
Mathematical Notes on Manifolds in Physics

Niklas Zorbach¹ and Marco Knipfer^{1, 2}

¹ Institute for Theoretical Physics, Goethe-University Frankfurt, Germany

² Institute for Physics and Astronomy, The University of Alabama, USA

June 11, 2019

Lorem ipsum dolor sit amet, consectetur adipiscing elit. Fusce maximus nisi ligula. Morbi laoreet ex ligula, vitae lobortis purus mattis vel. Vestibulum ante ipsum primis in faucibus orci luctus et ultrices posuere cubilia Curae; Donec ac metus ut turpis mollis placerat et nec enim. Duis tristique nibh maximus faucibus facilisis. Praesent in consequat leo. Maecenas condimentum ex rhoncus, elementum diam vel, malesuada ante.

1 Manifolds

1.1 Topology

This chapter is basically taken from (Schuller, 2015) with our remarks to it.

We start with a set M which is supposed to be the space where physics happens. The weakest structure we need in order to talk about continuity (of curves or fields) is called a topology.

Definition 1.1 (Power set \mathcal{P})

The set of all subsets of M .

Definition 1.2 (Topology)

A topology \mathcal{O} is a subset $\mathcal{O} \subseteq \mathcal{P}(M)$ satisfying:

1. $\emptyset \in \mathcal{O}, M \in \mathcal{O}$,
2. $U \in \mathcal{O}, V \in \mathcal{O} \Rightarrow U \cap V \in \mathcal{O}$

$$3. U_\alpha \in \mathcal{O}, \alpha \in A \Rightarrow \left(\bigcup_{\alpha \in A} U_\alpha \right) \in \mathcal{O}$$

Every set has the *chaotic topology*

$$\mathcal{O}_{\text{chaotic}} := \{\emptyset, M\}, \quad (1)$$

and the *discrete topology*

$$\mathcal{O}_{\text{discrete}} := \mathcal{P}(M), \quad (2)$$

which are both useless.

The special case $M = \mathbb{R}^d = \mathbb{R} \times \dots \times \mathbb{R}$ has a standard topology for which we need the definition of a soft ball.

Definition 1.3 (Soft Ball in \mathbb{R}^d)

$$B_r(p) := \left\{ (q_1, \dots, q_d) \mid \sum_{i=1}^d (p_i - q_i)^2 < r^2 \right\}, \quad (3)$$

with $r \in \mathbb{R}^+, p \in \mathbb{R}^d$. Note: This does not need a norm or vector space structure on \mathbb{R}^d .

Definition 1.4 ($\mathcal{O}_{\text{standard}}$ on \mathbb{R}^d)

$$U \in \mathcal{O}_{\text{standard}} :\Leftrightarrow \forall p \in U : \exists r \in \mathbb{R}^+ : B_r(p) \subseteq U \quad (4)$$

Some terminology: Let M be a set with a topology $\mathcal{O} =:$ set of open sets. We call (M, \mathcal{O}) a *topological space* and:

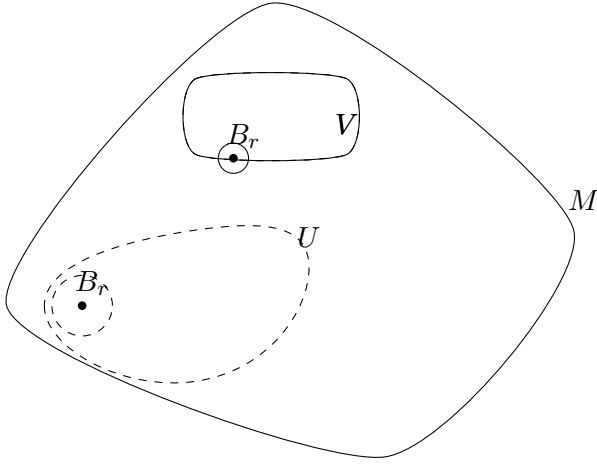


Figure 1: The set U is in the standard topology, V not.

- $U \in \mathcal{O} \Leftrightarrow$: call $U \subseteq M$ an open set
- $M \setminus A \in \mathcal{O} \Leftrightarrow$: call $U \subseteq M$ a closed set

Note: The empty set is open and closed. If a set is open we cannot directly follow that it is not closed or vice versa. For $M = \{1, 2\}$ and $\mathcal{O}_M = \{\emptyset, \{1\}, \{2\}, \{1, 2\}\}$ the set $\{2\}$ is open and closed.

1.2 Continuous Maps

A map

$$f : M \rightarrow N, \quad (5)$$

takes every point from the domain M (a set) to the target N (a set). If one point $p \in N$ is not reached, the map is not *surjective*. If a point is hit twice, the map is not *injective*. A map that is injective and surjective is called *surjective*.

