Logic for Computer Science - Week 2 The Syntax of Propositional Logic

1 The Formal Syntax of Propositional Logic

Recall from last lecture the formal syntax of propositional logic.

Propositional formulae will be strings (sequences of characters) over the alphabet of propositional logic.

The alphabet of propositional logic is simply a set of symbols. The symbols are categorised as follows:

- 1. $A = \{p, q, r, p', q_1, ...\}$ is an infinite set of *propositional variables* that we fix from the very beginning;
- 2. $\{\neg, \land, \lor\}$ is the set of logical connectives;
- 3. $\{(,)\}$ is the set of auxiliary symbols; in our case, it consists of two symbols called *brakets*.

The set $A \cup \{\neg, \land, \lor, (,)\}$ is called the alphabet of propositional logic. We call a set an alphabet in computers science when we will use the elements of the set to make words.

Here are a few examples of words over L:)) $p \lor \land$, $\lor \lor \neg(p)$, $\neg(p \lor q)$. Words, or strings, are simply sequences of symbols of the alphabet L. Some of these words will be formulae or, equivalently, well-formed formulae (wff). Some authors prefer to use the terminology "wff", but we will simply use "formula" by default in these lecture notes.

As an example, the last word above, $\neg(p \lor q)$ is a formula of propositional logic, but $\lor \lor \neg(p)$ is not. The following definition captures exactly the set of propositional formulae.

Definition 1.1 (The Set of Propositional Formulae (PL)). The set of formulae of propositional logic, denoted PL from hereon, is the smallest set of words over L satisfying the following conditions:

- 1. (Base Case) Any propositional variable, seen as a 1-symbol word, is in PL (equivalently, $A \subseteq PL$);
- 2. (Inductive Step i) If $\varphi \in PL$, then $\neg \varphi \in PL$ (equivalently, if the word φ is a propositional formula, then so is the word starting with the symbol \neg and continuing with the symbols in φ);

- 3. (Inductive Step ii) If $\varphi_1, \varphi_2 \in PL$, then $(\varphi_1 \vee \varphi_2) \in PL$ (equivalently, exercise);
- 4. (Inductive Step iii) If $\varphi_1, \varphi_2 \in PL$, then $(\varphi_1 \wedge \varphi_2) \in PL$ (equivalently, exercise).

Here are examples of elements of PL:

Here are examples of words not in PL:

$$pp \qquad q\neg q \qquad q \wedge \neg p \qquad p+q$$

The definition of the set PL is an example of an *inductive definition*. Such definitions are really important in computer science and it is a must to understand them very well. In inductive definitions of set, there are usually some base cases, which say what "base" elements are part of the set and some inductive cases, which explain how to obtain new elements of the set from old elements. Another important part of an inductive definition is the minimality constraint, which says that nothing other than what is provable by the base case(s) and the inductive case(s) belongs to the set. It can be shown that the above set PL exists and is unique, but the proof is beyond the scope of this course.

1.1 Showing That a Word Is In PL

We can show that a word belongs to PL by explaining how the rules of the inductive definition were applied. Here is an example of a proof that $\neg(p \lor q) \in PL$:

- 1. $p \in PL$ (by the Base Case, because $p \in A$);
- 2. $q \in PL$ (by the Base Case, as $q \in A$);
- 3. $(p \lor q) \in PL$ (by the Inductive Case ii, with $\varphi_1 = p$ and $\varphi_2 = q$);
- 4. $\neg(p \lor q) \in PL$ (by the Inductive Case i, with $\varphi = (p \lor q)$).

We can rearrange the above into an equivalent annotated construction tree for the formula $\neg(p \lor q)$:

$$\frac{\overline{p \in PL} \ \textit{Base Case}}{\frac{(p \lor q) \in PL}{\neg (p \lor q) \in PL}} \ \textit{Inductive Case ii}}_{} \ \textit{Inductive Case i}$$

You will see this notation several times in Computer Science and it is worth getting to know it. Each line is called an inference; below each line is the conclusion of the inference and above the lines are the hypotheses (0, 1 or more). Besides each lines is the name of the rule that was applied.

