent session creation APIs matrixSslNewClientSession or matrixSslNewServerSession. This API can be called at most once for a given sslKeys t parameter.

A standard SSL connection performs one-way authentication (client authenticates server) so the parameters to this function are specific to the client/server role of the application. The <code>certFile</code>, <code>privFile</code>, and <code>privPass</code> parameters are server specific and should identify the certificate and private key file for that server. The <code>certFile</code> and <code>privFile</code> parameters represent the two halves of the public key so they must both be non-NULL values if either is used.

The trustedCAFiles parameter is client specific and should identify the trusted root certificates that will be used to validate the certificates received from a server. Note that version 1 root certificates can only be loaded when ALLOW VERSION 1 ROOT CERT PARSE is defined in cryptoConfig.h.

Calling this function is a resource intensive operation because of the file access, parsing, and internal public key authentications required. For this reason, it is advised that this function be called once per set of key files for a given application. All new sessions associated with the certificate material can reuse the



existing key pointer. At application shutdown the user must free the key structure using matrixSslDeleteKeys.

#### **Client Authentication**

If client authentication functionality is desired, all parameters to this function become relevant to both clients and servers. The <code>certFile</code> and <code>privFile</code> parameters are used to specify the identity certificate of the local peer. Likewise, each entity will need to supply a <code>trustedCAcertFile</code> parameter that lists the trusted CAs so that the connecting certificates may be authenticated. It is easiest to think of client authentication as a mirror image of the normal server authentication when considering how certificate and CA files are deployed.

It is possible to configure a server to engage in a client authentication handshake without loading CA files. Enable the <code>SERVER\_CAN\_SEND\_EMPTY\_CERT\_REQUEST</code> define in *matrixsslConfig.h* to allow the server to send an empty CertificateRequest message. The server can then use the certificate callback function to perform a custom authentication on the certificate returned from the client.

The MatrixSSL library must be compiled with <code>USE\_CLIENT\_AUTH</code> defined in <code>matrixsslConfig.h</code> to enable client authentication support.

#### **Multiple CA Certificates and Certificate Chaining**

It is not uncommon for a server to work from a certificate chain in which a series of certificates form a child-to-parent hierarchy. It is even more common for a client to load multiple trusted CA certificates if numerous servers are being supported.

There are two ways to pass multiple certificates to the <code>matrixSslLoadRsaKeys</code> API. The first is to pass a semi-colon delimited list of files to the <code>certFile</code> or <code>trustedCAcertFiles</code> parameters. The second way is to append several PEM certificates into a single file and pass that file to either of the two parameters. Regardless of which way is chosen, the <code>certFile</code> parameter MUST be passed in a child-to-parent order. The first certificate parsed in the chain MUST be the child-most certificate and each subsequent certificate must be the parent (issuer) of the former. There must only ever be one private key file passed to this routine and it must correspond with the child-most certificate.

#### **Encrypted Private Keys**

It is strongly recommended that private keys be password protected when stored in files. The privPass parameter of this API is the plaintext password that will be used if the private key is encrypted. MatrixSSL supports the MD5 based PKCS#5 2.0 PBKDF1 standard for password encryption. The most common way a password is retrieved is through user input during the initialization of an application.

#### **RSA-PSS Signed Certificates**

The stronger RSASSA-PSS signature standard is staring to appear in X.509 certificates as an upgrade to the standard PKCS#1 v1.5 scheme. To include support for RSA-PSS signatures in certificates, enable USE PKCS1 PSS in *crypto/cryptoConfig.h* 

### **Memory Profile**

The keys parameter must be freed with matrixSslDeleteKeys after its useful life.

#### **Define Dependencies**

| MATRIX_USE_FILE_SYSTEM | Must be enabled in platform compile options   |
|------------------------|---|
| USE_SERVER_SIDE_SSL    | Optionally enable in matrixsslConfig.h for SSL server support                       |
| USE_CLIENT_SIDE_SSL    | Optionally enable in matrixsslConfig.h for SSL client support                       |
| USE_PKCS5              | Optionally enable in cryptoConfig.h to support password encrypted private keys      |
| USE_PKCS8              | Optionally enable in <i>cryptoConfig.h</i> to support PKCS#8 formatted private keys |



| USF | CLIENT  | AUTH   |
|-----|---------|--------|
|     | OLILIAI | $\sim$ |

Optionally enable in *matrixsslConfig.h* to support client authentication

## 2.4 matrixSslLoadRsaKeysMem

| Parameter                                    | Input/Output | Description   |
|--|--------------|---|
| become input to matrixSslNewClientSession or |              | Allocated key structure returned from a previous call to matrixSslNewKeys. Will become input to matrixSslNewClientSession or matrixSslNewServerSession to associate key material with a SSL session.  |
| certBuf                                      | input        | The X.509 ASN.1 identity certificate for this SSL peer. For file-based support, see matrixSslLoadRsaKeys  This parameter is always relevant to servers. Clients will want to supply an identity   |
|  |              | certificate and private key if supporting mutual authentication. NULL otherwise.  |
| certLen                                      | input        | Byte length of certBuf  |
| privBuf                                      | input        | The PKCS#1 or PKCS#8 private RSA key that was used to sign the certBuf.  This parameter is always relevant to servers. Clients will want to supply an identity certificate and private key if supporting mutual authentication. NULL otherwise. |
| privLen                                      | input        | Byte length of privBuf  |
| trustedCABuf                                 | input        | The X.509 ASN.1 stream of the trusted root certificates (Certificate Authorities) for this SSL peer.  This parameter is always relevant to clients. Servers will want to supply a CA if requesting mutual authentication. NULL otherwise.       |
| trustedCALen                                 | input        | Byte length of trustedCABuf   |

| Return Value        | Return Value Test Description |  |  |
|---------------------|-------------------------------|--|--|
| PS_SUCCESS          | 0                             | Success. All input buffers parsed successfully and the keys parameter is available for use in session creation |  |
| PS_CERT_AUTH_FAIL   | < 0                           | Failure. Certificate or chain did not self-authenticate or private key could not authenticate certificate      |  |
| PS_PLATFORM_FAIL    | < 0                           | Failure. Error locating or opening an input file   |  |
| PS_ARG_FAIL         | < 0                           | Failure. Bad input function parameter  |  |
| PS_MEM_FAIL         | < 0                           | Failure. Internal memory allocation failure  |  |
| PS_PARSE_FAIL       | < 0                           | Failure. Error parsing certificate or private key buffer   |  |
| PS_UNSUPPORTED_FAIL | < 0                           | Failure. Unsupported key algorithm in certificate material   |  |

#### **Servers and Clients**

This function is the in-memory equivalent of the matrixSslLoadRsaKeys API to support environments where the certificate material is not stored as files on disk. Please consult the information above about matrixSslLoadRsaKeys for detailed information on how clients and servers should manage the certificate and private key parameters. This API can be called at most once for a given sslKeys t parameter.

The buffers for the certificates and private key must be in the native ASN.1 format of the X.509 v3 and PKCS#1/PKCS#8 standards, respectively. Typically, the ".der" file extension is used for certificate material in this binary format.

There is no password protection support for private key buffers. It is recommended that the user implement secure storage for the private key material.

#### **Multiple CA Certificates and Certificate Chaining**

This in-memory version of the key parser also supports multiple CAs and/or certificate chains. Simply append the ASN.1 certificate streams together for either the certBuf or trustedCAbuf parameters. If



using a certificate chain in the  $\mathtt{certBuf}$  parameter the order of the certificates still MUST be in child-to-parent order with the  $\mathtt{privBuf}$  being the key associated with the child-most certificate.

#### **Memory Profile**

The keys parameter must be freed with matrixSslDeleteKeys after its useful life.

#### **Define Dependencies**

| USE_SERVER_SIDE_SSL | Optionally enable in matrixsslConfig.h for SSL server support                       |
|---------------------|---|
| USE_CLIENT_SIDE_SSL | Optionally enable in matrixsslConfig.h for SSL client support                       |
| USE_PKCS8           | Optionally enable in <i>cryptoConfig.h</i> to support PKCS#8 formatted private keys |
| USE_CLIENT_AUTH     | Optionally enable in matrixsslConfig.h to support client authentication             |

## 2.5 matrixSslLoadEcKeys

```
int32 matrixSslLoadEcKeys(sslKeys_t *keys, const char *certFile,
const char *privFile, const char *privPass,
const char *trustedCAFiles);
```

| Parameter      | Input/Output | Description  |
|----------------|--------------|--|
| keys           | input/output | Allocated key structure returned from a previous call to matrixSslNewKeys. Will become input to matrixSslNewClientSession or matrixSslNewServerSession to associate key material with a SSL session.   |
| certFile       | input        | The fully qualified filename(s) of the PEM formatted X.509 identity certificate for this SSL peer. For in-memory support, see matrixSslLoadEcKeysMem   |
|                |              | This parameter is always relevant to servers. Clients will want to supply an identity certificate and private key if supporting client authentication. $\mathtt{NULL}$ otherwise.  |
| privFile       | input        | The fully qualified filename of the PEM formatted private EC key that was used to sign certFile. Supported formats are PKCS# 8 or "SEC1: Elliptical Curve Cryptography" at www.secg.org.  This parameter is always relevant to servers. Clients will want to supply an identity certificate and private key if supporting client authentication. NULL otherwise. |
| privPass       | input        | The plaintext password used to encrypt the private key file. NULL if private key file is not password protected or unused. MatrixSSL supports the MD5 PKCS#5 2.0 PBKDF1 password standard.   |
| trustedCAFiles | input        | The fully qualified filename(s) of the trusted root certificates (Certificate Authorities) for this SSL peer.  This parameter is always relevant to clients. Servers will want to supply a CA if requesting client authentication. NULL otherwise.   |

| Return Value        | Test | Description   |
|---------------------|------|---|
| PS_SUCCESS          | 0    | Success. All input files parsed and the keys parameter is available for use in session creation           |
| PS_CERT_AUTH_FAIL   | < 0  | Failure. Certificate or chain did not self-authenticate or private key could not authenticate certificate |
| PS_PLATFORM_FAIL    | < 0  | Failure. Error locating or opening an input file  |
| PS_ARG_FAIL         | < 0  | Failure. Bad input function parameter   |
| PS_MEM_FAIL         | < 0  | Failure. Internal memory allocation failure   |
| PS_PARSE_FAIL       | < 0  | Failure. Error parsing certificate or private key buffer  |
| PS_FAILURE          | < 0  | Failure. Password protected decoding failed. Likey incorrect password provided                            |
| PS_UNSUPPORTED_FAIL | < 0  | Failure. Unsupported key algorithm in certificate material  |

Servers and Clients



This function is called to load the ECC certificates and private key files from disk that are needed for SSL client-server authentication. The key material is loaded into the keys parameter for input into the subsequent session creation APIs matrixSslNewClientSession or matrixSslNewServerSession. This API can be called at most once for a given sslKeys t parameter.

A standard SSL connection performs one-way authentication (client authenticates server) so the parameters to this function are specific to the client/server role of the application. The <code>certFile</code>, <code>privFile</code>, and <code>privPass</code> parameters are server specific and should identify the certificate and private key file for that server. The <code>certFile</code> and <code>privFile</code> parameters represent the two halves of the public key so they must both be non-NULL values if either is used.

The trustedCAFiles parameter is client specific and should identify the trusted root certificates that will be used to validate the certificates received from a server. Note that version 1 root certificates can only be loaded when ALLOW VERSION 1 ROOT CERT PARSE is defined in cryptoConfig.h.

Calling this function is a resource intensive operation because of the file access, parsing, and internal public key authentications required. For this reason, it is advised that this function be called once per set of key files for a given application. All new sessions associated with the certificate material can reuse the existing key pointer. At application shutdown the user must free the key structure using matrixSslDeleteKeys.

#### Client Authentication

If client authentication functionality is desired, all parameters to this function become relevant to both clients and servers. The <code>certFile</code> and <code>privFile</code> parameters are used to specify the identity certificate of the local peer. Likewise, each entity will need to supply a <code>trustedCAcertFile</code> parameter that lists the trusted CAs so that the certificates may be authenticated. It is easiest to think of client authentication as a mirror image of the normal server authentication when considering how certificate and CA files are deployed.

It is possible to configure a server to engage in a client authentication handshake without loading CA files. Enable the SERVER\_CAN\_SEND\_EMPTY\_CERT\_REQUEST define in *matrixsslConfig.h* to allow the server to send an empty CertificateRequest message. The server can then use the certificate callback function to perform a custom authentication on the certificate returned from the client.

The MatrixSSL library must be compiled with USE\_CLIENT\_AUTH defined in *matrixsslConfig.h* to enable client authentication support.

#### Multiple CA Certificates and Certificate Chaining

It is not uncommon for a server to work from a certificate chain in which a series of certificates form a child-to-parent hierarchy. It is even more common for a client to load multiple trusted CA certificates if numerous servers are being supported.

There are two ways to pass multiple certificates to the <code>matrixSslLoadRsaKeys</code> API. The first is to pass a semi-colon delimited list of files to the <code>certFile</code> or <code>trustedCAcertFiles</code> parameters. The second way is to append several PEM certificates into a single file and pass that file to either of the two parameters. Regardless of which way is chosen, the <code>certFile</code> parameter MUST be passed in a child-to-parent order. The first certificate parsed in the chain MUST be the child-most certificate and each subsequent certificate must be the parent (issuer) of the former. There must only ever be one private key file passed to this routine and it must correspond with the child-most certificate.

#### **Encrypted Private Keys**

It is strongly recommended that private keys be password protected when stored in files. The privPass parameter of this API is the plaintext password that will be used if the private key is encrypted. MatrixSSL supports an MD5 based PKCS#5 2.0 PBKDF1 standard for password encryption. The most common way a password is retrieved is through user input during the initialization of an application.



#### Memory Profile

The keys parameter must be freed with matrixSslDeleteKeys after its useful life.

## 2.6 matrixSslLoadEcKeysMem

int32 matrixSslLoadEcKeysMem(sslKeys\_t \*keys, unsigned char \*certBuf,
int32 certLen, unsigned char \*privBuf, int32 privLen,
unsigned char \*trustedCABuf, int32 trustedCALen);

| Parameter    | Input/Output | Description   |
|--------------|--------------|---|
| keys         | input/output | Allocated key structure returned from a previous call to matrixSslNewKeys. Will become input to matrixSslNewClientSession or matrixSslNewServerSession to associate key material with a SSL session.  |
| certBuf      | input        | The X.509 ASN.1 identity certificate for this SSL peer. For file-based support, see matrixSslLoadEcKeys  This parameter is always relevant to servers. Clients will want to supply an identity certificate and private key if supporting mutual authentication. NULL otherwise. |
| certLen      | input        | Byte length of certBuf  |
| privBuf      | input        | The PKCS#8 or "SEC1: Elliptical Curve Cryptography" private EC key that was used to sign the certBuf.  This parameter is always relevant to servers. Clients will want to supply an identity  |
|              |              | certificate and private key if supporting mutual authentication. NULL otherwise.  |
| privLen      | input        | Byte length of privBuf  |
| trustedCABuf | input        | The X.509 ASN.1 stream of the trusted root certificates (Certificate Authorities) for this SSL peer.  This parameter is always relevant to clients. Servers will want to supply a CA if requesting mutual authentication. NULL otherwise.                                       |
| trustedCALen | input        | Byte length of trustedCABuf   |

| Return Value        | Test | Description  |
|---------------------|------|--|
| PS_SUCCESS          | 0    | Success. All input buffers parsed successfully and the keys parameter is available for use in session creation |
| PS_CERT_AUTH_FAIL   | < 0  | Failure. Certificate or chain did not self-authenticate or private key could not authenticate certificate      |
| PS_PLATFORM_FAIL    | < 0  | Failure. Error locating or opening an input file   |
| PS_ARG_FAIL         | < 0  | Failure. Bad input function parameter  |
| PS_MEM_FAIL         | < 0  | Failure. Internal memory allocation failure  |
| PS_PARSE_FAIL       | < 0  | Failure. Error parsing certificate or private key buffer   |
| PS_UNSUPPORTED_FAIL | < 0  | Failure. Unsupported key algorithm in certificate material   |

#### Servers and Clients

This function is the in-memory equivalent of the matrixSslLoadEckeys API to support environments where the certificate material is not stored as files on disk. Please consult the documentation for matrixSslLoadEckeys for detailed information on how clients and servers should manage the certificate and private key parameters. This API can be called at most once for a given sslkeys\_t parameter.

