## NATURAL LANGUAGE PROCESSING WITH RECURRENT NEURAL NETWORKS, SEQ2SEQ MODELS, ATTENTION AND TRANSFORMERS

Korbinian Kottmann Quantum Optics Theory group Quantum Information Theory group ICFO, Castelldefels





@Qottmann







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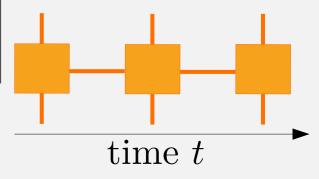


## RECURRENT NEURAL NETWORKS

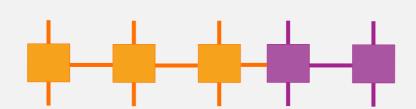


1990s

1. RECURRENT NEURAL NETWORKS



~2014 | 2. SEQ 2 SEQ MODELS

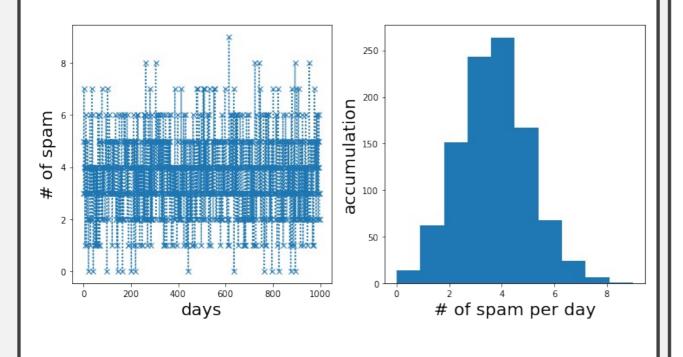


3. TRANSFORMERS

2017

Imagine you are receiving a fix number of n=10 emails a day. There is a chance of Θ that it is spam. You record the number of spam emails a day over the course of N=1000 days and this is what you obtain.

How do you determine Θ?

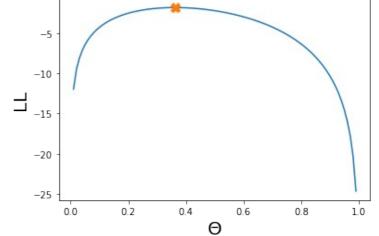


Binomial distribution

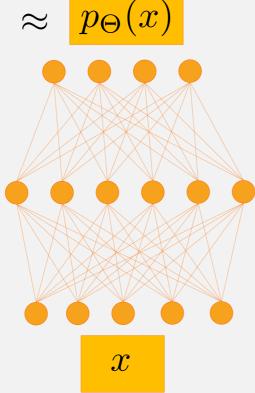
$$p_{\Theta}(x) = \binom{n}{x} \Theta^{x} (1 - \Theta)^{n-x}$$

Max (Log)Likelihood method

$$\max_{\Theta} LL = \max_{\Theta} \sum_{i=1}^{N} \log(p_{\Theta}(x_i))$$



stochastic process True distribution  $p_{\text{true}}(x)$ 



Obtain ideal  $\Theta$  by  $\max_{\Theta} \sum_{x \in \mathcal{X}} \log(p_{\Theta}(x))$ 

Log-Likelihood

When functional form of  $p_{\Theta}(x)$  is not known, we can utilize Neural Networks!

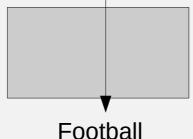
Natural Language as a stochastic process

I like to play football



Me gusta jugar futbol

I like to play [?]..



Basketball the violin

..

