Polynomials in Haskell

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Univariate polynomials

Definition

A polynomial is

- either a monomial $c \cdot x^k$ for $k \ge 0$,
- or a sum of two polynomials.
- $2x^3$, x^2 , 4 are polynomials.
- 1/x is not a polynomial.
- $4 + 2x^3$ and $x + x^2$ are polynomials as well.
- Polynomials can be added and multiplied.

$$(x+1) + (x-1) = 2x,$$

 $(x+1) \times (x-1) = x^2 - 1.$

Why Haskell?

• Question:

There are efficient Fortran libraries for polynomial manipulations. Can we use them?

- Answer:
 Not really, Fortran libraries are mostly tied to Double.
- My main applications are algebra and cryptography, where coefficients are discrete (think of Integer and modular arithmetic).
- We would like our implementation of polynomials to be polymorphic in coefficients.
- Haskell has a good track record for polymorphism, but a modest one for performance.

Haskell translation

```
data Poly a
  = X { power :: Word, coeff :: a }
  | Poly a :+ Poly a
  deriving (Eq)
instance Num a \Rightarrow Num (Poly a) where
  fromInteger n = X 0 (fromInteger n)
  (+) = (:+)
  (x :+ y) * z = (x * z) :+ (y * z)
  x * (y :+ z) = (x * y) :+ (x * z)
  X k c * X 1 d = X (k + 1) (c * d)
```

Beautiful, innit?

However polynomial addition, as defined, is not associative:

$$x + (y + z) \neq (x + y) + z.$$

Fixing associativity

- There are some basic blocks (monomials).
- There is an associative operation (addition)
 with a neutral element (monomial with zero coefficient).
- Thus, polynomials are monoids over monomials.
- Lists are free monoids, let's use them to model a non-free one.

```
data Mono a = X { power :: Word, coeff :: a }
  deriving (Eq, Ord)
mul :: Num a ⇒ Mono a → Mono a → Mono a
mul (X k c) (X l d) = X (k + 1) (c * d)

newtype Poly a = Poly [Mono a] deriving (Eq)
instance Num a ⇒ Num (Poly a) where
Poly xs + Poly ys = Poly (xs <> ys)
Poly xs * Poly ys = foldl (+) (Poly [])
  (map (\x → Poly (map (mul x) ys)) xs)
```

Better?

Fixing commutativity

Not quite: polynomial addition, as defined, is not commutative:

$$x + y \neq y + x$$
.

Commutativity means that all rearrangements of [Mono a] must be equivalent.

```
data Mono a = X { power :: Word, coeff :: a }
  deriving (Eq, Ord)

newtype Poly a = Poly [Mono a]
instance Ord a ⇒ Eq (Poly a) where
  (==) = (==) 'on' sort
```

No! Structural equality is too important to be sacrificed.

Sorted lists

```
newtype Sorted a = Sorted { unSorted :: [a] }
sorted :: [a] \rightarrow Sorted a
sorted = Sorted . sort
merge :: Ord a \Rightarrow [a] \rightarrow [a] \rightarrow [a]
merge xs [] = xs
merge [] ys = ys
merge (x : xs) (y : ys)
  | x \leq y = x : merge xs (y : ys)
  | otherwise = y : merge (x : xs) ys
```

All sorted?

Not yet: we would also expect that x + x = 2x and 0x = 0.

Final touches

```
merge :: (Eq a, Num a) \Rightarrow [Mono a] \rightarrow [Mono a] \rightarrow [Mono a]
merge xs [] = xs
merge [] ys = ys
merge (X k c : xs) (X l d : ys) = case k 'compare' l of
  LT \rightarrow X k c : merge xs (X l d : ys)
  EQ \rightarrow case c + d of
    0 \rightarrow \text{merge xs ys}
    e \rightarrow X k e : merge xs ys
  GT \rightarrow Y 1 d : merge (X k c : xs) (ys)
newtype Poly a = Poly [Mono a] deriving (Eq)
instance (Eq a, Num a) \Rightarrow Num (Poly a) where
  Poly xs + Poly ys = Poly (xs 'merge' ys)
  Poly xs * Poly ys = foldl (+) (Poly [])
     (map (\x \rightarrow Poly (map (mul x) ys)) xs)
```

Structural equality is restored!

Memory representation

- Mono a takes 5+ words: tag, pointer to a power and its value, pointer to a coefficient and its value.
- [Mono a] takes 8+ words per monomial.
- If a is Double or Int, this is very expensive.
- Lazy lists accumulate long sequence of thunks, especially in multiplication.
- Solution: use vectors!
- Shall we use boxed or unboxed vectors?
- Solution: be polymorphic by vector flavour.

```
newtype Poly v a = Poly (v (Word, a)) deriving (Eq) instance (Eq a, Num a, Vector v (Word, a)) \Rightarrow Num (Poly v a)
```

Vectors make polynomial arithmetic 20× faster!

