



PIAS MODEL PORTFOLIO TUTORIAL 101

Business Development Team | Apr 2020

DOES ANY OF THESE SOUND LIKE YOU?

NEED TO MONITOR
MARKET SO LEYCHEY!

SO MANY FUNDS
SIA, WHAT IF I PICK
THE WRONG ONE?

MY CLIENT VERY
KIASI ONE!
CAN INVEST MEH?



PIAS MODEL PORTFOLIOS

OUTSOURCE your worries to PIAS Product Team:

1. Fund pick – no more market monitoring!



2. Frequent Rebalancing:

- **Allocation%**
- **Switching**

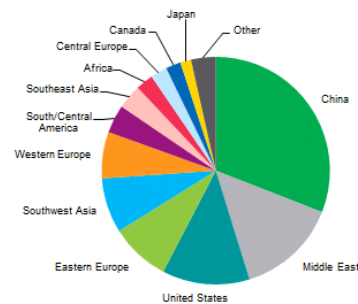
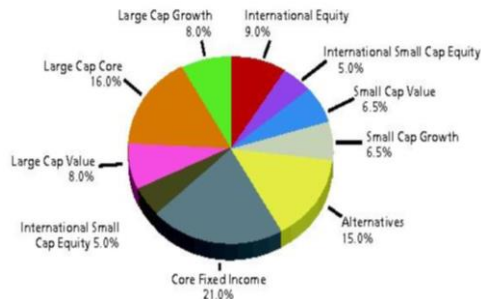


3. Portfolio Allocation:

- **Asset Allocation & Geographical Allocation**

Rationale

<input checked="" type="checkbox"/>	
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4. Basis of Recommendations

ALL CLIENT NEED TO DO IS HIT THE APPROVE BUTTON!
(FOR ONLINE SUBMISSIONS)

5 DIFFERENT RISK PROFILES

ASSET ALLOCATION USING CLIENT'S RISK PROFILE!

Low

High

Conservative



Moderate



Balanced



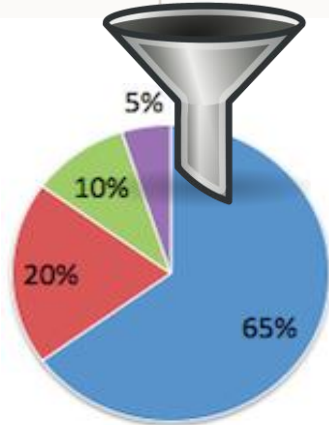
Growth



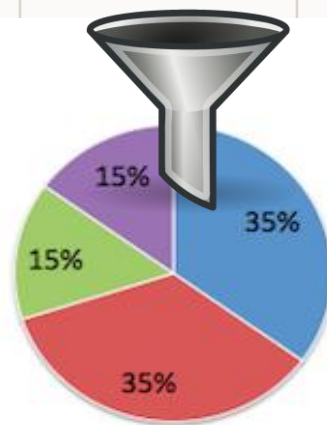
Aggressive



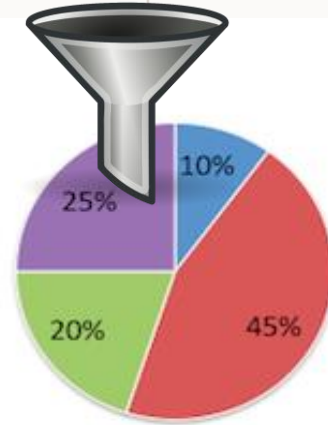
***Factsheets
can be found in
DocuShare!**



**Retirees
(Low-Risk Investor)**



**Middle Aged
(Medium-Risk Investor)**



**Young Investors
(High-Risk Investor)**

INCOME vs. GROWTH PORTFOLIOS



Pay-out to:

- 1. Cash Account**
- 2. Client's bank account**

***Switching
between portfolios
is NOT allowed!**

VS



Re-invest only

**CPF Monies are auto re-invested*

TYPES OF INVESTMENTS

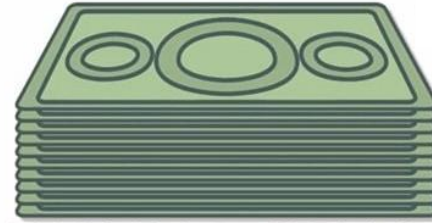
Regular Savings Plan



Regular deduction, dollar cost average while monies are sitting in the Bank/ CPF/ SRS



MINIMUM RSP:
\$100/mth



**LUMP-SUM
PAYMENT**

MINIMUM BUY:
\$1000



CASH, CPF & SRS AVAILABLE!

NEW FEATURES!

