



CS224N 2023 | WEEK 1-2 배지섭

LECTURE 6: NEURAL MACHINE TRANSLATION

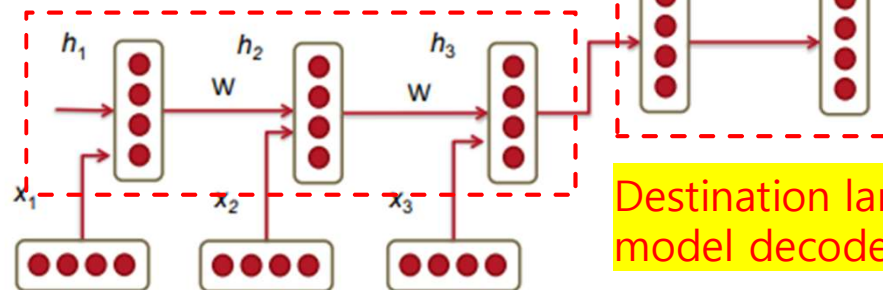
Application: RNN Translation Model

"Echt dicke Kiste"

"Awesome sauce"

Encode the German language words into some language word features (h_3)

Source language model encoder

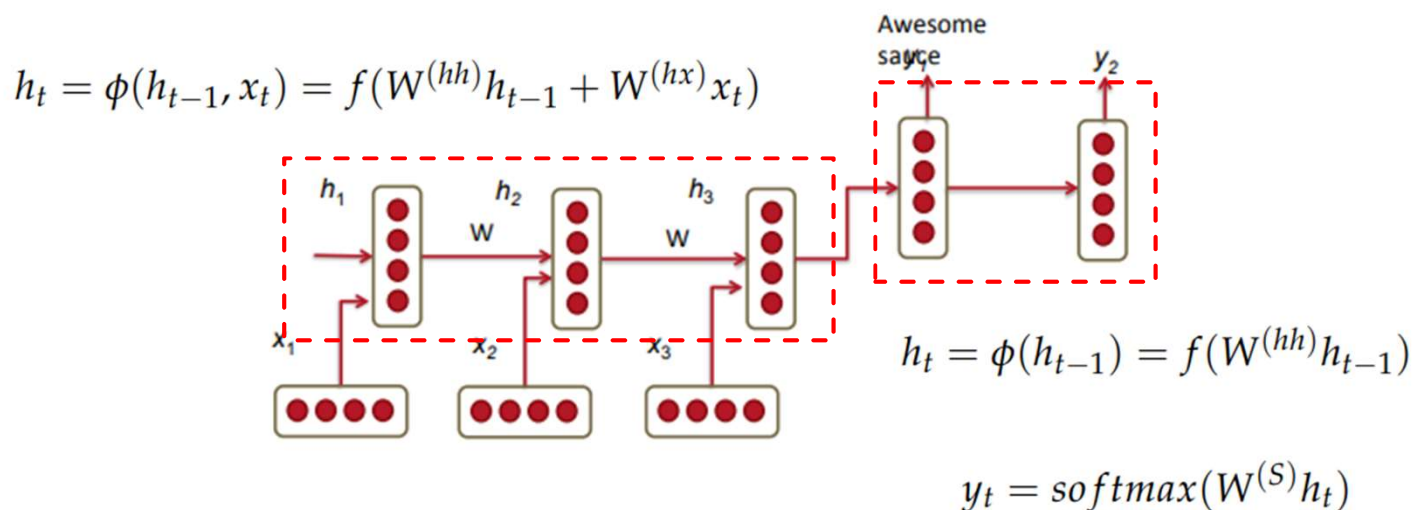


Destination language model decoder

Decode h_3 into English word outputs

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Application: RNN Translation Model



$$\max_{\theta} \frac{1}{N} \sum_{n=1}^N \log p_{\theta}(y^{(n)} | x^{(n)})$$

Cross entropy function

은닉 상태 가중치를 결합하여 encode & decode

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Application: RNN Translation Model

Extensions:

- Train different RNN weights for encoding and decoding
- Compute every hidden state in the decoder using three different inputs
- Deeper layers often improve prediction accuracy due to their higher learning capacity
- Train bi-directional encoders to improve accuracy
- Reversing the order of the input words can help reduce the error rate in generating the output phrase

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NEURAL MACHINE TRANSLATION

GATED RECURRENT UNITS

RNN은 long-term dependencies의 실제 포착이 어려움.

