bec19009 **Homework 2** September 30, 2022

Divide-and-conquer problem set

Problem 0

Give upper ($O(\cdot)$) asymptotic bounds for the following recurrences. You may assume a $O(1)$ base case for small n. Justify your answer by some combination of the following: deriving how much total work is done at an arbitrary level k, how many levels there are, and how much work is required to merge (function body). For each recurrence, state whether or not it is top-heavy, bottom-heavy, or even work. Answers that only cite the Master theorem will not receive full credit.

1. $T(n) = 2T(\frac{n}{2}) + O(n)$
2. $T(n) = 2T(\frac{n}{2}) + O(1)$
3. $T(n) = 7T(\frac{n}{2}) + O(n^3)$
4. $T(n) = 7T(\frac{n}{2}) + O(n^2)$
5. $T(n) = 4T(\frac{n}{2}) + O(n^2\sqrt{n})$
6. $T(n) = 4T(\frac{n}{2}) + O(n\log_2(n))$

For each of the following problems, we can infer a base case when $n = 1$ then the output would be 1. This is because for any of these problems, if there is only one element then only that element needs to be cheked.

1. We can create a equation for each level k of the recusion tree by finding what each levels equation is. Our first level down can be derived from what $T(\frac{n}{2})$ is equal to. We can do this by dividing $2T(\frac{n}{2}) + n$ by 2 which gets us $2T(\frac{n}{2^2}) + \frac{n}{2}$. We can then then plug this into the original equation of $2T(\frac{n}{2}) + n$ to get $2[2T(\frac{n}{2^2}) + \frac{n}{2}] + n$ which simplifies to $2^2T(\frac{n}{2^2}) + 2n$. We can use this process to find what $T(\frac{n}{2^3})$ is and from that, plug that into the equation to get $2^3T(\frac{n}{2^3}) + 3n$. We can repeat this process until we get to $T(n) = 2^kT(\frac{n}{2^k}) + kn$ where k is the number of levels in the recursion tree. We can now also assume $T(\frac{n}{2^k})$ is trying to reach 1 which is the base case. We now solve for k from $T(\frac{n}{2^k}) = T(1)$ to get $n = 2^k$ which gives us $k = \log_2(n)$. We can now plug this into the equation to get $T(n) = 2^{\log_2(n)}T(1) + n\log_2(n)$ which simplifies down to $T(n) = nT(1) + n\log(n)$ or $T(n) = O(nlog(n))$. This is a top-heavy recusion because the work done at each level is $O(n)$ and the number of levels is $O(\log(n))$. This means that the total work done is $O(n\log(n))$.

2. Just like the previous questions, we can create a equation for each level k of the recusion tree by finding what $T(\frac{n}{2})$ is equal to. We can do this by dividing $2T(\frac{n}{2})+1$ by 2 which gets us $2T(\frac{n}{2^2})+\frac{1}{2}$. We can then then plug this into the original equation of $2T(\frac{n}{2})+1$ to get $2[2T(\frac{n}{2^2})+\frac{1}{2}]+1$ which simplifies to $2^2T(\frac{n}{2^2})+2$. We can repeat this process until we get to $T(n) = 2^kT(\frac{n}{2^k})+k$ where k is the number of levels in the recursion tree. We can now also solve for k from $T(\frac{n}{2^k}) = T(1)$ to get $n = 2^k$ which gives us $k = \log_2(n)$. We can now plug this into the equation to get $T(n) = 2^{\log_2(n)}T(1) + n$ which simplifies down to $T(n) = nT(1) + n$ or $T(n) = O(n)$. This is a bottom-heavy recusion because the work done at each level is $O(1)$ and the number of levels is $O(\log(n))$. This means that there is more work done on the bottom/last levels, rather than the top with the total work done being $O(n)$.