There is no password protection support for private key buffers. It is recommended that the user implement secure storage for the private key material.

#### Multiple CA Certificates and Certificate Chaining

This in-memory version of the key parser also supports multiple CAs and/or certificate chains. Simply append the ASN.1 certificate streams together for either the <code>certBuf</code> or <code>trustedCAbuf</code> parameters. If



using a certificate chain in the certBuf parameter the order of the certificates still MUST be in child-to-parent order with the privBuf being the key associated with the child-most certificate.

#### Memory Profile

The keys parameter must be freed with matrixSslDeleteKeys after its useful life.

## 2.7 matrixSslLoadPkcs12

| Parameter  | Input/Output | Description   |
|------------|--------------|---|
| keys       | input/output | Allocated key structure returned from a previous call to matrixSslNewKeys. Will become input to matrixSslNewClientSession or matrixSslNewServerSession to associate key material with a SSL session.        |
| p12File    | input        | The fully qualified filename(s) of the PKCS#12 file.  |
| importPass | input        | The plaintext import password used to decrypt p12File   |
| ipassLen   | input        | Byte length of the importPass parameter   |
| macPass    | input        | Optional plaintext password used to verify the MAC of the PKCS#12 file. In most cases, the MAC password is identical to the import password and if set to NULL the import password will be used by default. |
| mpassLen   | input        | The byte length of the macPass parameter  |
| flags      | input        | Reserved. Pass a 0  |

| Return Value        | Test | Description   |
|---------------------|------|---|
| PS_SUCCESS          | 0    | Success. File parsed and the keys parameter is available for use  |
| PS_CERT_AUTH_FAIL   | < 0  | Failure. Certificate or chain did not self-authenticate or private key could not authenticate certificate |
| PS_PLATFORM_FAIL    | < 0  | Failure. Error locating or opening input file   |
| PS_ARG_FAIL         | < 0  | Failure. Bad input function parameter   |
| PS_MEM_FAIL         | < 0  | Failure. Internal memory allocation failure   |
| PS_PARSE_FAIL       | < 0  | Failure. Error parsing certificate or private key buffer  |
| PS_UNSUPPORTED_FAIL | < 0  | Failure. Unsupported algorithm in file material   |

#### **Servers**

This function is called to load certificate and key material from a PKCS#12 file. The PKCS#12 standard enables certificates and private keys to be stored together in a single file. This function requires that only a single private key is present in the PKCS#12 file and includes the accompanying certificate (or certificate chain).

The sslkeys\_t output is loaded into the keys parameter for input into the subsequent session creation API matrixSslNewServerSession. This API can be called at most once for a given sslkeys t parameter.

Calling this function is a resource intensive operation because of the file access, parsing, and internal public key authentications required. For this reason, it is advised that this function be called once per set of key files for a given application. All new sessions associated with the certificate material can reuse the existing key pointer. At application shutdown the user must free the key structure using matrixSslDeleteKeys.



#### **Client Authentication**

Clients may use this function to load certificates and the private key if engaging in a client authentication handshake.

However, for both server and client cases the counterpart Certificate Authority files must be loaded separately using the matrixSslLoadRsaKeys function because this PKCS#12 API does not support CA files. In this case, the same sslKeys t parameter should be used in both APIs.

The MatrixSSL library must be compiled with <code>USE\_CLIENT\_AUTH</code> defined in <code>matrixsslConfig.h</code> to enable client authentication support.

#### **Certificate Chaining**

It is not uncommon for a server to work from a certificate chain in which a series of certificates form a child-to-parent hierarchy. The PKCS#12 file must have the certificate chain in a child-to-parent order and the private key must be for the child-most certificate.

#### **Supported Integrity and Encryption Algorithms**

The parser supports PKCS#12 files that are encoded in the standard "password integrity" and "password privacy" modes. If you require public-key modes please contact Inside Secure.

Each certificate and private key will be wrapped within a "password privacy" algorithm. The supported algorithms are:

- pbeWithSHAAnd3-KeyTripleDES-CBC
- o pbewithSHAAnd40BitRC2-CBC

The use of these algorithms is historical and certificates are generally encrypted with RC2 and private keys are generally encrypted with 3DES. Please contact INSIDE if you require additional "password privacy" algorithms.

#### **Memory Profile**

The keys parameter must be freed with matrixSslDeleteKeys after its useful life.

#### **Define Dependencies**

| USE_SERVER_SIDE_SSL    | Optionally enable in matrixsslConfig.h for SSL server support           |
|------------------------|---|
| USE_CLIENT_SIDE_SSL    | Optionally enable in matrixsslConfig.h for SSL client support           |
| USE_PKCS12             | Must enable in cryptoConfig.h to support PKCS#12                        |
| USE_CLIENT_AUTH        | Optionally enable in matrixsslConfig.h to support client authentication |
| MATRIX_USE_FILE_SYSTEM | Must define in platform build environment for file access               |
| USE_RC2                | Optionally enable in cryptoConfig.h if RC2 encryption is needed         |

## 2.8 matrixSslLoadSessionTicketKeys

 $\verb|int32| matrixSslLoadSessionTicketKeys(sslKeys\_t *keys, \\$ 



```
const unsigned char name[16],
const unsigned char *symkey, short symkeyLen,
const unsigned char *hashkey, short hashkeyLen);
```

| Parameter  | Input/Output | Description   |
|------------|--------------|---|
| keys       | input/output | Allocated key structure returned from a previous call to matrixSslNewKeys. Will become input to matrixSslNewServerSession to associate key material with a SSL session. |
| name       | input        | The 16 byte name assigned to the key pair. It should be a randomly generated string to help avoid collisions between servers  |
| symkey     | input        | The AES key for ticket encryption/decryption.   |
| symkeyLen  | input        | MUST be 16 or 32 for AES-128 or AES-256, respectively   |
| hashkey    | input        | The HMAC-SHA256 key for ticket authentication   |
| hashkeyLen | input        | MUST be 32 bytes for SHA-256  |

| Return Value  | Test | Description  |
|---------------|------|--|
| PS_SUCCESS    | 0    | Success. Keys loaded and available for use                                   |
| PS_LIMIT_FAIL | < 0  | Failure. List full or one of the length parameters was not an accepted value |
| PS_MEM_FAIL   | < 0  | Failure. Internal memory allocation failure                                  |

#### **Servers**

This function is called to load an AES and HMAC-SHA key pair for use in stateless session resumption as specified in RFC 4507. The keys are used to encode a session resumption ticket that is given to a connected client and used to decode the ticket when a client later attempts a resumed session.

Calling this function effectively enables the stateless session ticket feature for any server session that uses the sslkeys t context with matrixSslNewServerSession.

This function can be called many times for a given <code>sslKeys\_t</code> context and each call will add a key to the end of a single-linked list. The first key in the list will always be the key used to encrypt newly issued session tickets. When decrypting a session ticket, the entire list will be searched to locate the encrypting key.

Keys can be deleted using matrixSslDeleteSessionTicketKey.

The SSL\_SESSION\_TICKET\_LIST\_LEN define in *matrixsslConfig.h* limits the length of the internal cache. If the limit is hit this function will return PS\_LIMIT\_FAIL and the caller can use matrixSslDeleteSessionTicketKey to make room if desired.

A user callback can be optionally registered to notify each time a session ticket is received to allow user intervention. The callback is registered using matrixSslSetSessionTicketCallback and is documented below.

#### **Ticket Notes**

The value of SSL\_SESSION\_ENTRY\_LIFE in *matrixsslConfig.h* is used as the lifetime when generating a ticket.

The platform MUST implement the psGetTime function as documented in the <u>Porting Guide</u> so that the int32 return value is the elapsed seconds from some epoch. This API is used to store the timestamp in the encrypted ticket and to retrieve the current time when decrypting the ticket to determine expiration

The cryptographic primitives used for ticket encoding is AES-128/256-CBC and HMAC-SHA256.

#### Interaction with cached session ID mechanism

If the stateless session ticket mechanism is used during the SSL handshake the server WILL NOT cache the session using the standard session ID mechanism.



#### Clients

Clients that wish to use the stateless session resumption mechanism must set the ticketResumption member of the sslSessOpts t structure to 1 when calling matrixSslNewClientSession.

#### **Define Dependencies**

| USE_SERVER_SIDE_SSL           | Enable in matrixsslConfig.h for SSL server support                                      |
|-------------------------------|---|
| USE_MULTITHREADING            | Optionally enable in coreConfig.h if multiple server threads will be accessing key list |
| USE_STATELESS_SESSION_TICKETS | Enable in matrixsslConfig.h   |
| SSL_SESSION_ENTRY_LIFE        | Configure in matrixsslConfig.h  |
| USE_AES                       | Enable in cryptoConfig.h  |
| USE_HMAC                      | Enable in cryptoConfig.h  |
| USE_SHA256                    | Enable in cryptoConfig.h  |

## 2.9 matrixSslSetSessionTicketCallback

| Parameter | Input/Output | Description   |
|-----------|--------------|---|
| keys      | input/output | Allocated key structure returned from a previous call to matrixSslNewKeys. Will become input to matrixSslNewServerSession to associate key material with a SSL session. |
| ticket_cb | input        | The function to invoke when the server can't find the ticket decryption key for a session ticket.   |

#### Servers

Servers should register a callback for use with the stateless session ticket resumption mechanism. This callback will be invoked each time a client sends a session ticket and can be used as an opportunity for the application to locate and load the correct key or to void the ticket and revert to a full handshake.

#### **Ticket Callback Function**

The callback is invoked with a void pointer representing the  $sslKeys\_t*$  context, the 16-byte key name, and the found indication of whether the correct key is already available in the server's cached list. The void\* input is an sslKeys t\* type that should be typecast locally.

If the found parameter is 0 then the server does not currently have the session ticket key and the callback should be used as an opportunity to find and load the keys. If the named session ticket is located, the callback will call matrixSslLoadSessionTicketKeys using the typecast keys pointer as the first parameter.

If the  ${\tt found}$  parameter is 1 then the server holds the correct key and the callback can be used to allow the resumption

Regardless of the value of the incoming found parameter, the return value of the callback will indicate to MatrixSSL whether to progress with a resumed session or to use a full handshake path and issue a new ticket. A return value of >=0 indicates the named key should be used to resume the handshake and a return value of <0 means the key could not be found or the ticket should be discarded.

## 2.10 matrixSslNewSessionId

int32 matrixSslNewSessionId(sslSessionId t \*\*sid, void \*poolUserPtr);



| Parameter   | Input/Output | Description   |
|-------------|--------------|---|
| sid         | input/output | Storage for an SSL session ID used for future session resumption  |
| poolUserPtr | input        | Optional user context for the creation of the memory pool that will hold the session material. Only relevant to commercial versions when USE_MATRIX_MEMORY_MANAGEMENT is enabled. NULL otherwise. |

| Return Value | Test | Description   |
|--------------|------|---|
| PS_SUCCESS   | 0    | Success. Session ID storage ready to be passed to matrixSslNewClientSession |
| PS_MEM_FAIL  | < 0  | Failure. Internal memory allocation failed                                  |

#### Clients

This function is only meaningful to a client wishing to perform future SSL session resumptions with a particular server. After allocating a session ID with this call, the structure is passed to the sid parameter of matrixSslNewClientSession where it will be populated with valid resumption credentials during the handshake process. Subsequent calls to matrixSslNewClientSession to reconnect with the same server should pass this same session ID to initiate the much faster session resumption handshake.

See the **Session Resumption** chapter in the <u>MatrixSSL Developer's Guide</u> document accompanying this release for more information.

#### **Memory Profile**

The sid parameter must be freed with matrixSslDeleteSessionId after its useful life.

The pooluserPtr value will be passed as the userPtr to psOpenPool when creating the dedicated memory pool for the session material.

#### **Define Dependencies**

| USE_CLIENT_SIDE_SSL | Must be defined in matrixsslConfig.h |
|---------------------|--------------------------------------|

## 2.11 matrixSslClearSessionId

void matrixSslClearSessionId(sslSessionId t \*sid);

| Parameter | Input/Output | Description                                       |
|-----------|--------------|---|
| sid       | input/output | Previously allocated SSL session ID to be cleared |

#### Clients

This function is only meaningful to clients using the SSL session resumption feature. This function will empty the session ID contents of the sid parameter that were previously stored during an earlier handshake. The sid parameter will have been allocated by a previous call to matrixSslNewSessionId. This function is simply for convenience if wishing to initiate a new session with a full handshake without having to call matrixSslDeleteSessionId and matrixSslNewSessionId.

#### **Define Dependencies**

| USE_CLIENT_SIDE_SSL | Must be defined in matrixsslConfig.h |
|---------------------|--------------------------------------|
|---------------------|--------------------------------------|

## 2.12 matrixSsIDeleteSessionId



void matrixSslDeleteSessionId(sslSessionId t \*sid);

| Parameter | Input/Output | Description   |
|-----------|--------------|---|
| sid       | input        | Previously allocated SSL session ID to be cleared and freed |

#### **Clients**

This function is only meaningful to clients using the SSL session resumption feature. This function will free the session ID that was previously allocated by matrixSslNewSessionId. It will also delete the dedicated memory pool for commercial versions that have enabled USE MATRIX MEMORY MANAGEMENT.

#### **Define Dependencies**

| USE_CLIENT_SIDE_SSL   Must be defined in <i>matrixssiConfig.r</i> | USE_CLIENT_SIDE_SSL | Must be defined in matrixsslConfig.h |
|---|---------------------|--------------------------------------|
|---|---------------------|--------------------------------------|

## 2.13 matrixSsINewClientSession

| Parameter      | Input/Output | Description   |
|----------------|--------------|---|
| ssl            | input/output | New context for this SSL session  |
| keys           | input        | Key pointer that has been populated with the necessary certificate and key material (see matrixSslNewKeys)  |
| sessionId      | input/output | SSL session id storage previously allocated by matrixSslNewSessionId  |
| cipherSuites   | input        | Pass a value of NULL to allow the client and server to negotiate the cipher suite automatically OR pass the integer identifiers of the specific cipher suites that the client wants to use. See the full cipher suite list in the source code file <i>matrixssllib.h</i> for possible values. |
| cipherCount    | input        | If one or more cipher suites are specified in the cipherSuites arrary, this is the count of those. O if automatic negotiation should occur.   |
| certValidator  | input        | The function that will be invoked during the SSL handshake to see the internal authentication status of the server certificate chain. This callback is also the opportunity for the application to perform custom validation tests as needed  |
| expectedName   | input        | The name of the server that the client will be connecting to. This string is used during the x.509 certificate validation portion of the handshake. The <code>expectedName</code> is often a DNS. Set to NULL to exclude this name test.  |
| extensions     | input        | Custom CLIENT_HELLO extensions. See matrixSslNewHelloExtension for details.   |
| extensionCback | input        | The function that will be invoked as a callback during the SSL handshake to see any SERVER_HELLO extensions that have been received   |
| options        | input        | Run time SSL options for SSL protocol version, maximum fragment length, truncated HMAC, resumption method, elliptic curve selection, and custom user pointers. See the <b>Session Options</b> section for more information  |



| Return Value           | Test | Description  |
|------------------------|------|--|
| MATRIXSSL_REQUEST_SEND | > 0  | Success. The ssl_t context is initialized and the CLIENT_HELLO message has been encoded and is ready to be sent to the server to being the SSL handshake |
| PS_ARG_FAIL            | < 0  | Failure. Bad input function parameter  |
| PS_MEM_FAIL            | < 0  | Failure. Memory allocation failure   |
| PS_PROTOCOL_FAIL       | < 0  | Failure. SSL context is not in the correct state for creating a CLIENT_HELLO message or there was an error encrypting the message                        |
| PS_UNSUPPORTED_FAIL    | < 0  | Failure. The requested cipher suite was not found or library was not compiled with client support  |
| PS_PLATFORM_FAIL       | < 0  | Failure. Internal call to psGetEntropy failed while encoding CLIENT_HELLO message  |

#### Clients

Clients call this function to start a new SSL session or to resume a previous one. The session context is returned in the output parameter ssl. The CLIENT\_HELLO handshake message is internally generated when this function is called and the typical action to take after this function returns is to retrieve that message with matrixSslGetOutdata and send that data to the server.