If we drop all annotation, we obtain the following bare *construction tree* for the formula:

$$\frac{\frac{\overset{-}{p}\quad \overset{-}{q}}{(p\vee q)}}{\frac{\neg(p\vee q)}{}}$$

It is easy to see that a word belongs to PL iff there is a construction tree for it.

1.2 The Main Connective of a Formula

A formula that consists of a single propositional variable, such as p or q, is called an *atomic formula*. This explains why the set A of propositional variables is called A (A stands for atomic).

More complex formulae, such as $\neg p$ or $p \lor q$, are called *molecular* (to distinguish them from atomic formulae).

Each molecular formula has a *main connective*, which is given by the last inference in its construction tree. For example, the main connective of the formula $\neg(p \lor q)$ is \neg (the negation), while the main connective of the formula $(\neg p \lor q)$, is \lor (the disjunction). We call formulae whose main connective is \land *conjunctions*. Similarly, if the main connective of a formula is a \lor , it is a *disjunction* and if the main connective is \neg , then it is a *negation*.

1.3 Showing That a Word Is Not in PL

It is somewhat more difficult (or rather more verbose) to show that a word is not in PL.

If the word is not over the right alphabet, such as the three-symbol word p+q, which uses a foreign symbol +, then we can easily dismiss it as PL only contains words over L.

However, if the word is over the right alphabet and we want to show that it is not part of PL, we must make use of the minimality condition. Here is an example showing that $(p\neg q) \notin PL$:

Let's assume (by contradiction) that $(p\neg q) \in PL$. Then, by the minimality condition, it follows that $(p\neg q) \in PL$ must be explained by one of the rules Base Case, Inductive Case i – iii.

But we cannot apply the Base Case to show that $(p\neg q) \notin PL$, since $(p\neg q) \notin A$.

But we cannot apply the Inductive Case i either, because there is no formula φ such that $(p\neg q) = \neg \varphi$ (no matter how we would choose $\varphi \in PL$, the first

symbol of the word on the lhs would be (, while the first symbol of the word on the rhs would be \neg).

But we cannot apply the Inductive Case ii either, because there are no formulae $\varphi_1, \varphi_2 \in PL$ such that $(p \neg q) = (\varphi_1 \lor \varphi_2)$ (no matter how we would choose $\varphi_1, \varphi_2 \in PL$, the word on the lhs would not contain the symbol \neg , while while the the word on the rhs would contain it).

By similar reasons, we cannot apply Inductive Case iii either.

But this contradicts our assumption and therefore it must be the case that $(p\neg q) \notin PL$.

1.4 Unique Readability

The definition of PL has an important property called *unique readability*:

Theorem 1.1 (Unique Readability of Propositional Formulae). For any word $\varphi \in PL$, there is a unique construction tree.

The property above is also sometimes called unambiguity of the grammar. Proving the Unique Readability Theorem is beyond the scope of the present lecture notes. Instead we will try to understand the importance of the property above by showing how an alternative, fictive, definition of the set PL would be ambiguous:

Beginning of Wrong Definition of PL.

Imagine that the Inductive Case ii would read:

:

3. (Inductive Step ii) If $\varphi_1, \varphi_2 \in PL$, then $\varphi_1 \vee \varphi_2 \in PL$.

:

The only difference would be that we do not place parantheses around disjunctions. With this alternative, fictive, definition of PL, we have that the word $\neg p \lor q \in PL$. However, this word has two different construction trees:

$$\frac{\stackrel{-}{p} \quad \stackrel{-}{q}}{\stackrel{-}{\neg p \lor q}} \quad \frac{\stackrel{-}{p}}{\stackrel{-}{\neg p}} \quad \stackrel{-}{q}$$

Such an ambiguity would be very troubling, because we would not know if $\neg p \lor q$ is a disjunction (if we would use the construction tree on the right) or a negation (the construction tree on the left). In fact, avoiding such syntactic ambiguities was the main reason why we left natural language and began studying formal logic.

