

# Fault Tolerance



# Fault Tolerance

- ▶ **Fault tolerance** is the ability of a distributed system to provide its services even in the presence of faults.
- ▶ A distributed system should be able to recover automatically from partial failures without seriously affecting availability and performance.

# Fault Tolerance: Basic Concepts (1)

- ▶ Being fault tolerant is strongly related to what are called dependable systems **Dependability** implies the following:
  - ▶ Availability
  - ▶ Reliability
  - ▶ Safety
  - ▶ Maintainability
- ▶ **In-class Exercise:** How is availability different from reliability? How about a system that goes down for 1 millisecond every hour? How about a system that never goes down but has to be shut down two weeks every year?

## Fault Tolerance: Basic Concepts (2)

- ▶ A system is said to **fail** when it cannot meet its promises. For example, if it cannot provide a service (or only provide it partially).
- ▶ An **error** is a part of the system's state that may lead to failure. The cause of an error is called a **fault**.
- ▶ Types of faults:
  - ▶ **Transient**: Occurs once and then disappears. If a operation is repeated, the fault goes away.
  - ▶ **Intermittent**: Occurs, then vanishes of its own accord, then reappears and so on.
  - ▶ **Permanent**: Continues to exist until the faulty component is replaced.
- ▶ **In-class Exercise**: Give examples of each type of fault for your project!

# Failure Models

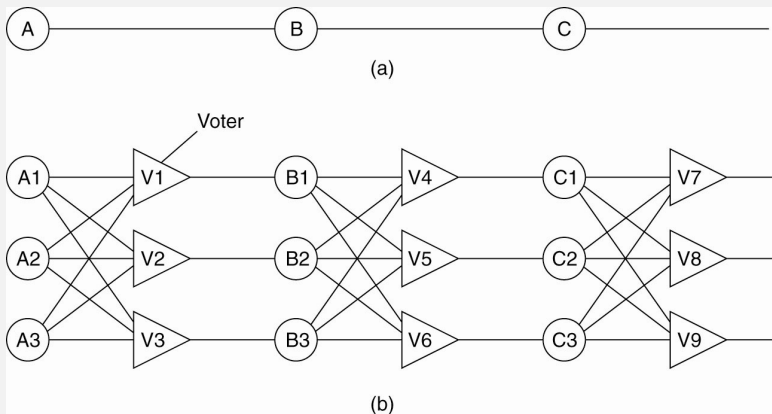
Type of failure	Description
Crash failure	A server halts, but is working correctly until it halts
Omission failure <i>Receive omission</i> <i>Send omission</i>	A server fails to respond to incoming requests A server fails to receive incoming messages A server fails to send messages
Timing failure	A server's response lies outside the specified time interval
Response failure <i>Value failure</i> <i>State transition failure</i>	A server's response is incorrect The value of the response is wrong The server deviates from the correct flow of control
Arbitrary failure	A server may produce arbitrary responses at arbitrary times

- ▶ **Fail-stop** versus **fail-silent**.
- ▶ **Byzantine Failures:**
  - ▶ Arbitrary failures where a server is producing output that it should never have produced, but which cannot be detected as being incorrect.
  - ▶ A faulty server may even be working with other servers to produce intentionally wrong answers!

# Failure Masking by Redundancy

- ▶ **Information Redundancy**. For example, adding extra bits (like in Hamming Codes, see the book Coding and Information Theory) to allow recovery from garbled bits.
- ▶ **Time Redundancy**. Repeat actions if need be.
- ▶ **Physical Redundancy**. Extra equipment or processes are added to make the system tolerate loss of some components.

# Failure Masking by Physical Redundancy

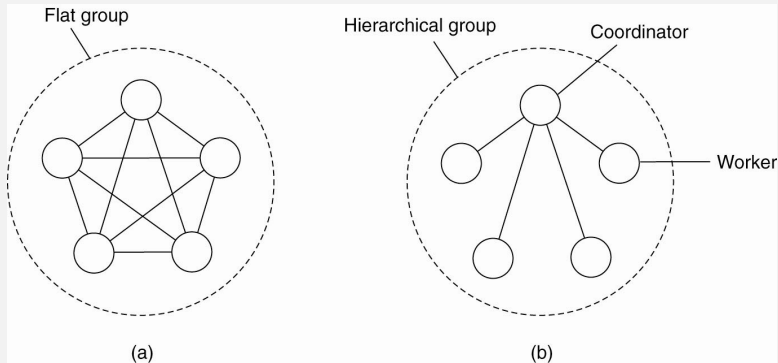


Achieved by replicating processes into groups.

- ▶ How to design fault-tolerant groups?
- ▶ How to reach an agreement within a group when some members cannot be trusted to give correct answers?



# Flat Groups Versus Hierarchical Groups



# Failure Masking Via Replication

- ▶ *Primary-backup protocol*. A primary coordinates all write operations. If it fails, then the others hold an election to replace the primary.
- ▶ *Replicated-write protocols*. Active replication as well as quorum based protocols. Corresponds to a flat group.
- ▶ A system is said to be ***k* fault tolerant** if it can survive faults in *k* components and still meet its specifications.
  - ▶ For *fail-silent* components,  $k + 1$  are enough to be *k* fault tolerant.
  - ▶ For *Byzantine failures*, at least  $2k + 1$  extra components are needed to achieve *k* fault tolerance.
  - ▶ Requires atomic multicasting: all requests arrive at all servers in same order. This can be relaxed to just be for write operations.