This function requires a pointer to an <code>sslKeys\_t</code> structure that was returned from a previous call to <code>matrixSslNewKeys</code> and loaded with the relevant certificate and key material using <code>matrixSslLoadRsaKey</code> or equivalent.

If the client wishes to resume a session with a server the <code>sessionId</code> parameter can be used. For the initial handshake with a new server this parameter should point to a <code>matrixSslNewSessionId</code> allocated <code>sslSessionId\_t</code> location in which the library will store the session ID information during the handshake process. For this reason, it is essential that the <code>sessionId</code> location be scoped for the lifetime of the SSL session it is passed into. On subsequent handshakes with the same server, the client can simply pass through this same <code>sessionId</code> memory location and <code>matrixSslNewClientSession</code> will extract the session ID and encode a CLIENT\_HELLO message that will initiate a resumed handshake with the server. The <code>sessionId</code> parameter may be <code>NULL</code> if session resumption is not desired.

If the user wants to ensure the <code>sessionId</code> parameter is initialized or cleared of any previous session ID information, <code>matrixSslClearSessionId</code> should be used to guarantee a full handshake.

The cipherSuites parameter can be used to force the client to send a specific set of cipher suites to the server rather than the entire set of supported ciphers. Set this value to NULL (or cipherSuites[0] to 0) to send the entire cipher suite list that is enabled in *matrixsslConfig.h*. Otherwise the values in the arrary are the decimal integer value of the cipher suite specified in the standards. The supported values can be found in *matrixssllib.h*. If cipherSuites is used to select a set of cipher suites the cipherCount parameter must reflect the number of cipher suites that are set in the array.

An explicit cipher suite will take precedence over the cipher suite in <code>sessionId</code> if they do not match. So if both <code>sessionId</code> and <code>cipherSuites</code> are passed in and the <code>cipherSuites</code> does not match the cipher that is contained in the <code>sessionId</code> parameter, the <code>sessionId</code> will be cleared and the client will encode a new <code>CLIENT\_HELLO</code> with the <code>cipherSuites</code> value. If the <code>cipherSuites</code> value is 0 or if it identically matches the cipher suite in the <code>sessionId</code> parameter, session resumption will be attempted.

The certValidator parameter is used to register a callback routine that will be invoked during the certificate validation portion of the SSL handshake. This optional (but highly recommended) registration will enable the application to see the internal authentication results of the server certificate, perform custom validation checks, and pass certificate information on to end users wishing to manually validate certificates. Additional tests a callback may want to perform on the certificate information might include date validation and host name (common name) verification. If a certificate callback is not registered the internal publickey authentication against the nominated Certificate Authorities will determine whether or not to continue the handshake.

Detailed information on the certificate callback routine is found in the section The Certificate Validation Callback Function towards the end of this document.



The <code>expectedName</code> should be set to confirm the name of the server is contained in the x.509 certificate for that server. The commonName and subjectAltName entries of the certificate are checked. Pass NULL if this name test is not needed.

The extensions parameter enables the user to pass custom CLIENT\_HELLO extensions to the server. See matrixSslNewHelloExtension for more information.

The <code>extensionCback</code> parameter enables the user to register a function callback that will be invoked during the parsing of SERVER\_HELLO if the server has provided extensions. The callback should return < 0 if the handshake should be terminated.

The options parameter is required and allows the client application to specify the TLS protocol version, maximum fragment length, truncated HMAC, resumption method, and the elliptic curves it wishes to support for the session being created. All member values must be set to 0 or NULL (if pointer type) if the default behaviour is desired. See the **Session Options** section in this document for more details.

**UPGRADE NOTE**: Versions of matrixSslNewClientSession prior to 3.7 used a single int32 flags parameter as the final argument and it was used to specify the TLS protocol version. The protocol version must now be assigned to the versionFlag member of the sslSessOpts t structure.

#### **Memory Profile**

The user must free the ssl\_t structure using matrixSslDeleteSession after the useful life of the session. The caller does not need to free the ssl parameter if this function does not return MATRIXSSL REQUEST SEND.

The keys pointer is referenced in the ssl\_t context without duplication so it is essential the user does not call matrixSslDeleteKeys until all associated sessions have been deleted.

#### **Define Dependencies**

| USE_CLIENT_SIDE_SSL        | Must be enabled in matrixsslConfig.h    |
|----------------------------|---|
| ENABLE_SECURE_REHANDSHAKES | Optionally disable support for RFC 5746 |

## 2.14 matrixSsINewServerSession

| Parameter | Input/Output | Description  |
|-----------|--------------|--|
| ssl       | input/output | New context for this SSL session   |
| keys      | input        | Key pointer that has been populated with the necessary certificate and key material (see matrixSslNewKeys)   |
| certCb    | input        | Only relevant if using client authentication. NULL if not using client authentication, otherwise the function that will be invoked during the SSL handshake to see the internal authentication status of the client certificate chain. This callback is also the opportunity for the application to perform custom validation tests as needed. |
| options   | input        | Run time SSL options for SSL protocol version and elliptic curve selection. See the Session Options section for more information   |

| Return Value | Test | Description   |
|--------------|------|---|
| PS_SUCCESS   | 0    | Success. The ssl_t context is initialized and ready for use |
| PS_ARG_FAIL  | < 0  | Failure. Bad input function parameter                       |
| PS_FAILURE   | < 0  | Failure. Internal memory allocation failure                 |



#### **Servers**

When a server application has received notice that a client is requesting a secure socket connection (a socket accept on a secure port), this function should be called to initialize the new SSL session context. This function will prepare the server for the SSL handshake and the typical action to take after returning from this function is to call matrixSslGetReadbuf to retrieve an allocated buffer in which to copy the incoming handshake message from the client.

This function requires a pointer to an sslkeys\_t structure that was returned from a previous call to matrixSslNewKeys and populated with key material from matrixSslLoadRsaKeys (or equivalent)

In client authentication scenarios the <code>certValidator</code> parameter must be used to register a callback on the server side to perform application specific checks on the client certificate. Setting a certificate callback is an explicit indication that client authentication will be used for this session.

If a server wants to be able to optionally enable client authentication but not require it for the initial handshake the certificate callback should be included in matrixSslNewServerSession but then matrixSslSetSessionOption with the SSL\_OPTION\_DISABLE\_CLIENT\_AUTH should be called immediately after. When the server later determines client authentication should be used, it can call matrixSslSetSessionOption with SSL\_OPTION\_ENABLE\_CLIENT\_AUTH.

Detailed information on the callback routine can be found below in the section entitled **The Certificate Validation Callback Function**.

The options parameter is required and allows the server application to specify the TLS protocol version and the elliptic curves it wishes to support for the session being created. All member values must be set to 0 or NULL (is pointer type) if the default behaviour is desired. See the **Session Options** section in this document for more details.

**UPGRADE NOTE**: Versions of matrixSslNewServerSession prior to 3.7 used a single int32 flags parameter as the final argument and it was used to specify the TLS protocol version. The protocol version must now be assigned to the versionFlag member of the sslSessOpts t structure.

#### **Memory Profile**

The user must free the ssl\_t structure using matrixSslDeleteSession after the useful life of the session. The caller does not need to free the ssl parameter if this function does not return PS SUCCESS.

The keys pointer is referenced in the ssl\_t context without duplication so it is essential the user does not call matrixSslDeleteKeys until all associated sessions have been deleted.

### **Define Dependencies**

| USE_SERVER_SIDE_SSL | Must be enabled in matrixsslConfig.h |
|---------------------|--------------------------------------|
| USE_SERVER_SIDE_SSL | Must be enabled in matrixssiconing.n |

## 2.15 matrixSslGetReadbuf

int32 matrixSslGetReadbuf(ssl t \*ssl, unsigned char \*\*buf);

| Parameter | Input/Output | Description   |  |
|-----------|--------------|---|--|
| ssl       | input        | The SSL session context   |  |
| buf       | output       | Pointer to the memory location where incoming peer data should be read into |  |

| Return Value | Description  |  |
|--------------|--|--|
| >= 0         | Success. Indicates how many bytes are available in buf for incoming data |  |
| PS_ARG_FAIL  | Failure. Bad function parameters   |  |



#### **Servers and Clients**

Any time the application is expecting to receive data from a peer this function must be called to retrieve the memory location where the incoming data should be read into. By providing a buffer to read network data into, the MatrixSSL API avoids an internal buffer copy.

The length of available bytes in buf is indicated in the return code. This is a maximum length and it is the user's responsibility to adhere to this size and not read data bytes beyond the given length. The mechanism for handling incoming data beyond the returned size is discussed below.

Once the user has read data into this buffer, matrixSslReceivedData must be called to process the data in-situ. If the return code from matrixSslReceivedData is MATRIXSSL\_REQUEST\_RECV this indicates that additional data needs to be read. In this case, matrixSslGetReadbuf must be called again for an updated pointer and buffer size to copy the additional data into.

## 2.16 matrixSsIReceivedData

| Parameter | Input/Output | Description  |  |  |
|-----------|--------------|--|--|--|
| ssl       | input        | The SSL session context  |  |  |
| bytes     | input        | The number of bytes received   |  |  |
| ptbuf     | output       | If the data being received is an application-level record (or an alert) the unencrypted plaintext will be delivered to the user through this parameter. This will be a read-only pointer into the buffer that the user can process directly or copy locally for parsing at a later time. |  |  |
| ptLen     | output       | If ptbuf is non-NULL this is the byte length of the data   |  |  |

| Return Value                  | Test | Description  |
|-------------------------------|------|--|
| MATRIXSSL_REQUEST_SEND        | > 0  | Success. The processing of the received data resulted in an SSL response message that needs to be sent to the peer. If this return code is hit the user should call matrixSslGetOutdata to retrieve the encoded outgoing data.   |
| MATRIXSSL_REQUEST_RECV        | > 0  | Success. More data must be received and this function must be called again. User must first call matrixSslGetReadbuf again to receive the updated buffer pointer and length to where the remaining data should be read into.   |
| MATRIXSSL_HANDSHAKE_COMPLETE  | > 0  | Success. The SSL handshake is complete. This return code is returned to client side implementation during a full handshake after parsing the FINISHED message from the server. It is possible for a server to receive this value if a resumed handshake is being performed where the client sends the final FINISHED message.  |
| MATRIXSSL_RECEIVED_ALERT      | > 0  | Success. The data that was processed was an SSL alert message. In this case, the ptbuf pointer will be two bytes (ptlen will be 2) in which the first byte will be the alert level and the second byte will be the alert description. After examining the alert, the user must call matrixSslProcessedData to indicate the alert was processed and the data may be internally discarded.     |
| MATRIXSSL_APP_DATA            | > 0  | Success. The data that was processed was application data that the user should process. In this return code case the ptbuf and ptLen output parameters will be valid. The user may process the data directly from ptbuf or copy it aside for later processing. After handling the data the user must call matrixSslProcessedData to indicate the plain text data may be internally discarded |
| MATRIXSSL_APP_DATA_COMPRESSED | > 0  | Success. The application data that is returned needs to be inflated with zlib before being processed. This return code is only possible if the USE_ZLIB_COMPRESSION define has been enabled and the peer has agreed to compression. Compression is not advised due to TLS attacks.   |



| PS_SUCCESS       | 0   | Success. This return code will be returned if the bytes parameter is 0 and there is no remaining internal data to process. This could be useful as a polling mechanism to confirm the internal buffer is empty. One real life use-case for this method of invocation is when dealing with a Google Chrome browser that uses False Start. |
|------------------|-----|--|
| PS_MEM_FAIL      | < 0 | Failure. Internal memory allocation error  |
| PS_ARG_FAIL      | < 0 | Failure. Bad input parameters  |
| PS_PROTOCOL_FAIL | < 0 | Failure. Internal protocol error   |

#### **Servers and Clients**

This function must be called each time data is received from the peer. The sequence of events surrounding this function is to call matrixSslGetReadbuf to retrieve empty buffer space, read or copy the received data from the peer into that buffer, and then call this function to allow MatrixSSL to decode the peer data. Notice the actual received buffer that is being processed is not passed as an input to this function, since it is internal to the SSL session structure. However, it is important that the bytes parameter correctly identifies how many bytes have been received, and thus be processed.

The return value from this function indicates how the user should respond next:

MATRIXSSL\_REQUEST\_RECV - The user must call matrixSslGetReadbuf again, copy additional peer data into the buffer, and call this function again. Typically this indicates that a partial record has been received, and more data must be read to complete the record. Also it can mean that a internal SSL record was processed internally and another record is expected to follow.

MATRIXSSL\_REQUEST\_SEND - The library has internally generated an SSL handshake response message to be sent to the peer. The user must call matrixSslGetOutdata, send the data to the peer, and then call matrixSslSentData.

MATRIXSSL\_HANDSHAKE\_COMPLETE - This is an indication that there are no remaining SSL handshake messages to be sent or received and the first application message can be sent. This is generally an important return code for a client application to handle because in most protocols it is the client that will be sending the initial application data request (such as an HTTPS GET or POST request). In this typical usage scenario, the user will then encrypt application data using the following steps: Call matrixSslGetWritebuf to retrieve an allocated buffer for outgoing application data, write the plaintext data to this buffer, call matrixSslEncodeWritebuf to encrypt the data, call matrixSslGetOutdata to retrieve the encrypted data, send that encrypted data to the peer, and finally call matrixSslSentData to notify the library the data has been sent.

*NOTE*: If this code is returned, there are not any additional full SSL records in the buffer available to parse, although there may be a partial record remaining. If there were a full SSL record available, for example an application data record, it would be parsed and MATRIXSSL APP DATA would be returned instead.

MATRIXSSL\_APP\_DATA - This means the received data was an application record and the plain text data is available in the ptbuf output parameter for user processing. The length of the plain text application data is indicated by the ptlen parameter. The user can either directly parse the read only data out of this buffer at this time or copy it aside to be parsed later. In either case it is essential the user call matrixSslProcessedData when finished working with it, so the buffer may be internally re-used and tested for the existence of an additional record. The user MUST parse or copy aside all unparsed data in the buffer, as it will be overwritten after the matrixSslProcessedData call.

NOTE: If application data has been appended to a handshake FINISHED message it is possible the MATRIXSSL\_APP\_DATA return code can be received without ever having received the MATRIXSSL\_HANDSHAKE\_COMPLETE return code. In this case, it is implied the handshake completed successfully because application data is being received.



MATRIXSSL\_RECEIVED\_ALERT - This means an alert has been decoded that the user should examine. The alert material will always be a two-byte plain text message available in the ptbuf parameter of the function (ptlen will be 2). The first byte will be the alert level. It will either be SSL\_ALERT\_LEVEL\_WARNING or SSL\_ALERT\_LEVEL\_FATAL. The second byte will be the alert identification as specified in the SSL and TLS RFC documents. It is sometimes possible to continue after receiving a WARNING level alert, but FATAL alerts should always result in the connection being closed. In either case the user should always call matrixSslProcessedData to update the library that the plain text data can be discarded.

## 2.17 matrixSslGetOutdata

int32 matrixSslGetOutdata(ssl\_t \*ssl, unsigned char \*\*buf);

| Parameter | Input/Output | Description   |  |
|-----------|--------------|---|--|
| ssl       | input        | The SSL session context   |  |
| buf       | output       | Pointer to beginning of data buffer that needs to be sent to the peer |  |

| Return Value | Description                                     |  |  |
|--------------|---|--|--|
| > 0          | The number of bytes in buf that need to be sent |  |  |
| 0            | No pending data to send                         |  |  |
| PS_ARG_FAIL  | Failure. Bad input parameters                   |  |  |

#### **Servers and Clients**

Any time the application is expecting to send data to a peer this function must be called to retrieve the memory location and length of the encoded SSL buffer. This API can also be polled to determine if there is encoded data pending that should be sent out the network.

The length of available bytes in buf is indicated in the return code.

There are several ways data can be encoded in outdata and ready to send:

- 1. After a client calls matrixSslNewClientSession this function must be called to retrieve the encoded CLIENT\_HELLO message that will initiate the handshake
- 2. After a client or server calls matrixSslEncodeRehandshake this function must be called to retrieve the encoded SSL message that will initiate the rehandshake
- 3. If the matrixSslReceivedData function returns MATRIXSSL\_REQUEST\_SEND this function must be called to retrieve the encoded SSL handshake reply.
- 4. After the user calls matrixSslEncodeWritebuf this function must be called to retrieve the encrypted buffer for sending.
- 5. After the user calls matrixSslEncodeToOutdata this function must be called to retrieve the encrypted buffer for sending.
- 6. After the user calls matrixSslEncodeClosureAlert to encode the CLOSE\_NOTIFY alert this function must be called to retrieve the encoded alert for sending.