This restaurant is terrible



Positive review Negative review

Translation

Word prediction

Classification

## 1. RECURRENT NEURAL NETWORKS

Output: conditional probability for every word in the output vocabulary

Ich liebe Physik  $\vec{p}_0$  $\vec{y}_0$  $\vec{y}_2$  $ec{h}_1$ RNN **RNN RNN**  $\vec{e}_0$  $\vec{e}_2$ physics love

 $\begin{array}{ll} \text{hysik} & \vdots \\ \vec{p}_2 & \vec{p}_i = \begin{pmatrix} \vdots \\ p\left(\text{word}_i^{\text{out}}|\text{word}_{j < i}^{\text{in}}\right) \\ \vdots \\ \vec{p}_i \in [0, 1]^{|\text{output vocab.}|} \end{array}$ 

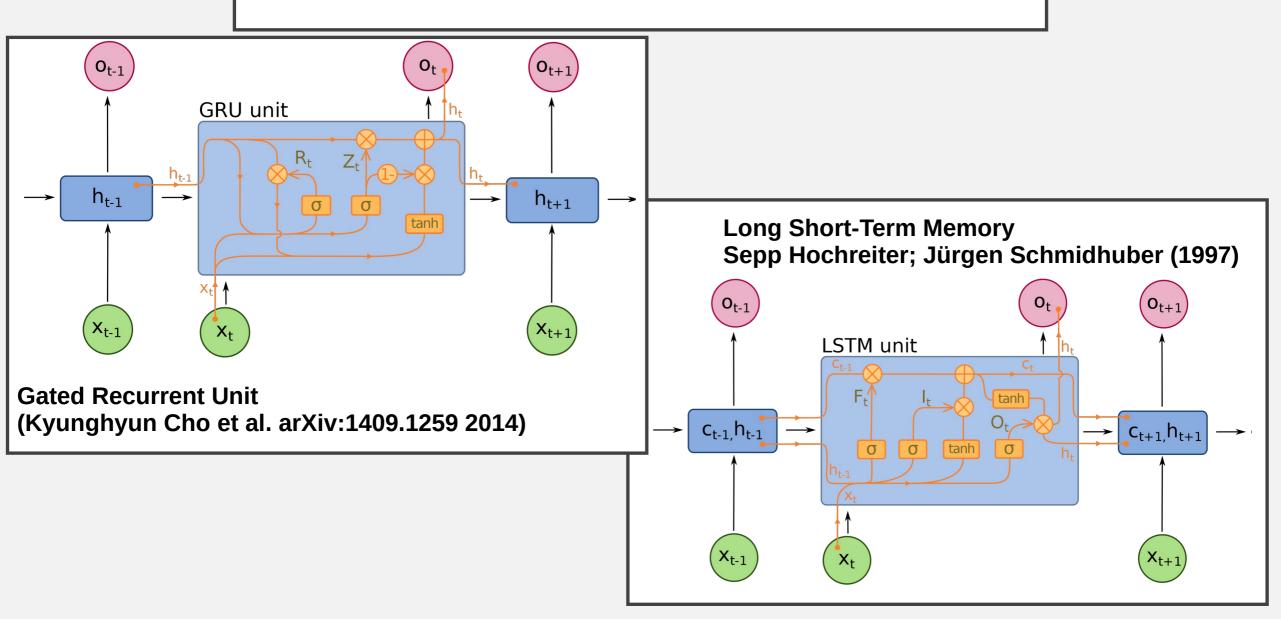
Embedding:  $id_{word} \mapsto \vec{e} \in \mathbb{R}^{d_e}$ Embedding dim  $d_e$  (hyper parameter)

Input: Sentence
Sentence is a sequence of words
Words are elements of a vocabulary

