

# CS 111: Sample Problems for Midterm 1

See Exam e01 on the course GitHub page for midterm rules, syllabus, and more sample problems.

1. Let  $x$ ,  $p$ , and  $A$  be defined by the following numpy statements:

```
x = np.array([31, 41, 59])
p = np.array([2, 0, 1])
A = np.array([[1, 2, 3], [4, 5, 6], [7, 8, 9]])
```

What is the value of  $y$  after each of the following?

1a.  $y = x[p]$

1b.  $y[p] = x$

1c.  $y = A[[2, 0], 1:]$

1d.  $y = A[:2, p]$

2. Suppose that:

```
A = np.array([[1, 1, 1], [1, 2, 3], [1, 3, 6]])
x = npla.solve(A, [3, 8, 15])
y = A @ [3, -1, 0]
L, U, p = cs111.LUfactor(A)
B = spla.cholesky(A, lower = True)
C = npla.inv(A)
D = L @ U
E = U @ L
```

Match each of  $x$ ,  $y$ ,  $L$ ,  $U$ ,  $p$ ,  $B$ ,  $C$ ,  $D$ ,  $E$  with one of the following:

$$\begin{pmatrix} 1 & 0 & 0 \\ 1 & 1 & 0 \\ 1 & .5 & 1 \end{pmatrix}$$

$$\begin{pmatrix} 3 & 1.5 & 1 \\ 7 & 4.5 & 5 \\ -.5 & -.25 & -.5 \end{pmatrix}$$

$$\begin{pmatrix} 3 & -3 & 1 \\ -3 & 5 & -2 \\ 1 & -2 & 1 \end{pmatrix}$$

$$\begin{pmatrix} 1 & 1 & 1 \\ 1 & 3 & 6 \\ 1 & 2 & 3 \end{pmatrix}$$

$$\begin{pmatrix} 1 & 1 & 1 \\ 0 & 2 & 5 \\ 0 & 0 & -.5 \end{pmatrix}$$

$$\begin{pmatrix} 1 & 0 & 0 \\ 1 & 1 & 0 \\ 1 & 2 & 1 \end{pmatrix}$$

$$(2, 1, 0)^T$$

$$(0, 1, 2)^T$$

$$(0, 2, 1)^T$$

3. Suppose  $A$  is an  $n$  by  $n$  symmetric, positive definite matrix and  $b$  is a column vector of dimension  $n$ . Recall that the (lower triangular) Cholesky factor  $L$  of  $A$  satisfies  $LL^T = A$ . Fill in the blanks below to compute the solution  $x$  to  $Ax = b$ . You may use  $L$  but not  $A$ .

```
L = spla.cholesky(A, lower=True)
```

```
y = _____
```

```
x = _____
```

4. True or false:

- $LU$  factorization without pivoting works on every nonsingular square matrix.
- $LU$  factorization without pivoting works on every symmetric positive definite matrix.
- $LU$  factorization with partial pivoting works on every nonsingular square matrix.
- $LU$  factorization with partial pivoting works on every symmetric positive definite matrix.
- Cholesky factorization works on every nonsingular square matrix.
- Cholesky factorization works on every nonsingular symmetric matrix.
- Cholesky factorization works on every symmetric positive definite matrix.
- Conjugate gradient works on every nonsingular square matrix.
- Conjugate gradient works on every nonsingular symmetric matrix.
- Conjugate gradient works on every symmetric positive definite matrix.
- $QR$  factorization works on every nonsingular square matrix.
- $QR$  factorization works on every nonsingular symmetric matrix.

- $QR$  factorization works on every symmetric positive definite matrix.

5. Let  $A$  and  $B$  be  $n$ -by- $n$  matrices, and let  $v$  be an  $n$ -vector. As a function of  $n$  in asymptotic terms (such as  $O(n \log n)$ , ignoring constants), how many floating-point arithmetic operations are performed in each of the following:

- Computing the matrix-matrix product  $A^T B$ .
- Computing the matrix-vector product  $Av$ .
- Computing the vector inner product  $v^T v$ .
- Using `cs111.LUsolve` to solve  $Ax = v$  for  $x$ .

6. Consider the sparse matrix  $A$  returned by `A = cs111.make_A(20)`. How many rows does  $A$  have? How many of  $A$ 's rows have exactly 1 nonzero? Exactly 2 nonzeros? Exactly 3 nonzeros? Exactly 4 nonzeros? Exactly 5 nonzeros? Exactly 6 nonzeros?

7. Which of the following matrices are orthogonal?

$$A = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \quad B = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}, \quad C = \begin{pmatrix} 2 & 0 \\ 0 & 1/2 \end{pmatrix}, \quad D = \begin{pmatrix} \sqrt{2}/2 & \sqrt{2}/2 \\ -\sqrt{2}/2 & \sqrt{2}/2 \end{pmatrix}$$