Definition 1.5 (Preimage)

$$f : M \rightarrow N \supseteq V$$

$$\text{preim}_f(V) := \{m \in M \mid f(m) \in V\} \quad (6)$$

Definition 1.6 (Continuity)

(M, \mathcal{O}_M) and (N, \mathcal{O}_N) topological spaces. Then a map $f : M \rightarrow N$ is called *continuous with respect to \mathcal{O}_M and \mathcal{O}_N* if

$$\forall V \in \mathcal{O}_N : \text{preim}_f(V) \in \mathcal{O}_M. \quad (7)$$

“A map is open iff the preimages of all open sets are open sets.”

Note: If a map is not surjective there are sets with preimage \emptyset , thus we need to have \emptyset in \mathcal{O} , otherwise only surjective maps could be continuous.

Note: The inverse of a continuous function does not need to be continuous.

Definition 1.7 (Composition of maps)

For f and g

$$f : M \rightarrow N, \quad g : N \rightarrow P,$$

we define the *composition* as

$$g \circ f : M \rightarrow P \quad (8)$$

$$m \mapsto (g \circ f)(m) := g(f(m))$$

Theorem 1.8 (Composition of continuous maps)

For f, g continuous also $g \circ f$ is continuous (if spaces match).

Definition 1.9 (Subset topology, Inherited topology)

A set M with topology \mathcal{O}_M . Given any subset $S \subseteq M$ we can construct the inherited topology $\mathcal{O}|_S \subseteq \mathcal{P}(S)$

$$\mathcal{O}|_S := \{U \cap S \mid U \in \mathcal{O}_M\}. \quad (9)$$

Note: For $S \subseteq M$, if f is continuous then $f|_S$ is also continuous if $\mathcal{O}|_S$ is chosen. This is for example important if you are on a trajectory γ through \mathbb{R}^n and measure the temperature $T|_\gamma$.

Definition 1.10 (Topological manifold)

A topological space (M, \mathcal{O}) is called a *d-dimensional topological manifold* if

$$\forall p \in M : \exists U \in \mathcal{O}, p \in U : \exists x : U \rightarrow x(U) \subseteq \mathbb{R}^d, \quad (10)$$

with the following properties (wrt. \mathcal{O}_{std} on \mathbb{R}^d):

1. x invertible: $x^{-1} : x(U) \rightarrow U$,
2. x continuous,
3. x^{-1} continuous.

“Invertible, in both directions continuous map to \mathbb{R}^n .”

Note: Thus in the above definition $x(U)$ is also open (from the definition of continuity).

Terminology: • (U, x) is a *chart* of M, \mathcal{O} ,

- $\mathcal{A} = \{(U_\alpha, x_\alpha) \mid \alpha \in A\}$ is an *atlas* of (M, \mathcal{O}) if $\bigcup_{\alpha \in A} U_\alpha$ covers the whole manifold M ,

- $x : U \rightarrow x(U) \subseteq \mathbb{R}^d$ is a *chart map* $x(p) = (x^1(p), \dots, x^d(p))$, where the *component maps* $x^i : U \rightarrow \mathbb{R}$ are called *coordinate maps*,

- $p \in U$, then $x^1(p)$ is the first coordinate of the point p wrt. the chosen chart (U, x) .

Note: The choice of the chart (choice of coordinates) has nothing to do with the physics. Physics is chart independent. M is “the real world”.

1.3 Chart Transition Maps

Given (U, x) and (V, y) charts, on $U \cup V$ one can transition from one chart to the other by (see figure 2)

$$y \circ x^{-1} : \mathbb{R}^d \supseteq x(U \cap V) \rightarrow y(U \cap V) \subseteq \mathbb{R}^d, \quad (11)$$

which is called the *chart transition map*.

Note: As a physicist one talks about a “change in coordinates”.

1.4 Manifold Philosophy

The idea is to define properties of some object in the real world \mathcal{M} by at a chart-representative of it. For example the continuity of a curve $\gamma : [0, 1] \rightarrow \mathcal{M}$ can be judged by looking at $x \circ \gamma : [0, 1] \rightarrow \mathbb{R}^d$, because x is invertible and in both directions continuous and the composition of two continuous maps is also continuous.

Note: One needs to make sure that the property of the object on \mathcal{M} does not depend on the map x or y . For continuity this is the case, since $y \circ \gamma = (y \circ x^{-1}) \circ x \circ \gamma$ and the chart transition map $y \circ x^{-1}$ is also continuous.