End of Wrong Definition of PL.

I hope that you can now see that the Unique Readability Theorem is non-trivial (as an exercise to the more mathematically inclined students, I suggest that you try to prove it) and that it is a very important property of our definition of formulae, since it essential says that any propositional formula can be read unambiguously.

2 Functions Defined Inductively on PL

Reminder 2.1. Recall that when X is a set, by 2^X we denote the powerset of X, that is, the set of all subsets of X. For example, if $X = \{1, 2, 3\}$, then $2^X = \{\emptyset, \{1\}, \{2\}, \{3\}, \{1, 2\}, \{1, 3\}, \{2, 3\}, \{1, 2, 3\}\}$. When X is finite, note that $|2^X| = 2^{|X|}$, which partly explains this notation. You might have used the notation $\mathcal{P}(X)$ instead of 2^X in highschool.

Whenever a set is inductively defined, we can define recursive functions that operate on the elements of the set, function which make use of the *structure* of the elements.

Here is an example of a function computing the set of *subformulae* of a formula:

$$subf \colon PL \to 2^{PL}, \text{ defined by:}$$

$$subf(\varphi) = \begin{cases} \{\varphi\}, & \text{if } \varphi \in A; \\ \{\varphi\} \cup subf(\varphi'), & \text{if } \varphi = \neg \varphi' \text{ and } \varphi' \in PL; \\ \{\varphi\} \cup subf(\varphi_1) \cup subf(\varphi_2), & \text{if } \varphi = (\varphi_1 \land \varphi_2) \text{ and } \varphi_1, \varphi_2 \in PL; \\ \{\varphi\} \cup subf(\varphi_1) \cup subf(\varphi_2), & \text{if } \varphi = (\varphi_1 \lor \varphi_2) \text{ and } \varphi_1, \varphi_2 \in PL. \end{cases}$$

Here is how we can compute $subf(\varphi)$ when $\varphi = \neg(p \lor q)$:

$$\begin{aligned} \mathit{subf}\Big(\neg(p\vee q)\Big) &= \{\neg(p\vee q)\} \cup \mathit{subf}\Big((p\vee q)\Big) \\ &= \{\neg(p\vee q)\} \cup \{(p\vee q)\} \cup \mathit{subf}\Big(p\Big) \cup \mathit{subf}\Big(q\Big) \\ &= \{\neg(p\vee q)\} \cup \{(p\vee q)\} \cup \{p\} \cup \{q\} \\ &= \{\neg(p\vee q), (p\vee q), p, q\}. \end{aligned}$$

Notice that we use two different types of brackets in the computation above. On one hand, we have the brackets that surround the argument to the function subf, and on the other hand we have the usual brackets that are part of the alphabet L. The former brackets are the usual brakets in mathematics, while the later brackets are simply symbols in our alphabet. In order to differentiate between them, we adopt the convention to use bigger brakets for the function call and the usual brackets for the auxiliary symbols.

Note that both are important. For example, it would be an error to write $subf(p \lor q)$ instead of $subf((p \lor q))$, as the word $p \lor q \not\in PL$ is not a formula.

The function subf is the first in a long line of functions defined recursively on the structure of a formula $\varphi \in PL$. These functions are called structurally recursive.

It is very important to understand how such functions operate in order to understand the remainder of this course. Here are several more examples of such functions.

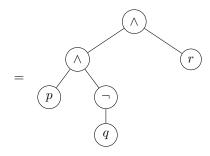
Here is an example of a function computing the *abstract syntax tree* of a formula:

 $ast: PL \rightarrow Trees$, defined by:

Here is the computation of the abstract syntax tree of the formula $((p \land \neg q) \land r)$.

$$ast\Big(((\mathbf{p} \land \neg \mathbf{q}) \land \mathbf{r})\Big) = \\ ast\Big((p \land \neg q)\Big) \\ = \\ ast\Big(p\Big) \\ ast\Big(\neg q\Big) \\ \\ = \\ p$$

$$ast\Big(q\Big)$$



Note that *Trees* is the set of labeled, rooted trees, considered here intuitively, without a formal definition.

