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# Notes on Manifolds in Physics

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**T**hese notes are my way of learning Riemannian Geometry and better understanding Lagrangian Mechanics and General Relativity. As a physicist one usually learns all of this in a rather practical way without understanding the basic mathematical concepts. For example a physicist usually does not learn that the Lagrangian lives on the tangent bundle, because one implicitly always identifies some spaces (here the space and the tangent space), which is possible on a flat manifold. Another example: To understand that for vector fields on a manifold there generally does not exist a global basis one has to understand what a module is. I start from the lectures about General Relativity. This somehow turned into my fancy lecture notes. The plan is to go on and write more stuff after I have finished the lectures.

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## 1 Manifolds

### 1.1 Topology

This chapter is basically taken from (Schuller, 2015) with our remarks to it.

We start with a set  $M$  which is supposed to be the space where physics happens. The weakest structure one needs in order to talk about *continuity* (of curves or fields) is called a topology.

**Definition 1.1** (Power set  $\mathcal{P}$ )

The set of all subsets of  $M$ .

**Definition 1.2** (Topology)

A Topology  $\mathcal{O}$  is a subset  $\mathcal{O} \subseteq \mathcal{P}(M)$  satisfying:

1.  $\emptyset \in \mathcal{O}, M \in \mathcal{O}$ ,
2.  $U \in \mathcal{O}, V \in \mathcal{O} \Rightarrow U \cap V \in \mathcal{O}$
3.  $U_\alpha \in \mathcal{O}, \alpha \in A \Rightarrow \left( \bigcup_{\alpha \in A} U_\alpha \right) \in \mathcal{O}$

Every set has the *chaotic topology*

$$\mathcal{O}_{\text{chaotic}} := \{\emptyset, M\}, \quad (1)$$

and the *discrete topology*

$$\mathcal{O}_{\text{discrete}} := \mathcal{P}(M), \quad (2)$$

which are both useless. The special case  $M = \mathbb{R}^d = \mathbb{R} \times \cdots \times \mathbb{R}$  has a standard topology for which we need the definition of a soft ball.

**Definition 1.3** (Soft Ball in  $\mathbb{R}^d$ )

$$B_r(p) := \left\{ (q_1, \dots, q_d) \left| \sum_{i=1}^d (p_i - q_i)^2 < r^2 \right. \right\}, \quad (3)$$

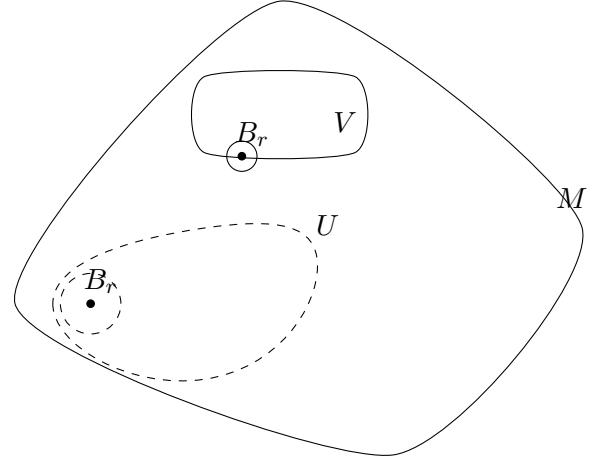
with  $r \in \mathbb{R}^+, p \in \mathbb{R}^d$ . Note: This does not need a norm or vector space structure on  $\mathbb{R}^d$ .

**Definition 1.4** ( $\mathcal{O}_{\text{standard}}$  on  $\mathbb{R}^d$ )

$$U \in \mathcal{O}_{\text{standard}} :\Leftrightarrow \forall p \in U : \exists r \in \mathbb{R}^+ : B_r(p) \subseteq U \quad (4)$$

Some terminology: Let  $M$  be a set with a topology  $\mathcal{O} =:$  set of open sets. We call  $(M, \mathcal{O})$  a *topological space* and:

- $U \in \mathcal{O} \Leftrightarrow$ : call  $U \subseteq M$  an *open set*



**Figure 1:** The set  $U$  is in the standard topology,  $V$  not.

- $M \setminus A \in \mathcal{O} \Leftrightarrow$ : call  $A \subseteq M$  a *closed set*

*Note:* The empty set is open and closed. If a set is open we cannot directly follow that it is not closed or vice versa. For  $M = \{1, 2\}$  and  $\mathcal{O}_M = \{\emptyset, \{1\}, \{2\}, \{1, 2\}\}$  the set  $\{2\}$  is open and closed.

### 1.2 Continuous Maps

A map

$$f : M \rightarrow N, \quad (5)$$

takes every point from the domain  $M$  (a set) to the target  $N$  (a set). If at least one point  $p \in N$  is not reached, the map is not *surjective*. If at least one point is hit twice, the map is not *injective*. A map that is injective and surjective is called *surjective*.

**Definition 1.5** (Preimage)

$$f : M \rightarrow N \supseteq V$$

$$\text{preim}_f(V) := \{m \in M \mid f(m) \in V\} \quad (6)$$

**Definition 1.6** (Continuity)

$(M, \mathcal{O}_M)$  and  $(N, \mathcal{O}_N)$  topological spaces. Then a map  $f : M \rightarrow N$  is called *continuous with respect to  $\mathcal{O}_M$  and  $\mathcal{O}_N$*  if

$$\boxed{\forall V \in \mathcal{O}_N : \text{preim}_f(V) \in \mathcal{O}_M}. \quad (7)$$

“A map is open iff the preimages of all open sets are open sets.”

*Note:* If a map is not surjective there are sets with preimage  $\emptyset$ , thus we need to have  $\emptyset$  in  $\mathcal{O}$ , otherwise only surjective maps could be continuous.

*Note:* The inverse of a continuous function does not need to be continuous.

**Definition 1.7** (Composition of maps)

For  $f$  and  $g$

$$f : M \rightarrow N, \quad g : N \rightarrow P,$$

we define the *composition* as

$$g \circ f : M \rightarrow P \quad (8)$$

$$m \mapsto (g \circ f)(m) := g(f(m))$$

**Theorem 1.8** (Composition of continuous maps)

For  $f, g$  continuous also  $g \circ f$  is continuous (if space match, i.e.  $g \circ f$  is defined).

**Definition 1.9** (Subset topology, Inherited topology)

A set  $M$  with topology  $\mathcal{O}_M$ . Given any subset  $S \subseteq M$  we can construct the inherited topology  $\mathcal{O}|_S \subseteq \mathcal{P}(S)$

$$\mathcal{O}|_S := \{U \cap S \mid U \in \mathcal{O}_M\}. \quad (9)$$

*Note:* For  $S \subseteq M$ , if  $f$  is continuous then  $f|_S$  is also continuous if  $\mathcal{O}|_S$  is chosen. This is for example important if you are on a trajectory  $\gamma$  through  $\mathbb{R}^n$  and measure the temperature  $T|_\gamma$ .

**Definition 1.10** (Topological manifold)

A topological space  $(\mathcal{M}, \mathcal{O})$  is called a *d-dimensional topological manifold* if

$$\forall p \in \mathcal{M} : \exists U \in \mathcal{O}, p \in U : \exists x : U \rightarrow x(U) \subseteq \mathbb{R}^d, \quad (10)$$

with the following properties (wrt.  $\mathcal{O}_{\text{std}}$  on  $\mathbb{R}^d$ ):

1.  $x$  invertible:  $x^{-1} : x(U) \rightarrow U$ ,
2.  $x$  continuous,
3.  $x^{-1}$  continuous.

“Invertible, in both directions continuous map to  $\mathbb{R}^n$ .”

*Note:* Thus in the above definition  $x(U)$  is also open (from the definition of continuity).

*Terminology:*

- $(U, x)$  is a *chart* of  $\mathcal{M}, \mathcal{O}$ ,
- $\mathcal{A} = \{(U_{(\alpha)}, x_{(\alpha)}) \mid \alpha \in A\}$  is an *atlas* of  $(\mathcal{M}, \mathcal{O})$  if  $\bigcup_{\alpha \in A} U_{(\alpha)}$  covers the whole manifold  $\mathcal{M}$ ,
- $x : U \rightarrow x(U) \subseteq \mathbb{R}^d$  is a *chart map*  $x(p) = (x^1(p), \dots, x^d(p))$ , where the *component maps*  $x^i : U \rightarrow \mathbb{R}$  are called *coordinate maps*,

- $p \in U$ , then  $x^1(p)$  is the first coordinate of the point  $p$  wrt. the chosen chart  $(U, x)$ .

*Note:* The choice of the chart (choice of coordinates) has nothing to do with the physics. Physics is chart independent.  $\mathcal{M}$  is “the real world”.

## 1.3 Chart Transition Maps

Given  $(U, x)$  and  $(V, y)$  charts, on  $U \cap V$  one can transition from one chart to the other by (see figure 2)

$$y \circ x^{-1} : \mathbb{R}^d \supseteq x(U \cap V) \rightarrow y(U \cap V) \subseteq \mathbb{R}^d, \quad (11)$$

which is called the *chart transition map*.

*Note:* As a physicist one talks about a “change in coordinates”.

## 1.4 Manifold Philosophy

The idea is to define properties of some object in the real world  $\mathcal{M}$  by at a chart-representative of it. For example the continuity of a curve  $\gamma : [0, 1] \rightarrow \mathcal{M}$  can be judged by looking at  $x \circ \gamma : [0, 1] \rightarrow \mathbb{R}^d$ , because  $x$  is invertible and in both directions continuous and the composition of two continuous maps is also continuous.

*Note:* One needs to make sure that the property of the object on  $\mathcal{M}$  does not depend on the map  $x$  or  $y$ . For continuity this is the case, since  $y \circ \gamma = (y \circ x^{-1}) \circ x \circ \gamma$  and the chart transition map  $y \circ x^{-1}$  is also continuous.

Other properties like “differentiability” are not even defined on  $\mathcal{M}$  a priori, so one can only talk about the chart representative. Here the definition that  $\gamma$  is differentiable iff  $x \circ \gamma : [0, 1] \rightarrow \mathbb{R}^d$  is differentiable has the problem that  $x$  and  $y$  only need to be continuous and so the chart transition map  $y \circ x^{-1}$  does not need to be differentiable unless one restricts oneself to only differentiable charts.

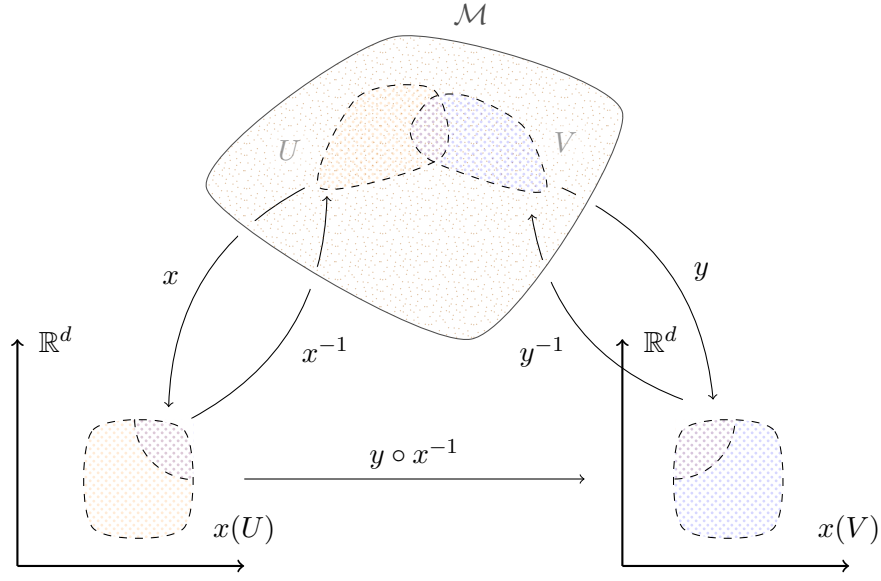
## 2 Vector Spaces

### 2.1 Vectors and Linear Maps

In order to understand the tangent space we need to understand vector spaces.

