



CREATING TRADES ON iFAST NEW WEBSITE

Business Development Team | Jun 2020

CREATING TRADES

Select Transactions > Transact

The screenshot displays the PIAAS client portal. The top navigation bar includes links for Clients, Products, Transactions, Portfolio Management, Research, Events, and Info. The user is logged in as 'AJ PIA00028'. A dropdown menu under 'Transactions' is open, showing options: Create Transactions, Transact (highlighted with a red box), Edit Transactions, Edit/Approve Transactions, Edit RSP, Cash Account, Transaction Status, Pending/Historical, Download Transaction Attachment, and RSP. A red text annotation '*For all Types of Products' is placed below the 'Transact' option. The main content area features a large banner for 'iFAST AUTO-SWEEP' with the text 'A Brand New Cash Management Solution, Now Available in the Client Login!'. Below the banner are three benefit cards: 'Potentially Higher Yield Compared to Cash Account', 'Pay for both SGD & Non-SGD investment', and 'No lock-in Period or Early Termination Cost'. To the right, there is a section for 'iFAST Global Wealth Advisers Symposium NEW YORK USA 2020' with a progress bar for 'iGWAS 2020 (New York)' showing 'Current qualifying net sales' of SGD 23,472,580.25 and 'Amount of net sales to qualify' of SGD 4,000,000.00.

Welcome, Advisor John Doe PIA00028
Your last login was 04:47 PM on Wednesday 17 June 2020

Transactions

- Create Transactions
- Transact**
Buy, RSP, Sell, Switch
- Cash Account
- Edit Transactions
- Edit/Approve Transactions
- Edit RSP
- Transaction Status
- Pending/Historical
- Download Transaction Attachment
- RSP

***For all Types of Products**

iFAST AUTO-SWEEP

A Brand New Cash Management Solution,
Now Available in the Client Login!

- Potentially Higher Yield Compared to Cash Account
- Pay for both SGD & Non-SGD investment
- No lock-in Period or Early Termination Cost

iFAST Global Wealth Advisers Symposium NEW YORK USA 2020

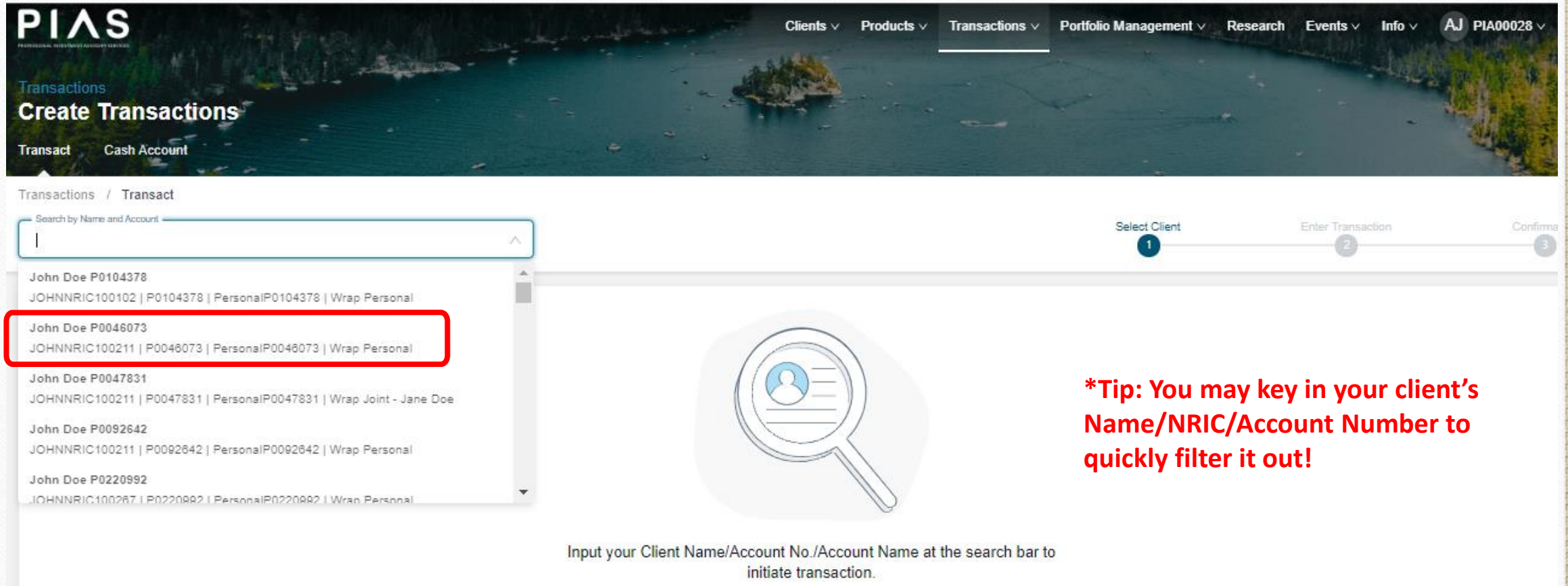
iGWAS 2020 (New York)

