Yufei Cai<sup>1</sup> Boming Miao<sup>2</sup>

<sup>1</sup>SUSTech

<sup>2</sup>Northeastern University

### Introduction

Based on expectation maximization (EM) classification algorithm, we are to investigate the effectiveness of several modern classifiers under the semi-supervised learning framework.

## Methods

## Naive Bayes Classifier

y unknown,  $x_1, \ldots x_n$  mutually independent  $P(y \mid x_1, \ldots, x_n) = \frac{P(y)P(x_1, \ldots, x_n \mid y)}{P(x_1, \ldots, x_n)}$   $\forall i \in \{1, 2, \ldots, n\}, P(x_i \mid y, x_1, \ldots, x_{i-1}, x_{i+1}, \ldots, x_n) = P(x_i \mid y)$   $P(y \mid x_1, \ldots, x_n) = \frac{P(y) \prod_{i=1}^n P(x_i \mid y)}{P(x_1, \ldots, x_n)} \propto P(y) \prod_{i=1}^n P(x_i \mid y)$   $\hat{y} = \underset{y}{\operatorname{argmax}} \prod_{i=1}^n P(x_i \mid y)$ 

## BERT Classifier

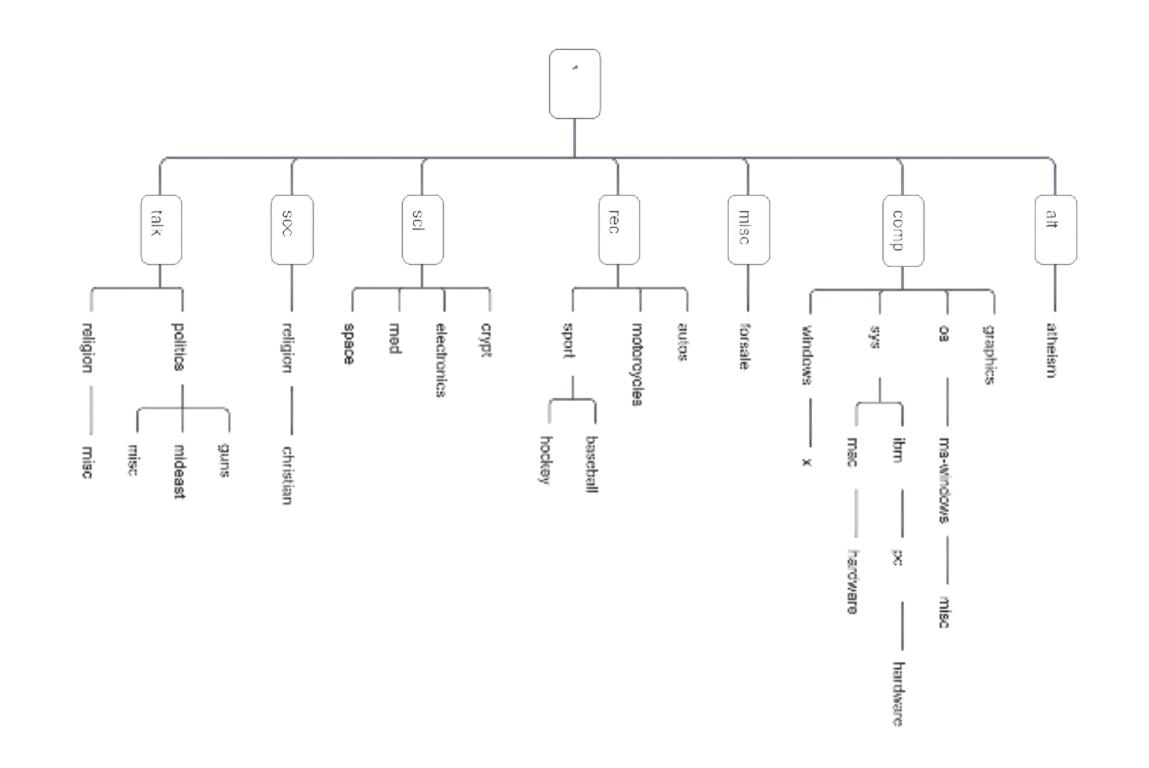
Bidirectional Encoder Representations from Transformers (BERT) is a transformer-based machine learning technique for natural language processing (NLP) pre-training developed by Google.