**Definition 2.1** (Vector space  $(V, +, \cdot)$ )

A vector space  $(V, +, \cdot)$  is a set  $V$  with



**Figure 2:** Visualization of chart transition maps. “How to glue together the charts of an atlas.” Plot modified from (QuantumMechanic, n.d.)

- an “addition”  $+$  :  $V \times V$  to  $V$ ,
- an “S-multiplication”  $\cdot$  :  $\mathbb{R} \times V$  to  $V$

and the properties CANI ADDU:

$$\forall v, w, u \in V, \lambda, \mu \in \mathbb{R}$$

- C<sup>+</sup>:**  $v + w = w + v$ ,
- A<sup>+</sup>:**  $(u + v) + w = u + (v + w)$ ,
- N<sup>+</sup>:**  $\exists 0 \in V : \forall v \in V : v + 0 = v$ ,
- I<sup>+</sup>:**  $\forall v \in V : \exists (-v) \in V : v + (-v) = 0$ ,
- A:**  $\lambda \cdot (\mu \cdot v) = (\lambda \cdot \mu) \cdot v$ ,
- D:**  $(\lambda + \mu) \cdot v = \lambda \cdot v + \mu \cdot v$ ,
- D:**  $\lambda \cdot v + \lambda \cdot w = \lambda \cdot (v + w)$ ,
- U:**  $1 \cdot v = v$ .

An element of a vector space is called a *vector*.

*Note:* The addition  $+$  in definition 2.1 sometimes is between vectors and sometimes between scalars. It is important to know the difference.

**Definition 2.2** (Linear maps)

(Structure respecting maps between vector spaces)

$(V, +_V, \cdot_V)$  and  $(W, +_W, \cdot_W)$  vector spaces. A map

$$\phi : V \rightarrow W \quad (12)$$

is called *linear* if  $\forall v, \tilde{v} \in V, \forall \lambda \in \mathbb{R}$

1.  $\phi(v +_V \tilde{v}) = \phi(v) +_W \phi(\tilde{v})$
2.  $\phi(\lambda \cdot_V v) = \lambda \cdot_W \phi(v)$

We write:

$$\phi : V \rightarrow W \text{ linear} \Leftrightarrow \phi : V \xrightarrow{\sim} W. \quad (13)$$

**Theorem 2.3** (Transitivity of linearity of maps)  
 $V, W, U$  vector spaces,  $\psi : V \xrightarrow{\sim} W, \phi : W \xrightarrow{\sim} U$   
 then  $\phi \circ \psi$  is also linear:  $\phi \circ \psi : V \xrightarrow{\sim} U$ .

**Definition 2.4** (Homomorphisms  $\text{Hom}(V, W)$ )

$$\text{Hom}(V, W) := \{ \phi : V \xrightarrow{\sim} W \}. \quad (14)$$

*Note:*  $\text{Hom}(V, W)$  can be made into a vector space by defining an addition and a multiplication

- $(\phi + \psi)(v) := \phi(v) + \psi(v)$ ,
- $(\lambda \psi)(v) := \lambda(\psi(v))$ .

**Definition 2.5** (Dual vector space  $V^*$ )

$$V^* := \{ \phi : V \xrightarrow{\sim} \mathbb{R} \} = \text{Hom}(V, \mathbb{R}). \quad (15)$$

The vector space  $(V^*, +, \cdot)$  is the *dual vector space* to  $V$ .  $\phi \in V^*$  is informally called a *covector*.

**Definition 2.6** ( $(r, s)$  - Tensors)

$(V, +, \cdot)$  vector space,  $r, s \in \mathbb{N}_0$ . An  $(r, s)$ -tensor  $T$  over  $V$  is a multi-linear map

$$T : \overbrace{V^* \times \dots \times V^*}^r \times \overbrace{V \times \dots \times V}^s \xrightarrow{\sim} \mathbb{R} \quad (16)$$

**Theorem 2.7** (Covector is  $(0,1)$ -tensor)

$$\phi \in V^* \Leftrightarrow \phi : V \xrightarrow{\sim} \mathbb{R} \Leftrightarrow \phi \text{ (0,1) tensor.} \quad (17)$$

**Theorem 2.8** (Vector is  $(1,0)$ -tensor)

If  $\dim V < \infty$

$$v \in V = (V^*)^* \Leftrightarrow v : V^* \xrightarrow{\sim} \mathbb{R} \Leftrightarrow v \text{ is (1,0)-tensor.} \quad (18)$$

## 2.2 Bases

**Definition 2.9** (Hamel-basis)

$(V, +, \cdot)$  vector space. A subset  $B \subset V$  is called a Hamel-basis if

$$\forall v \in V \exists ! \text{ finite } F = \{f_1, \dots, f_n\} \subset B : \exists ! \underbrace{v^1, \dots, v^n}_{\in \mathbb{R}}, \quad (19)$$

such that

$$v = v^1 f_1 + \dots + v^n f_n. \quad (20)$$

(and all  $f_i$  linearly independent).

**Definition 2.10** (Dimension of a vector space)

If a basis  $B$  with  $d < \infty$  many elements, then we call  $d =: \dim V$ .

If we have chosen a basis  $\{e_1, \dots, e_n\}$  of  $(V, +, \cdot)$  then  $(v^1, \dots, v^n)$  are called the *components* of  $V$  w.r.t. the chosen basis if

$$v = v^1 e_1 + \dots + v^n e_n. \quad (21)$$

**Definition 2.11** (Dual basis)

Choose basis  $\{e_1, \dots, e_n\}$  for  $V$ . The basis  $\{\epsilon^1, \dots, \epsilon^n\}$  for  $V^*$  can be chosen that

$$\epsilon^a(e_b) = \delta_b^a \quad \forall a, b = 1, \dots, n. \quad (22)$$

$\{\epsilon^1, \dots, \epsilon^n\}$  is then called the *dual basis* of the dual space.

## 2.3 Components of a tensor

$T$  an  $(r, s)$ -tensor. Then the real numbers

$$T^{i_1 \dots i_r}_{j_1 \dots j_s} = T(\epsilon^{i_1}, \dots, \epsilon^{i_r}, e_{j_1}, \dots, e_{j_s}) \quad (23)$$

are the components of  $T$  with respect to the chosen basis. From the components and the basis one can reconstruct the entire tensor: Example for  $(1, 1)$ -tensor:

$$T(\varphi, v) = T^i_j \varphi_i v^j \quad (24)$$

where  $\varphi_i$  are the components of  $\varphi \in V^*$  and  $v^j$  the components of  $v \in V$  with respect to the chosen basis. In equation (24) the *Einstein summation convention* is used, i.e. an index that appears up and down in an expression is summed over.

*Note:* The Einstein summation convention is only useful because we are working with *linear maps*, otherwise the expression

$$\varphi \left( \sum_i v^i e_i \right) = \sum_i \varphi(v^i e_i), \quad (25)$$

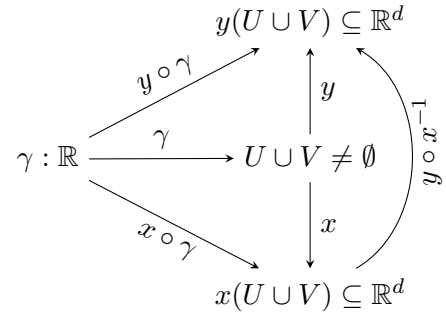
would not hold and with the summation index we would not know where the sum sign goes.

## 3 Differentiable Manifolds

So far we only had topological manifolds. We also want to be able to talk about the velocity of curves. The problem is that the notion of a topological manifold is not enough to define differentiability of curves. In this section we will find out what additional structure we need to be able to talk about the differentiability of

- curves:  $\mathbb{R} \rightarrow \mathcal{M}$
- functions:  $\mathcal{M} \rightarrow \mathbb{R}$
- maps:  $\mathcal{M} \rightarrow \mathcal{N}$

Strategy: Choose a chart  $(U, x)$  and consider portion of the curve in the domain of the chart:  $\gamma : \mathbb{R} \rightarrow U$  (see figure 3). Since  $x \circ \gamma : \mathbb{R} \rightarrow \mathbb{R}^d$  we can try to “lift” the notion of differentiability of a curve on  $\mathbb{R}^d$  to that of a curve on  $\mathcal{M}$ . The problem is to make this independent of the chart.



**Figure 3:** Curve  $\gamma$  in chart.

$$y \circ \gamma = \underbrace{(y \circ x^{-1})}_{\mathbb{R}^d \rightarrow \mathbb{R}^d} \circ \underbrace{(x \circ \gamma)}_{\substack{\mathbb{R} \rightarrow \mathbb{R}^d \\ \text{differentiable}}}, \quad (26)$$

but we only know that the *chart transition map*  $y \circ x^{-1}$  is continuous (because of the definition of a top. Manifold). Thus it is not guaranteed that  $y \circ \gamma$  is continuous, not differentiable. Reminder: The composition of continuous maps is continuous, same for differentiable. The above definition of differentiability of  $\gamma$  by checking the differentiability of  $x \circ \gamma$  with some chart  $x$  is not independent of the chart.

**Definition 3.1** ( $\star$  - compatibility of charts)

Two charts  $(U, x)$  and  $(V, y)$  of a topological manifold are called  $\star$ -compatible if either

1.  $U \cup V = \emptyset$  or

2.  $U \cup V \neq \emptyset$  and the chart transition maps

$$\begin{aligned} y \circ x^{-1} : \mathbb{R}^d \supseteq x(U \cup V) &\rightarrow y(U \cup V) \subseteq \mathbb{R}^d \\ x \circ y^{-1} : \mathbb{R}^d \supseteq y(U \cup V) &\rightarrow x(U \cup V) \subseteq \mathbb{R}^d \end{aligned}$$

have the  $\star$ -property in the  $\mathbb{R}^d$ -sense.

**Definition 3.2** ( $\star$ -compatible atlas)

An atlas  $\mathcal{A}_\star$  is a  $\star$ -compatible atlas if any two charts in  $\mathcal{A}_\star$  are  $\star$ -compatible.

**Definition 3.3** ( $\star$ -manifold)

A  $\star$ -manifold is a triple  $(\underbrace{\mathcal{M}, \mathcal{O}}_{\text{top. manif.}}, \underbrace{\mathcal{A}_\star}_{\in \mathcal{A}_{\max}})$ .

$\star$	$\star$ property in $\mathbb{R}^d$ -sense
$C^0$	$C^0(\mathbb{R}^d \rightarrow \mathbb{R}^d)$ continuous maps
$C^1$	$C^1(\mathbb{R}^d \rightarrow \mathbb{R}^d)$ differentiable and result is cont.
$C^k$	$C^k(\mathbb{R}^d \rightarrow \mathbb{R}^d)$ $k$ times diffble and result is cont.
$D^k$	$D^k(\mathbb{R}^d \rightarrow \mathbb{R}^d)$ $k$ times differentiable
$C^\infty$	$C^\infty(\mathbb{R}^d \rightarrow \mathbb{R}^d)$ smooth functions
$C^\omega$	$\exists$ multidim. Taylor expansion, $C^\omega \subset C^\infty$

*Note:* The more fancy properties one wants for the objects on the manifold, the more restrictive one has to be for the atlas.

**Theorem 3.4** ( $C^1 \rightarrow C^\infty$ )

Any  $C^{k \leq 1}$ -manifold atlas  $\mathcal{A}_{C^{k \leq 1}}$  of a topological manifold contains a  $C^\infty$ -atlas.

Thus we may without loss of generality always consider  $C^\infty$ -manifolds. “smooth” manifolds, unless we wish to define Taylor expandibility or complex differentiability, ...