Current qualifying net sales ⓘ
SGD 23,472,580.25

Amount of net sales to qualify
SGD 4,000,000.00

CREATING TRADES

Select the **Account** you would like to transact for



The screenshot displays the PIAS (Professional Investment Advisory Services) web application. The top navigation bar includes links for Clients, Products, Transactions (which is highlighted), Portfolio Management, Research, Events, and Info. The user is logged in as 'AJ' with account number 'PIA00028'. The main heading is 'Create Transactions', with a sub-tab for 'Cash Account'. A progress bar at the top right shows three steps: 'Select Client' (1), 'Enter Transaction' (2), and 'Confirm' (3). A search bar labeled 'Search by Name and Account' is open, showing a list of clients. The client 'John Doe P0046073' is highlighted with a red box. Below the search bar, a magnifying glass icon is shown over a document, with the text: 'Input your Client Name/Account No./Account Name at the search bar to initiate transaction.'

***Tip: You may key in your client's Name/NRIC/Account Number to quickly filter it out!**

CREATING TRADES

Ensure that you're on the **BUY** section and select the **Type of Product** you wish to transact for client

PIAS

PERSONAL INVESTMENT ADVISORY SERVICES

Transactions

Create Transactions

TransactCash Account

Transactions / Transact

Search by Name and Account

JOHNNRIC100211 | P0046073 | PersonalP0046073 | Wrap Personal

Select Client

Enter Transaction

Confirmation

Account Status	Confirmed	Suspended	No	Account AI Status	Non-AI
SGX Position Account	Yes	FATCA Status	N/A	Discretionary Account	-
Auto-Sweep Status	-	CKA/CAR Status	CKA (Main) CKA (Joint) CAR (Main) CAR (Joint)	Risk Profile (by payment method)	Cheque - CPFIS-OA - CPFIS-SA - SRS -

Buy/RSP

Sell

Switch

Product Type

Product Name

UT

ETF

DPMS

Company Portfolio

Select the type of product you wish to transact for client

Kindly select product(s) to initiate transaction.

CREATING UNIT TRUST BUY TRADES

Select the **fund** you would like to transact for client

Buy/RSP Sell Switch

Product Type
UT

Product Name
***You may key in here to filter out the fund of your choice easily**

UTs

- AB SICAV I International Health Care A SGD
- Aberdeen Standard Asian Smaller Companies SGD
- Aberdeen Standard China Opportunities SGD
- Aberdeen Standard European Opportunities SGD
- Aberdeen Standard Global Emerging Markets SGD

Buy/RSP **1** Sell Switch

Product Type
UT

Product Name

UT RSP Special List Aberdeen Standard Pacific Equity SGD

Currency SGD Minimum Initial/Subsequent Amount SGD 1,000.00/SGD 100.00 Minimum RSP Amount SGD 100.00

☒ Buy ☐ RSP ☐ RSP via UT

Buy

Payment Mode Investment Currency Investment Amount Upfront Fee % Dividend Option Dividend Payout Currency



To delete fund selected

CREATING UNIT TRUST BUY TRADES

How do I select more than 1 fund?