GRU는 RNN의 long-term dependencies의 포착을 쉽게 해줌.

$$z_t = \sigma(W^{(z)}x_t + U^{(z)}h_{t-1}) \quad (\text{Update gate})$$

$$r_t = \sigma(W^{(r)}x_t + U^{(r)}h_{t-1}) \quad (\text{Reset gate})$$

$$\tilde{h}_t = \tanh(r_t \circ U h_{t-1} + W x_t) \quad (\text{New memory})$$

$$h_t = (1 - z_t) \circ \tilde{h}_t + z_t \circ h_{t-1} \quad (\text{Hidden state})$$

The diagram illustrates the neural network architecture for the word completion task, showing the flow from inputs to the hidden state.

Reset: Include $h^{(t-1)}$ in new memory?

Update: How much $h^{(t-1)}$ in next state?

New memory: Compute new memory based on current word input $x^{(t)}$ and potentially $h^{(t-1)}$

The diagram shows the following components and flow:

- Reset Signal:** A yellow box labeled "Reset signal" points to the reset input of the $U(r)$ and $W(r)$ blocks in the "Reset" section.
- Reset Section:** A dashed box labeled "Reset" contains blocks $U(r)$ and $W(r)$. Inputs are $h^{(t-1)}$ and $x^{(t)}$. The output is $r^{(t)}$.
- Update Section:** A dashed box labeled "Update" contains blocks $U(z)$ and $W(z)$. Inputs are $h^{(t-1)}$ and $x^{(t)}$. The output is $z^{(t)}$.
- New Memory Section:** A dashed box labeled "New memory" contains blocks U and W . Inputs are $h^{(t-1)}$ and $x^{(t)}$. The output is $\tilde{h}^{(t)}$.
- Hidden State Section:** A dashed box labeled "Hidden state" contains a \tanh block and a σ block. The input to the \tanh block is $\tilde{h}^{(t)}$. The output of the \tanh block is $h^{(t)}$. The input to the σ block is $z^{(t)}$. The output of the σ block is $h^{(t-1)}$.

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LONG-SHORT-TERM-MEMORIES

$$i_t = \sigma(W^{(i)}x_t + U^{(i)}h_{t-1}) \quad (\text{Input gate})$$

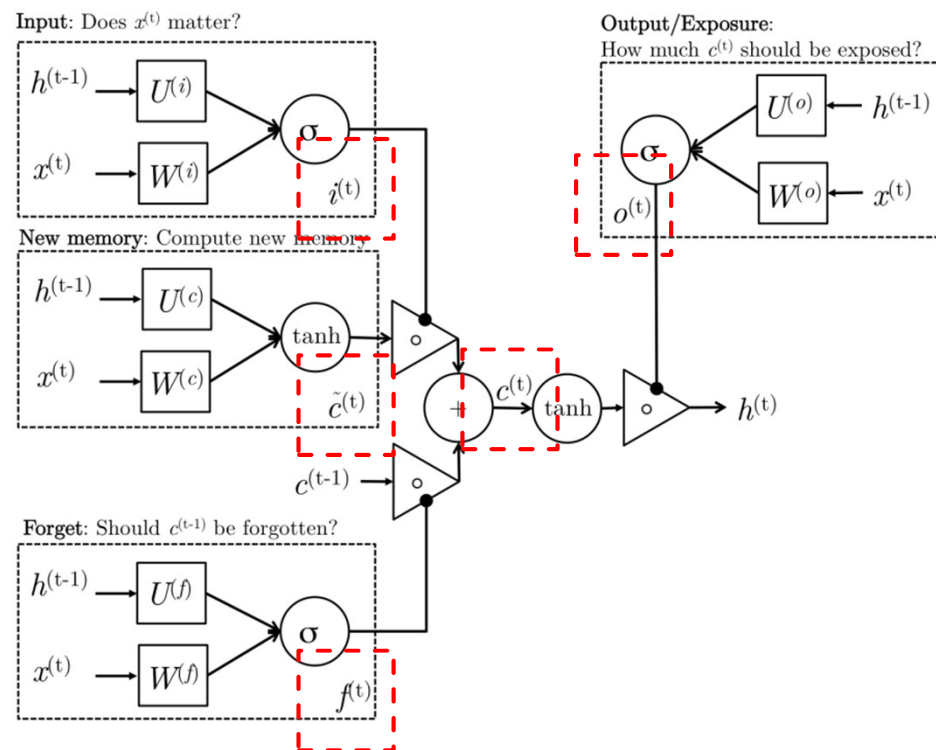
$$f_t = \sigma(W^{(f)}x_t + U^{(f)}h_{t-1}) \quad (\text{Forget gate})$$