Old Model Portfolio vs New Model Portfolio

OLD COMPANY PORTFOLIO	NEW COMPANY PORTFOLIO
Need to indicate fund names that make up company portfolio 1-by-1	Indicate Portfolio Name only
All clients holdings will be rebalanced <i>(including funds outside of company portfolio)</i>	Only Company Portfolio holdings will be rebalanced
No dividend payout option	Advisers are able to choose payout frequency for clients <small>*all 5 cash portfolios will have div payout; CPF monies are reinvested by default</small>
Advisers need to manually amend the RSP setup fee or it will follow company's default	Advisers can input RSP upfront fee at onset during application

- ✓ Available for **WRAP** account only.
- ✓ Available for all payment methods (Cash, CPFOA, CPFSA, SRS)
- ✓ Client must be on **eSubscription** (Email/SMS).

HOW TO START?

Open an iFAST Account!

iFAST
financial

ONLY ON WRAP ACCOUNT!



WRAP ACCOUNT

- ✓ Upfront Fees
- ✓ Wrap Fees
- ✓ Platform Fees

The image displays two IFAST account opening forms side-by-side. The left form is titled 'Wrap Account Opening/UT Transaction Form' and the right form is titled 'Non-Wrap Account Opening/UT Transaction Form'. A large red 'X' is superimposed over the 'Non-Wrap' form, indicating that the features listed for the 'Wrap' account (Upfront Fees, Wrap Fees, Platform Fees) are not available for non-wrap accounts.










You may house both your individual UTs + Company Portfolio all in 1 account!
Upon Rebalancing – only Company portfolio will be rebalanced

FEES

	 CASH/SRS	 CPF
WRAP ACCOUNT	UPFRONT SET UP FEE 0 – 4% <i>IFAST charges:</i> 6% (offline), 3% (online)	0 – 1.5% <or max sales charge by Fundhouse, whichever lower> <i>IFAST charges:</i> 6% (offline), 3% (online)
	WRAP FEE 0.1 – 2% Per annum <i>IFAST: 10% (minimum@0.1%), FA: 90%</i>	0.1 – 0.58% Per annum
	PLATFORM FEE > First 100k – 0.28% > Next 150k – 0.25% > Next 250k – 0.20 % > Remaining 500k & above – 0.10%	0.12%

0.7%
(on forms)

iFAST Multi-Currency Cash Account

 SGD	 USD	 HKD	 CNH	 GBP
0.400	0.100	0.200	1.500	0.050
 NZD	 AUD	 JPY	 CHF	 EUR
1.000	0.750	0.000	0.000	0.000

Free of Charge!

Client and Adviser may submit Cash Account Deposit/Withdrawal instruction directly through the online portal – **no approval is required.**

Benefits of Multi-Currency Cash Account



Pay for your trades immediately



Full online control to move money in/out



Earn interest on your Cash Account balance



Access to 10 currencies



Real-time FX & currency conversion



No lock-in period & No minimum balance

iBanking

A) Bill Payment - DBS, OCBC, UOB and SCB.

1. Please select "iFAST Financial Pte Ltd" as the payee.
2. Enter client's iFAST account number as the payment reference(e.g. Pxxxxxxx). For new clients without an investment account number yet, please enter NRIC number (e.g. SxxxxxxxA).

B) FAST (Fast and Secure Transfers)

Bank Name: Standard Chartered Bank

Bank code: 9496 / Branch Code : 001

Recipient's name: iFAST Financial Pte Ltd – Client Trust Account (SGD)

Recipient's account number: 0102046042

Reference: [Please quote the iFAST account number and full name(e.g. Pxxxxxxx John Smith). For new clients, please quote the NRIC/passport number and full name (e.g. SxxxxxxxA John Smith)].

If the required information was indicated correctly, the payment should reach iFAST almost immediately. Your client can transfer monies up to S\$10,000 per transaction subject to your client's daily or monthly withdrawal limits. Please contact the bank for more information.

C) PayNow

1. Select "PayNow". (Client will need to setup to "Add a payee" first).
2. Select "Unique Entity Number" (UEN).
3. Enter iFAST Financial UEN number: **200000231RAIY**.
4. Search the result to ensure entity name is displayed as **IFAST FINANCIAL PL- CT SUB**.
5. Enter client account number (Pxxxxxxx) as the description/ reference number.

Cheque Payments

- Made payable to “iFAST Financial Pte Ltd-Client Trust Account”.
- Do indicate your client's name, account number and your FAR code at the back of the cheque.

***Orders made via cheque will only be processed upon cheque clearance!**



CPF Investments

CPF & SRS Investments

Kindly provide us with the CPFIA and/or SRS numbers for all CPF Investments

Client has to open a CPF Investment Account with agent banks (ie. DBS, UOB or OCBC)

Kindly ensure that client has **sufficient monies in CPF** and has **completed the SAQ questions**. Otherwise, transaction might be voided.

If the information was not provided at the point of account opening, client may update the information online through the client login or email in to ifastadmin@ifastfinancial.com for us to update in our system.

Remember to sign off on Page 8 (Lump Sum Buys) and Page 12 (RSPs) for all CPF transactions:

FA REPRESENTATIVE'S DECLARATION 理财顾问代表声明

For **CPFIS** Investment: I have checked that the client has (1) opened a CPF Investment Account before; (2) invested under the CPF Investment Scheme – Special Account before; and/or (3) completed the Self-Awareness Questionnaire.