General ML jargon: Sequences consisting of token

Time

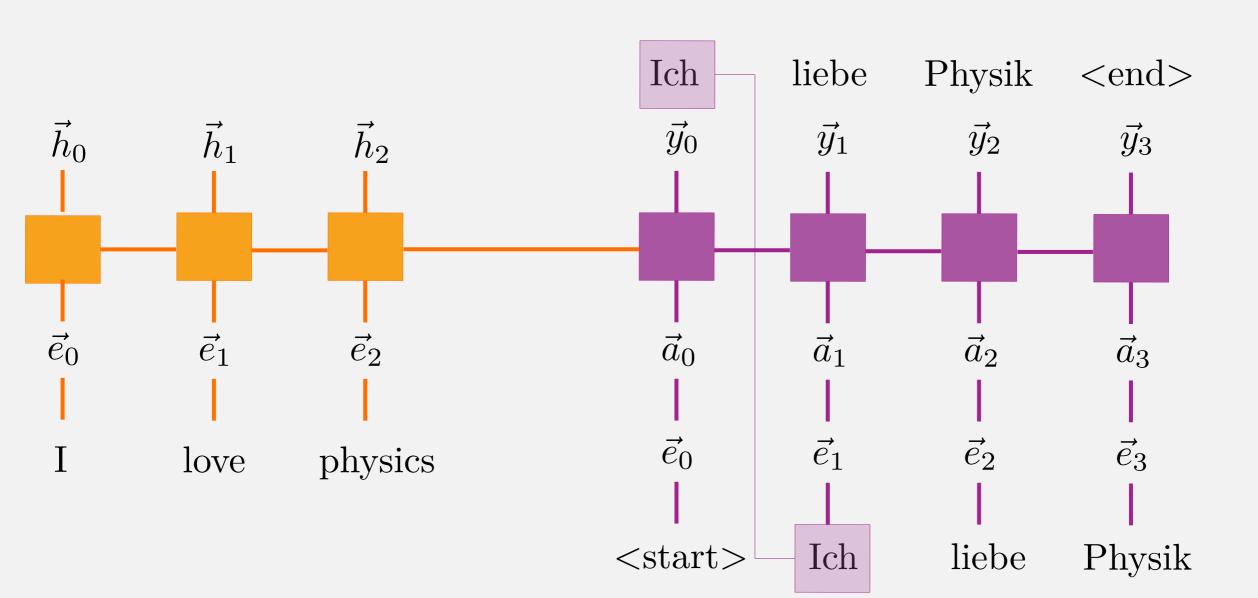
## 1. RECURRENT NEURAL NETWORKS

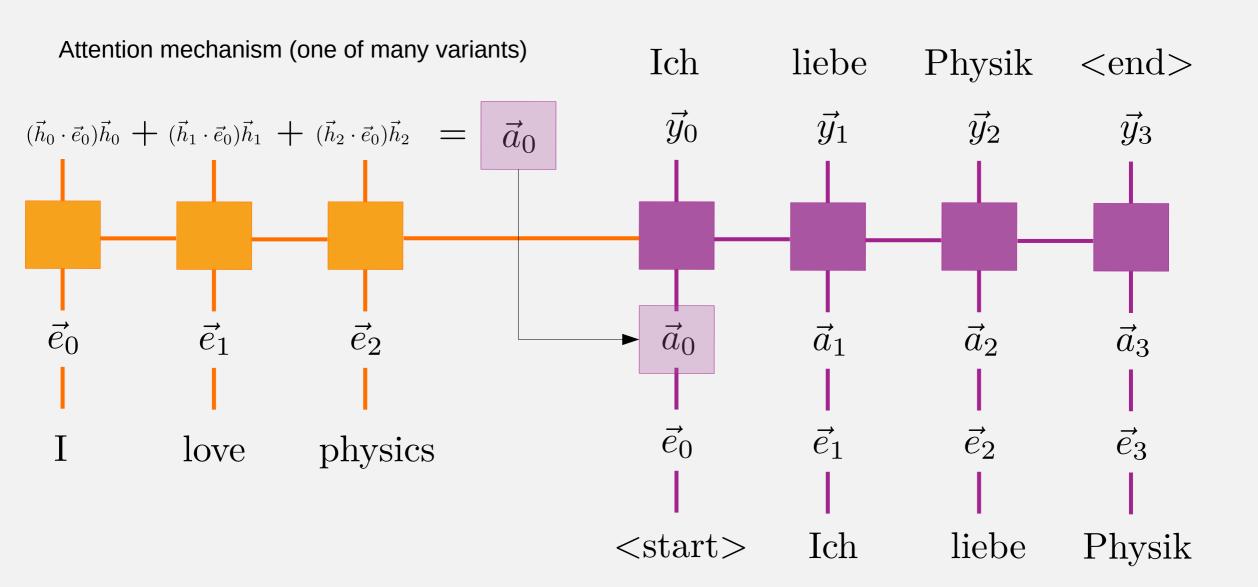


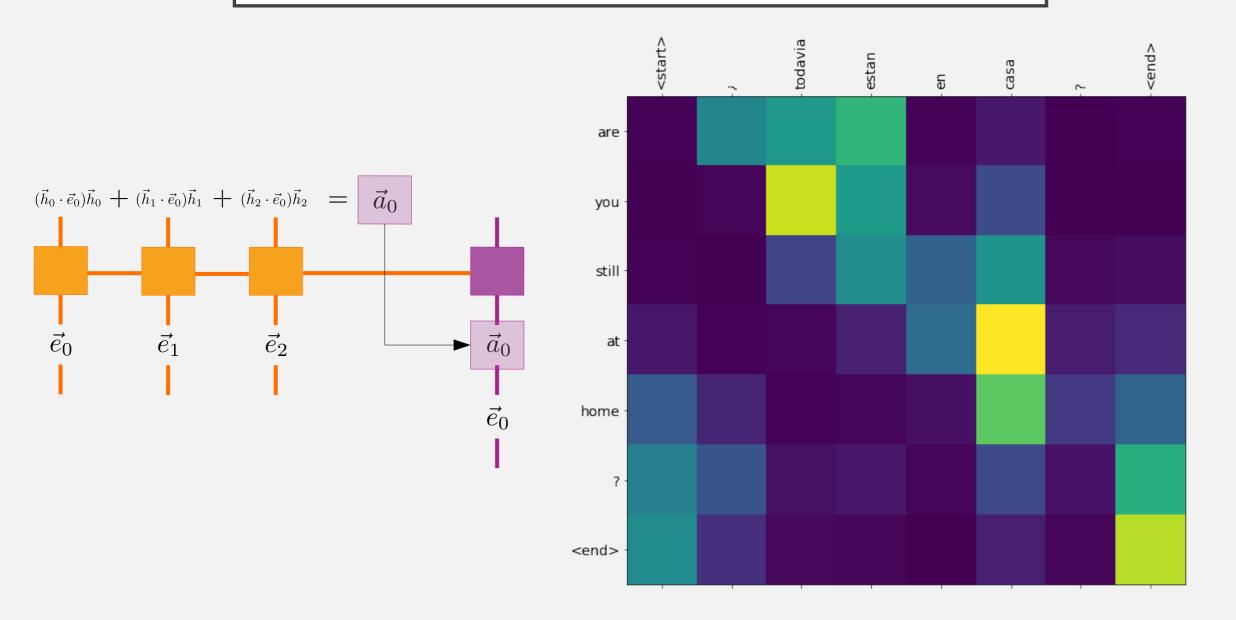
## 1. RECURRENT NEURAL NETWORKS

#### Problems with RNNs:

- 1. In translation, only sentences with same input and output length
- 2. Hidden state  $\vec{h}_i$  is supposed to carry the sentiment of the whole sentence



