

PIAS REGISTER OF INTERESTS IN SECURITIES

[Under the Securities and Futures (Licensing and Conduct of Business) Regulations 4 (I)]

Explanatory Notes

1. Particulars of interests in securities are required to be recorded in the register under regulation 4 of the Securities and Futures (Licensing and Conduct of Business) Regulations (Rg 10) relate to only those securities that are listed for quotation, or quoted, on a securities exchange or recognised market operator and shall be duly recorded accurately.
2. The name and number of units of securities held at the time the PIAS representative is appointed shall be recorded in the relevant columns of the table below. For such holding of securities, the holder of the capital markets services licence or the representative need not record the trade date, but shall indicate that the securities were held prior to obtaining a capital markets services licence or appointment of the representative.
3. The information provided in the register must be readily available and accurate at all times.
4. The CDP statements or any other supporting documents shall be provided upon request by PIAS or Regulator for audit and verification purposes.

Name of Person having interest in securities:

NRIC / Passport No.:

[illegible]

+ This column is to be completed only where the securities are acquired or disposed other than by way of a normal market transaction.