

## First-order logic with dependent sorts

Terminology:  $L$  a category,  $K, K_p, \dots$  objects of  $L$   
 ( $K$  for 'kind')

$\boxed{\dim(K)}$  = largest  $n \in \mathbb{N}$  such that

there exists:

$$K = K_n \xrightarrow[\neq 1]{f_n} K_{n-1} \xrightarrow[\neq]{f_{n-1}} \dots \xrightarrow[\neq 1]{f_1} K_0$$

of proper (= non-identity) arrows  $f_i$ .

If no such  $n$ ,  $\dim(K) = \infty$ .

$L$  is a (FOLDS-) signature if for all  $K \in \text{Ob}(L)$ :

1)  $\dim(K) < \infty$

2)  $\tilde{K} = L(K, -) : L \rightarrow \text{Set}$  (covariant representable)

is a finite functor ( $\text{el}(\tilde{K})$ , the category of elements of  $\tilde{K}$ ) has finitely many objects

Consequences:  $L$  is 1-way:  $\text{End}(K) = \{1_K\}$

and more generally

$$K \xrightarrow[\neq 1]{p} K_p \text{ proper} \Rightarrow \dim(K) > \dim(K_p)$$

$L$ -structure :  $M : L \rightarrow \text{Set}$  functor

(or:  $M : L \rightarrow \text{SET}$ )

We write  $\boxed{\text{Str}(L)}$  for the functor category  $\text{Set}^L$

Three examples to be discussed:

$\boxed{L_{\text{cat}}}$

'cat' for 'category'

$\boxed{L_{\text{absset}}}$

'absset' for 'abstract set'

$\boxed{L_{\text{sanafun}}}$

'sanafun' for 'sanafunctor'  
(saturated ana functor)

Re-reading turns a classical structure into

an  $L$ -structure:

$C$  category  $\xrightarrow{} M(C) \in \text{Str}(L_{\text{cat}})$

$A$  concrete category (discrete iso fibration  $A \downarrow a$  )

$\xrightarrow{} M(A) \in \text{Str}(L_{\text{absset}})$

free-living functor  $X \xrightarrow{F} A$

$\xrightarrow{} M(F) \in \text{Str}(L_{\text{sanafun}})$

## L-equivalence

Equ □

( $L, K, K'$ , ... as before)

$\overset{\circ}{K} : L \rightarrow \text{Set}$  is the functor, subfunctor  
of  $\tilde{K} = L(K, -)$ , for which  $\text{Ob}(\text{el } \overset{\circ}{K}) = \text{Ob}(\text{el } \tilde{K})$   
 $= \{(K, i_K)\}$

$\overset{\circ}{K} \xrightarrow{i_K} \tilde{K}$  : "sphere into ball"  
inclusion

Let  $P, M \in \text{Str}(L)$ ,  $\underline{l} : P \rightarrow M$  (nat. transf.)

Definition  $\underline{l}$  is fiberwise surjective (FS)

if, for all  $K \in \text{Ob}(L)$ ,  $\underline{l}$  has the  
right lifting property wrt  $i_K$

$$\begin{array}{ccc} \overset{\circ}{K} & \xrightarrow{\alpha} & P \\ i_K \downarrow & \parallel \downarrow l & \downarrow \\ \tilde{K} & \xrightarrow{a} & M \end{array} \Rightarrow \exists \bar{a} : K \rightarrow P \quad \begin{array}{ccc} \overset{\circ}{K} & \xrightarrow{\alpha} & P \\ i_K \downarrow & \nearrow \bar{a} \equiv a & \downarrow l \\ K & \xrightarrow{a} & M \end{array}$$

$$l\alpha = a i_K$$

$$\alpha = \bar{a} i_K, a = l \bar{a}$$

I'd say "trivial fibration" if there were "fibrations",  
(fibrations may come later)

As a consequence, if  $\ell$  is FS

EQN [1.1]

it has the RLP wrt to all monomorphisms

in  $\text{Str}(L)$ :

$$\begin{array}{ccc} X & \xrightarrow{\alpha} & P \\ i \downarrow \text{mono} & \parallel \downarrow \ell & \Rightarrow \exists \bar{a}: i \downarrow \text{``"} \bar{a} \nearrow \ell \\ Y & \xrightarrow[\alpha]{} & M \end{array}$$

$$\begin{array}{ccc} X & \xrightarrow{\alpha} & P \\ i \downarrow \text{``"} \bar{a} \nearrow \ell & & \downarrow \ell \\ Y & \xrightarrow[\alpha]{} & M \end{array}$$

This is because the class of monomorphisms is the Gabriel-Zisman saturation of the sphere-inclusions.