After sending the returned bytes to the peer, the user must always follow with a call to matrixSslSentData to update the number of bytes that have been sent from the returned buf. Depending on how much data was sent, there may still be data to send within the internal outdata, and the function should be called again to ensure 0 bytes remain.



## 2.18 matrixSsIProcessedData

| Parameter | Input/Output | Description  |  |
|-----------|--------------|--|--|
| ssl       | input        | The SSL session context  |  |
| ptbuf     | output       | If another full application record was present in the buffer that was returned from matrixSslReceivedData, this will be an updated pointer to this next decrypted record.  Thus, this parameter is only meaningful if the return value of this function is MATRIXSSL_APP_DATA or MATRIXSSL_RECEIVED_ALERT. |  |
| ptlen     | output       | The length of the ptbuf parameter  |  |

| Return Value             | Test | Description  |  |
|--------------------------|------|--|--|
| PS_SUCCESS               | 0    | Success. This indicates that there are no additional records in the data buffer that require processing. The application protocol is responsible for deciding the next course of action.   |  |
| MATRIXSSL_APP_DATA       | > 0  | Success. There is a second application data record in the buffer that has been decoded. In this return code case the ptbuf and ptlen output parameters will be valid. The user may process the data directly from ptbuf or copy it aside for later processing. After handling the data the user must call matrixSslProcessedData again to indicate the plain text data may be internally discarded.                |  |
| MATRIXSSL_REQUEST_SEND   | > 0  | Success. This return code is possible if the buffer contained an application record followed by a SSL handshake message to initiate a re-handshake (CLIENT_HELLO or HELLO_REQUEST). In this case the SSL re-handshake response has been encoded and is waiting to be sent.   |  |
| MATRIXSSL_REQUEST_RECV   | > 0  | Success. This return code is possible if there is a partial second record that follows in the buffer. Data storage must be retrieved via matrixSslGetReadbuf and passed through the matrixSslReceivedData call again.  |  |
| MATRIXSSL_RECEIVED_ALERT | > 0  | Success. There is a second record in the data buffer that is an SSL alert message. In this case, the ptbuf pointer will be two bytes (ptlen will be 2) in which the first byte will be the alert level and the second byte will be the alert description. After examining the alert, the user must call matrixSslProcessedData again to indicate the alert was processed and the data may be internally discarded. |  |
| PS_MEM_FAIL              | < 0  | Failure. Internal memory allocation failure  |  |
| PS_ARG_FAIL              | < 0  | Failure. Bad input parameters  |  |
| PS_PROTOCOL_FAIL         | < 0  | Failure. Internal protocol error   |  |

#### **Servers and Clients**

This essential function is called after the user has finished processing plaintext application data that was returned from matrixSslReceivedData. Specifically, this function must be called if the return code from matrixSslReceivedData was MATRIXSSL\_APP\_DATA or MATRIXSSL\_RECEIVED\_ALERT.

It is also possible that this function be called multiple times in succession if multiple SSL records have been received in a single matrixSslReceivedData call. See the very important section Multi-Record Buffers immediately below.

Plaintext application data is returned to the user through matrixSslReceivedData on a per-record basis whose length is stored internal to the library as part of the buffer management. This is why there are no input parameters regarding the length of the processed data. This function will destroy the plaintext record that was retrieved through the previous matrixSslReceivedData call (or the previous matrixSslProcessedData call) so if the user requires the data to persist it must be copied aside before calling this function.



#### **Multi-Record Buffers**

The matrixsslReceivedData function will only process a single application data record at a time. However, it is possible there will be more than one record in the buffer. In this case the return code from matrixSslProcessedData will indicate the status of the next record in the buffer. Any return code other than Ps\_success (0) or a failure code (< 0) is an explicit indication that an additional record is present in the buffer and will inform the caller how it should be handled.

The multi-record return codes are a subset of the matrixSslReceivedData function and should be handled identically so it should be a straightforward code implementation to examine the return codes from this function in the standard processing loop. The *client.c* and *server.c* sample application files are a good reference for how to handle multi-record buffers.

### 2.19 matrixSslSentData

int32 matrixSslSentData(ssl t \*ssl, uint32 bytes);

| Parameter | Input/Output | Description   |  |
|-----------|--------------|---|--|
| ssl       | input        | The SSL session context   |  |
| bytes     | input        | Length, in bytes, of how much data has been written out to the peer |  |

| Return Value                 | Test | Description   |
|------------------------------|------|---|
| PS_SUCCESS                   | 0    | Success. No pending data remaining  |
| MATRIXSSL_REQUEST_SEND       | > 0  | Success. Call matrixSslGetOutdata again and send more data to the peer. Indicates the number of bytes sent was not the full amount of pending data. |
| MATRIXSSL_REQUEST_CLOSE      | > 0  | Success. This indicates the message that was sent to the peer was an alert and the caller should close the session.                                 |
| MATRIXSSL_HANDSHAKE_COMPLETE | > 0  | Success. Will be returned to the peer if this is the final FINISHED message that is being sent to complete the handshake.                           |
| PS_ARG_FAIL                  | < 0  | Failure. Bad input parameters.  |

#### **Servers and Clients**

This function must be called each time data has been sent to the peer. The flow of this function is that the user first calls <code>matrixSslGetOutdata</code> to retrieve the outgoing data buffer, the user sends part or all of this data, and then calls <code>matrixSslSentData</code> with how many bytes were actually sent.

The return value from this function indicates how the user should respond next:

MATRIXSSL\_REQUEST\_SEND - There is still pending data that needs to be sent to the peer. The user must call matrixSslGetOutdata, send the data to the peer, and then call matrixSslSentData again.

**MATRIXSSL\_SUCCESS** - All of the data has been sent and the application will likely move to a state of awaiting incoming data.

**MATRIXSSL\_REQUEST\_CLOSE** - All of the data has been sent and the application should close the connection. This will be the case if the data being sent is a closure alert (or fatal alert).

**MATRIXSSL\_HANDSHAKE\_COMPLETE** - This is an indication that this peer is sending the final FINISHED message of the SSL handshake. In general this will be an important return code for client



applications to handle because most protocols will rely on the client sending an initial request to the server once the SSL handshake is complete. If a client receives this return code, a resumed handshake has just completed.

## 2.20 matrixSslGetWritebuf

| Parameter    | Input/Output | Description  |  |
|--------------|--------------|--|--|
| ssl          | input        | The SSL session context  |  |
| buf          | output       | Pointer to allocated storage that the user will copy plaintext application data into |  |
| requestedLen | input        | The amount of buffer space, in bytes, the caller would like to use                   |  |

| Return Value | Test | Description  |
|--------------|------|--|
| > 0          |      | Success. The number of bytes available in buf. Might not be the same as requestedLen |
| PS_MEM_FAIL  | < 0  | Failure. Internal memory allocation error  |
| PS_ARG_FAIL  | < 0  | Failure. Bad input parameters  |
| PS_FAILURE   | < 0  | Failure. Internal error managing data buffers  |

#### **Servers and Clients**

This function is used in conjunction with matrixSslEncodeWritebuf when the user has application data that needs to be sent to the peer. This function will return an allocated buffer in which the user will copy the plaintext data that needs to be encoded and sent to the peer.

The event sequence for sending plaintext application data is as follows:

- 1. The user first determines the length of the plaintext that needs to be sent
- 2. The user calls matrixSslGetWritebuf with that length to retrieve an allocated buffer.
- 3. The user writes the plaintext into the buffer and then calls matrixSslEncodeWritebuf to encrypt the plaintext
- 4. The user calls matrixSslGetOutdata to retrieve the encoded data and length to be sent
- 5. The user sends the out data buffer contents to the peer
- 6. The user calls matrixSslSentData with the number of bytes that were sent

The internal buffer will grow to accommodate the requestedLen bytes and this function may be called multiple times (in conjunction with matrixSslEncodeWritebuf) before sending the data out via matrixSslGetOutdata. However, if the requested length is larger than the maximum allowed SSL plaintext length the return code will be smaller than the requestedLen value. In this fragmentation case, the caller must adhere to the returned length and only copy in as much plaintext as allowed. These two functions can then be called again immediately to retrieve a new buffer to encode the remainder of the plaintext data. It is also possible to receive a value that is smaller than requestedLen if using this function in MatrixDTLS when the encoded size will exceed the maximum datagram size (PMTU).

This function is most appropriate when sending a file or application data that is generated on the fly into the returned buffer. If the user wishes to encode an existing plaintext buffer the function, matrixSslEncodeToOutdata may be used as an alternative to this function to avoid having to copy the plaintext data into the returned buffer.

This function is specific to application level data. This function is not necessary during the SSL handshake portion of the connection because the MatrixSSL library internally generates all SSL handshake records.



## 2.21 matrixSsIEncodeWritebuf

int32 matrixSslEncodeWritebuf(ssl t \*ssl, uint32 len);

| Parameter | Input/Output | Description              |
|-----------|--------------|--------------------------|
| ssl       | input        | The SSL session context  |
| len       | input        | Length of plaintext data |

| Return Value     | Test | Description  |
|------------------|------|--|
| > 0              |      | Success. The number of bytes in the encoded buffer to send to the peer. Will be a larger value than the input $len$ parameter. |
| PS_ARG_FAIL      | < 0  | Failure. Bad input parameters  |
| PS_PROTOCOL_FAIL | < 0  | Failure. This session is flagged for closure at the time of this call  |
| PS_FAILURE       | < 0  | Failure. Internal error managing buffers   |

#### Servers and Clients

This function is used in conjunction with matrixSslGetWritebuf when the user has application data that needs to be sent to the peer. This function will encrypt the plaintext data that has been copied into the buffer that was previously returned from a call to matrixSslGetWritebuf.

The event sequence for sending plaintext application data is as follows:

- 1. The user first determines the length of the plaintext that needs to be sent
- 2. The user calls matrixSslGetWritebuf with that length to retrieve an allocated buffer.
- 3. The user writes the plaintext into the buffer and then calls matrixSslEncodeWritebuf to encrypt the plaintext
- 4. The user calls matrixSslGetOutdata to retrieve the encoded data to be sent
- 5. The user sends the out data buffer contents to the peer
- 6. The user calls matrixSslSentData with the number of bytes that were sent

If the user wishes to encode an existing plaintext buffer the function <code>matrixSslEncodeToOutdata</code> may be used as an alternative to this function. This function is specific to application level data. This function is not necessary during the SSL handshake portion of the connection because the MatrixSSL library internally generates all SSL handshake records.

## 2.22 matrixSslEncodeToOutdata

int32 matrixSslEncodeToOutdata(ssl t \*ssl, unsigned char \*ptBuf, uint32 len);

| Parameter | Input/Output | Description   |
|-----------|--------------|---|
| ssl       | input        | The SSL session context   |
| ptBuf     | input        | Pointer to plaintext application data that will be encrypted into the internal outdata buffer for sending to the peer |
| len       | input        | Length, in bytes, of ptBuf  |



| Return Value     | Test | Description  |
|------------------|------|--|
| > 0              |      | Success. The number of bytes in the encoded buffer to send to the peer. Will be a larger value than the input len parameter.   |
| PS_LIMIT_FAIL    | < 0  | Failure. The plaintext length must be smaller than the SSL specified value of 16KB. In MatrixDTLS this return code indicates the encoded size will exceed the maximum datagram size. |
| PS_MEM_FAIL      | < 0  | Failure. The internal allocation of the destination buffer failed.   |
| PS_ARG_FAIL      | < 0  | Failure. Bad input parameters  |
| PS_PROTOCOL_FAIL | < 0  | Failure. This session is flagged for closure.  |
| PS_FAILURE       | < 0  | Failure. Internal error managing buffers.  |

#### **Servers and Clients**

This function offers an alternative method to matrixSslEncodeWritebuf when the user has application data that needs to be sent to the peer. This function will encrypt the plaintext data to the internal output buffer while leaving the plaintext data untouched. This function does not require that matrixSslGetWritebuf be called first.

This function is specific to application level data. This function is not necessary during the SSL handshake portion of the connection because the MatrixSSL library internally generates any SSL handshake records.

The event sequence for sending plaintext application data is as follows:

- 1. The user calls matrixSslEncodeToOutdata with the plaintext buffer location and length.
- 2. The user calls matrixsslGetOutdata to retrieve the encoded data to be sent
- 3. The user sends the out data buffer contents to the peer
- 4. The user calls matrixSslSentData with the number of bytes that were sent

## 2.23 matrixSslEncodeClosureAlert

int32 matrixSslEncodeClosureAlert(ssl t \*ssl);

| Parameter | Input/Output | Description             |
|-----------|--------------|-------------------------|
| ssl       | input        | The SSL session context |

| Return Value     | Test | Description   |
|------------------|------|---|
| PS_SUCCESS       | 0    | Success. The alert is ready to be retrieved and sent.   |
| PS_PROTOCOL_FAIL | < 0  | Failure. SSL context not in correct state to create the alert or there was an error encrypting the alert message. |
| PS_ARG_FAIL      | < 0  | Failure. Bad input parameter  |
| PS_MEM_FAIL      | < 0  | Failure. Internal memory allocation error   |

### **Servers and Clients**

The SSL specification highlights an optional alert message that SHOULD be sent prior to closing the communication channel with a peer. This function generates this CLOSE\_NOTIFY alert that the peer may send to the other side to notify that the connection is about to be closed. Many implementations simply close the connection without an alert, but per spec, this message should be sent first. Our recommendation is to make an attempt to send the closure alert as a non-blocking message and ignore the return value of the attempt. This way, best efforts are made to send the alert before closing, but application code does not block or fail on a connection that is about to be closed.

After calling this function the user must call matrixSslGetOutdata to retrieve the buffer for the encoded alert to send.



## 2.24 matrixSslGetAnonStatus

void matrixSslGetAnonStatus(ssl t \*ssl, int32 \*anon);

| Parameter | Input/Output | Description                        |
|-----------|--------------|------------------------------------|
| ssl       | input        | The SSL session context            |
| anon      | output       | 1 – Anonymous<br>0 - Authenticated |

#### Clients

This function returns whether or not the server session is anonymous in the anon output parameter. A value of 1 indicates the peer is anonymous and a value of 0 indicates the connection has been fully authenticated. An anonymous connection in this case means the application explicitly allowed the SSL handshake to continue despite not being able to authenticate the certificate supplied by the other side with an available Certificate Authority. The mechanism to allow an anonymous connection is for the certificate validation callback function to return SSL\_ALLOW\_ANON\_CONNECTION. Detailed information on the callback routine can be found below in the section entitled **The Certificate Validation Callback Function**.

matrixsslGetAnonStatus is only meaningful to call after the successful completion of the SSL handshake. Anonymous connections are not normally recommended but can be useful in a scenario in which encryption is the only security concern. Other reasons the caller may choose to use anonymous connections might be to allow a subset of the normal functionality to anonymous connectors or to temporarily accept a connection while a certificate upgrade is being performed.

#### **Servers**

Calling this routine from the server side is meaningless for an implementation that has not performed client authentication. In other words, it is not possible for one side of the connection to know if the peer believes the connection to be anonymous or not. This is an easy rule to remember if you recall the mechanism to allow anonymous connections is controlled through the certificate validation callback routine when the SSL ALLOW ANON CONNECTION define is returned.

## 2.25 matrixSslEncodeRehandshake

| Parameter     | Input/Output | Description   |
|---------------|--------------|---|
| ssl           | input        | The SSL session context   |
| keys          | input        | Populated key structure if changing key material for this re-handshake. NULL if not changing key material                               |
| certCb        | input        | Certificate callback function for the re-handshake if a change is being made to it. NULL to keep existing callback                      |
| sessionOption | input        | SSL_OPTION_FULL_HANDSHAKE or 0  |
| cipherSpecs   | input        | Client specific. Cipher suites for the re-handshake. Only meaningful if the sessionOption parameter is set to SSL_OPTION_FULL_HANDSHAKE |
| cipherCount   | input        | If cipherSpecs is used to nominate specific suites, this parameter must be the array size.  |



| Return Value        | Test | Description  |  |
|---------------------|------|--|--|
| PS_SUCCESS          | 0    | Success. Handshake message is encoded and ready for retrieval.                           |  |
| PS_UNSUPPORTED_FAIL | < 0  | Failure. Client specific. Cipher spec could not be found.                                |  |
| PS_PROTOCOL_FAIL    | < 0  | Failure. SSL context not in correct state for a re-handshake or buffer management error. |  |
| PS_ARG_FAIL         | < 0  | Failure. Bad input parameter   |  |
| PS_MEM_FAIL         | < 0  | Failure. Internal memory allocation error  |  |
| PS_PLATFORM_FAIL    | < 0  | Failure. Client specific. Error in psGetEntropy when encoding CLIENT_HELLO               |  |

#### **Clients and Servers**

Clients or servers call this function on an already secure connection to initiate a re-handshake. A re-handshake is an encrypted SSL handshake performed over an existing connection in order to derive new symmetric key material and/or to change the public keys or cipher suite of the secured communications.

