

Pollard's and Lenstra's factorization algorithms.

Let's start by recalling the RSA cryptosystem.

Step 1. Bob chooses two distinct odd primes p and q and a number e with $\gcd(e, (p-1)(q-1)) = 1$. Then he publishes $N = pq$ and e .

Step 2. Alice —  → Bob

Step 3. Bob recovers m via finding $d \equiv e^{-1} \pmod{\varphi(N)}$ and taking $c^d \equiv m^{ed} \equiv m^{\varphi(N)+1} \equiv m \pmod{N}$.

The key takeaway: to break RSA, one needs to find $d \equiv e^{-1} \pmod{\varphi(N)}$, in turn, to find d it suffices to factorize N .

Pollard's p-1 method.

Idea: suppose that we managed to find a number L , s.t. $p-1$ divides L ,
 $q-1$ does not divide L .

Then $a^L \equiv 1 \pmod{p}$,

$a^L \equiv a^\Gamma \pmod{q}$, where Γ is the residue of the division of L by $q-1$.

We recover p as

$$p = \gcd(a^L - 1, N).$$

But how can we find such L ?

Suppose that all factors of $p-1$ are relatively small:

$$p-1 = \prod_{i=1}^s a_i, |a_i| \leq \beta \text{ for all } i \text{ and } \beta \text{ is 'reasonable'}$$

Then $L = n!$ will work for sufficiently large value of n .

So we can simply check the values of $\gcd(a^{n!} - 1, N)$ for $n = 2, 3, \dots$ and some chosen base a , say, $a = 2$.

- ① If $\gcd(a^{n!} - 1, N) = 1$, take the next value of n .
- ② If $\gcd(a^{n!} - 1, N) = N$, choose a different base a .
- ③ If $1 < \gcd(a^{n!} - 1, N) < N$, then $\gcd(a^{n!} - 1, N)$ is one of the two prime divisors of N (p or q).
Rmk. $a^{n!}$ is a very large number, but we only care about $a^k \pmod{N}$.

Rmk. We know that the fast powering algorithm computes $a^{n!}$ in $\Theta(2\log_2 n!)$ steps. On the other hand, Stirling's formula states that $\ln(n!) \sim n \ln(n) - n + \frac{1}{2} \ln(2\pi n)$

for large n . Hence, $n! \sim \left(\frac{n}{e}\right)^n$ and we can compute $a^n \pmod{N}$ in $\sim 2 \log_2 \left(\frac{n}{e}\right)^n \approx 2n \log_2 n$ steps, which is feasible.

Rmk. The algorithm works well in case of the numbers $p-1$ or $q-1$ factorizes into the product of small primes.

Lenstra's elliptic curve factorization algorithm.

Let E be an elliptic curve with defining equation $y^2 = x^3 + ax + b$ over \mathbb{Z}_N ($N = pq$ as before, in particular \mathbb{Z}_N is not a field).

Take a point $P = (p_x, p_y)$ on E , i.e.

$$p_y^2 \equiv p_x^3 + ap_x + b \pmod{N}.$$

Key observation. Since N is not prime, the group law formulas will not always work. For instance, let $Q_k = kP$ and $Q_s = sP$.

We would like to find $Q = Q_k + Q_s$. Using the formulas, we get

$$m = \frac{(Q_k)_y - (Q_s)_y}{(Q_k)_x - (Q_s)_x} \quad \text{and} \quad Q_x = m^2 - (Q_k)_x - (Q_s)_x.$$

In order for the formula to make sense, the solution to the congruence $m \equiv \frac{(Q_k)_y - (Q_s)_y}{(Q_k)_x - (Q_s)_x} \pmod{N}$ must exist,

equivalently, $\gcd((Q_k)_x - (Q_s)_x, N) \neq 1$.

Thus, in case we fail to compute $Q_k \oplus Q_s$, we get a divisor of N on the way.

The algorithm works as follows. We compute (attempt to) the points $2!P, 3!P, 4!P, \dots$. There are 3 possibilities:

1. The computation is successful, we find $n!P$.

2. Somewhere in the computation, we had to find the inverse of a number d , divisible by N , and failed.

3. While the computation, we had to find the inverse of a number d with $1 < \gcd(d, N) < N$.

In this case we find a prime factor of N .

