

Lectures on Resurgence and Non-Perturbative Effects

03/04/2020

1) Introduction

- Dyson paper
- Mariño lectures : 1) + 2.1) + 2.2)
 - Asymptotic series
 - Instantons
 - ⋮

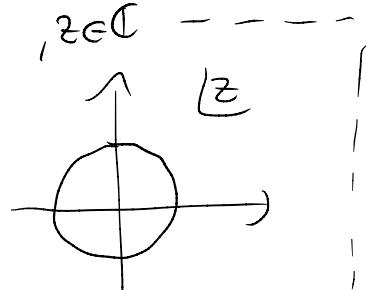
1.1) Divergence of Pert. Theory in QED

$$F(e^2) = \sum_{n=0}^{\infty} a_n e^{2n} \quad \text{around } e=0$$



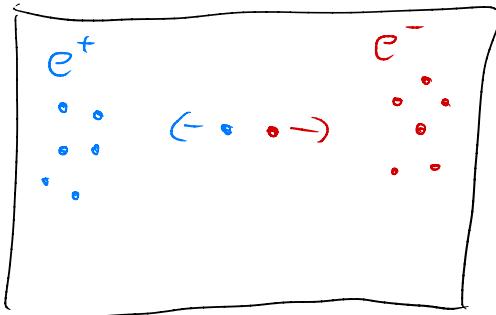
Power Series : $A(z) = \sum_{n=0}^{\infty} a_n z^n$, $z \in \mathbb{C}$

- Converges $\forall |z| < R$
- Diverges $\forall |z| > R$
- Complicated ($|z| = R$)



$$(z-1) \ln z, z=1$$

$F(e^z)$, $[e^z < 0]$, like charges attract



\Rightarrow unstable

$$\vec{F}(r) = \frac{i e_1 i e_2}{r^3} \vec{F}$$

$\Rightarrow F(-e^z)$ not analytic, \sum cannot converge

Dyson: 2 alternatives for the future

A) New method w/o power series

B) All info we can get is in a_n

↳ need new physical theory

1.2) Intro to Non-Pert. Effects

$$\underbrace{\sum_{n=0}^{\infty} a_n g^n}_{\text{perturbative Series (formal)}} + \boxed{e^{-A/g}} \underbrace{\sum_n a_n^{(1)} g^n}_{\text{1-instanton contribution}} + \mathcal{O}(e^{-2A/g})$$

transseries

More generally

$$\sum_n a_n^{(0)} g^n + \sum_{i=1} e^{-A_i/g} \left[\sum_{n=0}^{\infty} a_n^{(i)} g^n \right]$$

$$f = e^{-A/g} : \quad f'g = \frac{A}{g^2} e^{-A/g}, \quad f'(0) = 0$$

$$f'' = \dots, \quad f''(0) = 0$$

⋮

Power series $a_n = 0$

1.3 Instantons in QM

- solutions to the EoMs in euclidean spacetime
- ↓
- critical points of the action
- Action ≠ 0
- connected to tunneling in QM

[Example] QM: $-\frac{\hbar^2}{2m} \partial_x^2 \psi(x) + V(x) \psi(x) = E \psi(x)$

$$V(x) = \frac{1}{4} (x^2 - 1)^2$$



A) WKB

$$\psi'' = \frac{2m}{\hbar^2} [V(x) - E] \psi \quad , \quad \hbar \text{ small}$$

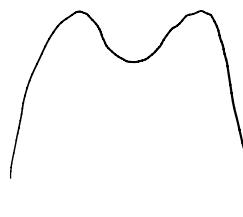
$$\psi = e^{-ikx} \quad , \quad E < V$$

↳ tunneling amplitude $e^{-\frac{1}{\hbar} \int_a^b \sqrt{2m(V(x)-E)} dx} e^{-A/g}$