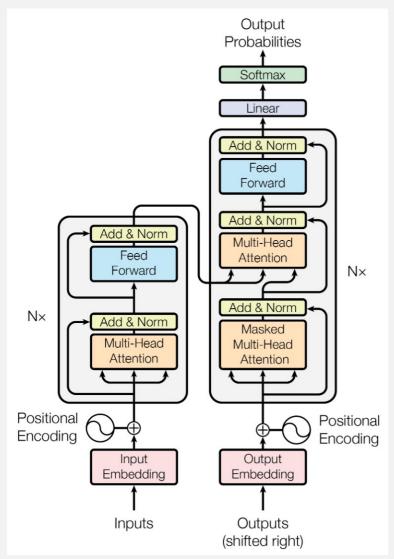


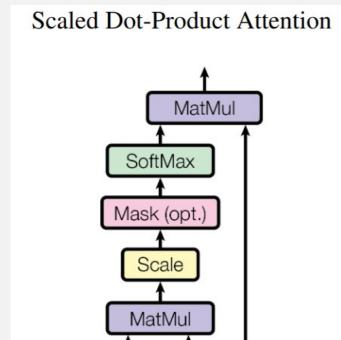


Problems with seq-2-seq models:

- Sequential processing not parallelizable and therefore slow training

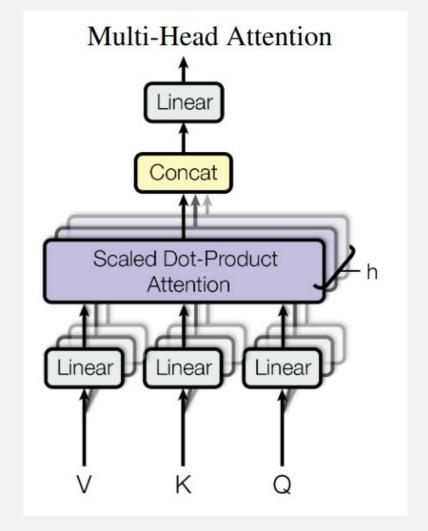
Vaswani et al "Attention is all you need" arxiv:1706.03762 2017



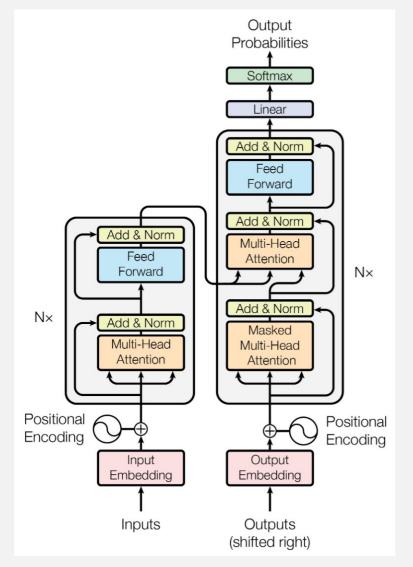


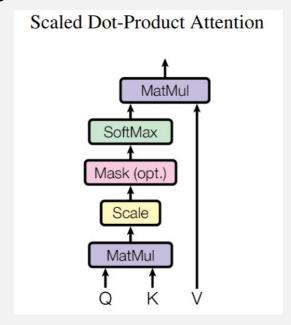
Closer look, always Q = K, So this is just all possible Combinations of dot-products Between inputs = attention scores

Attention
$$(Q, V, K) = \operatorname{softmax}\left(\frac{QK^T}{\sqrt{d_k}}\right)V$$



Vaswani et al "Attention is all you need" arxiv:1706.03762 2017





$$PE_{(pos,2i)} = sin(pos/10000^{2i/d_{\text{model}}})$$
  
 $PE_{(pos,2i+1)} = cos(pos/10000^{2i/d_{\text{model}}})$ 

$$\vec{e}_{\mathrm{pos}} \mapsto \vec{e}_{\mathrm{pos}} + \vec{\mathrm{PE}}$$

To reduce the dimension of the output probability vector (equal to the vocabulary size, less common words are replaced by a special token <unk> (unknown) and ignored.