Dense polynomials

- We arrived to Poly a ~ [Mono a] by choosing a sorted list as a canonical representative of a class of equivalent polynomials.
- Another choice of canonical representative is a polynomial of the same degree, but with monomials for all powers present.
- ullet It's enough to store coefficients alone: Poly a \sim [a].
- This representation allows to implement asymptotically-superior algorithms:
 - Karatsuba multiplication $O(n^{1.585})$,
 - fast Fourier transform $O(n \log n)$.

length	${\tt polynomial}, \mu {\tt s}$	poly, μs	speedup
100	1733	33	52×
1000	442000	1456	$303 \times$

User interface targeting REPL

- We need a nice way to input and output polynomials.
- What about deriving (Show, Read)?
- Derived Show looks nowhere close to a mathematical expression.

Poly
$$\{unPoly = [(0,2),(1,-3),(2,1)]\}$$

• What about a hand-written Show?

```
> :set -XOverloadedLists
> [(2,1), (1,-3), (0,2)] :: VPoly Int
1 * X^2 + (-3) * X + 2
```

- But writing correspondent Read would be abysmal!
- There is no point to support

read
$$"X^2 - 3 * X + 2"$$

if one can define X as a pattern and write immediately

$$X^2 - 3 * X + 2 :: Poly Int$$

Division with remainder

 We defined addition and multiplication, and subtraction poses no problem. What about division?

quotRem
$$x^2 x = (x,0)$$
,
quotRem $(x^2 + 1) x = (x,1)$,
quotRem $(x^2 + 1) (x + 1) = (x - 1,2)$.

Things get difficult for integral coefficients:

quotRem
$$x \ 2.0 = (0.5x, 0),$$

quotRem $x \ 2 = ?$

quotRemPoly

:: Fractional a

 \Rightarrow Poly a \rightarrow Poly a \rightarrow (Poly a, Poly a) quotRemPoly = <long division algorithm>

Greatest common divisor

 Usually gcd is computed using Euclid's algorithm, which involves repeated quotRem:

$$gcd(70,25) = gcd(25,20) = gcd(20,5) = 5.$$

- Is it possible to implement gcd without access to quotRem? E. g., $gcd(2x^2 - 2, 5x + 5) = x + 1$.
- It appears that having access to gcd on coefficients is enough to implement gcd for polynomials.
- Multiply, not divide!

$$\begin{split} \gcd(2x^2-2,5x+5) \sim \gcd(10x^2-10,10x+10) \sim \\ \sim \gcd(10x^2-10-x(10x+10),10x+10) \sim \\ \sim \gcd(-10x-10,10x+10) \sim 10x+10. \end{split}$$

What's wrong with Integral?

```
class (Real a, Enum a) \Rightarrow Integral a where quotRem :: a \rightarrow a \rightarrow (a, a) toInteger :: a \rightarrow Integer .... gcd :: Integral a \Rightarrow a \rightarrow a \rightarrow a
```

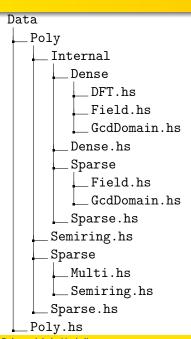
- Restrictive superclasses, which has nothing to do with quotRem.
- Obnoxious toInteger, which coupled with Num.fromInteger means that only subrings of Integer can be Integral.
- Function gcd is constrained to domains, allowing division with reminder, which is too restrictive for polynomials.

Integral done right

```
class Num a \Rightarrow GcdDomain a where
  gcd :: a \rightarrow a \rightarrow a
  default gcd :: (Eq a, Euclidean a) \Rightarrow a \rightarrow a \rightarrow a
class GcdDomain a \Rightarrow Euclidean a where
  quotRem :: a \rightarrow a \rightarrow (a, a)
instance GcdDomain a \Rightarrow GcdDomain (Poly a) where
   . . .
instance Fractional a \Rightarrow Euclidean (Poly a) where
   . . .
```

Project structure

- All actual code is in Internal subtree.
- Public, user-facing modules are just dummies with re-exports.
- This approach helps a lot with circular dependencies between modules.



Package poly

- Polynomials, polymorphic by coefficients and containers.
- Full-featured:
 - Dense and sparse representations.
 - Laurent polynomials, allowing negative powers.
 - Type-safe polynomials over many variables.
 - Special polynomial sequences.
- GC- and cache-friendly implementation.
- Unrivaled performance amongst Haskell native packages.
- 1000+ tests, 98% test coverage.

Thank you!

- github.com/Bodigrim/poly github.com/Bodigrim/my-talks