$$o_t = \sigma(W^{(o)}x_t + U^{(o)}h_{t-1}) \quad (\text{Output/Exposure gate})$$

$$\tilde{c}_t = \tanh(W^{(c)}x_t + U^{(c)}h_{t-1}) \quad (\text{New memory cell})$$

$$c_t = f_t \circ c_{t-1} + i_t \circ \tilde{c}_t \quad (\text{Final memory cell})$$

$$h_t = o_t \circ \tanh(c_t)$$



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NEURAL MACHINE TRANSLATION WITH SEQ2SEQ

이전까지는 주어진 문장에서 다음 단어를 예측하는 단일 결과에 대해 논의함.
이것은 아래의 문제들에 적용될 수 없음.

- **Translation:** taking a sentence in one language as input and outputting the same sentence in another language.
- **Conversation:** taking a statement or question as input and responding to it.
- **Summarization:** taking a large body of text as input and outputting a summary of it.

Sequence-to-sequence model이 해당 문제를 다룰 수 있음.

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NEURAL MACHINE TRANSLATION WITH SEQ2SEQ

Word-based system

이전 번역 시스템은 아래 요소들로 구성되는 확률모델에 기반했다.

- a **translation model**, telling us what a sentence/phrase in a source language most likely translates into
- a **language model**, telling us how likely a given sentence/phrase is overall.

이 시스템 (naïve word-based system)은 언어들간 다른 어순의 포착을 실패한다.

Phrase-based system

구(phrase)의 단어 순서를 입출력에서 고려해 더욱 복잡한 구문은 다룰 수 있다.

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NEURAL MACHINE TRANSLATION WITH SEQ2SEQ

Seq2Seq

LSTM의 사용으로

1. 자의적인 결과 순서를 생성
2. 특정 부분에 자동으로 집중

하는 것이 가능하다.

- an *encoder*, which takes the model's input sequence as input and encodes it into a fixed-size "context vector", and
- a *decoder*, which uses the context vector from above as a "seed" from which to generate an output sequence.

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NEURAL MACHINE TRANSLATION WITH SEQ2SEQ

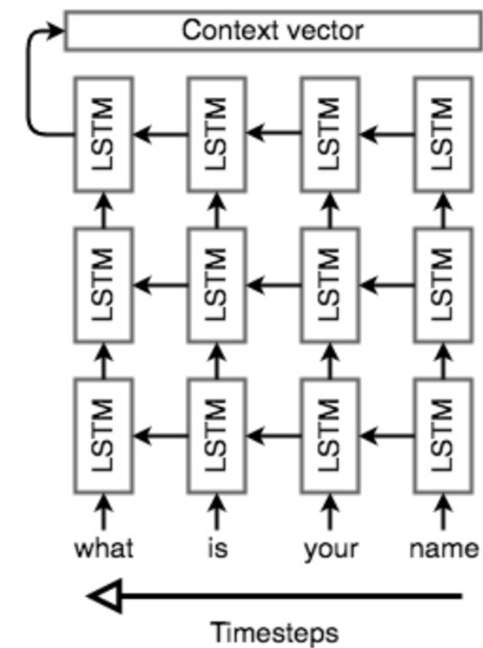
Seq2Seq architecture - encoder

Stacked LSTM

Input 시퀀스의 반전

"the last thing that the encoder sees will (roughly) corresponds to the first thing that the model outputs."