Rmk. We need to find a point P on E to start with. Easy trick: start with $P = (1, \beta)$, pick any a and set $b \equiv \beta^2 - 1^3 - a \cdot 1 \pmod{N}$.

Rmk. Let $N = pq$, then we fail to add two points P and Q on $E(\mathbb{Z}_N)$, when $P \oplus Q = \emptyset$ on $E(\mathbb{F}_p)$ or $P \oplus Q = \emptyset$ on $E(\mathbb{F}_q)$ (or both). In case we are computing multiples of P , the failure occurs when m is divisible by the order of P on $E(\mathbb{F}_p)$ or the order of P on $E(\mathbb{F}_q)$.

Example (Pollard's algorithm). Let $N = 437$, choose $a = 2$.

$$\textcircled{1} \quad n=1: \gcd(2^1 - 1, 437) = 1$$

$$\textcircled{2} \quad n=2: \gcd(2^{2!} - 1, 437) = \gcd(3, 437) = 1.$$

$$\textcircled{3} \quad n=3: \gcd(2^{3!} - 1, 437) = \gcd(2^6 - 1, 437) = \gcd(63, 437) = 1.$$

$$\textcircled{4} \quad n=4: \gcd(2^{4!} - 1, 437) = \gcd(348, 437) = 1. \quad (2^{4!} \equiv 349 \pmod{437})$$

$$\textcircled{5} \quad n=5: \gcd(2^{5!} - 1, 437) = \gcd(332, 437) = 1. \quad (2^{5!} \equiv 334 \pmod{437})$$

$$\textcircled{6} \quad n=6: \gcd(2^{6!} - 1, 437) = \gcd(399, 437) = 19. \quad \begin{aligned} 437 &= 1 \cdot 399 + 38 \\ 38 &= 19 \cdot 2 + 0 \end{aligned}$$

$$(2^{6!} \equiv 400 \pmod{437})$$

$$437 = 19 \cdot 23.$$

Rmk. $19 - 1 = 18 = 2 \cdot 3^2$, $6!$ is the first factorial, divisible by 18.
 $23 - 1 = 2 \cdot 11$

Example. Consider the elliptic curve E given by equation $y^2 = x^3 + 4x + 4$ over $\mathbb{Z}/21\mathbb{Z}$ (the discriminant $D_f = 4 \cdot 4^3 + 27 \cdot 4^2 = 4 + 6 \cdot 16 \equiv 100 \equiv 16 \not\equiv 0 \pmod{21}$, so E is smooth). Let $P = (1, 3)$ and $Q = (15, 4)$ be two points on E . The line through points P and Q has slope $m = \frac{4-3}{15-1} = \frac{1}{14}$, but $\gcd(14, 21) = 7$ is a divisor of 21, so 14 isn't invertible.

Next we take a look at E over \mathbb{F}_3 and \mathbb{F}_7 (here 3 and 7 are two prime factors of 21).

Over \mathbb{F}_3 : the defining equation of E simplifies to $y^2 = x^3 + x + 1$. We notice that P has coordinates $(1, 0)$, so the order of P is 2 (it is on the x-axis).

Over \mathbb{F}_7 : E has 10 points, and the order of P is equal to 5 (check it!).

Let's compute $P \oplus P$:

- Over \mathbb{F}_3 : $P \oplus P = \emptyset$;
- Over \mathbb{F}_7 : the slope of the tangent line is $\frac{3 \cdot 1^2 + 4}{2 \cdot 3} \equiv 0$; x-coordinate of $P \oplus P$ is $0^2 - 2 \cdot 1 \equiv -2 \equiv 5$ and y-coordinate is $-3 \equiv 4$, hence $P \oplus P = (5, 4)$;
- Over \mathbb{Z}_{21} : the slope of the tangent line is $\frac{3 \cdot 1^2 + 4}{2 \cdot 3} \equiv \frac{7}{6}$, but $\gcd(6, 21) = 3$, hence, 6 is not invertible modulo 21 and 3 is a divisor of it.