**Definition 3.5** (Smooth manifold)

$(\mathcal{M}, \mathcal{O}, \mathcal{A})$ , where  $(\mathcal{M}, \mathcal{O})$  is a topological manifold and  $\mathcal{A}$  is a  $C^\infty$ -atlas.

## 4 Diffeomorphisms

$$M \xrightarrow{\phi} N$$

$M, N$  naked sets, then the structure-preserving maps are bijections (invertible maps).

**Definition 4.1** (Set-theoretically isomorphic)

Two sets  $M, N$  are said to be *set-theoretically isomorphic*  $M \cong_{\text{st}} N$  if  $\exists$  a bijection  $\phi : M \rightarrow N$  between them.

*Note:* Then they are “of the same size”. Examples:

$$\mathbb{N} \cong_{\text{st}} \mathbb{Z}, \mathbb{N} \cong_{\text{st}} \mathbb{Q}, \mathbb{N} \not\cong_{\text{st}} \mathbb{R}$$

Now  $(\mathcal{M}, \mathcal{O}_\mathcal{M})$  and  $(\mathcal{N}, \mathcal{O}_\mathcal{N})$ .

$$\mathcal{M} \xrightarrow{\phi} \mathcal{N}$$

**Definition 4.2** (Topologically isomorphic (homeomorphic))

$(\mathcal{M}, \mathcal{O}_\mathcal{M}) \cong_{\text{top}} (\mathcal{N}, \mathcal{O}_\mathcal{N})$  topologically isomorphic = “homeomorphic” if  $\exists \phi : \mathcal{M} \rightarrow \mathcal{N}$  and  $\phi, \phi^{-1}$  are *continuous*.

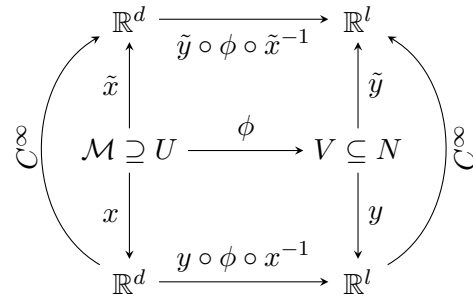
*Note:* Continuity is the important property here. This is a stronger notion. If two spaces are homeomorphic then they are also set-theoretically isomorphic.

**Definition 4.3** (Isomorphic vector spaces)

$(V, +_V, \cdot_V) \cong_{\text{vec}} (W, +_W, \cdot_W)$  if  $\exists$  a bijection  $\phi : V \rightarrow W$  that is linear in both directions.

**Definition 4.4** (diffeomorphic)

Two  $C^\infty$  manifolds  $(\mathcal{M}, \mathcal{O}_\mathcal{M}, \mathcal{A}_\mathcal{M})$  and  $(\mathcal{N}, \mathcal{O}_\mathcal{N}, \mathcal{A}_\mathcal{N})$  are said to be *diffeomorphic* if  $\exists$  a bijection  $\phi : \mathcal{M} \rightarrow \mathcal{N}$  such that  $\phi, \phi^{-1}$  are both  $C^\infty$ -maps, where by  $C^\infty$  we mean that  $y \circ \phi \circ x^{-1}$  is in  $C^\infty$  in the  $\mathbb{R}^d$ -sense, see figure 4.



**Figure 4:** In the definition of diffeomorphic 4.4  $\phi, \phi^{-1}$  have to be  $C^\infty$ , which is defined such that  $y \circ \phi \circ x^{-1}$  has to be  $C^\infty$  in the  $\mathbb{R}^d$ -sense, which is chart-independent here.

*Note:* Since we started with  $C^\infty$ -manifolds, the chart transition maps are  $C^\infty$  and thus the notion of differentiability in the definition 4.4 is independent of the choice of charts, i.e.  $\tilde{y} \circ \phi \circ \tilde{x}^{-1}$  is also  $C^\infty$ , see figure 4.

**Theorem 4.5**

$\#$  = number of  $C^\infty$ -manifolds one can make of a given  $C^\infty$ -manifold (if any) — up to diffeomorphisms —.

dim $M$	#	
1	1	} Moise-Radon theorem
2	2	
3	3	
4	uncountable	} surgery theory
5	infinitely many	
6	finite	
$\vdots$	finite	

## 5 Tangent Spaces

“What is the velocity of a curve  $\gamma$  at a point  $p$ ?”

### 5.1 Velocities, Tangent Spaces

**Definition 5.1** (Velocity)

$(M, \mathcal{O}, \mathcal{A})$  smooth manifold, curve  $\gamma : \mathbb{R} \rightarrow M$  at least  $C^1$ . Suppose  $\gamma(\lambda_0) = p$ . The velocity  $v$  of  $\gamma$  at  $p$  is the linear map

$$v_{\gamma,p} : C^\infty(M) \xrightarrow{\sim} \mathbb{R}, \\ f \mapsto v_{\gamma,p}(f),$$

with

$$v_{\gamma,p}(f) := (f \circ \gamma)'(\underbrace{\gamma^{-1}(p)}_{\lambda_0}), \quad (27)$$

i.e., the directional derivative of  $f$  along  $\gamma$  at the point  $p$

*Note:* Remember

$$C^\infty(M) = \{f : M \rightarrow \mathbb{R} \mid f \text{ smooth}, \\ (f + g)(p) := f(p) + g(p) \quad (28) \\ (\lambda \cdot g)(p) := \lambda \cdot g(p), \lambda \in \mathbb{R}\}$$

is a vector space.

Since  $f \circ \gamma : \mathbb{R} \rightarrow \mathbb{R}$  we can simply take the normal derivative.

$$\begin{array}{ccccc} \mathbb{R} & \xrightarrow{\gamma} & M & \xrightarrow{f} & \mathbb{R} \\ & \searrow f \circ \gamma & & \nearrow & \end{array}$$

*Note:* In differential calculus one had the directional derivative as  $v^i(\partial_i f)$ . The shift in philosophy is now to see  $v^i \partial_i$ , i.e., the operator that acts on  $f$ , as the vector.

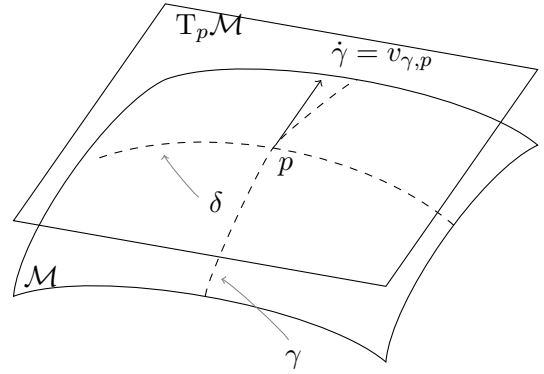
**Definition 5.2** (Tangent space)

$\forall p \in M$  the “tangent space to  $M$  at  $p$ ” consists of all the velocities of curves at that point :

$$T_p M := \{v_{\gamma,p} \mid \gamma \text{ smooth curves}\}. \quad (29)$$

*Note:* There is no reference to any external space or embedding in definition 5.2, see also figure 5!

$T_p M$  can be made into a vector space. The proof for this is so important and contains so many important things, that one should go through it in detail.



**Figure 5:** Picture for imagining the tangent space. Keep in mind that there is no embedding needed like in this picture. Also in one can only think of  $v_{\gamma,p}$  as an arrow in the chart, but not at manifold level. Also it is useful to think of the arrow as the directional derivative  $\partial_v$  in the direction of this arrow. Picture adapted from Menke, 2015

**Definition 5.3** (Addition and multiplication for tangent space)

For  $p \in M$ ,  $\gamma$  smooth curve on  $M$ ,  $\alpha \in \mathbb{R}$ :

$$+ : T_p M \times T_p M \rightarrow \text{Hom}(C^\infty(M), \mathbb{R}) \\ (v_{\gamma,p} + v_{\delta,p})(f) := v_{\gamma,p}(f) + v_{\delta,p}(f) \quad (30) \\ f \in C^\infty(M)$$

$$\cdot : \mathbb{R} \times T_p M \rightarrow \text{Hom}(C^\infty(M), \mathbb{R}) \\ (\alpha \cdot v_{\gamma,p})(f) = \alpha \cdot v_{\gamma,p}(f) \quad (31)$$

But do they close, i.e., is the tangent space a vector space? It remains to be shown that

1.  $\exists$  curve  $\sigma : v_{\gamma,p} + v_{\delta,p} = v_{\sigma,p}$
2.  $\exists$  curve  $\tau : \alpha \cdot v_{\gamma,p} = v_{\tau,p}$

The problem for 1 is that one cannot define  $v_{\gamma,p} + v_{\delta,p}$  as just adding the points of the curves,

since there is no such thing as adding two points on a manifold (what would be Paris + Berlin?).

**Proof:** (Tangent space is a vector space)

2. Construct  $\tau : \mathbb{R} \rightarrow \mathcal{M}$ :

$$\tau(\lambda) := \gamma(\alpha \cdot \lambda + \lambda_0) = (\gamma \circ \mu_\alpha)(\lambda) \quad (32)$$

with  $\mu_\alpha : \mathbb{R} \rightarrow \mathbb{R}, r \mapsto \alpha r + \lambda_0$ . Then  $\tau(0) = \gamma(\lambda_0) = p$ .

$$\begin{aligned} v_{\tau,p} &:= (f \circ \tau)'(0) = (f \circ \gamma \circ \mu_\alpha)'(0) \\ &= (f \circ \gamma)(\lambda_0)\alpha = \alpha v_{\gamma,p}. \end{aligned} \quad (33)$$

1. Two curves  $\gamma(\lambda), \delta(\lambda)$  with  $\gamma(\lambda_0) = p$  and  $\delta(\lambda_1) = p$ . Make a choice of chart  $(U, x)$  with  $p \in U$ , later show independence of chart. Define

$$\begin{aligned} \sigma_x : \mathbb{R} &\rightarrow \mathcal{M}, \\ \sigma_x(\lambda) &:= x^{-1}[(x \circ \gamma)(\lambda_0 + \lambda) + \\ &\quad + (x \circ \delta)(\lambda_1 + \lambda) - (x \circ \gamma)(\lambda_0)] . \end{aligned} \quad (34)$$

$$\sigma_x(0) = \delta(\lambda_1) = p, \quad (35)$$

$$\begin{aligned} v_{\sigma_x,p}(f) &= (f \circ \sigma_x)'(0) \\ &= \left[ \underbrace{(f \circ x^{-1})}_{\mathbb{R}^d \rightarrow \mathbb{R}} \circ \underbrace{(x \circ \sigma_x)}_{\mathbb{R}^d \rightarrow \mathbb{R}} \right]'(0), \end{aligned} \quad (36)$$

where now we use the multidimensional chain rule that a physicist would rather know as  $\frac{d}{d\lambda} f(\vec{y}(\lambda)) = (\vec{\nabla}_y f) \cdot \frac{d\vec{y}}{d\lambda}$ .

$$\begin{aligned} v_{\sigma_x,p}(f) &= (x^i \circ \sigma_x)'(0) [\partial_i (f \circ x^{-1})] \underbrace{(x(\sigma_x(0)))}_{x(p)} \left( \frac{\partial}{\partial x^1} \right)_p, \dots, \left( \frac{\partial}{\partial x^d} \right)_p \in T_p \mathcal{M} \quad (43) \\ &\text{constitute a basis of } T_p U. \end{aligned}$$

$$\begin{aligned} (x^i \circ \sigma_x)'(0) &= [(x^i \circ \gamma)(\lambda_0 + \lambda) + (x^i \circ \delta)(\lambda_1 + \lambda) - (x^i \circ \gamma)(\lambda_0)]' \\ &= (x^i \circ \gamma)'(\lambda_0) + (x^i \circ \delta)'(\lambda_1) = v_{\gamma,p}^i + v_{\delta,p}^i \cdot \lambda^i \left( \frac{\partial}{\partial x^i} \right)_p \stackrel{!}{=} 0 \end{aligned} \quad (44)$$

Plugging this into equation (36) and doing the same step backwards we get

$$v_{\sigma_x,p}(f) = v_{\gamma,p}(f) + v_{\delta,p}(f) \quad \forall f \in C^\infty(\mathcal{M}), \quad (37)$$

independent of the chart.