A re-handshake can either be a full handshake or a resumed handshake and the determination is made by the input parameters to this function.

A resumed re-handshake will be used if the keys, certCb, sessionOption, and cipherSpecs parameters are all set to 0 (or NULL for pointers). This is an indication that there is no underlying algorithm or handshake type change that is being made to the connection and the intention is simply to re-key the encrypted communications.

If the keys, certCb, or cipherSpecs parameters are set, this is an indication that an "upgraded" connection is desired and a full handshake will be performed with the new parameters.

A full re-handshake can always be guaranteed if  ${\tt SSL\_OPTION\_FULL\_HANDSHAKE}$  is passed as the  ${\tt sessionOption}$  parameter to this function.

After calling this function the user must call matrixSslGetOutdata to retrieve the buffer for the encoded HELLO message to send.

#### **Servers**

This function is called on the server side to build a HELLO\_REQUEST message to be passed to a client to initiate a re-handshake. This is the only mechanism in the SSL protocol that allows the server to initiate a handshake.

As with  ${\tt matrixSslNewServerSession}$  the nomination of a  ${\tt certCb}$  is in explicit indication that a client authentication handshake should be performed.

Note that the SSL specification allows clients to ignore a HELLO\_REQUEST message. The MatrixSSL client does not ignore this message and will send a CLIENT\_HELLO message with the current session ID to initiate a resumed handshake.

#### Clients

If a client invokes this function a new CLIENT\_HELLO handshake message will be internally generated.

For more information about re-handshaking and related security issues, see the Re-handshake section of the <u>MatrixSSL Developers Guide</u>.



## 2.26 matrixSslDisableRehandshakes

int32 matrixSslDisableRehandshakes(ssl t \*ssl);

| Parameter | Input/Output | Description             |
|-----------|--------------|-------------------------|
| ssl       | input        | The SSL session context |

| Return Value | Test | Description                  |
|--------------|------|------------------------------|
| PS_SUCCESS   | 0    | Success.                     |
| PS_ARG_FAIL  | < 0  | Failure. Bad input parameter |

#### **Clients and Servers**

Clients or servers call this function on sessions to disable engaging in a re-handshake with a peer that is attempting to initiate one. Once called, this function will internally generate a NO\_RENEGOTIATION alert each time a peer attempts a re-handshake.

**NOTE**: This ability to disable and re-enable re-handshake support overrides the "re-handshake credit" mechanism. For more information on the "re-handshake credit" mechanism see the Re-handshake section of the MatrixSSL Developers Guide.

## 2.27 matrixSsIReEnableRehandshakes

int32 matrixSslReEnableRehandshakes(ssl t \*ssl);

| Parameter Input/Output |       | Description             |  |
|------------------------|-------|-------------------------|--|
| ssl                    | input | The SSL session context |  |

| Return Value | Test | Description                  |
|--------------|------|------------------------------|
| PS_SUCCESS   | 0    | Success.                     |
| PS_ARG_FAIL  | < 0  | Failure. Bad input parameter |

#### **Clients and Servers**

Clients or servers call this function on sessions that have been previous disabled with matrixSslDisableRehandshakes. Once called, this function will internally generate the proper handshake message response next time a peer attempts a re-handshake. Once re-enabled the "re-handshake credit" mechanism is enforced as normal. One "re-handshake credit" is given when this function is called.

**NOTE**: This ability to disable and re-enable re-handshake support overrides the "re-handshake credit" mechanism. For more information on the "re-handshake credit" mechanism see the Re-handshake section of the MatrixSSL Developers Guide.



## 2.28 matrixSslSetCipherSuiteEnabledStatus

| Parameter | Input/Output | Description   |
|-----------|--------------|---|
| ssl       | input        | The SSL session context or NULL for a global setting  |
| cipherId  | input        | A single SSL/TLS specification cipher suite ID. Values may be found in matrixsss/lib.h            |
| status    | input        | PS_FALSE to disabled the cipher suite or PS_TRUE to re-enable a previously disabled cipher suite. |

| Return Value  | Test | Description   |
|---------------|------|---|
| PS_SUCCESS    | 0    | Success. Cipher suite has been successfully enabled or disabled                                     |
| PS_FAILURE    | < 0  | Failure. The cipher suite specified in cipherId was not found                                       |
| PS_LIMIT_FAIL | < 0  | Failure. No additional room to store disabled cipher. Increase the SSL_MAX_DISABLED_CIPHERS define. |
| PS_ARG_FAIL   | < 0  | Failure. Bad input parameter  |

#### **Servers**

This function may be called on the server side to programmatically disable (PS\_FALSE) and re-enable (PS\_TRUE) cipher suites that have been compiled into the library. By default, all cipher suites compiled into the library (as defined in *matrixsslConfig.h*) will be enabled and available for clients to connect with.

The disabling of a cipher suite may be done at a global level or a per-session level. If the ssl parameter to this routine is NULL, the setting will be global. If the server wishes to disable ciphers on a per-session basis this function must be called immediately after matrixSslNewServerSession using the new  $ssl_t$  structure that was returned from that session creation function. If a cipher suite has been globally disabled the per-session setting will be ignored.

The maximum number of cipher suites that may be disabled on a per-session basis is determined by the value of SSL\_MAX\_DISABLED\_CIPHERS. The default is 8. There is no limit to the number of cipher suites that may be globally disabled.

## 2.29 matrixSsIDeleteSession

void matrixSslDeleteSession(ssl t \*ssl);

| Parameter | Input/Output | Description             |
|-----------|--------------|-------------------------|
| ssl       | input        | The SSL session context |

#### **Servers and Clients**

This function is called at the conclusion of an SSL session that was created using matrixSslNewServerSession or matrixSslNewClientSession. This function will free the internally allocated state and buffers associated with the session. It should be called after the corresponding socket or network transport has been closed.



## 2.30 matrixSsIDeleteSessionTicketKey

| Parameter | Input/Output | Description  |
|-----------|--------------|--|
| keys      | input        | The keys context   |
| name      | input        | The name of the key to delete from the session ticket key list |

#### Servers

If a session ticket key needs to be removed from the list, this function will perform that. If the first entry in the list is removed the new first entry will become the key used to encrypt newly issued tickets. If the final entry in the list is removed, the servers will no longer support the session ticket mechanism.

| Return Value Test De |     | Description                        |
|----------------------|-----|------------------------------------|
| PS_SUCCESS           | 0   | Success. Key was found and deleted |
| PS_FAILURE           | < 0 | Failure. The key was not found     |

## 2.31 matrixSsIDeleteKeys

void matrixSslDeleteKeys(sslKeys t \*keys);

| Parameter | Input/Output | Description   |
|-----------|--------------|---|
| keys      | input        | A pointer to an sslKeys_t value returned from a previous call to matrixSslNewKeys |

#### **Servers and Clients**

This function is called to free the key structure and elements allocated from a previous call to matrixSslNewKeys. Any key material that was loaded into the key structure using matrixSslLoadRsaKeys, matrixSslLoadEcKeys, matrixSslLoadDhParams, Or matrixSslLoadPsk will also be freed and the dedicated memory pool (if USE MATRIX MEMORY MANAGEMENT) will be closed.

## 2.32 matrixSslClose

void matrixSslClose(void);

#### **Servers and Clients**

This function performs the one-time final cleanup for the MatrixSSL library. Applications should call this function as part of their own de-initialization.



### 2.33 matrixSsINewHelloExtension

| Parameter   | Input/Output | Description   |
|-------------|--------------|---|
| extension   | output       | Newly allocated tlsExtension_t structure to be used as input to matrixSslLoadHelloExtension   |
| poolUserPtr | input        | Optional user context for the creation of the memory pool that will hold the extension material. Only relevant to commercial versions when USE_MATRIX_MEMORY_MANAGEMENT is enabled. NULL otherwise. |

| Return Value | Test | Description                                       |
|--------------|------|---|
| PS_SUCCESS   | 0    | Success. The extension parameter is ready for use |
| PS_MEM_FAIL  | < 0  | Failure. Internal memory allocation failure       |

#### Clients

Facilitates support for the client side hello extension mechanism defined in RFC 3546. This function allocates a new tlsExtension\_t that matrixSslLoadHelloExtension will use to populate with extension data. This populated extension parameter will eventually be passed to matrixSslNewClientSession in the extensions input parameter so that CLIENT\_HELLO will be encoded with the desired hello extensions.

If the client is expecting the server to reply with extension data in the SERVER\_HELLO message, the client should register an extension callback routine when calling matrixSslNewClientSession.

#### **Memory Profile**

The user must free tlsExtension\_t with matrixSslDeleteHelloExtension after the useful life. The extension data is internally copied into the CLIENT\_HELLO message during the call to matrixSslNewClientSession so matrixSslDeleteHelloExtension may be called immediately after matrixSslNewClientSession if the user does not require further use.

## 2.34 matrixSslLoadHelloExtension

| Parameter | Input/Output | Description   |
|-----------|--------------|---|
| extension | input        | Previously allocated tlsExtension_t structure from a call to matrixSslNewExtension  |
| extData   | input        | A single, fully encoded hello extension to be included in the CLIENT_HELLO message. Formats for extensions can be found in RFC 3546 |
| extLen    | input        | Length, in bytes, of extData  |
| extType   | input        | The standardized extension type.  |

| Return Value | Test | Description                                       |
|--------------|------|---|
| PS_SUCCESS   | 0    | Success. The data has been added to the extension |
| PS_MEM_FAIL  | < 0  | Failure. Memory allocation failure                |
| PS_ARG_FAIL  | < 0  | Failure. Bad input parameters                     |

#### Clients

Enables basic support for the client side hello extension mechanism, as defined in RFC 3546.



Extension data to the extData must be formatted per specification. For example, the ServerNameList extension must be encoded in the format per RFC 3546:

```
struct {
        NameType name_type;
        select (name_type) { case host_name: HostName; } name;
} ServerName;
enum { host_name(0), (255) } NameType;
opaque HostName<1..2^16-1>;
struct { ServerName server name list<1..2^16-1> } ServerNameList;
```

The <code>extType</code> parameter will also be a value as specified by a standards body. The extensions defined in RFC 3546, for example:

```
enum {
    server_name(0), max_fragment_length(1),
    client_certificate_url(2), trusted_ca_keys(3),
    truncated_hmac(4), status_request(5), (65535)
} ExtensionType;
```

It is possible to call this function multiple times for each extension that needs to be added. On success, this populated extension parameter will be passed to matrixSslNewClientSession in the extensions input parameter so that CLIENT\_HELLO will be encoded with the desired hello extensions.

Note the current level of support in MatrixSSL does not include the additional handshake messages of CERTIFICATE\_URL and CERTIFICATE\_STATUS that accompany some of these extension types. For information on how to fully support these features, please contact Inside Secure.

If the client is expecting the server to reply with extension data in the SERVER\_HELLO message, the client should register an extension callback routine when calling matrixSslNewClientSession.

#### **Memory Profile**

The extData memory is internally copied into the extension structure so the caller may immediately free extData upon return from this function.

## 2.35 matrixSsIDeleteHelloExtension

void matrixSslDeleteHelloExtension(tlsExtension t \*extension);

| Parameter | Input/Output | Description  |  |
|-----------|--------------|--|--|
| extension | input        | A pointer to an tlsExtension_t value returned from a previous call to matrixSslNewHelloExtension |  |

#### Clients

This function is called to free the structure allocated from a previous call to matrixSslNewHelloExtension. Any extension material that was loaded into the key structure using matrixSslLoadHelloExtension will also be freed.

It is possible to call this function immediately after matrixSslNewClientSession returns because the extension data will have been internally copied into the CLIENT\_HELLO message.

### **Define Dependencies**



| USE_CLIENT_SIDE_SSL | Must be enabled in matrixsslConfig.h |
|---------------------|--------------------------------------|
|---------------------|--------------------------------------|

## 2.36 matrixSsllsSessionCompressionOn

int32 matrixSslIsSessionCompressionOn(ssl t \*ssl);

| Parameter Input/Output |       | Description             |  |
|------------------------|-------|-------------------------|--|
| ssl                    | input | The ssl session context |  |

| Return Value | Test | Description  |
|--------------|------|--|
| PS_TRUE      | > 0  | Yes, the session has been negotiated to a compressed state and application data must be compressed before encryption |
| PS_FALSE     | == 0 | No, application data should not be compressed prior to encrypting  |

#### **Servers and Clients**

This function is called to test whether the session has been negotiated to a zlib compression state. This would only be possible if USE\_ZLIB\_COMPRESSION has been enabled for the library. If this function returns PS\_TRUE, all application data must be compressed by the application prior to sending it to the MatrixSSL public APIs for encryption.

## 2.37 matrixSslRegisterSNlCallback

| Ī | Parameter | Input/Output | Description                   |
|---|-----------|--------------|-------------------------------|
| Ī | ssl       | input        | The ssl session context.      |
| Ī | sni_cb    | input        | The callback being registered |

#### Servers

This function is to support the Server Name Indication hello extensions. It is relevant to servers that are expecting clients to connect with an explicit server hostname in the CLIENT\_HELLO. The server will use this mechanism to locate the correct X.509 certificate and private key to accommodate the client.

This function **MUST** be called immediately after matrixsslNewServerSession, prior to any data processing, so that the callback can be registered before the parsing of the CLIENT\_HELLO message. The server still must invoke matrixsslNewServerSession with valid default keys to initialize the state for cases in which a client does not provide a Server Name Indication extension.

When the user callback is invoked, the hostname and hostnameLen will be used to identify the proper key material and that key material will be passed back in the output double pointer newKeys in the sslKeys\_t structure format. It is the responsibility of the application to manage the sslKeys\_t structure by calling one of the matrixSslLoad variants (matrixSslLoadRsaKeys for example) from the key load family of APIs and to destroy the sslKeys t structure using matrixSslDeleteKeys after the useful life.

The success or failure of locating and loading the proper key material is indicated through the successful assignment of <code>newKeys</code>. If keys cannot be found or loaded a <code>NULL</code> assignment should be made to <code>newKeys</code>. In this case the server will send a fatal <code>UNRECOGNIZED NAME</code> alert to the client.



#### **Memory Profile**

The application is responsible for managing the sslkeys\_t structure that is returned in the newKeys output parameter of the callback.

#### **Define Dependencies**

| USE_SERVER_SIDE_SSL | Must be enabled in matrixsslConfig.h |
|---------------------|--------------------------------------|
|                     |                                      |

### 2.38 matrixSslCreateSNlext

| Parameter | Input/Output | Description  |
|-----------|--------------|--|
| pool      | input        | The memory pool to use in the allocation of the output buffer. NULL if not needed. |
| host      | input        | The hostname of the server that the client wishes to connect to                    |
| hostLen   | input        | The byte length of the host parameter  |
| extOut    | output       | The returned formatted SNI extension buffer  |
| extLen    | output       | The byte length of the output extOut parameter                                     |

| Return Value | Test | Description               |
|--------------|------|---------------------------|
| PS_SUCCESS   | == 0 | Success                   |
| PS_MEM_FAIL  | < 0  | Memory allocation failure |

#### Clients

This utility function helps format the Server Name Indication extension for including in the CLIENT\_HELLO message. The resulting output in <code>extOut</code> should be fed into the <code>matrixSslLoadHelloExtension</code> API with the value of <code>EXT SNI</code> as the <code>extType</code>.

#### **Memory Profile**

The application should free the returned <code>extOut</code> memory buffer after the call to <code>matrixSslLoadHelloExtension</code> since that function will copy the data internally.

