



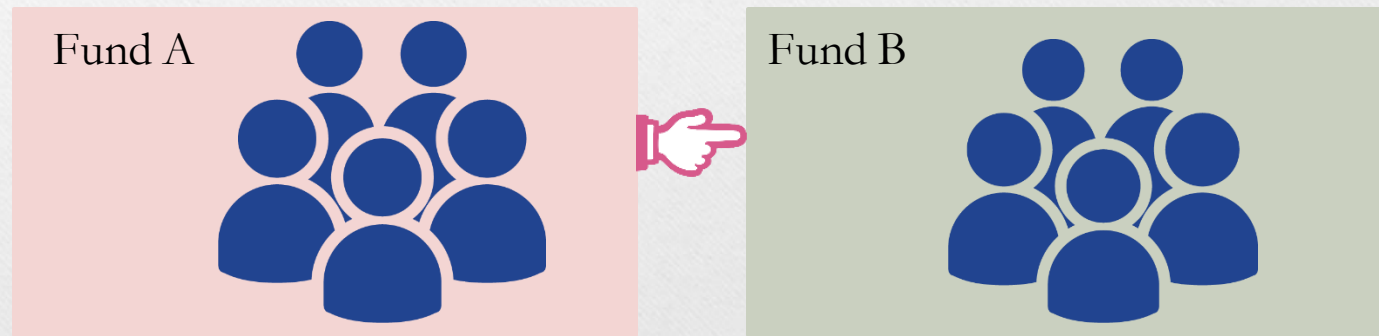
iFAST MASS SWITCH

Business Development Team | 2024

MASS SWITCH

Why Mass switch?

- Switch funds concurrently for all clients housed in it
- Conveniently switch multiple clients out of the same fund instead of creating multiple single switches for each client.



MASS SWITCH

Transactions > Create Transactions > Mass Switch

Welcome to our new adviser website. To login to our old adviser website, please [click here](#).

Welcome, Advisor John Doe F [redacted]
Your last login was 03:33 PM on Thursday 21 January 2021

Clients ▾ Products ▾ Transactions ▾ Watchlist Portfolio Management ▾ Research Events ▾ Info

Create Transactions Edit Transactions Transaction Status

Transact Edit/Approve Transactions Pending/Historical

Buy, RSP, Sell, Switch Edit RSP Download Transaction Attachment

Mass Switch RSP

Cash Account

Transactions / Create Transactions

Transact Mass Switch Cash Account

Transactions / Mass Switch

Initiate Switch Sell Transaction

Product Type [redacted] Product Name [redacted] Select Payment Method [redacted]

UTs

- Aberdeen Standard Asian Smaller Companies SGD
- Aberdeen Standard China Opportunities SGD
- Aberdeen Standard Global Emerging Markets SGD
- Aberdeen Standard Global Opportunities SGD
- Aberdeen Standard India Opportunities SGD
- Aberdeen Standard Pacific Equity SGD

Cash

CPFIS-OA

CPFIS-SA

SRS

Select the payment mode of selected MASS SELL fund

Select Fund Name TO MASS SELL

Select Product Type, Payment Method and Product Name to initiate Mass Switch Transaction

1 Select Product and Client(s) 2 Enter Transaction 3 Confirmation

MASS SWITCH

Transactions > Create Transactions > Mass Switch

Initiate Switch Sell Transaction

Product Type Product Name Select Payment Method



Client(s) under this product

Search Main & Joint Client Name, NRIC...