FA Representative Signature

Date									
D	D	-	M	M	-	Y	Y	Y	Y

PIAS Model Portfolio Forms Submission

Kindly submit wrap account opening on top of this company portfolio form should client not have an account with us yet



SECTION 1: ACCOUNT DETAILS 账户资料

Account Type ☐ Wrap Personal ☐ Wrap Joint

ACCOUNT HOLDER'S DETAILS 账户持有人资料

SECTION 2: CUSTOMER KNOWLEDGE ASSESSMENT (CKA)/CUSTOMER ACCOUNT REVIEW (CAR) 客户认知评估/客户账户审核

Joint Account Holder's Name	NRIC/Passport No.

You understand that any inaccurate or incomplete information provided by you may affect the outcome of the assessment. You also acknowledge and agree that a copy of the form will be submitted to the relevant investment platform.

PART 1: KNOWLEDGE ACQUIRED

Company Portfolio Forms Submission – New Client

COM_SG_20200131



Company Portfolios Transaction Form 公司投资组合交易申请表格

Wrap Account Opening/UT Transaction Form
包管投资账户申请/基金交易表格

Important: Please note that according to the Foreign Account Tax Compliance Act (FATCA), certain information you provide may require you to complete an additional source questionnaire. In addition, the Company will not accept applicants who have the following 1) a person resident in U.S., 2) a U.S. permanent resident or U.S. permanent resident alien, or 3) a U.S. passport or U.S. permanent resident alien status.

1. U.S. residence or U.S. permanent resident (green card holder)
2. U.S. passport and/or U.S. permanent resident status
3. U.S. passport and/or U.S. permanent resident status