# Agreement in Faulty Systems (1)

The general goal of **distributed agreement algorithms** is to have all the non-faulty processes reach consensus on some issue, and to establish that consensus within a finite number of steps. Possible cases:

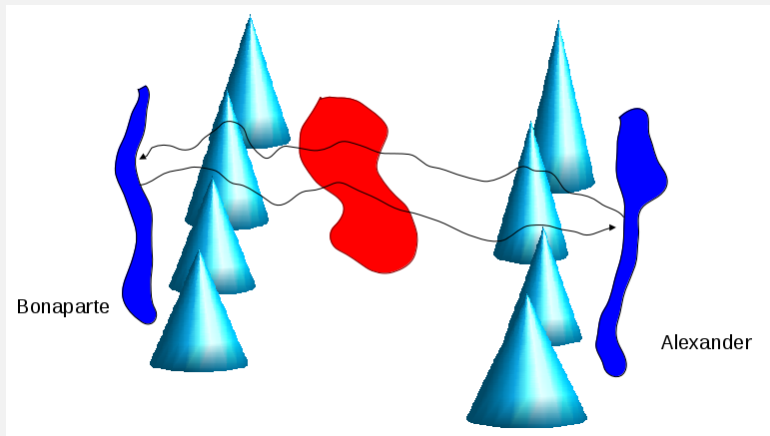
- ▶ Synchronous versus asynchronous systems
- ▶ Communication delay is bounded or not
- ▶ Message delivery from the same sender is ordered or not
- ▶ Message transmission is done through unicasting or multicasting

## Agreement in Faulty Systems (2)

Process behavior		Message ordering				Communication delay
		Unordered		Ordered		
Synchronous	{			X		Bounded
				X		Unbounded
Asynchronous	{	X	X	X	X	Bounded
				X	X	Unbounded
		Unicast	Multicast	Unicast	Multicast	
Message transmission						

- ▶ Most distributed systems in practice assume that processes behave asynchronously, message transmission is unicast, and communication delays are unbounded.

## Agreement in Faulty Systems (3)



- ▶ Two Army Problem
- ▶ Non-faulty generals with unreliable communication.

# Byzantine Agreement Problem (1)

## Problem:

- ▶ Red army in the valley,  $n$  blue generals each with their own army surrounding the read army.
- ▶ Communication is pairwise, instantaneous and perfect.
- ▶ However  $m$  of the blue generals are traitors (faulty processes) and are actively trying to prevent the loyal generals from reaching agreement. The generals know the value  $m$ .

**Goal:** The generals need to exchange their troop strengths. At the end of the algorithm, each general has a vector of length  $n$ . If  $i$ th general is loyal, then the  $i$ th element has their correct troop strength otherwise it is undefined.

## Conditions for a solution:

- ▶ All loyal generals decide upon the same plan of action
- ▶ A small number of traitors cannot cause the loyal generals to adopt a bad plan

# Byzantine Agreement Problem (2)

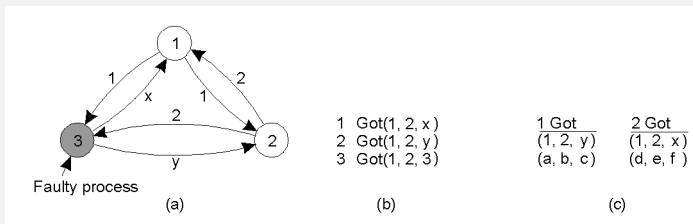
## Setup:

- ▶ Assume that we have  $n$  processes, where each process  $i$  will provide a value  $v_i$  to other processes. The goal is to let each process construct a vector of length  $n$  such that if process  $i$  is non-faulty,  $V[i] = v_i$ . Otherwise,  $V[i]$  is undefined.
- ▶ We assume that there are at most  $m$  faulty processes.

## Algorithm:

- Step 1** Every non-faulty process  $i$  sends  $v_i$  to every other process using reliable unicasting. Faulty processes may send anything.
- ▶ Moreover, since we are using unicasting, the faulty processes may send different values to different processes.
- Step 2** The results of the announcements of Step 1 are collected together in the form of a vector of length  $n$ .
- Step 3** Every process passes its vector from Step 2 to every other process.
- Step 4** Each process examines the  $i$ th element of each of the newly received vectors. If any value has a majority, that value is put into the result vector. If no value has a majority, the corresponding element of the result vector is set to UNKNOWN.

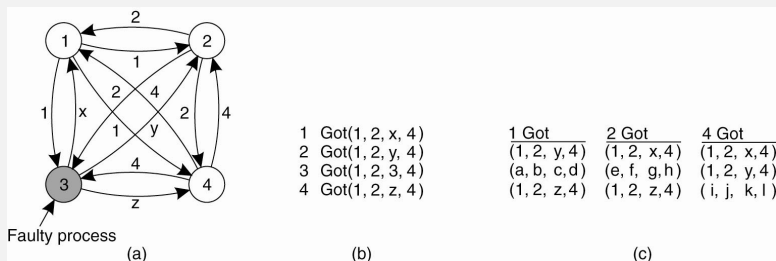
# Byzantine Agreement Example (1)



- ▶ We have 2 loyal generals and one traitor.
- ▶ Note that process 1 sees a value of 2 from process 2 (process 2 vector) but sees a value of  $b$  for process 2 (process 3 vector). But process 1 has no way of knowing whether process 2 or process 3 is a traitor. So it cannot decide the right value for  $V[2]$ .
- ▶ Similarly, process 2 cannot ascertain the right value for  $V[1]$ . Hence, they cannot reach agreement.
- ▶ For  $m$  faulty processes, we need  $2m + 1$  non-faulty processes to overcome the traitors. In total, we need a total of  $3m + 1$  processes to reach agreement.