B) Path Integral \rightarrow Instantons

$$K(a, b, t) = \int \mathcal{D}x(t) e^{i \frac{S[x(t)]}{\hbar}} \xrightarrow{it \rightarrow T} \int \mathcal{D}x(T) e^{-\frac{1}{\hbar} S_E[x(t)]}$$

$$S_E = \int_{T_a}^{T_b} dt \left[\frac{1}{2} m \dot{x}^2 + V(x) \right]$$



V flips sign

$$V(x) = \frac{1}{4} (x^2 - 1)^2, m=1$$

$$S_E = \int_{\tau_a}^{\tau_b} d\tau \frac{1}{2} \left[\dot{x} - \sqrt{2V(x)}' \right]^2 + \sqrt{2} \int_{\tau_a}^{\tau_b} d\tau \frac{dx}{dt} \sqrt{V(x)}$$

$$= \underbrace{\int_{\tau_a}^{\tau_b} d\tau \frac{1}{2} \left[\dot{x} - \sqrt{2V(x)}' \right]^2}_{\geq 0} + \sqrt{2} \int_{-1}^1 dx \sqrt{V(x)}$$

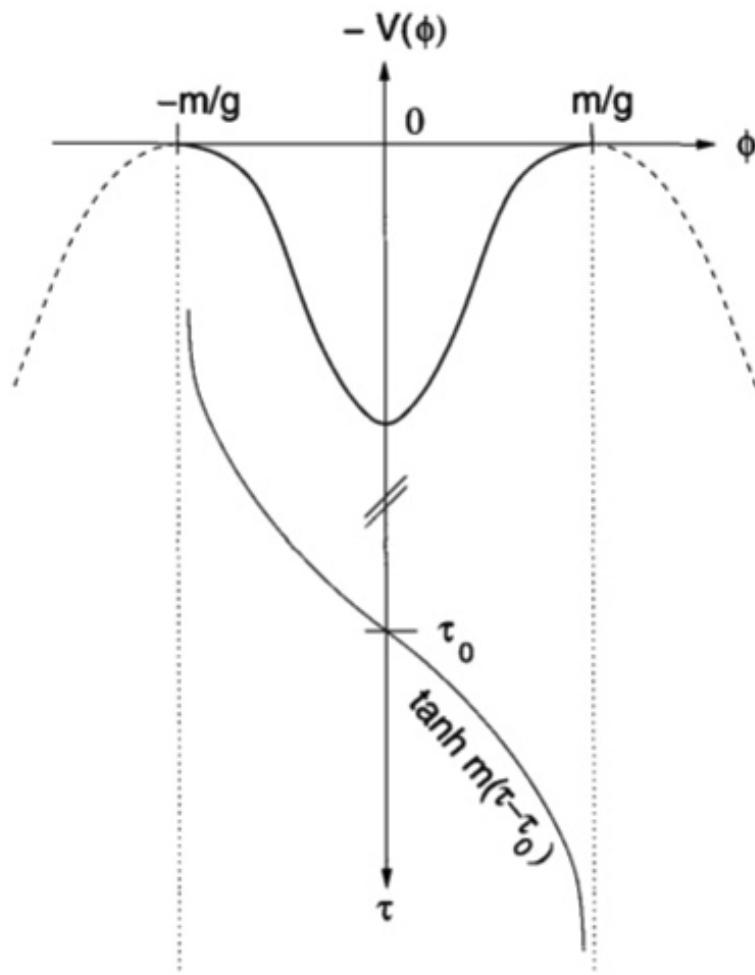
↑

$$\text{minimum for } \dot{x} = \sqrt{2V(x)}, \quad S_E = \frac{2\sqrt{2}}{3}$$

$$\frac{dx}{d\tau} = \frac{1}{\sqrt{2}} (x^2 - 1), \quad x(\tau_a) = -1, \quad x(\tau_b) = 1$$

$$\tau_a \rightarrow -\infty, \tau_b \rightarrow \infty \Rightarrow x(\tau) = \tanh\left(\frac{1}{\sqrt{2}}(\tau - \tau_0)\right)$$

$$K(-1, 1, \infty) \stackrel{?}{=} e^{-\frac{1}{\hbar} \int_a^b \sqrt{2m(V(x)-E)} dx}, \quad x(\tau)$$



↓ 03/04/20

WkB

$$e^{-\frac{1}{\hbar} \int_a^b \sqrt{2m(V(x)-E)} dx}$$

same if $E=0$?