ACCOUNT NAME (OPTIONAL) _____ FA CODE _____

SECTION 1: ACCOUNT DETAILS 账户资料

☐ New Account (Please complete Sections 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19, 20, 21, 22, 23, 24, 25, 26, 27, 28, 29, 30, 31, 32, 33, 34, 35, 36, 37, 38, 39, 40, 41, 42, 43, 44, 45, 46, 47, 48, 49, 50, 51, 52, 53, 54, 55, 56, 57, 58, 59, 60, 61, 62, 63, 64, 65, 66, 67, 68, 69, 70, 71, 72, 73, 74, 75, 76, 77, 78, 79, 80, 81, 82, 83, 84, 85, 86, 87, 88, 89, 90, 91, 92, 93, 94, 95, 96, 97, 98, 99, 100, 101, 102, 103, 104, 105, 106, 107, 108, 109, 110, 111, 112, 113, 114, 115, 116, 117, 118, 119, 120, 121, 122, 123, 124, 125, 126, 127, 128, 129, 130, 131, 132, 133, 134, 135, 136, 137, 138, 139, 140, 141, 142, 143, 144, 145, 146, 147, 148, 149, 150, 151, 152, 153, 154, 155, 156, 157, 158, 159, 160, 161, 162, 163, 164, 165, 166, 167, 168, 169, 170, 171, 172, 173, 174, 175, 176, 177, 178, 179, 180, 181, 182, 183, 184, 185, 186, 187, 188, 189, 190, 191, 192, 193, 194, 195, 196, 197, 198, 199, 200, 201, 202, 203, 204, 205, 206, 207, 208, 209, 210, 211, 212, 213, 214, 215, 216, 217, 218, 219, 220, 221, 222, 223, 224, 225, 226, 227, 228, 229, 230, 231, 232, 233, 234, 235, 236, 237, 238, 239, 240, 241, 242, 243, 244, 245, 246, 247, 248, 249, 250, 251, 252, 253, 254, 255, 256, 257, 258, 259, 260, 261, 262, 263, 264, 265, 266, 267, 268, 269, 270, 271, 272, 273, 274, 275, 276, 277, 278, 279, 280, 281, 282, 283, 284, 285, 286, 287, 288, 289, 290, 291, 292, 293, 294, 295, 296, 297, 298, 299, 300, 301, 302, 303, 304, 305, 306, 307, 308, 309, 310, 311, 312, 313, 314, 315, 316, 317, 318, 319, 320, 321, 322, 323, 324, 325, 326, 327, 328, 329, 330, 331, 332, 333, 334, 335, 336, 337, 338, 339, 340, 341, 342, 343, 344, 345, 346, 347, 348, 349, 350, 351, 352, 353, 354, 355, 356, 357, 358, 359, 360, 361, 362, 363, 364, 365, 366, 367, 368, 369, 370, 371, 372, 373, 374, 375, 376, 377, 378, 379, 380, 381, 382, 383, 384, 385, 386, 387, 388, 389, 390, 391, 392, 393, 394, 395, 396, 397, 398, 399, 400, 401, 402, 403, 404, 405, 406, 407, 408, 409, 410, 411, 412, 413, 414, 415, 416, 417, 418, 419, 420, 421, 422, 423, 424, 425, 426, 427, 428, 429, 430, 431, 432, 433, 434, 435, 436, 437, 438, 439, 440, 441, 442, 443, 444, 445, 446, 447, 448, 449, 450, 451, 452, 453, 454, 455, 456, 457, 458, 459, 460, 461, 462, 463, 464, 465, 466, 467, 468, 469, 470, 471, 472, 473, 474, 475, 476, 477, 478, 479, 480, 481, 482, 483, 484, 485, 486, 487, 488, 489, 490, 491, 492, 493, 494, 495, 496, 497, 498, 499, 500, 501, 502, 503, 504, 505, 506, 507, 508, 509, 510, 511, 512, 513, 514, 515, 516, 517, 518, 519, 520, 521, 522, 523, 524, 525, 526, 527, 528, 529, 530, 531, 532, 533, 534, 535, 536, 537, 538, 539, 540, 541, 542, 543, 544, 545, 546, 547, 548, 549, 550, 551, 552, 553, 554, 555, 556, 557, 558, 559, 560, 561, 562, 563, 564, 565, 566, 567, 568, 569, 570, 571, 572, 573, 574, 575, 576, 577, 578, 579, 580, 581, 582, 583, 584, 585, 586, 587, 588, 589, 590, 591, 592, 593, 594, 595, 596, 597, 598, 599, 600, 601, 602, 603, 604, 605, 606, 607, 608, 609, 610, 611, 612, 613, 614, 615, 616, 617, 618, 619, 620, 621, 622, 623, 624, 625, 626, 627, 628, 629, 630, 631, 632, 633, 634, 635, 636, 637, 638, 639, 640, 641, 642, 643, 644, 645, 646, 647, 648, 649, 650, 651, 652, 653, 654, 655, 656, 657, 658, 659, 660, 661, 662, 663, 664, 665, 666, 667, 668, 669, 670, 671, 672, 673, 674, 675, 676, 677, 678, 679, 680, 681, 682, 683, 684, 685, 686, 687, 688, 689, 690, 691, 692, 693, 694, 695, 696, 697, 698, 699, 700, 701, 702, 703, 704, 705, 706, 707, 708, 709, 710, 711, 712, 713, 714, 715, 716, 717, 718, 719, 720, 721, 722, 723, 724, 725, 726, 727, 728, 729, 730, 731, 732, 733, 734, 735, 736, 737, 738, 739, 740, 741, 742, 743, 744, 745, 746, 747, 748, 749, 750, 751, 752, 753, 754, 755, 756, 757, 758, 759, 760, 761, 762, 763, 764, 765, 766, 767, 768, 769, 770, 771, 772, 773, 774, 775, 776, 777, 778, 779, 780, 781, 782, 783, 784, 785, 786, 787, 788, 789, 790, 791, 792, 793, 794, 795, 796, 797, 798, 799, 800, 801, 802, 803, 804, 805, 806, 807, 808, 809, 810, 811, 812, 813, 814, 815, 816, 817, 818, 819, 820, 821, 822, 823, 824, 825, 826, 827, 828, 829, 830, 831, 832, 833, 834, 835, 836, 837, 838, 839, 840, 841, 842, 843, 844, 845, 846, 847, 848, 849, 850, 851, 852, 853, 854, 855, 856, 857, 858, 859, 860, 861, 862, 863, 864, 865, 866, 867, 868, 869, 870, 871, 872, 873, 874, 875, 876, 877, 878, 879, 880, 881, 882, 883, 884, 885, 886, 887, 888, 889, 890, 891, 892, 893, 894, 895, 896, 897, 898, 899, 900, 901, 902, 903, 904, 905, 906, 907, 908, 909, 910, 911, 912, 913, 914, 915, 916, 917, 918, 919, 920, 921, 922, 923, 924, 925, 926, 927, 928, 929, 930, 931, 932, 933, 934, 935, 936, 937, 938, 939, 940, 941, 942, 943, 944, 945, 946, 947, 948, 949, 950, 951, 952, 953, 954, 955, 956, 957, 958, 959, 960, 961, 962, 963, 964, 965, 966, 967, 968, 969, 970, 971, 972, 973, 974, 975, 976, 977, 978, 979, 980, 981, 982, 983, 984, 985, 986, 987, 988, 989, 990, 991, 992, 993, 994, 995, 996, 997, 998, 999, 1000)

SECTION 2: MAIN APPLICANT'S DETAILS 主要申请人资料

Full Name of Main/Joint Account Holder (as in NRIC/Passport) ☐ Dr ☐ Mr ☐ Ms ☐ Mrs ☐ Mdm NRIC/Passport No. _____

SECTION 3: CUSTOMER KNOWLEDGE ASSESSMENT (CKA)/CUSTOMER ACCOUNT REVIEW (CAR) 客户认知评估/客户账户审核

Main Account Holder's Name _____ NRIC/Passport No. _____

Joint Account Holder's Name _____ NRIC/Passport No. _____

It is important to find out if you have knowledge or investment experience to understand the risks and features of "Specified Investment Products" (SIP) which include unit trusts, exchange traded funds (ETFs), daily leverage certificates (DLC), structured warrants or similar products. This assessment, known as Customer Knowledge Assessment (CKA)/Customer Account Review (CAR), helps in the assessment of your knowledge or investment experience before recommendations are made to you or before your account is approved/activated to transact in listed SIPs.