**Note:** It turns out that the sum of curves like this depends on the chart  $(U, x)$ , but the derivative of the sum of the curves at the point  $p$  does not.

□

## 5.2 Components of vectors

Let  $(U, x) \in \mathcal{A}_{\text{smooth}}$ ,

$$\begin{aligned} v_{\gamma,p}(f) &:= (f \circ \gamma)'(0) = [(f \circ x^{-1}) \circ (x \circ \gamma)]'(0) \\ &= (x^i \circ \gamma)'(0) \cdot (\partial_i (f \circ x^{-1}))(x(p)) \\ &=: \dot{\gamma}_x^i(0) \left( \frac{\partial f}{\partial x^i} \right)_p \end{aligned} \quad (38)$$

**Note:** The last step in equation (38) is pure notation. If one sees  $(\partial f / \partial x^i)_p$ , one should first think of  $(\partial_i (f \circ x^{-1}))(x(p))$  and also for  $\dot{\gamma}_x^i(x)$ .

$$\left( \frac{\partial f}{\partial x^i} \right)_p := (\partial_i (f \circ x^{-1}))(x(p)) \quad (39)$$

$$\dot{\gamma}_x^i(0) := (x^i \circ \gamma)'(0) \quad (40)$$

Nevertheless one can show that what we write like a partial derivative here, behaves (and maybe tastes) like a partial derivative.

Thus we write

$$v_{\gamma,p}(f) = \dot{\gamma}_x^i(0) \left( \frac{\partial}{\partial x^i} \right)_p f, \quad \forall f \in C^\infty, \quad (41)$$

which leads to

$$v_{\gamma,p} = \underbrace{\dot{\gamma}_x^i(0)}_{\text{components}} \underbrace{\left( \frac{\partial}{\partial x^i} \right)_p}_{\text{chart induced basis of } T_p U}. \quad (42)$$

**Theorem 5.4** (Chart induced basis of  $T_p U$ )

Let  $(U, x) \in \mathcal{A}_{\text{smooth}}$ . The

$$\left( \frac{\partial}{\partial x^1} \right)_p, \dots, \left( \frac{\partial}{\partial x^d} \right)_p \in T_p \mathcal{M} \quad (43)$$

**Proof:** Show linear independence

$$\lambda^i \left( \frac{\partial}{\partial x^i} \right)_p (x^j) = \lambda^i \overbrace{\partial_i (x^j \circ x^{-1})}^{\delta_i^j} (x(p)) = \lambda^j, \quad \forall j = 1, \dots, d, \quad (45)$$

□

**Corollary 5.5**

$$\underbrace{\dim T_p \mathcal{M}}_{\text{vector space dimension}} = d = \underbrace{\dim \mathcal{M}}_{\text{top. manif. dimension}}. \quad (46)$$



### 5.3 Change of vector components under a change of charts

*Note:* A vector is an abstract object and does not change under a change of charts. How should it? It does not depend on the chart. If it would, we could change objects by looking at them differently (different coordinates), so we could do telekinesis.

What does change are the components of a vector.

*Terminology:*  $X \in T_p\mathcal{M}$  always means that

- $\exists \gamma : \mathbb{R} \rightarrow \mathcal{M}$  s.t.  $X = v_{\gamma,p}$ .
- $\exists X^1, \dots, X^d \in \mathbb{R} : X = X^i (\partial/\partial x^i)_p$ .

Let  $(U, x)$  and  $(V, y)$  be overlapping charts and  $p \in U \cap V$ . Let  $X \in T_p\mathcal{M}$ .

$$\begin{aligned} X &= X_{(x)}^i \left( \frac{\partial}{\partial x^i} \right)_p \\ &= X_{(y)}^i \left( \frac{\partial}{\partial y^i} \right)_p \end{aligned} \quad (47)$$

Again we have to translate the “partial derivative”-notation:

$$\begin{aligned} \left( \frac{\partial}{\partial x^i} \right)_p f &= \partial_i (f \circ x^{-1})(x(p)) \\ &= \partial_i \left( \underbrace{(f \circ y^{-1})}_{\mathbb{R}^d \rightarrow \mathbb{R}} \circ \underbrace{(y \circ x^{-1})}_{\mathbb{R}^d \rightarrow \mathbb{R}^d} \right)(x(p)), \end{aligned} \quad (48)$$

and with the multidimensional chain rule, which a physicist would write as

$$\frac{\partial}{\partial x^i} (f(\vec{y}(\vec{x}))) = \left( \vec{\nabla}_y f(\vec{y}) \right) \cdot \frac{\partial \vec{y}}{\partial x^i}, \quad (49)$$

equation (48) becomes

$$\begin{aligned} \left( \frac{\partial}{\partial x^i} \right)_p f &= (\partial_i (y \circ x^{-1})^j)(x(p)) \cdot (\partial_j (f \circ y^{-1}))(y(p)) \\ &= \left( \frac{\partial y^j}{\partial x^i} \right)_p \cdot \left( \frac{\partial}{\partial y^j} \right)_p f. \end{aligned} \quad (50)$$

Comparison with equation (47) yields the transformation for vector components. Namely the components of  $X$  in the  $y$ -chart,  $X_{(y)}^j$  can be calculated from the components in the  $x$ -chart,  $X_{(x)}^i$  through

$$\boxed{X_{(y)}^j = \left( \frac{\partial y^j}{\partial x^i} \right)_p X_{(x)}^i}. \quad (51)$$

### 5.4 Cotangent spaces

The cotangent space of  $T_p\mathcal{M}$  is

$$(T_p\mathcal{M})^* := \left\{ \phi : T_p\mathcal{M} \xrightarrow{\sim} \mathbb{R} \right\}. \quad (52)$$

One often just writes  $T_p\mathcal{M}^*$ .

**Example 5.6** (Gradient)

For  $f \in C^\infty(\mathcal{M})$ , define

$$\begin{aligned} (df)_p : T_p\mathcal{M} &\xrightarrow{\sim} \mathbb{R} \\ X &\mapsto (df)_p(X) := Xf, \end{aligned} \quad (53)$$

then  $(df)_p(X) \in \mathbb{R}$ , thus  $(df)_p \in T_p\mathcal{M}^*$  and we call  $(df)_p$  the *gradient* of  $f$  at  $p \in \mathcal{M}$ . Note that the gradient is a  $(0, 1)$ -tensor, a covector, and not a  $(1, 0)$ -tensor, which would be a vector.

The components of the gradient with respect to the chart induced basis are (choosing a chart  $(U, x)$ )

$$\begin{aligned} ((df)_p)_j &:= (df)_p \left( \frac{\partial}{\partial x^j} \right) = \left( \frac{\partial f}{\partial x^j} \right)_p \\ &= \partial_j (f \circ x^{-1})(x(p)). \end{aligned} \quad (54)$$

**Theorem 5.7** (Chart induced basis for the cotangent space)

Consider chart  $(U, x)$ ,  $x^i : U \rightarrow \mathbb{R}$ . Then

$$(dx^1)_p, (dx^2)_p, \dots, (dx^d)_p, \quad (55)$$

is a basis of  $T_p\mathcal{M}^*$ . In fact, if one chooses the chart induced basis in  $T_p\mathcal{M}$ , it is the dual basis of the dual space  $T_p\mathcal{M}^*$ , i.e.,

$$(dx^a)_p \left( \frac{\partial}{\partial x^b} \right)_p = \delta_b^a. \quad (56)$$

Again, one can calculate how the components of a covector behave under a *change of chart*. Of a covector  $\omega \in T_p\mathcal{M}^*$  the components are given by

$$\omega = \omega_{(x)i} (dx^i)_p = \omega_{(y)i} (dy^i)_p, \quad (57)$$

Since  $\omega$  is a 1-form it always acts on a vector  $v \in T_p\mathcal{M}$ ,

$$\omega(v) = \omega_{(x)i} (dx^i)_p(v) = \omega_{(x)i} v(x^i). \quad (58)$$

Take a curve  $\gamma(\lambda)$  for which  $v$  is the tangent vector at  $p$ , then

$$\begin{aligned} v(x^i) &= (x^i \circ \gamma)'(0) = ((x^i \circ y^{-1}) \circ (y \circ \gamma))'(0) \\ &= [\partial_j (x^i \circ y^{-1})(y(p))] \frac{d(y^j \circ \gamma)}{d\lambda}(0) \\ &= \left( \frac{\partial x^i}{\partial y^j} \right)_p (y^j \circ \gamma)'(0) \\ &= \left( \frac{\partial x^i}{\partial y^j} \right)_p v(y^j). \end{aligned} \quad (59)$$

Plugging this in equation (57)

$$\begin{aligned} w(v) &= w_{(x)i} (dx^i)_p = \omega_{(x)i} \left( \frac{\partial x^i}{\partial y^j} \right)_p (dy^j)_p \\ &= w_{(y)i} (dy^i)_p. \end{aligned} \quad (60)$$

So the transformation for the components of a 1-form is

$$\boxed{\omega_{(y)i} = \left( \frac{\partial x^j}{\partial y^i} \right)_p \omega_{(x)j}}. \quad (61)$$

## 5.5 Bundles and Vector Fields

Up until now we were always working at a single point  $p \in \mathcal{M}$ . The vectors and tensors we defined live in the tangent space at that point,  $T_p\mathcal{M}$ .

### Definition 5.8 (Bundle)

A bundle is a triple

$$\mathcal{E} \xrightarrow{\pi} \mathcal{M}, \quad (62)$$

where

- $\mathcal{E}$ : smooth manifold (“total space”),
- $\pi$ : surjective smooth map (“projection map”),
- $\mathcal{M}$ : smooth manifold (“base space”).

### Definition 5.9 (Fibre)

$\mathcal{E} \xrightarrow{\pi} \mathcal{M}$  a bundle,  $p \in \mathcal{M}$ , then

$$\text{preim}_{\pi}(p) \quad (63)$$

is called the *fibre over  $p$* .

### Definition 5.10 (Section of a bundle)

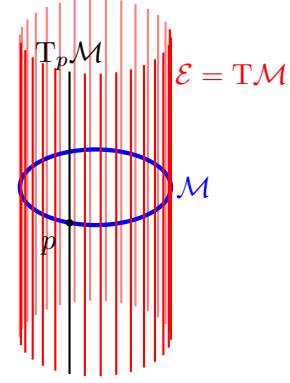
A section  $\sigma$  of a bundle is a map  $\mathcal{M} \rightarrow \mathcal{E}$  with  $\pi \circ \sigma = \text{Id}_{\mathcal{M}}$ , i.e. a section projects a point from the base space to a point in the total space that is in the same fibre.

$$\begin{array}{c} \mathcal{E} \\ \downarrow \pi \quad \nearrow \sigma \\ \mathcal{M} \end{array}$$

*Note:* In figure 6 a picture for a specific bundle is given. We choose  $\mathcal{M}$  to be a circle and  $\mathcal{E}$  to be a cylinder with the same radius. Then  $\pi$  can be any map  $\mathcal{E} \rightarrow \mathcal{M}$  as long as it is surjective and smooth (it can also be  $\mathcal{E} \supset U \rightarrow \mathcal{M}$ ).

One possibility for  $\pi$  would be to just project the point down the cylinder to the circle. Indeed,

in this picture we can see every fibre (red line) as the tangent space  $T_p\mathcal{M}$  at the point  $p$  on the circle  $\mathcal{M}$ . Then  $\mathcal{E}$  consists of all  $T_p\mathcal{M}$  and  $\pi$  takes a vector  $v \in T_p\mathcal{M}$  and returns  $p$ .