# 2.39 matrixSslRegisterALPNCallback

| Parameter   | Input/Output | Description                        |
|-------------|--------------|------------------------------------|
| ssl         | input        | The ssl session context            |
| srv_alpn_cb | input        | The ALPN callback being registered |



#### Servers

This function is to support the Application Layer Protocol Negotiation hello extension defined in RFC 7301. It is relevant to servers that are expecting clients to use this extension to negotiate the protocol that will be used at the conclusion of the TLS handshake.

This function **MUST** be called immediately after matrixSslNewServerSession, prior to any data processing, so that the callback can be registered before the parsing of the CLIENT HELLO message.

The server ALPN callback that is registered must have a prototype of:

```
void ALPN_callback(void *ssl, short protoCount, char *proto[MAX_PROTO_EXT],
  int32 protoLen[MAX_PROTO_EXT], int32 *index)
```

The ssl parameter is the session context and may be typecast to an ssl t\* type if access is required.

The protoCount is the number of protocols that the client has sent in the CLIENT\_HELLO extension. It is the count of the number of array entries in the proto and protoLen parameters to follow.

The proto parameter is the priority-ordered list of string protocol names the client wants to communicate with following the TLS handshake. The protoLen parameter holds the string lengths of the protocol.

The index parameter is an **output** that the callback logic will assign based on the desired action:

- The index of the proto array member the server has agreed to use. The index is the zero-based index to the array so a return value of 0 will indicate the first protocol in the list. This selection will result in the server including its own ALPN extension in the SERVER\_HELLO message with the chosen protocol.
- A negative value assigned to index indicates the server is not willing to communicate using any of the protocols. A fatal "no\_application\_protocol" alert will be sent to the client and the handshake will terminate.
- If the callback does not assign any value to the outgoing parameter, the server will not take any action. That is, neither a reply ALPN extension nor an alert will be sent to the client and the handshake will continue normally.

#### **Define Dependencies**

| USE_ALPN | Must be enabled in <i>matrixsslConfig.h</i> |
|----------|---|
|          | 1   |

### 2.40 matrixSslCreateALPNext

| Parameter  | Input/Output | Description   |
|------------|--------------|---|
| pool       | input        | The memory pool to use in the allocation of the output buffer. NULL if not needed.        |
| protoCount | input        | The count of protocols provided in the proto and protoLen parameters                      |
| proto      | input        | The string array of protocols the client is able to use in communications with the server |
| protoLen   | input        | The integer array of lengths corresponding to the protocols in the proto parameter        |



| extOut | output | The returned formatted ALPN extension buffer   |
|--------|--------|--|
| extLen | output | The byte length of the output extOut parameter |

| Return Value | Test | Description   |
|--------------|------|---|
| PS_SUCCESS   | == 0 | Success   |
| PS_MEM_FAIL  | < 0  | Memory allocation failure   |
| PS_ARG_FAIL  | < 0  | The protoCount param is larger than the MAX_PROTO_EXT define or a protocol string length is too large |

#### Clients

This utility function helps format the Application Layer Protocol Negotiation extension for including in the CLIENT\_HELLO message. The resulting output in extOut should be fed into the matrixSslLoadHelloExtension API with the value of EXT ALPN as the extType.

#### **Memory Profile**

The application should free the returned <code>extOut</code> memory buffer after the call to <code>matrixSslLoadHelloExtension</code> since that function will copy the data internally.

#### **Define Dependencies**

| USE_ALPN Must be enabled in <i>matrixsslConfig.</i> . |
|---|
|---|

# 2.41 matrixSslLoadOCSPResponse

| Parameter       | Input/Output | Description   |
|-----------------|--------------|---|
| keys            | input        | An allocated sslKeys_t structure in which to add the OCSP response buffer |
| OCSPResponse    | input        | The ASN.1 X.509 OCSP response for the server's identity certificate       |
| OCSPResponseLen | input        | The byte length of OCSPResponse   |

| Return Value | Test | Description                    |
|--------------|------|--------------------------------|
| PS_SUCCESS   | == 0 | Success                        |
| PS_MEM_FAIL  | < 0  | Memory allocation failure      |
| PS_ARG_FAIL  | < 0  | Input parameters are NULL or 0 |

#### **Servers**

A server application wishing to support OCSP stapling must keep an updated OSCP response loaded into the key material by calling <code>matrixSslLoadOCSPResponse</code>. This function takes a fully formed OCSPResponse ASN.1 buffer and loads it into the provided <code>sslKeys\_t</code> structure. When a new OSCP response is fetched, the same <code>matrixSslLoadOCSPResponse</code> API can be called to delete any previous response and load the update.

When a client sends the <code>status\_request</code> extension the server will look to see if an OCSP response is available in the <code>sslkeys\_t</code> structure and reply with a <code>status\_request</code> extension and the <code>CERTIFICATE\_STATUS</code> message.



### **Memory Profile**

The OCSP response will be freed when matrixSslDeleteKeys is called.

### **Define Dependencies**

| USE_OCSP Must be enabled in <i>cryptoConfig.h</i> |
|---|
|---|

## 2.42 matrixSslWriteOCSPRequest

| Parameter  | Input/Output | Description  |
|------------|--------------|--|
| pool       | input        | The memory pool to use in the allocation of the output buffer. NULL if not needed. |
| cert       | input        | The certificate for which the OCSP request is being made                           |
| certIssuer | input        | The issuing certificate of the subject cert  |
| request    | output       | The DER stream of the generated OCSP request                                       |
| requestLen | output       | Byte length of request   |

| Return Value | Test | Description               |
|--------------|------|---------------------------|
| PS_SUCCESS   | == 0 | Success                   |
| PS_MEM_FAIL  | < 0  | Memory allocation failure |

This function will generate an OCSP request that can be sent to an OCSP responder to retrieve an updated response.

The ./apps/ssl/server.c example application has a sample usage of this API along with how to insert the request into an HTTP POST to send the request and receive the response from an OCSP responder.

#### **Memory Profile**

The request must be freed with psFree.

### **Define Dependencies**

| USE_OCSP | Must be enabled in cryptoConfig.h |
|----------|-----------------------------------|
|----------|-----------------------------------|



## 3 MATRIXDTLS API

DTLS is an extension of the TLS protocol that enables the same strong level of security to be implemented over non-reliable transport mechanisms such as UDP. In addition to this API documentation, the MatrixDTLS Developer's Guide discusses all the differences that a developer needs to know when implementing MatrixDTLS.

## 3.1 Debug Configuration

The *matrixsslConfig.h* file contains the full set of compile-time configurable options for the protocol. Most of the features are documented in the *MatrixSSL Developer Guide*.

## 3.2 Integration Notes

With the exception of two functions, the entire MatrixSSL public API set is available for use in MatrixDTLS and this MatrixSSL API document is the primary technical reference for the interface for both products.

In MatrixDTLS the function matrixDtlsGetOutdata is used instead of matrixSslGetOutdata and the function matrixDtlsSentData is used instead of matrixSslSentData. The prototypes for these functions are identical to their MatrixSSL counterparts and are documented below.

The only other change that is required for DTLS use is to pass <code>SSL\_FLAGS\_DTLS</code> in the <code>versionFlag</code> member of the <code>options</code> structure as the final parameter to <code>matrixSslNewClientSession</code> and <code>matrixSslNewServerSession</code>.

### 3.3 matrixDtlsGetOutdata

int32 matrixDtlsGetOutdata(ssl\_t \*ssl, unsigned char \*\*buf);

| Parameter | Input/Output | Description   |
|-----------|--------------|---|
| ssl       | input        | The SSL session context   |
| buf       | output       | Pointer to beginning of data buffer that needs to be sent to the peer |

| Return Value | Description                                     |
|--------------|---|
| 0            | No pending data to send                         |
| > 0          | The number of bytes in buf that need to be sent |
| PS_ARG_FAIL  | Failure. Bad input parameters                   |

This function must be used instead of matrixSslGetOutdata

#### **Servers and Clients**

Any time the application is expecting to send data to a peer this function must be called to retrieve the memory location and length of the encoded DTLS buffer. This API is used in conjunction with matrixDtlsSentData and MUST be called in a loop until it returns 0.

The length of encoded bytes in buf that needs to be sent is passed through the return code and that value will always be within the Maximum Transmission Unit that was set by default with the DTLS\_PMTU define or the updated value set by matrixDtlsSetPmtu.



The unique DTLS functionality included in this version of <code>GetOutdata</code> is that it will return an encoded flight of handshake messages that has previously been sent. This resend case must be determined by the application itself if a timeout from the peer has occurred. This case is highlighted as number 7 in the following list.

There are several ways data can be encoded into outdata and ready to send:

- 1. After a client calls matrixsslNewClientSession this function must be called to retrieve the encoded CLIENT\_HELLO message that will initiate the handshake
- 2. After a client or server calls matrixSslEncodeRehandshake this function must be called to retrieve the encoded SSL message that will initiate the re-handshake
- 3. If the matrixSslReceivedData function returns MATRIXSSL\_REQUEST\_SEND this function must be called to retrieve the encoded SSL handshake reply.
- 4. After the user calls matrixSslEncodeWritebuf this function must be called to retrieve the encrypted buffer for sending.
- 5. After the user calls matrixSslEncodeClosureAlert to encode the CLOSE\_NOTIFY alert this function must be called to retrieve the encoded alert for sending.
- 6. After the user calls matrixSslEncodeToOutdata this function must be called to retrieve the encrypted buffer for sending.
- 7. If the application logic has determined a DTLS timeout has occurred during the handshake phase this function must be called to rebuild the previous flight of handshake message to be resent to the peer.

After sending the returned bytes to the peer, the user must always follow with a call to matrixDtlsSentData to update the number of bytes that have been sent from the returned buf. After each call to matrixDtlsSentData this function must be called again to set the resend state machine to the proper state.

## 3.4 matrixDtlsSentData

int32 matrixDtlsSentData(ssl t \*ssl, uint32 bytes);

| Parameter | Input/Output | Description   |
|-----------|--------------|---|
| ssl       | input        | The SSL session context   |
| bytes     | input        | Length, in bytes, of how much data has been written out to the peer |

| Return Value                 | Test | Description  |
|------------------------------|------|--|
| MATRIXSSL_REQUEST_SEND       | > 0  | Success. Call matrixDtlsGetOutdata again and send more data to the peer. The number of bytes sent was not the full amount of pending data. |
| MATRIXSSL_SUCCESS            | 0    | Success. No pending data remaining.  |
| MATRIXSSL_REQUEST_CLOSE      | > 0  | Success. If this was an alert message that was being sent, the caller should close the session.  |
| MATRIXSSL_HANDSHAKE_COMPLETE | > 0  | Success. Will be returned to the peer if this is the final FINISHED message that is being sent to complete the handshake.                  |
| PS_ARG_FAIL                  | < 0  | Failure. Bad input parameters.   |

This function must be used instead of matrixSslSentData



#### **Servers and Clients**

This function must be called each time data has been sent to the peer. The flow of this function is that the user first calls <code>matrixDtlsGetOutdata</code> to retrieve the outgoing data buffer, the user sends part or all of this data, and then calls <code>matrixDtlsSentData</code> with how many bytes were actually sent.

The return value from this function indicates how the user should respond next:

MATRIXSSL\_REQUEST\_SEND - There is still pending data that needs to be sent to the peer. The user must call matrixDtlsGetOutdata, send the data to the peer, and then call matrixDtlsSentData again.

**MATRIXSSL\_SUCCESS** - All of the data has been sent and the application will likely move to a state of awaiting incoming data. The application must call matrixDtlsGetOutdata next.

**MATRIXSSL\_REQUEST\_CLOSE** - All of the data has been sent and the application should close the connection. This will be the case if the data being sent is a closure alert (or fatal alert).

MATRIXSSL\_HANDSHAKE\_COMPLETE - This is an indication that this peer is sending the final FINISHED message of the SSL handshake. In general this will be an important return code for client applications to handle because most protocols will rely on the client sending an initial request to the server once the SSL handshake is complete. If a client receives this return code, a resumed handshake has just completed. For details on how to handle handshake completion see the MatrixDTLS Developer's Guide. The application must call matrixDtlsGetOutdata next.

### 3.5 matrixDtlsSetPmtu

int32 matrixDtlsSetPmtu(int32 pmtu);

| Parameter | Input/Output | Description   |
|-----------|--------------|---|
| pmtu      | input        | The new Path Maximum Transmission Unit size for a datagram. <0 to reset the default value |
|           |              | defined by DTLS_PMTU  |

| Return Value | Description        |
|--------------|--------------------|
| > 0          | The new PMTU value |

#### Servers and Clients

This function is used to modify the global PMTU setting for the library. It is essential that the server and client in a DTLS connection agree on the maximum datagram size they can send and receive. Unlike standard SSL/TLS protocols, fragmentation is not supported at the transport layer. In DTLS, a fragment must be encoded into a single datagram. The library handles this transparently.

### 3.6 matrixDtlsGetPmtu

int32 matrixDtlsGetPmtu(void);

| Return Value | Description            |
|--------------|------------------------|
| > 0          | The current PMTU value |



### **Servers and Clients**

Retrieve the current PMTU value.



# 4 MATRIXSSL X.509 API

For documentation of MatrixSSL's X.509 APIs, including the certificate parsing, certificate generation and CRL APIs, please consult the separate *MatrixSSL Certificates and CRLs* document, included in the *MatrixSSL Commercial* and *MatrixSSL FIPS Editions*.



## 5 Session Options

The final parameter to matrixSslNewClientSession and matrixSslNewServerSession is an sslSessOpts t pointer that allows per-session control for some TLS features.

```
typedef struct {
     short
                ticketResumption;
     short
                maxFragLen;
     short
               truncHmac;
     short
               extendedMasterSecret;
     short
               trustedCAindication;
     short
               OCSPstapling;
     int32
                ecFlags;
     int32
                versionFlag;
     void
                *userPtr;
     void
                *memAllocPtr;
     psPool t
                *bufferPool;
} sslSessOpts t;
```

All numeric member values must be set to 0 and pointers must be set to NULL if the default behaviour is desired.

A summary table of possible values is given after the discussion for each feature.

### 5.1 TLS version

The <code>versionFlag</code> member of <code>sslSessOpts\_t</code> can be optionally set if a specific TLS version is desired for a session. See Table 3 below for possible values.

#### Clients

If using the <code>versionFlag</code> member to pass in a specific TLS protocol version, this will become the version passed to the server in the CLIENT\_HELLO message. If the server does not support the requested version and returns an earlier protocol version in the <code>SERVER\_HELLO</code> message the client will negotiate to that version. In effect, this protocol setting is nominating the latest version the client is willing to support rather than specifying the protocol that MUST be used. If a client truly wants to force a single protocol version, the compile-time defines for disabling certain protocol versions must be used in conjunction with this mechanism.

#### **Servers**

If using the <code>versionFlag</code> parameter to pass in a specific TLS protocol version, this will become the version passed to the client in the SERVER\_HELLO message. If the client has requested an earlier protocol version in CLIENT\_HELLO than what the server has forced here, the server will send a PROTOCOL VERSION alert to the client.

## 5.2 Stateless Session Ticket Resumption

The ticketResumption member is used to enable stateless session ticket resumption (RFC 5077) on a per-session basis.

#### **Clients**

The ticketResumption member may be set to 1 if the stateless session ticket resumption method is to be used instead of the standard method (default). The USE\_STATELESS\_SESSION\_TICKETS compile-time define must be enabled to support the feature.



#### **Servers**

Servers do not use this parameter. If <code>USE\_STATELESS\_SESSION\_TICKET</code> is enabled and the server has registered some key material with <code>matrixSslLoadSessionTicketKeys</code>, the server will always grant the client request if presented.

### 5.3 Extended Master Secret

The "extended master secret" as specified in RFC 7627 is an important security feature for TLS implementations that use session resumption. The extended master secret feature associates the internal TLS master secret directly to the connection context to prevent man-in-the-middle attacks during session resumption. One such attack is a synchronizing triple handshake as described in "Triple Handshakes and Cookie Cutters: Breaking and Fixing Authentication over TLS".

This feature is always enabled by default in both MatrixSSL clients and servers. The extendedMasterSecret option may be used to REQUIRE the use of the extension by the peer. The peer agreement mechanism is the CLIENT\_HELLO and SERVER\_HELLO "extended\_master\_secret" extension.

#### Clients

A client will always include the extended\_master\_secret extension when creating the CLIENT\_HELLO message. If the server replies with an extended\_master\_secret, the upgraded master secret generation will be used. If the server does not reply with an extended\_master\_secret, the standard master secret generation will be used for the connection.