You understand that any inaccurate or incomplete information provided by you may affect the outcome of the assessment. You also acknowledge and agree that a copy of the form will be submitted to the relevant investment platform.

If you are the Main Account Holder, please complete fields stated ☒ = Main Account Holder. If you are the Joint Account Holder, please complete fields stated ☐ = Joint Account Holder.

PART 1: KNOWLEDGE ACQUIRED



***DO NOT input the Portfolio Name using the account opening form!**

Company Portfolio Forms Submission

Indicate only the Company Portfolio name instead of listing fund by fund!

COM_SG_20200131

SECTION 4: COMPANY PORTFOLIOS SUBSCRIPTION 申购公司投资组合

Notes:

1. Orders paid via cheque will only be processed upon cheque clearance.
2. Orders made using Cash Account/CPFIS-OA/CPFIS-SA/SRS will be processed on T business day.

PORTFOLIO NAME	INVESTMENT AMOUNT (SGD)	UPFRONT FEE (%)	PAYMENT METHOD				
			CASH ACCOUNT CURRENCY	CHEQUE (Please complete Section 5)	OA	SA	SRS
PIAS Aggressive Model Portfolio (Cash) – Dividend Payout			<input type="checkbox"/> SGD	<input checked="" type="checkbox"/> SGD	<input type="checkbox"/> SGD	<input type="checkbox"/> SGD	<input type="checkbox"/> SGD
			<input type="checkbox"/> SGD	<input type="checkbox"/> SGD	<input type="checkbox"/> SGD	<input type="checkbox"/> SGD	<input type="checkbox"/> SGD

Tick “Advice provided” on Page 8 (Lump Sum Buys) and Page 12 (RSPs) for all transactions:

PROVISION OF ADVICE AND SUITABILITY OF PRODUCTS 提供投资咨询及产品合适性的建议

ADVICE PROVIDED

- ☒ ☐ The investment product/s that I/we intend to invest in is/are SUITABLE for me/us, and I/we would like to PROCEED with the investment.
- ☐ ☒ The investment product/s that I/we intend to invest in is/are NOT SUITABLE for me/us, but I/we choose to PROCEED* with the investment.

*To be submitted together with the Senior Management's Approval Form if you have failed CKA/CAR.

ADVICE NOT PROVIDED* [Only applicable if you passed CKA/CAR and transact in SIPs.]

*For representatives holding a Dealing license in the relevant investment product(s)

- ☐ ☒ I/We DO NOT WISH to receive any advice offered by my/our Adviser. I/We understand that by choosing not to receive any advice, I/we will not be able to rely on section 27 of the Financial Advisers Act (FAA) to file a civil claim in the event of a loss.

Company Portfolio RSP Forms Submission

Hardcopy Company Portfolio RSP submission is now available!



Regular Savings Plan Application/Update Form (Company Portfolios)

COM_SG_20200131

申请/更新定期储蓄计划表格 (交易公司投资组合)

ACCOUNT NAME (OPTIONAL)

FA CODE

ACCOUNT DETAILS 账户资料

☐ Existing Account Number

P

Account Type ☐ Wrap Personal

☐ Wrap Joint

APPLICANT'S DETAILS 申请者资料

Full Name of Main/Joint Applicant (as in NRIC/Passport)

☐ Dr

☐ Mr

☐ Ms

☐ Mrs

☐ Mdm

NRIC/Passport No.

Notes: 1. *RSP Frequency: M=Monthly, Q=Quarterly, S=Semi-Annual, A=Annually. CA.CCY=Cash Account Currency.

2. RSP will be auto-terminated if there are 3 consecutive voided RSP transactions due to deduction failure.

3. #RSP Duration applies to Cash Account only. It refers to number of occurrence of RSP. Kindly leave this field blank if there is no limit on number of RSP occurrence.

1

APPLY FOR NEW RSP 申请新的定期储蓄计划

PORTFOLIO NAME

RSPAMOUNT
(SGD)

UPFRONT
FEE (%)

FREQ
(M/Q/S/A)*

PAYMENT METHOD

GIRO

OA

SA

SRS

CA.CCY* DURATION#

**PIAS Aggressive Model Portfolio
(Cash) – Dividend Payout**

☐

☐

☐

☐

SGD

☐

☐

☐

☐

SGD

Where to find Company Portfolio Form on Adviser Portal?