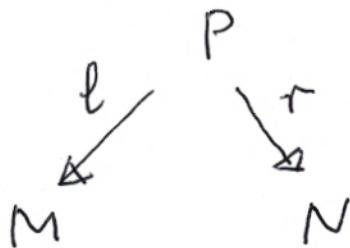
Let  $M, N \in \text{Str}(L)$ :

Eqn [2]

An  $L$ -equivalence  $\underline{P}$  of  $M$  and  $N$

$$\boxed{\underline{P} : M \cong_L N}$$

$\therefore \underline{P} = (P, \ell, r) \circ$



with both  $\ell$  and  $r$  being FS.

$$M \cong_L N \stackrel{\text{def}}{\Leftrightarrow} \exists \underline{P} : P \circ M \cong_L N$$

More generally: let  $X \in \text{Set}^L$ , and

$$M \xleftarrow{\alpha} X \xrightarrow{\beta} N$$

Usually,  $X$  will be finite.  $X$  is a context/system of typed variables,  $\alpha$  is an evaluation of  $X$  in  $M$ , similarly for  $\beta$ . We also say  $\alpha$  is an  $X$ -element of  $M$ .

Write:  $\boxed{\underline{P} : (M, \alpha) \cong_L (N, \beta)}$  if

$$\underline{P} : M \cong_L N$$

and (next page)

EQ4 [3]

... and there exists  $g: X \rightarrow P$  s.t.

$$\begin{array}{ccc}
 & P & \\
 l \swarrow & \uparrow g & \searrow r \\
 M & \equiv & N \\
 \downarrow \alpha & \nearrow \beta & \\
 X & &
 \end{array}
 \quad \begin{aligned}
 \alpha &= lg \\
 \beta &= rg
 \end{aligned}$$

$(M, \alpha)$ ,  $(N, \beta)$ : "augmented structures"

Fact:  $\simeq_L$  is an equivalence relation on  
augmented structures (plain structures  
included:  
 $X = \emptyset$ )

### Equivalence transfer:

Suppose  $M \xleftarrow{\alpha} X \xrightarrow{\beta} N$

$$(M, \alpha) \simeq_L (N, \beta)$$

$X \xrightarrow{i}$  Y monomorphism

$Y \xrightarrow{\bar{\alpha}} M$  extending  $\alpha$ :  $\alpha = \bar{\alpha}i$

Then: There is

$Y \xrightarrow{\bar{\beta}} N$  extending  $\beta$ :  $\beta = \bar{\beta}i$

such that  $(M, \bar{\alpha}) \simeq_L (N, \bar{\beta})$ .

Equ 4

because: we have

$$(P, \ell, r) : (M, \alpha) \xrightarrow{L} (N, \beta)$$

and

$$X \xrightarrow{\gamma} P \text{ such that}$$

$$\begin{array}{ccc} & P & \\ \ell \swarrow & & \searrow r \\ M & \xrightleftharpoons[\alpha]{\gamma} & N \\ \downarrow & & \downarrow \\ X & & \end{array}$$

is commutative

$$\begin{array}{ccc} X & \xrightarrow{\gamma} & P \\ i \downarrow & \text{"} & \downarrow l \text{ commutes since } \ell \gamma = \alpha = \bar{\alpha} i \\ Y & \xrightarrow{\bar{\alpha}} & M \end{array}$$

$\therefore$  since  $i$  is a mon, there is a diagonal  $\bar{\gamma} : Y \rightarrow P$   
such that  $\gamma = \bar{\gamma}i$  &  $\bar{\alpha} = \ell \bar{\gamma}$ .

