

# Visual Cognition—Inspired Multi-View Vehicle Re-Identification via Laplacian-Regularized Correlative Sparse Ranking

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#### **Abstract**

Vehicle re-identification has gradually gained attention and widespread applications. However, most of the existing methods learn the discriminative features for identities by single-feature channel only. It is worth noting that visual cognition of the human eyes is a multi-channel system which usually seeks a sparse representation. Therefore, integrating the multi-view information in sparse representation is a natural way to boost computer vision tasks in challenging scenarios. In this paper, we propose to mine multi-view deep features via Laplacian-regularized correlative sparse ranking for vehicle re-identification. Specifically, first, we employ multiple baseline networks to generate features. Then, we explore the feature correlation via enforcing the correlation term into the multi-view Laplacian sparse ranking framework. The original rankings are obtained by the reconstruction coefficients between the probe and gallery. Finally, we utilize a re-ranking technique to further boost performance. Experimental results on public benchmark VeRi-776 and VehicleID datasets demonstrate that our approach outperforms state-of-the-art approaches. The Laplacian-regularized correlative sparse ranking as a general framework can be used in any multi-view feature fusion and will obtain more competitive results.

**Keywords** Vehicle re-identification · Laplacian-regularized correlative sparse ranking · Multi-view · Deep feature

# Introduction

With the great progress of computer vision [1, 2], vehicle re-identification (Re-ID) has recently drawn much more attention due to its potential applications such as intelligent transportation, urban computing and intelligent monitoring. The vehicle Re-ID aims to identify the same vehicle across non-overlapping cameras, where the license plate of the vehicle is scarcely possible to be identified due to motion blur, challenging camera view, etc. In addition to person Re-ID, vehicle Re-ID has particular challenges: different identities, especially from the same manufacturer, may have similar colors and types.

The research on visual cognition of the human eyes shows that the human visual system is a multi-channel

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system [3] and usually seeks a sparse representation [4]. The neuroscientists at Vanderbilt University have discovered that color cognition is processed with isolation and other comprehensive visual attributes [3]. Inspired by this, many researchers used multi-view theory in computer vision tasks such as 3D shape recognition task [5], face alignment [6], visual recognition [7], pose prediction [8], and cross-view classification [9]. Meanwhile, Ravello et al. [4] have discovered that brain cognition will produce a sparser code while preserving important information, based on which many researchers developed this sparse mechanism theory in image decomposition [10], image compression [11], visual tracking [12, 13], facial expression recognition [14], etc.

The recent dramatic increase in different kinds of deep learning networks extracts discriminative feature representation for Re-ID. However, most of the existing methods focus on single-view representation learning. It is worth mentioning that visual cognition of the human eyes is a multi-channel system [3]. Generally speaking, different views from the same identity usually contain complementary information. Therefore, compared with single-view learning, multi-view learning can exploit more expressive representation. A comprehensive survey of multi-view learning refers to [5].



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Some researchers have also discovered that visual cognition of the human eyes seeks a sparse representation for the incoming image [4]. And many algorithms based on sparse ranking in single processing have been proposed for computer vision task in the past years [15–17]. The main idea of sparse ranking is to approximately transform input data to the weighted linear combination of a small number of basis vectors from the dictionary. These basis vectors thus contain high-level patterns in the input data, while the coefficients consist of the sparse representation of the input data. Not only will this method simplify learning tasks and reduce the complexity of learning models, but it can also be used in multi-tasks learning since the multiple views are assumed to have the same sparsity pattern in their sparse representation vectors.

In this paper, we propose to explore the multi-view deep feature correlation based on the sparse ranking framework for vehicle Re-ID. Specifically, we mine the correlation between multiple feature space via correlative sparse ranking by enforcing the correlation constraint into the multi-view sparse ranking framework. Furthermore, we introduce a Laplacian regularization to preserve the local manifold structure. It can be regarded as a general framework for multi-view feature fusion for any existing networks. Furthermore, inspired by the satisfactory performance of the re-ranking techniques in person Re-ID, we further utilize the Expanded Cross Neighborhood (ECN) [18] based on the re-ranking technique to boost the performance of the proposed method.

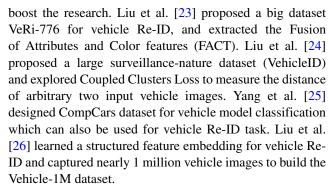
A preliminary version of this work appeared in [19]. In this work, apart from using correlative sparse representation to fuse multi-view features, we further consider enforcing a graph Laplacian regularization into the multi-view sparse ranking framework to preserve the local manifold structure. In addition, more comprehensive experiments have been implemented, including more baseline networks, more experimental demonstration, and more evaluations on additional larger vehicle re-identification dataset: VehicleID.

# **Related Work**

Inspired by the human visual system, we propose to mine multi-view deep features via Laplacian regularized correlative sparse ranking for vehicle re-identification in this paper. Therefore, we briefly introduce the related works on vehicle Re-ID and multi-view learning in this section.

## **Vehicle Re-Identification**

Along with the blossom of person Re-ID [20–22], vehicle re-identification has drawn much attention recently. Several vehicle re-identification datasets have been collected to



Deep learning is an active method on vehicle reidentification; most of the existing methods focus on designing of effective network architectures for vehicle Re-ID. Zapletal et al. [27] learned a linear classifier on color histograms and histograms of oriented gradients by vehicle 3D bounding boxes. Zhang et al. [28] designed a classification-oriented loss and triplet sampling method based on the triplet-wise network. Kanacı et al. [29] proposed to transfer the vehicle model representation for more fine-grained Re-ID tasks via a so-called cross-level vehicle recognition method. Zhu et al. [30] proposed a shortly and densely connected convolutional neural network to combine the advantages of VGGNet and DenseNet to improve Re-ID performance.