Other properties like “differentiability” are not even defined on \mathcal{M} a priori, so one can only talk about the chart representative. Here the definition that γ is differentiable iff $x \circ \gamma : [0, 1] \rightarrow \mathbb{R}^d$ is differentiable has the problem that x and y only need to be continuous and so the chart transition map $y \circ x^{-1}$ does not need to be differentiable unless one restricts oneself to only differentiable charts.

2 Vector Spaces

2.1 Vectors and Linear Maps

In order to understand the tangent space we need to understand vector spaces.

Definition 2.1 (Vector space $(V, +, \cdot)$)
A vector space $(V, +, \cdot)$ is a set V with

- an “addition” $+: V \times V \rightarrow V$,
- an “S-multiplication” $\cdot : \mathbb{R} \times V \rightarrow V$

and the properties CANI ADDU:

$$\forall v, w, u \in V, \lambda, \mu \in \mathbb{R}$$

$$\mathbf{C}^+: v + w = w + v,$$

$$\begin{aligned} \mathbf{A}^+: (u + v) + w &= u + (v + w), \\ \mathbf{N}^+: \exists 0 \in V : \forall v \in V : v + 0 &= v, \\ \mathbf{I}^+: \forall v \in V : \exists (-v) \in V : v + (-v) &= 0, \\ \mathbf{A}: \lambda \cdot (\mu \cdot v) &= (\lambda \cdot \mu) \cdot v, \\ \mathbf{D}: (\lambda + \mu) \cdot v &= \lambda \cdot v + \mu \cdot v, \\ \mathbf{D}: \lambda \cdot v + \lambda \cdot w &= \lambda \cdot (v + w), \\ \mathbf{U}: 1 \cdot v &= v. \end{aligned}$$

An element of a vector space is called a *vector*.

Note: The addition $+$ in definition 2.1 sometimes is between vectors and sometimes between scalars. It is important to know the difference.

Definition 2.2 (Linear maps)

(Structure respecting maps between vector spaces)

$(V, +_V, \cdot_V)$ and $(W, +_W, \cdot_W)$ vector spaces. A map

$$\phi : V \rightarrow W \quad (12)$$

is called *linear* if $\forall v, \tilde{v} \in V, \forall \lambda \in \mathbb{R}$

1. $\phi(v +_V \tilde{v}) = \phi(v) +_W \phi(\tilde{v})$
2. $\phi(\lambda \cdot_V v) = \lambda \cdot_W \phi(v)$

We write:

$$\phi : V \rightarrow W \text{ linear} \Leftrightarrow \phi : V \xrightarrow{\sim} W. \quad (13)$$

Theorem 2.3 (Transitivity of linearity of maps)

V, W, U vector spaces, $\psi : V \xrightarrow{\sim} W, \phi : W \xrightarrow{\sim} U$ then $\phi \circ \psi$ is also linear: $\phi \circ \psi : V \xrightarrow{\sim} U$.

Definition 2.4 (Homomorphisms $\text{Hom}(V, W)$)

$$\text{Hom}(V, W) := \{ \phi : V \xrightarrow{\sim} W \}. \quad (14)$$

Note: $\text{Hom}(V, W)$ can be made into a vector space by defining an addition and a multiplication

- $(\phi + \psi)(v) := \phi(v) + \psi(v),$
- $(\lambda \psi)(v) := \lambda(\psi(v)).$

Definition 2.5 (Dual vector space V^*)

$$V^* := \{ \phi : V \xrightarrow{\sim} \mathbb{R} \} = \text{Hom}(V, \mathbb{R}). \quad (15)$$

The vector space $(V^*, +, \cdot)$ is the *dual vector space* to V . $\phi \in V^*$ is informally called a *covector*.

Definition 2.6 ((r, s) - Tensors)

$(V, +, \cdot)$ vector space, $r, s \in \mathbb{N}_0$. An (r, s) -tensor T over V is a multi-linear map

$$T : \overbrace{V^* \times \cdots \times V^*}^r \times \overbrace{V \times \cdots \times V}^s \xrightarrow{\sim} \mathbb{R} \quad (16)$$