Note that abstract syntax trees are very important. In fact, conceptually, a propositional formula is its abstract syntax tree. However, because writing trees is cumbersome, we have resort to the more conventional left-to-right notation.

Here are three more examples of functions defined by structural recursion on formulae.

The first function, $height: PL \to \mathbb{N}$, computes the height of the ast o formula:

$$height(\varphi) = \begin{cases} 1, & \text{if } \varphi \in A; \\ 1 + height(\varphi'), & \text{if } \varphi = \neg \varphi' \text{ and } \varphi' \in PL; \\ 1 + max(height(\varphi_1), height(\varphi_2)), & \text{if } \varphi = (\varphi_1 \land \varphi_2) \text{ and } \varphi_1, \varphi_2 \in PL; \\ 1 + max(height(\varphi_1), height(\varphi_2)), & \text{if } \varphi = (\varphi_1 \lor \varphi_2) \text{ and } \varphi_1, \varphi_2 \in PL. \end{cases}$$

The next function, $size: PL \to \mathbb{N}$, computes the size of the ast o formula (i.e. the number of nodes):

the number of nodes):
$$size(\varphi) = \begin{cases} 1, & \text{if } \varphi \in A; \\ 1 + size(\varphi'), & \text{if } \varphi = \neg \varphi' \text{ and } \varphi' \in PL; \\ 1 + size(\varphi_1) + size(\varphi_2), & \text{if } \varphi = (\varphi_1 \land \varphi_2) \text{ and } \varphi_1, \varphi_2 \in PL; \\ 1 + size(\varphi_1) + size(\varphi_2), & \text{if } \varphi = (\varphi_1 \lor \varphi_2) \text{ and } \varphi_1, \varphi_2 \in PL. \end{cases}$$

The final example function, $prop: PL \to 2^A$, compute the set of propositional variables occurring in a formula:

$$prop(\varphi) = \begin{cases} \{\varphi\}, & \text{if } \varphi \in A; \\ prop(\varphi'), & \text{if } \varphi = \neg \varphi' \text{ and } \varphi' \in PL; \\ prop(\varphi_1) \cup prop(\varphi_2), & \text{if } \varphi = (\varphi_1 \land \varphi_2) \text{ and } \varphi_1, \varphi_2 \in PL; \\ prop(\varphi_1) \cup prop(\varphi_2), & \text{if } \varphi = (\varphi_1 \lor \varphi_2) \text{ and } \varphi_1, \varphi_2 \in PL. \end{cases}$$

Make sure that you are proficient at understanding, defining and computing with functions such as those given above.

2.1 Proofs by Structural Induction

We will sometimes do proofs by *structural induction*. You are already familiar with proofs by induction on the naturals.

In order to prove a theorem of the form

For all $n \in \mathbb{N}$, it is true that P(n),

the mathematical induction principle postulates that it is sufficient to show that:

- 1. (Base Case) P(0) holds;
- 2. (Induction Case) P(k) implies P(k+1), for all $k \in \mathbb{N}$.

Proofs by structural induction generalize the principle above to any inductively defined set such as PL.

For the case of PL, the structural induction principle is that, in order to show a theorem of the form

For any propositional formula $\varphi \in PL$, it is true that $P(\varphi)$,

it is sufficient to prove that:

- 1. (Base Case) $P(\varphi')$ holds for any atomic formula $\varphi' \in A$ (otherwise put, the property P holds of every atomic formula);
- 2. (Inductive Case i) $P(\neg \varphi')$ holds whenever $P(\varphi')$ holds;
- 3. (Inductive Case ii) $P((\varphi_1 \vee \varphi_2))$ holds whenever $P(\varphi_1)$ and $P(\varphi_2)$ hold;
- 4. (Inductive Case iii) $P((\varphi_1 \wedge \varphi_2))$ holds whenever $P(\varphi_1)$ and $P(\varphi_2)$ hold.