"network is unrolled"

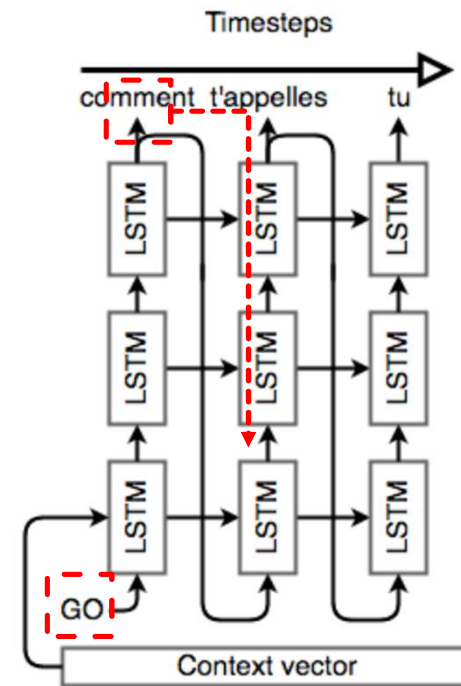


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NEURAL MACHINE TRANSLATION WITH SEQ2SEQ

Seq2Seq architecture - decoder

Seq2Seq는 매우 긴 입력에 효과적이지 않다.
(LSTM의 실용적 한계)



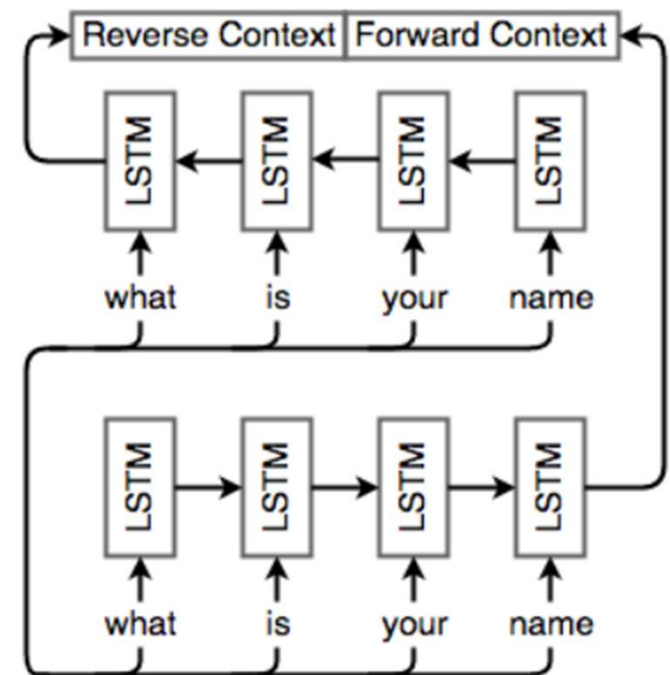
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RECAP & BASIC NMT EXAMPLE

$[o_t^{(f)} \ o_t^{(b)}]$ ≡ CONCATENATED VECTOR이다.

$o_t^{(f)}$ is the output of the forward-direction RNN on word t

$o_t^{(b)}$ is the corresponding output from the reverse-direction RNN.