iFAST Status Account Type Holding Amount Holding Units Reset

Account No.	Client Name	Account Name	Account Type	Risk Profile	Account AI Status	CKA (Main) Expiry Date	CKA (Joint) Expiry Date	CAR (Main) Expiry Date	CAR (Joint) Expiry Date	SGX Position Account	FATCA Status	iFAST Status	Unit Available	Active RSP	Continue RSP?
P0314496	John Doe P0314496	PersonalP0314496	Non-Wrap Personal	-	Non-AI	Failed	-	Failed	-	No	N/A	IA	27,892.36	Yes	<input checked="" type="radio"/> Yes <input type="radio"/> No <input checked="" type="checkbox"/>
P0287332	John Doe P0287332	PersonalP0287332	Non-Wrap Personal	-	Non-AI	Pass	16 Oct 2017	Pass	16 Oct 2019	No	N/A	IA	11,825.43	No	- <input checked="" type="checkbox"/>
P0324266	John Doe P0324266	PersonalP0324266	Non-Wrap Personal	-	Non-AI	Pass	11 Jan 2021	Pass	11 Jan 2023	Yes	N/A	IA	9,294.4	No	- <input checked="" type="checkbox"/>
P0328820	John Doe P0328820	PersonalP0328820	Wrap Personal	-	Non-AI	Pass	29 Dec 2021	Pass	29 Dec 2023	Yes	N/A	IA	15,346.11	Yes	<input type="radio"/> Yes <input checked="" type="radio"/> No <input checked="" type="checkbox"/>
P0320694	John Doe P0320694	PersonalP0320694	Non-Wrap Personal	-	Non-AI	Failed	-	Failed	-	No	N/A	IA	18,515.32	Yes	<input type="radio"/> Yes <input type="radio"/> No <input type="checkbox"/>
P0320695	John Doe P0320695	PersonalP0320695	Non-Wrap Personal	-	Non-AI	Failed	-	-	-	No	N/A	IA	18,806.51	Yes	<input type="radio"/> Yes <input type="radio"/> No <input type="checkbox"/>

Select clients to participate in fund switch.

Initiate Switch Buy Transaction

Switch Sell

UT First Sentier Bridge A DIS SGD

Full Switch Sell: Clients with existing RSP will be provided an option to 'continue RSP' for the switched out fund.
Partial Switch Sell: Existing RSP will not be terminated.

Product Type

Product Name

MASS SWITCH

Transactions > Create Transactions > Mass Switch

Client(s) under this product

Search Main & Joint Client Name, NRIC...

IFAST Status Account Type Holding Amount Holding Units Reset

Show: 10

Account No.	Client Name	Account Name	Account Type	Risk Profile	Account AI Status	CKA (Main) Expiry Date	CKA (Joint) Expiry Date	CAR (Main) Expiry Date	CAR (Joint) Expiry Date	SGX Position Account	FATCA Status	IFAST Status	Unit Available	Active RSP	Continue RSP?
P0314496	John Doe P0314496	PersonalP0314496	Non-Wrap Personal	-	Non-AI	Failed	-	Failed	-	No	N/A	IA	27,892.36	Yes	<input checked="" type="checkbox"/>
P0287332	John Doe P0287332	PersonalP0287332	Non-Wrap Personal	-	Non-AI	16 Oct 2017	Pass	16 Oct 2019	Pass	No	N/A	IA	11,825.43	No	<input checked="" type="checkbox"/>
P0324266	John Doe P0324266	PersonalP0324266	Non-Wrap Personal	-	Non-AI	11 Jan 2021	Pass	11 Jan 2023	Pass	Yes	N/A	IA	9,294.4	No	<input checked="" type="checkbox"/>
P0328820	John Doe P0328820	PersonalP0328820	Wrap Personal	-	Non-AI	29 Dec 2021	Pass	29 Dec 2023	Pass	Yes	N/A	IA	15,346.11	Yes	<input checked="" type="checkbox"/>
P0320694	John Doe P0320694	PersonalP0320694	Non-Wrap Personal	-	Non-AI	Failed	-	Failed	-	No	N/A	IA	18,515.32	Yes	<input type="checkbox"/>
P0320695	John Doe P0320695	PersonalP0320695	Non-Wrap Personal	-	Non-AI	Failed	-	-	-	No	N/A	IA	18,806.51	Yes	<input type="checkbox"/>

Initiate Switch Buy Transaction

Switch Sell

UT First Sentier Bridge A DIS SGD



Switch Buy

Product Type
UT

Product Name
Aberdeen Standard China Opportunities SGD

Select Switch Buy fund

UTs
Aberdeen Standard

Allocation

- UTs
- Aberdeen Standard Asian Smaller Companies SGD
- Aberdeen Standard China Opportunities SGD
- Aberdeen Standard European Opportunities SGD
- Aberdeen Standard Global Emerging Markets SGD
- Aberdeen Standard Global Opportunities SGD
- Aberdeen Standard Global Technology SGD
- Aberdeen Standard India Opportunities SGD

MASS SWITCH

Client(s) under this product

Search Main & Joint Client Name, NRIC...