## Byzantine Agreement Example (2)



The Byzantine generals problem for 3 loyal generals and 1 traitor:

- The generals announce their troop strengths (let's say, in units of 1 kilo soldiers).
- The vectors that each general assembles based on previous step.
- The vectors that each general receives.
- If a value has a majority, then we know it correctly, else it is unknown. In this case, we can reach agreement amongst the non-faulty processes.

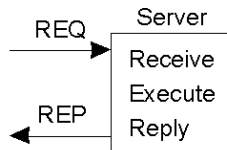
RMI semantics in the presence of failures.

- ▶ The client is unable to locate the server.
- ▶ The request message from the client to the server is lost.
- ▶ The server crashes after receiving a request.
- ▶ The reply message from the server to the client is lost.
- ▶ The client crashes after sending a request.

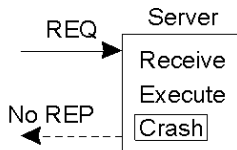
# RPC Semantics in the Presence of Failures

- ▶ RPC failures
  - ▶ **Client cannot locate server**: Raise an exception or send a signal to client leading to loss in transparency
  - ▶ **Lost request messages**: Start a timer when sending a request. If timer expires before a reply is received, send the request again. Server would need to detect duplicate requests
  - ▶ **Server crashes**: Server crashes before or after executing the request is indistinguishable from the client side...
- ▶ Possible RPC semantics
  - ▶ Exactly once semantics
  - ▶ At least once semantics
  - ▶ At most once semantics
  - ▶ Guarantee nothing semantics

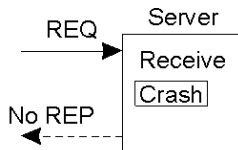
# Server Crash (1)



(a)



(b)



(c)

► A server in client-server communication

- (a) Normal case
- (b) Crash after execution
- (c) Crash before execution

## Server Crash (2)

- ▶ **Server:** Prints text on receiving request from client and sends message to client after text is printed.
  - ▶ Send a completion message just before it actually tells the printer to do its work
  - ▶ Or after the text has been printed
- ▶ **Client:** After a server crashes and recovers.
  - ▶ Never to reissue a request.
  - ▶ Always reissue a request.
  - ▶ Reissue a request only if it did not receive an acknowledgment of its request being delivered to the server.
  - ▶ Reissue a request only if it has not received an acknowledgment of its print request.

## Server Crash (3)

- ▶ Three events that can happen at the server:
  - ▶ Send the completion message (M)
  - ▶ Print the text (P)
  - ▶ Crash (C)
- ▶ These events can occur in six different orderings:

## Server Crash (4)

1.  $M \rightarrow P \rightarrow C$ : A crash occurs after sending the completion message and printing the text.
2.  $M \rightarrow C(\rightarrow P)$ : A crash happens after sending the completion message, but before the text could be printed.
3.  $P \rightarrow M \rightarrow C$ : A crash occurs after sending the completion message and printing the text.
4.  $P \rightarrow C(\rightarrow M)$ : The text printed, after which a crash occurs before the completion message could be sent.
5.  $C(\rightarrow P \rightarrow M)$ : A crash happens before the server could do anything.
6.  $C(\rightarrow M \rightarrow P)$ : A crash happens before the server could do anything.

# Server Crash (5)

## Client

## Server

### Strategy M → P

### Strategy P → M

#### Reissue strategy

#### MPC

#### MC(P)

#### C(MP)

#### PMC

#### PC(M)

#### C(PM)

Always
Never
Only when ACKed
Only when not ACKed

DUP	OK	OK
OK	ZERO	ZERO
DUP	OK	ZERO
OK	ZERO	OK

DUP	DUP	OK
OK	OK	ZERO
DUP	OK	ZERO
OK	DUP	OK

- ▶ *M*: send the completion message, *P*: print the text, *C*: server crash
- ▶ OK (text is printed once), DUP (text is printed twice), ZERO (text is not printed at all)



## RPC Semantics in the Presence of Failures (2)

- ▶ **Lost Reply Messages**. Set a timer on client. If it expires without a reply, then send the request again. If requests are **idempotent**, then they can be repeated again without ill-effects
- ▶ **Client Crashes**. Creates orphans. An orphan is an active computation on the server for which there is no client waiting.

How to deal with orphans:

- ▶ **Extermination**. Client logs each request in a file before sending it. After a reboot the file is checked and the orphan is explicitly killed off. Expensive, cannot locate grand-orphans etc.
- ▶ **Reincarnation**. Divide time into sequentially numbered epochs. When a client reboots, it broadcasts a message declaring a new epoch. This allows servers to terminate orphan computations.
- ▶ **Gentle Reincarnation**. A server tries to locate the owner of orphans before killing the computation.
- ▶ **Expiration**. Each RPC is given a quantum of time to finish its job. If it cannot finish, then it asks for another quantum. After a crash, a client need only wait for a quantum to make sure all orphans are gone.