A client MAY require that a server support the extended\_master\_secret feature by setting the extendedMasterSecret member of sslSessOpts\_t to 1. If extendedMasterSecret is set, the client will send a fatal handshake\_failure alert to the server if the extended\_master\_secret extension is not included in the SERVER\_HELLO.

#### **Servers**

A server will always reply with the extended\_master\_secret extension if the client includes it in the CLIENT\_HELLO message.

A server MAY require that a client support the extended\_master\_secret feature by setting the <code>extendedMasterSecret</code> member of sslSessOpts\_t to 1. The sslSessOpts\_t structure is passed to matrixSslNewServerSession when starting a TLS session. If <code>extendedMasterSecret</code> is set, the server will send a fatal handshake\_failure alert to the client if the extended\_master\_secret extension is not included in the CLIENT\_HELLO.

When creating the session resumption information (either the standard session table or the stateless session ticket) the server will flag whether the extended master secret was used for the initial connection. When a client attempts session resumption, the CLIENT\_HELLO must include the extended\_master\_secret extension if it was used in the initial connection. Likewise, if the initial connection did not use the extended\_master\_secret the session resumption CLIENT\_HELLO must also exclude that extension. If there is a mismatch, the server will not allow the session resumption and a full handshake will occur instead.



## 5.4 Maximum Fragment Length

The maxFragLen member controls the Maximum Fragment Length Negotiation of RFC 6066

#### Clients

Set the maxFragLen member to 512, 1024, 2048 or 4096 if the client would like to request a smaller TLS fragment length from the 16KB default for this session. The server is free to deny the request.

#### Servers

Servers may use the maxFragLen member to deny a client request to change the default. Set the value to -1 to deny the feature for this session.

### 5.5 Truncated HMAC

The truncHmac member controls the Truncated HMAC negotiation of RFC 6066

#### Clients

Set to the truncHmac member to PS\_TRUE to request a TLS session with a 10 byte truncated HMAC feature. The server is free to deny the request.

#### Servers

Servers may use the truncHmac member to deny a client request to use truncated HMAC. Set the value to -1 to deny the feature for this session.

## 5.6 Elliptic Curve Specification

The ecFlags member controls which set of available Elliptic Curves the client or server is willing to support for the TLS session

**NOTE**: The choice of curves is also tied to the key material that is loaded in the client. For example, if a client has loaded a Certificate Authority with a SECP192R1 public key and that curve is not specified in a custom ecFlags list, the session initialization will fail.

#### **Clients**

Populate the ecFlags mask using the set of SSL\_OPT\_<NAME> curve defines to specify a specific set of supported curves for this session. When populated, the strongest curves will be presented first in the list of supported curves. If not populated, the default will send all curves that are compiled into the library and will be presented in a weakest-first order.

#### Servers

Populate the ecFlags mask using the set of SSL\_OPT\_<NAME> curve defines to specify a specific set of supported curves for this session. When populated, the server will ensure the client is sending at least one curve that matches the custom list. If not populated, the default will match against all curves that are compiled into the library.



### 5.7 Trusted CA Indication

The trustedCAindication member controls whether the client will send its list of loaded CA files to the server in the CLIENT\_HELLO message. This feature enables TLS peers to know whether they share the correct key material early in the handshake.

#### Clients

Set the trustedCAindication member to 1 to enable the feature. The MatrixSSL library uses the cert\_sha1\_hash option when presenting the CA list to the server.

### 5.8 OCSP Revocation

The Online Certificate Status Protocol (OCSP) is an alternative to the Certificate Revocation List (CRL) mechanism for performing certificate revocation tests on server keys. TLS integrates with OCSP in a mechanism known as "OCSP stapling". This feature allows the client to request that the server provide a time-stamped OCSP response when presenting the X.509 certificate during the TLS handshake. The primary goal for this scheme is to allow resource constrained clients to perform certificate revocation tests without having to communicate with an OCSP Responder themselves.

The USE OCSP define in cryptoConfig.h must be enabled for this feature to be available.

#### Clients

A client application can request OCSP stapling by setting the <code>ocsPstapling</code> member of the <code>sslSessOpts\_t</code> structure. This flag will trigger the creation of the <code>Certificate</code> Status Request extension in the <code>client\_Hello</code> message. The resulting <code>status\_request</code> extension will not specify any responder identification hints or request extensions. This indicates that the server is free to provide whatever OCSP response is relevant to its identity certificate.

In order to validate the signature of provided OCSP response, the client will have to hold the Certificate Authority of the OCSP responder. There are two places the MatrixSSL library will search for this CA file. The first place the library will look is in the CA material that is loaded in the standard matrixSslLoadRsaKeys (or matrixSslLoadEcKeys) API. If the CA file is not located in this pre-loaded key material, the library will next look to the server's certificate chain. In practice, many TLS servers that implement OCSP stapling will create a certificate chain in which the parent certificate of the primary identity certificate also acts as the OCSP responder. At the time of the OCSP validation test, the CERTIFICATE message will have already been processed and validated. If the client has confirmed the server to have a valid chain of trust, it is appropriate to trust that same certificate chain to provide the OSCP response. If the client is unable to locate the CA file for the public key of the OCSP responder the handshake will fail.

In order to validate the time stamp of the OCSP response the client library will invoke the checkocsPtimestamp function x509.c. The default time window for accepting an OCSP response is 1 week and can be changed using the OCSP VALID TIME WINDOW define in *cryptolib.h* 

The OCSP stapling specification does not have guidance on how a client should behave if a server does not provide a <code>CERTIFICATE\_STATUS</code> message when requested. The <code>USE\_OCSP\_MUST\_STAPLE</code> define is included to allow the client application to require that the server provide the message. If <code>USE\_OCSP\_MUST\_STAPLE</code> is enabled and the client has requested <code>CERTIFICATE\_STATUS</code>, the handshake will abort if the server does not provide one.



#### Servers

Servers do not make use of the <code>OCSPstapling</code> member of <code>sslSessOpts\_t</code>. Instead, a server application wishing to support OCSP stapling must keep an updated OSCP response loaded into the key material by calling <code>matrixSslLoadOCSPResponse</code>. This function takes a fully formed <code>OCSPResponse</code> ASN.1 buffer and loads it into the provided <code>sslKeys\_t</code> structure. When a new OSCP response is fetched, the same <code>matrixSslLoadOCSPResponse</code> API can be called to update the <code>sslKeys\_t</code> structure.

When a client sends the <code>status\_request</code> extension the server will look to see if an OCSP response is available in the <code>sslkeys\_t</code> structure and reply with a <code>status\_request</code> extension and the <code>CERTIFICATE STATUS</code> message that contains the OCSP response.

## **5.9 User Defined Opaque TLS Session Pointer**

The userPtr member of the ssl\_t structure may optionally be assigned as part of the session creation process by assigning the userPtr member of the session options. This is an opaque, application-specific context to enable implementation to associate custom information with an SSL session. This context may come in handy during the certificate callback, for example. It is not necessary to assign a userPtr member at session creation time if the opaque data is not yet known. A user may set, change, or remove the ssl->userPtr member any time during the lifecycle of the session once it is created. The value will never be referenced inside the MatrixSSL library.

## 5.10 User Defined Opaque Memory Allocation Pointer

The memAllocPtr member is a customization aid for integrators that are implementing their own memory allocation routines. This value will be passed to each psOpenPool call as the final void \*userPtr parameter for each internal invocation in the MatrixSSL library that is related to this session. This will enable the user to associate custom data with a psPool\_t context so that each memory allocation and free can be associated with a specific TLS session.

To implement a custom memory allocation mechanism, the customer must define USE\_MATRIX\_MEMORY\_MANAGEMENT and implement psOpenPool, psMalloc, psFree, psRealloc, and psClosePool. A custom psPool\_t structure will also be created. This memAllocPtr will be passed to psOpenPool where the implementation can use it to create a context to the psPool\_t output. The psPool t is input to the psMalloc and psFree routines.

For more information, see the MatrixSSL Deterministic Memory document or contact Inside Secure support.

# 5.11 User Defined TLS Buffer Memory Pool

The bufferPool pointer only applies to integrators that are using the MatrixSSL deterministic memory feature (USE\_MATRIX\_MEMORY\_MANAGEMENT enabled). The ssl\_t structure members, inbuf and outbuf, do not typically reside within a memory pool. If bufferPool is populated this pool will be used for the memory management of these members. These are the structure members that hold the incoming and outgoing TLS data during the handshake and during application data exchange. The allocation for these buffers using psMalloc and psRealloc are done under the NULL pool by default, which results in a standard platform malloc and realloc call. If an implementation requires that all data must be stored in a pool or must be associated with the SSL session, this bufferPool must be populated with a memory pool that was created by a call to psOpenPool. The user must control the lifecycle of this buffer pool by manually closing the pool with psClosePool when the session is closed.



**NOTE**: The size of the pool should be large enough to hold two 18KB data buffers. This value of 36KB will enable the maximum SSL record sizes to be used. If the maximum fragment length feature is in use it is possible this value could be decreased.

# **5.12 Session Options Summary Table**

|                               | Client   | Server   |
|-------------------------------|--|--|
| int32 versionFlag             | Optional SSL protocol version. Choices are SSL_FLAGS_SSLV3, SSL_FLAGS_TLS_1_0, SSL_FLAGS_TLS_1_1, or SSL_FLAGS_TLS_1_2. Must augment flags value with SSL_FLAGS_DTLS for MatrixDTLS product. | Optional SSL protocol version. Choices are SSL_FLAGS_SSLV3, SSL_FLAGS_TLS_1_0, SSL_FLAGS_TLS_1_1, or SSL_FLAGS_TLS_1_2. Must augment flags value with SSL_FLAGS_DTLS for MatrixDTLS product. |
| short ticketResumption        | Set to 1 to enable stateless ticket session resumption. The USE_STATELESS_SESSION_TICKETS define must be enabled to support the feature. Standard session resumption will be used otherwise. | N/A (Server will support stateless session resumption if the USE_STATELESS_SESSION_TICKETS define is enabled)  |
| short<br>extendedMasterSecret | On by default. Set to 1 to require the use of extended_master_secret   | On by default. Set to 1 to require the use of extended_master_secret   |
| short maxFragLen              | Set to 512, 1024, 2048 or 4096 if desired. The default of 0 will result in the maximum length of 16KB per TLS specifications.  | Set to -1 to <b>deny</b> a client request to change the maximum fragment length for the session.   |
| short truncHmac               | PS_TRUE if wish to enable and send the CLIENT_HELLO extension to request the feature from the server   | Set to -1 to <b>deny</b> a client request to use a truncated HMAC for the session.   |
| int32 ecFlags                 | A flag mask created from the following supported EC curves:  | A flag mask created from the following supported EC curves:  |
|                               | SSL_OPT_SECP192R1  | SSL_OPT_SECP192R1  |
|                               | SSL_OPT_SECP224R1  | SSL_OPT_SECP224R1  |
|                               | SSL_OPT_SECP256R1  | SSL_OPT_SECP256R1  |
|                               | SSL_OPT_SECP384R1  | SSL_OPT_SECP384R1  |
|                               | SSL_OPT_SECP521R1  | SSL_OPT_SECP521R1  |
|                               | SSL_OPT_BRAIN224R1   | SSL_OPT_BRAIN224R1   |



|                      | SSL_OPT_BRAIN256R1 SSL_OPT_BRAIN384R1 SSL_OPT_BRAIN512R1  | SSL_OPT_BRAIN256R1 SSL_OPT_BRAIN384R1 SSL_OPT_BRAIN512R1  |
|----------------------|---|---|
| void *userPtr        | Assign a custom opaque pointer that will be occupy the userPtr member of the ssl_t session structure.     | Assign a custom opaque pointer that will be occupy the userPtr member of the ssl_t session structure.     |
| void *memAllocPtr    | Becomes the userPtr parameter for each call to psOpenPool for this session                                | Becomes the userPtr parameter for each call to psOpenPool for this session                                |
| psPool_t *bufferPool | A user provided memory pool for the allocations of the outbuf and inbuf data buffers for the TLS session. | A user provided memory pool for the allocations of the outbuf and inbuf data buffers for the TLS session. |

Table 1 - Session Options



## 6 THE CERTIFICATE VALIDATION CALLBACK FUNCTION

This section describes the certValidator parameter of the matrixSslNewClientSession and matrixSslNewServerSession functions.

## **6.1 Application Layer Certificate Acceptance**

This callback offers a mid-handshake opportunity for a user to intervene in the authentication process. After receiving the CERTIFICATE handshake message the callback will be invoked and the user can determine whether the handshake should continue or whether a fatal alert should be sent and the handshake terminated. The callback will be invoked with the certificate material sent by the peer as well as the status of the X.509 and public-key (RSA or ECC) authentication performed internally by the MatrixSSL library.

The registered callback function must have the following prototype:

```
int32 certValidator(ssl t *ssl, psX509Cert t *certInfo, int32 alert);
```

The ssl parameter is the session context and must be treated as read-only.

The incoming <code>certInfo</code> parameter is the incoming <code>psx509Cert\_t</code> structure containing information about the peer certificate or certificate chain. It is the certificate information in this structure that an application will generally wish to examine. This certificate information is read-only from the perspective of the validating callback function. The structure members are specified in the <code>psx509Cert\_t</code> Structure section of this document. The most important member of the structure for the purposes of the certificate callback is the <code>authstatus</code> member and is detailed below.

If this authentication is operating on a certificate chain, the <code>next</code> member of the <code>psX509Cert\_t</code> structure will link to the next certificate. The <code>next</code> member should be the parent (or issuer) of the current certificate.

The incoming alert parameter will quickly indicate whether or not the certificate passed the internal X.509 and RSA (or other public-key authentication) authentication checks. The alert member will be MATRIXSSL\_SUCCESS (0) if the certificate chain was valid and the issuing CA was found and could successfully authenticate the peer's certificate.

If alert is > 0 there is at least one authentication error in the server's certificate chain. The alert value is a translation of an authentication problem to a TLS alert type. The TLS alert identification will be set to one of the following based on the type of authentication error that was hit.

| Value for incoming alert parameter | Description  |
|------------------------------------|--|
| 0                                  | Authentication success. The certificate chain received from the peer was valid and the issuing CA file was found and successfully identified as the issuer.  |
| SSL_ALERT_BAD_CERTIFICATE          | Authentication failure. This alert is an indication that the certificate chain from the peer did not self-validate OR the correctly named CA was found but the mathematical signature test did not pass. It is highly recommended that the user callback adhere to the alert and terminate the handshake.  |
| SSL_ALERT_UNKNOWN_CA               | Authentication failure. This alert is an indication that the certificate chain from the peer is valid but the issuing CA could not be found. It is highly recommended that the user callback adhere to the alert and terminate the handshake.  |
| SSL_ALERT_ILLEGAL_PARAMETER        | Authentication failure. This alert is an indication that the certificate chain from the peer correctly self-validated and the mathematical authentication against a CA was successful, however, an X.509 v3 certificate extension violation was detected in the CA. This return code, then, is meant to indicate to the user that the CA they have loaded has a problem (as opposed to the peer having a bad certificate). The user callback SHOULD adhere to the alert and terminate the handshake and fix whatever problem their CA has. |



| SSL_ALERT_CERTIFICATE_REVOKED | Authentication failure. The certificate has been checked against a user provided Certificate Revocation List and determined to be untrusted. It is highly recommended that the user callback adhere to the alert and terminate the handshake. |
|-------------------------------|---|
| SSL_ALERT_CERTIFICATE_EXPIRED | Authentication failure. One of the certificates in the chain is no longer valid in time. The notBefore or notAfter fields in the certificate do not fit in the current time and date window.  |
| SSL_ALERT_CERTIFICATE_UNKNOWN | Authentication failure. The end-entity certificate name did not match the string that was passed to expectedName in matrixSslNewClientSession.  |

Table 2 - Certificate Callback Incoming "alert" Values

The alert value represents only the first authentication error of a certificate chain. In cases where a server only has a single certificate, the alert value is always an indication of a problem on that single certificate. However, if a server is using a certificate chain, the certificate callback might need to walk the chain to find more specific problems than what the alert is reporting.