Buy/RSP 1 Sell Switch

Product Type: UT

Product Name: first state

UTs

- First State Asia Opportunities A Acc SGD
- First State Asian Growth A Acc SGD
- First State Asian Growth A Acc USD
- First State Bridge A DIS SGD
- First State Bridge A MDIS SGD
- First State Dividend Advantage A QDIS SGD
- First State Dividend Advantage A QDIS USD

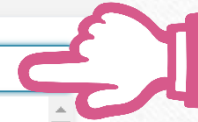
UT RSP Special List Aberdeen Standard Pacific Equity SGD

Currency: SGD Minimum Initial/Subsequent Amount: SGD 1,000.00/SGD 100.00

☒ Buy ☐ RSP ☐ RSP via UT

Buy

Payment Mode: Investment Currency: Investment Amount: Upfront Fee: % Dividend Option: Dividend Payout Currency:



Key in the other fund you would like to transact for the same account into the **SAME** box

Buy/RSP 2 Sell Switch

Product Type: UT

Product Name: First State Bridge A DIS SGD

UT RSP Special List

Currency: SGD Minimum Initial/Subsequent Amount: SGD 1,000.00/SGD 100.00 Minimum RSP Amount: SGD 100.00

☒ Buy ☐ RSP ☐ RSP via UT

Buy

Payment Mode: Investment Currency: Investment Amount: Upfront Fee: % Dividend Option: Dividend Payout Currency:

UT RSP Special List Aberdeen Standard Pacific Equity SGD

Currency: SGD Minimum Initial/Subsequent Amount: SGD 1,000.00/SGD 100.00 Minimum RSP Amount: SGD 100.00

☒ Buy ☐ RSP ☐ RSP via UT

Buy

Payment Mode: Investment Currency: Investment Amount: Upfront Fee: % Dividend Option: Dividend Payout Currency:



It'll now add on to the list!

CREATING UNIT TRUST BUY TRADES

Select your Payment mode, Investment Currency, Investment Amount, Upfront fee & Dividend Options

Buy/RSP 2

Sell

Switch

Product Type

UT

Product Name

UT

RSP Special List

First State Bridge A DIS SGD

Currency

SGD

Minimum Initial/Subsequent Amount

SGD 1,000.00/SGD 100.00

Minimum RSP Amount

SGD 100.00

☒ Buy

☐ RSP

☐ RSP via UT

Buy

Payment Mode

Cash

Cash

Cash Account [WP]