Furthermore, some works tried to integrate other auxiliary information such as the spatio-temporal information into the vehicle Re-ID process [31, 32]. Considering that vehicles have specific attributes such as color and type, Liu et al. [33] designed a progressive searching scheme which employed the appearance attributes of the vehicle for coarse filtering. Li et al. [34] designed a unified vehicle Re-ID framework combining identification, attribute recognition, verification, and triplet tasks. Zhou et al. [35] proposed a conditional generative network to generate cross viewpoint vehicle images and combine them with input vehicle images to improve the performance of vehicle re-identification.

# **Multi-View Learning**

Multi-view sparse ranking, which learns the shared latent representation for multi-view data, is one of the active research topics in multi-view learning and has been widely explored in the past decade. Jia et al. [36] exploit multi-view learning with structured sparsity to address human pose estimation. Liu et al. [37] proposed multi-view Hessian discriminative sparse ranking to improve the image annotation performance. Han et al. [38] designed a framework of sparse unsupervised dimensionality reduction to find a low-dimensional optimal consensus representation for image classification. Yu et al. [39] used sparse ranking to choose as few basic images as possible from the codebook and described similar Web images by fully distinct sparse



codes to obtain completeness results. Wu et al. [40] proposed a sparse multi-modal hashing approach, which can jointly learn multi-modal dictionary. Lan et al. [41] considered sparse representation to fuse feature for multi-cue visual tracking.

Meanwhile, many researchers tried to use multi-view learning to solve 3D or other visual recognition tasks. Yang et al. [5] proposed a novel network structure combining multi-view networks to solve the viewpoint-based 3D shape recognition task. Chen et al. [42] designed a deep fusion scheme to combine region-wise features from multiple views for 3D object detection. Rubino et al. [43] presented a novel approach to combine dual space fitting and non-linear optimization to recover objects 3D position.

# **The Proposed Approach**

#### **Overview**

Given a probe vehicle image, the proposed approach regarding the vehicle Re-ID consists of the following three steps as shown in Fig. 1.

- (a) Multi-view deep feature learning: We design multiple deep learning-based subnetworks to extract the multi-view features.
- (b) Feature fusion via Laplacian-regularized correlative sparse ranking: We propose to explore the correlation

between the multi-view feature spaces via Laplacianregularized correlative sparse ranking, which enforces the consistency between the sparse coefficients of the multi-view features and preserves the local manifold structure. The original ranking results are obtained according to the reconstruction coefficients between the probe and gallery.

(c) ECN-based re-ranking: The final ranking results are achieved via ECN based on the re-ranking technique.

We shall elaborate the procedure in the following three subsections.

# **Multi-View Deep Feature Learning**

Inspired by the human visual system, deep learning builds hierarchical layers of visual representation to extract the high-level features of an image. We exploit *K* arbitrary deep learning network to generate the multi-view features for vehicle Re-ID as shown in Fig. 1a.

Furthermore, we extract K feature vectors  $X^1$ ,  $X^2$ , and  $X^K$ . For the gallery with N images, K feature matrices  $U^1 = [u_1^1, \dots, u_N^1]$ ,  $U^2 = [u_1^2, \dots, u_N^2]$ , and  $U^K = [u_1^K, \dots, u_N^K]$  are generated in the same manner, where  $u_i^1$ ,  $u_i^2$ , and  $u_i^K$  represent the feature vector of the ith gallery image  $h_i$  from the kth subnetworks respectively.

In this paper, we design three subnetworks to generate multi-view deep features: ResNet-50-based attribute aggregated subnetwork ( $R_{attr}$ ), GoogleNet-based attribute

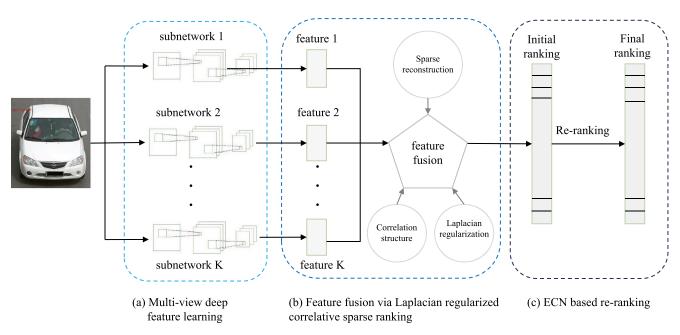


Fig. 1 Overall architecture of the proposed method

aggregated subnetwork ( $G_{attr}$ ), and ResNet-50-based viewpoint embedded subnetwork ( $R_{view}$ ). We shall elaborate the architectures of the three subnetworks as follows.

# ResNet-50-Based Attribute Aggregated Subnetwork (Rattr)

Inspired by the attribute aggregated network in person Re-ID [44], we encode ten color attributes (yellow, orange, green, gray, red, blue, white, golden, brown, black) and nine type attributes (sedan, suv, van, hatchback, mpv, pickup, bus, truck, estate) into the ResNet-50 deep framework, as shown in Fig. 2a. Color and type are the most recognizable appearance information. For the sake of attribute recognition, here we attach M+1 fully connected (FC) layers in the end, including a ID classification and M attributes, where M is the sum of the number of

attributes (colors and types). Specifically, for the FC layer for ID classification, the number of output nodes equals the number of training vehicle identities C; while each of the FC layers for one attribute (color or type) links B output nodes corresponding to the B discriminant results.