# Idempotent Operations

- ▶ An **idempotent** operation is one that can be repeated as often as necessary without any harm being done. E.g. reading a block from a file.
- ▶ In general, try to make RPC/RMI methods be idempotent if possible. If not, it can be dealt with in a couple of ways.
  - ▶ Use a sequence number with each request so server can detect duplicates. But now the server needs to keep state for each client....
  - ▶ Have a bit in the message to distinguish between original and duplicate transmission

# Reliable Group Communication

- ▶ **Reliable multicasting** guarantees that messages are delivered to all members in a process group. Reliable multicasting turns out to be tricky.
- ▶ Basic reliable multicasting schemes
- ▶ Scalable reliable multicasting
  - ▶ Non-hierarchical feedback control
  - ▶ Hierarchical feedback control
- ▶ Atomic multicasting using Virtual Synchrony

# Basic Reliable Multicasting Schemes (1)

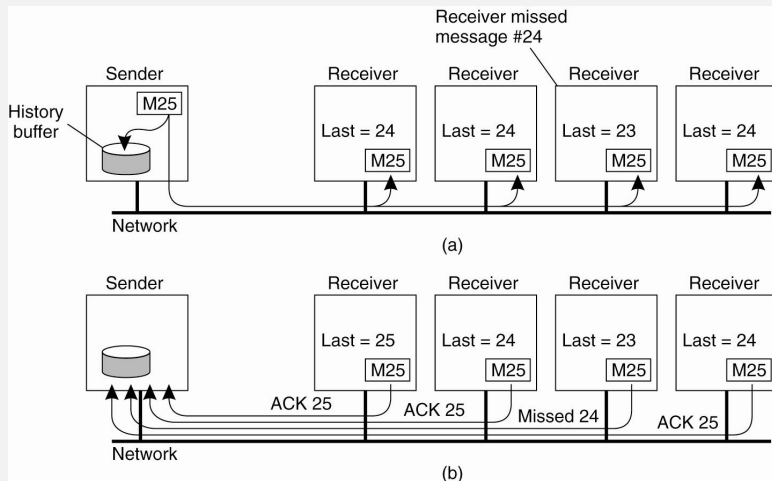
- ▶ Reliable point-to-point channels are available but reliable communication to a group of processes is rarely built-in to the transport layer. For example, multicasting uses datagrams, which are not reliable.
- ▶ Few processes: Set up reliable point-to-point channels. Inefficient for more processes.
- ▶ Issues in reliable multicasting:
  - ▶ What does reliable multicasting mean?
  - ▶ What if a process joins during the communication?
  - ▶ What happens if a sending process crashes during communication?
  - ▶ How to reach agreement on what does the group look like?

## Basic Reliable Multicasting Schemes (2)

Assume that processes do not fail and join or leave the group during communication so group membership is known.

- ▶ Sending process assigns a sequence number to each message it multicasts. Messages are received in the order which they were sent.
- ▶ Each multicast message is kept in a history buffer at the sender. Assuming that the sender knows the receivers, the sender simply keeps the message until all receivers have returned the acknowledgment (**Ack**).
- ▶ Sender retransmits on a negative **Ack** or on timeout before all **Acks** were received. **Acks** can be piggy-backed. Retransmissions can be done with point-to-point communication.

# Basic Reliable Multicasting Schemes (3)

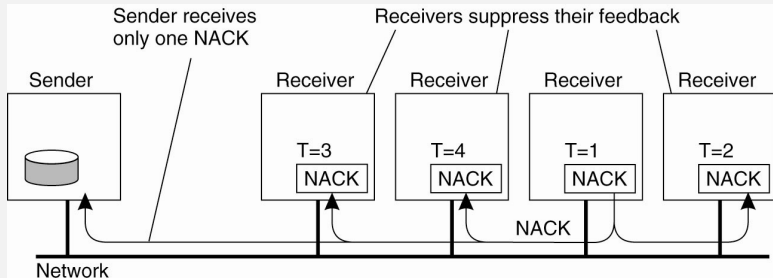


- ▶ A simple solution to reliable multicasting when all receivers are known and are assumed not to fail.
- ▶ (a) Message transmission. (b) Reporting feedback.

# Scalability in Reliable Multicasting

- ▶ **Negative acknowledgments:** A receiver returns feedback only if it is missing a message. This improves scalability by cutting down on the number of messages. However this forces the sender to keep a message in its buffer forever (so we need to use timeouts for the buffer)
- ▶ **Nonhierarchical feedback control:** Feedback suppression via multicasting of negative feedback.
- ▶ **Hierarchical feedback control:** Use subgroups and coordinators in each subgroup.