Path integral $\int \mathcal{D}x(t) e^{-\frac{1}{\hbar} S_E[x(t)]} = e^{-\frac{1}{\hbar} \int_0^1 dx \sqrt{V(x)}}$

Resurgence Lecture 2

04/10/20

- Instanton: Extra saddle point in the path integral

renormalons



QM tunneling

- Transseries

$$\sum_{n=0}^{\infty} a_n g^n + \boxed{e^{-A/g}} \sum_n a_n^{(2)} \cancel{g^n} + \mathcal{O}(e^{-2A/g})$$

two small parameters:
 $e^{-A/g}, g$
should be treated
independently

3 important steps

1) Calculating the formal series

- $\sum a_n g^n$ perturbative series

- instanton corrections

ODE + irregular singular points

↳ asympt. series \rightarrow transseries can be calculated recursively
- Steepest descent

2) Classical asymptotics

perturbative series $S(x)$, $f(x)$

3) Beyond Classical Asymptotics

transseries $\rightarrow f(x)$

Transser. + Borel = Resurgence (Jean Ecalle)

Def: (Asymptotic)

Series $S(w) = \sum_{n=0}^{\infty} a_n w^n$ is asympt. to $f(w)$ if

$$\forall N > 0 : \lim_{w \rightarrow 0} w^{-N} [f(w) - \sum_{n=0}^{\infty} a_n w^n] = 0$$

$$e^{-A/w} \mid f(w) \rightarrow \sum_{n=0}^{\infty} a_n w^n \mid f(w) + e^{-A/w} \Rightarrow$$

Every function can only have one asymptotic expansion, but an asymptotic series can be asymptotic to many functions.

Note: Remainder does not have to go to zero as $N \rightarrow \infty$, w fixed



Example : (e^x)

$$\lim_{x \rightarrow 0} \left[e^x - \underbrace{\sum_{n=0}^N \frac{x^n}{n!}}_{x^{N+1}} \right] x^{-N} \approx \lim_{x \rightarrow 0} x = 0$$

Knowing the asymptotic expansion does not tell us much about the f , just how f approaches $f(0)$ as $z \rightarrow 0$

Example : (Stirling)

$$\sqrt{\frac{z}{2\pi}} \left(\frac{z}{e}\right)^{-z} M(z) = 1 + \frac{1}{12z} + \frac{1}{180z^2} + \dots, z \rightarrow \infty, w = \frac{1}{z}$$

Exponential Integral

$$u = \omega/t$$

$$\int_0^\infty \frac{e^{-\omega/t}}{1-\omega} d\omega = \int_0^\infty du t e^{-u} \frac{1}{1-ut} = e^{-\frac{1}{t}} \int_{-1/t}^\infty \frac{e^{-u}}{-u} = e^{-\frac{1}{t}} Ei\left(\frac{1}{t}\right)$$

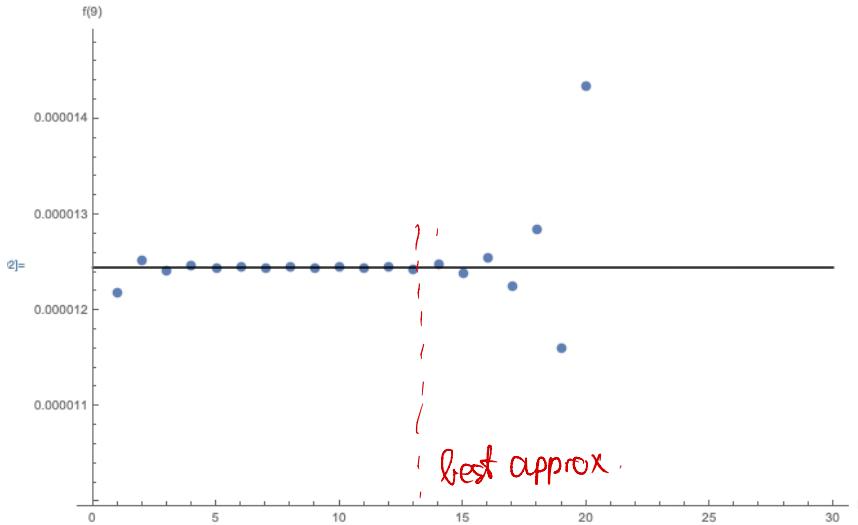
$$Ei(x) = - \int_{-x}^{\infty} \frac{e^{-u}}{u} du$$