For loss computation, we use the softmax classification loss function to optimize vehicle identity discrimination in vehicle ID classification branch, and the total vehicle ID loss is calculated by cross entropy loss function as:  $L_{ID} = -\sum_{c=1}^{C} f(c)log(p(c))$ , where C is the vehicle identity and f(c) represents the vehicle ID ground truth. The attribute probability can be predicted in the same manner with cross entropy loss function  $L_{Att_j} = -\sum_{j_b=1}^{B} f(j_b)log(p(j_b))$ , where  $f(j_b)$  denotes the ground truth of vehicle attribute, and B=2 indicates the binary discriminant results ("yes" or "no") for attribute j. Finally,

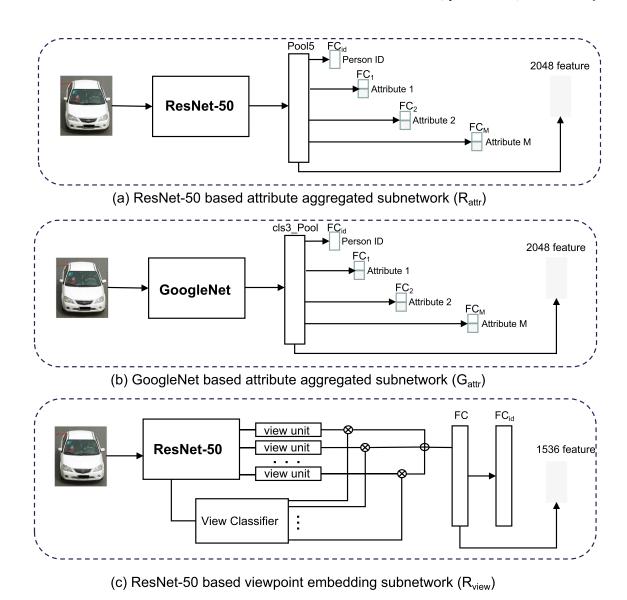


Fig. 2 The architecture of the subnetworks



we extract 2048 dimensional features from the pool layers of the subnetwork.

#### GoogleNet-Based Attribute Aggregated Subnetwork (Gattr)

GoogleNet-based attribute aggregated subnetwork ( $G_{attr}$ ) is constructed in the same manner as  $R_{attr}$  shown in Fig. 2b. The only difference is that we replace the ResNet-50 in  $R_{attr}$  with GoogleNet.

# ResNet-50-Based Viewpoint Embedding Subnetwork $(R_{view})$

As shown in Fig. 2c, we encode five viewpoint information (front, left front side, left side, left rear side, rear) of the vehicle into the ResNet-50 deep framework [18]. The backbone of this subnetwork is the first three blocks of ResNet-50, we split the view classifier branch with three convolutional layers of  $5 \times 5$ ,  $3 \times 3$ , and  $5 \times 5$ respectively and one fully connected layer from the first block of ResNet-50. The classifier is used to predict a probability distribution over the corresponding view values. And then we copy the fourth block of ResNet-50 five times as the equivalent view units to extract high-level features. We shall weigh the five view softmax prediction scores of the view classifiers to the corresponding units with the element-wise multiplication. Then, we use the manner of element-wise sum to fuse these high-level features. Finally, we exploit two fully connected layers to embed the fused feature. And the softmax classification loss function is used to classify the vehicle ID by the cross entropy loss function  $L_{ID} = -\sum_{c=1}^{C} f(c)log(p(c))$  for model training, where C is the number of the vehicle images and f(c) represents the ground truth of vehicle ID.

It is worth mentioning that the VehicleID dataset contains incomplete attribute information and no view labels, so we only select  $R_{attr}$  and  $G_{attr}$  without attribute aggregation during implementation. As for  $R_{view}$ , we train the view predictor on the VeRi-776 dataset with view annotation then directly apply to the VehicleID dataset.

# Feature Fusion via Laplacian-Regularized Correlative Sparse Ranking

After obtaining the multi-view deep features of the vehicle, we propose a Laplacian-regularized correlative sparse representation to bridge the multi-view features generated from three subnetworks as shown in Fig. 1b.

#### **Model Formulation**

In this subsection, based on their close latent correlations, we shall present the detailed formulation of the multi-view feature fusion problem via a sparse ranking framework due to its robustness to noise.

Sparse Reconstruction The main idea of sparse ranking is to represent an input vector approximately as the weighted linear combination of a small number of basis vectors from the dictionary. These basis vectors thus capture high-level patterns in the input data, while the coefficients consist of the sparse representation of the input data. According to this principle, for each query sample, we calculate sparse representation  $\alpha^k$  under the kth channel, where  $X^k \approx$  $U^k \alpha^k$ , for  $k = 1, \dots, K$ , where K is the number of the views and K = 3 in this paper. The reason why we only evaluated on three networks (view) is that more views will introduce higher computational complexity without distinct improvement in accuracy. Therefore, three views can keep the balance between accuracy and efficiency. The process above can be converted into a  $\ell_1$ -norm sparsity constraint regularized least squares problem:

$$\min_{\alpha^k} \|X^k - U^k \alpha^k\|_2^2 + \lambda^k \|\alpha^k\|_1, \tag{1}$$

where  $\lambda^k$  controls the trade-off between the  $\ell_2$ -norm reconstruction error and the  $\ell_1$ -norm sparsity constraint of the coefficients under the kth view. And  $U^k$  is the feature matrix of the kth view.