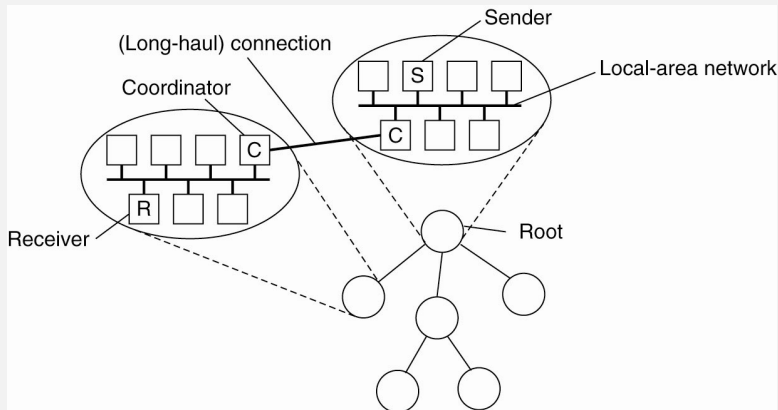
# Nonhierarchical Feedback Control



- Several receivers have scheduled a request for retransmission with random delays, but the first retransmission request leads to the suppression of others.



# Hierarchical Feedback Control

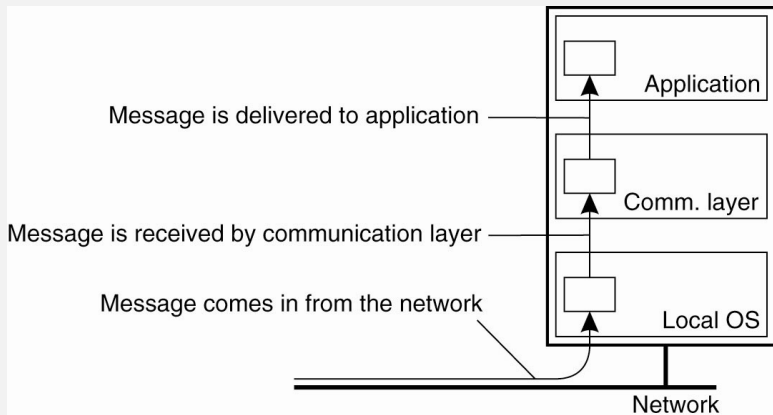


- The essence of hierarchical reliable multicasting. Each local coordinator forwards the message to its children and later handles retransmission requests.

# Reliable Atomic Multicast

- ▶ The atomic multicast setup to achieve reliable multicasting in the presence of process failures requires the following conditions:
  - ▶ A message is delivered to all processes or to none at all.
  - ▶ All messages are delivered in the same order to all processes.
- ▶ For example, this solves the problem of a replicated database on top of a distributed system.
  - ▶ Atomic multicasting ensures that non-faulty processes maintain a consistent view of the database, and forces reconciliation when a replica recovers and rejoins the group.

# Virtual Synchrony (1)



- The logical organization of a distributed system to distinguish between message receipt and message delivery.

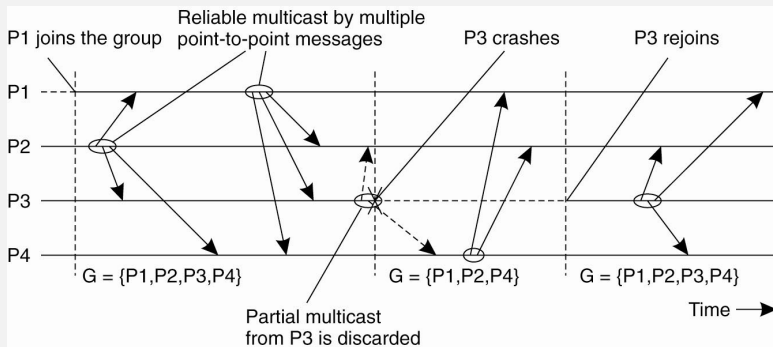
## Virtual Synchrony (2)

- ▶ A multicast message **m** is uniquely associated with a list of processes to which it should be delivered. This delivery list corresponds to a **group view**. Each process on the list has the same view.
- ▶ A **view change** takes place by multicasting a message **vc** announcing the joining or leaving of a process.
- ▶ Suppose **m** and **vc** are simultaneously in transit. We need to guarantee that **m** is either delivered to all processes in the group view *G* before each of them is delivered message **vc**, or **m** is not delivered at all.
- ▶ Note that **m** being not delivered is because the sender of **m** crashed.

## Virtual Synchrony (3)

- ▶ A reliable multicast is said to be **virtually synchronous** if it has the following properties:
  - ▶ A message multicast to group view  $G$  is delivered to each non-faulty process in  $G$ .
  - ▶ If a sender of the message crashes during the multicast, the message may either be delivered to all processes, or ignored by each of them.
- ▶ The principle is that all multicasts take place between view changes.
- ▶ All multicasts that are in transit when a view change takes place are completed before the view change comes into effect.

## Virtual Synchrony (4)



- The principle of virtual synchronous multicast.

# Message Ordering in Multicasting (1)

Virtual synchrony allows us to think about multicasts as taking place in epochs. But we can have several possible orderings of the multicasts:

- ▶ Unordered multicasts
- ▶ FIFO-ordered multicasts
- ▶ Causally-ordered multicasts (requires vector timestamps)
- ▶ Totally-ordered multicasts

## Message Ordering in Multicasting (2)

Process P1	Process P2	Process P3
sends m1	receives m1	receives m2
sends m2	receives m2	receives m1

- ▶ Three communicating processes in the same group. The ordering of events per process is shown along the vertical axis. This shows unordered multicasts.



## Message Ordering in Multicasting (3)

Process P1	Process P2	Process P3	Process P4
sends m1	receives m1	receives m3	sends m3
sends m2	receives m3	receives m1	sends m4
	receives m2	receives m2	
	receives m4	receives m4	

- ▶ Four processes in the same group with two different senders, and a possible delivery order of messages under FIFO-ordered multicasting.