**Figure 6:** Picture for a bundle, where  $\mathcal{M}$  is a circle and  $\mathcal{E}$  a cylinder. We have chosen  $\pi$  such that every point on the cylinder ( $\mathcal{E}$ ) is projected down to the circle. This way every vertical line is a fibre. For example the black vertical line is the fibre over the point  $p$ . A way to think of this specific example for the tangent space of the circle is that the tangent at every point of the circle is rotated so to give this picture. Picture modified from Arun Debray, 2016.

*Note:* A section is a field and what kind of field it is depends on the choice of total space. In figure 6 every fibre is a vector space, namely the tangent space to the circle at that point. The base space  $\mathcal{M}$  and the total space  $\mathcal{E}$  don't have to lie in the same space like in the picture, they don't need to have anything to do with each other.

*Note:* The wave function  $\psi : \mathcal{M} \rightarrow \mathbb{C}$  is actually a scalar field and not a function.

### Definition 5.11 (Tangent Bundle of a Smooth Manifold)

$(\mathcal{M}, \mathcal{O}, \mathcal{A})$  a smooth manifold.

- (a) The tangent bundle is the *disjoint union* of all the tangent spaces,

$$T\mathcal{M} := \bigcup_{p \in \mathcal{M}} T_p\mathcal{M}. \quad (64)$$

- (b) The projection map  $\pi$  projects down to the base point of the tangent space  $T_p\mathcal{M}$  that the vector  $X$  is in,

$$\pi : T\mathcal{M} \rightarrow \mathcal{M}, \quad (65)$$

$$X \mapsto p, \quad p \in \mathcal{M} : X \in T_p\mathcal{M}. \quad (66)$$

- (c) Construct the *coarsest* topology on  $\mathrm{T}\mathcal{M}$  such that  $\pi$  is (just) continuous (“the initial topology with respect to  $\pi$ ”), which here is given by

$$\mathcal{O}_{\mathrm{T}\mathcal{M}} := \{\mathrm{preim}_{\pi}(\mathcal{U}) \mid \mathcal{U} \in \mathcal{O}\} . \quad (67)$$

*Note:* An element  $X$  of the tangent bundle  $X \in \mathrm{T}\mathcal{M}$  is of course an element of the tangent space at its point in the manifold:

$$X \in \mathrm{T}_{\pi(X)}\mathcal{M} . \quad (68)$$

The tangent bundle itself can be made to be a smooth manifold. Construct a  $C^\infty$ -atlas on  $\mathrm{T}\mathcal{M}$  from the  $C^\infty$ -atlas  $\mathcal{A}$  on  $\mathcal{M}$ ,

$$\mathcal{A}_{\mathrm{T}\mathcal{M}} := \{(\mathrm{T}\mathcal{U}, \xi_x) \mid (\mathcal{U}, x) \in \mathcal{A}\} , \quad (69)$$

where

$$\xi_x : \mathrm{T}\mathcal{U} \rightarrow \mathbb{R}^{2 \dim \mathcal{M}} , \quad (70)$$

$$X \mapsto \left( (x^1 \circ \pi)(X), \dots, (x^d \circ \pi)(X), \right. \\ \left. (dx^i)_{\pi(X)}(X), \dots, (dx^d)_{\pi(X)}(X) \right) . \quad (71)$$

*Note:*  $x$  is the chart that we have chosen.  $X \in \mathrm{T}\mathcal{M}$  is a vector in  $\mathrm{T}_p\mathcal{M}$  at a base point  $p$ . We can get the base point  $p$  through the map  $\pi$ ,  $p = \pi(X)$ . The first part of  $\xi_x$  are the coordinates of the base point in the chart  $x$ , i.e.  $(x \circ \pi)(X)$  and the second part are the components of the vector which we can get by acting with  $(dx)_{\pi(X)}$  on  $X$ , since

$$X =: X_{(x)}^i \left( \frac{\partial}{\partial x^i} \right)_{\pi(X)} , \quad (72)$$

and

$$(dx^j)_{\pi(X)} \left( \frac{\partial}{\partial x^i} \right)_{\pi(X)} = \delta_i^j . \quad (73)$$

Let's write the components of  $\xi_x X$  as

$$\left( \underbrace{\alpha^1, \dots, \alpha^d}_{\text{coordinates in chart } x}, \underbrace{\beta^1, \dots, \beta^d}_{\text{components of vector in } \mathrm{T}_{\pi(X)}\mathcal{M}} \right) \quad (74)$$

The inverse of  $\xi_x$

$$\xi_x^{-1} : \mathbb{R}^{2 \dim \mathcal{M}} \ni \xi_x(\mathrm{T}\mathcal{U}) \rightarrow \mathrm{T}\mathcal{U} , \quad (75)$$

$$(\alpha^1, \dots, \alpha^d, \beta^1, \dots, \beta^d) \mapsto \beta^i \left( \frac{\partial}{\partial x^i} \right)_{x^{-1}(\alpha^1, \dots, \alpha^d)} , \quad (76)$$

and of course  $x^{-1}(\alpha^1, \dots, \alpha^d)$  is just  $\pi(X)$  explicitly written out.

The atlas is *smooth* if the chart transition map  $\xi_y \circ \xi_x^{-1}$  is smooth. We can check that this is true by explicitly acting with  $\xi_y$  on eq. (76). Then we see that the  $\alpha^i$  transform like the coordinates in a map (because they are),

$$(y^j \circ x^{-1})(\alpha^1, \dots, \alpha^d) , \quad (77)$$

and the  $\beta^i$  transform like the components of a tangent vector (because they are),

$$\beta^m \left( \frac{\partial y^j}{\partial x^m} \right) = \beta^m \partial_m (y^j \circ x^{-1})(\alpha^1, \dots, \alpha^d) , \quad (78)$$

The chart transition map on the manifold level,  $y^i \circ x^{-1}$ , is smooth by assumption, and the derivative  $\partial_m (y^i \circ x^{-1})$  is also smooth, since the derivative of  $C^\infty$  is still  $C^\infty$ .

We can summarize all of that in one picture:

$$\mathrm{T}\mathcal{M} \xrightarrow{\pi} \mathcal{M}$$

$$C^\infty \text{ mfd.} \quad C^\infty \text{ map} \quad C^\infty \text{ mfd.}$$

### Definition 5.12 (Vector Field $\chi$ )

A *smooth vector field*  $\chi$  is a *smooth map*

$$\begin{array}{ccc} \mathrm{T}\mathcal{M} & & \pi \circ \chi = \mathrm{id}_{\mathcal{M}} \\ \downarrow \pi & \nearrow \chi & \\ \mathcal{M} & & \end{array}$$

*Note:* We needed all the sommersaults with bundles and fibres for the word **SMOOTH** in above definition.

## 5.6 The $C^\infty(\mathcal{M})$ Module $\Gamma(\mathrm{T}\mathcal{M})$

Remember:  $C^\infty$  is the collection of smooth functions and also a vector space  $(C^\infty(\mathcal{M}), +)$  (can add functions). One can also multiply functions, but for the multiplication  $fg$  there exists only an inverse  $g^{-1}$  if the function  $g$  has no zeros (And in the definition of vector space only the multiplication with the function that is zero everywhere is excluded). Thus  $(C^\infty(\mathcal{M}), +, \cdot)$  is a *ring*.

- Field: Fulfills<sup>1</sup> ( $C^+$ ,  $A^+$ ,  $N^+$ ,  $I^+$ ,  $C^-$ ,  $A^-$ ,  $N^-$ ,  $I^-$ ,  $D^+$ )
- Ring Fulfills ( $C^+$ ,  $A^+$ ,  $N^+$ ,  $I^+$ ,  $C^-$ ,  $A^-$ ,  $N^-$ ,  $I^-$ ,  $D^+$ )

$$\Gamma(TM) := \{\chi : TM \rightarrow M \mid \text{smooth section}\}, \quad (79)$$

which means all smooth vector fields on  $M$ ; a section with total space  $TM$  and base space  $M$  is a vector field, see definitions 5.12 and 5.10.

**Definition 5.13** (Set of smooth vector fields  $\Gamma(TM)$ )

$$(\chi + \tilde{\chi})(f) := \chi(f) + \tilde{\chi}(f). \quad (80)$$

Watch out for the  $+$  and  $\cdot$  and on what spaces they operate!

One can make a vector field to an  $\mathbb{R}$ -vector space, by allowing multiplication with real numbers,

$$(\alpha \cdot \chi)(f) := \alpha \cdot \chi(f), \quad (81)$$

but actually we can even make more! We can allow  $C^\infty(M)$  functions instead of  $\mathbb{R}$ ,

$$(g \cdot \chi)(f) := g \cdot \chi(f), \quad (82)$$

where  $g \in C^\infty(M)$ . The point is, that  $C^\infty(M)$  is only a RING and so we don't call it a  $C^\infty(M)$ -vector space, but  $C^\infty(M)$ -module<sup>2</sup>!

The set of all smooth vector fields  $\Gamma(TM)$  can be made into a  $C^\infty$ -module

A module does not have all the properties of vector spaces. A module is *not guaranteed* to always have a basis! Thus we are *not* in general able to write every vector field  $\chi$  as

$$\chi = f^i \chi_{(i)}, \quad (83)$$

$$\chi_{(1)}, \dots, \chi_{(d)} \in \Gamma(TM), \quad \text{global basis} \quad (84)$$

Example: Every vector field on the sphere has to vanish somewhere, but that means at this point it cannot be used as a basis. We can do it locally, though, *i.e.* for subsets  $U \in M$ .

<sup>1</sup>Commutative, Associative, Neutral element, Inverse element, Distributive

<sup>2</sup>So a vector space over a ring is a module.

## 5.7 Tensor Fields

Since  $T^*M$  is also a vector space, we can define  $\Gamma(T^*M)$  as all smooth covector fields, which is again a  $C^\infty(M)$ -module.

**Definition 5.14** ( $(r, s)$ -tensor field  $T$ )

An  $(r, s)$ -tensor field  $T$  is a  $C^\infty$ , in every element multi-linear<sup>3</sup>, map

$$T : \underbrace{\Gamma(T^*M) \times \dots \times \Gamma(T^*M)}_r \times \underbrace{\Gamma(TM) \times \dots \times \Gamma(TM)}_s \xrightarrow{\sim} C^\infty(M) \quad (85)$$

Note: So  $T$  is a map from  $C^\infty(M)$  modules to the  $C^\infty(M)$  module  $C^\infty(M)$ . Yes,  $C^\infty(M)$  itself is a  $C^\infty(M)$  module, just like  $\mathbb{R}$  is an  $\mathbb{R}$ -vector space. I just want to write  $C^\infty(M)$  once more:  $C^\infty(M)$ .

Example:  $f \in C^\infty(M)$

$$df : \Gamma(TM) \xrightarrow{\sim} C^\infty(M) \quad (86)$$

$$\chi \mapsto df(\chi) := \chi f, \quad (87)$$

where  $\chi f$  is defined by its action on  $p \in M$ ,

$$(\chi f)(p) := \chi(p)f, \quad (88)$$

which works since  $\chi(p) \in T_p M$  can act on a function  $f$ . Thus  $df$  is a gradient co-vector field.

## 6 Connections/Covariant Derivatives

Most of the time it is enough to think about a *vector field*  $X$  just as a vector in each point. Remember:  $X$  gives a *directional derivative*  $Xf$ . We define new notation

$$\nabla_X f := Xf = (df)(X), \quad f \in C^\infty(M), \quad (89)$$

because  $\nabla_X$  can be generalized to tensors.

### 6.1 Directional Derivatives of Tensor Fields

We make a wishlist for properties of  $\nabla_X$  acting on a tensor field. There will remain a freedom in the definition of  $\nabla_X$  which we need to fix by providing additional structure.

<sup>3</sup>Addition is like always, but s-multiplication means multiplying with a  $C^\infty$  function.