**Multi-View Correlation** To explore the correlations of multiview features, it is natural to punish the diversity between sparse coefficients from arbitrary two corresponding views, that is minimizing the Euclidean distance  $\|\alpha^k - \alpha^l\|_2^2$  for  $k, l \in 1, \ldots, K$  to find the collaborative representation from multi-views of the same vehicle. Thus, the correlative sparse ranking model can be formulated as:

$$\min_{\alpha^{k}} \sum_{k=1}^{K} \{ \|X^{k} - U^{k} \alpha^{k}\|_{2}^{2} + \lambda^{k} \|\alpha^{k}\|_{1} \} 
+ \mu \sum_{k,l} \|\alpha^{k} - \alpha^{l}\|_{2}^{2}, \quad \text{s.t.} \forall \alpha^{1}, \alpha^{2}, \cdots, \alpha^{K} \succeq 0,$$
(2)

where  $\mu$  is the trade-off parameter to balance the sparse reconstruction error and the pairwise correlation constraints.

**Laplacian Regularization** Note that the local geometrical structure of the data plays an important role in data analysis [45–47], We further enforce Laplacian regularization as a smooth operator to preserve the local manifold structure. That is minimizing  $\beta^k \sum_{i,j} \left(\alpha_i^k - \alpha_j^k\right)^2 W_{ij}^k$  for



 $k \in 1, ..., K$ . Thus, the Laplacian-regularized correlative sparse ranking model can be formulated as:

$$\min_{\alpha^{k}} \sum_{k=1}^{K} \{ \| X^{k} - U^{k} \alpha^{k} \|_{2}^{2} + \lambda^{k} \| \alpha^{k} \|_{1} + \beta^{k} \sum_{i,j} \left( \alpha_{i}^{k} - \alpha_{j}^{k} \right)^{2} W_{ij}^{k} \} + \sum_{\text{sparse reconstruction}} \sum_{\text{Laplacian regularization}} \left( 3 \right)$$

$$\mu \sum_{k,l} \| \alpha^{k} - \alpha^{l} \|_{2}^{2} \quad \text{s.t.} \forall \alpha^{1}, \alpha^{2}, \cdots, \alpha^{K} \geq 0,$$

where  $\beta^k$  is the trade-off parameter to balance the sparse reconstruction error and the Laplacian regularization constraints;  $W_{ij}^k$  is the distance of *i*th and *j*th vehicle features of the *k*th view.

At last, the final Laplacian-regularized correlative sparse representation vector for one query to all gallery images is expressed as:

$$\alpha = \sum_{k=1}^{K} \alpha^k.$$

### **Model Optimization**

Due to the non-negativeness of  $\alpha^k$ , Eq. 3 can be written as follows:

$$\min_{\alpha^{k}} \sum_{k=1}^{K} \left\{ \|X^{k} - U^{k} \alpha^{k}\|_{2}^{2} + \lambda^{k} \alpha^{k} \mathbf{1} + \beta^{k} \sum_{i,j} \left(\alpha_{i}^{k} - \alpha_{j}^{k}\right)^{2} W_{ij}^{k} \right\} 
+ \mu \sum_{k,l} \|\alpha^{k} - \alpha^{l}\|_{2}^{2}, \quad \text{s.t.} \ \forall \alpha^{1}, \alpha^{2}, \cdots, \alpha^{K} \succeq 0,$$
(4)

where **1** denotes the vector with all elements as 1. To solve Eq. 4, we convert it to an unconstrained form as:

$$\min_{\alpha^{k}} \sum_{k=1}^{K} \left\{ \|X^{k} - U^{k} \alpha^{k}\|_{2}^{2} + \lambda^{k} \alpha^{k} \mathbf{1} + \beta^{k} \sum_{i,j} \left(\alpha_{i}^{k} - \alpha_{j}^{k}\right)^{2} W_{ij}^{k} \right\} + \mu \sum_{k,l} \|\alpha^{k} - \alpha^{l}\|_{2}^{2} + \psi(\alpha), \tag{5}$$

where  $\psi(\alpha_i^k)$  equals 1 if  $\alpha_i^k \geq 0$ , and 0 otherwise.  $\alpha_i^k$  denotes the representation coefficient of gallery image  $h_i$  to the query sample from the kth subnetwork. In this paper, we utilize the accelerated proximal gradient (APG) approach [48] to optimize efficiently. We denote:

$$F = \min_{\alpha^{k}} \|X^{k} - U^{k} \alpha^{k}\|_{2}^{2} + \lambda^{k} \alpha^{k} \mathbf{1}$$

$$+ \beta^{k} \sum_{i,j} (\alpha_{i}^{k} - \alpha_{j}^{k})^{2} W_{ij}^{k} + \mu \sum_{k,l} \|\alpha^{k} - \alpha^{l}\|_{2}^{2},$$

$$J = \psi(\alpha). \tag{6}$$

Obviously, F is a differentiable convex function and J is a nonsmooth convex function. Therefore, according to the APG method, we obtain:

$$\alpha^{k}(r+1) = \min_{\alpha^{k}} \frac{\xi}{2} \|\alpha^{k} - \Omega^{k}(r+1) + \frac{\nabla F(\Omega^{k}(r+1))}{\xi} \|_{2}^{2} + J(\alpha^{k}),$$
(7)

where  $\xi$  is the Lipschitz constant, r indicates the current iteration, and  $\alpha^k(r+1)$  denotes the sparse representation coefficients of the query image at the (r+1)-th iteration based on the kth subnetworks.  $\Omega^k(r+1) = \alpha^k(r) + \frac{\rho(r-1)-1}{\rho(r)}(\alpha^k(r) - \alpha^k(r-1))$ , where  $\rho(r)$  is a positive sequence with  $\rho(0) = \rho(1) = 1$ . Equation 7 can be solved by:

$$\alpha^{k}(r+1) = \max(0, \Omega^{k}(r+1) - \frac{\nabla F(\Omega^{k}(r+1))}{\xi}). \quad (8)$$

where  $\nabla F(\Omega^k(r+1))$  can be calculated as:

$$\nabla F(\Omega^{k}(r+1)) = 2U^{kT}U^{k}\Omega^{k}(r+1) - 2U^{kT}X^{k} + \lambda^{k}\mathbf{1} + 2\beta^{k}\Omega^{k}(r+1)(D-W)^{T} + 4\mu(K\Omega^{k}(r+1) - \sum_{l}^{K}\Omega^{l}(r+1)).$$
(9)

Algorithm 1 summarizes the whole optimization procedure. Figure 3 shows the convergence curve of our LCSR method.