For example, if a use-case has determined that "minor" alerts such as SSL\_ALERT\_CERTIFICATE\_EXPIRED can be ignored, it is not sufficient to simply return 0 from the callback if the alert is set to this value. It could be the case that this expiration occurred on the child-most certificate and the parent-most certificate has a more serious authentication problem such as an invalid signature or that the CA file to authenticate it was never found at all.

The individual certificates in the <code>certInfo</code> parameter will indicate their own authentication status through the <code>authStatus</code> member of the <code>psx509Cert\_t</code> structure. This is particularly important if certificate chains are being used and the user would like to identify a specific certificate that did not internally authenticate. The callback can walk the subject certificate chain using the <code>next</code> member of the structure to find the <code>authStatus</code> members that are not set to <code>PS CERT AUTH PASS</code>.

| Values for authStatus member of certificate structure | Description  |
|---|--|
| PS_CERT_AUTH_PASS                                     | The certificate was authenticated fully  |
| PS_CERT_AUTH_FAIL_BC                                  | BasicConstraints failure. The issuing certificate did not have CA permissions to issue certificates  |
| PS_CERT_AUTH_FAIL_DN                                  | DistinguishedName failure. The issuing CA did not match the name that the subject identified as its issuer.  |
| PS_CERT_AUTH_FAIL_REVOKED                             | A CRL has reported the certificate has been revoked  |
| PS_CERT_AUTH_FAIL_SIG                                 | The mathematical signature operation failed.   |
| PS_CERT_AUTH_FAIL_AUTHKEY                             | The authorityKeyId extension of the subject cert does not match the subjectKeyId of the issuing certificate.   |
| PS_CERT_AUTH_FAIL_PATH_LEN                            | The certificate chain is longer than allowed as specified by the pathLen field in the basisConstraints extension.  |
| PS_CERT_AUTH_FAIL_EXTENSION                           | All the above tests passed but there was a violation of the x.509 extension rules. The authFailReason member can be examined to find the specific extension that failed. |

Regardless of the internal authentication tests and alert value, the callback function will ultimately determine whether or not to continue the SSL handshake through the return value it chooses.

| Return Value from the Certificate Callback Function | Description   |
|---|---|
| 0   | Continue handshake. The user callback is indicating that it accepts the certificate material. If an authentication alert was internally set, it will be ignored and cleared.  |
| > 0   | Fail the handshake; return a fatal alert, and close connection with peer. The positive value is the SSL alert ID as defined in matrixssllib.h. The incoming alert parameter may be one of SSL_ALERT_BAD_CERTIFICATE, SSL_ALERT_ILLEGAL_PARAMETER, |



|                           | SSL_ALERT_CERTIFICATE_UNKNOWN, SSL_ALERT_CERTIFICATE_REVOKED, SSL_ALERT_CERTIFICATE_EXPIRED or SSL_ALERT_UNKNOWN_CA and it is recommended those be passed through in the return code. Other alert codes can be found in the table below. |
|---------------------------|--|
| < 0                       | Fail the handshake; issue a fatal INTERNAL_ERROR alert, and close connection with peer. This return code should be used if the user callback code itself encounters an unrecoverable error.  |
| SSL_ALLOW_ANON_CONNECTION | Continue handshake. The user callback is acknowledging that the certificate has not been authenticated but it is being allowed to continue. See the section below for more information.  |

Table 3 - Certificate Callback Return Value Ranges

#### SSL Alerts for Failed Authentication

The MatrixSSL library will perform the following tests to authenticate a certificate:

- If the X.509 certificate is not version 3, the certificate parse will fail and SSL\_ALERT\_BAD\_CERTIFICATE will be sent to the peer. The certificate callback will not be invoked in this parse failure case.
- 2. The X.509 basicConstraints extension will be checked to ensure the CA is truly a CA
- 3. The DistinguishedName issuerName will be matched against the subject subjectName.
- 4. The revocation status (if feature is enabled) is checked
- 5. The mathematical public key signature validation operation is performed.
- 6. The X.509 extension tests on KeyUsage and SubjectKeyId/AuthKeyId are performed
- 7. The path length of the certificate chain is tested against the pathLen member of the basicConstraints extension
- 8. The certificate callback can be used to perform additional authentication tests and return the alert status based on custom tests. The following table shows the possible options that may be returned.

| Fatal Alert Return Values for Certification Callback | Description  |
|--|--|
| SSL_ALERT_BAD_CERTIFICATE                            | A certificate was corrupt, contained signatures that did not verify correctly, etc. This value could already be the incoming alert value.  |
| SSL_ALERT_UNKNOWN_CA                                 | A valid certificate chain or partial chain was received, but the certificate was not accepted because the CA certificate could not be located or couldn't be matched with a known, trusted CA. This value could already be the incoming alert value. |
| SSL_ALERT_CERTIFICATE_REVOKED                        | The certificate was revoked by its signer. This value could already be the incoming alert value.   |
| SSL_ALERT_CERTIFICATE_EXPIRED                        | A certificate has expired or is not currently valid based on the notBefore and notAfter values.  |
| SSL_ALERT_CERTIFICATE_UNKNOWN                        | Some other (unspecified) issue arose in processing the certificate, rendering it unacceptable.   |
| SSL_ALERT_ACCESS_DENIED                              | A valid certificate was received, but when access control was applied, the sender decided not to proceed with negotiation.   |
| SSL_ALERT_UNSUPPORTED_CERTIFICATE                    | A certificate was of an unsupported type.  |
| SSL_ALERT_ILLEGAL_PARAMETER                          | MatrixSSL uses this alert to distinguish an X.509 extension violation in the CA file (as opposed to an extension violation in the received certificate chain)  |

Table 4 - Certificate Callback SSL\_ALERT Return Values



#### **Anonymous Connections**

The callback may also choose to return <code>SSL\_ALLOW\_ANON\_CONNECTION</code> if the user wishes to continue a connection despite a <code>PS\_CERT\_AUTH\_FAIL\_X</code> indication on any of the certificates. If this return value is used, the handshake will continue and will result in a secure (data encryption) but unauthenticated SSL connection. If this return value is used, the <code>matrixSslGetAnonStatus</code> function may be used during the lifetime of the connection to verify the status.

It is important to note that this anonymous connection mechanism is not related to anonymous cipher suites. The certificate validation callback is only invoked for cipher suites that utilize public key authentication. Therefore, it is not advised to allow anonymous connections using this mechanism. If anonymous connections are desired, it is recommended that an anonymous cipher suite be used instead.

#### **Server (Client-Authentication)**

In client authentication handshakes the server will need to implement the callback function as well.

By default, the MatrixSSL server will immediately terminate the handshake if the client replies to the server CERTIFICATE\_REQUEST message with an empty CERTIFICATE message. If the server wishes to potentially continue the connection, the compile time define

SERVER\_WILL\_ACCEPT\_EMPTY\_CLIENT\_CERT\_MSG in *matrixsslConfig.h* must be enabled. If enabled, the certificate callback function will be invoked with a NULL certInfo parameter and an alert status of SSL\_ALERT\_BAD\_CERTIFICATE. If the user callback determines the handshake can continue without client-authentication the handshake is effectively "downgraded" on the fly to a standard handshake.

## 6.2 psX509Cert\_t Structure

Parsed information from X.509 certificates is stored in the  $psX509Cert\_t$  structure, defined in crypto/keyformat/x509.h. The X.509 format is somewhat complex, so we document the most important fields here.

This data type is most important in the context of the session creation APIs in which the application registers a custom function to be invoked during the SSL handshake to validate the peer certificate. This registered callback function may wish to perform custom checks on the individual members of the psx509Cert\_t structures that are passed in.

| version                               | X.509 version. MatrixSSL supports v3 certificates only. $0 = v1, 1 = v2, 2 = v3$   |
|---------------------------------------|--|
| serialNumber                          | Serial number issued to this certificate. Some certificates insert non-integer values for this member                    |
| serialNumberLen                       | Byte length of serialNumber  |
| issuer                                | Distinguished Name of the CA that issued this certificate. See x509DNattributes_t  |
| subject                               | Distinguished Name of this certificate. See x509DNattributes_t   |
| notBeforeTimeType<br>notAfterTimeType | Format specification for the notBefore and notAfter members of this structure. Either ASN_UTCTIME or ASN_GENERALIZEDTIME |
| notBefore                             | NULL terminated UTCTime or GeneralizedTime indicating the valid start date for the certificate                           |
| notAfter                              | NULL terminated UTCTime or GeneralizedTime indicating the valid end date for the certificate                             |
| publicKey                             | The public key of this certificate. See psPubKey_t   |



| pubKeyAlgorithm     | The algorithm identifier for the public key encryption mechanism this certificate is using. Either OID_RSA_KEY_ALG or OID_ECDSA_KEY_ALG  |
|---------------------|--|
| certAlgorithm       | The algorithm identifier the issuing CA used to sign this certificate. Supported values are found in the /* Signature algorithms */ section of the cryptolib.h file. This value must match sigAlgorithm and that is tested internally during certificate parsing.  |
| sigAlgorithm        | The verification of the signature algorithm the issuing CA used for this certificate. The /* Signature algorithms */ section of the cryptolib.h file defines the possible values. This value must match certAlgorithm and that is tested during certificate parsing.   |
| signature           | The full CA-generated digital signature for this certificate that binds the subject to the CA private key  |
| signatureLen        | The byte length of signature   |
| sigHash             | The digest hash portion of the signature used internally for public key authentication   |
| uniquelssuerld      | Optional certificate field to handle possible reuse of the issuer name. See section 4.1.2.8 of RFC 3280 for more information.  |
| uniquelssuerldLen   | Byte length of uniqueIssuerId  |
| uniqueSubjectId     | Optional certificate field to handle possible reuse of the subject name. See section 4.1.2.8 of RFC 3280 for more information.   |
| uniquesSubjectIdLen | Byte length of uniqueSubjectId   |
| extensions          | The X.509 certificate extensions for this certificate. See x509v3extensions_t  |
| authStatus          | This flag is set on subject certificates when psX509AuthenticateCert is called. The value indicates the public key authentication status of whether the issuer certificate is the CA of the subject certificate. MatrixSSL calls this internally before the user's custom certificate verification callback is invoked so the user can examine it. The value may be; |
|                     | PS_FALSE = untested (chain validation stops on first certificate to fail so this should only be set on certificates beyond the one that did not pass)  |
|                     | PS_CERT_AUTH_PASS = successfully authenticated   |
|                     | PS_CERT_AUTH_FAIL_BC = failed authentication because the issuing certificate did not have CA permissions   |
|                     | PS_CERT_AUTH_FAIL_DN = failed authentication because the Distinguished Name of the issuer did not match the DN of the issuer   |
|                     | PS_CERT_AUTH_FAIL_SIG = failed authentication because the public key signature did not validate  |
|                     | PS_CERT_AUTH_FAIL_EXTENSION = failed authentication because an x.509 extension parameter was violated  |
| authFailFlags       | If authStatus is PS_CERT_AUTH_FAIL_EXTENSION this flag will further specify the problem(s):  |
|                     | PS_CERT_AUTH_FAIL_KEY_USAGE_FLAG – KeyUsage did not specify certificate signing PS_CERT_AUTH_FAIL_EKU_FLAG – The ExtendedKeyUsage extension exists but did not specify TLS usage   |
|                     | PS_CERT_AUTH_FAIL_SUBJECT_FLAG – The Server Name Indication extension could not be matched   |
|                     | PS_CERT_AUTH_FAIL_DATE_FLAG - The certificate is expired (or not yet valid)  |
| unparsedBin         | The raw ASN.1 binary stream of this certificate (if applicable).   |
| binLen              | Byte length of unparsedBin   |



| next | Pointer to the next psX509Cert_t if this is a chain of certificates |
|------|---|
|------|---|

Table 5 - Important psX509\_t Structure Members

The DistinguishedName X.509 attribute is the plaintext description of the certificate owner and issuer.

| country state locality organization orgUnit commonName                                  | The self-identifying collection of supported string attributes that comprise the Distinguished Name. Distinguished Names are used to identify the subject and issuer of an X.509 certificate.  |
|---|--|
| countryType stateType localityType organizationType orgUnitType commonNameType          | These members specify the ASN.1 string type for their corresponding char* string members (ie. countryType for country). Types can be found in the crypto/keyformat/asn1.h header file  ASN_UTF8STRTING (8-bit chars) == 12  ASN_PRINTABLESTRING (8-bit chars) == 19  ASN_IA5STRING (8-bit chars) == 22  ASN_BMPSTRING (16-bit chars) == 30 |
| countryLen<br>stateLen<br>localityLen<br>organizationLen<br>orgUnitLen<br>commonNameLen | These members specify the byte length for their corresponding char* string members. The length includes two terminating NULL bytes.  |
| hash  | A digest representation of the above attributes used for easy comparisons of DN  |
| dnenc   | The unparsed ASN.1 stream of the DN (if applicable)  |
| dnencLen  | Byte length of dnenc   |

Table 6 - x509DNattributes\_t Structure Members

## X.509 extensions are held in the <code>extensions</code> member.

| bc                       | The critical Basic Constraints extension. See x509extBasicConstraints_t   |
|--------------------------|---|
| san                      | The Subject Alternative Name extension. This extension is used to associate additional identities with the certificate subject. Common alternate identities include email addresses and IP addresses. See x509GeneralName_t |
| keyUsage                 | The BIT STRING value of KeyUsage. For the purposes of SSL, the only interesting bit in the encoding should be the 5 <sup>th</sup> bit (of zero based) of the 2 <sup>nd</sup> byte that identifies keyCertSign.              |
| keyUsageLen              | The length of the entire BIT STRING captured in the above member.   |
| extendedKeyUsage         |   |
| extendedKeyUsageCritical |   |
| nameConstraints          |   |
| certificatePolicy        |   |
| policyConstraints        |   |
| policyMappings           |   |



| authorityInfoAccess |  |
|---------------------|--|
| sk                  |  |
| ak                  |  |

Table 7 - x509v3extensions\_t Structure Members

## $\verb|x509extBasicConstraints_t| \textbf{Members}$

| сА                | Indicates whether this certificate is a Certificate Authority. Possible values are: CA_TRUE (CA), CA_FALSE (not a CA), CA_UNDEFINED (basic constraints extension is not present in the certificate). |  |
|-------------------|--|--|
| pathLenConstraint | If cA is CA_TRUE, this member indicates the maximum length that a certificate chain may extend beyond this CA.   |  |

## x509GeneralName\_t Members

| id      | Integer identifier of the name type.   |
|---------|--|
|         | id to name mappings 0 = "other", 1 = "email", 2 = "DNS", 3 = "x400Address", 4 = "directoryName", 5 = "ediPartyName", 6 = "URI", 7 = "iPAddress", 8 = "registeredID", x = "unknown" |
| name    | String identifier for the name type. Possible values are the quoted names from the list above.   |
| data    | The data value for the alternate name  |
| dataLen | Byte length of data  |
| next    | The next x509GeneralName_t alternate name in this extension.   |



# 7 QUICK REFERENCE

| АРІ  | Description  | API Dependencies   |
|--|--|--|
| matrixSslOpen<br>matrixSslClose  | One time initialization and clean up for MatrixSSL   |  |
| matrixSslNewKeys matrixSslDeleteKeys matrixSslLoadRsaKeys                        | Key management functions   | matrixSslNewKeys must be called prior to calling matrixSslLoadRsaKeys  |
| matrixSslNewClientSession<br>matrixSslNewServerSession<br>matrixSslDeleteSession | Respective session initialization and common session deletion                                  |  |
| matrixSslGetOutdata  | Retrieve encoded data that is ready to be sent out over the wire to the peer                   | Must be followed by a call to matrixSslSentData  |
| matrixSslReceivedData  | Any data received from the peer must be passed to this function                                | An empty data buffer must have been retrieved by a prior call to matrixSslGetReadbuf   |
| matrixSsIProcessedData   | Must be called each time the application is done processing plaintext data                     | Plaintext data will only be given to the application when the return code from matrixSslReceivedData or matrixSslProcessedData is MATRIXSSL_APP_DATA or MATRIXSSL_RECEIVED_ALERT                                     |
| matrixSslGetWriteBuf matrixSslEncodeWriteBuf - OR - matrixSslEncodeToOutdata     | Used for encoding plaintext application data after SSL handshake that will be sent to the peer | matrixSslGetWriteBuf must be called to get an empty buffer in which to copy plaintext. matrixSslEncodeWriteBuf must be called to do the actual encryption. Encrypted data must be retrieved with matrixSslGetOutdata |



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