**Definition 6.1** (Covariant Derivative/Affine Connection)

A *connection*  $\nabla$  on a smooth manifold  $(\mathcal{M}, \mathcal{O}, \mathcal{A})$  is a map that takes a pair consisting of a vector (field)  $X$  and a  $(p, q)$ -tensor field  $T$  and sends them to a  $(p, q)$ -tensor (field)  $\nabla_X T$ , satisfying

1. *Extension of normal derivative:*

$$\nabla_X f = Xf, \quad (90)$$

$$\forall f \in C^\infty(\mathcal{M}).$$

2. *Additivity:*

$$\nabla_X(T + S) = \nabla_X T + \nabla_X S, \quad (91)$$

for  $(p, q)$ -tensors  $T, S$ ,

3. *Leibnitz rule:* For a  $(1, 1)$  tensor field  $T$ , already evaluated with a covector  $\omega$  and a vector  $Y$ , so  $T(\omega, Y) \in C^\infty(\mathcal{M})$ ,

$$\begin{aligned} \nabla_X(T(\omega, Y)) &= (\nabla_X T)(\omega, Y) + T(\nabla_X \omega, Y) \\ &\quad + T(\omega, \nabla_X Y). \end{aligned} \quad (92)$$

For  $(p, q)$  tensor fields analogously. Easier formulation (definition of the tensor product below):

$$\nabla_X(T \otimes S) = (\nabla_X T) \otimes S + T \otimes \nabla_X S. \quad (93)$$

4.  *$C^\infty$ -linearity in lower argument:*

$$\nabla_{fX+Z} T = f \nabla_X T + \nabla_Z T, \quad (94)$$

$$\forall f \in C^\infty(\mathcal{M}).$$

A manifold with connection (or affine manifold) is a quadruple of structures,  $(\mathcal{M}, \mathcal{O}, \mathcal{A}, \nabla)$ .

**Definition 6.2** (Tensor Product  $T \otimes S$ )

For a  $(p, q)$ -tensor  $T$  and a  $(l, m)$ -tensor  $S$ , the *tensor product* is defined as

$$\begin{aligned} (T \otimes S)(\omega_1, \dots, \omega_{p+l}, X_1, \dots, X_{q+m}) &= \\ T(\omega_1, \dots, \omega_p, X_1, \dots, X_q) &\cdot \\ \cdot S(\omega_{p+1}, \dots, \omega_{p+l}, X_{q+1}, \dots, X_{q+m}). \end{aligned} \quad (95)$$

The first tensor eats as many covectors and vectors as it can followed by the second tensor who eats the rest.

*Note:*

- $\nabla_X \cdot$  is the extension of  $X$ ,
- $\nabla \cdot$  is the extension of  $d$ .

## 6.2 New Structure on $(\mathcal{M}, \mathcal{O}, \mathcal{A})$ Required to Define $\nabla$

How much freedom do we have in choosing such a structure? How fixed is  $\nabla_X$  by the definition above?

We consider vector fields  $X, Y$  and choose a chart  $(U, x)$ , using the rules above

$$\begin{aligned} \nabla_X Y &= \nabla_{X^i \frac{\partial}{\partial x^i}} \left( Y^m \frac{\partial}{\partial x^m} \right) \\ &= X^i \left( \nabla_{\frac{\partial}{\partial x^i}} Y^m \right) \frac{\partial}{\partial x^m} + X^i Y^m \nabla_{\frac{\partial}{\partial x^i}} \left( \frac{\partial}{\partial x^m} \right) \\ &= X^i \left( \frac{\partial}{\partial x^i} Y^m \right) \frac{\partial}{\partial x^m} + X^i Y^m \Gamma_{(x)mi}^q \frac{\partial}{\partial x^m}, \end{aligned} \quad (96)$$

where in the last step we have expanded  $\nabla_{\frac{\partial}{\partial x^i}} \left( \frac{\partial}{\partial x^m} \right) = \Gamma_{(x)mi}^q \frac{\partial}{\partial x^q}$  with the *connection coefficient functions*  $\Gamma_{mi}^q$  (on  $\mathcal{M}$ ) of  $\nabla$  with respect to the chart  $(U, x)$ . The  $(x)$  on  $\Gamma_{(x)mi}^q$  denotes that it depends on the chart  $x$ .

**Definition 6.3** (Connection coefficient functions  $\Gamma$ )

Given  $(\mathcal{M}, \mathcal{O}, \mathcal{A}, \nabla)$  and a chart  $(U, x) \in \mathcal{A}$  the *connection coefficient functions* (the “ $\Gamma$ s”) with respect to  $(U, x)$  are the  $(\dim \mathcal{M})^3$  many chart dependent functions

$$\Gamma_{(x)jk}^i : U \rightarrow \mathbb{R} \quad (97)$$

$$p \mapsto \left( dx^i \left( \nabla_{\frac{\partial}{\partial x^k}} \frac{\partial}{\partial x^j} \right) \right) (p). \quad (98)$$

Thus:

$$(\nabla_X Y)^i = X^m \left( \frac{\partial}{\partial x^m} Y^i \right) + \Gamma_{nm}^i Y^n X^m. \quad (99)$$

*Note:* The new structure that we need to fix  $\nabla$  acting on a *vector field* are the  $(\dim \mathcal{M})^3$  many functions  $\Gamma_{jl}^i$ . Actually we are lucky and they already fix  $\nabla$  acting on any tensor field of any rank as we will see.

For a dual vector field we arrive at one point at

$$\nabla_{\frac{\partial}{\partial x^m}} (dx^i) = \Sigma_{qm}^i dx^q, \quad (100)$$

but now

$$\begin{aligned} \nabla_{\frac{\partial}{\partial x^m}} \left( dx^i \left( \frac{\partial}{\partial x^j} \right) \right) &= 0 \\ &= \left( \nabla_{\frac{\partial}{\partial x^m}} dx^i \right) \left( \frac{\partial}{\partial x^j} \right) + dx^i \nabla_{\frac{\partial}{\partial x^m}} \left( \frac{\partial}{\partial x^j} \right) = \\ &= \Sigma_{qm}^i dx^q \left( \frac{\partial}{\partial x^j} \right) + \Gamma_{qm}^i dx^q \left( \frac{\partial}{\partial x^j} \right), \end{aligned}$$

so  $\Sigma = -\Gamma$  and we will just use  $\Gamma$ .

$\nabla$  comes with a + for vectors and a - for covectors. The last index of  $\Gamma^i_{jm}$  goes always with the direction  $X$  of  $\nabla_X$ .

$$(\nabla_X Y)^i = X(Y^i) + \Gamma^i_{jm} Y^j X^m, \quad (101)$$

$$(\nabla_X \omega)_i = X(Y^i) - \Gamma^j_{im} \omega^j X^l. \quad (102)$$

For higher rank tensors every upper index comes with a  $+\Gamma$  and every lower index with a  $-\Gamma$ , e.g. for a  $(1, 2)$ -tensor  $T$ :

$$(\nabla_X T)^i_{jk} = X(T^i_{jk}) + \Gamma^i_{sm} T^s_{jk} X^m - \Gamma^s_{jm} T^i_{sk} X^m - \Gamma^s_{km} T^i_{js} X^m. \quad (103)$$

*Note:* In Euclidean space (non-curved)  $\Gamma^i_{lm} = 0$  for non-curvilinear coordinates. So in  $\mathbb{R}$  for the standard basis the  $\Gamma$  vanish, but not for e.g. polar coordinates they are nonzero.

**Definition 6.4** (Divergence)

Let  $X$  be a vector field on  $(\mathcal{M}, \mathcal{O}, \mathcal{A}, \nabla)$ . The *divergence* of  $X$  is the function

$$\text{div}(X) := \left( \nabla_{\frac{\partial}{\partial x^i}} X \right)^i, \quad (104)$$

where there is a sum over  $i$ . This definition is *chart independent*.

### 6.3 Change of $\Gamma$ s Under Change of Chart

Let  $(U, x), (V, y) \in \mathcal{A}$  and  $U \cap V \neq \emptyset$ , then using the transformations of  $dx^q$  and  $\partial/\partial y^q$ ,

$$\begin{aligned} \Gamma^i_{(y)jk} &:= dy^i \left( \nabla_{\frac{\partial}{\partial y^j}} \frac{\partial}{\partial y^k} \right) \\ &= \frac{\partial y^i}{\partial x^q} dx^q \left( \nabla_{\frac{\partial}{\partial y^k}} \frac{\partial x^s}{\partial y^j} \frac{\partial}{\partial x^s} \right) \\ &= \frac{\partial y^i}{\partial x^q} dx^q \frac{\partial x^p}{\partial y^k} \left\{ \left( \nabla_{\frac{\partial}{\partial x^p}} \frac{\partial x^s}{\partial y^j} \right) \frac{\partial}{\partial x^s} \right. \\ &\quad \left. + \frac{\partial x^s}{\partial y^j} \left( \nabla_{\frac{\partial}{\partial x^p}} \frac{\partial}{\partial x^s} \right) \right\} \\ &= \frac{\partial y^i}{\partial x^q} \left( \frac{\partial}{\partial y^k} \frac{\partial x^s}{\partial y^j} \right) \delta^q_s + \frac{\partial y^i}{\partial x^q} \frac{\partial x^p}{\partial y^k} \frac{\partial x^s}{\partial y^j} \Gamma^q_{(x)sp}, \end{aligned}$$

and in summary the transformation of the  $\Gamma$ s is

$$\begin{aligned} \Gamma^i_{(y)jk} &= \frac{\partial y^i}{\partial x^q} \frac{\partial x^s}{\partial y^j} \frac{\partial x^p}{\partial y^k} \Gamma^q_{(x)sp} \\ &\quad + \frac{\partial y^i}{\partial x^q} \frac{\partial^2 x^q}{\partial y^j \partial y^k}. \end{aligned} \quad (105)$$

The first part is like the transformation of the components of a  $(1, 2)$ -tensor. The second part only depends on  $x$  and  $y$  and even if  $\Gamma$  is zero in one chart, it does not have to be zero in another, depending on this term. If all components of a tensor are zero in one chart, then they are zero in all charts. We see that for linear transformations  $x(y)$  this term is zero.

*Note:*

$$\frac{\partial}{\partial x^p} \frac{\partial}{\partial y^j} \neq \frac{\partial}{\partial y^j} \frac{\partial}{\partial x^p}, \quad (106)$$

no Schwartz rule in this case, but if we write it as only derivatives with respect to  $y$ , then there is.

### 6.4 Normal Coordinates

Let  $p \in \mathcal{M}$  of  $(\mathcal{M}, \mathcal{O}, \mathcal{A}, \nabla)$ . Then one can construct a chart  $(U, x)$  with  $p \in U$  such that

$$\Gamma^i_{(x)(jk)}(p) = 0, \quad (107)$$

at the point  $p$ , but not necessarily in a neighbourhood.

*Note:* In equation (107)  $(jk)$  means the symmetrized part of  $\Gamma^i_{(x)jk}$ .