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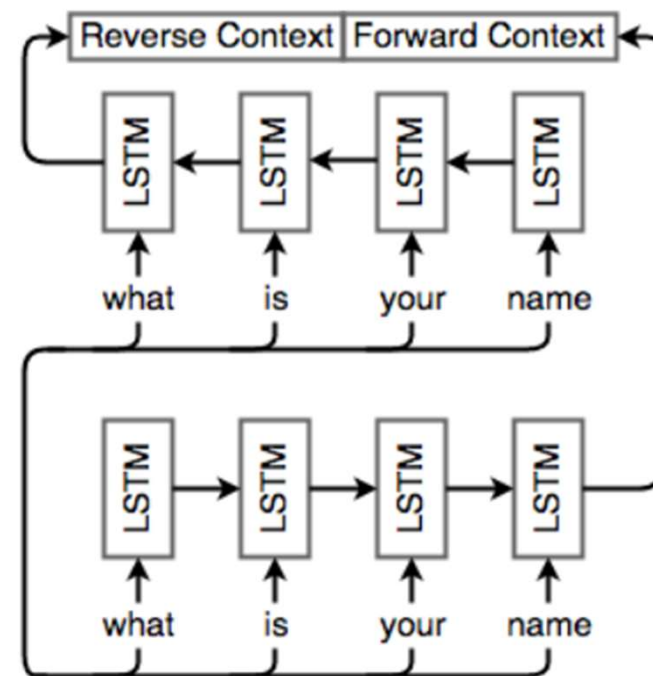
RECAP & BASIC NMT EXAMPLE

$[o_t^{(f)} \ o_t^{(b)}]$ 는 CONCATENATED VECTOR이다.

$o_t^{(f)}$ is the output of the forward-direction RNN on word t

$o_t^{(b)}$ is the corresponding output from the reverse-direction RNN.

Bidirectional LSTM은 기존의 종속성에 대한 문제를 해결할 수 있다.



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ATTENTION MECHANISM

"the ball is on the field."

"the ball is on the field."

Attention mechanism은 decoder가 모든 input seq를 매 단계마다 확인하도록 함.
(-> 어느 시점에 어떤 input word가 중요한지 결정)

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ATTENTION MECHANISM

Bahdanau et al. NMT model

$$s_i = f(s_{i-1}, y_{i-1}, c_i)$$

Context vector만 사용한 seq2seq와 구분

s_{i-1} : the previous hidden vector
 y_{i-1} : generated word at the previous step
 c_i : a context vector

$$e_{i,j} = a(s_{i-1}, h_j)$$

h_j : hidden vector

$$\alpha_{i,j} = \frac{\exp(e_{i,j})}{\sum_{k=1}^n \exp(e_{i,k})}$$

Softmax 층을 사용해 점수를 벡터 $\alpha_i = (\alpha_{i,1}, \dots, \alpha_{i,n})$ 로 Normalize

$$c_i = \sum_{j=1}^n \alpha_{i,j} h_j$$

Context vector는 decoder의 i 번째 단계에 대해 원래 문장의 연관된 문맥 정보를 포착

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ATTENTION MECHANISM

Connection with translation alignment

	Comment	t'	appelles	tu	<end>
What					
is					
your					
name					
<end>					

Decoding step 1, Attention score는 소스 문장의 단어가 타겟 단어 1 와 나란히 정렬됨을 나타낸다.

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OTHER MODELS

Huong et al. NMT model

- Global attention

$$\text{score}(h_i, \bar{h}_j) = \begin{cases} h_i^T \bar{h}_j \\ h_i^T W \bar{h}_j \\ W[h_i, \bar{h}_j] \end{cases} \in \mathbb{R} \quad c_i = \sum_{j=1}^n \alpha_{i,j} \bar{h}_j \quad \alpha_{i,j} = \frac{\exp(\text{score}(h_i, \bar{h}_j))}{\sum_{k=1}^n \exp(\text{score}(h_i, \bar{h}_k))} \quad \boxed{\tilde{h}_i} = f([\bar{h}_i, c_i])$$

최종 예측을 위해서 decode에 input으로 사용

- Local attention

정렬 위치를 예측, 이 위치 중심의 window를 사용해 context vector를 계산

다양한 예측 방법이 있음.

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SEQUENCE MODEL DECODERS

$$\bar{s}^* = \operatorname{argmax}_{\bar{s}} (\mathbb{P}(\bar{s}|s))$$

Idea는 $\bar{s}|s$ 에 대한 확률분포로 가장 적합한 문장을 얻는다.