$$\begin{array}{ccc} & P & \\ \ell \swarrow & & \searrow r \\ M & \xleftarrow[\bar{\alpha}]{\bar{\gamma}} & N \\ \downarrow & \nearrow \beta & \uparrow \bar{\gamma} \\ X & & \end{array}$$

commutes: let  $\bar{\beta} \stackrel{\text{def}}{=} r \bar{\gamma}$ .  $\therefore \bar{\beta} = \bar{\alpha} \bar{\beta} = \bar{\alpha} r \bar{\gamma} = \beta$

$$\begin{array}{ccc} & P & \\ \ell \swarrow & & \searrow r \\ M & \xleftarrow[\bar{\alpha}]{\bar{\gamma}} & N \\ \downarrow & \nearrow \beta & \uparrow \bar{\beta} \\ X & & \end{array}$$

is commutative.  $\square$

EQ 4 [5]

Equivalence transfer is used  
 to show the soundness of L-equivalence  
 wrt FOLDS properties of (augmented)  
 structures: for a FOLDS formula  $\varphi(X)$ ,

$$(M, \alpha) \simeq_L (N, \beta) \text{ & } M \models \varphi[\alpha/X] \rightarrow N \models \varphi[\beta/X]$$

(with  $i: X \rightarrow Y$ , think of the quantifiers  
 $\exists_i, \forall_i$ ; see later!)

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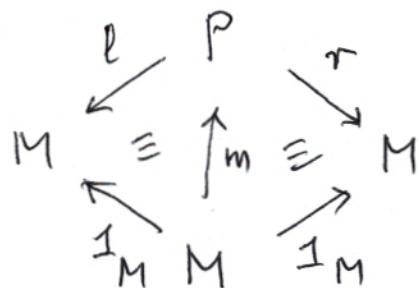
### Intrinsic L-equivalence

$L$ : FOLDS signature,  $M$ : L-structure

The self-equivalence  $P = (P, \ell, r): M \simeq_L M$  of  $M$

extends the identity if there is  $m: M \rightarrow P$

such that  $\ell m = rm = 1_M$ :



The  $X$ -elements  $\alpha, \beta$  of  $M$ :

EQ 4 [6]

$$\begin{array}{ccc} X & \xrightarrow{\alpha} & M \\ & \xrightarrow{\beta} & \end{array}$$

are intrinsically (L-)equivalent if

there exists  $P : (M, \alpha) \simeq_L (M, \beta)$  extending  
the identity.

Notation:  $P : \alpha \underset{\text{int}}{\simeq} \beta$ ,  $\alpha \underset{\text{int}}{\simeq} \beta$ .

In the 'usual' cases, intrinsic equivalence  
is the "expected" relation.

For  $C$  a category,  $x, y$  objects of  $C$

$x \underset{\text{int}}{\simeq} y$  in  $M(C) \in \text{Str}(L_{\text{cat}})$

iff  $x \cong y$  (isomorphism) in  $C$ .

More generally, if  $X$  is a graph, or even  
a 'category sketch' (with some triangles in the graph  
marked commutative, some arrows as identities)

then  $X \xrightarrow{\alpha} C$  are intrinsically equivalent in  $M(C)$

(a structure over  $L_{\text{cat}}$ ) iff they are isomorphic

Equ (7)

as objects of the diagram category  $\mathcal{C}^X$ .

For any signature  $L$ ,  $X \xrightarrow{\alpha} M \xrightarrow{\beta}$

and  $\varphi(X)$  a  $\text{FOLDS}(L)$ -formula

then:  $\alpha \simeq_{\text{int}} \beta \ \& \ M \models \varphi[\alpha/X] \Rightarrow M \models \varphi[\beta/X]$ .

As a special case of the soundness of  $L$ -equivalence.  
This is the ~~introduction~~

In the case of  $L = L_{\text{abstract}}$  and  $M \in \text{Str}(L)$

~~satisf~~ being a model of the ~~set~~

"minimal theory of abstract sets",  $\Sigma_{\min}$

(essentially),  $M \cong_L M(A)$  for a concrete

category  $A = (A, a; A \rightarrow \text{Set})$

$\alpha \simeq_{\text{int}} \beta$  is the same as isomorphism:

$\alpha \cong \beta$

Thus:  $\alpha \cong \beta \ \& \ M \models \varphi[\alpha/X] \Rightarrow M \models \varphi[\beta/X]$

"Abstract set theory is Bourbakiian"