Adviser portal > Customer Support > Download Forms > Ctrl+F "Company Portfolio"

- For Risk Disclosure Statement Relating to Securities, please click [here](#)
- For the Portfolio Financing LTV fund list, please click [here](#)
- For Form W-8BEN, please click [here](#)
- For guide to filling up Form W-8BEN, please click [here](#)
- For the DPMS transaction form, please click [here](#)
- For the **Company** Portfolios transaction form, please click [here](#)
- For the Accredited Investor and Assets and Liabilities Declaration Form, please click [here](#)
- For the CDP Linkage Form, please click [here](#)
- For the Change of Servicing Financial Adviser Form, please click [here](#)
- For the Anti-Money Laundering Declaration Form, please click [here](#)
- For iFAST Terms & Conditions, please click [here](#)
- For Enhanced Client Due Diligence (ECDD) questionnaire, please click [here](#)
- For List of High Risk Countries/Occupations for Enhanced Client Due Diligence, please click [here](#)

(Note: Please be reminded that all pages MUST be signed. Unsigned pages will be rejected.)

***RSP is on Page 11 of the same form**

PIAS Model Portfolio Online Submission

Company Portfolio Buy – Adviser

Adviser portal > Transact Now > Company Portfolio Transaction > Buy Company Portfolio

We use cookies to improve site performance and enhance your user experience. If you continue to use this site, you consent to our use of cookies on this device in accordance with our cookie policy.

Holdings Portfolio Mgmt Research ETFs Bonds Unit Trusts Stocks DPM **Transact Now** F. Admin Customer Support

Home
Your last login was Fri, 30 Nov 2018 05:14 PM

1 Week		1 Month	
Top Performing (1 week)			
Fund Name			%
Blackrock World Technology Fund A2 GBP			7.41
FTIF - Franklin Technology A (acc) USD			6.41
Blackrock World Technology Fund A2 SGD-H			6.31
FTIF - Franklin Technology A (acc) SGD-H1			6.3
Blackrock World Technology Fund A2 USD			6.25

(Last updated 30 Nov 2018)

1 Week	
Bottom Performing (1 week)	
Fund Name	%
United Gold and General Bond Fund A2 USD	-1.25
Schroder China Equity A2 USD	-1.15
Schroder ISF Global Gold A2 USD	-1.1
FTIF - Franklin Gold and Precious Metals A2 USD	-1.05
Deutsche Moor Precious Metals A2 USD	-1.0

(Last updated 30 Nov 2018)

1 Week	
Fund Watch (1 week)	
Fund Name	%
PineBridge India Equity A5CP SGD	1.25
United Singapore Bond Fund SGD	1.15
Wells Fargo Emerging Markets Equity A2 USD	1.1

- Transaction
 - ETF Transaction
 - Bonds Transaction
 - DPMS Transaction
 - DPMS RSP Transaction
 - Company Portfolio Transaction**
 - Buy Company Portfolio**
 - Sell Company Portfolio
 - Company Portfolio RSP Transaction
 - RSP

Same approval sequence as with all trades (Buy/Sell/RSP): *Adviser > Supervisor > Client*

Online Company Portfolio Transactions valid for 14 calendar days.

Company Portfolio Buy – Adviser

Adviser portal> Transact Now > Transaction > Buy Company Portfolio

Company Portfolio Buy

Add Product Company Portfolio TEST1234

eg. PIAS Aggressive Model Portfolio (Cash) – Dividend Payout

Company Portfolio Name	Payment Method	Investment Currency	Upfront Fee Rate (%)	Processing Fee Rate (%)	Investment Amount
PIAS Aggressive Model Portfolio (Cash)	Cheque	SGD	2.000	0%	1,000.00

***SELECT YOUR PORTFOLIO HERE:**

1. Risk Profile
2. Cash/CPF
3. Type:
 - Income
 - Growth

Buy

Please select a Company Portfolio

The selected account has an existing SGD Cash Account in order to proceed with Company Portfolio Buy.

RSP Application

Add Product

Please select a Company Portfolio

Note: Company Portfolio RSP Amendment is available only when client has an existing Company Portfolio RSP application.

Add Company Portfolio Buy Transaction

Company Portfolio Selected : Company Portfolio TEST1234

Company Portfolio
Currency
SGD

Minimum Initial Investment
SGD 1,000.00

Minimum Subsequent Investment
SGD 1,000.00

Payment Method

Cheque

Investment Currency

SGD

Investment Amount

1000

Upfront Fee Rate (%)

2

Max 4%

Processing Fee Rate (%)

0%

Dividend Option

Park in Cash Account

Dividend Payout

Currency

N/A

Dividend Transfer

Frequency

Quarterly

Portfolio dividend payout will be made on the 1st business day of the selected period.

Cancel

Add

***SELECT YOUR DIVIDEND OPTION HERE
(IF CHOSEN PORTFOLIO IS DIVIDEND PAYOUT)**

Company Portfolio Buy – Adviser

Adviser portal > Transact Now > Transaction > Buy Company Portfolio

1.