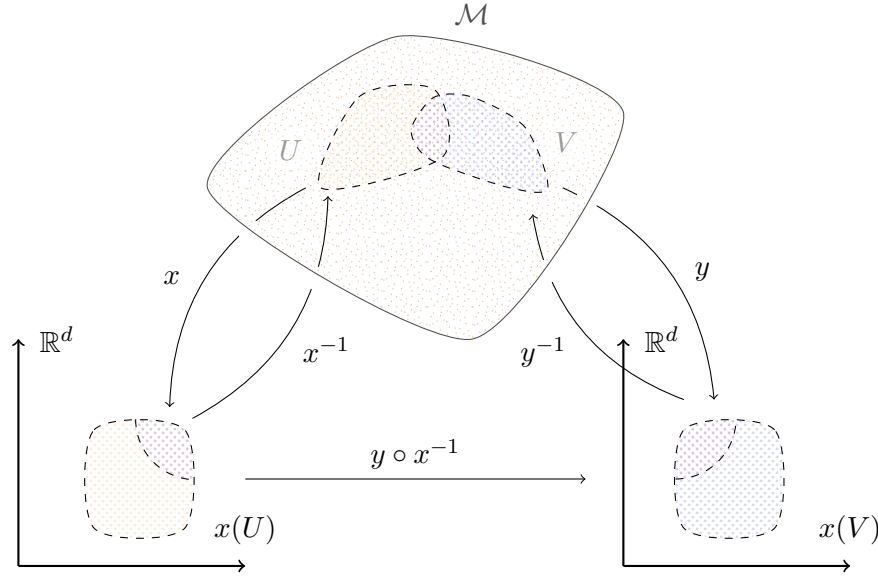


Figure 2: Visualization of chart transition maps. “How to glue together the charts of an atlas.” Plot modified from (Drawing manifolds in tikz n.d.)

Theorem 2.7 (Covector is (0,1)-tensor)

$$\phi \in V^* \Leftrightarrow \phi : V \xrightarrow{\sim} \mathbb{R} \Leftrightarrow \phi \text{ (0,1) tensor.} \quad (17)$$

Theorem 2.8 (Vector is (1,0)-tensor)

If $\dim V < \infty$

$$v \in V = (V^*)^* \Leftrightarrow v : V^* \xrightarrow{\sim} \mathbb{R} \Leftrightarrow v \text{ is (1,0)-tensor.} \quad (18)$$

2.2 Bases

Definition 2.9 (Hamel-basis)

$(V, +, \cdot)$ vector space. A subset $B \subset V$ is called a Hamel-basis if

$$\forall v \in V \exists! \text{ finite } F = \{f_1, \dots, f_n\} \subset B : \exists! \underbrace{v^1, \dots, v^n}_{\in \mathbb{R}}, \quad (19)$$

such that

$$v = v^1 f_1 + \dots + v^n f_n. \quad (20)$$

(and all f_i linearly independent).

Definition 2.10 (Dimension of a vector space)

If a basis B with $d < \infty$ many elements, then we call $d =: \dim V$.

If we have chosen a basis $\{e_1, \dots, e_n\}$ of $(V, +, \cdot)$ then (v^1, \dots, v^n) are called the *components* of V w.r.t. the chosen basis if

$$v = v^1 e_1 + \dots + v^n e_n. \quad (21)$$

Definition 2.11 (Dual basis)

Choose basis $\{e_1, \dots, e_n\}$ for V . The basis $\{\epsilon^1, \dots, \epsilon^n\}$ for V^* can be chosen that

$$\epsilon^a(e_b) = \delta_b^a \quad \forall a, b = 1, \dots, n. \quad (22)$$

$\{\epsilon^1, \dots, \epsilon^n\}$ is then called the *dual basis* of the dual space.

2.3 Components of a tensor

T an (r, s) -tensor. Then the real numbers

$$T^{i_1 \dots i_r}_{j_1 \dots j_s} = T(\epsilon^{i_1}, \dots, \epsilon^{i_r}, e_{j_1}, \dots, e_{j_s}) \quad (23)$$

are the components of T with respect to the chosen basis. From the components and the basis one can reconstruct the entire tensor: Example for (1, 1)-tensor:

$$T(\varphi, v) = T^i_j \varphi_i v^j \quad (24)$$

where φ_i are the components of $\varphi \in V^*$ and v^j the components of $v \in V$ with respect to the chosen basis. In equation (24) the *Einstein summation convention* is used, i.e. an index that appears up and down in an expression is summed over.

Note: The Einstein summation convention is only useful because we are working with *linear maps*, otherwise the expression

$$\varphi \left(\sum_i v^i e_i \right) = \sum_i \varphi(v^i e_i), \quad (25)$$

would not hold and with the summation index we would not know where the sum sign goes.

Bibliography

Drawing manifolds in tikz (n.d.). <https://tex.stackexchange.com/questions/382762/drawing-manifolds-in-tikz>. Accessed: 02 Jun 2019 10:42:19 CEST.

Schuller, Frederic (Feb. 2015). *International Winter School on Gravity and Light 2015*. https://www.youtube.com/watch?v=7G4SqIboeig&list=PLFeEvEPtX_OS6vxxiiNPrJbLu9aK1UVC_.