

## Series 8, May 4-5, 2017 (Neural Networks)

### Problem 1 (CNN computations):

1. The convolutional layer has two 2-channels filters  $K^1$  and  $K^2$ , with each filter channel  $K_c^l$  having an odd number of pixels, so that we can index them as  $(K_c^l)_{i,j}$  for  $-k \leq i, j \leq k$ . The convolutional layer can be seen as taking as input the image  $(I_c)_{1 \leq c \leq 2}$  where  $(I_c)_{i,j}$  is the pixel of position  $(i, j)$  and channel  $c$ , for  $1 \leq i, j \leq 4$ , and giving as output the following 4x4 2-channels image, whose pixel  $(i', j')$  of channel  $l$  is given by

$$(I \star K^l)_{i',j'} = \sum_{1 \leq c \leq 2} \sum_{-k \leq i, j \leq k} (I_c)_{i'+i, j'+j} (K_c^l)_{i,j},$$

where  $(I_c)_{a,b} = 0$  for  $(a, b)$  outside of the range of pixels  $\{1, \dots, 4\} \times \{1, \dots, 4\}$  of  $I$ , because of the zero-padding.

2. The ReLU activation function is defined by  $\text{ReLU}(x) = \max(0, x)$ . Applying such a real function to an image consists of applying it to each pixel. Hence after the convolutional layer and the non-linearity, we get the following two images, for  $l \in \{1, 2\}$ , whose pixels  $(i', j')$  are given by

$$(\text{ReLU}(I \star K^l))_{i',j'} = \max \left( 0, \sum_{1 \leq c \leq 2} \sum_{-k \leq i, j \leq k} (I_c)_{i'+i, j'+j} (K_c^l)_{i,j} \right).$$

Then, applying a 3x3 max-pooling without stride to such a 1-channel image gives us the following image, whose pixel  $(i, j)$  is given by

$$\max_{-1 \leq i', j' \leq 1} (\text{ReLU}(I \star K^l))_{i+i', j+j'}.$$

3. We have

$$K_1^1 = \begin{pmatrix} 0 & 1 & 0 \\ 1 & -1 & 1 \\ 0 & 1 & 0 \end{pmatrix},$$

and

$$I_1 = \begin{pmatrix} 2 & 3 & 4 & 5 \\ 3 & 5 & 7 & 9 \\ 4 & 7 & 10 & 13 \\ 5 & 9 & 13 & 17 \end{pmatrix}, \quad I_2 = \begin{pmatrix} 3 & 4 & 5 & 6 \\ 4 & 6 & 8 & 10 \\ 5 & 8 & 11 & 14 \\ 6 & 10 & 14 & 18 \end{pmatrix},$$

hence

$$(I \star K^1)_{1,1} = (-2 + 3 + 3) + (-3 + 4 + 4) = 9.$$

Similarly,

$$(I \star K^1)_{1,2} = (-3 + 2 + 4 + 5) + (-4 + 3 + 5 + 6) = 18,$$

$$(I \star K^1)_{2,1} = (-3 + 2 + 4 + 5) + (-4 + 3 + 5 + 6) = 18,$$

$$(I \star K^1)_{2,2} = (-5 + 3 + 3 + 7 + 7) + (-6 + 4 + 4 + 8 + 8) = 33,$$

etc.

4. In this case, all pixel values happen to be non-negative, hence applying ReLU doesn't change the values.

5. For the first coefficient, we have

$$\max_{-1 \leq i', j' \leq 1} (ReLU(I \star K^l))_{1+i', 1+j'} = \max(0, 9, 18, 33) = 33.$$