3. This question is similar to the previous two questions. We can create a equation for each level k of the recusion tree by finding what $T(\frac{n}{2})$ is equal to. We can do this by dividing $7T(\frac{n}{2})+n^3$ by 2 which gets us $7T(\frac{n}{2^2})+\frac{n^3}{2}$. We can then then plug this into the original equation of $7T(\frac{n}{2})+n^3$ to get $7[7T(\frac{n}{2^2})+\frac{n^3}{2}]+n^3$ which simplifies to $7^2T(\frac{n}{2^2})+7(\frac{n^3}{2})+n^3$. We can repeat this process k times until we get to $T(n) = 7^kT(\frac{n}{2^k}) + 7^{k-1}(\frac{n^3}{2^{k-1}}) + ...7(\frac{n^3}{2}) + n^3$ where k is the number of levels in the recursion tree. We can now also solve for k from $T(\frac{n}{2^k}) = T(1)$ to get $n = 2^k$ which gives us $k = \log_2(n)$. We can now plug this into the equation to get $T(n) = 7^{\log(n)}T(1) + 7^{\log(n)-1}(\frac{n^3}{2^{\log(n)-1}}) + ...7(\frac{n^3}{2}) + n^3$ which simplifies down to $T(n) = n^{\log_2(7)}T(1)+n^3$ or $T(n) = O(n^3)$. This is a top-heavy recusion because the work done at each level is $O(n^3)$ which heavily outweights $n^{\log_2(7)}$ and the number of levels is $O(\log(n))$. This means that the total work done is $O(n^3)$.

4. This problem is similar to the previous question. We can create a equation for each level k of the recusion tree by finding what $T(\frac{n}{2})$ is equal to. We can do the same process as the previous question by dividing by 2 then plugging it back into the original equation. For this case for $T(\frac{n}{2})$ we get $7T(\frac{n}{2^2}) + \frac{n^2}{2}$ and once we plug this into the original equation we get $7[7T(\frac{n}{2^2}) + \frac{n^2}{2}] + n^2$ which simplifies to $7^2T(\frac{n}{2^2}) + 7(\frac{n^2}{2}) + n^2$. We can repeat this process k times until we get to $T(n) = 7^kT(\frac{n}{2^k}) + 7^{k-1}(\frac{n^2}{2^{k-1}}) + ...7(\frac{n^2}{2}) + n^2$ where k is the number of levels in the recursion tree. We can now also solve for k from $T(\frac{n}{2^k}) = T(1)$ to get $n = 2^k$ which gives us $k = \log_2(n)$. We can now plug this into the equation to get $T(n) = 7^{\log(n)}T(1) + 7^{\log(n)-1}(\frac{n^2}{2^{\log(n)-1}}) + ...7(\frac{n^2}{2}) + n^2$ which simplifies down to $T(n) = n^{\log_2(7)}T(1)+n^2$. If we solve out $n^{\log_2(7)}$ we get $n^{2.81}$ which grows faster than n^2 so we can say that this is exactly $T(n) = O(n^{\log_2(7)})$. This is a bottom-heavy recusion because the work done as $O(n^{\log_2(7)})$ overweighs n^2 at higher levels making the total work done $O(n^{\log_2(7)})$.

5. Like all the previous questions, we can create a equation for each level k of the recusion tree by finding what $T(\frac{n}{2})$ is equal to. We first divide $4T(\frac{n}{2}) + n^2\sqrt{n}$ by 2 to get $4T(\frac{n}{2^2}) + \frac{n^2\sqrt{n}}{2}$. We can then plug this into the original equation of $4T(\frac{n}{2}) + n^2\sqrt{n}$ to

get $4[4T(\frac{n}{2^2})+\frac{n^2\sqrt{n}}{2}]+n^2\sqrt{n}$ which simplifies to $4^2T(\frac{n}{2^2})+3n^2\sqrt{n}$. We can repeat this process k times until we get to $T(n)=4^kT(\frac{n}{2^k})+(2^k-1)n^2\sqrt{n}$. We can now also solve for k from $T(\frac{n}{2^k})=T(1)$ to get $n=2^k$ which gives us $k=\log_2(n)$. We can now plug this into the equation to get $T(n)=4^{\log(n)}T(1)+(2^{\log_2(n)}-1)n^2\sqrt{n}$ which simplifies down to $T(n)=n^{\log_2(4)}T(1)+(2^{\log_2(n)}-1)n^2\sqrt{n}$. If we solve out $n^{\log_2(4)}$ and $2^{\log_2(n)}-1$ we get n^2 and $n-1$ respectively. This means that $T(n)=O(n^2\sqrt{n})$ because $n^2\sqrt{n}$ grows faster than n^2. This is a top-heavy recusion because the work done at each level is $O(n^2\sqrt{n})$ is much larger than n^2. This means that the total work done is $O(n^2\sqrt{n})$.