IFAST Status Account Type Holding Amount Holding Units Reset

Show: 10

Account No.	Client Name	Account Name	Account Type	Risk Profile	Account AI Status	CKA (Main) Expiry Date	CKA (Joint) Expiry Date	CAR (Main) Expiry Date	CAR (Joint) Expiry Date	SGX Position Account	FATCA Status	IFAST Status	Unit Available	Active RSP	Continue RSP?
P0314496	John Doe P0314496	PersonalP0314496	Non-Wrap Personal	-	Non-AI	Failed	-	Failed	-	No	N/A	IA	27,892.36	Yes	<input checked="" type="radio"/> Yes <input type="radio"/> No
P0267332	John Doe P0267332	PersonalP0267332	Non-Wrap Personal	-	Non-AI	Pass	16 Oct 2017	Pass	16 Oct 2019	No	N/A	IA	11,825.43	No	<input type="radio"/> Yes <input checked="" type="radio"/> No
P0324266	John Doe P0324266	PersonalP0324266	Non-Wrap Personal	-	Non-AI	Pass	11 Jan 2021	Pass	11 Jan 2023	Yes	N/A	IA	9,294.4	No	<input type="radio"/> Yes <input checked="" type="radio"/> No
P0328820	John Doe P0328820	PersonalP0328820	Wrap Personal	-	Non-AI	Pass	29 Dec 2021	Pass	29 Dec 2023	Yes	N/A	IA	15,346.11	Yes	<input type="radio"/> Yes <input checked="" type="radio"/> No
P0320694	John Doe P0320694	PersonalP0320694	Non-Wrap Personal	-	Non-AI	Failed	-	Failed	-	No	N/A	IA	18,515.32	Yes	<input type="radio"/> Yes <input type="radio"/> No
P0320695	John Doe P0320695	PersonalP0320695	Non-Wrap Personal	-	Non-AI	Failed	-	-	-	No	N/A	IA	18,806.51	Yes	<input type="radio"/> Yes <input type="radio"/> No

Initiate Switch Buy Transaction

Switch Sell

UT First Sentier Bridge A DIS SGD



Switch Buy

Product Type
UT

Product Name
Aberdeen Standard China Opportunities SGD

UTs Aberdeen Standard China Opportunities SGD

Allocation
100 %

Upfront Fee
1 %

You will be prompted to 'continue RSP' upon selection of 100% allocation, if the switch out fund has RSP (Full switch).

To delete fund



Enter the % allocation to switch buy into the new fund

*Upfront fee only applicable to non-wrap accounts

Click proceed

Example:
100% allocation indicates 100% of FSB will be sold and bought into new fund

4 out of 6 client(s) have been selected

Proceed

View No. of clients selected for mass switch exercise

MASS SWITCH

Note(s)

1. Trade Approval Sequence Adviser > Client > Supervisor > In System will be changed to Adviser > Supervisor > Client > In System.
2. All submitted mass switch transactions before 2400HR daily will be created and made available for approval the following day. Please refer to email sent to you the following morning for the list of successful and failed switches. (E.g. Mass switch submitted on 1 May 11.30pm, trade will be available for supervisor approval on 2 May.)
3. By default, your RSP will be terminated upon full redemption (unless there is a pending buy/switch buy/transfer in transaction for the same fund). Please select "Continue RSP?" if you wish to continue the RSP.
4. If you proceed with full redemption on a holding that has pending buy/switch buy/transfer in transaction(s), you may not have sufficient units to meet the minimum redemption requirement for the same holding in the future.
5. You may incur fees and charges as a result of (a) the disposal of, or reduction in interest in, an existing investment product; and (b) the acquisition of, or increase in interest in, a new investment product. Before switching from one investment product to another, you should find out whether you are entitled to free switching and consider carefully whether any fees, charges and/or disadvantages that may arise from a switch would outweigh any potential benefits. Some of the disadvantages associated with switching include the following:
 - (i) you may incur transaction costs without gaining any real benefit from the switch;
 - (ii) the new investment product may offer a lower level of benefit at a higher cost or same cost, or offer the same level of benefit at a higher cost;
 - (iii) you may incur penalties for terminating the existing investment product;
 - (iv) the new investment product may be less suitable for you.You should seek the advice of your financial adviser when in doubt or if you require further clarification.
Please note that you have the right to cancel purchase instructions for certain funds, subject to certain conditions. Please refer to [Important Notes for Transactions](#) for more details.
6. For information on transactions, please refer to [Important Notes for Transactions](#).
7. The fees stated are inclusive of GST (where applicable).