P0092642 | SGD

35.07

CPFIS-OA

CPFIS-SA

Investment Currency

SGD

SGD

AUD

CHF

CNH

EUR

GBP

USD

Investment Amount

Upfront Fee

%

Dividend Option

Park in Cash Account

Payout

Reinvest

Dividend Payout Currency

SGD

***For RSPs only: Select "RSP" box, and unselect "Buy"**

***RSP via UT can only be selected if there is an existing UT**

***Dividend option & Pay-out Currency only available for Dividend Paying funds**

CREATING UNIT TRUST BUY TRADES

Buy/RSP 2

Sell

Switch

Product Type

UT

Product Name

UT RSP Special List

First State Bridge A DIS SGD

X

Currency

SGD

Minimum Initial/Subsequent Amount

SGD 1,000.00/SGD 100.00

Minimum RSP Amount

SGD 100.00

☒ Buy

☐ RSP

☐ RSP via UT

Buy

Payment Mode

Cash

Investment Currency

SGD

Investment Amount

20,000

Upfront Fee

1

%

Dividend Option

Payout

Dividend Payout Currency

SGD

UT RSP Special List

Aberdeen Standard Pacific Equity SGD

X

Currency

SGD

Minimum Initial/Subsequent Amount

SGD 1,000.00/SGD 100.00

Minimum RSP Amount

SGD 100.00

☒ Buy

☐ RSP

☐ RSP via UT

Buy

Payment Mode

Cash

Investment Currency

SGD

Investment Amount

10,000

Upfront Fee

1

%

Dividend Option

Dividend Payout Currency

Payment Summary

Payment Mode	SGD
Cash	30,000.00
Total	SGD 30,000.00

***Payment summary will
total up all funds' \$\$
(if they are in the same payment mode)**

Click Proceed

Proceed

CREATING UNIT TRUST BUY TRADES

Summary of your created transactions

Buy Transaction

UTs

Product Name	Payment Mode	Investment Amount with Upfront Fee	Fee (%) / (\$)	Estimated Investment Amount	Dividend Option	Dividend Payout Currency
Aberdeen Standard Pacific Equity SGD	Cash	SGD 10,000.00	buy.ut.upfront buy.ut.processing.na	SGD 9,893.00	N/A	N/A
First State Bridge A DIS SGD	Cash	SGD 20,000.00	buy.ut.upfront buy.ut.processing.na	SGD 19,786.00	Payout	SGD

Note(s)



Rationale

Rationale

5000 characters left.

Attached Files



Click or drag file to this area to upload

A maximum of 5 files can be uploaded, and they must be in either PDF, DOC, DOCX, XLS, XLSX, GIF, JPG or JPEG format.
The maximum permissible size for each uploaded file is 5MB.
Please be reminded that hardcopy CKA form/s should be completed and uploaded for Joint Accounts' transactions.

SG_W_Pf_20200610.pdf



Upload your
Financial Planner
here!

Declaration



I hereby declare that I have provided advice for the above trade(s) and that the investment product(s) is/are suitable for the customer.



I hereby declare that I did not provide advice for the above trade(s)/investment product(s) for the customer.



Please confirm if you would like to proceed with the transaction.

Cancel

Proceed

BUY TRADES

Once submitted, you will be able to see the trade under “Pending Supervisor Approval” when you get routed to “Edit Transactions” page. You will be able to view or edit your submitted trade here as well

Edit Transactions

Edit/Approve Transactions Edit RSP

Transactions / Edit/Approve Transactions

Pending My Approval

0

Pending Supervisor Approval

3

Pending Client Approval

0

Q Search

Pending Trade(s)

Approve By	Account No.	Client Name	NRIC/Passport No.	Account Type	Transaction Type	Supervisor	Action
23 Jun 2020	P0016244	John Doe P0016244	JOHNNRIC75570	Non-Wrap Joint	UT Buy	Advisor John Doe PIA01333 PIA01333	Edit iSIGN
25 Jun 2020	P0136703	John Doe P0136703	JOHNNRIC101835	Wrap Personal	UT Buy	Advisor John Doe PIA01333 PIA01333	Edit iSIGN
30 Jun 2020	P0046073	John Doe P0046073	JOHNNRIC100211	Wrap Personal	UT Buy	Advisor John Doe PIA01333 PIA01333	Edit iSIGN

ROUTED TO – SUPERVISOR APPROVAL

SUPERVISOR'S LOGIN

Welcome, Advisor John Doe PIA00028
Your last login was 04:41 PM on Wednesday 17 June 2020

iFAST AUTO-SWEEP

A Brand New Cash Management Solution,
Now Available in the Client Login!



Potentially Higher Yield
Compared to Cash Account



Pay for both SGD &
Non-SGD investment



No lock-in Period or
Early Termination Cost



iGWAS 2020 (New York)

Current qualifying net sales

SGD 23,472,580.25

Amount of net sales to qualify

SGD 4,000,000.00

Summary

Product Type Payment Method Asset Allocation Wrap/Non-Wrap

UT SGD 19,769.53

Total SGD 19,769.53



Note: AUA figures are indicative only and are based on the previous day's valuation.

YTD Net Sales

SGD 11,550,721.14

Total Monthly RSP

SGD 326,431.67

Note: RSP figures have been converted to monthly figures and are based on contracts instead of actual deductions.



Total Number of Clients
2325



Total Clients with Holdings
1694



New Clients in Past 30 Days
0



Personal
2176

Wrap
1830

Non-Wrap
346



Joint
149

Wrap
120

Non-Wrap
29



Corporate
0

Wrap
0

Non-Wrap
0

Trade Approval Status

Pending My Approval 3

Pending Supervisor Approval 0

Pending Client Approval 0

Supervisor will be able to see
“Pending My Approval” in his
Dashboard.