$$e^{-\frac{1}{t}} Ei\left(\frac{1}{t}\right) = \int_0^\infty \frac{e^{-\omega/t}}{1-\omega} d\omega \quad | \quad \frac{1}{1-\omega} = \sum_{n=0}^{\infty} \omega^n$$

$$? \sum_{n=0}^{\infty} \int_0^{\infty} \omega^n e^{-\omega/t} d\omega = \dots = \sum_{n=0}^{\infty} n! t^{n+1} \quad \text{diverges for } t > 0$$

$$e^{-\frac{1}{t}} Ei\left(\frac{1}{t}\right) = \sum_{n=0}^{\infty} n! t^{n+1} \quad | \quad x = \frac{1}{t} \quad R = 0$$

$$x e^x \underbrace{Ei(-x)}_{E_1(x)} \sim \sum_{n=0}^{\infty} \frac{(-1)^n n!}{x^n} \quad (x \rightarrow \infty) \quad | \quad e^{-x}$$



More generally : $\sum_{n=1}^{\infty} a_n x^n$, ($x \rightarrow 0$) , if $a_n \sim A n!$ (Ranger)
 (sum diverges)

Then the best approx is at $N_* = \left\lfloor \frac{A}{x} \right\rfloor$ | optimal truncation

minimal error : $E(x) \sim e^{-|A/x|}$ "perturbative ambiguity"
 non-pert.

first sign of resurgence :

In the large order behav.
 of the div. Series we
 found info about the
 non-pert. part

Example ($\lambda \phi^4$)

$$I(g) = \frac{1}{\sqrt{\pi}} \int_{-\infty}^{\infty} dz e^{-\frac{g z^2}{2}} - g \frac{z^4}{4}$$

$a=1$, g small

A) like pert. theory (c.f. my talk)

$$e^{-g \frac{z^4}{4}} = \sum_{n=0}^{\infty} \left(-\frac{z^4}{4}g\right)^n \frac{1}{n!} \quad \int z^4 e^{-z^2} dz$$

$$\rightarrow I(g) = \sum_{n=0}^{\infty} a_n g^n, \quad a_n = (-4)^n \frac{(4n-1)!}{n!} \sim (-4)^n n!$$

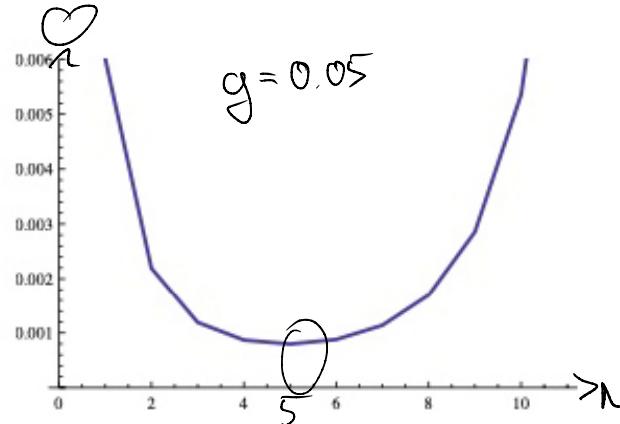
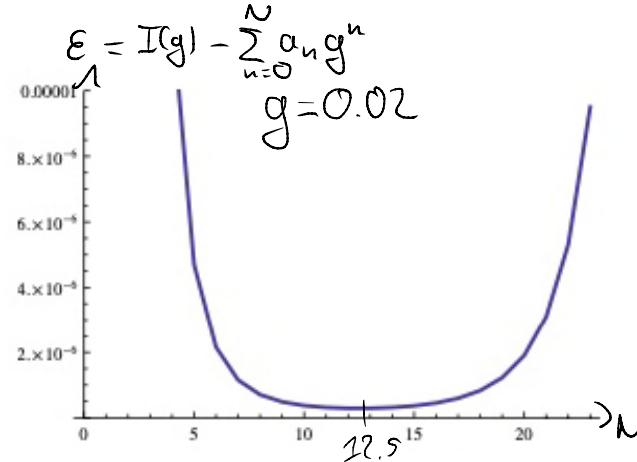
$\hookrightarrow R = 0$ radius of convergence