# EM Semi-supervised Learning

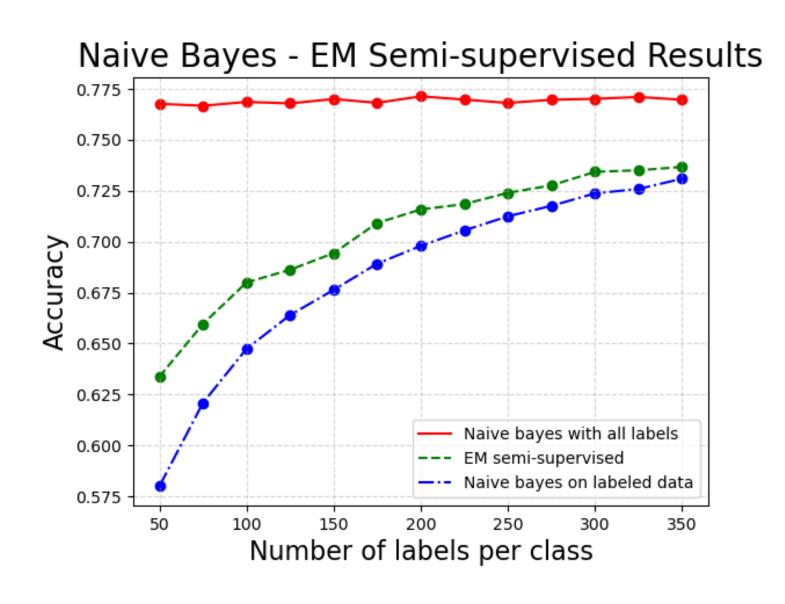
Algorithm 1 EM Semi-supervised Learning for Classification
Input: $classifier$ , $(X_l, y_l)$ , $X_u$ , $iteration\_times$
Output: classifier
1: function EM_SEMI_SUPERVISED_LEARNING_FOR_CLASSIFICATION( $classifier, (m{X_l}, m{y_l}), m{X_l}$
iteration_times)
2: $classifier.train(\boldsymbol{X_l}, \boldsymbol{y_l})$
3: $oldsymbol{X} \leftarrow oldsymbol{X_l} \cup oldsymbol{X_u}$
4: <b>for</b> $i = 1$ to $iteration\_times$ <b>do</b>
5: $\boldsymbol{y_u} \leftarrow classifier.\operatorname{predict}(\boldsymbol{X_u})$
6: $oldsymbol{y} \leftarrow oldsymbol{y_l} \cup oldsymbol{y_u}$
7: $classifier.train(\boldsymbol{X}, \boldsymbol{y})$
8: end for
9: end function

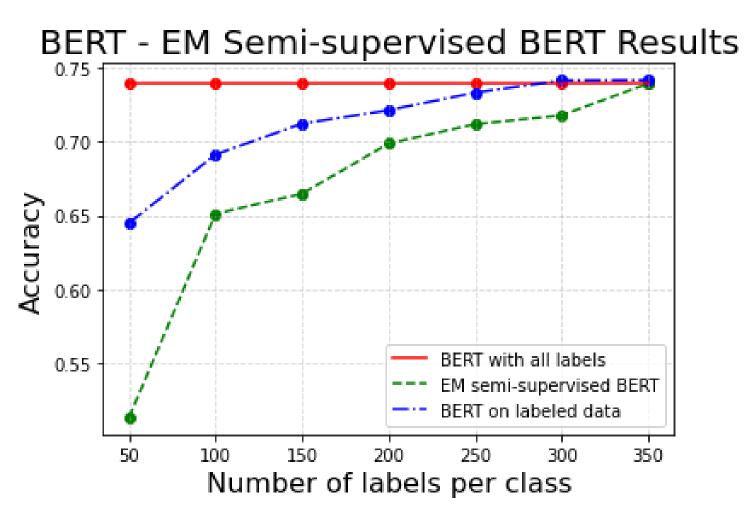
#### Dataset

The twenty newsgroups dataset contains 1000 text articles posted to each of 20 online newgroups for a total of 20,000 articles whose labels are hierarchically organized as a tree.



#### Results





#### Results

Hierarchy	Accuracy
Non-hierarchical	0.7719
Hierarchical	0.7392
Non-hierarchical	0.7400
	Non-hierarchical Hierarchical Non-hierarchical

Accuracy of Hierarchical and Non-hierarchical Classification

#### Conclusion

The semi-supervised EM algorithm can increase the accuracy when combined with naive bayes classifiers but will decrease the accuracy when combined with BERT owing to the fact that BERT requires labels more accurate in order to function well.

The accuracy of the hierarchical naive bayes classifier is lower than that of the non-hierarchical one owing to the fact that each classifier on the tree is train by less data so that it cannot distinguish different classes with less information given.

#### References

- [1] Arthur P Dempster, Nan M Laird, and Donald B Rubin. Maximum likelihood from incomplete data via the em algorithm. *Journal of the Royal Statistical Society: Series B (Methodological)*, 39(1):1–22, 1977.
- [2] Kamal Nigam, Andrew McCallum, and Tom M Mitchell. Semi-supervised text classification using em., 2006.