**PROOF:** Let  $(V, y)$  be any chart,  $p \in V$ . Then in general  $\Gamma^i_{(y)jk} \neq 0$ . Consider a new chart  $(U, x)$  with the chart transition map  $y \rightarrow x$ :

$$\begin{aligned} (x \circ y^{-1})^i(\alpha^i, \dots, \alpha^d) &:= \alpha^i - \frac{1}{2} \Gamma^i_{(y)jk}(p) \alpha^j \alpha^k, \\ \frac{\partial x^i}{\partial y^k \partial y^j} &= -\Gamma^i_{(y)(kj)}(p), \\ \Gamma^i_{(x)jk} &= \Gamma^i_{(y)jk}(p) - \Gamma^i_{(y)(jk)}(p) = \Gamma^i_{(y)[jk]}, \end{aligned}$$

and thus  $\Gamma_{(x)}$  has vanishing symmetric part (lower two indices).  $\Gamma^i_{[jk]}(p)$  is actually a tensor (the components transform like for a tensor) and is called the *torsion tensor*,

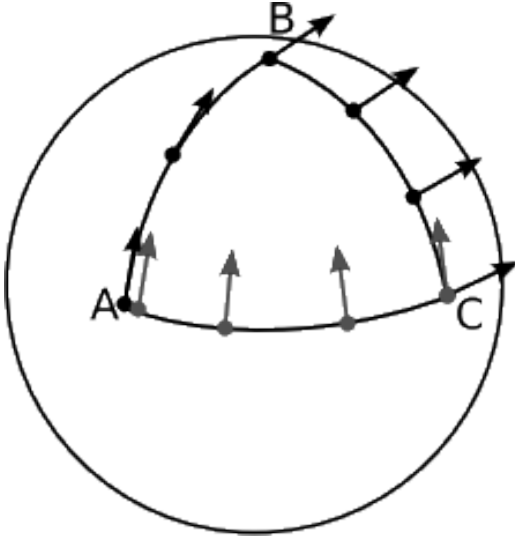
$$\Gamma^i_{[jk]} = T^i_{jk}. \quad (108)$$

We call this chart  $(U, x)$  a *normal coordinate chart* of  $\nabla$  at the point  $p \in \mathcal{M}$ .

*Note:* Nonzero curvature prevents us from extending this to a neighbourhood around that point, but we will be able to extend it to a curve in  $\mathcal{M}$ .

## 7 Parallel Transport & Curvature

Parallel transport of a vector  $Y$  along a curve  $\gamma$  means  $\nabla_{v_\gamma} Y = 0$ , where  $v_\gamma$  is the tangent vector along  $\gamma$ . As we see in figure 7 if there is curvature, then the vector we get actually depends on the path we take.



**Figure 7:** Parallel Transport on a sphere. Parallel transporting the vector along ABC gives a different vector than along AC. Figure from Crowell, n.d.

### 7.1 Parallellity of Vector Fields

Let  $(\mathcal{M}, \mathcal{O}, \mathcal{A}, \nabla)$  a vector field with connection.

**Definition 7.1** (Parallel Transport)

A vector field  $X$  on  $\mathcal{M}$  is said to be *parallelly transported* along a smooth curve  $\gamma : \mathbb{R} \rightarrow \mathcal{M}$  if

$$\nabla_{v_\gamma} X = 0. \quad (109)$$

Another way of writing this is

$$\left( \nabla_{v_{\gamma, \gamma(\lambda)}} X \right)_{\gamma(\lambda)} = 0, \quad \forall \lambda \quad (110)$$

*Note:*  $v_\gamma$  is not a vector field, but a vector at each point of the curve. Here it is actually important that the derivative  $\nabla_Y X$  only needs a vector field  $X$  and a vector  $Y$  at the point where the derivative is taken!

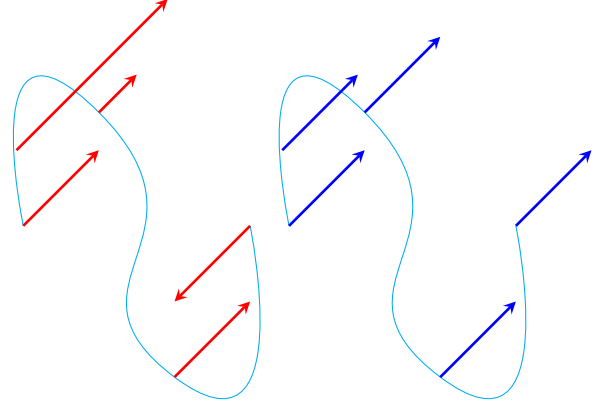
**Definition 7.2** (Parallel)

A vector  $X$  is said to be parallel along the curve  $\gamma$  if

$$(\nabla_{v_\gamma} X)_{\gamma(\lambda)} = \mu(\lambda) X_{\gamma(\lambda)}, \quad (111)$$

for  $\mu : \mathbb{R} \rightarrow \mathbb{R}$ . This is a weaker notion than *parallel transported*.

*Example: Euclidean plane  $(\mathbb{R}^2, \mathcal{O}, \mathcal{A}, \nabla_E)$*  The red arrows (left picture) are *parallel* along the curve and the blue arrows (right picture) are *parallel transported* along the curve.



*Note:* Explanation by Schuller:

- *Parallel transport:* Pinocchio move along the curve and point your nose in the same direction always and DO NOT LIE.
- *Parallel:* Now you're allowed to lie.

### 7.2 Autoparallely Transported Curves

**Definition 7.3** (Autoparallely transported)

A curve  $\gamma : \mathbb{R} \rightarrow \mathcal{M}$  is called *autoparallely transported* (or just *autoparallel*) if

$$\nabla_{v_\gamma} v_\gamma = 0. \quad (112)$$

or (this is the same)

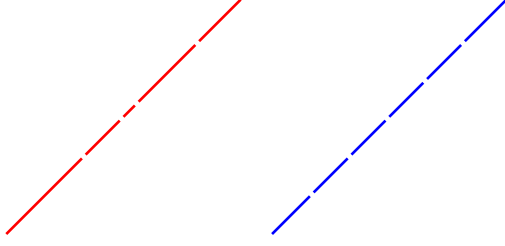
$$\left( \nabla_{v_{\gamma, \gamma(\lambda)}} v_\gamma \right)_{\gamma(\lambda)} = 0. \quad (113)$$

*Note:* An autoparallel curve is

$$\nabla_{v_\gamma} v_\gamma = \mu v_\gamma. \quad (114)$$

even though most of the time one also uses this notion for an autoparallely transported curve. An autoparallely transported curve is the “straightest curve possible”.

*Example: Euclidean plane  $(\mathbb{R}^2, \mathcal{O}, \mathcal{A}, \nabla_E)$*  Red (left): autoparallel curve, blue (right): autoparallely transported curve. Equal distances mean equal affine parameter  $\lambda$ .



### 7.3 Autoparallel Equation

Let  $\gamma$  be an autoparallely transported curve. Consider that portion of the curve that lies in  $U$ , where  $(U, x) \in \mathcal{A}$  (atlas). Express  $\nabla_{v_\gamma} v_\gamma = 0$  (condition for the curve to be autoparallely transported) in terms of chart representatives: Using  $v_{\gamma, \gamma(\lambda)} = \dot{\gamma}_{(x)}^m(\lambda) \left( \frac{\partial}{\partial x^m} \right)_{\gamma(\lambda)}$  and  $\gamma_{(x)}^m := x^m \circ \gamma$  we get

$$\nabla_{v_\gamma} v_\gamma = \left( \nabla_{\dot{\gamma}_{(x)}^m \left( \frac{\partial}{\partial x^m} \right)} \dot{\gamma}_{(x)}^n \frac{\partial}{\partial x^n} \right) \quad (115)$$

$$= \underbrace{\dot{\gamma}_{(x)}^m \frac{\partial \dot{\gamma}^q}{\partial x^m}}_{\dot{\gamma}_{(x)}^m} \frac{\partial}{\partial x^q} + \dot{\gamma}_{(x)}^m \dot{\gamma}_{(x)}^n \Gamma_{nm}^q \frac{\partial}{\partial x^q}. \quad (116)$$

In summary we have the chart expression of the condition that  $\gamma$  be autoparallely transported:

$$\ddot{\gamma}_{(x)}^m(\lambda) + \Gamma_{ab}^m(\gamma(\lambda)) \dot{\gamma}^a(\lambda) \dot{\gamma}^b(\lambda) = 0. \quad (117)$$

This is the *geodesic equation*.

#### Examples

1. Euclidean plane:

$$U = \mathbb{R}^d, x = \text{id}_{\mathbb{R}^d}, \Gamma_{(x)}^{i,jl} = 0, \Rightarrow \ddot{\gamma}_{(x)}^m = 0 \\ \Rightarrow \dot{\gamma}_{(x)}^m(\lambda) = a^m \lambda + b^m, a, b \in \mathbb{R}^d$$

2. Round sphere  $(S^2, \mathcal{O}, \mathcal{A}, \nabla_{\text{round}})$ :

The sphere  $S^2$  as a manifold does not contain the notion of distances like we are used to from a sphere. Also a squished and stretched sphere is still a sphere. Only when we choose a specific connection  $\nabla_{\text{round}}$  we get what we usually see as the sphere, but it's actually the *round sphere*. Consider a chart (polar coordinates)

$$x(p) = (\theta, \phi),$$

$$\theta \in (0, \pi), \quad \phi \in (0, 2\pi)$$

$$\Gamma_{(x)22}^1(x^{-1}(\theta, \phi)) := -\sin \theta \cos \theta, \quad (118)$$

$$\Gamma_{(x)21}^2 = \Gamma_{(x)12}^2 := \cot \theta. \quad (119)$$

and all other  $\Gamma$ 's zero. Using sloppy notation

$$x^1(p) = \theta p, x^2(p) = \phi(p), \quad (120)$$

the autoparallel equation becomes

$$\ddot{\theta} - \sin \theta \cos \theta \dot{\phi} \dot{\phi} = 0, \quad (121)$$

$$\ddot{\phi} + 2 \cot \theta \dot{\theta} \dot{\phi} = 0. \quad (122)$$

For example a solution is

$$\theta(\lambda) = \pi/2 = \text{const}, \quad (123)$$

$$\phi(\lambda) = \omega \lambda + \phi_0. \quad (124)$$

This is a curve around the equator with constant speed. Similarly other curves along great circles are solutions.

*Note:* Thus if someone gives you the connection  $\nabla_{\text{potato}}$  on a potato, you can calculate the straightest curves on that potato. Still, the potato is a 2-sphere  $S^2$  as a smooth manifold.

### 7.4 Torsion

#### Definition 7.4 (Commutator)

The commutator between two vector fields  $X$  and  $Y$  is defined as

$$[X, Y]f := X(Yf) - Y(Xf). \quad (125)$$

#### Definition 7.5 (Torsion)

The *torsion* of a connection  $\nabla$  is the  $(1, 2)$ -tensor field

$$T(\omega, X, Y) := \omega(\nabla_X Y - \nabla_Y X - [X, Y]), \quad (126)$$

Proof that  $T$  is a tensor: Check  $T$  is  $C^\infty$ -linear in each entry

- 1.

$$T(f\omega, X, Y) = f\omega(\dots) = fT(\omega, X, Y), \quad (127)$$

$$T(\omega + \psi, X, Y) = (\omega + \psi)(\dots) \quad (128) \\ = T(\omega, X, Y) + T(\psi, X, Y),$$

- 2.

$$T(\omega, fX, Y) = \omega(\nabla_{fX} Y - \nabla_Y(fX) - [fX, Y]) \\ = \omega[f\nabla_X Y - f\nabla_Y X - (Yf)X - fXY \\ - fYX - (Yf)X] = fT(\omega, X, Y), \quad (129)$$



where we have used

$$\begin{aligned} [fX, Y]g &= fX(Yg) - Y(fXg) \\ &= fX(Yg) - (Yf)Xg - f(YXg), \end{aligned} \quad (130)$$

and  $\nabla_Y f = Yf$ . Since  $T(\omega, X, Y) = -T(\omega, Y, X)$  we don't have to check the scaling in the last argument and the additivity in the middle argument also is easy.

**Definition 7.6** (Torsion-free connection)

$(\mathcal{M}, \mathcal{O}, \mathcal{A}, \nabla)$  is called *torsion-free* if  $T = 0$ . In a chart:

$$T^i_{ab} := T\left(dx^i, \frac{\partial}{\partial x^a}, \frac{\partial}{\partial x^b}\right) = 2\Gamma^i_{[ab]}. \quad (131)$$

From now on we will be focusing on *torsion-free* connections.