Click on it to view trades to approve

SUPERVISOR APPROVAL

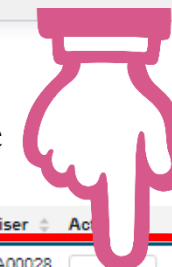
SUPERVISOR'S LOGIN

Pending My Approval

3

Search **You may search the account here too!**

Select the “Approve” button for the trade(s) you wish to View & Approve



Pending Trade(s)

Approve By	Account No.	Client Name	NRIC/Passport No.	Account Type	Transaction Type	Adviser	Action
30 Jun 2020	P0046073	John Doe P0046073	JOHNNRIC100211	Wrap Personal	UT Buy	Advisor John Doe PIA00028 PIA00028	Approve
01 Jul 2020	P0300185	John Doe P0300185	JOHNNRIC285583	Wrap Personal	RSP Application	Advisor John Doe PIA00881 PIA00881	Approve
05 Jul 2020	P0326422	John Doe P0326422	JOHNNRIC163324	Wrap Personal	UT Buy	Advisor John Doe PIA01029 PIA01029	Approve

SUPERVISOR APPROVAL

Transactions / Edit/Approve Transactions

John Doe P0046073 | P0046073 | PersonalP0046073 | Wrap Personal

Account Status	Confirmed	Suspended	No	Account AI Status	Non-AI
SGX Position Account	Yes	FATCA Status	N/A	Discretionary Account	-
Auto-Sweep Status	- 0	CKA/CAR Status	CKA (Main) CKA (Joint) CAR (Main) CAR (Joint) Failed - Failed -	Risk Profile (by payment method)	Cheque - CPFIS-OA - CPFIS-SA - SRS -

Buy Transaction

UTs

Product Name	Payment Mode	Investment Amount with Upfront Fee	Fee (%)(\$)	Estimated Investment Amount	Dividend Option	Dividend Payout Currency	Advice Given	Suitability	Approval Sequence
Aberdeen Standard Pacific Equity SGD	Cash	SGD 10,000.00	buy ut upfront buy ut processing na	SGD 9,788.00	N/A	N/A	Yes	Yes	✓ Adviser > Supervisor > Client > In System
Aberdeen Standard Pacific Equity SGD	Cash	SGD 10,000.00	buy ut upfront buy ut processing na	SGD 9,893.00	N/A	N/A	Yes	Yes	✓ Adviser > Supervisor > Client > In System
First State Bridge A DIS SGD	Cash	SGD 20,000.00	buy ut upfront buy ut processing na	SGD 19,788.00	Payout	SGD	Yes	Yes	✓ Adviser > Supervisor > Client > In System
First State Bridge A DIS SGD	CPFIS-OA	SGD 20,000.00	buy ut upfront buy ut processing na	SGD 19,788.00	Payout	SGD	Yes	Yes	✓ Adviser > Supervisor > Client > In System

View the trades here

Consolidated Dividend Instruction (For cash investments only)

Product Name	Product Type	Dividend Frequency	Dividend Option	Dividend Payout Currency	Transaction Type
Aberdeen Standard Pacific Equity SGD	UT	-	N/A	N/A	Buy
First State Bridge A DIS SGD	UT	Half-yearly	Payout	SGD	Buy

Activity and Comments

✓ (Trade created)
Advisor John Doe PIA00028 (PIA00028) at 17-06-2020 17:57:34

Supervisor Comments

Comments

5000 characters

Rationale

Buy Trades
want to buy (or any rationale you use to put)

Attached Files

P0046073-SG_W_Pf_20200610_01-1592818263771.pdf

View

Declaration

✓ I have reviewed the recommendation(s) made by the Financial Adviser Representative and hereby give my approval.
For CPF Transactions: In the event that my approval for the CPF transaction(s) (if any) is more than 3 business days after the customer's, I hereby confirm that I have obtained the customer's prior instruction to proceed with the CPF transaction.

Back

Approve

1. Insert comments
here if you like

2. View the FNA
uploaded by
Adviser here

3. Click Approve

4. Enter your password and click "OK"

Submit Approval?

Enter password to proceed

Password

.....