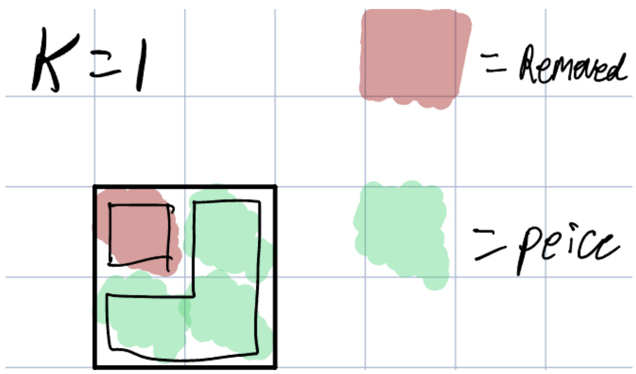
6. This question follows the same principle as the previous questions. We can create a equation for each level k of the recusion tree by finding what $T(\frac{n}{2})$ is equal to. We first divide $4T(\frac{n}{2})+n\log_2 n$ by 2 to get $4T(\frac{n}{2^2})+\frac{n\log_2 n}{2}$. We can then plug this into the original equation of $4T(\frac{n}{2})+n\log_2 n$ to get $4[4T(\frac{n}{2^2})+\frac{n\log_2 n}{2}]+n\log_2 n$ which simplifies to $4^2T(\frac{n}{2^2})+nlog_2n^2+n\log_2 n$. We can repeat this process k times until we get to $T(n)=4^kT(\frac{n}{2^k})+n\log_2 n^{2^{k-1}}...n\log_2 n$. We can now also solve for k from $T(\frac{n}{2^k})=T(1)$ to get $n=2^k$ which gives us $k=\log_2(n)$. We can now plug this into the equation to get $T(n)=4^{\log(n)}T(1)+n\log_2 n^{2^{\log_2(n)-1}}...n\log_2 n$ which simplifies down to $T(n)=n^{\log_2(4)}T(1)+n\log_2 n^{2^{\log_2(n)-1}}...n\log_2 n$. In this case if we solve out $n^{\log_2(4)}$ and $n\log_2 n^{2^{\log_2(n)-1}}$ we get n^2 and $n\log_2 n$ respectively. If we compare n^2 and $n\log_2 n$ we can see that n^2 grows faster than $n\log_2 n$. This means that $T(n)=O(n^2)$ as n^2 grows faster than $n\log_2 n$. This is a top-heavy recusion because the work done at each level is $O(n^2)$ and the number of levels is $O(\log(n))$. This means that the total work done is $O(n^2)$.

Problem 1

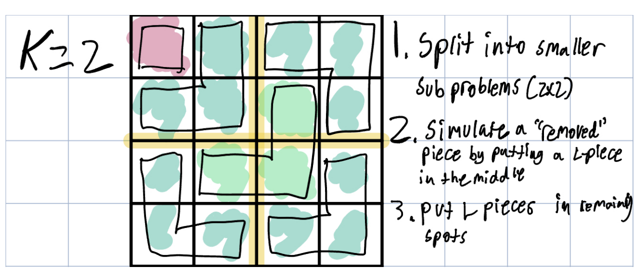
You are given a $2^k \times 2^k$ board of squares (e.g. a chess board) with the top left square removed. Prove, by giving a divide-and-conquer algorithm or argument, that you can exactly cover the entire board with L-shaped pieces (each covering 3 squares).

First let's figure out if this problem is solvable by looking at if we can cover a whole board with 3 square pieces. We can calculate that by solving for the number of squares on a board of size $(2^k \times 2^k) - 1$. We then can modulo this number by 3 to see if it is equal to 0. We can see that this is true for all k because $2^k - 1$ is always has 0 remaining squares after all pieces are placed.

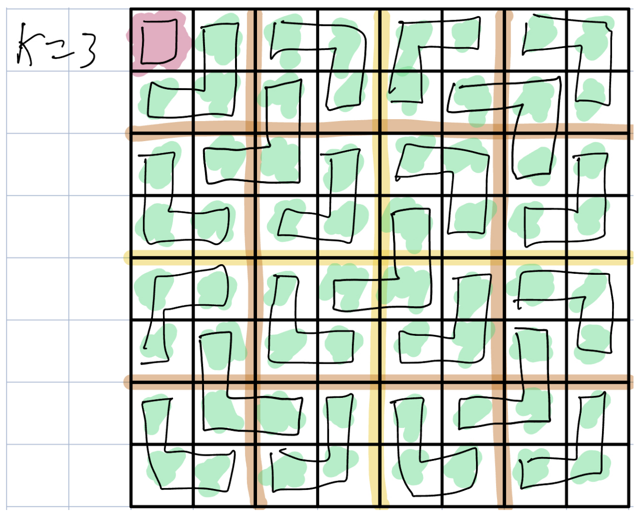
Now that we know that this problem is solvable, we can start to think about how we can solve it. If k is equal to 1, then we can just place the piece in the only spot that it can go.