## Message Ordering in Multicasting (4)

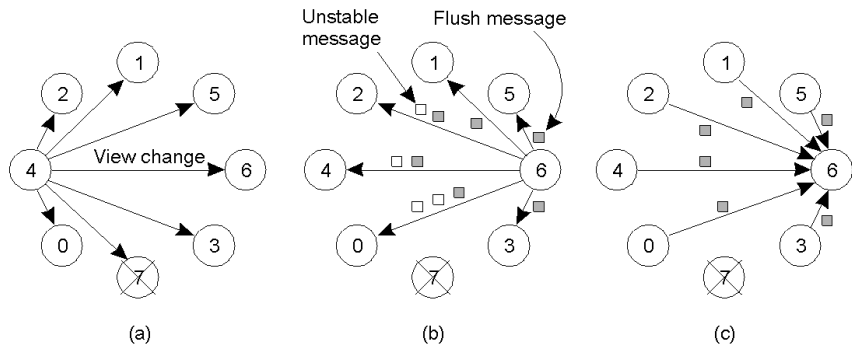
<b>Multicast</b>	<b>Basic Message Ordering</b>	<b>Total-Ordered Delivery?</b>
Reliable multicast	None	No
FIFO multicast	FIFO-ordered delivery	No
Causal multicast	Causal-ordered delivery	No
Atomic multicast	None	Yes
FIFO atomic multicast	FIFO-ordered delivery	Yes
Causal atomic multicast	Causal-ordered delivery	Yes

- Six different versions of virtually synchronous reliable multicasting.

# Implementing Virtual Synchrony (1)

- ▶ Assume that we have reliable point to point communication (e.g. TCP) and that messages from the same source are received in the same order as sent.
- ▶ Every process in  $G$  keeps message  $m$  until it knows for sure that all member in  $G$  have received it. If  $m$  has been received by all members in  $G$ , then  $m$  is said to be **stable**. Only stable messages are allowed to be delivered.
- ▶ To ensure stability, it is sufficient to pick an arbitrary process in  $G$  and request it to send  $m$  to all other processes. That arbitrary process can be the coordinator.
- ▶ Assumes that no process crashes during a view change (although it can be generalized to handle that as well).

## Implementing Virtual Synchrony (2)

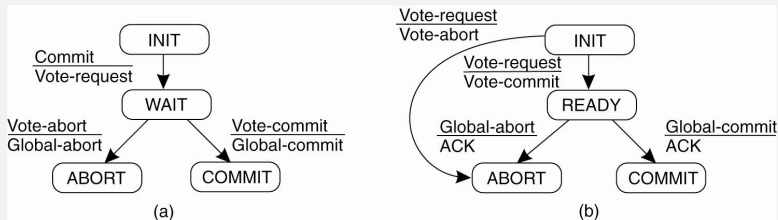


- (a) Process 4 notices that process 7 has crashed, sends a view change.
- (b) Process 6 sends out all its unstable messages, followed by a flush message.
- (c) Process 6 installs the new view when it has received a flush message from everyone else.

# Distributed Commit

- ▶ The **distributed commit** problem involves having an operation being performed by each member of a group or none at all.
- ▶ Examples:
  - ▶ Reliable multicasting is a specific example with the operation being the delivery of a message.
  - ▶ A **distributed transaction** includes one or more statements that, individually or as a group, update data on two or more distinct nodes of a distributed database.
- ▶ Solutions (these all use a coordinator):
  - ▶ **One-phase commit**: Coordinator tells all processes to commit or not. No way for coordinator to know if commit cannot be done locally.
  - ▶ **Two-phase commit**: Coordinator orchestrates the commit using two phases. Does not work if coordinator crashes during the commit process.
  - ▶ **Three-phase commit**: Can work even if the coordinator crashes, but rarely used in practice.

# Two Phase Commit (1)



- (a) The finite state machine for the coordinator in Two Phase Commit (2PC).
- (b) The finite state machine for a participant.

## Two Phase Commit (2)

- ▶ The protocol can fail if a process crashes for other processes may be waiting indefinitely for a message from the crashed process. We deal with this using timeouts.
- ▶ **Participant blocked in INIT**: A participant is waiting for a **VOTE\_REQUEST** message from the coordinator. On a timeout, it can locally abort the transaction and thus send a **VOTE\_ABORT** message to the coordinator.
- ▶ **Coordinator blocked in WAIT**: If it doesn't get all the votes, it votes for an abort and sends a **GLOBAL\_ABORT** to all participants.
- ▶ **Participant blocked in READY**: Participant cannot simply decide to abort. It needs to know what message was sent by the coordinator.
  - ▶ We can simply block until the coordinator recovers.
  - ▶ Or contact another participant Q to see if it can decide from Q's state what to do. Four cases to deal with here that are summarized on next slide.

## Two Phase Commit (3)

State of Q	Action by P
COMMIT	Make transition to COMMIT
ABORT	Make transition to ABORT
INIT	Make transition to ABORT
READY	Contact another participant

- ▶ Actions taken by a participant P when residing in state READY and having contacted another participant Q.