Transact Now Home / Transact Now

Choose Client Create Transaction **Confirm Transaction** Success

Client Name: John Doe P0039560
Account No: P0039560
Account Name: PersonalP0039560
Account Type: WP
Account AI Status: Non-AI
CKA Status: Pass
CAR Status: Pass

2.

Preview Company Portfolio Buy

Company Portfolio Name	Payment Method	Investment Currency	Upfront Fee Rate (%)	Processing Fee Rate (%)	Investment Amount	Dividend Option	Dividend Payout Currency	Dividend Transfer Frequency
Company Portfolio TEST1234	Cheque	SGD	2.000	0%	10,000.00	Payout	SGD	Quarterly

Note: For fees charged by stock exchanges, please refer to the [Important Notes for Transacting of Securities](#).

Payment Summary

Total Payment
SGD
10,000.00

Cheque
SGD
10,000.00

Cash Account
-

Cash Fund
-

Total RSP
-

CPFIS-OA
-

3.

Rationale
testing

☒ I hereby declare that I **have provided advice** for the above trade(s) and that the investment product(s) is/are **suitable** for the customer.
☐ I hereby declare that I **have provided advice** for the above trade(s) and that the investment product(s) is/are **not suitable** for the customer.
☐ I hereby declare that I **did not provide advice** for the above trade(s) / investment product(s) for the customer.

Back **Print Submit**

4.

Transact Now Home / Transact Now

Choose Client Create **Confirm** Success

Success

Email Notification to Supervisor

Company Portfolio Buy – Adviser

Adviser portal > Transact Now > Transaction > Edit Transaction

Transact Now

Editable Trades

Editable Mass Trades

Note : For mass trades, only deletion of account is allowed.

Mass Switching ID	Description	Created Date	Total Pending Transaction	Approval Sequence (Pending Party* in Bold)	Notify Pending Party	Edit this	Delete This
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No Mass Switching trades available.

Client who does not have email address will not receive this approval reminder email.

Editable Trades

Client's NRIC	Client Name	Description	Created Date	Approval Sequence (Pending Party* in Bold)	Notify Pending Party	Edit this
JOHNNRIC173666	John Doe P0039560 (P0039560)	Company Portfolio Buy Company Portfolio TEST1234	31 Oct 2019	REP=>SUP=>CLI=>SYS	EmailSupervisor	EditPortfolio

***Attach your Financial Planner**

Company Portfolio – Client Approval

Welcome, John Doe P0344518 Your last login was 06-Oct-2018 8:34PM

Adviser Details

Company Name		Adviser Name	Adviser John Doe
Hp No		Office No	

- Bank account number / sort
- Payee bank account name
- Address of bank
- SWIFT Code / Chips ID / Australia BSB Code / USA Fed Wire / USA ABA / UK Sort Code

- Address of bank
- SWIFT Code / Chips ID / Australia BSB Code / USA Fed Wire / USA ABA / UK Sort Code

Buy

COMPANY PORTFOLIO

Product Name	Payment Method	Fees (%) / (\$)	Investment Amount with Upfront Fee	Estimated Investment Amount	Advice Given	Suitability
	Cash Account (SGD) P0344518 [W]	Upfront: 2.000 % / SGD 40.00	SGD 2,000.00	SGD 1,960.00	☑	☑

Company Portfolio – Client Pending Transactions

Welcome, John Doe P0344518 Your last login was 06-Oct-2018 8:34PM

Buy Print

You have made these purchases using this investment account. They are being processed.

Columns Search:

Purchase Order No.	Contract No.	Name of Fund	Product Type	Order Date	Transaction / Settlement Date	Investment Amount/Purchase Amount	Purchase Price	Nominal Value / Quantity	Payment Method	Payment Mode	Status	Transaction Type	Advice Given	Suitability
PDPMS181102000007	BDCON181102000002		Company Portfolio	05 Nov 2018	02 Nov 2018	SGD 2,000.00	N/A	N/A	Cash	Cash Account	processing	Buy		

Showing 1 to 1 of 1 entries

Sell Print

Company Portfolio – Adviser View Holdings

Adviser portal can view all Company Portfolio holdings & pending transactions

Holdings Portfolio Mgmt Research ETFs Unit Trusts Transact Now FA Admin Customer Support										
Holdings										
View iFAST Holdings										
Customer Account: List All Clients										
No.	Account No	NRIC Name	Account Name	Account Type	Holdings	CKA Status (Main)	CKA Status (Joint)	CAR Status (Main)	CAR Status (Joint)	Account AI Status
1	P0011746	John Doe P0011746 and Jane Doe	PersonalP0011746	Joint	UTs /ETFs /Stocks Bonds RSP	Pass (Expiry Date: 12-Jun-2019)	-	Pass (Expiry Date: 12-Jun-2021)	-	Non-AI
2	P0011764	John Doe P0011764	PersonalP0011764	Personal	Company Portfolio	Pass (Expiry Date: 29-May-2019)	-	Pass (Expiry Date: 29-May-2021)	-	Non-AI
3	P0011765	John Doe P0011765	PersonalP0011765	Personal		Pass (Expiry Date: 01-Sep-2019)	-	Pass (Expiry Date: 01-Sep-2021)	-	Non-AI
4	P0011788	John Doe P0011788 and Jane Doe	PersonalP0011788	Joint		-	-	-	-	Non-AI
5	P0011832	John Doe P0011832	PersonalP0011832	Personal		-	-	-	-	Non-AI

To download all customers' Investments holdings, click [here](#).