Cancel

OK

SUPERVISOR APPROVAL

Transactions

Edit Transactions

Edit/Approve Transactions



Trade Approved

We have notified the next approval party via email.

Transactions / Edit/Approve Transactions

Pending My Approval

2



Search

Pending Trade(s)

Approve By	Account No.	Client Name	NRIC/Passport No.	Account Type	Transaction Type	Adviser	Action
01 Jul 2020	P0300185	John Doe P0300185	JOHNNRIC285683	Wrap Personal	RSP Application	Advisor John Doe PIA00881 PIA00881	<button>Approve</button>
05 Jul 2020	P0326422	John Doe P0326422	JOHNNRIC163324	Wrap Personal	UT Buy	Advisor John Doe PIA01029 PIA01029	<button>Approve</button>

- Once trade is approved, it will be routed to client for approval
- Trade will no longer appear under “Pending My Approval” in Supervisor’s login

ROUTED TO - CLIENT APPROVAL



ADVISER NAME


View Holdings


RSP/RDP Funds

Advice/Trade Approval

Company Mass Rebalancing Activation

Stock Information


Welcome,  Your last login was 08-Jun-2018 10:44AM



Total Current Portfolio Valuation


\$13,436.65

Cash	CPF-OA	CPF-SA	SRS
\$13,436.65	\$0.00	\$0.00	\$0.00



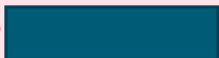
Total Cash Account Balance

\$592.34

 This is the consolidated value of all your cash accounts in SGD, based on latest available system rates

Pending Approval


Transactions/Advice pending your approval

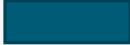



Accounts Pending Approval will be show here, please click on the account number

Notifications

Account Statement

 0-APR-2018
[Account Statement for Apr 2018](#)

 1-MAR-2018
[Account Statement for Mar 2018](#)

 8-FEB-2018

CLIENT APPROVAL

- ✓ Upon clicking in, system will show your account CKA/CAR status
- ✓ Instructions for Trade Approval are provided by the side as well

IFAST

Welcome, [REDACTED] Your last login was 08-Jun-2018 10:51AM

Advice/Trade Approval

ADVISER NAME

View Holdings

RSP/RDP Funds

Advice/Trade Approval 1

Company Mass Rebalancing Activation

Stock Information

Stock Trade

Cash Account Transaction

Update Account

Update CKA/CAR

Update W-8BEN

How to Make Payment

Notification Inbox

Customer Knowledge Assessment (CKA)/Customer Account Review (CAR) - Outcome

We have completed your Customer Knowledge Assessment/Customer Account Review. The outcome of your assessment is as follows:

Assessment Type	Refno	Applicant Type	Fulfilled Criteria for CKA/CAR ?			Status		Assessment Date		Expiry Date	
			Investment Assessment	Working Experience	Education Experience	CKA	CAR	CKA	CAR	CKA	CAR
Current	[REDACTED]	Main	Pass	Pass	Pass	Pass	Pass	21 Aug 2017	21 Aug 2017	20 Aug 2018	20 Aug 2020

Next Assessment Date

The positive assessment outcomes will be valid for one year for CKA and three years for CAR. On the above respective expiry dates, we will need you to conduct a new assessment again.
Click [HERE](#) to view your latest CKA/CAR Declaration

Approve Transaction

Client Name	[REDACTED]
Account No	[REDACTED]
Account Name	[REDACTED]
Account Type	Wrap Personal
Discretionary Account	-
AI Status	N

Instructions for Approval

Step 1: Review Trades, Rationales and Attachments (if any)
Step 2: Check the box at the bottom of page after reading the Important Notes and Declaration
Step 3: Key in password for approval
Step 4: Click "Confirm" to execute the trade

If your selected redemption/withdrawal currency is not in SGD or USD, please note that the proceeds can only be paid via telegraphic transfer (TT). Charges will be borne by you and net off from the proceeds. If you have not done so, please inform your financial adviser the TT details for the beneficiary bank and intermediary bank (if any).