$$\text{Optimal truncation: } N_* = \left| \frac{1}{4} \frac{1}{g} \right|$$

$$A = -\frac{1}{4}$$

$$0.02, \sqrt{\frac{1}{4} 50} = 12.5$$

$$0.05, \sqrt{\frac{1}{4} 20} = 5$$



optimal truncation

Transseries

Example : (Painleve II) (Marino)

$$u''(k) - 2u^3(k) + 2ku(k) = 0$$

- 2D Yang-Mills

- All genus free energy 2D-SUGRA

Transseries solution: $u(k) = \sum_{\ell=0}^{\infty} C^\ell u^{(\ell)}(k)$

$$= \sqrt{k} \sum_{\ell=0}^{\infty} C^\ell k^{-\frac{3}{4}\ell} e^{-\ell A} k^{\frac{3}{2}} \epsilon^{(\ell)}(k)$$

$A = \frac{4}{3}$ instanton action
, $k \rightarrow \infty$

$$\ell=0 : \cancel{\text{non-pert.}} \quad u^{(0)}(k) = \sqrt{k} - \frac{1}{16k^{5/2}} + \dots \quad a_n \sim A^{-n} n!$$

$\ell > 0$: instantons

$$\ell=1 : \text{one-instanton-solution} : \quad \varepsilon^{(1)}(k) = 1 - \frac{17}{96} k^{-3/2} + \frac{1513}{18432} k^{-3} - \dots$$

↓

10.04.20

17.04.20

Mathematical Methods

Analytic Functions

Def: (Analytic function on \mathbb{C})

$f: \mathbb{C} \rightarrow \mathbb{C}$ is analytic on the open set $D \subset \mathbb{C}$

if $\forall z_0 \in D$ one can write

$$f(z) = \sum_{n=0}^{\infty} a_n (z - z_0)^n, \quad a_n \in \mathbb{C} \quad \text{and the series converges}$$

$$a_n = \frac{f^{(n)}(z_0)}{n!}$$

inf. often differentiable

$f(z)$ analytic \Leftrightarrow holomorphic

Def (Holomorphic)

$f: \mathbb{C} \rightarrow \mathbb{C}$ is holomorphic at $z_0 \in \mathbb{C}$ if it is compl. differentiable on some neighborhood of z_0 .

f is hol. on $U \subset \mathbb{C}$ open if it is hol. $\forall z \in U$

if $U = \mathbb{C}$: "entire function"

Theorem: (Loewner - Menchoff)

$f: \overset{\text{open}}{U} \subset \mathbb{C} \rightarrow \mathbb{C}$ continuous is holomorphic iff it satisfies the Cauchy Riemann eqs.

$$\frac{\partial f}{\partial \bar{z}} = 0 = \frac{1}{2} \left[\frac{\partial f}{\partial x} + i \frac{\partial f}{\partial y} \right], \quad z = x + iy \quad f(z, \cancel{x})$$

$$f = u + iv \quad \rightarrow \quad \boxed{\frac{\partial u}{\partial x} = \frac{\partial v}{\partial y} \quad \wedge \quad \frac{\partial u}{\partial y} = -\frac{\partial v}{\partial x}}$$