#### **Algorithm 1** Optimization algorithm to Eq. 5.

**Input:** The feature vector  $X^k$  of query image q, the gallery feature matrix  $U^k$ ,  $k=1,\cdots,K, l=1,\cdots,K$ , the parameters  $\lambda,\mu,\beta$ ; Set  $\xi=2.7\times10^5, \rho(0)=\rho(1)=1, \varepsilon=10^{-4}, maxIter=200, r=1.$ 

# Output: $\alpha^k$

- 1: while not converged do
- 2: Update  $\Omega^k(r+1)$  by  $\Omega^k(r+1) = \alpha^k(r) + \frac{\rho(r-1)-1}{\rho(r)}(\alpha^k(r) \alpha^k(r-1))$ , where  $\rho_r$  is a positive sequence;
- 3: Update  $\alpha^k(r+1)$  by Eq. 8;
- 4: Update  $\rho(r+1) = \frac{1+\sqrt{1+4\rho^2(r)}}{2}$ ;
- 5: Update r by r = r + 1;
- 6: Check the convergence condition: the maximum element change of  $\alpha^k$  between two consecutive iterations is less than  $\varepsilon$  or maximum number of iterations reaches maxIter.
- 7: end while
- 8: return  $\alpha^k$

After obtaining the Laplacian-regularized correlative sparse representations  $\alpha$  for each query image, we exploit  $\alpha = \sum_{k=1}^K \alpha^k$  to aggregate them as a representation coefficients matrix  $\mathbf{A} \in \mathcal{R}^{\mathcal{Q} \times N}$ , where  $\mathcal{Q}$  represents the number of query image and N represents the number



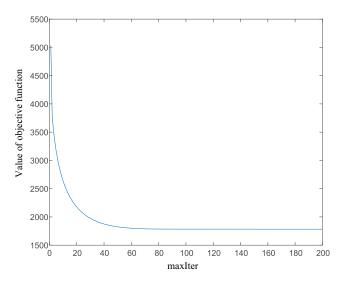


Fig. 3 Convergence curve of our LCSR method

of gallery image. The entry  $\alpha_{q,i}$  in  $\mathbf{A}$  denotes the representation coefficient of a gallery image  $h_i$  to the query image q. Then, the original distance between two vehicle images q and  $h_i$  can be calculated by  $d(q,h_i)=1/\alpha_{q,i}$ . Therefore, the initial ranking to query sample q is  $M(q,N)=\{h_1^q,h_2^q,\cdots,h_N^q\}$ , where  $d(q,h_i^q)< d(q,h_{i+1}^q)$ .

# **ECN-Based Re-Ranking**

The initial ranking directly compares the distance between the two images, and ignores the correlations among similar images. In order to enhance retrieval performance, here we calculate the distance by averaging the expanded neighbors of probe and gallery image pairs, that is the ECN distance, as shown in Fig. 1c.

Formally, given a probe image q and a gallery set G with N images  $G = \{h_1, h_2, \dots, h_N\}$ , we can acquire the initial ranking  $M(q, N) = \{h_1^q, h_2^q, \dots, h_N^q\}$ . We first define the top l samples of the query q as M(q, l):

$$M(q, l) = \{h_i^q | i = 1, 2, \dots, l\}, l < N.$$
 (10)

Then,  $M(h_i^q, p)$  contains the top p neighbors of each element in set M(q, l):

$$M(h_i^q, p) = \{M(h_1^q, p), \cdots, M(h_i^q, p)\}.$$
 (11)

The final expanded neighbors of q are defined as the multi-set E(q, R):

$$E(q, R) = \{M(q, l), M(h_i^q, p)\}, R = l + l \times p.$$
 (12)

In the same manner, we can obtain the expanded neighbors of each gallery image as  $E(h_i, R)$ . Finally, the ECN [18] distance between the query image q and any gallery image  $h_i$  is calculated as:

$$ECN(q, h_i) = \frac{1}{2R} \sum_{j=1}^{R} \{ d(E(q, R)\{j\}, h_i) + d(E(h_i, R)\{j\}, q) \},$$
(13)

where  $E(q, R)\{j\}$  and  $E(h_i, R)\{j\}$  indicate the jth expanded neighbor of query q and the ith image  $h_i$  respectively. We exploit the  $ECN(q, h_i)$  to acquire the final ranking. In practice, l = 4, p = 12 as discussed in the section "Parameter Analysis."

# **Experiments**

We evaluate our method on the two recent public benchmark datasets VeRi-776 [23] and VehicleID [24] for vehicle re-identification, and compared these with twelve state-of-the-art methods. Besides, we have annotated the view information for both VeRi-776 and VehicleID datasets.