- Exhaustive search
- Ancestral sampling
- Greedy search 기하급수적 크기의 출력, 낮은 성능과 많은 변수 그리고 실수 한번으로 크게 영향
- Beam search

$$\tilde{\mathcal{H}}_{t+1} = \bigcup_{k=1}^K \mathcal{H}_{t+1}^{\tilde{k}}$$

최고의 K개 후보를 유지

NMT에 가장 흔히 사용되는 기술이다.

$$\mathcal{H}_{t+1}^{\tilde{k}} = \{(x_1^k, \dots, x_t^k, v_1), \dots, (x_1^k, \dots, x_t^k, v_{|V|})\}$$

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EVLAUATION OF MACHINE TRANSLATION SYSTEMS

두 개의 기초적인 평가와 BLEU

- Human Evaluation
- Evaluation against another task
- Bilingual Evaluation Understudy(BLUE)

A there are many ways to evaluate the quality of a translation, like comparing the number of n-grams between a candidate translation and reference.

B the quality of a translation is evaluate of n-grams in a reference and with translation.

$p_n = \# \text{ matched n-grams} / \# \text{ n-grams in candidate translation}$

$$\beta = e^{\min(0, 1 - \frac{\text{len}_{\text{ref}}}{\text{len}_{\text{MT}}})}$$

$$\text{BLEU} = \beta \prod_{i=1}^k p_n^{w_n}$$

Corpus 수준에서만 잘 작동한다.

기하평균은 하나의 값만 0이 되어도 전체 결과가 0이다.

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DEALING WITH THE LARGE OUTPUT VOCABULARY

- Scaling softmax
 - Noise Contrastive Estimation
 - Hierarchical Softmax
- Reducing vocabulary

Sequentially select examples: $|V'| = 5$.

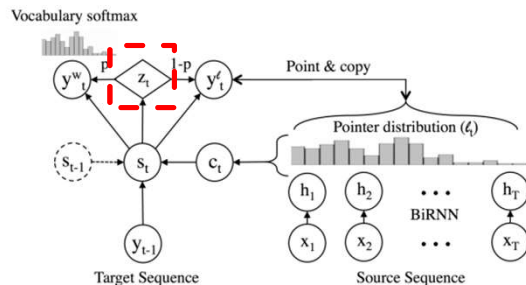
she loves cats
he likes dogs
cats have tails
dogs have tails
dogs chase cats
she loves dogs
cats hate dogs

$V' = \{\text{she, loves, cats, he, likes}\}$

$$Q(y_t) = \begin{cases} \frac{1}{|V'|}, & \text{if } y_t \in V' \\ 0, & \text{otherwise} \end{cases}$$

The challenge is that the correct target word is unknown and we have to "guess" what the target word might be.

- Handling unknown words



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WORD AND CHARACTER-BASED MODELS

Word segmentation

data set contains 4 words with their frequencies

Dictionary
5 low
2 lower
6 new est
3 widest

Vocabulary
l, o, w, e, r, n, w, s, t, i, d, es, est

Add a pair (es, t) with freq 9

selected most frequent n-gram pair (e,s,9)

adding current most frequent n-gram pair (es,t,9).

Figure 10: Byte Pair Encoding

start with a vocabulary of characters and keep extending the vocabulary with most frequent n-gram pairs in the data set

repeated until all n-gram pairs are selected or vocabulary size reaches some threshold

Once the vocabulary is built, NMT system with some seq2seq architecture can be directly trained on these word segments

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WORD AND CHARACTER-BASED MODELS

Character-based model

*this model iterates over all characters $c_1, c_2 \dots c_m$ to look up
the character embeddings $e_1, e_2 \dots e_m$*

fed into a biLSTM to get the final hidden states h_f, h_b for forward and backward directions

$$e_w = W_f H_f + W_b H_b + b$$

The final word embedding is computed by an affine transformation of two hidden states

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WORD AND CHARACTER-BASED MODELS

Hybrid NMT

The system translates mostly at word-level and consults the character components for rare words

On a high level, the character-level recurrent neural networks compute source word representations and recover unknown target words when needed

- Word-based Translation as a Backbone
- Source Character-based Representation
- Target Character-level Generation