Rationale

Rationale

Your basis of recommendation here

5000 characters left.

Attached Files

Supporting documents if applicable

Please select
advice provided

Declaration

- ☒ I hereby declare that I have provided advice for the above trade(s) and that the investment product(s) is/are suitable for the customer.
- ☐ I hereby declare that I have provided advice for the above trade(s) and that the investment product(s) is/are not suitable for the customer.
- ☐ I hereby declare that I did not provide advice for the above trade(s)/investment product(s) for the customer.

Back



Please note that you will not be allowed to edit or add file attachments (if any) after the mass switch has been submitted.

Cancel

Proceed



Mass switch submitted. All submitted mass switch transactions will only be created and made available for approval the following day. Please refer to email sent to you the following morning for the list of successful and failed switches.

OK

Click "Proceed"
& "OK"



Print

Submit

Click "Submit"

MASS SWITCH

Routed for supervisor's approval after 1 day

Click "Pending My Approval" in Home page:

Routed to:

Trade Approval Status

Pending My Approval	1
Pending Supervisor Approval	0
Pending Client Approval	0

Supervisor's
Login

The screenshot shows the iFAST Financial system interface. The top navigation bar includes 'Clients', 'Products', 'Transactions', 'Watchlist', 'Portfolio Management', 'Research', 'Events', 'Info', and a user profile. The 'Transactions' dropdown menu is open, highlighting 'Edit/Approve Transactions'. The main content area shows three approval status cards: 'Pending My Approval' (1), 'Pending Supervisor Approval' (0), and 'Pending Client Approval' (0). Below these is a table titled 'Pending Mass Trade(s)' with columns: 'Approve By', 'Description', 'Payment Method', 'No. of Accounts Submitted', 'Transaction Type', 'Advisor', 'Attachment', and 'Action(s)'. A single trade is listed with an 'Approve' button highlighted in red.

Approve By	Description	Payment Method	No. of Accounts Submitted	Transaction Type	Advisor	Attachment	Action(s)
03 Feb 2021	Mass Switch Blackrock World Healthscience A2 SGD-H to Allianz European Equity Dividend CI AM DIS H2-USD	Cash	2	Mass Switch	Advisor John Doe FAL00025 FAL00025		Approve

MASS SWITCH

View transaction info before approving & list of clients under this mass switch

Transactions / Edit/Approve Transactions

Create Date: 21 Jan 2021

Approval Sequence: ✓Adviser > Supervisor > Client > In System

Adviser Info

Adviser Name: Advisor John Doe FAL00025
Adviser Code: FAL00025

No. of Transactions

Mass Switching ID	Submitted	Failed	Succeeded	Deleted (by Adviser)	Pending Approval	Approved	Voided (by IFA ST)
MAS210121000001	2	1	1	0	1	0	0

Mass Switching Trade

Switch Sell Fund	Switch Buy Fund	Payment Method	IFA ST Status	Fees (%)(\$)	% Allocation of Units Available	Advice Given	Suitability
Blackrock World Healthscience A2 SGD-HI	Allianz European Equity Dividend CIAM DIS H2-USD	Cash	N/A	Upfront: 0%	100	Yes	Yes

List of Clients

Account No.	Client Name	Account Name	Account Type	Risk Profile	Switch Units	Estimated Redemption Amount	Fees (%)(\$)	IFA ST Status	Continue RSP?
P0049908	John Doe P0049908	PersonalP0049908	Wrap Personal	-	644.03	SGD 13,363.62	Upfront: 0% Processing: -	N/A	-

Rationale

-

Activity and Comments

✓ (Trade created)

Advisor John Doe FAL00025 (FAL00025) at 22-02-2021 10:12:23

○ Supervisor Comments

Comments

Any comments you would like to add in

5000 characters

Attached Files

-

Declaration

☒ I have reviewed the recommendation(s) made by the Financial Adviser Representative and hereby give my approval.
For CPF Transactions: In the event that my approval for the CPF transaction(s) (if any) is more than 3 business days after the customer's, I hereby confirm that I have obtained the customer's prior instruction to proceed with the CPF transaction.