# **Experiment Setting**

#### **Parameters**

During the deep feature extraction, we resize all training images into  $256 \times 256$  pixels and extract randomly  $224 \times 224$  patches to data augmentation. We train our models using stochastic gradient descent (SGD) with a batch size of 16, momentum of 0.9, and weight decay of  $\delta = 0.0001$ . The learning rate is set to 0.1 at the beginning and changed to 0.01 in the last few epochs.  $\lambda = 0.118$ ,  $\beta = 0.048$ , and  $\mu = 0.5$  in Eq. 3.

#### **Evaluation Metric**

Following the evaluation protocol of re-identification work [31, 33], the mean average precision (mAP), and Rank1 and Rank5 accuracies are utilized to evaluate the performance of re-identification in the camera network.



# **Compared State-of-the-Art Methods**

The specifications of the compared methods are described as follows:

- 1. **.LOMO** [49]. Local Maximal Occurrence Representation (LOMO) is a local feature descriptor coping with illumination variations and viewpoint changes.
- BOW-CN [50]. Bag-of-Word-based hand-crafted features for vehicle Re-ID.
- 3. **GoogLeNet** [51]. Pre-trained on ImageNet [52] and then fine-tuned on the CompCars dataset for semantic feature representation of vehicles.
- 4. **FACT** [23]. Fused Appearance features including color, texture and shape.
- FACT+Plate-SNN+STR [33]. FACT [23] with additional plate verification and spatio-temporal relations (STR) based on Siamese Neural Network (SNN).
- 6. **NuFACT** [53]. The null space-based **FACT** [23] to integrate the multi-level appearance features of vehicles and high-level attribute features.
- 7. **Siamese-Visual** [31]. Siamese-CNN with only visual information.
- Siamese+Path-LSTM [31]. Siamese-CNN together with Path LSTM with visual-spatio-temporal path information.
- 9. **VAMI** [35]. Generating multi-viewpoint features of a vehicle from single-viewpoint feature.
- 10. **C2F-Rank** [26]. Exploring structured feature embedding and a novel coarse-to-fine ranking loss to boost the performance of vehicle re-identification.
- 11. **CLVR** [29]. The structured information of vehicle identity and vehicle model class to construct Cross-Level Vehicle Recognition method.

 SDC-CNN [30]. The short and dense connection mechanism which can improve the ability of feature embedding.

#### **Evaluation on the VeRi-776 Dataset**

**VeRi-776** [23] dataset contains 51,035 images of 776 vehicles captured by 20 cameras in real-world traffic surveillance environment. Due to different viewpoints, illuminations, resolutions, and occlusions, VeRi-776 is a challenging dataset for vehicle Re-ID. Specifically, there are 37,778 images of 576 vehicles for training, 11,579 images of 200 vehicles for testing, and 1678 for query. Each vehicle is captured by 2–18 cameras along a circular road. Furthermore, each vehicle image is annotated with corresponding attributes, e.g., type and color.

# **Quantitative Result**

We evaluate the performance of the proposed method when compared with the state-of-the-art methods on VeRi-776 dataset and report the quantitative results in Table 1. Although the mAP of Siamese+Path-LSTM [31] is comparative with our LCSR, it is worth noting that it has utilized additional spatio-temporal path information, even though the Rank1 and Rank5 accuracies of our LCSR are significantly higher (without any path information). Furthermore, the ECN re-ranking technique can further boost the performance, especially on mAP and Rank1. Note that Rank5 slightly declines. The reason might be, on VeRi-776 dataset, the high accuracy in Rank1 (91.29%) implies that most of the top-1 matching is the right hit which has less probability to remove the right hit out of top-5 matchings after re-ranking, which will not change the accuracy of Rank5. However, when the top-1 matching is the wrong hit,

Table 1 The mAP, Rank1, and Rank5 comparisons on VeRi-776 dataset (in %)

Method	mAP	Rank1	Rank5	Reference
(1) LOMO [49]	9.64	25.33	46.48	CVPR2015
(2) BOW-CN [50]	12.20	33.91	53.69	ICCV2015
(3) GoogLeNet [51]	17.89	52.32	72.17	CVPR2015
(4) FACT [23]	18.49	50.95	73.48	ICME2016
(5) FACT+Plate-SNN+STR [33]	27.70	61.44	78.78	ECCV2016
(7) Siamese-Visual [31]	29.48	41.12	60.31	ICCV2017
(8) Siamese+Path-LSTM [31]	58.27	83.49	90.04	ICCV2017
(6) NuFACT [53]	48.47	76.76	91.42	TMM2018
(9) VAMI [35]	50.13	77.03	90.82	CVPR2018
(12) SDC-CNN [30]	53.45	83.49	92.55	ICPR2018
LCSR	59.58	91.29	95.47	Ours
LCSR + ECN	63.66	92.61	94.00	Ours

The top three results are highlighted in red, green, and blue, respectively



the right hit, which has high probability (95.47%) within top-5 matching, may be removed from the top-5 matchings which will decline the accuracy of Rank5.

#### **Qualitative Result**

An example of the qualitative results of our method is demonstrated in Fig. 4, where Fig. 4a, b, and c demonstrate the ranking results with GoogleNet-based attribute aggregated subnetwork  $G_{attr}$ , ResNet-50-based attribute aggregated subnetwork  $R_{attr}$ , and ResNet-50-based viewpoint embedding subnetwork  $R_{view}$ , respectively. Figure 4d is the ranking results of our Laplacian-regularized correlative sparse ranking framework from which we can observe that, by correlatively learning the multi-view deep features, our method can improve the ranking to the single-view method.

