

Employer Consent for Representative Status

To: **Professional Investment Advisory Service (“PIAS”)**

I hereby verify that _____ NRIC No: _____ (“Employee”)

is currently/will be* employed by _____ (“Employer”) as a

_____. The Employee’s status with the Employer

is/will be ☐ part-time ☐ freelance ☐ commission based ☐ contract.

The number of hours spent in the employment: _____ hours/week

If the Employee is a contract staff, the contract tenure is from _____ to _____.

The Employer has been informed by the Employee that he/she

☐ is currently a representative with PIAS.

☐ will be applying as a licensed representative with PIAS.

The Employer hereby gives its consent to the Employee to be a representative with PIAS and declares that;

- I. The Employer’s business and the Employee’s duties and scope of work do not conflict with PIAS’s Financial Advisory business.
- II. The Employer’s business and the Employee’s duties and scope of work do not involve in:
 - a. Acting as real estate agents;
 - b. Carrying on moneylending business;
 - c. Promoting junkets for casinos;
 - d. Marketing, promoting or conducting classes/ seminars/ software sales on Shares/ Commodities/Forex trading;
 - e. Marketing products that are not regulated under the Financial Advisers Act (“FAA”) (e.g. multi-level marketing, virtual currencies/ gold buy-back schemes, land banking/overseas property sales and crop schemes).
- III. It is not a company listed in the “Investor Alert List”.

Signature of
Authorized Officer

Date

Company Stamp

Name of Authorized Officer : _____

Designation : _____

Contact Number : _____