Padding <pad> is used to accommodate the fixed input sequence length

The beginning / end of sentence indicates the start and end. In translation or word completion task, when <eos> is predicted, it is followed by <pad> until the end of the sequence.

```
Special token
<unk>, <pad>, <bos>, <eos>
```

```
tokenize(["Hello", "my", "name", "is", "Korbinian", "who", "are", "you"]) >> [<bos>, 3, 45, 23, 14, <unk>, 23, 66, 90, <eos>, <pad>, <pad>, <pad>, ...]
```

#### Open problems:

Extra-long sequences: e.g. "Longformer" https://arxiv.org/pdf/2004.05150.pdf (ongoing research)

No reasoning due to a lack of abstraction level. These language models do not 'understand' language, but rather become extremely well at mimicing it (like a very sophisticated parrot).

Nonetheless, they are commercially used and very successful due to the large amounts of data that can be efficiently processed with them

#### BERT (language model)

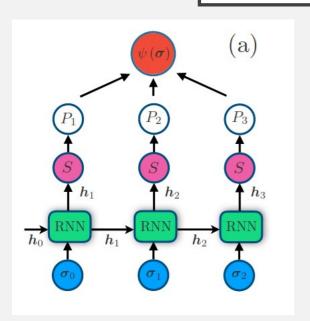
From Wikipedia, the free encyclopedia

Bidirectional Encoder Representations from Transformers (BERT) is a transformer-based machine learning technique for natural language processing (NLP) pre-training developed by Google. BERT was created and published in 2018 by Jacob Devlin and his colleagues from Google. In 2019, Google announced that it had begun leveraging BERT in its search engine, and by late 2020 it was using BERT in almost every English-language query. A 2020 literature survey concluded that "in a little over a year, BERT has become a ubiquitous baseline in NLP experiments", counting over 150 research publications analyzing and improving the model.

**Generative Pre-trained Transformer 3 (GPT-3)** is an autoregressive language model that uses deep learning to produce human-like text.

It is the third-generation language prediction model in the GPT-n series (and the successor to GPT-2) created by OpenAI, a San Francisco-based artificial intelligence research laboratory.<sup>[2]</sup> GPT-3's full version has a capacity of 175 billion machine learning parameters. GPT-3, which was introduced in May 2020, and was in beta testing as of July 2020,<sup>[3]</sup> is part of a trend in natural language processing (NLP) systems of pre-trained language representations.<sup>[1]</sup>

# 4. AUTOREGRESSIVE MODELS IN PHYSICS

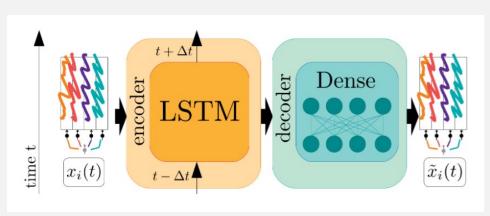


Mohamed Hibat-Allah, Martin Ganahl, Lauren E. Hayward, Roger G. Melko, and Juan Carrasquilla "Recurrent neural network wave functions"

Phys. Rev. Research 2, 023358 – Published 17 June 2020

https://doi.org/10.1103/PhysRevResearch.2.023358

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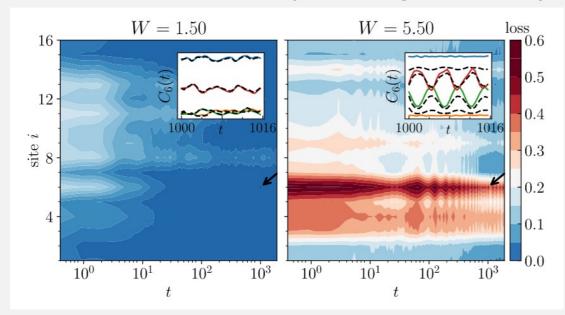


Tomasz Szołdra, Piotr Sierant, Korbinian Kottmann, Maciej Lewenstein, and Jakub Zakrzewski

"Detecting ergodic bubbles at the crossover to many-body localization using neural networks"

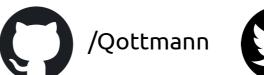
Phys. Rev. B 104, L140202 arxiv:2106.01811

https://doi.org/10.1103/PhysRevB.104.L140202



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