# Two Phase Commit (4)

## ► actions by coordinator

```
write START_2PC local log;
multicast VOTE_REQUEST to all participants;
while not all votes have been collected {
    wait for any incoming vote;
    if timeout {
        write GLOBAL_ABORT to local log;
        multicast GLOBAL_ABORT to all participants;
        exit;
    }
    record vote;
}
if all participants sent VOTE_COMMIT and coordinator votes COMMIT{
    write GLOBAL_COMMIT to local log;
    multicast GLOBAL_COMMIT to all participants;
} else {
    write GLOBAL_ABORT to local log;
    multicast GLOBAL_ABORT to all participants;
}
```

# Two Phase Commit (5)

## ► actions by participant

```
write INIT to local log;
wait for VOTE_REQUEST from coordinator;
if timeout {
    write VOTE_ABORT to local log;
    exit;
}
if participant votes COMMIT {
    write VOTE_COMMIT to local log;
    send VOTE_COMMIT to coordinator;
    wait for DECISION from coordinator;
    if timeout {
        multicast DECISION_REQUEST to other participants;
        wait until DECISION is received; /* remain blocked */
        write DECISION to local log;
    }
    if DECISION == GLOBAL_COMMIT
        write GLOBAL_COMMIT to local log;
    else if DECISION == GLOBAL_ABORT
        write GLOBAL_ABORT to local log;
} else {
    write VOTE_ABORT to local log;
    send VOTE_ABORT to coordinator;
}
```

## Two Phase Commit (6)

- ▶ actions for handling decision requests

```
/* executed by separate thread */  
while true {  
    wait until any incoming DECISION_REQUEST is received; /* remain bl  
    read most recently recorded STATE from the local log;  
    if STATE == GLOBAL_COMMIT  
        send GLOBAL_COMMIT to requesting participant;  
    else if STATE == INIT or STATE == GLOBAL_ABORT  
        send GLOBAL_ABORT to requesting participant;  
    else  
        skip; /* participant remains blocked */  
}
```

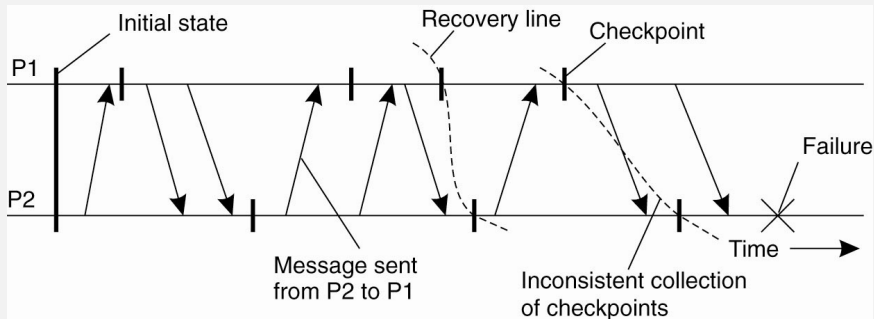
- ▶ **Backward recovery**. Roll back the system from erroneous state to a previously correct state. This requires system to be **checkpointing**, which has the following issues:
  - ▶ Relatively costly to checkpoint. Often combined with **message logging** for better performance. Messages are logged before sending or before receiving. Combined with checkpoints to makes recovery possible. Checkpoints alone cannot solve the issue of replaying all messages in the right order.
  - ▶ Backward recovery requires a loop of recovery so failure transparency cannot be guaranteed. Some states can never be rolled back to...
- ▶ **Forward recovery**. Bring the system to a correct new state from which it can continue execution. E.g. In an  $(n, k)$  **block erasure code**, a set of  $k$  source packets is encoded into a set of  $n$  encoded packets, such that any set of  $k$  encoded packets is enough to reconstruct the original  $k$  source packets.

- ▶ We need fault-tolerant disk storage for the checkpoints and message logs. Examples are various **RAID** (Redundant Array of Independent Disks) schemes (although they are used for both improved fault tolerance as well as improved performance). Some common schemes:
  - ▶ **RAID-0** block-level striping
  - ▶ **RAID-1** mirroring
  - ▶ **RAID-5** block-level striping with distributed parity
  - ▶ **RAID-6** block-level striping with double distributed parity
  - ▶ **RAID-10** stripes data across mirrored pairs

# Checkpointing

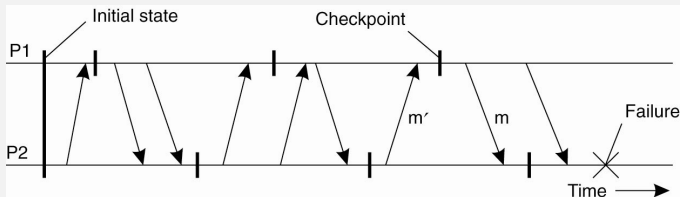
- ▶ Backward error recovery schemes require that a distributed system regularly checkpoints a consistent global state to stable storage. This is known as a **distributed snapshot**.
- ▶ In a distributed snapshot, if a process  $P$  has recorded the receipt of a message, then there is also a process  $Q$  that has recorded the sending of that message.
- ▶ To recover after a process or system failure, it is best to recover to the most recent distributed snapshot, also known as the recovery line.
- ▶ We will examine the following checkpointing and logging techniques:
  - ▶ Independent checkpointing.
  - ▶ Coordinated checkpointing.
  - ▶ Message logging:
    - ▶ Optimistic message logging
    - ▶ Pessimistic message logging