- ✓ View the transaction details before approving
- ✓ Use the side bar to scroll down the page
- ✓ Read the Important Notes for transactions before any approval

CLIENT APPROVAL

IFAST

Welcome, [REDACTED] Your last login was 08-Jun-2018 10:51AM

ADVISER NAME

View Holdings

RSP/RDP Funds

Advice/Trade Approval 1

Company Mass Rebalancing Activation

Stock Information

Stock Trade

Cash Account Transaction

Update Account

Update CKA/CAR

Buy

UNIT TRUSTS

Product Name	Payment Method	Fees (%) / (\$)	Investment Amount with Upfront Fee	Net Investment Amount	Advice Given	Suitability
United ASEAN Fund	CASH	Upfront: 0.0000 % / SGD 0.00	SGD 1,000.00	SGD 1,000.00	✓	✓
Fidelity Asia Pacific Multi Asset Growth & Income A-MINCOME(G)-SGD	CASH	Upfront: 2.0000 % / SGD 428.00	SGD 20,000.00	SGD 19,572.00	✓	✓

Note for all buy transaction:

Please refer to [Important Notes for Transacting of Securities](#) for more details.

Note for UT buy transaction:

Please note that you have the right to cancel purchase instructions for certain funds, subject to certain conditions.

Note for ETF buy transaction:

[Click here to view the latest ETF prices for informative purposes.](#)


Note for DPMS buy transaction:

Please refer to [Important Notes for DPMS](#) for more details.

CLIENT APPROVAL

- ✓ View the Consolidated Dividend Instruction
- ✓ View the Total Amount Payable for the transaction

IFAST



ADVISER NAME

View Holdings

RSP/RDP Funds

Advice/Trade Approval 1

Company Mass Rebalancing Activation

Stock Information

Stock Trade

Cash Account Transaction

Update Account

Update CKA/CAR

Update W-8BEN

How to Make Payment

Notification Inbox

Welcome, your last login was 08-Jun-2018 10:51AM

Consolidated Dividend Instruction


Product Name	Dividend Frequency	Dividend Option	Dividend Payout Currency	Transaction Type
United ASEAN Fund	-	-	-	Buy
Fidelity Asia Pacific Multi Asset Growth & Income A-MINCOME(G)-SGD	Monthly	Payout	SGD	Buy

Please note the following on dividend options:

- After the trades have been approved, the selected dividend instructions above will override any existing dividend instructions if you already have the chosen fund(s) in the same account (with the same payment method).
- If there are multiple buy transactions (buy, switch-buy, RSP), the most recent transaction will override all previously selected options.
- If the dividend instructions are processed on or after the dividend ex-date, the updated option will take effect only for the next dividend.
- For cases where the selected dividend option is different from the fund's default option, the reinvestment/redemption price will be based on the date of reinvestment/redemption by iFAST.
- Dividend payout currency is only selectable for USD funds as other currencies will incur telegraphic transfer costs.

Total

Total Amount Payable ⓘ

 SGD 21,000.00

Cash Amount Payable ⓘ

SGD 21,000.00

Cash Account Balance Utilised

-

CPFIS-CKA Amount

-


CPFIS-SA Amount


-

SRS Amount


-


- ✓ Read the Trade Rationale
- ✓ Open all attachments before approving the trades
- ✓ Acknowledge that you have read through the Terms and Conditions








ADVISER NAME


 View Holdings


 RSP/RDP Funds


 Advice/Trade Approval 1

 Company Mass Rebalancing Activation

 Stock Trade

 Update Account

 Update CKA/CAR

 How to Make Payment

Rationale

Buy Trades
test

Attached Files

1. P0301539-iGP_Service_Brochure-1506935183128.pdf

Note : Please left click on the file name to view the attachment.

Term and Conditions

☐ I understand that I have completed the Customer Knowledge Assessment (CKA)/Customer Account Review (CAR) and have been informed of the outcome accordingly. For the above transactions, I acknowledge that:

- i. I have passed the CKA and do not wish to receive any advice offered by my Adviser;
- ii. I have passed the CKA, received advice from my Adviser based on the suitability of the investment product(s) and the investment product(s) that I intend to transact in is/are suitable for me;
- iii. I have passed the CKA, received advice from my Adviser based on the suitability of the investment product(s) and the investment product(s) that I intend to transact in is/are not suitable for me; and/or
- iv. I have failed the CKA, received advice from my Adviser based on the suitability of the investment product(s) and the investment product(s) that I intend to transact in is/are suitable for me.