Here is an example of a theorem and its proof by structural induction:

Theorem 2.1 (Example of Theorem Provable by Structural Induction). For any propositional formula φ , we have that $height(\varphi) \leq size(\varphi)$.

Proof. We proceed by structural induction (the property $P(\varphi)$ is simply that $height(\varphi) \leq size(\varphi)$). It is sufficient to show that:

- 1. (Base Case) $height(\varphi') \leq size(\varphi')$ for any propositional variable $\varphi' \in A$. But by definition, $height(\varphi') = 1$ and $size(\varphi') = 1$ when $\varphi' \in A$. And $1 \leq 1$, which is what we had to show.
- 2. (Inductive Case i) Assuming that $height(\varphi') \leq size(\varphi')$, we show that $height(\neg \varphi') \leq size(\neg \varphi')$.

But by definition, $height(\neg \varphi') = 1 + height(\varphi')$ and $size(\neg \varphi') = 1 + size(\varphi')$. And $1 + height(\varphi') \le 1 + size(\varphi')$ (what we had to show), as we already know $height(\varphi') \le size(\varphi')$.

3. (Inductive Case ii) Assuming that $height(\varphi_1) \leq size(\varphi_1)$ and $height(\varphi_2) \leq size(\varphi_2)$, we show $height((\varphi_1 \vee \varphi_2)) \leq size((\varphi_1 \vee \varphi_2))$.

But, by definition, $height((\varphi_1 \vee \varphi_2)) = 1 + max(height(\varphi_1), height(\varphi_2))$ and, as $max(a,b) \leq a+b$ (for any naturals $a,b \in \mathbb{N}$), we have that $height((\varphi_1 \vee \varphi_2)) \leq 1 + height(\varphi_1) + height(\varphi_2)$. But $height(\varphi_i) \leq size(\varphi_i)$ ($1 \leq i \leq 2$) by our hypothesis, and therefore $height((\varphi_1 \vee \varphi_2)) \leq 1 + size(\varphi_1) + size(\varphi_2)$. But, by definition, $size((\varphi_1 \vee \varphi_2)) = 1 + size(\varphi_1) + size(\varphi_2)$, and therefore $height((\varphi_1 \vee \varphi_2)) \leq size((\varphi_1 \vee \varphi_2))$, what we had to prove.

4. (Inductive Case iii) Similar to Inductive Case ii.

3 Semantics of Propositional Logic

The set $B = \{0, 1\}$ is called the set of boolean values (also called truth values). The value 0 denotes falsehood and the value 1 denotes truth.

The function – : $B \to B$ is called *logical negation* and is defined as follows: $\overline{0}=1$ and $\overline{1}=0$.

The function $+: B \times B \to B$ is called *logical disjunction* and is defined as follows: 0 + 0 = 0, 0 + 1 = 1, 1 + 0 = 1, 1 + 1 = 1.

The function $\cdot: B \times B \to B$ is called *logical conjunction* and is defined as follows: $0 \cdot 0 = 0, 0 \cdot 1 = 0, 1 \cdot 0 = 1, 1 \cdot 1 = 1$.

The tuple $(B, +, \cdot, -)$ is a boolean algebra.

3.1 Assignments

A truth assignment (or simply assignment from hereon) is any function τ : $A \to B$. In other words, an assignment is a function that associates to any propositional variable a truth value.

Example 3.1. Let $\tau_1: A \to B$ be a function defined as follows:

- 1. $\tau_1(p) = 1$;
- 2. $\tau_1(q) = 0$;
- 3. $\tau_1(\mathbf{r}) = 1$;
- 4. $\tau_1(a) = 0$ for all $a \in A \setminus \{p, q, r\}$.

As it is a function from A to B, τ_1 is a truth assignment.