We can use this as a base case for our divide and conquer algorithm. We can now move onto if k is greater than 1. We move onto if k is equal to 2 which equates to $2^2 \times 2^2 = 16$ squares. We can first split the board into 4 equal squares with the top left square removed with each 2x2 square having only 4 squares execpt for the top left square. We can now see that we can split this into smaller subproblems of size 2x2 from the base case. We can simulate a piece being removed from the corner by putting a L-shaped piece in the middle of the board. We can now fill the board with the corresponding piece for each subproblem as there is only one possible placement.



We can move onto if k is equal to 3 which equates to $2^3 \times 2^3 = 64$ squares. We can do the same process as before by splitting the board into 4 equal squares with the top left square removed leaving behind 4 4x4 squares (with one of them missing a square). We can now see that we can split this into even smaller subproblems of size 2x2 which we can see if the base case. We now can put a L-shaped piece in the middle of each time we split to simulate a piece being removed from the corner for each 4x4 and 2x2 square. For the 4x4 squares however the oreintation of the L-shaped piece is different as the middle piece that simulates the removed square is in a different position. This however is not a problem as we can just rotate the piece to fit the square. We can now fill the board with the corresponding piece for each subproblem which we found from the previous problem.



From all these examples we can derive a pattern that we can use to solve this problem. We split the board into smaller and smaller subproblems until we reach the base. We can then fill the board with the corresponding piece for each subproblem. From this we can see that this pattern works for all k as we can just keep splitting the board into smaller and smaller subproblems until we reach the base case. This means that we can solve this problem by using a divide and conquer algorithm of splitting the board up. The algorithm is as follows:

1. Remove the top left square from the board.
2. Split the board into 4 equal squares.
3. For each time you split the board, put a L-shaped piece in the middle of that board.
4. Repeat steps 2 and 3 until you reach the base case of a 2x2 board for each subproblem.
5. Fill the board with the corresponding piece for each subproblem.

This algorithm is correct because we are just creating smaller and smaller subproblems that simulate the base case of a 2x2 board. This algorithm would have a time complexity of $O(n^2)$ because as we split the board we have to fill the board with a time complexity of $O(n^2)$ for each subproblem. This means that the total time complexity is $O(n^2)$.

```python
# Create a 2^k x 2^k board
k = int(input('Enter a value for k: '))
board = [[0 for _ in range(2**k)] for _ in range(2**k)]

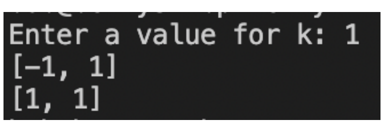
# remove the top left corner square
board[0][0] = -1

def fill_board(board, i, j, size):
    # base case
    # if the size of the board is 2x2, place the L-shaped pieces
    if size == 2:
        for x in range(2):
            for y in range(2):
                if board[i+x][j+y] == 0:
                    board[i+x][j+y] = 1
        return

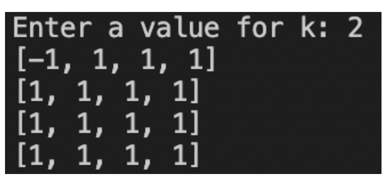
    # place L-shaped piece in the middle of the board
    board[i+size//2][j+size//2] = 1
    board[i+size//2-1][j+size//2] = 1
    board[i+size//2-1][j+size//2-1] = 1

    # recursively solve the problem for each quadrant
    fill_board(board, i, j, size//2)
    fill_board(board, i+size//2, j, size//2)
    fill_board(board, i, j+size//2, size//2)
    fill_board(board, i+size//2, j+size//2, size//2)

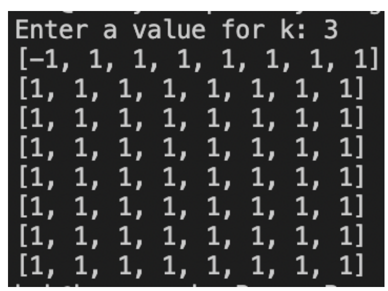
fill_board(board, 0, 0, 2**k)
```



```
Enter a value for k: 1
[-1, 1]
[1, 1]
```



```
Enter a value for k: 2
[-1, 1, 1, 1]
[1, 1, 1, 1]
[1, 1, 1, 1]
[1, 1, 1, 1]
```