# Checkpointing and Recovery Line



# Independent Checkpointing

- ▶ Each process saves its state from time to time in an uncoordinated fashion.
- ▶ To discover a recovery line requires that each process be rolled back to its most recently saved state. If these local states jointly do not form a distributed snapshot, further rolling is necessary. This can lead to a domino effect.
- ▶ Find the recovery line in the following timeline:





# Coordinated Checkpointing (1)

- ▶ All processes synchronize to jointly write their state to local stable storage, which implies that the saved state is automatically consistent.
- ▶ **Simple Coordinated Checkpointing:**
  - ▶ Coordinator multicasts a **CHECKPOINT\_REQUEST** to all processes.
  - ▶ When a process receives the request, it takes a local checkpoint, queues any subsequent messages handed to it by the application it is executing, and acknowledges to the coordinator.
  - ▶ When the coordinator has received an acknowledgment from all processes, it multicasts a **CHECKPOINT\_DONE** message to allow the blocked processes to continue.

## Coordinated Checkpointing (2)

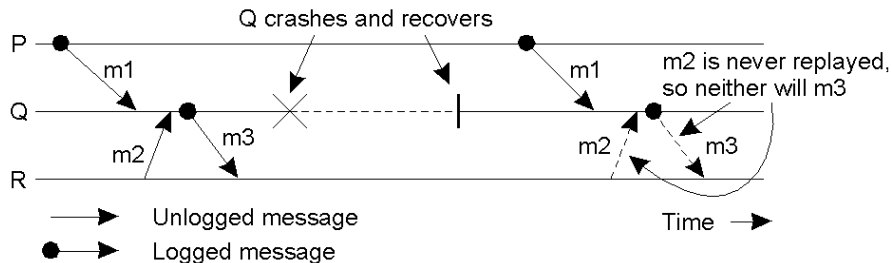
### ► Incremental Snapshot:

- The coordinator multicasts a checkpoint request only to those processes it had sent a message to since it last took a checkpoint. The other processes are ignored.
- When a process P receives such a request, it forwards it to all those processes to which P itself had sent a message since the last checkpoint and so on.
- A process forwards the request only once.
- When all processes have been identified, then a second message is multicast to trigger checkpointing and to allow the processes to continue

# Message Logging

- ▶ **Message logging** enables us to reduce the number of checkpoints, but still enable recovery.
- ▶ If the transmission of messages can be replayed, we can still reach a globally consistent state by starting from a checkpointed state and retransmitting all messages sent since.
- ▶ Assumes a **piece wise deterministic model**, where deterministic intervals occur between sending/receiving messages. The deterministic interval starts with a non-deterministic event such as sending or receiving a message. Then the execution of the process is deterministic until the next non-deterministic event.
- ▶ An deterministic interval can be replayed with a known result, provided it is replayed starting with the same non-deterministic event as before. Hence if we record all non-deterministic events, it becomes possible to completely replay the entire execution of a process in a deterministic way.

# Orphan Process



- ▶ An **orphan process** is a process that has survived the crash of another process, but whose state is inconsistent with the crashed process after its recovery.
- ▶ The figure above shows an incorrect replay of messages after recovery, leading to an orphan process. Which one is the orphan process?

# Message Logging Schemes

- ▶ A message is said to be **stable** if it can no longer be lost, because it has been written to stable storage. Stable messages can be used for recovery by replaying their transmission.
  - ▶ **DEP**( $m$ ): A set of processes that depend upon the delivery of message  $m$ .
  - ▶ **COPY**( $m$ ): A set of processes that have a copy of  $m$  but not yet in their local stable storage.
- ▶ A process  $Q$  is an orphan process if there is a message  $m$  such that  $Q$  is contained in **DEP**( $m$ ), while at the same time all processes in **COPY**( $m$ ) have crashed. We want to avoid this scenario.
- ▶ **Pessimistic logging protocol**: For each non-stable message  $m$ , there is at most one process dependent upon  $m$ , which means that this process is in **COPY**( $m$ ). Basically, a process  $P$  is not allowed to send any messages after delivery of  $m$  without first storing it in stable storage.
- ▶ **Optimistic logging protocol**: After a crash, orphan processes are rolled back until they are not in **DEP**( $m$ ). Much more complicated than pessimistic logging.

# The CAP Theorem

- ▶ **CAP Theorem** (aka *Brewer's Theorem*): states that it is impossible for a distributed computer system to simultaneously provide all three of the following guarantees:
  - ▶ **Consistency** (all nodes see the same data at the same time)
  - ▶ **Availability** (a guarantee that every request receives a response about whether it was successful or failed)
  - ▶ **Partition tolerance** (the system continues to operate despite arbitrary message loss or failure of part of the system)

*This is a chord, this is another, this is a third. Now form a band!  
We know three chords but you can only pick two*



Brewer's CAP Theorem ([julianbrowne.com](http://julianbrowne.com))

# Dealing with CAP

- ▶ Drop Partition Tolerance
- ▶ Drop Availability
- ▶ Drop Consistency
- ▶ The **BASE** Jump: The notion of accepting eventual consistency is supported via an architectural approach known as BASE (**B**asically **A**vailable, **S**oft-state, **E**ventually consistent). BASE, as its name indicates, is the logical opposite of **ACID**.
- ▶ Design around it!

# References

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