Example 3.2. Let $\tau_2: A \to B$ be a function defined as follows:

- 1. $\tau_2(p) = 0$;
- 2. $\tau_2(q) = 0$;
- 3. $\tau_2(\mathbf{r}) = 1$;
- 4. $\tau_2(a) = 1$ for all $a \in A \setminus \{p, q, r\}$.

As it is a function from A to B, τ_2 is also a truth assignment.

Example 3.3. Let $\tau_3: A \to B$ be a function defined as follows:

1.
$$\tau_3(a) = 0$$
 for all $a \in A$.

As it is a function from A to B, τ_3 is also a truth assignment.

3.2Truth Value of A Formula in An Assignment

The truth value of a formula φ in an assignment τ is denoted by $\hat{\tau}$ and is defined recursively as follows:

$$\hat{\tau}(\varphi) = \begin{cases}
\frac{\tau(\varphi),}{\hat{\tau}(\varphi')}, & \text{if } \varphi \in A; \\
\hat{\tau}(\varphi'), & \text{if } \varphi = \neg \varphi' \text{ and } \varphi' \in PL; \\
\hat{\tau}(\varphi_1) \cdot \hat{\tau}(\varphi_2), & \text{if } \varphi = (\varphi_1 \land \varphi_2) \text{ and } \varphi_1, \varphi_2 \in PL; \\
\hat{\tau}(\varphi_1) + \hat{\tau}(\varphi_2), & \text{if } \varphi = (\varphi_1 \lor \varphi_2) \text{ and } \varphi_1, \varphi_2 \in PL.
\end{cases}$$

assignment $\tau:A\to B$ to the entire set of formulae PL, but do not feel obligated to recall this name.

Here is an example of how to compute the truth value of the formula $(p \lor q)$ in the truth assignment τ_1 :

$$\begin{split} \hat{\tau}_1\Big((p\vee q)\Big) &= \hat{\tau}_1\Big(p\Big) + \hat{\tau}_1\Big(q\Big) = \tau_1(p) + \tau_1(q) = 1 + 0 = 1. \\ \text{We conclude that the truth value of } (p\vee q) \text{ in } \hat{\tau}_1 \text{ is } 1. \end{split}$$

Here is another example, where we compute the truth value of the formula $\neg(p \land q)$ in the truth assignment τ_1 :

$$\hat{\tau}_1\Big(\neg(p \land q)\Big) = \overline{\hat{\tau}_1\Big((p \land q)\Big)} = \overline{\hat{\tau}_1\Big(p\Big) \cdot \hat{\tau}_1\Big(q\Big)} = \overline{\tau_1(p) \cdot \tau_1(q)} = \overline{1 \cdot 0} = \overline{0} = 1.$$
We conclude that the truth value of the formula $\neg(p \land q)$ in τ_1 is 1.

Here is yet another example, where we compute the truth value of the formula $\neg \neg q$ in the truth assignment τ_2 :

$$\hat{\tau}_2\left(\neg\neg \mathbf{q}\right) = \overline{\hat{\tau}_2\left(\neg \mathbf{q}\right)} = \overline{\hat{\tau}_2\left(\mathbf{q}\right)} = \overline{\overline{\tau}_2\left(\mathbf{q}\right)} = \overline{\overline{\tau}_2\left(\mathbf{q}\right)} = \overline{\overline{0}} = \overline{1} = 0.$$
So the truth value of $\neg\neg\mathbf{q}$ in τ_2 is 0.

Remark 3.1. Important!

It does not make sense to say "the truth value of a formula". It makes sense to say "the truth value of a formula in an assignment".

Also, it does not make sense to say "the formula is true" or "the formula is false". Instead, it makes sense to say "this formula is true/false in this assignment".

This is because a formula could be true in an assignment but false in another. For example, the formula $\neg \neg p$ is true in τ_1 , but false in τ_2 .

An assignment τ satisfies φ if $\hat{\tau}(\varphi) = 1$. Instead of τ satisfies φ , we may equivalently say any of the following:

- 1. τ is a model of φ ;
- 2. τ is true of φ ;
- 3. φ holds in/at τ ;
- 4. τ makes φ true;