Back

Print

Approve

Tick the following declaration



Submit approval?

Enter password to proceed

Password

Enter password & click "OK"

Cancel

OK

Click "Approve"

Print

Approve

IMPORTANT NOTES FOR MASS SWITCH

- Trade Approval Sequence: **Adviser > Supervisor > Client > In System**
- Mass switch is **not** editable after submission, please ensure that:
 - ✓ all relevant documents are attached before clicking on submit
 - ✓ switch sell & switch buy funds are correct prior to submitting
- **By default, all RSPs will be terminated** upon full redemption of the switch sold fund – ie. 100% allocation *unless there is a pending buy/switch buy/transfer in transaction for the same fund
Please select "Continue RSP?" if you wish to continue the RSP. If you proceed with full redemption on a holding that has pending buy/switch buy/transfer in transaction(s), you may not have sufficient units to meet the minimum redemption requirement for the same holding in the future.
- You can only mass sell and mass buy one fund at a time



Continue RSP? ☐

☒ Yes
☐ No

MASS SWITCH

Things to note

- All submitted mass switch transactions before 2400HR daily will be created & made available for approval the following day:
 - ✓ Approving parties will only be able to see these trades pending their approval 1 day later
 - ✓ An email will be sent to adviser the following morning to show the list of successful and failed switches.

For example, Mass switch submitted on 1 May 11.30pm, trade will be available for supervisor approval on 2 May.

Pending My Approval	0	Pending Supervisor Approval	0	Pending Client Approval	0
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Hence, it will be reflected as “0” right after submission of Mass Switch

MASS SWITCH

Emails

To supervisor for approval:

Dear Valued Adviser

A mass switch has been created by [REDACTED], id : MAS210218000004 waiting for your approval.

Please log in and approve it before (4 Mar 2021), 2359 hours. Otherwise, the transaction will be voided accordingly.

Thank you.

Regards,
iFAST Financial Pte Ltd

iFAST Financial Pte Ltd (Company Registration 200000231R)
10 Collyer Quay #26-01 Ocean Financial Centre Building Singapore 049315

To adviser on the successful & failed mass switch transactions:

Dear Valued Adviser

Mass Switch Details	
Mass Switch ID	MAS210218000004
Created Date	Fri Feb 19 04:15:48 SGT 2021
Payment Method	SRS
Switch Sell Fund	Legg Mason Western Asset - Global Bond Trust A Acc SGD
Switch Buy Fund	United SGD Fund CI A Acc SGD
Total Trades submitted	14
Total Failed trade	1
Total Successful trade	13

- View switch sell fund & switch buy fund
- Total number of clients under this mass switch
- Successful & failed trades

Kindly note that the switch transactions for following account(s) is successful:

S/No.	Client Name	Account Number
1	Y*o Jie Y**g	P0297079
2	L*e Wen J**g @*** *****	P0023434
3	S*o Chuan C**n	P0029585
4	C****g Wai H*a	P0363074
5	C**u Hock Y**g, *****	P0293051
6	L*w L**y	P0124190
7	F****a Wayne V*****t	P0297076
8	N*o Teck L**g	P0210330
9	R****a Lim Sin C***t	P0278777
10	L*e Kok W*i (** *****)	P0044195
11	G*h Tong Y*w	P0100854
12	T*y Pau L***g	P0061293
13	R*****d Sim Ling L**g	P0037055

The switch transactions for following account(s) is unsuccessful:

S/No.	Client Name	Account Number	Reason
1	A* Xiu X**g	P0074901	The holdings of the Legg Mason Western Asset - Global Bond Trust A Acc SGD is not sufficient to execute the switch(es) based on the stated Allocation percentage. Please increase the allocation percentage of United SGD Fund CI A Acc SGD in order to proceed with the switch(es).

Reason for failed trades (if any)



iFAST Helpdesk

Tel: 6557 2000

Email: ifastadmin@ifastfinancial.com

(8.30am to 10.30pm, Monday to Friday)



Business Development Team

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