Analytic Continuation

Example : (Geometric Series)

$$f(z) = \sum_{n=0}^{\infty} z^n \quad \text{diverges for } z=R=1$$

$$f(z) = \frac{1}{1-z} \quad \text{master representation}$$

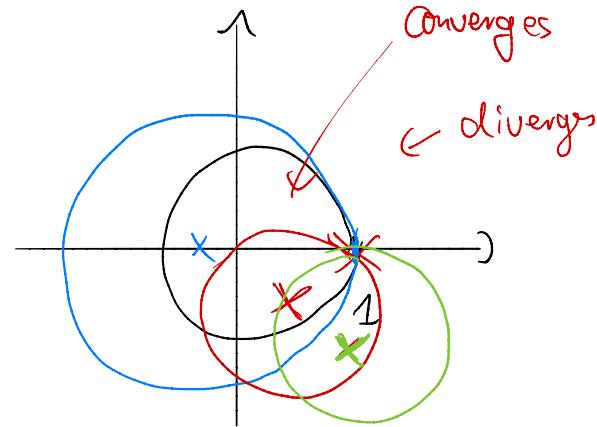
↳ only diverges at $z=1$

→ Power series around $z=0$: radius of convergence $R=1$

Series around $z = -\frac{1}{2}$

$$f(z) = \frac{1}{1 + \frac{1}{2} - (z + \frac{1}{2})} = \frac{2}{3} \frac{1}{1 - \frac{2}{3}(z + \frac{1}{2})} = \frac{2}{3} \sum_{n=0}^{\infty} \left(\frac{2}{3}\right)^n \left(z + \frac{1}{2}\right)^n$$

↗ has $R = \frac{3}{2}$



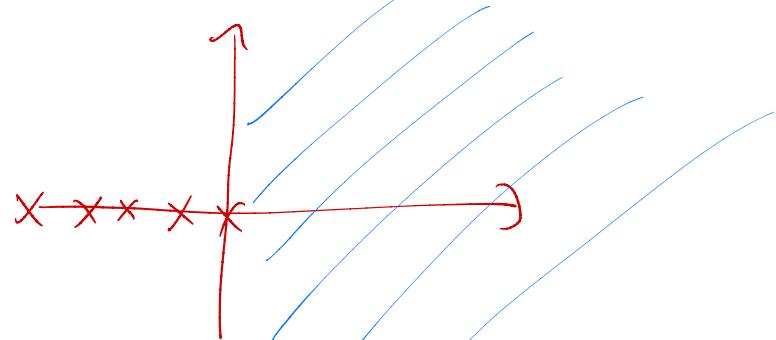
This way all of \mathbb{C} can be reached

$$f(z) = \frac{1}{z-1} \text{ is defined on } \mathbb{C} \setminus \{1\}$$

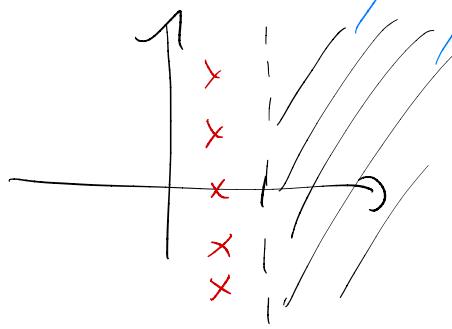
$$f(2) = \frac{1}{1-2} = -1 \quad , \quad 1+2+4+8+\dots = -1$$

$$\Gamma = \frac{-1}{1-e^{2\pi iz}}$$

$$\int_{\gamma}^{\infty} dt \ t^{z-1} e^{-t}$$



$$\zeta(z) = \sum_S \frac{1}{S^z}, \operatorname{Re} z > 1$$



$$\langle T^\infty T^0 \rangle \sim \frac{1}{\omega(k) - \omega_n} , \quad \omega \in \mathbb{C}$$
$$k \in \mathbb{C}$$

↓ 17.04.20