#### **Evaluation on the VehicleID Dataset**

**VehicleID** [24] dataset contains 221,763 images of a total of 26,267 vehicles captured in real-world traffic surveillance environment. Due to illuminations, resolutions, and same appearance of different vehicle identities, VehicleID is also

a challenging dataset for vehicle Re-ID. It is divided into the training set with 110,178 images of 13,134 vehicles, and the testing set with 111,585 images of 13,133 vehicles specifically. Following the protocols, we use three different testing sets for re-identification task, which contains 800, 1600, and 2400 vehicles.

It is worth mentioning that the VehicleID dataset contains incomplete attribute information and no view labels, besides each image only has the front or the back viewpoint; therefore we only select  $R_{attr}$  and  $G_{attr}$  without attribute aggregation during implementation. As for  $R_{view}$ , we train the view predictor on VeRi-776 dataset with view annotation then directly apply to the VehicleID dataset.

#### **Quantitative Result**

The quantitative results of the proposed method on VehicleID dataset when compared with the state-of-the-art methods are reported in Table 2. The results consistently demonstrate the promising performance of the proposed method. The Rank5 accuracies of our method are worse than those of SDC-CNN [30], but with significantly higher mAP and Rank1, which are more competitive metrics with the meaning of more true hits in the front ranks. Again,

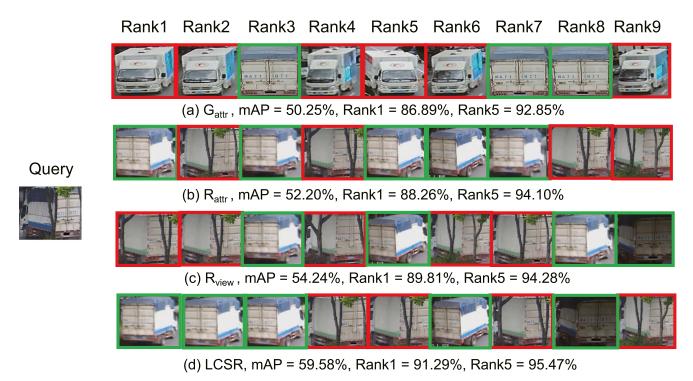


Fig. 4 Example of our method (LCSR) on VeRi-776 dataset. The green and red boxes indicate the right hits and the wrong hits respectively

**Table 2** The mAP, Rank1, and Rank5 comparisons on VehicleID dataset (in %)

Test size	800			1600			2400			Reference
Method	mAP	Rank1	Rank5	mAP	Rank1	Rank5	mAP	Rank1	Rank5	
(1)LOMO [49]	_	19.76	32.01	_	18.85	29.18	_	15.32	25.29	CVPR2015
(2)BOW-CN [50]	_	13.14	22.69	_	12.94	21.09	_	10.20	17.89	ICCV2015
(3)GoogLeNet [51]	46.20	47.88	67.18	44.00	43.40	63.86	38.10	38.27	59.39	CVPR2015
(4)FACT [23]	_	49.53	68.07	_	44.59	64.57	_	39.92	60.32	ICME2016
(11)CLVR [29]	_	62.00	76.00	_	56.10	71.80	_	50.60	68.00	BMVC2017
(6)NuFACT [53]	_	48.90	69.51	_	43.64	65.34	_	38.63	60.72	TMM2018
(9)VAMI [35]	_	63.12	83.25	_	52.87	75.12	_	47.34	70.29	CVPR2018
(10)C2F-Rank [26]	63.50	61.10	81.70	60.00	56.20	76.20	53.00	51.40	72.20	AAAI2018
(12)SDC-CNN [30]	63.52	56.98	86.90	57.07	50.57	80.05	49.68	42.92	73.44	ICPR2018
LCSR	72.53	69.04	84.44	69.68	66.40	80.41	65.65	62.31	75.89	Ours
LCSR + ECN	68.70	64.85	82.61	55.94	53.35	64.88	52.05	49.35	61.46	Ours

The top three results are highlighted in red, green, and blue, respectively

by correlatively learning the multi-view deep features, our method can significantly improve the ranking to the single-view method. Since there is only one ground truth in the gallery for each query, and the overall accuracy is relatively low on the VehicleID dataset, the ECN may introduce more wrong hits to the top matchings, so the results after ECN tend to decline.

#### **Qualitative Result**

An example of the qualitative results on VehicleID dataset is demonstrated in Fig. 5 in the same manner as on the VeRi-776 dataset from which we can observe that the multi-view fusion result of our LCSR can significantly shift forward the true hit. Note that there is only one

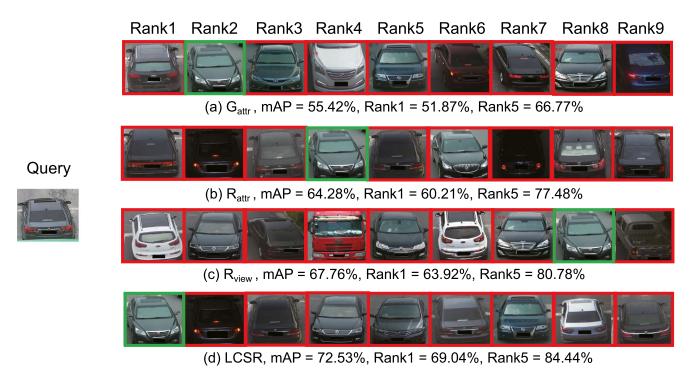


Fig. 5 Example of our method (LCSR) on VehicleID dataset (test size = 800). The green and red boxes indicate the right hits and the wrong hits respectively