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Enter a value for k: 3
[-1, 1, 1, 1, 1, 1, 1, 1]
[1, 1, 1, 1, 1, 1, 1, 1]
[1, 1, 1, 1, 1, 1, 1, 1]
[1, 1, 1, 1, 1, 1, 1, 1]
[1, 1, 1, 1, 1, 1, 1, 1]
[1, 1, 1, 1, 1, 1, 1, 1]
[1, 1, 1, 1, 1, 1, 1, 1]
[1, 1, 1, 1, 1, 1, 1, 1]
```

Problem 2

You are given an unsorted list L that has $k \geq 0$ pairs of indices $i < j$ such that $L[i] > L[j]$. These are called *inverted pairs*. Develop an $O(nlogn)$ algorithm that counts the number of inverted pairs (i.e. compute the value k).

We can solve this problem with a well known algorithm called merge sort. Merge sort can be used to sort a list in $O(nlogn)$ time. We can use this algorithm to count the number of inverted pairs. We can first split the list into multiple sublists of size 1. We can then merge the sublists together in order. We can now see that when we merge the sublists together, we can count the number of inverted pairs. We can do this by using

Problem 3

The *best subset problem* is defined as, given a list $(x_1, x_2, \ldots, x_n)$ of integers (which can be positive, negative, or zero), find (i, j) such that $x_i + x_{i+1} + \ldots + x_j$ is maximum for any $1 \leq i \leq j \leq n$. For example, if $n = 10$ and the input is $(4, -8, -5, 8, -4, 3, 6, -3, 2, -11)$ then the output is $x_4 + x_5 + x_6 + x_7 = 8 - 4 + 3 + 6 = 13$.

1. Develop an $O(n)$ algorithm for the related problem, *best subset middle* or BSM. The input to BSM is a list $(x_1, x_2, \ldots, x_n)$ of integers (which can be positive, negative, or zero) and the output is the maximum value of $x_i + x_{i+1} + \ldots + x_j$ such that $[i, j]$ spans $\frac{n}{2}$, in other words, for all possibilities for i and j such that $1 \leq i \leq \frac{n}{2} \leq j \leq n$.
2. Design a recursive algorithm for the best subset problem with runtime $O(nlogn)$ that uses the BSM function.
3. Argue that your algorithm is indeed correct and prove the runtime is $O(nlogn)$.
4. (Extra credit: 5pts) Design an algorithm for the best subset problem that has $O(n)$ runtime. Argue why your algorithm is correct and has $O(n)$ runtime.

Solution:

1. We can solve this problem by using a dynamic programming algorithm. We can start by creating a list of the same size as the input list. We can now set the first element of the list to the first element of the input list. We can now iterate through the input list and set the current element of the list to the maximum of the current element of the list and the current element of the input list plus the previous element of the list. We can now iterate through the list and find the maximum element of the list. This is the maximum sum of the subarray. This means that we can solve this problem by using a dynamic programming algorithm.
2. We can now solve this problem by using a divide and conquer algorithm. We can start by splitting the list into two equal parts. We can then recursively call the divide and conquer algorithm on each half of the list. We can now find the maximum sum of the subarray in the first half of the list. We can now find the maximum sum of the subarray in the second half of the list. We can now find the maximum sum of the subarray that spans the two halves of the list. We can now find the maximum of the three sums. This is the maximum sum of the subarray. This means that we can solve this problem by using a divide and conquer algorithm.
3. We can now prove that the runtime of this algorithm is $O(nlogn)$. We can see that the runtime of the divide and conquer algorithm is $O(nlogn)$. We can see that the runtime of the dynamic programming algorithm is $O(n)$. We can now see that the runtime of the divide and conquer algorithm is $O(nlogn)$.

4. We can now solve this problem by using a dynamic programming algorithm. We can start by creating a list of the same size as the input list. We can now set the first element of the list to the first element of the input list. We can now iterate through the input list and set the current element of the list to the maximum of the current element of the list and the current element of the input list plus the previous element of the list. We can now iterate through the list and find the maximum element of the list. This is the maximum sum of the subarray. This means that we can solve this problem by using a dynamic programming algorithm.