Company Portfolio

Company Portfolio Pending Transaction

Account No : P0344518

Account Holder : John Doe P0344518

Pending Purchases of Company Portfolio

Contract No	Portfolio Name	Inception Date	Investment Amount	Payment Mode
BDCON181102000002		05-Nov-2018	SGD 2,000.00	Trust Account

Pending Redemption of Company Portfolio

Contract No	Portfolio Name	Inception Date	Net Redemption Proceeds	Payment Mode
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You don't have any pending Company Portfolio sell transaction.

You can also view holdings or historical transactions.

CUT-OFF TIMES!

ONLINE



- Supervisor & Client approval is required for online BUY trades
- Online module located in **Adviser portal > Transact Now > Company Portfolio Transaction > Buy Company Portfolio**
- Cut off time: 3pm

OFFLINE



- Form can be downloaded in the **Adviser portal > Customer Support > Download forms > Company Portfolio**
- Cut off time: 12pm
(Do check internally with FA firm for relevant cut off timing.)

***on top of wrap
account opening form
for new clients**

PAYMENT METHOD

Cheque, Cash Account, Ibanking, CPFOA/SA/SRS

BUY COMPLETION

T+4 Business Days

REBALANCING

Allocation% or Switching

1. Rebalancing is NOT automatic!

Only upon client's approval, will the portfolio composition change

2. Supervisor approval for rebalancing – PIAS Product Team

NOT your usual approving party (manager/director/management)

So only need **client's** approval for all rebalancing done

3. Rebalancing is done:

- on an Adhoc Basis (Volatile Markets)
- on a Periodic Basis (usually Quarterly)



SWITCH COMPLETION

T+6 Business Days

Contact Details

iFAST Helpdesk

Tel: 6557 2000

Email: ifastadmin@ifastfinancial.com

(8.30am to 5.30pm, Monday to Friday)

Business Development Team

Karen Goh | 9172 1025 | karen.goh@ifastfinancial.com

Gary Lim | 9858 0568 | gary.lim@ifastfinancial.com

Yeo Yen Lin | 9768 5566 | yenlin@ifastfinancial.com

Janice Tan | 8121 8185 | janice.tan@ifastfinancial.com



eSUBSCRIPTION because...

Account Statement and Confirmation will be sent out to clients via email/SMS:

Email Notifications:

Email #1 - to Adviser:

Company Portfolio Rebalancing [*Rebalancing ID*] **has been generated**

Email #2 - to Client:

[P0312345] Online transaction **awaiting your approval**

****If past 14 calendar days' validity:***

Email #3 - to Adviser: [P0312345] Online transaction voided

****Successful transaction:***

Email #3 - to Client, Adviser and Supervisor: [P0312345] Online transaction **has been put into system**

Have got UT holdings you want to transfer into the Model Portfolios?

(P)SG_20190607

iFAST
financial

Internal Transfer Instruction Form

Transfer from

FA Company: _____

Acct Number: _____

Acct Type: ☐ Wrap Personal ☐ Non-Wrap Personal
☐ Wrap Joint ☐ Non-Wrap Joint

Transfer to

☐ **OPTION 1 :**
Another iFAST Account

FA Company: _____

Acct Number: _____

Acct Type: ☐ Wrap Personal ☐ Non-Wrap Personal
☐ Wrap Joint ☐ Non-Wrap Joint

☒ **OPTION 2 :**
Portfolio (in the same iFAST Account)

☐ Discretionary ☒ Non-Discretionary (Please tick 1 only)

Portfolio Name: _____

- 1) A copy of the recent statement of holdings of the 'Transfer from' account is required.
- 2) Weighted average cost from the 'Transfer from' account will be reflected in the 'Transfer to' account.
- 3) Upon transfer of all units, EXISTING RSP will be TERMINATED. Please submit new RSP/GIRO forms for the 'Transfer to' account if necessary.
- 4) Unit trust dividend reinvestment units credited into the 'Transfer from' account after a full transfer will automatically be sold, with proceeds credited into the Cash Account/client's CPF investment account/CPF Ordinary Account/CPF Special Account/SRS account (whichever is applicable).
- 5) For transfers to a third party*, the Transferor(s) is/are required to visit our office with their NRIC/passport for verification.
*Where there are no common account holders in both accounts.
- 6) All account holders are required to sign in the respective Transferor (FROM) and Transferee (TO) sections.