I confirm proceeding with the above transaction(s). For (i) and (iii), I understand that I will not be able to rely on section 27 of the Financial Advisers Act (FAA)

- ✓ You will need to acknowledge at the Terms and Conditions box
- ✓ Then enter Password for verification to confirm the trade approval

CLIENT APPROVAL

IFAST

ADVISER NAME

View Holdings

RSP/RDP Funds

Advice/Trade Approval 1

Company Mass Rebalancing Activation

Stock Information

Stock Trade

Cash Account Transaction

Update Account

Update CKA/CAR

Update W-8BEN

Welcome, Your last login was 08-Jun-2018 10:51AM

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- i. I have passed the CKA and do not wish to receive any advice offered by my Adviser;
- ii. I have passed the CKA, received advice from my Adviser based on the suitability of the investment product(s) and the investment product(s) that I intend to transact in is/are suitable for me;
- iii. I have passed the CKA, received advice from my Adviser based on the suitability of the investment product(s) and the investment product(s) that I intend to transact in is/are not suitable for me; and/or
- iv. I have failed the CKA, received advice from my Adviser based on the suitability of the investment product(s) and the investment product(s) that I intend to transact in is/are suitable for me.

I confirm proceeding with the above transaction(s). For (i) and (iii), I understand that I will not be able to rely on section 27 of the Financial Advisers Act (FAA) to file a civil suit claim in the event of a loss.

I/We hereby declare and warrant that the information given in this form submitted to you are complete, true and accurate and that I/we have not wilfully withheld any material fact/information. I/We shall give iFAST Financial Pte Ltd ("iFAST") written notice of any change in my/our particulars. By disclosing my/our personal data, I/we consent to iFAST collecting, using and/or disclosing my/our personal data for the purposes of administering my/our Investment(s) and Investment Account(s). I/We also consent to iFAST transferring my/our personal data to iFAST related group of companies and/or third party service providers, suppliers, agents, distributors, fund managers, intermediaries or relevant stock exchanges for the above purposes. I/We declare that I/we am/are not undischarged bankrupt(s), have not committed any act of bankruptcy within the past 12 months and no

Please re-enter password for verification

Print

Confirm

iFAST Financial Pte Ltd is a licensed dealer, custodian, financial adviser, CPFIS registered investment administrator, and provides portfolio management services in Singapore.

iFAST Financial Pte Ltd is a member of SGX-ST Securities Trading and CDP Securities Clearing, and a registered CDP Depository Agent. | Co. Reg. No. 200000231R © 2017.

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✓ System will prompt that Trade has been approved successfully

The screenshot displays the iFAST Financial Pte Ltd web application interface. A central white dialog box with a green checkmark icon and the text "Trade approved successfully!" is overlaid on the main content area. Below the dialog is a blue "OK" button. The background interface includes a dark blue sidebar with the iFAST logo and a list of navigation options: "View Holdings", "RSP/RDP Funds", "Advice/Trade Approval", "Company Mass Rebalancing Activation", "Stock Trade", "Update Account", "Update CKA/CAR", and "How to Make Payment". The top header shows a "Welcome," message followed by a redacted name. The main content area is titled "Purchase/RSP Details" and contains a section for "Cheque (SGD/USD)" with instructions on how to process orders via cheque, followed by the company's address: "iFAST Financial Pte Ltd, 10 Collyer Quay #26-01, Ocean Financial Centre".

iFAST
Financial Pte Ltd

Welcome, [Redacted Name]

Purchase/RSP Details

Trade approved successfully!

OK

Cheque (SGD/USD) - Please make the cheque payable to "iFAST Financial Pte Ltd - Client Trust Account", indicate the Purchase Order number behind it and mail it to us at the following address. We will process the order(s) upon receiving the cheque.

iFAST Financial Pte Ltd
10 Collyer Quay #26-01
Ocean Financial Centre