## 7.5 Curvature

### 7.5.1 Riemann Curvature Tensor

**Definition 7.7** (Riemann Curvature)

The *Riemann Curvature* of a connection  $\nabla$  is the (1,3)-tensor field

$$\text{Riem}(\omega, Z, X, Y) := w(\nabla_X \nabla_Y Z - \nabla_Y \nabla_X Z - \nabla_{[X, Y]} Z). \quad (132)$$

*Note:* The Riemann curvature tensor contains all information about the curvature. For a two-dimensional manifold the Ricci tensor is enough.

*Note:* Of course one has to show that  $\text{Riem}$  is  $C^\infty$ -linear in each slot. The first slot is trivial, I will show it for the second:

$$\begin{aligned} \text{Riem}(\omega, fZ, X, Y) &:= \\ &= \omega(\nabla_X \nabla_Y (fZ) - \nabla_Y \nabla_X (fZ) - \nabla_{[X, Y]} (fZ)) \\ &= \omega[\nabla_X ((Yf)Z) + f\nabla_Y Z - \\ &\quad \nabla_Y ((Xf)Z - f\nabla_X Z) - ([X, Y]f)Z - f\nabla_{[X, Y]} Z] \\ &= \omega[((XY - YX)f)Z + f(\nabla_X \nabla_Y - \nabla_Y \nabla_X)Z \\ &\quad - ([X, Y]f)Z - f\nabla_{[X, Y]} Z] \\ &= f \text{Riem}(\omega, Z, X, Y). \end{aligned}$$

The third (and by symmetry also forth) argument works the same, one just has to use

$$\begin{aligned} \nabla_{[fX, Y]} Z &= \nabla_{f[X, Y]Z - (Yf)X} Z \\ &= f\nabla_{[X, Y]} Z - (Yf)\nabla_X Z. \end{aligned} \quad (133)$$

### 7.5.2 Algebraic Relevance of Riem

$$\begin{aligned} (\nabla_X \nabla_Y - \nabla_Y \nabla_X) Z &= \\ \text{Riem}(\cdot, Z, X, Y) + \nabla_{[X, Y]} Z \end{aligned} \quad (134)$$

Let's look at a chart  $(U, x)$ . We write  $\nabla_{\frac{\partial}{\partial x^a}} = \nabla_a$ , but be careful, because when writing it like this we throw away the information of the chart in  $\nabla$ .

$$\begin{aligned} (\nabla_a \nabla_b Z)^m - (\nabla_b \nabla_a Z)^m &= R^m_{\phantom{m} nab} Z^n \\ &\quad + \nabla_{[\frac{\partial}{\partial x^a}, \frac{\partial}{\partial x^b}]} Z, \end{aligned} \quad (135)$$

where with  $R^m_{\phantom{m} nab}$  we now denote the components of  $\text{Riem}$  in the basis.

### 7.5.3 Geometric Relevance of Riem

PUT PICTURE HERE OF  $[X, Y] = 0$  AND  $[X, Y] \neq 0$ .

Schuller did a really good job explaining this at the end of lecture 8, but it's hard to write down.

Assuming a torsion-free connection,  $T = 0$ , then one can imagine curvature as follows. Parallel transporting a vector  $Z$  along two different paths from  $p$  to  $q$  changes the vector. Going infinitesimal and "along"  $X$  or  $Y$  (first along  $X$  and then along  $Y$  or the other way round) one can find (for  $[X, Y] = 0$ )

$$(\delta Z)^m = R^m_{\phantom{m} nab} X^a Y^b Z^n \delta s \delta t, \quad (136)$$

plus higher order terms in the "lengths of the curves"  $\delta s, \delta t$ .

## 8 Newtonian Spacetime is Curved!?

Let's review Newton's axioms:

1. A body on which *no force* acts moves uniformly along a straight line.
2. *Deviation* of a body's motion from such uniform straight motion is effected by a force, reduced by a factor of the body's reciprocal mass.

One might think that the first axiom is a special case of the second. The problem with that is that the second axiom needs to know what a straight line is. So it might be a better idea to interpret the first axiom as a measurement prescription for the geometry of space that tells us what a straight line

is. The second problem is: Gravity acts universally on every particle, so how should the first axiom ever be applicable if there are at least two particles in the universe?

Maybe similar reasoning lead Laplace (1749-1827) to state the following question:

Can gravity be encoded in a curvature of space, such that particles that are subject to no other force than gravity, move in straight lines in this curved space? In other words: Can we get rid of the gravitational “force” and put it into the geometry of space?<sup>4</sup>

**Answer** : No!

**Proof** : Newton’s equation and Laplace’s equation are

$$m\ddot{x}^i(t) = mf^i(x(t)) = F^i, \quad (137)$$

$$-\partial_i f^i = 4\pi G\rho, \quad (138)$$

$$(139)$$

where  $F$  denotes the force,  $m$  the mass and  $\rho$  the density. If we could encode this in curvature then we should be able to write equation (137) as

$$\ddot{x}^i(t) - f^i(x(t)) = 0 \quad (140)$$

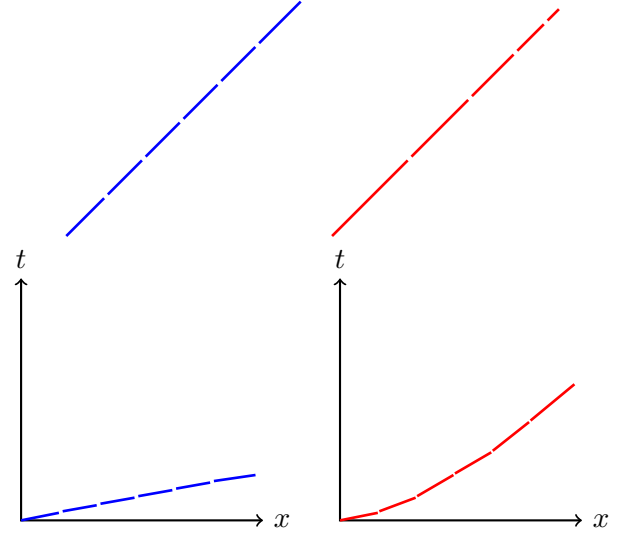
$$= \ddot{x}^i(t) + \Gamma_{ab}^i \dot{x}^a(t) \dot{x}^b(t) = 0 \quad (141)$$

But that is just not possible, since  $f^i$  does not depend on the velocity ( $\dot{x}^a$ ).

But lo and behold: We have not used the word *uniformly* in the first axiom. That basically means that the equal distances are passed in equal times.

*Note:* A curve is more than the set of its points! It’s the set of its points and its parametrization!

The trick is: When we have a curve  $\gamma(t)$  we can plot it in a coordinate system with one dimension more that we take as time (just like s-t diagrams in school). Then we basically put the information of the parametrization in the form of the line in this space.



So now let us try not only in space, but in (Newtonian) spacetime:

Let  $x : \mathbb{R} \rightarrow \mathbb{R}^3$  be the particle’s trajectory in space fulfilling Newton’s law  $\ddot{x}^i = f^i(x(t))$ .  
worldline (history) of the particle  $X : \mathbb{R} \rightarrow \mathbb{R}^4$   
 $(t, x^1(t), x^2(t), x^3(t)) := (X^0(t), X^1(t), X^2(t), X^3(t))$

Trivial rewritings:

$$\dot{X}^0 = 1 \quad (142)$$

and

$$\ddot{X}^0 = 0, \quad (143)$$

$$\ddot{X}^i - f^i(X(t)) \dot{X}^0 \dot{X}^0 = 0, \quad i = 1, 2, 3, \quad (144)$$

which is equivalent to the autoparallel equation in spacetime

$$\ddot{X}^\alpha + \Gamma_{\beta\gamma}^\alpha \dot{X}^\beta \dot{X}^\gamma = 0, \quad \alpha = 0, 1, 2, 3, \quad (145)$$

with

$$\Gamma_{00}^i = -f^i, \quad (146)$$

and all other components of  $\Gamma$  zero.

This is not a coordinate-choice artefact, since

$$R^a_{0b0} = -\frac{\partial}{\partial x^b} f^a \neq 0 \quad (147)$$

and

$$R_{00} = R^\mu_{0\mu0} = -\partial f^a = 4\pi G\rho. \quad (148)$$

If you already know the solution (General Relativity) you can cheat and write  $T_{00} = \rho/2$  to get

$$R_{00} = 8\pi GT_{00}. \quad (149)$$

<sup>4</sup>Not sure if I wrote this correctly. The text in the lecture Schuller, 2015 is strangely formulated.

Thus Newtonian spacetime is curved (only in time) even if we do not have relativity and the curvature is prescribed by the distribution of matter  $\rho$ . Uniformly straight in space  $\rightarrow$  straight in spacetime.

In fact Einstein proposed an equation similar to (149) in 1912, namely

$$R_{\mu\nu} = 8\pi G T_{\mu\nu}, \quad \mu, \nu = 0, 1, 2, 3, \quad (150)$$

which is not entirely correct, but almost.

## 8.1 Foundations of the Geometric Formulation of Newton's Axioms

**Definition 8.1** (Newtonian Spacetime)

A Newtonian spacetime (space+time) is a quintuple

$$(\mathcal{M}, \mathcal{O}, \mathcal{A}, \nabla, t), \quad (151)$$

where  $(\mathcal{M}, \mathcal{O}, \mathcal{A})$  form a 4-dimensional smooth manifold and the *absolute time*

$$t : \mathcal{M} \rightarrow \mathbb{R}, \quad \text{smooth function}, \quad (152)$$

satisfies

1. There is an absolute space that follows from the existence of absolute time.

$$(dt)_p \neq 0, \quad \forall p \in \mathcal{M}, \quad (153)$$

2. Absolute time flows uniformly,

$$\nabla dt = 0, \quad \text{everywhere} \quad (154)$$

3.  $\nabla$  is torsion free.

**Definition 8.2** (Absolute space  $S_\tau$  at time  $\tau$ )

$$S_\tau := \{p \in \mathcal{M} | t(p) = \tau\}, \quad (155)$$

and thus because of  $(dt)_p \neq 0$

$$\mathcal{M} = \bigcup S_\tau, \quad (156)$$

where  $\bigcup$  means the disjoint union.

This means that  $S_\tau$  foliate spacetime.

*Note:* By  $\nabla dt$  we mean that the argument that  $\nabla$  takes is open, so what comes out is a (0,2)-tensor.

*Note:* You can view Gravity as curvature of spacetime and already in Newtonian mechanics this is not just an alternative formulation, but if you look at the first axiom there is not really another possible choice. It's not relativity that forces us to use spacetime, it's gravity itself.

**Definition 8.3**

A vector  $X \in T_p\mathcal{M}$  is called

1. future-directed if

$$dt(X) > 0, \quad (157)$$

2. spatial if

$$d(X) = 0, \quad (158)$$

3. past-directed if

$$dX < 0. \quad (159)$$

**Newton 1:** The worldline of a particle under the influence of no force (gravity isn't a force now) is a *future directed autoparallel*, i.e. everywhere

$$\nabla_{v_X} v_X = 0, dt(v_X) > 0. \quad (160)$$

**Newton 2:** The acceleration of a worldline

$$\underbrace{\nabla_{v_X} v_X}_a = \frac{F}{m}, \quad (161)$$

where  $F$  is a spatial vector field:  $dt(F) = 0$ ,  $X$  is a future directed vector and  $a$  is the acceleration.

**Convention:** Restrict attention to *stratified atlases*  $\mathcal{A}_{\text{stratified}}$  whose charts  $(U, x)$  have the property

$$x^0 = t|_U \quad (162)$$

In a stratified atlas the first axiom becomes

$$0 = \left( \nabla_{\frac{\partial}{\partial x^a}} dx^0 \right)_b = -\Gamma_{ba}^0. \quad (163)$$

Let's evaluate Newton 2 in a chart  $(U, x)$  of a stratified atlas  $\mathcal{A}_{\text{stratified}}$ :

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