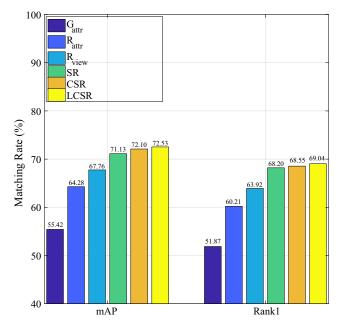


Fig. 6 Component analysis of our method (LCSR) on VehicleID dataset (test size = 800).  $G_{attr}$ ,  $R_{attr}$ , and  $R_{view}$  represent the results of the GoogleNet subnetwork, ResNet-50 subnetwork, and ResNet-50-based viewpoint embedding subnetwork, respectively. SR, CSR, and LCSR indicate the results of fusing the three subnetworks  $G_{attr}$ ,  $R_{attr}$ , and  $R_{view}$  via sparse ranking (SR), correlative sparse ranking [19], and our Laplacian-regularized correlative sparse ranking (LCSR) respectively

ground truth vehicle image in gallery set in the VehicleID dataset.

# **Component Analysis**

In order to validate the component contribution of our Laplacian-regularized correlative sparse ranking (LCSR) framework, we further evaluate the components of the proposed method with its variants on VehicleID dataset (test size = 800) as shown in Fig. 6, from which we can see the following: (1) By fusing the three multi-view subnetworks  $G_{attr}$ ,  $R_{attr}$ , and  $R_{view}$ , sparse ranking (SR) (comparing SR

with  $G_{attr}$ ,  $R_{attr}$ , or  $R_{view}$ ), it can improve the performance of the single subnetwork. (2) By introducing the multi-view correlation to the original sparse ranking model (comparing CSR to SR), it can further boost the performance. (3) By introducing the Laplacian regularization constraint to CSR, our final model LCSR achieves the best performance.

#### **Evaluation on Other Subnetworks**

In order to validate the generality of our LCSR, we further evaluate it on the other three subnetworks, the conventional MobileNet [54], GoogleNet [55], and ResNet-50 [56] without any attribute aggregation on VeRi-776 dataset and VehicleID dataset (test size = 800). Table 3 reports the results of our LCSR, from which we can see that (1) all these three subnetworks achieve satisfactory performance. (2) Our LCSR framework further boosts the performance by fusing the multi-view information from these three networks, which implies that one can use our method to fuse any subnetworks in potential applications.

### **Parameter Analysis**

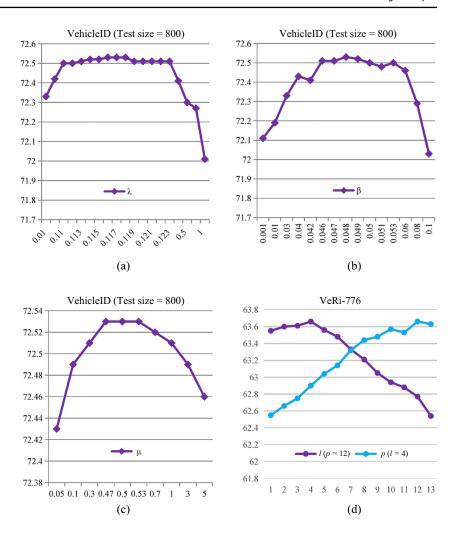
There are three key parameters in the process of Laplacianregularized correlative sparse ranking feature fusion. λ balances the  $\ell_2$ -norm reconstruction error and the  $\ell_1$ -norm sparsity constraint of the coefficients under the kth view.  $\beta$ balances the sparse reconstruction error and the Laplacian regularization constraints.  $\mu$  balances the Laplacian sparse reconstruction error and the pairwise correlation constraints. In this paper, we empirically set  $\lambda = 0.118$ ,  $\beta = 0.048$ , and  $\mu = 0.5$  to obtain the best performance. We conduct an experiment on the VehicleID dataset (test size = 800) with different parameters and report the performance in Fig. 7a, b, and c. From Fig. 7, we can see that the accuracy does not change significantly by varying  $\mu$  and  $\lambda$  in a hundred magnifications while  $\beta$  in a thousand magnifications, which demonstrates our model is not sensitive to these three parameters.

**Table 3** Evaluation of mAP and Rank1 on the proposed LCSR on other networks (in %)

Method	VeRi-776		VehicleID (800)	
	mAP	Rank1	mAP	Rank1
MobileNet [54]	48.37	87.30	31.12	23.51
GoogleNet [55]	49.39	83.90	55.42	51.87
ResNet-50 [56]	47.39	86.05	64.28	60.21
LCSR	58.01	88.97	68.82	65.06



**Fig. 7** Parameter analysis of our method (in %)



In addition, there are two key parameters in ECN reranking, where l represents the number of the top samples to the query q, and p indicates the nearest neighbors to each top samples. We evaluate these two parameters on VeRi-776 dataset and report the performance in Fig. 7d, which consistently demonstrates that ECN is not sensitive to these two parameters.

#### **Conclusion**

In this paper, inspired by multi-channel and sparse representation visual cognition of the human eyes, we discover the correlation between multi-view deep sparse features for vehicle Re-ID. In deep feature extraction, three CNN re-identification networks are trained to generate the multi-view deep features. Then, we propose the Laplacian-regularized correlative sparse ranking method to jointly learn the sparse coefficients for multi-view features. Furthermore, we re-rank the initial ranking via ECN distance to boost the recognition accuracy. Experimental

results on benchmark datasets VeRi-776 and VehicleID demonstrate the promising performance of our method. In the future, we shall further integrate the path and plate information for vehicle Re-ID.

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# **Compliance with Ethical Standards**

**Conflict of interest** The authors declare that they have no conflict of interest.

**Ethical approval** This article does not contain any studies with human participants or animals performed by any of the authors.



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